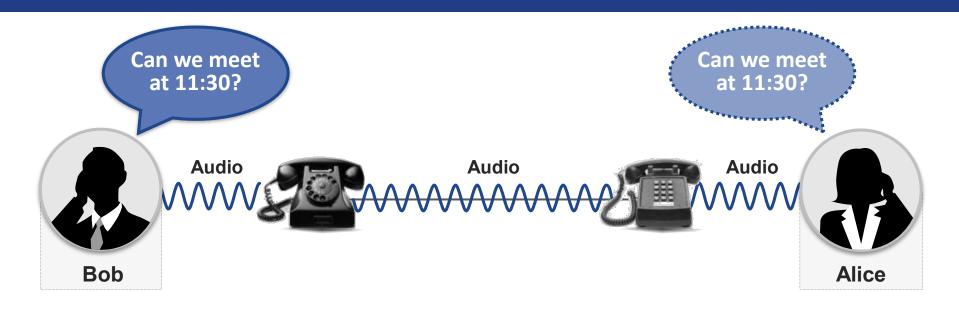
TCL/Hisense/LG, Petitioners v. ParkerVision, Inc., Patent Owner

Case No. IPR2021-00990 U.S. Patent No. 7,110,444

Patent Owner's Demonstratives

Technology Overview

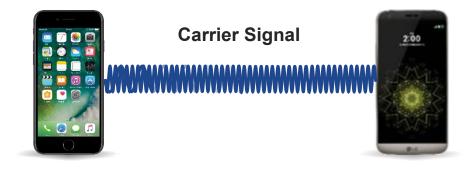
Wired Communication



Audio signal is at low frequency.

Frequency





- Cannot transmit the audio signal over the air.
- The audio signal must move to a higher frequency carrier signal.

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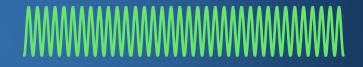
Modulation Involves Up-Conversion

Baseband Information



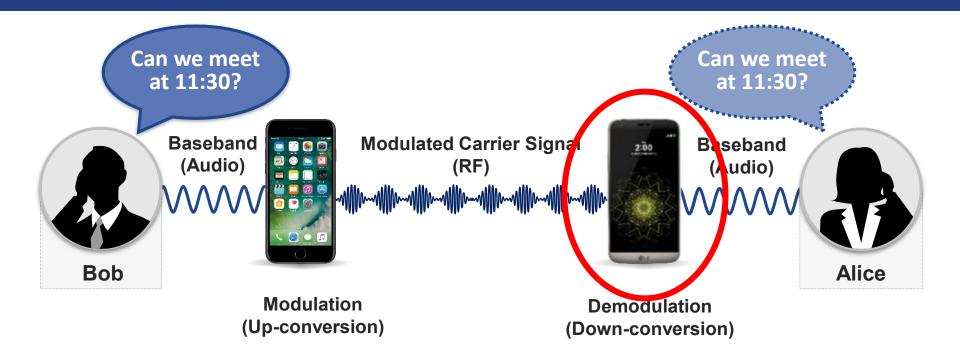
IMPRESSED ON

Carrier



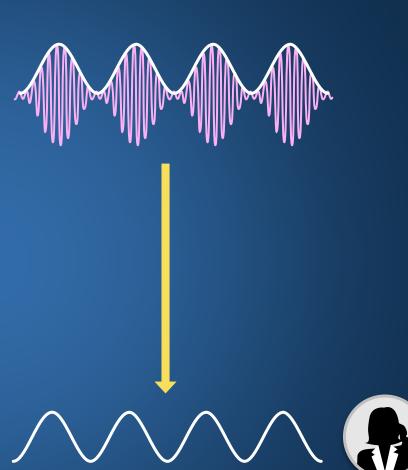
Modulated Carrier

ParkerVision Ex. 2047 IPR2021-00990



Demodulation Involves Down-Conversion

Modulated Carrier

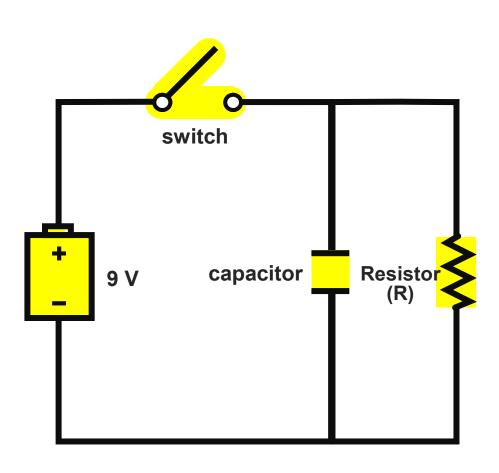


Baseband Signal

ParkerVision Ex. 2041 IPR2021-00990

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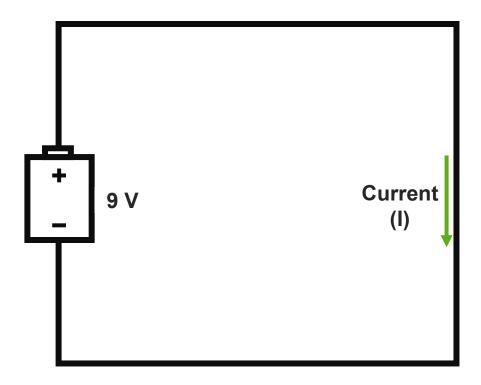
ParkerVision Ex. 2041 IPR2021-00990 Page 11 of 79



- Battery
- Switch
- Resistor
- Capacitor

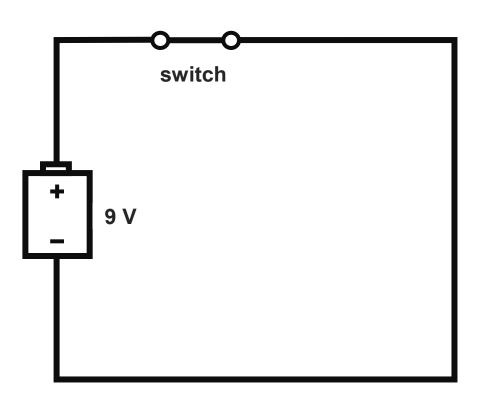
ParkerVision Ex. 2041 IPR2021-00990 Page 12 of 79





CurrentMovement of charge

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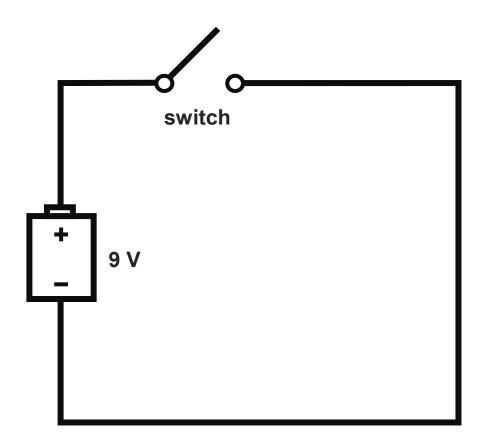


