

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 9,509,440 B2  
APPLICATION NO. : 14/390904  
DATED : November 29, 2016  
INVENTOR(S) : Hammarwall et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification

In Column 12, Line 25, delete "MO" and insert --  $N \geq 0$  --, therefor.

In the Claims

In Column 16, Line 38, in Claim 5, delete "nod e" and insert -- node --, therefor.

In Column 16, Line 40, in Claim 5, delete "configuration" and insert -- configuration, --, therefor.

In Column 16, Line 56, in Claim 8, delete "method," and insert -- method --, therefor.

In Column 20, Line 12, in Claim 25, delete "fallback" and insert -- fallback, --, therefor.

Signed and Sealed this  
Twelfth Day of September, 2017



Joseph Matal  
*Performing the Functions and Duties of the  
Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 9,509,440 B2

APPLICATION NO. : 14/390,904

ISSUE DATE : November 29, 2016

INVENTOR(S) : Hammarwall, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Column 12, Line 25, delete "MO" and insert - -  $N \geq 0$  - -, therefor.

In Column 16, Line 38, in Claim 5, delete "nod e" and insert - - node - -, therefor.

In Column 16, Line 40, in Claim 5, delete "configuration" and insert - - configuration, - -, therefor.

In Column 16, Line 56, in Claim 8, delete "method," and insert - - method - -, therefor.

In Column 20, Line 12, in Claim 25, delete "fallback" and insert - - fallback, - -, therefor.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

6300 Legacy, MS EVR 1-C-11  
Plano, TX 75024  
972-583-8656

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: U.S. Patent No. 9,509,440

USPTO CONFIRMATION CODE: 7239

APPLICATION NO.: 14/390,904

PCT FILED: June 26, 2014

U.S. FILED: October 06, 2014

EXAMINER: Diane Lo

GROUP ART UNIT: 2466

FOR: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER  
MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

37 CFR 1.322 & 37 CFR 1.323 REQUEST FOR CERTIFICATE OF CORRECTION  
FOR USPTO AND/OR APPLICANT MISTAKE

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS

SIR:

The following is a request for a certificate of correction in Serial Number 14/390,904, now Patent Number 9,509,440.

A certificate of correction under 35 USC 254 is respectfully requested in the above-identified patent.

All errors were the fault of the USPTO, no fee required. In the event that a further fee is required, please charge the amount to our Deposit Account No. 50-1379.

The exact locations where the errors appear in the patent and patent application are as follows:



In Column 12, Line 25, delete “MO” and insert - -  $N \geq 0$  - -, therefor.  
(ORIGINALLY FILED SPECIFICATION DATED OCTOBER 6, 2014,  
PAGE 19 (PAGE 283 OF FW), LINE 12)

In Column 16, Line 38, in Claim 5, delete “nod e” and insert - - node - -, therefor.  
(AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 3 OF 15,  
CLAIM 31, LINE 1)

In Column 16, Line 40, in Claim 5, delete “configuration” and  
insert - - configuration, - -, therefor.  
(AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 3 OF 15,  
CLAIM 31, LINE 3)

In Column 16, Line 56, in Claim 8, delete “method,” and  
insert - - method - -, therefor.  
(AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 4 OF 15,  
CLAIM 36, LINE 1)

In Column 20, Line 12, in Claim 25, delete “fallback” and  
insert - - fallback, - -, therefor.  
(AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 11 OF 15,  
CLAIM 51, LINE 27)

The requested corrections are attached on Form PTO 1050.

Respectfully Submitted

\_\_\_\_\_, 2017  
DATE

\_\_\_\_\_  
/Ronald J. Ward, Reg#54870/  
Ronald J. Ward  
Registration No. 54,870  
Attorney of Record

## Electronic Acknowledgement Receipt

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 28583668   |
| <b>Application Number:</b>                  | 14390904   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7239   |
| <b>Title of Invention:</b>                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |
| <b>First Named Inventor/Applicant Name:</b> | David Hammarwall   |
| <b>Customer Number:</b>                     | 24112  |
| <b>Filer:</b>                               | Steven Ware Smith/Michelle Sanderson   |
| <b>Filer Authorized By:</b>                 | Steven Ware Smith  |
| <b>Attorney Docket Number:</b>              | 4015-8999 / P41223-US2   |
| <b>Receipt Date:</b>                        | 09-MAR-2017  |
| <b>Filing Date:</b>                         | 06-OCT-2014  |
| <b>Time Stamp:</b>                          | 14:57:47   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371   |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

### File Listing:

| Document Number | Document Description                  | File Name                                      | File Size(Bytes)/<br>Message Digest                       | Multi Part /.zip | Pages (if appl.) |
|-----------------|---------------------------------------|--|---|------------------|------------------|
| 1               | Request for Certificate of Correction | P41223-<br>US2_2017-03-09_CoC_PTO-105<br>0.pdf | 122960<br><br>0e4aa4edc7ccc41e078af791cf31e0799d8e<br>1a1 | no               | 2                |

### Warnings:

|  |                    |  |        |        |   |
|--|--------------------|--|--------|--------|---|
| <b>Information:</b>  |                    |  |        |        |   |
| 2  | Transmittal Letter | P41223-<br>US2_2017-03-09_CoC_Request<br>_Letter.pdf | 111786 | no     | 3 |
| 9349ebcab2a21a7832a31fe165779be91f531fce   |                    |  |        |        |   |
| <b>Warnings:</b>   |                    |  |        |        |   |
| <b>Information:</b>  |                    |  |        |        |   |
| <b>Total Files Size (in bytes):</b>  |                    |  |        | 234746 |   |
| <p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b><br/> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b><br/> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b><br/> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p> |                    |  |        |        |   |



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Table with 5 columns: APPLICATION NO., ISSUE DATE, PATENT NO., ATTORNEY DOCKET NO., CONFIRMATION NO.
Row 1: 14/390,904, 11/29/2016, 9509440, 4015-8999 / P41223-US2, 7239

24112 7590 11/09/2016
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 56 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

David Hammarwall, Vallentuna, SWEDEN;
TELEFONAKTIEBOLAGET L M ERICSSON (PUBL.), Stockholm, SWEDEN;
Meng Wang, SUNDBYBERG, SWEDEN;

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 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24112 7590 07272916  
**COATS & BENNETT, PLLC**  
 1400 Crescent Green, Suite 300  
 Cary, NC 27518

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|                             |
|-----------------------------|
| _____<br>(Depositor's name) |
| _____<br>(Signature)        |
| _____<br>(Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|-----------------|-------------|----------------------|------------------------|------------------|
| 14/390,904      | 10/06/2014  | David Hammarwall     | 4015-8999 / P41223-US2 | 7239             |

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | UNDISCOUNTED  | \$960         | \$0                 | \$0                  | \$960            | 10/27/2016 |

| EXAMINER      | ART UNIT | CLASS-SUBCLASS |
|---------------|----------|----------------|
| LO, DIANE LEE | 2466     | 370-329000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Free Address" indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a **Customer Number** is required.

2. For printing on the patent front page, list  
 (1) The names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Coats & Bennett, P.L.L.C.  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Telefonaktiebolaget LM Ericsson (publ)

Stockholm, Sweden

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

- Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies \_\_\_\_\_

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- A check is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number 18-1167 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- Applicant certifying micro entity status. See 37 CFR 1.29  
 Applicant asserting small entity status. See 37 CFR 1.27  
 Applicant changing to regular undiscouted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature



Date October 20, 2016

Typed or printed name Eli M. Sheets

Registration No. 68,958

## Electronic Patent Application Fee Transmittal

|   |  |                 |               |                             |  |
|---|--|-----------------|---------------|-----------------------------|--|
| <b>Application Number:</b>                                  | 14390904   |                 |               |                             |  |
| <b>Filing Date:</b>   | 06-Oct-2014  |                 |               |                             |  |
| <b>Title of Invention:</b>                                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                 |               |                             |  |
| <b>First Named Inventor/Applicant Name:</b>                 | David Hammarwall   |                 |               |                             |  |
| <b>Filer:</b>   | Eli Sheets/Leslie Ruckdeschel  |                 |               |                             |  |
| <b>Attorney Docket Number:</b>                              | 4015-8999 / P41223-US2   |                 |               |                             |  |
| Filed as Large Entity                                       |  |                 |               |                             |  |
| <b>Filing Fees for U.S. National Stage under 35 USC 371</b> |  |                 |               |                             |  |
| <b>Description</b>  | <b>Fee Code</b>  | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |  |
| <b>Basic Filing:</b>  |  |                 |               |                             |  |
| <b>Pages:</b>   |  |                 |               |                             |  |
| <b>Claims:</b>  |  |                 |               |                             |  |
| <b>Miscellaneous-Filing:</b>                                |  |                 |               |                             |  |
| <b>Petition:</b>  |  |                 |               |                             |  |
| <b>Patent-Appeals-and-Interference:</b>                     |  |                 |               |                             |  |
| <b>Post-Allowance-and-Post-Issuance:</b>                    |  |                 |               |                             |  |

| Description                            | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|--|----------|----------|--------|----------------------|
| UTILITY APPL ISSUE FEE                 | 1501     | 1        | 960    | 960                  |
| PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL | 1504     | 1        | 0      | 0                    |
| <b>Extension-of-Time:</b>              |          |          |        |                      |
| <b>Miscellaneous:</b>                  |          |          |        |                      |
| <b>Total in USD (\$)</b>               |          |          |        | <b>960</b>           |



## Electronic Acknowledgement Receipt

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 27272913   |
| <b>Application Number:</b>                  | 14390904   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7239   |
| <b>Title of Invention:</b>                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |
| <b>First Named Inventor/Applicant Name:</b> | David Hammarwall   |
| <b>Customer Number:</b>                     | 24112  |
| <b>Filer:</b>                               | Eli Sheets/Leslie Ruckdeschel  |
| <b>Filer Authorized By:</b>                 | Eli Sheets   |
| <b>Attorney Docket Number:</b>              | 4015-8999 / P41223-US2   |
| <b>Receipt Date:</b>                        | 20-OCT-2016  |
| <b>Filing Date:</b>                         | 06-OCT-2014  |
| <b>Time Stamp:</b>                          | 13:43:55   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371   |

### Payment information:

|  |                       |
|--|-----------------------|
| Submitted with Payment                   | yes                   |
| Payment Type                             | EFT                   |
| Payment was successfully received in RAM | \$960                 |
| RAM confirmation Number                  | 102016INTEFSW13444600 |
| Deposit Account                          |                       |
| Authorized User                          |                       |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

|  |  |  |  |  |  |
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**File Listing:**

| Document Number | Document Description        | File Name                 | File Size(Bytes)/ Message Digest         | Multi Part /.zip | Pages (if appl.) |
|-----------------|-----------------------------|---------------------------|--|------------------|------------------|
| 1               | Issue Fee Payment (PTO-85B) | Issue_Fee_Transmittal.pdf | 534801                                   | no               | 1                |
|                 |                             |                           | ba7035d58b880c57517ed92590e60bc7f0242846 |                  |                  |

**Warnings:**

**Information:**

|   |                      |              |   |    |   |
|---|----------------------|--------------|---|----|---|
| 2 | Fee Worksheet (SB06) | fee-info.pdf | 32825                                   | no | 2 |
|   |                      |              | 7ab0f7bfb6489e164e01270091f292a10dfdf63 |    |   |

**Warnings:**

**Information:**

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| <b>Total Files Size (in bytes):</b> | 567626 |
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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**



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NOTICE OF ALLOWANCE AND FEE(S) DUE

24112 7590 07/27/2016
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

EXAMINER

LO, DIANE LEE

ART UNIT PAPER NUMBER

2466

DATE MAILED: 07/27/2016

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.

14/390,904 10/06/2014 David Hammarwall 4015-8999 / P41223-US2 7239

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Table with 7 columns: APPLN. TYPE, ENTITY STATUS, ISSUE FEE DUE, PUBLICATION FEE DUE, PREV. PAID ISSUE FEE, TOTAL FEE(S) DUE, DATE DUE

nonprovisional UNDISCOUNTED \$960 \$0 \$0 \$960 10/27/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

**PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24112                      7590                      07/27/2016  
**COATS & BENNETT, PLLC**  
 1400 Crescent Green, Suite 300  
 Cary, NC 27518

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|                             |
|-----------------------------|
| _____<br>(Depositor's name) |
| _____<br>(Signature)        |
| _____<br>(Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|-----------------|-------------|----------------------|------------------------|------------------|
| 14/390,904      | 10/06/2014  | David Hammarwall     | 4015-8999 / P41223-US2 | 7239             |

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | UNDISCOUNTED  | \$960         | \$0                 | \$0                  | \$960            | 10/27/2016 |

| EXAMINER      | ART UNIT | CLASS-SUBCLASS |
|---------------|----------|----------------|
| LO, DIANE LEE | 2466     | 370-329000     |

|   |   |
|---|---|
| <p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. <b>Use of a Customer Number is required.</b></p> | <p>2. For printing on the patent front page, list</p> <p>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1</p> <p>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2</p> <p>_____ 3</p> |
|---|---|

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE \_\_\_\_\_

(B) RESIDENCE: (CITY and STATE OR COUNTRY) \_\_\_\_\_

Please check the appropriate assignee category or categories (will not be printed on the patent) :  Individual  Corporation or other private group entity  Government

|   |   |
|---|---|
| <p>4a. The following fee(s) are submitted:</p> <p><input type="checkbox"/> Issue Fee</p> <p><input type="checkbox"/> Publication Fee (No small entity discount permitted)</p> <p><input type="checkbox"/> Advance Order - # of Copies _____</p> | <p>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</p> <p><input type="checkbox"/> A check is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).</p> |
|---|---|

5. **Change in Entity Status** (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

**NOTE:** Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

**NOTE:** If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

**NOTE:** Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

**NOTE:** This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

|                             |                        |
|-----------------------------|------------------------|
| Authorized Signature _____  | Date _____             |
| Typed or printed name _____ | Registration No. _____ |



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.

24112 7590 07/27/2016
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

EXAMINER

LO, DIANE LEE

ART UNIT PAPER NUMBER

2466

DATE MAILED: 07/27/2016

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### Privacy Act Statement

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|                               |                                      |  |   |
|-------------------------------|--------------------------------------|--|---|
| <b>Notice of Allowability</b> | <b>Application No.</b><br>14/390,904 | <b>Applicant(s)</b><br>HAMMARWALL ET AL. |   |
|                               | <b>Examiner</b><br>DIANE LO          | <b>Art Unit</b><br>2466                  | <b>AIA (First Inventor to File) Status</b><br>Yes |

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1.  This communication is responsive to amendment received 07/01/2016.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
2.  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
3.  The allowed claim(s) is/are 27-33,36-44 and 47-58. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to PPHfeedback@uspto.gov.
4.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some    \*c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.  
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.  
**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Notice of References Cited (PTO-892)</li> <li>2. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date _____</li> <li>3. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br/>of Biological Material</li> <li>4. <input type="checkbox"/> Interview Summary (PTO-413),<br/>Paper No./Mail Date _____.</li> </ol> | <ol style="list-style-type: none"> <li>5. <input type="checkbox"/> Examiner's Amendment/Comment</li> <li>6. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance</li> <li>7. <input type="checkbox"/> Other _____.</li> </ol> |
|--|--|

/DIANE LO/  
Primary Examiner, Art Unit 2466



### ***REASONS FOR ALLOWANCE***

The following is an examiner's statement of reasons for allowance:

The closest prior arts on record fail to teach "instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback" in conjunction with all disclosed steps of functions or all disclosed structures performing corresponding functions in claims 27, 38, 49, 50, 51, and 52.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance".

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE LO whose telephone number is (571)270-1952. The examiner can normally be reached on Monday to Friday, 8:00 am-4:30 pm EST.



If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Faruk Hamza can be reached on (571)272-7969. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DIANE LO/  
Primary Examiner, Art Unit 2466

## EAST Search History

## EAST Search History (Prior Art)

| Ref # | Hits | Search Query  | DBs  | Default Operator | Plurals | Time Stamp          |
|-------|------|---|--|------------------|---------|---------------------|
| L1    | 233  | (fall-back or fallback) with (MCS or modulation)                      | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:00 |
| L2    | 6    | (fall-back or fallback) with (MCS or modulation) NEAR3 second         | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:08 |
| L10   | 64   | H04L1\$.cpc. AND (CQI SAME MCS with second with table)                | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:55 |
| L11   | 92   | H04L1\$.cpc. AND (fall-back or fallback) with (MCS or modulation)     | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:55 |
| L12   | 28   | H04W72\$.cpc. AND (CQI SAME MCS with second with table)               | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:57 |
| L13   | 28   | H04W72\$.cpc. AND (CQI with MCS with second with table)               | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:57 |
| L14   | 2    | H04W72\$.cpc. AND (CQI with MCS with second with table AND fallback)  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>13:59 |
| L15   | 1    | David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm. | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>14:00 |
| L16   | 1    | L15   | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/07/25<br>14:00 |
| S1    | 2    | "14390904"  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>12:01 |

|     |    |  |  |     |    |                  |
|-----|----|--|--|-----|----|------------------|
| S2  | 1  | David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:28 |
| S3  | 1  | Meng NEAR3 Wang AND (CQI with MCS with second with table).clm.   | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:29 |
| S4  | 0  | Ericsson.as. AND (CQI with MCS with second with table).clm.  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:29 |
| S5  | 6  | (CQI with MCS with second with table).clm.   | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:30 |
| S6  | 64 | (CQI with MCS with second with table)  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:42 |
| S7  | 3  | (CQI with MCS with second with table) AND (maintain\$3 with fallback)  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 16:42 |
| S8  | 3  | (CQI with MCS with second with table) AND (fallback)   | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/25 22:54 |
| S9  | 6  | (US-20150381310-\$ or US-20150358111-\$ or US-20150016553-\$).did. or (US-9198070-\$ or US-8654745-\$ or US-8488709-\$).did. | US-PGPUB; USPAT  | ADJ | ON | 2016/02/29 00:58 |
| S10 | 52 | H04L1\$.opc. AND (CQI with MCS with second with table)   | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/29 11:53 |
| S11 | 5  | H04L1\$.opc. AND (CQI with MCS with second with table).clm.  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/29 11:54 |
| S12 | 18 | (CQI with MCS with second with table AND TBS)  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/02/29 12:01 |
| S13 | 59 | table SAME modulation SAME fallback  | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | ADJ | ON | 2016/07/21 11:08 |


|     |     |   |  |     |    |                     |
|-----|-----|---|--|-----|----|---------------------|
| S14 | 28  | table with fallback SAME (MCS or modulation)  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>11:21 |
| S15 | 9   | table SAME fallback SAME (MCS)  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>11:31 |
| S16 | 8   | fallback SAME (MCS) with table  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>13:39 |
| S17 | 28  | (fall-back or fallback) with (MCS or modulation order)  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>13:41 |
| S18 | 5   | (fall-back or fallback) with (MCS or modulation order) AND (CQI with (fall-back or fallback)) | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:30 |
| S19 | 28  | (fall-back or fallback) with (MCS or modulation order)  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:31 |
| S20 | 1   | ((fall-back or fallback) with (MCS or modulation order)).clm.                                 | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:32 |
| S21 | 28  | ((fall-back or fallback) with (MCS or modulation order))                                      | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:33 |
| S22 | 1   | David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.                         | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:47 |
| S23 | 1   | S22   | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:47 |
| S24 | 706 | s3]   | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:49 |
| S25 | 1   | Meng NEAR3 Wang AND (CQI with MCS with second with table).clm.                                | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:49 |

EAST Search History

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| S26 | 1 | S25  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:49 |
| S27 | 8 | (CQI with MCS with second with table).clm.                             | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:50 |
| S28 | 8 | S27  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:50 |
| S29 | 1 | (CQI with MCS with second with table AND (fall-back or fallback)).clm. | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>14:51 |
| S32 | 5 | "20040003069"  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/07/21<br>18:57 |

7/ 25/ 2016 2:00:27 PM

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| <b>Search Notes</b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |

| CPC- SEARCHED |      |          |
|---------------|------|----------|
| Symbol        | Date | Examiner |
|               |      |          |


| CPC COMBINATION SETS - SEARCHED |      |          |
|---------------------------------|------|----------|
| Symbol                          | Date | Examiner |
|                                 |      |          |

| US CLASSIFICATION SEARCHED |          |      |          |
|----------------------------|----------|------|----------|
| Class                      | Subclass | Date | Examiner |
|                            |          |      |          |

| SEARCH NOTES   |           |          |
|--|-----------|----------|
| Search Notes   | Date      | Examiner |
| EAST search (US-PGPUB, USPAT, FPRS, EPO, JPO, DERWENT) - see search history printout | 2/29/2016 | DLL      |
| Inventor and Assignee search in EAST   | 2/29/2016 | DLL      |
| H04L 1/all (text search only - see search history printout)                          | 2/29/2016 | DLL      |
| update EAST search   | 7/25/2016 | DLL      |
| NPL search   | 7/25/2016 | DLL      |

| INTERFERENCE SEARCH     |  |           |          |
|-------------------------|--|-----------|----------|
| US Class/<br>CPC Symbol | US Subclass / CPC Group                  | Date      | Examiner |
|                         | see interference search history printout | 7/25/2016 | DLL      |


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| <b>Issue Classification</b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |

| CPC    |  |    |  |      |      |            |
|--------|--|----|--|------|------|------------|
| Symbol |  |    |  |      | Type | Version    |
| H04L   |  | 1  |  | 0005 | F    | 2013-01-01 |
| H04L   |  | 1  |  | 0003 | I    | 2013-01-01 |
| H04L   |  | 1  |  | 0009 | I    | 2013-01-01 |
| H04L   |  | 1  |  | 0016 | I    | 2013-01-01 |
| H04L   |  | 1  |  | 0025 | I    | 2013-01-01 |
| H04L   |  | 1  |  | 0026 | I    | 2013-01-01 |
| H04L   |  | 1  |  | 0011 | I    | 2013-01-01 |
| H04W   |  | 72 |  | 082  | I    | 2013-01-01 |
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| CPC Combination Sets |      |     |         |         |
|----------------------|------|-----|---------|---------|
| Symbol               | Type | Set | Ranking | Version |
|                      |      |     |         |         |
|                      |      |     |         |         |


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|---|------------|------------------------------|-------------------|
| NONE  |            | <b>Total Claims Allowed:</b> |                   |
| (Assistant Examiner)                          | (Date)     | 28                           |                   |
| /DIANE LO/<br>Primary Examiner. Art Unit 2466 | 07/25/2016 | O.G. Print Claim(s)          | O.G. Print Figure |
| (Primary Examiner)                            | (Date)     | 27                           | 2                 |

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| <b>Issue Classification</b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |

| US ORIGINAL CLASSIFICATION |                                   |          |  |  | INTERNATIONAL CLASSIFICATION |   |   |             |                 |  |  |  |  |  |
|----------------------------|-----------------------------------|----------|--|--|------------------------------|---|---|-------------|-----------------|--|--|--|--|--|
| CLASS                      |                                   | SUBCLASS |  |  | CLAIMED                      |   |   | NON-CLAIMED |                 |  |  |  |  |  |
| <b>CROSS REFERENCE(S)</b>  |                                   |          |  |  | H                            | 0 | 4 | L           | 1 / 00 (2006.0) |  |  |  |  |  |
|                            |                                   |          |  |  | H                            | 0 | 4 | W           | 72 / 08         |  |  |  |  |  |
| CLASS                      | SUBCLASS (ONE SUBCLASS PER BLOCK) |          |  |  |                              |   |   |             |                 |  |  |  |  |  |
|                            |                                   |          |  |  |                              |   |   |             |                 |  |  |  |  |  |
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|                            |                                   |          |  |  |                              |   |   |             |                 |  |  |  |  |  |
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|--|------------|------------------------------|-------------------|
| NONE   |            | <b>Total Claims Allowed:</b> |                   |
| (Assistant Examiner)                         | (Date)     | 28                           |                   |
| /DIANE LO/<br>Primary Examiner.Art Unit 2466 | 07/25/2016 | O.G. Print Claim(s)          | O.G. Print Figure |
| (Primary Examiner)                           | (Date)     | 27                           | 2                 |



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| <b>Issue Classification</b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |


| <input type="checkbox"/> Claims renumbered in the same order as presented by applicant |          | <input type="checkbox"/> CPA |          | <input type="checkbox"/> T.D. |          | <input type="checkbox"/> R.1.47 |          |       |          |       |          |       |          |       |          |
|--|----------|------------------------------|----------|-------------------------------|----------|---------------------------------|----------|-------|----------|-------|----------|-------|----------|-------|----------|
| Final  | Original | Final                        | Original | Final                         | Original | Final                           | Original | Final | Original | Final | Original | Final | Original | Final | Original |
| 1  | 27       | 16                           | 43       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 2  | 28       | 17                           | 44       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 3  | 29       |                              | 45       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 4  | 30       |                              | 46       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 5  | 31       | 18                           | 47       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 6  | 32       | 19                           | 48       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 7  | 33       | 21                           | 49       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
|  | 34       | 23                           | 50       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
|  | 35       | 25                           | 51       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 8  | 36       | 27                           | 52       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 9  | 37       | 10                           | 53       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 11   | 38       | 20                           | 54       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 12   | 39       | 22                           | 55       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 13   | 40       | 24                           | 56       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 14   | 41       | 26                           | 57       |                               |          |                                 |          |       |          |       |          |       |          |       |          |
| 15   | 42       | 28                           | 58       |                               |          |                                 |          |       |          |       |          |       |          |       |          |

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|---|------------|------------------------------|-------------------|
| NONE  |            | <b>Total Claims Allowed:</b> |                   |
| (Assistant Examiner)                          | (Date)     | 28                           |                   |
| /DIANE LO/<br>Primary Examiner. Art Unit 2466 | 07/25/2016 | O.G. Print Claim(s)          | O.G. Print Figure |
| (Primary Examiner)                            | (Date)     | 27                           | 2                 |

**EAST Search History****EAST Search History (Interference)**

| Ref # | Hits | Search Query  | DBs             | Default Operator | Plurals | Time Stamp       |
|-------|------|---|-----------------|------------------|---------|------------------|
| L3    | 1    | ((fall-back or fallback) with (MCS or modulation) NEAR3 second).clm.                    | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/25 13:15 |
| L4    | 8    | ((fall-back or fallback) with (MCS or modulation) with (table or second or first)).clm. | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/25 13:16 |
| L5    | 1    | ((fall-back or fallback) with (MCS or modulation) AND CQI).clm.                         | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/25 13:34 |
| L6    | 3    | ((fall-back or fallback) AND (MCS or modulation) AND CQI).clm.                          | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/25 13:39 |
| S30   | 1    | ((fall-back or fallback) with (MCS or modulation order)).clm.                           | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/21 15:18 |
| S31   | 8    | ((fall-back or fallback) with (CQI or MCS or modulation order)).clm.                    | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/21 15:19 |
| S33   | 1    | "20040003069"   | US-PGPUB; USPAT | ADJ              | ON      | 2016/07/21 18:57 |

**7/ 25/ 2016 1:40:33 PM****C:\Users\dlo1\Documents\EAST\Workspaces\14390904.wsp**

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| <b>Index of Claims</b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|   | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |

|   |                 |   |                   |   |                     |   |                 |
|---|-----------------|---|-------------------|---|---------------------|---|-----------------|
| ✓ | <b>Rejected</b> | - | <b>Cancelled</b>  | N | <b>Non-Elected</b>  | A | <b>Appeal</b>   |
| = | <b>Allowed</b>  | ÷ | <b>Restricted</b> | I | <b>Interference</b> | O | <b>Objected</b> |

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

| CLAIM |          | DATE       |            |  |  |  |  |  |  |
|-------|----------|------------|------------|--|--|--|--|--|--|
| Final | Original | 02/28/2016 | 07/25/2016 |  |  |  |  |  |  |
| 1     | 27       | ✓          | =          |  |  |  |  |  |  |
| 2     | 28       | ✓          | =          |  |  |  |  |  |  |
| 3     | 29       | ✓          | =          |  |  |  |  |  |  |
| 4     | 30       | ✓          | =          |  |  |  |  |  |  |
| 5     | 31       | ✓          | =          |  |  |  |  |  |  |
| 6     | 32       | ✓          | =          |  |  |  |  |  |  |
| 7     | 33       | ✓          | =          |  |  |  |  |  |  |
|       | 34       | ✓          | -          |  |  |  |  |  |  |
|       | 35       | ✓          | -          |  |  |  |  |  |  |
| 8     | 36       | ✓          | =          |  |  |  |  |  |  |
| 9     | 37       | ✓          | =          |  |  |  |  |  |  |
| 11    | 38       | ✓          | =          |  |  |  |  |  |  |
| 12    | 39       | ✓          | =          |  |  |  |  |  |  |
| 13    | 40       | ✓          | =          |  |  |  |  |  |  |
| 14    | 41       | ✓          | =          |  |  |  |  |  |  |
| 15    | 42       | ✓          | =          |  |  |  |  |  |  |
| 16    | 43       | ✓          | =          |  |  |  |  |  |  |
| 17    | 44       | ✓          | =          |  |  |  |  |  |  |
|       | 45       | ✓          | -          |  |  |  |  |  |  |
|       | 46       | ✓          | -          |  |  |  |  |  |  |
| 18    | 47       | ✓          | =          |  |  |  |  |  |  |
| 19    | 48       | ✓          | =          |  |  |  |  |  |  |
| 21    | 49       | ✓          | =          |  |  |  |  |  |  |
| 23    | 50       | ✓          | =          |  |  |  |  |  |  |
| 25    | 51       | ✓          | =          |  |  |  |  |  |  |
| 27    | 52       | ✓          | =          |  |  |  |  |  |  |
| 10    | 53       |            | =          |  |  |  |  |  |  |
| 20    | 54       |            | =          |  |  |  |  |  |  |
| 22    | 55       |            | =          |  |  |  |  |  |  |
| 24    | 56       |            | =          |  |  |  |  |  |  |
| 26    | 57       |            | =          |  |  |  |  |  |  |
| 28    | 58       |            | =          |  |  |  |  |  |  |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |   |                        |
|--|---|------------------------|
| In re Application of <b>Hammarwall et al.</b>      | ) |                        |
| Serial No.: <b>14/390,904</b>                      | ) |                        |
| Filed: <b>October 6, 2014</b>                      | ) | Examiner: Diane Lee Lo |
| For: <b>Method and Radio Node for Enabling Use</b> | ) | Group Art Unit: 2466   |
| <b>of High Order Modulation in a Radio</b>         | ) | Confirmation No.: 7239 |
| <b>Communication with a User Equipment</b>         | ) |                        |
| Docket No: <b>4015-8999</b>                        | ) |                        |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

This paper is being filed in response to the Office Action mailed March 1, 2016 having a reply due date of July 1, 2016 by virtue of the one-month extension of time requested and paid concurrent with the present filing. Reconsideration is respectfully requested in light of the amendments and/or remarks below. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

CLAIM AMENDMENTS

1-26. (Canceled)

27. (Currently Amended) A method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE; and

instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; table.

