# PATENT APPLICATION

# BIOMETRIC BROADBAND GAMING SYSTEM AND METHOD

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# BIOMETRIC BROADBAND GAMING SYSTEM AND METHOD

### CROSS REFERENCES TO RELATED APPLICATIONS

This patent application is a continuation-in-part of provisional patent application 60/266,856 filed on February 6, 2001.

#### BACKGROUND OF THE INVENTION

### 1. Field of Invention

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The present invention is a biometric broadband interactive gaming system and method. More particularly, the biometric broadband interactive gaming system and method operates in a networked environment which interfaces with a plurality of network access devices including personal computers, wireless devices, and interactive set-top boxes.

### 2. Description of Related Art

The related art includes gaming devices, on-line gaming, networked interactive gaming, and biometrics.

## **Gaming Devices**

For purposes of this patent, the term "gaming" shall refer to either gambling and/or gaming applications. Gaming devices include games of skill and games of chance. Games of chance include many casino-type gaming devices in which the outcome of the game depends, at least in part, on a randomly generated event. For example, a game of chance may use a random number generator to generate a random or pseudo-random number. The random number may then be compared to a predefined table to determine the outcome of the event. If the random number falls within a certain range of numbers on the table, the player may win a predefined prize. The table may also contain display information that allows the gaming

device to generate a display that corresponds to the outcome of the game. The gaming device may present the outcome of the game on a large variety of display devices, such as mechanical spinning reels or video screens.

Games of skill comprise a skill component in which a player combines letters or words (word puzzles), answers questions (trivia), overcomes challenges (video games), competes with other players (networked video games), and the like. Generally, a game of skill is a game requiring a level of skill which does not rely solely on chance. Some games of skill require a high degree of expertise and knowledge and other games of skill require very limited expertise or knowledge.

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### **On-Line Gaming**

In June 2001, Nevada signed a bill that could result in Nevada being the first state to offer legalized gambling over the Internet. The new law authorizes state gaming regulators to set up an infrastructure to license and oversee online gaming in Nevada when such gaming becomes legal. Online gaming is a federal issue whose legality is unclear at present.

A variety of technological limitations have been asserted as preventing Congress's endorsement of on-line gaming. These technological limitations are related to the preventing of underage gambling, controlling of gambling addiction, and ensuring the security and reliability of on-line gaming is secure and reliable.

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To prevent underage gambling prior art systems and methods use passwords, user ID's, credit cards and "click-through" agreements that ask the player to agree to being of legal gambling age by clicking on a button. Presently, there are no systems and methods to control on-line gambling addiction. With respect to ensuring that on-line gaming is secure and reliable, prior art systems and methods use various cryptographic techniques such as RSA encryption, digital certificates, or other similar well known cryptographic methods. These cryptographic methods are helpful in ensuring secure communications, however these cryptographic methods do not ensure that the individual accessing the on-line game is a valid user.

In view of the prior art systems, a minor or other unauthorized individual simply
needs a user ID and a corresponding password to access a gaming site. The obtaining of a

user ID and password is a relatively simple task as this information is generally not modified. Commonly the user ID information is acquired by identifying the web site's naming convention for the player. The player password can be easily determined by remembering the pattern of keys typed by the player during the log-on procedures or by simply requesting the password from the player as part of a diagnostic procedure. The latter is a trick commonly used by hackers to access a system. The password problem may be overcome by modifying the password on a regular basis, however the player must then remember the modified password. Should the player forget the password a new password is mailed. During the mailing process it is common for e-mail to be easily intercepted in cyberspace. Additionally, it is common for unauthorized users to simulate being at a certain location by submitting an IP address that identifies an authorized user.

Therefore, a better system and method for identifying a valid user is needed.

Additionally, it would be beneficial to provide a gaming system and method that would: prevent underage gambling, be simple to implement, prevent gambling addiction, and provide a higher degree of security and reliability from unauthorized users.

## **Networked Interactive Gaming**

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Networked interactive gaming in an open networked environment such as the Internet is well-known. However, interactive gaming in an open network such as the Internet is confined to communicating with other devices using the same TCP/IP protocols. Currently networked interactive gaming systems using the TCP/IP protocol are not configured to communicate with interactive set-top boxes using MPEG protocols.

Networked interactive gaming in an open networked environment using traditional security methods such as secure socket layers and digital certificates are well known. However, networked interactive gaming in an open networked environment using traditional security methods does not prevent gambling from a minor having acquired a parent's user ID and password without the parent's consent.

Networked interactive gaming using LANs and WANs for progressive slot machines having large jackpots are also well-known. However, networked interactive systems using LANs and WANs for progressive slot machines generally exist in a highly secure proprietary

network environment. Thus, the creation of a progressive slot machine with a large jackpot in an open network environment is not well known.

#### **Biometrics**

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A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

Biometric applications for games of skill and games of chance are limited. For example biometric gaming applications are taught in U.S. Patent 6,010,404 granted to Walker et al. teaches a method and apparatus for using player input codes (e.g., numeric, biometric or physical) to affect the outcomes of electronic gambling devices, such as slot machines. Additionally, U.S. Patent No. 6,142,876 granted to Cumbers teaches a system and method for passively tracking the play of players playing gaming devices such as slot machines. Players provide identification information and facial recognition data is acquired by a digital or video camera. For each player an account file and a file of the facial image data is stored. When the player plays the slot machine, a camera scans the player and acquires facial image data which is compared to stored data to identify the player. Furthermore, U.S. Patent No. 5,902,983 granted to Crevelt et al., teaches a gaming machine configured to perform EFT transactions which are limited to preset amounts. The patent teaches the use of a fingerprint imaging device, and retinal scans for verifying a player's identity.

Although biometric applications for gaming applications are known, biometric applications for on-line gaming systems are not known. Furthermore, the managing of biometric information and gaming information in an open network environment is not known. Additionally, the use of biometrics in a gaming system and method to prevent underage gambling and prevent gambling addiction is not known.

#### SUMMARY OF INVENTION

### 1. Advantages of the Invention

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One of the advantages of the present invention is that it provides a secure and reliable on-line gaming system and method.

A further advantage of the present invention is that it provides a secure system and method for identifying a user in an open network environment.

A further advantage of the present invention is that it provides a system and method to prevent underage gambling.

A further advantage of the present invention is that it provides a more secure and reliable and secure gaming system and method.

Another advantage of the present invention is that it provides a system and method for managing biometric information and gaming information in an open network environment.

Another advantage of the present invention is that it permits a plurality of users in a geographically broad area to play the same game.

A further advantage of the present invention is that it provides a pseudo-real time gaming system and method.

Another advantage of the present invention is that it simulates a game of chance such as a slot machine in an on-line environment.

An additional advantage of the present invention is that it provides a networked 20 jackpot.

# 2. Brief Description of the Invention

The present invention is a networked gaming system that comprises a verification system, a broadband gaming system and a transactional system. The verification system operations include ensuring that a user is a registered player by using a biometric input. The broadband gaming system operations include managing and performing at least one game. The transactional system operations include providing oversight for each transaction conducted by the verification system and the broadband gaming system.

The present invention comprises a verification system for playing the networked gaming system. The networked games include games of chance and games of skill. The

verification system communicates with a biometric input module and a network access device to generate a user identification information. The user identification information is compared to information in a registration database. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to both the broadband gaming system and the transactional system.

Additionally, the present invention includes a broadband gaming system which is in communication with the verification system. The broadband gaming system includes a buffer which stores information about players who desire to play a game. The buffer is operatively coupled to a random number generator that generates a random number for each player in the buffer. A paytable module in communication with the random number generator determines the outcome associated with the random number generator. The paytable also determines which images associated with the outcome for each player. Preferably, the images are stored on a mini video server and then cached in a memory module. The images are intelligently buffered for downstream communications. In its preferred embodiment, a plurality of encoders are operatively coupled to the memory module caching the broadcast video streams. The plurality of encoders encode the broadcast downstream images according to requirements for each network access device. Each encoder is operatively coupled to an encryption module that encrypts the broadcast. A modulation module is operatively coupled to the encryption module and modulates encrypted images for downstream transmission. Each network access device includes a tuner, a demodulation module, and a decryption module that permits an image to be viewed by the network access device.

Further still the present invention provides a transactional system and method that ensures secure communications occur in the verification system and the broadband gaming system. The transactional system also performs accounting, bonusing, tracking and other such functions. Preferably, the transactional system is capable of receiving a plurality of funds from a financial account and converting them to credits that are used in the broadband gaming system.

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The above description sets forth, rather broadly, the more important features of the present invention so that the detailed description of the preferred embodiment that follows may be better understood and contributions of the present invention to the art may be better appreciated. There are, of course, additional features of the invention that will be described below and will form the subject matter of claims. In this respect, before explaining at least one preferred embodiment of the invention in detail, it is to be understood that the invention is not limited in its application to the details of the construction and to the arrangement of the components set forth in the following description or as illustrated in the drawings. The invention is capable of other embodiments and of being practiced and carried out in various ways. Also, it is to be understood that the phraseology and terminology employed herein are for the purpose of description and should not be regarded as limiting.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention are shown in the accompanying drawings wherein:

- FIG. 1a through FIG. 1d provide diagrams of a plurality of network access devices.
- FIG. 2 is a high level diagram of a gaming system networked to a plurality of network access devices.
  - FIG. 3 is a block diagram of an illustrative biometric input module.
- FIG. 4 is a block diagram of a gaming system configured to receive a biometric input from a network access device.
  - FIG. 5 is a table of the data fields in a verification system.
  - FIG. 6 is a table of the data fields in a broadband gaming system and in a transactional system.
- 25 FIG. 7 is a block diagram of a broadband gaming system.

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- FIG. 8 is a flowchart of the registration method for the gaming system.
- FIG. 9 is a flowchart of the verification method for the gaming system.
- FIG. 10 is a flowchart of the gaming method for the gaming system.
- FIG. 11 is a flowchart of the method for purchasing credits for the gaming system.
- FIG. 12 is a flowchart of the method for storing credits on the gaming system.

FIG. 13 is a flowchart of the information processed by the broadband gaming system.

FIG. 14 is a continuation of the FIG. 13 flowchart.

FIG. 15 is a flowchart of the information processed by the transactional system in communication with the broadband gaming system.

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### DESCRIPTION OF THE PREFERRED EMBODIMENT

In the following detailed description of the preferred embodiments, reference is made to the accompanying drawings, which form a part of this application. The drawings show, by way of illustration, specific embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

#### NETWORK ACCESS DEVICES

Referring to FIG. 1a through FIG. 1d there is shown a plurality of illustrative network access devices. Each of the network access devices is configured to be capable of running a gaming application. For illustrative purposes the gaming application shown simulates the spinning reels of a slot machine.

The network access device in FIG. 1a is a personal computer 10 having a network interface card (not shown) that may be operatively coupled to a modem (not shown). Another network access device shown in FIG. 1b includes a television 12 operatively coupled to an interactive set-top box 14 that is operatively coupled to a cable network (not shown). The other network access device shown in FIG. 1c is a wireless device 16 such as a digital phone or personal digital system (PDA) or other such wireless device which is configured to communicate with a network using wireless networking protocols. Yet another network access device is shown in FIG. 1d and includes a gaming terminal 18 such as a slot machine on a casino floor that is operatively coupled to a plurality of other gaming terminals. It shall be appreciated by those skilled in the art of networking that the distinguishing feature between each of these network access devices is the type of communications protocols used by each device to enable communications between similar network access devices.

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Each of the network access devices either includes a biometric input module operatively coupled to the network access device or includes a biometric input module communicatively coupled to the network access device. A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

In one illustrative embodiment, the biometric input module is a fingerprint scanner 20 resident on the gaming terminal 18 wherein the biometric input is a fingerprint. In another illustrative embodiment, the biometric input module is the screen 22 of wireless device 16 wherein the screen is configured to receive a biometric input such as a user signature. In yet another illustrative embodiment, the biometric input module is a telephone 24 that is configured to receive a voice pattern from a user prior to engaging communications with the interactive set-top box 14. In yet another illustrative embodiment the biometric input module is a keyboard 26 operatively coupled to computer 10 wherein the user is requested to input a keystroke pattern. An illustrative example of a biometric input module operatively coupled to the network access device is shown in FIG. 1d having the fingerprint scanner 20 on the gaming terminal 18. An illustrative example of a biometric input module, e.g. the telephone 24, communicatively coupled to the network access device, e.g. the interactive set-top box 14, is shown in FIG. 1b

The biometric input is used to prevent unauthorized gaming activity and to efficiently store credits on the user's behalf. By way of example and not of limitation, unauthorized gaming activity includes underage gaming and players with histories of gambling addiction. Additionally, player credits may be stored on a network so that the player does not need to carry coins, paper currency, coupons, credit cards or debits cards to play a game. It shall be appreciated by those skilled in the art having the benefit of this disclosure that different biometric input modules may be used in conjunction with different network access devices.

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#### **GAMING SYSTEM**

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Referring to FIG. 2 there is shown a high level block diagram of a gaming system 30 in communication with a plurality of network access devices coupled to a network 32. The gaming system includes a verification system 34, a broadband gaming system 36 and a transactional system 38. The verification system 34 verifies that a user operating a network access device is a registered player. The broadband gaming system 36 performs the function of generating a game and broadcasting the game results to each of the network access devices. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system and conducting electronic fund transfers.

### **Verification System**

The verification system 34 verifies that a user desiring to play the game is a registered player. The verification system 34 communicates with the biometric input module and a network access device to generate user identification information. The user identification information includes information such as cryptographic keys that are necessary to securely identify the network access device. The user identification information also includes media access control (MAC) identification and confirmation of the user Internet Protocol (IP) address. The user identification information is compared to information in a registration database 40 by a verification server 42. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to either the broadband gaming system 36 or the transactional system 38.

In an alternative embodiment the user identification information is housed in a smart card (not shown) that is in communication with the verification system 34. The smart card includes a stored biometric which is used to identify the user as a player. Cryptographic keys are then exchanged between the verification system 34 and the smart card to provide the player access to either the broadband gaming system or the transactional system 38.

Referring to FIG. 3 there is shown an illustrative biometric input module 50. By way of example, the illustrative biometric input module 50 is a fingerprint scanner. It shall be

appreciated by those skilled in the art having the benefit of this disclosure that the use of the fingerprint scanner as the illustrative biometric input module is not restrictive. A scanned fingerprint image is collected by the biometric input 52. After the scanned fingerprint image is collected, the fingerprint image is compressed by the compression module 54. A memory module 56 provides fast memory resources for the compression of the fingerprint image. After compression, the fingerprint image is encrypted by the encryption module 58 for downstream transmission. The encryption module 58 also includes a memory module 60 that provides fast memory resources for the encryption of the compressed fingerprint image. An encrypted compressed fingerprint image is then communicated to network 32 (see FIG. 2) using the network interface module 62.

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Referring to FIG. 4 there is shown a block diagram of the verification system 34. The verification system is operatively coupled to network 32 with network interface module 64. The network interface module 64 is configured to receive user identification information generated by the network access devices and from the biometric input module. Preferably, the biometric and other user identification information received by the verification system is an encrypted biometric that is decrypted by decryption module 66. A memory module 68 is preferably a fast memory module that expedites the decryption process. After decryption, the biometric and remaining user identification information is processed by the verification server. It shall be appreciated by those skilled in the art that the verification server 42 may house the network interface module 64, decryption module 66 and the memory module 68. The verification server 42 is also in operative communication with a registration database 40. The verification server 42 performs the function of matching the user identification information collected from the network access device with the player information in the registration database 40. Additionally, the verification server 42 performs the caching functions needed to ensure that once a player has been identified during an initial game, subsequent usage by the same player proceeds quickly.

Preferably, the verification server 42 identifies registered players using a biometric template of the registered player residing on the registration database 40. The registered players are referenced with Personal ID numbers. When a transaction is undertaken the user firstly calls up the particular template from the registration database 40 by inputting a

Personal ID. The Personal ID includes a particular number, user ID, password or other such identification techniques. The inputting of the Personal ID is accomplished with a familiar numeric keypad, keyboard, magstripe card or smart card. The correct template is called and held in memory ready for comparison with the biometric sample provided by the user. A comparison takes place that results in a binary true or false condition as to the identity of the user. The user is in effect claiming an identity by inputting the Personal ID and the system is subsequently verifying that the claim is genuine according to the matching criteria setup within the system.

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Referring to FIG. 5 there is shown the registration data fields 70 and user submitted data fields 72. The registration data fields 70 include data fields that comprise the user identification information. The registration data fields include user identification information such as player name, address, user name, password, credit card information, and the date and time of the registration. The player biometric and Personal ID also comprises the user identification information and provides unique information about the player. The Personal ID may be the same as the user name or password. It shall be appreciated by those skilled in the art that some biometric information may be compressed. Furthermore, the user identification information includes data about the network access device and the network connection such as MAC ID, IP addresses, browser type, any cookies resident on the network access device, etc. Finally, the user identification system includes cryptographic keys which are used to encrypt and decrypt the communications between the verification system and each of the network access devices.

The user submitted data fields 72 mirror the registration data fields 70. The user submitted data fields receive data generated by a user that is attempting to access the broadband gaming system 36. The user submitted information is carefully analyzed to ensure that a valid user is being identified. It is well known that the connection of one network access device to another network access device generates security concerns. Preferably, the present verification system operates using a fast hardware-type firewall that performs a stateful multilayer inspection. In its preferred embodiment the firewall provides packet filtering using a secure protocol such as IPSec. This protocol provides encryption of the data at the packet level as well as at the source address level. Without access to the encryption

keys, a potential intruder would have difficulty penetrating the firewall. Additionally, it would be preferable to provide a circuit level gateway and an application level gateway. The circuit level gateway works on the session layer of the OSI model or the TCP layer of the TCP/IP model and monitors TCP handshaking between packets to determine whether a requested session is legitimate. The application level gateway filters data packets at the application layer of the OSI model. A stateful multilayer inspection firewall offers a high level of security, good performance and transparency to end users.

Referring to FIG. 6 there is shown the player data fields 74 that are generated by the broadband gaming system and the transactional system after the user has been verified to be a registered player. The player data fields 74 are used to generate a player matrix which is used as an additional internal security measure. The player data fields 74 include a Player ID that identifies the player, a timestamp that provides the date, time in and time out by the player during the game. Additionally, the type of game, credits played, and credits remaining are monitored. Based on the level of player activity a bonus is provided to the player. Further still the session time for each type of game and the amount played during the session is monitored to better define the type of games the player likes. Transactional information is also monitored and updated, preferably, by the transactional system 38. The transactional information includes credit card information, transaction requests, transaction approval, conversion of monetary funds to credits for playing the game, any transfers of credits for playing the game, and conversions from credits to monetary funds that are credited to the player's financial account. Preferably, communications between the transactional system and the broadband gaming system are conducted in a secure environment using cryptographic keys. Although the use of cryptography within the private network may appear excessive, one of the greatest security threats within a private network comes from its own employees. Therefore, it is preferable to use internal firewalls for communications between the broadband gaming system, the transactional system and the verification system.

#### **Broadband Gaming System**

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A more detailed drawing of the broadband gaming system is provided in FIG. 7. The dashed boundary in FIG. 7 defines the broadband gaming system 36. After player

verification is completed at the verification system 34, the broadband gaming system 34 is engaged. The broadband gaming system 34 includes a player buffer 84 configured to receive the players who will be playing the game. The player buffer 84 generates an initial player matrix with player data fields 74.

A countdown timer 82 is coupled to the player buffer 80. Preferably, the countdown timer 82 is also displayed to the player. The countdown timer 82 provides a window of time within which players may join the game. The players that have joined the game before the end of the timing period are stored in the buffer. When the timing period reaches zero the initial player matrix is communicated to the transactional system 38 and to the gaming module 84.

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The gaming module 84 provides a game that is played by the plurality of players. The game may include a plurality of different games and the type of game is not restrictive to this invention. Preferably, the gaming module 84 includes at least one random number generator 86 and a paytable module 88.

The random number generator 86 is operatively coupled to the player buffer. The random number generator 86 generates at least one random number that is stored in the player matrix. In one embodiment, at least one random number is generated for the plurality of players playing the game. In an alternative embodiment, at least one random number is generated for each player. In yet another embodiment, a plurality of random numbers are generated that are applied to the plurality of players playing the game. Preferably, the random number generator 86 is a fast hardware module.

A paytable module 88 is operatively coupled to the random number generator 86. The paytable module 88 is a programmable module that determines the type of prize awarded to the player based on the random number generated by the random number generator 86. In one embodiment, the paytable module 88 is a field programmable gate array. Preferably, the paytable module 88 also includes an image ID that is associated with the outcome determined by the paytable module 88.

A gaming output module 90 revises the player matrix to include the outcome for each player. Additionally, the gaming output module 90 groups the players according to the image ID. Based on the results generated by the gaming module 84, the gaming output module 84

generates a final player matrix that is communicated to the transactional server 38 and to a memory module 92.

Preferably, the memory module 92 has stored a plurality of images in a fast memory by the time the final player matrix is communicated to the memory module 92. In operation, the memory module 92 is enabled before the final matrix is communicated to the memory module 92. By way of example, when the game is engaged the memory module 92 begins the process of finding the applicable images associated with the image IDs in the mini-video server 94 and transferring the images to the fast memory module 92. Thus, when the gaming output is received by the memory, the images are stored in the fast memory module 92. In one embodiment, the memory module 92 then broadcasts the images to encoders 96 and 98. In an alternative embodiment, the memory module 92 is operatively coupled to an intelligent router (not shown) that routes the images to the appropriate encoders 96 and 98.

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The appropriate encoder then receives the images and converts them to a format which meets the requirements for the appropriate network access device. By way of example, an IP encoder 96 encodes a plurality of JPEG images for viewing on a conventional web browser, and an MPEG encoder 98 encodes the plurality of JPEG images into an MPEG stream that is viewed on a television via an interactive set-top box.

An encryption module 100a and 100b operatively coupled to encoder 96 and 98, respectively, then receives the encoded images and encrypts the encoded images in manner well known to those skilled in the art. A modulation module 102a and 102b is operatively coupled to encryption modules 100a and 100b, respectively, then modulates encrypted encoded images for downstream transmission in a manner well known to those skilled in the art.

Preferably, the broadband gaming system occupies one downstream band, i.e. one 6 or 8 MHz band, in the interactive set-top-box environment. In the web based broadcast environment, the broadband gaming system occupies a downstream channel much like a standard streaming media website.

It shall be appreciated by those skilled in the art having the benefit of this disclosure that the broadband gaming system can play more than one game at a time. The system may be designed to operate in a multi-tasking mode where more than one game is played at a time.

Additionally, the system may be designed to operate in a fast serial mode in which a game is played while the countdown timer is waiting for the next queue to be filled.

### **Transactional System**

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Referring back to FIG. 2, there is shown the transactional system 38 which comprises a transactional server 110 and a transactional database 112. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system. Additionally, the transactional system 38 is configured to authorize and conduct electronic fund transfers. Furthermore, the transactional system 38 performs such operations as player tracking, managing loyalty programs, engaging bonus games, determining bonus prizes and interfacing with accounting programs.

#### METHOD FOR REGISTERING A PLAYER

Referring to FIG. 8 there is shown a flowchart of the registration method for the gaming system 30. The registration method 150 begins when a prospective player first accesses a website, channel, kiosk or other such registration terminals as described in block 152. The method then proceeds to block 153.

At block 153, the registration process is initiated. By way of example and not of limitation, a registration terminal may provide a hyperlink to a registration window that prompts the prospective player for information. The method then proceeds to block 154.

At block 154, the prospective player provides registration identification information such as name, address, credit card number and other information necessary to create a registration file for the prospective player. The method then proceeds to block 156.

At block 156, the prospective player is prompted for a personal ID. The personal ID may be a user ID, a password, a numeric combination, or any other such identification information. The personal ID is used during the verification process to identify a biometric template for the prospective player. The method then proceeds to block 158.

At block 158, the prospective player submits a biometric to the registration terminal. By way of example and not of limitation the biometric is a fingerprint. Any other biometric may also be used. The method then proceeds to block 160 or 162.

At block 160, the biometric input is compressed and encrypted. It is preferable for certain biometric inputs to compressed, such as fingerprint scans, retinal scans and other such scanning techniques. Other biometric inputs such as voice patterns, signatures do not have to be compressed. The process of encrypting biometric inputs is necessary in an open network environment. The process of encrypting may not be necessary on a private proprietary network. Therefore, it shall be appreciated by those skilled in the art having the benefit of this disclosure that the compression and encryption processes in block 160 may not be necessary for every biometric input.

At block 162, the prospective player information is stored in the verification system and a player profile is updated accordingly. Alternatively, the prospective player information is stored on a smart card. The method then proceeds to block 164.

At block 164, security information about the registration terminal is collected. The registration information identifies the registration terminal as being a secure terminal. The registration terminal provides information such as the MAC ID for the biometric input module, the IP address for the server communicating with the registration terminal, and the cryptographic keys associated with the registration terminal. The registration terminal includes the network access devices described in FIG. 1a through FIG. 1d as well as kiosks and other such registration terminals.

At block 166, the prospective player is identified as a registered player and the registration database 40 is updated accordingly. The registration process is broken out into separate components for security purposes. Once a validly registered player is identified by the verification system, the registration process is completed.

### METHOD FOR PLAYER VERIFICATION

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Referring to FIG. 9 there is shown a method 170 for player verification used by the verification system 34. The player verification process includes receiving user identification

information from a network access device. The method is initiated at block 174 when a user accesses a website or channel displaying the game. The method then proceeds to block 176.

At block 176, the personal ID is provided by the user. The personal ID is used by the verification system to find a biometric template for determining whether the user is a registered player. The method then proceeds to block 178.

At block 178, the biometric input module of the network access device receives a biometric from the user. As previously described the biometric input module can be one of the plurality of biometric inputs. Depending on the type of biometric, the biometric may be compressed as described by block 180 and encrypted as described by block 182. At block 184, the biometric and the personal ID is then communicated through a network 32 to the verification system 34. Alternatively, the biometric and Personal ID is communicated to a smart card for verification.

At block 186, the verification system 34 requests security information from the network access devices. The security information identifies the network access devices as being a valid network access device. The method then proceeds to block 188.

At block 188, the verification system 34 processes the security information to ensure that the security information is generated by the appropriate network access device, and to ensure that the security information has not been compromised. Preferably, the verification system 34 performs a stateful multilayer inspection as described above. The method then proceeds to block 190.

At block 190, the user submitted player information is compared to the registered player information. If a determination is made at decision diamond 192 that the submitted player information is not a valid registered player the method proceeds to block 194. At block 194, the user is requested to re-input the biometric. If the biometric is input more than three times, as provided by decision diamond 196, the user is requested to contact customer service.

If a match is found at decision diamond 192 between the user submitted information and the registered player information, the user is identified as a valid player, and then the player proceeds to the broadband gaming system 36.

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#### METHOD FOR OPERATION OF BROADBAND GAMING SYSTEM

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Referring to FIG. 10 and FIG. 11 there is shown a flowchart 200 of the information processed by the broadband gaming system 34. The process is engaged by performing the verification process in which the verification system identifies a player as in block 201. After the verification process has been completed the method proceeds to block 202.

At block 202, the players who desire to play a particular game are stored in a buffer until the particular game is engaged. The method then proceeds to decision diamond 204.

At decision diamond 204, the countdown timer 82 determines if the period during which the game is open has been closed. If the game remains open, additional players may be received by the broadband gaming system. If the game is closed because the period during which the game is open has expired, then the method proceeds to block 206.

At block 206, the initial player matrix described above is generated. The initial player matrix includes information about the player, the type of game, and other such information about the game as described by the player data fields 74 shown in FIG. 6. The initial player matrix is then communicated to block 208 which transmits the initial player matrix to the transactional system for validation. Additionally, the initial player matrix is communicated to the next block 210 in the broadband gaming system which starts the gaming module.

At block 210, the initial player matrix is received by the gaming module 84 and the gaming module 84 is engaged. At a minimum the gaming module 84 comprises a random number generator 86 and a paytable module 88. The random number generator generates at least one random number that is used during the game. The paytable module 88 is used to determine the prize associated with the at least one random number.

Referring to FIG. 11, a continuation of the broadband gaming system method is shown. By way of example, the gaming module may comprise a plurality of different random number generators. Blocks 214 and 216 describe the processes performed by a random number generator and a paytable module, respectively. The random number generator 86 of block 214 determines the winning combination of numbers for the game. At block 216, the paytable module 88 is used to determine the prize awarded to the player. Preferably, the paytable module 88 is also configured to provide image IDs that identify the images associated with the prize. Preferably, the paytable module 88 is resident in both the

broadband gaming system and the transactional system. The purpose for this redundancy is as a security check for output generated by the gaming module. The method then proceeds to block 218.

At block 218 the player outputs with the same image IDs are grouped together. The grouping process is performed to simplify the broadcasting of the images to the plurality of players. By grouping the players according to the same image ID and having identified the network access device used by the player, a dynamic broadcasting method is created which occupies minimal downstream bandwidth. The method then proceeds to block 220.

At block 220 a final player matrix is completed. The final player matrix includes the same data fields as the initial player matrix. Additionally, the final player matrix includes the random number output and the paytable output. The final player matrix is then communicated to the transactional system as described in block 222. The method then proceeds to decision diamond 224.

At decision diamond 224, a validation procedure is conducted. The validation procedure essentially compares the transactional system's reverse calculation of the random numbers with the random numbers generated by the gaming module. If the random numbers in the transactional system are not the same or similar to the random numbers generated by the random number generator, a system failure or security breach is detected. If a security breach or system failure is detected, the method then proceeds to process block 226, which initiates diagnostic procedures. If the random numbers match, then the method proceeds to block 228.

At block 228, the plurality of images is broadcast. The images are preferably broadcast along one downstream channel for each network access device. However, traffic considerations may require the use of a plurality of downstream channels. By way of example, for DOCSIS and DSL type downstream transmissions, the streaming video preferably occupies a portion of the bandwidth available for a cable modem or DSL modem, respectively. In an alternative example, for an interactive set-top box environment, the downstream channel preferably occupies one 6MHz or 8MHz band or a portion of the 6MHz or 8MHz band. The method then proceeds to the next block 230.

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At block 230, the broadcast images are encoded for downstream transmission. It shall be appreciated by those skilled in the art having the benefit of this disclosure that downstream transmission systems are well known and can be easily integrated into the systems and method described in this patent. The method then proceeds to block 232.

At block 232, the broadcast images are encrypted for downstream transmission. The purpose for downstream encryption is to prevent unauthorized access to the downstream signal. It shall be appreciated by those skilled in the art that various secure systems and methods for downstream transmission of images are well known.

It shall be appreciated by those skilled in the art having the benefit of this disclosure that a plurality of games may be played simultaneously. The games may be played in a distributed/parallel manner or in a serial manner.

### AN ILLUSTRATIVE GAME

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An illustrative game is described to show how the system and method described above operates. The illustrative game described herein is a progressive slot machine. It is well-known that in the United States many states have legalized lottery games even though other games of chance such as progressive slot machines have not been legalized. It is also well-known that in casino gaming floors the most popular games are progressive slot machines. The present illustrative game operates on the system and method described above and provides an output similar to a progressive slot machine with a lottery type input.

The illustrative game includes first having a player provide a plurality of letters or numbers that are either generated by the player or are selected in a random manner. The random number generator of the gaming module is then engaged and a gaming module random number is generated. Preferably, the order that the random numbers were generated is used to determine the prize awarded to the player. A programmed paytable is then used to compare the player selected numbers to the gaming module random numbers according to the rules programmed into the paytable module. Based on the results of this comparison a prize is awarded to the player. An image ID is associated with the prize awarded. The plurality of players are then grouped according to their respective image IDs. A broadcast stream for the plurality of images associated with each image ID is broadcast to each player.

### Page 23

A more concrete example includes having a player select a plurality of numbers, such as the numbers below:

<u>23</u> <u>35</u> <u>8</u> <u>15</u> <u>42</u>

5 The random number generator of the gaming module is then engaged. By way of example the random number results are:

<u>56</u> <u>2</u> <u>3</u> <u>8</u> <u>42</u>

The paytable module is then programmed to interpret the random numbers generating by the gaming module according to the following illustrative rules:

1. If a match between one number is achieved, then a prize of 1X the initial bet credit is awarded and an image ID X023-1396 is used. Image ID X023-1396 is an animated plurality of images representing three cherries.

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If a match between one number at the same location is achieved, then a prize
of 2X the initial bet credit is awarded and an image ID X023-1397 is used.
Image ID X023-1397 is an animated plurality of images representing four
cherries.

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3. If a match between a first number is achieved and a match between a second number is achieved, then a prize of 5X the initial credit is awarded and an image ID X023-1998 is used. Image ID X023-1998 is an animated plurality of images representing 3 oranges.

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4. If a match between a first number at the same location is achieved and a match between a second number is achieved, than a prize of 7X the initial credit is awarded and an image ID X023-1999 is used. Image ID X023-1999 is an animated plurality of images representing 4 oranges.

Thus, for the illustrative example provided above, the player having selected the numbers: 23, 35, 8, 15 and 42 is entitled to a prize of 7X the initial credit for a random number: 56, 2, 3, 8, and 42. The associated images displayed on the network access device is an animated plurality of images representing 4 oranges.

