

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

REGENERON PHARMACEUTICALS, INC.,
Petitioner

v.

**NOVARTIS PHARMA AG,
NOVARTIS TECHNOLOGY LLC,
NOVARTIS PHARMACEUTICALS CORPORATION,**
Patent Owner

Case IPR2021-00816
Patent 9,220,631

**DECLARATION OF JUERGEN SIGG, PH.D., IN SUPPORT OF
NOVARTIS'S
PATENT OWNER RESPONSE**

TABLE OF CONTENTS

I.	INTRODUCTION	2
II.	GENENTECH TRIED TO DEVELOP A TERMINALLY STERILIZED PFS FOR INTRAVITREAL INJECTION OF A VEGF ANTAGONIST BUT FAILED	2
III.	SILICONE OIL LEVELS IN COMMERCIALY AVAILABLE SYRINGES.....	7
IV.	VETTER’S SKEPTICISM THAT A PFS COULD FUNCTION PROPERLY WITH LESS THAN 100 µG OF SILICONE OIL	9
V.	NOVARTIS WAS NOT ABLE TO TERMINALLY STERILIZE THE LUCENTIS PFS WITH THE PROCESS DESCRIBED IN WO ’877	11
VI.	NOVARTIS REDESIGNED IMPORTANT ASPECTS OF THE SYRINGE AFTER FILING WO ’877 BUT BEFORE COMPLETING DEVELOPMENT OF THE LUCENTIS PFS.....	14
VII.	TESTING OF LUCENTIS PFS	16
VIII.	GENENTECH LICENSE.....	18
IX.	DECLARATION.....	20

I, Juergen Sigg, declare and state as follows:

I. INTRODUCTION

1. I am an Executive Director of Science and Technology in Biologics Drug Product Development at Novartis Pharma AG (“Novartis”). As part of my duties at Novartis, I serve as the lead scientist for formulation and process development of assigned biologic drug products.

2. I have a degree in pharmaceutical science and a Ph.D. in pharmaceutical technology. Over the course of my career at Novartis, I have been the lead formulation and process development scientist on several prefilled syringe (“PFS”) products. My work in this area began in 1995, and continues to this day. Several of the PFS products I worked on, including Xolair® and Extavia®, made it to the market. Relevant here, I served as the technical lead in the development of the Lucentis® PFS.

3. I am a named inventor on several patents and patent applications, including U.S. Patent No. 9,220,631 (“’631 patent”) and the abandoned patent application WO 2011/006877 (“WO ’877”).

4. I have personal knowledge of the facts set forth below.

II. GENENTECH TRIED TO DEVELOP A TERMINALLY STERILIZED PFS FOR INTRAVITREAL INJECTION OF A VEGF ANTAGONIST BUT FAILED

5. Lucentis is the brand name for a compound discovered by Genentech called ranibizumab. Ranibizumab functions as a VEGF antagonist and is used to

treat degenerative eye diseases. Lucentis is administered through intravitreal injection into the eye.

6. [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED].

7. [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED].

8. [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

9. [REDACTED] [REDACTED]
[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

10. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED].

11. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED].

12. [REDACTED] [REDACTED]

[REDACTED]

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