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order; order.

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied; and

wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

28. (Previously Presented) The method of claim 27, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
29. (Previously Presented) The method of claim 28, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
30. (Previously Presented) The method of claim 28, wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
31. (Previously Presented) The method of claim 30, wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
32. (Previously Presented) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the

higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

33. (Previously Presented) The method of claim 32, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
34. (Canceled).
35. (Canceled).
36. (Previously Presented) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
37. (Previously Presented) The method of claim 27, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
38. (Currently Amended) A radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at

least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node comprising:

one or more processing circuits configured to function as:

a logic circuit configured to detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;

an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order; order.

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration. and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.



39. (Previously Presented) The radio node of claim 38, wherein the radio node is configured to instruct the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
40. (Previously Presented) The radio node of claim 39, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
41. (Previously Presented) The radio node of claim 39, wherein the radio node is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
42. (Previously Presented) The radio node of claim 41, wherein the radio node is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
43. (Previously Presented) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

44. (Previously Presented) The radio node of claim 43, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
45. (Canceled)
46. (Canceled)
47. (Previously Presented) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
48. (Previously Presented) The radio node of claim 38, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
49. (Currently Amended) A method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;

applying the second table configuration in the radio communication with the radio node,

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

50. (Currently Amended) A User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme

(MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

one or more processing circuits configured to function as:

a communication circuit configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration; and

a logic circuit configured to apply the second table configuration in the radio communication with the radio node,

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the

lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

51. (Currently Amended) A computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the radio node, causes the radio node to:

detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE; and

instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; table,

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order; order,

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

wherein the at least one modulation order in the at least one of the first MCS table  
and the first CQI table comprises a lowest modulation order of the first table  
configuration, and  
wherein the first entry for the lowest modulation order in the first MCS table is  
maintained in the second MCS table as the fallback, and an entry for the lowest  
coding rate of the lowest modulation order in the first CQI table is maintained in  
the second CQI table as the fallback.

52. (Currently Amended) A computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:
- receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration; and
- apply the second table configuration in the radio communication with the radio node,

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,  
wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and  
wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

53. (New) The method of claim 1, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.
54. (New) The radio node of claim 38, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.
55. (New) The method of claim 49, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.
56. (New) The UE of claim 50, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

57. (New) The computer program product of claim 51, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

58. (New) The computer program product of claim 52, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.



**REMARKS**

**Claims Amendments**

Independent claims 27, 38, and 49-52 have been amended to further define that an entry for the lowest modulation order in the first modulation and coding scheme (MCS) table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first channel quality index (CQI) table is maintained in the second CQI table as the fallback. New claims 53-58 are added. Support for the amendments and the new claims can be found at least in paragraphs [0042] and [0096] of the application publication and in original claims 34, 35, 45, and 46, which have been canceled. No new matter is added.

The claimed invention relates to techniques for supporting higher-order modulation (*e.g.*, higher than 64QAM) by selecting, at a UE or a base station, a first table configuration having lower-order MCS and CQI tables or a second table configuration having higher-order MCS and CQI tables for communication between the UE and base station. For instance, if the UE or base station determines that a higher-order modulation scheme than those in the first table configuration may potentially be utilized, the second table configuration can be selected. Furthermore, the MCS and CQI tables of the second table configuration may contain an entry corresponding to the lowest modulation order supported by the first table configuration. This entry serves as a fallback position that may save the radio link in the event of rapidly degrading channel conditions that are unable to support communication using the higher-order modulation techniques.

**Rejection Under 35 U.S.C. § 102(a)(2)**

The Non-Final Office action rejects each of the previously pending claims as anticipated by Marinier et al. (US 2015/0358111). The Applicant respectfully submits that Marinier fails to disclose each and every aspect of the presently pending claims, as amended.

Marinier discloses methods for implementing higher order modulation techniques in wireless communication by providing multiple modulation and coding scheme (MCS) tables and channel quality index (CQI) tables, each of which may be utilized under different conditions (see Marinier, [0072]-[0074]). In a particular embodiment, Marinier discloses that a UE or base

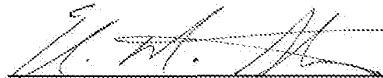
station determines whether to use a first table governing lower-order modulation techniques or a second table governing higher-order modulation techniques (see Marinier, [0072]).

Furthermore, Marinier teaches that the second table can contain one or more entries related to the lower-order modulation techniques in addition to entries related to the higher-order modulation techniques (see Marinier, [0072]).

Marinier does not disclose, however, that the one or more lower-order modulation entries in the second table contain at least the lowest modulation order supported by the first table for fallback purposes. Each of the presently pending independent claims has been amended to include this aspect. As such, Marinier fails to disclose each and every aspect of the amended independent claims. For this reason, the Applicant respectfully submits that the presently pending independent claims are in condition for allowance. Furthermore, each of the presently pending dependent claims depends upon one of these allowable independent claims, and is therefore likewise allowable.

Thus, for the above reasons, the Applicant respectfully submits that the presently pending claims, as amended, are in condition for allowance and requests the Examiner to issue a Notice of Allowance accordingly.

Respectfully submitted,  
COATS & BENNETT, P.L.L.C.



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Dated: July 1, 2016

## Electronic Patent Application Fee Transmittal

|   |  |                 |               |                             |
|---|--|-----------------|---------------|-----------------------------|
| <b>Application Number:</b>                                  | 14390904   |                 |               |                             |
| <b>Filing Date:</b>   | 06-Oct-2014  |                 |               |                             |
| <b>Title of Invention:</b>                                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                 |               |                             |
| <b>First Named Inventor/Applicant Name:</b>                 | David Hammarwall   |                 |               |                             |
| <b>Filer:</b>   | Eli Sheets/Leslie Ruckdeschel  |                 |               |                             |
| <b>Attorney Docket Number:</b>                              | 4015-8999 / P41223-US2   |                 |               |                             |
| Filed as Large Entity                                       |  |                 |               |                             |
| <b>Filing Fees for U.S. National Stage under 35 USC 371</b> |  |                 |               |                             |
| <b>Description</b>  | <b>Fee Code</b>  | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |
| <b>Basic Filing:</b>  |  |                 |               |                             |
| <b>Pages:</b>   |  |                 |               |                             |
| <b>Claims:</b>  |  |                 |               |                             |
| Claims in excess of 20                                      | 1615   | 2               | 80            | 160                         |
| <b>Miscellaneous-Filing:</b>                                |  |                 |               |                             |
| <b>Petition:</b>  |  |                 |               |                             |
| <b>Patent-Appeals-and-Interference:</b>                     |  |                 |               |                             |
| <b>Post-Allowance-and-Post-Issuance:</b>                    |  |                 |               |                             |

| Description                       | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-----------------------------------|----------|----------|--------|----------------------|
| <b>Extension-of-Time:</b>         |          |          |        |                      |
| Extension - 1 month with \$0 paid | 1251     | 1        | 200    | 200                  |
| <b>Miscellaneous:</b>             |          |          |        |                      |
| <b>Total in USD (\$)</b>          |          |          |        | <b>360</b>           |

## Electronic Acknowledgement Receipt

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 26239012   |
| <b>Application Number:</b>                  | 14390904   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7239   |
| <b>Title of Invention:</b>                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |
| <b>First Named Inventor/Applicant Name:</b> | David Hammarwall   |
| <b>Customer Number:</b>                     | 24112  |
| <b>Filer:</b>                               | Eli Sheets/Leslie Ruckdeschel  |
| <b>Filer Authorized By:</b>                 | Eli Sheets   |
| <b>Attorney Docket Number:</b>              | 4015-8999 / P41223-US2   |
| <b>Receipt Date:</b>                        | 01-JUL-2016  |
| <b>Filing Date:</b>                         | 06-OCT-2014  |
| <b>Time Stamp:</b>                          | 12:36:11   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371   |

### Payment information:

|  |                       |
|--|-----------------------|
| Submitted with Payment                   | yes                   |
| Payment Type                             | EFT                   |
| Payment was successfully received in RAM | \$360                 |
| RAM confirmation Number                  | 070116INTEFSW12371100 |
| Deposit Account                          | null                  |
| Authorized User                          | Leslie Ruckdeschel    |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

| <b>File Listing:</b>                                       |                             |   |  |                         |                         |
|--|-----------------------------|---|--|-------------------------|-------------------------|
| <b>Document Number</b>                                     | <b>Document Description</b> | <b>File Name</b>                                      | <b>File Size(Bytes)/<br/>Message Digest</b>          | <b>Multi Part /.zip</b> | <b>Pages (if appl.)</b> |
| 1  |                             | Response_OA.pdf                                       | 4091889<br>ee8c3bae5fe8a0287065c9dc5c3e6b70cd787629b | yes                     | 15                      |
| <b>Multipart Description/PDF files in .zip description</b> |                             |   |  |                         |                         |
|  |                             | <b>Document Description</b>                           | <b>Start</b>   | <b>End</b>              |                         |
|  |                             | Amendment/Req. Reconsideration-After Non-Final Reject | 1  | 1                       |                         |
|  |                             | Claims  | 2  | 13                      |                         |
|  |                             | Applicant Arguments/Remarks Made in an Amendment      | 14   | 15                      |                         |
| <b>Warnings:</b>   |                             |   |  |                         |                         |
| <b>Information:</b>  |                             |   |  |                         |                         |
| 2  | Fee Worksheet (SB06)        | fee-info.pdf  | 32540<br>f974f9fa98673b073b734dd58c2f10887bca5b47    | no                      | 2                       |
| <b>Warnings:</b>   |                             |   |  |                         |                         |
| <b>Information:</b>  |                             |   |  |                         |                         |
| <b>Total Files Size (in bytes):</b>                        |                             |   | 4124429  |                         |                         |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

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|  |   |                                    |   |                                  |                                       |                     |
|--|---|------------------------------------|---|----------------------------------|---------------------------------------|---------------------|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875  |   |                                    | Application or Docket Number<br><b>14/390,904</b> | Filing Date<br><b>10/06/2014</b> | <input type="checkbox"/> To be Mailed |                     |
| ENTITY: <input checked="" type="checkbox"/> LARGE <input type="checkbox"/> SMALL <input type="checkbox"/> MICRO  |   |                                    |   |                                  |                                       |                     |
| <b>APPLICATION AS FILED – PART I</b>   |   |                                    |   |                                  |                                       |                     |
| (Column 1)   |   | (Column 2)                         |   |                                  |                                       |                     |
| FOR  | NUMBER FILED  | NUMBER EXTRA                       | RATE (\$)   | FEE (\$)                         |                                       |                     |
| <input type="checkbox"/> BASIC FEE<br>(37 CFR 1.16(a), (b), or (c))  | N/A   | N/A                                | N/A   |                                  |                                       |                     |
| <input type="checkbox"/> SEARCH FEE<br>(37 CFR 1.16(k), (i), or (m))   | N/A   | N/A                                | N/A   |                                  |                                       |                     |
| <input type="checkbox"/> EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q))  | N/A   | N/A                                | N/A   |                                  |                                       |                     |
| TOTAL CLAIMS<br>(37 CFR 1.16(j))   | minus 20 =  | *                                  | X \$ =  |                                  |                                       |                     |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h))   | minus 3 =   | *                                  | X \$ =  |                                  |                                       |                     |
| <input type="checkbox"/> APPLICATION SIZE FEE<br>(37 CFR 1.16(s))  | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                                    |   |                                  |                                       |                     |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))   |   |                                    |   |                                  |                                       |                     |
| * If the difference in column 1 is less than zero, enter "0" in column 2.  |   |                                    | TOTAL   |                                  |                                       |                     |
| <b>APPLICATION AS AMENDED – PART II</b>  |   |                                    |   |                                  |                                       |                     |
| (Column 1)   |   | (Column 2)                         | (Column 3)  |                                  |                                       |                     |
| AMENDMENT  | <b>07/01/2016</b>   | CLAIMS REMAINING AFTER AMENDMENT   | HIGHEST NUMBER PREVIOUSLY PAID FOR                | PRESENT EXTRA                    | RATE (\$)                             | ADDITIONAL FEE (\$) |
|  | Total (37 CFR 1.16(i))  | * 28                               | Minus ** 26                                       | = 2                              | x \$80 =                              | 160                 |
|  | Independent (37 CFR 1.16(h))  | * 6                                | Minus *** 6                                       | = 0                              | x \$420 =                             | 0                   |
|  | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))  |                                    |   |                                  |                                       |                     |
|  | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))  |                                    |   |                                  |                                       |                     |
|  |   |                                    |   |                                  | TOTAL ADD'L FEE                       | <b>160</b>          |
| (Column 1)   |   | (Column 2)                         | (Column 3)  |                                  |                                       |                     |
| AMENDMENT  | CLAIMS REMAINING AFTER AMENDMENT  | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA                                     |                                  | RATE (\$)                             | ADDITIONAL FEE (\$) |
|  | Total (37 CFR 1.16(i))  | *                                  | Minus **  | =                                | X \$ =                                |                     |
|  | Independent (37 CFR 1.16(h))  | *                                  | Minus ***   | =                                | X \$ =                                |                     |
|  | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))  |                                    |   |                                  |                                       |                     |
|  | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))  |                                    |   |                                  |                                       |                     |
|  |   |                                    |   |                                  | TOTAL ADD'L FEE                       |                     |
| <p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.<br/>                 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".<br/>                 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".<br/>                 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.</p> |   |                                    |   |                                  |                                       |                     |
| LIE<br>/TARA WASHINGTON/   |   |                                    |   |                                  |                                       |                     |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





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Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
14/390,904 10/06/2014 David Hammarwall 4015-8999 / P41223-US2 7239

24112 7590 03/01/2016
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

EXAMINER

LO, DIANE LEE

ART UNIT PAPER NUMBER

2466

MAIL DATE DELIVERY MODE

03/01/2016

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|                              |                                      |  |   |
|------------------------------|--------------------------------------|--|---|
| <b>Office Action Summary</b> | <b>Application No.</b><br>14/390,904 | <b>Applicant(s)</b><br>HAMMARWALL ET AL. |   |
|                              | <b>Examiner</b><br>DIANE LO          | <b>Art Unit</b><br>2466                  | <b>AIA (First Inventor to File) Status</b><br>Yes |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 10/06/2014.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.                      2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims\***

- 5)  Claim(s) 27-52 is/are pending in the application.  
5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 27-52 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on 10/06/2014 is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some\*\*    c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 3)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 4)  Other: \_\_\_\_\_.

## DETAILED ACTION

This is response to Application 14/390,904 filed on 10/06/2014 in which claims 27-52 are presented for examination.

### *Claim Rejections - 35 USC § 102*

1. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a)(2) the claimed invention was described in a patent issued under section 151, or in an application for patent published or deemed published under section 122(b), in which the patent or application, as the case may be, names another inventor and was effectively filed before the effective filing date of the claimed invention.

3. Claims 27-52 are rejected under 35 U.S.C. 102(a)(2) as being anticipated by Marinier et al. (US 2015/0358111 A1).

4. **Regarding claim 27**, Marinier teaches a method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the method comprising:

detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003] and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM*);

instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph [0072] multiple CQI tables to allow for higher order modulation*);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

5. **Regarding claim 38**, Marinier teaches a radio node of a cellular network (*Figure 1A and 1B*), the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (*Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the radio node comprising:

one or more processing circuits (*Figure 1A and 1B*) configured to function as: a logic circuit configured to detect that a higher modulation order, which is higher than the maximum

modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003] and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM*);

an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*); wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph [0072] multiple CQI tables to allow for higher order modulation*);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

6. **Regarding claims 28 and 39**, Marinier teaches, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals

communicated between the radio node and the first UE is above a threshold (*Paragraph [0059]-[0062] network indicates to device an MCS; a higher order modulation is provided than 64 QAM/threshold*).

7. **Regarding claims 29 and 40**, Marinier teaches wherein the performance related parameter comprises a Signal to Interference and Noise Ratio (*Paragraphs [0057], [0060], and [0061]*).

8. **Regarding claims 30 and 41**, Marinier teaches wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold (*Paragraph [0057]-[0062] network indicates to device an MCS*).

9. **Regarding claims 31 and 42**, Marinier teaches wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration (*Paragraph [0057]-[0062] network indicates to device an MCS*).

10. **Regarding claims 32 and 43**, Marinier teaches wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been

added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

11. **Regarding claims 33 and 44**, Marinier teaches wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size (*Paragraph [0062] 32 element MCS table and 16 element CQI table*).

12. **Regarding claims 34 and 45**, Marinier teaches, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

13. **Regarding claims 35 and 46**, Marinier teaches wherein: the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback; an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).



14. **Regarding claims 36 and 47**, Marinier teaches wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

15. **Regarding claims 37 and 48**, Marinier teaches wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively (*paragraph [0067] TBS index obtained from MCS index*).

16. **Regarding claim 49**, Marinier teaches a method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the method comprising:

receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

applying the second table configuration in the radio communication with the radio node (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*).

17. **Regarding claim 50**, Marinier teaches a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the UE comprising:

one or more processing circuits configured to function as: a communication circuit configured to receive an instruction from the radio node to apply a second table

configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

a logic circuit configured to apply the second table configuration in the radio communication with the radio node (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*).

18. **Regarding claim 51**, Marinier teaches a computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the computer program product comprising software

instructions which, when run on one or more processing circuits of the radio node, causes the radio node to:

detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003] and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM*);

instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph [0072] multiple CQI tables to allow for higher order modulation*);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

19. **Regarding claim 52**, Marinier teaches a computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (*Figure 1A, Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:

receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

apply the second table configuration in the radio communication with the radio node (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*).

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE LO whose telephone number is (571)270-1952. The examiner can normally be reached on Monday to Friday, 8:00 am-4:30 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Faruk Hamza can be reached on (571)272-7969. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DIANE LO/  
Primary Examiner, Art Unit 2466

|                                   |                                       |  |             |
|-----------------------------------|---------------------------------------|--|-------------|
| <b>Notice of References Cited</b> | Application/Control No.<br>14/390,904 | Applicant(s)/Patent Under Reexamination<br>HAMMARWALL ET AL. |             |
|                                   | Examiner<br>DIANE LO                  | Art Unit<br>2466   | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name           | CPC Classification | US Classification |
|---|--|-----------------|----------------|--------------------|-------------------|
| * | A US-2015/0358111 A1                             | 12-2015         | Marinier; Paul | H04L1/0003         | 370/329           |
|   | B US-  |                 |                |                    |                   |
|   | C US-  |                 |                |                    |                   |
|   | D US-  |                 |                |                    |                   |
|   | E US-  |                 |                |                    |                   |
|   | F US-  |                 |                |                    |                   |
|   | G US-  |                 |                |                    |                   |
|   | H US-  |                 |                |                    |                   |
|   | I US-  |                 |                |                    |                   |
|   | J US-  |                 |                |                    |                   |
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**NON-PATENT DOCUMENTS**

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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|--|--|---|
| <b>Search Notes</b><br><br> | <b>Application/Control No.</b><br><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br><br>DIANE LO                | <b>Art Unit</b><br><br>2466   |

| CPC- SEARCHED |      |          |
|---------------|------|----------|
| Symbol        | Date | Examiner |
|               |      |          |

| CPC COMBINATION SETS - SEARCHED |      |          |
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| US CLASSIFICATION SEARCHED |          |      |          |
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| Class                      | Subclass | Date | Examiner |
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| SEARCH NOTES   |           |          |
|--|-----------|----------|
| Search Notes   | Date      | Examiner |
| EAST search (US-PGPUB, USPAT, FPRS, EPO, JPO, DERWENT) - see search history printout | 2/29/2016 | DLL      |
| Inventor and Assignee search in EAST   | 2/29/2016 | DLL      |
| H04L 1/all (text search only - see search history printout)                          | 2/29/2016 | DLL      |

| INTERFERENCE SEARCH     |                         |      |          |
|-------------------------|-------------------------|------|----------|
| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date | Examiner |
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Receipt date: 11/24/2014

14390904 - GAI: 2466

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            | 13390904               |  |
|   | Filing Date            |            | 2014-10-06             |  |
|   | First Named Inventor   | Hammarwall |                        |  |
|   | Art Unit               |            |                        |  |
|   | Examiner Name          |            |                        |  |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |  |

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| /D.L./                   | 1       | 102624481                            | CN                          | A                      | 2012-08-01       | ZTE Corporation                                 | Machine English Translation  | <input checked="" type="checkbox"/> |
| /D.L./                   | 2       | 2013123961                           | WO                          | A1                     | 2013-08-29       | Nokia Siemens Networks Oy                       |  | <input type="checkbox"/>            |
| /D.L./                   | 3       | 2014109915                           | WO                          | A1                     | 2014-07-17       | Qualcomm Incorporated                           |  | <input type="checkbox"/>            |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.L./

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|---|------------------------|------------|------------------------|----------------------|--|
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            | 13390904               | 14390904 - GAU: 2466 |  |
|   | Filing Date            |            | 2014-10-06             |                      |  |
|   | First Named Inventor   | Hammarwall |                        |                      |  |
|   | Art Unit               |            |                        |                      |  |
|   | Examiner Name          |            |                        |                      |  |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |                      |  |

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| Examiner Initials*  | Cite No    | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |            |
| /D.L./  | 1          | PANASONIC, "Discussion on 256QAM for Downlink in Small Cell Deployments", 3GPP TSG-RAN WG1 Meeting 72bis, 2013-04-15, pp. 1-6, R1-131328, Chicago, US   | <input type="checkbox"/> |            |
| /D.L./  | 2          | HUAWEI, et al., "Standard Impacts to Support Higher Order Modulation", 3GPP TSG-RAN WG1 Meeting 73, 2013-05-20, pp. 1-2, R1-131853, Fukuoka, JP   | <input type="checkbox"/> |            |
| /D.L./  | 3          | HTC, "On Small Cell Enhancement for improved Spectral Efficiency", 3GPP TSG RAN WG1 Meeting #72, 2013-01-28, pp. 1-4, R1-130311, St. Julian's, Malta  | <input type="checkbox"/> |            |
| /D.L./  | 4          | INTEL CORPORATION, "CQI/MCS/TBS Tables for 256QAM and Relevant Signaling", 3GPP TSG RAN WG1 Meeting #76, 2014-02-10, pp. 1-8, R1-140118, Prague, Czech Republic   | <input type="checkbox"/> |            |
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| <b>EXAMINER SIGNATURE</b>   |            |   |                          |            |
| Examiner Signature  | /Diane Lo/ |   | Date Considered          | 02/25/2016 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.   |            |   |                          |            |
| <sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="http://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |            |   |                          |            |

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|   |                        |            |                        |                      |  |
|---|------------------------|------------|------------------------|----------------------|--|
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            | 13390904               | 14390904 - GAU: 2466 |  |
|   | Filing Date            |            | 2014-10-06             |                      |  |
|   | First Named Inventor   | Hammarwall |                        |                      |  |
|   | Art Unit               |            |                        |                      |  |
|   | Examiner Name          |            |                        |                      |  |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |                      |  |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

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- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                               |                     |            |
|------------|-------------------------------|---------------------|------------|
| Signature  | /John R. Owen Reg. No. 42055/ | Date (YYYY-MM-DD)   | 2014-11-24 |
| Name/Print | John R. Owen                  | Registration Number | 42055      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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## EAST Search History

## EAST Search History (Prior Art)

| Ref # | Hits | Search Query  | DBs  | Default Operator | Plurals | Time Stamp          |
|-------|------|---|--|------------------|---------|---------------------|
| L1    | 52   | H04L1\$.cpc. AND (CQI with MCS with second with table)                | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/29<br>11:53 |
| L2    | 5    | H04L1\$.cpc. AND (CQI with MCS with second with table).clm.           | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/29<br>11:54 |
| L3    | 18   | (CQI with MCS with second with table AND TBS)                         | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/29<br>12:01 |
| S1    | 2    | "14390904"  | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>12:01 |
| S2    | 1    | David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm. | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:28 |
| S3    | 1    | Meng NEAR3 Wang AND (CQI with MCS with second with table).clm.        | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:29 |
| S4    | 0    | Ericsson.as. AND (CQI with MCS with second with table).clm.           | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:29 |
| S5    | 6    | (CQI with MCS with second with table).clm.                            | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:30 |
| S6    | 64   | (CQI with MCS with second with table)                                 | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:42 |
| S7    | 3    | (CQI with MCS with second with table) AND (maintain\$3 with fallback) | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ              | ON      | 2016/02/25<br>16:42 |

EAST Search History

|    |   |  |  |     |    |                     |
|----|---|--|--|-----|----|---------------------|
| S8 | 3 | (QOI with MCS with second with table) AND (fallback)   | US-PGPUB; USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | ADJ | ON | 2016/02/25<br>22:54 |
| S9 | 6 | (US-20150381310-\$ or US-20150358111-\$ or US-20150016553-\$).did. or (US-9198070-\$ or US-8654745-\$ or US-8488709-\$).did. | US-PGPUB; USPAT  | ADJ | ON | 2016/02/29<br>00:58 |

**2/ 29/ 2016 12:02:01 PM**

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BIB DATA SHEET

CONFIRMATION NO. 7239

| SERIAL NUMBER   | FILING or 371(c) DATE   | CLASS  | GROUP ART UNIT  | ATTORNEY DOCKET NO.         |                           |                                |
|---|---|--|---|-----------------------------|---------------------------|--------------------------------|
| 14/390,904  | 10/06/2014  | 370  | 2466  | 4015-8999 / P41223-US2      |                           |                                |
| <b>APPLICANTS</b><br>TELEFONAKTIEBOLAGET L M ERICSSON (PUBL), Stockholm, SWEDEN;<br><b>INVENTORS</b><br>David Hammarwall, Vallentuna, SWEDEN;<br>Meng Wang, SUNDBYBERG, SWEDEN;<br><b>** CONTINUING DATA *****</b><br>This application is a 371 of PCT/SE2014/050803 06/26/2014 which claims benefit of 61/863,935 08/09/2013<br><b>** FOREIGN APPLICATIONS *****</b><br><b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b><br>09/21/2015 |   |  |   |                             |                           |                                |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and Acknowledged <u>/DIANE LEE LO/</u><br>Examiner's Signature   |   | <input type="checkbox"/> Met after Allowance<br>Initials | <b>STATE OR COUNTRY</b><br>SWEDEN   | <b>SHEETS DRAWINGS</b><br>7 | <b>TOTAL CLAIMS</b><br>26 | <b>INDEPENDENT CLAIMS</b><br>6 |
| <b>ADDRESS</b><br>COATS & BENNETT, PLLC<br>1400 Crescent Green, Suite 300<br>Cary, NC 27518<br>UNITED STATES  |   |  |   |                             |                           |                                |
| <b>TITLE</b><br>Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment  |   |  |   |                             |                           |                                |
| <b>FILING FEE RECEIVED</b><br>3220  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |  | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |                             |                           |                                |

Receipt date: 10/06/2014

14390904 - GAI: 2466

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031

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| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                        |  |
|   | Filing Date            |                        |  |
|   | First Named Inventor   | Hammarwall             |  |
|   | Art Unit               |                        |  |
|   | Examiner Name          |                        |  |
|   | Attorney Docket Number | 4015-8999 / P41223-US2 |  |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Receipt date: 10/06/2014 |  | Application Number     | 14390904 - GAU: 2466   |  |  |
|   |                          |  | Filing Date            |                        |  |  |
|   |                          |  | First Named Inventor   | Hammarwall             |  |  |
|   |                          |  | Art Unit               |                        |  |  |
|   |                          |  | Examiner Name          |                        |  |  |
|   |                          |  | Attorney Docket Number | 4015-8999 / P41223-US2 |  |  |

|        |   |   |                          |
|--------|---|---|--------------------------|
| /D.L./ | 1 | 3RD GENERATION PARTNERSHIP PROJECT, "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures (3GPP TS 36.213 version 11.2.0 Release 11)", Technical Specification, ETSI TS 136 213 V11.2.0, 2013-04-01, pp. 1-175, ETSI, France | <input type="checkbox"/> |
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| Examiner Signature | /Diane Lo/ | Date Considered | 02/25/2016 |
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|   | Filing Date            |            |                        |  |
|   | First Named Inventor   | Hammarwall |                        |  |
|   | Art Unit               |            |                        |  |
|   | Examiner Name          |            |                        |  |
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|            |                               |                     |            |
|------------|-------------------------------|---------------------|------------|
| Signature  | /John R. Owen Reg. No. 42055/ | Date (YYYY-MM-DD)   | 2014-10-06 |
| Name/Print | John R. Owen                  | Registration Number | 42055      |

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|  |  |   |
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| <b><i>Index of Claims</i></b><br> | <b>Application/Control No.</b><br>14390904 | <b>Applicant(s)/Patent Under Reexamination</b><br>HAMMARWALL ET AL. |
|  | <b>Examiner</b><br>DIANE LO                | <b>Art Unit</b><br>2466   |

|   |                 |   |                   |   |                     |   |                 |
|---|-----------------|---|-------------------|---|---------------------|---|-----------------|
| ✓ | <b>Rejected</b> | - | <b>Cancelled</b>  | N | <b>Non-Elected</b>  | A | <b>Appeal</b>   |
| = | <b>Allowed</b>  | ÷ | <b>Restricted</b> | I | <b>Interference</b> | O | <b>Objected</b> |

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

| CLAIM |          | DATE       |  |  |  |  |  |  |  |  |  |
|-------|----------|------------|--|--|--|--|--|--|--|--|--|
| Final | Original | 02/28/2016 |  |  |  |  |  |  |  |  |  |
|       | 27       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 28       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 29       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 30       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 31       | ✓          |  |  |  |  |  |  |  |  |  |
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|       | 33       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 34       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 35       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 36       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 37       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 38       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 39       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 40       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 41       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 42       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 43       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 44       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 45       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 46       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 47       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 48       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 49       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 50       | ✓          |  |  |  |  |  |  |  |  |  |
|       | 51       | ✓          |  |  |  |  |  |  |  |  |  |
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CONFIRMATION NO. 7239

24112
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

PUBLICATION NOTICE



Title: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Publication No. US-2015-0381310-A1

Publication Date: 12/31/2015

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publicly available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Table with 7 columns: APPLICATION NUMBER, FILING or 371(c) DATE, GRP ART UNIT, FIL FEE REC'D, ATTY DOCKET NO, TOT CLAIMS, IND CLAIMS. Row 1: 14/390,904, 10/06/2014, 3220, 4015-8999 / P41223-US2, 26, 6

