RALPH BROWN DRAUGHON

NOV 2 0 1996

UBURN UNIVERS

November 1996 Vol. 84 No. 11



EDITORIAL

1581 85th Anniversary Celebration, R. B. Fair and J. Calder

PAPERS

1584 Circuit Techniques for Reducing the Effects of Op-Amp Imperfections: Autozeroing, Correlated Double Sampling, and Chopper Stabilization (Invited Paper), C. C. Enz and G. C. Temes

1582 Prolog, R. O'Donnell

1617 Antennae, G. W. Pickard

1615 Prolog, J. E. Brittain

SPECIAL SECTION ON SIGNALS AND SYMBOLS

Edited by Martin D. Levine

1625 Knowledge-Directed Vision: Control, Learning, and Integration, B. A. Draper, A. R. Hanson, and E. M. Riseman

1623 Prolog, H. Falk

1640 Recognizing Object Function Through Reasoning About Partial Shape Descriptions and Dynamic Physical Properties, L. Stark, K. Bowyer, A. Hoover, and D. B. Goldgof

1638 Prolog, F. Caruthers

1659 A Hybrid System for Two-Dimensional Image Recognition (*Invited Paper*) F. Roli, S. B. Serpico, and G. Vernazza

1657 Prolog, R. O'Donnell

1684 Environment Representation Using Multiple Abstraction Levels, G. L. Dudek 1682 Prolog, J. Esch

COMMENTS

1705 Corrections to "Optical Scanning Holography," T.-C. Poon, M. H. Wu, K. Shinoda, and Y. Suzuki

BOOK REVIEWS

- 1706 Managing Innovation and Entrepreneurship in Technology Based Firms by M. J. C. Martin, Reviewed by J. K. Pinto
- 1707 Technology and Strategy: Conceptual Models and Diagnostics, by R. A. Goodman and M. W. Lawless, Reviewed by J. K. Pinto

SCANNING THE PAST



NOVEMBER

James E. Brittain, Associate Editor, History

C. G. Y. Lau

uonpaisiunup\ Richard D. Schwartz, Business Anthony J. Ferraro, Publications STAFF EXECUTIVES

SOUVILLER Peter A Lewis, Educational Irving Engleson, Corporate Activities WYNYCINC DIKECTORS

Robert T. Wangemann, Professional Activities W. Thomas Suttle, Andrew G. Salem, Standards Activities Cecelia Jankowski, Regional Activities

PUBLICATIONS DIRECTORS

Staff Director, IEEE Periodicals Fran Zappulla, Publications Administration Lewis Moore, Dudley Kay, IEEE Press

Technical Activities

George W. Zobrist James Tien Friedolf Smits Leonard Shaw Allan C. Schell Robert T. Nash M. Granger Morgan Lloyd Morley William Middleton Frank Lord

Prasad Kodalı Deborah Flaherty Kizer W. Dexter Johnston, Jr. Randall Geiger Antonio G. Flores Donald Fleckenstein Richard B. Fair Gerald L. Engel Josebh Boykin Frederick T. Andrews

John B. Anderson

H. R. Wittmann Jeannette M. Wing Paul P. Wang A. N. Venetsanopoulos Fawwaz T. Ulaby L. M. Terman Marwan Simaan P. B. Schneck George Pearsall Theo Pavlidis K. W. Martin Massimo Maresca uiJ gnidD-nadD

Murat Kunt M. H. Kryder Peter Kaiser Dwight L. Jaggard Bijan Jabbari J. D. Gibson T. G. Giallorenzi E. K. Gannett Giovanni DeMicheli Joseph Campanella

Bimal K. Bose

Albert Benveniste

Winser E. Alexander

C. M. Borsuk

Roger Barr

PROCEEDINGS STAFF

səlbz gnisinəvbA Susan Schneiderman, Richard C. Faust, Stephen Goldberg, Cover Artist Contributing Editors Kevin Self, Howard Falk, Richard A. O'Donnell, Frank Caruthers, Jim Esch,

Desiree Rye, Associate Editor Managing Editor, Transactions/Journals Geraldine E. Krolin, Valerie Cammarata, Editorial Manager Gail S. Ferenc, Transactions Manager Editorial Coordinator Margery Scanlon, Jim Calder, Managing Editor

