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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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SAMSUNG ELECTRONICS CO., LTD,  
Petitioner

v.

ERICSSON INC. ET AL.,  
Patent Owner

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Case IPR2021-\_\_\_\_  
Patent No. 10,484,915

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**DECLARATION OF ZHI DING, Ph.D.**

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I, Zhi Ding, Ph.D., declare that:

## **I. Introduction**

1. My name is Zhi Ding, and I have been retained by counsel for Petitioner Samsung Electronics Co., Ltd. (“Samsung” or “Petitioner”) as an expert witness to provide assistance regarding U.S. Patent No. 10,484,915 (“the ’915 Patent”). Specifically, I have been asked to consider the validity of claims 1-15 of the ’915 Patent (the “Challenged Claims”) in view of prior art, anticipation and obviousness considerations, and understanding of a person of ordinary skill in the art at the time of the alleged invention (“POSITA”) as it relates to the ’915 Patent. I have personal knowledge of the facts and opinions set forth in this declaration and believe them to be true. If called upon to do so, I would testify competently thereto.

2. I am being compensated for my time at my standard consulting rate. I am also being reimbursed for expenses that I incur during the course of this work. My compensation is not contingent upon the results of my study, the substance of my opinions, or the outcome of any proceeding involving the challenged claims. I have no financial interest in the outcome of this matter or on the pending litigation between Petitioner and Patent Owner.

3. My analysis here is based on my years of education, research and experience, as well as my investigation and study of relevant materials, including

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those cited herein.

4. I may rely upon these materials, my knowledge and experience, and/or additional materials to rebut arguments raised by the Patent Owner.

Further, I may also consider additional documents and information in forming any necessary opinions, including documents that may not yet have been provided to me.

5. My analysis of the materials produced in this proceeding is ongoing and I will continue to review any new material as it is provided. This declaration represents only those opinions I have formed to date. I reserve the right to revise, supplement, and/or amend my opinions stated herein based on new information and on my continuing analysis of the materials already provided.

## **II. Background and Qualifications**

6. I presently hold the title of Distinguished Professor in the Department of Electrical and Computer Engineering at the University of California, Davis. Since my first UC Davis appointment on July 1, 2000, I have held the position of professor for over 20 years and have served as a faculty member at several US universities for over 30 years. I am also a private technical consultant on various technologies related to information systems. I have more than three decades of research experience on a wide range of topics related to data communications and signal processing.

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7. I earned my Bachelor of Science degree in 1982 in wireless engineering from the Nanjing Institute of Technology (later renamed as Southeast University) in Nanjing, China. I earned my Master of Science degree in 1987 in electrical engineering from the University of Toronto in Toronto, Canada. I earned my Ph.D. in 1990 in electrical engineering from Cornell University in Ithaca, New York.

8. My responsibilities as a Professor at the University of California, Davis, include classroom instruction on various topics of communication systems and signal analysis, as well as mentoring undergraduate students and supervising graduate students in their research and development efforts on various topics related to digital communications. I have directly supervised such research and development works ranging from signal detection to wireless networking. As the chief academic advisor, I have also directly supervised the completion of over 20 Masters theses and 30 Ph.D. dissertations on various topics related to digital communications. I have served full time as a faculty member at three major research universities in the United States over the past 30 years, including Auburn University from 1990 to 1998, University of Iowa from 1999 to 2000, and University of California, Davis, from 2000 to present.

9. Since 1990, I have been selected as the principal investigator of multiple highly competitive federal and local research grants, including more than

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a dozen major research projects supported by the National Science Foundation and two research projects funded by the U.S. Army Research Office. These competitive research projects focused on developing more efficient and effective digital communication transceivers, networks, and signal processing tools. I have also participated in several large-scale projects supported by the Defense Advanced Research Projects Agency (DARPA) with teams of researchers. I have applied for, and received support from, other federal, state, and industry sponsors.

10. I have published over 210 peer-reviewed research articles in premier international journals, in addition to over 240 refereed technical articles at top international conferences on communications and information technologies. According to Google Scholar, my published works have been cited by over 13,000 times by peers. I also authored two books on communications technologies. My most recent book, coauthored with B.P. Lathi, is entitled, “Modern Digital and Analog Communication Systems,” 5th edition, and was published by the Oxford University Press in 2018. The 4th edition of this book (published in 2009) had been widely adopted as an introductory textbook to communication systems.

11. In addition to the over 450 published technical papers that have been cited over 13,000 times according to Google Scholar, I am also co-inventor of 4 issued U.S. patents on communication technologies.

12. I am a member of the Institute of Electrical and Electronics Engineers

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