CONFIRMATION NO. 7239

FILING RECEIPT



24112
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

Date Mailed: 09/22/2015

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

David Hammarwall, Vallentuna, SWEDEN;
Meng Wang, SUNDBYBERG, SWEDEN;

Applicant(s)

TELEFONAKTIEBOLAGET L M ERICSSON (PUBL), Stockholm, SWEDEN;

Power of Attorney: The patent practitioners associated with Customer Number 24112

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/SE2014/050803 06/26/2014
which claims benefit of 61/863,935 08/09/2013

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 09/21/2015

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 14/390,904

Projected Publication Date: 12/31/2015

Non-Publication Request: No

Early Publication Request: No



**Title**

Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

**Preliminary Class**

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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|   |  |
|---|--|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875 | Application or Docket Number<br>14/390,904 |
|---|--|

| APPLICATION AS FILED - PART I   |   |                   | SMALL ENTITY |         | OR | OTHER THAN SMALL ENTITY |         |
|---|---|-------------------|--------------|---------|----|-------------------------|---------|
|   | (Column 1)  | (Column 2)        |              |         |    |                         |         |
| FOR   | NUMBER FILED  | NUMBER EXTRA      | RATE(\$)     | FEE(\$) |    | RATE(\$)                | FEE(\$) |
| BASIC FEE<br><small>(37 CFR 1.16(a), (b), or (c))</small>                 | N/A   | N/A               | N/A          |         |    | N/A                     | 280     |
| SEARCH FEE<br><small>(37 CFR 1.16(k), (l), or (m))</small>                | N/A   | N/A               | N/A          |         |    | N/A                     | 480     |
| EXAMINATION FEE<br><small>(37 CFR 1.16(o), (p), or (q))</small>           | N/A   | N/A               | N/A          |         |    | N/A                     | 720     |
| TOTAL CLAIMS<br><small>(37 CFR 1.16(i))</small>                           | 26  | minus 20 = *<br>6 |              |         | OR | x 80 =                  | 480     |
| INDEPENDENT CLAIMS<br><small>(37 CFR 1.16(h))</small>                     | 6   | minus 3 = *<br>3  |              |         | OR | x 420 =                 | 1260    |
| APPLICATION SIZE FEE<br><small>(37 CFR 1.16(s))</small>                   | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                   |              |         |    |                         | 0.00    |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))                         |   |                   |              |         |    |                         | 0.00    |
| * If the difference in column 1 is less than zero, enter "0" in column 2. |   |                   | TOTAL        |         |    | TOTAL                   | 3220    |

| APPLICATION AS AMENDED - PART II  |   |                                    |               |          | SMALL ENTITY       |   | OR       | OTHER THAN SMALL ENTITY |   |   |
|---|---|------------------------------------|---------------|----------|--------------------|---|----------|-------------------------|---|---|
|   | (Column 1)  | (Column 2)                         | (Column 3)    |          |                    |   |          |                         |   |   |
| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT                                | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE(\$) | ADDITIONAL FEE(\$) |   | RATE(\$) | ADDITIONAL FEE(\$)      |   |   |
|   | Total<br><small>(37 CFR 1.16(i))</small>                        | *                                  | Minus         | **       | =                  | x | =        | OR                      | x | = |
|   | Independent<br><small>(37 CFR 1.16(h))</small>                  | *                                  | Minus         | ***      | =                  | x | =        | OR                      | x | = |
|   | Application Size Fee (37 CFR 1.16(s))                           |                                    |               |          |                    |   |          | OR                      |   |   |
|   | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                    |               |          |                    |   |          | OR                      |   |   |
| TOTAL ADD'L FEE   |   |                                    |               |          |                    |   | OR       | TOTAL ADD'L FEE         |   |   |
| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT                                | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE(\$) | ADDITIONAL FEE(\$) |   | RATE(\$) | ADDITIONAL FEE(\$)      |   |   |
|   | Total<br><small>(37 CFR 1.16(i))</small>                        | *                                  | Minus         | **       | =                  | x | =        | OR                      | x | = |
|   | Independent<br><small>(37 CFR 1.16(h))</small>                  | *                                  | Minus         | ***      | =                  | x | =        | OR                      | x | = |
|   | Application Size Fee (37 CFR 1.16(s))                           |                                    |               |          |                    |   |          | OR                      |   |   |
|   | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                    |               |          |                    |   |          | OR                      |   |   |
| TOTAL ADD'L FEE   |   |                                    |               |          |                    |   | OR       | TOTAL ADD'L FEE         |   |   |
| <p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</p> <p>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</p> <p>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</p> <p>The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.</p> |   |                                    |               |          |                    |   |          |                         |   |   |



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Table with 3 columns: U.S. APPLICATION NUMBER NO. (14/390,904), FIRST NAMED INVENTOR (David Hammarwall), ATTY. DOCKET NO. (4015-8999 / P41223-US2)

24112
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

INTERNATIONAL APPLICATION NO.
PCT/SE2014/050803

Table with 2 columns: I.A. FILING DATE (06/26/2014), PRIORITY DATE (08/09/2013)

CONFIRMATION NO. 7239
371 ACCEPTANCE LETTER



Date Mailed: 09/22/2015

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office, in its capacity as a Designated / Elected Office (37 CFR 1.495), has ACCEPTED the above identified international application for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above. A Filing Receipt will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE or 371(c) DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1) and (c)(2) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN BELOW. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363)

10/06/2014
DATE OF RECEIPT OF 35 U.S.C.
371(c)(1) and (c)(2) REQUIREMENTS

The following items have been received:

- Copy of the International Application filed on 10/06/2014
• English Translation of the IA filed on 10/06/2014
• Copy of the International Search Report filed on 10/06/2014
• Preliminary Amendments filed on 10/06/2014
• Information Disclosure Statements filed on 10/06/2014
• Inventor's Oath or Declaration filed on 10/06/2014
• Request for Immediate Examination filed on 10/06/2014
• U.S. Basic National Fees filed on 10/06/2014
• Assignee Statement for PGPUB filed on 10/06/2014
• Priority Documents filed on 10/06/2014
• Power of Attorney filed on 10/06/2014
• Application Data Sheet (37 CFR 1.76) filed on 10/06/2014

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PATRICIA A BOOKER

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Telephone: (571) 272-3882

|   |                        |            |                        |  |
|---|------------------------|------------|------------------------|--|
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            | 13390904               |  |
|   | Filing Date            |            | 2014-10-06             |  |
|   | First Named Inventor   | Hammarwall |                        |  |
|   | Art Unit               |            |                        |  |
|   | Examiner Name          |            |                        |  |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |  |

| U.S. PATENTS      |         |               |                        |            |   |  | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|--------|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |        |
|                   | 1       |               |                        |            |   |  |        |

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|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|--------|
| Examiner Initial*                    | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |        |
|                                      | 1       |                    |                        |                  |   |  |        |

If you wish to add additional U.S. Published Application citation information please click the Add button. Add

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|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|-------------------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>                      |
|                          | 1       | 102624481                            | CN                          | A                      | 2012-08-01       | ZTE Corporation                                 | Machine English Translation  | <input checked="" type="checkbox"/> |
|                          | 2       | 2013123961                           | WO                          | A1                     | 2013-08-29       | Nokia Siemens Networks Oy                       |  | <input type="checkbox"/>            |
|                          | 3       | 2014109915                           | WO                          | A1                     | 2014-07-17       | Qualcomm Incorporated                           |  | <input type="checkbox"/>            |

|   |                        |            |                        |
|---|------------------------|------------|------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            | 13390904               |
|   | Filing Date            |            | 2014-10-06             |
|   | First Named Inventor   | Hammarwall |                        |
|   | Art Unit               |            |                        |
|   | Examiner Name          |            |                        |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |

If you wish to add additional Foreign Patent Document citation information please click the Add button Add

**NON-PATENT LITERATURE DOCUMENTS** Remove

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
|--------------------|---------|---|--------------------------|
|                    | 1       | PANASONIC, "Discussion on 256QAM for Downlink in Small Cell Deployments", 3GPP TSG-RAN WG1 Meeting 72bis, 2013-04-15, pp. 1-6, R1-131328, Chicago, US   | <input type="checkbox"/> |
|                    | 2       | HUAWEI, et al., "Standard Impacts to Support Higher Order Modulation", 3GPP TSG-RAN WG1 Meeting 73, 2013-05-20, pp. 1-2, R1-131853, Fukuoka, JP   | <input type="checkbox"/> |
|                    | 3       | HTC, "On Small Cell Enhancement for improved Spectral Efficiency", 3GPP TSG RAN WG1 Meeting #72, 2013-01-28, pp. 1-4, R1-130311, St. Julian's, Malta  | <input type="checkbox"/> |
|                    | 4       | INTEL CORPORATION, "CQI/MCS/TBS Tables for 256QAM and Relevant Signaling", 3GPP TSG RAN WG1 Meeting #76, 2014-02-10, pp. 1-8, R1-140118, Prague, Czech Republic   | <input type="checkbox"/> |

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**EXAMINER SIGNATURE**

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

|   |                        |                        |
|---|------------------------|------------------------|
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     | 13390904               |
|   | Filing Date            | 2014-10-06             |
|   | First Named Inventor   | Hammarwall             |
|   | Art Unit               |                        |
|   | Examiner Name          |                        |
|   | Attorney Docket Number | 4015-8999 / P41223-US2 |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                               |                     |            |
|------------|-------------------------------|---------------------|------------|
| Signature  | /John R. Owen Reg. No. 42055/ | Date (YYYY-MM-DD)   | 2014-11-24 |
| Name/Print | John R. Owen                  | Registration Number | 42055      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**Espacenet****Bibliographic data: CN102624481 (A) — 2012-08-01**

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**Self-adaptive modulation and coding method and apparatus****Inventor(s):** SHUAI ZHANG; JIN XU; XU JUN ± (ZHANG SHUAI, ; XU JIN, ; XU JUN)**Applicant(s):** ZTE CORP ± (ZTE CORPORATION)**Classification:** - **international:** *H04L1/00; H04L27/36*  
- **cooperative:****Application number:** CN2011134103 20110131**Priority number(s):** CN2011134103 20110131**Abstract of CN102624481 (A)**

The invention provides a self-adaptive modulation and coding method and an apparatus. The method comprises the following steps that: a pilot signal is sent to a terminal; a receiving terminal carries out channel measurement according to the pilot signal and then feedbacks a channel quality indicator; and according to the channel quality indicator, a modulation and coding scheme (MCS) is selected from a modified MCS table and data are issued based on the MCS. According to the self-adaptive modulation and coding method and the apparatus, on the basis of current supporting of a modulation mode with up to 64 quadrature amplitude modulation (QAM), a modulation mode with up to 256 QAM can be supported; and moreover, a modulation mode with a higher order can also be supported; therefore, a data transmission rate can be improved.





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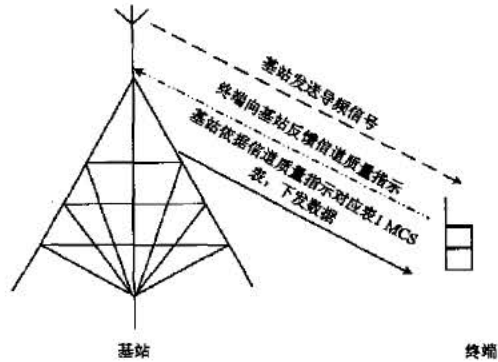
权利要求书 1 页 说明书 11 页 附图 7 页

(54) 发明名称

自适应调制编码方法及装置

(57) 摘要

本发明提供了一种自适应调制编码方法及装置,其中,该自适应调制编码方法包括:向终端发送导频信号;接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;根据所述信道质量指示从修改后的调制编码方式(MCS)表中选择调制编码方式,并根据所述调制编码方式下发数据。上述自适应调制编码方法及装置,可以在现有的最高支持64QAM的调制方式的基础上,最高支持256QAM的调制方式;并且还可以支持更高阶数的调制方式;从而提高数据的传输速率。



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1. 一种自适应调制编码方法,所述方法包括:  
向终端发送导频信号;  
接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;  
根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并根据所述调制编码方式下发数据。
2. 根据权利要求 1 所述的自适应调制编码方法,其特征在于:  
所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
3. 根据权利要求 1 或 2 所述的自适应调制编码方法,其特征在于:  
所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加的多个 MCS 内容用新增的一个或多个比特来指示;或者,  
所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。
4. 一种自适应调制编码方法,所述方法包括:  
接收终端发送的参考信号;  
根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并反馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。
5. 根据权利要求 4 所述的自适应调制编码方法,其特征在于:  
所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
6. 根据权利要求 4 或 5 所述的自适应调制编码方法,其特征在于:  
所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加的多个 MCS 内容用新增的一个或多个比特来指示;或者,  
所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。
7. 一种自适应调制编码装置,所述自适应调制编码装置包括:  
发送模块,用于向终端发送导频信号;  
接收模块,用于接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;  
选择下发模块,用于根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并根据所述调制编码方式下发数据。
8. 根据权利要求 7 所述的自适应调制编码装置,其特征在于:  
所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
9. 一种自适应调制编码装置,所述自适应调制编码装置包括:  
接收模块,用于接收终端发送的参考信号;  
选择反馈模块,用于根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并反馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。
10. 根据权利要求 9 所述的自适应调制编码装置,其特征在于:  
所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

## 自适应调制编码方法及装置

### 技术领域

[0001] 本发明涉及移动通信技术,尤其涉及一种自适应调制编码方法及装置。

### 背景技术

[0002] 在移动通信系统中,由于无线衰落信道时变的特点,使得通信过程存在大量的不确定性,一方面为了提高系统吞吐量,采用传输速率较高的高阶调制和少冗余纠错码进行通信,这样在无线衰落信道信噪比较理想时系统吞吐量确实得到了很大的提高,但当信道处于深衰落时,则无法保障通信可靠稳定地进行;另一方面,为了保障通信的可靠性,采用传输速率较低的低阶调制和大冗余纠错码进行通信,即在无线信道处于深衰落时保障通信可靠稳定地进行,然而当信道信噪比较高时,由于传输速率较低,制约了系统吞吐量的提高,从而造成了资源的浪费,在移动通信技术的发展早期,人们对抗无线衰落信道的时变特性,只能采用加大发射机的发射功率,使用低阶大冗余的调制编码方法来保障系统在信道深衰落时的通信质量,还无暇考虑如何提高系统的吞吐量,随着技术水平的进步,出现了可根据信道状态自适应地调节其发射功率,调制编码方式以及数据的帧长来克服信道的时变特性,从而获得最佳通信效果的技术,被称为自适应技术。

[0003] 现有标准中上行和下行最高支持 64 正交幅度调制 (QAM) 的调制编码方式,为了提高数据传输速率,兼容现有无线传输网络,最高支持 256QAM 的调制编码方式。但现有技术中没有支持 256QAM 的调制编码方式的实现方法。

### 发明内容

[0004] 为了解决上述技术问题,本发明提供了一种自适应调制编码方法及装置,以达到在兼容现有无线传输网络的基础上,最高支持 256QAM 甚至更高调制阶数的调制编码方式,从而提高数据传输速率。

[0005] 本发明提供了一种自适应调制编码方法,该方法包括:

[0006] 向终端发送导频信号;

[0007] 接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;

[0008] 根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并根据所述调制编码方式下发数据。

[0009] 优选地,上述自适应调制编码方法可具有如下特点:

[0010] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

[0011] 优选地,上述自适应调制编码方法还可具有如下特点:

[0012] 所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加的多个 MCS 内容用新增的一个或多个比特来指示;或者,

[0013] 所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。

[0014] 本发明还提供了一种自适应调制编码方法,所述方法包括:

[0015] 接收终端发送的参考信号;



- [0016] 根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式, 并反馈给终端, 以便所述终端根据所述调制编码方式向所述基站发送数据。
- [0017] 优选地, 上述自适应调制编码方法可具有如下特点:
- [0018] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
- [0019] 优选地, 上述自适应调制编码方法还可具有如下特点:
- [0020] 所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表, 其中, 增加的多个 MCS 内容用新增的一个或多个比特来指示; 或者,
- [0021] 所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。
- [0022] 本发明还提供了一种自适应调制编码装置, 所述自适应调制编码装置包括:
- [0023] 发送模块, 用于向终端发送导频信号;
- [0024] 接收模块, 用于接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;
- [0025] 选择下发模块, 用于根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式, 并根据所述调制编码方式下发数据。
- [0026] 优选地, 上述自适应调制编码装置可具有如下特点:
- [0027] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
- [0028] 本发明还提供了一种自适应调制编码装置, 所述自适应调制编码装置包括:
- [0029] 接收模块, 用于接收终端发送的参考信号;
- [0030] 选择反馈模块, 用于根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式, 并反馈给终端, 以便所述终端根据所述调制编码方式向所述基站发送数据。
- [0031] 优选地, 上述自适应调制编码装置可具有如下特点:
- [0032] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。
- [0033] 上述自适应调制编码方法及装置, 可以在现有的最高支持 64QAM 的调制方式的基础上, 最高支持 256QAM 的调制方式; 并且还可以支持更高阶数的调制方式; 从而提高数据的传输速率。

#### 附图说明

- [0034] 图 1 是本发明下行数据传输实施例一的示意图;
- [0035] 图 2 是本发明下行数据传输实施例二的示意图;
- [0036] 图 3 是本发明下行数据传输实施例三的示意图;
- [0037] 图 4 是本发明下行数据传输实施例四的示意图;
- [0038] 图 5 是本发明上行数据传输实施例一的示意图;
- [0039] 图 6 是本发明上行数据传输实施例二的示意图;
- [0040] 图 7 是本发明上行数据传输实施例三的示意图;
- [0041] 图 8 是本发明上行数据传输实施例四的示意图;
- [0042] 图 9 是本发明白适应调制编码装置实施例一的结构示意图;
- [0043] 图 10 是本发明白适应调制编码装置实施例二的结构示意图。

#### 具体实施方式

[0044] 下面结合附图和具体实施方式对本发明作进一步详细的说明。

[0045] 本发明提供了一种自适应调制编码方法,在下行传输方向上,上述方法包括:

[0046] 步骤 a,基站向终端发送导频信号;

[0047] 步骤 b,终端根据导频信号进行信道测量,反馈信道质量指示;

[0048] 步骤 c,基站收到终端发送的信道质量指示,在修改后的 MCS(调制编码方式, Modulation and Coding Scheme) 表中选择合适的调制编码方式,并下发数据。

[0049] 进一步地,对于步骤 c,在现有系统的 MCS 基础上,增加若干比特用于支持 256QAM 的调制方式。

[0050] 具体地,增加的 MCS 可以是表 1、表 2 中的任意一种或几种,其中每一行代表一种 MCS:MCS Index 为调制编码方式索引,modulation order 为调制方式。

[0051] 表 1 新增 MCS 表

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ |
|------------------------|---------------------------|
| 32                     | 8                         |
| 33                     | 8                         |
| 34                     | 8                         |
| 35                     | 8                         |
| 36                     | 8                         |
| 37                     | 8                         |

[0052]

[0053] 具体地,新增 MCS 还可以有如下形式:

[0054] 表 2 新增 MCS 表

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ |
|------------------------|---------------------------|
| 32                     | 8                         |
| 33                     | 8                         |
| 34                     | 8                         |
| 35                     | 8                         |
| 36                     | 8                         |
| 37                     | 8                         |
| 38                     | 8                         |
| 39                     | 8                         |

[0055]

[0056] 进一步,对于步骤 c,保持现有系统中 MCS 索引的比特数目不变,对 MCS 进行重新解释,以支持 256QAM 的调制方式。

[0057] 具体地,重新解释的内容可以是表 3、表 4 中的内容,其中随着 MCS index 和 TBS Index 的增加,调制阶数逐渐递增,且调制阶数最高支持 256QAM(如表 3、表 4 中的加粗部分),其中 TBS Index 为传输块大小索引:

[0058] 表 3 重新解释的 MCS 表

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |

[0059]

[0060]

|    |   |          |
|----|---|----------|
| 2  | 2 | 2        |
| 3  | 2 | 3        |
| 4  | 2 | 4        |
| 5  | 4 | 5        |
| 6  | 4 | 6        |
| 7  | 4 | 7        |
| 8  | 4 | 8        |
| 9  | 4 | 9        |
| 10 | 4 | 10       |
| 11 | 4 | 10       |
| 12 | 6 | 11       |
| 13 | 6 | 12       |
| 14 | 6 | 13       |
| 15 | 6 | 14       |
| 16 | 6 | 15       |
| 17 | 6 | 16       |
| 18 | 6 | 17       |
| 19 | 6 | 18       |
| 20 | 6 | 19       |
| 21 | 6 | 20       |
| 22 | 6 | 21       |
| 23 | 6 | 22       |
| 24 | 8 | 23       |
| 25 | 8 | 24       |
| 26 | 8 | 25       |
| 27 | 8 | 26       |
| 28 | 2 | reserved |
| 29 | 4 |          |
| 30 | 6 |          |
| 31 | 8 |          |

[0061] 具体地,重新解释的 MCS 表还可以有如下形式:

[0062] 表 4 重新解释的 MCS 表

[0063]

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |
| 2                      | 2                         | 2                      |
| 3                      | 4                         | 3                      |
| 4                      | 4                         | 4                      |
| 5                      | 4                         | 5                      |
| 6                      | 4                         | 6                      |
| 7                      | 4                         | 7                      |
| 8                      | 4                         | 8                      |
| 9                      | 6                         | 8                      |
| 10                     | 6                         | 9                      |
| 11                     | 6                         | 10                     |

[0064]

|    |   |          |
|----|---|----------|
| 12 | 6 | 11       |
| 13 | 6 | 12       |
| 14 | 6 | 13       |
| 15 | 6 | 14       |
| 16 | 6 | 15       |
| 17 | 6 | 16       |
| 18 | 6 | 17       |
| 19 | 6 | 18       |
| 20 | 6 | 19       |
| 21 | 6 | 20       |
| 22 | 8 | 21       |
| 23 | 8 | 22       |
| 24 | 8 | 23       |
| 25 | 8 | 24       |
| 26 | 8 | 25       |
| 27 | 8 | 26       |
| 28 | 2 | reserved |
| 29 | 4 |          |
| 30 | 6 |          |
| 31 | 8 |          |

[0065] 本发明还提供了一种自适应调制编码方法,在上行传输方向上,上述方法包:

[0066] 步骤 e,终端向基站发送参考信号;

[0067] 步骤 f,基站根据终端发送的参考信号,从修改后的 MCS 表中选择合适的调制编码方式,反馈给终端;

[0068] 步骤 g,终端依据基站反馈的调制编码方式,向基站发送数据。

[0069] 进一步地,对于步骤 f,在现有系统的 MCS 基础上,增加若干比特用于支持 256QAM 的调制方式。

[0070] 具体地,增加的 MCS 可以是下表 5、表 6 中的任意一种或几种,其中每一行代表一种 MCS, Redundancy Version 为冗余版本:

[0071] 表 5 新增的 MCS 表

[0072]

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ | Redundancy Version<br>$RV_{idx}$ |
|------------------------|---------------------------|------------------------|----------------------------------|
| 32                     | 8                         | 26                     | 0                                |
| 33                     | 8                         | 27                     | 0                                |
| 34                     | 8                         | 28                     | 0                                |

[0073]

|       |          |    |   |
|-------|----------|----|---|
| 35    | 8        | 29 | 0 |
| 36    | 8        | 30 | 0 |
| 37-63 | reserved |    |   |

[0074] 具体地,新增 MCS 还可以有如下形式:

[0075] 表 6 新增的 MCS 表



| MCS Index | Modulation Order | TBS Index | Redundancy Version |
|-----------|------------------|-----------|--------------------|
| $I_{MCS}$ | $Q_m$            | $I_{TBS}$ | $r_{Vidx}$         |
| 32        | 8                | 26        | 0                  |
| 33        | 8                | 27        | 0                  |
| 34        | 8                | 28        | 0                  |
| 35        | 8                | 29        | 0                  |
| 36        | 8                | 30        | 0                  |
| 37        | 8                | 31        | 0                  |
| 38        | 8                | 31        | 0                  |
| 39-63     | reserved         |           |                    |

[0077] 进一步地,对于步骤 f,保持现有系统中调制编码方式索引的比特数目不变,对 MCS 进行重新解释,以支持 256QAM 的调制方式。

[0078] 具体地,重新解释的内容可以是表 7、表 8 中的内容,其中随着 MCS index 和 TBS Index 的增加,调制阶数逐渐递增,且调制阶数最高支持 256QAM(如表 7、表 8 中的加粗部分):

[0079] 具体地,重新解释的 MCS 表还可以有如下形式:

[0080] 表 7 重新解释的 MCS 表

| MCS Index | Modulation Order | TBS Index | Redundancy Version |
|-----------|------------------|-----------|--------------------|
| $I_{MCS}$ | $Q_m$            | $I_{TBS}$ | $r_{Vidx}$         |
| 0         | 2                | 0         | 0                  |
| 1         | 2                | 1         | 0                  |
| 2         | 2                | 2         | 0                  |
| 3         | 2                | 3         | 0                  |
| 4         | 2                | 4         | 0                  |
| 5         | 2                | 5         | 0                  |
| 6         | 2                | 6         | 0                  |
| 9         | 2                | 7         | 0                  |
| 8         | 2                | 8         | 0                  |
| 9         | 4                | 9         | 0                  |



[0082]

|    |          |    |   |
|----|----------|----|---|
| 10 | 4        | 10 | 0 |
| 11 | 4        | 11 | 0 |
| 12 | 4        | 12 | 0 |
| 13 | 4        | 13 | 0 |
| 14 | 4        | 14 | 0 |
| 15 | 4        | 15 | 0 |
| 16 | 4        | 16 | 0 |
| 17 | 6        | 16 | 0 |
| 18 | 6        | 17 | 0 |
| 19 | 6        | 18 | 0 |
| 20 | 6        | 19 | 0 |
| 21 | 6        | 20 | 0 |
| 22 | 6        | 21 | 0 |
| 23 | 8        | 22 | 0 |
| 24 | 8        | 23 | 0 |
| 25 | 8        | 24 | 0 |
| 26 | 8        | 25 | 0 |
| 27 | 8        | 26 | 0 |
| 28 | reserved |    | 1 |
| 29 |          |    | 2 |
| 30 |          |    | 3 |
| 31 |          |    | 4 |

[0083] 具体地,重新解释的MCS表还可以有如下形式:

[0084] 表8重新解释的MCS表

[0085]

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ | Redundancy Version<br>$V_{idx}$ |
|------------------------|---------------------------|------------------------|---------------------------------|
| 0                      | 2                         | 0                      | 0                               |
| 1                      | 2                         | 1                      | 0                               |
| 2                      | 2                         | 2                      | 0                               |
| 3                      | 2                         | 3                      | 0                               |
| 4                      | 2                         | 4                      | 0                               |
| 5                      | 2                         | 5                      | 0                               |
| 6                      | 2                         | 6                      | 0                               |
| 9                      | 4                         | 7                      | 0                               |
| 8                      | 4                         | 8                      | 0                               |
| 9                      | 4                         | 9                      | 0                               |
| 10                     | 4                         | 10                     | 0                               |
| 11                     | 4                         | 11                     | 0                               |
| 12                     | 4                         | 12                     | 0                               |
| 13                     | 4                         | 13                     | 0                               |
| 14                     | 4                         | 14                     | 0                               |
| 15                     | 6                         | 14                     | 0                               |
| 16                     | 6                         | 15                     | 0                               |
| 17                     | 6                         | 16                     | 0                               |
| 18                     | 6                         | 17                     | 0                               |
| 19                     | 6                         | 18                     | 0                               |
| 20                     | 6                         | 19                     | 0                               |

[0086]

|    |          |    |   |
|----|----------|----|---|
| 21 | 8        | 20 | 0 |
| 22 | 8        | 21 | 0 |
| 23 | 8        | 22 | 0 |
| 24 | 8        | 23 | 0 |
| 25 | 8        | 24 | 0 |
| 26 | 8        | 25 | 0 |
| 27 | 8        | 26 | 0 |
| 28 | reserved |    | 1 |
| 29 |          |    | 2 |
| 30 |          |    | 3 |
| 31 |          |    | 4 |

[0087] 本发明实施例提供了一种自适应调制编码方法,该方法包括:

[0088] 下行方向,基站向终端发送导频信号;基站接收终端根据上述导频信号进行信道测量后反馈的信道质量指示;基站根据上述信道质量指示从修改后的调制编码方式(MCS)表中选择调制编码方式,并根据上述调制编码方式下发数据;

[0089] 上行方向,基站接收终端发送的参考信号,基站根据上述参考信号从修改后的调制编码方式(MCS)表中选择调制编码方式,并反馈给终端,以便上述终端根据上述调制编码方式向上述基站发送数据。

[0090] 下面将通过几个实施例具体说明如何利用本发明的方法确定自适应调制编码方式,进而完成数据编码及发送。

[0091] 实施例一

[0092] 如图1所示,是本发明下行数据传输实施例一的示意图,在该实施例中,终端接收基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表1中选择合适的调制编码方式,下发数据。

[0093] 如表1所示,在原有系统的5比特MCS指示的基础上增加1比特,用于指示新增加的6级MCS,这6级MCS用于指示256QAM的调制方式,如表1的MCS Index 32到MCS Index 37。增加后的MCS索引用6比特指示。

[0094] 其中,基站依据信道质量指示,选择合适的调制编码方式,例如选择的MCS Index等于35,其含义为当前下行数据的调制方式为256QAM。

[0095] 实施例二

[0096] 如图2所示,是本发明下行数据传输实施例二的示意图,在该实施例中,终端接收基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表2中选择合适的调制编码方式,下发数据。

[0097] 如表2所示,在原有系统的5比特MCS指示的基础上增加1比特,用于指示新增加的8级MCS,这8级MCS用于指示256QAM的调制方式,如表2的MCS Index 32到MCS Index 39。增加后的MCS索引用6比特指示。

[0098] 其中,基站依据信道质量指示,选择合适的调制编码方式,例如选择的MCS Index等于38,其含义为当前下行数据的调制方式为256QAM。

[0099] 实施例三

[0100] 如图3所示,是本发明下行数据传输实施例三的示意图,在该实施例中,终端接收基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表3中选择合适的调制编码方式,下发数据。

[0101] 基站选择合适的调制编码方式下发数据：此时基站使用与原有系统相同比特数目的 MCS，基站依据表 3 所示的 MCS Index 重新解释 MCS 的内容。

[0102] 例如基站依据此信道质量指示，选择的 MCS Index 等于 26，在原系统中的含义是当前下行数据的调制方式为 64QAM，而此时基站利用表 3 的内容重新解释为：当前下行数据的调制方式为 256QAM。