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#### **CONCLUSION**

It can now be seen that the present invention solves many of the problems associated with the prior art. The present invention provides a verification system that uses biometrics to identify the player. The present invention provides a broadband gaming system that communicates with a plurality of different network access devices. Furthermore, the present invention provides a system and method for generating a combined jackpot in an open network environment across a broad geographical area.

Although the description above contains many specifications, these should not be construed as limiting the scope of the invention but as merely providing illustrations of some of the presently preferred embodiments of this invention. The specification, for instance, makes reference to bonus prizes. However, the present invention is not intended to be limited to bonus prizes. Rather it is intended that the present invention can be used independently as a stand-alone game. Thus, the scope of the invention should be determined by the appended claims and their legal equivalents rather than by the examples given.

#### **CLAIMS**

What is claimed is:

- 1. A gaming system, comprising;
- a verification system in communication with a network, said verification system

  configured to receive a user biometric and compare said user biometric to a plurality of registered player biometrics;
  - a broadband gaming module that is enabled when said user biometric matches one of said plurality of registered players, said gaming module configured to provide a game that is played by said registered player; and
- 10 a transactional system in communication with said verification system and said broadband gaming module, said transactional system configured to monitor transactions conducted by said verification system and said broadband gaming system.
- The gaming system of claim 1 wherein said verification system is in communication
   with a network access device operatively coupled to a network, said network access device having a biometric input module configured to receive said user biometric.
- The gaming system of claim 1 wherein said broadband gaming system is configured to communicate with a first network access device having a first protocol and a second
   network device having a second protocol.
  - 4. The gaming system of claim 3 wherein said first protocol is an IP communications protocol.
- 25 5. The gaming system of claim 3 wherein said first protocol is an MPEG communications protocol.
  - 6. The gaming system of claim 3 wherein said first protocol is an IP communications protocol and said second protocol is an MPEG communications protocol.

7. A gaming system, comprising;

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a verification system configured to communication with a network, said verification system configured to receive a user biometric and compare said user biometric to a plurality of registered player biometrics; and

- a gaming module that is enabled when said user biometric matches one of said plurality of registered players, said gaming module including a random number generator that is used to determine whether a prize is awarded to a player.
- 8. The gaming system of claim 7 wherein said verification system is configured to
  10 communicate with a network access device having a biometric input module that receives said user biometric.
  - 9. The gaming system of claim 7 wherein said gaming module is resident in a broadband gaming system, said broadband gaming system configured to communicate with a plurality of network access devices.
  - 10. The gaming system of claim 9 wherein at least one of said plurality of network access devices comprises a biometric input module.
- 20 11. The gaming system of claim 7 further comprising a paytable module in communication with said random number generator, said paytable module configured to determine whether said player is awarded said prize.
- The gaming system of claim 11 further comprising a memory module in
   communication with said paytable module, said memory module configured to store a plurality of images that are displayed on said plurality of network access devices.
- 13. A gaming method, comprising:
   providing a network that permits communications between a network access device
   30 and a gaming system;

inputting a user biometric into said network access device;
comparing said user biometric to a plurality of registered player biometrics;
permitting a player access to a game when said user biometric matches one of said
plurality of registered player biometrics;

- playing said game; andviewing results from said game on said network access device.
  - 14. The method of claim 13 further comprising causing said user biometric to be communicated from said network access device to a verification system, said verification system comparing said user biometric to said plurality of registered player biometrics.
  - 15. The method of claim 13 further comprising comparing said user biometric to one of said plurality of registered player biometrics at said network access device.
- 15 16. The method of claim 14 further comprising requesting a personal identification code from a user that is providing said user biometric and using said personal identification code to identify said registered player biometric.
- 17. The method of claim 13 further comprising permitting a plurality of registered players
   20 to access said game when a plurality of users submit a plurality of user biometrics that identify said plurality of users as said plurality of registered players.
  - 18. The method of claim 13 wherein playing said game comprises generating at least one random number.

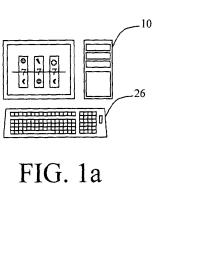
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- 19. The method of claim 18 further comprising determining whether a prize is awarded to said player based on said at least one random number.
- 20. The method of claim 19 further comprising generating a plurality of images that communicate said prize awarded to said player.

# **ABSTRACT**

The present invention is a biometric broadband gaming system and method that comprises a verification system, a broadband gaming system and a transactional system. The verification system operations include receiving a biometric input to ensure that a user is a registered player. The broadband gaming system operations include providing a game of skill or game of chance to a plurality of players communicating via different network access devices. The transactional system operations include managing transactions conducted by the verification system and the broadband gaming system.

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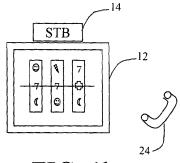


FIG. 1b



FIG. 1c

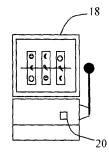
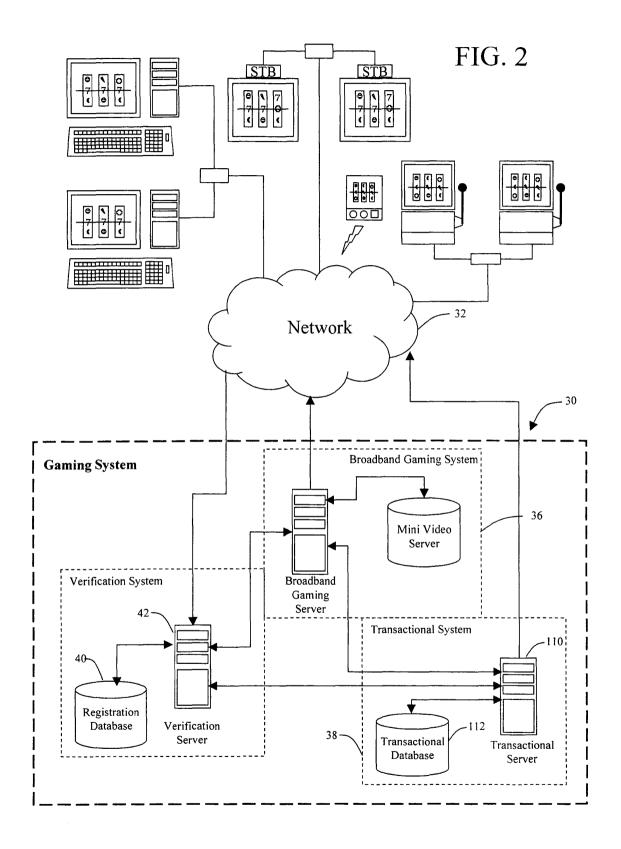


FIG. 1d



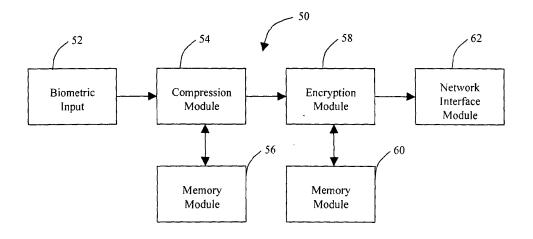


FIG. 3

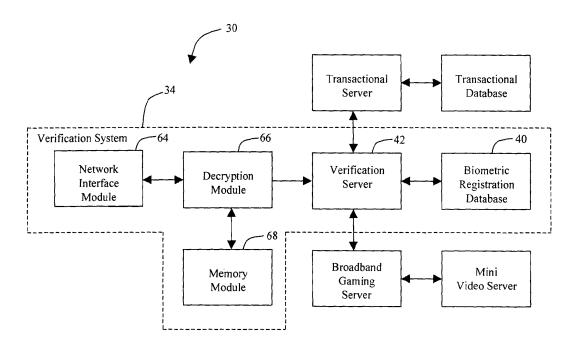


FIG. 4



REGISTRATION DATA FIELDS	
NAME	BIOMETRIC
ADDRESS	PLAYER ID
USER NAME	MAC ID
PASSWORD	IP ADDRESS
CREDIT CARD	BROWSER
DATE	COOKIES
TIME	CRYPTO KEYS



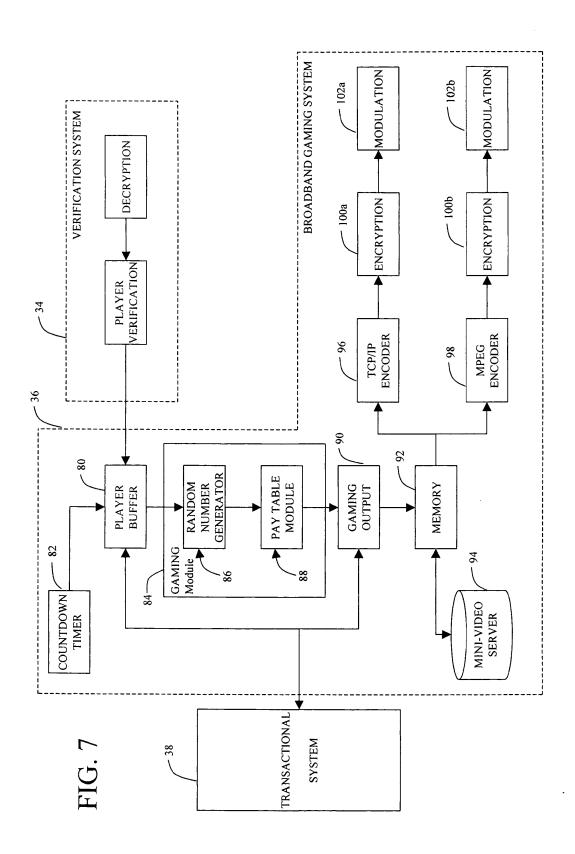
USER SUBMITTED DATA	
NAME	BIOMETRIC
ADDRESS	PLAYER ID
USER NAME	MAC ID
PASSWORD	IP ADDRESS
CREDIT CARD	BROWSER
DATE	COOKIES
TIME	CRYPTO KEYS

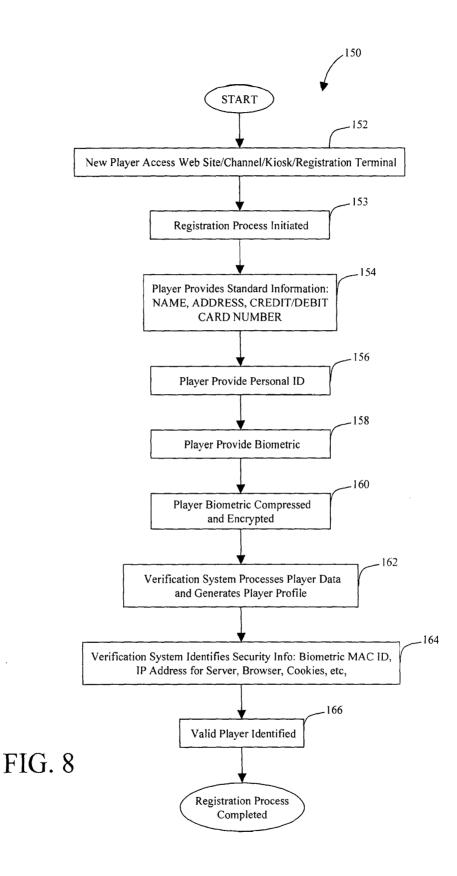
FIG. 5

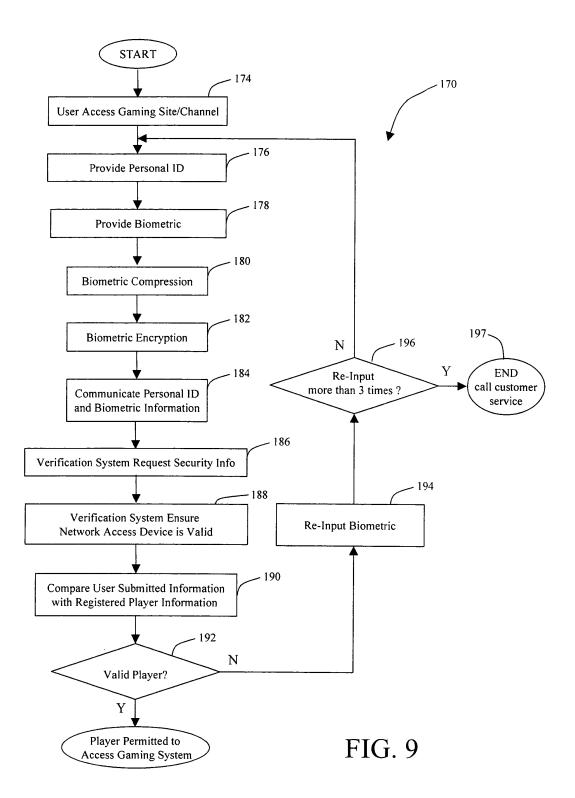


PLAYER DATA FIELDS	
PLAYER ID	SESSION TIME FOR TYPE OF GAME
DATE	AMOUNT PLAYED DURING SESSION
TIME IN	CREDIT CARD INFORMATION
TIME OUT	TRANSACTION REQUEST
TYPE GAME	TRANSACTION APPROVAL
CREDITS IN	TRANSFER OF CREDITS
CREDITS OUT	TRANSFER TO PLAYER CREDIT CRD
BONUS	CRYPTO KEYS

FIG. 6







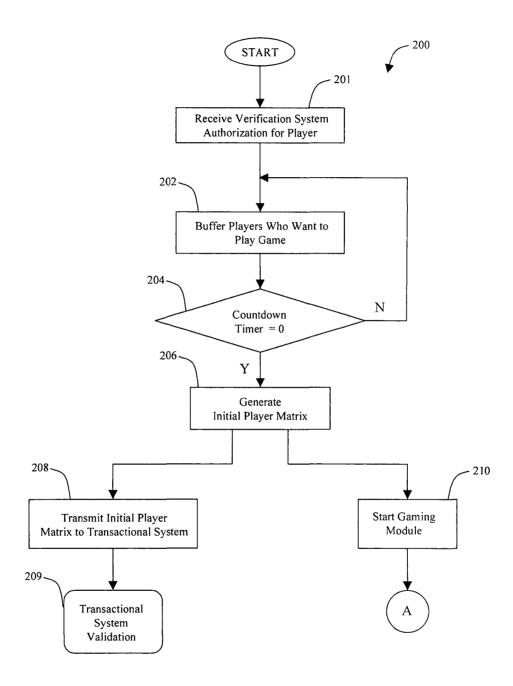
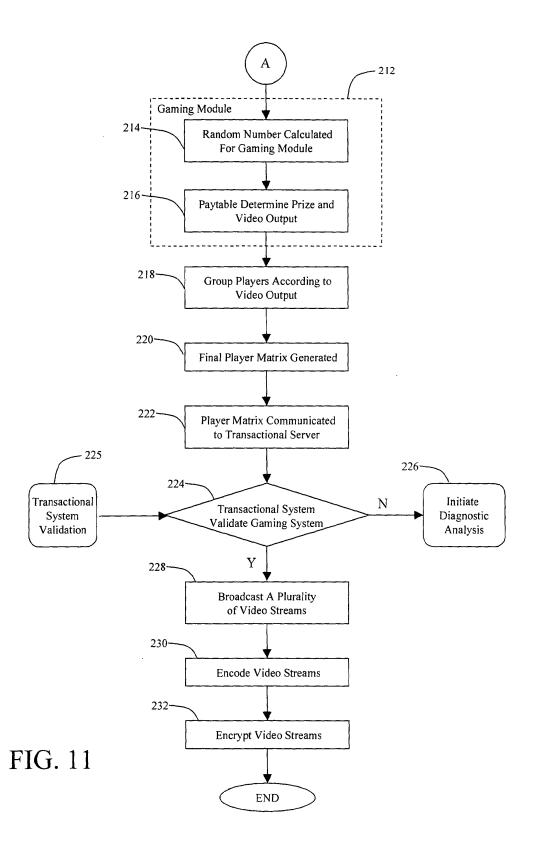


FIG. 10



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kerr, Michael A.

Application Serial No.: TBD

Filed: TBD

Title: GAMING SYSTEM NETWORK

AND METHOD FOR DELIVERING

**GAMING MEDIA** 

Group Art Unit: TBD

Examiner name: TBD

Confirmation No: TBD

# FIRST PRELIMINARY AMENDMENT

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

This is a Preliminary Amendment being submitted for the patent application identified above. This communication includes Amendments to the Claims, Remarks, and a Substitute Specification (with and without markings).

Amendments to the Claims begin on page 3.

Remarks begin on page 8.

Substitute Specification with markings begins on Page 38.

Substitute Specification without markings begins on Page 36.

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# **Amendments to the Claims**

1. (Canceled) A gaming system, comprising:

a verification system in communication with a network, said verification system configured to receive a user biometric and compare said user biometric to a plurality of registered player biometrics;

a broadband gaming module that is enabled when said biometric matches one of said plurality of registered players, said gaming module configured to provide a game that is played by said registered player; and

a transactional system in communication with said verification system and said broadband gaming module, said transactional system configured to monitor transactions conducted by said verification system and said broadband gaming system.

- 2. (Canceled) The gaming system of claim 1 wherein said verification system is in communication with a network access device operatively coupled to a network, said network access device having a biometric input module configured to receive said user biometric.
- 3. (Canceled) The gaming system of claim 1 wherein said broadband gaming system is configured to communicate with a first network access device having a first protocol and a second network device having a second protocol.
- **4.** (Canceled) The gaming system of claim 3 wherein said first protocol is an IP communications protocol.
- **5.** (Canceled) The gaming system of claim 3 wherein said first protocol is an MPEG communications protocol.
- **6. (Canceled)** The gaming system of claim 3 wherein said first protocol is an IP communications protocol and said second protocol is an MPEG communications protocol.

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# 7. (Canceled) A gaming system, comprising:

a verification system configured to communication with a network, said verification system configured to receive a user biometric and compare said user biometric to a plurality of registered player biometrics; and

a gaming module that is enabled when said biometric matches one of said plurality of registered players, said gaming module including a random number generator that is used to determine whether a prize is awarded to a player.

- **8.** (Canceled) The gaming system of claim 7 wherein said verification system is configured to communicate with a network access device having a biometric input module that receives said user biometric.
- **9. (Canceled)** The gaming system of claim 7 wherein said gaming module is resident in a broadband gaming system, said broadband gaming system configured to communicate with a plurality of network access devices.
- **10.** (Canceled) The gaming system of claim 9 wherein at least one of said plurality of network access devices comprises a biometric input module.
- 11. (Canceled) The gaming system of claim 7 further comprising a paytable module in communication with said random number generator, said paytable module configured to determine whether said player is awarded said prize.
- **12.** (Canceled) The gaming system of claim 11 further comprising a memory module in communication with said paytable module, said memory module configured to store a plurality of images that are displayed on said plurality of network access devices.

13. (Canceled) A gaming method, comprising:

providing a network that permits communications between a network access device and a gaming system;

inputting a user biometric into said network access device;
comparing said user biometric to a plurality of registered player biometrics;
permitting a player access to a game when said user biometric matches one
of said plurality of registered player biometrics;

playing said game; and viewing results from said game on said network access device.

- **14. (Canceled)** The method of claim 13 further comprising causing said user biometric to be communicated from said network access device to a verification system, said verification system comparing said user biometric to said plurality of registered player biometrics.
- 15. (Canceled) The method of claim 13 further comprising said user biometric to one of said plurality of registered player biometrics at said network access device.
- **16.** (Canceled) The method of claim 14 further comprising requesting a personal identification code from a user that is providing said user biometric and using said personal identification code to identify said registered player biometric.
- 17. (Canceled) The method of claim 13 further comprising permitting a plurality of registered players to access said game when a plurality of users submit a plurality of user biometrics that identify said plurality of users as said plurality of registered players.
- **18.** (Canceled) The method of claim 13 wherein playing said game comprises generating at least one random number.
- **19.** (Canceled) The method of claim 18 further comprising determining whether a prize is awarded to said player based on said at least one random number.

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**20.** (Canceled) The method of claim 19 further comprising generating a plurality of images that communicate said prize awarded to said player.

# **21 (New).** A gaming system server, comprising:

a registration database configured to store registration data associated with each registered user;

a verification system in communication with registration database, said verification system configured to:

receive user identification information from at least one network access device:

receive security information from the at least one network access device:

verify the network access device user is a registered user by comparing the user identification information to the registration data; and verify security information received from the network access device; a video system configured to store a plurality of images corresponding to at least one game; and

a gaming module configured to:

generate at least one random game output, the at least one random game output associated with at least one game outcome; and

communicate the plurality of images corresponding to the at least one game outcome to the at least one network access device.

**22 (New).** The gaming system server of claim 21, wherein the gaming module comprises a player buffer configured to receive one or more player data sets, each player data set associated with a player.

**23 (New).** The gaming system server of claim 22, wherein the gaming module comprises a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.

**24 (New).** The gaming system server of claim 22, wherein the random game output generated by the gaming module is a random number generated for each player data set.

**25 (New).** The gaming system server of claim 22, wherein the random game output generated by the gaming module is a random number generated for all player data sets in the player buffer.

**26 (New).** The gaming system server of claim 21, wherein the gaming module comprises a paytable module configured to determine one or more prizes associated with the game outcome.

**27 (New).** The gaming system network of claim 21, further comprising an encoding module configured to convert the images to a format meeting the requirements of the at least one network access device.

**28 (New).** The gaming system server of claim 21, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

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**29 (New).** A gaming system server, comprising:

and

a registration database configured to store registration data associated with each registered user;

a verification system in communication with the registration database, said verification system configured to:

receive user identification information from at least one network access device;

receive security information from the at least one network access device;

verify the network access device user is a registered user by comparing the user identification information to the registration data; and verify security information received from the network access device;

a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device

**30 (New).** The gaming system server of claim 29, further comprising a player buffer configured to receive one or more player data sets, each player data set associated with a player.

**31 (New).** The gaming system server of claim 30, further comprising a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.

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**32 (New).** The gaming system server of claim 30, wherein the game output is a random game output is based on a random number from a random number generator, the random number generated for each player data set.

**33 (New).** The gaming system server of claim 30, wherein the game output is a random game output based on a random number from a random number generator, the random number generated for all player data sets in the player buffer.

**34 (New).** The gaming system server of claim 29, further comprising a paytable module configured to determine one or more prizes associated with the game outcome.

**35 (New).** The gaming system network of claim 29, further comprising an encoding module configured to convert the images to a format meeting the requirements of the at least one network access device.

**36 (New).** The gaming system server of claim 29, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

**37 (New).** A method for generating a game output with a gaming system server, comprising:

receiving user identification information from at least one network access device at a verification system;

receiving security information associated with the at least one network access device at a verification system;

verifying with the verification system that the at least one network access device user is a registered user by comparing the user identification information to registration data stored in a registration database;

verifying with the verification system security information received from the at least one network access device;

generating with a gaming system at least one random game output, the at least one random game output associated with at least one game outcome; and communicating the plurality of images corresponding the at least one game outcome from the gaming system to the at least one network access device.

**38 (New).** The method of claim 37, further comprising receiving player data sets with a player buffer.

**39 (New).** The method of claim 37, further comprising limiting the time during which the player buffer is capable of receiving a player data set with a countdown timer.

**40 (New).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for each player data set.

**41 (New).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for all player data sets in the player buffer.

**42 (New).** The method of claim 37, further comprising determining one or more prizes associated with the game outcome with a paytable module.

**43 (New).** The method of claim 37, further comprising converting the images to a format meeting the requirements of the at least one network access device with an encoding module.

**44 (New).** The method of claim 37, further comprising encrypting the images communicated to the at least one network access device with an encryption module.

**Remarks** 

Support for the instant amendments is provided, inter alia, at Paragraphs

[0057]-[0058], [0063]-[0070], [0074]-[0077], [0080]-[0082], [0084], and [0111] in the

Specification as well as in Figures 1, 2, 7, 10, and 11 in the Drawings.

In view of the foregoing amendments and remarks, the Applicant respectfully

submits that the above-identified patent application is in condition for allowance. If

the Examiner finds that a telephone conference would expedite the prosecution of

this application, the Examiner is invited to telephone the undersigned at the number

provided.

Respectfully Submitted,

Dated: December 29, 2010

/Michael A. Kerr/ Michael A. Kerr

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# **SUBSTITUTE SPECIFICATION (with Markings)**

This Substitute Specification with markings contains no new matter.

# **PATENT APPLICATION**

# BIOMETRIC BROADBAND GAMING SYSTEM <u>NETWORK</u> AND METHOD FOR <u>STREAMING</u> <u>DELIVERING</u> GAMING MEDIA

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Reg. No. 42722

Attorney Docket Number: Kerr 01.003NEXRF 10.011

# BIOMETRIC BROADBAND-GAMING SYSTEM SERVER AND METHOD FOR STREAMING GAMING MEDIA GENERATING A GAME OUTPUT

# **CROSS REFERENCES TO RELATED APPLICATIONS**

[0001] This patent application is a continuation of 10/681,034, filed October 8, 2003 which is a continuation of patent application 09/899,559 having a filing date of July 5, 2001, now abandoned, which claims the benefit of provisional patent application 60/266,956 filed February 6, 2001.-in-part of provisional patent application 60/266,856 filed on Feb. 6, 2001.

#### **BACKGROUND OF THE INVENTION**

[0002] 1. Field of Invention

[0003] The present invention is a biometric broadband an interactive gaming system network and method for delivering gaming media. More particularly, the biometric broadband interactive gaming system and method operates in a networked environment that which interfaces with a gaming server and a video server. plurality of network access devices including personal computers, wireless devices, and interactive set-top boxes.

# [0004] 2. Description of Related Art

[0005] The related art includes gaming devices, on-line gaming, networked interactive gaming, and biometrics.

# [0006] Gaming Devices

[0007] For purposes of this patent, the term "gaming" shall refer to either gambling and/or gaming applications. Gaming devices include games of skill and games of chance. Games of chance include many casino-type gaming devices in which the outcome of the game depends, at least in part, on a randomly generated event. For

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example, a game of chance may use a random number generator to generate a random or pseudo-random number. The random number may then be compared to a predefined table to determine the outcome of the event. If the random number falls within a certain range of numbers on the table, the player may win a predefined prize. The table may also contain display information that allows the gaming device to generate a display that corresponds to the outcome of the game. The gaming device may present the outcome of the game on a large variety of display devices, such as mechanical spinning reels or video screens.

[0008] Games of skill comprise a skill component in which a player combines letters or words (word puzzles), answers questions (trivia), overcomes challenges (video games), competes with other players (networked video games), and the like. Generally, a game of skill is a game requiring a level of skill which does not rely solely on chance. Some games of skill require a high degree of expertise and knowledge and other games of skill require very limited expertise or knowledge.

# [0009] On-Line Gaming

[0010] In June 2001, Nevada signed a bill that could result in Nevada being the first state to offer legalized gambling over the Internet. The new law authorizes state gaming regulators to set up an infrastructure to license and oversee online gaming in Nevada when such gaming becomes legal. Online gaming is a federal issue whose legality is unclear at present.

[0011] A variety of technological limitations have been asserted as preventing Congress's endorsement of on-line gaming. These technological limitations are related to the preventing of underage gambling, controlling of gambling addiction, and ensuring the security and reliability of on-line gaming.

[0012] To prevent underage gambling prior art systems and methods use passwords, user IDs, credit cards and "click-through" agreements that ask the player to agree to being of legal gambling age by clicking on a button. Presently, there are

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no systems and methods to control on-line gambling addiction. With respect to ensuring that on-line gaming is secure and reliable, prior art systems and methods use various cryptographic techniques such as RSA encryption, digital certificates, or other similar well known cryptographic methods. These cryptographic methods are helpful in ensuring secure communications, however these cryptographic methods do not ensure that the individual accessing the on-line game is a valid user.

[0013] In view of the prior art systems, a minor or other unauthorized individual simply needs a user ID and a corresponding password to access a gaming site. The obtaining of a user ID and password is a relatively simple task as this information is generally not modified. Commonly the user ID information is acquired by identifying the web site's naming convention for the player. The player password can be easily determined by remembering the pattern of keys typed by the player during the log-on procedures or by simply requesting the password from the player as part of a diagnostic procedure. The latter is a trick commonly used by hackers to access a system. The password problem may be overcome by modifying the password on a regular basis, however the player must then remember the modified passwords. Should the player forget the password a new password is mailed. During the mailing process it is common for e-mail to be easily intercepted in cyberspace. Additionally, it is common for unauthorized users to simulate being at a certain location by submitting an IP address that identifies an authorized user.

[0014] Therefore, a better system and method for identifying a valid user is needed. Additionally, it would be beneficial to provide a gaming system and method that would prevent underage gambling, simple to implement, prevent gambling addiction, and provide a higher degree of security and reliability from unauthorized users.

# [0015] Networked Interactive Gaming

[0016] Networked interactive gaming in an open networked environment such as the Internet is well-known. However, interactive gaming in an open network such as the

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Internet is confined to communicating with other devices using the same TCP/IP protocols. Currently networked interactive gaming systems using the TCP/IP protocol are not configured to communicate with interactive set-top boxes using MPEG protocols.

[0017] Networked interactive gaming in an open networked environment using traditional security methods such as secure socket layers and digital certificates are well known. However, networked interactive gaming in an open networked environment using traditional security methods does not prevent gambling from a minor having acquired a parent's user ID and password without the parent's consent.

[0018] Networked interactive gaming using LANs and WANs for progressive slot machines having large jackpots are also well-known. However, networked interactive systems using LANs and WANs for progressive slot machines generally exist in a highly secure proprietary network environment. Thus, the creation of a progressive slot machine with a large jackpot in an open network environment is not well known.

#### [0019] Biometrics

[0020] A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

[0021] Biometric applications for games of skill and games of chance are limited. For example biometric gaming applications are taught in U.S. Pat. 6,010,404 granted to Walker et al. teaches a method and apparatus for using player input codes (e.g., numeric, biometric or physical) to affect the outcomes of electronic gambling

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devices, such as slot machines. Additionally, U.S. Pat. No. 6,142,876 granted to Cumbers teaches a system and method for passively tracking the play of players playing gaming devices such as slot machines. Players provide identification information and facial recognition data is acquired by a digital or video camera. For each player an account file and a file of the facial image data is stored. When the player plays the slot machine, a camera scans the player and acquires facial image data which is compared to stored data to identify the player. Furthermore, U.S. Patent No. 5,902,983 granted to Crevelt et al. teaches a gaming machine configured to perform EFT transactions which are limited to preset amounts. The patent teaches the use of a fingerprint imaging device, and retinal scans for verifying a player's identity.

[0022] Although biometric applications for gaming applications are known, biometric applications for on-line gaming systems are not known. Furthermore, the managing of biometric information and gaming information in an open network environment is not known. Additionally, the use of biometrics in a gaming system and method to prevent underage gambling and prevent gambling addiction is not known.

#### **SUMMARY OF INVENTION**

A gaming system server is described. The server registration database configured to store registration data associated with each user registered to use the gaming system. A verification system is communicatively connected with the at least one network access device and the registration database. The verification system is configured to receive user identification information from the at least one network access device, receive security information from the at least one network access device, verify that the user associated with the network access device is a registered user by comparing the user identification information to the registration data, and verify the security information received from the network access device. The server also has a video system configured to store a plurality of images corresponding to at least one game type. A gaming module of the server is configured to generate at least one random game output. The at least one random

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game output is associated with at least one game outcome. The gaming system is also configured to communicate the plurality of images corresponding to the at least one game outcome to the at least one network access device.

One of the advantages of the present invention is that it provides a secure and reliable on-line gaming system and method.

[0024] In another embodiment, the gaming system server is described. The server comprises a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device.

A method for generating a game output with a gaming system server is also described. The method comprises receiving user identification information associated with at least one network access device at a verification system. The method further comprises receiving security information associated with the at least one network access device at a verification system. The verification system verifies that the at least one network access device user is a registered user by comparing the user identification information to registration data stored in a registration database. The verification system also verifies the security information received from the at least one network access device. The method also comprises generating with a gaming system at least one random game output. The at least one random game output is associated with at least one game outcome. The gaming system communicates the plurality of images corresponding to the at least one game outcome from the gaming system to the at least one network access device.

A further advantage of the present invention is that it provides a secure system and method for identifying a user in an open network environment.

[0026] A further One advantage of the present invention is that it provides a system and method to prevent underage gambling.

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[0027] A further advantage of the present invention is that it provides a more secure and reliable and secure gaming system and method.

[0028] Another advantage of the present invention is that it provides a system and method for managing biometric information and gaming information in an open network environment.

[0029] Another advantage of the present invention is that it permits a plurality of users in a geographically broad area to play the same game.

[0030] A further advantage of the present invention is that it provides a pseudo-real time gaming system and method.

[0031] Another advantage of the present invention is that it simulates a game of chance such as a slot machine in an on-line environment.

[0032] An additional advantage of the present invention is that it provides a networked jackpot.

# 2. Brief Description of the Invention BRIEF DESCRIPTION

[0033] The present invention is a A networked gaming system that comprises a verification system, a broadband gaming system and a transactional system is described. The verification system operations include ensuring that a user is a registered player by using a biometric input. The broadband gaming system operations include managing and performing at least one game. The transactional system operations include providing oversight for each transaction conducted by the verification system and the broadband gaming system.

[0034] The present invention comprises a A verification system for playing the networked gaming system is described. The networked games include games of

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chance and games of skill. The verification system communicates with a biometric input module and a network access device to generate a user identification information. The user identification information is compared to information in a registration database. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to both the broadband gaming system and the transactional system.

