

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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LG ELECTRONICS, INC. and LG ELECTRONICS U.S.A., INC.,  
Petitioners

v.

ANCORA TECHNOLOGIES, INC.,  
Patent Owner

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Case IPR2021-00581  
Patent No. 6,411,941

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**PATENT OWNER'S PRELIMINARY RESPONSE TO PETITION  
FOR *INTER PARTES* REVIEW UNDER 37 C.F.R. § 42.107**

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A. The outcome of the alleged combination of Hellman and Chou is no different from Schwartz, which this Office found “no reasonable examiner would have found... important in determining the patentability of claims 1-19” .....	14
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**Table of Authorities**

**Cases**

*Ancora Techs., Inc. v. Apple, Inc.*,  
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*Ancora Techs., Inc. v. HTC Am., Inc.*,  
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35 U.S.C. §101 ..... 6

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35 U.S.C. §314 ..... 2

37 C.F.R. §2.108..... 2

**Other Authorities**

Office Patent Trial Practice Guide,  
77 Fed. Reg. 48,756, 48,756 (Aug. 14, 2012)..... 2

**Updated List of Exhibits**

<b>Exhibit No.</b>	<b>Description</b>
Ex. 2001	<i>RESERVED</i>
Ex. 2002	<i>RESERVED</i>
Ex. 2003	<i>RESERVED</i>
Ex. 2004	Apple Inc.'s N.D. Cal. Patent L.R. 3-3 Disclosures (Invalidity Disclosures)
Ex. 2005	Defendants HTC America, Inc. and HTC Corporation's Preliminary Non-Infringement and Invalidity Contentions
Ex. 2006	<i>RESERVED</i>
Ex. 2007	Email requesting permission to file motion to terminate
Ex. 2008	Ancora v. Samsung Fourth Amended Scheduling Order
Ex. 2009	Expert Report of Suzanne Barber Regarding Invalidity of U.S. Patent No. 6,411,941
Ex. 2010	Ancora v. HTC Order Setting Patent Case Schedule
Ex. 2011	Samsung and LG Invalidity Contentions and Select Invalidity Charts
Ex. 2012	Ancora v. HTC Affidavit of Service
Ex. 2013	IAM Article Judge Albright Interview
Ex. 2014	VLSI v. Intel Jury Verdict Form
Ex. 2015	Ancora v. LG Rebuttal Report of David Martin
Ex. 2016 <b>NEW</b>	Ancora's Preliminary Response to Petition, Case No. IPR2020-01609 (Dkt. 6)
Ex. 2017 <b>NEW</b>	Decision Granting Institution, Case No. IPR2020-01609 (Dkt. 7)
Ex. 2018 <b>NEW</b>	Declaration of Dr. David Martin, Ph.D.
Ex. 2019 <b>NEW</b>	<i>Ancora Techs., Inc. v. Apple, Inc.</i> , 744 F.3d 732 (Fed. Cir. 2014)
Ex. 2020 <b>NEW</b>	Telephonic Markman Hearing Tentative Ruling, <i>Ancora Technologies, Inc. v. TCT Mobile (US), Inc. et al.</i> , Case No. 8:19-cv-02192 (CDCA) (Dkt. #60)
Ex. 2021 <b>NEW</b>	US Patent 6,189,146 B1 ("Misra")
Ex. 2022 <b>NEW</b>	US Patent 5,479,639 ("Ewertz")

<b>Exhibit No.</b>	<b>Description</b>
Ex. 2023 <b>NEW</b>	Microsoft Corporation's Request for <i>Ex Parte</i> Reexamination Image File Wrapper, Control No. 90010560
Ex. 2024 <b>NEW</b>	Final Rulings on Claim Construction, <i>Ancora Technologies, Inc. v. TCT Mobile (US), Inc. et al.</i> , Case No. 8:19-cv-02192 (CDCA) (Dkt. #66, #69)
Ex. 2025 <b>NEW</b>	Phil Croucher, "The BIOS Companion," Tri-Tam Enterprises Inc. 1997

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