[0103] 实施例四

[0104] 如图 4 所示，是本发明下行数据传输实施例四的示意图，在该实施例中，终端接收基站发送的导频信号，并向基站反馈信道质量，基站依据此信道质量指示，从表 4 中选择合适的调制编码方式，下发数据。

[0105] 基站选择合适的调制编码方式下发数据：此时基站使用与原有系统相同比特数目的 MCS，基站依据表 4 所示的 MCS Index 重新解释 MCS 的内容。

[0106] 例如基站依据此信道质量指示，选择的 MCS Index 等于 23，在原系统中的含义是当前下行数据的调制方式为 64QAM，而此时基站利用表 4 的内容重新解释为：当前下行数据的调制方式为 256QAM。

[0107] 实施例五

[0108] 如图 5 所示，是本发明上行数据传输实施例一的示意图，在该实施例中，基站接收终端发送的参考信号，从表 5 中选择合适的调制编码方式，反馈给终端；终端依据基站反馈的调制编码方式，向基站发送数据。

[0109] 如表 5 所示，在原有系统的 5 比特 MCS 指示的基础上增加 1 比特用于指示新增加的 5 级 MCS，这 5 级 MCS 用于指示 256QAM 的调整方式，如表 5 的 MCS Index 32 到 MCS Index 36。增加后的 MCS 索引用 6 比特指示。

[0110] 其中，基站接收到参考信号并选择合适的调制编码方式反馈给终端，例如，基站反馈给终端的 MCS Index 等于 33，其含义为当前下行数据的调制方式为 256QAM。终端依据此 MCS index 选择合适的调制编码方式，并发送数据。

[0111] 实施例六

[0112] 如图 6 所示，是本发明上行数据传输实施例二的示意图，在该实施例中，基站接收终端发送的参考信号，从表 6 中选择合适的调制编码方式，反馈给终端；终端依据基站反馈的调制编码方式，向基站发送数据。

[0113] 如表 6 所示，在原有系统的 5 比特 MCS 指示的基础上增加 1 比特，用于指示新增加的 7 级 MCS，这 5 级 MCS 用于指示 256QAM 的调整方式，如表 6 的 MCS Index 32 到 MCS Index 38。增加后的 MCS 索引用 6 比特指示。

[0114] 其中，基站接收到参考信号并选择合适的调制编码方式反馈给终端，例如，基站反馈给终端的 MCS Index 等于 36，其含义为当前下行数据的调制方式为 256QAM。终端依据此 MCS index 选择合适的调制编码方式，并发送数据。

[0115] 实施例七

[0116] 如图 7 所示，是本发明上行数据传输实施例三的示意图，在该实施例中，基站接收终端发送的参考信号，从表 7 中选择合适的调制编码方式，反馈给终端；终端依据基站反馈的调制编码方式，向基站发送数据。

[0117] 基站选择合适的调制编码方式反馈给终端是指：基站使用与原有系统相同比特数



目的 MCS, 基站依据表 7 所示的 MCS Index 重新解释 MCS 的内容。

[0118] 例如基站选择的 MCS Index 等于 26, 在原系统中的含义是当前下行数据的调制方式为 64QAM, 而此时基站利用表 7 的内容重新解释为: 当前下行数据的调制方式为 256QAM。

[0119] 实施例八

[0120] 如图 8 所示, 是本发明上行数据传输实施例四的示意图, 在该实施例中, 基站接收终端发送的参考信号, 从表 8 中选择合适的调制编码方式, 反馈给终端; 终端依据基站反馈的调制编码方式, 向基站发送数据。

[0121] 基站选择合适的调制编码方式反馈给终端是指, 基站使用与原有系统相同比特数目的 MCS, 基站依据表 8 所示的 MCS Index 重新解释 MCS 的内容。

[0122] 例如基站选择的 MCS Index 等于 27, 在原系统中的含义是当前下行数据的调制方式为 64QAM, 而此时基站利用表 8 的内容重新解释为: 当前下行数据的调制方式为 256QAM。

[0123] 上述自适应调制编码方法, 可以在现有的最高支持 64QAM 的调制方式的基础上, 最高支持 256QAM 的调制方式; 并且, 随着技术的发展, 还可以支持更高阶数的调制方式; 从而提高数据的传输速率。

[0124] 如图 9 所示, 是本发明自适应调制编码装置实施例一的结构示意图, 该自适应调制编码装置包括发送模块 11、接收模块 12 和选择下发模块 13, 其中: 发送模块用于向终端发送导频信号; 接收模块用于接收终端根据上述导频信号进行信道测量后反馈的信道质量指示; 选择下发模块用于根据上述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式, 并根据上述调制编码方式下发数据。

[0125] 其中, 上述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式; 上述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表, 其中, 增加的多个 MCS 内容用新增的一个或多个比特来指示, 具体可参见表 1- 表 2; 或者, 上述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表, 具体可参见表 3- 表 4。

[0126] 该自适应调制编码装置可以位于基站内, 在下行传输方向上, 可实现选择 256QAM 的调制方式, 具体实现方式可参见图 1- 图 4, 此处不再赘述。

[0127] 如图 10 所示, 是本发明自适应调制编码装置实施例二的结构示意图, 该自适应调制编码装置包括接收模块 21 和选择反馈模块 22, 其中: 接收模块用于接收终端发送的参考信号; 选择反馈模块用于根据上述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式, 并反馈给终端, 以便上述终端根据上述调制编码方式向上述自适应调制编码装置发送数据。

[0128] 其中, 上述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式; 上述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表, 其中, 增加的多个 MCS 内容用新增的一个或多个比特来指示, 具体可参见表 5- 表 6; 或者, 上述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表, 具体可参见表 7- 表 8。

[0129] 该自适应调制编码装置可以位于基站内, 在上行传输方向上, 可实现选择 256QAM 的调制方式, 具体实现方式可参见图 5- 图 8, 此处不再赘述。

[0130] 本领域普通技术人员可以理解上述方法中的全部或部分步骤可通过程序来指令相关硬件完成, 上述程序可以存储于计算机可读存储介质中, 如只读存储器、磁盘或光盘等。可选地, 上述实施例的全部或部分步骤也可以使用一个或多个集成电路来实现。相应

地,上述实施例中的各模块/单元可以采用硬件的形式实现,也可以采用软件功能模块的形式实现。本发明不限制于任何特定形式的硬件和软件的结合。

[0131] 以上实施例仅用以说明本发明的技术方案而非限制,仅仅参照较佳实施例对本发明进行了详细说明。本领域的普通技术人员应当理解,可以对本发明的技术方案进行修改或者等同替换,而不脱离本发明技术方案的精神和范围,均应涵盖在本发明的权利要求范围当中。

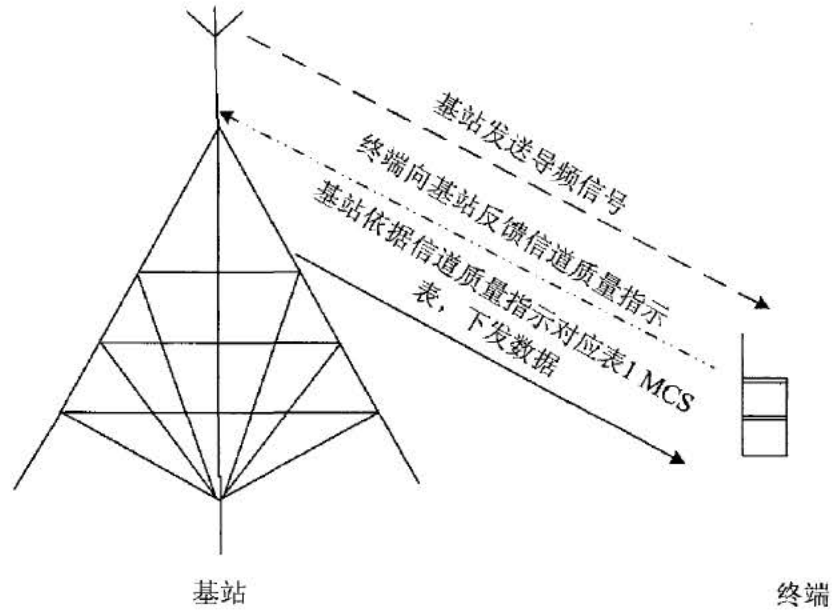


图 1

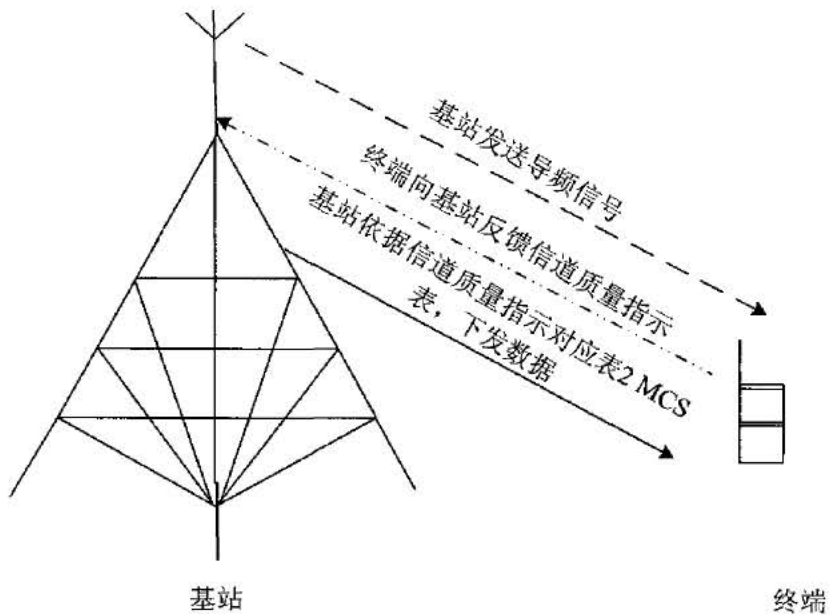


图 2

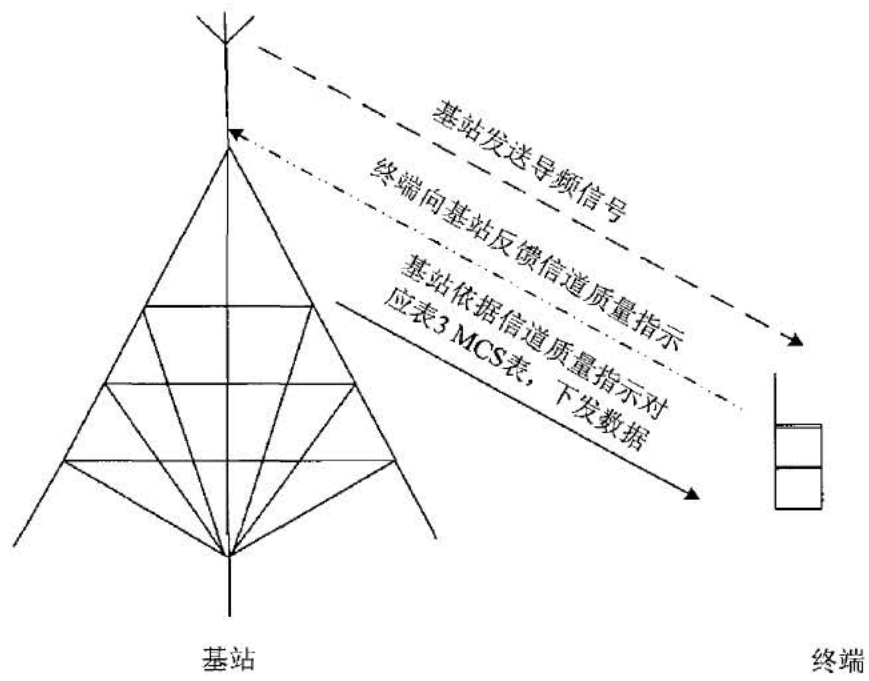


图 3

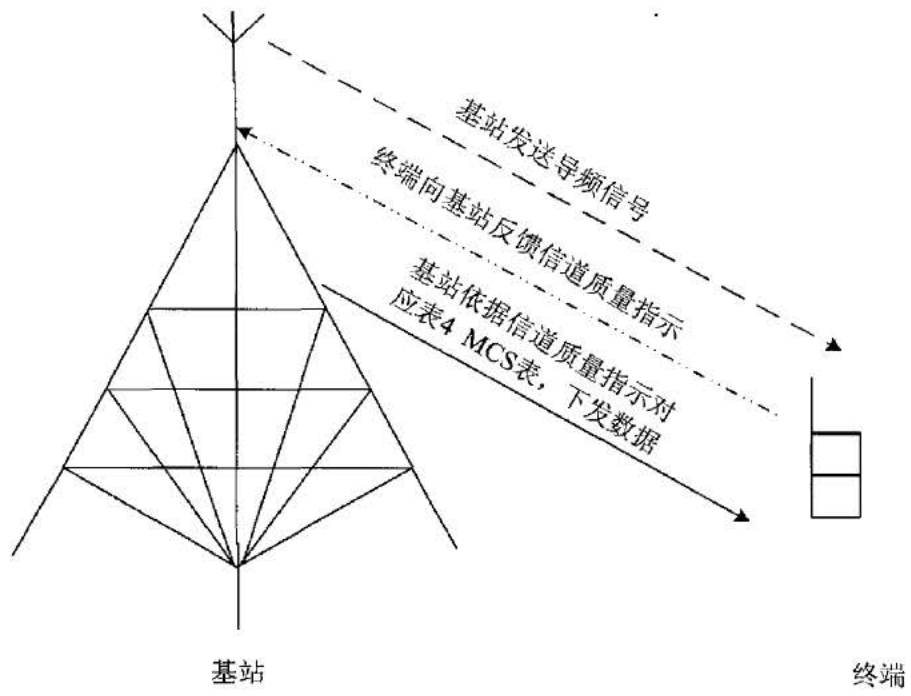


图 4



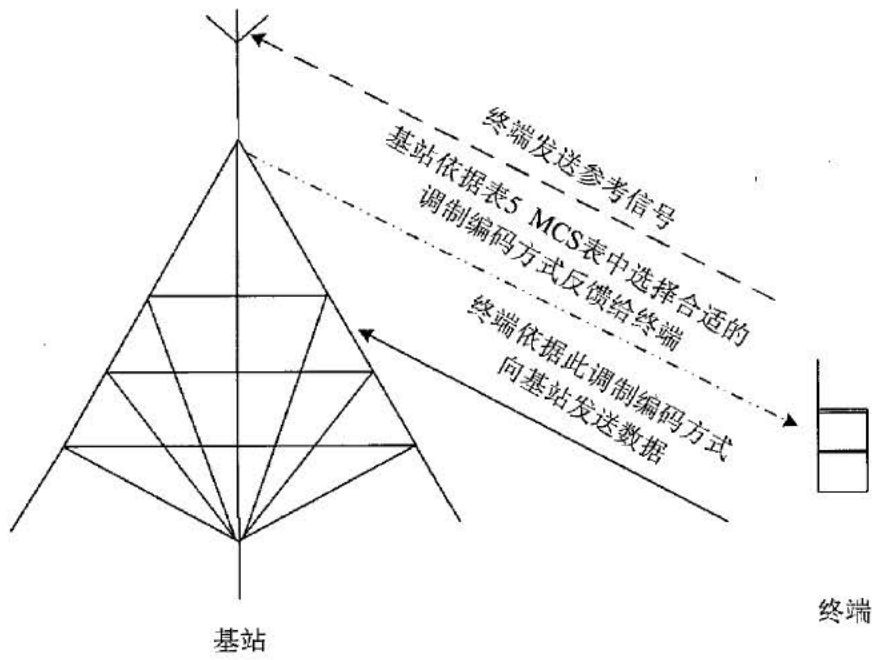


图 5

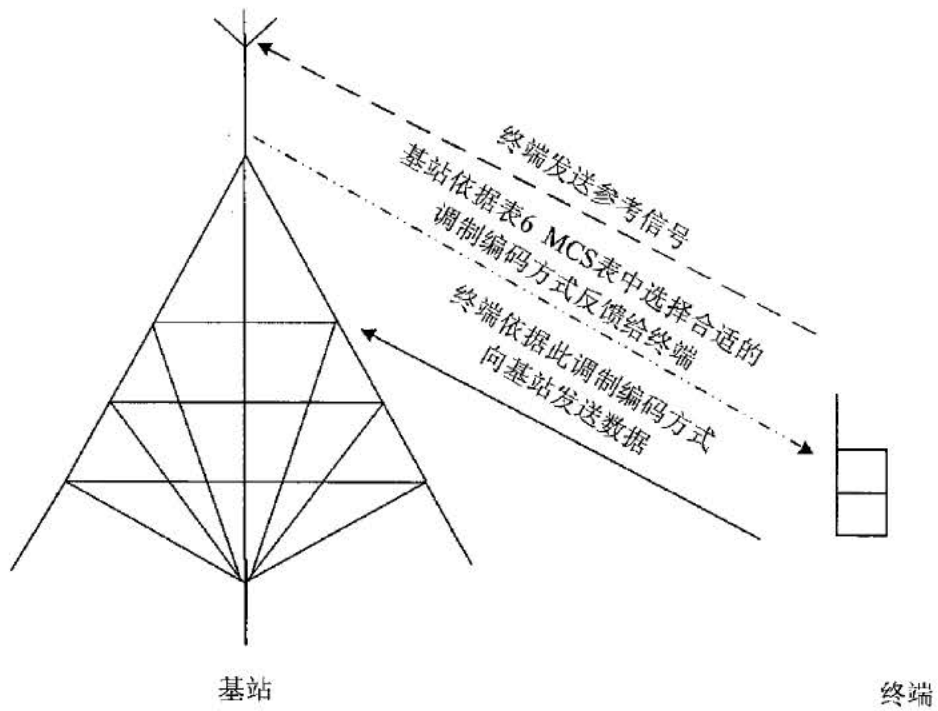


图 6

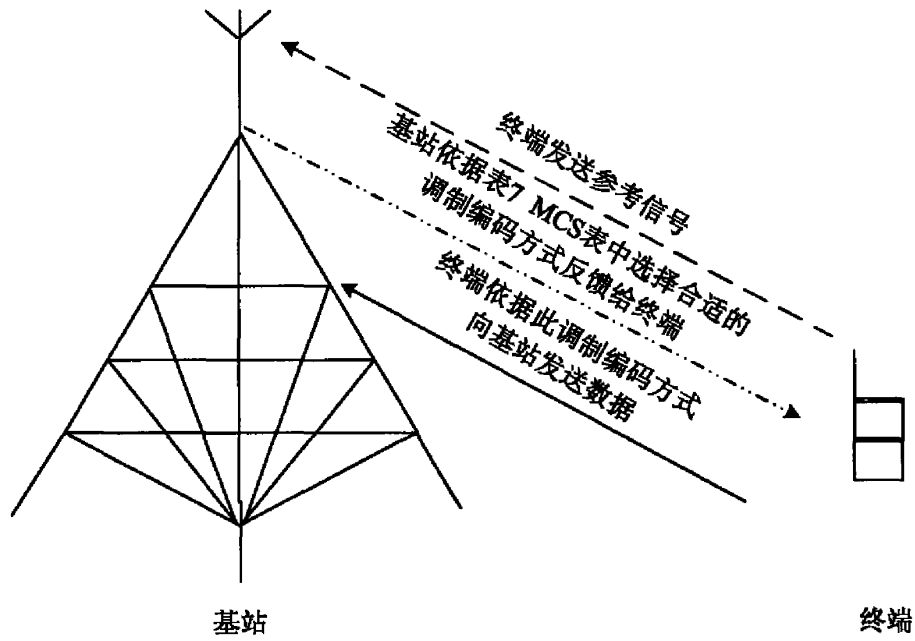


图 7

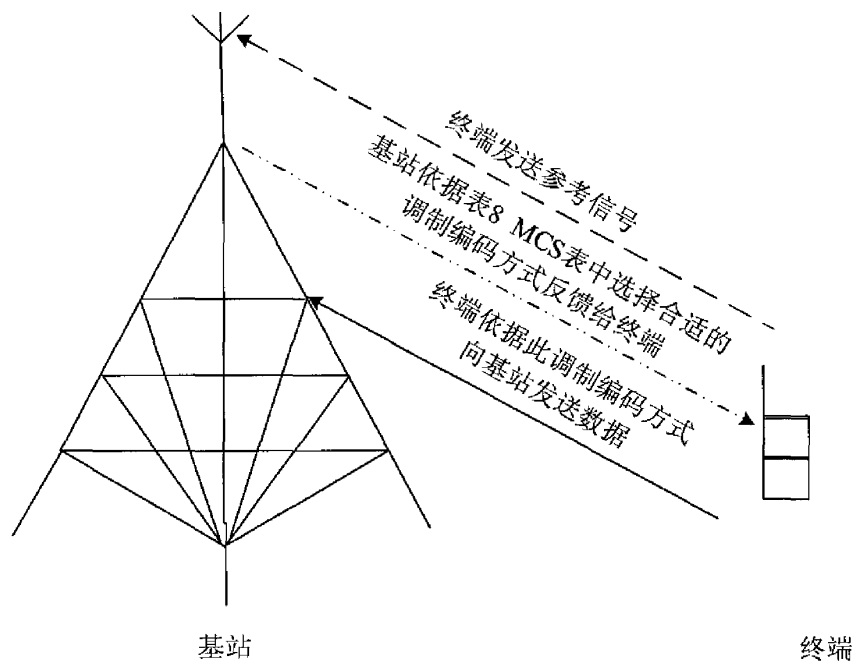


图 8

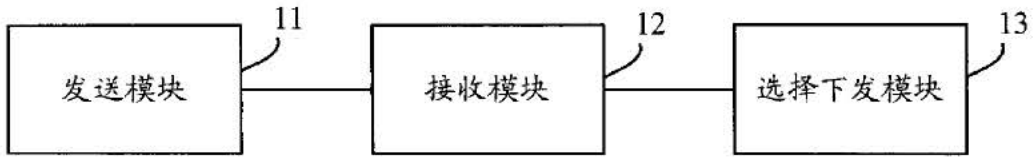


图 9

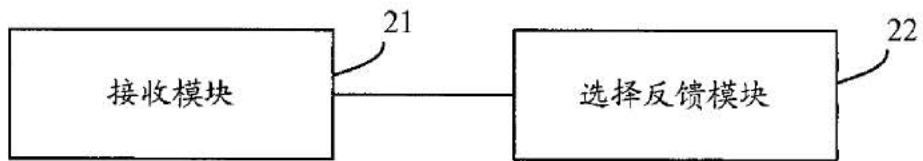


图 10

**Espacenet****Bibliographic data: CN102624481 (A) — 2012-08-01**

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**Self-adaptive modulation and coding method and apparatus**

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**Applicant(s):** ZTE CORP ± (ZTE CORPORATION)

**Classification:** - **international:** *H04L1/00; H04L27/36*  
- **cooperative:**

**Application number:** CN2011134103 20110131

**Priority number(s):** CN2011134103 20110131

**Abstract of CN102624481 (A)**

The invention provides a self-adaptive modulation and coding method and an apparatus. The method comprises the following steps that: a pilot signal is sent to a terminal; a receiving terminal carries out channel measurement according to the pilot signal and then feedbacks a channel quality indicator; and according to the channel quality indicator, a modulation and coding scheme (MCS) is selected from a modified MCS table and data are issued based on the MCS. According to the self-adaptive modulation and coding method and the apparatus, on the basis of current supporting of a modulation mode with up to 64 quadrature amplitude modulation (QAM), a modulation mode with up to 256 QAM can be supported; and moreover, a modulation mode with a higher order can also be supported; therefore, a data transmission rate can be improved.



# Patent Translate

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## DESCRIPTION CN102624481

[0001]

Art

[0002]

The present invention relates to mobile communication technology, and more particularly to an adaptive modulation and coding method and apparatus.

[0003]

## BACKGROUND

[0004]

In a mobile communication system, due to the varying characteristics of the wireless fading channel, the communication process is the large number of such uncertainties, on the one hand in order to improve system throughput, the use of higher transmission rates and higher order modulation and less redundant error correcting code communication, so that the wireless fading channel SNR ideal system throughput indeed been greatly improved, but the channel is in deep fading, it can not guarantee reliable communication stably, on the

other hand, in order to safeguard reliable communications resistance, a lower transmission rate lower order modulation and large redundancy error correction code to communicate, is to protect the wireless channel is in deep fading, reliable and stable communication, however, when the channel SN R is high, since the transmission rate compared with low, limiting the increase system throughput, resulting in a waste of resources in the early development of mobile communication technology, people against wireless fading channel varying characteristics, can only be used to increase the transmission power of the transmitter, the use of low-level large the modulation coding method of redundancy to protect the system when the communication quality of the deep fading channel, and also time to think about how to improve the system throughput, as the technology level of progress, there has to adaptively adjust its transmit power based on the channel state, a modulation and encoding frame length of the data to overcome the time-varying characteristics of a channel, to obtain the best results of communication technique is called adaptive techniques

[ 0005]

Existing standards in the uplink and downlink up to 64 quadrature amplitude modulation (QAM) modulation and coding schemes, in order to improve data transfer rates, compatibility with existing wireless transmission network, up to 256QAM modulation and coding scheme.

However, the prior art implementation of the 256QAM modulation and coding scheme is not supported.

[ 0006]

## SUMMARY

[ 0007]

In order to solve the above technical problem, the present invention provides an adaptive modulation and coding method and apparatus, in order to achieve compatibility with existing wireless transmission network, based on up to 256QAM modulation or higher order modulation and coding scheme, thereby improving data transmission rate.

[ 0008]

The present invention provides an adaptive modulation and coding the method comprising

[ 0009]

Transmits a pilot signal to the terminal;

[ 0010]

Receiving terminal according to the channel quality of the pilot signal for channel measurement after the feedback indication;

[ 0011]

Indicating selection of a modulation coding scheme from the table in the modified modulation and coding scheme (MCS), and the outgoing data of the modulation and coding scheme according to the following based on the channel quality.

[ 0012]

Preferably, the above-described adaptive modulation and coding method may have the following characteristics

[ 0013]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

[ 0014]

Preferably, the above-described adaptive modulation and coding method may further have the following



characteristics

[ 001 5]

Said modified M C S table based on the original M C S table based on the increase of content of a plurality of M C S M C S table, wherein the increase in the content of a plurality of M C S with the new one or more bits to indicate, or

[ 001 6]

The modified M C S M C S table for the original contents of the table has been re- interpreted M C S table.

[ 001 7]

The present invention also provides an adaptive modulation and coding the method comprising

[ 001 8]

Receiving a reference signal sent from the terminal;

[ 001 9]

Selected according to the modified reference signal from a modulation and coding scheme (M C S) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[ 0020]

Preferably, the above- described adaptive modulation and coding method may have the following characteristics

[ 0021]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

[ 0022]

Preferably, the above-described adaptive modulation and coding method may further have the following characteristics

[ 0023]

Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

[ 0024]

The modified MCS MCS table for the original contents of the table has been re-interpreted MCS table

[ 0025]

The present invention also provides an adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

[ 0026]

Transmitting module for transmitting a pilot signal to the terminal;

[ 0027]

A receiving module for receiving the terminal after the channel quality indication of the feedback channel based on said measured pilot signals;

[ 0028]

Select delivery module for selecting a modulation and coding method based on the channel quality indication from the modified modulation and coding scheme (MCS) table, and send the data modulation and coding schemes according to the following

[ 0029]

Preferably, the above-described adaptive modulation and coding apparatus may have the following characteristics

[ 0030]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

[ 0031]

The present invention also provides an adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

[ 0032]

A receiving module for receiving a reference signal sent from the terminal;

[ 0033]

Selection of the feedback module, for selecting a reference signal based on the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[ 0034]

Preferably, the above-described adaptive modulation and coding apparatus may have the following characteristics

[ 0035]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

[ 0036]

Said adaptive modulation and coding method and apparatus, the highest possible on the basis of existing support 64QAM modulation scheme, the highest support 256QAM modulation; and can also support higher-order modulation; thereby increasing the data transfer rate.

[ 0037]

Brief description

[ 0038]

Figure 1 is a schematic view of a downlink data transmission embodiment of the present invention is one;

[ 0039]

Figure 2 is a schematic view of a downlink data transmission embodiment of the present invention II;

[ 0040]

Figure 3 is a schematic diagram of the present invention, a downlink data transmission in the third example;

[ 0041]

Figure 4 is a schematic diagram of the four downlink data transmission embodiment of the present invention;

[ 0042]

Figure 5 is a schematic diagram of the present invention, the uplink data transmission in Embodiment 1;

[ 0043]

Figure 6 is a schematic view of the uplink data transmission embodiment of the present invention II;

[ 0044]

Figure 7 is a schematic diagram of three of the uplink data transmission embodiment of the present invention;

[ 0045]

Figure 8 is a schematic diagram of four uplink data transmission embodiment of the present invention;

[ 0046]

Figure 9 is a schematic structural view of the present invention is an adaptive modulation and coding apparatus of the embodiment;

[ 0047]

Figure 10 is a structural schematic view of the second adaptive modulation and coding apparatus according to the present invention.

[ 0048]

Specific embodiments

[ 0049]

Below in connection with the accompanying drawings and specific embodiments of the present invention will be further described in detail.

[ 0050]

The present invention provides an adaptive modulation and coding methods in the downlink transmission direction, said method comprising

[ 0051]

Step a, the base station transmits a pilot signal to the terminal;

[ 0052]

Step b, the terminal performs channel measurement according to a pilot signal, the feedback channel quality indication;

[ 0053]

Step c, base station receive channel quality indication sent by the terminal, in the modified MCS ( modulation and coding scheme, Modulation and Coding Scheme) of the table to select the appropriate modulation and coding schemes, and issued data

[ 0054]

Further, for step c, the MCS on the basis of the existing system, increasing the number of bits used to support 256QAM modulation.

[ 0055]

Specifically, the MCS may be added in Table 1, Table 2, in any one or several, in which each row represents a MCS: MCS Index modulation coding scheme index, modulation order of the modulation scheme

[ 0056]

Table 1 New MCS table

[ 0058]

Specifically, the new MCS also can have the following forms

[ 0059]

Table 2 New MCS table

[ 0061]

Further, for the step c, the number of bits to maintain the existing system MCS index unchanged reinterpretation of the MCS to support 256QAM modulation.

[ 0062]

Specifically, the content can be reinterpreted in Table 3 and Table 4, the contents of which increases with the MCS index and TBS Index, the modulation order is gradually increasing, and the modulation order up to 256QAM (as shown in Table 3, Table 4 The bold part), where TBS Index for the transport block size index

[ 0063]

Table 3 reinterpretation of MCS table

[ 0066]

Specifically, the reinterpretation of the MCS table also can have the following forms

[ 0067]

Table 4 reinterpretation of MCS table

[ 0070]



The present invention also provides a method for adaptive modulation and coding on the uplink transmission direction, the method described above package:

[ 0071]

Step e, the terminal transmits a reference signal to the base station;

[ 0072]

Step f, the base station based on the reference signal transmitted by the terminal, select the appropriate modulation and coding schemes M C S from the modified table, back to the terminal;

[ 0073]

Step g, the terminal station based on the feedback of the modulation and coding scheme, the transmission data to the base station.

