
Modern Optical Engineering

The Design of Optical Systems

Warren J. Smith

*Chief Scientist
Kaiser Electro-Optics Inc.
Carlsbad, California*

Second Edition

McGraw-Hill, Inc.

New York St. Louis San Francisco Auckland Bogotá
Caracas Lisbon London Madrid Mexico Milan
Montreal New Delhi Paris San Juan São Paulo
Singapore Sydney Tokyo Toronto

Library of Congress Cataloging-in-Publication Data

Smith, Warren J.

Modern optical engineering : the design of optical systems /
Warren J. Smith.—2nd ed.

p. cm.

Includes index.

ISBN 0-07-059174-1

1. Optical instruments—Design and construction. I. Title.

TS513.S55 1990

90-36726

621.36—dc20

CIP

Copyright © 1990 by McGraw-Hill, Inc. All rights reserved. Printed in the United States of America. Except as permitted under the United States Copyright Act of 1976, no part of this publication may be reproduced or distributed in any form or by any means, or stored in a data base or retrieval system, without the prior written permission of the publisher.

3 4 5 6 7 8 9 0 DOC/DOC 9 5 4 3 2 1

ISBN 0-07-059174-1

The sponsoring editor for this book was Daniel A. Gonneau, the editing supervisor was Alfred Bernardi, the designer was Naomi Auerbach, and the production supervisor was Thomas G. Kowalczyk. It was set in Century Schoolbook by McGraw-Hill's Professional and Reference Division composition unit.

Printed and bound by R. R. Donnelley & Sons Company.

Information contained in this work has been obtained by McGraw-Hill, Inc., from sources believed to be reliable. However, neither McGraw-Hill nor its authors guarantees the accuracy or completeness of any information published herein and neither McGraw-Hill nor its authors shall be responsible for any errors, omissions, or damages arising out of use of this information. This work is published with the understanding that McGraw-Hill and its authors are supplying information but are not attempting to render engineering or other professional services. If such services are required, the assistance of an appropriate professional should be sought.

And the final slope is found by Eq. 2.31a:

$$\begin{aligned} N'_2 u'_2 &= N'_1 u'_1 - y_2(N'_2 - N_2)c_2 \\ &= -(N-1)y_1 c_1 - y_1 \left[1 - \frac{(N-1)}{N} t c_1 \right] (1-N)c_2 \\ (1.0)u'_2 = u'_2 &= -y_1(N-1) \left[c_1 - c_2 + t c_1 c_2 \frac{(N-1)}{N} \right] \end{aligned}$$

Thus the power ϕ (or reciprocal focal length) of the element is expressed as

$$\phi = \frac{1}{f} = \frac{-u'_2}{y_1} = (N-1) \left[c_1 - c_2 + t c_1 c_2 \frac{(N-1)}{N} \right] \quad (2.36)$$

or, if we substitute $c = 1/R$,

$$\phi = \frac{1}{f} = (N-1) \left[\frac{1}{R_1} - \frac{1}{R_2} + \frac{t(N-1)}{R_1 R_2 N} \right] \quad (2.36a)$$

The back focal length can be found by dividing y_2 by u'_2 to get

$$\text{bfl} = \frac{-y_2}{u'_2} = f - \frac{ft(N-1)}{NR_1} \quad (2.37)$$

The distance from the second surface to the second principal point is just the difference between the back focal length and the effective focal length (see Fig. 2.11); this is obviously the second term of Eq. 2.37.

The above procedure has located the second principal point and second focal point of the lens. The "first" points are found simply by substituting R_1 for R_2 and vice versa.

The focal points and principal points for several shapes of elements are diagrammed in Fig. 2.12. Notice that the principal points of an equiconvex or equiconcave element are approximately evenly spaced within the element. In the plano forms, one principal point is at the curved surface, the other is about one-third of the way into the lens. In the meniscus forms shown, one of the principal points is completely outside the lens; in extreme meniscus shapes, both the principal points lie outside the lens and their order may be reversed from that shown. Note that the focal points of the negative elements are in reversed order compared to a positive element.

If the lens element is not immersed in air, we can derive a similar expression for it. Assuming that the object medium has an index of N_1 , the lens index is N_2 , and the image medium has an index of N_3 ,