Advertising correspondence should be addressed to PROCEEDINGS Advertising Department, IEEE Operations Center, 445 Hoes Lane, Piscataway, M 08855-1331. for the following month's issue. Send new address, plus mailing label showing old address, to the IEEE Operations Center. Member copies of the PROCEEDINGS are for personal use only.

not on the IEEE or its members. cover part of the publication cost. Responsibility for contents of papers rests upon the authors and available on request. After a manuscript has been accepted for publication, the author s organi-zation will be requested to honor a charge of \$110 per printed page (one-page minimum charge) to Detailed instructions are contained in "Information for IEEE Transactions and Journals Authors," Manuscripts should be submitted in triplicate to the Editor at the IEEE Operations Center. A summary of instructions for preparation is found in the most recent January issue of this journal.

ense their material for their own purposes. in the most recent January issue of the journal, returns to authors and their employers rights to publishes on behalf of the interests of the IEEE, its authors, and their employers and to facilitate the appropriate reuse of this material by others. To comply with the U.S. Copyright Law, authors are requested to sign an IEEE copyright form before publication. This form, a copy of which is found Copyright: It is the policy of the IEEE to own the copyright to the technical contributions it

125634188. Printed in U.S.A. additional mailing offices. Postmaster: Send address changes to ProCEEDINGS OF THE IEEE, IEEE, AS Hose Lane, P. O. Box 1331, Piscataway, M 08855-1331, GST Registration No. Electronics Engineers, Inc.. All rights reserved. Periodicals Postage Paid at New York, NY and at Box 1331. Piscataway, NJ 08855-1331. Copyright @ 1996 by the Institute of Electrical and Danvers, MA 01923. For all other copying, reprint, or republication permission, write to Copyrights and Permissions Department, IEEE Publications Administration, 445 Hoes Lane, P. O. to photocopy for private use of patrons, provided the per-copy fee indicated in the code at the bottom of the first page is paid through the Copyright Clearance Center, 222 Rosewood Drive. Reprint Permission: Abstracting is permitted with credit to the source. Libraries are permitted Institute of Electrical and Electronics Engineers, Inc. IEEE Corporate Office: 345 East 47th Street, New York, NY 10017-2394 USA. IEEE Operations Center: 445 Hoes Lane, P. O. Box 1331, Piscataway, M 08855-1331 USA. M Telephone: 908-981-0060. Copyright and

PROCEEDINGS OF THE IEEE (ISSN 0018-9219; codes IEEPAD) is published monthly by the

nicrofiche and microfilm. Change of address must be received by the first of a month to be effective Annual Subscription: Member and nonmember prices available on request. Single copies: IEEE members \$10.00 (first copy only), nonmembers \$20.00 per copy. (Note: Add \$4.00 for postage and members \$10.00 (first copy only), nonmembers \$50.00 including prepaid orders.) Other: Available in handling charge by any order from \$1.00 to \$50.00, including prepaid orders.) Other: Available in

CONTRIBUTIONS

address below. normal review process. Guidelines for proposals are available from the encouraged to prepare the paper, which after submittal will go through the topic). If the proposal receives a favorable review, the author will be published material as well as information on the author's relation to the qualifications for writing the paper (including reference to previously paper and its type of coverage, and a brief biography showing the author!

on and corrections to material published in this journal will be considered. Technical letters are no longer published in the Proceedings. Comments

fax: 908-562-5456, email: j.calder@ieee.org.) Hoes Lane, Piscataway, NJ 08855-1331 USA. (Telephone: 908-562-5478, Please send proposals to the Editor, PROCEEDINGS OF THE IEEE, 445

devoted to a single subject of special importance. references for those who wish to probe further. Several issues a year are introductions written at a level suitable for the nonspecialist, with ample recognized authorities and reviewed by experts. They include extensive ethical aspects of technology are published. Papers are authored by From time to time, papers on managerial, historical, enconomic, and include all aspects of electrical and computer engineering and science. and technological issues, as well as theory, are emphasized. The topics The papers are of long-range interest and broad significance. Applications readers who are not necessarily specialists in the subjects being treated. review, tutorial, and survey papers written for technically knowledgeable The Proceedings of the IEEE publishes comprehensive, in-depth

its importance to Proceedings readers, a detailed outline of the proposed script, should submit a proposal containing a description of the topic and IMPORTANT: Prospective authors, before preparing a full-length manuNOVEMB

paper: Recognizing Object Function through Reasoning About Partial Shape Descriptions and Dynamic Physical Properties by Stark, et al. COVER This issue contains a Special Section on Signals and Symbols. The cover was inspired by the blocks used to demonstrate simulated objects