[ 0074]

Further, for the step f, the M C S on the basis of the existing system, increasing the number of bits used to support 256Q A M modulation.

[ 0075]

Specifically, the M C S may be added in T able 5, T able 6, any one or more, where each row represents a M C S, Redundancy V ersion of the redundancy version:

[ 0076]

Table 5 new M C S table

[ 0079]

Specifically, the new M C S also can have the following forms

[ 0080]

Table 6 new M C S table

[ 0082]

Further, for the step f, the number of bits to maintain an existing system modulation and coding scheme index is the same, re- interpretation of the M C S to support 256Q A M modulation.

[ 0083]

Specifically, the content can be re- explained in Table 7, Table 8, the content of which increases as the M C S index and TBS Index, and gradually increasing the modulation order, and the modulation order of up to 256Q A M ( as shown in Table 7, Table 8 The bold part):

[ 0084]

Specifically, the reinterpretation of the M C S table also can have the following forms

[ 0085]

Table 7 reinterpretation of M C S table

[ 0088]

Specifically, the reinterpretation of the M C S table also can have the following forms

[ 0089]

Table 8 reinterpretation of M C S table

[ 0092]

The embodiment provides an adaptive modulation and coding method of the present invention, the method comprising

[ 0093]

The downstream direction, the base station transmits to the terminal of the pilot signal; base station receives the terminal after the channel measurement feedback channel quality indication based on the pilot signal; the base station indicating a selected modulation code from the modified modulation and coding scheme (M C S) table based on the channel quality mode, and send the data according to the above-described modulation and coding schemes;

[ 0094]

The uplink direction, the base station receives a reference signal sent by the terminal, the base station selects based on the modified reference signal from the modulation and coding scheme (M C S) table, modulation and coding schemes, and feedback to the terminal, the terminal sends to the base station based on the modulation and coding schemes data.

[ 0095]

Several embodiments will now be specifically described by using the method of the present invention is how to determine the adaptive modulation and coding scheme, and then complete the data coding and the transmission.

[ 0096]

Example one

[ 0097]

Figure 1 is a schematic diagram of a downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from T able 1 select the appropriate modulation and coding scheme, issued data.

[ 0098]

As shown in Table 1, on the basis of five-bit MCS indicated by the original system adds a bit indicating that a new increase in the six MCS, MCS is used to indicate that six of the 256QAM modulation scheme, MCS Index 32 in Table 1 the MCS Index 37.

MCS index increase after six bits indicate.

[ 0099]

Wherein the base station based on the channel quality indicator, to select the appropriate modulation and coding scheme, such as choosing the MCS Index equal to 35, meaning the downlink data for the current modulation scheme is 256QAM.

[ 0100]

Example two

[ 0101]

Figure 2 is a schematic diagram of the second downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from Table 2 select the appropriate modulation and coding scheme, issued data

[ 0102]

As shown, on the basis of five-bit MCS indicated on the increase in the original system 21 bit for indicating the newly added 8 MCS, which is used to indicate eight MCS 256QAM modulation scheme, MCS Index 32 in Table 2 the MCS Index 39.

MCS index increase after six bits indicate.

[ 0103]

Wherein the base station based on the channel quality indicator, to select the appropriate modulation and coding schemes, such as MCS Index equal to 38 selected, meaning the downlink data for the current modulation scheme is 256QAM.

[ 0104]

Example three

[ 0105]

Figure 3 is a schematic diagram of the three downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from T able 3 select the appropriate modulation and coding scheme, issued data

[ 0106]

Send the data to select the appropriate modulation and coding the base station mode: In this case the base station uses the same bit number of the original system M C S, M C S based on the base station as shown in T able 3 Index reinterpreted M C S content.

[ 0107]

For example, a base station according to this channel quality indication, the selected M C S Index equal to 26, meaning in the original system is the current modulation scheme of downlink data for the 64Q A M, but this time the contents of the base station using T able 3 reinterpreted as a current modulation method of downlink data for the 256Q A M.

[ 0108]

Example four

[ 0109]

Figure 4 is a schematic diagram of the four downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from T able 4 select the appropriate modulation and coding scheme, issued data

[ 0110]

Send the data to select the appropriate modulation and coding the base station mode. In this case the base station uses the same bit number of the original system MCS, MCS based on the base station as shown in Table 4 Index reinterpreted MCS content.

[ 0111]

For example, a base station according to this channel quality indication, the selected MCS Index equal to 23, meaning in the original system is the current modulation scheme of downlink data for the 64QAM, but this time the contents of the base station using Table 4 is reinterpreted as a current modulation method of downlink data for the 256QAM.

[ 0112]

Example five

[ 0113]

Figure 5 is a schematic diagram of one embodiment of the uplink data transmission of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 5, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[ 0114]

As shown in Table 5, based on the MCS indicated by the bit 5 of the original system adds an additional bit is used to indicate level 5 MCS, this level of 5 MCS 256QAM for indicating an adjustment method, such as a table of MCS 5 to Index 32 MCS Index 36.

MCS index increase after six bits indicate.

[ 0115]

Wherein the base station receives a reference signal and selects the appropriate modulation and coding scheme is fed back to the terminal, e.g., base station back to the MCS Index is equal to the terminal 33, which means the current modulation scheme of the downlink data 256QAM.

Terminal according to this MCS index to select the appropriate modulation and coding scheme, and sends the data

[ 0116]

Sixth Embodiment

[ 0117]

Figure 6 is a schematic diagram of the second uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 6, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[ 0118]

As shown in Table 6, on the basis of five-bit MCS indicated by the original system adds a bit indicating that a new increase in the seven MCS, this level of 5 MCS 256QAM for indicating an adjustment method, such as a table of MCS 6 Index 32 the MCS Index 38.

MCS index increase after six bits indicate.

[ 0119]



Wherein the base station receives a reference signal and selects the appropriate modulation and coding scheme is fed back to the terminal, e.g., base station back to the MCS Index is equal to the terminal 36, which means the current modulation scheme of the downlink data 256QAM.

Terminal according to this MCS index to select the appropriate modulation and coding scheme, and sends the data

[ 0120]

Seventh Embodiment

[ 0121]

Figure 7 is a schematic diagram of the three uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 7, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[ 0122]

The base station selects an appropriate modulation and coding scheme is the feedback to the terminal: The base station uses the same number of bits with the original system MCS, the base station based on MCS 7 shown in Table Index reinterpretation MCS content.

[ 0123]

Such as base stations selected MCS Index is equal to 26, meaning in the original system is the current downlink data modulation scheme for 64QAM, but this time the base station uses the contents of Table 7 reinterpreted as the current downlink data modulation scheme for 256QAM.

[ 0124]

Example eight

[ 0125]

Figure 8 is a schematic diagram of the four uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 8, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[ 0126]

The base station selects an appropriate modulation and coding scheme is the feedback to the terminal, the base station uses the same number of bits with the original system MCS, MCS Index shown in Table 8, based on the base station to re-interpret the contents of MCS.

[ 0127]

Such as base stations selected MCS Index is equal to 27, meaning in the original system is the current downlink data modulation scheme for 64QAM, but this time the base station uses the contents of Table 8 reinterpreted as the current downlink data modulation scheme for 256QAM.

[ 0128]

Said adaptive modulation and coding method, on the basis of existing supports up to 64QAM modulation scheme, the highest support 256QAM modulation; and, with the development of technology, you can also support higher-order modulation; thereby improving the data transfer rate.

[ 0129]

9 is a schematic diagram of a structure of an adaptive modulation and coding apparatus according to the present invention, the adaptive modulation and coding apparatus includes a transmission module 11, reception module 12 and the selection module 13 is issued, wherein: the transmission module is used to the terminal sends a pilot signal; receiving module for receiving terminal channel measurement after feedback channel quality indication based on the pilot signal; select delivery module is used to indicate A according to the channel quality C choose from a revised modulation and coding scheme (MCS) table modulation and coding scheme, and the modulation and coding scheme based on the above data is sent.

[ 0130]

W herein said modified MCS table supports 256 quadrature amplitude modulation (QAM) modulation; above the modified MCS table based on the original table adds multiple MCS table of content, which increased more than MCS contents with the new one or more bits to indicate specifically refer to Table 1 to Table 2; or the revised MCS table for the original contents of the table has been re-interpreted the MCS table, specifically to see Table 3 - Table 4.

[ 0131]

The adaptive modulation and coding apparatus may be located within a base station, in the downlink transmission direction can be selected to achieve the 256QAM modulation scheme, a particular implementation can be found in Figures 1- 4, are not mentioned here.

[ 0132]

As shown in Figure 10 is a schematic structural view of the present invention, an adaptive modulation coding apparatus according to the second embodiment, the adaptive modulation and coding apparatus comprises a receiving module 21 and the selection of the feedback module 22, wherein: a receiving module for receiving a reference signal sent from the terminal; selected based on the feedback module is used to select the reference signal from the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and feedback to the terminal, so that the terminal transmits data to the adaptive modulation and coding apparatus according to the modulation coding scheme.

[ 0133]

Wherein said modified MCS table supports 256 quadrature amplitude modulation (QAM) modulation; above the modified MCS table based on the original table adds multiple MCS table of content, which increased more than MCS contents with the new one or more bits to indicate, specifically Table 5- Table 6; or the revised MCS table for the original contents of the table has been re-interpreted the MCS table, specifically to see Table 7- Table 8.

[ 0134]

The adaptive modulation and coding apparatus may be located within the base station on the uplink transmission direction can be selected to achieve the 256QAM modulation scheme, a particular implementation can be found in Figures 5 to 8 are not described here.

[ 0135]

This will be understood by those of ordinary skill in the above-described method may be all or part of the steps by a program instructing related hardware, the above-described program may be stored in a computer-readable storage medium, such as read only memory, a magnetic disk or optical disk.

Optionally, all or part of the steps of the above embodiments may also be implemented using one or more integrated circuits.

Accordingly, the above-described embodiment, each module/ unit may be realized in the form of hardware, the software can also be used in the form of functional modules.

The present invention is not limited to any particular form of the combination of hardware and software.

[ 0136]

The above embodiments are merely provided for describing the technical solutions of the present invention, not limitation, with reference to only a preferred embodiment of the present invention has been described in detail.

Of ordinary skill in the art will appreciate, the technical solutions of the present invention can be modified or replaced with equivalents without departing from the spirit and scope of the technical solutions of the present invention shall be covered by the scope of the claims of the present invention, among the requirements.



# Patent Translate

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## CLAIMS CN102624481

[0001]

An adaptive modulation and coding method comprising

Transmits a pilot signal to the terminal;

Receiving terminal according to the channel quality of the pilot signal for channel measurement after the feedback indication;

Indicating selection of a modulation coding scheme from the table in the modified modulation and coding scheme (MCS), and the outgoing data of the modulation and coding scheme according to the following based on the channel quality.

[0002]

The adaptive modulation and coding method as claimed in claim 1, characterized in that

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

[ 0003]

The adaptive modulation and coding method according to claim 1 or claim 2, characterized in that

Said modified M C S table based on the original M C S table based on the increase of content of a plurality of M C S M C S table, wherein the increase in the content of a plurality of M C S with the new one or more bits to indicate, or

The modified M C S M C S table for the original contents of the table has been re- interpreted M C S table.

[ 0004]

An adaptive modulation and coding the method comprising

Receiving a reference signal sent from the terminal;

Selected according to the modified reference signal from a modulation and coding scheme (M C S) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[ 0005]

The adaptive modulation and coding method as claimed in claim 4, characterized in that

M C S table supports 256 of the modified quadrature amplitude modulation (Q A M) modulation scheme.

[ 0006]

The adaptive modulation and coding method according to claim 4 or claim 5, wherein:

Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

The modified MCS MCS table for the original contents of the table has been re- interpreted MCS table.

[ 0007]

A adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

Transmitting module for transmitting a pilot signal to the terminal;

A receiving module for receiving the terminal after the channel quality indication of the feedback channel based on said measured pilot signals;

Select delivery module for selecting a modulation and coding method based on the channel quality indication from the modified modulation and coding scheme (MCS) table, and send the data modulation and coding schemes according to the following

[ 0008]

The adaptive modulation and coding apparatus according to claim 7, characterized in that:

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

[ 0009]

A adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising



A receiving module for receiving a reference signal sent from the terminal;

Selection of the feedback module, for selecting a reference signal based on the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[0010]

The adaptive modulation and coding apparatus of claim 9, wherein:

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

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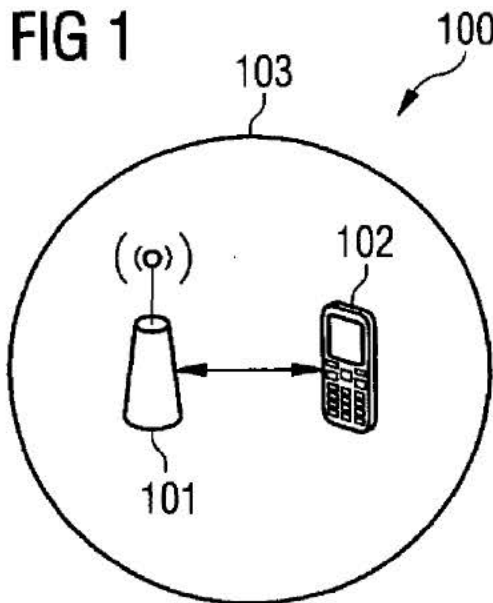
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[Continued on next page]

(54) Title: CONTROLLING A MODULATION AND CODING SCHEME FOR A TRANSMISSION BETWEEN A BASE STA-  
TION AND A USER EQUIPMENT



(57) Abstract: It is described a method for controlling a modulation and coding scheme for a transmission between a base station (101) and a user equipment (102), wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The method comprises selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table, and controlling, by the base station (101), the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table.

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## DESCRIPTION

Title

5

Controlling a modulation and coding scheme for a transmission between a base station and a user equipment

10 Field of invention

The present invention relates to the field of cellular networks, especially to an evolution of LTE networks, and in particular to networks comprising LTE networks and evolved LTE networks.

15

Art Background

20 There have been further developments for LTE, for instance relating to a Beyond 4G (B4G) radio system which is assumed to be commercially available in 2020. It might however also be introduced in an evolution of LTE at any date within any new release.

25 LTE provides a peak bit rate of 30 bps/Hz by using 64QAM modulation and 8x8 MIMO transmission. As a result, B4G may require a higher order modulation, for instance 256QAM, than 64QAM in order to meet future requirements. Higher order modulations may be relevant for example in relay backhaul due to better channel quality and better radio frequency (RF) properties which are more easily feasible for relays than for user equipments (UEs) or for isolated indoor cells where the UEs are close by and therefore both having a good link to the access point and no or very little interference from other  
30 access points due to attenuation by the walls.

The modulation order determination of LTE Release 10 is described in TS 36.213 V10.3, chapter 7.1.7 and CQI definition in chapter 7.2.3. In LTE (and LTE-Advanced), theoretical spectral efficiency is restricted by 64QAM modulation. An improved spectral efficiency  
35 may be gained with extension to 256QAM.

In the LTE standard, there is defined a MCS (modulation and coding scheme) index and modulation table and CQI (channel quality indicator) table. These are used for determining and selecting appropriate modulation and coding schemes. The current tables support up to 64QAM. The problem is how to introduce a 256QAM extension or any other higher order modulation extension for LTE while maintaining backward compatibility and avoiding too much complexity.

There may be a need for an improved and flexible system and method being adapted to allow an extension to a higher order modulation while remaining backward compatible for LTE. In particular it is desirable to maintain signaling formats in particular utilize the same number of bits as otherwise different encoding schemes need to be used and potentially so called blind decoding has to be applied.

#### 15 Summary of the Invention

This need may be met by the subject matter according to the independent claims. Advantageous embodiments of the present invention are described by the dependent claims.

20 According to a first aspect of the invention there is provided a method for controlling a modulation and coding scheme for a transmission between a base station and a user equipment, wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a  
25 second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The method comprises selecting, by the base station, the first modulation and coding scheme table or the second modulation and coding scheme table, and controlling, by the base station, the modulation and coding scheme for the transmission between the base station  
30 and the user equipment based on the selected modulation and coding scheme table.

This aspect of the invention is based on the idea to extend the modulation and coding scheme table to a higher order modulation while remaining backward compatible. The first table may support for instance up to 64QAM (quadrature amplitude modulation) and the  
35 second table may support for instance up to 256QAM, or any other higher order modulation extension. It should be noted that although 256QAM is explicitly mentioned herein, any other higher modulation order than that used for the first table may be used, for in-

stance also 128QAM or in general a higher modulation and coding scheme (MCS) which may be characterized by either modulation order or coding scheme of both.

5 The idea of this method is to introduce a higher order modulation while still supporting a modulation and coding scheme (MCS) table being introduced for a lower modulation order.

10 The term "modulation order" in this context may be determined by the number of the different symbols that can be transmitted using it. In general MCS also considers different code rates and thus indicates the average number of payload bits that can be transmitted per symbol. The first maximum modulation order and the second maximum modulation order may be the same or may be different.

15 The term "modulation and coding scheme table" may refer to the MCS table being defined in LTE and being used for determining and selecting appropriate modulation and coding schemes. The second table may be an extended MCS table being based on the MCS as defined in LTE but comprising entries corresponding to a higher order modulation. For instance, the backward compatibility may be ensured by having a first table exactly as it is currently defined in the LTE standard.

20 The first and the second table may be different in some respects. For instance, one table may be biased more towards low MCS and the second towards high MCS values. For example, one table may have more MCS values below a certain threshold MCS. Also the density of MCS values at lower MCS may be higher in one table or the center of gravity or average of the MCS values may be lower in one table. In one embodiment, one table is a mirror image of the other, for instance being mirrored at the middle MCS.

30 The term "base station" in this context may denote any kind of physical entity being able to communicate with a user equipment or any other network device by selecting a modulation and coding scheme from such a MCS table. A base station in this context may be any kind of network device providing the required functionality for the method, it may also be a transceiver node in communication with a centralized entity. The base station may be for example a NodeB or eNB.

35 The base station may either inform the UE explicitly about a change of the used MCS table or may inform and select the MCS table implicitly as part of the capability enquiry procedure.

According to an embodiment of the invention, the second maximum modulation order is higher than the first maximum modulation order. In particular, the first maximum modulation order corresponds to 64QAM and the second maximum modulation order corresponds to 256QAM.

It should be noted that also other modulation orders may be used, for instance 128QAM.

Furthermore, a few high MCSs may be included in the first table to be able to quickly react in case the channel gets suddenly better.

According to a further embodiment of the invention, the maximum modulation order may correspond to the highest modulation and coding scheme (MCS). Further, the highest modulation and coding scheme may be the same for both tables.

According to a further embodiment of the invention, the method further comprises determining, by the base station, actual channel conditions of a radio transmission channel being used for the transmission between the base station and the user equipment, determining, by the base station, a maximum supported modulation order based on the determined actual channel conditions, and selecting, by the base station, the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order.

If the actual channel conditions do not support the higher order modulation or if the user equipment (UE) is not able to support the higher order modulation, the base station may perform the modulation and coding for the transmission based on the first table. If the actual channel conditions are good enough for the higher order modulation and if the UE supports the higher order modulation, the base station may perform the modulation and coding based on the second table supporting a higher order modulation, for instance up to 256QAM.

According to a further embodiment of the invention, the method further comprises transmitting information to the user equipment being indicative for the selected modulation and coding scheme table.

The base station may provide a signal to the UE comprising information about the selected and used MCS table. The UE may then perform, based on this information, further actions, like CQI reports.

- 5 According to a further embodiment of the invention, transmitting information to the user equipment is based on radio resource control signalling.

By using a common signalling, the UE may be easily informed about the selected MCS table. This information may also be included in any information signal comprising information for the UE in view of any other resource control.

10

According to a further embodiment of the invention, transmitting information to the user equipment is based on implicit signalling.

- 15 This may refer to the case, wherein the UE may receive information from the base station and may determine based on this information the selected MCS table. This may be the case for instance as part of the capability enquiry procedure which also makes the capability available to the eNB. During this kind of set up procedure, where the eNB determines capabilities of the UE, the tables may be switched and the UE may be informed implicitly without specific signalling.

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According to a further embodiment of the invention, the method further comprises receiving confirmation information from the user equipment being indicative for a performed change of the selected modulation and coding scheme table.

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The base station may carry out the change from one table to the selected MCS table after receiving the confirmation signal from the UE. The confirmation signal may thus be indicative for a final change of the MCS tables to be carried out by the base station.

- 30 According to a further embodiment of the invention, the first modulation and coding scheme table and the second modulation and coding scheme table each comprise a common subset of equal entries being arranged at same positions within the first modulation and coding scheme table and the second modulation and coding scheme table. In particular, the method further comprises after transmitting the information to the user
- 35 equipment being indicative for the selected modulation and coding scheme table and before receiving the confirmation information from the user equipment, controlling the modulation and coding scheme for the transmission between the base station and the user



equipment based on the selected modulation and coding scheme table based on the common subset of entries.

5 By using common entries in both MCS tables, the base station may use the common entries as long as there is no confirmation signal from the UE. This may provide the advantage that there is no misunderstanding and wrong modulation and coding as both parts (base station and UE) are using the same modulation and coding scheme (although they may possibly use different tables).

10 According to a further embodiment of the invention, controlling an initial transmission between the base station and the user equipment is based on the first modulation and coding scheme table.

15 The base station and the UE may use the MCS table having the lower maximum modulation order at the start of each communication. This may provide the advantage that each communication starts with the same table and afterwards the base station may decide whether to change the MCS table or not. The change may then be performed based on the actual channel conditions if the UE can support the MCS table supporting the higher order modulation.

20 According to a further embodiment of the invention, the bits of carrying a modulation and coding scheme index are the same for the first modulation and coding scheme table and for the second modulation and coding scheme table.

25 Thus, it may be ensured that there is a backward compatibility without having to amend the MCS tables in their existing form nor the coding and transmission mechanisms that are employed to convey the selection out of that table. In a more specific embodiment, the tables may have the same size. In particular, parts of the first MCS table and the second MCS table are equal, providing common entries as explained above. Entries of the first  
30 MCS table relating to very low modulation orders may be exchanged (redefined) for the second MCS table and may comprise the higher order modulations.

35 According to a further embodiment of the invention, the actual channel conditions are determined based on a channel quality indicator being selectable based on a first channel quality indicator table supporting the first maximum modulation order or based on a second channel quality indicator table supporting the second maximum modulation order, the method comprising receiving, by the base station, a channel quality indicator from the

user equipment, and determining, by the base station, the actual channel conditions of the radio transmission channel being used for the transmission between the base station and the user equipment based on the received channel quality indicator.

5 Like the MCS tables, also the CQI tables may be selected based on the selection of the MCS tables. If there is a switch or change from the first MCS table to the second MCS table, there may also be change from the first CQI table to the second CQI table. The UE may thus determine the CQI based on a table which corresponds to the selected MCS table.

10

According to a further embodiment of the invention, the method further comprises selecting, by the base station, the first channel quality indicator table or the second channel quality indicator table based on the selected modulation and coding scheme table, and transmitting information to the user equipment being indicative for the selected channel quality indicator table.

15

The information of the selected CQI table may be provided to the UE from the base station. The information may also be provided implicitly by informing the user equipment of the selected MCS table.

20

According to a further embodiment of the invention, the first channel quality indicator table and the second channel quality indicator table each comprise a common subset of equal entries being arranged at same positions within the first channel quality indicator table and the second channel quality indicator table.

25

Like the MCS tables, also the CQI tables may comprise a common subset. Thus, it may be ensured that, during switching, there are no misunderstandings between the UE and the base station.

30 According to a second aspect of the invention, there is provided a base station for controlling a modulation and coding scheme for a transmission between the base station and a user equipment, wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a  
35 second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The base station comprises a selection unit being adapted to select the first modulation and

coding scheme table or the second modulation and coding scheme table, and a control unit being adapted to control the modulation and coding scheme for the transmission between the base station and the user equipment based on the selected modulation and coding scheme table.

5

The base station may be any type of access point or point of attachment, which is capable of providing a wireless access to a cellular network system. Thereby, the wireless access may be provided for a user equipment or for any other network element, which is capable of communicating in a wireless manner. The base station may be a NodeB, eNB, home NodeB or HeNB, or any other kind of access point or also a multihop node or relay. The base station may in particular be used for a B4G, LTE or 3GPP cell and communication.

10

The base station may comprise a receiving unit, for example a receiver as known by a skilled person. The base station may also comprise a transmitting or sending unit, for example a transmitter. The receiver and the transmitter may be implemented as one single unit, for example as a transceiver. The transceiver or the receiving unit and the sending unit may be adapted to communicate with the user equipment via an antenna.

15

The base station further comprises a selection unit and a control unit. The selection unit and the control unit may be implemented as single units or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

20

In one embodiment, the base station may further comprise a determination unit being adapted to determine actual channel conditions of a radio transmission channel being used for the transmission between the base station and the user equipment, and being adapted to determine a maximum supported modulation order based on the determined actual channel conditions. The selection unit may be adapted to select the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order.

25

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The determination unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

The user equipment (UE) may be any type of communication end device, which is capable of connecting with the described base station. The UE may be in particular a cellular mo-

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mobile phone, a Personal Digital Assistant (PDA), a notebook computer, a printer and/or any other movable communication device.

5 The user equipment may comprise a receiving unit or receiver which is adapted for receiving signals from the base station. The user equipment may comprise a transmitting unit for transmitting signals. The transmitting unit may be a transmitter as known by a skilled person. The receiver and the transmitting unit may be implemented as one single unit, for example as a transceiver. The transceiver or the receiver and the transmitting unit may be adapted to communicate with the base station via an antenna.

10

The user equipment may further comprise a control unit for controlling and configuring the transmission based on information received from the base station being indicative for a selected MCS table. The control unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

15

According to a third aspect of the invention, there is provided a cellular network system. The cellular network system comprises a base station as described above.

20 Generally herein, the method and embodiments of the method according to the first aspect may include performing one or more functions described with regard to the second or third aspect or an embodiment thereof. Vice versa, the base station or cellular network system and embodiments thereof according to the second and third aspect may include units or devices for performing one or more functions described with regard to the first aspect or an embodiment thereof.

25

According to a fourth aspect of the herein disclosed subject-matter, a computer program for controlling a modulation and coding scheme for a transmission between a base station and a user equipment is provided, the computer program being adapted for, when executed by a data processor assembly, controlling the method as set forth in the first aspect or an embodiment thereof.

30

As used herein, reference to a computer program is intended to be equivalent to a reference to a program element and/or a computer readable medium containing instructions for controlling a computer system to coordinate the performance of the above described method.

35

The computer program may be implemented as computer readable instruction code by use of any suitable programming language, such as, for example, JAVA, C++, and may be stored on a computer-readable medium (removable disk, volatile or non-volatile memory, embedded memory/processor, etc.). The instruction code is operable to program a computer or any other programmable device to carry out the intended functions. The computer program may be available from a network, such as the World Wide Web, from which it may be downloaded.

The herein disclosed subject matter may be realized by means of a computer program respectively software. However, the herein disclosed subject matter may also be realized by means of one or more specific electronic circuits respectively hardware. Furthermore, the herein disclosed subject matter may also be realized in a hybrid form, i.e. in a combination of software modules and hardware modules.

In the above there have been described and in the following there will be described exemplary embodiments of the subject matter disclosed herein with reference to a cellular network system, a base station and a method of controlling a modulation and coding scheme for a transmission between a base station and a user equipment. It has to be pointed out that of course any combination of features relating to different aspects of the herein disclosed subject matter is also possible. In particular, some embodiments have been described with reference to apparatus type embodiments whereas other embodiments have been described with reference to method type embodiments. However, a person skilled in the art will gather from the above and the following description that, unless otherwise notified, in addition to any combination of features belonging to one aspect also any combination between features relating to different aspects or embodiments, for example even between features of the apparatus type embodiments and features of the method type embodiments is considered to be disclosed with this application.

The aspects and embodiments defined above and further aspects and embodiments of the present invention are apparent from the examples to be described hereinafter and are explained with reference to the drawings, but to which the invention is not limited.

#### Brief Description of the Drawing

Figure 1 shows a cellular network system according to an exemplary embodiment of the present invention.

Figure 2 shows a simulation of spectral efficiency for 64QAM and 256QAM.

Figure 3 shows a simulation of spectral efficiency for 4x4 MIMO and 2x2 MIMO, each for  
5 64QAM and 256QAM.

Figure 4 shows a base station and a user equipment within a cellular network system according to an exemplary embodiment of the invention.

10 It is noted that in different figures, similar or identical elements are provided with the same reference signs.

#### Detailed Description

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In the following, embodiments of the herein disclosed subject matter are illustrated with reference to the drawings and reference to aspects of current standards, such as LTE, and their further developments. However, such reference to current standards is only exemplary and should not be considered as limiting the scope of the claims.

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Figure 1 shows a cellular network system 100. A user equipment 102 is served by a first cell 103 of the cellular network system. The first cell is assigned to a base station 101.

25 The transmission and communication between the base station and the user equipment is controlled based on a modulation and coding scheme. The modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a  
30 second maximum modulation order. In one embodiment, the second maximum modulation order is higher (for instance up to 256QAM) than the first maximum modulation order (for instance up to 64QAM).

35 The base station may determine actual channel conditions of the radio transmission channel being used for the transmission between the base station and the user equipment. Then, the base station may determine a maximum supported modulation order based on the determined actual channel conditions and eventually based on information from the

user equipment which modulation order can be supported by the user equipment. The base station then selects the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order. Thus, the modulation and coding scheme (MCS) for the transmission between the base station and the user equipment is controlled based on the selected modulation and coding scheme table.

The base station may also select the table based on any other information, for instance based on predefined selection criteria.

In LTE (and LTE-Advanced), theoretical spectral efficiency is restricted by 64QAM modulation. Figure 2 presents the simulated LTE-Advanced throughput with 8x8-MIMO and modulation restricted to 64QAM (reference number 201) (coding rate 8/9) in 1-tap Rayleigh channel with no spatial correlation. Spectral efficiency that would be gained with extension to 256QAM is also plotted to Figure 2 for comparison (reference number 202). It can be seen that extension to 256QAM starts to have effect around 25dB SNR range. In these figures the average SINR is plotted against the throughput. Even if the average is below the area whether 256QAM provides gain, due to fading the channel conditions may still be good for some time.

