#### **CUSTOMER NO.** 38327 CONTINUATION/DIVISIONAL APPLICATION TRANSMITTAL □ DUPLICATE (Rule 53(b) Continuation or Divisional) Attorney Docket No.: HARU-0126 Address to: Commissioner of Patents P.O. Box 1450 First Named Inventor: Nakayoshi Alexandria, VA 22313-1450 Total Pages: 126 This requests a 🗵 Continuation or 🗖 Divisional application under 37 CFR §1.53(b) of prior application: Application, No.: 14/942,120 Group Art Unit: 2871 Filed on: November 16, 2015 Lucy P. Chen Examiner: LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF Entitled: 1. The entire disclosure of the pending, prior application is hereby incorporated by reference. 2. Submitted herewith is a copy of the complete prior application as filed. 3. This application is filed by fewer than all the inventors named in the prior nonprovisional application, 37 CFR 1.53(b)(1). **DELETE** the following inventor(s): \_\_\_\_\_\_ 4. Submitted herewith is a copy of the signed Oath/Declaration from the prior application. 5. Small entity status was established in the prior application, and is still proper and desired. \_\_ month Petition for Extension of Time is filed concurrently in the prior application. 7. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR § 1.16 and/or 1.17 to Deposit Account No. 60-0155. 8. The amount of \$1,600.00 for filing fee is being submitted herewith via the EFS payment system. 9. The prior application is assigned of record to: Japan Display Inc. and Panasonic Liquid Crystal Display Co., 🗷 10. Priority is claimed based on U.S. App. No. 14/942,120 filed November 16, 2015, which claims priority to U.S. App. No. 14/708,348 filed May 11, 2015, which claims priority to U.S. App No. 14/285,006 filed May 22, 2014, which claims priority of U.S. App. No. 13/927,539 filed June 26, 2013, which claims priority of U.S. App. No. 13/650,203 filed October 12, 2012, which claims priority of U.S. App. No. 13/364,092 filed February 1, 2012, which claims priority of U.S. Appl. No. 12/926,735 filed December 7, 2010, which claims priority of U.S. App. No. 12/292,728 filed November 25, 2008, which claims priority of U.S. App. No. 11/976,884 filed October 29, 2007, which claims priority of U.S. App. No. 11/409,076 filed on April 24, 2006, which claims priority to U.S. App. No. 11/211,574 filed Aug. 26, 2005, which claims priority to U.S. App. No. 10/237,911 filed Sep. 10, 2002, which claims priority to Japanese Patent Application No. 2001-317147 filed on Oct. 15, 2001, and which is hereby incorporated by reference. □ 11. A Preliminary Amendment is enclosed. ■ 12. Other: Information Disclosure Statement with Form PTO-1449. THE FILING FEE IS CALCULATED AS FOLLOWS: BASIC FEE \$1,600.00 (FILING, EXAMINATION & SEARCH FEES): Total Claims: 13 -20 = 0 × \$80 = × \$420 = Independent claims: -3 = 0 Multiple Dependent Claim Marquez IP Law Office, PLLC (Add \$780.00): 1629 K Street, NW, Suite 300 Total 126 0.00 $\times$ 400 (# × .75 - 100 = Washington, DC 20006 Pages extra 50s = ) Tel. No. 202-349-1690 Subtotal: 1,600.00 Fax No. 202-754-9829 Reduction if Small Entity Status: 0.00

Page 1 of 263 Tianma Exhibit 1002

Name:

Juan Carlos A. Marquez

1,600.00

Reg. No.

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Total:

Signature:

/juan.carlos.a.marquez/

Customer No. 38327

Date:

September 21, 2016

| Application                                   | Application Data Sheet 37 CFR                  |                         |  |                  | Attorney Docket Number                      |                 | HARU-01         | 126  |                |          |  |
|---|--|-------------------------|--|------------------|---|-----------------|-----------------|--|----------------|----------|--|
| Application                                   | on Dai   | la Sile                 | ELSI CER I.  | .70              | Application N                               | umber           |                 |  |                |          |  |
| Title of Inve                                 | ntion  | LIQUID                  | CRYSTAL DISP   | LAY I            | DEVICE, DISPLA                              | YY DEVICE AN    | ND MANUFA       | ACTURING METH                                | HOD THERE      | OF       |  |
| bibliographic da<br>This document             | ata arrang<br>i may be                         | ged in a fo<br>complete | of the provisional o<br>ormat specified by the<br>ed electronically and<br>duded in a paper file | he Uni<br>d subr | ted States Patent a<br>mitted to the Office | and Trademark ( | Office as outli | ned in 37 CFR 1.76                           | i.             |          |  |
| Secrecy                                       | Orde   | r 37 C                  | CFR 5.2:   |                  |   |                 |                 |  |                |          |  |
|   |  |                         | lication associate<br>ers only. Applica  |                  |   |                 |                 |  |                | uant to  |  |
| Inventor                                      | Infori   | matio                   | n:   |                  |   |                 |                 |  |                |          |  |
| Inventor                                      | 1  |                         |  |                  |   |                 |                 | Remove                                       |                |          |  |
| Legal Name                                    | <b>:</b>                                       |                         |  |                  |   |                 |                 |  |                |          |  |
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|   | ▼ Yoshiaki<br>sidence Information (Select One) |                         |  |                  |   |                 | NAKAYOSHI       |  |                |          |  |
| Residence                                     | Inform   | ation (                 | Select One)  | US               | Residency                                   | Non US Re       | esidency        | Active US Mi                                 | itary Service  |          |  |
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|   |  | ation (                 | Select One) •  | US               | Residency (                                 | ) Non US Re     | esidency        | Active US Mi                                 | litary Service |          |  |
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| Mailing Addı                                  | ress of  | Invento                 | or:  |                  |   |                 |                 |  |                |          |  |
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# **Correspondence Information:**

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| Application Da   | ita Sne   | et 37 CF  | R 1.76  | App  | olication Nun   | nber  |  |   |  |                 |
| Title of Invention   | LIQUIE  | CRYSTAL I   | DISPLAY   | DEVIC                                      | CE, DISPLAY   | DEVICE AN                                   | ID MAN                                     | NUFACTURING METH  | OD THERE                                     | EOF             |
| Enter either Custo<br>For further inform   |   |   | -   | the C                                      | orresponde  | ence Inform                                 | nation                                     | section below.  |  |                 |
| ☐ An Address is  | being   | provided fo   | or the co   | rresp                                      | ondence In  | formation                                   | of this                                    | s application.  |  |                 |
| Customer Numbe   | r   | 38327   |   |  |   |   |  |   |  |                 |
| Email Address  |   | juancarlos(   | @marque:  | ziplaw                                     | .com  |   |  | Add Email   | Remove                                       | Email           |
| Application I  | nform   | nation:   |   |  |   |   |  |   |  |                 |
| Title of the Invention   CIQUID CRYSTAL DISPLAY DEVICE, DISPLAY THEREOF  |   |   |   |  |   |   | VICE A                                     | AND MANUFACTURII  | NG METHO                                     | D               |
| Attorney Docket Number HARU  |   |   | 26  |  |   | Small Ent                                   | tity Sta                                   | atus Claimed 🔲  |  |                 |
| Application Type   |   | Nonprovisi  | onal  |  |   |   |  |   |  | ▼               |
| Subject Matter   |   | Utility   |   |  |   |   |  |   |  | ▼               |
| Total Number of D  | Orawing   | Sheets (if  | any)  | 63   |   | Suggest                                     | ed Fig                                     | ure for Publication   | n (if any)                                   |                 |
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| Only complete this secti<br>application papers inclu<br>provided in the approprion<br>For the purposes of a fill<br>reference to the previou | iding a sp<br>riate secti<br>ing date i<br>isly filed a | ecification an<br>on(s) below (i<br>under 37 CFR<br>application, su | d any drav<br>e., "Dome:<br>1.53(b), the<br>bject to co | wings a<br>stic Ber<br>e descri<br>onditio | re being filed.<br>nefit/National !<br>iption and any<br>ns and require | Any domesti<br>Stage Informa<br>drawings of | c benef<br>ation" a<br>the pres            | it or foreign priority info<br>nd "Foreign Priority Info<br>sent application are rep<br>7(a). | ormation mu<br>ormation").<br>placed by this | ist be          |
| Application number o filed application   | Tine prev   | riousiy   | Filing date (YYYY-MM-DD)                                |  |   |   | Intellectual Property Authority or Country |   |  |                 |
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| Request Early  | Publica   | ation (Fee re   | equired a   | at time                                    | of Request  | 37 CFR 1.2                                  | 219)                                       |   |  |                 |
| ☐ 35 U.S.C. 122  | (b) and applicat  | certify that<br>on filed in a                                       | the inver   | ntion o                                    | disclosed in  | the attache                                 | d appl                                     | cation not be publish<br>lication has not and<br>national agreement,                          | l will not b                                 |                 |
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| this information in the  | e Applica<br>er Numb                                    | tion Data She<br>er or comple                                       | eet does r<br>te the Re                                 | not cor<br>preser                          | nstitute a powentative Name   | er of attorney<br>section belo              | y in the                                   | of attorney in the ap<br>application (see 37 C<br>oth sections are comp                       | FR 1.32).                                    | _               |
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| Please Select One  | :   | Custome   | er Numbe  | er e                                       | US Pate   | nt Practitione                              | er (                                       | Limited Recogniti   | ion (37 CFR                                  | 11.9)           |
| Customer Number  |   | 38327   |   |  |   |   |  |   |  |                 |

| Application Da     | ita Sheet 37 CFR 1.76    | Attorney Docket Number    | HARU-0126                      |
|--------------------|--------------------------|---------------------------|--------------------------------|
| Application ba     | ita Sileet Si Ci ix 1.70 | Application Number        |                                |
| Title of Invention | LIQUID CRYSTAL DISPLAY   | DEVICE, DISPLAY DEVICE AN | D MANUFACTURING METHOD THEREOF |

# **Domestic Benefit/National Stage Information:**

| National Stage ent                | try from a l    | CT applicated by 35 U. | atio<br>S.C | on. Providing ber<br>C. 119(e) or 120, | nef<br>ar | der 35 U.S.C. 119(e),<br>fit claim information in t<br>nd 37 CFR 1.78.<br>pplication Number" fiel | he Ap              | plication Da |      |                          |
|-----------------------------------|-----------------|------------------------|-------------|--|-----------|---|--------------------|--------------|------|--------------------------|
| Prior Application                 | on Status       | Pending                |             |  | Ŧ         |   |                    | Ren          | nove |                          |
| Application N                     | umber           | Co                     | onti        | nuity Type                             |           | Prior Application Number Filing or 3 (YYYY-   |                    |              |      |                          |
|                                   |                 | Continuation           | n c         | f - 14942120                           |           |   | 2015-11-16         |              |      |                          |
| Prior Application                 | on Status       | Patented               |             |  | Ŧ         | Remove  |                    |              |      |                          |
| Application<br>Number             | Cont            | Continuity Type        |             | Prior Applicati<br>Number              | on        | Filing Date<br>(YYYY-MM-DD)   | Pat                | ent Number   | (Y   | Issue Date<br>YYY-MM-DD) |
| 14942120                          | Continuat       | ion of                 |             | 14708348                               |           | 2015-05-11  | 2015-05-11 9213204 |              | 201  | 5-12-15                  |
| Prior Application                 | on Status       | Patented               |             |  | ¥         |   |                    | Rer          | nove |                          |
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| 14708348                          | Continuat       | ion of                 |             | 14285006                               |           | 2014-05-22  | 908                | 86600        | 201  | 5-07-21                  |
| Prior Application Status Patented |                 |                        |             |  | 7         |   |                    | Rer          | nove |                          |
| Application<br>Number             | Continuity Type |                        |             | Prior Applicati<br>Number              |           | Filing Date<br>(YYYY-MM-DD)   | - Paleni Niimner   |              | (Y   | Issue Date<br>YYY-MM-DD) |
| 14285006                          | Continuat       | ion of                 |             | 13927539                               |           | 2013-06-26  | 870                | 60609        | 201  | 4-06-24                  |
| Prior Application                 | on Status       | Patented               |             |  | ¥         | •   | Re                 |              | nove |                          |
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| 13927539                          | Continuat       | ion of                 | •           | 13650203                               |           | 2012-10-12  | 849                | 93522        | 201  | 3-07-23                  |
| Prior Application                 | on Status       | Patented               |             |  | •         |   |                    | Rer          | nove |                          |
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| 13650203                          | Continuat       | ion of                 | •           | 13364092                               |           | 2012-02-01  | 83                 | 10641        | 201  | 2-11-13                  |
| Prior Application                 | on Status       | Patented               |             |  | •         |   |                    | Rer          | nove |                          |
| Application<br>Number             | Conti           | inuity Type            |             | Prior Applicati<br>Number              | ion       | Filing Date<br>(YYYY-MM-DD)   | Pat                | ent Number   | (Y   | Issue Date<br>YYY-MM-DD) |
| 13364092                          | Continuat       | ion of                 | ₹           | 12926735                               |           | 2010-12-07  | 82                 | 48549        | 201  | 2-08-21                  |
| Prior Application                 | on Status       | Patented               |             |  | v         |   |                    | Rer          | nove |                          |
| Application<br>Number             | Cont            | inuity Type            |             | Prior Applicati<br>Number              | ion       | Filing Date<br>(YYYY-MM-DD)   | Pat                | ent Number   | (Y   | Issue Date<br>YYY-MM-DD) |
| 12026725                          | Continuat       | ion of                 |             | 12202728                               |           | 2008 11 25  | 79                 | 72606        | 201  | 1 በ1 18                  |

| Application D                     | Application Data Sheet 37 CFR 1.76   |            |        |                          | cket Number                 | HARU-  | 0126          |                            |  |  |
|-----------------------------------|--|------------|--------|--------------------------|-----------------------------|--------|---------------|----------------------------|--|--|
| Application b                     | ala Sileel S   | CIKI       | .70    | Application N            | Number                      |        |               |                            |  |  |
| Title of Invention                | LIQUID CRY   | STAL DISI  | PLAY [ | DEVICE, DISPL            | AY DEVICE AN                | D MANU | FACTURING MET | HOD THEREOF                |  |  |
|                                   |  |            |        |                          |                             |        |               |                            |  |  |
| Prior Application                 | ented  |            | ▼      |                          |                             | Rer    | nove          |                            |  |  |
| Application<br>Number             | Continuity Type  |            | Pri    | or Application<br>Number | Filing Da<br>(YYYY-MM       |        | Patent Number | Issue Date<br>(YYYY-MM-DD) |  |  |
| 12292728                          | Division of  | ₹          | 1197   | 6884                     | 2007-10-29 7605876          |        |               | 2009-10-20                 |  |  |
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| Application<br>Number             | Continuity Type  |            | Pri    | or Application<br>Number | Filing Date<br>(YYYY-MM-DD) |        | Patent Number | Issue Date<br>(YYYY-MM-DD) |  |  |
| 11976884                          | Division of  | <b>-</b>   | 1140   | 9076                     | 2006-04-24 7307673          |        | 2007-12-11    |                            |  |  |
| Prior Application                 | on Status Pate   | ented      |        | •                        | •                           |        |               | Remove                     |  |  |
| Application<br>Number             | Continuity   | Туре       | Pri    | or Application<br>Number | Filing Da<br>(YYYY-MM       |        | Patent Number | Issue Date<br>(YYYY-MM-DD) |  |  |
| 11409076                          | Division of  | <b>-</b>   | 1121   | 1574                     | 2005-08-26                  |        | 7423701       | 2008-09-09                 |  |  |
| Prior Application                 | on Status Pate   | ented      |        | ▼                        |                             |        | Rer           | nove                       |  |  |
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| 11211574                          | Division of  | lacksquare | 1023   | 7911                     | 2002-09-10                  |        | 6970222       | 2005-11-29                 |  |  |
|                                   | Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. |            |        |                          |                             |        |               |                            |  |  |

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

|   |                      |                          | Remove                                   |  |  |  |  |  |
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| Application Number  | Country <sup>i</sup> | Filing Date (YYYY-MM-DD) | Access Code <sup>i</sup> (if applicable) |  |  |  |  |  |
| 2001-317149   | JP                   | 2001-10-15               |  |  |  |  |  |  |
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# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

PTO/AIA/14 (11-15)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Application Da     | ita Sheet 37 CFR 1.76  | Attorney Docket Number                                    | HARU-0126 |  |  |  |  |  |
|--------------------|--|---|-----------|--|--|--|--|--|
| Application Da     | ita Sileet 37 CFK 1.70   | Application Number  |           |  |  |  |  |  |
| Title of Invention | LIQUID CRYSTAL DISPLAY   | Y DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF |           |  |  |  |  |  |
| contains, or co    |  |   |           |  |  |  |  |  |
|                    | NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA. |   |           |  |  |  |  |  |

| Application Da     | ta Sheet 37 CFR 1.76     | Attorney Docket Number    | HARU-0126                      |
|--------------------|--------------------------|---------------------------|--------------------------------|
| Application ba     | ita Sileet 37 Cl IX 1.70 | Application Number        |                                |
| Title of Invention | LIQUID CRYSTAL DISPLAY I | DEVICE, DISPLAY DEVICE AN | D MANUFACTURING METHOD THEREOF |

## **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
  - A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
  - B. Applicant DOES NOT authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

| Application Da   | ta Sheet 37 CFR 1.76     | Attorney Docket Number | HARU-0126                      |
|--|--------------------------|------------------------|--------------------------------|
| Application Da   | ita Sileet Si Ci iX 1.70 | Application Number     |                                |
| Title of Invention LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND N |                          |                        | D MANUFACTURING METHOD THEREOF |

# **Applicant Information:**

|   | Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR o have an assignment recorded by the Office. |  |                     |  |  |  |  |  |  |  |  |
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| <b>Address 1</b> 3-7-1  | , Nishi-Shinbashi, Minato-ku   |  |                     |  |  |  |  |  |  |  |  |
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| Phone Number  |  | Fax Number                                       |                     |  |  |  |  |  |  |  |  |
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| Mailing Address I  |   |  |  |  |  |  |  |   |
| Address 1  | 1-6 M   | egahida-cho, S   | hikama-ku  |  |  |  |  |   |
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# LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF

#### CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application is a Continuation of U.S. App. Serial No. 14/942,120 filed November 16, 2015, which is a Continuation of U.S. App. Serial No. 14/708,348 filed May 11, 2015, which is a Continuation of U.S. App. Serial No. 14/285,006 filed May 22, 2014, which is a Continuation of U.S. App. Serial No. 13/927,539 filed June 26, 2013, which is a Continuation of U.S. App. Serial No. 13/650,203 filed October 12, 2012, which is a Continuation of U.S. App. Serial No. 13/364,092 filed February 1, 2012, which is a Continuation of U.S. App. Serial No. 12/926,735 filed December 7, 2010, which is a Continuation of U.S. App. Serial No. 12/292,728 filed November 25, 2008, which is a Divisional of U.S. App. Serial No. 11/976,884 filed October 29, 2007, which is a Divisional of U.S. App. Serial No. 11/409,076 filed April 24, 2006, which is a Divisional of U.S. App. Serial No. 11/211,574 filed Aug. 26, 2005, which is a Divisional of U.S. App. Serial No. 10/237,911 filed Sep. 10, 2002. Priority is claimed based on U.S. App, Serial No. 14/708,348 filed May 11, 2015, which claims priority of U.S. App. Serial No. 14/285,006 filed May 22, 2014, which claims priority of U.S. App. Serial No. 13/927,539 filed June 26, 2013, which claims priority of U.S. App. Serial No. 13/650,203 filed October 12, 2012, which claims priority of U.S. App. Serial No. 13/364,092 filed February 1, 2012, which claims priority of U.S. Appl. Serial No. 12/926,735 filed December 7, 2010, which claims priority of U.S. App. Serial No. 12/292,728 filed November 25, 2008, which claims priority of U.S. App. Serial No. 11/976,884 filed October 29, 2007, which claims priority of U.S. App. Serial No. 11/409,076 filed on April 24, 2006, which claims priority to U.S. App. Serial No. 11/211,574 filed Aug. 26, 2005, which claims priority to U.S. App. Serial No. 10/237,911 filed Sep. 10, 2002, which claims priority to Japanese Patent Application No. 2001-317147 filed on Oct. 15, 2001, and which is hereby incorporated by reference.

### BACKGROUND OF THE INVENTION

[0002] 1. Field of the Invention

[0003] The present invention relates to a liquid crystal display device, and more particularly to an active matrix type liquid crystal display device which can reduce holding capacity for holding lighting of pixels for a given time and feeding resistance thereof thus enhancing numerical aperture. [0004] 2. Description of the Related Art

[0005] An active matrix type liquid crystal display device generally adopts a system in which liquid crystal is sandwiched between a pair of substrates which face each other in an opposed manner and pixels are selected by pixel electrodes which are driven by a large number of switching elements represented by thin film transistors formed on one of the above-mentioned pair of

substrates. One type of the liquid crystal display device adopting such a system is a so-called vertical field type in which on the other substrate (second substrate) which faces one substrate (first substrate) of the above-mentioned pair of substrates, color filters and common electrodes are formed or the color filters are also formed on the first substrate.

[0006] As another system, there exists a so-called IPS system in which counter electrodes which correspond to the common electrodes are formed on the above-mentioned first substrate side. Also with respect to this system, there has been known a system which forms color filters on either the first substrate side or the second substrate side.

[0007] The vertical field type liquid crystal display device includes a plurality of gate lines which extend in the first direction (usually horizontal scanning direction) and are arranged parallel to each other and a plurality of drain lines which extend in the second direction which crosses the gate lines (usually vertical scanning direction) and are arranged parallel to each other. The liquid crystal display device further includes switching elements such as thin film transistors or the like in the vicinity of respective crossing portions of the gate lines and drain lines and pixel electrodes which are driven by the switching elements.

[0008] In this vertical field type liquid crystal display device, the common electrodes are formed on the second substrate such that the common electrodes face the pixel electrodes in an opposed manner, an electric field is generated between the common electrodes and the selected pixel electrodes in the direction which approximately crosses a surface of the substrate at a right angle, and lighting of the pixels is performed by changing the orientation of liquid crystal molecules sandwiched between the pixel electrode and the common electrodes.

[0009] On the other hand, in the IPS type liquid crystal display device, gate lines, drain lines, and switching elements similar to those of the vertical field type liquid crystal display device are formed on an inner surface of the above-mentioned first substrate, comb-shaped pixel electrodes are formed on the same substrate, and counter electrodes are formed close to the pixel electrodes on the same substrate. Then, an electric field is generated between the selected pixel electrodes and the counter electrodes in the direction approximately parallel to a surface of the substrate, and lighting of the pixels is performed by changing the orientation direction of liquid crystal molecules arranged between the pixel electrodes and the counter electrodes. As a liquid crystal display device which has developed this type, there exists a liquid crystal display device which adopts a matted electrode as the counter electrodes and forms comb-shaped pixel electrodes as a layer above or below the counter electrodes.

[0010] In both of the above-mentioned type liquid crystal display devices, the charge storing capacity for holding the lighting time of `the pixels which are lit due to selection at a given value (hereinafter, simply referred to as "holding capacity") is formed in regions where the pixel electrodes and the gate lines are overlapped or regions where other electrode lines which are formed such that the other electrode lines transverse the pixel electrode forming region and the

pixel electrodes, and feeding paths for storing charge in the holding capacity is formed of either the gate lines or the above-mentioned electrode lines.

#### SUMMARY OF INVENTION

[0011] In this manner, one electrode which forms the holding capacity is a linear electrode and the feeding is limited to one direction (extending direction of the electrode) and hence, the feeding resistance is large. Further, corresponding to the increase of the distance between the electrode and a feeding end, the voltage drop is remarkably increased so that there arises a case that the required charge cannot be fed. Further, since the above-mentioned gate line usually crosses the drain line, a crossing capacity is increased. As a result, this has been one of causes which make the rapid driving of liquid crystal display device difficult. As a countermeasure to cope with such a problem, there has been proposed a liquid crystal display device which uses the above-mentioned other electrode line. However, when the holding capacity is formed in the pixel electrode forming region, numerical aperture is reduced as a matter of course.

[0012] Further, along with the demand for high definition, the size of pixels per one pixel is reduced so that there has been a task that it is difficult to form the sufficient holding capacity.

[0013] Further, although it is effective to reduce the size of the holding capacity to enhance the numerical aperture, this brings about the reduction of the holding capacity. That is, there has been a task that there exists a trade-off relationship between the enhancement of numerical aperture and the assurance of holding capacity.

[0014] Accordingly, it is an object of the present invention to provide an active matrix liquid crystal display device which can reduce resistance of feeding electrodes which constitute holding capacities. It is another object of the present invention to provide a rapid driving active matrix type liquid crystal display device having high brightness by obviating the reduction of numerical aperture of pixels.

[0015] It is still another object of the present invention to realize an active matrix type liquid crystal display device which can satisfy both of the assurance of holding capacity and the enhancement of numerical aperture simultaneously.

[0016] Other objects and advantages of the present invention will be apparent from the explanation made hereinafter.

0017] The typical constitution of the present invention lies in that on a switching element forming substrate of the liquid crystal display device, a transparent conductive layer (reference layer) having a large area which covers at least a major portion or a whole area of a pixel electrode forming region is formed, and switching elements (active elements), other electrodes and lines are formed over the transparent conductive layer by way of an insulation layer. Due to such a constitution, the feeding resistance with respect to the holding capacity can be largely reduced. Further, a trade-off between the enhancement of numerical aperture and the increase of holding

capacity can be eliminated. Representative constitutions of the present invention are described hereinafter.

1):

[0018] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate,

[0019] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0020] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0021] holding capacities of the pixels are formed between the pixel electrodes and the reference electrode layer.

[0022] Due to such a constitution, the feeding resistance with respect to the storage capacity is largely reduced so that it is possible to realize the liquid crystal display device which can realize both of the enhancement of numerical aperture of pixels and the assurance of holding capacity.

(2):

[0023] In the constitution (1), the electrode forming layer includes the gate lines, a gate insulation layer, the semiconductor layers, the drain lines, a passivation layer and the pixel electrodes in this order over the first insulation layer, and the holding capacity of the pixel is formed between the pixel electrodes and the reference electrode layer.

[0024] Since the holding capacity is constituted of the passivation layer, the gate insulation layer and the first insulation layer which are formed between the pixel electrode and the reference electrode layer, the distance to the reference electrode layer as viewed from the liquid crystal layer can be largely increased so that the influence of the electric field of the reference electrode layer on the electric field for driving liquid crystal can be attenuated.

3):

[0025] In the constitution (1), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

[0026] Due to such a constitution, the parasitic capacity between the gate line and the reference electrode layer can be reduced and the potential can be made stable.

(4):

[0027] In the constitution (1), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0028] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(5):

[0029] In the constitution (1), the passivation layer is formed over the gate insulation layer, the pixel electrodes are formed over the passivation layer, and the whole or a portion of the pixel electrodes penetrate the passivation layer and are brought into contact with the gate insulation layer. [0030] Due to such a constitution, holding capacity formed between the conductive layers and the pixel electrodes can be adjusted by changing the area that the pixel electrodes penetrate the passivation layer.

(6):

[0031] In the constitution (1), the passivation layer is formed over the gate insulation layer, the pixel electrodes are formed over the passivation layer, and the whole or a portion of the pixel electrodes in the pixel regions penetrate the passivation layer and the gate insulation layer and are brought into contact with the first insulation layer.

[0032] Due to such a constitution, holding capacity formed between the reference electrode layer and the pixel electrodes can be adjusted by changing the area that the pixel electrodes penetrate the passivation layer and the gate insulation layer.

(7):

[0033] In the constitution (1), the passivation layer is formed over the gate insulation layer, the pixel electrodes are formed over the passivation layer, and the switching elements include source electrodes on the gate insulation layer which are connected to the pixel electrodes via through holes formed in the passivation layer and extension portions which extend along the gate lines or the drain lines at one portions of the source electrodes.

[0034] Due to such a constitution, holding capacity can be adjusted by changing the length or the width of the extension portions of the source electrodes, that is, by changing the area that the source electrodes overlap the pixel electrodes.

(8):

[0035] In the constitution (1), the first insulation layer is formed of an organic insulation layer.

[0036] Due to such a constitution, the electric distance between the reference electrode layer and the electrode forming layer can be increased compared to a case in which the insulation layer is provided. Further, parasitic capacity between the reference electrode layer and the gate lines as well as the drain lines can be reduced.

(9):

[0037] In the constitution (1), the liquid crystal display device includes a light shielding layer which perform light shielding of gaps defined between the vicinities in the extension direction of the drain lines and the pixel electrodes.

[0038] Due to such a constitution, leaking of light can be prevented.

(10):

[0039] In the constitution (1), common electrodes which constitute pixels together with the pixel electrodes are formed on an inner surface of the second substrate.

(11):

[0040] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate,

[0041] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0042] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0043] the electrode forming layer includes the gate insulation layer, the passivation layer, a second insulation layer and the pixel electrodes in this order over the first insulation layer, and

[0044] holding capacities of the pixels are formed between the pixel electrodes and the reference electrode layer.

[0045] Due to such a constitution, the numerical aperture of the pixels can be enhanced. Since the area of the conductive layers is large, the feeding resistance can be reduced. Further, since the holding capacity is formed by the passivation layer, the gate insulation layer and the first insulation layer which are formed between the pixel electrodes and the reference electrode layer, the holding capacity can be easily controlled. Further, since the organic insulation layer is also formed over the switching elements, the pixel electrodes and the drain lines can overlap each other so that the numerical aperture is further enhanced. When the pixel electrodes and the drain lines overlap each other, it is possible to eliminate light shielding layers between the vicinities of the extension direction of the drain lines and the pixel electrodes so that the numerical aperture is further enhanced.

(12):

[0046] In the constitution (11), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and

overlaps regions where the pixel electrodes are formed.

[0047] Due to such a constitution, the capacity between the gate lines and the reference electrode layers can be reduced so that the increase of holding capacity can be suppressed and the potential can be made stable.

(13):

[0048] In the constitution (11), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0049] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(14):

[0050] In the constitution (11), the first organic insulation layer is formed of color filters.

[0051] Due to such a constitution, the numerical aperture of the pixels is enhanced. Since the area of the conductive layers is large, the feeding resistance can be reduced. Further, since the holding capacity is formed by the passivation layer, the gate insulation layer and the color filter layer which is made of organic material and exhibits small dielectric constant between the pixel electrodes and the reference electrode layer, the increase of parasitic capacity between lines can be suppressed. Still further, since the color filter layer is formed over the first substrate, the tolerance of alignment of the first substrate with the second substrate is increased.

(15):

[0052] In the constitution (14), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

0053] Due to such a constitution, the capacity between the gate lines and the conductive layer can be reduced and the potential can be made stable.

(16):

[0054] In the constitution (14), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0055] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(17):

[0056] In the constitution (11), the first insulation layer is an organic insulation layer.

0057] Due to such a constitution, the electric distance between the reference electrode layer and the electrode forming layer can be increased compared to a case in which the insulation layer is

provided. Further, the parasitic capacity between the reference electrode layer and the gate line as well as the drain line can be reduced.

(18):

[0058] In the constitution (11), the liquid crystal display device includes a light shielding layer which performs light shielding of gaps defined between the vicinities in the extension direction of the drain lines and the pixel electrodes.

[0059] Due to such a constitution, leaking of light can be prevented.

(19):

[0060] In the constitution (11), common electrodes which constitute pixels together with the pixel electrodes are formed on an inner surface of the second substrate.

(20):

[0061] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate, and pixel regions are formed of a plurality of pixel electrodes,

[0062] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0063] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0064] the electrode forming layer includes the gate insulation layer, the passivation layer and the pixel electrode in this order over the first insulation layer and further includes a capacitive electrode layer which is formed over the first insulation layer and is connected to the pixel electrodes, and

[0065] holding capacities of the pixels are formed among the pixel electrodes, the reference electrode layer and the capacitive electrode layer.

[0066] Due to such a constitution, the numerical aperture of the pixels can be enhanced. Since the area of the conductive layers is large, the feeding resistance can be reduced. Further, since the holding capacity can be adjusted by changing the area and size of the capacitive electrode layer, it is possible to realize both of the enhancement of numerical aperture and the assurance of holding capacity. Still further, when the organic insulation layer is formed between the passivation layer and the pixel electrodes, it is possible to make the pixel electrodes and the drain lines overlap each other and hence, the numerical aperture is further enhanced. When the pixel electrodes and the

drain lines overlap each other, it is possible to eliminate light shielding layers between the vicinities of the extension direction of the drain lines and the pixel electrodes so that the numerical aperture is further enhanced.

(21):

[0067] In the constitution (20), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

[0068] Due to such a constitution, the capacity between the gate lines and the reference electrode layer can be reduced and the potential can be made stable.

(22):

[0069] In the constitution (20), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0070] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(23):

[0071] In the constitution (20), the switching elements include source electrodes over the gate insulation layer which are connected to the pixel electrodes via through holes formed in the passivation layer, and the capacitive electrode layer is connected to the source electrodes and is provided to regions of the pixel electrodes.

[0072] Due to such a constitution, the holding capacity can be adjusted by changing the size of the capacitive electrode layer.

(24):

[0073] In the constitution (20), the first insulation layer is formed of color filters.

[0074] Due to such a constitution, the numerical aperture of the pixels can be enhanced. Since the area of the conductive layers is large, the feeding resistance can be reduced. Further, since the color filter layer is formed over the first substrate, the tolerance of alignment of the first substrate with the second substrate can be increased.

(25):

[0075] In the constitution (20), the capacitive electrode layer is formed over the passivation layer, the organic insulation layer is formed over the passivation layer, the pixel electrodes are formed over the organic insulation layer and are connected to the capacitive electrode layer via through holes formed in the organic insulation layer.

[0076] Due to such a constitution, the holding capacity can be adjusted by changing the size of the capacitive electrode layer.

(26):

[0077] In the constitution (20), the capacitive electrode layer is formed over the gate insulation layer, and the pixel electrodes are connected to the capacitive electrode layer via through holes formed in the passivation layer.

[0078] Due to such a constitution, the holding capacity can be adjusted by changing the size of the capacitive electrode layer.

(27):

[0079] In the constitution (20), the capacitive electrode layer is formed over the first insulation layer, and the pixel electrodes penetrate the passivation layer and are connected to the capacitive electrode layer via through holes formed in the gate insulation layer.

[0080] Due to such a constitution, the holding capacity formed between the conductive layer and the pixel electrodes can be adjusted by changing the area that the pixel electrodes penetrate the passivation layer and the gate insulation layer.

(28):

[0081] In the constitution (20), the first insulation layer is formed of an organic insulation layer.

[0082] Due to such a constitution, the electric distance between the reference electrode layer and the electrode forming layer can be increased compared to a case in which the insulation layer is provided. Further, parasitic capacity between the reference electrode layer and the gate lines as well as the drain lines can be reduced.

(29):

[0083] In the constitution (20), the liquid crystal display device includes a light shielding layer which performs light shielding of gaps defined between the vicinities in the extension direction of the drain lines and the pixel electrodes.

[0084] Due to such a constitution, leaking of light can be prevented.

(30):

[0085] In the constitution (20), common electrodes which constitute pixels together with the pixel electrodes are formed on an inner surface of the second substrate.

(31):

[0086] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate, and pixel regions are formed of a plurality of pixel electrodes,

[0087] the improvement is characterized in that between an electrode forming layer which is constituted of the gate lines, the drain lines, the switching elements and the pixel electrodes

including the pixel regions of the first substrate and the first substrate side, a reference electrode layer which is insulated by a first insulation layer with respect to the electrode forming layer is formed,

[0088] wherein having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0089] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0090] the electrode forming layer includes the gate insulation layer, the passivation layer and the pixel electrode in this order over the first insulation layer and further includes a capacitive electrode layer which is connected to the pixel electrodes between the first insulation layer and the passivation layer, and

[0091] holding capacities of the pixels are formed among the pixel electrodes, the reference electrode layer and the capacitive electrode layer.

[0092] Due to such a constitution, the numerical aperture of pixels can be enhanced. Further, since the area of the reference electrode layer is large, the feeding resistance can be reduced. Still further, the holding capacity can be adjusted by changing the area and the shape of the capacitive electrode layer.

(32):

[0093] In the constitution (31), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

[0094] Due to such a constitution, the capacity between the gate lines and the reference electrode layer can be reduced. The increase of parasitic capacity between lines can be suppressed. Further, the potential can be made stable.

(33):

[0095] In the constitution (31), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0096] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(34):

[0097] In the constitution (31), the organic insulation layer is formed of color filters.

[0098] Due to such a constitution, the numerical aperture of the pixels is enhanced. Since the area of the reference electrode layers is large, the feeding resistance can be reduced. Further, since the color filters are formed of organic films, the parasitic capacitance between lines can be reduced.

Still further, since the color filter layer is formed over the first substrate, the tolerance of alignment of the first substrate with the second substrate is increased.

(35):

[0099] In the constitution (31), the first insulation layer is formed of an organic insulation layer.

[0100] Due to such a constitution, the electric distance between the reference electrode layer and the electrode forming layer can be increased compared to a case in which the insulation layer is provided. Further, the parasitic capacity between the reference electrode layer and the gate lines as well as the drain lines can be reduced.

(36):

[0101] In the constitution (31), the liquid crystal display device includes a light shielding layer which performs light shielding of gaps defined between the vicinities in the extension direction of the drain lines and the pixel electrodes.

[0102] Due to such a constitution, leaking of light can be prevented.

(37):

[0103] In the constitution (31), the capacitive electrode layer is formed over the first insulation layer, and the capacitive electrode layer is connected to the reference electrode layer via through holes which penetrate the first insulation layer.

[0104] Due to such a constitution, the holding capacity formed between the reference electrode layer and the pixel electrodes can be adjusted by changing the area of the capacitive electrode layer connected to the reference electrode layer.

(38):

[0105] In the constitution (31), the capacitive electrode layer is formed over the gate insulation layer, and the capacitive electrode layer is connected to the reference electrode layer via through holes which penetrate the gate insulation layer.

[0106] Due to such a constitution, the holding capacity formed between the reference electrode layer and the pixel electrodes can be adjusted by changing the area of the capacitive electrode layer connected to the reference electrode layer.

(39):

[0107] In the constitution (31), the capacitive electrode layer is formed over the passivation layer, and the capacitive electrode layer is connected to the reference electrode layer via through holes which penetrate the passivation layer, the gate insulation layer and the first insulation layer.

[0108] Due to such a constitution, the holding capacity formed between the reference electrode layer and the pixel electrodes can be adjusted by changing the area of the capacitive electrode layer connected to the reference electrode layer.

(40):

[0109] In the constitution (31), the capacitive electrode layer is formed over the gate insulation layer, a second capacitive electrode layer is formed over the first insulation layer, the pixel

electrode is connected to the capacitive electrode layer via through holes formed in the passivation layer, and the second capacitive electrode layer is connected to the reference electrode layer via through holes formed in the first insulation layer.

[0110] Due to such a constitution, the holding capacity can be easily adjusted by changing the areas of the capacitive electrode layer and the second capacitive electrode layer. Further, the holding capacity can be further increased.

(41):

[0111] In the constitution (31), the first insulation layer is formed of an organic insulation layer.

[0112] Due to such a constitution, the electric distance between the reference electrode layer and the electrode forming layer can be increased compared to a case that the insulation layer is provided. Further, the parasitic capacity among the reference electrode layer, the gate lines and the drain lines can be reduced.

(42):

[0113] In the constitution (31), the liquid crystal display device includes a light shielding layer which performs light shielding of gaps defined between the vicinities in the extension direction of the drain lines and the pixel electrodes.

[0114] Due to such a constitution, leaking of light can be prevented.

(43):

[0115] In the constitution (31), common electrodes which constitute pixels together with the pixel electrodes are formed on an inner surface of the second substrate.

(44):

[0116] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, pixel electrodes which are driven by the switching elements, and counter electrodes which generate an electric field for driving pixels between the pixel electrodes and the counter electrodes are formed on an inner surface of the first substrate,

[0117] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0118] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0119] holding capacities of the pixels are formed among the pixel electrodes and the reference electrode layer.