Page 2 TCL & Hisense Ex. 1007

ρλ

lde

Bui

IS)

Нa

Sul

bΑ

tect

1 fo

əjəs

uon

Bed

1u A

nssi

ber Bui

tech pue

gue

ettec

woo

pue incre

ojou uſ

 $2u_{\mathcal{F}}$ MES

JWY

Oirc

S

12<u>1</u>4

offse

Circuit Techniques for Reducing the Effects of Op-Amp Imperfections: Autozeroing, Correlated Double Sampling, and Chopper Stabilization

CHRISTIAN C. ENZ, MEMBER, IEEE, AND GABOR C. TEMES, FELLOW, IEEE

Invited Paper

In linear IC's fabricated in a low-voltage CMOS technology, the reduction of the dynamic range due to the dc offset and low-frequency noise of the amplifiers becomes increasingly significant. Also, the achievable amplifier gain is often quite low in such a technology, since cascoding may not be a practical circuit option due to the resulting reduction of the output signal swing. In this paper, some old and some new circuit techniques will be described for the compensation of the amplifier most important nonideal effects including the noise (mainly thermal and 1/f noise), the input-referred dc offset voltage, as well as the finite gain resulting in a nonideal virtual ground at the input.

I. Introduction¹

In linear active circuits, the active element most often used is the operational amplifier (op-amp), whose main function in the circuit is to create a virtual ground, i.e., a node with a zero (or constant) voltage at its input terminal without sinking any current. Using op-amps with MOS input transistors, the op-amp input current at low frequencies can indeed be made extremely small; however, the input voltage of a practical op-amp is usually significantly large (typically of the order of 1-10 mV), since it is affected by several nonideal effects. These include noise (most importantly, 1/f and thermal noise), the inputreferred dc offset voltage, as well as the signal voltage needed to generate the desired output voltage of the op-amp. Normally, the thermal noise occupies a wide frequency band, while the 1/f noise, offset and input signal are narrowband low-frequency signals.

Manuscript received April 18, 1996; revised September 5, 1996. G. Temes's work was supported by U.S. National Science Foundation though the NSF Center for the Design of Analog-Digital ICs (CDACIC).

C. C. Enz is with the Swiss Federal Institute of Technology, Lausanne (EPFL), Electronics Laboratory (LEG), ELB-Ecublens, CH-1015 Lausanne, Switzerland (e-mail: enz@leg.de.epfl.ch).

G. C. Temes is with the Department of Electrical and Computer Engineering, Oregon State University, Corvallis, OR 97331-3211 USA (e-mail: temesg@ece.orst.edu).

Publisher Item Identifier S 0018-9219(96)08690-2.

¹This work is dedicated to Prof. Karoly Simonyi on his 80th birthday.

The purpose of the circuit techniques discussed in this paper is to reduce the effects of the narrow-band noise sources at the virtual ground of an op-amp stage. By reducing the low-frequency noise and offset at the op-amp input, hence the dynamic range of the circuit is improved; by reducing the signal voltage at the virtual ground terminal, the effect of the finite low-frequency gain of the op-amp on the signal-processing characteristics of the stage is decreased. Both improvements are especially significant for low-supply voltage circuits, which have limited signal swings and where the op-amp gain may be low since headroom for cascoding may not be available. The proposed techniques are applicable to such important building blocks as voltage amplifiers, ADC and DAC stages, integrators and filters, sample-and-hold (S/H) circuits, analog delay stages, and comparators.