[0035] Additionally, the present invention includes a A broadband gaming system which is in communication with the verification system is described. The broadband gaming system includes a buffer which stores information about players who desire to play a game. The buffer is operatively coupled to a random number generator that generates a random number for each player in the buffer. A paytable module in communication with the random number generator determines the outcome associated with the random number generator. The paytable also determines which images are associated with the outcome for each player. Preferably, the images are stored on a mini video server and then cached in a memory module. The images are intelligently buffered for downstream communications. In its preferred embodiment, a plurality of encoders are operatively coupled to the memory module caching the broadcast video streams. The plurality of encoders encode the broadcast downstream images according the requirements for each network access device. Each encoder is operatively coupled to an encryption module that encrypts the broadcast. A modulation module is operatively coupled to the encryption module and modulates encrypted images for downstream transmission. Each network access device includes a tuner, a demodulation module, and a decryption module that permits an image to be viewed by the network access device.

[0036] Further still the present invention provides a A transactional system and method that ensures secure communications occur in the verification system and the broadband gaming system is described. The transactional system also performs accounting, bonusing, tracking and other such functions. Preferably, the transactional system is capable of receiving a plurality of funds from a financial

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account and converting them to credits that are used in the broadband gaming system.

[0037] The above description sets forth, rather broadly, the more important features of the present invention so that the detailed description of the preferred embodiment that follows may be better understood and contributions of the present invention to the art may be better appreciated. There are, of course, additional features of the invention that will be described below and will form the subject matter of claims. In this respect, before explaining at least one preferred embodiment of the invention in detail, it is to be understood that the invention is not limited in its application to the details of the construction and to the arrangement of the components set forth in the following description or as illustrated in the drawings. The invention is capable of other embodiments and of being practiced and carried out in various ways. Also, it is to be understood that the phraseology and terminology employed herein are for the purpose of description and should not be regarded as limiting.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0038] Preferred embodiments of the present invention are shown in the accompanying drawings wherein:

[0039] FIG. 1a through FIG. 1d provide diagrams of a plurality of network access devices.

[0040] FIG. 2 is a high level diagram of a gaming system networked to a plurality of network access devices.

[0041] FIG. 3 is a block diagram of an illustrative biometric input module.

[0042] FIG. 4 is a block diagram of a gaming system configured to receive a biometric input from a network access device.

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[0043] FIG. 5 is a table of the data fields in a verification system.

[0044] FIG. 6 is a table of the data fields in a broadband gaming system and in a transactional system.

[0045] FIG. 7 is a block diagram of a broadband gaming system.

[0046] FIG. 8 is a flowchart of the registration method for the gaming system.

[0047] FIG. 9 is a flowchart of the verification method for the gaming system.

[0048] FIG. 10 is a flowchart of the information processed by the gaming system. gaming method for the gaming system.

[0049] FIG. 11 is a continuation of the flowchart of the information processed by the gaming system in FIG. 10. is a flowchart of the method for purchasing credits for the gaming system.

FIG. 12 is a flowchart of the method for storing credits on the gaming system.

FIG. 13 is a flowchart of the information processed by the broadband gaming system.

FIG. 14 is a continuation of the FIG. 13 flowchart.

FIG. 15 is a flowchart of the information processed by the transactional system in communication with the broadband gaming system.

# **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

[0050] In the following detailed description of the preferred embodiments, reference is made to the accompanying drawings, which form a part of this application. The

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drawings show, by way of illustration, specific embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

# [0051] NETWORK ACCESS DEVICES

[0052] Referring to FIG. 1a through FIG. 1d there is shown a plurality of illustrative network access devices. Each of the network access devices is configured to be capable of running a gaming application. For illustrative purposes the gaming application shown simulates the spinning reels of a slot machine.

[0053] The network access device in FIG. 1a is a personal computer 10 having a network interface card (not shown) that may be operatively coupled to a modem (not shown). Another network access device shown in FIG. 1b includes a television 12 operatively coupled to an interactive set-top box 14 that is operatively coupled to a cable network (not shown). The other network access device shown in FIG. 1c is a wireless device 16 such as a digital phone or personal digital system (PDA) or other such wireless device which is configured to communicate with a network using wireless networking protocols. Yet another network access device is shown in FIG. 1d and includes a gaming terminal 18 such as a slot machine on a casino floor that is operatively coupled to a plurality of other gaming terminals. It shall be appreciated by those skilled in the art of networking that the distinguishing feature between each of these network access devices is the type of communications protocols used by each device to enable communications between similar network access devices.

[0054] Each of the network access devices either includes a biometric input module operatively coupled to the network access device or includes a biometric input module communicatively coupled to the network access device. A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris

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and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

[0055] In one illustrative embodiment, the biometric input module is a fingerprint scanner 20 resident on the gaming terminal 18 wherein the biometric input is a fingerprint. In another illustrative embodiment, the biometric input module is the screen 22 of wireless device 16 wherein the screen is configured to receive a biometric input such as a user signature. In yet another illustrative embodiment, the biometric input module is a telephone 24 that is configured to receive a voice pattern from a user prior to engaging communications with the interactive set-top box 14. In yet another illustrative embodiment the biometric input module is a keyboard 26 operatively coupled to computer 10 wherein the user is requested to input a keystroke pattern. An illustrative example of a biometric input module operatively coupled to the network access device is shown in FIG. 1d having the fingerprint scanner 20 on the gaming terminal 18. An illustrative example of a biometric input module, e.g. the telephone 24, communicatively coupled to the network access device, e.g. the interactive set-top box 14, is shown in FIG. 1b.

[0056] The biometric input is used to prevent unauthorized gaming activity and efficiently store credits on the user's behalf. By way of example and not of limitation, unauthorized gaming activity includes underage gaming and a players with histories of gambling addiction. Additionally, player credits may be stored on a network so that the player does not need to carry coins, paper currency, coupons, credit cards or debits cards to play a game. It shall be appreciated by those skilled in the art having the benefit of this disclosure that different biometric input modules may be used in conjunction with different network access devices.

[0057] GAMING SYSTEM

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[0058] Referring to FIG. 2 there is shown a high level block diagram of a gaming system 30 in communication with a plurality of network access devices coupled to a network 32. The gaming system includes a verification system 34, a broadband gaming system 36 and a transactional system 38. The verification system 34 verifies that a user operating a network access device is a registered player. The broadband gaming system 36 performs the function of generating a game and broadcasting the game results to each of the network access devices. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system and conducting electronic fund transfers.

# [0059] Verification System

[0060] The verification system 34 verifies that a user desiring to play the game is a registered player. The verification system 34 communicates with the biometric input module and a network access device to generate user identification information. The user identification information includes information such as cryptographic keys that are necessary to securely identify the network access device. The user identification information also includes media access control (MAC) identification and confirmation of the user Internet Protocol (IP) address. The user identification information is compared to information in a registration database 40 by a verification server 42. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to either the broadband gaming system 36 or the transactional system 38.

[0061] In an alternative embodiment the user identification information is housed in a smart card (not shown) that is in communication with the verification system 34. The smart card includes a stored biometric which is used to identify the user as a player. Cryptographic keys are then exchanged between the verification system 34 and the smart card to provide the player access to either the broadband gaming system or the transactional system 38.

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[0062] Referring to FIG. 3 there is shown an illustrative biometric input module 50. By way of example, the illustrative biometric input module 50 is a fingerprint scanner. It shall be appreciated by those skilled in the art having the benefit of this disclosure that the use of the fingerprint scanner as the illustrative biometric input module is not restrictive. A scanned fingerprint image is collected by the biometric input 52. After the scanned fingerprint image is collected, the fingerprint image is compressed by the compression module 54. A memory module 56 provides fast memory resources for the compression of the fingerprint image. After compression, the fingerprint image is encrypted by the encryption module 58 for downstream transmission. The encryption module 58 also includes a memory module 60 that provides fast memory resources for the encryption of the compressed fingerprint image. An encrypted compressed fingerprint image is then communicated to network 32 (see FIG. 2) using the network interface module 62.

[0063] Referring to FIG. 4 there is shown a block diagram of the verification system 34. The verification system is operatively coupled to network 32 with network interface module 64. The network interface module 64 is configured to receive user identification information generated by the network access devices and from the biometric input module. Preferably, the biometric and other user identification information received by the verification system is an encrypted biometric that is decrypted by decryption module 66. A memory module 68 is preferably a fast memory that expedites the decryption process. After decryption the biometric and remaining user identification information is processed by the verification server. It shall be appreciated by those skilled in the art that the verification server 42 may house the network interface module 64, decryption module 66 and the memory module 68. The verification server 42 is also in operative communication with a registration database 40. The verification server 42 performs the function of matching the user identification information collected from the network access device with the player information in the registration database 40. Additionally, the verification server 42 performs the caching functions needed to ensure that once a

player has been identified during an initial game, subsequent usage by the same player proceeds quickly.

[0064] Preferably, the verification server 42 identifies registered players using a biometric template of the registered player residing on the registration database 40. The registered players are referenced with Personal ID numbers. When a transaction is undertaken the user firstly calls up the particular template from the registration database 40 by inputting a Personal ID. The Personal ID includes a particular number, user ID, password or other such identification techniques. The inputting of the Personal ID is accomplished with a familiar numeric keypad, keyboard, magstripe card or smart card. The correct template is called and held in memory ready for comparison with the biometric sample provided by the user. A comparison takes place that results in a binary true or false condition as to the identity of the user. The user is in effect claiming an identity by inputting the Personal ID and the system is subsequently verifying that the claim is genuine according to the matching criteria setup within the system.

[0065] Referring to FIG. 5 there is shown the registration data fields 70 and user submitted data fields 72. The registration data fields 70 include data fields that comprise the user identification information. The registration data fields include user identification information such as player name, address, user name, password, credit card information, and the date and time of the registration. The player biometric and Personal ID also comprises the user identification information and provides unique information about the player. The Personal ID may be the same as the user name or password. It shall be appreciated by those skilled in the art that some biometric information may be compressed. Furthermore, the user identification information includes data about the network access device and the network connection such as MAC ID, IP addresses, browser type, any cookies resident on the network access device, etc. Finally, the user identification system includes cryptographic keys which are used to encrypt and decrypt the communications between the verification system and each of the network access devices.

[0066] The user submitted data fields 72 mirror the registration data fields 70. The user submitted data fields receive data generated by a user that is attempting to access the broadband gaming system 36. The user submitted information is carefully analyzed to ensure that a valid user is being identified. It is well known that the connection of one network access device to another network access device generates security concerns. Preferably, the present verification system operates using a fast hardware-type firewall that performs a stateful multilayer inspection. In its preferred embodiment the firewall provides packet filtering using a secure protocol such as IPSec. This protocol provides encryption of the data at the packet level as well as at the source address level. Without access to the encryption keys, a potential intruder would have difficulty penetrating the firewall. Additionally, it would be preferable to provide a circuit level gateway and an application level gateway. The circuit level gateway works on the session layer of the OSI model or the TCP layer of the TCP/IP model and monitors TCP handshaking between packets to determine whether a requested session is legitimate. The application level gateway filters data packets at the application layer of the OSI model. A stateful multilayer inspection firewall offers a high level of security, good performance and transparency to end users.

[0067] Referring to FIG. 6 there is shown the player data fields 74 that are generated by the broadband gaming system and the transactional system after the user has been verified to be a registered player. The player data fields 74 are used to generate a player matrix which is used as an additional internal security measure. The player data fields 74 include a Player ID that identifies the player, a timestamp that provides the date, time in and time out by the player during the game. Additionally, the type of game, credits played, and credits remaining are monitored. Based on the level of player activity a bonus is provided to the player. Further still the session time for each type of game and the amount played during the session is monitored to better define the type of games the players' like. Transactional information is also monitored and updated, preferably, by the transactional system 38. The transactional information includes credit card information, transaction requests, transaction approval, conversion of monetary funds to credits for playing

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the game, any transfers of credits for playing the game, and conversions from credits to monetary funds that are credited to the player's financial account. Preferably, communications between the transactional system and the broadband gaming system are conducted in a secure environment using cryptographic keys. Although the use of cryptography within the private network may appear excessive, one of the greatest security threats within a private network comes from its own employees. Therefore, it is preferable to use internal firewalls for communications between the broadband gaming system, the transactional system and the verification system.

# [0068] Broadband Gaming System

[0069] A more detailed drawing of the broadband gaming system is provided in FIG. 7. The dashed boundary in FIG. 7 defines the broadband gaming system 36. After player verification is completed at the verification system 34, the broadband gaming system 34 is engaged. The broadband gaming system 34 includes a player buffer 84 configured to receive the players who will be playing the game. The player buffer 84 generates an initial player matrix with player data fields 74.

[0070] A countdown timer 82 is coupled to the player buffer 80. Preferably, the countdown timer 82 is also displayed to the player. The countdown timer 82 provides a window of time within which players may join the game. The players that have joined the game before the end of the timing period are stored in the buffer. When the timing period reaches zero the initial player matrix is communicated to the transactional system 38 and to the gaming module 84.

[0071] The gaming module 84 provides a game that is played by the plurality of players. The game may include a plurality of different games and the type of game is not restrictive to this invention. Preferably, the gaming module 84 includes at least one random number generator 86 and a paytable module 88.

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[0072] The random number generator 86 is operatively coupled to the player buffer. The random number generator 86 generates at least one random number that is stored in the player matrix. In one embodiment, at least one random number is generated for the plurality of players playing the game. In an alternative embodiment, at least one random number is generated for each player. In yet another embodiment, a plurality of random numbers are generated that are applied to the plurality of players playing the game. Preferably, the random number generator 86 is a fast hardware module.

[0073] A paytable module 88 is operatively coupled to the random number generator 86. The paytable module 88 is a programmable module that determines the type of prize awarded to the player based on the random number generated by the random number generator 86. In one embodiment, the paytable module 88 is a field programmable gate array. Preferably, the paytable module 88 also includes an image ID that is associated with the outcome determined by the paytable module 88.

[0074] A gaming output module 90 revises the player matrix to include the outcome for each player. Additionally, the gaming output module 90 groups the players according to the image ID. Based on the results generated by the gaming module 84, the gaming output module 84 generates a final player matrix that is communicated to the transactional server 38 and to a memory module 92.

[0075] Preferably, the memory module 92 has stored a plurality of images in a fast memory by the time the final player matrix is communicated to the memory module 92. In operation, the memory module 92 is enabled before the final matrix is communicated to the memory module 92. By way of example, when the game is engaged the memory module 92 begins the process of finding the applicable images associated with the image IDs in the mini-video server 94 and transferring the images to the fast memory module 92. Thus, when the gaming output is received by the memory, the images are stored in the fast memory module 92. In one embodiment, the memory module 92 then broadcasts the images to encoders 96 and 98. In an alternative embodiment, the memory module 92 is operatively coupled

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to an intelligent router (not shown) that routes the images to the appropriate encoders 96 and 98.

[0076] The appropriate encoder then receives the images and converts them to a format which meets the requirements for the appropriate network access device. By way of example, an IP encoder 96 encodes a plurality of JPEG images for viewing on a conventional web browser, and an MPEG encoder 98 encodes the plurality of JPEG images into an MPEG stream that is viewed on a television via an interactive set-top box.

[0077] An encryption module 100a and 100b operatively coupled to encoder 96 and 98, respectively, then receives the encoded images and encrypts the encoded images in manner well known to those skilled in the art. A modulation module 102a and 102b is operatively coupled to encryption modules 100a and 100b, respectively, then modulates encrypted encoded images for downstream transmission in a manner well known to those skilled in the art.

[0078] Preferably, the broadband gaming system occupies one downstream band, i.e. one 6 or 8 MHz band, in the interactive set-top-box environment. In the web based broadcast environment, the broadband gaming system occupies a downstream channel much like a standard streaming media website.

[0079] It shall be appreciated by those skilled in the art having the benefit of this disclosure that the broadband gaming system can play more than one game at a time. The system may be designed to operate in a multi-tasking mode where more than one game is played at a time. Additionally, the system may be designed to operate in a fast serial mode in which a game is played while the countdown timer is waiting for the next queue to be filled.

# [0080] Transactional System

[0081] Referring back to FIG. 2, there is shown the transactional system 38 which comprises a transactional server 110 and a transactional database 112. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system. Additionally, the transactional system 38 is configured to authorize and conduct electronic fund transfers. Furthermore, the transactional system 38 performs such operations as player tracking, managing loyalty programs, engaging bonus games, determining bonus prizes and interfacing with accounting programs.

# [0082] METHOD FOR REGISTERING A PLAYER

[0083] Referring to FIG. 8 there is shown a flowchart of the registration method for the gaming system 30. The registration method 150 begins when a prospective player first accesses a website, channel, kiosk or other such registration terminals as described in block 152. The method then proceeds to block 153.

[0084] At block 153, the registration process is initiated. By way of example and not of limitation, a registration terminal may provide a hyperlink to a registration window that prompts the prospective player for information. The method then proceeds to block 154.

[0085] At block 154, the prospective player provides registration identification information such as name, address, credit card number and other information necessary to create a registration file for the prospective player. The method then proceeds to block 156.

[0086] At block 156, the prospective player is prompted for a personal ID. The personal ID may be a user ID, a password, a numeric combination, or any other such identification information. The personal ID is used during the verification

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process to identify a biometric template for the prospective player. The method then proceeds to block 158.

[0087] At block 158, the prospective player submits a biometric to the registration terminal. By way of example and not of limitation the biometric is a fingerprint. Any other biometric may also be used. The method then proceeds to block 160 or 162.

[0088] At block 160, the biometric input is compressed and encrypted. It is preferable for certain biometric inputs to <u>be</u> compressed such as fingerprint scans, retinal scans and other such scanning techniques. Other biometric inputs such as voice patterns, <u>and</u> signatures do not have to be compressed. The process of encrypting biometric inputs is necessary in an open network environment. The process of encrypting may not be necessary on a private proprietary network. Therefore, it shall be appreciated by those skilled in the art having the benefit of this disclosure that the compression and encryption processes in block 160 may not be necessary for every biometric input.

[0089] At block 162, the prospective player information is stored in the verification system and a player profile is updated accordingly. Alternatively, the prospective player information is stored on a smart card. The method then proceeds to block 164.

[0090] At block 164, security information about the registration terminal is collected. The registration information identifies the registration terminal as being a secure terminal. The registration terminal provides information such as the MAC ID for the biometric input module, the IP address for the server communicating with the registration terminal, and the cryptographic keys associated with the registration terminal. The registration terminal includes the network access devices described in FIG. 1*a* through FIG.1*d* as well as kiosks and other such registration terminals.

[0091] At block 166, the prospective player is identified as a registered player and the registration database 40 is updated accordingly. The registration process is

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broken out into separate components for security purposes. Once a validly registered player is identified by the verification system, the registration process is completed.

# [0092] METHOD FOR PLAYER VERIFICATION

[0093] Referring to FIG. 9 there is shown a method 170 for player verification used by the verification system 34. The player verification process includes receiving user identification information from a network access device. The method is initiated at block 174 when a user accesses a website or channel displaying the game. The method then proceeds to block 176.

[0094] At block 176, the personal ID is provided by the user. The personal ID is used by the verification system to find a biometric template for determining whether the user is a registered player. The method then proceeds to block 178.

[0095] At block 178, the biometric input module of the network access device receives a biometric from the user. As previously described the biometric input module can be one of plurality of biometric inputs. Depending on the type of biometric, the biometric may be compressed as described by block 180 and encrypted as described by block 182. At block 184, the biometric and the personal ID is then communicated through a network 32 to the verification system 34. Alternatively, the biometric and Personal ID is communicated to a smart card for verification.

[0096] At block 186, the verification system 34 requests security information from the network access devices. The security information identifies the network access devices as being a valid network access device. The method then proceeds to block 188.

[0097] At block 188, the verification system 34 processes the security information to ensure that the security information is generated by the appropriate network access

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device, and to ensure that the security information has not been compromised. Preferably, the verification system 34 performs a stateful multilayer inspection as described above. The method then proceeds to block 190.

[0098] At block 190, the user submitted player information is compared to the registered player information. If a determination is made at decision diamond 192 that the submitted player information is not a valid registered player the method proceeds to block 194. At block 194, the user is requested to re-input the biometric. If the biometric is input more than three times, as provided by decision diamond 196, the user is requested to contact customer service.

[0099] If a match is found at decision diamond 192 between the user submitted information and the registered player information, the user is identified as a valid player, and then the player proceeds to the broadband gaming system 36.

# [0100] METHOD FOR OPERATION OF BROADBAND GAMING SYSTEM

[0101] Referring to FIG. 10 and FIG. 11 there is shown a flowchart 200 of the information processed by the broadband gaming system 34. The process is engaged by performing the verification process in which the verification system identifies a player as in block 201. After the verification process has been completed the method proceeds to block 202.

[0102] At block 202, the players who desire to play a particular game are stored in a buffer until the particular game is engaged. The method then proceeds to decision diamond 204.

[0103] At decision diamond 204, the countdown timer 82 determines if the period during which the game is open has been closed. If the game remains open, additional players may be received by the broadband gaming system. If the game is closed because the period during which the game is open has expired, then the method proceeds to block 206.

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[0104] At block 206, the initial player matrix described above is generated. The initial player matrix includes information about the player, the type of game, and other such information about the game as described by the player data fields 74 shown in FIG. 6. The initial player matrix is then communicated to block 208 which transmits the initial player matrix to the transactional system for validation. Additionally, the initial player matrix is communicated to the next block 210 in the broadband gaming system which starts the gaming module.

[0105] At block 210, the initial player matrix is received by the gaming module 84 and the gaming module 84 is engaged. At a minimum the gaming module 84 comprises a random number generator 86 and a paytable module 88. The random number generator generates at least one random number that is used during the game. The paytable module 88 is used to determine the prize associated with the at least one random number.

[0106] Referring to FIG. 11, a continuation of the broadband gaming system method is shown. By way of example, the gaming module may comprise a plurality of different random number generators. The blocks 214 and 216 describe the processes performed by a random number generator and a paytable module, respectively. The random number generator 86 of block 214 determines the winning combination of numbers for the game. At block 216, the paytable module 88 is used to determine the prize awarded to the player. Preferably, the paytable module 88 is also configured to provide image IDs that identify the images associated with the prize. Preferably, the paytable module 88 is resident in both the broadband gaming system and the transactional system. The purpose for this redundancy is as a security check for output generated by the gaming module. The method then proceeds to block 218.

[0107] At block 218 the player outputs with the same image IDs are grouped together. The grouping process is performed to simplify the broadcasting of the images to the plurality of players. By grouping the players according to the same

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image ID and having identified the network access device used by the player, a dynamic broadcasting method is created which occupies minimal downstream bandwidth. The method then proceeds to block 220.

[0108] At block 220 a final player matrix is completed. The final player matrix includes the same data fields as the initial player matrix. Additionally, the final player matrix includes the random number output and the paytable output. The final player matrix is then communicated to the transactional system as described in block 222. The method then proceeds to decision diamond 224.

[0109] At decision diamond 224, a validation procedure is conducted. The validation procedure essentially compares the transactional system's reverse calculation of the random numbers with the random numbers generated by the gaming module. If the random numbers in the transactional system are not the same or similar to the random numbers generated by the random number generator, a system failure or security breach is detected. If a security breach or system failure is detected, the method then proceeds to process block 226, which initiates diagnostic procedures. If the random numbers match, then the method proceeds to block 228.

[0110] At block 228, the plurality of images is broadcast. The images are preferably broadcast along one downstream channel for each network access device. However, traffic considerations may require the use of a plurality of downstream channels. By way of example, for DOCSIS and DSL type downstream transmissions, the streaming video preferably occupies a portion of the bandwidth available for a cable modem or DSL modem, respectively. In an alternative example, for an interactive set-top box environment, the downstream channel preferably occupies one 6 MHz or 8 MHz band or a portion of the 6 MHz or 8 MHz band. The method then proceeds to the next block 230.

[0111] At block 230, the broadcast images are encoded for downstream transmission. It shall be appreciated by those skilled in the art having the benefit of this disclosure that downstream transmission systems are well known and can be

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easily integrated into the systems and method described in this patent. The method then proceeds to block 232.

[0112] At block 232, the broadcast images are encrypted for downstream transmission. The purpose for downstream encryption is to prevent unauthorized access to the downstream signal. It shall be appreciated by those skilled in the art that various secure systems and methods for downstream transmission of images are well known.

[0113] It shall be appreciated by those skilled in the art having the benefit of this disclosure that a plurality of games may be played simultaneously. The games may be played in a distributed/parallel manner or in serial manner.

# [0114] AN ILLUSTRATIVE GAME

[0115] An illustrative game is described to show how the system and method described above operates. The illustrative game described herein is a progressive slot machine. It is well-known that in the United States many states have legalized lottery games even though other games of chance such as progressive slot machines have not been legalized. It is also well-known that in casino gaming floors the most popular games are progressive slot machines. The present illustrative game operates on the system and method described above and provides an output similar to a progressive slot machine with a lottery type input.

[0116] The illustrative game includes first having a player provide a plurality of letters or numbers that are either generated by the player or are selected in a random manner. The random number generator of the gaming module is then engaged and a gaming module random number is generated. Preferably, the order that the random numbers were generated is used to determine the prize awarded to the player. A programmed paytable is then used to compare the player selected numbers to the gaming module random numbers according to the rules programmed into the paytable module. Based on the results of this comparison a prize is

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awarded to the player. An image ID is associated with the prize awarded. The plurality of players are then grouped according to their respective image IDs. A broadcast stream for the plurality of images associated with each image ID is broadcast to each player.

[0117] A more concrete example includes having a player select a plurality of numbers, such as the numbers below:

25 35 8 15 42

[0118] The random number generator of the gaming module is then engaged. By way of example the random number results are:

56 2 3 8 42

[0119] The paytable module is then programmed to interpret the random numbers generated by the gaming module according to the following illustrative rules:

[0120] 1. If a match between one number is achieved, then a prize of 1X the initial bet credit is awarded and an image ID X023-1396 is used. Image ID X023-1396 is an animated plurality of images representing three cherries.

[0121] 2. If a match between one number at the same location is achieved, then a prize of 2X the initial bet credit is awarded and an image ID X023-1397 is used. Image ID X023-1397 is an animated plurality of images representing four cherries.

[0122] 3. If a match between a first number is achieved and a match between a second number is achieved, then a prize of 5X the initial credit is awarded and an image ID X023-1998 is used. Image ID X023-1998 is an animated plurality of images representing 3 oranges.

[0123] 4. If a match between a first number at the same location is achieved and a match between a second number is achieved, than a prize of 7X the

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initial credit is awarded and an image ID X023-1999 is used. Image ID X023-1999 is an animated plurality of images representing 4 oranges.

[0124] Thus, for the illustrative example provided above, the player having selected the numbers: 23, 35, 8, 15 and 42 is entitled to a prize of 7X the initial credit for a random number: 56, 2, 3, 8, and 42. The associated images displayed on the network access device is an animated plurality of images representing 4 oranges.

#### CONCLUSION

It can now be seen that the present invention solves many of the problems associated with the prior art. The present invention provides a verification system that uses biometrics to identify the player. The present invention provides a broadband gaming system that communicates with a plurality of different network access devices. Furthermore, the present invention provides a system and method for generating a combined jackpot in an open network environment across a broad geographical area.

Although the description above contains many specifications, these should not be construed as limiting the scope of the invention but as merely providing illustrations of some of the presently preferred embodiments of this invention. The specification, for instance, makes reference to bonus prizes. However, the present invention is not intended to be limited to bonus prizes. Rather it is intended that the present invention can be used independently as a stand-alone game. Thus, the <u>The</u> scope of the invention should be determined by the appended claims and their legal equivalents rather than by the examples given.

#### **ABSTRACT**

The present invention is a biometric broadband gaming system and method that comprises a verification system, a broadband gaming system and a transactional system. The verification system operations include receiving a biometric input to ensure that a user is a registered player. The broadband gaming system operations include providing a game of skill or game of chance to a plurality of players communicating via different network access devices. The transactional system operations include managing transactions conducted by the verification system and the broadband gaming system.

A gaming system server and method for generating a game output with a gaming system are described. The server includes at a registration database configured to store registration data associated with each user, a verification system communicatively connected with the at least one network access device and the registration database, a video system, and a gaming module. The verification system verifies that the user associated with the network access device is a registered user and verifies security information received from the network access device. The video system stores a plurality of images corresponding to at least one game type. The gaming module generates at least one random game output associated with at least one game outcome, and communicates the plurality of images corresponding to the at least one game outcome to the at least one network access device.

# **SUBSTITUTE SPECIFICATION (without Markings)**

This Substitute Specification without markings contains no new matter.

# **PATENT APPLICATION**

# GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

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# GAMING SYSTEM NETWORK AND METHOD FOR GENERATING A GAME OUTPUT

#### CROSS REFERENCES TO RELATED APPLICATIONS

[0001] This patent application is a continuation of 10/681,034, filed October 8, 2003 which is a continuation of patent application 09/899,559 having a filing date of July 5, 2001, now abandoned, which claims the benefit of provisional patent application 60/266,956 filed February 6, 2001.

#### **BACKGROUND**

[0002] 1. Field

[0003] The present invention is an interactive gaming system network and method for delivering gaming media. More particularly, the interactive gaming system and method operates in a networked environment that interfaces with a gaming server and a video server.

## [0004] 2. Description of Related Art

[0005] The related art includes gaming devices, on-line gaming, networked interactive gaming, and biometrics.

# [0006] Gaming Devices

[0007] For purposes of this patent, the term "gaming" shall refer to either gambling and/or gaming applications. Gaming devices include games of skill and games of chance. Games of chance include many casino-type gaming devices in which the outcome of the game depends, at least in part, on a randomly generated event. For example, a game of chance may use a random number generator to generate a random or pseudo-random number. The random number may then be compared to a predefined table to determine the outcome of the event. If the random number falls within a certain range of numbers on the table, the player may win a predefined

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prize. The table may also contain display information that allows the gaming device to generate a display that corresponds to the outcome of the game. The gaming device may present the outcome of the game on a large variety of display devices, such as mechanical spinning reels or video screens.

[0008] Games of skill comprise a skill component in which a player combines letters or words (word puzzles), answers questions (trivia), overcomes challenges (video games), competes with other players (networked video games), and the like. Generally, a game of skill is a game requiring a level of skill which does not rely solely on chance. Some games of skill require a high degree of expertise and knowledge and other games of skill require very limited expertise or knowledge.

## [0009] On-Line Gaming

[0010] In June 2001, Nevada signed a bill that could result in Nevada being the first state to offer legalized gambling over the Internet. The new law authorizes state gaming regulators to set up an infrastructure to license and oversee online gaming in Nevada when such gaming becomes legal. Online gaming is a federal issue whose legality is unclear at present.

[0011] A variety of technological limitations have been asserted as preventing Congress's endorsement of on-line gaming. These technological limitations are related to the prevention of underage gambling, controlling of gambling addiction, and ensuring the security and reliability of on-line gaming.

[0012] To prevent underage gambling prior art systems and methods use passwords, user IDs, credit cards and "click-through" agreements that ask the player to agree to being of legal gambling age by clicking on a button. Presently, there are no systems and methods to control on-line gambling addiction. With respect to ensuring that on-line gaming is secure and reliable, prior art systems and methods use various cryptographic techniques such as RSA encryption, digital certificates, or other similar well known cryptographic methods. These cryptographic methods are

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helpful in ensuring secure communications, however these cryptographic methods do not ensure that the individual accessing the on-line game is a valid user.

[0013] In view of the prior art systems, a minor or other unauthorized individual simply needs a user ID and a corresponding password to access a gaming site. The obtaining of a user ID and password is a relatively simple task as this information is generally not modified. Commonly the user ID information is acquired by identifying the web site's naming convention for the player. The player password can be easily determined by remembering the pattern of keys typed by the player during the log-on procedures or by simply requesting the password from the player as part of a diagnostic procedure. The latter is a trick commonly used by hackers to access a system. The password problem may be overcome by modifying the password on a regular basis, however the player must then remember the modified password. Should the player forget the password a new password is mailed. During the mailing process it is common for e-mail to be easily intercepted in cyberspace. Additionally, it is common for unauthorized users to simulate being at a certain location by submitting an IP address that identifies an authorized user.

[0014] Therefore, a better system and method for identifying a valid user is needed. Additionally, it would be beneficial to provide a gaming system and method that would prevent underage gambling, be simple to implement, prevent gambling addiction, and provide a higher degree of security and reliability from unauthorized users.

# [0015] Networked Interactive Gaming

[0016] Networked interactive gaming in an open networked environment such as the Internet is well-known. However, interactive gaming in an open network such as the Internet is confined to communicating with other devices using the same TCP/IP protocols. Currently networked interactive gaming systems using the TCP/IP protocol are not configured to communicate with interactive set-top boxes using MPEG protocols.

[0017] Networked interactive gaming in an open networked environment using traditional security methods such as secure socket layers and digital certificates are well known. However, networked interactive gaming in an open networked environment using traditional security methods does not prevent gambling from a minor having acquired a parent's user ID and password without the parent's consent.

[0018] Networked interactive gaming using LANs and WANs for progressive slot machines having large jackpots are also well-known. However, networked interactive systems using LANs and WANs for progressive slot machines generally exist in a highly secure proprietary network environment. Thus, the creation of a progressive slot machine with a large jackpot in an open network environment is not well known.