[0120] Due to such a constitution, the liquid crystal display device can achieve both of the large

numerical aperture and the large holding capacity. Further, since it is unnecessary to increase the areas of the pixel electrodes and the lines for forming the holding capacity, the numerical aperture is enhanced. Still further, since the area of the reference electrode layer is large, the feeding resistance can be reduced.

(45):

[0121] In the constitution (44), the counter electrodes are formed over the organic insulation layer, and the counter electrodes are connected to the reference electrode layer via through holes formed in the first insulation layer.

[0122] Due to such a constitution, the area of the reference electrode layer can be increased and hence, the feeding resistance to the counter electrodes can be reduced.

(46):

[0123] In the constitution (44), the counter electrodes are formed over the gate insulation layer, and the counter electrodes are connected to the reference electrode layer via through holes formed in the gate insulation layer and the first insulation layer.

[0124] Due to such a constitution, the area of the reference electrode layer can be increased and hence, the feeding resistance can be reduced.

(47):

[0125] In the constitution (44), the counter electrodes are formed over the passivation layer, and the counter electrodes are connected to the reference electrode layer via through holes formed in the passivation layer, the gate insulation layer and the first insulation layer.

[0126] Due to such a constitution, the area of the reference electrode layer can be increased and hence, the feeding resistance can be reduced.

(48):

[0127] In the constitution (44), the reference electrode layer is arranged in the extension direction of the gate lines such that the reference electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

[0128] Due to such a constitution, the parasitic capacity formed between the gate lines and the reference electrode layer can be reduced and the potential can be made stable.

(49):

[0129] In the constitution (44), the reference electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0130] Due to such a constitution, the reference electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(50):

[0131] In the constitution (44), the counter electrodes are formed over the first insulation layer,

the counter electrodes extend to the neighboring pixel regions by crossing the drain lines and are connected to the reference electrode layers of the neighboring pixel regions via through holes formed in the first insulation layer.

[0132] Due to such a constitution, even when the through holes are formed insufficiently, the feeding of electricity is performed through the counter electrodes from the neighboring pixel sides. Further, when the through holes which connect each counter electrode and each reference electrode are formed in a plural number for every pixel, the reliability of connection between the electrode layers can be enhanced.

(51):

[0133] In the constitution (44), the counter electrodes are formed over an organic insulation layer, conductive layers which extend to the neighboring pixel regions by crossing the drain lines are formed over the gate insulation layer, the counter electrodes are connected to the conductive layers via through holes formed in the gate insulation layer, and the conductive layers are connected to the reference electrode layer via through holes formed in the first insulation layer.

[0134] Due to such a constitution, even when the through holes are formed insufficiently, the feeding of electricity is performed through the conductive layers from the neighboring pixel sides. Further, when the through holes which connect each counter electrode and each reference electrode are formed in a plural number for every pixel, the reliability of connection between the electrode layers can be enhanced.

(52):

[0135] In the constitution (44), the counter electrodes are formed over a passivation layer, conductive layers which extend to the neighboring pixel regions by crossing the drain lines are formed over the gate insulation layer, the counter electrodes are connected to the conductive layers via through holes formed in the passivation layer and the gate insulation layer, and the conductive layers are connected to the reference electrode layer via through holes formed in the first insulation layer.

[0136] Due to such a constitution, even when the through holes are formed insufficiently, the feeding of electricity is performed through the conductive layers from the neighboring pixel sides. Further, when the through holes which connect each counter electrode and each reference electrode are formed in a plural number for every pixel, the reliability of connection between the electrode layers can be enhanced.

(53):

[0137] In the constitution (44), a color filter layer is formed between the reference electrode which is formed below the first insulation layer and the first substrate.

[0138] Due to such a constitution, it is possible to isolate the color filter layer from the liquid crystal layer with the use of the reference electrodes and hence, it is possible to prevent the liquid crystal from being contaminated by constituent materials of the color filter layer.

(54):

[0139] In the constitution (44), the counter electrodes are formed over the first insulation layer parallel to the extension direction of the gate lines, the counter electrodes extends over the pixel region, and the counter electrodes are connected to the reference electrodes in respective pixel regions via through holes formed in the first insulation layer.

[0140] Due to such a constitution, holding capacity is formed at portions where the counter electrodes and the pixel electrodes overlap each other, and the gate insulation layer functions as a dielectric of the holding capacity and hence, the constitution is suitable for increasing the holding capacity.

(55):

[0141] In the constitution (44), the counter electrodes are connected to the reference electrodes in respective pixel regions via through holes formed in the first insulation layer and the gate insulation layer in a penetrating manner, and holding capacities are formed at overlapping portions of the counter electrodes and the pixel electrodes.

(56):

[0142] In the constitution (44), the pixel electrodes are formed over the gate insulation layer, the counter electrodes are formed below the gate insulation layers, the counter electrodes are connected to the reference electrodes via through holes formed in the first insulation layer, and holding capacities are formed by the counter electrodes and the pixel electrodes.

(57):

[0143] In the constitution (44), the pixel electrodes and the counter electrodes are formed on the same layer.

(58):

[0144] In the constitution (44), the counter electrodes are formed over the pixel electrodes and are connected to the reference electrodes by way of through holes formed in a gate insulation film and a first insulation film.

(59):

[0145] In the constitution (44), the first insulation layer is formed of an organic insulation layer. (60):

[0146] In a liquid crystal display device in which liquid crystal is sandwiched between a first substrate and a second substrate which face each other in an opposed manner, and at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate,

[0147] having an electrode forming layer which include the gate lines, the drain lines, the switching

elements and the pixel electrodes,

[0148] having a counter electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and the reference electrode layer overlapped substantially all region of the pixel electrode and function as a counter electrode, and

[0149] holding capacities of the pixels are formed between the pixel electrodes and the counter electrode layer.

[0150] Due to such a constitution, the feeding resistance with respect to the holding capacity can be largely reduced so that the image quality is enhanced. Further, it is possible to realize both of the enhancement of numerical aperture and the assurance of holding capacity.

(61):

[0151] In the constitution (60), the counter electrode layer is arranged in the extension direction of the gate lines such that the counter electrode layer is arranged parallel to the gate lines and overlaps regions where the pixel electrodes are formed.

[0152] Due to such a constitution, an independent reference electrode layer is unnecessary, the parasitic capacity between the gate lines and the conductive layers can be reduced, and the potential can be made stable.

(62):

[0153] In the constitution (60), the counter electrode layer is provided to a region of the first substrate which includes regions in which the gate lines, the drain lines and the pixel electrodes are formed.

[0154] Due to such a constitution, the counter electrode layer forms a so-called matted electrode so that the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

(63):

[0155] In the constitution (60), the whole or a portion of the layer constitution of insulation layers below the pixel electrodes and the whole or a portion of the region are removed.

[0156] Due to such a constitution, the strength of electric field generated between the pixel electrodes and the counter electrodes is increased so that the driving voltage can be reduced.

(64)

[0157] In the constitution (60), over the counter electrode layer, connection lines which are arranged parallel to the extension direction of the gate lines and are connected to counter electrodes which are disposed close to the counter electrode are formed.

(65):

[0158] In the constitution (60), below the counter electrode layer, connection lines which are arranged parallel to the extension direction of the gate lines and are connected to counter electrodes which are disposed close to the counter electrode are formed.

[0159] Due to the above-mentioned constitution (64) or (65), even when the through holes are formed insufficiently, the feeding of electricity is performed through the connection lines from the neighboring pixel sides. Further, when the through holes which connect each counter electrode with each reference electrode are formed in a plural number for every pixel, the reliability of connection of the electrode layers can be enhanced.

(66):

[0160] In the constitution (60), the first insulation layer is removed at portions of the pixel regions.

0161] Due to such a constitution, a plurality of regions which differ in driving voltage can be formed in the pixel region so that the multi-domain effect can be obtained.

(67):

[0162] In the constitution (60), a color filter layer is formed between the reference electrodes which are arranged below the first insulation layer and the first substrate.

(68):

[0163] In the constitution (60), the first insulation layer is formed of an organic insulation layer.

[0164] In the constitution (68), the organic insulation layer is formed of color filters.

(70):

[0165] In a liquid crystal display device, liquid crystal sandwiched between a first substrate and a second substrate which face each other in an opposed manner;

[0166] a plurality of gate lines which extend in the first direction and are arranged parallel to each other;

0167] a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other;

[0168] a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines;

[0169] pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate,

[0170] counter electrodes which generate an electric field for driving pixels between the pixel electrodes formed on an inner surface of the first;

[0171] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0172] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer,

[0173] the electrode forming layer is formed by laminating the gate insulation layer, the passivation layer, an organic insulation layer and counter electrodes in this order over the first insulation layer,

[0174] the counter electrode layer is shared by a pixel region which is arranged close to the pixel region in the extension direction of the gate lines and a pixel region which is arranged close to the pixel region in the extension direction of the drain lines,

[0175] the counter electrode layer is connected to the reference electrode layer via through holes which electrically penetrate the organic insulation layer, the passivation layer, the gate insulation layer and the first insulation layer, and

[0176] holding capacities of the pixels are formed between the pixel electrodes and the reference electrode layer.

(71):

[0177] In the constitution (70), the liquid crystal display device includes a capacitive electrode layer which is disposed below the pixel electrodes and is formed between the first insulation layer and the gate insulation layer, and the capacitive electrode layer is connected to the reference electrode layer via through holes.

[0178] Due to such a constitution, the holding capacity can be increased and adjusted by the capacitive electrode layer.

(72):

[0179] In the constitution (70), removing regions are formed in the first insulation layer disposed below the pixel electrodes.

[0180] Due to such a constitution, the holding capacity formed between the pixel electrode and the reference electrode layer can be increased.

(73):

[0181] In the constitution (70), the first insulation layer is formed of an organic insulation layer. (74):

[0182] In the constitution (73), the organic insulation layer is formed of color filters.

(75)

[0183] In an image display device in which at least a plurality of gate lines which extend in the first direction and are arranged parallel to each other, a plurality of drain lines which extend in the second direction which crosses the gate lines and are arranged parallel to each other, a plurality of switching elements which are arranged at crossing portions of the gate lines and the drain lines, and pixel electrodes which are driven by the switching elements are formed on an inner surface of the first substrate,

[0184] having an electrode forming layer which include the gate lines, the drain lines, the switching elements and the pixel electrodes,

[0185] having a reference electrode layer arranged between the first substrate and the electrode forming layer with first insulation layer between the reference electrode layer and the electrode forming layer, and

[0186] the reference electrode layer is substantially formed over the whole surface of the pixel

regions and shared by a plurality of pixels.

(76):

[0187] In the constitution (75), a semiconductor layer which constitutes the switching element has crystalline property.

(77):

[0188] An image display device being characterized in that a reference electrode layer formed between a substrate and a semiconductor having crystalline property, and having insulation layer between the reference electrode layer and the semiconductor, and the reference electrode layer is formed over substantially the whole surface of a pixel region and is shared by a plurality of pixels. (78):

[0189] In the constitution (77), the reference electrode layer is formed of a transparent electrode. (79):

[0190] A manufacturing method of an image display device comprises at least a first step in which a reference electrode layer which is shared by a plurality of pixels is formed on a substantially whole surface of a pixel region on a substrate, a second step in which an insulation layer is formed, and a third step in which a semiconductor layer is formed in this order, and thereafter, further comprise a fourth step in which laser beams are irradiated to the semiconductor layer.

(80):

[0191] A manufacturing method of an image display device comprises at least a first step in which a reference electrode layer which is shared by a plurality of pixels is formed on a substantially whole surface of a pixel region on a substrate, a second step in which an insulation layer is formed, and a third step in which a semiconductor layer is formed in this order, and thereafter, further comprises a fourth step in which ions are implanted into the semiconductor layer.

[0192] The present invention is not limited to the above-mentioned respective constitutions and the constitutions of embodiments which will be explained later and various modifications are considered without departing from the technical concept of the present invention.

### BRIEF DESCRIPTION OF THE DRAWINGS

[0193] FIG. 1 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of one embodiment of the present invention.

[0194] FIG. 2 is a cross-sectional view taken along a line I-I in FIG. 1.

[0195] FIG. 3 is a cross-sectional view taken along a line II-II in FIG. 1.

[0196] FIG. 4 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of another embodiment of the present invention.

[0197] FIG. 5 is a cross-sectional view taken along a line I-I in FIG. 4.

[0198] FIG. 6 is a cross-sectional view taken along a line II-II in FIG. 4.

[0199] FIG. 7 is a plan view of the vicinity of one pixel of a liquid crystal display device for

schematically explaining the pixel constitution of still another embodiment of the present invention. [0200] FIG. 8 is a cross-sectional view taken along a line I-I in FIG. 7.

[0201] FIG. 9 is a cross-sectional view taken along a line I-I in FIG. 8 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0202] FIG. 10 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0203] FIG. 11 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0204] FIG. 12 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0205] FIG. 13 is a cross-sectional view taken along a line I-I in FIG. 12.

[0206] FIG. 14 is across-sectional view taken along a line II-II in FIG. 12.

[0207] FIG. 15 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0208] FIG. 16 is a cross-sectional view taken along a line I-I in FIG. 15.

[0209] FIG. 17 is across-sectional view taken along a line II-II in FIG. 15.

[0210] FIG. 18 is a cross-sectional view taken along a line I-I in FIG. 15 of a liquid crystal display device for schematically explaining the pixel constitution of another embodiment of the present invention.

[0211] FIG. 19 is across-sectional view taken along a line II-II in FIG. 18.

[0212] FIG. 20 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0213] FIG. 21 is a cross-sectional view taken along a line III-III in FIG. 20.

[0214] FIG. 22 is a cross-sectional view taken along the line III-III in FIG. 20 for schematically explaining the pixel constitution of another embodiment of the present invention.

[0215] FIG. 23 is a cross-sectional view taken along the line III-III in FIG. 20 for schematically explaining the pixel constitution of another embodiment of the present invention.

[0216] FIG. 24 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0217] FIG. 25 is a cross-sectional view taken along a line III-III in FIG. 24.

[0218] FIG. 26 is a cross-sectional view taken along a line III-III in FIG. 24 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0219] FIG. 27 is a cross-sectional view taken along a line III-III in FIG. 24 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0220] FIG. 28 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0221] FIG. 29 is a cross-sectional view taken along a line III-III in FIG. 28.

[0222] FIG. 30 is a cross-sectional view taken along a line III-III in FIG. 28 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0223] FIG. 31 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0224] FIG. 32 is a cross-sectional view taken along a line III-III in FIG. 31.

[0225] FIG. 33 is a cross-sectional view taken along a line III-III in FIG. 31 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0226] FIG. 34 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0227] FIG. 35 is a cross-sectional view taken along a line III-III in FIG. 34.

[0228] FIG. 36 is a cross-sectional view taken along a line III-III in FIG. 34 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0229] FIG. 37 is a cross-sectional view taken along a line III-III in FIG. 34 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0230] FIG. 38 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0231] FIG. 39 is a cross-sectional view taken along a line III-III in FIG. 38.

[0232] FIG. 40 is a cross-sectional view taken along a line III-III in FIG. 38 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0233] FIG. 41 is a cross-sectional view taken along a line III-III in FIG. 38 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0234] FIG. 42 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0235] FIG. 43 is a cross-sectional view taken along a line III-III in FIG. 38.

[0236] FIG. 44 is a cross-sectional view taken along a line III-III in FIG. 42 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0237] FIG. 45 is a plan view of a through hole and a metal light shielding film in still another

embodiment of the present invention.

[0238] FIGs. 46A – 46D are cross-sectional views of an essential part for explaining still another embodiment of the present invention.

[0239] FIG. 47 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0240] FIG. 48 is a cross-sectional view taken along a line III-III in FIG. 47.

[0241] FIG. 49 is a cross-sectional view taken along a line IV-IV in FIG. 47 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0242] FIG. 50 is a cross-sectional view taken along a line IV-IV in FIG. 47 of the vicinity of one pixel of the liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0243] FIG. 51 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0244] FIG. 52 is a cross-sectional view taken along a line V-V in FIG. 51.

[0245] FIG. 53 is a cross-sectional view taken along a line V-V in FIG. 51 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0246] FIG. 54 is a cross-sectional view taken along a line V-V in FIG. 51 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0247] FIG. 55 is a cross-sectional view taken along a line V-V in FIG. 51 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0248] FIG. 56 is a plan view of the vicinity of one pixel of a liquid crystal display device for explaining a modification of the embodiments shown in FIG. 47 to FIG. 55.

[0249] FIG. 57 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0250] FIG. 58 is a cross-sectional view taken along a line VI-VI in FIG. 57.

[0251] FIG. 59 is a cross-sectional view taken along a line VI-VI in FIG. 57 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0252] FIG. 60 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention. [0253] FIG. 61 is a cross-sectional view taken along a line VII-VII in FIG. 60.

[0254] FIG. 62 is a cross-sectional view taken along a line VIII-VIII in FIG. 60.

[0255] FIG. 63 is a cross-sectional view taken along a line VII-VII in FIG. 60 showing the vicinity

of one pixel of a liquid crystal display device for schematically explaining the pixel constitution of still another embodiment of the present invention.

[0256] FIG. 64 is a plan view of a portion of a thin film transistor TFT of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0257] FIG. 65 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0258] FIG. 66 is a cross sectional view taken along a line IX-IX in FIG. 65.

[0259] FIG. 67 is a cross-sectional view taken along a line IX-IX in FIG. 65 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0260] FIG. 68 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0261] FIG. 69 is a cross sectional view taken along a line X-X in FIG. 68.

[0262] FIG. 70 is a cross-sectional view taken along a line X-X in FIG. 68 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0263] FIG. 71 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0264] FIG. 72 is a cross sectional view taken along a line XI-XI in FIG. 71.

[0265] FIG. 73 is a cross-sectional view taken along a line XI-XI in FIG. 68 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0266] FIG. 74 is a plan view of the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0267] FIG. 75 is a cross sectional view taken along a line XII-XII in FIG. 74.

[0268] FIG. 76 is across-sectional view taken along a line XII-XII in FIG. 74 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0269] FIG. 77 is a cross-sectional view taken along a line XII-XII in FIG. 74 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0270] FIG. 78 is a cross-sectional view taken along a line XII-XII in FIG. 74 showing the vicinity

of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0271] FIG. 79 is a cross-sectional view taken along a line XII-XII in FIG. 74 showing the vicinity of one pixel of a liquid crystal display device for schematically explaining an essential part of the pixel constitution of still another embodiment of the present invention.

[0272] FIG. 80 is an explanatory view for explaining the constitution of a substrate of the liquid crystal display device of the present invention.

[0273] FIG. 81 is an explanatory view showing a state in which a tape carrier package on which a driving circuit is formed is mounted on the first substrate at a terminal region.

[0274] FIG. 82 is an explanatory view showing a state in which a driving circuit chip is directly mounted on the first substrate at the terminal region.

[0275] FIG. 83 is an explanatory view of an example of layout of a liquid crystal filling port for allowing liquid crystal to be filled and sealed between two substrates.

[0276] FIG. 84 is a schematic cross-sectional view of the liquid crystal display device of the present invention.

[0277] FIGs. 85A - 85C are plan views for schematically explaining a terminal region of a gate driving circuit mounted in a tape carrier package method

[0278] FIGs. 86A - 86B are plan views for schematically explaining a terminal region on which a driving circuit chip is mounted in a FCA method.

[0279] FIGs. 87A – 87B are plan views for schematically explaining a terminal region when a method in which electricity is supplied to a flexible printed circuit board or the like from a reference potential generation circuit disposed in a control circuit of the liquid crystal display device is adopted.

[0280] FIG. 88 is a schematic plan view of the liquid crystal display device for explaining a first example in which a feeding terminal to a reference electrode is formed.

[0281] FIG. 89 is a schematic plan view of the liquid crystal display device for explaining a second example in which feeding terminal to a reference electrode is formed.

[0282] FIG. 90 is a cross-sectional view of an essential part showing a portion A of FIG. 89 in an enlarged manner.

[0283] FIG. 91 is a schematic cross-sectional view of the liquid crystal display device for explaining a third example in which a feeding terminal to a reference electrode is formed.

[0284] FIG. 92 is a cross-sectional view of an essential part showing the feeding terminal portion of FIG. 91 in an enlarged manner.

[0285] FIG. 93 is a schematic cross-sectional view of the liquid crystal display device for explaining a fourth example in which a feeding terminal to a reference electrode is formed.

[0286] FIG. 94 is a schematic cross-sectional view of a liquid crystal display device for explaining a constitutional example of an outer periphery of an effective display region when an organic

insulation layer formed on the first substrate is constituted of color filters.

[0287] FIG. 95 is a schematic cross-sectional view of a liquid crystal display device for explaining another constitutional example of the outer periphery of the effective display region when the organic insulation layer formed on the first substrate is constituted of color filters.

[0288] FIG. 96 is a schematic cross-sectional view of a liquid crystal display device for explaining a constitutional example in which color filters are formed on all of a seal, an outer peripheral portion thereof and an effective display region.

[0289] FIGs. 97A – 97B are explanatory views of an alignment method when driving circuits are mounted on various lead terminals and feeding terminals which are formed on the first substrate.

[0290] FIG. 98 is a schematic cross-sectional view of a liquid crystal display device which is configured to prevent electrolytic corrosion of a reference electrode layer formed on the first substrate.

[0291] FIG. 99 is a schematic plan view for explaining an example in which color filters are formed when an organic insulation layer which is formed on the first substrate is constituted of the color filters.

[0292] FIG. 100 is a schematic plan view for explaining a constitutional example when an organic insulation layer which is formed on the first substrate is constituted of color filters.

[0293] FIG. 101 is a schematic plan view for explaining another constitutional example when an organic insulation layer which is formed on the first substrate is constituted of color filters.

[0294] FIG. 102 is a schematic plan view for explaining still another constitutional example when an organic insulation layer which is formed on the first substrate is constituted of color filters.

[0295] FIG. 103 is a schematic cross-sectional view showing an example of arrangement when the liquid crystal display device of the present invention is used as a transmission-type display module.

[0296] FIG. 104 is a schematic cross-sectional view for explaining another example of arrangement when the liquid crystal display device of the present invention is used as transmission-type display module.

[0297] FIG. 105 is a schematic cross-sectional view for explaining an example of arrangement when the liquid crystal display device of the present invention is used as a reflection-type display module.

[0298] FIG. 106 is a schematic cross-sectional view for explaining another example of arrangement when the liquid crystal display device of the present invention is used as a reflection-type display module.

[0299] FIG. 107 is a schematic cross-sectional view for explaining still another example of arrangement when the liquid crystal display device of the present invention is used as a reflection-type display module.

[0300] FIG. 108 is a schematic cross-sectional view for explaining still another example of arrangement when the liquid crystal display device of the present invention is used as a reflection-

type display module.

[0301] FIG. 109 is a schematic cross-sectional view for explaining still another example of arrangement when the liquid crystal display device of the present invention is used as a reflection-type display module.

[0302] FIG. 110 is a schematic cross-sectional view for explaining an example of arrangement when the liquid crystal display device of the present invention is used as a transmission/reflection-type display module.

[0303] FIG. 111 is a schematic cross-sectional view for explaining another example of arrangement when the liquid crystal display device of the present invention is used as a transmission/reflection-type display module.

## EXPLANATION OF SYMBOLS

[0304] SUB1 . . . first substrate, SUB2 . . . second substrate, CF . . . color filter, BM . . . black matrix, CT . . . common electrode (or counter electrode), LC . . . liquid crystal (or liquid crystal layer), AL . . . orientation film, PAS . . . passivation layer, O-PAS(O-PAS1, O-PAS2) . . . organic insulation layer (first organic insulation layer, second organic insulation layer), SD1 . . . source electrode, SD2 . . . drain electrode, DL . . . drain line (or drain line layer), GL . . . gate line (or gate line layer), GI . . . gate insulation layer, SM . . . metal shield, ST . . . reference electrode (or reference electrode layer), PX . . . pixel electrode (or pixel electrode layer), TH (TH1, TH2) . . . through hole, AS . . . semiconductor layer, Cstg . . holding capacity or a storage capacity, NGI . . . gate insulation layer removed region, OC . . . overcoat layer, TED . . . second reference electrode, ML . . . metal layer, XP . . . organic insulation layer removed region, CT/ST . . . counter/reference electrode layer, BL . . . backlight, FL . . . front light

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0305] Preferred embodiments of the present invention are explained in detail hereinafter in conjunction with drawings which show the embodiments.

[0306] FIG. 1 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the first embodiment of the present invention. In the drawings, reference symbol PX indicates a pixel electrode, DL indicates drain lines (video signal lines or data lines), GL indicates gate lines (scanning lines), SM indicates a light shielding film (metal shield) which performs light shielding between the pixel electrode and the drain line, ST indicates a reference electrode layer (also referred to as a conductive layer), SD1 indicates a source electrode, SD2 indicates a drain electrode, AS indicates a semiconductor layer, and TH indicates a through hole. Here, the above-mentioned gate lines, drain lines and respective electrodes are referred to as electrode layers when they are explained in conjunction with cross sections.

[0307] FIG. 2 is a cross-sectional view taken along a line I-I in FIG. 1 and FIG. 3 is also a cross-sectional view taken along a line II-II in FIG. 1. Reference symbol SUB1 indicates a first substrate, ST indicates a reference electrode layer, O-PAS indicates an organic insulation layer, PAS indicates a passivation layer, AL indicates orientation films, CT indicates a common electrode, CF indicates color filters, BM indicates a black matrix, and SUB2 indicates a second substrate.

[0308] In FIG. 1 to FIG. 3, in the liquid crystal display device, liquid crystal (also referred to as a liquid crystal layer hereinafter) LC is filled in a gap defined between the first substrate SUB1 and the second substrate SUB2 which face each other in an opposed manner. On an inner surface of the first substrate SUB1, a plurality of gate lines GL which extend in the first direction and are arranged parallel to each other and a plurality of drain lines GL which extend in the second direction crossing the gate lines and are arranged parallel to each other are formed.

[0309] Thin film transistors TFT which constitute switching elements are provided to crossing portions of the gate lines GL and drain lines DL. Each thin film transistor TFT is constituted of the gate electrode which is formed of the gate line GL, the drain electrode SD2 which extends from the drain line DL, the semiconductor layer AS and the source electrode SD1. In the embodiment described hereinafter, the explanation of the thin film transistor TFT is omitted.

[0310] The source electrode SD1 of the thin film transistor TFT is connected to the pixel electrode layer PX via the through hole TH. The pixel electrode layer PX is formed on the substantially whole portion of a pixel region thus constituting a display region of the liquid crystal display device. A multi-layered portion which forms the gate line GL, the drain line DL, the thin film transistor TFT and the pixel electrode PX including the pixel regions of the first substrate SUB1 is referred to as an electrode forming layer. Between this electrode forming layer and the first substrate side SUB1, the reference electrode layer ST which insulates the electrode forming layer with the organic insulation layer O-PAS is provided.

[0311] Here, including respective embodiments which will be explained later, an inorganic insulation layer may be used in place of the organic insulation layer as O-PAS. By adopting the organic insulation layer, the parasitic capacity between the reference electrode layer and the gate line GL as well as the drain line DL can be further reduced.

[0312] With respect to the above-mentioned electrode forming layer, above the organic insulation layer O-PAS, the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin film transistor TFT, the passivation layer PAS and the pixel electrode layer PX are formed in this order. Then, a holding capacity of the pixel (so-called Cstg) is formed between the pixel electrode layer PX and the reference electrode layer ST. That is, the holding capacity is formed between the pixel electrode layer PX and the reference electrode layer ST using the passivation layer PAS, the gate insulation layer GI and the organic insulation layer O-PAS as dielectrics. The reference electrode layer ST is formed over a wide area such that the reference electrode layer ST covers the whole pixel region.

[0313] As material of the organic insulation layer O-PAS, polysilazane can be used, for example. This material is coated using a SOG (Spin-On-Glass) method. The organic film material having low dielectric constant is effective for reducing the parasitic capacitance between lines. For example, various organic material such as, polyimide, polyamide, polyimide amide, acrylic resin, polyacrylic resin and benzocyclobutene can be used. Further, since it is necessary to make the transmission-type liquid crystal display device have the sufficient light transmitting characteristics, it is desirable to increase the light transmissivity. It is effective to utilize existing material layers to effectively enhance the light transmissivity. That is, when the color filter layers are utilized as the above-mentioned organic insulation layer, the light transmissivity is hardly impeded. To reduce the formation process of the organic insulation layer, it is desirable that the layer material has photosensitivity. The same goes for respective embodiments described later with respect to this point.

[0314] This is because that with the constitution which forms the through hole below the gate insulation layer, the number of photolithography processes can be reduced. Further, when the through hole is formed in the organic insulation layer at a position equal to the position of the through hole formed in the gate insulation layer, at the time of forming the thorough hole from the gate insulation layer or the insulation layer arranged above the gate insulation layer, patterning or collective forming which uses the upper insulation layer as a mask can be adopted and hence, it is not always necessary to make the layer material photosensitive. However, products of various constitutions are usually manufactured using the same process and the same material and hence, to produce a large kinds of products using the same manufacturing line, it is desirable to use material having photosensitivity. The same goes for respective embodiments which will be explained later with respect to this point as well.

[0315] Further, the film thickness of the organic insulation layer O-PAS can be easily set for each constitution by performing simulation based on disclosed contents of embodiments described later by those who are skilled in the art. That is, the film thickness can be calculated based on characteristics curves obtained from values on the planar structure or the cross-sectional structure of the substrates, the dielectric constant of the organic insulation layer and the like. By utilizing the calculated film thickness, the actual film thickness can be set by selecting the film thickness for each product or the range corresponding to the design concept with respect to the wiring resistance, the performance of peripheral driving circuits, using liquid crystal material, the target quality and the like. The same goes for respective embodiment which will be explained later.

[0316] Due to such a constitution, the feeding resistance with respect to the holding capacity is largely reduced so that it is possible to obtain the liquid crystal display device which satisfies both of the enhancement of the numerical aperture of the pixel and the assurance of the holding capacity. Since it is unnecessary to provide the feeding line to the pixel region, the numerical aperture of the pixel can be enhanced. Further, storage capacities can be formed by the passivation layer formed

between the pixel electrode and the reference electrode layer, the gate insulation layer and the organic insulation layer which exhibits the small dielectric constant. Compared to a case in which only the organic insulation layer is formed, the distance from the liquid crystal layer to the reference electrode layer can be largely increased and hence, the influence of the electric field of the reference electrode layer to the electric field for driving the liquid crystal can be reduced.

[0317] Further, in this embodiment, the reference electrode layer ST may be configured such that the reference electrode layer ST extends parallel to the extending direction of the gate line GL and is overlapped to the region where the pixel electrode is formed. Due to such a constitution, the capacity between the gate line GL and the reference electrode layer can be reduced so that the increase of the parasitic capacity is suppressed and the potential can be stabilized.

[0318] FIG. 4 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the second embodiment of the present invention, FIG. 5 is a cross-sectional view taken along a line I-I in FIG. 4, and FIG. 6 is a cross-sectional view taken along a line II-II in FIG. 4. Reference symbols in the drawings which are equal to those of the previous embodiment indicate identical functioning portions.

[0319] In this embodiment, the reference electrode layer ST has a region which includes regions where the gate line layer GL, the drain line DL and the pixel electrode layer PX of the first substrate SUB1 are formed. The holding capacity is formed between the pixel electrode layer PX and the reference electrode layer ST. According to this embodiment, since the reference electrode layer ST is formed below the gate line layer GL, it is desirable to set the thickness of the organic insulation layer O-PAS to equal to or more than 1  $\mu$ m, for example, by taking the parasitic capacity of both electrode layers into account.

[0320] Due to such a constitution, in addition to advantageous effects similar to those of the first embodiment, since the reference electrode layer ST is formed of a so-called matted electrode, the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

[0321] FIG. 7 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the third embodiment of the present invention and FIG. 8 is a cross-sectional view taken along a line I-I in FIG. 7. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. With respect to the pixel forming layer, over the organic insulation layer O-PAS, the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin film transistor TFT, the passivation layer PAS and the pixel electrode PX are formed in this order. The whole or a portion of the pixel electrode PX in the pixel region penetrates the passivation layer PAS and is brought into contact with the gate insulation layer GI.

[0322] Due to such a constitution of this embodiment, in addition to the advantageous effects obtained by respective embodiments, the storage capacity formed between the reference electrode

layer ST and the pixel electrode PX can be adjusted by the area of the pixel electrode PX which penetrates the passivation layer PAS.

[0323] FIG. 9 is a cross-sectional view taken along a line I-I in FIG. 8 of the vicinity of a pixel in a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the fourth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions.

[0324] With respect to the pixel forming layer, over the organic insulation layer O-PAS, the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin film transistor TFT, the passivation layer PAS and the pixel electrode PX are formed in this order. The whole or a portion of the pixel electrode PX in the pixel region penetrates the passivation layer PAS and the gate insulation layer GL and is brought into contact with the organic insulation layer O-PAS.

[0325] Due to such a constitution of this embodiment, the storage capacity formed between the reference electrode layer ST and the pixel electrode PX can be adjusted by the area of the pixel electrode PX which penetrates the passivation layer PAS and the gate insulation layer GI.

[0326] FIG. 10 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the fifth embodiment of the present invention. This embodiment is a modification of the above-mentioned fourth embodiment, wherein the gate insulation layer GI is eliminated at a portion within the region of the pixel electrode PX. Advantageous effects obtained by this embodiment is similar to those obtained by the fourth embodiment. Further, due to devoid of the gate insulation layer GI, the transmissivity is enhanced.

[0327] FIG. 11 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the sixth embodiment of the present invention. In this embodiment, the thin film transistor TFT includes the source electrode SD1 over the gate insulation layer GI, wherein the source electrode SD1 is connected to the pixel electrode PX via the through hole TH formed in the passivation layer PAS and a portion of the source electrode SD1 is expanded to the inside of the region of the pixel electrode PX. It is preferable to expand the source electrode SD1 as an extension portion SD1E which extends along the gate line GL or the drain line DL.

[0328] Due to the constitution of this embodiment, in addition to the advantageous effects obtained by respective embodiments, it is possible to adjust the storage capacity by changing the length or the width of the extension portion SD1E of the source electrode SD1, that is, by changing the area of the source electrode SD1 which overlaps the pixel electrode PX.

[0329] FIG. 12 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the seventh embodiment of the present invention, FIG. 13 is a cross-sectional view taken along a line I-I in FIG. 12, and FIG. 14 is a cross-sectional view taken along a line II-II in FIG. 12. Reference symbols in the drawings

which are equal to those of the previous embodiments indicate identical functioning portions.

[0330] With respect to the liquid crystal display device of this embodiment, between the electrode forming layer which is constituted of the gate line layer GL, the drain line layer DL, the thin film transistor TFT and the pixel electrode PX including the pixel regions of the first substrate SUB1 and the first substrate SUB1 side, the reference electrode layer ST which is insulated by the first organic insulation layer O-PAS1 with respect to the electrode forming layer is provided. The pixel forming layer is configured such that the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin film transistor TFT, the passivation layer PAS, the second organic insulation layer O-PAS1 in this order. The holding capacity of the pixel is formed between the pixel electrode PX and the reference electrode layer ST.

[0331] Due to such a constitution of this embodiment, the numerical aperture of the pixel is enhanced and the feeding resistance can be reduced because of the large area of the conductive layer. Further, when the organic insulation layer is also formed over the switching element, it is possible to overlap the pixel electrode and the drain line to each other so that the numerical aperture is further enhanced. When the pixel electrode and the drain line overlap each other, it is possible to eliminate a light shielding layer between the vicinity in the extension direction of the drain line and the pixel electrode so that the numerical aperture is still further enhanced.

[0332] Further, the above-mentioned reference electrode layer ST is formed in the extension direction of the gate line layer GL such that the reference electrode layer ST is arranged parallel to the gate line layer GL and overlaps the region where the pixel electrode layer PX is formed. Accordingly, the parasitic capacity between the gate line layer and the conductive layer is reduced and the potential can be made stable.

[0333] Still further, the reference electrode layer is provided to the region of the above-mentioned first substrate SUB1 which includes the region where the gate line layer GL, the drain line layer DL and the pixel electrode layer PX are formed. Due to such a constitution, since the reference electrode layer ST is formed of a so-called matted electrode, the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

[0334] FIG. 15 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the eighth embodiment of the present invention, FIG. 16 is across-sectional view taken along a line I-I in FIG. 15, and FIG. 17 is a cross-sectional view taken along a line II-II in FIG. 15. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions.

[0335] In this embodiment, the above-mentioned first organic insulation layer is constituted of the color filters CF. Accordingly, the holding capacity is formed by the organic insulation layer O-PAS, the passivation layer PAS, the gate insulation layer DI and the color filter layers CF which are formed between the pixel electrode layer PX and the reference electrode layer ST and hence,

the increase of the parasitic capacity between the reference electrode layer and the gate line as well as the drain line can be suppressed. Further, since the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 is increased whereby the numerical aperture of the pixel is enhanced and the feeding resistance can be reduced due to large area of the conductive layer.

[0336] FIG. 18 is a cross-sectional view taken along a line I-I in FIG. 15 of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the ninth embodiment of the present invention and FIG. 19 is a cross-sectional view taken along a line II-II in FIG. 18. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions.

[0337] In the embodiment shown in FIG. 17, the black matrix BM which performs light-shielding of boundaries of the color filters CF is formed on the second substrate SUB2 side. In this embodiment, the black matrix BM is formed on the first substrate SUB1 side.

[0338] Further, in the eighth embodiment and the ninth embodiment, the above-mentioned reference electrode layer ST is formed in the extension direction of the gate line layer GL such that the reference electrode layer ST is arranged parallel to the gate line layer GL and overlaps the region where the pixel electrode layer PX is formed.

[0339] Due to such a constitution, the parasitic capacity formed between the gate line layer GL and the reference electrode layer ST can be reduced. Further, it is possible to stabilize the potential. [0340] Further, by forming the reference electrode layer ST as a so-called matted electrode which is provided to a region of the first substrate SUB1 which includes a region where the gate line layer GL, the drain line layer DL and the pixel electrode layer PX are formed, the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

[0341] Further, an overcoat layer which levels the color filter layers CF may be formed between the color filter layers CF and the gate insulation layer GI. Here, the reference electrode layer ST may be formed between the color filter layers CF and the gate insulation layer GI.

[0342] FIG. 20 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the tenth embodiment of the present invention and FIG. 21 is a cross-sectional view taken along a line III-III in FIG. 20. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions.

[0343] In this embodiment, between the electrode forming layer which is constituted of the gate line layer GL, the drain line layer DL, the thin film transistor TFT, and the pixel electrode layer PX including the pixel regions of the first substrate SUB1 and the first substrate side SUB1, the first reference electrode layer ST which is insulated by the first organic insulation layer O-PAS1 with respect to the electrode forming layer is formed. Further, over the first organic insulation layer O-PAS1, the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin

film transistor TFT, the passivation layer PAS, the second organic insulation layer O-PAS2, and the pixel electrode layer PX are formed in this order. A capacitive electrode layer TED which is connected to the pixel electrode PX is formed between the second organic insulation layer O-PAS2 and the passivation layer PAS.

[0344] FIG. 22 is a cross-sectional view taken along a line III-III in FIG. 20 for schematically explaining the pixel constitution of the eleventh embodiment of the present invention Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED shown in FIG. 21 is formed above the gate insulation layer GI and below the second organic insulation layer O-PAS2. [0345] FIG. 23 is a cross-sectional view taken along a line III-III in FIG. 20 for schematically explaining the pixel constitution of the twelfth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED shown in FIG. 21 or FIG. 22 is formed above the first organic insulation layer O-PAS1 and below the gate insulation layer GI.

