

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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**REGENERON PHARMACEUTICALS, INC.,**  
Petitioner

v.

**NOVARTIS PHARMA AG,**  
**NOVARTIS TECHNOLOGY LLC,**  
**NOVARTIS PHARMACEUTICALS CORPORATION,**  
Patent Owners

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**Case IPR2021-00816**  
Patent 9,220,631

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**PATENT OWNERS' UPDATED MANDATORY NOTICES**

Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owners Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation (collectively, “Patent Owner”) hereby updates its Mandatory Notices to include additional counsel.

**I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST**

The patent owners and real parties-in-interest are Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation.

**II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS**

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owners identify the following district court and administrative proceedings that would affect, or may be affected by, a decision in this proceeding:

- *Novartis Pharma AG et al v. Regeneron Pharmaceuticals, Inc.*, No. 1:20-cv-00690-TJM-CFH (NDNY)
- *Regeneron Pharmaceuticals Inc. v. Novartis Pharma AG et al*, 1:21-cv-01066-DNH-CFH (NDNY)
- International Trade Commission Inv. No. 337-1207, *Certain Pre-Filled Syringes for Intravitreal Injection and Components Thereof*
- IPR2020-01317 (Patent No. 9,220,631), *Regeneron Pharmaceuticals, Inc. v. Novartis Pharma AG, et al.*
- IPR2020-01318 (Patent No. 9,220,631), *Regeneron Pharmaceuticals, Inc.*

*v. Novartis Pharma AG, et al.*

### III. 37 C.F.R. § 42.8(b)(3) – DISCLOSURE OF COUNSEL

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies lead and back-up counsel as follows:

<p><b>Lead Counsel:</b></p> <p>Elizabeth J. Holland (Reg. No. 47,657)          ALLEN &amp; OVERY LLP          221 Avenue of the Americas          New York, NY 10020          Phone: (212) 610-6300          elizabeth.holland@allenovery.com</p>	<p><b>First Backup Counsel:</b></p> <p>Nicholas K. Mitrokostas (<i>pro hac vice</i>)          ALLEN &amp; OVERY LLP          1 Beacon Street          Boston, MA 02108          Phone: (857) 353-4503          nicholas.mitrokostas@allenovery.com</p>
<p><b>Backup Counsel:</b></p> <p>Linnea Cipriano (Reg. No. 67,729)          Goodwin Procter LLP          620 Eighth Avenue          New York, NY 10018          Phone: (212) 813-8800          Fax: (212) 355-3333          LCipriano@goodwinlaw.com</p>	<p><b>Backup Counsel:</b></p> <p>William G. James (Reg. No. 55,931)          ALLEN &amp; OVERY LLP          1101 New York Ave, N.W.          Washington, D.C. 20005          Phone: (202) 683-3800          william.james@allenovery.com</p>

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**IV. 37 C.F.R. § 42.8(b)(4) – CORRESPONDENCE**

Pursuant to 37 C.F.R. § 42.8(b)(4), Patent Owners request that all electronic correspondence be directed to lead counsel and back-up counsel identified in Section III above. Patent Owner consents to electronic service at the following e-mail address: elizabeth.holland@allenoverly.com.

Dated: March 3, 2022

By: /Elizabeth J. Holland/  
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**CERTIFICATE OF SERVICE**

A copy of this Patent Owners' Updated Mandatory Notices has been served  
on Petitioner's attorneys of record as follows via electronic mail:

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