# [0019] Biometrics

[0020] A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

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[0021] Biometric applications for games of skill and games of chance are limited. For example biometric gaming applications are taught in U.S. Pat. 6,010,404 granted to Walker et al. teaches a method and apparatus for using player input codes (e.g., numeric, biometric or physical) to affect the outcomes of electronic gambling devices, such as slot machines. Additionally, U.S. Pat. No. 6,142,876 granted to Cumbers teaches a system and method for passively tracking the play of players playing gaming devices such as slot machines. Players provide identification information and facial recognition data is acquired by a digital or video camera. For each player an account file and a file of the facial image data is stored. When the player plays the slot machine, a camera scans the player and acquires facial image data which is compared to stored data to identify the player. Furthermore, U.S. Patent No. 5,902,983 granted to Crevelt et al. teaches a gaming machine configured to perform EFT transactions which are limited to preset amounts. The patent teaches the use of a fingerprint imaging device, and retinal scans for verifying a player's identity.

[0022] Although biometric applications for gaming applications are known, biometric applications for on-line gaming systems are not known. Furthermore, the managing of biometric information and gaming information in an open network environment are not known. Additionally, the use of biometrics in a gaming system and method to prevent underage gambling and prevent gambling addiction is not known.

#### SUMMARY

[0023] A gaming system server is described. The server registration database configured to store registration data associated with each user registered to use the gaming system. A verification system is communicatively connected with the at least one network access device and the registration database. The verification system is configured to receive user identification information from the at least one network access device, receive security information from the at least one network access device, verify that the user associated with the network access device is a

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registered user by comparing the user identification information to the registration data, and verify the security information received from the network access device. The server also has a video system configured to store a plurality of images corresponding to at least one game type. A gaming module of the server is configured to generate at least one random game output. The at least one random game output is associated with at least one game outcome. The gaming system is also configured to communicate the plurality of images corresponding to the at least one game outcome to the at least one network access device.

[0024] In another embodiment, the gaming system server is described. The server comprises a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device.

[0025] A method for generating a game output with a gaming system server is also described. The method comprises receiving user identification information associated with at least one network access device at a verification system. The method further comprises receiving security information associated with the at least one network access device at a verification system. The verification system verifies that the at least one network access device user is a registered user by comparing the user identification information to registration data stored in a registration database. The verification system also verifies the security information received from the at least one network access device. The method also comprises generating with a gaming system at least one random game output. The at least one random game output is associated with at least one game outcome. The gaming system communicates the plurality of images corresponding to the at least one game outcome from the gaming system to the at least one network access device.

[0026] One advantage of the present invention is that it provides a system and method to prevent underage gambling.

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[0027] A further advantage of the present invention is that it provides a more secure and reliable and secure gaming system and method.

[0028] Another advantage of the present invention is that it provides a system and method for managing biometric information and gaming information in an open network environment.

[0029] Another advantage of the present invention is that it permits a plurality of users in a geographically broad area to play the same game.

[0030] A further advantage of the present invention is that it provides a pseudo-real time gaming system and method.

[0031] Another advantage of the present invention is that it simulates a game of chance such as a slot machine in an on-line environment.

[0032] An additional advantage of the present invention is that it provides a networked jackpot.

## **BRIEF DESCRIPTION**

[0033] A networked gaming system that comprises a verification system, a broadband gaming system and a transactional system is described. The verification system operations include ensuring that a user is a registered player by using a biometric input. The broadband gaming system operations include managing and performing at least one game. The transactional system operations include providing oversight for each transaction conducted by the verification system and the broadband gaming system.

[0034] A verification system for playing the networked gaming system is described. The networked games include games of chance and games of skill. The verification system communicates with a biometric input module and a network access device to

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generate a user identification information. The user identification information is compared to information in a registration database. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to both the broadband gaming system and the transactional system.

[0035] A broadband gaming system which is in communication with the verification system is described. The broadband gaming system includes a buffer which stores information about players who desire to play a game. The buffer is operatively coupled to a random number generator that generates a random number for each player in the buffer. A paytable module in communication with the random number generator determines the outcome associated with the random number generator. The paytable also determines which images are associated with the outcome for each player. Preferably, the images are stored on a mini video server and then cached in a memory module. The images are intelligently buffered for downstream communications. In its preferred embodiment, a plurality of encoders are operatively coupled to the memory module caching the broadcast video streams. The plurality of encoders encode the broadcast downstream images according the requirements for each network access device. Each encoder is operatively coupled to an encryption module that encrypts the broadcast. A modulation module is operatively coupled to the encryption module and modulates encrypted images for downstream transmission. Each network access device includes a tuner, a demodulation module, and a decryption module that permits an image to be viewed by the network access device.

[0036] A transactional system and method that ensures secure communications occur in the verification system and the broadband gaming system <u>is described</u>. The transactional system also performs accounting, bonusing, tracking and other such functions. Preferably, the transactional system is capable of receiving a plurality of funds from a financial account and converting them to credits that are used in the broadband gaming system.

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[0037] The above description sets forth, rather broadly, the more important features of the present invention so that the detailed description of the preferred embodiment that follows may be better understood and contributions of the present invention to the art may be better appreciated. There are, of course, additional features of the invention that will be described below and will form the subject matter of claims. In this respect, before explaining at least one preferred embodiment of the invention in detail, it is to be understood that the invention is not limited in its application to the details of the construction and to the arrangement of the components set forth in the following description or as illustrated in the drawings. The invention is capable of other embodiments and of being practiced and carried out in various ways. Also, it is to be understood that the phraseology and terminology employed herein are for the purpose of description and should not be regarded as limiting.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0038] Preferred embodiments of the present invention are shown in the accompanying drawings wherein:

[0039] FIG. 1a through FIG. 1d provide diagrams of a plurality of network access devices.

[0040] FIG. 2 is a high level diagram of a gaming system networked to a plurality of network access devices.

[0041] FIG. 3 is a block diagram of an illustrative biometric input module.

[0042] FIG. 4 is a block diagram of a gaming system configured to receive a biometric input from a network access device.

[0043] FIG. 5 is a table of the data fields in a verification system.

[0044] FIG. 6 is a table of the data fields in a broadband gaming system and in a transactional system.

[0045] FIG. 7 is a block diagram of a broadband gaming system.

[0046] FIG. 8 is a flowchart of the registration method for the gaming system.

[0047] FIG. 9 is a flowchart of the verification method for the gaming system.

[0048] FIG. 10 is a flowchart of the information processed by the gaming system.

[0049] FIG. 11 is a continuation of the flowchart of the information processed by the gaming system in FIG. 10.

### **DETAILED DESCRIPTION**

[0050] In the following detailed description of the preferred embodiments, reference is made to the accompanying drawings, which form a part of this application. The drawings show, by way of illustration, specific embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

## [0051] NETWORK ACCESS DEVICES

[0052] Referring to FIG. 1a through FIG. 1d there is shown a plurality of illustrative network access devices. Each of the network access devices is configured to be capable of running a gaming application. For illustrative purposes the gaming application shown simulates the spinning reels of a slot machine.

[0053] The network access device in FIG. 1a is a personal computer 10 having a network interface card (not shown) that may be operatively coupled to a modem (not

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shown). Another network access device shown in FIG. 1b includes a television 12 operatively coupled to an interactive set-top box 14 that is operatively coupled to a cable network (not shown). The other network access device shown in FIG. 1c is a wireless device 16 such as a digital phone or personal digital system (PDA) or other such wireless device which is configured to communicate with a network using wireless networking protocols. Yet another network access device is shown in FIG. 1d and includes a gaming terminal 18 such as a slot machine on a casino floor that is operatively coupled to a plurality of other gaming terminals. It shall be appreciated by those skilled in the art of networking that the distinguishing feature between each of these network access devices is the type of communications protocols used by each device to enable communications between similar network access devices.

[0054] Each of the network access devices either includes a biometric input module operatively coupled to the network access device or includes a biometric input module communicatively coupled to the network access device. A biometric is a measurable psychological and/or behavioral trait that can be captured and subsequently compared with another instance at the time of verification. This definition includes the matching of fingerprints, voice patterns, hand geometry, iris and retina scans, vein patterns and other such methodologies. For purposes of the invention described heretofore, the definition of biometrics also includes signature verification, keystroke patterns and other methodologies weighted towards individual behavior.

[0055] In one illustrative embodiment, the biometric input module is a fingerprint scanner 20 resident on the gaming terminal 18 wherein the biometric input is a fingerprint. In another illustrative embodiment, the biometric input module is the screen 22 of wireless device 16 wherein the screen is configured to receive a biometric input such as a user signature. In yet another illustrative embodiment, the biometric input module is a telephone 24 that is configured to receive a voice pattern from a user prior to engaging communications with the interactive set-top box 14. In yet another illustrative embodiment the biometric input module is a keyboard 26 operatively coupled to computer 10 wherein the user is requested to input a

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keystroke pattern. An illustrative example of a biometric input module operatively coupled to the network access device is shown in FIG. 1d having the fingerprint scanner 20 on the gaming terminal 18. An illustrative example of a biometric input module, e.g. the telephone 24, communicatively coupled to the network access device, e.g. the interactive set-top box 14, is shown in FIG. 1b.

[0056] The biometric input is used to prevent unauthorized gaming activity and efficiently store credits on the user's behalf. By way of example and not of limitation, unauthorized gaming activity includes preventing underage gaming and prohibiting players with histories of gambling addiction. Additionally, player credits may be stored on a network so that the player does not need to carry coins, paper currency, coupons, credit cards or debits cards to play a game. It shall be appreciated by those skilled in the art having the benefit of this disclosure that different biometric input modules may be used in conjunction with different network access devices.

## [0057] GAMING SYSTEM

[0058] Referring to FIG. 2 there is shown a high level block diagram of a gaming system 30 in communication with a plurality of network access devices coupled to a network 32. The gaming system includes a verification system 34, a broadband gaming system 36 and a transactional system 38. The verification system 34 verifies that a user operating a network access device is a registered player. The broadband gaming system 36 performs the function of generating a game and broadcasting the game results to each of the network access devices. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system and conducting electronic fund transfers.

## [0059] Verification System

[0060]\_The verification system 34 verifies that a user desiring to play the game is a registered player. The verification system 34 communicates with the biometric input

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module and a network access device to generate user identification information. The user identification information includes information such as cryptographic keys that are necessary to securely identify the network access device. The user identification information also includes media access control (MAC) identification and confirmation of the user Internet Protocol (IP) address. The user identification information is compared to information in a registration database 40 by a verification server 42. If an acceptable match is made between the user identification information and the information in the registration database, the user is designated as a player. The player then has access to either the broadband gaming system 36 or the transactional system 38.

[0061] In an alternative embodiment the user identification information is housed in a smart card (not shown) that is in communication with the verification system 34. The smart card includes a stored biometric which is used to identify the user as a player. Cryptographic keys are then exchanged between the verification system 34 and the smart card to provide the player access to either the broadband gaming system or the transactional system 38.

[0062] Referring to FIG. 3 there is shown an illustrative biometric input module 50. By way of example, the illustrative biometric input module 50 is a fingerprint scanner. It shall be appreciated by those skilled in the art having the benefit of this disclosure that the use of the fingerprint scanner as the illustrative biometric input module is not restrictive. A scanned fingerprint image is collected by the biometric input 52. After the scanned fingerprint image is collected, the fingerprint image is compressed by the compression module 54. A memory module 56 provides fast memory resources for the compression of the fingerprint image. After compression, the fingerprint image is encrypted by the encryption module 58 for downstream transmission. The encryption module 58 also includes a memory module 60 that provides fast memory resources for the encryption of the compressed fingerprint image. An encrypted compressed fingerprint image is then communicated to network 32 (see FIG. 2) using the network interface module 62.

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[0063] Referring to FIG. 4 there is shown a block diagram of the verification system 34. The verification system is operatively coupled to network 32 with network interface module 64. The network interface module 64 is configured to receive user identification information generated by the network access devices and from the biometric input module. Preferably, the biometric and other user identification information received by the verification system is an encrypted biometric that is decrypted by decryption module 66. A memory module 68 is preferably a fast memory that expedites the decryption process. After decryption the biometric and remaining user identification information is processed by the verification server. It shall be appreciated by those skilled in the art that the verification server 42 may house the network interface module 64, decryption module 66 and the memory module 68. The verification server 42 is also in operative communication with a registration database 40. The verification server 42 performs the function of matching the user identification information collected from the network access device with the player information in the registration database 40. Additionally, the verification server 42 performs the caching functions needed to ensure that once a

player has been identified during an initial game, subsequent usage by the same

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player proceeds quickly.

[0064] Preferably, the verification server 42 identifies registered players using a biometric template of the registered player residing on the registration database 40. The registered players are referenced with Personal ID numbers. When a transaction is undertaken the user firstly calls up the particular template from the registration database 40 by inputting a Personal ID. The Personal ID includes a particular number, user ID, password or other such identification techniques. The inputting of the Personal ID is accomplished with a familiar numeric keypad, keyboard, magstripe card or smart card. The correct template is called and held in memory ready for comparison with the biometric sample provided by the user. A comparison takes place that results in a binary true or false condition as to the identity of the user. The user is in effect claiming an identity by inputting the Personal ID and the system is subsequently verifying that the claim is genuine according to the matching criteria setup within the system.

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[0065] Referring to FIG. 5 there is shown the registration data fields 70 and user submitted data fields 72. The registration data fields 70 include data fields that comprise the user identification information. The registration data fields include user identification information such as player name, address, user name, password, credit card information, and the date and time of the registration. The player biometric and Personal ID also comprises the user identification information and provides unique information about the player. The Personal ID may be the same as the user name or password. It shall be appreciated by those skilled in the art that some biometric information may be compressed. Furthermore, the user identification information includes data about the network access device and the network connection such as MAC ID, IP addresses, browser type, any cookies resident on the network access device, etc. Finally, the user identification system includes cryptographic keys which are used to encrypt and decrypt the communications between the verification system and each of the network access devices.

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[0066] The user submitted data fields 72 mirror the registration data fields 70. The user submitted data fields receive data generated by a user that is attempting to access the broadband gaming system 36. The user submitted information is carefully analyzed to ensure that a valid user is being identified. It is well known that the connection of one network access device to another network access device generates security concerns. Preferably, the present verification system operates using a fast hardware-type firewall that performs a stateful multilayer inspection. In its preferred embodiment the firewall provides packet filtering using a secure protocol such as IPSec. This protocol provides encryption of the data at the packet level as well as at the source address level. Without access to the encryption keys, a potential intruder would have difficulty penetrating the firewall. Additionally, it would be preferable to provide a circuit level gateway and an application level gateway. The circuit level gateway works on the session layer of the OSI model or the TCP layer of the TCP/IP model and monitors TCP handshaking between packets to determine whether a requested session is legitimate. The application level gateway filters data packets at the application layer of the OSI model. A stateful multilayer

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inspection firewall offers a high level of security, good performance and transparency to end users.

[0067] Referring to FIG. 6 there is shown the player data fields 74 that are generated by the broadband gaming system and the transactional system after the user has been verified to be a registered player. The player data fields 74 are used to generate a player matrix which is used as an additional internal security measure. The player data fields 74 include a Player ID that identifies the player, a timestamp that provides the date, time in and time out by the player during the game. Additionally, the type of game, credits played, and credits remaining are monitored. Based on the level of player activity a bonus is provided to the player. Further still the session time for each type of game and the amount played during the session is monitored to better define the type of games the players' like. Transactional information is also monitored and updated, preferably, by the transactional system 38. The transactional information includes credit card information, transaction requests, transaction approval, conversion of monetary funds to credits for playing the game, any transfers of credits for playing the game, and conversions from credits to monetary funds that are credited to the player's financial account. Preferably, communications between the transactional system and the broadband gaming system are conducted in a secure environment using cryptographic keys. Although the use of cryptography within the private network may appear excessive one of the greatest security threats within a private network comes from its own employees. Therefore, it is preferable to use internal firewalls for communications between the broadband gaming system, the transactional system and the verification system.

#### [0068] Broadband Gaming System

[0069]\_A more detailed drawing of the broadband gaming system is provided in FIG. 7. The dashed boundary in FIG. 7 defines the broadband gaming system 36. After player verification is completed at the verification system 34, the broadband gaming system 34 is engaged. The broadband gaming system 34 includes a player buffer 84

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configured to receive the players who will be playing the game. The player buffer 84 generates an initial player matrix with player data fields 74.

[0070] A countdown timer 82 is coupled to the player buffer 80. Preferably, the countdown timer 82 is also displayed to the player. The countdown timer 82 provides a window of time within which players may join the game. The players that have joined the game before the end of the timing period are stored in the buffer. When the timing period reaches zero the initial player matrix is communicated to the transactional system 38 and to the gaming module 84.

[0071] The gaming module 84 provides a game that is played by the plurality of players. The game may include a plurality of different games and the type of game is not restrictive to this invention. Preferably, the gaming module 84 includes at least one random number generator 86 and a paytable module 88.

[0072] The random number generator 86 is operatively coupled to the player buffer. The random number generator 86 generates at least one random number that is stored in the player matrix. In one embodiment, at least one random number is generated for the plurality of players playing the game. In an alternative embodiment, at least one random number is generated for each player. In yet another embodiment, a plurality of random numbers are generated that are applied to the plurality of players playing the game. Preferably, the random number generator 86 is a fast hardware module.

[0073] A paytable module 88 is operatively coupled to the random number generator 86. The paytable module 88 is a programmable module that determines the type of prize awarded to the player based on the random number generated by the random number generator 86. In one embodiment, the paytable module 88 is a field programmable gate array. Preferably, the paytable module 88 also includes an image ID that is associated with the outcome determined by the paytable module 88.

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[0074] A gaming output module 90 revises the player matrix to include the outcome for each player. Additionally, the gaming output module 90 groups the players according to the image ID. Based on the results generated by the gaming module 84, the gaming output module 84 generates a final player matrix that is communicated to the transactional server 38 and to a memory module 92.

[0075] Preferably, the memory module 92 has stored a plurality of images in a fast memory by the time the final player matrix is communicated to the memory module 92. In operation, the memory module 92 is enabled before the final matrix is communicated to the memory module 92. By way of example, when the game is engaged the memory module 92 begins the process of finding the applicable images associated with the image IDs in the mini-video server 94 and transferring the images to the fast memory module 92. Thus, when the gaming output is received by the memory, the images are stored in the fast memory module 92. In one embodiment, the memory module 92 then broadcasts the images to encoders 96 and 98. In an alternative embodiment, the memory module 92 is operatively coupled to an intelligent router (not shown) that routes the images to the appropriate encoders 96 and 98.

[0076] The appropriate encoder then receives the images and converts them to a format which meets the requirements for the appropriate network access device. By way of example, an IP encoder 96 encodes a plurality of JPEG images for viewing on a conventional web browser, and an MPEG encoder 98 encodes the plurality of JPEG images into an MPEG stream that is viewed on a television via an interactive set-top box.

[0077] An encryption module 100a and 100b operatively coupled to encoder 96 and 98, respectively, then receives the encoded images and encrypts the encoded images in manner well known to those skilled in the art. A modulation module 102a and 102b is operatively coupled to encryption modules 100a and 100b, respectively, then modulates encrypted encoded images for downstream transmission in a manner well known to those skilled in the art.

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[0078] Preferably, the broadband gaming system occupies one downstream band, i.e. one 6 or 8 MHz band, in the interactive set-top-box environment. In the web based broadcast environment, the broadband gaming system occupies a downstream channel much like a standard streaming media website.

[0079] It shall be appreciated by those skilled in the art having the benefit of this disclosure that the broadband gaming system can play more than one game at a time. The system may be designed to operate in a multi-tasking mode where more than one game is played at a time. Additionally, the system may be designed to operate in a fast serial mode in which a game is played while the countdown timer is waiting for the next queue to be filled.

# [0080] Transactional System

[0081] Referring back to FIG. 2, there is shown the transactional system 38 which comprises a transactional server 110 and a transactional database 112. The transactional system 38 performs a plurality of functions including tracking each transaction performed by both the verification system and the broadband gaming system. Additionally, the transactional system 38 is configured to authorize and conduct electronic fund transfers. Furthermore, the transactional system 38 performs such operations as player tracking, managing loyalty programs, engaging bonus games, determining bonus prizes and interfacing with accounting programs.

## [0082] METHOD FOR REGISTERING A PLAYER

[0083] Referring to FIG. 8 there is shown a flowchart of the registration method for the gaming system 30. The registration method 150 begins when a prospective player first accesses a website, channel, kiosk or other such registration terminals as described in block 152. The method then proceeds to block 153.

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[0084] At block 153, the registration process is initiated. By way of example and not of limitation, a registration terminal may provide a hyperlink to a registration window that prompts the prospective player for information. The method then proceeds to block 154.

[0085] At block 154, the prospective player provides registration identification information such as name, address, credit card number and other information necessary to create a registration file for the prospective player. The method then proceeds to block 156.

[0086] At block 156, the prospective player is prompted for a personal ID. The personal ID may be a user ID, a password, a numeric combination, or any other such identification information. The personal ID is used during the verification process to identify a biometric template for the prospective player. The method then proceeds to block 158.

[0087] At block 158, the prospective player submits a biometric to the registration terminal. By way of example and not of limitation the biometric is a fingerprint. Any other biometric may also be used. The method then proceeds to block 160 or 162.

[0088] At block 160, the biometric input is compressed and encrypted. It is preferable for certain biometric inputs to be compressed such as fingerprint scans, retinal scans and other such scanning techniques. Other biometric inputs such as voice patterns and signatures do not have to be compressed. The process of encrypting biometric inputs is necessary in an open network environment. The process of encrypting may not be necessary on a private proprietary network. Therefore, it shall be appreciated by those skilled in the art having the benefit of this disclosure that the compression and encryption processes in block 160 may not be necessary for every biometric input.

[0089] At block 162, the prospective player information is stored in the verification system and a player profile is updated accordingly. Alternatively, the prospective

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player information is stored on a smart card. The method then proceeds to block 164.

[0090]\_At block 164, security information about the registration terminal is collected. The registration information identifies the registration terminal as being a secure terminal. The registration terminal provides information such as the MAC ID for the biometric input module, the IP address for the server communicating with the registration terminal, and the cryptographic keys associated with the registration terminal. The registration terminal includes the network access devices described in FIG. 1a through FIG.1d as well as kiosks and other such registration terminals.

[0091] At block 166, the prospective player is identified as a registered player and the registration database 40 is updated accordingly. The registration process is broken out into separate components for security purposes. Once a validly registered player is identified by the verification system, the registration process is completed.

# [0092] METHOD FOR PLAYER VERIFICATION

[0093] Referring to FIG. 9 there is shown a method 170 for player verification used by the verification system 34. The player verification process includes receiving user identification information from a network access device. The method is initiated at block 174 when a user accesses a website or channel displaying the game. The method then proceeds to block 176.

[0094] At block 176, the personal ID is provided by the user. The personal ID is used by the verification system to find a biometric template for determining whether the user is a registered player. The method then proceeds to block 178.

[0095] At block 178, the biometric input module of the network access device receives a biometric from the user. As previously described the biometric input module can be one of plurality of biometric inputs. Depending on the type of

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biometric, the biometric may be compressed as described by block 180 and encrypted as described by block 182. At block 184, the biometric and the personal ID is then communicated through a network 32 to the verification system 34. Alternatively, the biometric and Personal ID is communicated to a smart card for verification.

[0096] At block 186, the verification system 34 requests security information from the network access devices. The security information identifies the network access devices as being a valid network access device. The method then proceeds to block 188.

[0097] At block 188, the verification system 34 processes the security information to ensure that the security information is generated by the appropriate network access device, and to ensure that the security information has not been compromised. Preferably, the verification system 34 performs a stateful multilayer inspection as described above. The method then proceeds to block 190.

[0098] At block 190, the user submitted player information is compared to the registered player information. If a determination is made at decision diamond 192 that the submitted player information is not a valid registered player the method proceeds to block 194. At block 194, the user is requested to re-input the biometric. If the biometric is input more than three times, as provided by decision diamond 196, the user is requested to contact customer service.

[0099] If a match is found at decision diamond 192 between the user submitted information and the registered player information, the user is identified as a valid player then the player proceeds to the broadband gaming system 36.

# [0100] METHOD FOR OPERATION OF BROADBAND GAMING SYSTEM

[0101] Referring to FIG. 10 and FIG. 11 there is shown a flowchart 200 of the information processed by the broadband gaming system 34. The process is

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engaged by performing the verification process in which the verification system identifies a player as in block 201. After the verification process has been completed the method proceeds to block 202.

[0102] At block 202, the players who desire to play a particular game are stored in a buffer until the particular game is engaged. The method then proceeds to decision diamond 204.

[0103] At decision diamond 204, the countdown timer 82 determines if the period during which the game is open has been closed. If the game remains open, additional players may be received by the broadband gaming system. If the game is closed because the period during which the game is open has expired, then the method proceeds to block 206.

[0104] At block 206, the initial player matrix described above is generated. The initial player matrix includes information about the player, the type of game, and other such information about the game as described by the player data fields 74 shown in FIG. 6. The initial player matrix is then communicated to block 208 which transmits the initial player matrix to the transactional system for validation. Additionally, the initial player matrix is communicated to the next block 210 in the broadband gaming system which starts the gaming module.

[0105] At block 210, the initial player matrix is received by the gaming module 84 and the gaming module 84 is engaged. At a minimum the gaming module 84 comprises a random number generator 86 and a paytable module 88. The random number generator generates at least one random number that is used during the game. The paytable module 88 is used to determine the prize associated with the at least one random number.

[0106] Referring to FIG. 11, a continuation of the broadband gaming system method is shown. By way of example, the gaming module may comprise a plurality of different random number generators. The blocks 214 and 216 describe the

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processes performed by a random number generator and a paytable module, respectively. The random number generator 86 of block 214 determines the winning combination of numbers for the game. At block 216, the paytable module 88 is used to determine the prize awarded to the player. Preferably, the paytable module 88 is also configured to provide image IDs that identify the images associated with the prize. Preferably, the paytable module 88 is resident in both the broadband gaming system and the transactional system. The purpose for this redundancy is as a security check for output generated by the gaming module. The method then proceeds to block 218.

[0107] At block 218 the player outputs with the same image IDs are grouped together. The grouping process is performed to simplify the broadcasting of the images to the plurality of players. By grouping the players according to the same image ID and having identified the network access device used by the player, a dynamic broadcasting method is created which occupies minimal downstream bandwidth. The method then proceeds to block 220.

[0108] At block 220 a final player matrix is completed. The final player matrix includes the same data fields as the initial player matrix. Additionally, the final player matrix includes the random number output and the paytable output. The final player matrix is then communicated to the transactional system as described in block 222. The method then proceeds to decision diamond 224.

[0109] At decision diamond 224, a validation procedure is conducted. The validation procedure essentially compares the transactional system's reverse calculation of the random numbers with the random numbers generated by the gaming module. If the random numbers in the transactional system are not the same or similar to the random numbers generated by the random number generator, a system failure or security breach is detected. If a security breach or system failure is detected, the method then proceeds to process block 226, which initiates diagnostic procedures. If the random numbers match, then the method proceeds to block 228.

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[0110] At block 228, the plurality of images is broadcast. The images are preferably broadcast along one downstream channel for each network access device. However, traffic considerations may require the use of a plurality of downstream channels. By way of example, for DOCSIS and DSL type downstream transmissions, the streaming video preferably occupies a portion of the bandwidth available for a cable modem or DSL modem, respectively. In an alternative example, for an interactive set-top box environment, the downstream channel preferably occupies one 6 MHz or 8 MHz band or a portion of the 6 MHz or 8 MHz band. The method then proceeds to the next block 230.

[0111] At block 230, the broadcast images are encoded for downstream transmission. It shall be appreciated by those skilled in the art having the benefit of this disclosure that downstream transmission systems are well known and can be easily integrated into the systems and method described in this patent. The method then proceeds to block 232.

[0112] At block 232, the broadcast images are encrypted for downstream transmission. The purpose for downstream encryption is to prevent unauthorized access to the downstream signal. It shall be appreciated by those skilled in the art that various secure systems and methods for downstream transmission of images are well known.

[0113] It shall be appreciated by those skilled in the art having the benefit of this disclosure that a plurality of games may be played simultaneously. The games may be played in a distributed/parallel manner or in serial manner.

#### [0114] AN ILLUSTRATIVE GAME

[0115] An illustrative game is described to show how the system and method described above operates. The illustrative game described herein is a progressive slot machine. It is well-known that in the United States many states have legalized lottery games even though other games of chance such as progressive slot

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machines have not been legalized. It is also well-known that in casino gaming floors the most popular games are progressive slot machines. The present illustrative game operates on the system and method described above and provides an output similar to a progressive slot machine with a lottery type input.

[0116] The illustrative game includes first having a player provide a plurality of letters or numbers that are either generated by the player or are selected in a random manner. The random number generator of the gaming module is then engaged and a gaming module random number is generated. Preferably, the order that the random numbers were generated is used to determine the prize awarded to the player. A programmed paytable is then used to compare the player selected numbers to the gaming module random numbers according to the rules programmed into the paytable module. Based on the results of this comparison a prize is awarded to the player. An image ID is associated with the prize awarded. The plurality of players are then grouped according to their respective image IDs. A broadcast stream for the plurality of images associated with each image ID is broadcast to each player.

[0117] A more concrete example includes having a player select a plurality of numbers, such as the numbers below:

25 35 8 15 42

[0118] The random number generator of the gaming module is then engaged. By way of example the random number results are:

56 2 3 8 42

[0119] The paytable module is then programmed to interpret the random numbers generated by the gaming module according to the following illustrative rules:

[0120] 1. If a match between one number is achieved, then a prize of IX the initial bet credit is awarded and an image ID X023-1396 is used. Image ID X023-1396 is an animated plurality of images representing three cherries.

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[0121] 2. If a match between one number at the same location is achieved, then a prize of 2X the initial bet credit is awarded and an image ID X023-1397 is used. Image ID X023-1397 is an animated plurality of images representing four cherries.

- [0122] 3. If a match between a first number is achieved and a match between a second number is achieved, then a prize of 5X the initial credit is awarded and an image ID X023-1998 is used. Image ID X023-1998 is an animated plurality of images representing 3 oranges.
- [0123] 4. If a match between a first number at the same location is achieved and a match between a second number is achieved, than a prize of 7X the initial credit is awarded and an image ID X023-1999 is used. Image ID X023-1999 is an animated plurality of images representing 4 oranges.

[0124] Thus, for the illustrative example provided above, the player having selected the numbers: 23, 35, 8, 15 and 42 is entitled to a prize of 7X the initial credit for a random number: 56, 2, 3, 8, and 42. The associated images displayed on the network access device is an animated plurality of images representing 4 oranges.

The scope of the invention should be determined by the appended claims and their legal equivalents rather than by the examples given.

#### **ABSTRACT**

A gaming system server and method for generating a game output with a gaming system are described. The server includes at a registration database configured to store registration data associated with each user, a verification system communicatively connected with the at least one network access device and the registration database, a video system, and a gaming module. The verification system verifies that the user associated with the network access device is a registered user and verifies security information received from the network access device. The video system stores a plurality of images corresponding to at least one game type. The gaming module generates at least one random game output associated with at least one game outcome, and communicates the plurality of images corresponding to the at least one game outcome to the at least one network access device.

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	DECLARATION FOR UTILITY OR				Attorney Docket Number	NEXRF 10.011
DESIGN PATENT APPLICATION (37 CFR 1.63)					First Named Inventor	KERR, Michael A.
					COMPLETE IF KNOWN	
<b>V</b>	Declaration Submitted With Initial Filing	OR		Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)	Application Number	
					Filing Date	December 29, 2010
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I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled: GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA (Title of the Invention) the application of which 1 is attached hereto OR was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. Authorization To Permit Access To Application by Participating Offices If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application. In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application. In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

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First Named Inventor/Applicant Name:	Michael A. Kerr
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2	Drawings-only black and white line drawings	159827 a6ce771c70ae46fd82d44491d9e296dad3d	no	9							
Warnings:			e24b8								
Information:											
3		amendment.pdf	283800	yes	70						
-			ad3403540368d0b1defb08b094f41f99559 06af1	,							
	Multipart Description/PDF files in .zip description										
	Document Des	scription	Start	En	d						
	Applicant Arguments/Remarks	Made in an Amendment	1								
	Claims		2	10	)						
	Applicant Arguments/Remarks	Made in an Amendment	11	11							
	Specificat	ion	12		)						
	Abstrac	t	41	41							
	Specificat	ion	42	69	)						
	Abstrac	t	70	70	)						
Warnings:											
Information:											
4	Oath or Declaration filed	decl.pdf	163568	no	3						
			18f98e80531b2115497fbd191bc0e2c6489 c7ec6								
Warnings:											
Information:			T								
		Total Files Size (in bytes)	19	36587							

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Docket Number 1,403		ling Date 29/2010	To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	ENTITY 🛛	OR		HER THAN ALL ENTITY
	FOR	FOR NUMBER FILED NUMBER EXTRA				RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A	1	N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), o		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	nus 20 = *			X \$ =		OR	X \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	mi	inus 3 = *		1	X \$ =			X \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$2 addit	ts of pape 50 (\$125 ional 50 s		n thereof. See						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.		-	TOTAL			TOTAL	
	APPI	(Column 1)	AMEND	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	12/29/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ЖE	Total (37 CFR 1.16(i))	* 24	Minus	** 24	= 0		X \$26 =	0	OR	X \$ =	
빏	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	]	X \$110 =	0	OR	X \$ =	
Ϋ́	Application Si	ize Fee (37 CFR 1	.16(s))			]					
_	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CF	=R 1.16(j))	]			OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
    -		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# <u>United States Pa</u>tent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PC Box 145 Wignia 22313-1450 www.usblo.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/981.403	12/29/2010	3714	0.00	NEXRF 10.011	24	3

**CONFIRMATION NO. 6498** 

FILING RECEIPT

\*OC00000045436584\*

48008 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511

Date Mailed: 01/18/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Michael A. Kerr, Reno, NV;

**Assignment For Published Patent Application** 

NexRf Corporation

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/681,034 10/08/2003 which is a CON of 09/899,559 07/05/2001 ABN which claims benefit of 60/266,956 02/06/2001

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 01/12/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/981,403** 

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

page 1 of 3

#### Title

GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

#### **Preliminary Class**

463

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 WWW.usbio.box V

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./IITLE

12/981,403 12/29/2010 Michael A. Kerr NEXRF 10.011

48008 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 CONFIRMATION NO. 6498
FORMALITIES LETTER

000000040400000

Date Mailed: 01/18/2011

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.
 Applicant must submit \$82 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$104 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- A surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted.