[0346] Due to the constitutions of the above-mentioned tenth, eleventh and twelfth embodiments, the numerical aperture of the pixel can be enhanced and the feeding resistance can be reduced because of the large area of conductive layer. Further, the storage capacity can be adjusted by the area and shape of the capacitive electrode layer TED. Further, when the organic insulation layer is also formed above the thin film transistor, it is possible to make the pixel electrode and the drain line overlap each other so that the numerical aperture can be further enhanced. When the pixel electrode and the drain line overlap each other, a light shielding layer formed between the vicinity in the extension direction of the drain line and the pixel electrode can be eliminated so that the numerical aperture can be further enhanced.

[0347] Here, the first reference electrode layer ST can be formed in the extension direction of the gate line layer GL such that the first reference electrode layer ST is arranged parallel to the gate line layer GL and overlaps the region where the pixel electrode layer PX is formed. Due to such a constitution, the parasitic capacity formed between the gate line layer GL and the first reference electrode layer ST can be reduced whereby the increase of storage capacity can be suppressed or the potential can be stabilized.

[0348] Further, the first reference electrode layer ST may be formed on a region of the first substrate SUB1 which includes the region where the gate line layer GL, the drain line layer DL and the pixel electrode layer PX are formed. Due to such a constitution, since the first reference electrode layer ST is constituted of a so-called matted electrode, the feeding resistance is further reduced and the limitation imposed on the feeding direction can be eliminated.

[0349] FIG. 24 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the thirteenth embodiment of

the present invention and FIG. 25 is a cross-sectional view taken along a line III-III in FIG. 24. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, for example, the capacitive electrode layer TED explained in conjunction with FIG. 20 to FIG. 23 is formed between the passivation layer PAS and the second organic insulation layer O-PAS in the pixel region and is connected to the pixel electrode layer PX via the through hole TH2.

[0350] That is, the thin film transistor TFT includes the source electrode which is connected to the pixel electrode PX via the through hole TH1 formed in the passivation layer PAS on the gate insulation layer GI, and the capacitive electrode layer TED is connected to the source electrode SD1 and is provided to the region where the pixel electrode PX is formed.

[0351] Due to such a constitution, the holding capacity can be adjusted by changing the size of the above-mentioned capacitive electrode layer TED. Here, the first organic insulation layer O-PAS may be formed of color filters.

[0352] Due to such a constitution, the numerical aperture of the pixel can be enhanced and the feeding resistance can be reduced because of the large area of the conductive layer. Further, when the holding capacity is formed by the organic insulation layer O-PAS having small dielectric constant, the passivation layer PAS, the gate insulation layer GI and the color filter layer CF formed between the pixel electrode PX and the reference electrode layer ST, the increase of parasitic capacity can be suppressed. Further, since the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0353] FIG. 26 is a cross-sectional view taken along a line III-III in FIG. 24 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the fourteenth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the gate insulation layer GI. The pixel electrode PX is connected to the capacitive electrode layer TED via the through hole TH2 formed in the second organic insulation layer O-PAS2 and the passivation layer PAS.

[0354] FIG. 27 is a cross-sectional view taken along a line III-III in FIG. 24 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the fifteenth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the first organic insulation layer O-PAS. The pixel electrode PX is connected to the capacitive electrode layer TED via the through hole TH2 which is formed such that the through hole TH2 penetrates the second organic insulation layer O-PAS2, the passivation layer PAS and the gate insulation layer GI.

[0355] Due to the constitutions of the above-mentioned thirteenth to fifteenth embodiments, the holding capacity formed between the conductive layer and the pixel electrode PX can be adjusted by changing the area of the capacitive electrode layer TED.

[0356] Further, the first organic insulation layer O-PAS1 in the thirteenth to fifteenth embodiments may be formed of color filters.

[0357] Due to such a constitution, the numerical aperture of the pixel can be enhanced and the feeding resistance can be reduced because of the large area of the conductive layer. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0358] FIG. 28 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the sixteenth embodiment of the present invention and FIG. 29 is a cross-sectional view taken along a line III-III in FIG. 28. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, between the electrode forming layer which is constituted of the gate line GL, the drain line DL, the switching element or thin film transistor TFT, and the pixel electrode PX including the pixel regions of the first substrate SUB1 and the first substrate SUB1 side, the first reference electrode layer ST which is insulated by the organic insulation layer O-PAS with respect to the electrode forming layer is formed.

[0359] Further, with respect to the above-mentioned electrode forming layer, over the above-mentioned organic insulation layer O-PAS, the gate line layer GL, the gate insulation layer GI, the drain line layer DL, the thin film transistor TFT, the passivation layer PAS, and the pixel electrode layer PX are formed in this order. A capacitive electrode layer TED which is connected to the pixel electrode layer PX is formed between the organic insulation layer O-PAS and the passivation layer PAS. Still further, the holding capacity of the pixel is formed between the pixel electrode layer PX and the first reference electrode layer ST as well as the capacitive electrode layer TED.

[0360] As shown in FIG. 29, the capacitive electrode layer TED is provided above the gate insulation layer GI and the source electrode SD1 is connected to the capacitive electrode layer TED. The switching element TFT includes the source electrode SD1 which is connected to the pixel electrode PX via the through hole TH formed in the passivation layer PAS over the gate insulation layer GI, and the capacitive electrode layer TED is formed in the pixel region in such a manner that the capacitive electrode layer TED is connected to the source electrode SD1.

[0361] Due to such a constitution, the storage capacity can be adjusted by changing the size of the above-mentioned capacitive electrode layer TED. Further, the organic insulation layer O-PAS may be formed of color filter layers.

[0362] Due to such a constitution of this embodiment, the numerical aperture of the pixel can be enhanced and the feeding resistance can be reduced because of the large area of the conductive layer. The holding capacity can be adjusted by changing the size of the capacitive electrode layer

TED. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0363] FIG. 30 is a cross-sectional view taken along a line III-III in FIG. 28 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the seventeenth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the organic insulation layer O-PAS and the source electrode SD1 is connected to the capacitive electrode layer TED via the through hole TH which penetrates the gate insulation layer GI.

[0364] Due to such a constitution, the holding capacity formed between the capacitive electrode layer TED and the pixel electrode PX can be adjusted based on the area of pixel electrode PX which penetrates the passivation layer PAS and the gate insulation layer GI. Further, the organic insulation layer O-PAS may be formed of color filter layers.

o365] Due to such a constitution of this embodiment, the numerical aperture of the pixel can be enhanced and the feeding resistance can be reduced because of the large area of the first reference electrode layer. Further, when the organic insulation layer is formed of color filter layers, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased. [0366] FIG. 31 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the eighteenth embodiment of the present invention and FIG. 32 is a cross-sectional view taken along a line III-III in FIG. 31. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the gate insulation layer GI and the pixel electrode layer PX is connected to the capacitive electrode layer TED via the through hole TH2 which penetrates the passivation layer PAS. Further, the organic insulation layer O-PAS may also be formed of color filter layers.

[0367] Due to such a constitution of this embodiment, the holding capacity formed between the first reference electrode layer ST and the pixel electrode layer PX can be adjusted by changing the area of the capacitive electrode layer TED. Further, the organic insulation layer O-PAS may be also formed of color filter layers.

[0368] FIG. 33 is a cross-sectional view taken along a line III-III in FIG. 31 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the nineteenth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the organic insulation layer O-PAS and the pixel electrode layer PX is connected to the capacitive electrode layer TED via the through hole TH2 which penetrates the passivation layer PAS and the gate insulation layer GI.

[0369] Due to such a constitution of this embodiment, the holding capacity formed between the first reference electrode layer ST and the pixel electrode layer PX can be adjusted by changing the area of the capacitive electrode layer TED. Further, the organic insulation layer O-PAS may be also formed of color filter layers.

[0370] FIG. 34 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twentieth embodiment of the present invention and FIG. 35 is a cross-sectional view taken along a line III-III in FIG. 34. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the first organic insulation layer O-PAS and the capacitive electrode layer TED is connected to the first reference electrode layer ST via the through hole TH2 which penetrates the first organic insulation layer O-PAS1.

[0371] Due to such a constitution, the storage capacity is adjusted by changing the area of capacitive electrode layer TED which is connected to the first reference electrode layer ST. Further, when color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0372] FIG. 36 is a cross-sectional view taken along a line III-III in FIG. 34 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-first embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the gate insulation layer GI and the capacitive electrode layer TED is connected to the first reference electrode layer ST via the through hole TH2 which penetrates the gate insulation layer GI.

[0373] Due to such a constitution of this embodiment, the storage capacity can be adjusted by changing the area of the capacitive electrode layer which is connected to the first reference electrode layer ST. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0374] FIG. 37 is a cross-sectional view taken along a line III-III in FIG. 34 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-second embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the passivation layer PAS and the capacitive electrode layer TED is connected to the first reference electrode layer ST via the through hole TH2 which penetrates the passivation layer PAS, the gate insulation layer GI and the first organic insulation layer O-PAS1.

[0375] Due to such a constitution of this embodiment, the storage capacity which is formed

between the conductive layer and the pixel electrode PX can be adjusted by changing the area of the capacitive electrode layer TED which is connected to the first reference electrode layer ST. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0376] Further, due to such a constitution of this embodiment, the numerical aperture can be enhanced and the feeding resistance can be reduced because of the large area of the conductive layer. Further, when the first organic insulation layer O-PAS1 is formed of color filters, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased. [0377] FIG. 38 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-third embodiment of the present invention and FIG. 39 is a cross-sectional view taken along a line III-III in FIG. 38. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the gate insulation layer GI and the capacitive electrode layer TED is connected to the first reference electrode layer ST via the through hole TH2 which penetrates the gate insulation layer GI and the organic insulation layer O-PAS.

[0378] Due to such a constitution of this embodiment, the holding capacity which is formed between the first reference electrode layer ST and the pixel electrode layer PX can be adjusted by changing the area of the capacitive electrode layer TED which is connected to the first reference electrode layer ST. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0379] FIG. 40 is a cross-sectional view taken along a line III-III in FIG. 38 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-fourth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the organic insulation layer O-PAS and the capacitive electrode layer TED is connected to the first reference electrode layer ST via the through hole TH2 which penetrates the organic insulation layer O-PAS. [0380] Due to such a constitution of this embodiment, the holding capacity which is formed between the first reference electrode layer ST and the pixel electrode layer PX can be adjusted by changing the area of the capacitive electrode layer TED which is connected to the first reference electrode layer ST. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0381] FIG. 41 is a cross-sectional view taken along a line III-III in FIG. 38 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel

constitution of the twenty-fifth embodiment of the present invention. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, the capacitive electrode layer TED is provided over the gate insulation layer GI and, at the same time, the second capacitive electrode layer TEDD is formed on the organic insulation layer O-PAS. The pixel electrode PX is connected to the capacitive electrode layer TED by way of the through hole TH2 formed in the passivation layer PAS and, at the same time, the second capacitive electrode layer TEDD is connected to the first reference electrode layer ST via through hole TH3 formed in the organic insulation layer O-PAS.

[0382] Due to such a constitution of this embodiment, the holding capacity which is formed between the first reference electrode layer ST and the pixel electrode layer PX can be adjusted by changing the area of the capacitive electrode layer TED and the area of the second capacitive electrode layer TEDD. Further, when the color filter layers CF are formed on the first substrate SUB1, the tolerance of alignment of the first substrate SUB1 with the second substrate SUB2 can be increased.

[0383] FIG. 42 is a plan view of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-sixth embodiment of the present invention and FIG. 43 is a cross-sectional view taken along a line III-III in FIG. 42. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. This embodiment describes a case in which the capacitive electrode layer TED in the above-mentioned respective embodiments is provided to the first substrate SUB1 and the organic insulation layer is formed of the color filter layers CF.

[0384] When the color filter layers CF are formed on the first substrate SUB1, since the color filters CF are not present at portions of the first substrate SUB1 corresponding to the through hole TH2, leaking of light is generated at this portions. To prevent such leaking of light, a metal-shielding film ML is formed over the through hole TH2 which connects the capacitive electrode TED with the first reference electrode layer ST and the capacitive electrode layer TED is connected to the first reference electrode layer ST through the metal light-shielding film ML.

[0385] FIG. 44 is a cross-sectional view taken along a line III-III in FIG. 42 of the vicinity of one pixel of a vertical field type liquid crystal display device for schematically explaining the pixel constitution of the twenty-seventh embodiment of the present invention. In this embodiment, the metal light-shielding film ML according to the above-mentioned twenty-sixth embodiment is provided over the capacitive electrode layer TED.

[0386] FIG. 45 is a plan view of the through hole TH2 and the metal light-shielding film ML according to the twenty-sixth embodiment or the twenty-seventh embodiment of the present invention. Respective sides of the metal light-shielding film ML are larger than corresponding sides of an opening portion of the through hole TH2 and are set to the size of at least equal to or more than 1  $\mu$ m. Here, the same goes for a case in which an overcoat layer is formed on the color

filter layers CF.

[0387] FIGs. 46A – 46D are cross-sectional views of an essential part served for explaining the twenty-eighth embodiment of the present invention and for showing the cross-sectional structure of the through hole TH1 and the metal light-shielding film ML. In this embodiment, color filters CF are used as the organic insulation layer, the capacitive electrode layer TED is formed on the gate insulation layer which is, in turn, formed on the same layer as the source electrode SD1, and the source electrode SD1 is formed of the metal light-shielding film ML. This provision is made to cope with leaking of light at the through hole portion using the metal light-shielding film formed on the through hole portion. One example of such a constitution is explained taking a TH2 portion in FIG. 42 as an example. It is needless to say that other through holes may be used for performing light-shielding of through hole portions.

[0388] In FIG. 46A, the metal light-shielding film ML is formed such that the metal light-shielding film ML penetrates the through hole TH1 from the source electrode SD1 and is connected to the first reference electrode layer ST such that the capacitive electrode TED is formed over the source electrode SD1. In FIG. 46B, after forming the capacitive electrode layer TED in the through hole TH2, the metal light-shielding film ML is formed in the through hole TH1 from the source electrode SD1. In FIG. 46C, after forming the metal light-shielding film ML in the through hole TH1, the first reference electrode layer ST is connected to the reference electrode layer TED via the SD layer.

[0389] Further, in FIG. 46D, the metal light-shielding film ML is formed in the through hole TH and, after connecting the light-shielding metal film ML with the reference electrode layer ST, metal light-shielding film ML and the reference electrode layer TED are connected to each other. [0390] In the above-mentioned respective embodiments, by forming the reference electrode layer (or the first reference electrode layer) ST in the extending direction of the gate line GL such that the layer is arranged parallel to the gate line GL and overlaps the region where the pixel electrode PX is formed, the increase of the parasitic capacity between the gate line layer GL and the reference electrode layer (or the first reference electrode layer) can be suppressed and the potential can be stabilized.

[0391] Further, in the above-mentioned respective embodiments, by forming the reference electrode layer (or the first reference electrode layer) ST in the region of the first substrate SUB1 which includes the region where the gate line GL, the drain line DL and the pixel electrode PX are formed, the reference electrode layer ST is formed of a so-called matted electrode and hence, the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated.

[0392] Further, in the above-mentioned respective embodiments, by providing the light-shielding layer ML which performs light-shielding between the vicinity in the extension direction of the drain line DL and the pixel electrode PX, it is possible to prevent leaking of light between the drain

line DL and the pixel electrode PX.

[0393] FIG. 47 is a plan view of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the twenty-ninth embodiment of the present invention and FIG. 48 is a cross-sectional view taken along a line III-III in FIG. 47. Reference symbols in the drawings which are equal to those of the previous embodiments indicate identical functioning portions. In this embodiment, liquid crystal is inserted in a gap defined between a first substrate SUB1 and a second substrate SUB2 which face each other in an opposed manner. On an inner surface of the first substrate SUB1, a plurality of gate lines GL which extend in the first direction and are arranged parallel to each other and a plurality of drain lines GL which extend in the second direction which crosses the gate lines GL and are arranged parallel to each other, a plurality of thin film transistors which are arranged at crossing portions of the gate lines GL and the drain lines DL1 comb-shaped pixel electrodes PX which are driven by the thin film transistors TFT, and comb-shaped counter electrodes CT which generate electric fields for driving pixels between the counter electrodes CT and the pixel electrodes PX are formed.

[0394] The pixel electrodes PX and the counter electrodes CT may be formed on the same layer. [0395] Further, between an electrode forming layer which is constituted of the gate line GL, the drain line DL, the thin film transistor TFT and the pixel electrode PS including a pixel region of the first substrate SUB1 and the first substrate SUB1 side, a reference electrode layer ST which is insulated by an organic insulation layer O-PAS with respect to the electrode forming layer is provided and holding capacity of the pixel is formed between the pixel electrode PX and the reference electrode layer ST.

[0396] Further, the counter electrode CT is formed over the organic insulation layer O-PAS and the counter electrode CT is connected to the reference electrode layer ST via a through hole TH formed in the organic insulation layer O-PAS.

[0397] Due to such a constitution of this embodiment, it is possible to form the sufficient holding capacity and hence, the image quality can be stabilized and enhanced. Further, since it is unnecessary to increase the area of the pixel electrode PX for forming the holding capacity, the numerical aperture can be enhanced. Still further, since the area of the reference electrode layer ST is large, the feeding resistance can be reduced.

[0398] FIG. 49 is a cross-sectional view taken along a line IV-IV in FIG. 47 of the vicinity of one pixel of the IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirtieth embodiment of the present invention. The counter electrode CT is formed over a gate insulation layer GI and the counter electrode CT is connected to the reference electrode layer ST via the through hole TH formed in the gate insulation layer GI and the organic insulation layer O-PAS.

[0399] Due to such a constitution of this embodiment, holding capacity is formed between the pixel electrode PX and the reference electrode layer ST via the organic insulation layer O-PAS

having small dielectric constant. Since it is unnecessary to increase the area of the pixel electrode PX for forming the holding capacity, the numerical aperture is enhanced. Further, since the area of the reference electrode layer ST is large, the feeding resistance can be reduced.

[0400] FIG. 50 is across-sectional view taken along a line IV-IV in FIG. 47 of the vicinity of one pixel of the IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-first embodiment of the present invention. In this embodiment, the counter electrode CT is formed over a passivation layer PAS and the counter electrode CT is connected to the reference electrode layer ST via a through hole TH formed in the passivation layer PAS, the gate insulation layer GI and the organic insulation layer O-PAS.

[0401] Due to such a constitution of this embodiment, holding capacity is formed between the pixel electrode PX and the reference electrode layer ST via the organic insulation layer O-PAS having small dielectric constant. Since it is unnecessary to increase the area of the pixel electrode PX for forming the holding capacity, the numerical aperture is enhanced. Further, since the area of the reference electrode layer ST is large, the feeding resistance can be reduced.

[0402] Further, in the above-mentioned twenty-ninth embodiment and the thirtieth embodiment, the reference electrode layer ST may be formed in the extension direction of the gate line GL such that the reference electrode layer ST is arranged parallel to the gate line GL and overlaps the region where the pixel electrode PX and the counter electrode CT are formed.

[0403] Due to such a constitution, the parasitic capacity formed between the gate line layer GL and the reference electrode layer ST can be reduced, the increase of the holding capacity is suppressed, and the potential can be stabilized.

[0404] Further, in the above-mentioned twenty-ninth embodiment and the thirtieth embodiment, the reference electrode layer ST may be formed in a region of the first substrate SUB1 which includes the region where the gate line layer GL, the drain line layer DL, the pixel electrode PX and the counter electrode CT are formed.

[0405] Due to such a constitution, since the reference electrode layer ST is formed of a so-called matted electrode, the feeding resistance can be further reduced and the limitation imposed on the feeding direction can be eliminated. Further, by increasing a layer thickness of the organic insulation layer O-PAS, the influence of the reference electrode layer ST to the liquid crystal driving electric field can be reduced. Color filters may be used in place of the organic insulation layer O-PAS. Further, when an overcoat layer is formed over the color filters, it is desirable to form the reference electrode layer ST below the color filter layer.

[0406] FIG. 51 is a plan view of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-second embodiment of the present invention and FIG. 52 is a cross-sectional view taken along a line V-V in FIG. 51. In this embodiment, a counter electrode CT is formed over an organic insulation layer O-PAS and extends to a neighboring pixel region by crossing the drain line DL and is connected to a reference

electrode layer of the neighboring pixel region via a through hole TH formed in the organic insulation layer O-PAS.

[0407] Due to such a constitution of this embodiment, even when the through hole TH is insufficiently formed, feeding electricity is performed through the reference electrode layer ST from the neighboring pixel side.

[0408] FIG. 53 is a cross-sectional view taken along a line V-V in FIG. 51 of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-third embodiment of the present invention. In this embodiment, a counter electrode CT is formed over a gate insulation layer GI and a capacitive electrode layer TED which crosses one drain line DL and extends to a neighboring pixel region is formed over the organic insulation layer O-PAS. The counter electrode layer CT is connected to a first reference electrode layer ST via a through hole TH formed in the gate insulation layer GI and the organic insulation layer O-PAS.

[0409] Due to such a constitution of this embodiment, even when the through hole TH is insufficiently formed, feeding of electricity is performed from the neighboring pixel side to the first reference electrode layer ST through the capacitive electrode layer TED. Further, by forming the through holes which connect each counter electrode and each reference electrode in a plural number for every pixel, the reliability of connection between electrode layers can be enhanced.

[0410] FIG. 54 is a cross-sectional view taken along a line V-V in FIG. 51 of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-fourth embodiment of the present invention. In this embodiment, a counter electrode CT is formed over a passivation layer PAS and a capacitive electrode layer TED which crosses one drain line DL and extends to a neighboring pixel region is formed over the organic insulation layer O-PAS. The counter electrode layer CT is connected to a first reference electrode layer ST via a through hole TH formed in the passivation layer PAS, the gate insulation layer GI and the organic insulation layer O-PAS.

[0411] Due to such a constitution of this embodiment, even when the through hole TH is insufficiently formed, feeding of electricity is performed from the neighboring pixel side through the capacitive electrode layer TED.

[0412] FIG. 55 is a cross-sectional view taken along a line V-V in FIG. 51 of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-fifth embodiment of the present invention. In this embodiment, a color filter layer CF is formed below the first reference electrode layer ST of the thirty-fourth embodiment shown in FIG. 54 and over the above-mentioned first substrate SUB1.

[0413] Due to such a constitution, in addition to the advantageous effects obtained by the previous embodiment, the color filter layer CF is isolated from the liquid crystal layer due to the first reference electrode layer ST and hence, the contamination of the liquid crystal due to constituent

material of the color filter layer CF can be prevented.

[0414] FIG. 56 is a plan view of the vicinity of one pixel of an IPS type liquid crystal display device for explaining a modification of the embodiments shown in FIG. 47 to FIG. 55. That is, the through holes TH which connect the counter electrode CT and the reference electrode ST of each pixel are formed in a plural number for each pixel so that the reliability of connection between these electrode layers can be enhanced.

[0415] FIG. 57 is a plan view of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-sixth embodiment of the present invention and FIG. 58 is a cross-sectional view taken along a line VI-VI in FIG. 57. In this embodiment, a counter electrode CT is formed over an organic insulation layer O-PAS such that the counter electrode CT is arranged parallel to the extension direction of a gate line GL and extends over a neighboring pixel region. Further, in each pixel region, the counter electrode CT is connected to a reference electrode ST in each pixel region via a through hole TH formed in the organic insulation layer O-PAS.

[0416] Due to such a constitution, holding capacity is formed at a portion where the counter electrode CT and the pixel electrode PX overlap each other and the gate insulation layer GI constitutes a dielectric of the holding capacity. This constitution is suitable for increasing the holding capacity.

[0417] FIG. 59 is a cross-sectional view taken along a line VI-VI in FIG. 57 of the vicinity of one pixel of an IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-seventh embodiment of the present invention. In this embodiment, a counter electrode CT is formed over a gate insulation layer, for example, and a capacitive electrode layer TED is formed over an organic insulation layer O-PAS such that the capacitive electrode layer TED is arranged parallel to the extension direction of a gate line GL and extends over a pixel region disposed close to the organic insulation layer O-PAS. In each pixel region, the counter electrode CT is connected to a reference electrode layer ST via a through hole TH formed in the organic insulation layer O-PAS and a gate insulation layer GI in a penetrating manner. Further, holding capacity is formed at a portion where the capacitive electrode layer TED and the pixel electrode layer PX overlap each other.

[0418] Due to such a constitution, the gate insulation layer GI constitutes a dielectric of the holding capacity and forms a comb-shaped pixel electrode.

[0419] FIG. 60 is a plan view of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-eighth embodiment of the present invention, FIG. 61 is a cross-sectional view taken along a line VIII-VIII in FIG. 60, and FIG. 62 is a cross-sectional view taken along a line VIII-VIII in FIG. 60. In this embodiment, a pixel electrode PX is formed over a gate insulation layer GI in a so-called herringbone shape. A source electrode SD1 is formed over the gate insulation layer GI and a pixel electrode overlaps the

source electrode SD1. A counter electrode CT is formed over an organic insulation layer O-PAS and is connected to a reference electrode layer ST via a through hole TH2 thus forming holding capacity between the counter electrode CT and the above-mentioned pixel electrode PX.

[0420] Due to such a constitution of this embodiment, the gate insulation layer GI constitutes a dielectric of the holding capacity and hence, the reduction of holding capacity brought about by forming the pixel electrode in a herringbone shape can be increased.

[0421] FIG. 63 is a cross-sectional view taken along a line VII-VII in FIG. 60 of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining the pixel constitution of the thirty-ninth embodiment of the present invention. In this embodiment, a source electrode SD1 is formed over a gate insulation layer GI and is connected to a pixel electrode PX formed over a passivation layer PAS via a through hole TH1. This embodiment is similar to the embodiment shown in FIG. 61 with respect to other constitutions.

[0422] Due to such a constitution, the gate insulation layer GI constitutes a dielectric of holding capacity.

0423] FIG. 64 is a plan view of a thin film transistor TFT portion of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the fortieth embodiment of the present invention. In this embodiment, holding capacity can be adjusted by changing an area of a source electrode SD1 of the thin film transistor TFT formed over a gate insulation layer GI.

[0424] The holding capacity can be adjusted in other embodiments of the present invention using such a concept.

[0425] FIG. 65 is a plan view of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-first embodiment of the present invention and FIG. 66 is a cross-sectional view taken along a line IX-IX in FIG. 65. In this embodiment, a pixel electrode PX Is formed over a gate insulation layer GI in a so-called herringbone shape. A source electrode SD1 is formed over the gate insulation layer GI and a pixel electrode overlaps the source electrode SD1. A reference electrode is formed below an organic insulation layer O-PAS and this reference electrode constitutes a reference/counter electrode layer ST/CT which also functions as a counter electrode. Holding capacity is formed between the reference/counter electrode layer ST/CT and the pixel electrode PX.

[0426] Due to such a constitution of this embodiment, it is possible to obtain a liquid crystal display device which can render the formation of the counter electrode layer CT unnecessary, can largely reduce the feeding resistance with respect to the holding capacity and prevents the reduction of numerical aperture of the pixel.

[0427] Further, the reference/counter electrode layer ST/CT is formed in the extension direction of the gate line GL such that the reference/counter electrode layer ST/CT is arranged parallel to

the gate line GL, for example, and overlaps a region where the pixel electrode PX is formed.

[0428] Due to such a constitution, it is unnecessary to provide an independent reference/counter electrode layer for every pixel so that capacity between the gate line layer GL and the reference/counter electrode layer ST/CT can be reduced, the increase of parasitic capacity can be suppressed, and the potential can be stabilized.

[0429] Further, the reference/counter electrode layer ST/CT is formed over the whole region of the first substrate SUB1 including a region where the gate line GL, the drain line DL and the pixel electrode PX are formed.

[0430] Due to such a constitution, the reference/counter electrode layer ST/CT constitutes a so-called matted electrode and hence, feeding resistance is further reduced and the limitation imposed on the feeding direction can be eliminated.

[0431] FIG. 67 is a cross-sectional view taken along a line IX-IX in FIG. 65 of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-second embodiment of the present invention. In this embodiment, a pixel electrode PX is formed over a gate insulation layer GI in a so-called herringbone shape. A source electrode SD1 is formed over the gate insulation layer GI and a pixel electrode overlaps the source electrode SD1. This embodiment corresponds to a constitution which is formed by wholly or partially removing an organic insulation layer O-PAS below the pixel electrode PX from the constitution shown in FIG. 66.

[0432] Due to such a constitution, the strength of an electric field generated between the pixel electrode PX and the reference/counter electrode layer ST/CT can be increased so that the driving voltage can be reduced.

[0433] FIG. 68 is a plan view of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-third embodiment of the present invention and FIG. 69 is a cross-sectional view taken along a line X-X in FIG. 68. In this embodiment, a counter electrode layer CT is formed over an organic insulation layer O-PAS and a connection line GLL which is arranged parallel to the extension direction of a gate line GL and is connected to a pixel electrode PX disposed close to the counter electrode CT is formed over the counter electrode layer CT.

[0434] FIG. 70 is a cross-sectional view taken along a line X-X in FIG. 68 of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-fourth embodiment of the present invention. In this embodiment, a connection line GLL which is arranged parallel to the extension direction of a gate line GL and is connected to a pixel electrode layer PX disposed close to a counter electrode layer CT is formed between the counter electrode layer CT and an organic insulation layer O-PAS.

[0435] Due to such constitutions of the forty-third embodiment and the forty-fourth embodiment, even when a through hole TH is formed insufficiently, feeding of electricity is performed from a

neighboring pixel side through a conductive layer. Further, by forming the through holes TH which connect each counter electrode layer CT and the each reference electrode ST in a plural number for every pixel, the reliability of connection between these electrode layers can be enhanced.

[0436] FIG. 71 is a plan view of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-fifth embodiment of the present invention and FIG. 72 is a cross-sectional view taken along a line XI-XI in FIG. 71. In this embodiment, the liquid crystal display device is provided with a reference/counter electrode layer ST/CT which functions as a reference electrode layer and also as a counter electrode and a portion of an organic insulation layer O-PAS in a pixel region is removed. [0437] FIG. 73 is a cross-sectional view taken along a line XI-XI in FIG. 71 of the vicinity of one pixel of a modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-sixth embodiment of the present invention. In this embodiment, a counter electrode CT is formed over an organic insulation layer O-PAS and a portion of the organic insulation layer O-PAS within a pixel region is removed.

[0438] Due to the constitutions of the forty-fifth embodiment and the forty-sixth embodiment, it is possible to form a plurality of regions which differ in driving voltage within the pixel region so that the liquid crystal display device can obtain a multi-domain effect.

[0439] FIG. 74 is a plan view of the vicinity of one pixel of another modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-seventh embodiment of the present invention and FIG. 75 is a cross-sectional view taken along a line XII-XII in FIG. 74.

[0440] In this embodiment, the liquid crystal display device is constituted as follows. That is, liquid crystal is filled in a gap which is defined by a first substrate SUB1 and a second substrate SUB2 which face each other in an opposed manner. On an inner surface of the first substrate, at least a plurality of gate lines which extend in the first direction and are arranged in parallel to each other, a plurality of drain lines which extend in the second direction crossing the gate lines and are arranged parallel to each other, a plurality of switching element which are provided to crossing portions of the gate lines and drain lines, pixel electrode which are driven by the switching elements, and counter electrodes which generate electric fields for driving pixels between the pixel electrodes and the counter electrodes are formed. Pixel regions are constituted of a plurality of pixel electrodes.

[0441] Further, with respect to the shapes of these electrodes, the pixel electrode PX may be formed in a planar shape and the counter electrode CT may be formed in a herringbone shape. That is, in this embodiment, the electrodes may be configured to have shapes opposite to the shapes of electrodes shown in FIG. 60, FIG. 65, FIG. 68 or FIG. 71. In this case, it is possible to shield a leaked electric field from the gate line GL and the drain line DL by the counter electrode CT so that the further enhancement of image qualities can be realized.

[0442] Further, in this embodiment, between the electrode forming layer which is constituted of the gate line GL, the drain line DL, thin film transistor TFT, and the pixel electrode PX including the pixel regions of the first substrate SUB1 and the first substrate SUB1 side, the reference electrode layer ST which is insulated by the first insulation layer O-PAS1 with respect to the electrode forming layer is formed.

[0443] With respect to the electrode forming layer, over the organic insulation layer O-PAS1, the gate line GL, the gate insulation layer GI, the passivation layer PAS, the second organic insulation layer O-PAS2 and the counter electrode CT are laminated in this order. The counter electrode layer CT is shared by a pixel region disposed close to the pixel region in the extension direction of the gate line GL and a pixel region disposed close to the pixel region in the extension direction of drain line DL. Then, the counter electrode layer CT is connected to the reference electrode layer ST via a through hole TH which penetrates the second organic insulation layer O-PAS2, the passivation layer PAS, the gate insulation layer GI and the first organic insulation layer O-PAS1, thus forming holding capacity of the pixel between the pixel electrode PX and the reference electrode layer ST.

[0444] Due to the constitution of this embodiment, it is possible to shield leaking of electric field from the gate line GL and the drain line DL using the counter electrode CT and hence, the further enhancement of image qualities can be realized.

[0445] FIG. 76 is a cross-sectional view taken along a line XII-XII in FIG. 74 of the vicinity of one pixel of another modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-eighth embodiment of the present invention. This embodiment includes a capacitive electrode layer TED which is connected to a reference electrode layer ST via through hole TH below a pixel electrode PX and is arranged between a first organic insulation layer O-PAS1 and a gate insulation layer GI.

[0446] Due to such a constitution, holding capacity can be increased and adjusted by changing an area of the capacitive electrode layer TED.

[0447] FIG. 77 is across-sectional view taken along a line XII-XII in FIG. 74 of the vicinity of one pixel of another modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the forty-ninth embodiment of the present invention. In this embodiment, a portion of a first organic insulation layer O-PAS1 which forms holding capacity below a pixel electrode PX is removed.

[0448] In such a constitution, due to devoid of the organic insulation layer having small dielectric constant, the holding capacity formed between the pixel electrode PX and the reference electrode layer ST can be increased.

[0449] FIG. 78 is a cross-sectional view taken along a line XII-XII in FIG. 74 of the vicinity of one pixel of another modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the fiftieth embodiment of the present invention. In

this embodiment, a portion of a second organic insulation layer O-PAS2 which is disposed above a pixel electrode PX and below a counter electrode CT is removed.

[0450] Also in this embodiment, due to devoid of the organic insulation layer having small dielectric constant, the holding capacity formed between the pixel electrode PX and the reference electrode layer ST can be increased.

[0451] FIG. 79 is a cross-sectional view taken along a line XII-XII in FIG. 74 of the vicinity of one pixel of another modified IPS type liquid crystal display device for schematically explaining an essential part of the pixel constitution of the fifty-first embodiment of the present invention. In this embodiment, a capacitive electrode layer TED is formed between a gate insulation layer GI and a first organic insulation layer O-PAS1 which are disposed below a pixel electrode PX. This capacitive electrode layer TED is connected to a counter electrode layer CT at a position not shown in the drawing. Further, the capacitive electrode TED may be formed parallel to the extension direction of the gate line GL and is shared in common by the pixels.

[0452] Due to such a constitution, the holding capacity can be increased or adjusted by changing an area of the capacitive electrode TED.

[0453] Embodiments of other constitutional portions of the liquid crystal display device of the present invention are explained hereinafter.

[0454] FIG. 80 is an explanatory view of the substrate constitution of the liquid crystal display device of the present invention. The liquid crystal display device PNL is constituted by laminating the first substrate SUB1 and the second substrate SUB2 having a size smaller than that of the first substrate SUB1 to each other by way of the liquid crystal. On one side of the first substrate SUB1 and another side of the first substrate SUB1 which is disposed close to one side, terminal regions (drain-line-side terminal region TMD, gate-line-side terminal region TMG) are formed. An effective display region is provided to the most portion of the second substrate SUB2 which is overlapped to the first substrate SUB1.

[0455] FIG. 81 is an explanatory view showing a state in which a tape carrier package loading a driving circuit thereon is mounted on the first substrate SUB1 at the terminal regions. A plurality of tape carrier packages TCP (tape carrier packages for driving drain lines which load drain-line driving circuit chips CH2, tape carrier packages for driving gate lines which load gate-line driving circuit chips CH1) are respectively mounted on the drain-line-side terminal region TMD and the gate-line-side terminal region TMG.

[0456] FIG. 82 is an explanatory view showing a state in which driving circuit chips are directly mounted on the first substrate SUB1 at the terminal regions. A plurality of drain-line driving circuit chips CH2 are mounted on the drain-line-side terminal region TMD and a plurality of gate-line driving circuit chips CH1 are mounted on the gate-line-side terminal region TMG. This mounting method is referred to as a FCA method (or a CPG method).

[0457] FIG. 83 is an explanatory view showing an arrangement example of liquid crystal filling

ports through which liquid crystal is filled into a gap defined by two substrates and is sealed thereafter. In this example, two liquid crystal filling ports INJ are formed in a side on which the driving circuit chips are not mounted. The number and mounting positions of the liquid crystal filling ports INJ are determined corresponding to the size of the liquid crystal display device PNL and may be one, three or more.

[0458] FIG. 84 is a schematic cross-sectional view of the liquid crystal display device of the present invention. On an inner surface of the first substrate SUB1, the reference electrode layer ST is formed and the organic insulation layer O-PAS is formed over the reference electrode layer ST. Other layers and electrodes are omitted from the drawing. The liquid crystal LC is sealed between the first substrate SUB1 and the second substrate SUB2 and a periphery of the effective display region is sealed by a sealing member.

[0459] FIGs. 85A -85C are plan views for schematically explaining the terminal regions of the gate driving circuit which is mounted in a tape carrier package method. In FIG. 85A to FIG. 85C, terminals of the driving circuit chip CH1 which are loaded on the tape carrier package TCP are connected to terminal portions of the gate lines which are pulled out to the first substrate SUB1 side. The connection to the reference electrode ST is performed by removing the organic insulation layer O-PAS. Portions where the organic insulation layer O-Pas is removed are indicated by XP. FIG. 85A indicates a state in which the removing portions XP are arranged at the outside of the sealing member SL and below the tape carrier package TCP.

[0460] FIG. 85B shows a state in which the removing portion XP of the organic insulation layer O-PAS is disposed at the outside of the sealing member SL and at positions away from the tape carrier package TCP. Further, FIG. 85C shows a state in which the removing portion XP of the organic insulation layer O-PAS is arranged at the inside of the sealing member SL.

[0461] FIGs. 86A -86B are plan views for schematically explaining the terminal region on which the driving circuit chip is mounted by a FCA method. FIG. 86A indicates a state in which the organic insulation layer O-PAS is removed at the outside of the sealing member SL and a feeding terminal of the driving circuit chip for the reference electrode layer is connected at this removing portion XP. FIG. 86B shows a state in which the organic insulation layer O-PAS is removed at the inside of the seal member SL and the feeding terminal of the driving circuit chip for the reference electrode layer is connected at this removing portion XP. It is desirable that a width of a feeding line to the reference electrode layer is larger than a width of other signal lines for reducing the feeding resistance.

[0462] FIGs. 87A - 87B are plan views for schematically explaining the terminal region when a method in which electricity is fed from a reference potential generation circuit disposed in a control circuit of the liquid crystal display device to a using flexible printed circuit board or the like is adopted. A reference electrode feeding line STL is formed on the flexible printed circuit board FPC and electricity is fed to a terminal of the reference electrode which is pulled out to the first

substrate SUB1 via the flexible printed circuit board FPC from the reference potential generation circuit disposed in the control circuit of the liquid crystal display device. FIG. 87A shows a case in which this feeding method is adopted in the tape carrier package mounting method and FIG. 87B shows a case in which this feeding method is adopted in a FCA method. In this manner, by performing the feeding of electricity to the reference electrode layer ST using the flexible printed circuit board FPC without going through the driving circuit chip CH1 so that the feeding of electricity can be performed with the further lower feeding resistance. In the drawing, GDL indicates a feeding line to the gate line.

[0463] FIG. 88 is a schematic plan view of the liquid crystal display device for explaining the first example for forming the feeding terminal to the reference electrode. In both of the vertical field method and the IPS method (including the modified IPS method and other modified IPS method), a periphery of the reference electrode ST is patterned thus forming lead terminals STT and feeding of electricity to the reference electrode ST is performed using constitutions shown in FIG. 84 to FIG. 87.