Throughput in LTE is restricted by MCS also in a more practical scenario (for example in case of relay backhaul) where there is high spatial channel correlation that restricts the usage of large ranks. This is illustrated in the Figure 3 where spectral efficiencies for 2x2 and 4x4 MIMO schemes with adaptive rank and MCS selections in high spatial correlation scenario are plotted as a function of average signal-to-noise ratio. For both 2x2 and 4x4 schemes two curves are presented, in one of which MCS is restricted to 64QAM (2x2: 304, 4x4: 302) and another one with MCS set extension to 256QAM (2x2: 303, 4x4: 301). It can be seen that extension to 256QAM increases the throughput already from around 10dB SNR range in these scenarios. That means the throughput is already compromised well below the maximum throughput that is possible with 64QAM.

The problem is how to introduce 256QAM for LTE to maintain backward compatibility and avoid too much complexity. 256QAM addition might need to be done to both MCS index and modulation table and CQI table defined in LTE standard.

In Release 10, a new DCI format 2c was added in order to support close loop MIMO with up to 8 layers. One straightforward solution would be to define new DCI format for 256QAM (and use more than 5 bits for the modulation and coding scheme field in the DCI). This is no desirable solution because it doubles the number of DCI formats resulting in a significant complexity increment. In UMTS there was also an extension from 16 QAM to 64 QAM by adding one extra signalling bit [R1-070635 R1-070570]. The extra bit can either be provided by defining a new DCI format at the expense of worse decoding performance and more blind decodings or at least more optional DCI sizes. Or the bit is "stolen" from some other signaling, limiting the possibilities there, e.g. in HSDPA the bit is stolen from the code allocation table which only supports half as many entries if 64QAM is enabled.

Another possible solution would be to take the existing MCS/CQI index table as a basis and change the usage of it so that only a subset of the current MCS values would be used, e.g. drop every third to make room for the additional 256QAM values. This causes a coarser adaptation of the channel conditions and is therefore undesirable. In the following, this method is called sub-sampling.

The idea of the herein described method is to define a new procedure which allows to use 256QAM in good channel conditions using the existing DCI formats. For this purpose, additional new MCS and CQI index tables with extension to 256QAM ( $Q_m=8$ ) may be generated. The new tables have the same size as the usual ones. Decision whether original index table or the table with 256QAM extension is used is either determined by the base station (or eNB) and the switching is indicated to the UE with a signalling message or decided in implicit way.

In one embodiment, there is a common index area common for both the original table and the table with 256QAM extension where MCS/CQI index, modulation order and TBS index are identical and are also in identical positions in both tables. Only this common MCS index area might be used while switching the tables to avoid ambiguities.

In one embodiment, the MCS/CQI index table with 256QAM extension is formed so that room for the TB (transport block) sizes related to 256QAM is taken from originally low TB sizes. Furthermore, there may be a few common modulation / TB sizes in the common MCS index area from the low range of the modulation set for such situations where extended 256QAM table is in use and channel conditions drop quickly. These indexes can be sub-sampled from the low TBS area.



A two step switching procedure may be provided for CQI index table switching in order to make sure the UE is aware of the switching and does not use ambiguous table entries during switching. The MCS table may already be switched to the 256QAM version.

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There could be also a few common modulation / TB sizes in the common MCS index area from the high range of the modulation set for such situations where 256QAM should be used quickly e.g. during initial call setup before an explicit selection takes place or in order to allow quick reaction towards better qualities.

10

The herein described second tables for the MCS and CQI index tables may be generated corresponding to 36.213 table 7.1.7-1 but with extension to 256QAM ( $Q_m=8$ ). One option is that the original table 7.1.7-1 and table with 256QAM extension are switched by eNB with an RRC-message (alternatively also MAC / or control signalling messages could be considered). In this case, algorithm responsible of this switching is eNB vendor specific but will obviously take CQI reports from the UE into account. The UE may be responsible of switching the MCS index table according to the RRC message and sending an acknowledgement to eNB about the received RRC message (the acknowledgement may not be essential, it may help however to avoid backward compatibility issues as a UE not supporting the switching will not acknowledge the command).

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Since it takes about 100-200ms for a RRC message to take effect in the UE (processing delays of higher layers haven't been standardized and depend on how often they have to be retransmitted in case of detection errors) and because (1) RRC messages can get lost and (2) there is uncertainty related to the starting time when the new configuration is taken into use by the UE, there may need to be a MCS index area common for both tables, which allows data scheduling also during the time of uncertainty. This may ensure that an MCS from that area is understood correctly no matter whether the switching already took place or not. This common area may be continuous, i.e. has continuous MCS entries to allow a fine adaptation during switching as well. MCS index, modulation order and TBS index may be identical in both MCS index tables on this area. For instance, only this common MCS index area can be used during the RRC procedure switching the tables and before eNB has received an acknowledgement from UE that it has received the RRC message switching the MCS index table. The common index area may be used also if implicit table switching is used and, in this case, only the common area can be used during the implicit switching procedure.

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Additionally, there may be a few common low modulation / TB sizes in the common MCS index area for such situations where extended 256QAM table is in use and channel conditions drop quickly. TB sizes that are required to transmit the switching command may be available in the common MCS index area.

5

New TB sizes may be introduced in the MCS / CQI index table with 256QAM extension in order to increase spectral efficiency with 256QAM:

- Room for these new TB sizes can be taken from current low TB sizes (QPSK and possibly low 16QAM)
- 10 • The reserved TBS size for QAM may also be in the low modulation common MCS area. This MCS is used for retransmissions with bad channel conditions, in particular if the previous initial transmission was done with a higher MCS, in particular a higher Modulation Order, or with a different number of assigned resources. However, it might not be necessary to also have the reserved entry for 256QAM included.
- 15 • There can be also some 256QAM entries in the common area for situations like call setup where 256QAM would be useful to be utilized quickly (with a cost of losing the backwards compatibility and having less entries available in the “ordinary” range). Such a default “compromise” MCS table, where sub-sampling is used to achieve a higher dynamic range can be used as soon as the eNB is aware of the capabilities of the UE. A switch from the legacy table, i.e., with lower maximum modulation order, to that compromise table, i.e., with higher maximum modulation order, could be done implicitly as part of the capability enquiry procedure which also makes the capability available to the eNB. Subsequently, an explicit switching to a table focusing on low or high MCS may be done, but also an explicit switching to such a sub-sampled table in case the channel conditions vary so quickly that it is not feasible to do explicit switching.
- 20
- 25

30 An example of the MCS index and modulation table with 256QAM extension is shown in Table 1. The MCS indexes 12 to 31 refer to the continuous common MCS index area. The MCS indexes 0, 5 and 10 refer to a sub-sampled low modulation common MCS index area and the MCS indexes 1 to 4, 6 to 9 and 1 refer to the 256QAM extension.

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 8                         | 26                     |
| 2                      | 8                         | 27                     |
| 3                      | 8                         | 28                     |
| 4                      | 8                         | 29                     |
| 5                      | 2                         | 5                      |
| 6                      | 8                         | 30                     |
| 7                      | 8                         | 31                     |
| 8                      | 8                         | 32                     |
| 9                      | 8                         | 33                     |
| 10                     | 4                         | 9                      |
| 11                     | 8                         | reserved               |
| 12                     | 4                         | 11                     |
| 13                     | 4                         | 12                     |
| 14                     | 4                         | 13                     |
| 15                     | 4                         | 14                     |
| 16                     | 4                         | 15                     |
| 17                     | 6                         | 15                     |
| 18                     | 6                         | 16                     |
| 19                     | 6                         | 17                     |
| 20                     | 6                         | 18                     |
| 21                     | 6                         | 19                     |
| 22                     | 6                         | 20                     |
| 23                     | 6                         | 21                     |
| 24                     | 6                         | 22                     |
| 25                     | 6                         | 23                     |
| 26                     | 6                         | 24                     |
| 27                     | 6                         | 25                     |
| 28                     | 6                         | 26                     |
| 29                     | 2                         | reserved               |
| 30                     | 4                         |                        |
| 31                     | 6                         |                        |

Table 1: Example for a MCS index table

Similarly to the MCS index table, a new CQI index table with 256QAM extension and with common index area be used. The CQI tables are switched with the same RRC message responsible for MCS index table switching. In another case, the CQI tables may be

5 switched implicitly. The eNB may be responsible of handling possible error situations caused by the unawareness which table the UE is using at an exact time during this RRC procedure. The eNB can for example simply ignore non-common CQI indexes, round

10 them to the closest common index or take a risk and decide to which table they most probably are related to, based on some heuristics. Such error cases can happen because contrary to MCS selection, where the eNB initiates the change and can therefore avoid

15 ambiguous entries during switching, for CQI the UE isn't aware of the imminent switching and thus cannot avoid them (unless it avoids them always which is pointless). In order to increase the likelihood that the eNB can pick the right decision in case of ambiguous table entries, the minimum differences in TBS for any MCS index should be maximized. This is

20 the case in Table 1, because the entries are arranged in increasing TBS for both the 256 and QAM cases. If the 256 QAM cases were ordered inversely, for MCS index 9 there would be a TBS of 26 (in case of 256QAM) or 8 (in case of QPSK) i.e. a difference of  $26-8=18$ , while in Table 1 the difference is  $33-8=25$ . The higher the difference the less likely the eNB cannot use heuristics (e.g. if the channel really changed by that amount of 25

25 steps). For the same reason it may be beneficial to place the reserved 256QAM entry at the highest MCS index, i.e., for MCS index 11 in Table 1. The reserved entry is only relevant for downlink, not for uplink. Therefore, in the uplink table it can be easily dropped and replaced by the normal entry for QAM (in Table 1 with TBS 10).

30 In order to avoid ambiguous CQI reports during the switching time, a two step switching procedure can be employed: in a first command the eNB announces the switching. From then on the UE only uses CQI reports from the common MCS area. In a second command the eNB commands to perform the switching. From then on the UE fully uses the high MCS table. Despite the fact that there are now two ambiguous periods, there is no risk for

35 misinterpretations: During both ambiguous periods the UE uses either a well defined MCS table (the original one during the first ambiguous period and the final one during the second one) or only MCSs from the common MCS area and in this common area there is no risk of misunderstanding. This is due to the fact that the entries from the common MCS area may be coded identically in both tables. This will make the order of the entries in the high MCS area non-consecutive but this is a minor complexity and can be solved e.g. by implementing a lookup-table.

The message flow according to this embodiment can then be like this:

- 1) From eNB to UE: RRC message to switch MCS table and restrict CQI reporting to the common index area. The eNB only uses common index area for MCS
- 5 2) From UE to eNB: confirmation (and implicitly message), the eNB can now use the complete index area of the new MCS table, the eNB knows that the UE will use new CQI table (initially only the common index area).
- 3) From eNB to UE: confirmation, the UE can now use the full index area of the new CQI table.

10

Despite there are actually two handshakes, it might not take 4 messages but only 2 because the middle message may have a double meaning on both CQI and MCS tables.

Another approach to avoid ambiguous CQI reports is to allow the UE to initiate the switching of tables for CQI and the eNB to initiate switching for MCS. Then always the originator of a message switches to corresponding tables and can thus restrict usage to the common index area during the ambiguous period.

15

A new UE category is needed with 256QAM included in order to indicate UE's capability to support 256QAM. In case the UE does not support 256QAM, the above mentioned process and MCS/CQI index tables with 256QAM extensions are not used. Alternatively the eNB can transmit the switching command, and determine from the response, whether the UE supports 256QAM. In the initial access phase, 256QAM and thus the MCS table of the higher modulation order should not be used since the eNB does not yet know the UEs capabilities.

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The process presented above can be used for extensions to even higher MCSs. Also the process can be extended to cover more than two tables to switch between. Common MCSs that are represented in two or more tables should always be at identical positions.

30 There can be differences in the size and forming of the common index areas between the tables, for example it can be possible to have different level sub-samplings in different tables. For example, there may be 3 tables, low, mid and high. Then the mid table can include every second MCS entry in the low modulation area, while the high table only uses every 4th entry there (similar to Table 1), and these entries are selected from the ones

35 which are also present in the mid table. This is possible because 2 is a divider of 4, therefore the sub sampling of the high table should not select every 3<sup>rd</sup> or 5<sup>th</sup> entry which would

not be compatible. This may also be used for Table 1 that covers a broader range of CQI values.

5 There are numerous advantages in the proposed solution. The existing DCI format design is unchanged. This allows to support 256 QAM for each DL DCI formats while maintaining the existing DCI blind decoding burden at the UE. The proposed scheme provides easy means for the eNB to avoid complicated error cases due to signaling errors. The proposed design allows to keep the basic functionality of the existing DL resource allocation (CQI/MCS index table) unchanged. Hence, it has only minimal impact to the DL scheduler  
10 operation.

Figure 4 shows a cellular network system 400 according to an exemplary embodiment of the invention. The cellular network system comprises a base station 101 and a user equipment 102 being served by the base station.

15 In the following, the base station is described with a determination unit. However, it should be noted that the determination unit is optional.

The base station comprises a determination unit 402 being adapted to determine actual  
20 channel conditions of a radio transmission channel being used for the transmission between the base station 101 and the user equipment 102, and being adapted to determine a maximum supported modulation order based on the determined actual channel conditions. The base station further comprises a selection unit 403 being adapted to select the first modulation and coding scheme table or the second modulation and coding scheme table based on any predefined criterion or on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order. Moreover, the base station comprises a control unit 404 being adapted to control the modulation and coding scheme for the transmission between the base station and the user equipment based on the selected modulation and coding scheme table.

25  
30 The base station may be any type of access point or point of attachment, which is capable of providing a wireless access to a cellular network system. Thereby, the wireless access may be provided for the user equipment, or for any other network element, which is capable of communicating in a wireless manner. The base station may be a NodeB, eNB,  
35 home NodeB or HeNB, or any other kind of access point.

The base station may comprise a receiving unit, for example a receiver as known by a skilled person. The base station may also comprise a transmitting or sending unit, for example a transmitter. The receiver and the transmitter may be implemented as one single unit, for example as a transceiver 401. The transceiver or the receiving unit and the sending unit may be adapted to communicate with the user equipment via an antenna.

The determination unit 402, the selection unit 403 and the control unit 404 may be implemented as single units or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

The user equipment (UE) may be any type of communication end device, which is capable of connecting with the described base station. The UE may be in particular a cellular mobile phone, a Personal Digital Assistant (PDA), a notebook computer, a printer and/or any other movable communication device.

The user equipment may comprise a receiving unit or receiver which is adapted for receiving signals from the base station. The user equipment may comprise a transmitting unit for transmitting signals. The transmitting unit may be a transmitter as known by a skilled person. The receiver and the transmitting unit may be implemented as one single unit, for example as a transceiver 405. The transceiver or the receiver and the transmitting unit may be adapted to communicate with the base station via an antenna.

The user equipment may further comprise a control unit 406 for controlling and configuring the transmission based on information received from the base station being indicative for a selected MCS table. The control unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

Having regard to the subject matter disclosed herein, it should be mentioned that, although some embodiments refer to a "base station", "eNB", etc., it should be understood that each of these references is considered to implicitly disclose a respective reference to the general term "network component" or, in still other embodiments, to the term "network access node". Also other terms which relate to specific standards or specific communication techniques are considered to implicitly disclose the respective general term with the desired functionality.

It should further be noted that a base station as disclosed herein is not limited to dedicated entities as described in some embodiments. Rather, the herein disclosed subject matter may be implemented in various ways in various locations in the communication network while still providing the desired functionality.

5

According to embodiments of the invention, any suitable entity (e.g. components, units and devices) disclosed herein, e.g. the determination unit, are at least in part provided in the form of respective computer programs which enable a processor device to provide the functionality of the respective entities as disclosed herein. According to other embodi-  
10 ments, any suitable entity disclosed herein may be provided in hardware. According to other - hybrid - embodiments, some entities may be provided in software while other entities are provided in hardware.

It should be noted that any entity disclosed herein (e.g. components, units and devices)  
15 are not limited to a dedicated entity as described in some embodiments. Rather, the herein disclosed subject matter may be implemented in various ways and with various granularities on device level while still providing the desired functionality. Further, it should be noted that according to embodiments a separate entity (e.g. a software module, a  
hardware module or a hybrid module) may be provided for each of the functions disclosed  
20 herein. According to other embodiments, an entity (e.g. a software module, a hardware module or a hybrid module (combined software/hardware module)) is configured for providing two or more functions as disclosed herein.

It should be noted that the term "comprising" does not exclude other elements or steps. It  
25 may also be possible in further refinements of the invention to combine features from different embodiments described herein above. It should also be noted that reference signs in the claims should not be construed as limiting the scope of the claims.



List of reference signs:

|    |     |  |
|----|-----|--|
|    | 100 | Cellular network system                |
|    | 101 | Base station                           |
| 5  | 102 | User equipment                         |
|    | 103 | Cell                                   |
|    | 201 | 8x8 MIMO for 64QAM                     |
|    | 202 | 8x8 MIMO for 256QAM                    |
| 10 |     |  |
|    | 301 | 4x4 MIMO for 256QAM                    |
|    | 302 | 4x4 MIMO for 64QAM                     |
|    | 303 | 2x2 MIMO for 256QAM                    |
|    | 304 | 2x2 MIMO for 64QAM                     |
| 15 |     |  |
|    | 400 | Cellular network system                |
|    | 401 | Transceiver of the base station        |
|    | 402 | Determination unit of the base station |
|    | 403 | Selection unit of the base station     |
| 20 | 404 | Control unit of the base station       |
|    | 405 | Transceiver of the user equipment      |
|    | 406 | Control unit of the user equipment     |

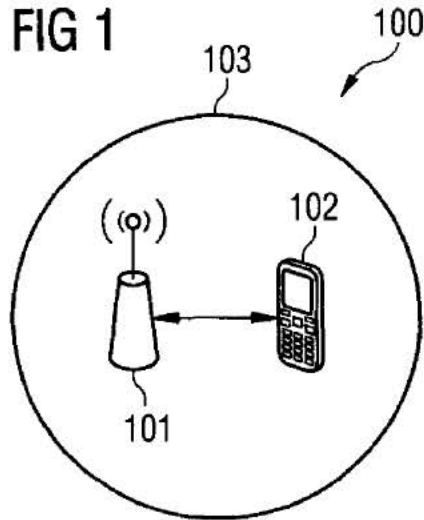
## CLAIMS:

1. A method for controlling a modulation and coding scheme for a transmission between a base station (101) and a user equipment (102), wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order, , the method comprising
- 5 selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table, and
- 10 controlling, by the base station (101), the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table.
- 15
2. The method as set forth in claim 1, wherein the second maximum modulation order is higher than the first maximum modulation order, in particular wherein the first maximum modulation order corresponds to 64QAM and the second modulation order corresponds to 256QAM.
- 20
3. The method as set forth in claim 2, the method further comprising
- determining, by the base station (101), actual channel conditions of a radio transmission channel being used for the transmission between the base station (101) and the user equipment (102),
- 25 determining, by the base station (101), a maximum supported modulation order based on the determined actual channel conditions, and
- selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order.
- 30
4. The method as set forth in any one of the preceding claims, the method further comprising
- 35 transmitting information to the user equipment (102) being indicative for the selected modulation and coding scheme table.

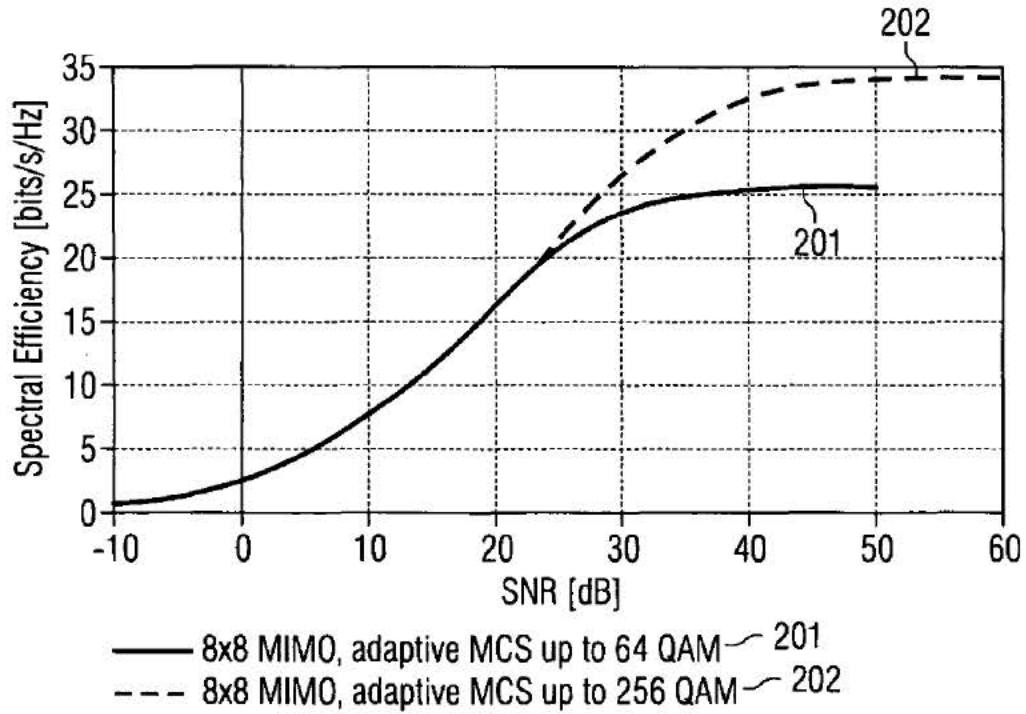
5. The method as set forth in claim 4, wherein transmitting information to the user equipment (102) is based on radio resource control signalling.
6. The method as set forth in any one of the claims 4 or 5, wherein transmitting information to the user equipment (102) is based on implicit signalling.
7. The method as set forth in any one of the claims 3 to 5, the method further comprising  
receiving a confirmation information from the user equipment (102) being indicative  
for a performed change of the selected modulation and coding scheme table.
8. The method as set forth in claim 7, wherein the first modulation and coding scheme table and the second modulation and coding scheme table each comprise a common subset of equal entries being arranged at same positions within the first modulation and coding scheme table and the second modulation and coding scheme table,  
in particular wherein the method further comprises,  
after transmitting the information to the user equipment (102) being indicative for the selected modulation and coding scheme table and before receiving the confirmation information from the user equipment (102), controlling the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table based on the common subset of entries.
9. The method as set forth in any one of the preceding claims, wherein controlling an initial transmission between the base station (101) and the user equipment (102) is based on the first modulation and coding scheme table.
10. The method as set forth in any one of the preceding claims, wherein the bits of carrying a modulation and coding scheme index are the same for the first modulation and coding scheme table and for the second modulation and coding scheme table.
11. The method as set forth in any one of the preceding claims, wherein the actual channel conditions are determined based on a channel quality indicator being selectable based on a first channel quality indicator table supporting the first maximum modulation order or based on a second channel quality indicator table supporting the second maximum modulation order, the method comprising

- receiving, by the base station (101), a channel quality indicator from the user equipment (102), and  
determining, by the base station (101), the actual channel conditions of the radio transmission channel being used for the transmission between the base station (101) and the user equipment (102) based on the received channel quality indicator.
- 5
12. The method as set forth in claim 11, the method further comprising  
selecting, by the base station (101), the first channel quality indicator table or the second channel quality indicator table based on the selected modulation and coding  
10 scheme table, and  
transmitting information to the user equipment (102) being indicative for the selected channel quality indicator table.
13. The method as set forth in any one of the claims 11 or 12, wherein the first channel  
15 quality indicator table and the second channel quality indicator table each comprise a common subset of equal entries being arranged at same positions within the first channel quality indicator table and the second channel quality indicator table.
14. A base station (101) for controlling a modulation and coding scheme for a transmission between the base station (101) and a user equipment (102), wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes  
20 with a second maximum modulation order, the base station (101) comprising  
a selection unit (403) being adapted to select the first modulation and coding scheme table or the second modulation and coding scheme table, and  
a control unit (404) being adapted to control the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on  
25 the selected modulation and coding scheme table.
- 30
15. A cellular network system (100), the cellular network system (100) comprising a base station (101) as set forth in claim 14.

1/2



**FIG 2**



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FIG 3

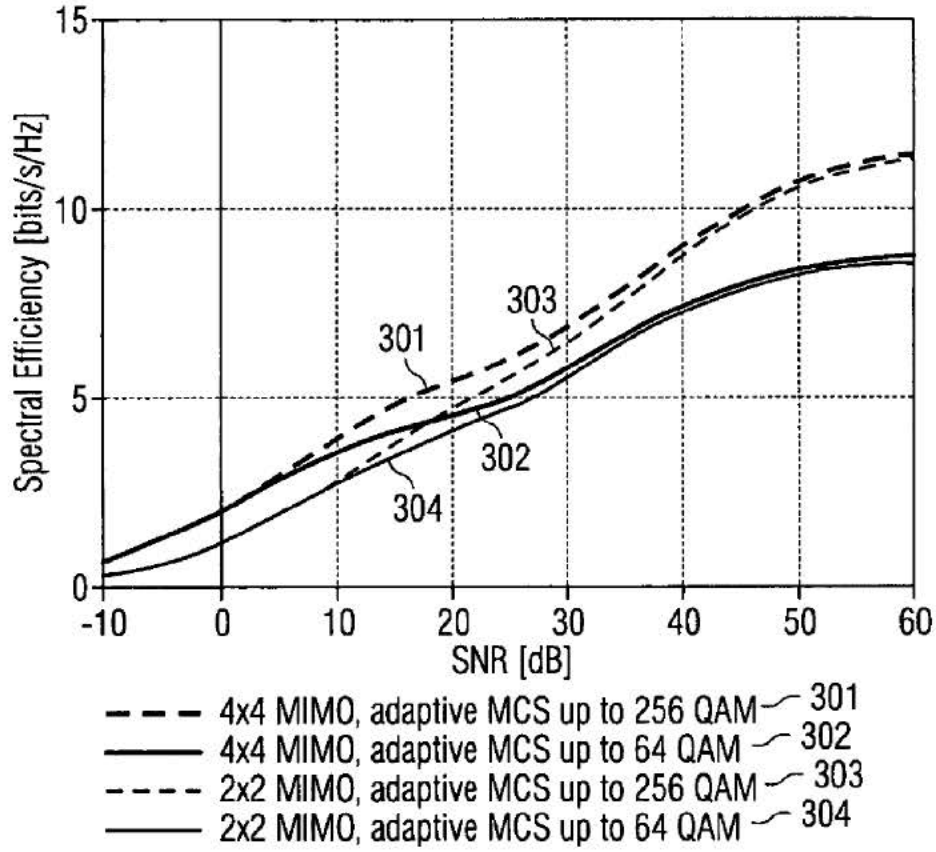
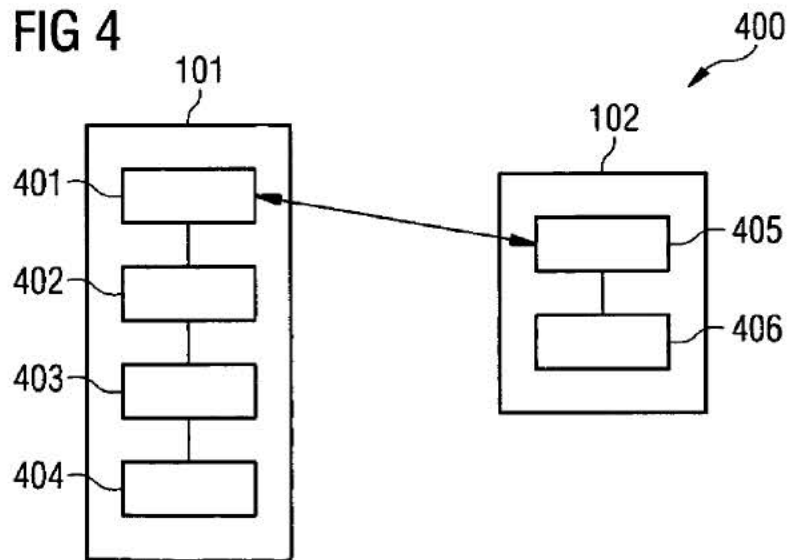


FIG 4



SUBSTITUTE SHEET (RULE 26)

**INTERNATIONAL SEARCH REPORT**

International application No  
PCT/EP2012/052828

| <b>A. CLASSIFICATION OF SUBJECT MATTER</b><br>INV. H04L1/00<br>ADD.  |  |                       |
|--|--|-----------------------|
| According to International Patent Classification (IPC) or to both national classification and IPC  |  |                       |
| <b>B. FIELDS SEARCHED</b><br>Minimum documentation searched (classification system followed by classification symbols)<br>H04L   |  |                       |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  |  |                       |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)<br>EPO-Internal, WPI Data, INSPEC, COMPENDEX  |  |                       |
| <b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>  |  |                       |
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
| X  | EP 1 903 692 A1 (MATSUSHITA ELECTRIC IND CO LTD [JP]) 26 March 2008 (2008-03-26)<br>column 5, paragraph 17 - column 6, paragraph 25<br>column 7, paragraphs 31,36<br>column 9, paragraphs 46,47<br>column 14, paragraph 90 - column 15, paragraph 93; figures 4,8,10,15,17<br>-----  | 1-10,14,15            |
| X  | EP 1 845 742 A1 (MATSUSHITA ELECTRIC IND CO LTD [JP]) 17 October 2007 (2007-10-17)<br>column 4, paragraph 17 - paragraph 19<br>column 7, paragraph 38 - paragraph 42<br>column 8, paragraph 50 - column 9, paragraph 52<br>column 12, paragraph 81<br>column 13, paragraph 82 - paragraph 85;<br>figures 13,14<br>-----  | 1-3,9,14,15           |
| -----  |  |                       |
| -/--   |  |                       |
| <input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <span style="margin-left: 100px;"><input checked="" type="checkbox"/> See patent family annex.</span>   |  |                       |
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| "A" document defining the general state of the art which is not considered to be of particular relevance<br>"E" earlier application or patent but published on or after the international filing date<br>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)<br>"O" document referring to an oral disclosure, use, exhibition or other means<br>"P" document published prior to the international filing date but later than the priority date claimed | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention<br>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone<br>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art<br>"&" document member of the same patent family |                       |
| Date of the actual completion of the international search<br><br><p align="center">28 September 2012</p>   | Date of mailing of the international search report<br><br><p align="center">05/10/2012</p>   |                       |
| Name and mailing address of the ISA/<br>European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040,<br>Fax: (+31-70) 340-3016   | Authorized officer<br><br><p align="center">Papantoniou, Antonis</p>   |                       |

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INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2012/052828

| C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT |  |                       |
|--|--|-----------------------|
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
| A  | <p>US 2007/160122 A1 (YOSHIDA SHOUSEI [JP])<br/>12 July 2007 (2007-07-12)<br/>page 1, right-hand column, paragraph 7 -<br/>paragraph 8<br/>page 2, left-hand column, paragraph 11<br/>page 4, left-hand column, paragraph 41 -<br/>right-hand column, paragraph 48<br/>page 5, left-hand column, paragraph 55 -<br/>right-hand column, paragraph 60; figures<br/>5,6a,6b,6c</p> <p style="text-align: center;">-----</p> | 1,14,15               |
| A  | <p>US 2007/117570 A1 (NOH SEOL-HYUN [KR] ET<br/>AL) 24 May 2007 (2007-05-24)<br/>page 4, left-hand column, paragraph 44<br/>page 4, right-hand column, paragraph 47 -<br/>paragraph 50</p> <p style="text-align: center;">-----</p>  | 1,14,15               |

2

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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/EP2012/052828

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
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|  |                  | CA 2619489 A1           | 22-02-2007       |
|  |                  | CN 101243625 A          | 13-08-2008       |
|  |                  | EP 1903692 A1           | 26-03-2008       |
|  |                  | JP 4823225 B2           | 24-11-2011       |
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|  |                  | WO 2006082761 A1        | 10-08-2006       |
| -----                                  |                  |                         |                  |
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|  |                  | KR 20070048426 A        | 09-05-2007       |
|  |                  | US 2007117570 A1        | 24-05-2007       |
| -----                                  |                  |                         |                  |

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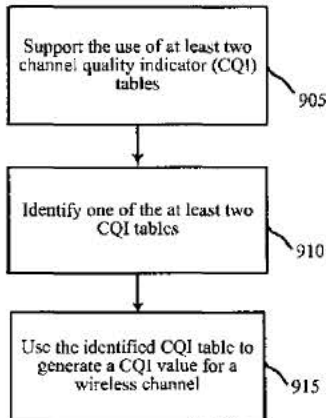
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OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA,  
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[Continued on next page]

(54) Title: IDENTIFYING MODULATION AND CODING SCHEMES AND CHANNEL QUALITY INDICATORS



(57) Abstract: Methods, systems, and devices are described for wireless communications. The use of at least two channel quality indicator (CQI) tables is supported. One of the at least two CQI table is identified. The identified CQI table is used to generate a CQI value for a wireless channel. In addition, the use of at least two modulation and coding scheme (MCS) tables is supported. A transmission is received via a wireless channel. One of the at least two MCS tables is identified to use for the received transmission. Further, a transport block size (TBS) table is identified that is mapped from the identified MCS table. The identified TBS table is used to determine a size of the received transmission.