#### **SUMMARY OF FEES DUE:**

Total fee(s) required within TWO MONTHS from the date of this Notice is \$631 for a small entity

- \$82 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$270 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$110 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.
- Total additional claim fee(s) for this application is \$104
  - \$104 for 4 total claims over 20.

page 1 of 2

#### Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/rerry/		
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200,	or 1-888-786-0101

page 2 of 2

									tion or Docket Num 1,403	ber
	APPLIC	CATION A	S FILE[		umn 2)	SMALL	. ENTITY	OR	OTHEF SMALL	
	FOR	NUMBE	R FILE	NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	IC FEE FR 1.16(a), (b), or (c))	N	/A	N	I/A	N/A	82	1	N/A	
SEA	RCH FEE FR 1.16(k), (i), or (m))	N	/A	N	I/A	N/A	270	1	N/A	
ΣXΑ	MINATION FEE FR 1.16(o), (p), or (q))	N	//A	N	I/A	N/A	110	1	N/A	
ОТ	AL CLAIMS FR 1.16(i))	24	minus :	20= *	4	x 26 =	104	OR		
	PENDENT CLAIMS FR 1.16(h))	3	minus :	3 = *		x 110 =	0.00	1		
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						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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	Independent * (37 CFR 1.16(h))		Minus	***	=	x =		OR	x =	
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Application Serial No.: 12/981,403 Attorney Docket No.: NEXRF 10.011

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kerr, Michael A.

Application Serial No.: 12/981,403

Filed: December 29, 2010

Title: GAMING SYSTEM NETWORK

AND METHOD FOR DELIVERING

**GAMING MEDIA** 

Group Art Unit: 3714

Examiner Name: TBD

Confirmation No: 6498

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

Applicant hereby files this Response to the Notice to File Missing Parts of Nonprovisional Application issued by the United States Patent and Trademark Office ("USPTO") on January 18, 2011. The Notice requests that Applicant include additional fees totaling \$631 for the \$82 statutory basic filing fee, \$65 surcharge, \$270 search fee, \$110 examination fee and \$104 additional claim fees.

Applicant submits herewith the required fees of \$631

Application Serial No.: 12/981,403	Attorney Docket No.: NEXRF 10.011
------------------------------------	-----------------------------------

Respectfully Submitted;

Dated: March 15, 2011 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

Michael A. Kerr KERR IP GROUP, LLC P.O. Box 18600 Reno, NV 89511 Tel: (775) 624-8700

Fax: (775) 622-0686

Electronic Patent Application Fee Transmittal									
Application Number:	129	12981403							
Filing Date:	29-	29-Dec-2010							
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MED								
First Named Inventor/Applicant Name:	Michael A. Kerr								
Filer:	Michael A. Kerr/Marie Kerr								
Attorney Docket Number:	NE:	XRF 10.011							
Filed as Small Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Utility filing Fee (Electronic filing)		4011	1	82	82				
Utility Search Fee		2111	1	270	270				
Utility Examination Fee		2311	1	110	110				
Pages:									
Claims:									
Claims in excess of 20		2202	4	26	104				
Miscellaneous-Filing:									
Late filing fee for oath or declaration		2051	1	65	65				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	631

	Electronic	Ack	knowledgement R	eceipt				
EFS ID:		9689088						
Application Nu	mber:	12981403						
International Applica	tion Number:							
Confirmation N	umber:	6498						
Title of Inven	tion:		GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA					
First Named Inventor/A	pplicant Name:		Michael A. Kerr					
Customer Number:			48008					
Filer:			Michael A. Kerr/Marie Kerr					
Filer Authorized By:			Michael A. Kerr					
Attorney Docket	Attorney Docket Number:							
Receipt Da	te:		18-MAR-2011					
Filing Date	e:		29-DEC-2010					
Time Stam	p:		13:57:21					
Application T	ype:		Utility under 35 USC 111(a)					
Payment information:								
Submitted with Payment			yes					
Payment Type			Credit Card					
Payment was successfully received in RAM			\$631					
RAM confirmation Number			177					
Deposit Account								
Authorized User								
File Listing:								
Document Number Document	Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		

1	Applicant Response to Pre-Exam	mpta.pdf	15163	no	2			
	Formalities Notice		d3888ef104b8fdd600c81f7306eb5233aa75 d943					
Warnings:								
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2	Fee Worksheet (PTO-875)	fee-info.pdf	38245	no	2			
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Warnings:								
Information:								
	<b>Total Files Size (in bytes):</b> 53408			3408				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	PATE	NT APPLI	_	ON FEE DE		TION RECOF	rD.		tion or Docket Num 1,403	ber
	APPL	ICATION A	S FILE[		umn 2)	SMALI	_ ENTITY	OR	OTHEF SMALL	
	FOR	NUMBE	R FILE	NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	IC FEE FR 1.16(a), (b), or (c))	N	/A	١	J/A	N/A	82		N/A	
SEA	RCH FEE FR 1.16(k), (i), or (m))	N	/A	١	I/A	N/A	270		N/A	
ΞXΑ	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	N	J/A	N/A	110		N/A	
ТОТ	AL CLAIMS FR 1.16(i))	24	minus :	20 = *	4	x 26 =	104	OR		
	PENDENT CLAIM FR 1.16(h))	3	minus :	3 =		× 110 =	0.00			
APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						0.00				
MUL	TIPLE DEPENDE	NT CLAIM PRE	SENT (37	7 CFR 1.16(j))			0.00			
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AMENDMENI A	Total	REMAINING AFTER AMENDMENT	Minus	NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	OR	RATE(\$)	ADDITIONA FEE(\$)
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אַ באַ	(37 CFR 1.16(i)) Independent	*	Minus	***	=	x =		OR	x =	
ME	(37 CFR 1.16(h))  Application Size Fee	(37 CER 1 16(e))				<u> </u>	<u> </u>	-		
∢	FIRST PRESENTAT	-		DENT CLAIM (37 C	CFB 1 16(ii)			OR		
					W/	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)			_		
AT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
AMENDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=	х =	:	OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	х =		OR	x =	
¥	Application Size Fee	(37 CFR 1.16(s))			•					
	FIRST PRESENTAT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))			OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
*	* If the entry in colu * If the "Highest Nu * If the "Highest Numb The "Highest Numb	ımber Previous nber Previously I	ly Paid Fo Paid For" I	or" IN THIS SPA N THIS SPACE is	CE is less than s less than 3, ent	mn 3. 20, enter "20".	x in column 1.		'	



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.C. Box 14 Vignita 22313-1450 www.usbob.oov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/981.403	12/29/2010	3714	631	NEXRF 10.011	24	3

48008 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 CONFIRMATION NO. 6498 UPDATED FILING RECEIPT



Date Mailed: 03/25/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Michael A. Kerr, Reno, NV;

**Assignment For Published Patent Application** 

NexRf Corporation

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/681,034 10/08/2003 which is a CON of 09/899,559 07/05/2001 ABN which claims benefit of 60/266,956 02/06/2001

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 01/12/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/981,403** 

Projected Publication Date: 06/30/2011

Non-Publication Request: No
Early Publication Request: No

\*\* SMALL ENTITY \*\*

page 1 of 3

#### Title

GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

#### **Preliminary Class**

463

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

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page 2 of 3

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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 WWW.usbio.box V

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/981,403 12/29/2010 Michael A. Kerr

NEXRF 10.011

CONFIRMATION NO. 6498

48008 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511



**PUBLICATION NOTICE** 

Title:GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

Publication No.US-2011-0159952-A1 Publication Date:06/30/2011

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

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page 1 of 1

# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/981,403	2/981,403 12/29/2010 Michael A. Kerr		NEXRF 10.011	6498	
48008 KERR IP GRO	7590 07/19/201 UP, LLC	EXAMINER			
MICHAEL A. I	MICHAEL A. KERR			MATTHEW S	
	P.O. Box 18600 RENO, NV 89511		ART UNIT	PAPER NUMBER	
			3716		
			NOTIFICATION DATE	DELIVERY MODE	
			07/19/2011	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

MICK@KIPG.COM mmk@kipg.com alayna@kipg.com

PTOL-90A (Rev. 04/07)

	Application No.	Applicant(s)						
	12/981,403	KERR, MICHAEL	A.					
Office Action Summary	Examiner	Art Unit						
	MATTHEW RUSSELL	3716						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This 3) ☐ Since this application is in condition for allowar	1) ☐ Responsive to communication(s) filed on <u>29 December 2010</u> .  2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.							
Disposition of Claims								
4) ☐ Claim(s) 21-44 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 21-44 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or election requirement.								
Application Papers								
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some col None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachment(s)    Notice of References Cited (PTO-892)   Notice of Draftsperson's Patent Drawing Review (PTO-948)   Information Disclosure Statement(s) (PTO/SB/08)   Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite						

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20110707

Application/Control Number: 12/981,403

Art Unit: 3716

#### **DETAILED ACTION**

#### Double Patenting

1. Claims 21-44 of this application conflict with claims 21-41 of Application No. 12/982,656, claims 21-39 of Application No. 12/982,018 and claims 21-48 of Application No. 10/681,034. 37 CFR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application. Applicant is required to either cancel the conflicting claims from all but one application or maintain a clear line of demarcation between the applications. See MPEP § 822.

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686

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F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

- Claims 21-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 21-41 of Application No. 12/982,656, claims 21-39 of Application No. 12/982,018 and claims 21-48 of Application No. 10/681,034, all in view of Falcigilia (5971849).
- 4. Wherein fail to Application Nos. 12/982,656, 12/982,018, and 10/681,034 to explicitly claim a player buffer configured to receive one or more player data sets, each player data set associated with a player; and a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set. In am gaming system

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similar to that taught by Application Nos. 12/982,656, 12/982,018, and 10/681,034, Falcigilia teaches a gaming server system for playing a chance game with a "waiting room," i.e. player buffer, having a timer display until a game starts (countdown timer) and a player can join a game (see fig. 5, display; col. 7:6-34). Therefore it would have been obvious to one skilled in the art at the time of the invention to include gaming features as described above and in Falcigilia, in the gaming system server of Application Nos. 12/982,656, 12/982,018, and 10/681,034 to improve the gaming system and method of Application Nos. 12/982,656, 12/982,018, and 10/681,034 for the expected result of maintaining central control of game outcome.

5. This is a <u>provisional</u> obviousness-type double patenting rejection.

#### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 29 are rejected under 35 U.S.C. 102(e) as being anticipated by Patent No. 7,107,245 to Kowalick (Kowalick).

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1. As to claim 29, Kowalick discloses a gaming system server (fig. 1, 12/14/18/16/20/22, central computer including memory, circuitry, and processing capabilities inherent in a computer), comprising: a registration database configured to store registration data associated with each registered user (fig. 1, 22; col. 2:49-65; 3:3-4:37); a verification system in communication with registration database (fig. 12/14/18/16/20/22; col. 2:49-65; 3:3-4:37), said verification system configured to: receive user identification information from at least one network access device (see fig. 1, 26; col. 2:49-65; 3:3-4:37); receive security information from the at least one network access device (col. 5:39-46; pin); verify the network access device user is a registered user by comparing the user identification information to the registration data (col. 3:3-4:37); and verify security information received from the network access device and a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device (fig. 1, 20; col. 3:3-4:37; 7:9-20; including software for generating a random outcome).

#### Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Application/Control Number: 12/981,403

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1. Claims 21, 26, 34, 37, and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick (7107245), in view of Walker (6001016).

- 3. As to claims 21 and 37, Kowalick discloses the apparatus and method for a gaming system server (fig. 1, 12/14/18/16/20/22, central computer including memory, circuitry, and processing capabilities inherent in a computer), comprising: a registration database configured to store registration data associated with each registered user (fig. 1, 22; col. 2:49-65; 3:3-4:37); a verification system in communication with registration database (fig. 12/14/18/16/20/22; col. 2:49-65; 3:3-4:37), said verification system configured to: receive user identification information from at least one network access device (see fig. 1, 26; col. 2:49-65; 3:3-4:37); receive security information from the at least one network access device (col. 5:39-46; pin); verify the network access device user is a registered user by comparing the user identification information to the registration data (col. 3:3-4:37); and verify security information received from the network access device (col. 3:3-4:37; col. 5:40-46); a gaming module configured to generate at least one random game output, the at least one random game output associated with at least one game outcome (fig. 1, 20; col. 7:9-20; including software for generating a random outcome).
- 4. Where Kowalick fails to explicitly disclose a video server configured to store a plurality of images corresponding at least one game and communicate the plurality of images corresponding to the at least one game outcome to the at least one network access device; and a paytable module configured to determine

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Application/Control Number: 12/981,403

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one or more prizes associated with the game outcome (claims 26, 34 and 42 including that which is what is discussed above with respect to claims 21, 29 and 37), Walker discloses a gaming device for remote play such as wireless network or over internet (3:40-4:30) that teaches a video server that stores a plurality of images corresponding to at least one particular game outcome (11:33-65, 12:17-38, ref284), that associates the random game output with at least one particular game outcome, to communicate the plurality of images corresponding to the particular game outcome stored in a payout table (11:33-65, 12:17-38, ref 284). Walker is relevant prior art either for being in the field of applicant's endeavor or, for being reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See In re Oetiker, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). The level of ordinary skill is as represented by cited references. Therefore, in consideration of KSR decision by US Supreme Court, it would have been obvious to an artisan at a time prior to the invention to include/apply the process of a video server that stores a plurality of images corresponding to at least one particular game outcome as taught by Walker to improve the gaming system and method of Kowalick for the expected result of maintaining central control of game outcome to increase security.

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 Claims 27, 28, 43 and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick (7107245), in view of Walker and further in view of Karmarkar (6508709).

- Claims 35 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick (7107245), further in view of Karmarkar (6508709).
- 4. With respect to claims 27, 28, 35, 36, 43 and 44 the modified Kowalick teaches communicating a plurality of gaming images from a server to a gaming network access device (see (11:33-65, 12:17-38, ref 284). Further, at the time of the invention, encryption and encoding video images is a well known means of transferring image data from a server to a network access device. Wherein, Kowalick and/or Walker fails to explicitly disclose an encoding module configured to convert the images to a format meeting the requirements of the at least one network access device (claim 27/35/43) and an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device (claim 28/35/44). Karmarkar teaches in a video gaming system similar to that of the Kowalick and Walker references, transmitting from a server to a network access device gaming video images utilizing encoding (MPEG) and encryption (RSA/DES/etc...) (see figs. 1and 3, col. 6:7-7:7; col. 7:43-8:64). Therefore it would have been obvious to one skilled in the art at the time of the invention to include the encoding/encryption means in the modified gaming server of Kowalick to transmit images to a network access device because Karmarkar teaches that gaming systems like that of Kowalick

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and Walker increase their utility with the addition of secure transmission of video images by becoming compliant with gaming rules (see col. 1:7-3:57).

- 5. Claims 22-25 and 38-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick (7107245), in view of Walker and further in view of Falcigilia (5971849).
- 6. Claims 30-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick (7107245) further in view of Falcigilia (5971849).
- 7. As to claims 22-25, 30-33, and 38-41, Kowalick a server having a central data repository (memory) for storing player data (see fig. 1, 20/22; col. 3:3-4:37), however, fail to explicitly disclose a player buffer configured to receive one or more player data sets, each player data set associated with a player (claim 22); a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set (claim 23); wherein the random game output generated by the gaming module is a random number generated for each player data set (claim 24); and wherein the random game output generated by the gaming module is a random number generated for all player data sets in the player buffer (claim 25). In am gaming system similar to that taught by Kowalick and/or Walker, Falcigilia teaches a gaming server system for playing a chance game with a "waiting room," i.e. player buffer, having a timer display until a game starts (countdown timer) and a player can join a game (see fig. 5, display; col. 7:6-34). Further,

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Falcigilia teaches game play utilizing a random number generator for random game output including for each player and for all players in the current game be played, i.e. players in player buffer (col. 3:23-54; and col. 11:57-12:18). Therefore it would have been obvious to one skilled in the art at the time of the invention to include gaming features as described above and in Falcigilia, in the gaming system server of Kowalick to improve the gaming system and method of Kowalick and/or Walker for the expected result of maintaining central control of game outcome.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MATTHEW RUSSELL whose telephone number is (571)270-3472. The examiner can normally be reached on Monday thru Friday, 8AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MATTHEW RUSSELL/ Examiner, Art Unit 3716 /Melba Bumgarner/ Supervisory Patent Examiner, Art Unit 3717

#### Applicant(s)/Patent Under Application/Control No. Reexamination 12/981,403 KERR, MICHAEL A. Notice of References Cited Examiner Art Unit Page 1 of 1 MATTHEW RUSSELL 3716 **U.S. PATENT DOCUMENTS** Document Number Date Classification Country Code-Number-Kind Code MM-YYYY US-5,971,849 A 10-1999 Falciglia, Sal 463/16 Α US-6,001,016 A 463/42 12-1999 Walker et al. US-6,508,709 B1 01-2003 463/42 С Karmarkar, Jayant S. US-7,107,245 B1 09-2006 Kowalick, Gregory 705/44 D US-2009/0325708 A9 12-2009 463/042 Е Kerr, Michael A. US-2011/0159953 A1 06-2011 Kerr, Michael A. 463/29 F US-2011/0165936 A1 07-2011 Kerr, Michael A. 463/25 G US-Н US-1 US-US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Country Code-Number-Kind Code Date Name Classification Country MM-YYYY Ν 0 Р Q R S Т NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U W

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

Part of Paper No. 20110707

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	MATTHEW RUSSELL	3716

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	35	<b>✓</b>								
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U.S. Patent and Trademark Office

Part of Paper No.: 20110707

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	MATTHEW RUSSELL	3716

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	38	✓								
	39	✓								
	40	✓								
	41	✓								
	42	✓								
	43	✓								
	44	✓								

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12981403	KERR, MICHAEL A.
Examiner	Art Unit
MATTHEW RUSSELL	3716

	SEARCHED		
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
inventor name search	7/12/2011	msr
east search -see attached	7/12/2011	msr

	INTERFERENCE SEA	RCH	
Class	Subclass	Date	Examiner

/M.R./ Examiner.Art Unit 3716	

U.S. Patent and Trademark Office Part of Paper No.: 20110707

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	7	(michael near2 kerr).in. and random and image	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/12 11:13
L2	2	"6508709".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/12 11:43
S1	3372	(game gaming) near2 (machine device apparatus) and (biometric) and (network)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 10:33
S2	65	(game gaming) near2 (machine device apparatus) and (biometric) and (network) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 10:33
S3	602	(game gaming) near2 (machine device apparatus) and (biometric pin) and (network) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 10:39
S4	16	(game gaming) near2 (machine device apparatus) and (biometric same pin) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 11:09
S5	30	(game gaming slot poker) near2 (machine device apparatus) and (biometric same pin) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 11:10
S6	20	(game gaming slot poker) near2 (machine device apparatus) and (network) same (IP and MPEG) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 11:30
S7	77	(game gaming slot poker) near2 (machine device apparatus) and (network) and (IP (Internet near2 protocol)) and (MPEG) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 11:40
S8	57	S7 not S6	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/06 11:41

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<b>S9</b>	24	(US-20030228898-\$ or US-20020137217-\$ or US-20020142815-\$ or US-20020068624-\$).did. or (US-7555461-\$ or US-7128652-\$ or US-6676126-\$ or US-6645077-\$ or US-6527638-\$ or US-6505772-\$ or US-6343988-\$ or US-6264557-\$ or US-6343988-\$ or US-6264557-\$ or US-6595342-\$ or US-6595342-\$ or US-6595342-\$ or US-6585832-\$ or US-5892900-\$).did.	US-PGPUB; USPAT	OR	O	2011/07/07 15:52
S10	10	S9 and (random near2 number)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 15:54
S11	2	"5947821".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 16:07
S12	2	"6001016".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 16:34
S13	116	(game gaming slot) near2 (machine device system apparatus) and (IP) and (mpeg) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 16:46
S14	1220	(game gaming slot) near2 (machine device system apparatus) and (IP) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 16:59
S15	4	(game gaming slot) near2 (machine device system apparatus) and (IP) with (protocol) with (image picture indicia) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 16:59
S16	322	(IP) with (protocol) with (image picture indicia) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 17:00
S17	41	(IP) with (protocol) with (image picture indicia) with (MPEG) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 17:00
S18	5	(IP) with (protocol) with (image indicia) with (MPEG) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/07 17:01

S19	66	(michael near2 kerr).in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 10:04
S20	7	(michael near2 kerr).in. and biometric	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 10:04
S21	1	buffer with (join enter enlist enroll) with (player) with (game)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:12
S22	24	buffer with (join enter enlist enroll) with (player)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:13
S23	654	(buffer memory database) with (join enter enlist enroll) with (player)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:13
S24	5	(buffer memory database) with (join enter enlist enroll) with (player) with (timer countdown)	US-PGPUB; USPAT; EPO; JPO; DERWENT	USPAT; EPO;		2011/07/08 11:13
S25	352	(buffer memory database) with (join enter enlist enroll) with (timer countdown)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:14
S26	188	(buffer memory database) with (join enter enlist enroll) with (timer countdown) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:15
S27	3	(game gaming) and (buffer memory database) with (join enter enlist enroll) with (timer countdown) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:15
S28	132	(game gaming) and (join enter enlist enroll) with (timer countdown) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:17
S29	15	(player) with (join enter enlist enroll) with (timer countdown) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:17
<b>S</b> 30	29	(player) with (join enter enlist enroll "sign on" "sign up" "take part in") with (timer countdown (count near2 down) clock (time near2 limit)) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:19
S31	14	\$30 not \$29	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:20

<b>S</b> 32	**************************************	(game gaming) with (join enter enlist enroll "sign on" "sign up" "take part in") with (timer countdown (count near2 down) clock (time near2 limit)) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:22
S33	15	(game gaming) with (join enter enlist enroll "sign on" "sign up" "take part in") with (buffer) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:26
S34	101	(game gaming player) same (join enter enlist enroll "sign on" "sign up" "take part in") with (timer countdown (count near2 down) clock (time near2 limit)) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:31
S35	62	S34 not S32 not S33	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:35
S36	503	(time timer "time limit" countdown clock) with (join enter) with (game) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:45
S37	181	(time timer "time limit" countdown clock) with (join enter) with (game) with player and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:45
S38	1988535	(time timer "time limit" countdown clock) with (join enter) with (game) with player (buffer memory database store) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:47
S39	10	(time timer "time limit" countdown clock) with (join enter) with (game) with player with (buffer memory database store) and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:47
S40	1	("time period" timer "time limit" countdown clock) with (join enter) with (game) with player with (buffer memory database store) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:49

S41	25	("time period" timer "time limit" countdown clock) with (join enter) with (game) with player and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 11:49
S42	13	( timer "time limit" countdown clock) with (join enter) with (game) with player and @ad< "20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/08 13:07
S43	17	(buffer queue) with (player) with (enroll enrollment entry signup enter) and (count countdown timer) and @ad<"20010206"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/07/12 09:36

### **EAST Search History (Interference)**

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kerr, Michael A.

Application Serial No.: 12/981,403

Filed: December 29, 2010

Title: GAMING SYSTEM NETWORK
AND METHOD FOR DELIVERING

**GAMING MEDIA** 

Group Art Unit: 3716

Examiner name: Russell, Matthew

Confirmation No: 6498

## **AMENDMENT AND RESPONSE**

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

This Amendment and Response is filed in Response to the Office Action date

July 19, 2011 in the above referenced patent application. Allowance of the pending

claims in view of the following amendments is respectfully requested.

Amendments to the Claims begin on page 2.

Remarks begin on page 8.

Page 1 of 17

### **Amendments to the Claims**

This listing of the claims replaces the listings of the claims in the present patent application.

### 1 - 20 (Canceled).

**21 (Currently Amended).** A gaming system server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

a <u>verification server configured to access a</u> registration database <u>having a</u> <u>plurality of eonfigured to store</u> registration data associated with each registered user, wherein the verification server is configured to:[[;]]

a verification system in communication with registration database, said verification system configured to:

receive user identification information <u>associated with a player</u> from at least one network access device.[[;]]

receive security information <u>about each network access device</u> from <u>each</u> the <u>at least</u> network access device,[[;]]

verify the network access device user accessing the network access

device is a registered user by comparing the user identification information to the registration data,[[; and]]

verify the network access device is a valid gaming device with the security information received from the network access device;

a <u>memory module</u> <del>video system</del> configured to store a plurality of images corresponding to at least one game <u>outcome that are communicated to the network access devices</u>; [[and]]

a gaming <u>server</u> module configured to generate at least one random game output, the at least one random game output associated with at least one game outcome;

a paytable module associated with the gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the <u>valid</u> at least one network access device device.

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**22 (Currently Amended).** The gaming <u>server</u> system <u>server</u> of claim 21, wherein the gaming <u>server</u> module comprises a player buffer configured to receive <u>a plurality</u> of player data associated with each player. <u>one or more player data sets, each player data set associated with a player.</u>

- **23 (Currently Amended).** The gaming <u>server</u> system <u>server</u> of claim 22, wherein the gaming <u>server</u> module comprises a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.
- **24 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 22, wherein the random game output generated by the gaming <u>server</u> <del>module</del> is a random number <u>associated with each player</u>. <u>generated for each player data set</u>.
- **25 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 22, wherein the random game output generated by the gaming <u>server</u> <del>module</del> is a random number generated for all player data sets in the player buffer.
- **26 (Canceled).** The gaming system server of claim 21, wherein the gaming server module comprises a paytable module configured to determine one or more prizes associated with the game outcome.
- **27 (Currently Amended).** The gaming <u>server</u> system <del>network</del> of claim 21, further comprising an encoding module configured to convert the <u>plurality of images</u> to a format meeting the requirements of <u>each</u> the <u>at least one</u> network access device.
- **28 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 21, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

**29 (Currently Amended).** A gaming system server system[[,]] configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

a <u>verification server configured to access a registration database having a plurality of configured to store registration data associated with each registered user, wherein the verification server is configured to:[[;]]</u>

a verification system in communication with the registration database, said verification system configured to:

receive user identification information <u>associated with a player</u> from <u>each</u> <u>at least one</u> network access device,[[;]]

receive security information <u>associated with a network access device</u> from each the at least one network access device,[[;]]

verify the <u>user accessing the network access device user</u> is a registered user by comparing the user identification information to the registration data,[[;]] and

verify the network access device is a valid gaming device with security information received from <a href="each">each</a> [[the]] network access device; [[and]]

a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices; a gaming server configured to generate at least one game outcome;

a paytable module associated with the gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices.

**30 (Currently Amended).** The gaming <u>server</u> system <u>server</u> of claim 29, further comprising a player buffer configured to receive one or more player data sets, each player data set associated with a player.

- **31 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 30, further comprising a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.
- **32 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 30, wherein the game <u>outcome</u> <del>output</del> is a random game output is based on a random number from a random number generator, the random number generated for each player <u>in</u> the player buffer. <del>data set.</del>
- **33 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 30, wherein the game <u>outcome</u> <del>output</del> is a random game output based on a random number from a random number generator, the random number generated for all <u>players</u> <del>player data sets</del> in the player buffer.
- **34 (Canceled).** The gaming system server of claim 29, further comprising a paytable module configured to determine one or more prizes associated with the game outcome.
- **35 (Currently Amended).** The gaming <u>server</u> system <del>network of claim 29, further comprising an encoding module configured to convert the images to a format meeting the requirements of the <u>at least one</u> network access device.</del>
- **36 (Currently Amended).** The gaming <u>server</u> system <del>server</del> of claim 29, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

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**37 (Currently Amended).** A method for generating a game <u>outcome</u> <del>output</del> with a gaming <u>server</u> system <del>server</del> <u>configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server <u>system[[,]]</u> comprising:</u>

<u>enabling a verification server to receive [[receiving]]</u> user identification information from at least one network access device; at a verification system;

receiving security information associated with the at least one network access device at the [[a]] verification server system;

verifying with the verification <u>server system</u> that the <u>user accessing the</u> at least one network access device-<u>user</u> is a registered user by comparing the user identification information to registration data stored in a registration database;

verifying the network access device is a valid gaming device with the verification server system security information received from the at least one network access device;

generating, with a gaming <u>server</u>, <del>system</del> at least one random game output, the at least one random game output associated with at least one game outcome;

determining one or more prizes associated with the game outcome with a paytable module associated with the gaming server; and

communicating the plurality of images corresponding the at least one game outcome from the gaming <u>server</u> system to the at least one <u>valid</u> network access device.

- **38 (Currently Amended).** The method of claim 37, further comprising receiving player data sets with a player buffer at the gaming server.
- **39 (Currently Amended).** The method of claim 37, further comprising limiting the time during which the player buffer is capable of receiving a player data set with a countdown timer at the gaming server.
- **40 (Currently Amended).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for each player at the gaming server. data set.

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**41 (Currently Amended).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for all <u>players</u> player data sets in the player buffer <u>at the gaming server</u>.

- **42 (Canceled).** The method of claim 37, further comprising determining one or more prizes associated with the game outcome with a paytable module.
- **43** (**Previously Presented**). The method of claim 37, further comprising converting the images to a format meeting the requirements of the at least one network access device with an encoding module.
- **44 (Previously Presented).** The method of claim 37, further comprising encrypting the images communicated to the at least one network access device with an encryption module.
- **45 (New)**. The gaming server system of claim 21 wherein the verification server is configured to receive a player biometric as the user identification information that is associated with the player from at least one network access device.
- **46 (New).** The gaming server system of claim 29 wherein the verification server is configured to receive a player biometric as the user identification information that is associated with the player from at least one network access device.
- **47 (New).** The method of claim 37 wherein verifying with the verification server that the user accessing the at least one network access device a registered user by comparing the user identification information that includes a player biometric to registration data stored in a registration database

### **REMARKS**

The present remarks are in response to the Office Action July 19, 2011, in which the Examiner issued a rejection of claims 21-44. Although the Applicant respectfully disagrees with the Examiner's grounds for rejection, the Applicant has amended claims 21-42. In view of the claim amendments and remarks, the Applicant respectfully requests that the pending claims be placed in a state of allowance. No new matter has been added.

### A. Claim Amendments

Although the Applicant respectfully disagrees with the Examiner's grounds for rejection, in order to expedite the prosecution of this patent application the Applicant has amended independent claims 21, 29, and 37.

More particularly, Claims 21 and 37 have been amended to include the a gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network. See *inter alia* Figure 2.

The gaming server system comprises a <u>verification server configured to</u> access a registration database <u>having a plurality of registration data associated with</u> each registered user, wherein the verification server is configured to: receive user identification information <u>associated with a player</u> from at least one network access device, receive security information <u>about each network access device</u> from <u>each</u> one network access device, verify the user <u>accessing the network access device</u> is a registered user by comparing the user identification information to the registration data, verify <u>the network access device</u> is a valid gaming device with the security information received from the network access device. Support for these claim limitations is provided *inter alia* Figure 2, Paragraphs 0063-0064, 0090, 0096-0097

The <u>memory module</u> configured to store a plurality of images corresponding to at least one game <u>outcome that are communicated to the network access</u> <u>devices</u>. Support for this limitation is provided at *inter alia* Figure 7, ref. 92 and Paragraph 0074-0075.

The paytable module limitation that is configured to determine one or more prizes associated with a game outcome is drawn from recently canceled claims 26, 34 and 42.

Notably, claim 29 does not include the limitation of the gaming server generating at least one random game output. However, claim 29 includes the other limitations of claim 21 described above.

### B. Double Patenting

Applicant submits herewith a Terminal Disclaimer and overcomes the Examiner's double patenting rejection.

### C. Anticipation Rejection (35 U.S.C. § 102)

The Examiner has rejected claim 29 as being anticipated by Patent No. 7,107,245 to Kowalick (Kowalick). The Examiner relies Kowalick at Fig. 1, 20 col. 3:3-4-37, and col. 7:9-20 teaches "software for generating a random outcome." Applicant respectfully disagrees.