Switch

- Current flows and energy transfers when on/closed
- Current does not flow and energy does not transfer when off/open

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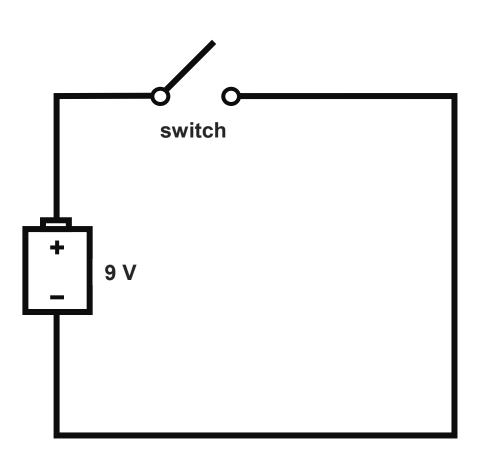




Switch

- Current flows and energy transfers when on/closed
- Current does not flow and energy does not transfer when off/open

ParkerVision Ex. 2041 IPR2021-00990 Page 15 of 79

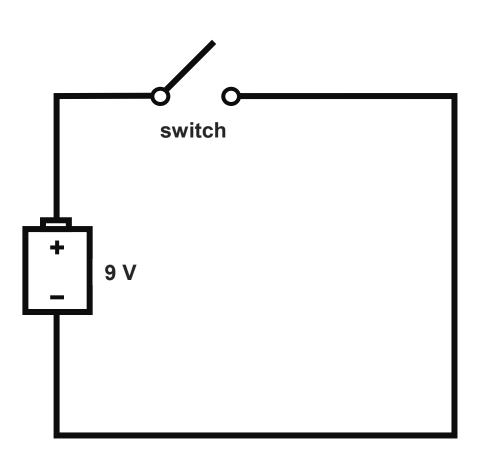


Control Signal

- Used to close/open an electronic switch
- Controls Frequency
- Controls Duration



ParkerVision Ex. 2041 IPR2021-00990 Page 16 of 79

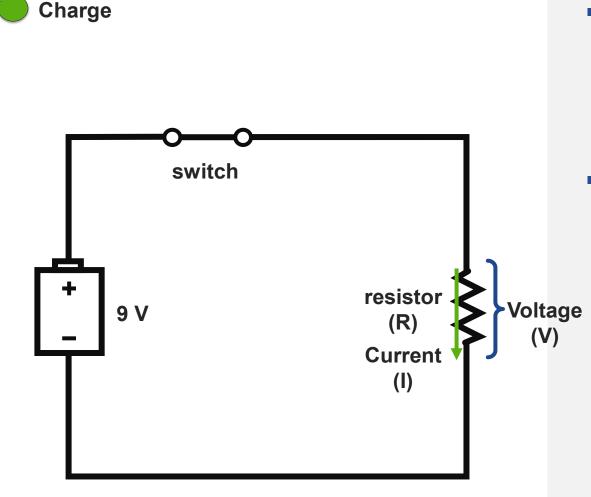


Control Signal

- Used to close/open an electronic switch
- Controls Frequency
- Controls Duration



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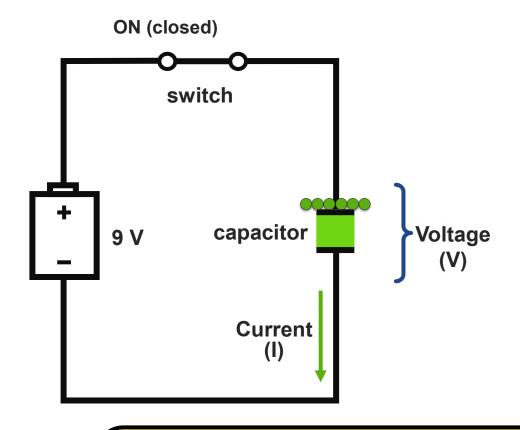


- Resistor
 Component that provides resistance to electrical current and dissipates energy
- Voltage
 The measure of energy dissipated in the resistor

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ParkerVision





Capacitor
 Component that collects charge

Voltage

 Difference in electrical potential between top and bottom plate

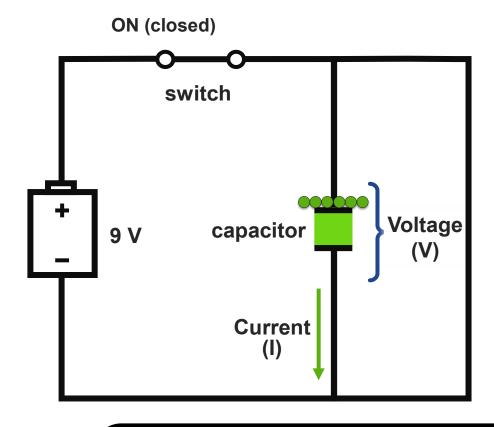
Charging

- Capacitor charges when the switch is closed
- During charging current (charges) flows onto the plates of the capacitor

When a capacitor collects charge, it is charging.

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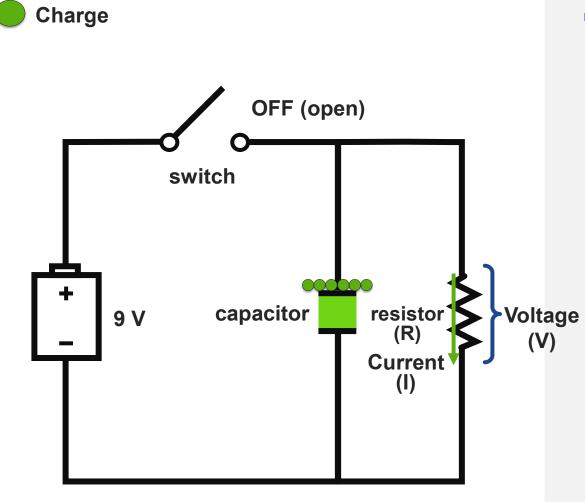




- Capacitor
 Component that collects charge
- Discharging
 - Capacitor discharges when it is shorted

When a capacitor loses charge, it is discharging.