[0464] FIG. 89 is a schematic plan view of the liquid crystal display device for explaining a second example for forming feeding terminals to the reference electrode and FIG. 90 is a cross-sectional view of an essential part showing a portion A in FIG. 89 in an enlarged manner. Particularly in the vertical field method having common electrodes at the second substrate SUB2 side, connection portions STC are formed on corner portions of the reference electrode ST by patterning and the common electrode ST is connected to the reference electrode ST at the connection portions STC by means of a conductive paste AG. The feeding of electricity to the reference electrode ST is performed by the common electrode. It is not always necessary to provide the connection portion STC at all corner portions of the reference electrode ST and may be formed atone, two or three corner portions of the reference electrode ST.

[0465] FIG. 91 is a schematic cross-sectional view of the liquid crystal display device for explaining a third example of the formation of a feeding terminal to the reference electrode and FIG. 92 is a cross-sectional view of an essential part which shows the feeding terminal portion in FIG. 91 in an enlarged manner. The feeding terminal STT to the reference electrode ST may be formed separately from the formation of the reference electrode ST. Further, the feeding terminal STT may be pulled out as a line which is connected with other line such as the gate line or the drain line at the inside of the seal SL. Here, the connection resistance of both lines are taken into account. The above-mentioned constitution is suitable when the contact resistance between the feeding terminal STT and the reference electrode ST formed of a transparent electrode made of non-Al-based metal (metal of high melting point such as Cr, Mo, Ti, Ta, W, Zr or the like, or alloy thereof) is low. Here, the feeding terminal STT may be formed of a transparent conductive film (ITO, IZO or the like).

[0466] FIG. 93 is a schematic cross-sectional view of a liquid crystal display device for explaining

a fourth example of the formation of the feeding terminal to the reference electrode. When the contact resistance between the feeding terminal STT and the reference electrode ST is high, it is preferable to connect them using an auxiliary connection line STT'. For example, when the feeding terminal STT is made of Al, the auxiliary connection line STT' made of non-Al-based metal is used. Further, when the auxiliary connection line STT' is also formed of a transparent conductive film and also when the Al-based feeding terminal STT and the reference electrode ST are directly connected to each other, different from usual signal lines, it is possible to perform the multiple-point feeding of electricity and hence, the constitution shown in FIG. 93 is available.

[0467] FIG. 94 is a schematic cross-sectional view of the liquid crystal display device for explaining a constitutional example of an outer periphery of an effective display region when the organic insulation layer formed on the first substrate is formed of a color filter CF. When the organic insulation layer is formed of the color filter CF, the color filter material CF1 of three primary colors (R, G, B) or the color filter material CF1 formed of at least one of these colors and the color filter material CF2 formed of at least other color are laminated to the outer periphery of effective display region. With such a constitution, it is possible to form a light shielding layer on the outer periphery of the effective display region. Further, when two color filter materials are laminated to the outer periphery of the effective display region, one color filter material CF1 is red (R) and the other color filter material CF2 is green (G) or blue (B). The color filter material R absorbs light other than red (R) light. Accordingly, by combining color filter material G or B which absorb red (R) with the color filter material R, the color filter CF can absorb lights of respective colors R, G, B.

[0468] FIG. 95 is a schematic cross-sectional view of the liquid crystal display device for explaining another constitutional example of the outer periphery of the effective display region when the organic insulation layer formed on the first substrate is formed of the color filter. That is, this example shows a case in which the color filter CF2 which is explained in conjunction with FIG. 94 is laminated to the sealing portion SL and an outer peripheral portion thereof. Further, to protect the whole color filter layer including the color filter layers (CF1, CF2) and the effective display region, it is preferable to form an overcoat layer OC over the color filter layer (CF, CF1, CF2).

[0469] FIG. 96 is a schematic cross-sectional view of the liquid crystal display device for explaining a constitutional example in which a color filter is formed over all of a sealing portion, an outer peripheral portion thereof and an effective display region. Also in this case, it is preferable to form an overcoat layer OC over the color filter layer CF which covers all of the sealing portion, the outer peripheral portion thereof and the effective display region.

[0470] FIGs. 97A – 97B are explanatory views of an aligning method in mounting driving circuits to various types of lead terminals and feeding terminals formed on a first substrate. FIG. 97A shows a case in which a tape carrier package is used and FIG. 97B shows a case in which a FCA

method is adopted. In FIG. 97A and FIG. 97B, when a color filter CF is provided also outside the sealing member, it is preferable to form removing portions XP in the color filter CF in the vicinity of alignment marks AM for achieving the alignment of a tape carrier package TCP which mounts a driving circuit chip CH1 thereon or a driving circuit chip CH1 of FCA with various types of lead terminals and feeding terminals formed on the first substrate. Due to such a constitution, the generation of an error at the time of performing the optical recognition of the alignment marks AM is prevented so that the mounting accuracy is ensured.

[0471] FIG. 98 is a schematic cross-sectional view of a liquid crystal display device which is configured to prevent electrolytic corrosion of a reference electrode layer formed on a first substrate. To prevent electrolytic corrosion of the reference electrode layer ST outside a sealing member SL, the reference electrode layer ST including end portions thereof is covered with an organic insulation layer O-PAS.

[0472] FIG. 99 is a schematic plan view for explaining an example of the formation of a color filter when an organic insulation layer formed on a first substrate is made of the color filter. As shown in FIG. 99, the color filter CF may be formed only at an inner side of a sealing member SL. The color filter CF contains a large quantity of pigment or dye to perform a function of selecting wavelength of light. Accordingly, the color filter CF has a tendency of exhibiting higher hygroscopic property compared to a colorless organic insulation layer.

[0473] When the color filter CF is disposed in a region outside the sealing member SL which exhibits high temperature and high humidity, the color filter CF in the region absorbs moisture and swells so that wrinkles are generated and hence, there is a possibility that lead lines and feeding lines which are formed over the color filter CF are disconnected. To prevent such a phenomenon, the color filter CF is formed only at the inside of the sealing member SL.

[0474] FIG. 100 is a schematic plan view served for explaining an example of the formation of a color filter when an organic insulation layer formed on the first substrate is made of the color filter. Compared to the constitution explained in conjunction with FIG. 99, in this example, the reference electrode layer ST is formed such that the reference electrode layer ST is extended to the outside of the sealing member SL. Further, in this example, to prevent the short-circuiting between the reference electrode layer ST and the scanning signal line or the like, portions of the reference electrode layer ST on which the color filter CF is not formed are covered with an overcoat layer OC. Due to such a constitution, the above-mentioned short-circuiting can be surely prevented.

[0475] FIG. 101 is a schematic plan view served for explaining another constitutional example in which the organic insulation layer formed on the first substrate is constituted of the color filter. When the color filter layer CF is formed only in the inside of the effective display region of the first substrate SUB1, the reference electrode layer ST is also formed only in the inside of the effective display region. Here, the reference electrode layer ST may be stuck out from the color filter CF provided that the reference electrode layer ST does not cross other lines.

[0476] FIG. 102 is a schematic plan view served for explaining still another constitutional example in which the organic insulation layer formed on the first substrate is constituted of the color filter. Compared to the case shown in FIG. 101, the reference electrode layer ST is formed such that the reference electrode layer ST is stuck out from the color filter CF without crossing other lines in this example as shown in FIG. 102. Further, as shown in FIG. 102, an overcoat layer OC is formed such that the overcoat layer OC covers the color filter CF and the reference electrode ST.

[0477] FIG. 103 is a schematic cross-sectional view for explaining one arrangement example in which the liquid crystal display device of the present invention is used as a transmission type display module. On a back surface of the first substrate SUB1 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2 to each other, a backlight BL is mounted. This arrangement example is a typical constitution of the transmission type display module. Illumination light L1 from the backlight BL is modulated by the liquid crystal display device when the light L1 passes through the liquid crystal display device and is irradiated from the second substrate SUB2 side.

[0478] FIG. 104 is a schematic cross-sectional view for explaining another arrangement example in which the liquid crystal display device of the present invention is used as a transmission type display module. On a front surface of the second substrate SUB2 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2 to each other, a front light FL is mounted. Illumination light L1 from the front light FL is modulated by the liquid crystal display device when the light L1 passes through the liquid crystal display device and is irradiated from the first substrate SUB1 side.

[0479] FIG. 105 is a schematic cross-sectional view for explaining the first arrangement example in which the liquid crystal display device of the present invention is used as a reflection type display module. A reference electrode ST which is provided to the first substrate SUB1 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2 to each other is formed of a reflective metal layer. An external light L2 which is incident on the second substrate SUB2 is reflected on the reference electrode ST and the light L2 which is irradiated from the second substrate SUB2 side is modulated in accordance with an electronic latent image formed in the liquid crystal display device when the light L2 passes through the inside of the liquid crystal display device.

[0480] FIG. 106 is a schematic cross-sectional view for explaining the second arrangement example in which the liquid crystal display device of the present invention is used as a reflection type display module. On a front surface of the second substrate SUB2 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2 to each other, a front light FL is mounted. Light L2 irradiated from the front light FL is reflected on a reference electrode ST which is constituted of a reflective metal layer provided to an inner surface of the first substrate SUB1 and is irradiated from the second substrate SUB2 side through the front

light FL. The light L2 is modulated by the liquid crystal display device when the light L2 passes through the inside of the liquid crystal display device.

[0481] FIG. 107 is a schematic cross-sectional view for explaining the third arrangement example in which the liquid crystal display device of the present invention is used as a reflection type display module. On a front surface of the second substrate SUB2 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2, a reflection layer RT is mounted. An external light L2 incident from the first substrate SUB1 is reflected on the reflection layer RT and is irradiated from the first substrate SUB1 side. The light L2 is modulated by the liquid crystal display device when the light L2 passes through the inside of the liquid crystal display device.

[0482] FIG. 108 is a schematic cross-sectional view for explaining the fourth arrangement example in which the liquid crystal display device of the present invention is used as a reflection type display module. A common electrode CT which is formed on an inner surface of the second substrate SUB2 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2 is constituted of a reflective metal layer. An external light L2 incident from the first substrate SUB1 is reflected on the common electrode CT and is irradiated from the first substrate SUB1 side. The light L2 is modulated by the liquid crystal display device when the light L2 passes through the inside of the liquid crystal display device.

[0483] FIG. 109 is a schematic cross-sectional view for explaining the fifth arrangement example in which the liquid crystal display device of the present invention is used as a reflection type display module. On a back surface of the first substrate SUB1 of the liquid crystal display device which is formed by laminating the first substrate SUB1 and the second substrate SUB2, a front light FL is mounted. Further, a common electrode CT which is formed on an inner surface of the second substrate SUB2 is constituted of a reflective metal layer. An external light L2 incident on the first substrate SUB1 from the front light FL is reflected on the common electrode CT and is irradiated from the first substrate SUB1 side after passing through the front light FL. The light L2 is modulated by the liquid crystal display device when the light L2 passes through the inside of the liquid crystal display device.

[0484] FIG. 110 is a schematic cross-sectional view for explaining one arrangement example in which the liquid crystal display device of the present invention is used as a transmission/reflection type display module. A reference electrode ST which is provided to the first substrate SUB1 of the liquid crystal display device formed by laminating the first substrate SUB1 and the second substrate SUB2 is constituted of a reflective metal layer and includes partial apertures (slit holes or dot holes) corresponding to respective pixels.

[0485] When the liquid crystal display device is operated in a reflection type mode, an external light L2 incident from the second substrate SUB2 is reflected on the reference electrode ST and is irradiated from the second substrate SUB2. When the liquid crystal display device is operated in a

transmission type mode, light L1 irradiated from the backlight BL mounted on a back surface of the first substrate SUB1 passes through the apertures of the reference electrode ST and is irradiated through the second substrate SUB2. When either one of the light L2 or the light L1 passes through the inside of the liquid crystal display device, the light L2 or L1 is modulated by the liquid crystal display device. Further, a semitransparent reflection layer may be used as the reference electrode ST in place of the reflective metal layer having apertures. Here, it is needless to say the liquid crystal display device can be operated in both modes, that is, the reflection mode and the transmission mode.

[0486] FIG. 111 is a schematic cross-sectional view for explaining another arrangement example in which the liquid crystal display device of the present invention is used as a transmission/reflection type display module. A common electrode CT which is provided to the second substrate SUB2 of the liquid crystal display device formed by laminating the first substrate SUB1 and the second substrate SUB2 is constituted of a reflective metal layer and includes partial apertures (slit holes or dot holes).

[0487] When the liquid crystal display device is operated in a reflection type mode, an external light L2 incident from the first substrate SUB1 is reflected on the common electrode CT and is irradiated from the first substrate SUB1. When the liquid crystal display device is operated in a transmission type mode, light L1 irradiated from the front light FL mounted on a front surface of the second substrate SUB2 passes through the apertures of the common electrode CT and is irradiated through the first substrate SUB1. When either one of the light L2 or the light L1 passes through the inside of the liquid crystal display device, the light L2 or L1 is modulated by an electronic image formed in the liquid crystal display device. Further, a semitransparent reflection layer may be used as the common electrode CT in place of the reflective metal layer having apertures.

[0488] The present invention is not limited to the above-mentioned embodiments and constitutional examples and various liquid crystal display devices can be constituted using the constitution in which the reference electrodes are formed on the substrate side on which the switching elements such as thin film transistors are formed as the basis.

[0489] Further, with respect to the substrates used in the above-mentioned respective substrates, the substrate SUB1 may be formed of a glass substrate.

[0490] Alternatively, the substrate SUB1 may be formed of a plastic substrate or a resin substrate. [0491] In the present invention, the reference electrode layer ST is formed in advance at the time of producing the substrate or before delivering the substrate and hence, only the non-defective substrate can be applied so that the yield rate is enhanced. Further, since it is unnecessary to form the capacity forming portion with high accuracy, the throughput can be enhanced and the cost can be reduced. Further, the films are formed before forming the TFT layer, a manufacturing method such as a coating method which is liable to generate foreign materials can be used and hence, the

cost can be further reduced.

[0492] Although the structure which is formed by laminating the gate line GL, the gate insulation film GI and the semiconductor layer in this order has been explained in the above-mentioned respective embodiments, the structure may be formed by laminating them in the order of the semiconductor layer, the gate insulation film GI and the gate line GL. Such a structure is suitable in a case that the semiconductor layer is formed of a layer having crystalline property such as polysilicon, CGS, SLS, SELAX or a layer formed of single crystal.

[0493] Further, when the layer having crystalline property is used as the semiconductor layer, further advantages can be realized. In the present invention, between the semiconductor layer and the substrate, the reference electrode layer ST having a large area which extends over the substantially whole pixel region is formed. Although the ion implantation is performed in a step for forming the switching element using the semiconductor layer having the crystalline property, ions are widely implanted covering regions other than the semiconductor layer. According to the present invention, the ion can be shielded with the reference electrode layer ST and hence, it is possible to prevent the ion from reaching the substrate SUB1 whereby the substrate SUB1 is not damaged and the reliability is enhanced.

[0494] In the step for forming the semiconductor layer having crystalline property, there has been known a method in which after forming an amorphous semiconductor, laser beams are partially irradiated to the amorphous semiconductor to perform scanning so that the semiconductor is fused partially due to heat of laser beams and crystallized to impart the crystalline property to the semiconductor. For example, SELAX, SLS and the like have been known. In such a method, since heat at a level which can fuse the semiconductor is added to the semiconductor, the heat of high temperature is transmitted to the periphery of the fused portion. The inventors have found that the strain and thermal stress are accumulated in the substrate SUB1 due to this heat of high temperature. Further, the inventors of the present invention have found a new problem that this stress brings about the disturbance in the polarization state and lowers the contrast ratio.

[0495] According to the present invention, the reference electrode layer ST is formed between the semiconductor layer and the substrate SUB1. Since the reference electrode layer ST is broad enough to cover the most portion of the pixel region, extends over a plurality of pixels and is conductive. Accordingly, the local high heat generated by laser beams can be instantly dispersed so that it is possible to prevent the damage and stress to the above-mentioned substrate and the reduction of contrast whereby high quality and high reliability can be realized.

[0496] This advantageous effect is an advantageous effect which can be realized by providing the conductive layer which extends over the substantially whole pixel region between the crystalline semiconductor layer and the substrate. The present invention also discloses and claims this constitution, that is, an image display device having the conductive layer which extends over the substantially whole pixel region between the crystalline semiconductor layer and the substrate as

the present invention.

[0497] Further, the above-mentioned respective embodiment shave been explained in conjunction with the liquid crystal display device for the sake of explanation. However, as can be clearly understood from the explanation of the above-mentioned respective embodiments, with the use of the technical concept disclosed by the present invention, the constitution arranged above the substrate SUB1 can be applicable to an organic EL, an inorganic EL or other image display device. Accordingly, "liquid crystal display device" in the claims discloses and claims the image display device in a range of equivalence. Further, in the same manner, "sandwiching liquid crystal between a first substrate and a second substrate which face each other in an opposed manner" in claims of this specification means "a first substrate and a second substrate which are arranged to face each other" in the liquid crystal display device which constitutes an equivalent of the liquid crystal display device.

[0498] As has been explained heretofore, according to the present invention, by providing the reference electrode layer functioning as the feeding electrode which forms holding capacity for activated or lit pixels to the substrate side on which the switching elements are formed, the resistance to the feeding electrode can be reduced and, at the same time, the reduction of numerical aperture of the pixels can be obviated thus realizing the active matrix type liquid crystal display device exhibiting high brightness and rapid driving.

[0499] Further, it is possible to provide the image display device which can satisfy both of the assurance of holding capacity and the enhancement of numerical aperture.

[0500] Still further, it is possible to enhance the image qualities and the reliability of the image display device which uses the crystalline semiconductor.

## What is claimed is:

- 1. A liquid crystal display device, comprising:
  - a first substrate;
  - a second substrate:
  - a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules;
    - a gate line and a drain line;
  - a pixel electrode and a counter electrode disposed between the first substrate and the liquid crystal layer;
    - a gate insulation layer formed on the gate line;
  - an organic insulation layer disposed between the first substrate and the liquid crystal layer;
  - a switching element, connected to the gate line, including a first electrode connected to the drain line and a second electrode connected to the pixel electrode;
  - wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode,

wherein the pixel electrode is formed between the liquid crystal layer and the organic insulation layer, and wherein the counter electrode is a planar shape, and the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line and the drain line.

2. A liquid crystal display device according to claim 1,

wherein the counter electrode is connected to a common layer and, wherein the organic insulation layer is formed between the counter electrode and the first substrate.

3. A liquid crystal display device according to claim 2,

wherein the counter electrode is connected to the common layer via a through hole within the organic insulation layer.

4. A liquid crystal display device according to claim 3,

wherein the common layer is a planar shape, and faces a plurality of pixel electrodes.

5. A liquid crystal display device according to claim 2,

wherein a passivation layer is formed between the liquid crystal layer and the pixel electrode contacted the second electrode, and

wherein the passivation layer is formed between the liquid crystal layer and the drain line.

6. A liquid crystal display device according to claim 1,

wherein the counter electrode is a planar shape, and the pixel electrode comprises a slit having the first portion and a second portion, and both of the first portion and the second portion are not parallel with the gate line and the drain line.

7. A liquid crystal display device according to claim 1,

wherein the gate insulation layer is formed between the counter electrode and the drain line.

8. A liquid crystal display device according to claim 1,

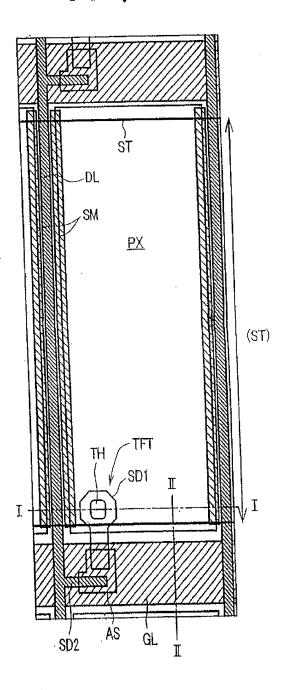
wherein the counter electrode connects to a connection line.

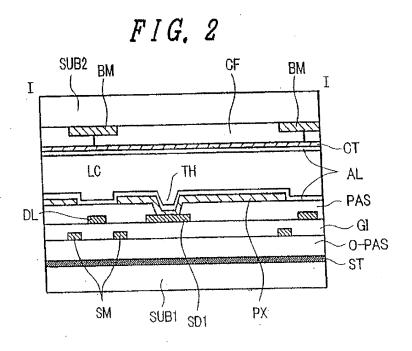
- 9. A liquid crystal display device according to claim 8, wherein the connection line is made of metal material.
- 10. A liquid crystal display device according to claim 9, wherein the connection line is arranged parallel to the extension direction of the gate line.
- 11. A liquid crystal display device according to claim 10, wherein the organic insulation layer is formed between the connection line and the first substrate.
- A liquid crystal display device according to claim 9,
   wherein the connection line is directly contacted to the counter electrode.
- 13. A liquid crystal display device according to claim 12, wherein the common electrode is disposed between the connection line and the liquid crystal layer.

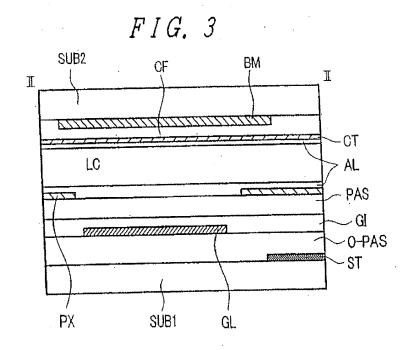
## ABSTRACT OF THE DISCLOSURE

Image display device having an electrode forming layer which includes a plurality of gate lines, a plurality of drain lines, a plurality of switching elements and the a plurality of pixel electrodes, and having reference electrode layer between the electrode forming layer and a substrate where the electrode forming layer formed thereon, and the reference electrode layer and the electrode forming layer are insulated by insulating layer.

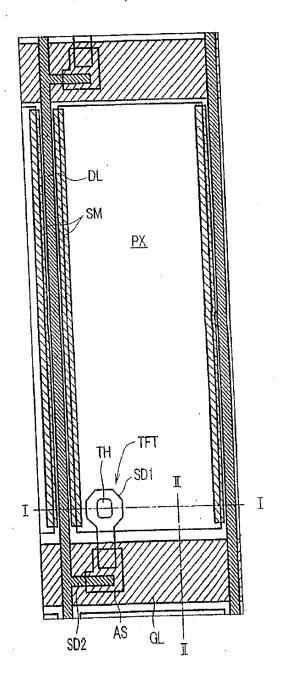
F I G. 1

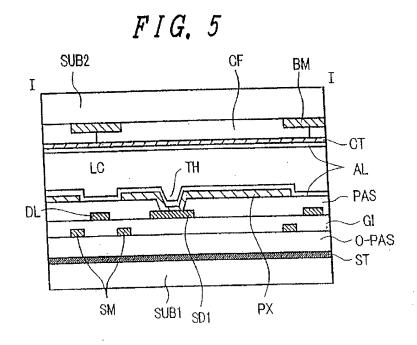


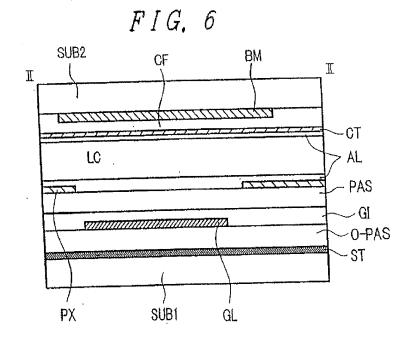




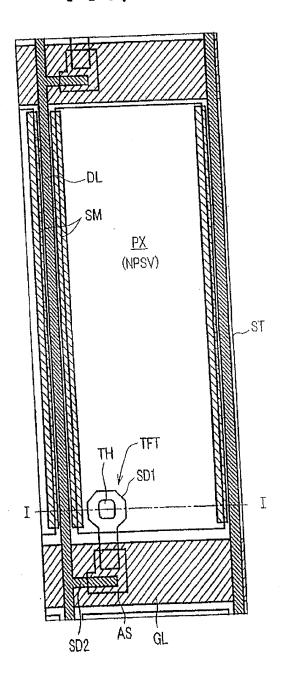
F I G. 4

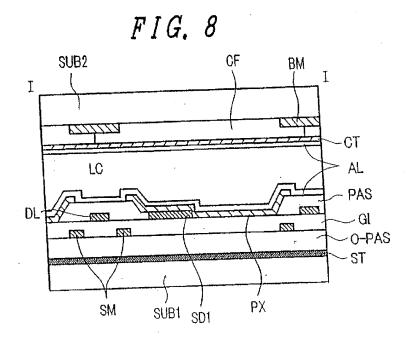






F I G. 7





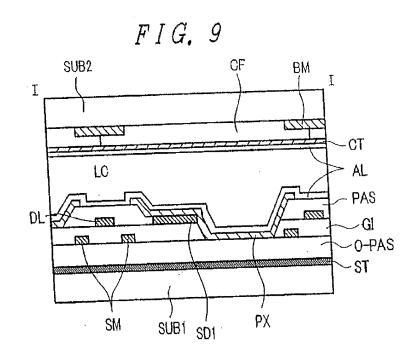


FIG. 10

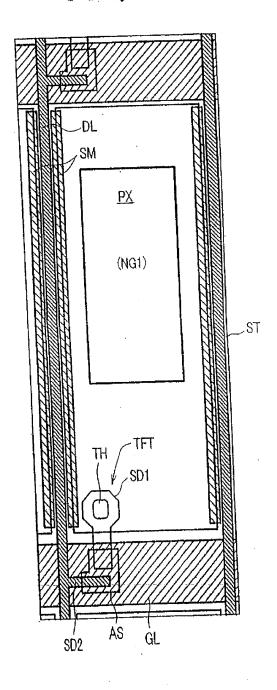


FIG. 11

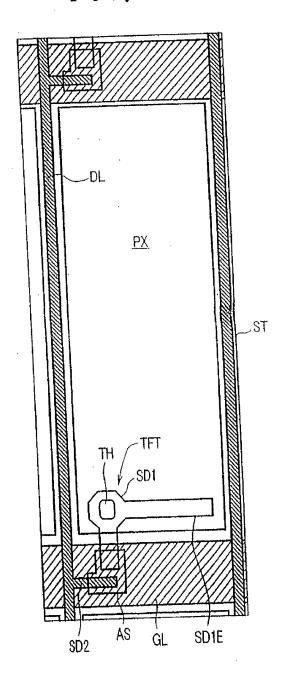
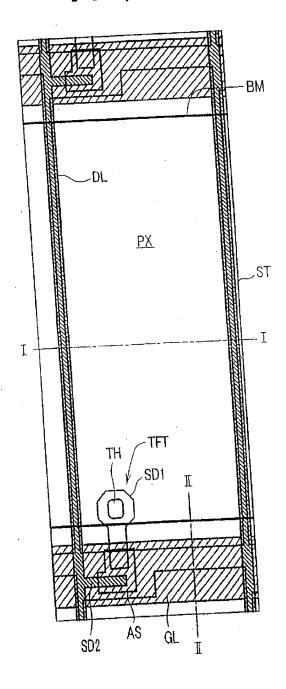
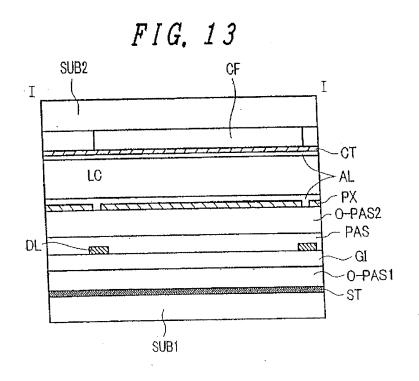


FIG. 12





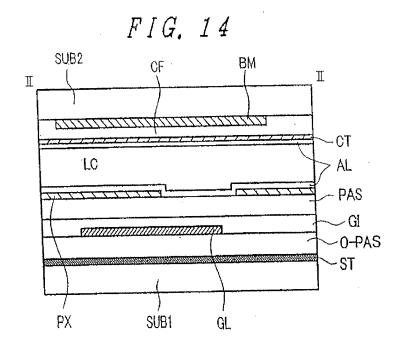
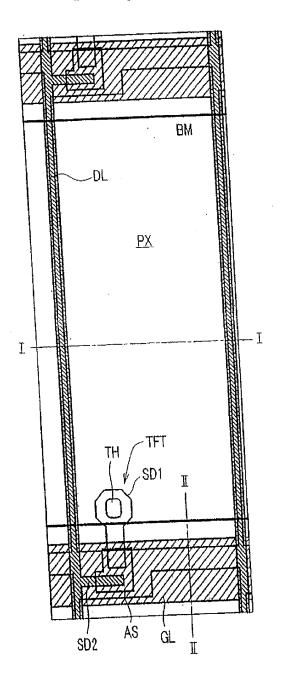
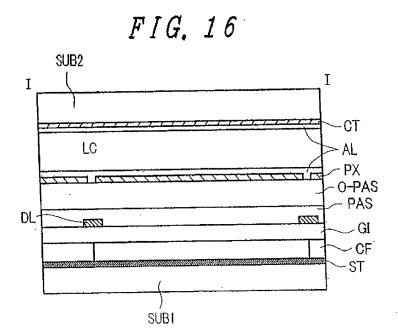
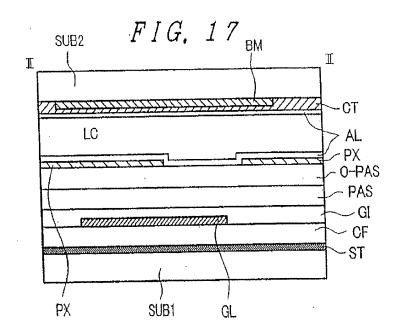
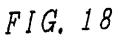


FIG. 15









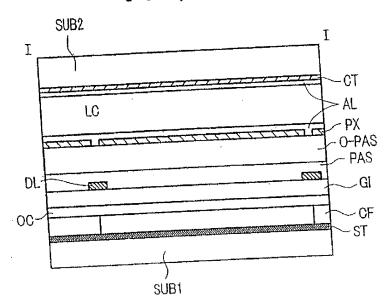


FIG. 19

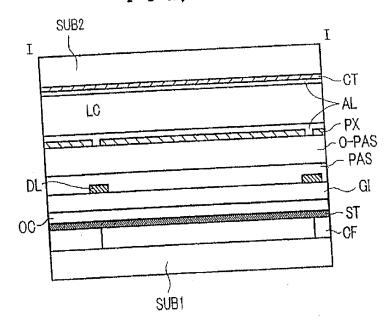
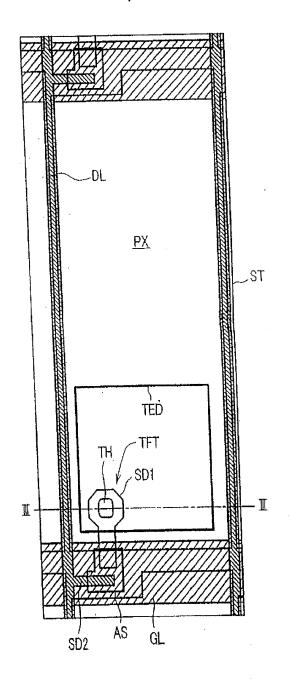
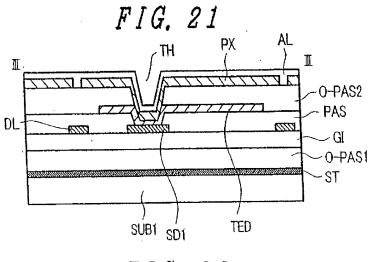
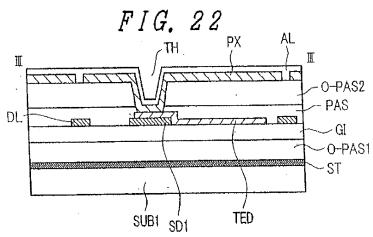


FIG. 20







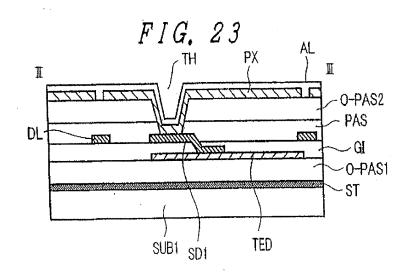
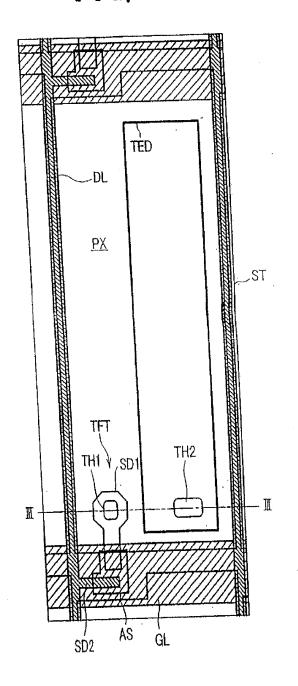
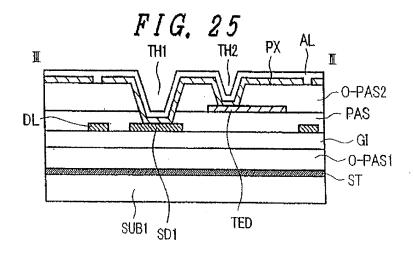
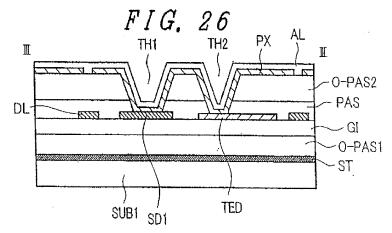


FIG. 24







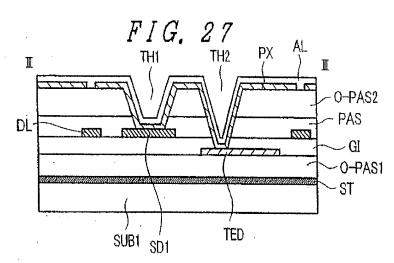


FIG. 28

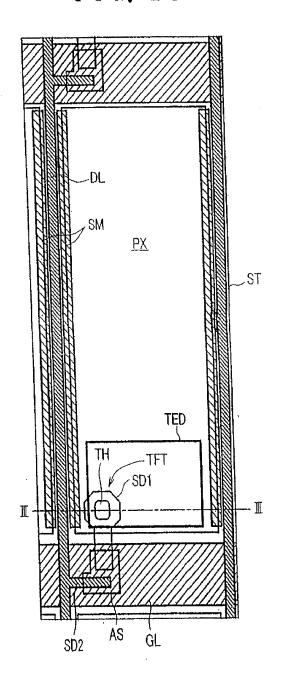


FIG. 29

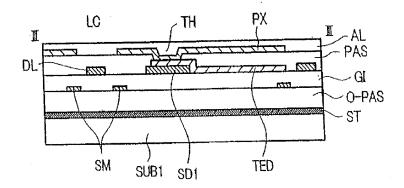


FIG. 30

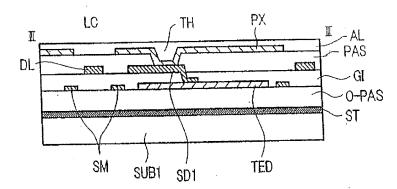


FIG. 31

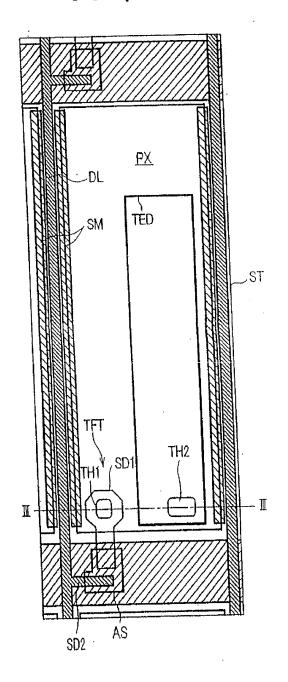


FIG. 32

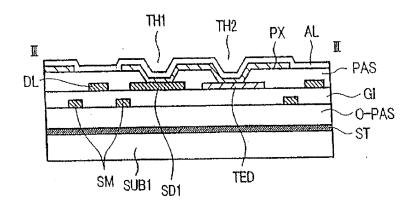


FIG. 33

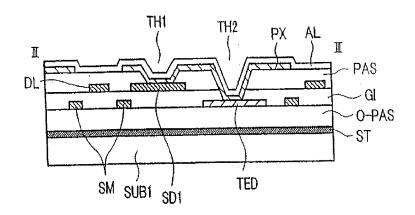
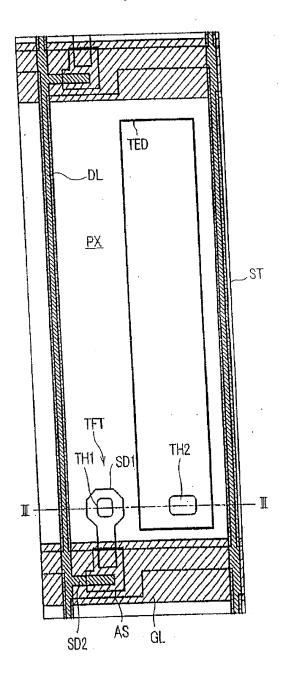
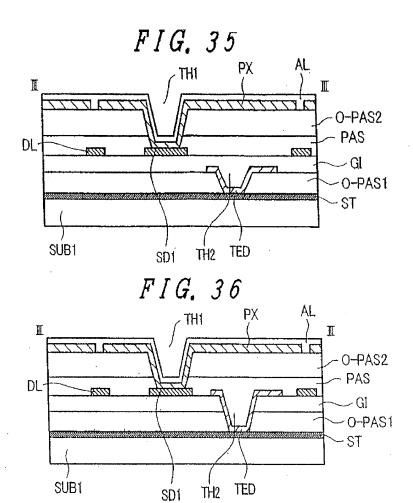


FIG. 34





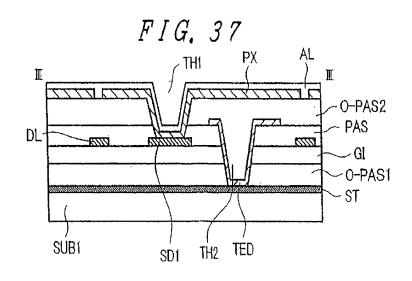
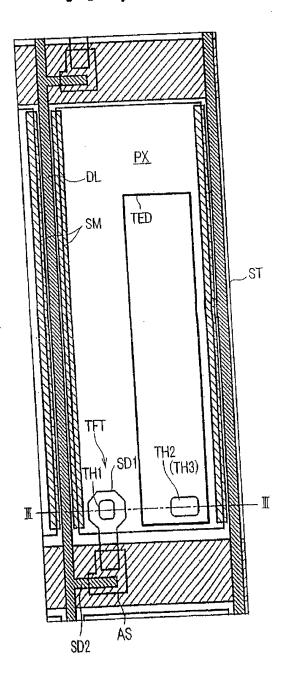
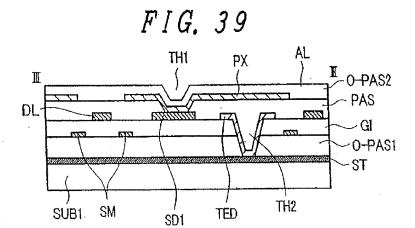
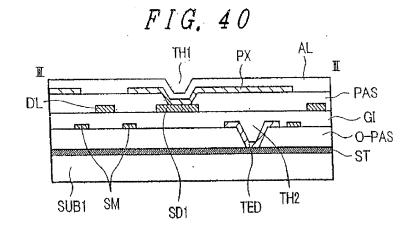