In Figure 1 of Kowalick, reference number 20 refers to a computer that contains unique player accounts that is connected to biometric registration. See col. 3:51-62.

In the remaining portions of columns 3 and 4, Kowalick teaches a server-side system with a unique player account in the central computer. On the client-side the player is identified using biometrics gathered on the clientside and compared to biometrics on the server-side. Id. The player is then authorized to play on the client-side. Col 4: lines 13-37.

In col.7:9-20, the operative game described by Kowalick relies on a player registering and moving from machine to machine, if the biometric matches the biometric that the central computer has selected. Thus, Kowalick relies on first registering on a first machine, then moving to a second machine, performing a random selection of a biometric, determining if there is a match that is randomly selected by the computer, and the player becoming an instant winner of a prize.

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Applicant respectfully submits that the Examiner has failed to satisfy prima facie anticipation requirements because not all elements of the claims are taught by Kowalick. Applicant's previously claimed *verifying security information received from the network access device* and *a means for generating at least one game outcome and communicating a game output corresponding to the game outcome to the at least one network access device.* 

Kowalick fails to teach verifying that security information relates to the network access device (not the user). Kowalick assumes the various gaming apparatus are secure. Additionally, Kowalick fails to teach communicating the game outcome to the network access device. Kowalick only describes the player becoming an instant winner. Thus, Kowalick does not satisfy the *prima facie* anticipation requirements.

Regardless, to expedite the prosecution of this patent application claim 29 has been amended as described above. More particularly, claim 29 has been amended to claim a *gaming server system* configured to communicate with a plurality of network access devices that are communicatively coupled to a network. The gaming server system includes a verification server, a memory module and a gaming server. The gaming server system does not reside on the network access devices or clients and the principal gaming operations occur on the server-side.

Firstly, independent claim 29 has been amended to include a verification server and a gaming server. Kowalick does not teach or suggest this distinction.

Secondly, independent claim 29 has been amended to show that the verification server receives security information about each network access device from each network access device and verifies the network access device is a valid gaming device with the security information received. Kowalick does not teach or suggest this limitation.

Thirdly, the claim has been amended to include a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices. The Applicant respectfully submits that this claim limitation is also not taught or suggested by Kowalick.

Fourthly, the claim has been amended to include <u>a paytable module</u> <u>associated with the gaming server, the paytable module configured to determine one</u>

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or more prizes associated with a game outcome. The Applicant respectfully submits that this claim limitation is also not taught or suggested by Kowalick.

Fifthly, claim 29 has been amended to include the gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices. The Applicant respectfully submits that this claim limitation is also not taught or suggested by Kowalick.

Further still, the combination of elements includes a verification server and a game server, where the verification server receives security information about each network access device from each network access device and, verifies the network access device is a valid gaming device with the security information received, and the game server includes a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices, a paytable module associated with the gaming server, the paytable module configured to determine one or more prizes associated with a game outcome, and the game server is configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices is not taught or suggested by Kowalick.

### C. Obviousness Rejections (35 U.S.C. § 103)

### 1. Claims 21, 26, 34, 37 and 42

The Examiner has rejected claims 21, 26, 34, 37 and 42 under 35 U.S.C. §103(a) as being unpatentable over Kowalick (7107245), in view of Walker (6001016). Claims 21 and 37 are independent claims. Claims 26, 34 and 42 are drawn to dependent claims that include a paytable module. Note, the limitations of claims 26, 34 and 42 have been integrated into the corresponding independent claims and these claims have been cancelled.

Firstly, the Examiner presents the Kowalick arguments described above. The Applicant respectfully traverses the rejection on the grounds presented above.

The Examiner continues by relying on Walker for the obviousness rejection. The Examiner relies on Walker at 3:40 - 4:30 that describes "a slot network server identifies the outcome data from one or more slot machines and transmits this

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outcome to the remote wagering terminal". See 3:44-48. As shown in FIG. 1, the slot network server 4 facilitates communications between the remote terminal network 10 and the slot machines 2. The random number operations occur on the slot machine as shown in FIG. 2. The slot network server 4 relies on accessing the slot machines 2 in the slot machine network as shown in FIG. 3.

The Examiner then relies on 11:33-65 and 12:17-38, 284. Reference 284 refers to a payout table. See col. 11: line 54. *Payout table 284 resides on the slot machine 2. See FIG. 2.* Recall, Applicant previously claimed the paytable module residing on the server-side, i.e. gaming module.

In 11:33-65, Walker describes "slot machine 2 communicates only the payout information to the slot network server 4." The payout information from the slot machine 2 is generated from a slot machine 2 having a CPU 210 that initiates the RNG 240 to generate a random number and then looks up the random number in a probability table and finds the corresponding outcome, and based on the outcome, the CPU locates the appropriate payout. See Col. 5: lines 17-31.

Thus, all the gaming operations that relate to generating a random game output occur on the slot machine (or client-side). Note, the server ONLY generates a visual representation after the slot machine (on the client-side) has generated an output. See Col. 11: 55-65.

In col. 12:17-38, Walker continues by relying on *historical* outcome data generated by slot machine 2 and based on this *historical* outcome data generates a visual representation data.

The Applicant previously claimed a video system configured to store a plurality of images corresponding to at least one game; and a gaming module configured to: generate at least one random game output, the at least one random game output associated with at least one game outcome; and communicate the plurality of images corresponding to the at least one game outcome to the at least one network access device.

Applicant's claim language relies on the random game output being generated by a gaming module *residing on the server-side* and *not* the client-side. Secondly, the Applicant's paytable module resides on the server-side and not the client-side as taught by Walker. Like Kowalick, Walker relies on the network server

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to keep track of player's wins and losses based on the outcome data that comes from the slot machines. See col. 3:49-51.

The Examiner then continues by stating that method of Kowalick stands for the "the expected result of maintaining central control of game outcome to increase security." See Examiner's Action Page 7. The Applicant respectfully disagrees. Kowalick does not speak to maintaining central control of game. Kowalick speaks to a centralized (server-side) biometric gaming access system, in which "a feature may be added" so that when a player registers AND moves from machine to machine, the if the biometric matches the biometric that the central computer has randomly selected, then that player becomes an instant winner of a prize. See Kowalick at col.7: 9-19. Simply put, the central computer 20 of Kowalick does *not* maintain central control of game outcome because the game play occurs on the client-side and only-if the player moves from machine-to-machine does this trigger a biometric matching process that may result in a prize.

Regardless, the Applicant has amended the claims to further expedite the prosecution of this patent application as described above.

As previously stated, the independent claims have been amended to include a verification server and a gaming server. Kowalick and Walker fail to teach or suggest this limitation.

Secondly, the independent claims have been amended to show that the verification server receives security information about each network access device from each network access device and to verify the network access device is a valid gaming device with the security information received. Kowalick and Walker fail to teach or suggest this limitation.

Thirdly, the independent claims have been amended to include <u>a memory</u> module configured to store a plurality of images corresponding to at least one game <u>outcome that are communicated to the network access devices</u>. The Applicant respectfully submits that this claim limitation is also not taught or suggested by Kowalick and Walker.

Fourthly, the claims have been amended to include <u>a paytable module</u> <u>associated with the gaming server, the paytable module configured to determine one or more prizes associated with a game outcome</u>. The Applicant respectfully submits

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that this claim limitation is also not taught or suggested by Kowalick and Walker.

Fifthly, the claim have been amended to include the gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices. The Applicant respectfully submits that this claim limitation is also not taught or suggested by Kowalick and Walker.

Sixthly, the claims 21 and 37 include the limitation of the gaming server generating at least one random game output that is associated with a least one game outcome, where the gaming server also centrally controls the memory module, the paytable module, and the gaming server communicates the plurality of images corresponding to the game outcome to a *valid* network access device, in which a verification server receives security information about each network access device from each network access device and then verifies the network access device is a valid network device that receives the plurality of images from the gaming server.

Finally, the Examiner states that "it would have been obvious to an artisan at a time prior to the invention to include/apply the process of a video server that stores a plurality of images corresponding to one particular game outcome to improve the gaming system and method for the expected result of maintaining central control of game outcome to increase." See Examiner's Action at Page 7. Applicant respectfully submits that the Examiner is applying improper hindsight reasoning in making this argument because both Kowalick, Walker and the other cited references rely on gaming operations occurring on the client-side with little or no game control residing on the server-side.

### 2. Claims 27, 28, 35, 36, 43, and 44

The Examiner has rejected claims 27, 28, 43 and 44 under 35 USC 103(a) as being unpatentable over Kowalick, in view of Walker and further in view of Karmarkar (6508709). The Examiner has also rejected claims 35 and 36 under 35 USC 103 as being unpatentable over Kowalick further in view of Karmarkar.

The Examiner starts with arguments that "modified" Kowalick teaches communicating a plurality of gaming images form a server to a gaming network device. For the reasons stated above and hereby incorporated by reference, the Applicant respectfully disagrees.

The Examiner then continues by relying on Karmarkar for teaching an encoding module and an encryption module. The pertinent sections cited by the Examiner, namely FIG. 1 and 3, relate to *video cameras* and communicating live games (client-side) or pre-recorded actual games. Again, the process of generating a random game output occurs on the client-side in FIG. 1 and 3.

At col. 6:7-7:7, Karamarkar teaches using a "sensor array" to capture video games. At col. 7:43-8:64, Karamarkar teaches using a VPN and a remote on-site player terminal.

Simply put, Kowalick, Walker and Karmarkar fail to teach a gaming server system configured to communicate with network access devices as claimed in the underlying independent claims as described above. Additionally, Karmarkar further reinforces game control residing on the client-side and not residing on the server-side as claimed by Applicant in the recently amended claims.

Thus, the Applicant respectfully submits that Kowalick, Walker and Karmarkar fail to teach or suggest the gaming server system that includes a verification server that receives security information about each network access device and verifies the network access device is a valid gaming device, the gaming server generates at least one random game output that is associated with a game outcome, a paytable module (server-side) associated with the gaming server is configured to determine one or more prizes associated with a game outcome, and the gaming server is configured to access the memory module (server-side) and communicate a plurality of images corresponding to the at least one game outcome to the valid network access device that also include images that are encoded and or encrypted based on

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server-based game outcomes and images selected by the server based on the game outcome that is determined by the gaming server.

### 3. Claims 22-25 and 38-41

The Examiner has rejected claims 22-25 and 38-41 under 35 USC 103 as being unpatentable over Kowalick (71072455), in view of Walker and further in view of Falcigilia (5971849). Additionally, claims 30-33 are rejected under 35 USC 103(a as being unpatentable over Kowalick (7107245) further in view of Falcigilia (5971849). The Applicant respectfully incorporates by reference the Kowalick arguments stated above.

The Examiner then continues by relying on Fig. 5 of Falcigilia, which shows a display screen with a large chat window. See Col. 2: lines 17-19. The Examiner also relies on col. 7:6-34 which describes a countdown of time that is visible on the display 14 using GUI 22.

A closer inspection of Falcigilia describes a networked or multi-player operation where a gaming client 50 interfaces with "dumb" terminals and the processing capabilities for performing the "poker-like game being located solely at the game client." Col. 4: lines 56-65.

Again the Examiner's references Falcigilia teaches away from Applicant's claimed gaming server that generates at least one random game output that is associated with a game outcome, a paytable module (server-side) associated with the gaming server is configured to determine one or more prizes associated with a game outcome, and the gaming server is configured to access the memory module (server-side) and communicate a plurality of images corresponding to the at least one game outcome to the *valid* network access device, and a registered user that includes either the gaming server comprising a player buffer configured to receive a plurality of player data associated with each player, a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set, the random game output generated by the gaming server is a random number associated with each player, the random game output generated by the gaming server is a random number generated for all player data sets in the player buffer, or any combination thereof.

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### D. Conclusion

In view of the foregoing amendments and remarks, the Applicant respectfully submits that the above-identified patent application is in condition for allowance. If the Examiner finds that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Respectfully Submitted,

Dated: October 19, 2011 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

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Electronic Acknowledgement Receipt					
EFS ID:	11224001				
Application Number:	12981403				
International Application Number:					
Confirmation Number:	6498				
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA				
First Named Inventor/Applicant Name:	Michael A. Kerr				
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1		OAR101911.pdf	87398 9b7addb641c6a7b4c3c4e27f92e6e6594b5 2f5fa	yes	17			

	Multipart Description/PDF files in .zip description						
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	Amendment/Req. Reconsideration-After Non-Final Reject	1	1				
	Claims	2	7				
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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P/	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Α	Application or Docket Number Filing Date 12/981,403 12/29/2010			To be Mailed	
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	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A	1	N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A	ו	N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	ΞE	N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *		1	X \$ =		OR	X \$ =	
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* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.		_	TOTAL			TOTAL	
	APP	(Column 1)	AMENE	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	10/19/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 24	Minus	** 24	= 0		X \$30 =	0	OR	X \$ =	
불	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$125 =	0	OR	X \$ =	
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_	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 C	FR 1.16(j))				OR		
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ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
N N	Application Si	ize Fee (37 CFR 1	.16(s))								
AM	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 C	FR 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/981,403	12/29/2010 Michael A. Kerr		NEXRF 10.011	6498	
48008 KERR IP GRO	7590 11/03/201 UP, LLC	1	EXAM	INER	
MICHAEL A. I	KERR		D'AGOSTINO, PAUL ANTHONY		
	P.O. Box 18600 RENO, NV 89511			PAPER NUMBER	
			NOTIFICATION DATE	DELIVERY MODE	
			11/03/2011	ELECTRONIC	

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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PTOL-90A (Rev. 04/07)

		Application No.	Applicant(s)					
		12/981,403	KERR, MICHAEL A.					
	Office Action Summary	Examiner	Art Unit					
		Paul A. D'Agostino	3716					
Period for	- The MAILING DATE of this communication appl r Reply	ears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
2a) 🔲 3) 🗌 4) 🔲	<ol> <li>Responsive to communication(s) filed on 19 October 2011.</li> <li>This action is FINAL. 2b) This action is non-final.</li> <li>An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.</li> </ol>							
Disposition	on of Claims							
6)	5) ☐ Claim(s) 21-25,27-33,35-41 and 43-47 is/are pending in the application. 5a) Of the above claim(s) is/are withdrawn from consideration. 6) ☐ Claim(s) is/are allowed. 7) ☐ Claim(s) 21-25,27-33,35-41 and 43-47 is/are rejected. 8) ☐ Claim(s) is/are objected to. 9) ☐ Claim(s) are subject to restriction and/or election requirement.							
Application	on Papers							
10) The specification is objected to by the Examiner.  11) The drawing(s) filed on 29 December 2010 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  12) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
<ul> <li>13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachment(		4) 🔲 Intomious Ossessesses	(PTO 412)					
2) Notice 3) Inform	'⊟ variable							

U.S. Patent and Trademark Office PTOL-326 (Rev. 03-11)

Office Action Summary

Part of Paper No./Mail Date 20111028

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### **DETAILED ACTION**

This responds to Applicant's Arguments/Remarks filed 01/19/2011. Claims 21-25, 27-33, 35-41, and 43-44 have been amended. Claims 1-20 stand cancelled and Claims 26, 34, and 42 are newly cancelled. Claims 45-47 are newly added. Claims 21-25, 27-33, 35-41, and 43-47 are now pending in this Application.

### Response to Amendment

1. Applicant states that a Terminal Disclaimer has been filed to overcome the rejection under 35 U.S.C. § 101 double patenting. As of the writing of the Office Action a Terminal Disclaimer is not in the prosecution history. Examiner will maintain the rejection and advises Applicant to trace the submission or resend the disclaimer. Appropriate attention is required.

### **Double Patenting**

2. Claims 21-25, 27-33, 35-41, and 44-47 of this application conflict with Claims 21-41 of Application No. 12/982,656, claims 21-39 of Application No. 12/982,018 and claims 21-48 of Application No. 10/681,034. 37 CFR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application.

Applicant is required to either cancel the conflicting claims from all but one application or maintain a clear line of demarcation between the applications. See MPEP § 822.

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- 3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re LongL 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).
- 4. A timely filed terminal disclaimer in compliance with 37 CFR 1.321 (c) or 1.321 (d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.
- 5. Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).
- 6. Claims 21-25, 27-33, 35-41, and 44-47 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 21-41 of Application No. 12/982,656, claims 21-39 of Application No. 12/982,018 and

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claims 21-48 of Application No. 10/681,034, all in view of Falcigilia (5971849).

- 7. Wherein fail to Application Nos. 12/982,656, 12/982,018, and 10/681,034 to explicitly claim a player buffer configured to receive one or more player data sets, each player data set associated with a player; and a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set. In am gaming system similar to that taught by Application Nos. 12/982,656, 12/982,018, and 10/681,034, Falcigilia teaches a gaming server system for playing a chance game with a "waiting room," i.e. player buffer, having a timer display until a game starts (countdown timer) and a player can join a game (see fig. 5, display; col. 7:6-34).
- 8. Therefore it would have been obvious to one skilled in the art at the time of the invention to include gaming features as described above and in Falcigilia, in the gaming system server of Application Nos. 12/982,656, 12/982,018, and 10/681,034 to improve the gaming system and method of Application Nos. 12/982,656, 12/982,018, and 10/681,034 for the expected result of maintaining central control of game outcome.
- 9. This is a provisional obviousness-type double patenting rejection.

### Claim Rejections - 35 USC § 112

- 10. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 11. Claims 21-25, 27-33, 35-41, and 43-47 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the

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subject matter which applicant regards as the invention. "About" and "Associated with" are vague as recited in the claim limitations of Claims 21, 29, and 37. Specifically, "receive security information {about each, associated with a} network access device from each network access device" raises questions at to whether game machine-specific information is claimed or personal information taken by, in proximity to, or on, a machine such that the metes and bounds of the claimed invention cannot be ascertained. Appropriate attention is required.

### Claim Rejections - 35 USC § 103

- 12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 13. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 14. Claims 21, 29, 37, and 45-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. U.S. Patent No. 7,107,245 to Kowalick (Kowalick) of record in view of U.S. Patent No. 6,001,016 to Walker et al. (Walker) of record.

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### In Reference to Claims 21, 29, and 37

Kowalick discloses a gaming server and method (Fig. 1 system 10) configured to communicate with a plurality of network access devices that are communicatively coupled to a network (Fig. 1 remote network access devices being gaming machines 26a-c comprising slot machines, blackjack, roulette, and amenities access), the gaming server system comprising:

a verification server (Fig. 1 Central computer 20) configured to access a registration database (Fig. 1 central data repository 22) having a plurality of registration data associated with each registered user ("account information is stored on a central computer 20" Col. 3 Lines 53-55 and wherein "once a player has successfully registered by providing the biometric sample" Col. 4 Lines 12-16), wherein the verification server is configured to receive a player biometric as the user identification that is associated with the player from the access device (Col. 1 Lines 38-42), and wherein the verification server is configured to:

receive user identification information associated with a player from each access device ("user provides an appropriate biometric" Col. 3 Lines 39-42; and identification information such as a PIN number Col. 5 Lines 40-46; the "information provided at a biometric registration apparatus 12 or incorporated into each of a plurality of the gaming machines 26a-c" Col. 4 Lines 55-59);

verify the user accessing the network access device is a registered user by comparing the user identification information to the registration data (once registered, user accesses the gaming machine, provides a biometric, gaming machine

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communicates back to central server 20 to get account information Col. 4 Lines 12-37; in this process the central computer 20 is searching for a user account unique to that player based on comparing the biometric to the registration biometric and PIN input to the stored PIN information, thereby comparing the user provided identification information to the registration database information to locate a valid account; comparing is also evidenced by several anti-fraud measures disclosed at Col. 5 Lines 60-65 and Col. 6 Lines 1-6); and also including:

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices (Kowalick discloses that the central computer 20 is a computer, mainframe computer which necessarily must have memory Col. 3 Lines 55-60);

a gaming server configured to generate at least one gaming outcome (Examiner construes the limitation such that there is a game processor which executes play of games and outcomes. The claim does not specify as argued by Applicant to be on a server side or a client side. Examiner interprets Kowalick as disclosing play of games whererin the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer; Fig. 6 and gaming machines 26a-c; see also Col. 2 Lines 1-3; Fig. 1 "blackjack, roulette; Col. 5 Lines 30-39 and Col. 6 Lines 50-51. However, in a unique application Kowalick discloses an instant winner game executed randomly out of the central computer Col. 7 Lines 9-19. This instant winner game is a game outcome wherein the central computer acts as a game server to generate a game outcome. Applicant argues that the game operates only if

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the player moves from machine to machine. Examiner respectfully disagrees with Applicant's interpretation of this instant game. Kowalick is disclosing that a player may be tracked and remains in contention for a prize not the converse); and

the gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device (the central computer 20 accesses the memory in order to know who is playing to select a winner for the instant game and communicate the prize to the player Col. 7 Lines 9-19).

However, Kowalick is silent wherein receiving security information associated with a network access device from each device; verifying the access device is a valid device with security information received from each network device; a memory module wherein there is a plurality of images corresponding to game outcomes that are at the central server which are communicated to the gaming machines; a gaming server which generates not just an instant winner but a game outcome using a payout module associated with the game server which determines one of more prizes.

Walker teaches of an analogous gaming network (Fig. 1) wherein a slot server 4 is a gaming server which generates gaming outcomes for reuse to remote terminals 5 (Fig. 1 and Col. 2 Lines 55-60; col. 11 Lines 33-67 and Col. 12 Lines 1-11). Walker discloses receiving security information associated with a network access device from each device (Walker records a remote terminal ID 4460, outcomes 4465, and machine number 4469; see Fig. 5; Col. 4 Lines 39-43); verifying the access device is a valid device with security information received from each network device (Walker teaches

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that in order for a player to specify preferences a valid access device ID tag has to be specified as being one from a map provided to the player Col. 9 Lines 33-41. This device ID tag is received from the gaming device when the player creates preferences Col. 9 Lines 22-36); a memory module wherein there is a plurality of images corresponding to game outcomes according to a payout structure which determines one or more prizes (server 4 access player databases 444 and 449; see Fig. 3 and Figs. 4-7; wherein the generated outcome 4465 and reel positions 4467 are stored as well as payout structure {module} 4495 based on the stored outcomes 4498; the server 4 then generates and displays the outcome data at the remote gaming devices col. 7 Lines 43-47 and Col. 10 Lines 20-48; see also visual representation Col. 11 Lines 33-65 wherein the gaming machine only delivers payout information and server 4 generates an outcome visualization according to a payout structure and access to the slot machine database 449).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the slot network server and generation of game outcomes to send to remote terminals as taught by Walker into the teachings of Kowalick in order to promote a more widespread network as suggested by Kowalick (Col. 6 Lines 24-33) but at a lower operating cost as live game outcomes are stored in a historical outcome file for reuse by the slot network server (Walker Col. 12 Lines 6-16). Additionally, for the expected result of maintaining central control of game outcomes to increase network security

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15. In Reference to Claims 27-28, 35-36, and 43-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick in view of Walker further in view of U.S. Patent No. 6,508,709 to Karmarkar (Karmarkar).

With respect to claims 27, 28, 35, 36, 43 and 44 the Kowalick as modified by Walker teaches communicating a plurality of gaming images from a server to a gaming network access device. Further, at the time of the invention, encryption and encoding images is a well known means of transferring image data from a server to a network access device. Wherein, Kowalick as modified by Walker fails to explicitly disclose an encoding module configured to convert the images to a format meeting the requirements of the at least one network access device (claim 27/35/43) and an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device (claim 28/35/44), Applicant is directed to the teachings of Karmarkar.

Karmarkar teaches in a video gaming system similar to that of the Kowalick and Walker references, transmitting from a server to a network access device gaming video images utilizing encoding (MPEG) and encryption (RSA/DES/etc...) (see figs. land 3, col. 6:7-7:7; col. 7:43-8:64).

It would have been obvious to one skilled in the art at the time of the invention to include the encoding/encryption means in the modified gaming server of Kowalick to transmit images to a network access device because Karmarkar teaches that gaming systems like that of Kowalick and Walker increase their utility with the addition of secure

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transmission of video images by becoming compliant with gaming rules (see col. 1:7-3:57).

16. Claims 22-25, 30-33, and 38-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kowalick in view of Walker further in view of U.S. Patent No. 5,971,849 to Falciglia (Falciglia).

As to claims 22-25, 30-33, and 38-41, Kowalick discloses a server having a central data repository (memory) for storing player data (see fig. 1, 20/22; col. 3:3-4:37). However, Kowalick as modified by Walker fails to explicitly disclose a player buffer configured to receive a plurality of player data sets associated with each player, each player data set associated with a player (claim 22); a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set (claim 23); wherein the random game output generated by the gaming module is a random number generated for each player data set (claim 24); and wherein the random game output generated by the gaming module is a random number generated by the gaming 25).

In a gaming server system similar to that taught by Kowalick and Walker,
Falcigilia teaches a gaming server system for playing a chance game with a "waiting
room," i.e. player buffer, having a timer display until a game starts (countdown timer)
and a player can join a game (see fig. 5, display; col. 7:6-34). Further, Falcigilia teaches
game play utilizing a random number generator for random game output including for
each player and for all players in the current game be played, i.e. players in player

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buffer (col. 3:23-54; and col. 11:57-12:18).

It would have been obvious to one skilled in the art at the time of the invention to include gaming features as described above and in Falcigilia, in the gaming system server of Kowalick as modified by Walker to improve the gaming system and method for the expected result of maintaining central control of game outcome.

### Response to Arguments

17. Applicant's arguments with respect to the claims rejected under 35 U.S.C. § 102 have been considered but are moot in view of the new ground(s) of rejection. Applicant is directed to Walker. Further Applicant has argued (see Applicant Arguments/Remarks pages 9-11) that Kowalick as not providing games from a server side. Examiner respectfully disagrees and has addressed the issue both from the perspective of the disclosure of Kowalick and Walker. Further, Examiner has rejected the claims under 35 U.S.C. § 112 for not reciting in the claims what Applicant argues as functions from the server side since Examiner is amble to reasonably interpret the claims as not being restricted by outcomes solely being generated by only a server side and not a client side. Applicant also argues (see Applicant Arguments/Remarks pages 11-17) also hinge on Applicant's position of a server side distinction. Examiner respectfully disagrees and has addressed the issue previously and as part of the rejection of the claims. Lastly, Applicant appears to argue (see Applicant Arguments/Remarks page 16) that Falcigilia teaches away. Examiner respectfully disagrees. However, "the prior art's mere disclosure of more than one alternative does not constitute a teaching away

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from any of these alternatives because such disclosure does not criticize, discredit, or otherwise discourage the solution claimed .... "In re Fulton, 391 F.3d 1195, 1201, 73 USPQ2d 1141, 1146 (Fed. Cir. 2004). Falcigilia teaching of dumb terminals is not a teaching away without more evidence that there is a criticism, discrediting, or discouragement of the solution claimed.

#### Conclusion

- 18. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 19. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.
- 20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul A. D'Agostino whose telephone number is

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(571)270-1992. The examiner can normally be reached on Monday - Friday, 7:30 a.m. - 5:00 p.m..

21. If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

22. Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Paul A. D'Agostino/

Primary Examiner, Art Unit 3716

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	Paul D'Agostino	3716

<b>✓</b>	Rejected	-	Cancelled	N	ı	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	ı		Interference	0	Objected

☐ Claims	renumbered	in the same	order as pre	esented by	applicant		□ СРА	П Т	∵.D. □	R.1.47
CL	AIM					DATE				
Final	Original	07/08/2011	10/28/2011							
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	21	✓	✓							
	22	✓	✓							
	23	✓	✓							
	24	✓	✓							
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	30	✓	✓							
	31	✓	✓							
	32	✓	✓							
	33	✓	✓							
	34	✓	-							
	35	✓	✓							
	36	✓	✓							

U.S. Patent and Trademark Office

Part of Paper No.: 20111028

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	Paul D'Agostino	3716

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

] Claims	renumbered	in the same	order as prese	nted by applicant	☐ CPA	☐ T.D	. 🗆	R.1.47
CL	AIM				DATE			
Final	Original	07/08/2011	10/28/2011					
	37	✓	✓					
	38	✓	<b>√</b>					
	39	✓	✓					
	40	✓	<b>√</b>					
	41	✓	✓					
	42	✓	-					
	43	✓	<b>√</b>					
	44	✓	✓					
	45		✓					
	46		✓					
	47		✓					

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TERMINAL DISCLAIMER TO ACCOMPANY PETITION	Docket Number (Optional) NEXRF 10.011
In re Application of:	
Name: Kerr, Michael A.	
Application Number: 12/981,403	
Filed: December 29, 2010	
For: GAMING SYSTEM AND NETWORK AND METHOD FOR DELIVERING GAMING MEDIA	
The owner*, NexRF, Inc. of 100 percent interest in the about disclaims a terminal part of the term of any patent granted the above-identified a above-identified application is a design application, the period of abandonment of and (2) if the above e-identified application is a utility or plant application, the abandonment of the application; or (b) the period extending beyond twenty ye above-identified application was filed in the United States or, if the application coearlier filed application(s) under 35 U.S.C. 120, 121, or 365(c), from the dapplication was filed. This disclaimer also applies to any patent granted on a utility June 8, 1995, or a design application, that contains a specific reference under 3 the above-identified application. This disclaimer is binding upon the grantee, and Check either box 1 or 2 below, if appropriate.	pplication equivalent to: (1) if the the above-identified application, the less er of: (a) the period of ears from the date on which the ontains a specific reference to an ate on which the earliest s uch y or plant application filed before 35 U.S.C. 120, 121, or 365(c) to its successors or assigns.
agency, etc.), the undersigned is empowered to act on behalf of the organ	ization.
2. Landersigned is an attorney or agent of record. Registration Number_	42,722 .
Michael A. Kerr/ Signature	02/01/2012 Date
-	
Michael A. Kerr  Typed or Printed Name	(775)624-8700 Telephone Number
<ul> <li>Terminal disclaimer fee under 37 CFR 1.20(d) included.</li> <li>WARNING: Information on this form may become public. Credit card included on this form. Provide credit card information and authorizate.</li> </ul>	
* Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	

This collection of information is required by 37 CFR 1.137. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR CO MPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Number	12981403	Filing Date	2010-12-29	Docket Number (if applicable)	NexRF 10.011	Art Unit	3716				
First Named Inventor	Kerr, Michael A.			Examiner Name	D'Agostino, Paul	-1	,				
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV										
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Doc code: RCEX PTO/SB/30EFS (07-09) Doc description: Request for Continued Examination (RCE)

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	Signature of Registered U.S. Patent Practitioner							
Signature	/Michael A. Kerr/	Date (YYYY-MM-DD)	2012-02-01					
Name	Michael A. Kerr	Registration Number	42722					

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Electronic Patent A	App	lication Fee	Transmi	ttal	
Application Number:	12	981403			
Filing Date:	29	-Dec-2010			
Title of Invention:	GA	MING SYSTEM NET	WORK AND ME <sup>-</sup>	THOD FOR DELIVER	RING GAMING MEDIA
First Named Inventor/Applicant Name:	Mi	chael A. Kerr			
Filer:	Mi	chael A. Kerr/Julie D	awes		
Attorney Docket Number:	NE	XRF 10.011			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	2801	1	465	465
	Tot	al in USD	(\$)	465

Electronic	Ackn	owledgement R	eceipt							
EFS ID:		11977950								
Application Number:		12981403								
International Application Number:										
Confirmation Number:	(	6498								
Title of Invention:	(	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDI								
First Named Inventor/Applicant Name:	1	Michael A. Kerr								
Customer Number:	4	48008								
Filer:	1	Michael A. Kerr/Julie Dawes								
Filer Authorized By:	1	Michael A. Kerr								
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Receipt Date:	(	01-FEB-2012								
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Application Type:	ı	Utility under 35 USC 111(a)								
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Submitted with Payment	,	yes								
Payment Type	c	Credit Card								
Payment was successfully received in RAM	\$	465								
RAM confirmation Number		4189								
Deposit Account										
Authorized User										
File Listing:										
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2	Terminal Disclaimer Filed	terminal_disclaimer.pdf	9377309bbf31f69a43eeb779fd2ee580f0dd 054f	no	2
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	Applicant Arguments/Remarks Made in an Amendment		8	18	
	Claims		2	7	
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1	
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#### New International Application Filed with the USPTO as a Receiving Office

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Kerr, Michael A.** Group Art Unit: **3716** 

Application Serial No.: 12/981,403 Examiner name: D'Agostino, Paul

Filed: **December 29, 2010** Confirmation No: **6498** 

Title: GAMING SYSTEM NETWORK

AND METHOD FOR DELIVERING

GAMING MEDIA

# AMENDMENT AND RESPONSE ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

This Amendment and Response is filed in Response to the Final Office Action date November 03, 2011 in the above referenced patent application. This Amendment is filed in conjunction with a Request for Continued Examination.

Allowance of the pending claims in view of the following amendments is respectfully requested.

Amendments to the Claims begin on page 2.

Remarks begin on page 8.

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### **Amendments to the Claims**

This listing of the claims replaces the listings of the claims in the present patent application.