FIG. 9

900

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## IDENTIFYING MODULATION AND CODING SCHEMES AND CHANNEL QUALITY INDICATORS

### CROSS REFERENCES

[0001] The present Application for Patent claims priority to U.S. Patent Application  
5 No. 14/140,098 by Chen et al., entitled "Identifying Modulation and Coding Schemes and  
Channel Quality Indicators," filed December 24, 2013; and U.S. Provisional Patent  
Application No. 61/750,601 by Chen et al., entitled "Identifying Modulation and Coding  
Schemes and Channel Quality Indicators," filed January 9, 2013, assigned to the assignee  
hereof.

10

### BACKGROUND

[0002] The following relates generally to wireless communication, and more specifically to  
systems and methods to indicate a modulation and coding scheme (MCS) and a channel  
quality indicator (CQI) value for a wireless channel for wireless communication systems that  
support a higher order MCS. Wireless communications systems are widely deployed to  
15 provide various types of communication content such as voice, video, packet data,  
messaging, broadcast, and so on. These systems may be multiple-access systems capable of  
supporting communication with multiple users by sharing the available system resources  
(e.g., time, frequency, and power). Examples of such multiple-access systems include code-  
division multiple access (CDMA) systems, time-division multiple access (TDMA) systems,  
20 frequency-division multiple access (FDMA) systems, and orthogonal frequency-division  
multiple access (OFDMA) systems.

[0003] Generally, a wireless multiple-access communications system may include a  
number of base stations, each simultaneously supporting communication for multiple mobile  
devices. Base stations may communicate with mobile devices on downstream and upstream  
25 links. Each base station has a coverage range, which may be referred to as the coverage area  
of the cell. A base station may grant a mobile device resources for upstream transmissions.  
The grant may be based on CQI data about the downstream channel. The base station and  
mobile device may access a CQI reporting table and an MCS table to determine the CQI  
value from the CQI data as well as the MCS to use for a transmission. As wireless

communication systems increase their support of additional MCSs, existing CQI tables and MCS tables may not include data entries that account for these additional MCSs that are supported by the communication system.

#### SUMMARY

5 [0004] The described features generally relate to one or more improved systems, methods, and/or apparatuses for selecting between different modulation and coding scheme (MCS) tables to identify an MCS to use for a transmission as well as selecting between different channel quality indicator (CQI) tables to identify a CQI value about a wireless channel. In one example, the selected MCS table may be used to look-up a size of a transport block that  
10 is being transmitted. The various MCS and CQI tables may support modulation schemes up to at least 256 Quadrature Amplitude Modulation (QAM).

[0005] In one example, a method for wireless communication is described. The use of at least two CQI tables may be supported. One of the at least two CQI tables may be identified. The identified CQI table may be used to generate a CQI value for a wireless channel.

15 [0006] In one configuration, a same number of bits may be used to represent the CQI value regardless of which CQI table is identified. The identification of the CQI table may be based at least in part on an identification of channel state information (CSI), from a plurality of CSI identifications. Each of the plurality of CSI identifications may be associated with a set of subframes, where the set of subframes may be determined based at least in part on a semi-  
20 static configuration or a semi-static indication.

[0007] In one example, a first CQI table may be identified to use for a first channel state information (CSI) process. A second CQI table may be identified to use for a second CSI process. The second CSI process may be different than the first CSI process.

[0008] Identifying one of the at least two CQI tables may include selecting the CQI table to  
25 use to generate the CQI value according to a predefined configuration setting. Identifying one of the at least two CQI tables may include dynamically selecting the CQI table to use to generate the CQI value.

[0009] In one example, a determination may be made as to whether the CQI value is to be transmitted via a control channel or a data channel. Upon determining that the CQI value is

to be transmitted via the control channel, a first CQI table to use may be identified. A first number of bits may be used to represent the CQI value based on the first CQI table. Upon determining that the CQI value is to be transmitted via the data channel, a second CQI table to use may be identified. A second number of bits may be used to represent the CQI value based on the second CQI table. The second CQI table may be different from the first CQI table. In addition, the second number of bits may be greater than the first number of bits.

[0010] In one configuration, a determination may be made as to whether the CQI value is part of a P-CSI report or an aperiodic channel state information (A-CSI) report. Upon determining that the CQI value is part of the P-CSI report, a first CQI table to use may be identified. Upon determining that the CQI value is part of the A-CSI report, a second CQI table to use may be identified. In one example, the second CQI table may be different from the first CQI table.

[0011] In one example, a first CQI value may be generated using a first CQI table. A second CQI value may be generated using a second CQI table. The first CQI value and the second CQI value may be transmitted via a wireless channel in a single subframe.

[0012] The at least two CQI tables may include at least one common data entry. A CQI table may include a listing of CQI values. Each CQI value may be mapped to a spectral efficiency value. In one example, at least one of the identified CQI reporting tables may support 256 Quadrature Amplitude Modulation (QAM).

[0013] An apparatus for wireless communication is also described. The apparatus may include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two CQI reporting tables, identify one of the at least two CQI tables, and use the identified CQI table to generate a CQI value for a wireless channel.

[0014] An apparatus for wireless communication is further described. The apparatus may include means for supporting the use of at least two CQI reporting tables, means for identifying one of the at least two CQI tables, and means for using the identified CQI table to generate a CQI value for a wireless channel.

5 [0015] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two channel CQI reporting tables, identify one of the at least two CQI tables, and use the identified CQI table to generate a CQI value for a wireless channel.

10 [0016] A method for wireless communication is further described. The use of at least two MCS tables is supported. A transmission may be received via a wireless channel. One of the at least two MCS tables may be identified to use for the received transmission. In one example, the identified MCS table may be used to determine an MCS to use for the received transmission.

15 [0017] A first transport block size (TBS) table may be mapped from a first MCS table and a second TBS table may be mapped from a second MCS table. The second TBS table may include at least one TBS that is greater than a maximum TBS in the first TBS table. In one example, a TBS table mapped from the identified MCS table may be identified. The identified TBS table may be used to determine a size of the received transmission.

[0018] Identifying one of the at least two MCS tables may include determining a type of transmission used to transmit the received transmission via the wireless channel, and identifying one of the at least two MCS tables to use for the received transmission based at least in part on the type of transmission.

20 [0019] Identifying one of the at least two MCS tables may also include identifying a type of control channel used to transmit the received transmission, and identifying one of the at least two MCS tables to use for the received transmission based at least in part on the identified type of control channel. In one example, the identified MCS table may be used to determine an MCS to use for an uplink transmission.

25 [0020] A first number of bits may be used to represent a first MCS based on a first MCS table. A second number of bits may be used to represent a second MCS based on a second MCS table. The first number of bits may be the same as the second number of bits. The second number of bits may be greater than the first number of bits.

[0021] Identifying one of the at least two MCS tables may further include dynamically selecting the MCS table to use for the received transmission, and/or selecting the MCS table to use for the received transmission according to a predefined configuration setting.

[0022] An apparatus for wireless communication is further described. The apparatus may include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two MCS tables, receive a transmission via a wireless channel, and identify one of the at least two MCS tables to use for the received transmission.

[0023] A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two MCS tables, means for receiving a transmission via a wireless channel, and means for identifying one of the at least two MCS tables to use for the received transmission.

[0024] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two MCS tables, receive a transmission via a wireless channel, and identify one of the at least two MCS tables to use for the received transmission.

[0025] A further method for wireless communication is also described. The use of at least two MCS tables may be supported. One of the at least two MCS tables may be identified. The identified MCS table may be used to identify an MCS for a transmission.

[0026] In one example, a CQI value may be identified. The identified MCS may be based at least in part on the received CQI value. Using the identified MCS table to identify the MCS may include selecting the MCS for a downlink transmission. Using the identified MCS table to identify the MCS may include selecting the MCS for an uplink transmission.

[0027] In one configuration, the identification of one of the at least two MCS tables is based at least in part on a downlink control information (DCI) format. A first number of bits may be used to represent a first MCS based on a first MCS table. A second number of bits may be used to represent a second MCS based on a second MCS table. The first number of bits may be the same as the second number of bits. The second number of bits may be greater than the first number of bits.



[0028] In one example, a single MCS table may be associated with each assignment of a physical downlink shared channel (PDSCH). At least two codewords to be transmitted via a single physical downlink shared channel (PDSCH) may be identified. The identified one of the at least two MCS tables may be used for downlink transmissions of the at least two  
5 codewords.

[0029] In one example, a type of control channel to be used for the transmission may be identified. One of the at least two MCS tables to use for the transmission may be identified based at least in part on the identified type of control channel.

[0030] A set of candidates for a control channel transmission may be identified. One of the  
10 at least two MCS tables to use for the control channel transmission may be identified based at least in part on the identified set of candidates. A first set of candidates may be associated with a common search space, and a second set of candidates may be associated with a user equipment specific search space. At least one candidate associated with both the common search space and the user equipment search space may be identified. One of the at least two  
15 MCS tables may be identified based at least in part on a predefined rule.

[0031] In one configuration, a type of the transmission to occur on a wireless channel may be identified. One of the at least two MCS tables to use for the transmission may be identified based at least in part on the identified type of transmission.

[0032] Identifying one of the at least two MCS tables may include dynamically selecting  
20 the MCS table to use for the transmission. The dynamic selection of the MCS table may be performed by an information field in downlink control information, where the information field may select one of a plurality of sets of configurations, where each set of configuration may include parameters including an MCS table indicator and at least one of a rate matching parameter and a quasi-co-location indication parameter. Identifying one of the at least two  
25 MCS tables may include selecting the MCS table to use for the transmission according to a predefined configuration setting.

[0033] In one example, an MCS table may include a listing of MCSs. Each MCS may be mapped to at least one of a modulation scheme and a TBS. A first TBS table may be mapped from a first MCS table and a second TBS table may be mapped from a second MCS table.

The second TBS table may include at least one TBS that is greater than a maximum TBS in the first TBS table. At least one of the identified MCS tables may support 256QAM.

[0034] An apparatus for wireless communication is also described. The apparatus may include processor and a memory in electronic communication with the processor.

5 Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two MCS tables, identify one of the at least two MCS tables, and use the identified MCS table to identify an MCS for a transmission.

[0035] A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two MCS tables, means for identifying one of  
10 the at least two MCS tables, and means for using the identified MCS table to identify an MCS for a transmission.

[0036] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two MCS  
15 tables, identify one of the at least two MCS tables, and use the identified MCS table to identify an MCS for a transmission.

[0037] A further method for wireless communication is also described. The use of at least two CQI tables is supported. CQI data may be received for a wireless channel. One of the at least two CQI tables to use to identify a CQI value may be identified based on the CQI data.

20 [0038] In one example, a same number of bits may be used to represent the CQI value regardless of which CQI table is identified. Identifying one of the at least two CQI tables may include selecting the CQI table to use to identify the CQI value according to a predefined configuration setting. Identifying one of the at least two CQI tables may include dynamically selecting the CQI table to use to identify the CQI value.

25 [0039] An apparatus for wireless communication is further described. The apparatus may include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two CQI tables, receive CQI data for a wireless channel, and identify one of the at least two CQI tables to use to identify a CQI value based  
30 on the CQI data.

[0040] A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two CQI tables, means for receiving CQI data for a wireless channel, and means for identifying one of the at least two CQI tables to use to identify a CQI value based on the CQI data.

- 5 [0041] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two CQI tables, receive CQI data for a wireless channel, and identify one of the at least two CQI tables to use to identify a CQI value based on the CQI data.
- 10 [0042] Further scope of the applicability of the described methods and apparatuses will become apparent from the following detailed description, claims, and drawings. The detailed description and specific examples are given by way of illustration only, since various changes and modifications within the spirit and scope of the description will become apparent to those skilled in the art.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0043] A further understanding of the nature and advantages of the present invention may be realized by reference to the following drawings. In the appended figures, similar components or features may have the same reference label. Further, various components of the same type may be distinguished by following the reference label by a dash and a second  
20 label that distinguishes among the similar components. If only the first reference label is used in the specification, the description is applicable to any one of the similar components having the same first reference label irrespective of the second reference label.

[0044] FIG. 1 shows a block diagram of a wireless communications system;

[0045] FIG. 2 is a block diagram illustrating one example of a UE in accordance with the  
25 present systems and methods;

[0046] FIG. 3 shows a block diagram illustrating a further example of the UE;

[0047] FIG. 4A is a block diagram illustrating a further example of the UE for implementing the functionality of the present systems and methods;

[0048] FIG. 4B is an example of two examples of CQI tables in accordance with the present systems and methods;

[0049] FIG. 4C is an example of two examples of MCS tables in accordance with the present systems and methods;

5 [0050] FIG. 5 shows a block diagram of an example of an eNB;

[0051] FIG. 6 shows a block diagram of a further example of the eNB;

[0052] FIG. 7 is a block diagram illustrating one example of the eNB for implementing the functionality of the present systems and methods;

10 [0053] FIG. 8 is a block diagram of a MIMO communication system including an eNB and a mobile device;

[0054] FIG. 9 is a flow chart of a method for managing wireless communications for higher order MCS by supporting additional CQI tables;

[0055] FIG. 10 is a flow chart of a method for selecting different CQI tables based on a medium of transmission;

15 [0056] FIG. 11 is a flow chart of a method for selecting between different CQI tables based on a reporting schedule of the CQI value;

[0057] FIG. 12 is a flow chart of a method for selecting an MCS table to identify an MCS for a received transmission'

20 [0058] FIG. 13 is a flow chart of a method for selecting an MCS tables to identify an MCS for a received transmission as well as a size of the transmission;

[0059] FIG. 14 is a flow chart of a method for selecting between different MCS tables to identify an MCS for a transmission;

25 [0060] FIG. 15 is a flow chart of a method for mapping different MCS tables to transport block size (TBS) tables and selecting an MCS table based on a downlink control information (DCI) format; and

[0061] FIG. 16 is a flow chart of a method to select a CQI table to identify a CQI value that is based on received CQI data.

## DETAILED DESCRIPTION

**[0062]** Wireless communication standards may support various modulation and coding schemes for downlink and uplink transmissions. In one configuration, Quadrature Phase Shift Keying (QPSK), 16QAM, and 64QAM may be examples of schemes supported by a wireless communication standard. In one example, five bits may be used to represent an MCS to be used for a transmission. Using a five bit MCS may provide up to 32 possible MCSs to choose from for a transmission. An MCS table based on a five bit MCS may include a list of MCS indices from 0 to 31. Each index may correspond to at least one modulation scheme to be used for a transmission. In addition, each MCS index may be mapped to at least one transport block size (TBS) look-up index. The TBS look-up index may indicate a size of a transport block to be transmitted using the corresponding MCS.

**[0063]** In addition, a channel quality indicator (CQI) table, may be used to identify a CQI value for a wireless channel. A UE may be configured to report on channel quality using a CQI message. In LTE, both periodic and aperiodic (or event triggered) reporting of CQI is supported. CQI messages may be transmitted on the physical uplink control channel (PUCCH) on the primary component carrier, and not on a secondary component carrier (SCC). Alternatively or additionally, PUCCH may be transmitted on a secondary component carrier when two or more carriers do not have ideal backhaul as part of multi-flow operation or when there is a need to balance PUCCH overhead on different carriers. The CQI value may then be used to select a particular MCS to use for a transmission on the wireless channel. Currently, a CQI value may be represented using a number of bits, such as four bits. A CQI table may include a listing of CQI indices corresponding to the 16 possible CQI values (if four bits are used to represent the CQI value). Each CQI index value may be mapped to additional data that can be used to select an MCS.

**[0064]** In one example, a wireless communication standard may support schemes up to at least 256QAM. Additional MCS tables and CQI tables may be used to allow for these additional modulation schemes. Devices in a wireless communication system may select an appropriate CQI and MCS table to use to identify a CQI value of a wireless channel and the appropriate MCS to use for a transmission on that wireless channel.

**[0065]** The following description provides examples, and is not limiting of the scope, applicability, or configuration set forth in the claims. Changes may be made in the function

and arrangement of elements discussed without departing from the spirit and scope of the disclosure. Various examples may omit, substitute, or add various procedures or components as appropriate. For instance, the methods described may be performed in an order different from that described, and various steps may be added, omitted, or combined. Also, features  
5 described with respect to certain examples may be combined in other examples.

**[0066]** Referring first to **FIG. 1**, a diagram illustrates an example of a wireless communications system 100. The system 100 includes base stations (or cells) 105, communication devices 115, and a core network 130. The base stations 105 may communicate with the communication devices 115 under the control of a base station  
10 controller, which may be part of the core network 130 or the base stations 105 in various examples. Base stations 105 may communicate control information and/or user data with the core network 130 through backhaul links 132. In some examples, the base stations 105 may communicate, either directly or indirectly, with each other over backhaul links 134, which may be wired or wireless communication links. The system 100 may support operation on  
15 multiple carriers (waveform signals of different frequencies). According to various examples, the UE operating in a multicarrier system (also referred to as carrier aggregation) is configured to aggregate certain functions of multiple carriers, such as control and feedback functions, on the same carrier, which may be referred to as a “primary carrier.” The remaining carriers that depend on the primary carrier for support are referred to as associated  
20 secondary carriers. For example, the UE may aggregate control functions such as those provided by the optional dedicated channel (DCH), the nonscheduled grants, a physical uplink control channel (PUCCH), and/or a physical downlink control channel (PDCCH). Multi-carrier transmitters may transmit modulated signals simultaneously on the multiple carriers. For example, each communication link 125 may be a multi-carrier signal modulated  
25 according to various radio technologies. Each modulated signal may be sent on a different carrier and may carry control information (e.g., reference signals, control channels, etc.), overhead information, data, etc.

**[0067]** The base stations 105 may wirelessly communicate with the devices 115 via one or more base station antennas. Each of the base station 105 sites may provide communication  
30 coverage for a respective geographic area 110. In some examples, base stations 105 may be referred to as a base transceiver station, a radio base station, an access point, a radio

transceiver, a basic service set (BSS), an extended service set (ESS), a NodeB, an evolved NodeB (eNodeB or eNB), Home NodeB, a Home eNodeB, or some other suitable terminology. The coverage area 110 for a base station may be divided into sectors making up only a portion of the coverage area. The system 100 may include base stations 105 of  
5 different types (*e.g.*, macro, micro, and/or pico base stations). There may be overlapping coverage areas for different technologies.

[0068] In some examples, the system 100 may be an LTE/LTE-A network. In LTE/LTE-A networks, the terms evolved Node B (eNB) and user equipment (UE) may be generally used to describe the base stations 105 and devices 115, respectively. The system 100 may be a  
10 Heterogeneous LTE/LTE-A network in which different types of eNBs provide coverage for various geographical regions. For example, each eNB 105 may provide communication coverage for a macro cell, a pico cell, a femto cell, and/or other types of cell. A macro cell generally covers a relatively large geographic area (*e.g.*, several kilometers in radius) and may allow unrestricted access by UEs with service subscriptions with the network provider.  
15 A pico cell would generally cover a relatively smaller geographic area and may allow unrestricted access by UEs with service subscriptions with the network provider. A femto cell would also generally cover a relatively small geographic area (*e.g.*, a home) and, in addition to unrestricted access, may also provide restricted access by UEs having an association with the femto cell (*e.g.*, UEs in a closed subscriber group (CSG), UEs for users  
20 in the home, and the like). An eNB for a macro cell may be referred to as a macro eNB. An eNB for a pico cell may be referred to as a pico eNB. And, an eNB for a femto cell may be referred to as a femto eNB or a home eNB. An eNB may support one or multiple (*e.g.*, two, three, four, and the like) cells. In one example, an eNB 105 selects between various modulation and coding schemes (MCSs) to use for a transmission to a UE 115. The selected  
25 MCS may be based at least in part on a channel quality indicator (CQI) value reported from the UE 115. The eNB 105 may select between various CQI tables to identify the table corresponding to the CQI value received from the UE 115. The eNB 105 may also select between various MCS tables to identify the table from which an MCS may be identified for a transmission on the downlink and/or a transmission on the uplink. The selected MCS table  
30 may be based at least in part on the CQI value received from the UE 115.

- [0069] The core network 130 may communicate with the eNBs 105 via a backhaul 132 (e.g., S1, etc.). The eNBs 105 may also communicate with one another, e.g., directly or indirectly via backhaul links 134 (e.g., X2, etc.) and/or via backhaul links 132 (e.g., through core network 130). The wireless system 100 may support synchronous or asynchronous operation. For synchronous operation, the eNBs may have similar frame timing, and transmissions from different eNBs may be approximately aligned in time. For asynchronous operation, the eNBs may have different frame timing, and transmissions from different eNBs may not be aligned in time. The techniques described herein may be used for either synchronous or asynchronous operations.
- 5
- [0070] The UEs 115 may be dispersed throughout the wireless system 100, and each UE may be stationary or mobile. A UE 115 may also be referred to by those skilled in the art as a mobile station, a subscriber station, a mobile unit, a subscriber unit, a wireless unit, a remote unit, a mobile device, a wireless device, a wireless communications device, a remote device, a mobile subscriber station, an access terminal, a mobile terminal, a wireless terminal, a remote terminal, a handset, a user agent, a mobile client, a client, or some other suitable terminology. A UE 115 may be a cellular phone, a personal digital assistant (PDA), a wireless modem, a wireless communication device, a handheld device, a tablet computer, a laptop computer, a cordless phone, a wireless local loop (WLL) station, or the like. A UE may be able to communicate with macro eNBs, pico eNBs, femto eNBs, relays, and the like.
- 10
- 15
- 20
- In one configuration, the UE 115 may be able to select between various CQI tables to identify a table to use to generate the CQI value to report to the eNB 105. In addition, the UE 115 may be able to select between various MCS tables to identify the MCS table to use to identify the MCS that is being used for a downlink transmission and/or to identify the MCS that the UE 115 may use for an uplink transmission.
- 25
- [0071] The transmission links 125 shown in network 100 may include uplink transmissions from a mobile device 115 to a base station 105, and/or downlink transmissions, from a base station 105 to a mobile device 115. The downlink transmissions may also be called forward link transmissions while the uplink transmissions may also be called reverse link transmissions. While the wireless system 100 is described in relation to LTE/LTE-Advanced architectures, those skilled in the art will readily appreciate, the various concepts presented throughout this disclosure may be extended to other types of wireless networks.
- 30



[0072] FIG. 2 is a block diagram 200 illustrating one example of a UE 115-a, in accordance with the present systems and methods. The UE 115-a may be an example of the UE 115 of FIG. 1. The UE 115-a may include a UE receiver module 205, a UE table selection module 210, and a UE transmitter module 215. Each of these components may be in communication with each other.

[0073] These components of the UE 115-a may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0074] In one configuration, the receiver module 205 may include a cellular receiver and may receive transmissions from an eNB 105. The UE table selection module 210 may control the selection of a table to use to generate and/or identify certain information. The selection of the table may be based on predefined configuration settings of the UE 115-a. In one example, the selection module 210 may dynamically select the table to use based on one or more factors. In some cases, the generated and/or identified information resulting from the selected table may be transmitted via the UE transmitter module 215. Details regarding the UE table selection module 210 will be described below.

[0075] FIG. 3 is a block diagram 300 illustrating one example of a UE 115-b in accordance with the present systems and methods. The UE 115-b may be an example of the UE 115 illustrated in FIGS. 1 and/or 2. The UE 115-b may include a UE receiver module 205, a UE table selection module 210-a, and a UE transmitter module 215, as previously described. Each of these components may be in communication with each other.

[0076] These components of the UE 115-b may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated

circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a  
5 memory, formatted to be executed by one or more general or application-specific processors.

[0077] In one example, the UE 115-b may support the use of at least two CQI tables. In one configuration, the UE table selection module 210-a may include a CQI table selection module 305. The CQI table selection module 305 may identify one of the CQI tables supported by the UE 115-b. The identified table may be used to generate a CQI value for a  
10 wireless channel. A number of bits may be used to represent the CQI value. In one example, the number of bits used to represent the generated CQI value may remain the same regardless of the CQI table that is identified by the CQI table selection module 305. For example, four bits may be used to represent a CQI value. By using four bits, the CQI value may be one of 16 possible index values on a single CQI table. A CQI index value may be a factor that is  
15 used to identify an MCS to use for a received transmission and/or a transmission to be transmitted. As wireless communication standards increase the types of MCSs that may be used for transmissions, additional CQI tables may be used to allow the CQI value to still be represented by four bits.

[0078] In addition to supporting the use of multiple CQI tables, the UE 115-b may also  
20 support the use of at least two MCS tables. The UE table selection module 210-a may include an MCS table selection module 310. Transmission received by the UE receiver module 205 may have been modulated and encoded using a particular MCS at the transmitting device (*e.g.*, an eNB 105). Upon receiving a transmission, the MCS table selection module 310 may select one of the at least two MCS tables to use for the received  
25 transmission. In one example, the identified MCS table may be used to determine the MCS to apply to the received transmission in order to demodulate and decode the transmitted information. In addition, when the UE 115-b has information to be transmitted via an uplink to the eNB 105, the eNB 105 may assign a particular MCS for the UE 115-b to use for the uplink transmission. The MCS table selection module 310 may select a particular MCS table  
30 and then identify the assigned MCS to use based on the selected MCS table.

[0079] In addition, the identified MCS table may also be used to identify a size of a received transmission. For example, the transmission may include a transport block (*i.e.*, codeword) of particular size. This may be referred to herein as the transport block size (TBS). In one example, a TBS table that indicates the size of the transport block may be mapped from a particular MCS table supported by the UE 115-b. Upon identifying the MCS table to use to determine the MCS to use for the received transmission, the MCS selection module 310 may use the selected MCS table to look-up the TBS table that has been mapped from the selected MCS table. As a result, the UE 115-b may determine the size of the received transport block.

10 [0080] FIG. 4A is a block diagram 400 illustrating one example of a UE 115-c in accordance with the present systems and methods. The UE 115-c may be an example of the UE 115 illustrated in FIGS. 1, 2, and/or 3. In one configuration, the UE 115-c may include a UE receiver module 205, a UE table selection module 210-b, a CQI value generation module 425, an MCS identification module 430, and a UE transmitter module 215. Each of these components may be in communication with each other.

[0081] These components of the UE 115-c may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

25 [0082] In one configuration, the UE table selection module 210-b may include a CQI table selection module 305-a. The module 305-a may select one CQI table, from a plurality of CQI tables. The selected table may then be used by the CQI value generation module 425 to generate the CQI value for a wireless channel, such as a downlink channel.

[0083] In one example, the UE 115-c may support the use of a legacy CQI table (*e.g.*, a table used for QPSK, 16QAM, and 64 QAM schemes) and a new CQI table used for higher

order schemes, such as 256QAM. An example of a legacy CQI table used for lower order schemes is shown below as Table 1.

| CQI Index | Modulation Scheme | Code Rate x 1024 | Efficiency |
|-----------|-------------------|------------------|------------|
| 0         | Out of Range      |                  |            |
| 1         | QPSK              | 78               | 0.1523     |
| 2         | QPSK              | 120              | 0.2344     |
| 3         | QPSK              | 193              | 0.3770     |
| 4         | QPSK              | 308              | 0.6016     |
| 5         | QPSK              | 449              | 0.8770     |
| 6         | QPSK              | 602              | 1.1758     |
| 7         | 16QAM             | 378              | 1.4766     |
| 8         | 16QAM             | 490              | 1.9141     |
| 9         | 16QAM             | 616              | 2.4063     |
| 10        | 64QAM             | 466              | 2.7305     |
| 11        | 64QAM             | 567              | 3.3223     |
| 12        | 64QAM             | 666              | 3.9023     |
| 13        | 64QAM             | 772              | 4.5234     |
| 14        | 64QAM             | 873              | 5.1152     |
| 15        | 64QAM             | 948              | 5.5547     |

**TABLE 1**

- [0084]** The new table may include enhanced spectral efficiencies as compared to the legacy table. In one example, the new CQI table may include non-uniform spectral efficiency entries (e.g., fine granularity at high spectral efficiency and coarse granularity at low spectral efficiency). The different CQI tables may also be associated with different downlink channel
- 5

information (DCI) formats used by the eNB 105. For example, the legacy CQI table may be associated with DCI format 1A while the new CQI table may be associated with DCI format 2D. The different types of control information correspond to different DCI message sizes. DCI is therefore categorized into different DCI formats, where a format corresponds to a certain message size. The Physical Downlink Control Channel (PDCCH) is used to carry downlink control information (DCI) which signals allocation of resources to the UE. In one configuration, the legacy and new CQI tables may have one or more common data entries between them. An example of a new CQI table used for higher order schemes is shown below as Table 2.

| CQI Index | Modulation Scheme | Code Rate x 1024 | Efficiency |
|-----------|-------------------|------------------|------------|
| 0         | Out of Range      |                  |            |
| 1         | QPSK              | 78               | 0.1523     |
| 2         | QPSK              | 193              | 0.3770     |
| 3         | QPSK              | 449              | 0.8770     |
| 4         | 16QAM             | 378              | 1.4766     |
| 5         | 16QAM             | 490              | 1.9141     |
| 6         | 16QAM             | 616              | 2.4063     |
| 7         | 64QAM             | 466              | 2.7305     |
| 8         | 64QAM             | 567              | 3.3223     |
| 9         | 64QAM             | 666              | 3.9023     |
| 10        | 64QAM             | 772              | 4.5234     |
| 11        | 64QAM             | 873              | 5.1152     |
| 12        | 64QAM             | 948              | 5.5547     |
| 13        | 256QAM            | 792              | 6.0313     |
| 14        | 256QAM            | 873              | 6.8203     |

|    |        |     |        |
|----|--------|-----|--------|
| 15 | 256QAM | 948 | 7.4063 |
|----|--------|-----|--------|

TABLE 2

[0085] In one configuration, each CQI value generated and reported by the UE 115-c may be based on a single CQI table. For example, the UE 115-c may use a single CQI table in accordance with Radio Resource Control (RRC) protocols. As previously described, the UE 115-c may support more than one CQI table and may determine which table to use based on various factors.