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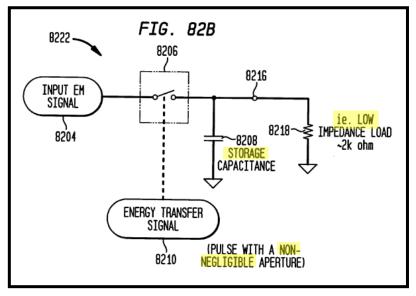
Discharging

- Capacitor discharges when the switch is open
- Current flows out from the capacitor

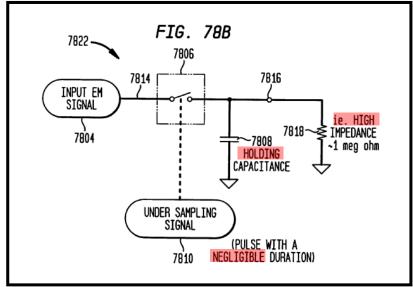
ParkerVision Ex. 2041 IPR2021-00990 Page 21 of 79

Energy Sampling v. Voltage Sampling

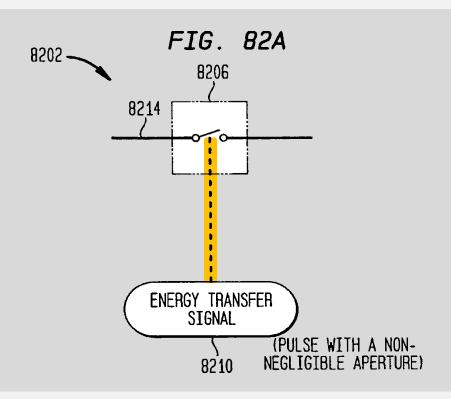
Energy Transfer (Energy Sampling)

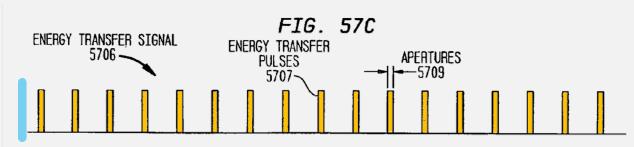


Sample and Hold (Voltage Sampling)

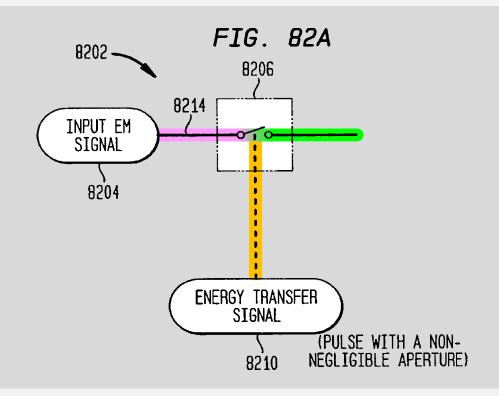


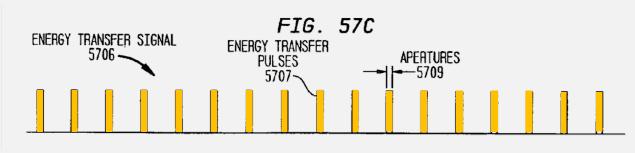
Energy Transfer (Energy Sampling)



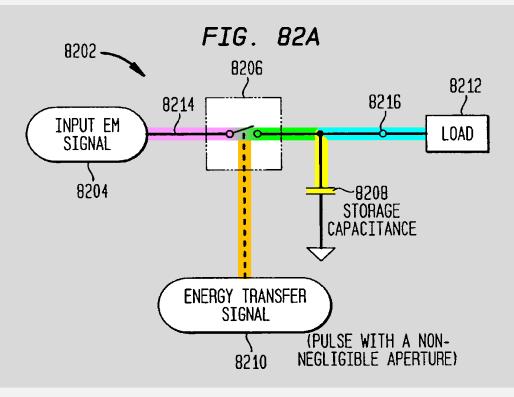


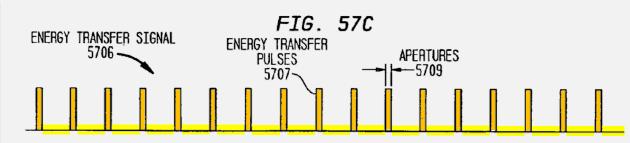
ParkerVision Ex. 2041 IPR2021-00990 Page 25 of 79



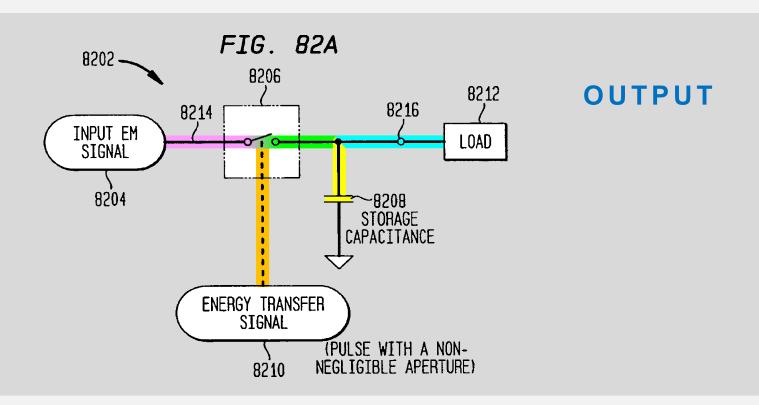


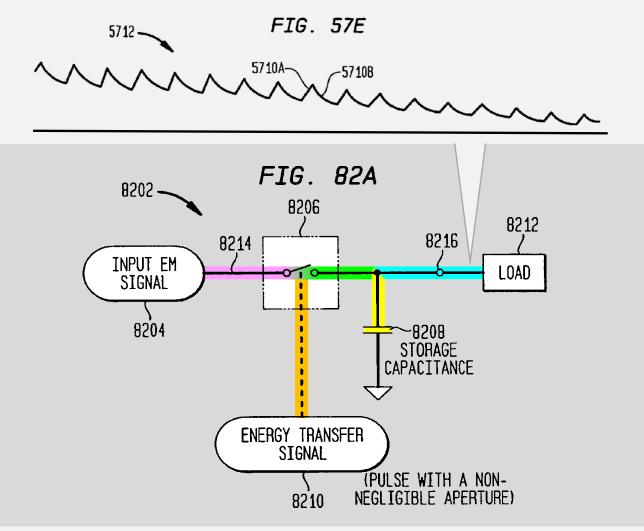
ParkerVision Ex. 2041 IPR2021-00990 Page 26 of 79

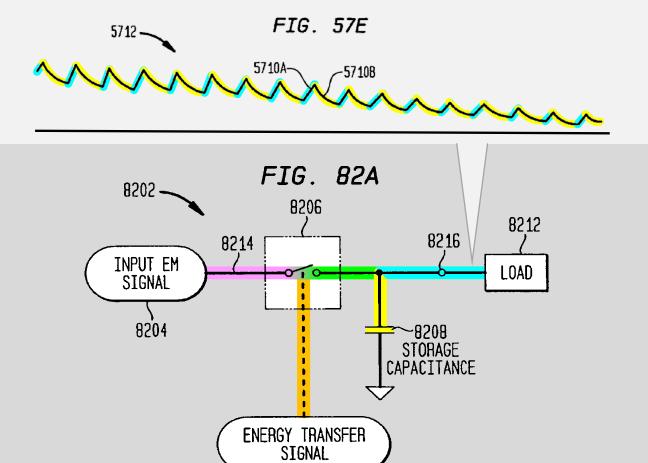




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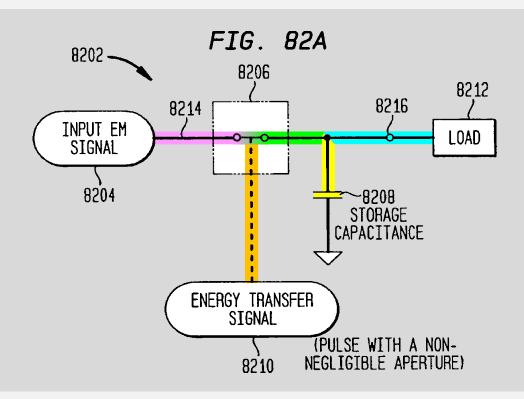