FIG. 38







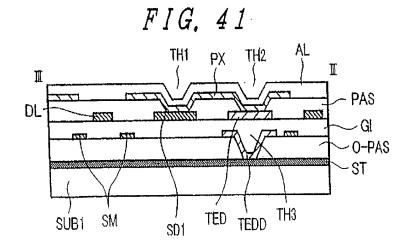


FIG. 42

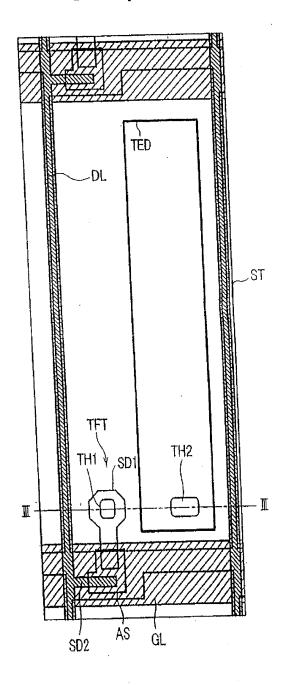
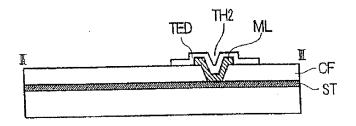


FIG. 43



F I G. 44

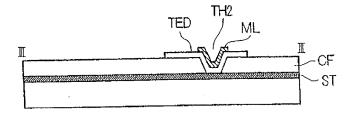
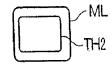
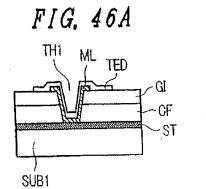
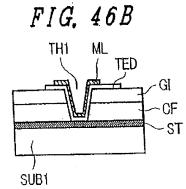
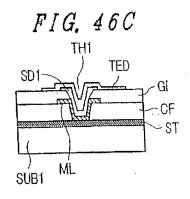


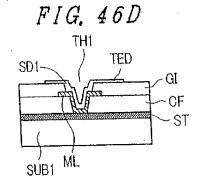
FIG. 45











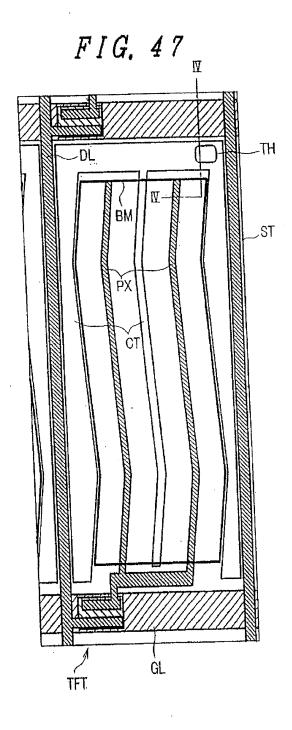


FIG. 48

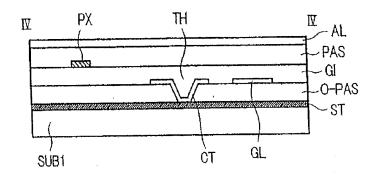


FIG. 49

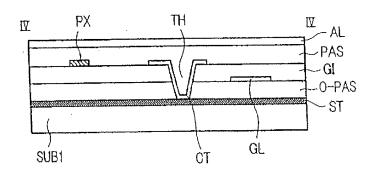
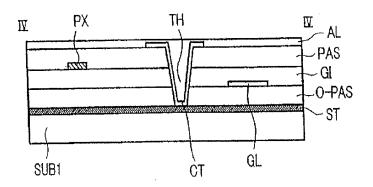


FIG. 50



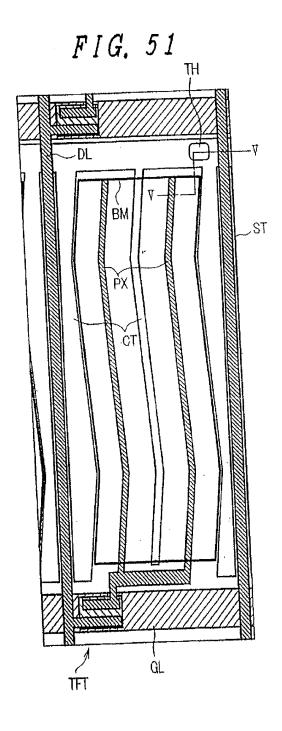


FIG. 52

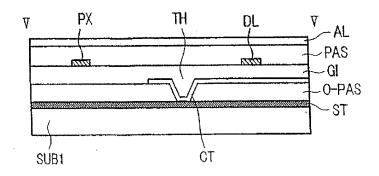


FIG. 53

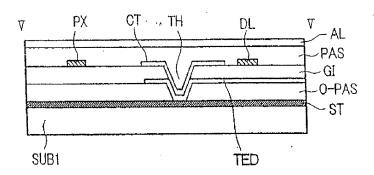


FIG. 54

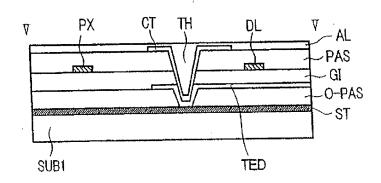


FIG. 55

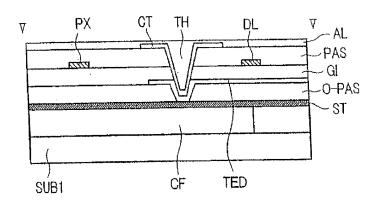
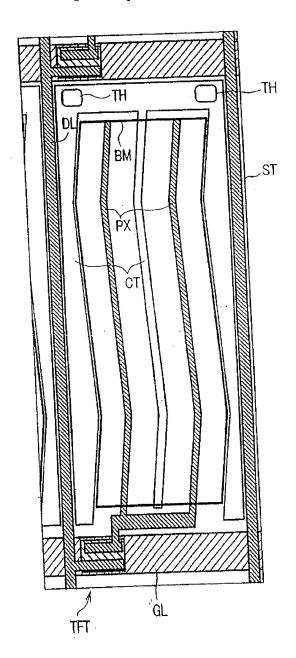


FIG. 56



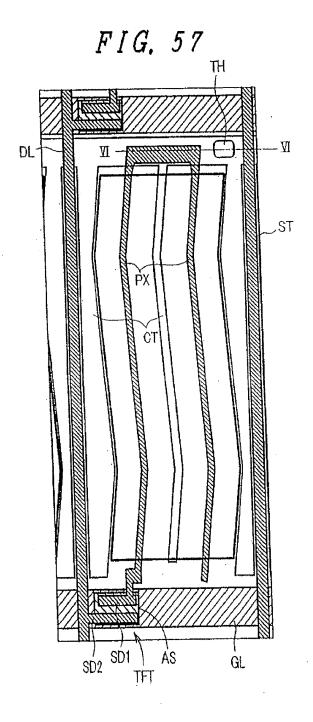


FIG. 58

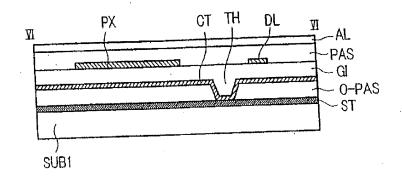


FIG. 59

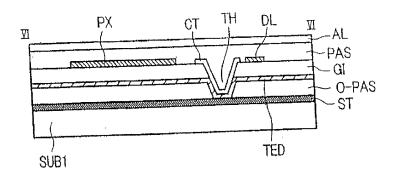


FIG. 60

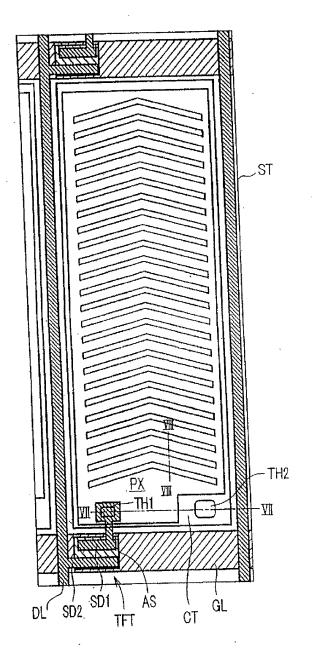


FIG. 61

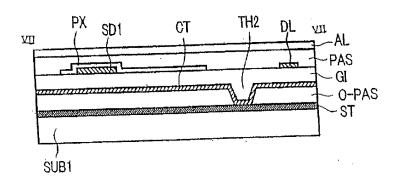
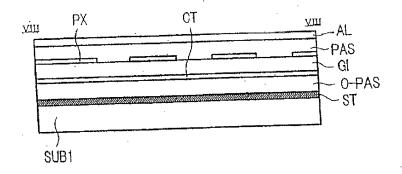
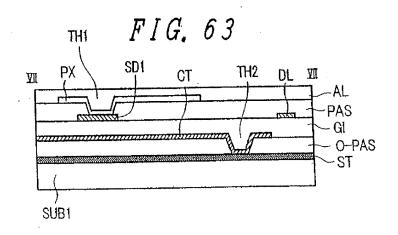


FIG. 62





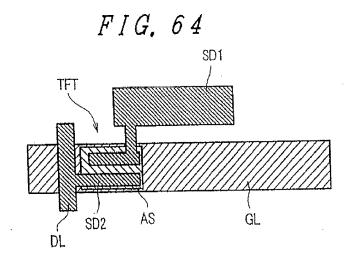


FIG. 65

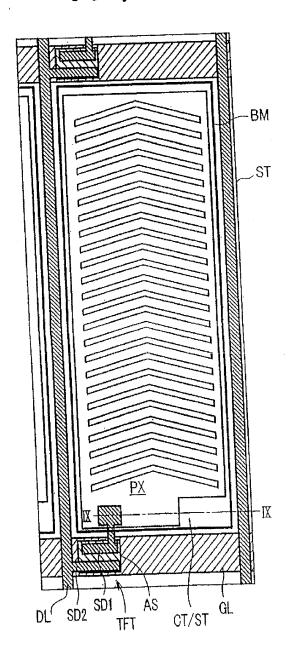


FIG. 66

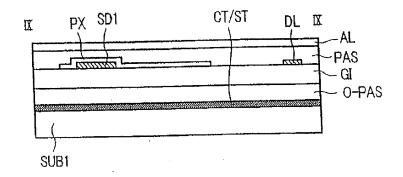


FIG. 67

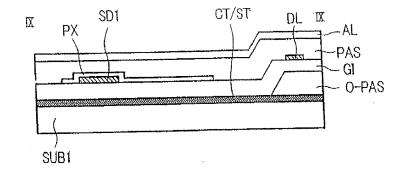


FIG. 68

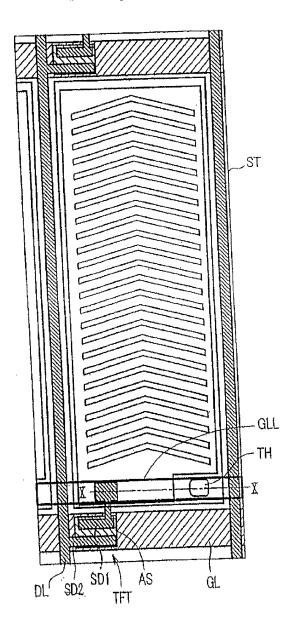


FIG. 69

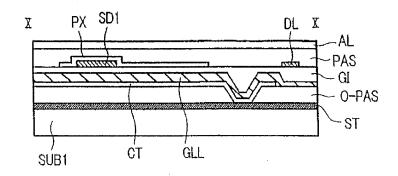


FIG. 70

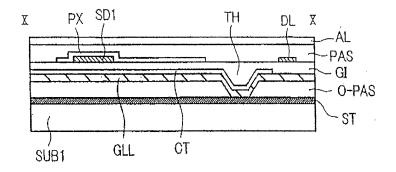


FIG. 71

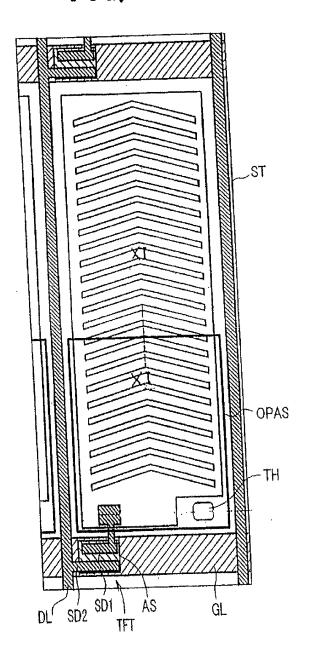


FIG. 72

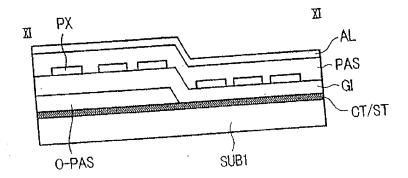
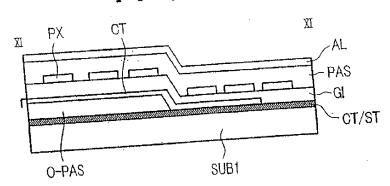


FIG. 73



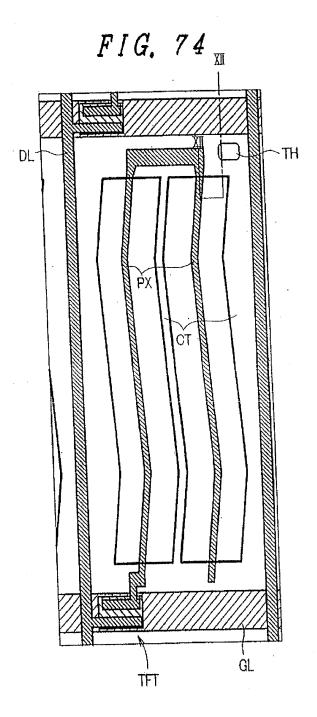


FIG. 75

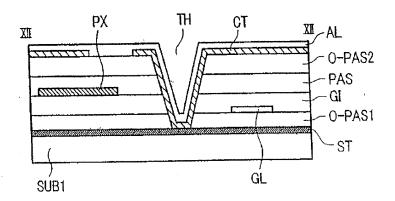


FIG. 76

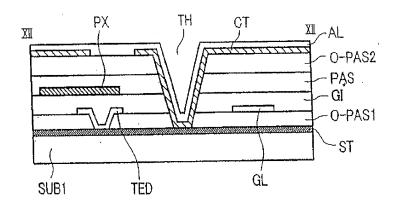


FIG. 77

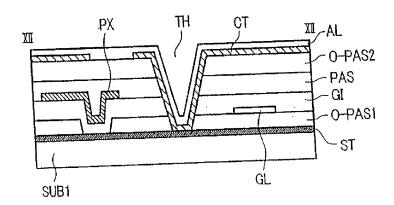


FIG. 78

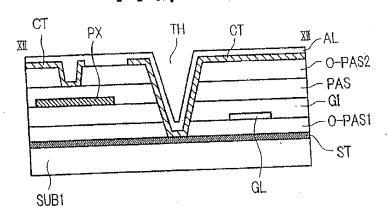


FIG. 79

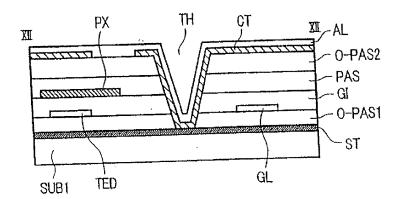
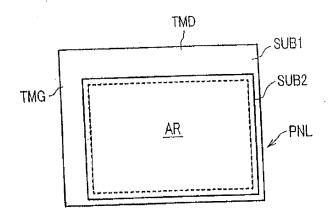


FIG. 80



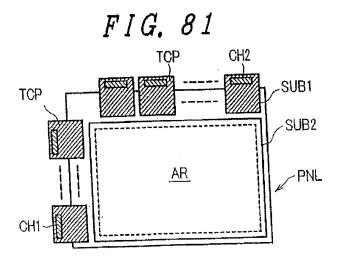
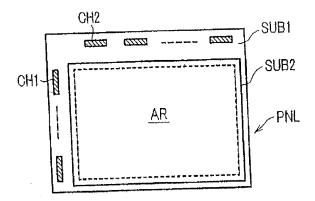


FIG. 82



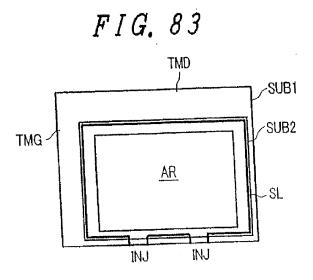


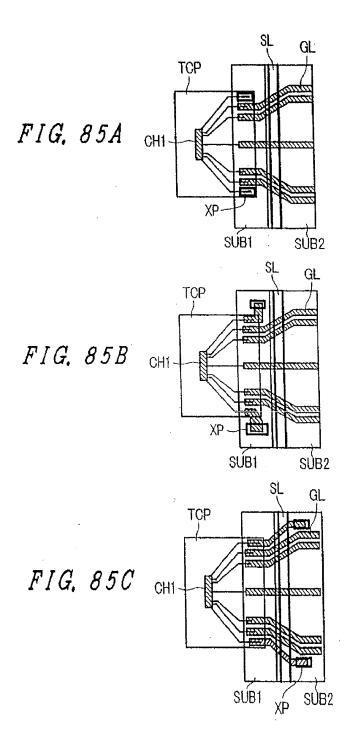
FIG. 84

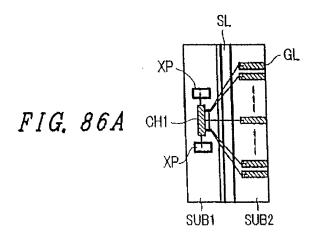
SUB2

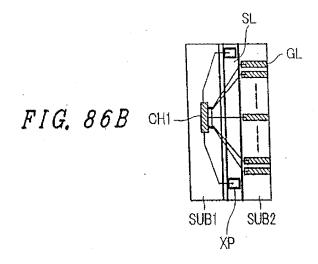
LC

SUB1

SUB1







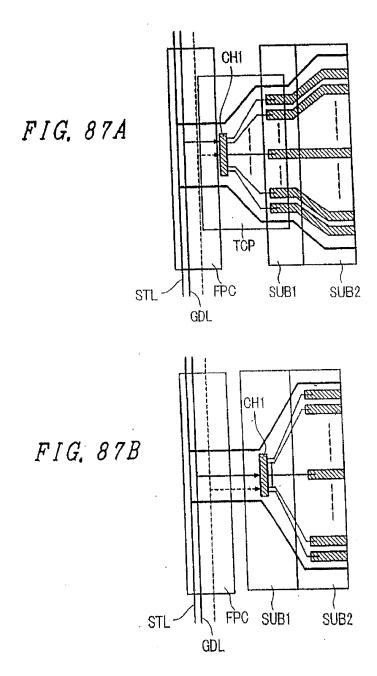


FIG. 88

TMD SL SUB1
SUB2

INJ

FIG. 89

STC TMD SL
SUB2
SUB1

INJ

FIG. 90

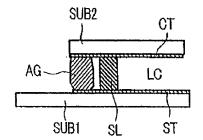


FIG. 91

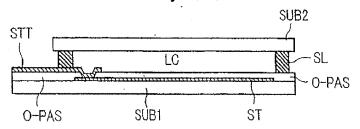
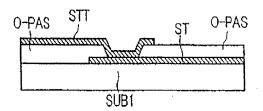
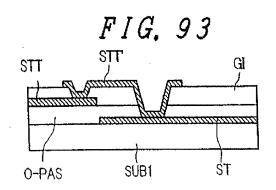
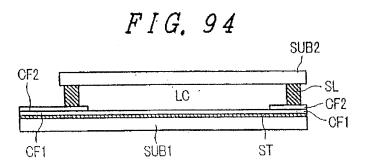
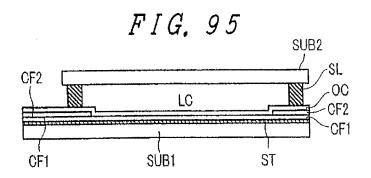


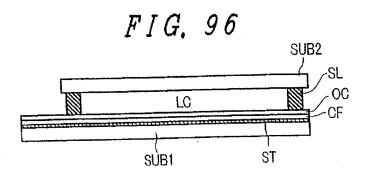
FIG. 92

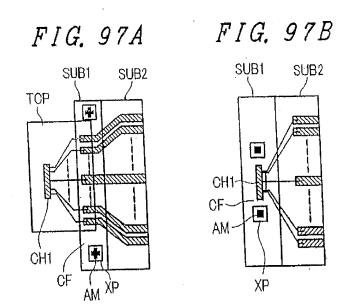


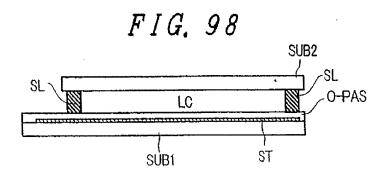


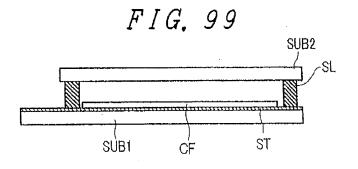


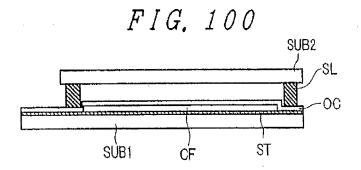


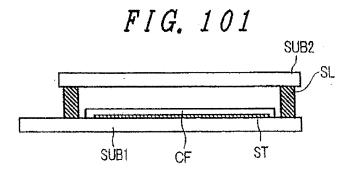


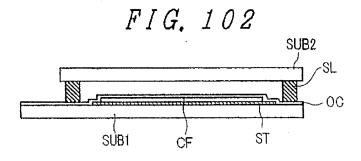












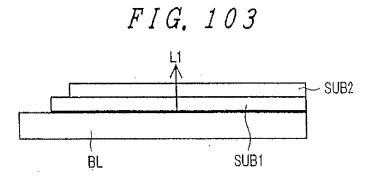


FIG. 104

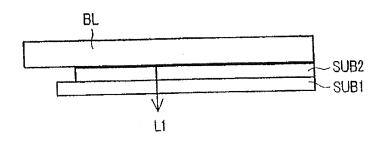


FIG. 105

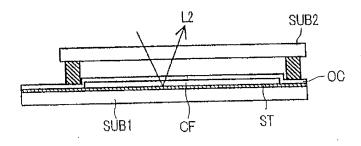
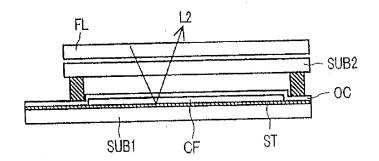


FIG. 106



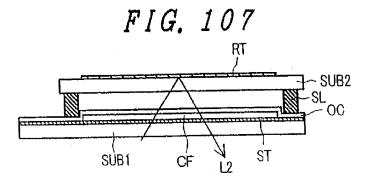


FIG. 108

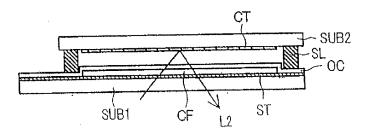


FIG. 109

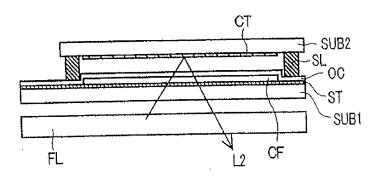


FIG. 110

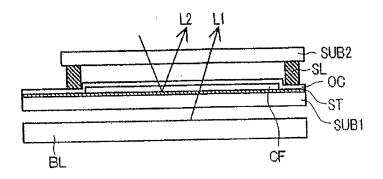
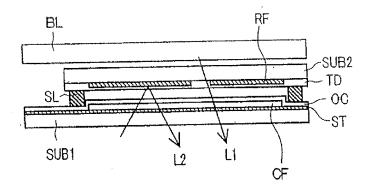


FIG. 111



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# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of '<br>Invention   | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF   |  |  |  |  |  |  |
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| As the below  | w named inventor, i hereby declare that:   |  |  |  |  |  |  |
| This declaration  The attached application, or is directed to:  |  |  |  |  |  |  |  |
| 10 011 011 0  | United States application or PCT International application number  |  |  |  |  |  |  |
| •   | filed on   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |
| The above-  | identified application was made or authorized to be made by me.  |  |  |  |  |  |  |
| I believe tha   | at I am the original inventor or an original joint inventor of a claimed invention in the application.   |  |  |  |  |  |  |
| I hereby acl<br>by fine or in   | knowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 aprisonment of not more than five (5) years, or both.                       |  |  |  |  |  |  |
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| LEGAL N   | IAME OF INVENTOR   |  |  |  |  |  |  |
| Inventor:   | Yoshiaki NAKAYOSHI Date (Optional):  |  |  |  |  |  |  |
| Signature   | Yoshiaki NAKAYOSHI Date (Optional):  |  |  |  |  |  |  |
| ) [   | plication data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form,<br>ilonal PTO/SB/AIA01 form for each additional inventor, |  |  |  |  |  |  |

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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of Invention METHOD THEREOF  |  |  |  |  |  |
|--|--|--|--|--|--|
| As the below named inventor, I hereby declare that:  |  |  |  |  |  |
| This declaration The attached application, or is directed to:  |  |  |  |  |  |
| United States application or PCT International application number  |  |  |  |  |  |
| filed on   |  |  |  |  |  |
|  |  |  |  |  |  |
| The above-identified application was made or authorized to be made by me.  |  |  |  |  |  |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.   |  |  |  |  |  |
|  |  |  |  |  |  |
| I hereby acknowledge that any willful faise statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  |  |  |  |  |  |
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| LEGAL NAME OF INVENTOR   |  |  |  |  |  |
| Inventor: Kazuhiko YANAGAWA Date (Optional):   |  |  |  |  |  |
| Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.  |  |  |  |  |  |

This collection of information is required by 35 U.S.C. 116 and 37 CFR 1.63. The information is required to obtain or relatin a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiatity is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. This will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer; U.S. Death of Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Application Number:                           |           |  |            |        |                         |  |
| Filing Date:                                  |           |  |            |        |                         |  |
| Title of Invention:                           |           | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF |            |        |                         |  |
| First Named Inventor/Applicant Name:          | Yosl      | hiaki NAKAYOSHI  |            |        |                         |  |
| Filer:  | Juar      | n Carlos A. Marque   | z/Lily Niu |        |                         |  |
| Attorney Docket Number:                       | HARU-0126 |  |            |        |                         |  |
| Filed as Large Entity                         |           |  |            |        |                         |  |
| Filing Fees for Utility under 35 USC 111(a)   |           |  |            |        |                         |  |
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| UTILITY EXAMINATION FEE                       |           | 1311   | 1          | 720    | 720                     |  |
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| Patent-Appeals-and-Interference:              |           |  |            |        |                         |  |

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| Application Number:                  | 15271422  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 2686  |  |  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |  |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |  |  |
| Customer Number:                     | 38327   |  |  |  |
| Filer:                               | Juan Carlos A. Marquez/Lily Niu   |  |  |  |
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| Time Stamp:                          | 12:14:21  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |

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|                    |   |   | 212146                                       |                     |                     |
| 1                  | Transmittal of New Application  | HARU-126-<br>Continuation_transmittal.pdf | 88421166dbef1b12ff9f1284614bc49482c9f<br>946 | no                  | 1                   |
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|                    |   |   | 1824109                                      | no                  | 10                  |
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| Information:       |   |   |  |                     |                     |
|                    | Specification   | HARU-126-SPECIFICATION.pdf                | 389931                                       | no                  | 59                  |
| 3                  |   |   | 2ed783256d5f47d89d2cdd36885b5cf2875<br>2cb2d |                     |                     |
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|                    |   |   | 78151  | no<br>3             | 3                   |
| 4                  | Claims  | HARU-126-CLAIMS.pdf                       | a755fdb0d74e1670b08d5fc443d560fa15c3<br>3c53 |                     |                     |
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| Information:       |   |   |  |                     |                     |
|                    | Abstract  | HARU-126-Abstract.pdf                     | 39683  |                     |                     |
| 5                  |   |   | 3ed08f495506b5018efed3ee155b8439e49<br>323b2 | no                  | 1                   |
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|                    | Drawings-only black and white line<br>drawings                                      |   | 3124276                                      |                     | 63                  |
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|             |  |                             | 278354                                       | no<br>s       | 2             |
| 7           | Oath or Declaration filed  | HARU-126-Declarations.pdf   | dbe344f81488f8d6a90454704ffebd1109e5<br>1589 |               |               |
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# TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application. Application Number 15/271,422 September 21, 2016 Filing Date Yoshiaki NAKAYOSHI First Named Inventor Title LIQUID CRYSTAL DISPLAY DEVICE. DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF Art Unit Examiner Name HARU-0126 Attorney Docket Number **SIGNATURE of Applicant or Patent Practitioner** Signature /juan.carlos.a.marquez/ Date (Optional) 09-21-2016 Name Registration Juan Carlos A. Marquez 34.072 Number Title (if Applicant is a Attorney of Record juristic entity) Applicant Name (if Applicant is a juristic entity) NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms. \*Total of forms are submitted.

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Document Description: Power of Attorney

PTO/AUM288 (07-13)
Description: Power of Attorney

U.S. Paled and Trailement Office; U.S. DEPARTMENT OF COMMERCE

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| POWER OF ATTORNE  | Y BY APPLICANT   |
|---|--|
| I hereby revoke all previous powers of attorney given in the applica  | tion identified in either the attached transmittal letter or   |
| the boxes below.  |  |
| Application Number  | Filing Date  |
|   |  |
| (Noise: The boxes above may be left blank it information  | is provided on form PTO/AIA/82A.)  |
| (Note: The boxes above may be associated with the fol-<br>to transact all business in the United States Patent and Tradema<br>the attached transmittal letter (form PTO/AIAV82A) or identified at   |  |
| DR  I hereby appoint Practitioner(s) named in the attached list (form I hereby appoint Practitioner(s) Differ on  | errolala 192C) as mylour attorney(s) or ageni(s), and to transact  |
| all business in the United States Patent and Tracertain Conference affacted fransmillal fetter (form PTO/ALV82A) or identified above  | e. (Note: Complete form PTO/AIA/82C.)  |
| Please recognize or change the correspondence address for letter or the boxes above to:   | •  |
| The address associated with the above-mentioned Customer Nu   | mber   |
| OR The address associated with Customer Number.   |  |
|   |  |
| OR<br>Firm or   |  |
| Individual Name   |  |
| Address State   | Zip  |
| City  |  |
| Country Telephone E   | mail   |
| I am the Applicant (if the Applicant is a juristic entity, list the Applicant ner   | me in the box):  |
|   |  |
| Inventor or Joint Inventor (title not required below)   |  |
| Legal Representative of a Deceased or Legally incapacitated in  | ventor (fille not required below)  |
| Legal-Representative of a Deceased of Legal independent of the Inventor is Under an Obligation  Assignee or Person to Whom the Inventor is Under an Obligation  |  |
| Assignee of Person to Microsian Sufficient Proprietary Interest (e. application or is concurrently being filed with this document) (pr  |  |
| SIGNATURE of Appl The undereigned (whose life is supplied below) is authorized to act on but  |  |
|   | Date (Optional)  |
| Signature (1/4) / A (4) Name Kei NAKASi IIMA  |  |
| 1   | isplay INC.  |
| NOTE: Signature This form must be signed by the applicant in according and certifications, if more than one applicant, use multiple forms.  | since with 37 CFR 1,33, See 37 CFR 1.4 lot signature requirement   |
|   | the state of the property by the public which is to tile (and by lie)  |
| Total of forms are submitted.  This collection of information is required by 37 GFR 1.181, 1.52, and 1.33. The information is USPTO to process) an application. Confidentially is governed by 35 U.S.C., 122 and 37 GFR USPTO to process) an application. Confidentially is governed by 35 U.S.C., 122 and 37 GFR USPTO including gathering, preparing, and submitted in completed application form of the USPTO including gathering, preparing the complete the form and/or suggestions for reducing this burden, shock of time or require to complete the form and/or suggestions for reducing the Commerce, P.O. Box 1450, Alexandria, VA 22313-4459.  If you need assistance in completing the form, or | or require to ceite under the control of the extended to take a manufactor complete.  Time vall vary depending upon the individual case, Any comments on the encetal the cent to the Charl Information Officer, U.S. Peient and Trademark Direc, U.S. ES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO; Commissioner all 1-800-PTO-9199 and select option 2. |

Page 146 of 263

Customized PTO/AIA/80 (07-12)

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

The Practitioner(s) associated with the Customer Number: 38327

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with the application identified in the attached statement under 37 CFR 3.73(c).

Please recognize or change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

The address associated with Customer Number: 38327

Assignée Name and Address:

Panasonic Liquid Crystal Display Co., Ltd. 1-6 Megahida-cho, Shikama-ku, Himeji-shi, Hyogo, 672-8033 Japan

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

# SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature

Kazuhiko Ishimaru

Title

Name

Manager of Intellectual Property Team

STITES & HARBISON PLLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314
Tel: 703-739-4900 • Fax: 703-739-9577 • CUSTOMER No. 38327

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|                      | <u>STATEMEI</u>   | NT UNDER 37 CFR 3.73(c)   |
|----------------------|---|---|
| Applicant/Patent C   | Owner: Japan Display Inc.   |   |
| Application No./Pa   | atent No.: 15/271,422   | Filed/Issue Date: September 21, 2016  |
| Titled: LIQUID (     | CRYSTAL DISPLAY DEVICE, DIS   | SPLAY DEVICE AND MANUFACTURING METHOD THEREOF   |
| Japan Display Ir     | nc, a   | corporation   |
| (Name of Assignee)   |   | (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)   |
| states that, for the | e patent application/patent identified a  | above, it is (choose one of options 1, 2, 3 or 4 below):  |
| 1. The assign        | nee of the entire right, title, and interest  | est.  |
|                      | ee of less than the entire right, title, a  | ,   |
| The ext              | tent (by percentage) of its ownership<br>e balance of the interest <u>must be sub</u> | interest is   |
|                      | are unspecified percentages of owner and interest are:                                | ership. The other parties, including inventors, who together own the entire   |
|                      |   |   |
|                      | nal Statement(s) by the owner(s) hold and interest.                                   | ding the balance of the interest must be submitted to account for the entire  |
|                      |   | ntirety (a complete assignment from one of the joint inventors was made).<br>In the entire right, title, and interest are:          |
|                      |   |   |
|                      | nal Statement(s) by the owner(s) hold and interest.                                   | ling the balance of the interest <u>must be submitted</u> to account for the entire   |
|                      |   | (e.g., bankruptcy, probate), of an undivided interest in the entirety (a ne certified document(s) showing the transfer is attached. |
| The interest identi  | ified in option 1, 2 or 3 above (not op   | tion 4) is evidenced by either (choose one of options A or B below):  |
|                      | States Patent and Trademark Office  | nt application/patent identified above. The assignment was recorded in eat Reel, Frame, or for which a copy                         |
| B. 🗹 A chain of      | f title from the inventor(s), of the pate   | nt application/patent identified above, to the current assignee as follows:   |
| 1. From:             | Yoshiaki NAKAYOSHI and Kazuhik  | o YANAGAWA To: Hitachi, Ltd.  |
|                      | The document was recorded in the UReel 035604 , Frame 0582                            | United States Patent and Trademark Office at, or for which a copy thereof is attached, Hitachi Displays, Ltd.                       |
|                      | The document was recorded in the UReel 035604 Frame 0628                              | United States Patent and Trademark Office at, or for which a copy thereof is attached.  |

[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| STATEMENT   | UNDER 37 CFR 3.73(c)   |
|---|--|
| 3. From: Hitachi Displays, Ltd.   | To: Hitachi Displays, Ltd. and IPS Alpha Support Co., Ltd.   |
|   | ed States Patent and Trademark Office at   |
| Reel <u>035604</u> , Frame <u>0765</u>  | , or for which a copy thereof is attached.   |
| 4. From: IPS Alpha Support Co., Ltd.  | To: Panasonic Liquid Crystal Display Co., Ltd.   |
|   | ed States Patent and Trademark Office at   |
| Reel <u>035605</u> , Frame <u>0190</u>  | , or for which a copy thereof is attached.   |
| 5. From: Hitachi Displays, Ltd.   |  |
|   | ed States Patent and Trademark Office at   |
| Reel <u>035625</u> , Frame <u>0197</u>  | , or for which a copy thereof is attached.   |
| 6. From: Japan Display East, Inc.   |  |
|   | ed States Patent and Trademark Office at   |
| Reel <u>035625</u> , Frame <u>0381</u>  | , or for which a copy thereof is attached.   |
| Additional documents in the chain of title are lists  | ed on a supplemental sheet(s).   |
|   |  |
| As required by 37 CFR 3.73(c)(1)(i), the document assignee was, or concurrently is being, submitted for | tary evidence of the chain of title from the original owner to the for recordation pursuant to 37 CFR 3.11.                      |
|   | riginal assignment document(s)) must be submitted to Assignment ord the assignment in the records of the USPTO. See MPEP 302.08] |
| The undersigned (whose title is supplied below) is authorize  | zed to act on behalf of the assignee.  |
| /juan.carlos.a.marquez/   | September 21, 2016   |
| Signature   | Date   |
| Juan Carlos A. Marquez  | 34,072   |
| Printed or Typed Name   | Title or Registration Number   |

[Page 2 of 2]

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/96 (08-12)
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| STATEMENT UNDER 37 CFR 3.73(c)  |
|---|
| Applicant/Patent Owner: Panasonic Liquid Crystal Display Co., Ltd.  |
| Application No./Patent No.: 15/271,422 Filed/Issue Date: September 21, 2016   |
| Titled: LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF  |
| Panasonic Liquid Crystal Display Co., Ltd, a corporation  |
| (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  |
| states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):  |
| 1. The assignee of the entire right, title, and interest.   |
| 2.  An assignee of less than the entire right, title, and interest (check applicable box):  |
| The extent (by percentage) of its ownership interest is   |
| There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:  |
|   |
| Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.  |
| 3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:                        |
|   |
| Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.  |
| 4. The recipient, via a court proceeding or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached. |
| The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):   |
| A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.                        |
| B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:  |
| 1. From: Yoshiaki NAKAYOSHI and Kazuhiko YANAGAWA To: Hitachi, Ltd.   |
| The document was recorded in the United States Patent and Trademark Office at   |
| Reel 035604, Frame 0582, or for which a copy thereof is attached.  2. From: Hitachi, Ltd  |
| The document was recorded in the United States Patent and Trademark Office at  Reel 035604 , Frame 0628 , or for which a copy thereof is attached.  |

Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| STATEMENT   | UNDER 37 CFR 3.73(c)   |
|---|--|
| 3. From: Hitachi Displays, Ltd.   | To: Hitachi Displays, Ltd. and IPS Alpha Support Co., Ltd.   |
| The document was recorded in the Unit   | ted States Patent and Trademark Office at  |
| Reel <u>035604</u> , Frame <u>0765</u>  | , or for which a copy thereof is attached.   |
| 4. From: IPS Alpha Support Co., Ltd.  | To: Panasonic Liquid Crystal Display Co., Ltd.   |
|   | ted States Patent and Trademark Office at  |
| Reel 035605 , Frame 0190  | , or for which a copy thereof is attached.   |
| 5. From: Hitachi Displays, Ltd.   |  |
|   | ted States Patent and Trademark Office at  |
| Reel 035625 , Frame 0197  | , or for which a copy thereof is attached.   |
| 6. From: Japan Display East, Inc.   | To: Japan Display Inc.   |
|   | ted States Patent and Trademark Office at  |
| Reel 035625 , Frame 0381  | , or for which a copy thereof is attached.   |
| Additional documents in the chain of title are lis  |  |
|   |  |
| As required by 37 CFR 3.73(c)(1)(i), the document assignee was, or concurrently is being, submitted | ntary evidence of the chain of title from the original owner to the I for recordation pursuant to 37 CFR 3.11.                     |
|   | original assignment document(s)) must be submitted to Assignment cord the assignment in the records of the USPTO. See MPEP 302.08] |
| The undersigned (whose title is supplied below) is authori  | ized to act on behalf of the assignee.   |
| /juan.carlos.a.marquez/   | September 21, 2016   |
| Signature   | Date   |
| Juan Carlos A. Marquez  | 34,072   |
| Printed or Typed Name   | Title or Registration Number   |

[Page 2 of 2]

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Acknowledgement Receipt   |   |  |  |  |
|--------------------------------------|---|--|--|--|
| EFS ID:                              | 26989288  |  |  |  |
| Application Number:                  | 15271422  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 2686  |  |  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |  |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |  |  |
| Customer Number:                     | 38327   |  |  |  |
| Filer:                               | Juan Carlos A. Marquez/Lily Niu   |  |  |  |
| Filer Authorized By:                 | Juan Carlos A. Marquez  |  |  |  |
| Attorney Docket Number:              | HARU-0126   |  |  |  |
| Receipt Date:                        | 21-SEP-2016   |  |  |  |
| Filing Date:                         |   |  |  |  |
| Time Stamp:                          | 12:32:52  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |

# **Payment information:**

| Submitted with Payment |                      |    | no                         |  |                     |                     |  |  |
|------------------------|----------------------|----|----------------------------|--|---------------------|---------------------|--|--|
| File Listin            | g:                   |    |                            |  |                     |                     |  |  |
| Document<br>Number     | Document Description |    | File Name                  | File Size(Bytes)/<br>Message Digest                    | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |
| 1                      | Power of Attorney    | НА | RU-126-POA_transmittal.pdf | 161772<br>151d795ba82388c4bfa21ea8403cf054391<br>d2fd1 | no                  | 1                   |  |  |
| Warnings:              | Warnings:            |    |                            |  |                     |                     |  |  |

| Information | :  |                                       |   |               |               |
|-------------|--|---------------------------------------|---|---------------|---------------|
|             |  |                                       | 288468  |               |               |
| 2           | Power of Attorney  | HARU-126-POAs.pdf                     | 2ea44fc819685b1e82b6ea84bff7712e9546<br>acfd  | no            | 2             |
| Warnings:   | -  |                                       | '   |               |               |
|             | in the PDF is too large. The pages should be<br>apper and may affect subsequent processing |                                       | itted, the pages will be re                   | sized upon er | ntry into the |
| Information | •  |                                       |   |               |               |
|             |  | Assignee statement 1.pdf              | 125205  |               |               |
| 3           | Assignee showing of ownership per 37<br>CFR 3.73   |                                       | 108ea08153f177694e362917a56e90f5767f<br>8c.4d | no            | 3             |
| Warnings:   |  |                                       |   |               |               |
| Information | :  |                                       |   |               |               |
|             |  |                                       | 125288  |               |               |
| 4           | Assignee showing of ownership per 37<br>CFR 3.73   | HARU-126-<br>Assignee_statement_2.pdf | 423f573a51cc7f77ffb4c431cb2b9b29702d<br>95bf  | no            | 3             |
| Warnings:   | <del> </del>   |                                       | -   |               |               |
| Information | :  |                                       |   |               |               |
|             |  | Total Files Size (in bytes)           | 70  | 00733         |               |
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re U.S. Patent Application of  | )                       |
|---|-------------------------|
| NAKAYOSHI et al.  | ) Confirmation No. 2686 |
| Application Number: 15/271,422  | )                       |
| Filed: September 21, 2016   | )                       |
| For: Liquid Crystal Display Device, Display Device and manufacturing Method Thereof | )<br>)                  |
| Attorney Docket No. HARU-0126   | )                       |

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

This application is a Continuation of U.S. Application Serial No. 14/942,120 filed on November 16, 2015 which is a Continuation of U.S. Application Serial No. 14/708,348 filed on May 11, 2015, which is a Continuation of U.S. Application No. 14/285,006 filed on May 22, 2014, which is a continuation of U.S. Application No. 13/927,539 filed on June 26, 2013, which is a Continuation of U.S. Application No. 13/650,203 filed on October 12, 2012, which is a Continuation of U.S. Application No. 13/364,092 filed on February 1, 2012, which is a Continuation of U.S. Application Serial No. 12/926,735 filed December 7, 2010, which is a Continuation of U.S. Application Serial No. 12/292,728 filed November 25, 2008, which is a Divisional of U.S. Application Serial No. 11/976,884 filed October 29, 2007, which is a Divisional of U.S. Application No. 11/409,076 filed April 24, 2006, which is a Divisional of U.S. Application Serial No. 11/211,574 filed August 26, 2005, which is a Divisional of U.S. Application Serial No. 10/237,911 filed September 10, 2002.

