

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	14823126
<b>Application Number:</b>	13750352
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5306
<b>Title of Invention:</b>	SYRINGE
<b>First Named Inventor/Applicant Name:</b>	Juergen Sigg
<b>Customer Number:</b>	1095
<b>Filer:</b>	Andrew K. Holmes/Andrea Jacquin
<b>Filer Authorized By:</b>	Andrew K. Holmes
<b>Attorney Docket Number:</b>	55157-US0NP
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<b>Application Type:</b>	Utility under 35 USC 111(a)

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Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		_55157-US- NP_SupplIDS_2013Jan29.pdf	403890 <small>f7c4476d6c580dad55fb1f88a8fe369d1c8a624a</small>	yes	2

Multipart Description/PDF files in .zip description					
Document Description			Start	End	
Transmittal Letter			1	1	
Information Disclosure Statement (IDS) Form (SB08)			2	2	
<b>Warnings:</b>					
<b>Information:</b>					
2	Foreign Reference	01_WO2007035621.pdf	4757333	no	45
			7c4b087089bec546502e0881d55004ed6950dc3e		
<b>Warnings:</b>					
<b>Information:</b>					
3	Non Patent Literature	11_Ausubel_1987_.pdf	10020477	no	26
			dd4dcccc6396a6fb0fa46148908980b31166d0df		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			15181700		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF  
Sigg, Juergen et al.  
APPLICATION NO: 13/750352  
FILED: January 25, 2013  
FOR: SYRINGE

MS: Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed:

- supplemental to the Information Disclosure Statement filed January 25, 2013,
- within three months of the filing date of the application. Therefore, no fees are required.
- before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required.

If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134 in the name of Novartis.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO/SB/08A/B.

- Copies of the references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO/SB/08A/B form(s).

Respectfully submitted,

/ Andrew Holmes /

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Andrew Holmes  
Agent for Applicant  
Reg. No. 51,813

Date: January 29, 2013

		Docket Number	PAT055157-US-NP
<b>FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10</b>			
Express Mail Label Number		Date of Deposit	

Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

## UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b) is the utility patent application of

Applicant (or identifier): Sigg, Juergen et al.

Title: SYRINGE

Enclosed are:

1.  Specification (Including Claims and Abstract) - 27 pages
2.  Drawings - 1 sheets
3.  Executed Declaration and Power of Attorney (original or copy)
4.  Microfiche Computer Program (appendix)
5.  Nucleotide and/or Amino Acid Sequence Submission
  - Computer Readable Copy
  - Paper Copy
  - Statement Verifying Identity of Above Copies
6.  Preliminary Amendment
7.  Assignment Papers (Cover Sheet & Document(s))
8.  English Translation of
9.  Information Disclosure Statement
10.  Certified Copy of Priority Document(s)
11.  Return Receipt Postcard
12.  Application Data Sheet
13.  Other:
  - a) Submission of Sequence Listing Including Statement of Verification (1 Sheet)
  - b) Unexecuted Declaration for Utility Application using an Application Data Sheet (5 Sheets)
  - c) Transmittal for Power of Attorney to one or more registered Practitioners and Power of Attorney by Applicant (2 sheets)

Filing fee calculation:

- Before calculating the filing fee, please enter the enclosed Preliminary Amendment.
- Before calculating the filing fee, please cancel claims

Basic Filing Fee							\$	380
Search Fee							\$	620
Examination Fee							\$	250
Multiple Dependent Claim Fee (\$ )							\$	0
Foreign Language Surcharge (\$ )							\$	0
	For	Number Filed		Number Extra		Rate		
Extra Claims	Total Claims	32	-20	12	x	\$ 60	= \$ 720	
	Independent Claims	1	-3	0	x	\$ 250	= \$ 0	
Application Size Fee								
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof (rounded up to a whole number)		Rate		
28	- 100	0	/50	0	x	\$ 310	= \$ 0	
TOTAL FILING FEE							\$	1970

- Please charge Deposit Account No. 19-0134 in the name of Novartis in the amount of \$1970. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis.

Please address all correspondence to the address associated with Customer No. 001095, which is currently:

Novartis Pharmaceuticals Corporation  
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East Hanover, NJ 07936

Please direct all telephone calls to the undersigned at the number given below, and all faxes to +1 9737818265.

Respectfully submitted,

/ Andrew Holmes /

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Agent for Applicant  
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Date: January 25, 2013

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