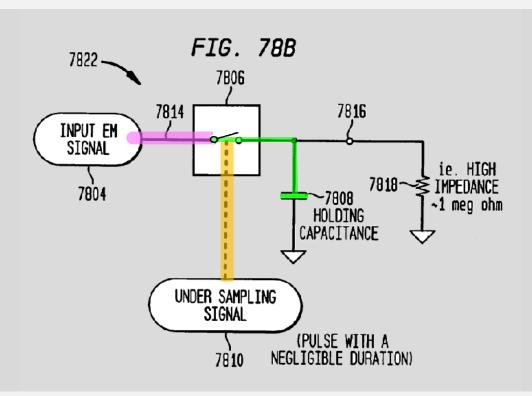


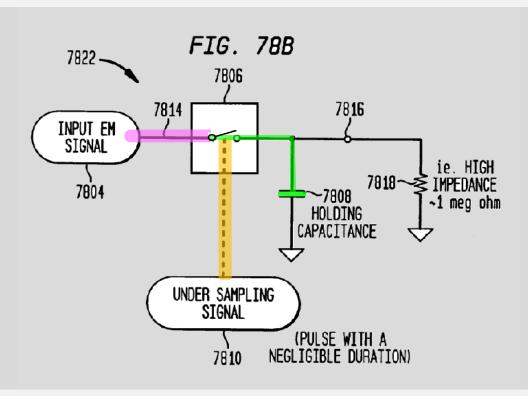
8210

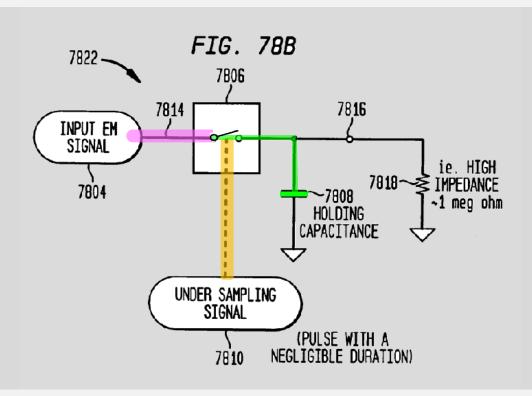
(PULSE WITH A NON-NEGLIGIBLE APERTURE)



Sample and Hold (Voltage Sampling)







Claim Construction

ParkerVision's Construction	Petitioners' Construction
"an element of an energy transfer	"an element of a system that
system that stores non-negligible	stores non-negligible amounts of
amounts of energy from an input	energy from an input EM signal"
electromagnetic signal"	

To act as their own lexicographer, the patentees must "clearly set forth a definition of the disputed claim term," and "clearly express an intent' to [define] the term." *Thorner*, 669 F.3d at 1365. The Court does not find that Defendant has shown that both elements are met here for at least the following reasons.

First, the Court does not believe that—even in isolation—that the last sentence rises to the "exacting standards" necessary for lexicography. Hill-Rom Servs., 755 F.3d at 1371. For the reasons described in Section II, a POSITA would understand that a "storage capacitance" is just a generic capacitor (as is a holding capacitance); a POSITA would not understand that a storage (or holding) capacitance is a special or particular type of capacitor with unique features or functionality, e.g., a capacitor that only stores or is only capable of storing "a non-negligible amount of energy from an input electromagnetic (EM) signal." In addition, the last sentence's use of the phrase "on the other hand" indicates that it is making a comparison and, as such, a POSITA would not only look to this sentence in isolation—or even this passage alone—to understand the meaning the of "storage module" or "storage capacitance." Similarly, based on the words "refers to," a POSITA would not only look to this sentence to understand the meaning of those terms.

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the storage capacitance only stores a non-negligible amount of energy from an input EM signal.

Therefore, based on last sentence in isolation, the Court does not find that the patentees "clearly

set forth a definition" nor did they "clearly express an intent' to [define] the term." Thorner, 669

F.3d at 1365.

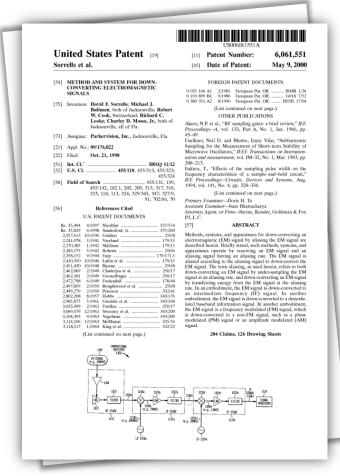
Ex.-2040 at 17

Second, the passage as a whole ('518 Patent at 66:11–23) supports the Court's conclusion that the last sentence does not rise to the level of a lexicographical statement. This passage, when read in context, describes the operation of a capacitor in an energy transfer system (i.e., the "storage" capacitance" and "storage module") as compared to the operation of the corresponding capacitor in a sample-and-hold system (i.e., the "holding capacitance" and "holding module"). For example, the passage initially recites that the "storage module" and "storage capacitance" are components of an energy transfer system. The passage then recites "[t]he terms storage module and storage capacitance, as used herein, are distinguishable from the terms holding module and holding capacitance, respectively." Based on these two sentences, a POSITA would understand that the remainder of the passage will compare a storage module / capacitance, which this passage describes as a component of an energy transfer system, with a holding module / capacitance (which

Ex.-2040 at 17

Third, the specification as a whole provides definitive confirmation that the patentees did not intend for the last sentence to be a lexicographical statement. For example, this passage appears within a sub-section entitled "0.1.2 Introduction to Energy Transfer." '518 Patent at 65:56. The previous sub-section is entitled "0.1.1 Review of Undersampling." Id. at 62:62. Both of these sub-sections are within a section entitled "0.1 Energy Transfer Compared to Under-Sampling." Therefore, based on the organization of the sub-sections, a POSITA would understand that this passage will compare a storage module / capacitance in the context of an energy transfer system with a holding module / capacitance in the context of a sample-and-hold system, and not that the passage is specifically defining that a storage module /capacitance is a generic capacitor that is capable of holding a non-negligible amount of charge. These comparisons further confirm the Court's conclusion that the passage as a whole compares the capacitance in energy transfer and sample-and-hold systems. At minimum, this comparison casts serious doubt as to whether the patentees "clearly express an intent' to [define] the term." Thorner, 669 F.3d at 1365.