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is being submitted in connection with the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on the accompanying Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each non-US or foreign patent or non-patent publication or any portion thereof listed or herein identified is submitted herewith.

#### **CERTIFICATION**

- 1. This Information Disclosure Statement is being submitted:
  - [X] (a) Concurrently with the new Continuation Application, whereby it is believed that no fee is due; OR
  - [ ] (b) After three months from the filing date of the above-identified U.S. patent application but before the mailing date of the first Office Action on the merits of the above-identified application, whereby it is believed that no fee is due; OR
  - [ ] (c) After three months from the filing date of the above-identified U.S. patent application and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to the issuance of any Final Action or Notice of Allowance sent in such application, whereby Applicant(s) hereby submit the requisite certification hereinbelow, or authorization for the payment of the requisite fee is set forth hereinbelow; OR
  - [ ] (d) After the issuance of a Final Action or Notice of Allowance, but before the payment of the Issue Fee, whereby Applicant(s) hereby submit the requisite certification hereinbelow, and authorization for the payment of the requisite fee is set forth hereinbelow.
- 2. In accordance with the requirements of 37 C.F.R. §1.97, and the above, Applicant(s) hereby certify that:
  - [ ] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; OR
  - [ ] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no

item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this

Information Disclosure Statement.

3. Payment of any fees associated with this communication and submission is as follows:

[ ] Payment for \$ to cover the fee is being submitted via the EFS payment procedure.

[X] The Commissioner is hereby authorized to charge payment of any fees associated with

this communication, including fees under 37 C.F.R. § 1.16 and 1.17, or credit any

overpayment to Deposit Account Number 60-0155.

4. The Examiner is requested to acknowledge receipt and consideration of the information provided

in this paper in accordance with prescribed procedures. Should there be any outstanding issues

requiring discussion that would further the prosecution and allowance of the above-captioned

application, the Examiner is invited to contact the undersigned representative at the address and

phone number indicated below.

Respectfully submitted,

/juan.carlos.a.marquez/

Lan Carlan A. Manna

Juan Carlos A. Marquez Registration Number 34,072

MARQUEZ IP LAW OFFICE, PLLC

1629 K Street, NW, Suite 300 Washington, DC 20006 Phone: (202) 349-1690 Fax: (202) 754-9829

Customer No. 38327

**September 21, 2016** 

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|       |                          |               | Application Number     | 15         |
| IN    | FORMATION D              | ISCI OSLIDE   | Filing Date            | 2016-09-21 |
|       |                          |               | First Named Inventor   | NAKAYOSHI  |
| S     | <b>FATEMENT BY</b>       |               | Art Unit               |            |
|       | (Use as many sheets a    | as necessary) | Examiner Name          |            |
| Sheet | 1 of                     | 1             | Attorney Docket Number | HARU-0126  |

|                       |                          |   | U. S. PATENT                 | DOCUMENTS  |   |
|-----------------------|--------------------------|---|------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date  MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1                        | <sup>US-</sup> 6,069,678                                  | 05-30-2000                   | Sakamoto et al.                                    |   |
|                       | 2                        | <sup>US-</sup> 6,650,389 B1                               | 11-18-2003                   | Sakamoto   |   |
|                       | 3                        | <sup>US-</sup> 6,462,800 B1                               | 10-08-2002                   | Kim et al.   |   |
|                       | 4                        | <sup>US-</sup> 6,552,770 B2                               | 04-22-2003                   | Yanagawa et al.                                    |   |
|                       | 5                        | <sup>US-</sup> 6,633,360 B2                               | 10-14-2003                   | Okada et al.                                       |   |
|                       | 6                        | <sup>US-</sup> 6,771,342 B1                               | 08-03-2004                   | Hirakata et al.                                    |   |
|                       | 7                        | <sup>US-</sup> 6,985,194                                  | 01-10-2006                   | Kawano, et al.                                     |   |
|                       | 8                        | <sup>US-</sup> 2007/0153203                               | 07-05-2007                   | Kim et al.   |   |
|                       | 9                        | <sup>US-</sup> 6,621,545                                  | 09-16-2003                   | Park et al.  |   |
|                       | 10                       | <sup>US-</sup> 5,892,562                                  | 04-06-1999                   | Yamazaki et al.                                    |   |
|                       | 11                       | <sup>US-</sup> 2005/0105034                               | 05-19-2005                   | Ono et al.   |   |
|                       | 12                       | <sup>US-</sup> 6,259,502                                  | 07-10-2001                   | Komatsu  |   |
|                       | 13                       | <sup>US-</sup> 5,668,379                                  | 09-16-1997                   | Ono et al.   |   |
|                       | 14                       | <sup>US-</sup> 5,742,365                                  | 04-21-1998                   | Seo  |   |
|                       | 15                       | <sup>US-</sup> 6,600,541                                  | 07-29-2003                   | Kurahashi et al.                                   |   |
|                       | 16                       | <sup>US-</sup> 5,668,379                                  | 09-16-1997                   | Ono et al.   |   |
|                       | 17                       | <sup>US-</sup> 6,580,487                                  | 06-17-2003                   | Kim et al.   |   |
|                       | 18                       | US-   |                              |  |   |
|                       | 19                       | US-   |                              |  |   |

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| Examiner<br>Initials* | Cite<br>No.1 |  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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|                        |                              |         |                   | Application Number     | 15         |
| INI                    |                              | וחו     | SCI OSLIDE        | Filing Date            | 2016-09-21 |
| INFORMATION DISCLOSURE |                              |         |                   | First Named Inventor   | NAKAYOSHI  |
| STATEMENT BY APPLICANT |                              |         |                   | Art Unit               |            |
|                        | (Use as many sh              | eets as | necessary)        | Examiner Name          |            |
| Sheet                  | 1                            | of      | 1                 | Attorney Docket Number | HARU-0126  |

|                       |              |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (ff known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1            | <sup>US-</sup> 6,469,765 B1                               | 10-22-2002                     | Matsuyama et al.                                   |   |
|                       | 2            | <sup>US-</sup> 6,580,487 B1                               | 06-17-2003                     | Kim et al.   |   |
|                       | 3            | <sup>US-</sup> 6,600,542 B2                               | 07-29-2003                     | Kim et al.   |   |
|                       | 4            | <sup>US-</sup> 6,611,310 B2                               | 08-26-2003                     | Kurahashi et al.                                   |   |
|                       | 5            | <sup>US-</sup> 6,646,707 B2                               | 11-11-2003                     | Noh et al.   |   |
|                       | 6            | <sup>US-</sup> 6,667,790 B2                               | 12-23-2003                     | Yanagawa et al.                                    |   |
|                       | 7            | <sup>US-</sup> 6,671,019 B1                               | 12-30-2003                     | Petschek et al.                                    |   |
|                       | 8            | <sup>US-</sup> 6,721,028 B2                               | 04-13-2004                     | Kim et al.   |   |
|                       | 9            | <sup>US-</sup> 6,784,964 B2                               | 08-31-2004                     | Nakayoshi et al.                                   |   |
|                       | 10           | <sup>US-</sup> 2002/0041354 A1                            | 04-11-2002                     | Noh et al.   |   |
|                       | 11           | <sup>US-</sup> 2003/0086045 A1                            | 05-08-2003                     | Ono et al.   |   |
|                       | 12           | <sup>US-</sup> 2003/0071952 A1                            | 04-17-2003                     | Yoshida et al.                                     |   |
|                       | 13           | <sup>US-</sup> 2002/0048500 A1                            | 04-25-2002                     | Hermann et al.                                     |   |
|                       | 14           | <sup>US-</sup> 6,639,640 B1                               | 10-28-2003                     | Matsuoka et al.                                    |   |
|                       | 15           | <sup>US-</sup> 6,335,148 B2                               | 01-01-2002                     | Lee et al.   |   |
|                       | 16           | <sup>US-</sup> 6,380,672 B1                               | 04-30-2002                     | Yudasaka   |   |
|                       | 17           | <sup>US-</sup> 5,686,980                                  | 11-11-1997                     | Hirayama et al.                                    |   |
|                       | 18           | <sup>US-</sup> 6,724,444 B2                               | 04-20-2004                     | Ashizawa et al.                                    |   |
|                       | 19           | <sup>US-</sup> 6,337,726 B1                               | 01-08-2002                     | Kawano et al.                                      |   |

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| Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |
|              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,            | Or Relevant Figures Appear                        |   |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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| Subs                   | Substitute for form 1449/PTO |                  | Complete II Known      |            |  |
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|                        |                              |                  | Application Number     | 15         |  |
| INI                    |                              | DISCLOSURE       | Filing Date            | 2016-09-21 |  |
|                        |                              |                  | First Named Inventor   | NAKAYOSHI  |  |
| STATEMENT BY APPLICANT |                              |                  | Art Unit               |            |  |
|                        | (Use as many shee            | ts as necessary) | Examiner Name          |            |  |
| Sheet                  | 1                            | of 1             | Attorney Docket Number | HARU-0126  |  |

|                       |              |   | U. S. PATEN                    | T DOCUMENTS  |   |
|-----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1            | US- 5914762   | 06-22-1999                     | Lee et al.   |   |
|                       | 2            | <sup>US-</sup> 6233034 B1                                 | 05-15-2011                     | Lee et al.   |   |
|                       | 3            | <sup>US-</sup> 6456351 B1                                 | 09-24-2002                     | Kim et al.   |   |
|                       | 4            | <sup>US-</sup> 6256081 B1                                 | 07-03-2001                     | Lee et al.   |   |
|                       | 5            | <sup>US-</sup> 5,745,207                                  | 04-28-1998                     | Asada et al.                                       |   |
|                       | 6            | <sup>US-</sup> 6,266,116 B1                               | 07-24-2001                     | OHTA et al,  |   |
|                       | 7            | <sup>US-</sup> 5,946,066                                  | 08-31-1999                     | Lee et al.   |   |
|                       | 8            | <sup>US-</sup> 6,597,413 B2                               | 07-22-2003                     | Kurashina  |   |
|                       | 9            | <sup>US-</sup> 6,661,476 B1                               | 12-09-2003                     | Abe et al.   |   |
|                       | 10           | <sup>US-</sup> 6,587,162 B1                               | 07-01-2003                     | Kaneko et al.                                      |   |
|                       | 11           | <sup>US-</sup> 6,556,265 B1                               | 04-29-2003                     | Murade   |   |
|                       | 12           | <sup>US-</sup> 6,493,046 B1                               | 12-10-2002                     | Ueda   |   |
|                       | 13           | <sup>US-</sup> 5,060,036                                  | 10-22-1991                     | Choi   |   |
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|                       | 15           | <sup>US-</sup> 5,185,601                                  | 02-09-1993                     | Takeda et al.                                      |   |
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|                       | 17           | <sup>US-</sup> 6,285,429 B1                               | 09-04-2001                     | Nishida et al.                                     |   |
|                       | 18           | <sup>US-</sup> 6,362,858 B1                               | 03-26-2002                     | Jeon et al.  |   |
|                       | 19           | <sup>US-</sup> 6,404,470 B1                               | 06-11-2002                     | Kim et al.   |   |

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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          | 77   | Or Relevant Figures Appear                        | T <sup>6</sup> |
|                       | 1            | JP 2001-228493   | 08-24-2001          | Hynix Semiconductor Inc.                           | Abstract  | ~              |
|                       | 2            | JP 2000-08408  | 09-24-1998          |  |   | 1              |
|                       | 3            | JP 3591513   | 04-19-2001          | Seiko Epson Corporation                            |   |                |
|                       | 4            | CN 1242854A  | 03-04-1999          | Seiko Epson Corporation                            |   |                |
|                       |              |  |                     |  |   |                |
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- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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| Japonia   |                              |           |                   | Application Number     | 15         |
|           | = = =                        | _         | CLOSURE           | Filing Date            | 2016-09-21 |
| STA       | TEMENT B                     | BY A      | PPLICANT          | First Named Inventor   | NAKAYOSHI  |
|           | (Use as many she             | ote ae n  | ocossaru)         | Art Unit               |            |
|           | (Use as many sne             | ets as II | ecessary)         | Examiner Name          |            |
| Sheet     | 1                            | of        | 1                 | Attorney Docket Number | HARU-0126  |
|           |                              |           |                   |                        |            |

|                       |                          | NON PATENT LITERATURE DOCUMENTS   |    |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
|                       |                          | JPO Office Action dated April 8, 2018, in Japanese  |    |
|                       |                          | Chinese Office Action dated May 9, 2008 regarding Chinese Patent Application No. 2007100057283, in Chinese  |    |
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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| EFS ID:                              | 26989489  |  |  |  |  |
| Application Number:                  | 15271422  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 2686  |  |  |  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |  |  |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |  |  |  |
| Customer Number:                     | 38327   |  |  |  |  |
| Filer:                               | Juan Carlos A. Marquez/Lily Niu   |  |  |  |  |
| Filer Authorized By:                 | Juan Carlos A. Marquez  |  |  |  |  |
| Attorney Docket Number:              | HARU-0126   |  |  |  |  |
| Receipt Date:                        | 21-SEP-2016   |  |  |  |  |
| Filing Date:                         |   |  |  |  |  |
| Time Stamp:                          | 12:43:47  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |  |

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| 1                        | Transmittal Letter   | HA | ARU-126-IDS_transmittal.pdf | 1bfaa5643932dc229263f3845efa31ec8c1c<br>2541 | no                  | 1                   |  |  |  |
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re U.S. Patent Application of  | )   |
|---|---|
| NAKAYOSHI et al.  | ) Confirmation No. 2686   |
| Application Number: 15/271,422  | )   |
| Filed: September 21, 2016   | )   |
| For: Liquid Crystal Display Device, Device and manufacturing Meth   |   |
| Attorney Docket No. HARU-0126   | )   |
| Commissioner of Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450   |   |
|   | <u>ETTER</u>  |
| Sir: The below-identified communications are  | submitted in the above-captioned application or proceeding:                                   |
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| The Commissioner is hereby authorized to character Communication including fees under 37 C.F. Deposit Account Number 60-0155. | arge payment of any fees associated with this R. § 1.16 and 1.17 or credit any overpayment to |
|   | Respectfully submitted,   |
|   | /juan.carlos.a.marquez/   |
| Marquez IP Law Office, PLLC<br>1629 K Street, NW, Suite 300<br>Washington, DC 20006<br>Tel. No. 202-349-1690                  | Juan Carlos A. Marquez<br>Registration Number 34,072  |
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FILING RECEIPT

FILING or GRP ART APPLICATION FIL FEE REC'D NUMBER 371(c) DATE UNIT ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS 15/271,422 09/21/2016 2871 1600 HARU-0126 13

**CONFIRMATION NO. 2686** 

38327 Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC

1629 K Street, NW Suite 300

Washington, DC 20006



Date Mailed: 10/04/2016

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Inventor(s)

Yoshiaki NAKAYOSHI, Ooamishirasato, JAPAN;

Kazuhiko YANAGAWA, Mobara, JAPAN;

Applicant(s)

Japan Display Inc., Tokyo, JAPAN;

Panasonic Liquid Crystal Display Co., Ltd., Hyogo-ken, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 38327

#### Domestic Priority data as claimed by applicant

This application is a CON of 14/942,120 11/16/2015 which is a CON of 14/708.348 05/11/2015 PAT 9213204 which is a CON of 14/285,006 05/22/2014 PAT 9086600 which is a CON of 13/927.539 06/26/2013 PAT 8760609 which is a CON of 13/650,203 10/12/2012 PAT 8493522 which is a CON of 13/364,092 02/01/2012 PAT 8310641 which is a CON of 12/926,735 12/07/2010 PAT 8248549 which is a CON of 12/292.728 11/25/2008 PAT 7872696 which is a DIV of 11/976,884 10/29/2007 PAT 7605876 which is a DIV of 11/409,076 04/24/2006 PAT 7307673 which is a DIV of 11/211,574 08/26/2005 PAT 7423701 which is a DIV of 10/237,911 09/10/2002 PAT 6970222

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) JAPAN 2001-317149 10/15/2001

page 1 of 4

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 15/271,422** 

**Projected Publication Date: 01/12/2017** 

Non-Publication Request: No Early Publication Request: No

**Title** 

LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF

**Preliminary Class** 

349

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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page 2 of 4

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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|  | PATE   | NT APPLI                           |                        | ON FEE DE                            |  | ION RECORI         | D                          |               | tion or Docket Num         | ber                   |
|--|--|------------------------------------|------------------------|--------------------------------------|--|--------------------|----------------------------|---------------|----------------------------|-----------------------|
|  | APPL   | ICATION AS                         |                        |                                      | umn 2)                                   | SMALL              | ENTITY                     | OR            | OTHER<br>SMALL             |                       |
|  | FOR  | NUMBE                              | R FILE                 | D NUMBE                              | R EXTRA                                  | RATE(\$)           | FEE(\$)                    |               | RATE(\$)                   | FEE(\$)               |
|  | IC FEE<br>FR 1.16(a), (b), or (c))   | N                                  | / <b>A</b>             | ١                                    | I/A                                      | N/A                |                            | 1             | N/A                        | 280                   |
|  | RCH FEE<br>FR 1.16(k), (i), or (m))  | N                                  | /A                     | ١                                    | I/A                                      | N/A                |                            | 1             | N/A                        | 600                   |
|  | MINATION FEE<br>FR 1.16(o), (p), or (q))   | N                                  | /A                     | ١                                    | I/A                                      | N/A                |                            | 1             | N/A                        | 720                   |
|  | AL CLAIMS<br>FR 1.16(i))   | 13                                 | minus                  | 20= *                                |  |                    |                            | OR            | x 80 =                     | 0.00                  |
|  | PENDENT CLAIM<br>FR 1.16(h))   | S 1                                | minus                  | 3 = *                                |  |                    |                            | 1             | x 420 =                    | 0.00                  |
| APPLICATION SIZE FEE \$310 (\$155 for small entity) for each additional (37 CFR 1.16(s))  Sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional (41(a)(1)(G) and 37 CFR 1.16(s). |  |                                    |                        |                                      |  |                    |                            | 0.00          |                            |                       |
| MUL  | TIPLE DEPENDEN   | IT CLAIM PRE                       | SENT (3                | 7 CFR 1.16(j))                       |  |                    |                            | 1             |                            | 0.00                  |
| * If th  | ne difference in colu  | umn 1 is less th                   | an zero,               | enter "0" in colu                    | mn 2.                                    | TOTAL              |                            | 1             | TOTAL                      | 1600                  |
| A  |  | (Column 1) CLAIMS REMAINING AFTER  | <u> </u>               | (Column 2) HIGHEST NUMBER PREVIOUSLY | (Column 3) PRESENT EXTRA                 | SMALL<br>RATE(\$)  | ENTITY  ADDITIONAL FEE(\$) | OR            | OTHER<br>SMALL<br>RATE(\$) |                       |
| LNI<br>LNI   | Total  | AMENDMENT                          | Minus                  | PAID FOR                             | =  |                    | ΓΕΕ(Φ)                     | ļ <sub></sub> |                            | ΓC(Φ)                 |
| AMENDMENT  | (37 CFR 1.16(i))   | •                                  | Minus                  | ***                                  |  | x =                |                            | OR            | X =                        |                       |
| VEN  | (37 CFR 1.16(h))   |                                    |                        |                                      |  | х =                |                            | OR            | x =                        |                       |
| A  | Application Size Fee   |                                    |                        |                                      |  |                    |                            | <b>∤</b>      |                            |                       |
|  | FIRST PRESENTAT  | ION OF MULTIPL                     | E DEPEN                | IDENT CLAIM (37 (                    | CFR 1.16(j))                             |                    |                            | OR            |                            |                       |
|  |  |                                    |                        |                                      |  | TOTAL<br>ADD'L FEE |                            | OR            | TOTAL<br>ADD'L FEE         |                       |
|  |  | (Column 1)<br>CLAIMS               | _                      | (Column 2)<br>HIGHEST                | (Column 3)                               |                    |                            | 1             |                            |                       |
| NT B   |  | REMAINING<br>AFTER<br>AMENDMENT    |                        | NUMBER<br>PREVIOUSLY<br>PAID FOR     | PRESENT<br>EXTRA                         | RATE(\$)           | ADDITIONAL<br>FEE(\$)      |               | RATE(\$)                   | ADDITIONAL<br>FEE(\$) |
| DMENT  | Total<br>(37 CFR 1.16(i))  | •                                  | Minus                  | **                                   | =  | x =                |                            | OR            | x =                        |                       |
|  | Independent<br>(37 CFR 1.16(h))  | •                                  | Minus                  | ***                                  | =  | х =                |                            | OR            | x =                        |                       |
| AMEN   | Application Size Fee   | (37 CFR 1.16(s))                   | •                      |                                      |  |                    |                            | ] _           |                            |                       |
|  | FIRST PRESENTAT  | ION OF MULTIPL                     | E DEPEN                | IDENT CLAIM (37 (                    | DFR 1.16(j))                             |                    |                            | OR            |                            |                       |
|  |  |                                    |                        |                                      |  | TOTAL<br>ADD'L FEE |                            | OR            | TOTAL<br>ADD'L FEE         |                       |
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re  | U.S. Patent Application of                      | ) | Confirmation No. 2686 |
|--------|---|---|-----------------------|
| NAKA   | AYOSHI et al.                                   | ) |                       |
| Appli  | cation Number: 15/271,422                       | ) |                       |
| Filed: | September 21, 2016                              | ) |                       |
| For:   | LIQUID CRYSTAL DISPLAY DEVICE,                  | ) |                       |
|        | DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF | ) |                       |
| Attor  | ney Docket No. HARU-0126                        | ) |                       |
| Comn   | nissioner of Patents                            |   |                       |

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **PRELIMINARY AMENDMENT**

Sir:

Prior to an examination on the merits, please amend the above-identified application as follows:

#### **IN THE CLAIMS:**

Claims 1-3 and 14-30 stand for consideration in this application, wherein claims 1-3 are being amended to more particularly point out and distinctly claim the subject invention; claims 4-13 are being canceled without prejudice or disclaimer; and new claims 14-30 are being submitted for consideration, all as follows:

- 1. (Currently Amended) A liquid crystal display device, comprising:
  - a first substrate;
  - a second substrate;
  - a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules;
    - a gate line and a drain line;
  - a pixel electrode and a counter electrode disposed between the first substrate and the liquid crystal layer;
    - a gate insulation layer formed on the gate line;
  - an organic insulation layer disposed between the first substrate and the liquid crystal layer;
  - a switching element, connected to the gate line, including a first electrode connected to the drain line and a second electrode connected to the pixel electrode;
  - wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode, and

wherein the pixel electrode is formed between the liquid crystal layer and the organic insulation layer, and wherein the counter electrode is a planar shape, and the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line and the drain line.

- 2. (Currently Amended) A liquid crystal display device according to claim 1, wherein the counter electrode is connected to a common layer and, wherein the organic insulation layer is formed between the counter electrode and the first substrate, comprising:
  - a first substrate;
  - a second substrate:

a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules;

a gate line and a drain line;

a pixel electrode and a counter electrode disposed between the first substrate and the liquid crystal layer;

a gate insulation layer formed on the gate line;

an organic insulation layer disposed between the first substrate and the liquid crystal layer;

wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode, and

wherein the pixel electrode is formed between the liquid crystal layer and the organic insulation layer, and wherein the counter electrode is a planar shape, and the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line.

3. A liquid crystal display device according to claim 2, wherein the counter electrode is connected to the common layer via a through hole within the organic insulation layer, comprising:

a first substrate;

a second substrate;

a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules;

a gate line and a drain line;

a pixel electrode and a counter electrode disposed between the first substrate and the liquid crystal layer;

a gate insulation layer formed on the gate line;

an organic insulation layer disposed between the first substrate and the liquid crystal layer;

wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode, and wherein the pixel electrode is formed between the liquid crystal layer and the organic insulation layer, and wherein the counter electrode is a planar shape, and the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the drain line.

# 4-13. (Canceled).

- 14. (New) A liquid crystal display device according to claim 2, wherein the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line and the drain line at least in a pixel.
- 15. (New) A liquid crystal display device according to claim 3, wherein the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line and the drain line at least in a pixel.
- 16. (New) A liquid crystal display device according to claim 1, wherein the counter electrode is connected to a common layer and, wherein the organic insulation layer is formed between the counter electrode and the first substrate.
- 17. (New) A liquid crystal display device according to claim 16, wherein the counter electrode is connected to the common layer via a through hole within the organic insulation layer.
- 18. (New) A liquid crystal display device according to claim 17, wherein the common layer is a planer shape, and faces plural of the pixel electrode.
- 19. (New) A liquid crystal display device according to claim 1, wherein a passivation layer is formed between the liquid crystal layer and the pixel electrode contacting a second electrode, and wherein the passivation layer is formed between the liquid crystal layer and the drain line.

- 20. (New) A liquid crystal display device according to claim 2, wherein a passivation layer is formed between the liquid crystal layer and the pixel electrode contacting a second electrode, and wherein the passivation layer is formed between the liquid crystal layer and the drain line.
- 21. (New) A liquid crystal display device according to claim 3, wherein a passivation layer is formed between the liquid crystal layer and the pixel electrode contacting a second electrode, and wherein the passivation layer is formed between the liquid crystal layer and the drain line.
- 22. (New) A liquid crystal display device according to claim 1, wherein the counter electrode is a planer shape, and the pixel electrode comprises a slit having the first portion and a second portion, and both of the first portion and the second portion are not parallel with the gate line and the drain line.
- 23. (New) A liquid crystal display device according to claim 1, wherein the counter electrode is a planer shape, and the pixel electrode comprises a slit having the first portion and a second portion, and both of the first portion and the second portion are not parallel with the gate line.
- 24. (New) A liquid crystal display device according to claim 1, wherein the counter electrode is a planer shape, and the pixel electrode comprises a slit having the first portion and a second portion, and both of the first portion and the second portion are not parallel with the drain line.
- 25. (New) A liquid crystal display device according to claim 1, wherein the gate insulation layer is formed between the counter electrode and the drain line.
- 26. (New) A liquid crystal display device according to claim 1, wherein the counter electrode connects to a connection line.

- 27. (New) A liquid crystal display device according to claim 26, wherein the connection line is arranged parallel to the extension direction of the gate line.
- 28. (New) A liquid crystal display device according to claim 27, wherein the organic insulation layer is formed between the connection line and the first substrate.
- 29. (New) A liquid crystal display device according to claim 26, wherein the connection line is directly contacted to the counter electrode.
- 30. (New) A liquid crystal display device according to claim 29, wherein the common electrode is disposed between the connection line and the liquid crystal layer.

**REMARKS** 

Prior to examination on the merits, the Examiner is respectfully requested to consider the

above-outlined amendments, to proceed with examination of the application on the merits, and to

indicate the allowability of the claim.

Status of the Claims

Claims 1-3 and 14-30 stand for consideration in this application, wherein claims 1-3 are

being amended to more particularly point out and distinctly claim the subject invention; claims 4-

13 are being canceled without prejudice or disclaimer; and new claims 14-30 are being submitted

for consideration. Applicants submit that there is no new matter being added as a result of this

Preliminary Amendment.

Support for the new claims submitted herewith may be found throughout the disclosure of

the invention as filed.

Conclusion

In view of the above amendments and Applicants' comments stated herein, Applicants

respectfully request an early and favorable action on the merits.

Respectfully submitted,

/juan.carlos.a.marquez/

Juan Carlos A. Marquez

Registration Number 34,072

MARQUEZ IP LAW OFFICE, PLLC

1629 K Street, NW Suite 300 Washington, DC 20006

Voice: (202) 349-1690

Fax: (202) 754-9829

Customer No. 38327

November 11, 2016

7

| Electronic Acknowledgement Receipt   |   |  |  |  |  |
|--------------------------------------|---|--|--|--|--|
| EFS ID:                              | 27488259  |  |  |  |  |
| Application Number:                  | 15271422  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 2686  |  |  |  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |  |  |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |  |  |  |
| Customer Number:                     | 38327   |  |  |  |  |
| Filer:                               | Juan Carlos A. Marquez  |  |  |  |  |
| Filer Authorized By:                 |   |  |  |  |  |
| Attorney Docket Number:              | HARU-0126   |  |  |  |  |
| Receipt Date:                        | 11-NOV-2016   |  |  |  |  |
| Filing Date:                         | 21-SEP-2016   |  |  |  |  |
| Time Stamp:                          | 15:43:19  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |  |

# **Payment information:**

| Submitted wi       | th Payment           |  | no  |  |                     |                     |  |  |
|--------------------|----------------------|--|---|--|---------------------|---------------------|--|--|
| File Listing:      |                      |  |   |  |                     |                     |  |  |
| Document<br>Number | Document Description |  | File Name   | File Size(Bytes)/<br>Message Digest                    | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |
|                    |                      |  | HARU-126-   | 93031  |                     |                     |  |  |
| 1                  | Transmittal Letter ( |  | aim_calculation_sheet_preli<br>minary_amendment.pdf | 7e 10f1b 9693781e 35090 daf1e e 8b 9737b 6a<br>a e 7a8 | no                  | 2                   |  |  |
| Warnings:          |                      |  |   | <u>'</u>   |                     |                     |  |  |

| Information: |                       |  |  |       |   |  |  |  |
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|              |                       |  | 104704                                       |       | 7 |  |  |  |
| 2            | Preliminary Amendment | HARU-126_Preliminary_Amend<br>ment.pdf | 1b5d919967f0918ca6d8e08691deb36b3c9<br>84b53 | no    |   |  |  |  |
| Warnings:    |                       |  |  |       |   |  |  |  |
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|              |                       | Total Files Size (in bytes)            | 1  | 97735 |   |  |  |  |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re U.S. Patent Application of                                     | )     | Confirmation No. 2686 |
|--|-------|-----------------------|
| NAKAYOSHI et al.   | )     |                       |
| Application Number: 15/271,422                                       | )     |                       |
| Filed: September 21, 2016  | )     |                       |
| For: Liquid Crystal Display Device, Display Device and manufacturing | )     |                       |
| METHOD THEREOF   | )     |                       |
| Attorney Docket No. HARU-0126  | )     |                       |
| Mail Stop Amendment  |       |                       |
| <b>Commissioner of Patents</b>                                       |       |                       |
| P.O. Box 1450  |       |                       |
| Alexandria, VA 22313-1450  |       |                       |
| COVER LE   | ETTER |                       |

[X] The fee for submission of claims is calculated as shown below:

| For                         | TOTAL WITH<br>NEW CLAIMS<br>ADDED | TOTAL<br>Currently On<br>File | CLAIMS<br>ALREADY<br>PAID | RATE    | CALCULATION |
|-----------------------------|-----------------------------------|-------------------------------|---------------------------|---------|-------------|
| Total Claims                | 20                                | 13                            | (Over 20)                 | x \$80  | 0           |
| Independent<br>Claims       | 3                                 | 1                             | (Over 3)                  | x \$420 | 0           |
| MULTIPLE DEPENDENT CLAIM(S) |                                   |                               |                           | + 7860  | 0           |
| REDUCTION FOR FIL           | ING BY SMALL ENTITY               | (note 37 C.F.R. §§ 1.9, 1.27  | 7, 1.28).                 | X ½     |             |
|                             |                                   |                               | TOTA                      | L       | \$0         |

In addition, the below-identified communications are submitted in the above-captioned application or proceeding:

| [x] Preliminary Amendment | [ ] Information Disclosure Statement |
|---------------------------|--------------------------------------|
| (with claim amendments)   | [ ] sheet of replacement drawings    |
| [ ] Substitute Abstract   | [ ] RCE                              |
| [ ] Terminal Disclaimer   | [ ] Other:                           |

Sir:

| [ ] | Applicant(s) hereby request and petition that the time for taking action in this case be extended pursuant to 37 C.F.R. § 1.136(a) for:  [ ] one (1) month [ ] two (2) months [ ] three (3) months                           |
|-----|--|
|     | The fee set in 37 C.F.R. § 1.17 for the extension of time is \$ for a <a href="mailto:large/small">large/small</a> entity.   |
| [ ] | Payment of \$ is made by credit card via the EFS payment system.   |
| [x] | The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to <b>Deposit Account Number 60-0155</b> . |
|     | Respectfully submitted,  |
|     | /juan.carlos.a.marquez/  |
|     | Juan Carlos A. Marquez Registration Number 34,072  |

**MARQUEZ IP LAW OFFICE, PLLC** 1629 K Street, NW

1629 K Street, NW Suite 300 Washington, DC 20006 Voice: (202) 349-1690 Fay: (202) 754-0829

Fax: (202) 754-9829 Customer No. 38327

**November 11, 2016** 

| PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 |   |                                      |             |          |   |                        |              | n or Docket Number<br>5/271,422 | Filing Date 09/21/2016 | To be Mailed  |
|---|---|--------------------------------------|-------------|----------|---|------------------------|--------------|---------------------------------|------------------------|---------------|
|   | ENTITY:   LARGE   SMALL   MICRO   |                                      |             |          |   |                        |              |                                 |                        |               |
|   | APPLICATION AS FILED – PART I   |                                      |             |          |   |                        |              |                                 |                        |               |
|   |   |                                      | (Co         | lumn 1   | )   | (Column 2)             |              | _                               |                        |               |
| 뉴   | FOR   |                                      | NUMB        | BER FIL  |   | RATE (\$)              | FEE (\$)     |                                 |                        |               |
| Ľ   | BASIC FEE<br>(37 CFR 1.16(a), (b),  | or (c))                              |             | N/A      |   | N/A                    |              | N/A                             |                        |               |
| Ľ   | SEARCH FEE<br>(37 CFR 1.16(k), (i), (   | or (m))                              |             | N/A      |   | N/A                    |              | N/A                             |                        |               |
|   | EXAMINATION FE<br>(37 CFR 1.16(o), (p),   |                                      |             | N/A      |   | N/A                    |              | N/A                             |                        |               |
|   | ΓAL CLAIMS<br>CFR 1.16(i))  |                                      |             | minı     | us 20 = *                                   |                        |              | X \$ =                          |                        |               |
| IND   | EPENDENT CLAIM<br>CFR 1.16(h))  | 1S                                   |             | mir      | nus 3 = *                                   |                        |              | X \$ =                          |                        |               |
|   | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).   |                                      |             |          |   |                        |              |                                 |                        |               |
|   | MULTIPLE DEPEN  | NDENT CLA                            | IM PRESE    | ENT (37  | 7 CFR 1.16(j))                              |                        |              |                                 |                        |               |
| * If t  | the difference in colu  | umn 1 is less                        | s than zero | o, enter | "0" in column 2.                            |                        |              | TOTAL                           |                        |               |
|   |   | (Columr                              | n 1)        |          | APPLICATION (Column 2)                      | ION AS AMEN            |              | ART II                          |                        |               |
| N:  | 11/11/2016  | CLAIMS<br>REMAINI<br>AFTER<br>AMENDN | NING        |          | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | ER<br>OUSLY PRESENT EX |              | RATE (\$)                       | ADDITIO                | ONAL FEE (\$) |
| ME  | Total (37 CFR<br>1.16(i))   | ∗ 19                                 | M           | linus    | ** 20                                       | = 0                    |              | × \$80 =                        |                        | 0             |
| AMENDMENT   | Independent<br>(37 CFR 1.16(h))   | * 1                                  | N           | linus    | ***3  | = 0                    |              | × \$420 =                       |                        | 0             |
| ΑM  | Application S   | ize Fee (37                          | CFR 1.16(   | (s))     |   |                        |              |                                 |                        |               |
|   | FIRST PRESEN  | NTATION OF I                         | MULTIPLE [  | DEPEND   | DENT CLAIM (37 CFF                          | R 1.16(j))             |              |                                 |                        |               |
|   |   |                                      |             |          |   |                        |              | TOTAL ADD'L FE                  | Ε                      | 0             |
|   |   | (Columr                              | า 1)        |          | (Column 2)                                  | (Column 3              | 3)           |                                 |                        |               |
|   |   | CLAIM<br>REMAIN<br>AFTE<br>AMENDM    | IING<br>R   |          | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT EX             | (TR <b>A</b> | RATE (\$)                       | ADDITIO                | ONAL FEE (\$) |
| ÄΝ  | Total (37 CFR<br>1.16(i))   | *                                    | M           | linus    | **  | =                      |              | X \$ =                          |                        |               |
| IDM   | Independent<br>(37 CFR 1.16(h))   | *                                    | N           | linus    | ***   | =                      |              | X \$ =                          |                        |               |
| AMENDMENT   | Application Size Fee (37 CFR 1.16(s))   |                                      |             |          |   |                        |              |                                 |                        |               |
| A   | FIRST PRESEN  | NTATION OF I                         | MULTIPLE [  | DEPEND   | DENT CLAIM (37 CFF                          | R 1.16(j))             |              |                                 |                        |               |
|   |   |                                      |             |          |   |                        |              | TOTAL ADD'L FE                  | E                      |               |
| ** If   | * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. |                                      |             |          |   |                        |              |                                 |                        |               |

