PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 :		(11) International Publication Number: WO 00/15147	
A61F 2/06	A1	(43) International Publication Date: 23 March 2000 (23.03.00	
(21) International Application Number: PCT/US9 (22) International Filing Date: 10 September 1999 (1 (30) Priority Data: 60/099,767 10 September 1998 (10.09.96 (60/104,397 15 October 1998 (15.10.98) (60/147,202 4 August 1999 (04.08.99) (60/147,218 4 August 1999 (04.08.99) (63) Related by Continuation (CON) or Continuation-in-(CIP) to Earlier Application 09/369,04 US 09/369,0. Filed on 4 August 1999 (04.08.99) (71) Applicant (for all designated States except US): PERC INC. [US/US]; Suite 434, 20 Trafalgar Square, Nas 03063 (US). (72) Inventors; and (75) Inventors; and (75) Inventors; and (75) Inventors; Applicants (for US only): PHELPS, Dr [US/US]; 904 Shady Lane, Louisville, KY 4022 FURNISH Greg. R. [US/US]: 2614 Top Hil	(0.09.9 8) [1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	 Mark [US/US]; Apartment 3, 4113 Bridgewood Court Louisville, KY 40241 (US). WOLF, Scott, J. [US/US]; 2501 Irvine Avenue South, Minneapolis, MN 55405 (US); WILK, Peter, J. [US/US]; 185 West End Avenue, Nev York, NY 10023 (US). SCHMELTER, Jay, W. [US/US]; 6090 Annapolis Lane North, Plymouth, MN 55466 (US); FURNISH, Simon, M. [US/US]; 2429 Longest Avenue Louisville, KY 40204 (US). RENATI, Richard, J. [US/US]; * (US). MELSKY, Gerald [US/US]; * (US). GUILES Marvin [US/US]; * (US). (74) Agent: ALTMAN, Daniel, E.; Knobbe, Martens, Olson & Beat LLP, Sixteenth Floor, 620 Newport Center Drive, Newpor Beach, CA 92660 (US). (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BC, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, SF, IG, BG, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JF, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SE SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AT, BE, CH, CY) 	
FURNISH, Greg, R. [US/US]; 2614 Top Hil Louisville, KY 40206 (US). HALL, Todd, A. [ll Roa	I, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT	
(54) Title: TMR SHUNT		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
		CA MYO LV	
		28-	
(57) Abstract			
myocardium or heart wall to provide a passage for blood to artery, distal to the blockage. The stent is self-expanding or are provided to anchor the stent and prevent its migration.	o flow or uses . In o	the in the coronary artery. The conduit is adapted to be positioned in the etween a chamber of the heart such as the left ventricle and the coronary balloon to expand the stent in the heart wall. Various attachment means the embodiment, a conduit is provided having a distal top which is more possible configurations anchor the shunt at one end in the coronary artery.	

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

LS LT

LU LV

MC MD

MG MK

ML MN MR MW

MX NE

NL NO NZ PL PT RO RU SD

SE SG

Albania
Armenia
Austria
Australia
Azerbaijan
Bosnia and Herzegovina
Barbados
Belgium
Burkina Faso
Bulgaria
Benin
Brazil
Belarus
Canada
Central African Republic
Congo
Switzerland
Côte d'Ivoire
Cameroon
China
Cuba
Czech Republic
Germany
Denmark
Estonia

RM

DOCKET

Α

Α

Spain
Finland
France
Gabon
United Kingdom
Georgia
Ghana
Guinea
Greece
Hungary
Ireland
Israel
Iceland
Italy
Japan
Kenya
Kyrgyzstan
Democratic People's
Republic of Korea
Republic of Korea
Kazakstan
Saint Lucia
Liechtenstein
Sri Lanka
Liberia

ES

FI

FR GA GB

GE GH GN GR HU IE

IL IS IT

JP KE KG KP

KR KZ LC LI LK LR

	Lesotho	SI	Slovenia
	Lithuania	SK	Slovakia
	Luxembourg	SN	Senegal
	Latvia	SZ	Swaziland
	Monaco	TD	Chad
	Republic of Moldova	TG	Togo
	Madagascar	тј	Tajikistan
	The former Yugoslav	TM	Turkmeni
	Republic of Macedonia	TR	Turkey
	Mali	TT	Trinidad a
	Mongolia	UA	Ukraine
	Mauritania	UG	Uganda
ŧ .	Malawi	US	United Sta
	Mexico	UZ	Uzbekista
	Niger	VN	Viet Nam
	Netherlands	YU	Yugoslavi
	Norway	ZW	Zimbabwe
	New Zealand		
	Poland		
	Portugal		
	Romania		
	Russian Federation		
	Sudan		
	Sweden		
	Singapore		

	Senegal
	Swaziland
	Chad
	Togo
	Tajikistan
Ē.	Turkmenistan
	Turkey
	Trinidad and Tobago
	Ukraine
	Uganda
	United States of America
	Uzbekistan
	Viet Nam
	Yugoslavia
ř –	Zimbabwe

TMR SHUNT

Field of the Invention

The present invention relates to an apparatus for bypassing a blocked blood vessel segment, and, more particularly, to a conduit or stent positioned between the coronary artery or other blocked vessel and a chamber of the heart, such as the left ventricle of the heart, to bypass a blocked segment of the coronary artery or other blood vessel.