Ex.-2040 at 18



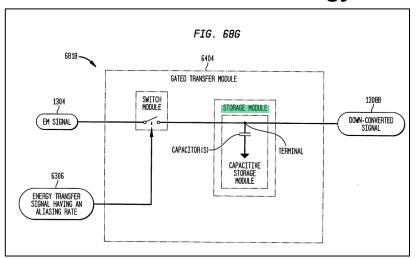
0.1.2 Introduction to Energy Transfer

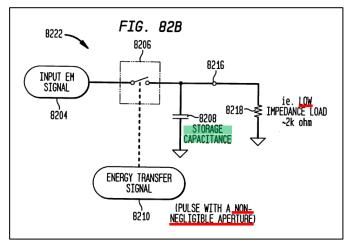
Exhibit 2029 ('551 patent), 66:33

FIG. 82A illustrates an exemplary energy transfer system 8202 for down-converting an input EM signal 8204. The energy transfer system 8202 includes a switching module 8206 and a storage module illustrated as a storage capacitance 8208. The terms storage module and storage capacitance, as used herein, are distinguishable from the terms holding module and holding capacitance, respectively. Holding modules and holding capacitances, as used above, identify systems that store negligible amounts of energy from an under-sampled input EM signal with the intent of "holding" a voltage value. Storage modules and storage capacitances, on the other hand, refer to systems that store non-negligible amounts of energy from an input EM signal.

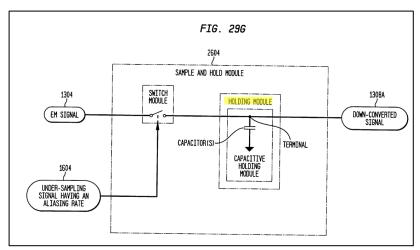
Exhibit 2029 ('551 patent), 66:55-67

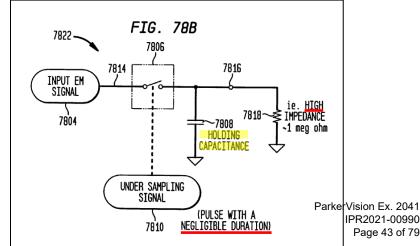
Energy transfer systems

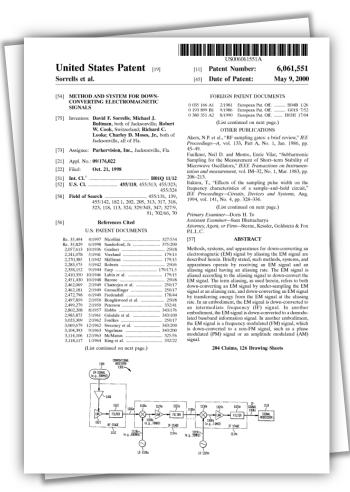




Sample and hold systems







is relatively significant. Another benefit of the energy transfer system 8202 is that the non-negligible amounts of transferred energy permit the energy transfer system 8202 to effectively drive loads that would otherwise be classified as low impedance loads in under-sampling systems and conventional sampling systems. In other words, the non-negligible amounts of transferred energy ensure that, even for lower impedance loads, the storage capacitance 8208 accepts and maintains sufficient energy or charge to drive the load 8202. This is illustrated below in the timing diagrams

Exhibit 2029 ('551 patent), 67:37-46

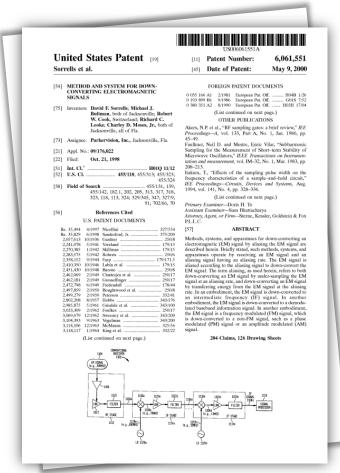


FIG. 82A illustrates an exemplary energy transfer system 8202 for down-converting an input EM signal 8204. The energy transfer system 8202 includes a switching module 8206 and a storage module illustrated as a storage capacitance 8208. The terms storage module and storage capacitance, as used herein, are distinguishable from the terms holding module and holding capacitance, respectively. Holding modules and holding capacitances, as used above, identify systems that store negligible amounts of energy from an under-sampled input EM signal with the intent of "holding" a voltage value. Storage modules and storage capacitances, on the other hand, refer to systems that store non-negligible amounts of energy from an input EM signal.

Ex.-2029, 66:55-67

'444 Patent, Claim 3

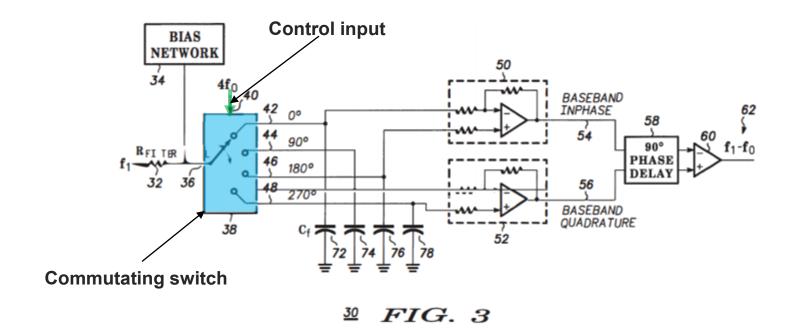
- 3. A wireless modem apparatus, comprising:
- a receiver for frequency down-converting an input signal including,
 - a first frequency down-conversion module to downconvert the input signal, wherein said first frequency down-conversion module down-converts said input signal according to a first control signal and outputs a first down-converted signal;
 - a second frequency down-conversion module to downconvert said input signal, wherein said second frequency down-conversion module down-converts said input signal according to a second control signal and outputs a second down-converted signal; and
 - a subtractor module that subtracts said second downconverted signal from said first down-converted signal and outputs a down-converted signal;
- wherein said first and said second frequency downconversion modules each comprise a switch and a storage element.

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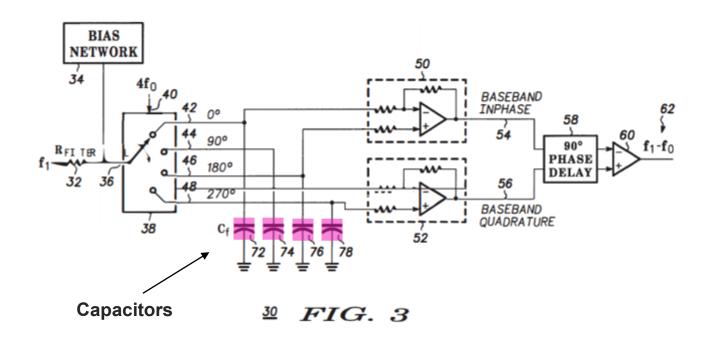
'444 Patent, Claim 4

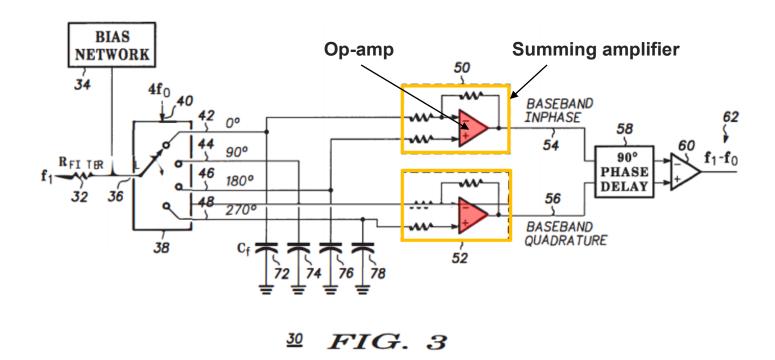
4. The apparatus of claim 3, wherein said storage elements comprises a capacitor that reduces a DC offset voltage in said first down-converted signal and said second downconverted signal.

