

U.S. Patent No. 5,892,906 to Wayne W. Chou et al. (“Chou”)

U.S. Patent No. 5,892,906 to Wayne W. Chou et al. (“Chou”) anticipates and/or renders obvious, at least under Ancora’s apparent infringement theories, the claims as detailed below of U.S. Patent No. 6,411,941 (the “’941 Patent”) under at least 35 U.S.C. § 102(b) and 103. Chou was filed on July 19, 1996, and issued on April 6, 1999, and is therefore prior art to the ’941 Patent.

Nothing stated in this chart shall be treated as an admission or suggestion that Defendants agree with Ancora regarding either the scope of any of the asserted claims or the claim constructions advanced by Ancora in its infringement contentions, or that Defendants’ accused products meet any limitations of the claims.

The chart below provides representative examples of where each element of each claim is found within Chou, at least under Ancora’s apparent construction of the claims as applied in Ancora’s infringement contentions. The cited evidence is merely illustrative, and Defendants reserve the right to cite alternative or additional evidence.

To the extent that Ancora contends that Chou does not disclose one or more limitations of the claims, it would have been obvious to one of ordinary skill in the art to combine the teachings of Chou with: (1) the knowledge of one of ordinary skill in the art to show all the limitations of the claims; (2) the teachings of the prior art references set forth in Defendants’ other invalidity charts with respect to the one or more limitations; and (3) the teachings of any of the prior art references cited and discussed in the cover document of Defendants’ invalidity contentions cited below for the one or more limitations.

Because Ancora has yet to identify any limitation of the asserted claims that it contends is not fully disclosed by Chou, either alone or in combination with other prior art cited by Defendants, Defendants expressly reserve the right to rebut any such contention, including by identifying additional obviousness combinations, if any such contention is made by Ancora.

Where the chart below states that Chou “discloses” a limitation, such disclosure may be express, inherent or obvious to one of ordinary skill in the art based on Chou.

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<p>1[preamble]: A method of restricting software operation within a license for use with a computer including an erasable, non-volatile memory area of a BIOS of the computer, and a volatile memory area; the method comprising the steps of:</p>	<p>Chou discloses and/or renders obvious this limitation. See, e.g.,</p> <p>“In accordance with one embodiment of the invention, when the computer is in the locked state, the external memory must be operatively connected to the computer each time the computer is booted up. If the user removes the external memory, or inadvertently forgets to attach it to the computer, the security function will halt complete execution of the BIOS routines.</p> <p>In another embodiment of the invention, the locked state requires the user to manually enter the password through the keyboard in response to a prompt during execution of the BIOS routine. The security function compares a unique, user defined password stored in the BIOS memory to the user supplied password. If the two passwords agree, the computer completes execution of the BIOS routine.” 2:33-47</p> <p>“During the execution of the normal BIOS routines within the BIOS memory 15 of FIG. 5, the contents of memory location 30 are checked and if the contents of memory location 30 of the CMOS RAM 17 indicate a locked condition, the POST routine 23 will stop execution before the BOOT routine 22 can be executed, and enter the security routine 25. Once in the security 25 routine, the security routine attempts to read the contents of the security key ROM 19 connected to the serial port 16. If security key 19 is connected to serial port 16, the unique key serial number and encrypted product M are read. The security function forms the product of the read serial number and the computer I.D. 28 stored in BIOS EEPROM 18. The security function 25 decrypts the second encrypted value M read from security key 19 and compares it with the computed product. If a match is produced by the comparison, the computer goes on to execute the BOOT codes 22 and peripheral routines 21.” 4:42-58.</p> <p>“FIG. 5 illustrates the step-by-step process for executing the security function 25 as well as locking and unlocking the computer in accordance with the preferred embodiment. In step 40 the user attaches the key containing the ROM 19 to the serial port 16 of the computer. The computer is rebooted in step 41 through a software reboot command.</p>