Background of the Invention

10

5

Coronary artery disease is a major problem in the U.S. and throughout the world. Coronary arteries as well as other blood vessels frequently become clogged with plaque, which at the very least impairs the efficiency of the heart's pumping action, and can lead to heart attack and death. In some cases, these arteries can be unblocked through non-invasive techniques such as balloon angioplasty. In more difficult cases, a bypass of the blocked vessel is necessary.

15

In a bypass operation, one or more venous segments are inserted between the aorta and the coronary artery. The inserted venous segments or transplants act as a bypass of the blocked portion of the coronary artery and thus provide for a free or unobstructed flow of blood to the heart. More than 500,000 bypass procedures are performed in the U.S. every year.

Such coronary artery bypass surgery, however, is a very intrusive procedure that is expensive, time-consuming and traumatic to the patient. The operation requires an incision through the patient's sternum (sternotomy), and that the patient be placed on a bypass pump so that the heart can be operated on while not beating. A vein graft is harvested from the patient's leg, another highly invasive procedure, and a delicate surgical procedure is required to piece the bypass graft to the coronary artery (anastomosis). Hospital stays subsequent to the surgery and convalescence are prolonged.

25

30

DOCKE.

20

As mentioned above, another conventional treatment is percutaneous transluminal coronary angioplasty (PTCA) or other types of angioplasty. However, such vascular treatments are not always indicated due to the type or location of the blockage, or due to the risk of emboli.

Thus, there is a need for an improved bypass system which is less traumatic to the patient.

Summary of the Invention

WO 00/15147

5

10

15

20

25

30

DOCKET

RM

PCT/US99/20714

The preferred embodiments of the present invention address the need in the previous technology by providing a bypass system that avoids the sternotomy and other intrusive procedures normally associated with coronary bypass surgery. These embodiments also free the surgeon from the multiple anastomoses necessary in the current process.

The preferred device provides a shunt for diverting blood directly from a chamber in the heart, such as the left ventricle, to the coronary artery, distal to the blockage, therefore bypassing the blocked portion of the vessel. The shunt comprises a stent or conduit adapted to be positioned in the heart wall or myocardium between a chamber in the heart such as the left ventricle and the coronary artery that allows for the direct passage of blood therethrough. As used herein, the terms "stent" and "conduit" are interchangeable, and refer to a device that allows for the passage of blood therethrough. The terms "myocardium" and "heart wall" are also used interchangeably. In addition, although the left ventricle is referred to throughout the description, it should be understood that the conduit described herein can be used to provide a passageway for the flow of blood from any heart chamber, not only the left ventricle.

The stent device is delivered either externally or internally through the coronary artery to a position distal to the blockage. At that position, the coronary artery, the myocardium and the wall of the left ventricle are pierced to provide a channel completely through from the coronary artery to the left ventricle of the heart. The stent is then positioned in the channel to provide a permanent passage for blood to flow between the left ventricle of the heart and the coronary artery, distal to the blockage. The stent is sized so that one open end is positioned within the coronary artery, while the other open end is positioned in the left ventricle. The hollow lumen of the stent provides a passage for the flow of blood.

The stent can be self-expandable or expanded by means of a balloon or similar device, and can be provided with various means to anchor it in position, such as expandable legs, hooks, barbs, flanges, collars, loops, wires, flares, suture holes and the like. The anchoring means can be adapted to anchor the conduit in the heart wall, or alternatively, in the coronary artery. The stent can be formed from a plurality of rings, which can be connected to provide stability. The stent can include a valve in its interior, and can also be used to deliver drugs or other pharmaceutical compounds directly into the myocardium and the coronary circulation.

2

5

10

15

20

PCT/US99/20714

Briefly stated, the methods and apparatus described and illustrated herein generally relate to direct coronary revascularization, wherein a conduit or opening is provided from the left ventricle to the coronary artery, oftentimes the left anterior descending (LAD), to provide blood flow directly therethrough. The conduit of the preferred embodiments has a distal top which is more preferably a ball top, wire top, flare top or flip-down top. These top configurations anchor the shunt at one end in the coronary artery.

Brief Description of the Drawings

FIGURE 1A is a cross-sectional view of a human heart, aorta and coronary artery.

FIGURE 1B is a side view of one embodiment of an expandable stent and the balloon catheter used for stent delivery.

FIGURE 2 is a side view of the stent of FIGURE 1B mounted on the distal end of the catheter for delivery into the myocardium, with the coronary artery and myocardium shown cut-away.

FIGURE 3 is a side view of the distal end of the stent/catheter assembly of FIGURE 1B positioned in the myocardium, with the coronary artery and myocardium shown cut-away.

FIGURE 4 is a cross-sectional side view of the stent of **FIGURE 1B** positioned within the myocardium after removal of the catheter used for delivery.

FIGURE 5 is a side view of another embodiment of the stent and the catheter used for stent delivery.

FIGURE 6 is a cross-sectional side view of the catheter and puncture device used to introduce the self-expanding stent of **FIGURE 5** into the myocardium.

25

FIGURE 7 is a cross-sectional side view of the stent/catheter assembly of FIGURE 5 positioned in the myocardium.

FIGURE 8 is a side view of the self-expanding stent of **FIGURE 5** positioned within the myocardium after removal of the catheter and puncture device, with the coronary artery and myocardium shown cut-away.

30

35

DOCKE.

FIGURE 9 is a perspective view of another embodiment of the stent having expandable legs, showing the stent mounted on the distal end of the introducer catheter.

FIGURE 10 is a perspective view of the stent of FIGURE 9, showing the distal end of the introducer catheter pushed forward to allow the legs of the stent to expand.

3

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.