(U.S. Patent No. 6,230,000)

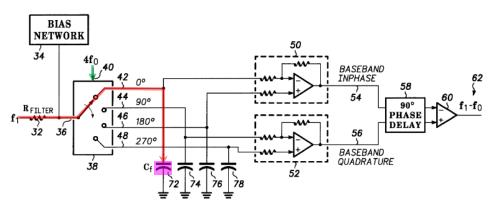


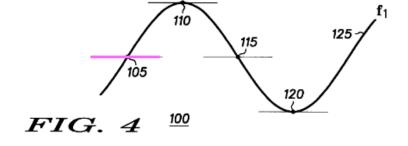
ParkerVision Ex. 2041 IPR2021-00990 Page 49 of 79



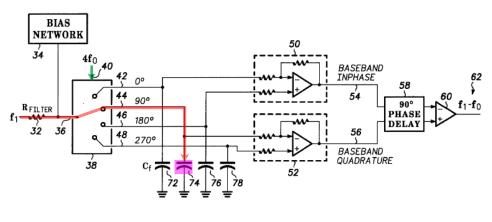


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30 FIG. 3



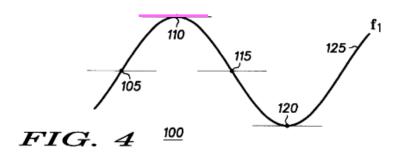
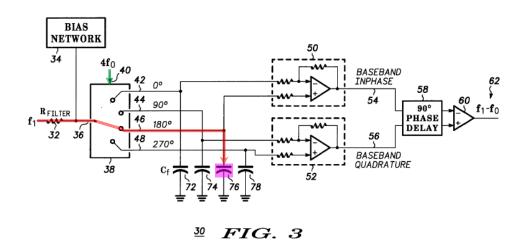
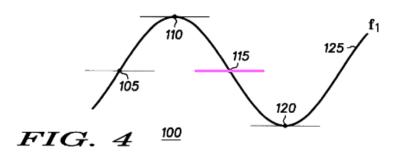
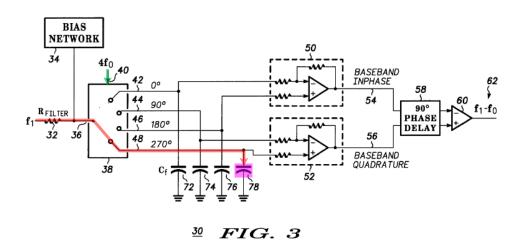
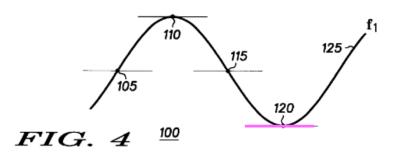


FIG. 3









ParkerVision Ex. 2041 IPR2021-00990 Page 55 of 79

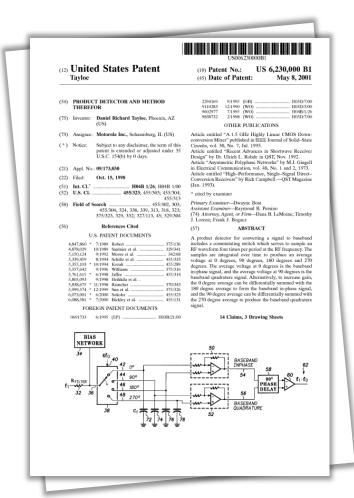
Tayloe Does Not Disclose "Storage Elements"

ParkerVision's/Texas District Court's Construction

"an element <u>of an energy transfer system</u> that stores <u>non-negligible amounts</u> <u>of energy</u> from an input electromagnetic signal"

- Tayloe does <u>not</u> disclose an energy transfer system
- The capacitors in Tayloe do <u>not</u> store non-negligible amounts of energy

Tayloe Is Not An Energy Transfer System



A product detector for converting a signal to baseband includes a commutating switch which serves to sample an RF waveform four times per period at the RF frequency. The samples are integrated over time to produce an average voltage at 0 degrees, 90 degrees, 180 degrees and 270 degrees. The average voltage at 0 degrees is the baseband in-phase signal, and the average voltage at 90 degrees is the baseband quadrature signal. Alternatively, to increase gain, the 0 degree average can be differentially summed with the 180 degree average to form the baseband in-phase signal, and the 90 degree average can be differentially summed with the 270 degree average to produce the baseband quadrature signal.

Exhibit 1004 (Tayloe), Abstract

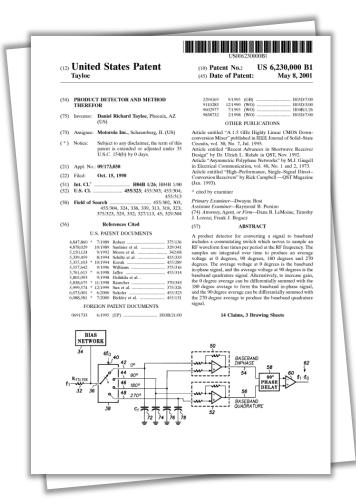


FIG. 4 shows a waveform in accordance with a preferred embodiment of the present invention. Waveform 100 includes signal 125 which corresponds to the input signal f₁. Superimposed on signal 125 are points 105, 110, 115, and 120. Point 105 represents the voltage to which capacitor 72 (FIG. 3) charges. Likewise, point 110 represents the voltage to which capacitor 74 charges, point 115 represents the voltage to which capacitor 76 charges, and point 120 represents the voltage to which capacitor 78 charges. One skilled in the art will understand that if f_1 is a carrier signal with no information signal superimposed, and the carrier signal frequency is exactly equal to f₀, four evenly spaced samples of f_1 will continuously be taken by the action of the Tayloe Product Detector, and the voltages represented by points 105, 110, 115, and 120 will be stationary. Stationary voltages on the integrating capacitors 72–78 represent no signal of interest at baseband.