Sections II and III present the two basic techniques that are used to reduce the offset and low-frequency noise of opamps, namely the autozero (AZ) and chopper stabilization (CHS) techniques. A clear distinction is made between autozeroing, which is a sampling technique, and CHS, which is a modulation technique, mainly with respect to their effect on the amplifier broadband noise. The correlated double sampling (CDS) technique is described in Section II as a particular case of AZ where, as its name indicates, the amplifier noise and offset are sampled twice in each clock period. Then, Section IV treats the most important practical issues at the transistor and circuit level that are faced when implementing the offset and noise reduction techniques discussed previously. Section V presents fundamental building blocks that are used for sampleddata analog signal processing. They are all realized as switched-capacitor (SC) circuits and therefore exploit the CDS technique not only for reducing the offset and the 1/f noise, but also to lower the sensitivity of the circuit performance to the finite amplifier gain. Examples of SC S/H stages, voltage amplifiers, integrators, and filters are



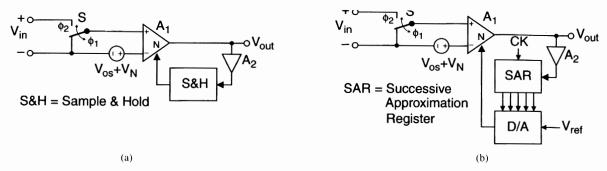


Fig. 1. Basic autozeroed stages. (a) Analog offset control storage and (b) digital offset control storage.

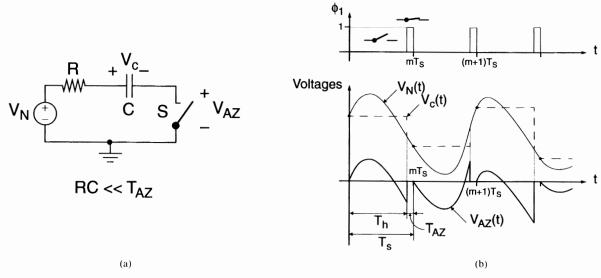


Fig. 2. (a) Basic AZ circuit and autozeroed signal: (b) shows voltages in (a).

presented. An example of the use of the CHS technique to realize a low-noise and low-offset micropower amplifier for intrumentation applications is presented in Section VI. Finally, a summary is given in Section VII, where the two techniques discussed in this paper are compared.

II. AUTOZEROING AND CORRELATED DOUBLE SAMPLING TECHNIQUES

In this section, the principle of AZ and CDS techniques will be introduced and their effect on offset and noise analyzed.

A. Basic Principle

The basic idea of AZ is sampling the unwanted quantity (noise and offset) and then subtracting it from the instantaneous value of the contaminated signal either at the input or the output of the op-amp. This cancellation can also be done at some intermediate node between the input and the output of the op-amp, using an additional input port defined as the nulling input and identified with the letter N in the schematics of Fig. 1.

If the noise is constant over time (like a dc offset) it will be cancelled, as needed in a high-precision amplifier or high-resolution comparator. If the unwanted disturbance is low-frequency random noise (for example, 1/f noise), it will be high-pass filtered and thus strongly reduced at low frequencies but at the cost of an increased noise floor due to aliasing of the wideband noise inherent to the sampling process. The general principle of the AZ process will first be described considering only the input referred dc offset voltage V_{os} and will then be extended to the input referred random noise voltage V_N .

The AZ process requires at least two phases: a sampling phase (ϕ_1) during which the offset voltage V_{os} and the noise voltage V_N are sampled and stored, and a signal-processing phase (ϕ_2) during which the offset-free stage is available for operation. The two major categories of AZ are shown in Fig. 1. During the sampling phase (shown in Fig. 1), the amplifier is disconnected from the signal path, its inputs are short-circuited and set to an appropriate common-mode voltage. The offset is nulled using an auxiliary nulling input port N by means of an appropriate feedback configuration and/or a dedicated algorithm. The control quantity x_c is next sampled and stored, either in an analog form as a voltage using a S/H stage [Fig. 1(a)] or in a digital form, using for example a register [Fig. 1(b)]. The output V_{out} is forced to a small value in these particular configurations. The input terminals of the amplifier can afterwards be connected back to the signal source for amplification. If



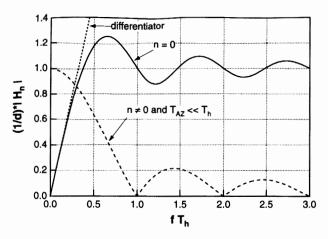


Fig. 3. Autozero baseband and foldover bands transfer functions.

it is used under the same conditions as during sampling, the amplifier will ideally be free from any unwanted offset.