## 1 - 20 (Canceled).

**21 (Currently Amended).** A gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

a verification server configured to access a registration database having a plurality of registration data associated with each registered user, wherein the verification server is configured to:

- receive user identification information associated with a player from at least one network access device,
- receive security information about to identify each network access device as a secure network access device from each network access device.
- verify the user accessing the network access device is a registered user by comparing the user identification information to the registration data,
- verify the network access device is a valid gaming device with the security information received from the network access device;

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices;

a <u>centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome;</u>

a paytable module associated with the <u>centralized gaming</u> server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the <u>centralized</u> gaming server configured to access the memory module and

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communicate the plurality of images corresponding to the at least one game outcome to the valid network access device.

**22** (**Currently Amended**). The gaming server system of claim 21, wherein the <u>centralized gaming</u> server comprises a player buffer configured to receive a plurality of player data associated with each player.

**23 (Currently Amended).** The gaming server system of claim 22, wherein the <u>centralized gaming</u> server comprises a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.

**24 (Currently Amended).** The gaming server system of claim 22, wherein the random game output generated by the <u>centralized gaming</u> server is a random number associated with each player.

**25 (Currently Amended).** The gaming server system of claim 22, wherein the random game output generated by the <u>centralized gaming</u> server is a random number generated for all player data sets in the player buffer.

### 26 (Canceled).

**27 (Previously Presented).** The gaming server system of claim 21, further comprising an encoding module configured to convert the plurality of images to a format meeting the requirements of each network access device.

**28** (**Previously Presented**). The gaming server system of claim 21, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

**29 (Currently Amended).** A gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

a verification server configured to access a registration database having a plurality of registration data associated with each registered user, wherein the verification server is configured to:

- receive user identification information associated with a player from each network access device,
- receive security information associated with to identify a network access device as a secure network access device from each network access device,
- verify the user accessing the network access device is a registered user by comparing the user identification information to the registration data, and
- verify the network access device is a valid gaming device with security information received from each network access device;

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the network access devices;

a <u>centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one game outcome;</u>

a paytable module associated with the <u>centralized</u> gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the <u>centralized</u> gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices.

**30** (**Previously Presented**). The gaming server system of claim 29, further comprising a player buffer configured to receive one or more player data sets, each player data set associated with a player.

**31** (**Previously Presented**). The gaming server system of claim 30, further comprising a countdown timer coupled to the player buffer, the countdown timer configured to limit the time during which the player buffer is capable of receiving a player data set.

**32** (**Previously Presented**). The gaming server system of claim 30, wherein the game outcome is a random game output is based on a random number from a random number generator, the random number generated for each player in the player buffer.

**33** (**Previously Presented**). The gaming server system of claim 30, wherein the game outcome is a random game output based on a random number from a random number generator, the random number generated for all players in the player buffer.

#### 34 (Canceled).

**35 (Previously Presented).** The gaming server system of claim 29, further comprising an encoding module configured to convert the images to a format meeting the requirements of the network access device.

**36 (Previously Presented).** The gaming server system of claim 29, further comprising an encryption module, the encryption module configured to encrypt the images communicated to the at least one network access device.

**37 (Currently Amended).** A method for generating a game outcome with a gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

enabling a verification server to receive user identification information from at least one network access device;

receiving security information associated with to identify the at least one network access device as a secure network access device at the verification server;

verifying with the verification server that the user accessing the at least one network access device-is a registered user by comparing the user identification information to registration data stored in a registration database;

verifying the network access device is a valid gaming device with the verification server security information received from the at least one network access device;

generating, with a <u>centralized gaming server communicatively coupled to</u>
<u>each of the plurality of network access devices</u>, at least one random game output,
the at least one random game output associated with at least one game outcome;

determining one or more prizes associated with the game outcome with a paytable module associated with the <u>centralized</u> gaming server; and

communicating the plurality of images corresponding the at least one game outcome from the <u>centralized</u> gaming server to the at least one valid network access device.

**38 (Currently Amended).** The method of claim 37, further comprising receiving player data with a player buffer at the <u>centralized gaming</u> server.

**39 (Currently Amended).** The method of claim 37, further comprising limiting the time during which the player buffer is capable of receiving a player data set with a countdown timer at the <u>centralized</u> gaming server.

**40 (Currently Amended).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for each player at the <u>centralized gaming</u> server.

**41 (Currently Amended).** The method of claim 38, wherein the step of generating at least one random game output comprises generating a random game output for all players in the player buffer at the <u>centralized gaming</u> server.

# 42 (Canceled).

**43** (**Previously Presented**). The method of claim 37, further comprising converting the images to a format meeting the requirements of the at least one network access device with an encoding module.

**44 (Previously Presented).** The method of claim 37, further comprising encrypting the images communicated to the at least one network access device with an encryption module.

**45 (Previously Presented)**. The gaming server system of claim 21 wherein the verification server is configured to receive a player biometric as the user identification information that is associated with the player from at least one network access device.

**46 (Previously Presented).** The gaming server system of claim 29 wherein the verification server is configured to receive a player biometric as the user identification information that is associated with the player from at least one network access device.

**47 (Previously Presented).** The method of claim 37 wherein verifying with the verification server that the user accessing the at least one network access device is a registered user by comparing the user identification information that includes a player biometric to registration data stored in a registration database

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# **REMARKS**

The present remarks are in response to the Office Action November 3, 2011, in which the Examiner issued a rejection of claims 21-25, 27-33, 35-41, and 43-47. Although the Applicant respectfully disagrees with the Examiner's grounds for rejection, the Applicant has amended claims 21-25, 29, and 37-40. In view of the claim amendments and remarks, the Applicant respectfully requests that the pending claims be placed in a state of allowance. No new matter has been added.

#### A. Claim Amendments

Although the Applicant respectfully disagrees with the Examiner's grounds for rejection, in order to expedite the prosecution of this patent application the Applicant has amended independent claims 21, 29, and 37.

Independent claim 21 is amended herein to recite, in part, "receive security information to identify each network access device as a secure network access device from each network access device," and "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome; a paytable module associated with the centralized gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and the <u>centralized</u> gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device." In the Office Action dated November 3, 2011, the Examiner asserts that the claim did not specify whether the gaming server were on the server side or the client side. Office Action, p. 8. Applicant has amended claim 21 to specify the gaming server is on the centralized gaming server side. Support for the amendment is found in the as-filed specification at least at Figure 2.

Independent claim 29 is amended herein to recite, in part, "receive security information to identify each network access device as a secure network access device from each network access device" and "a centralized gaming server

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communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one game outcome; a paytable module associated with the centralized gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices." Support for the amendment is found in the as-filed specification at least at Figure 2.

Independent claim 37 is amended herein to recite, in part, "receiving security information to identify the at least one network access device as a secure network access device at the verification server" and "generating, with a centralized gaming server communicatively coupled to each of the plurality of network access devices, at least one random game output, the at least one random game output associated with at least one game outcome; determining one or more prizes associated with the game outcome with a paytable module associated with the centralized gaming server; and communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device." Support for the amendment is found in the as-filed specification at least at Figure 2.

Dependent claims 22-25 and 38-40 have been amended herein to improve antecedent basis in view of the amendments to independent claims 21 and 37.

# B. Double Patenting

Applicant submits herewith a Terminal Disclaimer and overcomes the Examiner's double patenting rejection.

#### C. Indefinite Rejection (35 U.S.C. § 112)

The Examiner has rejected claims 21-25, 27-33, 35-41 and 43-47 under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Specifically, the Examiner has rejected independent claims 21, 29, and 37 as vague

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as reciting receive security information {about each, associated with a} network access device from each network access device.

Independent claims 21 and 29 are amended herein to recite, in part, "receive security information about to identify each network access device as a secure network access device from each network access device" and independent claim 37 is amended herein to recite, in part, "receiving security information associated with to identify the at least one network access device as a secure network access device at the verification server." In view of these amendments, Applicant requests the Examiner withdraw the 35 U.S.C. § 112 rejections of independent claims 21, 29, and 37 and the dependents thereof.

# C. Obviousness Rejections (35 U.S.C. § 103)

### 1. Claims 21, 29, 37, and 45-47

The Examiner has rejected claims 21, 29, 37, and 45-47 under 35 U.S.C. §103(a) as being unpatentable over Kowalick (U.S. Patent No. 7,107,245), in view of Walker (U.S. Patent No. 6,001,016).

Kowalick and Walker do not teach, suggest, or otherwise make obvious "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome" and "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device," as recited in claim 21, as amended herein. As interpreted by the Examiner, Kowalick teaches play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer. Office Action, p. 8. Kowalick also teaches an instant winner came where the central computer may be programmed to randomly select a biometric registered in its system and when a player registers and moves from machine to machine, if the biometric matches the biometric that the central computer has randomly selected, that player becomes an instant winner of a prize. *Kowalick* at col. 7 lines 9-19. Kowalick, however, does not teach or suggest that the central computer

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communicates a plurality of images corresponding to at least one game outcome to the machine that the player is playing. Kowalick, therefore, does not teach or suggest the limitations of claim 21.

Walker also does not teach or suggest "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome" and "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device," as recited in claim 21. Rather, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. More particularly, Walker teaches that the slot network server 4 receives live outcome data from the selected slot machines 2 where the live outcome data includes the visual representation of the outcome, i.e. reel positions. *Id.* at col. 10 lines 20-30. Walker, therefore, teaches that the gaming device (slot machine) may communicate a particular game outcome (symbolized as a reel position) to the server, but does not teach or suggest that the server may communicate a particular game outcome to the gaming device (slot machine). Accordingly, Walker also does not teach or suggest a centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device, as recited in claim 21.

Thus Kowalick and Walker do not teach or suggest the claim limitations recited in claim 21.

In addition, there is no articulated reason in the prior art, common knowledge, or the nature of the problem itself that would have prompted combination and modification of the disclosures of the applied references so as to result in "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome" and "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding

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Application Serial No.: 12/981,403

to the at least one game outcome to the valid network access device," as recited in claim 21. The Examiner asserts it would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the slot network server and generation of game outcomes to send to remote terminals, as taught by Walker into the teachings of Kowalick, in order to promote a more widespread network, as suggested by Kowalick, but at a lower operating cost as live game outcomes are stored in a historical outcome file for reuse by the slot network server. Office Action, P. 9. Additionally, for the expected result of maintaining central control of game outcomes to increase network security. *Id.* However, as previously discussed, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. Therefore, assuming, arguendo, that one were to combine the teachings of Walker and Kowalick in the manner asserted by the Examiner (which Applicant does not concede), the resulting game system would include play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer, as taught by Kowalick, and including returning the game output information back to the server as taught by Walker. Accordingly, the combination of Kowalick and Walker would not produce "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome" and "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access device," as recited in claim 21.

As Kowalick and Walker not teach, suggest, or otherwise make obvious each and every element of independent claim 21, it is respectfully submitted that a prima facie case of obviousness has not been established against independent claim 21. Consequently, Applicant respectfully requests that the Examiner withdraw the 35 U.S.C. § 103(a) rejection of claim 21.

Claim 45 is allowable at least because it depends from independent claim 21, which is allowable.

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Kowalick and Walker do not teach, suggest, or otherwise make obvious "a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one game outcome" and "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices" as recited in claim 29, as amended herein. As interpreted by the Examiner, Kowalick teaches play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer. Office Action, p. 8. Kowalick also teaches an instant winner game where the central computer may be programmed to randomly select a biometric registered in its system and when a player registers and moves from machine to machine, if the biometric matches the biometric that the central computer has randomly selected, that player becomes an instant winner of a prize. Kowalick at col. 7 lines 9-19. Kowalick, however, does not teach or suggest that the central computer communicates a plurality of images corresponding to at least one game outcome to the machine that the player is playing. Kowalick, therefore, does not teach or suggest the limitations of claim 29.

Walker also does not teach or suggest "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices," as recited in claim 29. Rather, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. More particularly, Walker teaches that the slot network server 4 receives live outcome data from the selected slot machines 2 where the live outcome data includes the visual representation of the outcome, i.e. reel positions. *Id.* at col. 10 lines 20-30. Walker, therefore, teaches that the gaming device (slot machine) may communicate a particular game outcome (symbolized as a reel position) to the server, but does not teach or suggest that the server may communicate a particular game outcome to the gaming device (slot machine). Accordingly, Walker also does not teach or suggest a centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid

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network access devices, as recited in claim 29.

Thus Kowalick and Walker do not teach or suggest the claim limitations recited in claim 29.

In addition, there is no articulated reason in the prior art, common knowledge, or the nature of the problem itself that would have prompted combination and modification of the disclosures of the applied references so as to result in "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices," as recited in claim 29. The Examiner asserts it would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the slot network server and generation of game outcomes to send to remote terminals, as taught by Walker into the teachings of Kowalick, in order to promote a more widespread network, as suggested by Kowalick, but at a lower operating cost as live game outcomes are stored in a historical outcome file for reuse by the slot network server. Office Action, P. 9. Additionally, for the expected result of maintaining central control of game outcomes to increase network security. Id. However, as previously discussed, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. Therefore, assuming, arguendo, that one were to combine the teachings of Walker and Kowalick in the manner asserted by the Examiner (which applicant does not concede), the resulting game system would include play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer, as taught by Kowalick and including returning the game output information back to the server, as taught by Walker. Accordingly, the combination of Kowalick and Walker would not produce "the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one game outcome to the valid network access devices," as recited in claim 29.

As Kowalick and Walker do not teach, suggest, or otherwise make obvious each and every element of independent claim 29, it is respectfully submitted that a prima facie case of obviousness has not been established against independent

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claim 29. Consequently, Applicant respectfully requests that the Examiner withdraw the 35 U.S.C. § 103(a) rejection of claim 29.

Claim 46 is allowable at least because it depends from independent claim 29, which is allowable.

Kowalick and Walker do not teach, suggest, or otherwise make obvious "generating, with a centralized gaming server communicatively coupled to each of the plurality of network access devices, at least one random game output, the at least one random game output associated with at least one game outcome" and "communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device" as recited in claim 37, as amended herein. As interpreted by the Examiner, Kowalick teaches play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer. Office Action, p. 8. Kowalick also teaches an instant winner came where the central computer may be programmed to randomly select a biometric registered in its system and when a player registers and moves from machine to machine, if the biometric matches the biometric that the central computer has randomly selected, that player becomes an instant winner of a prize. Kowalick at col. 7 lines 9-19. Kowalick, however, does not teach or suggest that the central computer communicates a plurality of images corresponding to at least one game outcome to the machine that the player is playing. Kowalick, therefore, does not teach or suggest the limitations of claim 37.

Walker also does not teach or suggest "communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device," as recited in claim 37. Rather, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. More particularly, Walker teaches that the slot network server 4 receives live outcome data from the selected slot machines 2 where the live outcome data includes the visual representation of the outcome, i.e. reel positions. *Id.* at col. 10 lines 20-30. Walker, therefore, teaches that the gaming device (slot machine) may communicate a particular game outcome (symbolized as a reel position) to the

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server, but does not teach or suggest that the server may communicate a particular game outcome to the gaming device (slot machine). Accordingly, Walker also does not teach or suggest communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device to the valid network access device, as recited in claim 37.

Thus Kowalick and Walker do not teach or suggest the claim limitations recited in claim 37.

In addition, there is no articulated reason in the prior art, common knowledge, or the nature of the problem itself that would have prompted combination and modification of the disclosures of the applied references so as to result in "communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device," as recited in claim 37. The Examiner asserts it would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the slot network server and generation of game outcomes to send to remote terminals, as taught by Walker into the teachings of Kowalick, in order to promote a more widespread network, as suggested by Kowalick, but at a lower operating cost as live game outcomes are stored in a historical outcome file for reuse by the slot network server. Office Action, P. 9. Additionally, for the expected result of maintaining central control of game outcomes to increase network security. Id. However, as previously discussed, Walker teaches that each of the gaming devices is configured to generate outcome data and automatically communicate the outcome data to the server. Walker at col. 2 Lines 54-56. Therefore, assuming, arguendo, that one were to combine the teachings of Walker and Kowalick in the manner asserted by the Examiner (which applicant does not concede), the resulting game system would include play of games wherein the games are run and outcomes produced at the gaming terminals with account information recorded back at the central computer, as taught by Kowalick, and including returning the game output information back to the server, as taught by Walker. Accordingly, the combination of Kowalick and Walker would not produce "communicating the plurality of images corresponding the at least one game outcome from the centralized gaming server to the at least one valid network access device," as recited in claim 37.

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As Kowalick and Walker do not teach, suggest, or otherwise make obvious each and every element of independent claim 37, it is respectfully submitted that a prima facie case of obviousness has not been established against independent claim 37. Consequently, Applicant respectfully requests that the Examiner withdraw the 35 U.S.C. § 103(a) rejection of claim 37.

Claim 47 is allowable at least because it depends from independent claim 37, which is allowable.

# 2. Claims 27-28, 35-36, and 43-44

The Examiner has rejected claims 27-28, 35-36, and 43-44 under 35 U.S.C. §103(a) as being unpatentable over Kowalick in view of Walker in further view of Karmarkar (U.S. Patent No. 6,508,709).

Claims 27-28, 35-36, and 43-44 are allowable at least because they depend from independent claims, which is allowable. As previously discussed, Kowalick and Walker do not teach or suggest the claim limitations of independent claims 21, 29, and 37. Karmarkar teaches a remote bet placed on a live player, and, therefore does not teach or suggest communicating the plurality of corresponding images from the centralized gaming server to the wireless network access device. *Karmarkar* at abstract. Karmarkar, therefore, does not remedy the deficiencies of Kowalick and Walker. The nonobviousness of claims 21, 29 and 37 precludes a rejection of claims 27-28, 35-36, and 43-44 which depend therefrom because a dependent claim is obvious only if the independent claim from which it depends is obvious. See In re Fine, 5 U.S.P.Q.2d 1596, 1600 (Fed. Cir. 1099), see also MPEP § 2143.03. Therefore, Applicants request the Examiner withdraw the 35 U.S.C. § 103(a) obviousness rejection to claims 27-28, 35-36, and 43-44.

# 3. Claims 22-25, 30-33, and 38-41

The Examiner has rejected claims 22-25, 30-33, and 38-41 under 35 USC 103 as being unpatentable over Kowalick (71072455), in view of Walker and further in view of Falcigilia (5971849).

Claims 22-25. 30-33, and 38-41 are allowable at least because they depend from independent claims, which is allowable. As previously discussed, Kowalick and

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Walker do not teach or suggest the claim limitations of independent claims 21, 29, and 37. Falcigilia teaches a networked or multi-player operation where a gaming client 50 interfaces with "dumb" terminals and the processing capabilities for performing the "poker-like game being *located solely at the game client.*" *Falcigilia* at Col. 4: lines 56-65. Falcigilia, therefore, does not remedy the deficiencies of Kowalick and Walker. The nonobviousness of claims 21, 29 and 37 precludes a rejection of claims 22-25, 30-33, and 38-41 which depend therefrom because a dependent claim is obvious only if the independent claim from which it depends is obvious. See In re Fine, 5 U.S.P.Q.2d 1596, 1600 (Fed. Cir. 1099), see also MPEP § 2143.03. Therefore, Applicant requests the Examiner withdraw the 35 U.S.C. § 103(a) obviousness rejection to claims 22-25. 30-33, and 38-41.

#### D. Conclusion

In view of the foregoing amendments and remarks, the Applicant respectfully submits that the above-identified patent application is in condition for allowance. If the Examiner finds that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Respectfully Submitted,

Dated: February 1, 2012 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

Michael A. Kerr KERR IP GROUP, LLC P.O. Box 18600 Reno, NV 89511

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	Under the Par	converk Reduction	• Act of 19	DE no persons are	required to respon			nd Trademark Off	ice; U.S	. DĔPARTME	PTO/SB/06 (07-06) 007. OMB 0651-0032 NT OF COMMERCE OMB control number.
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	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o	E	N/A		N/A		N/A			N/A	
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							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
		(Column 1) CLAIMS		(Column 2) HIGHEST	(Column 3)						
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DMI	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12981403 Filing Date 2010-12-29 First Named Inventor KERR, Michael A. Art Unit 3716 Examiner Name D'Agostino, Paul Attorney Docket Number NEXRF 10.011

				U.S.	PATENTS	Remove
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( Not for submission under 37 CFR 1.99)

Application Number		12981403		
Filing Date		2010-12-29		
First Named Inventor	KERF	R, Michael A.		
Art Unit		3716		
Examiner Name	D'Ago	ostino, Paul		
Attorney Docket Number		NEXRF 10.011		

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Attorney Docket Number	er	NEXRF 10.011

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Attorney Docket Number		NEXRF 10.011		

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Attorney Docket Number		NEXRF 10.011		

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Application Number		12981403		
Filing Date		2010-12-29		
First Named Inventor	KERR	R, Michael A.		
Art Unit		3716		
Examiner Name	D'Ago	Agostino, Paul		
Attorney Docket Number		NEXRF 10.011		

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Electronic Patent	<b>Electronic Patent Application Fee Transmittal</b>					
Application Number:	12	981403				
Filing Date:	29	Dec-2010				
Title of Invention:	GA	MING SYSTEM NET	WORK AND MET	THOD FOR DELIVEI	RING GAMING MEDIA	
First Named Inventor/Applicant Name:	Mi	chael A. Kerr				
Filer:	Mi	chael A. Kerr/Julie D	awes			
Attorney Docket Number:	NE	XRF 10.011				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:	Claims:					
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

	Electronic	Ack	knowledgement R	eceipt					
EFS ID	):		12086902						
Application I	lumber:		12981403						
International Applic	ation Number:								
Confirmation	Number:		6498						
Title of Inve	ention:		GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDI						
First Named Inventor	'Applicant Name:		Michael A. Kerr						
Customer N	umber:		48008						
Filer:			Michael A. Kerr/Julie Dawes						
Filer Author	ized By:		Michael A. Kerr						
Attorney Docke	et Number:		NEXRF 10.011						
Receipt [	Date:		15-FEB-2012						
Filing Da	ate:		29-DEC-2010						
Time Sta	mp:		17:48:50						
Application	туре:		Utility under 35 USC 111(a)						
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Ack	knowledgement Receipt
EFS ID:	12087039
Application Number:	12981403
International Application Number:	
Confirmation Number:	6498
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA
First Named Inventor/Applicant Name:	Michael A. Kerr
Customer Number:	48008
Filer:	Michael A. Kerr/Julie Dawes
Filer Authorized By:	Michael A. Kerr
Attorney Docket Number:	NEXRF 10.011
Receipt Date:	15-FEB-2012
Filing Date:	29-DEC-2010
Time Stamp:	17:58:11
Application Type:	Utility under 35 USC 111(a)

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#### New International Application Filed with the USPTO as a Receiving Office

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Application Number	Application/Control No.	Applicant(s)/Patent under Reexamination KERR, MICHAEL A.				
Document Code - DISQ	Internal	Document – DO NOT MAIL				
TERMINAL DISCLAIMER	☐ APPROVED	☑ DISAPPROVED				
Date Filed : 02/01/12	This patent is subje to a Terminal Disclaimer	ct				
Approved/Disapproved	d by:					
l fees not paid and no authorizat 14.26.07.	ion to charged deposit acco	ount, see FP 14.24 and				
rong Td form used need to use PTO/SB/25.						
igie Walker						

U.S. Patent and Trademark Office

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING Docket Number (Optional) NEXRF 10.011 REJECTION OVER A PENDING "REFERENCE" APPLICATION In re Application of: KERR, Michael A. Application No.: 12/981,403 Filed: December 29, 2010 For: GAMING SYSTEM AND NETWORK AND METHOD FOR DELIVERING GAMING MEDIA The owner\*, NexRF, Inc , of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number see attached , as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant. Check either box 1 or 2 below, if appropriate. 1. Li For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. 2. The undersigned is an attorney or agent of record. Reg. No. 42722 October 23, 2012 /Michael A. Kerr/ Signature Date Michael A. Kerr Typed or printed name 775-624-8700 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) is included. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. \*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Application Serial No.: 12/981,403 Attorney Docket No.: NEXRF 10.011

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Kerr, Michael A.** Group Art Unit: **3716** 

Application Serial No.: 12/981,403 | Examiner name: D'Agostino, Paul A.

Filed: December 29, 2012 Confirmation No: 6498

Title: **GAMING SYSTEM AND** 

NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

#### **Terminal Disclaimer**

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir/Madam:

Applicant hereby files this attachment with terminal disclaimer form PTO/SB/25 (08-11) and hereby disclaims the terminal part of the statutory term of any patent granted on the instant application which would extend beyond Application Numbers 12/982,018 filed on December 30, 2012, 12/982,018 filed on December 30, 2012, and 10/681,034 filed on October 8, 2003.

Application Serial No.: 12/981,403 Attorney Docket No.: NEXRF 10.011

Respectfully Submitted,

Dated: October 23, 2012 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

Michael A. Kerr KERR IP GROUP, LLC P.O. Box 18600 Reno, NV 89511 Tel: (775) 624-8700 Fax: (775) 622-0686

Petitioners Ex-1002, Page 245 of 309

Electronic Patent A	App	olication Fee	Transmi	ttal	
Application Number:	12	981403			
Filing Date:	29	-Dec-2010			
Title of Invention:	GA	MING SYSTEM NET	WORK AND ME <sup>-</sup>	THOD FOR DELIVER	RING GAMING MEDIA
First Named Inventor/Applicant Name:	Mi	chael A. Kerr			
Filer:	Mi	chael A. Kerr/Julie D	awes		
Attorney Docket Number:	NE	XRF 10.011			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or terminal disclaimer	2814	1	80	80
	Tot	al in USD	(\$)	80

Electronic Acknowledgement Receipt							
EFS ID:	14073519	14073519					
Application Number:	12981403	12981403					
International Application Number:							
Confirmation Number:	6498						
Title of Invention:	GAMING SYSTEM NETWOR	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDI					
First Named Inventor/Applicant Name:	Michael A. Kerr						
Customer Number:	Customer Number: 48008						
Filer:	Michael A. Kerr/Julie Dawe	Michael A. Kerr/Julie Dawes					
Filer Authorized By:	Michael A. Kerr						
Attorney Docket Number:	NEXRF 10.011						
Receipt Date:	25-OCT-2012						
Filing Date:	29-DEC-2010	29-DEC-2010					
Time Stamp:	12:52:06	12:52:06					
Application Type:	Utility under 35 USC 111(a	)					
Payment information:	1						
Submitted with Payment	yes	yes					
Payment Type	Payment Type Credit Card						
Payment was successfully received in RAM \$80							
RAM confirmation Number	RAM confirmation Number 11223						
Deposit Account	nt						
Authorized User	Authorized User						
File Listing:			<u> </u>				
Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			

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Application Number	Application/Co	R		oplicant(s)/Patent ( eexamination ERR, MICHAEL /		
Document Code - DISQ	Internal Document – DO NOT MAIL					
TERMINAL DISCLAIMER	☐ APPROVI	ΞD		⊠ DISAPP	ROVED	
Date Filed : 10-25-2012	to a Te	This patent is subject to a Terminal Disclaimer				
Approved/Disapproved	by:					
orethea Lawrence						
Power of attorney in this file.						

U.S. Patent and Trademark Office

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING Docket Number (Optional) NEXRF 10.011 REJECTION OVER A PENDING "REFERENCE" APPLICATION In re Application of: KERR, Michael A. Application No.: 12/981,403 Filed: December 29, 2010 For: GAMING SYSTEM AND NETWORK AND METHOD FOR DELIVERING GAMING MEDIA The owner\*, NexRF, Inc , of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number see attached , as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant. Check either box 1 or 2 below, if appropriate. 1. Li For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. 2. The undersigned is an attorney or agent of record. Reg. No. 42722 October 23, 2012 /Michael A. Kerr/ Signature Date Michael A. Kerr Typed or printed name 775-624-8700 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) is included. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. \*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

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  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Kerr, Michael A.** Group Art Unit: **3716** 

Application Serial No.: 12/981,403 | Examiner name: D'Agostino, Paul A.

Filed: December 29, 2012 Confirmation No: 6498

Title: **GAMING SYSTEM AND** 

NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

# **Terminal Disclaimer**

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Dear Sir/Madam:

Applicant hereby files this attachment with terminal disclaimer form PTO/SB/25 (08-11) and hereby disclaims the terminal part of the statutory term of any patent granted on the instant application which would extend beyond Application Numbers 12/982,656 filed on December 30, 2010, 12/982,018 filed on December 30, 2010, and 10/681,034 filed on October 8, 2003.

Respectfully Submitted,

Dated: October 23, 2012 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

Michael A. Kerr KERR IP GROUP, LLC P.O. Box 18600 Reno, NV 89511 Tel: (775) 624-8700

Tel: (775) 624-8700 Fax: (775) 622-0686

Electronic Ack	knowledgement Receipt
EFS ID:	14719455
Application Number:	12981403
International Application Number:	
Confirmation Number:	6498
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA
First Named Inventor/Applicant Name:	Michael A. Kerr
Customer Number:	48008
Filer:	Michael A. Kerr/Julie Dawes
Filer Authorized By:	Michael A. Kerr
Attorney Docket Number:	NEXRF 10.011
Receipt Date:	16-JAN-2013
Filing Date:	29-DEC-2010
Time Stamp:	18:13:50
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with	Payment		no			
File Listing:						
Document Number	Multi Part /.zip	Pages (if appl.)				
1	Terminal Disclaimer Filed	te	erminal_disclaimer_102512.	342482	no	2
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		Total Files Size (in bytes):	4.	25230	

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#### New Applications Under 35 U.S.C. 111

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#### New International Application Filed with the USPTO as a Receiving Office

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GAMING SYSTEM NETWORK AND METHOD FOR DELIVE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

12/981,403

12/29/2010

Kerr, Michael

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Filing Date

**POWER OF ATTORNEY** 

OR

**REVOCATION OF POWER OF ATTORNEY** 

signature is required, see below\*

\*Total of 1

forms are submitted.

Application Number

First Named Inventor

WITH A NEW POWER OF ATTORNEY		Title	Title			AMING SYSTEM NETWORK AND METHOD FOR DELIVE			
WILL A NEW	AND	Art Unit			3716	3716			
CHANGE OF CO	RRESPONDENCE ADDRESS	Examine	er Name		D'Agos	ino, Pa	ul		
CHANGE OF CO	RRESPONDENCE ADDRESS	Attorney	Docket 1	lumber	NEXRE	10.011			
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	and to transact all business in the United								
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Michael A.	Kerr				42,7	22			
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OR									
Individual Name	Michael A. Kerr								
Address	P.O. Box 18600	2.000							
City	Reno		State	NV			Zip	89511	
Country	USA	·							
Telephone	775-624-8700		Email	mick	@kipg.c	om			
I am the:									
Applicant/Invente	or.			4					
OR	ad af the cation interest One 07 077 077								
	ord of the entire interest. See 37 CFR 3.7 37 CFR 3.73(b) (Form PTO/SB/96) subr		ith or filed	on					
	SIGNATURE of A	plicant or A	Assignee o	of Reco	rd				
Signature	non-			D	ate	Janu	ary 1	6, 2013	
Name	Michael A. Kerr			Te	elephone	775-6			
Title and Company	CEO of NEXRF Corp.								
	e inventors or assignees of record of the entire	interest or the	eir represent	tative(s)	are required.	Submit	multiple	e forms if more than	one

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application Number	12/981,403	/Control No.	Re	pplicant(s)/Patent under eexamination ERR, MICHAEL A.				
Document Code - DISQ	_	Internal D	Оос	ument – DC	NOT MAIL			
TERMINAL DISCLAIMER		□ DISAPPROVED						
DISCLAIMER  APPROVED  DISAPPROVED  This patent is subject to a Terminal Disclaimer								
Approved/Disapproved	d by:							
licia D. Roberts								
982,656; 12/982,018; and 10/681,034								

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/981,403 12/29/2010 Michael A. Kerr NEXRF 10.011

48008 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 **RENO, NV 89511** 

**CONFIRMATION NO. 6498** POA ACCEPTANCE LETTER



Date Mailed: 01/24/2013

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/16/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/atesfai/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

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10/09/2013

ELECTRONIC

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/981,403 12/29/2010 Michael A. Kerr NEXRF 10.011 48008 7590 10/09/2013 EXAMINER KERR IP GROUP, LLC D'AGOSTINO, PAUL ANTHONY MICHAEL A. KERR P.O. Box 18600 ART UNIT PAPER NUMBER RENO, NV 89511 3716 NOTIFICATION DATE DELIVERY MODE

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

MICK@KIPG.COM mmk@kipg.com admin@kipg.com

PTOL-90A (Rev. 04/07)

	Application No.	Applicant(s	s)
	12/981,403	KERR, MIC	
Office Action Summary	Examiner Paul A. D'Agostino	Art Unit 3716	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponder	nce address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date D (35 U.S.C. § 1	of this communication. 33).
Status			
1) Responsive to communication(s) filed on <u>2/1/2</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>			
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.		
3) An election was made by the applicant in respo	•		ing the interview on
; the restriction requirement and election  4) Since this application is in condition for allowar closed in accordance with the practice under E	nce except for formal matters, pro	secution as	
Disposition of Claims			
5) Claim(s) 21-25,27-33,35-41 and 43-47 is/are p 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed. 7) Claim(s) 21-25, 27-33, 35-41, and 43-47 is/are 8) Claim(s) is/are objected to. 9) Claim(s) are subject to restriction and/or if any claims have been determined allowable, you may be elementaring intellectual property office for the corresponding another.//www.uspto.gov/patents/init_events/pph/index.jsp or send Application Papers 10) The specification is objected to by the Examine	vn from consideration.  e rejected.  r election requirement.  igible to benefit from the Patent Proplication. For more information, please an inquiry to PPHfeedback@uspto.or.	ase see gov.	
11) ☑ The drawing(s) filed on <u>12/29/2010</u> is/are: a) ☑ Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct	drawing(s) be held in abeyance. See	e 37 CFR 1.8	5(a).
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign  Certified copies:  a) All b) Some * c) None of the:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureau * See the attached detailed Office action for a list of	is have been received. Is have been received in Applicat rity documents have been receiv I (PCT Rule 17.2(a)).	ion No	
Attachment(s)    Notice of References Cited (PTO-892)	3) 🔲 Interview Summary	(PTO-413)	
Paper No(s)/Mail Date 2/15/2012.	Paper No(s)/Mail D. 4)  Other:	, ,	

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-13)

Office Action Summary

Part of Paper No./Mail Date 20131003

Art Unit: 3716

#### **DETAILED ACTION**

This responds to Applicant's Arguments/Remarks filed 02/01/2012. Claims 21-25, 29, and 37-41 have been amended. Claims 1-20, 26, 34 and 42 stand cancelled. Claims 21-25, 27-33, 35-41, and 43-47 are now pending in this Application.