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Juan Carlos A. Marquez
Marquez Intellectual Property Law Office PLLC
1629 K Street, NW
Suite 300
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page 1 of 1

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【発明者】

【住所又は居所】 石川県河北郡宇ノ気町字宇野気ヌ98番地の2 株式会

社ピーエフユー内

【氏名】 牧口 昌義

【特許出願人】

【識別番号】 000136136

【氏名又は名称】 株式会社ピーエフユー

【代表者】 片野 英司

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【物件名】 図面 1

【物件名】 要約書 1

【プルーフの要否】 要

【書類名】 明細書

【発明の名称】 会議システムおよびその方法

【特許請求の範囲】

【請求項1】

ネットワークを介して実施する会議システムであって、他地点へ伝送した会議参加者が映された画像静止データをもとに、映された各参加者の画像位置付け処理を行なう画像処理手段と、その位置付け情報を各発言者が発言時に同時に入力装置にて他地点側会議システムに伝送する伝送手段と、他地点システム側では伝送された位置付け識別データ情報をもとに、表示装置に映し出されている他地点側の会議参加者が映された画像静止データの該当画像領域部分を変化させることで、容易に発言者の識別を行う画像処理手段を備えたことを特徴とした会議システム。

# 【請求項2】

発言者を特定するのに、予め登録しておいた声紋データベースと声紋認識手段を用いて、その情報をもとに他地点側の発言者の特定を行い、表示装置に映し出されている該当の画像領域部分を変化させることで、容易に発言者の識別を可能とすることを特徴とした会議方法。

#### 【請求項3】

表示装置に映し出されている該当の画像領域部分を変化させる画像処理手段と 連動して、予め登録しておいたデータベースからその発言者の情報も表示される ことを特徴とした請求項1記載の会議システム。

# 【請求項4】

発言内容と合わせて、発言者名をも認識し、記録する手段を設け、議事録生成 を行うことを特徴とした請求項1記載の会議システム。

【発明の詳細な説明】

[0001]

【発明の属する技術分野】

本発明は、会議システム装置に関し、特に静止画映像によるTV会議システム あるいは電話会議システム等に用いられ、静止画映像に変化を加えること等で、 動画を随時送受信するTV会議システムと同等の使い勝手を実現し、かつ従来にない付帯の機能を実現する手段を備えた会議システムに関する。

#### [0002]

#### 【従来の技術】

従来、静止画映像をもとにした会議システムとしては、参加者が発言しても静 止画映像はなんら変化をせず、音声だけで会議を進行していた。

# [0003]

# 【発明が解決しようとする課題】

しかしながら、上述のような会議システムにあたっては、発言者の特定が出来難いといった問題点があった。一方、随時の動画を伴ったTV会議システムでは、上述の課題は解決される可能性もあるが、システムの価格が高価になることや回線のトラヒックも増大してしまう等の性能面での問題がある。また、静止画や動画のシステムとも、発言している人の名前や所属といった人事等の情報がわからないといった問題点もあった。

#### [0004]

本発明は上記の問題を解決するために成されたものであって、その目的とするところは、システム構成が簡易であって、回線上のデータ量を動画を用いたTV会議システムと比較して、データ量あるいは通信コスト等を各段に抑えながら、同等の使い勝手を実現し、かつ従来にない付帯の機能を備えた会議システムを提供することにある。

#### [0005]

# 【課題を解決するための手段】

本発明は上記の問題点を解決するために、請求項1記載の発明にあっては、ネットワークを介して実施する会議システムであって、他地点へ伝送した会議参加者が映された画像静止データをもとに、映された各参加者の画像位置付け処理を行なう画像処理手段と、その位置付け情報を各発言者が発言時に同時に入力装置にて他地点側会議システムに伝送する伝送手段と、他地点システム側では伝送された位置付け識別データ情報をもとに表示装置に映し出されている他地点側の会議参加者が映された画像静止データの該当画像領域部分を変化させることで、容

易に発言者の識別を行う画像処理手段を備えたことを特徴とするものである。

# [0006]

請求項2記載の発明にあっては、表示装置に映し出されている該当の画像領域 部分を変化させる機能と連動して、予め登録しておいたデータベースからその発 言者の人事情報等も表示されることを特徴とするものである。

#### [0007]

請求項3記載の発明にあっては、表示装置に映し出されている該当の画像領域 部分を変化させる機能と連動して、予め登録しておいたデータベースからその発 言者の人事情報等も表示されることを特徴とするものである。

#### [0008]

請求項4記載の発明にあっては、発言内容と合わせて、発言者名をも認識し、 記録することを可能とした議事録を自動で生成を行うことを特徴とするものであ る。

#### [0009]

#### 【発明の実施の形態】

本発明の実施の形態を図1~図3を用いて説明する。図1は、会議システムの構成を示す構成図である。図2は、表示装置に表示される例を示している。図3は、表示装置に表示される例を示している。

#### [0010]

図1は本発明の構成図である。

#### [0011]

図1において、100は会議システムであり、101は会議参加者を会議に先立って撮影するカメラ、102は撮影した静止画を符号化して送信する静止画像符号化部、203は受信した静止画を復号化する静止画像復号化部、204は表示装置、210は静止画像に映っている人の領域を番号等で割り当てる画像解析部、209はそれら画像情報を格納するための記録装置、213は210での解析結果を符号化して送信するための画像解析結果送信部、115は213から送信された画像解析結果を復号化して受信する画像解析結果受信部である。

# [0012]

これと同様に、今度は他の会議システム側から会議参加者情報を送信することで、事前の準備を行う。手順及び図の説明は、以下の通りである。

#### [0013]

図1において、200は会議システムであり、201は会議参加者を会議に先立って撮影するカメラ、202は撮影した静止画を符号化して送信する静止画像符号化部、103は受信した静止画を復号化する静止画像復号化部、104は表示装置、110は静止画像に映っている人の領域を番号等で割り当てる画像解析部、109はそれら画像情報を格納するための記録装置、113は110での解析結果を符号化して送信するための画像解析結果送信部、215は113から送信された画像解析結果を復号化して受信する画像解析結果受信部である。

#### [0014]

画像解析結果受信部115、215で得られた情報、例えば、Aさんの識別番号は1番でBさんの識別番号は2番といった情報に基づいて、実際に会議においてはその割り当てられた番号等をその発言する人が発言に先立ち、111や211の入力装置で入力することで、112や212の入力データ処理部で符号化して送信する。

# [0015]

112や212から送信されたデータは、それぞれ214、114で復号化し、その情報を208、108の表示画像変更処理部で該当の人の領域を変化させることで、実際に発言している人を容易に特定可能とすることを特徴とする。

#### [0016]

また、105、205の音声入力装置から106、206を介して送信された音声を207、107の音声認識部にて誰が発言した音声かを、207、107にある音声認識ソフトウェアで、109、209に事前に格納されている声紋データにもとづき認識を行い、即座に108、208の表示画像変更処理部を介して、発言人の領域を変化させることで、実際に発言している人を容易に特定可能とすることを特徴とする。

#### [0017]

図2は、表示装置104、204に表示される一例である。この例では、

参加者A、参加者B、参加者Cの3名が、それぞれ、1番、2番、3番に割り当てられたことを示している。処理としては、他システム側から画像データを受信し、そのなかより、人物である画像領域を判断し、各人物別に番号を割り当てることで実現させる。

### [0018]

図3は、表示装置104、204に表示される一例である。この例では、 参加者Aが実施に発言を行っていることが、参加者Aの背景部分の色が変化する ことで容易にわかる。処理としては、他システム側から発信者識別情報を受信後 、該当番号の人物画像域の色を変化させることで実現させる。

# [0019]

図4は、画像データを受信する側の会議が運用されるまでの準備のフローチャートである。その流れは、他システム側から画像データを受信し、そのなかより、人物である画像領域を判断し、各人物別に番号を割り当てを実施する。その後、他システム側へ各人物画像と番号割り当て情報を送信する。

#### [0020]

図5は、画像データを送信する側の会議が運用されるまでの準備のフローチャートである。その流れは、他システム側へ画像データを送信後、他システム側から各人物画像と番号割り当て情報を受信&表示する。

#### [0021]

図6は、画像データを受信する側の運用中のフローチャートである。その流れは、他システム側から発信者識別情報を受信後、該当番号の人物画像域の色を変化させる。

#### [0022]

図7は、画像データを送信する側の運用中のフローチャートである。その流れは、入力装置から番号情報が入力されたら、他システム側へ発信者識別情報を送信する。

# [0023]

#### 【発明の効果】

この発明により、以下に代表されるような効果が期待できる。

# [0024]

- (1)簡単な設備で、誰が発言しているかを効果的かつ容易に識別が可能となる
- (2) 従来の動画を基本としたTV会議システムを構築することなく、同等のサービスを得ることが出きる。
- (3) 発言者の人事情報(プロフィール等)も同時に認識出きる。
- (4)発言者の名前も含めた、正確な議事録の作成が可能となる。

# 【図面の簡単な説明】

【図1】

本発明の原理構成図である。

【図2】

本発明の一実施例の図である。

【図3】

本発明の一実施例の図である。

【図4】

本発明の画像データ受信側の準備フローチャートである。

【図5】

本発明の画像データ送信側の準備フローチャートである。

【図6】

本発明の画像データ受信側の運用中フローチャートである。

【図7】

本発明の画像データ送信側の運用中フローチャートである。

# 【符号の説明】

100、200:会議システム

101、201:カメラ

102、202:静止画像符号化部

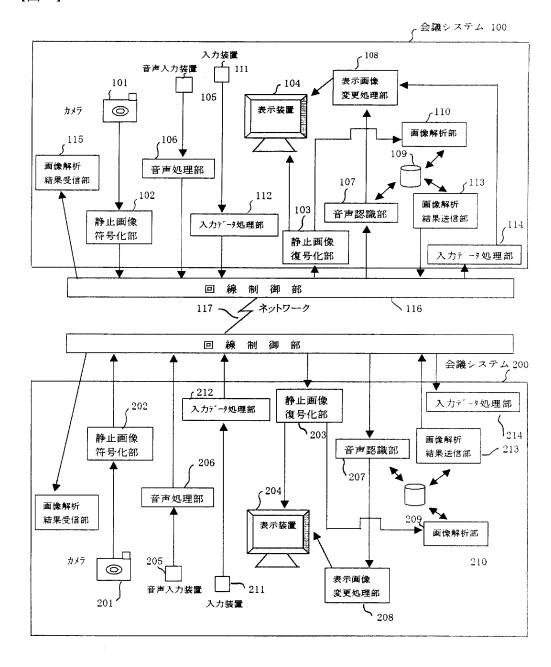
103、203:静止画像復号化部

104、204:表示装置

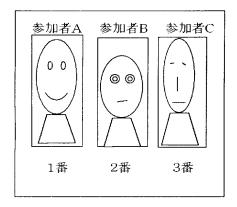
- 105、205:音声入力装置
- 106、206: 音声処理部
- 107、207: 音声認識部
- 108、208:表示画像変更処理部
- 109、209: データベース
- 110、210:画像解析部
- 111、211:入力装置
- 112、212:入力データ処理部
- 113、213:画像解析結果送信部
- 114、214:入力データ処理部
- 115、215:画像解析結果受信部
- 116、216:回線制御部
- 117:ネットワーク

図面

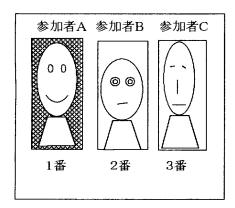
【図1】



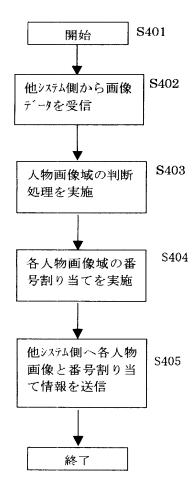
# 【図2】



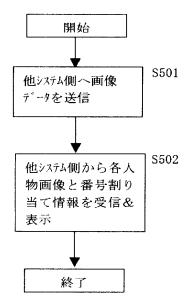
# 【図3】



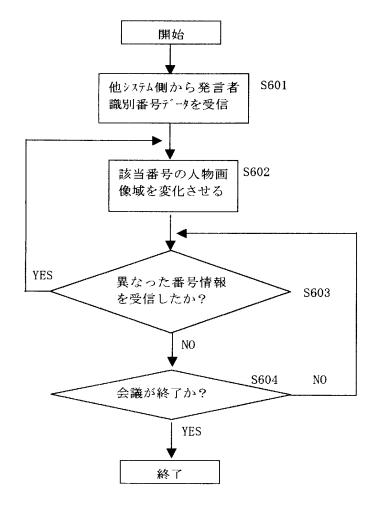
【図4】



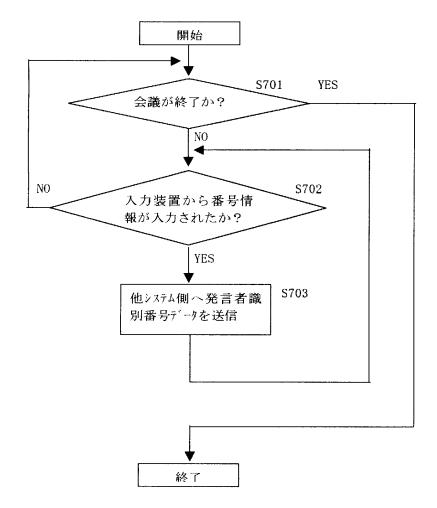
# 【図5】



【図6】



【図7】



【書類名】 要約書

【要約】

【課題】 本発明は、会議システム装置に関し、特に静止画映像によるTV会議システムあるいは電話会議システム等に用いられ、静止画映像に変化を加えること等で、動画を随時送受信するTV会議システムと同等の使い勝手を実現し、かつ従来にない付帯の機能を実現する手段を備えた会議システムを提供する。

【解決手段】 ネットワークを介して実施する会議システムであって、他地点へ 伝送した会議参加者が映された画像静止データをもとに、映された各参加者の画 像位置付け処理を行なう画像処理手段と、その位置付け情報を各発言者が発言時 に同時に入力装置にて他地点側会議システムに伝送する伝送手段と、他地点シス テム側では伝送された位置付け識別データ情報をもとに、表示装置に映し出され ている他地点側の会議参加者が映された画像静止データの該当画像領域部分を変 化させることで、容易に発言者の識別を可能とする。

【選択図】 図1

# 出願人履歷

000136136

19900831

新規登録

石川県河北郡宇ノ気町字宇野気ヌ98番地の2 株式会社ピーエフユー 000136136

20030407

名称変更

石川県河北郡宇ノ気町字宇野気ヌ98番地の2株式会社PFU 000136136

20040308

住所変更

石川県かほく市宇野気ヌ98番地の2 株式会社PFU

# United States Patent and Trademark Office



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| APPLICATION NO.         | FILING DATE                               | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |  |
|-------------------------|---|----------------------|---------------------|------------------|--|--|--|
| 15/271,422              | 09/21/2016                                | Yoshiaki NAKAYOSHI   | HARU-0126           | 2686             |  |  |  |
| 38327<br>Juan Carlos A. | 7590 09/17/201<br><b>Mar</b> que <b>z</b> | EXAM                 | EXAMINER            |                  |  |  |  |
|                         | ectual Property Law Of                    | CHIEN, LUCY P        |                     |                  |  |  |  |
| Suite 300               |   |                      | ART UNIT            | PAPER NUMBER     |  |  |  |
| Washington, Do          | C 20006                                   | 2871                 |                     |                  |  |  |  |
|                         |   |                      | NOTIFICATION DATE   | DELIVERY MODE    |  |  |  |
|                         |   | 09/17/2018           | ELECTRONIC          |                  |  |  |  |

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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USPTO@dockettrak.com lniu@marqueziplaw.com mail@marqueziplaw.com

PTOL-90A (Rev. 04/07)

|   |  | <b>Application No.</b> 15/271,422   | Applicant(s<br>NAKAYOSH                              |                                |  |  |
|---|--|---|--|--------------------------------|--|--|
| Office A  | Iction Summary   | Examiner  | Art Unit   | AIA Status                     |  |  |
|   |  | LUCY P CHIEN  | 2871   | No                             |  |  |
|   | G DATE of this communication app   | ears on the cover sheet with the c  | orresponder  | ce address                     |  |  |
| Period for Reply  |  |   |  |                                |  |  |
| DATE OF THIS COMM  - Extensions of time may after SIX (6) MONTHS f  - If NO period for reply is  - Failure to reply within th Any reply received by the | TATUTORY PERIOD FOR REPLY MUNICATION.  be available under the provisions of 37 CFR 1.13 from the mailing date of this communication. specified above, the maximum statutory period verse to rextended period for reply will, by statute the Office later than three months after the mailing statent. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be tim<br>will apply and will expire SIX (6) MONTHS from<br>, cause the application to become ABANDONE | nely filed<br>the mailing date<br>ED (35 U.S.C. § 1: | of this communication.<br>33). |  |  |
| Status  |  |   |  |                                |  |  |
| 1) Responsive t   | to communication(s) filed on 9/21/2  | <u>2016</u> .   |  |                                |  |  |
| ☐ A declaration   | on(s)/affidavit(s) under <b>37 CFR 1.1</b>   | <b>30(b)</b> was/were filed on  |  |                                |  |  |
| 2a) This action is  | FINAL. 2b) 🗹   | This action is non-final.   |  |                                |  |  |
|   | vas made by the applicant in responstriction requirement and election  |   |  | ng the interview on            |  |  |
|   | plication is in condition for allowan  |   |  | to the merits is               |  |  |
|   | Fordance with the practice under $E$   |   |  |                                |  |  |
| Disposition of Claims   | *  |   |  |                                |  |  |
| •   | 1-3 and 14-30 is/are pending in t  | the application   |  |                                |  |  |
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|   | is/are allowed.  | an nom consideration.   |  |                                |  |  |
| · · · · ·   | is/are allowed.<br>I-3,14-16 and 19-30 is/are rejected   | 4   |  |                                |  |  |
|   |  | J.  |  |                                |  |  |
|   | 17-18 is/are objected to.  | l/or cleation requirement   |  |                                |  |  |
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| •   | drawing sheet(s) including the correction  |   | •  |                                |  |  |
| Priority under 35 U.S.  | C. § 119   |   |  |                                |  |  |
| 12) Acknowledgn   | nent is made of a claim for foreign  | priority under 35 U.S.C. § 119(a)   | )-(d) or (f).  |                                |  |  |
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| <del></del>   | rtified copies of the priority docume  | •   | _  |                                |  |  |
|   | 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  |   |  |                                |  |  |
| ** See the attached detail  | iled Office action for a list of the certific  | ed copies not received.   |  |                                |  |  |
|   |  |   |  |                                |  |  |
| Attachment(s)   |  |   |  |                                |  |  |
| 1) Notice of References   | Cited (PTO-892)  | 3) Interview Summary  |  |                                |  |  |
|   | e Statement(s) (PTO/SB/08a and/or PTO/S<br>See Continuation Sheet  | B/08b) Paper No(s)/Mail D 4) Other:   | ate  |                                |  |  |

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PTOL-326 (Rev. 11-13)

Continuation of Attachment(s) 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date: 9/21/2016,9/21/2016,9/21/2016,9/21/2016

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# Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

# Claim Rejections - 35 USC § 103

- 2. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.
- 3. Claim 1-3,14-16,19-30 is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Min et al (US 6449026) in view of Lyu et al (US 6001539) in view of Kaneko et al (US 20010030717)

#### Regarding Claim 1-3

Min et al discloses (Fig. 1 and Fig. 2) a liquid crystal display device, comprising: a first substrate (11); a second substrate; a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules (column 4, lines 1-8); a gate line (31) and a drain line (36); a pixel electrode (21) and a counter electrode (12) disposed between the first substrate and the liquid crystal layer; a gate insulation layer (15) formed on the gate line; a insulation layer (20) disposed between the first substrate (11) and the liquid crystal layer; a switching element (where 13 is located), connected to the gate line, including a first electrode (19a) connected to the drain line and a second electrode (19b) connected to the pixel electrode (21); wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the

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counter electrode, and wherein the pixel electrode (21) is formed between the liquid

crystal layer and the insulation layer (20), and wherein the counter electrode (12) is a

planar shape, and the pixel electrode comprises a slit having a first portion (21),

Min et al does not disclose an organic insulation layer and the first portion is not

parallel with the gate line and the drain line.

Lyu et al discloses (abstract) an organic insulation layer (110).

Kaneko et al discloses (Fig. 2) first portion is not parallel with the gate line and

the drain line.

It would have been obvious to one of ordinary skill in the art to modify Min et al

to include Lyu et al's organic insulation layer motivated by the desire to create a buffer

between the TFT and pixel electrode to further include Kaneko et al's first portion of the

pixel electrode not parallel with the gate line and drain line motivated by the desire tilt

the liquid crystal a certain direction.

Regarding Claim 14,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein the pixel electrode (21)comprises a slit having a first portion, and the

first portion is not parallel with the gate line and the drain line at least in a pixel (taught

by Kaneko et al Fig. 2).

Regarding Claim 15,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein the pixel electrode (21) comprises a slit having a first portion, and the

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first portion is not parallel with the gate line and the drain line at least in a pixel. (taught

by Kaneko et al Fig. 2).

Regarding Claim 16,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein the counter electrode is connected to a common layer and, wherein the

organic insulation layer is formed between the counter electrode and the first

substrate. (column 1, lines 63-67).

Regarding Claim 19,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein a passivation layer (20) is formed between the liquid crystal layer and

the pixel electrode (21) contacting a second electrode (19b), and wherein the

passivation layer (20) is formed between the liquid crystal layer and the drain line

(19a).

Regarding Claim 20,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein a passivation layer (20) is formed between the liquid crystal layer and

the pixel electrode (21) contacting a second electrode (19b), and wherein the

passivation layer (20) is formed between the liquid crystal layer and the drain line (19a).

Regarding Claim 21,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein a passivation layer (20) is formed between the liquid crystal layer and

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the pixel electrode (21) contacting a second electrode (19b), and wherein the

Page 5

passivation layer is formed between the liquid crystal layer and the drain line.

Regarding Claim 22,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 )wherein the counter electrode (12)is a planer shape, and the pixel electrode (21)

comprises a slit having the first portion and a second portion, and both of the first

portion and the second portion are not parallel with the gate line and the drain line.

(taught by Kaneko et al Fig. 2).

Regarding Claim 23,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein the counter electrode (12) is a planer shape, and the pixel electrode (21)

comprises a slit having the first portion and a second portion, and both of the first

portion and the second portion are not parallel with the gate line. (taught by Kaneko et

al Fig. 2).

Regarding Claim 24,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein the counter electrode (12) is a planer shape, and the pixel electrode (21)

comprises a slit having the first portion and a second portion, and both of the first

portion and the second portion are not parallel with the drain line. (taught by Kaneko et

al Fig. 2).

Regarding Claim 25,

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In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

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Fig. 2 ) wherein the gate insulation layer (15) is formed between the counter electrode

(12) and the drain line (19a).

Regarding Claim 26,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein the counter electrode (12) connects to a connection line. (column 1,

lines 63-67).

Regarding Claim 27,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein the connection line is arranged parallel to the extension direction of the

gate line. (column 1, lines 63-67).

Regarding Claim 28,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2 ) wherein the organic insulation layer (20) is formed between the connection line

and the first substrate (11).

Regarding Claim 29,

In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

Fig. 2) wherein the connection line is directly contacted to the counter

electrode. (column 1, lines 63-67).

Regarding Claim 30,

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In addition to Min et al, Lyu et al, and Kaneko et al, Min et al discloses (Fig. 1 and

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Fig. 2 ) wherein the common electrode (12) is disposed between the connection line and

the liquid crystal layer. (column 1, lines 63-67).

Allowable Subject Matter

4. Claim 17,18 are objected to as being dependent upon a rejected base claim, but would

be allowable if rewritten in independent form including all of the limitations of the base claim

and any intervening claims.

Regarding Claim 17,

The prior art does not disclose nor would it be obvious to one of ordinary skill in

the art to include another reference to disclose wherein the counter electrode is

connected to the common layer via a through hole within the organic insulation layer.

Claim 18 depends on Claim 17, therefore is objected.

Conclusion

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to LUCY P CHIEN whose telephone number is (571)272-8579. The

examiner can normally be reached on 9AM-5PM PST M-F.

Examiner interviews are available via telephone, in-person, and video conferencing

using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is

encouraged to use the USPTO Automated Interview Request (AIR) at

http://www.uspto.gov/interviewpractice.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Caley can be reached on 571-272-2286. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/LUCY P CHIEN/ Primary Examiner, Art Unit 2871

|   |     | Notice of Peterance                              | o Citod         |          | Application/Control No. 15/271,422 Applicant(s)/Patent Unit Reexamination NAKAYOSHI et al. |                                       |        |                   |                    |
|---|-----|--|-----------------|----------|--|---------------------------------------|--------|-------------------|--------------------|
|   |     | Notice of Reference                              | s Cnea          |          |  |                                       |        | Art Unit<br>2871  | Page 1 of 1        |
|   |     |  |                 | U.S. P.  | ATENT DOCUI  | MENTS                                 |        |                   |                    |
| * |     | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY |          | Nam  | e                                     | C      | PC Classification | US Classification  |
| * | Α   | US-6449026-B1                                    | 09-2002         | Min; Tae | Min; Tae Yup   |                                       |        | G02F1/134363      | 349/141            |
| * | В   | US-6001539-A                                     | 12-1999         | Lyu; Ki- | Hyun   |                                       |        | H01L27/1214       | 430/317            |
| * | С   | US-20010030717-A1                                | 10-2001         | Kaneko   | , Toshiki  |                                       |        | G02F1/134363      | 349/43             |
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20180912

| Search Notes    15/271,422   |                                 |                          | Application/Control No. | Applicant(s)/Patent U | <br>nder Reexamination |  |
|--|---------------------------------|--------------------------|-------------------------|-----------------------|------------------------|--|
| Examiner LUCY P CHIEN  CPC - Searched* Symbol  Date  Examiner  Symbol  Date  Examiner  Date  Examiner  CPC Combination Sets - Searched*  Symbol  Date  Examiner  US Classification - Searched*  Class  Subclass  Date  Examiner  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.  Search Notes  Search | Searcl                          | h Notes                  |                         |                       |                        |  |
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| Search Notes  Search Notes  Search Notes  Date  Examiner  see search notes from EAST  O9/12/2018  LC  Interference Search  US Class/CPC  US Subclass/CPC Group  Date  Examiner   |                                 |                          |                         |                       |                        |  |
| see search notes from EAST  O9/12/2018  LC  Interference Search  US Class/CPC  IIS Subclass/CPC Group  Date  Examiner  |                                 |                          |                         | Date                  | Examiner               |  |
| see search notes from EAST  O9/12/2018  LC  Interference Search  US Class/CPC  US Subclass/CPC Group  Date  Examiner   | Search Notes                    |                          |                         |                       |                        |  |
| Interference Search  US Class/CPC US Subclass/CPC Group Date Examiner  |                                 | for the EACT             |                         |                       |                        |  |
| US Class/CPC US Subclass/CPC Group Date Evaminer   | see search note                 | s from EASI              |                         | U9/12/2016            | LLC                    |  |
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| /LUCY P CHIEN/   | /LUCY P CHIEN                   | V/                       |                         |                       |                        |  |
| /LUCY P CHIEN/ Primary Examiner, Art Unit 2871   | /LUCY P CHIEN                   | √/<br>ner, Art Unit 2871 |                         |                       |                        |  |
| /LUCY P CHIEN/ Primary Examiner, Art Unit 2871   | /LUCY P CHIEN<br>Primary Examir | √/<br>ner, Art Unit 2871 |                         |                       |                        |  |

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|                     |                   |              | Application Number     | 15              |  |
| INICODM             | ATION D           | SCLOSURE     | Filing Date            | 2016-09-21      |  |
|                     |                   |              | First Named Inventor   | NAKAYOSHI       |  |
| STATEM              | IENT BY           | APPLICANT    | Art Unit               |                 |  |
| (Use                | as many sheets as | s necessary) | Examiner Name          |                 |  |
| noot 1              | of                | 1            | Attorney Docket Number | HARU-0126       |  |

|                       |              |  | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              | Number-Kind Code <sup>2 (if known)</sup> |                                |  | Figures Appear  |
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|                       | 16           | <sup>US-</sup> 6,380,672 B1              | 04-30-2002                     | Yudasaka   |   |
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|                       |              | FOREIGN  | PATENT DOCU         | MENTS  |   |                |
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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
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| Examiner Date                      |                  |  |
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| Signature   /LUCY P CHIEN/   Consi | lered 09/12/2018 |  |

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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Complete if Known Substitute for form 1449/PTO Application Number Filing Date 2016-09-21 INFORMATION DISCLOSURE First Named Inventor NAKAYOSHI STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number | HARU-0126 Sheet

|                       |                          |   |                             | DOCUMENTS  |  |
|-----------------------|--------------------------|---|-----------------------------|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevan<br>Figures Appear |
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|                       | 4                        | <sup>US-</sup> 6,552,770 B2                               | 04-22-2003                  | Yanagawa et al.                                    |  |
|                       | 5                        | <sup>US-</sup> 6,633,360 B2                               | 10-14-2003                  | Okada et al.                                       |  |
|                       | 6                        | <sup>US-</sup> 6,771,342 B1                               | 08-03-2004                  | Hirakata et al.                                    |  |
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|                       | 8                        | <sup>US-</sup> 2007/0153203                               | 07-05-2007                  | Kim et al.   |  |
|                       | 9                        | <sup>US-</sup> 6,621,545                                  | 09-16-2003                  | Park et al.  |  |
|                       | 10                       | <sup>US-</sup> 5,892,562                                  | 04-06-1999                  | Yamazaki et al.                                    |  |
|                       | 11                       | <sup>US-</sup> 2005/0105034                               | 05-19-2005                  | Ono et al.   |  |
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|                       | 13                       | <sup>US-</sup> 5,668,379                                  | 09-16-1997                  | Ono et al.   |  |
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|                       | 17                       | <sup>US-</sup> 6,580,487                                  | 06-17-2003                  | Kim et al.   |  |
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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
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## **EAST Search History**

## EAST Search History (Prior Art)

| Ref<br># | Hits | Search Query  | DBs   | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|---|---|---------------------|---------|---------------------|
| L1       | 188  | "5668379"   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>15:48 |
| L2       | 55   | G02F1/134309.cpc. and pixel adj3<br>electrode near8 slit\$2 near9 ((common<br>counter) adj2 electrode\$2) near9<br>planar | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>15:52 |
| L3       | 20   | L2 and organic adj3 insulat\$4  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>15:52 |
| L4       | 180  | pixel adj3 electrode near8 slit\$2 near9<br>((common counter) adj2 electrode\$2)<br>near9 planar                          | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>15:59 |
| L5       | 38   | L4 and organic adj3 insulat\$4  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>15:59 |
| L6       | 1989 | G02F2001/134372.cpc. and<br>G02F1/134309.cpc.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>16:08 |
| L7       | 328  | L6 and pixel adj3 electrode\$2 near8<br>slit\$2   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>16:08 |
| L8       | 79   | L7 and organic near3 insulat\$4   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2018/09/12<br>16:08 |
| L9       | 6643 | G02F2001/134372.cpc.  | US-PGPUB;<br>USPAT; USOCR;  | OR                  | OFF     | 2018/09/12<br>16:10 |

|     |      |   | FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB                               |    |     |                     |
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| L10 | 1392 | L9 and pixel adj3 electrode\$2 near8<br>(cut adj3 outs cutt adj3 outs comb<br>slit\$2)  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>16:10 |
| L11 | 13   | ("4542960"   "4643533"   "5162933"  <br>"5309264"   "5576862"   "5598285"  <br>"5836797"   "5959706"   "5959708"  <br>"5977562"   "6124915"   "6177970"  <br>"6233034").PN. | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2018/09/12<br>16:21 |
| L12 | 34   | "6580487"   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2018/09/12<br>16:27 |
| L13 | 1    | US20170010509A1   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>17:22 |
| L14 | 5    | "20170010509"   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>17:22 |
| L15 | 201  | G02F2001/134372.cpc. and passivation near3 organic  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>17:24 |
| L16 | 1601 | (TFT transistor) near9 passivation<br>near3 organic   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>17:25 |
| L20 | 750  | pixel adj3 electrode near8 (zig zag<br>zigzag\$4)   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2018/09/12<br>17:29 |

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| Cassinate is is in it is in the same of th | Application Number     | 15                |
| INFORMATION DISCLOSURE   | Filing Date            | 2016-09-21        |
| STATEMENT BY APPLICANT   | First Named Inventor   | NAKAYOSHI         |
| (Use as many sheets as necessary)  | Art Unit               |                   |
| (coo de many eneces de necessary)  | Examiner Name          |                   |
| Sheet 1 of 1   | Attorney Docket Number | HARU-0126         |

|                       |                          | NON PATENT LITERATURE DOCUMENTS   |                |
|-----------------------|--------------------------|---|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                       |                          | JPO Office Action dated April 8, 2018, in Japanese  |                |
|                       |                          | Chinese Office Action dated May 9, 2008 regarding Chinese Patent Application No. 2007100057283, in Chinese  |                |
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| Examiner<br>Signature | /LUCY P CHIEN/ | Date<br>Considered | 09/12/2018 |
|-----------------------|----------------|--------------------|------------|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Receipt date: 09/21/2016 15/271,422 - GAU: 2871

## **Privacy Act Statement**

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Receipt date: 09/21/2016 15/271,422 - GAU: 2871

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re U.S. Patent Application of  | )                     |
|---|-----------------------|
| NAKAYOSHI et al.  | Confirmation No. 2686 |
| Application Number: 15/271,422  | )                     |
| Filed: September 21, 2016   | )                     |
| For: Liquid Crystal Display Device, Display Device and manufacturing Method Thereof | )<br>)<br>)           |
| Attorney Docket No. HARU-0126   | )<br>)                |

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

This application is a Continuation of U.S. Application Serial No. 14/942,120 filed on November 16, 2015 which is a Continuation of U.S. Application Serial No. 14/708,348 filed on May 11, 2015, which is a Continuation of U.S. Application No. 14/285,006 filed on May 22, 2014, which is a continuation of U.S. Application No. 13/927,539 filed on June 26, 2013, which is a Continuation of U.S. Application No. 13/650,203 filed on October 12, 2012, which is a Continuation of U.S. Application No. 13/364,092 filed on February 1, 2012, which is a Continuation of U.S. Application Serial No. 12/926,735 filed December 7, 2010, which is a Continuation of U.S. Application Serial No. 12/292,728 filed November 25, 2008, which is a Divisional of U.S. Application Serial No. 11/976,884 filed October 29, 2007, which is a Divisional of U.S. Application No. 11/409,076 filed April 24, 2006, which is a Divisional of U.S. Application Serial No. 11/211,574 filed August 26, 2005, which is a Divisional of U.S. Application Serial No. 10/237,911 filed September 10, 2002.

Receipt date: 09/21/2016

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is being submitted in connection with the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on the accompanying Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each non-US or foreign patent or non-patent publication or any portion thereof listed or herein identified is submitted herewith.

#### **CERTIFICATION**

- 1. This Information Disclosure Statement is being submitted:
  - [X] (a) Concurrently with the new Continuation Application, whereby it is believed that no fee is due; OR
  - [ ] (b) After three months from the filing date of the above-identified U.S. patent application but before the mailing date of the first Office Action on the merits of the above-identified application, whereby it is believed that no fee is due; OR
  - [ ] (c) After three months from the filing date of the above-identified U.S. patent application and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to the issuance of any Final Action or Notice of Allowance sent in such application, whereby Applicant(s) hereby submit the requisite certification hereinbelow, or authorization for the payment of the requisite fee is set forth hereinbelow; OR
  - [ ] (d) After the issuance of a Final Action or Notice of Allowance, but before the payment of the Issue Fee, whereby Applicant(s) hereby submit the requisite certification hereinbelow, and authorization for the payment of the requisite fee is set forth hereinbelow.
- 2. In accordance with the requirements of 37 C.F.R. §1.97, and the above, Applicant(s) hereby certify that:
  - [ ] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; OR
  - [ ] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no

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item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this

Information Disclosure Statement.

3. Payment of any fees associated with this communication and submission is as follows:

[ ] Payment for \$ to cover the fee is being submitted via the EFS payment procedure.

[X] The Commissioner is hereby authorized to charge payment of any fees associated with

this communication, including fees under 37 C.F.R. § 1.16 and 1.17, or credit any

overpayment to Deposit Account Number 60-0155.

4. The Examiner is requested to acknowledge receipt and consideration of the information provided

in this paper in accordance with prescribed procedures. Should there be any outstanding issues

requiring discussion that would further the prosecution and allowance of the above-captioned

application, the Examiner is invited to contact the undersigned representative at the address and

phone number indicated below.

Respectfully submitted,

/juan.carlos.a.marquez/

Lan Carles A. Marross

Juan Carlos A. Marquez Registration Number 34,072

MARQUEZ IP LAW OFFICE, PLLC

1629 K Street, NW, Suite 300 Washington, DC 20006 Phone: (202) 349-1690 Fax: (202) 754-9829

Customer No. 38327

**September 21, 2016** 

/LUCY P CHIEN/ 09/12/2018

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PTO/SB/08a (07-09)

Approved for use through 07/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449/PTO Application Number Filing Date 2016-09-21 INFORMATION DISCLOSURE First Named Inventor NAKAYOSHI STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number | HARU-0126 Sheet

|                       |              |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1            | <sup>US-</sup> 5914762                                    | 06-22-1999                     | Lee et al.   |   |
|                       | 2            | <sup>US-</sup> 6233034 B1                                 | 05-15-2011                     | Lee et al.   |   |
|                       | 3            | <sup>US-</sup> 6456351 B1                                 | 09-24-2002                     | Kim et al.   |   |
|                       | 4            | <sup>US-</sup> 6256081 B1                                 | 07-03-2001                     | Lee et al.   |   |
|                       | 5            | <sup>US-</sup> 5,745,207                                  | 04-28-1998                     | Asada et al.                                       |   |
|                       | 6            | <sup>US-</sup> 6,266,116 B1                               | 07-24-2001                     | OHTA et al,  |   |
|                       | 7            | <sup>US-</sup> 5,946,066                                  | 08-31-1999                     | Lee et al.   |   |
|                       | 8            | <sup>US-</sup> 6,597,413 B2                               | 07-22-2003                     | Kurashina  |   |
|                       | 9            | <sup>US-</sup> 6,661,476 B1                               | 12-09-2003                     | Abe et al.   |   |
|                       | 10           | <sup>US-</sup> 6,587,162 B1                               | 07-01-2003                     | Kaneko et al.                                      |   |
|                       | 11           | <sup>US-</sup> 6,556,265 B1                               | 04-29-2003                     | Murade   |   |
|                       | 12           | <sup>US-</sup> 6,493,046 B1                               | 12-10-2002                     | Ueda   |   |
|                       | 13           | <sup>US-</sup> 5,060,036                                  | 10-22-1991                     | Choi   |   |
|                       | 14           | <sup>US-</sup> 6,356,330 B1                               | 03-12-2002                     | Ando et al.  |   |
|                       | 15           | <sup>US-</sup> 5,185,601                                  | 02-09-1993                     | Takeda et al.                                      |   |
|                       | 16           | <sup>US-</sup> 5,771,082                                  | 06-23-1998                     | Chaudet et al.                                     |   |
|                       | 17           | <sup>US-</sup> 6,285,429 B1                               | 09-04-2001                     | Nishida et al.                                     |   |
|                       | 18           | <sup>US-</sup> 6,362,858 B1                               | 03-26-2002                     | Jeon et al.  |   |
|                       | 19           | <sup>US-</sup> 6,404,470 B1                               | 06-11-2002                     | Kim et al.   |   |

|                       |              | FOREIG   | N PATENT DOCU       | JMENTS   |   |                |
|-----------------------|--------------|--|---------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
|                       | 1            | JP 2001-228493   | 08-24-2001          | Hynix Semiconductor Inc.                           | Abstract  | ~              |
|                       | 2            | JP 2000-08408  | 09-24-1998          |  |   | ~              |
|                       | 3            | JP 3591513   | 04-19-2001          | Seiko Epson Corporation                            |   |                |
|                       | 4            | CN 1242854A  | 03-04-1999          | Seiko Epson Corporation                            |   |                |
|                       |              |  |                     |  |   |                |
|                       |              |  |                     |  |   |                |

| Examiner  |                | Date       |            |
|-----------|----------------|------------|------------|
| Signature | /LUCY P CHIEN/ | Considered | 09/12/2018 |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC 1629 K Street, NW Suite 300 Washington, DC 20006



Courtesy Reminder for Application Serial No: 15/271,422

Attorney Docket No: HARU-0126

Customer Number: 38327

Date of Electronic Notification: 09/17/2018

This is a courtesy reminder that new correspondence is available for this application. If you have not done so already, please review the correspondence. The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

An email notification regarding the correspondence was sent to the following email address(es) associated with your customer number:

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To view your correspondence online or update your email addresses, please visit us anytime at https://sportal.uspto.gov/secure/myportal/privatepair. If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov or call 1-866-217-9197.