B. The Effect of AZ on the Noise

The autozero principle can be used not only to cancel the amplifier offset but also to reduce its low-frequency noise, for example 1/f noise. But unlike the offset voltage, which can be considered constant, the amplifier's noise and particularly its wideband thermal noise component is time-varying and random. The efficiency of the AZ process for the low-frequency noise reduction will thus strongly depend on the correlation between the noise sample and the instantaneous noise value from which this sample is subtracted. The autocorrelation between two samples of 1/f noise separated by a time interval τ decreases much slower with increasing τ than it does for white noise, assuming they have the same bandwidth. The AZ process is thus efficient for reducing the 1/f noise but not the broadband white noise.

Another way of looking at the effect of AZ is to note that it is equivalent to subtracting from the time-varying noise a recent sample of the same noise. For dc or very low-frequency noise this results in a cancellation. This indicates that AZ effectively high-pass filters the noise.

In addition to this basic high-pass filtering process, since AZ is a sampling technique, the wideband noise is aliased down to the baseband, increasing the resulting in-band power spectral density (PSD) unless the system is already a sampled-data one.

The effects of AZ on the amplifier's noise can be better understood by analyzing the simple circuit shown in Fig. 2, where source V_N may represent the noise at the output of the amplifier in the autozero phase [see, i.e., Fig. 21(a)]. Each time switch S is closed, the output voltage $V_{\rm AZ}$ is

reset to zero and the noise source voltage V_N appears across resistor R and capacitor C. Assuming $RC \ll T_{\rm AZ}$, at the end of the sampling phase (when switch S opens) the noise voltage V_N is sampled onto capacitor C. The output voltage becomes equal to the difference between the instantaneous voltage V_N and the voltage V_c stored on capacitor C. This eliminates the dc component of V_N , but not its time-varying part. It can be shown [8] that if source voltage $V_N(t)$ corresponds to a stationary random noise with a PSD $S_N(f)$, the PSD of the autozero voltage across the switch can be decomposed into two components: one caused by the baseband noise (which is reduced by the AZ process) and the other by the foldover components introduced by aliasing. Thus

$$S_{\rm AZ}(f) = \underbrace{|H_0(f)|^2 S_N(f)}_{\rm baseband} + \underbrace{S_{fold}(f)}_{\rm foldover} \tag{1}$$

where

$$S_{\text{fold}}(f) \equiv \sum_{\substack{n = -\infty\\n \neq 0}}^{+\infty} |H_n(f)|^2 S_N \left(f - \frac{n}{T_s} \right). \tag{2}$$

The foldover component results from the replicas of the original spectrum shifted by the integer multiples of the sampling frequency. The baseband transfer function $|H_0(f)|^2$ is given by (see (3) at the bottom of the page) where $d \equiv T_h/T_s$ is the duty cycle of the clock signal [Fig. 2(b)]. The magnitude of $H_0(f)$ normalized to the duty cycle d is plotted as a function of fT_h in Fig. 3, which shows its high-pass characteristic. Note that for $\pi fT_h \ll 1$, $H_0(f)$ acts like a differentiator

$$|H_0(f)| \cong \pi f T_h. \tag{4}$$

It imposes a zero at the origin of frequency axis that cancels out any dc component present in $V_N(t)$. The other transfer functions $|H_n(f)|^2$ for $n \neq 0$ are derived in the Appendix. Their shape depends on the duty cycle d, but they all merge to a common function in the case the AZ time $T_{\rm AZ}$ can be considered much smaller than the hold time $(T_{\rm AZ} \ll T_h)$

$$|H_n(f)|^2 \cong [d \cdot \operatorname{sinc}(\pi f T_h)]^2$$
for $n \neq 0$ and $T_{AZ} \ll T_h$ (5)

where sinc $(x) \equiv \sin(x)/x$. $|H_n(f)|$ is plotted in Fig. 3.

The PSD at the output of the AZ circuit clearly depends on the PSD of the source which is autozeroed. The low-frequency input-referred noise PSD of an amplifier generally contains both a white and a 1/f noise component. It can be written in the following convenient form:

$$S_N(f) = S_0 \left(1 + \frac{f_k}{|f|} \right) \tag{6}$$

$$|H_0(f)|^2 = d^2 \left\{ \left[1 - \frac{\sin(2\pi f T_h)}{2\pi f T_h} \right]^2 + \left[\frac{1 - \cos(2\pi f T_h)}{2\pi f T_h} \right]^2 \right\}$$
 (3)



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