Exhibit 1004 (Tayloe), 3:40-56

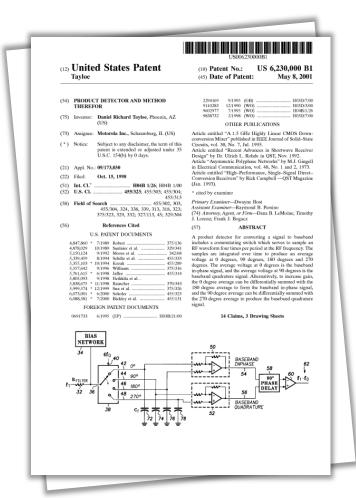
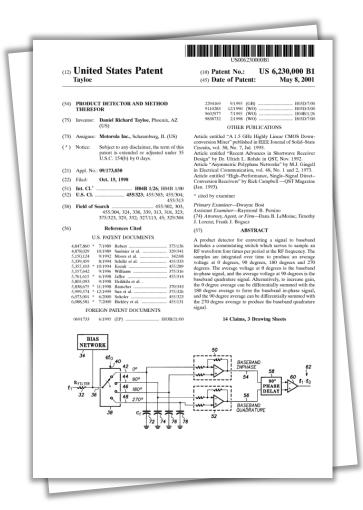


FIG. 6 shows a product detector in accordance with an alternate embodiment of the present invention. Product detector 170 shows an alternate embodiment in which each integrating capacitor has its own resistor. For example, 0 degree output 180 has a voltage controlled by the combination of capacitor 175 and resistor 171. Likewise, 90 degree output 182 has a voltage controlled by the combination of capacitor 176 and resistor 172, 180 degree output 184 has a voltage controlled by the combination of capacitor 177 and resistor 173, and 270 degree output 188 has a voltage controlled by the combination of capacitor 178 and resistor 174. Resistor 171 and capacitor 175 form a first

Exhibit 1004 (Tayloe), 4:46-57



48 respectively. As commutating switch 38 cycles through the four outputs, capacitors 72–78 charge to voltage values substantially equal to the average value of the input signal during their respective quadrants. Each of the capacitors

Exhibit 1004 (Tayloe), 2:38-41

Tayloe Discloses A Voltage Sampling Circuit

IPR2021-00990 U.S. Patent No. 7,110,444 Patent Owner's Response

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TCL Industries Holdings Co., Ltd. and Hisense Co., Ltd.,

Petitioners

v.

ParkerVision, Inc.

Patent Owner

U.S. Patent No. 7,110,444

Issue Date: September 19, 2006
Title: WIRELESS LOCAL AREA NETWORK
(WLAN) USING UNIVERSAL FREQUENCY
TRANSLATION TECHNOLOGY INCLUDING
MULTI-PHASE EMBODIMENTS AND
CIRCUIT IMPLEMENTATIONS

Inter Partes Review No. IPR2021-00990

DECLARATION OF DR. MICHAEL STEER

Modeled Tayloe Circuit

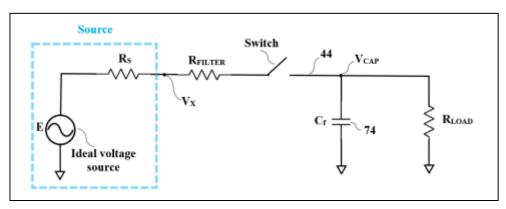


Exhibit 2039 at ¶265

ParkerVision Ex. 2041 IPR2021-00990 Page 62 of 79

Tayloe Discloses A Voltage Conversion Loss

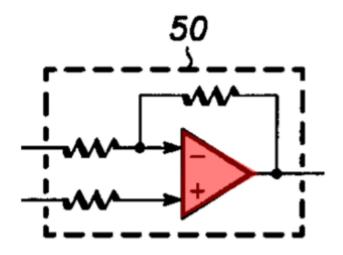
The combination of resistor 32, commutating switch 38, and capacitors 72–78 form a portion of a preferred embodiment of a product detector. This product detector is referred to herein as a "Tayloe Product Detector." The Tayloe Product Detector has many advantages. One advantage is low conversion loss. The Tayloe Product Detector can exhibit less than 1 dB of conversion loss, which is 6-7 dB improvement over the typical conversion loss of 7–8 dB in the prior art. This 6–7 dB conversion loss improvement translates into a 6-7 dB improvement in overall receiver noise figure. The

Exhibit 1004 (Tayloe), 3:1-9

In the real world, however, R_{SWITCH} will not be 0 ohms and R_{LOAD} will not be infinite ohms. So V_{CAP} will be slightly less than V₁₁₀ as long as R_{SWITCH} is very small and R_{LOAD} is very large. For example, if $V_{CAP} = 89\%$ of V_{110} , the non-ideal voltage conversion loss will be $C_{Lv} = (V_{CAP} / V_{110}) = 0.89$. In decibels the voltage conversion loss is $C_{L\nu}(dB) = -20 \text{ x } \log(V_{CAP} / V_{110}) = -20 \text{ x } \log(0.89) = 1 \text{ dB. So if } R_{SWITCH}$ is closer to zero ohms and R_{LOAD} is closer to infinity, the voltage conversion loss will be "less than 1 dB" of conversion loss just as Tayloe claims. Ex.-1004, 3:6-7. Ex.-2038, ¶276.

ParkerVision Ex. 2041

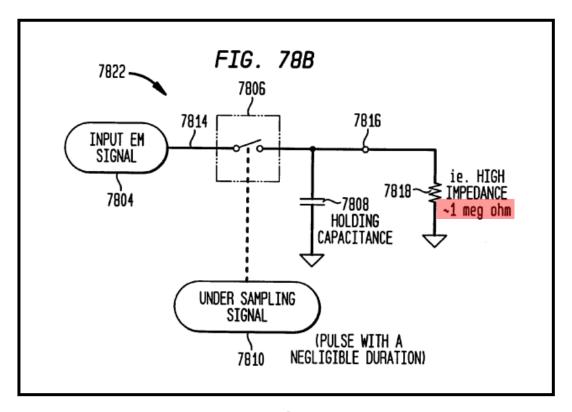
Tayloe Discloses a High Impedance Load



Tayloe capacitor holds voltage when a switch is open (OFF). I note that the most common/widely sold op-amp at the time of the invention was the 741 Series op-amp, which had a high input resistance of at least 300,000 ohms and typically 2,000,000 ohms (2 meg ohm (M Ω)). See Ex.-2037. Accordingly, a POSITA would understand that both the non-inverting (+) and inverting (–) inputs of Tayloe's op-amp present high impedance loads.

Exhibit 2039 at ¶349

ParkerVision's '551 Patent



1 meg ohm = HIGH impedance

Tayloe Capacitors Do Not Store Non-Negligible Amounts of Energy

c. STEP 3: Percentage of available energy.