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| EFS ID:                              | 34605534  |  |
| Application Number:                  | 15271422  |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 2686  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |
| Customer Number:                     | 38327   |  |
| Filer:                               | Juan Carlos A. Marquez  |  |
| Filer Authorized By:                 |   |  |
| Attorney Docket Number:              | HARU-0126   |  |
| Receipt Date:                        | 17-DEC-2018   |  |
| Filing Date:                         | 21-SEP-2016   |  |
| Time Stamp:                          | 14:14:45  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |

## **Payment information:**

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| Document<br>Number     | Document Description |      | File Name   | File Size(Bytes)/<br>Message Digest                    | Multi<br>Part /.zip | Pages<br>(if appl.) |
| 1                      | Transmittal Letter   | Clai | HARU-126-<br>im_calculation_sheet_Resp<br>onse_09172018.pdf | 172716<br>0b1a2baa4997614af9471aa485566ae8661<br>bc200 | no                  | 2                   |
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|              |  | _                           | 161836                                       |       |   |
| 2            | Amendment/Req. Reconsideration-After<br>Non-Final Reject | 9172018.pdf                 | 9a4b39fba325ba405fd0cc17fcd7cd72b024<br>2199 | no    | 5 |
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| Information: |  |                             |  |       |   |
|              |  | Total Files Size (in bytes) | 3.   | 34552 |   |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re l | U.S. Patent Application of       | )    | Confirmation No. 2686   |
|---------|----------------------------------|------|-------------------------|
| NAKA    | AYOSHI et al.                    | )    |                         |
|         |                                  | )    | Art Unit 2871           |
| Appli   | cation Number: 15/271,422        | )    |                         |
| Filed:  | September 21, 2016               | )    | Examiner: Lucy P. Chien |
|         | •                                | )    | ·                       |
| For:    | LIQUID CRYSTAL DISPLAY DEVICE,   | )    |                         |
|         | DISPLAY DEVICE AND MANUFACTURING | )    |                         |
|         | METHOD THEREOF                   | )    |                         |
|         |                                  | ĺ    |                         |
| Attori  | ney Docket No. HARU-0126         | )    |                         |
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<u>COVER LETTER</u>

Sir:

[X] The fee for submission of claims is calculated as shown below:

| For                               | TOTAL WITH<br>NEW CLAIMS<br>ADDED | TOTAL<br>Currently On<br>File | CLAIMS<br>ALREADY<br>PAID | RATE    | CALCULATION |
|-----------------------------------|-----------------------------------|-------------------------------|---------------------------|---------|-------------|
| Total Claims                      | 2                                 | 20                            | (Over 20)                 | x \$100 | 0           |
| Independent<br>Claims             | 1                                 | 3                             | (Over 3)                  | x \$460 | 0           |
| MULTIPLE<br>DEPENDENT<br>CLAIM(S) |                                   |                               |                           | + 820   | 0           |
| REDUCTION FOR FIL                 | ING BY SMALL ENTITY               | (note 37 C.F.R. §§ 1.9, 1.27  | 7, 1.28).                 | X ½     |             |
|                                   |                                   |                               | TOTA                      | L       | \$0         |

In addition, the below-identified communications are submitted in the above-captioned application or proceeding:

| <br>nse to Non-Final Office Action claim amendments) |    | Information Disclosure Statement sheet of replacement drawings |
|--|----|--|
| <br>tute Abstract<br>nal Disclaimer                  | LJ | RCE<br>Other:  |

| [ ] | Applicant(s) hereby request and petition that the time for taking action in this case be extended pursuant to 37 C.F.R. § 1.136(a) for:  [ ] one (1) month [ ] two (2) months [ ] three (3) months                           |
|-----|--|
|     | The fee set in 37 C.F.R. § 1.17 for the extension of time is \$ for a <u>large/small</u> entity.   |
| [ ] | Payment of \$ is made by credit card via the EFS payment system.   |
| [x] | The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to <b>Deposit Account Number 60-0155</b> . |
|     | Respectfully submitted,  |
|     | /juan.carlos.a.marquez/  |
|     | Juan Carlos A. Marquez<br>Registration Number 34,072   |

**MARQUEZ IP LAW OFFICE, PLLC** 1629 K Street, NW

Suite 300 Washington, DC 20006 Voice: (202) 792-7303 Fax: (202) 754-9829

Customer No. 38327

**December 17, 2018** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re U | J.S. Patent Application of       | ) Confirmation No. 2686   |
|---------|----------------------------------|---------------------------|
| NAKA    | YOSHI et al.                     | )<br>)<br>                |
| Applic  | eation Number: 15/271,422        | ) Art Unit 2871<br>)      |
| Filed:  | September 21, 2016               | ) Examiner: Lucy P. Chien |
| For:    | LIQUID CRYSTAL DISPLAY DEVICE,   | j                         |
|         | DISPLAY DEVICE AND MANUFACTURING | j ,                       |
|         | METHOD THEREOF                   | )                         |
|         |                                  | )                         |
| Attorn  | ney Docket No. HARU-0126         | )                         |

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE AND AMENDMENT UNDER 37 C.F.R. § 1.111

Sir:

This is in response to the Office Action mailed on September 17, 2018, the period of response to which is set to expire on December 17, 2018. Please amend the above-referenced application as follows:

#### **IN THE CLAIMS:**

Claims 17-18 stand for consideration in this application, wherein claim 17 is being amended to more particularly point out and distinctly claim the subject invention; claims 1-3, 14-16 and 19-30 are being canceled without prejudice or disclaimer, all as follows:

1-16. (Canceled).

17. (Currently Amended) A liquid crystal display device according to claim 16, comprising:

a first substrate;

a second substrate;

a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules;

a gate line and a drain line;

a pixel electrode and a counter electrode disposed between the first substrate and the liquid crystal layer;

a gate insulation layer formed on the gate line; and

an organic insulation layer disposed between the first substrate and the liquid crystal layer,

wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode.

wherein the pixel electrode is formed between the liquid crystal layer and the organic insulation layer,

wherein the counter electrode is a planer shape, and the pixel electrode comprises a slit having a first portion, and the first portion is not parallel with the gate line and the drain line,

wherein the counter electrode is connected to a common layer,

wherein the organic insulation layer is formed between the counter electrode and the first substrate, and

wherein the counter electrode is connected to the common layer via a through hole within the organic insulation layer. 18. (Previously Presented) A liquid crystal display device according to claim 17, wherein the common layer is a planer shape, and faces plural of the pixel electrode.

19-30. (Canceled).

### **REMARKS**

The above amendments to the above-captioned application along with the following remarks are being submitted as a full and complete response to the Office Action dated September 17, 2018 (USPTO Paper No. 20180912). In view of the above amendments and the following remarks, the Examiner is respectfully requested to give due reconsideration to this application, to indicate the allowability of the claims, and to pass this case to issue.

#### Status of the Claims

As outlined above, claims 17-18 stand for consideration in this application, wherein claim 17 is being amended to more particularly point out and distinctly claim the subject invention; claims 1-3, 14-16 and 19-30 are being canceled without prejudice or disclaimer. In particular, claim 17 is being amended to incorporate the substance of claims 1 and 16 therein. Any and all amendments to the specification and/or to the claims are fully supported throughout the disclosure of the invention. Applicants submit that no new matter is being introduced into this application through the submission of this response.

### **Prior Art Rejection**

The Examiner rejected claims 1-3, 14-16 and 19-30 under 35 U.S.C. §103(a) as being unpatentable over US Patent No. 6,001,539 to Min et al., in view of US Publication No. 2001/0030717 to Kaneko et al. Applicants have reviewed the above-noted rejection and hereby respectfully traverse.

## Allowable Subject Matter

In the Office Action, the Examiner only objected to claims 17-18 as being dependent on a rejected base claim, but would allow the claims if they are amended to add all the limitations of their base claim and any intervening claims. As outlined above, claim 17 is being amended to more particularly point out and distinctly claim the subject invention as suggested by the Examiner, wherein claim 17 incorporates the substance of claims 1 and 16 therein. In view of the above amendments, Applicants will submit that the claims are now in condition for allowance.

Conclusion

In view of all the above, Applicants respectfully submit that certain clear and distinct

differences as discussed exist between the present invention as now claimed and the prior art as a

whole. These differences are more than sufficient that the present invention as now claimed would

not have been anticipated nor rendered obvious given the prior art. Rather, the present invention

as a whole is distinguishable, and thereby allowable over the prior art.

Favorable reconsideration of this application as amended is respectfully solicited. Should

there be any outstanding issues requiring discussion that would further the prosecution and

allowance of the above-captioned application, the Examiner is invited to contact the Applicants'

undersigned representative at the address and phone number indicated below.

Respectfully submitted,

/juan.carlos.a.marquez/

Juan Carlos A. Marquez Registration Number 34,072

MARQUEZ IP LAW OFFICE, PLLC

1629 K Street, NW

Suite 300 Washington, DC 20006

Voice: (202) 792-7303 Fax: (202) 754-9829

Customer No. 38327

**December 17, 2018** 

- 5 -

PTO/SB/06 (09-11)

Approved for use through 1/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number

| P   | ATENT APPL  | ICATION                                  |                                   | RMINATION                                   |  | Application  | or Docket Number<br>/271,422 | Filing Date 09/21/2016 To be Ma |    |
|---|---|--|-----------------------------------|---|--|--------------|------------------------------|---------------------------------|----|
|   |   |  |                                   |   |  |              | ENTITY: 🛛 LA                 | ARGE SMALL MICR                 | ₹0 |
|   |   |  |                                   |   | ATION AS FILEI                             | ) – PAR      | TI                           |                                 |    |
|   |   |  | (Column 1                         | )   | (Column 2)                                 |              |                              |                                 |    |
| Ļ   | FOR   |  | NUMBER FIL                        | .ED   | NUMBER EXTRA                               |              | RATE (\$)                    | FEE (\$)                        |    |
| ᄖ   | BASIC FEE<br>(37 CFR 1.16(a), (b),  | or (c))                                  | N/A                               |   | N/A  |              | N/A                          |                                 |    |
|   | SEARCH FEE<br>(37 CFR 1.16(k), (i), (   | or (m))                                  | N/A                               |   | N/A  |              | N/A                          |                                 |    |
|   | EXAMINATION FE<br>(37 CFR 1.16(o), (p),   |  | N/A                               |   | N/A  |              | N/A                          |                                 |    |
|   | TAL CLAIMS<br>CFR 1.16(i))  |  | min                               | us 20 = *                                   |  |              | X \$ =                       |                                 |    |
| IND   | EPENDENT CLAIM<br>CFR 1.16(h))  | IS                                       | mi                                | nus 3 = *                                   |  |              | X \$ =                       |                                 |    |
| If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |   |  |                                   |   |  |              |                              |                                 |    |
|   | MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))                                     |  |                                   |   |  |              |                              |                                 |    |
| * If t  | * If the difference in column 1 is less than zero, enter "0" in column 2.             |  |                                   |   |  |              |                              |                                 |    |
|   |   | (Column 1                                | )                                 | APPLICAT                                    | ION AS AMEND (Column 3)                    | ED – PA      | RT II                        |                                 |    |
| LN:   | 12/17/2018  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMEN |                                   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT EXTR                               | А            | RATE (\$)                    | ADDITIONAL FEE (\$)             |    |
| AMENDMENT   | Total (37 CFR<br>1.16(i))   | * 2                                      | Minus                             | ** 20                                       | = 0  |              | x \$100 =                    | 0                               |    |
| H<br>H  | Independent<br>(37 CFR 1.16(h))   | * 1                                      | Minus                             | ***3  | = 0  |              | x \$460 =                    | 0                               |    |
| AM  | Application Si  | ize Fee (37 CF                           | R 1.16(s))                        |   |  |              |                              |                                 |    |
|   | FIRST PRESEN  | NTATION OF MU                            | ILTIPLE DEPEN                     | DENT CLAIM (37 CFF                          | R 1.16(j))                                 |              |                              |                                 |    |
|   |   |  |                                   |   |  |              | TOTAL ADD'L FEE              | 0                               |    |
|   |   | (Column 1                                | )                                 | (Column 2)                                  | (Column 3)                                 |              |                              |                                 |    |
|   |   | CLAIMS<br>REMAININ<br>AFTER<br>AMENDMEI  |                                   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT EXTR                               | А            | RATE (\$)                    | ADDITIONAL FEE (\$)             |    |
| EN I  | Total (37 CFR<br>1.16(i))   | *  | Minus                             | **  | =  |              | X \$ =                       |                                 |    |
| AMENDMENT   | Independent<br>(37 CFR 1.16(h))   | *  | Minus                             | ***   | =  |              | X \$ =                       |                                 |    |
|   | Application Si  | ize Fee (37 CF                           | R 1.16(s))                        |   |  | _            |                              |                                 |    |
| A   | FIRST PRESEN  | NTATION OF MU                            | ILTIPLE DEPEN                     | DENT CLAIM (37 CFF                          | R 1.16(j))                                 |              |                              |                                 |    |
|   |   |  |                                   |   |  |              | TOTAL ADD'L FEE              |                                 |    |
| ** If<br>*** I  | the entry in column<br>the "Highest Numbo<br>f the "Highest Numb<br>"Highest Number P | er Previously F<br>per Previously        | Paid For" IN TH<br>Paid For" IN T | IIS SPACE is less<br>HIS SPACE is less      | than 20, enter "20".<br>than 3, enter "3". | nd in the ap | SLIE<br>NICOL D. SCO         |                                 |    |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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DATE MAILED: 02/13/2019

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## NOTICE OF ALLOWANCE AND FEE(S) DUE

Juan Carlos A. Marquez

Marquez Intellectual Property Law Office PLLC
1629 K Street, NW
Suite 300

Washington, DC 20006

| EXAMINER      |              |  |  |  |  |
|---------------|--------------|--|--|--|--|
| CHIEN, LUCY P |              |  |  |  |  |
| ART UNIT      | PAPER NUMBER |  |  |  |  |
| 2871          |              |  |  |  |  |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 15/271,422      | 09/21/2016  | Yoshiaki NAKAYOSHI   | HARU-0126           | 2686             |

TITLE OF INVENTION: LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | UNDISCOUNTED  | \$1000        | \$0.00              | \$0.00               | \$1000           | 05/13/2019 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission 38327 7590 02/13/2019 I hereby certify that this Fee(s) Transmittal is being deposited with the United Juan Carlos A. Marquez States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to Marquez Intellectual Property Law Office PLLC the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. 1629 K Street, NW (Typed or printed name Suite 300 (Signature Washington, DC 20006 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 15/271.422 09/21/2016 Yoshiaki NAKAYOSHI HARU-0126 2686 TITLE OF INVENTION: LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE APPLN, TYPE ENTITY STATUS 05/13/2019 UNDISCOUNTED \$1000 \$0.00 \$0.00 \$1000 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS CHIEN, LUCY P 2871 349-141000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, Lack Change of correspondence address (or Change of Correspondence (2) The name of a single firm (having as a member a Address form PTO/SB/122) attached. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual 📮 Corporation or other private group entity 🖵 Government Publication Fee (if required) Advance Order - # of Copies ☐Issue Fee 4b. Method of Payment: (Please first reapply any previously paid fee shown above) Electronic Payment via EFS-Web ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date Typed or printed name Registration No.

> Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# United States Patent and Trademark Office

#### UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

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| APPLICATION NO.     | FILING DATE            | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------|------------------------|-------------------------|---------------------|------------------|
| 15/271,422          | 09/21/2016             | Yoshiaki NAKAYOSHI      | HARU-0126           | 2686             |
| 38327 75            | 90 02/13/2019          |                         | EXAM                | INER             |
| Juan Carlos A. M    | *                      |                         | CHIEN, I            | LUCY P           |
| Marquez Intellectua | al Property Law Office | PLLC                    |                     |                  |
| 1629 K Street, NW   |                        |                         | ART UNIT            | PAPER NUMBER     |
| Suite 300           |                        |                         | 2871                |                  |
| Washington, DC 20   | 0006                   | DATE MAILED: 02/13/2019 | 9                   |                  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | <b>Application No.</b> 15/271,422   |   | Applicant(s) NAKAYOSHI et al.                 |  |  |
|--|---|---|---|--|--|
| Notice of Allowability   | Examiner<br>LUCY P CHIEN  | Art Unit<br>2871  | AIA Status                                    |  |  |
| The MAILING DATE of this communication apperal claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIG  | OR REMAINS) CLOSED in this ap<br>or other appropriate communicatio<br>GHTS. This application is subject to  | pplication. If no<br>n will be mailed                               | t included<br>d in due course. <b>THIS</b>    |  |  |
| 1. ☐ This communication is responsive to 12/17/2018. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/  | were filed on   |   |   |  |  |
| 2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated   |   | the interview of  | on; the                                       |  |  |
| 3. ✓ The allowed claim(s) is/are 17-18. As a result of the allowed Highway program at a participating intellectual property offinhttp://www.uspto.gov/patents/init_events/pph/index.jsp  | ce for the corresponding applicatio   | n. For more inf   | ormation, please see                          |  |  |
| 4. Acknowledgment is made of a claim for foreign priority under Certified copies:  a) All b) Some *c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. CORRECTED DRAWINGS (as "replacement sheets") must including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the head. | e been received. e been received in Application No. cuments have been received in thi  of this communication to file areply ENT of this application.  be submitted. Amendment / Comment or in the of the according to 37 CFR 1.121(d).  IOLOGICAL MATERIAL must be separated in the design of the according to 37 CFR 1.121(d). | s national stag  y complying wi  Office action of rings in the fron | th the requirements  t (not the back) of each |  |  |
| Attachment(s)  1. Notice of References Cited (PTO-892)   | 5. 🗌 Examiner's Amer  | ndment/Comme  | ent   |  |  |
| <ul> <li>2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date</li> <li>3. Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> <li>4. Interview Summary (PTO-413), Paper No./Mail Date</li> </ul>   | 6. ☑ Examiner's State   | ment of Reaso   | ns for Allowance                              |  |  |
| /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871  |   |   |   |  |  |

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20190206

Application/Control Number: 15/271,422 Page 2

Art Unit: 2871

## Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **Reasons for Allowance**

2. The following is an examiner's statement of reasons for allowance:

Claim 17,18 are allowed.

#### Regarding Claim 17,

Min et al discloses (Fig. 1 and Fig. 2) a liquid crystal display device, comprising: a first substrate (11); a second substrate; a liquid crystal layer between the first substrate and the second substrate, containing liquid crystal molecules (column 4, lines 1-8); a gate line (31) and a drain line (36); a pixel electrode (21) and a counter electrode (12) disposed between the first substrate and the liquid crystal layer; a gate insulation layer (15) formed on the gate line; a insulation layer (20) disposed between the first substrate (11) and the liquid crystal layer; a switching element (where 13 is located), connected to the gate line, including a first electrode (19a) connected to the drain line and a second electrode (19b) connected to the pixel electrode (21); wherein the liquid crystal layer is driven by an electric field generated between the pixel electrode and the counter electrode, and wherein the pixel electrode (21) is formed between the liquid crystal layer and the insulation layer (20), and wherein the counter electrode (12) is a planar shape, and the pixel electrode comprises a slit having a first portion (21),

Min et al does not disclose an organic insulation layer and the first portion is not parallel with the gate line and the drain line.

Lyu et al discloses (abstract) an organic insulation layer (110).

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Kaneko et al discloses (Fig. 2) first portion is not parallel with the gate line and the drain line.

The prior art does not disclose nor would it be obvious to one of ordinary skill in the art to include another reference to disclose wherein the counter electrode is connected to the common layer via a through hole within the organic insulation layer.

Claim 18 depends on Claim 17, therefore is allowable.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LUCY P CHIEN whose telephone number is (571)272-8579. The examiner can normally be reached on 9AM-5PM PST M-TH.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Caley can be reached on 571-272-2286. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 2871

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/LUCY P CHIEN/ Primary Examiner, Art Unit 2871

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 15/271,422              | NAKAYOSHI et al.                        |
|                      | Examiner                | Art Unit                                |
|                      | LUCY P CHIEN            | 2871                                    |

| CPC    |        |     |        |      |            |
|--------|--------|-----|--------|------|------------|
| Symbol | Symbol |     |        | Туре | Version    |
| G02F   | 1      | 1   | 134309 | F    | 2013-01-01 |
| G02F   | 1      | 7   | 136213 | I    | 2013-01-01 |
| G02F   | 1      | 1   | 136286 | I    | 2013-01-01 |
| G02F   | 1      | 1   | 1343   | I    | 2013-01-01 |
| G02F   | / 1    | 100 | 133345 | I    | 2013-01-01 |
| G02F   | 1      | 7   | 13439  | I    | 2013-01-01 |
| G02F   | 1      | 1   | 1368   | I    | 2013-01-01 |
| G02F   | / 2201 | 1   | 40     | A    | 2013-01-01 |
| G02F   | / 1    | 7   | 13     | A    | 2013-01-01 |
| G02F   | / 2201 | 7   | 123    | A    | 2013-01-01 |

| CPC Combination Sets |      |     |         |         |  |  |
|----------------------|------|-----|---------|---------|--|--|
| Symbol               | Туре | Set | Ranking | Version |  |  |
|                      |      |     |         |         |  |  |

| NONE  |                  | Total Claims        | s Allowed:        |
|---|------------------|---------------------|-------------------|
| (Assistant Examiner)                              | (Date)           | 2                   |                   |
| /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871 | 06 February 2019 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner)                                | (Date)           | 17                  | 54                |

Part of Paper No.: 20190206

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 15/271,422              | NAKAYOSHI et al.                        |
|                      | Examiner                | Art Unit                                |
|                      | LUCY P CHIEN            | 2871                                    |

| INTERNATIONAL CLASSIFICATION |     |        |  |  |  |  |
|------------------------------|-----|--------|--|--|--|--|
| CLAIMED                      |     |        |  |  |  |  |
| G02F1/13                     | 1   | / 13   |  |  |  |  |
| G02F1/1333                   | / 1 | 1333   |  |  |  |  |
| G02F1/1343                   | 1   | 1343   |  |  |  |  |
| G02F1/1362                   | 1   | 1362   |  |  |  |  |
| G02F1/1368                   | / 1 | / 1368 |  |  |  |  |
| NON-CLAIMED                  |     |        |  |  |  |  |
|                              |     |        |  |  |  |  |

| US ORIGINAL CLASSIFICATION |          |  |  |  |
|----------------------------|----------|--|--|--|
| CLASS                      | SUBCLASS |  |  |  |
|                            |          |  |  |  |
|                            |          |  |  |  |

| CROSS REFERENCES(S) |   |  |  |  |  |  |
|---------------------|---|--|--|--|--|--|
| CLASS               | CLASS SUBCLASS (ONE SUBCLASS PER BLOCK) |  |  |  |  |  |
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| NONE  |                  | Total Claims        | s Allowed:        |
|---|------------------|---------------------|-------------------|
| (Assistant Examiner)                              | (Date)           | 2                   |                   |
| /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871 | 06 February 2019 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner)                                | (Date)           | 17                  | 54                |

Part of Paper No.: 20190206

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 15/271,422              | NAKAYOSHI et al.                        |
|                      | Examiner                | Art Unit                                |
|                      | LUCY P CHIEN            | 2871                                    |

| ☐ Cla   | ] Claims renumbered in the same order as presented by applicant   CPA   T.D.   R.1.47 |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|---------|---|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|
| CLAIMS  |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
| Final O | Original  | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original |
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|         | 5   |       | 14       |       | 23       |       |          |       |          |       |          |       |          |       |          |
|         | 6   |       | 15       |       | 24       |       |          |       |          |       |          |       |          |       |          |
|         | 7   |       | 16       |       | 25       |       |          |       |          |       |          |       |          |       |          |
|         | 8   | 1     | 17       |       | 26       |       |          |       |          |       |          |       |          |       |          |
|         | 9   | 2     | 18       |       | 27       |       |          |       |          | ·     |          |       |          |       |          |

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| NONE  |                  | Total Claims        | s Allowed:        |
| (Assistant Examiner)                              | (Date)           | 2                   |                   |
| /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871 | 06 February 2019 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner)                                | (Date)           | 17                  | 54                |

| Search Notes | 3 |
|--------------|---|
|              |   |

| Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-------------------------|---|
| 15/271,422              | NAKAYOSHI et al.                        |
| Examiner                | Art Unit                                |
| LUCY P CHIEN            | 2871                                    |

| CPC - Searched* |            |          |  |  |
|-----------------|------------|----------|--|--|
| Symbol          | Date       | Examiner |  |  |
| G02F 1/134309   | 02/06/2019 | LC       |  |  |
| G02F 1/133345   | 02/06/2019 | LC       |  |  |
| G02F 1/1343     | 02/06/2019 | LC       |  |  |

| CPC Combination Sets - Searched* |      |          |  |  |
|----------------------------------|------|----------|--|--|
| Symbol                           | Date | Examiner |  |  |
|                                  |      |          |  |  |

| US Classification - Searched* |  |  |  |  |  |
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| Class Subclass Date Examiner  |  |  |  |  |  |
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 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| Search Notes               |            |          |  |  |
|----------------------------|------------|----------|--|--|
| Search Notes               | Date       | Examiner |  |  |
| see search notes from EAST | 02/06/2019 | LC       |  |  |
| G02F 1/134309              | 02/06/2019 | LC       |  |  |
| G02F 1/133345              | 02/06/2019 | LC       |  |  |
| G02F 1/1343                | 02/06/2019 | LC       |  |  |

| /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871 | /LUCY P CHIEN/<br>Primary Examiner, Art Unit 2871 |
|---|---|
|   |   |
|   |   |

|              | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|--------------|-------------------------|---|
| Search Notes | 15/271,422              | NAKAYOSHI et al.                        |
|              | Examiner                | Art Unit                                |
|              | LUCY P CHIEN            | 2871                                    |

| Interference Search    |                       |            |          |  |
|------------------------|-----------------------|------------|----------|--|
| US Class/CPC<br>Symbol | US Subclass/CPC Group | Date       | Examiner |  |
| 349                    | 141                   | 02/06/2019 | LC       |  |
| G02F 1/134309          |                       | 02/06/2019 | LC       |  |
| G02F 1/133345          |                       | 02/06/2019 | LC       |  |
| G02F 1/1343            |                       | 02/06/2019 | LC       |  |

| /LUCY P CHIEN/ Primary Examiner, Art Unit 2871 | /LUCY P CHIEN/ Primary Examiner, Art Unit 2871 |
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## **EAST Search History**

## **EAST Search History (Prior Art)**

< This search history is empty>

## **EAST Search History (Interference)**

| Ref<br># | Hits   | Search Query                            | DBs   | Default<br>Operator | Plurals | Time Stamp          |
|----------|--------|---|-------|---------------------|---------|---------------------|
| L9       | 1863   | 349/141.ccls.                           | USPAT | OR                  | OFF     | 2019/02/06<br>18:58 |
| L8       | 432    | G02F1/1343.cpc.                         | USPAT | OR                  | OFF     | 2019/02/06<br>18:57 |
| L7       | 2059   | G02F1/133345.cpc.                       | USPAT | OR                  | OFF     | 2019/02/06<br>18:30 |
| L6       | 2578   | G02F1/134309.cpc.                       | USPAT | OR                  | OFF     | 2019/02/06<br>18:05 |
| L5       | 672    | L1 and L2 and L3 and L4                 | USPAT | OR                  | OFF     | 2019/02/06<br>17:51 |
| L4       | 20330  | (counter common) adj3<br>electrode.clm. | USPAT | OR                  | OFF     | 2019/02/06<br>17:51 |
| L3       | 233590 | organic.clm.                            | USPAT | OR                  | OFF     | 2019/02/06<br>17:51 |
| L2       | 17268  | pixel adj3 electrode.clm.               | USPAT | OR                  | OFF     | 2019/02/06<br>17:51 |
| L1       | 67508  | liquid adj3 crystal.clm.                | USPAT | OR                  | OFF     | 2019/02/06<br>17:51 |

## 2/6/20196:58:49 PM

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## **Bibliographic Data**

15/271,422 **Application No:** Yes  $O_{No}$ Foreign Priority claimed: ✓ Yes  $\square_{No}$ ☐ Met After Allowance 35 USC 119 (a-d) conditions met: Verified and Acknowledged: /LUCY P CHIEN/ Examiner's Signature Initials LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND Title: MANUFACTURING METHOD THEREOF

| FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
|-----------------------|-------|----------------|---------------------|
| 09/21/2016            | 349   | 2871           | HARU-0126           |
| RULE                  |       |                |                     |

#### **APPLICANTS**

Japan Display Inc., Tokyo, JAPAN

Panasonic Liquid Crystal Display Co., Ltd., Hyogo-ken, JAPAN

#### INVENTORS

Yoshiaki NAKAYOSHI Ooamishirasato, JAPAN

Kazuhiko YANAGAWA Mobara, JAPAN

#### **CONTINUING DATA**

This application is a CON of 14942120 11/16/2015 PAT 9488880

14942120 is a CON of 14708348 05/11/2015 PAT 9213204

14708348 is a CON of 14285006 05/22/2014 PAT 9086600

14285006 is a CON of 13927539 06/26/2013 PAT 8760609

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13650203 is a CON of 13364092 02/01/2012 PAT 8310641

13364092 is a CON of 12926735 12/07/2010 PAT 8248549

12926735 is a CON of  $12292728\ 11/25/2008\ PAT\ 7872696$ 

12292728 is a DIV of 11976884 10/29/2007 PAT 7605876

11976884 is a DIV of 11409076 04/24/2006 PAT 7307673

11409076 is a DIV of 11211574 08/26/2005 PAT 7423701

11211574 is a DIV of 10237911 09/10/2002 PAT 6970222

#### FOREIGN APPLICATIONS

JAPAN 2001-317149 10/15/2001

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09/30/2016

## STATE OR COUNTRY

**JAPAN** 

## **ADDRESS**

Juan Carlos A. Marquez
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## FILING FEE RECEIVED

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## PART B - FEE(S) TRANSMITTAL

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| Juan Carlos A<br>Marquez Intelle<br>1629 K Street, N                                   | . Marquez<br>ectual Property Law  | Office PLLC   | Sta<br>add  | ereby certify that this Fee(<br>tes Postal Service with suf<br>dressed to the Mail Stop IS<br>USPTO via EFS-Web or b   | ficient postage for first<br>SSUE FEE address abov                            | deposited with the United<br>class mail in an envelope<br>ve, or being transmitted to |
| Suite 300  |   |   | _   |  |   | (Typed or printed name)   |
| Washington, DO   | C 20006   |   | _   |  |   | (Signature)   |
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| APPLICATION NO.  | FILING DATE   | Ξ   | FIRST NAMED INVENTOR  | R ATTO   | DRNEY DOCKET NO.  | CONFIRMATION NO.  |
| 15/271,422   | 09/21/2016  |   | Yoshiaki NAKAYOSHI  |  | HARU-0126   | 2686  |
| TITLE OF INVENTION   | N: LIQUID CRYSTAL I   | DISPLAY DEVICE, DISP                                    | LAY DEVICE AND MA   | NUFACTURING METHO  | DD THEREOF  |   |
| APPLN. TYPE  | ENTITY STATUS   | ISSUE FEE DUE   | PUBLICATION FEE DUE   | PREV. PAID ISSUE FEE   | TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional   | UNDISCOUNTED  | \$1000  | \$0.00  | \$0.00   | \$1000  | 05/13/2019  |
| EXA  | MINER   | ART UNIT  | CLASS-SUBCLASS  | 7  |   |   |
| CHIEN,   | , LUCY P  | 2871  | 349-141000  | _  |   |   |
| 1. Change of correspond<br>CFR 1.363).   | dence address or indication   | on of "Fee Address" (37                                 | 2. For printing on the (1) The names of up t  | patent front page, list<br>to 3 registered patent attorn   | nevs  |   |
| Change of corresp<br>Address form PTO/S  | pondence address (or Cha<br>B/122) attached.                              | ange of Correspondence                                  | or agents OR, alternatively,  (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to  2 Marquez IP Law Office, PLLC |  |   |   |
|  | dication (or "Fee Address<br>more recent) attached. U<br>l.               |   | listed, no name will be   | orneys or agents. If no nan<br>e printed.  | 3   |   |
| 3. ASSIGNEE NAME A   | AND RESIDENCE DAT   | A TO BE PRINTED ON                                      | THE PATENT (print or ty   | rpe)   |   |   |
|  | recordation, as set forth   |   | FR 3.81(a). Completion of   | t. If an assignee is identified this form is NOT a substi  | tute for filing an assignr  |   |
| JAPAN DISPLA   |   |   | TOKYO, JAPAN  | Y and STATE OR COUNT   | (KI)  |   |
| PANASONIC LI   | IQUID CRYSTAL DISF  |   | HYOGO-KEN, JA   |  |   |   |
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| Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the           |   |   | fee payment in the micro  | ertification of Micro Entity<br>o entity amount will not be<br>n was previously under mic  | accepted at the risk of a   | pplication abandonment.   |
| Applicant asserting small entity status. See 37 CFR 1.27 to be a notifical NOTE: Check |   | to be a notification of los NOTE: Checking this bo      | ss of entitlement to micro e<br>ox will be taken to be a not  | entity status.   | _   |   |
|  |   |   | entity status, as applicab  3. See 37 CFR 1.4 for sign  | le.<br>nature requirements and ce  | rtifications  |   |
| Authorized Signature   |   |   | 5. 500 57 CTR 1.4 IOI SIGN  |  | 7, 2019   |   |
|  | ne <u>Juan Carlos A. Ma</u>   |   |   | Registration No.   |   |   |
|  |   |   |   |  |   |   |

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Electronic Patent Application Fee Transmittal |                                 |                                   |               |                  |                         |
|---|---------------------------------|-----------------------------------|---------------|------------------|-------------------------|
| Application Number:                           | 15271422                        |                                   |               |                  |                         |
| Filing Date:                                  | 21-                             | Sep-2016                          |               |                  |                         |
| Title of Invention:                           |                                 | QUID CRYSTAL DISP<br>THOD THEREOF | LAY DEVICE, D | SPLAY DEVICE AND | MANUFACTURING           |
| First Named Inventor/Applicant Name:          | Yoshiaki NAKAYOSHI              |                                   |               |                  |                         |
| Filer:  | Juan Carlos A. Marquez/Lily Niu |                                   |               |                  |                         |
| Attorney Docket Number:                       | НА                              | RU-0126                           |               |                  |                         |
| Filed as Large Entity                         |                                 |                                   |               |                  |                         |
| Filing Fees for Utility under 35 USC 111(a)   |                                 |                                   |               |                  |                         |
| Description                                   |                                 | Fee Code                          | Quantity      | Amount           | Sub-Total in<br>USD(\$) |
| Basic Filing:                                 |                                 |                                   |               |                  |                         |
| Pages:  |                                 |                                   |               |                  |                         |
| Claims:                                       |                                 |                                   |               |                  |                         |
| Miscellaneous-Filing:                         |                                 |                                   |               |                  |                         |
| Petition:                                     |                                 |                                   |               |                  |                         |
| Patent-Appeals-and-Interference:              |                                 |                                   |               |                  |                         |
| Post-Allowance-and-Post-Issuance:             |                                 |                                   |               |                  |                         |
| UTILITY APPL ISSUE FEE                        |                                 | 1501                              | 1             | 1000             | 1000                    |

| Description        | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|--------------------|----------|-----------|--------|-------------------------|
| Extension-of-Time: |          |           |        |                         |
| Miscellaneous:     |          |           |        |                         |
|                    | Tot      | al in USD | (\$)   | 1000                    |
|                    |          |           |        |                         |

| Electronic Acknowledgement Receipt   |   |  |
|--------------------------------------|---|--|
| EFS ID:                              | 35937123  |  |
| Application Number:                  | 15271422  |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 2686  |  |
| Title of Invention:                  | LIQUID CRYSTAL DISPLAY DEVICE, DISPLAY DEVICE AND MANUFACTURING<br>METHOD THEREOF |  |
| First Named Inventor/Applicant Name: | Yoshiaki NAKAYOSHI  |  |
| Customer Number:                     | 38327   |  |
| Filer:                               | Juan Carlos A. Marquez/Lily Niu   |  |
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| Filing Date:                         | 21-SEP-2016   |  |
| Time Stamp:                          | 12:40:21  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |

## **Payment information:**

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|--|-----------------------|--|
| Submitted with Payment   | yes                   |  |
| Payment Type   | CARD                  |  |
| Payment was successfully received in RAM   | \$1000                |  |
| RAM confirmation Number  | 050719INTEFSW12410200 |  |
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|--------------------|-----------------------------|------------------------------|--|---------------------|---------------------|--|--|--|
| Document<br>Number | Document Description        | File Name                    | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |  |
|                    |                             |                              | 146140                                       |                     |                     |  |  |  |
| 1                  | Issue Fee Payment (PTO-85B) | HARU-0126-Issue_fee_form.pdf | b0784bd80c473afbb5aee8ba87c30362f35<br>16152 | no                  | 1                   |  |  |  |
| Warnings:          |                             |                              | L  | I                   |                     |  |  |  |
| Information:       |                             |                              |  |                     |                     |  |  |  |
|                    |                             |                              | 30363  |                     |                     |  |  |  |
| 2                  | Fee Worksheet (SB06)        | fee-info.pdf                 | a7b0b338c3a62c1773fc3b07fa041b677233<br>df1a | no<br>3             | 2                   |  |  |  |
| Warnings:          |                             |                              | ,I   |                     |                     |  |  |  |
| Information:       |                             |                              |  |                     |                     |  |  |  |
|                    |                             | 176503                       |  |                     |                     |  |  |  |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## United States Patent and Trademark Office



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| APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|------------|------------|---------------------|------------------|
| 15/271,422      | 06/25/2019 | 10330989   | HARU-0126           | 2686             |

38327

06/05/2019

Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC 1629 K Street, NW Suite 300 Washington, DC 20006

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 300 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Yoshiaki NAKAYOSHI, Ooamishirasato, JAPAN; Japan Display Inc., Tokyo, JAPAN; Kazuhiko YANAGAWA, Mobara, JAPAN;

Panasonic Liquid Crystal Display Co., Ltd., Hyogo-ken, JAPAN;

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