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	<p>Any subsequent operation of the computer requiring the computer to be rebooted can only occur after the user attaches the key having ROM 19 to serial port 16 as shown in step 41 unless the user enters the unlocked state. After completing the POST routine 42, the BIOS routine examines the contents of CMOS RAM 17 in step 43, and enters the security routine 25 if the computer 10 was not previously set in the unlocked state as is determined in decision block 44.</p> <p>The computer will be in the lock state if it has not previously been specifically set in the unlocked state. If the external ROM 19 is not connected as determined in decision 45, a message is posted to the user "CONNECT KEY". The security routines are executed in step 46, by first reading the contents of the ROM of the key 19 attached to serial port 16. The ROM contains two values, an unencrypted serial number unique to the key, and an encrypted value M which represents the product of the serial number of the key and the computer I.D. number. A decryption subroutine is entered in step 48, which using the public key 29 stored within the BIOS memory 15, decrypts the value of the product M. The security routines then reads, in step 49, the computer I.D. from location 28 of the BIOS memory 15. A product is calculated in step 50, between the read serial number from the attached key 19, and the computer I.D. 28 obtained from the BIOS memory 15.</p> <p>The two products are compared in decision block 51 and if a match occurs, then the user has been verified as possessing the connect key and is authorized to use the computer. The remaining boot code is executed in steps 53 and the peripheral routines are executed in step 54. This represents the completion of the BIOS routine execution, permitting the user to operate the computer in the normal way. In the event the comparison is not obtained in decision block 51, the boot up process is stopped in step 52 inhibiting any further use of the computer." 5:21-62.</p> <p>"FIG. 10 illustrates, in flow chart form, execution of the BIOS routines including the security function. At the user site, the user first executes a boot up command in step 101 for entering one or two passwords which he will use. The POST Routine is executed in step 102. As no passwords exists within the BIOS EEPROM memory 15(a) as determined in 103, the boot up process completes by executing the remaining BIOS routines in step 104. Following</p>

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	<p>completion of the boot up process the user may enter a SETUP mode 105 common to many operating system configurations. The security administration mode 106 is selected by the user from the SETUP mode menu, which includes several submenu items. If the setup mode 105 is not selected, the boot up ends in step 106. The new PASSWORD menu item is selected by the user in step 107 from the administration function 106. The user may enter one or two passwords in step 108 and the security function routine will store the password in step 109 in the BIOS EEPROM memory 15(a). This feature also permits new passwords to be entered in place of any two previously entered passwords.</p> <p>If a single password has been entered into the BIOS EEPROM 15A, a subsequent boot up and selection of the security administration mode will require use of the single password. An additional password may be entered into the system by the first user, from the same menu selection from the security administration mode.</p> <p>If the user wishes to lock or unlock the computer and enter the SETUP mode in step 105, he enters the security administration mode in step 106 again. One of the menu items provided in the security administration mode is a lock state 112, as well as an unlock state 113. By selecting the lock state 112 each subsequent boot up of the computer 10 will request password verification from the user. The selection of the lock state clears the memory location 30(a) of the CMOS RAM 17(a). The BIOS routine will therefore encounter the default value in location 30(a) during each subsequent execution in step 115 and decision block 116 will require that the BIOS function execute the security function.</p> <p>Execution of the security function in step 118 will generate a prompt to enter the password in step 119. The user enters a password which is verified in decision block 120 by the security function and the boot up process completes execution in step 104.</p> <p>The computer 10 may be unlocked by returning to the security administration mode and selecting the appropriate unlock submenu item 113. Selecting the unlocked state will write a unlock code at location 30(a) of the CMOS RAM 17(a) in step 123. Subsequent boot up</p>

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	<p>processes will check the contents of location 30(a) of CMOS RAM 17(a) in decision block 116 and skip the security function.</p> <p>The embodiment provides an emergency mode such that the user can enter the administration mode without entering either one of the user selected passwords, if he has access to the digital signature supplied with the computer. The user, instead of entering a password, enters the encrypted signature supplied to him in step 119. The public key stored within the BIOS memory 15A decrypts the entered digital signature, to a value equal to the computer serial number. This signature is verified by the BIOS security function in decision block 124, by comparing it to the computer serial number stored within the BIOS EEPROM 15A. The administration mode may then be entered in step 106 which provides for a menu selection of either selecting a new password. Entry and storage of the new password are effected as in the original password registration.</p> <p>If the decrypted signature and stored computer serial number do not match, execution steps in step 125, and a message is displayed in step 126 "INCORRECT PASSWORD".” 8:49:44.</p> <p>“Referring now to FIG. 1, a general organization of a personal computer 10 is shown which includes a security function stored as a programming routine within the BIOS EEPROM 15. As will be evident with respect to the description of this embodiment, the BIOS routines which provide for the basic input/output system cannot be completely executed unless the security function is successfully executed.</p> <p>As will be understood by those familiar with the architecture of a personal computer, a CPU 14, a CMOS RAM 17, and the BIOS memory is supported on a mother board which permits upgrades to be made to the system. A serial port 16 permits the computer 10 to communicate with externally connected devices. A monitor 11 and keyboard 13 provide a user interface with the personal computer 10.</p> <p>In accordance with the preferred embodiment of the present invention, a memory device such as a detachable read only memory (ROM), 19 shown in FIG. 2 having nine p</p>

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