Knowing the available energy (357.1 pJ) and amount of energy held in a capacitor (0.6889 pJ), one can calculate the percentage of available energy that is held on Tayloe's capacitor:

$$\frac{0.6878 \text{ pJ}}{357.1 \text{ pJ}} = 0.193\%$$

Only $\underline{0.193\%}$ of the energy available is held on a Tayloe capacitor.¹⁷ This is a *negligible* (nearly zero) amount of energy. The balance of the energy is <u>not</u> stored but, instead, is dissipated in R_{FILTER}. *Id.*, ¶¶334-335.

POR at 65

Dr. Steer's opinions

309. My calculations demonstrate that merely looking at the size of the capacitors in Tayloe does not provide any insight into whether a Tayloe capacitor "stores non-negligible amounts of energy." As shown below, each capacitor in

Exhibit 2039 at ¶309

310. This is because the size of Tayloe's capacitors has nothing to do with energy storage. Rather, the size of Tayloe's capacitors was selected to form a low pass filter. As set forth in Tayloe, each capacitor is designed to act in series with resistor R_{FILTER} as a switched RC (resistor/capacitor) low-pass filter. Ex.-1004, 2:14-15; 3:21-22 ("Resistor 32 and each of capacitors 72-78 form lowpass filters"). A low pass filter filters out signals at a frequency higher than a cutoff frequency and passes signals at a frequency lower than the cutoff frequency.

Exhibit 2039 at ¶310

Dr. Steer's opinions

The amount of energy stored cannot simply be calculated using the energy formula, $E = \frac{1}{2}$ CV² (E=energy; C=capacitance; V=voltage), because this formula fails to take Tayloe's configuration into account. The energy formula, by itself, merely provides the maximum amount of energy that can be stored in a capacitor given a source voltage.

Exhibit 2039 at ¶306 n.17

Distinguishable from noise

The Federal Circuit noted that "Mr. Sorrells explained at trial that transferring a non-negligible amount of energy into the *storage capacitor* means 'that you have to transfer enough energy to overcome the noise in the system to be *able to meet your specifications.*" *Id.*, 1019. With regard to meeting the specifications, Mr. Sorrells "further testified that the fact that the accused [] products *meet 'all of the cellular/cellphone specifications'* is proof that a 'non-negligible' amount of energy is *transferred* to the storage element in those products." *Id.* In other words, according to Mr. Sorrells, energy is "distinguishable from noise" if a product meets cellular/wireless specifications. ¹² Petitioners'

Within this context, the Federal Circuit stated that according to Mr. Sorrells,
"one <u>may</u> look to whether the down-converting circuit functions in practice. If a
circuit <u>successfully</u> down-converts, that is proof that enough energy has been
transferred to overcome the noise in the system." <u>Id</u>.

POSR at 11, 12

Lam

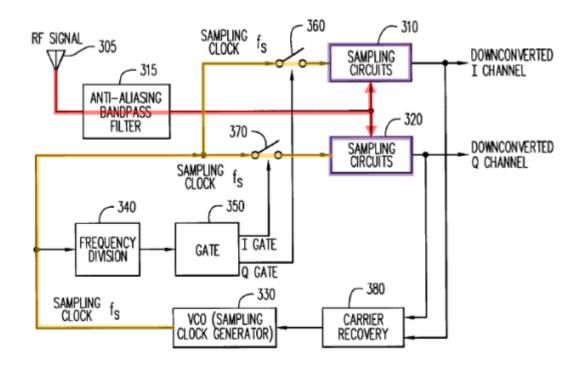


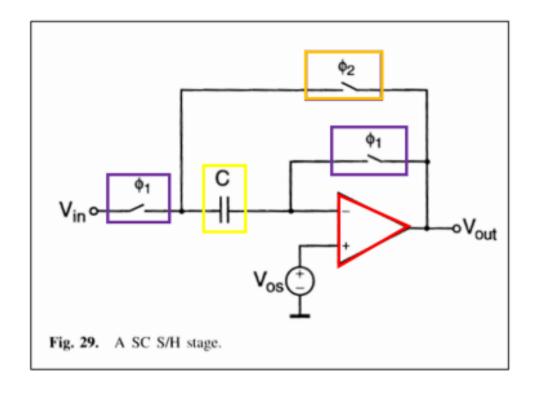
FIG. 3

frequency than the carrier frequency. The signal sampling may for example be performed by conventional sampling circuits which comprise simple CMOS switches and sample-and-hold capacitors and integrated with low-frequency differential amplifiers to drive IF circuits.

Ex. 1006, 5:56-60

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Enz



Enz

Not a down-converter

A unity-gain buffer stage, which can be used as a simple S/H circuit, or as an analog memory, or as an analog delay stage, and which also utilizes CDS to reduce dc offset effects, is shown in Fig. 29 [53]. Here, C charges

Ex.-1007 at 22

Enz

In linear IC's fabricated in a low-voltage CMOS technology, the reduction of the dynamic range due to the dc offset and lowfrequency noise of the amplifiers becomes increasingly significant.

Ex.-1007 at 3

Additional Slides

'551 Patent is Incorporated into '444 Patent

2. Frequency Down-Conversion

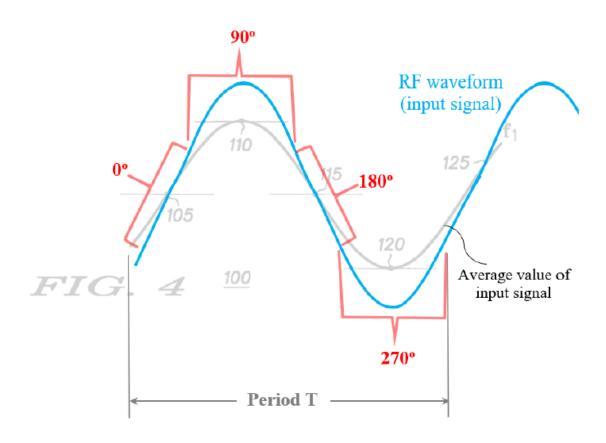
The present invention is directed to systems and methods of universal frequency down-conversion, and applications of same.

In particular, the following discussion describes downconverting using a Universal Frequency Translation Module. The down-conversion of an EM signal by aliasing the EM signal at an aliasing rate is fully described in co-pending U.S. Patent Application entitled "Method and System for Down-Converting Electromagnetic Signals," Ser. No. 09/176,022, filed Oct. 21, 1998, issued as U.S. Pat. No. 6,061,551 on May 9, 2000, the full disclosure of which is incorporated herein by reference. A relevant portion of the above mentioned patent application is summarized below to describe down-converting an input signal to produce a down-converted signal that exists at a lower frequency or a baseband signal.

Down-conversion utilizing a UFD module (also called an aliasing module) is further described in the above referenced applications, such as "Method and System for Downconverting Electromagnetic Signals," Ser. No. 09/176,022, now U.S. Pat. No. 6,061,551. As discussed in the *551

Exhibit 1001 ('444 patent), 9:26-42; 34:54-58

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TI Datasheet

Texas Instruments Datasheet for SN74CBT3253 DUAL 1-OF-4 FET MULTIPLEXER/DEMULTIPLEXER

TI Datasheet