#### Terminal Disclaimer

1. The terminal disclaimer filed on 1/17/2013 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of patents resulting from applications 2/982,656, 12/982,018, and 10/681034 has been reviewed and is accepted. The terminal disclaimer has been recorded.

# Response to Amendment

2. Applicant has amended the claims thus the rejection under 35 U.S.C. § 112, second paragraph is withdrawn.

# Response to Arguments

3. Applicant's arguments filed 2/1/2012 have been fully considered but they are not persuasive. Applicant argues (see Applicant Arguments/Remarks pages 10-17) that Kowalick and Walker fail to discuss a centralized gaming server. Examiner respectfully disagrees. Examiner construes the claim "a centralized gaming server communicatively coupled to each of the plurality of network access devices" as disclosed by Walker's system and central server 4 connected to clients 2 and 5 (Fig. 1). Further, Examiner

Art Unit: 3716

construes "the central gaming server configured to generate at least one random game output, the at least one random game output associated with at least one game outcome" as Walker's system which generates random outcomes by harvesting them from about the client machines 2. These outcomes {outputs} are then paired to images in memory (not necessarily the same image as when generated on client device 2) thus greating at least one random game output for delivery to client device 5. In this respect, the claimed "server configured to generate" does not limit the manner in which the outcomes are created. The broadest reasonable interpretation of generating includes harvesting random outcomes, modifying their visual representation, to create or generate a new random game output (random outcome and new visual representation) and thereby the server 4 if "generating a least one random game output associated with at least one game outcome for re-use on client 5.

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

Art Unit: 3716

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating

obviousness or nonobviousness.

6. Claims 21, 29, 37, and 45-47 are rejected under pre-AIA 35 U.S.C. 103(a) as

being unpatentable over U.S. Patent No. 7,107,245 to Kowalick in view of U.S. Patent

No. 6,001,016 to Walker (See rejection Filed 11/3/2011 and in view of the response to

arguments regarding the amended claim language.

7. Claims 27-28, 35-36, and 43-44 are rejected under pre-AIA 35 U.S.C. 103(a) as

being unpatentable over Kowalick and Walker further in view of U.S. Patent No.

6,508,709 to Karmarkar (See rejection Filed 11/3/2011 and in view of the response to

arguments regarding the amended claim language.

8. Claims 22-25, 30-33, and 38-41 are rejected under pre-AIA 35 U.S.C. 103(a) as

being unpatentable over Kowalick and Walker further in view of U.S. Patent No.

5,971,849 to Falcigilia (See rejection Filed 11/3/2011 and in view of the response to

arguments regarding the amended claim language.

Allowable Subject Matter

9. The claims can be placed in condition for allowance by limiting the scope of the

claimed invention to random game outcome generation by random generation at the

gaming server.

Art Unit: 3716

#### Conclusion

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul A. D'Agostino whose telephone number is (571)270-1992. The examiner can normally be reached on Monday - Friday, 7:30 a.m. - 5:00 p.m..

- 11. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 12. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Paul A. D'Agostino Primary Examiner Art Unit 3716

/Paul A. D'Agostino/ Primary Examiner, Art Unit 3716

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	Paul D'Agostino	3716

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

☐ Claims	renumbered	in the same	order as pr	esented by a	applicant		□ СРА	 T.D.	R.1.47
CLA	MIA					DATE			
Final	Original	07/08/2011	10/28/2011	10/03/2013					
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	20	-	-	-					
	21	✓	✓	✓					
	22	✓	✓	✓					
	23	✓	✓	✓					
	24	✓	✓	✓					
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	26	✓	-	-					
	27	✓	✓	✓					
	28	✓	✓	✓					
	29	✓	✓	✓					
	30	✓	✓	✓					
	31	✓	✓	✓					
	32	✓	✓	✓					
	33	✓	✓	✓					
	34	✓	-	-					
	35	✓	✓	✓					
	36	✓	✓	✓					

U.S. Patent and Trademark Office

Part of Paper No.: 20131003

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	Paul D'Agostino	3716

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

Claims	renumbered	in the same	order as pr	esented by a	pplicant		□ СРА	□ т.с	). <u> </u>	R.1.47
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Final	Original	07/08/2011	10/28/2011	10/03/2013						
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	38	✓	✓	✓						
	39	✓	✓	✓						
	40	✓	✓	✓						
	41	✓	✓	✓						
	42	✓	-	-						
	43	✓	✓	✓						
	44	✓	✓	✓						
	45		✓	✓						
	46		✓	✓						
	47		✓	✓						

Beceipt date: 02/15/2012

Doc description: Information Disclosure Statement (IDS) Filed

12981403 ~ GALLiva37016)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		12981403	
Filing Date		2010-12-29	
First Named Inventor KERF		R, Michael A.	
Art Unit		3716	
Examiner Name	D'Ago	stino, Paul	
Attorney Docket Number		NEXRF 10.011	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5643086		1997-07-01	Alcorn et al.	
	2	6106396		2000-08-22	Alcorn et al.	
	3	7534169		2009-05-19	Amaitis et al.	
	4	5779545		1998-07-14	Berg et al.	
	5	6612928		2003-09-02	Bradford et al.	
	6	6709333		2004-03-23	Bradford et at.	
	7	5738583		1996-04-14	Comas et al.	
	8	5902983		1999-05-11	Crevalt et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./ EFS Web 2.1.17

Receipt date: 02/15/2012

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12981403 12981403 - GAU: 3716

Filing Date 2010-12-29

First Named Inventor KERR, Michael A.

Art Unit 3716

Examiner Name D'Agostino, Paul

NEXRF 10.011

Attorney Docket Number

9	6142876	2000-11-07	Cumbers	
10	5630757	1997-05-20	Gagin et al.	
11	4339798	1982-07-13	Hedges et al.	
12	5594491	1997-01-14	Hodge et al.	
13	4856787	1989-08-15	Itkis	
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15	6508709	1999-06-18	Karmarker	
16	8029349	2011-10-04	Lind	
17	6942574	2005-09-13	LeMay et al.	
18	6575834	2003-06-10	Lindo, Herbert	
19	6749512	2004-06-15	MacGregor et al.	

Receipt date: 02/15/2012

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12981403 12981403 - GAU: 3716

Filing Date 2010-12-29

First Named Inventor KERR, Michael A.

Art Unit 3716

Examiner Name D'Agostino, Paul

NEXRF 10.011

Attorney Docket Number

20	5761416	1998-06-02	Mandal et al.	
21	5586937	1996-12-24	Menashe	
22	5800268	1998-09-01	Molnick	
23	6709631	2004-03-23	Mori et al.	
24	7338372	2008-03-04	Morrow et al.	
25	6178510	2001-01-23	O'Connor et al.	
26	6508710	2003-01-21	Paravia et al.	
27	6628939	2003-09-30	Paulsen	
28	6884162	2005-04-26	Raverdy et al.	
29	6676522	2004-01-13	Rowe	
30	6682421	2004-01-27	Rowe et al.	

Receipt date: 02/15/2012

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12981403 12981403 - GAU: 3716

Filing Date 2010-12-29

First Named Inventor KERR, Michael A.

Art Unit 3716

Examiner Name D'Agostino, Paul

NEXRF 10.011

Attorney Docket Number

31	5768382	1998-06-16	Schneier et al.	
32	5871398	1999-02-19	Schneier et al.	
33	6719631	2004-04-13	Tulley et al.	
34	5762552	1998-06-09	Vuong et al.	
35	6001016	1999-12-14	Walker et al.	
36	6010404	2000-01-04	Walker et al.	
37	6500068	2002-12-31	Walker et al.	
38	6527638	2003-03-04	Walker et al.	
39	6409602	2002-06-25	Wiltshire et al.	
40	5851149	1998-12-22	Xidos et al.	
41	7341522	2008-03-11	Yamagishi	

Receipt date: 02/15/2012 12981403 - GAU: 3716 Application Number 12981403 Filing Date 2010-12-29 **INFORMATION DISCLOSURE** First Named Inventor KERR, Michael A. STATEMENT BY APPLICANT Art Unit 3716 ( Not for submission under 37 CFR 1.99) D'Agostino, Paul **Examiner Name** Attorney Docket Number NEXRF 10.011

	42	6875110	B1	2005-04-05	Crumby, Hardy Lee	
	43	5971849	А	1999-10-26	Falciglia, Sal	
	44	7107245	B1	2006-09-12	Kowalick, Gregory	
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	1	20020007494	A1	2002-02-17	Hodge	
	2 20010004768 A1		A1	2001-06-21	Hodge et al.	
	3	20010005908	A1	2001-06-28	Hodge et al.	
	4	20020056125	A1	2002-05-09	Hodge et al.	
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Receipt date: 02/15/2012 12981403 - GAU: 3716 Application Number 12981403 Filing Date 2010-12-29 **INFORMATION DISCLOSURE** First Named Inventor KERR, Michael A. STATEMENT BY APPLICANT Art Unit 3716 ( Not for submission under 37 CFR 1.99) D'Agostino, Paul **Examiner Name** Attorney Docket Number NEXRF 10.011

	7	20060189382	A1	2006-08	3-24	Muir et al.			
	8	20080097858	A1	2008-04	l-24	Vucina et al.			
	9	20020142815	A1	2002-10	)-03	Candelore, Bry	vant		
	10	20020077167	A1	2002-06	S-20	Merari, Naftali			
	11	20020002073	A1	2002-01	-25	Montgomery et al.			
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	13	20070270212	A1	2007-11	-22	Cockerille et al			
	14	20070087834	A1	2007-04	l- <b>1</b> 9	Moser et al.			
	15	20080026844		2008-01	l-31	Wells, William	R.		
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/Paul A	D'Agost	ino/			Date Considered	10/03/2013	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12981403	KERR, MICHAEL A.
Examiner	Art Unit
Paul D'Agostino	3716

	CPC- SEARCHED								
	Symbol Date Examiner								
	CPC COMBINATION SETS - SEARCHED								
	Symbol	Date	Examiner						
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	US CLASSIFICATION SEARCHED								
Class	Class Subclass Date								
			Examiner						

SEARCH NOTES						
Search Notes	Date	Examiner				
inventor name search	7/12/2011	msr				
east search -see attached	7/12/2011	msr				
updated search	10/3/2013	PD				

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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/M.R./	/PAUL A D'AGOSTINO/	
Examiner.Art Unit 3716	Primary Examiner.Art Unit 3716	

U.S. Patent and Trademark Office Part of Paper No.: 20131003

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	6	"7107245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:25
S2	322	"6001016"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:26
S3	174	"6508709"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:27
S4	198	"5971849"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:28
S22	6	"7107245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/28 08:06
S23	526	"6001016"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2013/10/03 10:38
S24	64	"6001016" and walker.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2013/10/03 10:39

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kerr, Michael A.

Application Serial No.: 12/981,403

Filed: December 29, 2010

Title: GAMING SYSTEM NETWORK

AND METHOD FOR

**DELIVERING GAMING MEDIA** 

Group Art Unit: 3716

Examiner name: D'Agostino, Paul

Confirmation No: 6498

# **AMENDMENT AND RESPONSE TO OFFICE ACTION**

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

This Amendment and Response is filed in Response to the Office Action dated October 09, 2013 in the above referenced patent application. The Office Action set a shortened statutory period of 3 months from the mailing date of this Office Action, making this reply timely on or before January 09, 2014. Allowance of the pending claims in view of the following amendments is respectfully requested.

Amendments to the Specification begin on page 2.

Amendments to the Claims begin on page 5.

Remarks begin on page 11.

Page 1 of 13

# **Amendments to the Specification**

Please remove the paragraph under the heading "ABSTRACT" of the Specification and replace with the following:

#### **ABSTRACT**

A gaming server system is described, the gaming server system including a verification system, a memory module, a centralized gaming server, and a paytable module. The verification system is configured to access a registration database having registration data for registered users. The memory module is configured to store images corresponding to at least one game outcome, with the images communicated to the network access devices. The paytable module is associated with the centralized gaming server, and it is configured to determine one or more prizes associated with a game outcome. The centralized gaming server is configured to generate at least one random game outcome by random generation at the centralized gaming server, and configured to access the memory module and communicate the images corresponding to the random game outcome to the network access devices.

Please replace paragraphs [0023], [0024], and [0025] on pages 6 and 7 of the Specification with the following paragraphs:

[0023] A gaming server system is described, the gaming server system configured to communicate with at least one network access device communicatively coupled to a network. The gaming server system includes a verification system, a memory module, a centralized gaming server, and a paytable module. The verification system is configured to access a registration database having a plurality of registration data associated with each registered user. The memory module is configured to store a plurality of images corresponding to at least one game outcome that are communicated to the at least one network access device. The centralized gaming server is communicatively coupled to each of the at least one network access device, the centralized gaming server configured to generate at least one random game outcome by random generation at the centralized gaming server. The paytable module is associated with the centralized gaming server, and it is configured to determine one or more prizes associated with a game outcome. The centralized gaming server is also configured to access the memory module and communicate the plurality of images corresponding to the at least one random game outcome to the at least one network access device

**[0024]** In one embodiment, the verification system is configured to receive user identification information associated with a player from each network access device, and verify the player accessing the network access device is a registered user by comparing the user identification information to the registration data.

[0025] A method for generating a game outcome with a gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network is also described. The method comprises enabling a verification system to receive user identification information from at least one network access device. The method further comprises verifying with the verification system that the user accessing the at least one network access device is a registered user by comparing the user identification information to registration data

stored in a registration database. The method includes generating, with a centralized gaming server communicatively coupled to each of the plurality of network access devices, at least one random game outcome with random generation at the centralized gaming server. Finally, the method includes determining one or more prizes associated with the random game outcome with a paytable module associated with the centralized gaming server, and communicating a plurality of images corresponding to the at least one random game outcome from the centralized gaming server to each network access device.

#### **Amendments to the Claims**

This listing of the claims replaces the listings of the claims in the present patent application.

### 1 - 47 (Canceled).

**48. (New)** A gaming server system configured to communicate with at least one network access device communicatively coupled to a network, the gaming server system comprising:

a verification system configured to access a registration database having a plurality of registration data associated with each registered user;

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the at least one network access device;

a centralized gaming server communicatively coupled to each of the at least one network access device, the centralized gaming server configured to generate at least one random game outcome by random generation at the centralized gaming server;

a paytable module associated with the centralized gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one random game outcome to the at least one network access device.

- **49. (New)** The gaming server system of claim 48, wherein the centralized gaming server includes a player buffer configured to receive one or more player data for one or more players from the at least one network access device.
- **50. (New)** The gaming server system of claim 49, wherein the centralized gaming server comprises a countdown timer coupled to the player buffer, the countdown

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timer configured to limit the time during which the player buffer is capable of receiving player data.

- **51. (New)** The gaming server system of claim 49, wherein the random game outcome generated at the centralized gaming server is one random number per each player data in the player buffer.
- **52. (New)** The gaming server system of claim 49, wherein the random game outcome generated at the centralized gaming server is one random number for all the player data in the player buffer.
- **53. (New)** The gaming server system of claim 48, further comprising an encoding module configured to convert the plurality of images to a format meeting the requirements of each network access device.
- **54. (New)** The gaming server system of claim 48, further comprising an encryption module, the encryption module configured to encrypt the plurality of images communicated to each network access device.
- **55. (New)** The gaming server system of claim 48, wherein the verification system is configured to receive a player biometric from the at least one network access device and compare the player biometric to the registration data.

**56. (New)** A gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

a verification system configured to access a registration database having a plurality of registration data associated with each registered user, wherein the verification system is configured to:

receive user identification information associated with a player from at least one network access device, and

verify the player accessing the network access device is a registered user by comparing the user identification information to the registration data;

a memory module configured to store a plurality of images corresponding to at least one game outcome that are communicated to the plurality of network access devices:

a centralized gaming server communicatively coupled to each of the plurality of network access devices, the centralized gaming server configured to generate at least one random game outcome by random generation at the centralized gaming server;

a paytable module associated with the centralized gaming server, the paytable module configured to determine one or more prizes associated with a game outcome; and

the centralized gaming server configured to access the memory module and communicate the plurality of images corresponding to the at least one random game outcome to each network access device.

- **57. (New)** The gaming server system of claim 56, further comprising a player buffer configured to receive one or more player data sets, each player data set associated with a particular player.
- **58. (New)** The gaming server system of claim 57, further comprising a countdown timer coupled to the player buffer, the countdown timer configured to limit the time

during which the player buffer is capable of receiving the one or more player data sets.

- **59. (New)** The gaming server system of claim 57, wherein the random game outcome is based on a random number from a random number generator, the random number generated for each player data set in the player buffer.
- **60. (New)** The gaming server system of claim 57, wherein the random game outcome is based on a random number from a random number generator, the random number generated for all player data sets in the player buffer.
- **61. (New)** The gaming server system of claim 56, further comprising an encoding module configured to convert the images to a format meeting the requirements of each network access device.
- **62. (New)** The gaming server system of claim 56, further comprising an encryption module, the encryption module configured to encrypt the plurality of images communicated to each network access device.
- **63. (New)** The gaming server system of claim 56, wherein the verification system is configured to receive a player biometric as user identification information that is associated with the player from each network access device.

**64. (New)** A method for generating a game outcome with a gaming server system configured to communicate with a plurality of network access devices that are communicatively coupled to a network, the gaming server system comprising:

enabling a verification system to receive user identification information from at least one network access device;

verifying with the verification system that the user accessing the at least one network access device is a registered user by comparing the user identification information to registration data stored in a registration database;

generating, with a centralized gaming server communicatively coupled to each of the plurality of network access devices, at least one random game outcome with random generation at the centralized gaming server;

determining one or more prizes associated with the random game outcome with a paytable module associated with the centralized gaming server; and communicating a plurality of images corresponding to the at least one random game outcome from the centralized gaming server to each network access device.

- **65. (New)** The method of claim 64, further comprising receiving one or more player data sets with a player buffer at the centralized gaming server.
- **66. (New)** The method of claim 65, further comprising limiting the time during which the player buffer is capable of receiving the one or more player data sets with a countdown timer at the centralized gaming server.
- **67. (New)** The method of claim 65, wherein generating at least one random game outcome comprises generating a random game output at the centralized gaming server for each player data set in the player buffer.
- **68. (New)** The method of claim 65, wherein generating at least one random game outcome comprises generating a random game output at the centralized gaming server for all player data sets in the player buffer.

**69. (New)** The method of claim 64, further comprising converting the plurality of images to a format meeting the requirements of each network access device with an encoding module.

- **70. (New)** The method of claim 64, further comprising encrypting the plurality of images communicated to each network access device with an encryption module.
- **71. (New)** The method of claim 64, wherein verifying with the verification system includes receiving a player biometric from the at least one network access device and comparing the player biometric to the registration data stored in the registration database.

# **REMARKS**

The present remarks are in response to the Office Action dated October 09, 2013, in which claims 21-25, 27-33, 35-41, and 43-47 were rejected. In this response, Applicant has canceled claims 21, 24-25, 28-29, 32-33, 37, 39-41, and 43-47, and added new claims 48-71. For simplicity and clarity purposes in responding to the Office Action, the Applicant's remarks are primarily focused on the independent claims (48, 56, and 64) outlined in the Office Action with the understanding that the dependent claims that depend from the independent claims are patentable for at least the same reasons (and other reasons) that the independent claims are patentable. Applicant expressly reserves the right to argue the patentability of the dependent claims separately in any future proceedings.

In view of the claim amendments and remarks, the Applicant respectfully requests that the pending claims be placed in a state of allowance. No new matter has been added.

#### A. Allowable Subject Matter and Claim Amendments

In page 4 of the Office Action, the Office identified allowable subject matter. The Examiner indicated that "The claims can be placed in condition for allowance by limiting the scope of the claimed invention to random game outcome generation by random generation at the gaming server." Applicant wishes to thank the Examiner for identifying allowable subject matter.

In response, Applicant has drafted new independent claims 48, 56, and 64 based on canceled claims 21, 29 and 37. These new independent claims include the limitation of "random game outcome generation by random generation at the gaming server." New claims 48-71 are supported by at least claims 21-25, 27-33, 35-41, and 43-47. No new matter has been added.

# B. Obviousness Rejections (35 U.S.C. § 103)

Claims 21, 29, 37, and 45-47 were rejected under 35 U.S.C. §103(a) as being unpatentable over Kowalick (U.S. Patent No. 7,107,245), in view of Walker (U.S. Patent No. 6,001,016). Claims 27-28, 35-36, and 43-44 were rejected under 35

Page 11 of 13

U.S.C. §103(a) as being unpatentable over Kowalick and Walker and further in view of Karmarkar (U.S. Patent No. 6,508,709). Claims 22-25, 30-33, and 38-41 were rejected under 35 U.S.C. §103(a) as being unpatentable over Kowalick and Walker further in view of Falcigilia (U.S. Patent No. 5,971,849). Applicant respectfully disagrees.

As noted above, Applicant has canceled claims 21, 24-25, 28-29, 32-33, 37, 39-41, and 43-47, and added new claims 48-71. The new independent claims 48, 56, and 64 recite the limitation of "random game outcome generation by random generation at the gaming server." The Office indicated that the claims can be placed in condition for allowance by limiting the scope of the claimed invention with the above recited limitation.

The new claims are thus nonobvious in view of the cited references. Accordingly, reconsideration and allowance are respectfully requested.

Application Serial No.: 12/981,403 Attorney Docket No.: NEXRF 10.011

### C. Conclusion

In view of the foregoing amendments and remarks, the Applicant respectfully submits that the above-identified patent application is in condition for allowance. If the Examiner finds that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

The Director is authorized to charge any additional fee(s) or any underpayment of fee(s) or credit any overpayment(s) to Deposit Account No. 50-5930 of Kerr IP Group, LLC.

Respectfully Submitted,

Dated: January 09, 2014 /Michael A. Kerr/

Michael A. Kerr Reg. No. 42,722

Michael A. Kerr KERR IP GROUP, LLC P.O. Box 18600 Reno, NV 89511

Tel: (775) 624-8700 Fax: (775) 622-0686

Electronic Acl	knowledgement Receipt
EFS ID:	17873261
Application Number:	12981403
International Application Number:	
Confirmation Number:	6498
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA
First Named Inventor/Applicant Name:	Michael A. Kerr
Customer Number:	48008
Filer:	Michael A. Kerr/Julie Dawes
Filer Authorized By:	Michael A. Kerr
Attorney Docket Number:	NEXRF 10.011
Receipt Date:	09-JAN-2014
Filing Date:	29-DEC-2010
Time Stamp:	19:23:26
Application Type:	Utility under 35 USC 111(a)

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PTO/SB/06 (09-11)
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### NOTICE OF ALLOWANCE AND FEE(S) DUE

48008 7590 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 01/31/2014

EXAMINER
D'AGOSTINO, PAUL ANTHONY

ART UNIT PAPER NUMBER

DATE MAILED: 01/31/2014

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/981,403	12/29/2010	Michael A. Kerr	NEXRF 10.011	6498

TITLE OF INVENTION: GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA

	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
Ī	nonprovisional	SMALL	\$480	\$0	\$0	\$480	04/30/2014

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 02/11)

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee perifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission 48008 01/31/2014 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/981,403 12/29/2010 Michael A. Kerr NEXRF 10.011 6498 TITLE OF INVENTION: GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE SMALL \$480 \$480 04/30/2014 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS D'AGOSTINO, PAUL ANTHONY 3716 463-042000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. Tree Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 📮 Corporation or other private group entity 🖵 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies The Director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number \_\_\_\_\_\_\_(enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) Applicant certifying micro entity status. See 37 CFR 1.29 NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. ☐ Applicant asserting small entity status. See 37 CFR 1.27 NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. ☐ Applicant changing to regular undiscounted fee status. <u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date Typed or printed name Registration No. \_

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/981,403	12/29/2010	Michael A. Kerr	NEXRF 10.011	6498
48008 75	90 01/31/2014		EXAM	INER
KERR IP GROU	*		D'AGOSTINO, P.	AUL ANTHONY
MICHAEL A. KEI	RR			
P.O. Box 18600			ART UNIT	PAPER NUMBER
RENO, NV 89511			3716	
			DATE MAILED: 01/31/201	4

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 495 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 495 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 12/981,403	Applicant(s) KERR, MICH	
Notice of Allowability	Examiner Paul A. D'Agostino	<b>Art Unit</b> 3716	AIA (First Inventor to File) Status
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	lication. If not will be mailed i	included in due course. <b>THIS</b>
This communication is responsive to 1/7/2014.     ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	were filed on		
2. $\square$ An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac		ne interview on	; the restriction
3. The allowed claim(s) is/are <u>48-71</u> . As a result of the allowed <b>Highway</b> program at a participating intellectual property office <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or ser	e for the corresponding application.	For more inforr	
4.	been received. been received in Application No uments have been received in this n  f this communication to file a reply of	national stage a	
5. CORRECTED DRAWINGS (as "replacement sheets") must including changes required by the attached Examiner's		ffice action of	
Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1.6 each sheet. Replacement sheet(s) should be labeled as such in the			not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FO			ie
Attachment(s)  1. □ Notice of References Cited (PTO-892)  2. □ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. □ Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. □ Interview Summary (PTO-413), Paper No./Mail Date	5. ☐ Examiner's Amendr 6. ☐ Examiner's Stateme 7. ☐ Other		
/Paul A. D'Agostino/ Primary Examiner, Art Unit 3716			

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20140126

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	34	(network verification memory gam\$3 server random)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	SAME	ON	2014/01/26 17:57
S1	6	"7107245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:25
S2	322	"6001016"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:26
S3	174	"6508709"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:27
S4	198	"5971849"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/27 19:28
S22	6	"7107245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2011/10/28 08:06
S23	526	"6001016"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2013/10/03 10:38
S24	64	"6001016" and walker.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2013/10/03 10:39

1/26/2014 6:00:21 PM

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# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12981403	KERR, MICHAEL A.
Examiner	Art Unit
Paul D'Agostino	3716

CPC- SEARCHED					
Symbol	Date	Examiner			
CPC COMBINATION SETS	- SEARCHED				
Symbol Date Examiner					
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US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		

SEARCH NOT	ES	
Search Notes	Date	Examiner
inventor name search	7/12/2011	msr
east search -see attached	7/12/2011	msr
updated search	10/3/2013	PD
updated search	1/26/2014	PD

INTERFERENCE SEARCH								
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner					
_	search See EAST Search History	1/26/2014	PD					

/M.R./	/PAUL A D'AGOSTINO/
Examiner.Art Unit 3716	Primary Examiner.Art Unit 3716

U.S. Patent and Trademark Office Part of Paper No.: 20140126

# Application/Control No. 12981403 Examiner PAUL A D'AGOSTINO Applicant(s)/Patent Under Reexamination KERR, MICHAEL A. Art Unit 3716

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CPC Combination Sets									
Symbol	Туре	Set	Ranking	Version					

NONE		Total Claims Allowed:			
(Assistant Examiner)	(Date)	24			
/PAUL A D'AGOSTINO/ Primary Examiner.Art Unit 3716	01/26/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	4		

U.S. Patent and Trademark Office Part of Paper No. 20140126

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	PAUL A D'AGOSTINO	3716

US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SSI	FIC	ATI	ON	
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CROSS REFERENCE(S)					G	0	7	F	17 / 00 (2006.01.01)						
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NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	24			
/PAUL A D'AGOSTINO/ Primary Examiner.Art Unit 3716	01/26/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	4		

U.S. Patent and Trademark Office Part of Paper No. 20140126

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12981403	KERR, MICHAEL A.
	Examiner	Art Unit
	PAUL A D'AGOSTINO	3716

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NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	24			
/PAUL A D'AGOSTINO/ Primary Examiner.Art Unit 3716	01/26/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	4		

U.S. Patent and Trademark Office Part of Paper No. 20140126



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### **BIB DATA SHEET**

### **CONFIRMATION NO. 6498**

SERIAL NUMB	ER	FILING or			CLASS	GRO	OUP ART UNIT		ATTORNEY DOCKET NO.	
12/981,403		<b>DATE</b> 12/29/2			463		3716		NEXRF 10.011	
		RULE	<b></b>							
APPLICANTS										
INVENTORS Michael A.	Kerr,	Reno, NV;								
This applica which	** <b>CONTINUING DATA</b> ********************************  This application is a CON of 10/681,034 10/08/2003 PAT 8403755  which is a CON of 09/899,559 07/05/2001 ABN  which claims benefit of 60/266,956 02/06/2001									
** FOREIGN APF	PLICA	TIONS *****	******	******	*					
** <b>IF REQUIRED</b> , 01/12/2011		EIGN FILING	LICENS	E GRA	ANTED ** ** SMA	LL E	NTITY **			
Foreign Priority claimed 35 USC 119(a-d) condition		Yes No	☐ Met after Allowance		STATE OR COUNTRY		IEETS WINGS			INDEPENDENT CLAIMS
Verified and /PAUL ANTHONY D'AGOSTINO/		NO/			NV		9	24		3
Acknowledged Examiner's Signature Initials										
KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 UNITED STATES										
TITLE										
GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA										
							☐ All Fees			
EFFO: Authority has been sived in Born						☐ 1.16 Fees (Filing)				
1 FILING FEE 1						ing Ext. of time)				
		for following:								
						Other				
	☐ Credit									

BIB (Rev. 05/07).

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 01/31/2014 KERR IP GROUP, LLC MICHAEL A. KERR P.O. Box 18600 RENO, NV 89511 APPLICATION NO FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/981.403 12/29/2010 NEXRE 10 011 Michael A. Ken 6498 TITLE OF INVENTION: GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA ENTITY STATUS APPLN. TYPE ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE SMALL \$480 \$480 04/30/2014 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS D'AGOSTINO, PAUL ANTHONY 3716 463-042000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list 1 Michael A. Kerr (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. 2 Kerr IP Group, LLC (2) The name of a single firm (having as a member a "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) NEXRF, Corp. Reno, NV Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government 4a. The following fee(s) are submitted:

Issue Fee 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO 2038 is attached Publication Fee (No small entity discount permitted) The Director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number \_\_\_\_\_\_\_(enclose an extra copy of this form). Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) Applicant certifying micro entity status. See 37 CFR 1.29 NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. Applicant asserting small entity status. See 37 CFR 1.27 NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. Applicant changing to regular undiscounted fee status. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications Date April 30, 2014 Authorized Signature Registration No. 42,722 Typed or printed name Michael A. Kerr

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal							
Application Number:	12981403						
Filing Date:	29-Dec-2010						
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA						
First Named Inventor/Applicant Name:	Michael A. Kerr						
Filer:	Michael A. Kerr/Julie Dawes						
Attorney Docket Number: NEXRF 10.011							
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl Issue Fee		2501	1	480	480		
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			480

Electronic Acknowledgement Receipt						
EFS ID:	18905034					
Application Number:	12981403					
International Application Number:						
Confirmation Number:	6498					
Title of Invention:	GAMING SYSTEM NETWORK AND METHOD FOR DELIVERING GAMING MEDIA					
First Named Inventor/Applicant Name:	Michael A. Kerr					
Customer Number:	48008					
Filer:	Michael A. Kerr/Julie Dawes					
Filer Authorized By:	Michael A. Kerr					
Attorney Docket Number:	NEXRF 10.011					
Receipt Date:	30-APR-2014					
Filing Date:	29-DEC-2010					
Time Stamp:	15:08:57					
Application Type:	Utility under 35 USC 111(a)					

## **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$480
RAM confirmation Number	1718
Deposit Account	505930
Authorized User	DAWES, JULIE

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Issue Fee Payment (PTO-85B)	issue_fee.pdf	87450	no	1			
	issue ree rayment (F10-636)	issue_ree.pai	90604a62ed4220774a6500ca7bb029b90d 66c8e5	110				
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2	Fee Worksheet (SB06)	fee-info.pdf	30257	no	2			
	ree worksheet (3500)	rec illo.pai	5e7f0f76b964a37fbf13d790e3bced83adbd 12f9	110				
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APPLICATION NO ISSUE DATE ATTORNEY DOCKET NO. CONFIRMATION NO. PATENT NO. 12/981,403 06/10/2014

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05/21/2014

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### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 145 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Michael A. Kerr, Reno, NV;

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IR103 (Rev. 10/09)