[0086] In one example, the CQI table selection module may include a channel state information (CSI) reporting identification module 405, and a channel identification module 410. In one configuration, the CSI reporting identification module 405 may identify periodic channel state information (P-CSI) from a plurality of P-CSI identifications. In one configuration, two P-CSI sets may be identified. A first P-CSI set may be associated with the legacy CQI table while a second P-CSI set may be associated with the new CQI table. As an example, a first P-CSI set may be associated with a restricted CSI measurement subframe set 1 and a second P-CSI set may be associated with a restricted CSI measurement subframe set 2, where the two restricted measurement subframe sets are configured by higher layers. As another example, in a time-division-duplex (TDD) system, a first P-CSI set may be associated with a set of measurement subframes where the set of subframes are subject to dynamic change of transmission directions (downlink or uplink), and a second P-CSI set may be associated with a set of downlink subframes for measurements where the set of subframes are not subject to dynamic change of transmission directions. Similarly, the CSI reporting identification module 405 may identify aperiodic channel state information (A-CSI) from a plurality of A-CSI identifications. In one configuration, two A-CSI sets may be identified. A first A-CSI set may be associated with the legacy CQI table while a second A-CSI set may be associated with the new CQI table.

[0087] The CSI module 405 may also identify one or more CSI processes and the CQI table selection module 305-a may select a different CQI table to use for each different CSI process. For example, the CSI reporting identification module 405 may identify a first CSI process and a second CSI process. The CQI table selection module 305-a may identify a first CQI table to use for the first CSI process and a second CQI table to use for the second CSI process.

**[0088]** In one configuration, the CSI reporting identification module 405 may also determine whether a CQI value is to be part of a P-CSI report or whether it is to be a part of an aperiodic CSI (A-CSI) report. Based on this determination, the CQI table selection module 305-a may select a first CQI table to use if the CQI value (based from the first CQI table) is to be part of a P-CSI report, or the module 305-a may select a second CQI table to use if the CQI value (based from the second CQI table) is to be part of an A-CSI report.

**[0089]** In one example, the CQI table selection module 305-a may also include a channel identification module 410. The module 410 may determine the type of channel to be used to transmit a CQI value. For example, the module 410 may determine whether the CQI value is to be transmitted via a control channel or a data channel. If the CQI value is to be transmitted via the control channel, the CQI table selection module 305-a may identify a first CQI table to use to generate the CQI value. In one example, the CQI value generated from the first table may be represented by a first number of bits, such as, but not limited to, four bits. If the CQI value is to be transmitted via the data channel, the CQI table selection module 305-a may identify a second CQI table to use. The second table may be different from the first table and the CQI value generated from the second CQI table may be represented by a different number of bits than had the CQI value been generated using the first table. In one example, if the first table is used to generate a 4-bit CQI value, the second table may be used to generate a 5-bit CQI value. In one example, the number of bits used to represent the CQI value may remain the same, regardless of the CQI table that is selected to use to generate the value.

**[0090]** In one example, a first set of ranks (e.g., rank 1) may be associated with a first CQI table, and a second set of ranks (e.g., rank 2 and higher) may be associated with a second CQI table.

**[0091]** In one example, a UE can be dynamically indicated which CQI table to be used. As an example, a 1-bit information field can be included in downlink control information (DCI) to indicate which one of two CQI tables to be used for aperiodic CQI reporting.

**[0092]** In some examples, the UE 115-c may generate and report multiple CQI values using multiple CQI tables in a single subframe. In one example, a first CQI value may be generated by the CQI value generation module 425 using a first CQI table. The module 425 may also generate a second CQI value using a second CQI table that is different from the first

CQI table. The two CQI values may be transmitted to an eNB 105, for example, during a single subframe via the UE transmitter module 215.

[0093] In one configuration, each CQI table may include a listing of CQI index values. Each index value may represent a CQI value. As standards for wireless communication support higher orders of MCSs, additional CQI tables may be used that include these higher order schemes. The use of additional CQI tables may allow for CQI values to continue to use the same bit-width to represent the values. Each CQI index value may be mapped to a spectral efficiency value. As mentioned previously, at least one of the CQI tables may include non-uniform spectral efficiency data entries.

10 [0094] In one configuration, the UE table selection module 210-b may also include an MCS table selection module 310-a. The module 310-a may identify one MCS table, from a plurality of MCS tables. The identified table may then be used by the MCS identification module 430 to identify the MCS to use for a received transmission or a transmission that is to be transmitted on a wireless channel, such as an uplink channel.

15 [0095] In one example, the UE 115-c may support the use of a legacy MCS table (e.g., a table used for QPSK, 16QAM, and 64 QAM schemes) and a new MCS table used for higher order schemes, such as 256QAM. An example of a legacy MCS table used for lower order schemes is shown below as Table 3. Table 3 illustrates a number of MCS indices, a corresponding modulation order, and a corresponding TBS index for each MCS index value.

| MCS Index | Modulation Order | TBS Index |
|-----------|------------------|-----------|
| 0         | 2                | 0         |
| 1         | 2                | 1         |
| 2         | 2                | 2         |
| 3         | 2                | 3         |
| 4         | 2                | 4         |
| 5         | 2                | 5         |
| 6         | 2                | 6         |



|    |   |    |
|----|---|----|
| 7  | 2 | 7  |
| 8  | 2 | 8  |
| 9  | 2 | 9  |
| 10 | 4 | 9  |
| 11 | 4 | 10 |
| 12 | 4 | 11 |
| 13 | 4 | 12 |
| 14 | 4 | 13 |
| 15 | 4 | 14 |
| 16 | 4 | 15 |
| 17 | 6 | 15 |
| 18 | 6 | 16 |
| 19 | 6 | 17 |
| 20 | 6 | 18 |
| 21 | 6 | 19 |
| 22 | 6 | 20 |
| 23 | 6 | 21 |
| 24 | 6 | 22 |
| 25 | 6 | 23 |
| 26 | 6 | 24 |
| 27 | 6 | 25 |
| 28 | 6 | 26 |

|    |   |          |
|----|---|----------|
| 29 | 2 | Reserved |
| 30 | 4 |          |
| 31 | 6 |          |

TABLE 3

[0096] The different MCS tables may be associated with different downlink channel information (DCI) formats. For example, the legacy MCS table may be associated with DCI format 1A while the new MCS table may be associated with DCI format 2D. In one configuration, the legacy and new MCS tables may have one or more common data entries between them. In one example, the legacy and new MCS tables may have the same number of entries. In another example, the new MCS table may have a larger number of entries than that of the legacy table (e.g., a 6-bit table may be used for the new MCS table and a 5-bit table may be used for the legacy table). An example of a new 5-bit MCS table used for higher order schemes is shown below as Table 4. In this example, four entries (MCS Indexes 28-31) are reserved to possibly indicate four different modulation orders for retransmissions.

| MCS Index | Modulation Order | TBS Index |
|-----------|------------------|-----------|
| 0         | 2                | 0         |
| 1         | 2                | 1         |
| 2         | 2                | 2         |
| 3         | 2                | 3         |
| 4         | 2                | 4         |
| 5         | 2                | 6         |
| 6         | 2                | 7         |
| 7         | 2                | 8         |
| 8         | 2                | 9         |
| 9         | 4                | 10        |

|    |   |          |
|----|---|----------|
| 10 | 4 | 11       |
| 11 | 4 | 12       |
| 12 | 4 | 13       |
| 13 | 4 | 14       |
| 14 | 4 | 15       |
| 15 | 6 | 16       |
| 16 | 6 | 17       |
| 17 | 6 | 18       |
| 18 | 6 | 19       |
| 19 | 6 | 20       |
| 20 | 6 | 21       |
| 21 | 6 | 22       |
| 22 | 6 | 23       |
| 23 | 6 | 24       |
| 24 | 8 | 25       |
| 25 | 8 | 26       |
| 26 | 8 | 27       |
| 27 | 8 | 28       |
| 28 | 2 | Reserved |
| 29 | 4 |          |
| 30 | 6 |          |
| 31 | 8 |          |
|    |   |          |

TABLE 4

[0097] Another example of a new 5-bit MCS table used for higher order schemes is shown below as Table 5. In this example, three entries (MCS Indexes 29-31) are reserved to possibly indicate three different modulation orders for retransmissions in order to make it possible to have 29 explicit entries.

| MCS Index | Modulation Order | TBS Index |
|-----------|------------------|-----------|
| 0         | 2                | 0         |
| 1         | 2                | 1         |
| 2         | 2                | 2         |
| 3         | 2                | 3         |
| 4         | 2                | 4         |
| 5         | 2                | 5         |
| 6         | 2                | 6         |
| 7         | 2                | 7         |
| 8         | 2                | 8         |
| 9         | 2                | 9         |
| 10        | 4                | 10        |
| 11        | 4                | 11        |
| 12        | 4                | 12        |
| 13        | 4                | 13        |
| 14        | 4                | 14        |
| 15        | 4                | 15        |
| 16        | 6                | 16        |
| 17        | 6                | 17        |

|    |   |          |
|----|---|----------|
| 18 | 6 | 18       |
| 19 | 6 | 19       |
| 20 | 6 | 20       |
| 21 | 6 | 21       |
| 22 | 6 | 22       |
| 23 | 6 | 23       |
| 24 | 6 | 24       |
| 25 | 8 | 25       |
| 26 | 8 | 26       |
| 27 | 8 | 27       |
| 28 | 8 | 28       |
| 29 | 4 | Reserved |
| 30 | 6 |          |
| 31 | 8 |          |

TABLE 5

[0098] In one configuration, each MCS identified by the MCS identification module 430 may be based on a single MCS table. For example, the UE 115-c may use a single MCS table in accordance with Radio Resource Control (RRC) protocols. As previously described, the UE 115-c may support more than one MCS table and may determine which table to use based on various factors.

[0099] In one example, the MCS table selection module 310-a may include a transmission type identification module 415, and a control channel identification module 420. In one configuration, the transmission type identification module 415 may identify a type of transmission used to transmit information to the UE 115-c from an eNB 105. Examples of transmission types may include broadcast, random access response, unicast, multi-cast, etc. The module 415 may also identify a type of scheduling used to transmit the received

transmission. For example, the transmission type identification module 415 may identify a semi-persistent scheduling (SPS) of a service and the MCS table selection module 310-a may select a particular MCS table based on this determination. Thus, based on the identified transmission type, the MCS table selection module 310-a may identify an MCS table to use to  
5 identify an MCS for a transmission.

[0100] The control channel identification module 420 may identify a type of control channel used to transmit a received transmission. For example, the module 420 may identify a physical downlink control channel (PDCCH) was used and the MCS table selection module 310-a may identify the legacy MCS table to use to identify the MCS. If the module 420  
10 identifies the control channel as being an enhanced PDCCH (ePDCCH), the MCS table selection module 310-a may select the new MCS table to use. In addition to the type of channel used for the transmission, the MCS table selection module 310-a may also identify the MCS table to use based on an index of a received subframe or a subframe type (*e.g.*, whether it is a multimedia broadcast single-frequency network (MBSFN) subframe or not).

[0101] The MCS identification module 430 may identify the MCS to use for the  
15 transmission based on the selected table. The module 430 may also identify the TBS of the transmission. In one example, a first TBS table may be mapped from a first MCS table. In addition, a second TBS table may be mapped from a second MCS table. In one example, the second TBS table may include at least one TBS entry that is greater than a maximum TBS  
20 entry of the first TBS table. The MCS identification module 430 may use the selected MCS table to look-up the corresponding TBS table and identify the size of the transmission. In one configuration, the MCS identification module 430 may use a first number of bits to represent the MCS identified from a first MCS table. For a different MCS table, the module 430 may use a second number of bits to represent the MCS. The second number of bits may be greater  
25 than the first number of bits. In one example, the number of bits used to represent the MCS may remain the same regardless of the MCS table that is identified.

[0102] FIG. 4B shows two examples of a CQI table in accordance with the present systems and methods. An example of an old, or legacy, CQI table 450 (*e.g.*, a table used for QPSK, 16QAM, and 64QAM schemes) as well as an example of a new CQI table 460 (*e.g.*, a table  
30 used for higher order schemes, such as 256QAM) are shown. In some cases, the old CQI

table 450 and the new CQI table 460 are examples of the old CQI table and the new CQI table of FIG. 4A.

[0103] FIG. 4C shows two examples of an MCS table in accordance with the present systems and methods. An example of an old, or legacy, MCS table 470 (*e.g.*, a table used for QPSK, 16QAM, and 64QAM schemes) as well as an example of a new MCS table 480 (*e.g.*, a table used for higher order schemes, such as 256QAM) are shown. In some cases, the old MCS table 470 and the new MCS table 480 are examples of the old MCS table and the new MCS table of FIG. 4A.

[0104] FIG. 5 is a block diagram 500 illustrating one example of an eNB 105-a, in accordance with the present systems and methods. The eNB 105-a may be an example of the eNB 105 of FIG. 1. The eNB 105-a may include an eNB receiver module 505, a eNB table selection module 510, and a eNB transmitter module 515. Each of these components may be in communication with each other.

[0105] These components of the eNB 105-a may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0106] In one configuration, the receiver module 505 may include a cellular receiver and may receive transmissions from a UE 115. The eNB table selection module 510 may control the selection of a table to use to generate and/or identify certain information. The selection of the table may be based on predefined configuration settings of the eNB 105-a. In one example, the selection module 510 may dynamically select the table to use based one or more factors. In some cases, the generated and/or identified information resulting from the selected table may be transmitted via the eNB transmitter module 515. Details regarding the eNB table selection module 510 will be described below.

[0107] FIG. 6 is a block diagram 600 illustrating one example to of an eNB 105-b in accordance with the present systems and methods. The eNB 105-b may be an example of the eNB 105 illustrated in FIGS. 1 and/or 5. The eNB 105-b may include an eNB receiver module 505, an eNB table selection module 510-a, and an eNB transmitter module 515, as previously described. Each of these components may be in communication with each other.

[0108] These components of the eNB 105-b may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (e.g., Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0109] In one example, the eNB 105-b may support the use of at least two CQI tables that may be either predetermined or configured by RRC protocols. In one configuration, the eNB table selection module 510-a may include a CQI table selection module 605. The CQI table selection module 605 may identify one the CQI tables supported by the eNB 105-b. The identified table may be used to identify a CQI value from received CQI data about a wireless channel. A number of bits may be used to represent the CQI value. In one example, the number of bits used to represent the identified CQI value may remain the same regardless of the table that is identified by the table selection module 605.

[0110] In addition to supporting the use of multiple CQI tables, the eNB 105-b may also support the use of at least two MCS tables. The eNB table selection module 510-a may include an MCS table selection module 610. Transmissions to be transmitted by the eNB transmitter module 515 may be modulated and encoded using a particular MCS. The MCS table selection module 610 may select one of the at least two MCS tables to use for the transmission. In one example, the identified MCS table may be used to determine the MCS to apply to the transmission based on the identified CQI value of the wireless channel that will carry the transmission. In addition, when a UE 115 has information to be transmitted via



an uplink to the eNB 105-b, the eNB 105-b may assign a particular MCS for the UE 115 to use for the uplink transmission.

[0111] In one example, the eNB table selection module may also include a TBS table mapping module 615. In one configuration, an MCS table may be mapped to a TBS table to allow the UE 115 to determine a size of a transmission. In one example, the TBS table mapping module 615 may map a TBS table that indicates the size of a transport block to be transmitted from a particular MCS table supported by the eNB 105-b. When the UE 115 identifies the MCS table that was used to generate the MCS for the transmission, the UE 115 may also use the selected MCS table to look-up the TBS table that has been mapped from the selected MCS table. As a result, the UE 115 may determine the size of a received transport block.

[0112] In one configuration, one or more TBS tables may be mapped from a new MCS table being used to identify MCSs for transmissions via a physical downlink shared channel (PDSCH). New TBS index values in the one or more TBS tables that are mapped from MCS index values in the new MCS table may be defined. In one example, the new TBS index values may be, for example, greater than 26, which is a current maximum number of TBS index values mapped from legacy MCS tables. The number of TBS index values may increase to facilitate an increase of downlink and/or uplink transmission rates and/or peak rates. In another example, a first transport block size resulting from a TBS index value mapped from the new MCS table may be different from a second TBS resulting from a same TBS index value mapped from the legacy MCS table. As an example, the first TBS may be larger than the second TBS. This may facilitate an increase of downlink and/or uplink transmission rates and/or peak rates.

[0113] FIG. 7 is a block diagram 700 illustrating one example of an eNB 105-c in accordance with the present systems and methods. The eNB 105-c may be an example of the eNB 105 illustrated in FIGS. 1, 5, and/or 6. In one configuration, the eNB 105-c may include an eNB receiver module 505, an eNB table selection module 510-b, a CQI value identification module 720, an MCS generation module 725, and an eNB transmitter module 515. Each of these components may be in communication with each other.

[0114] These components of the UE 115-c may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to

perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-  
5 Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0115] In one configuration, the eNB table selection module 510-b may include a CQI table selection module 605. The eNB 105-c may support the use of a legacy CQI table and new  
10 CQI table. The module 605 may select one CQI table, from a plurality of CQI tables used by a UE to generate a CQI value. The selected table may then be used by the CQI value identification module 720 to identify a CQI value from CQI data received for a wireless channel, such as a downlink channel.

[0116] In one example, the eNB 105-c may support the use of a legacy MCS table (*e.g.*, a  
15 table used for QPSK, 16QAM, and 64 QAM schemes) and a new MCS table used for higher order schemes, such as 256QAM. In one example, the different MCS tables may be associated with different DCI formats. For example, the legacy MCS table may be associated with DCI format 1A while the new MCS table may be associated with DCI format 2D. In one configuration, the legacy and new MCS tables may have one or more common data  
20 entries between them. In one example, the legacy and new MCS tables may have the same number of entries. In another example, the new MCS table may have a larger number of entries than that of the legacy table (*e.g.*, a 6-bit table may be used for the new MCS table and a 5-bit table may be used for the legacy table).

[0117] In one configuration, each MCS identified by the MCS generation module 725 may  
25 be based on a single MCS table. In one configuration, each assignment of a PDSCH by the eNB 105-c may be associated with a single MCS table. As previously described, the eNB 105-c may support the use of more than one MCS table and may determine which table to use based on various factors.

[0118] In one example, the MCS table selection module 610-a may include at least one of a  
30 DCI format identification module 705, a candidate identification module 710, and a transmission type identification module 715. The DCI format identification module 705 may

identify the DCI format of the transmission. The MCS table selection module 610-a may select the MCS table to use for the transmission based on the identified DCI format.

[0119] The candidate identification module 710 may identify a set of control channel decoding candidates that are to receive a control channel transmission. One of the MCS tables may be identified by the MCS table selection module 610-a based at least in part on the identified set of candidates. As an example, a decoding candidate in a common search space is associated with a legacy MCS table, and a decoding candidate in a UE-specific search space is associated with a new MCS table. If there is an overlap between the common search space and the UE-specific search space, a predefined rule can be used. As an example, if a decoding candidate belongs to both the common search space and the UE-specific search space, a legacy MCS table is determined. Alternatively, a new MCS table is determined. The candidate identification module 710 may also identify a type of control channel to be used for the transmission. The MCS table to use may be identified based on the type of control channel. For example, the module 710 may identify a PDCCH to be used for the transmission and the MCS table selection module 610-a may identify the legacy MCS table to use to generate the MCS for the transmission. If the module 710 identifies the control channel as being an ePDCCH, the MCS table selection module 610-a may select the new MCS table to use. In addition to the type of channel used for the transmission, the MCS table selection module 610-a may also identify the MCS table to use based on an index of a received subframe.

[0120] In one configuration, the transmission type identification module 715 may identify a type of transmission to be used for the transmission. Examples of transmission types may include broadcast, random access response, unicast, multi-cast, etc. The module 715 may also identify a type of scheduling used for the transmission. For example, the transmission type identification module 715 may identify a semi-persistent scheduling (SPS) of a service and the MCS table selection module 610-a may select a particular MCS table based on this determination. Thus, based on the identified transmission type, the MCS table selection module 610-a may identify an MCS table to use to identify an MCS for a transmission.

[0121] The MCS generation module 725 may determine the MCS to use for the transmission based on the selected table as well as the identified CQI value for the wireless channel. In one configuration, the MCS generation module 725 may use a first number of

bits to represent the MCS based on a first MCS table. For a different MCS table, the module 725 may use a second number of bits to represent the MCS. The second number of bits may be greater than the first number of bits. In one example, the number of bits used to represent the MCS may remain the same regardless of the MCS table that is used. The MCS may be selected for downlink or uplink transmissions. In one example, multiple transport blocks (e.g., codewords) may be transmitted via a single PDSCH. In one example, the same MCS table may be used by the MCS generation module 725 to identify the MCSs for downlink transmissions of each separate transport block. In another example, different MCS tables may be used by the MCS generation module 725 to identify the MCSs to use for downlink transmissions of each separate transport block. As an example, a PDSCH transmission of a first set of ranks (e.g., rank 1) may be associated with a first MCS table, and a PDSCH transmission of a second set of ranks (e.g., rank 2 and higher) may be associated with a second MCS table.

[0122] A UE may be under a coordinated multi-point (CoMP) operation. In this case, a PDSCH rate-matching and Quasi-co-location Indication (PQI) information field may be included in downlink control information (DCI) to dynamically indicate to the UE which one out of up to four PQI parameters configured by higher layers is to be used for a particular PDSCH transmission. An MCS table indication can be included in some or all sets of PQI parameters configured by higher layers. The indication can be one or more bits. As an example, a one-bit indication can indicate whether a legacy or a new MCS table is to be used for the corresponding PDSCH transmission. The inclusion of the MCS table indication in PQI makes it possible to support CoMP for a UE with cells of different releases. That is, some cells may support 256QAM, while other cells may not. In addition, the UE may experience different channel conditions from each of the cells in CoMP and consequently, some of the cells may be suitable for enabling 256QAM while other cells may be not. A given cell may be more suitable for enabling 256QAM at one time, but becomes more suitable for disabling 256QAM later. The inclusion of the MCS table indication in PQI is thus beneficial in enabling/disabling 256QAM for different cells and/or different subframes in a dynamic manner.

[0123] FIG. 8 is a block diagram of a MIMO communication system 800 including an eNB 105-d and a UE 115-d. This system 800 may illustrate aspects of the system 100 of FIG. 1.

The eNB 105-d may be an example of the eNB 105 of FIGS. 1, 5, 6, and/or 7. The UE 115-d may be an example of the UE 115 of FIGS. 1, 2, 3, and/or 4A. The eNB 105-d may be equipped with antennas 834-a through 834-x, and the UE 115-d may be equipped with antennas 852-a through 852-n. In the system 800, the eNB 105-d may be able to send data over multiple communication links at the same time. Each communication link may be called a “layer” and the “rank” of the communication link may indicate the number of layers used for communication. For example, in a 2x2 MIMO system where eNB 105-d transmits two “layers,” the rank of the communication link between the eNB 105-d and the UE 115-d is two.

10 [0124] At the eNB 105-d, a transmit processor 820 may receive data from a data source. The transmit processor 820 may process the data. The transmit processor 820 may also generate reference symbols, and a cell-specific reference signal. A transmit (TX) MIMO processor 830 may perform spatial processing (*e.g.*, precoding) on data symbols, control symbols, and/or reference symbols, if applicable, and may provide output symbol streams to the transmit modulators 832-a through 832-x. Each modulator 832 may process a respective output symbol stream (*e.g.*, for OFDM, etc.) to obtain an output sample stream. Each modulator 832 may further process (*e.g.*, convert to analog, amplify, filter, and upconvert) the output sample stream to obtain a downlink signal. In one example, downlink signals from modulators 832-a through 832-x may be transmitted via the antennas 834-a through 834-x, respectively.

[0125] At the UE 115-d, the UE antennas 852-a through 852-n may receive the downlink signals from the eNB 105-d and may provide the received signals to the demodulators 854-a through 854-n, respectively. Each demodulator 854 may condition (*e.g.*, filter, amplify, downconvert, and digitize) a respective received signal to obtain input samples. Each demodulator 854 may further process the input samples (*e.g.*, for OFDM, etc.) to obtain received symbols. A MIMO detector 856 may obtain received symbols from all the demodulators 854-a through 854-n, perform MIMO detection on the received symbols if applicable, and provide detected symbols. A receive processor 858 may process (*e.g.*, demodulate, deinterleave, and decode) the detected symbols, providing decoded data for the UE 115-d to a data output, and provide decoded control information to a processor 880, or memory 882. In one example, the processor 880 may include a UE table selection module

210-c to implement the systems and methods described herein. The UE table selection module 210-c may be examples of the module 210 of FIGS. 2, 3, and/or 4A.

[0126] On the uplink, at the UE 115-d, a transmit processor 864 may receive and process data from a data source. The transmit processor 864 may also generate reference symbols for a reference signal. The symbols from the transmit processor 864 may be precoded by a transmit MIMO processor 866 if applicable, further processed by the demodulators 854-a through 854-n (*e.g.*, for SC-FDMA, etc.), and be transmitted to the eNB 105-d in accordance with the transmission parameters received from the eNB 105-d. At the eNB 105-d, the uplink signals from the UE 115-d may be received by the antennas 834, processed by the demodulators 832, detected by a MIMO detector 836 if applicable, and further processed by a receive processor. The receive processor 838 may provide decoded data to a data output and to the processor 840. The processor 840 may include an eNB table selection module 510-c to implement the systems and methods described herein. The module 510-c may be an example of the eNB table selection module 510 of FIGS. 5, 6, and/or 7. The components of the UE 115-d may, individually or collectively, be implemented with one or more Application Specific Integrated Circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Each of the noted modules may be a means for performing one or more functions related to operation of the system 800.

[0127] Similarly, the components of the eNB 105-d may, individually or collectively, be implemented with one or more Application Specific Integrated Circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Each of the noted components may be a means for performing one or more functions related to operation of the system 800.

[0128] The communication networks that may accommodate some of the various disclosed examples may be packet-based networks that operate according to a layered protocol stack. For example, communications at the bearer or Packet Data Convergence Protocol (PDCP) layer may be IP-based. A Radio Link Control (RLC) layer may perform packet segmentation and reassembly to communicate over logical channels. A Medium Access Control (MAC) layer may perform priority handling and multiplexing of logical channels into transport channels. The MAC layer may also use Hybrid ARQ (HARQ) to provide retransmission at the MAC layer to improve link efficiency. At the Physical layer, the transport channels may be mapped to Physical channels.

[0129] FIG. 9 is a flow chart illustrating one example of a method 900 for wireless communications. For clarity, the method 900 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 900 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0130] At block 905, the use of at least two CQI tables may be supported. At block 910, one of the at least two CQI tables may be identified. At block 915, the identified CQI table may be used to generate a CQI value for a wireless channel. For example, the CQI value may be generated for a downlink channel. In one example, a same number of bits may be used to represent the CQI value independent of the selected CQI table to use. At least one of the CQI tables may support 256QAM.

[0131] Therefore, the method 900 may provide for managing wireless communications for higher order MCS by supporting additional CQI tables. It should be noted that the method 900 is just one implementation and that the operations of the method 900 may be rearranged or otherwise modified such that other implementations are possible.

[0132] FIG. 10 is a flow chart illustrating one example of a method 1000 for wireless communications. For clarity, the method 1000 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1000 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0133] At block 1005, the use of at least two CQI tables may be supported. At block 1010, a determination may be made as to whether a CQI value is to be transmitted via a control channel or a data channel. If it is determined that the CQI value is to be transmitted via a control channel, at block 1015, a first CQI table may be identified. At block 1020, the first CQI table may be used to generate a CQI value represented by a first number of bits.

[0134] If, however, it is determined that the CQI value is to be transmitted via a data channel, at block 1025, a second CQI table may be identified that is different from the first CQI table. At block 1030, the second CQI table may be used to generate a CQI value

represented by a second number of bits. In one example, the second number of bits is different than the first number of bits. In one configuration, the second number of bits is greater than the first number of bits. In another example, the number of bits used to represent the CQI value remains the same regardless of the CQI table that is identified. At block 1035,  
5 the CQI value may be transmitted via a wireless channel.

[0135] Thus, the method 1000 may provide for selection of different CQI tables based on whether a CQI value is transmitted via a data channel or control channel. It should be noted that the method 1000 is just one implementation and that the operations of the method 1000 may be rearranged or otherwise modified such that other implementations are possible.

10 [0136] FIG. 11 is a flow chart illustrating one example of a method 1100 for wireless communications. For clarity, the method 1100 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1100 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to  
15 control the functional elements of the UE 115 to perform the functions described below.

[0137] At block 1105, the use of at least two CQI tables may be supported. At block 1110, a determination may be made as to whether a CQI value is to be part of P-CSI reporting or A-CSI reporting. If it is determined that the CQI value is to be part of P-CSI reporting, at block 1115, a first CQI table may be identified. At block 1120, the first CQI table may be used to  
20 generate a CQI value.

[0138] If, however, it is determined that the CQI value is to be part of A-CSI reporting, at block 1125, a second CQI table may be identified that is different from the first CQI table. At block 1130, the second CQI table may be used to generate a CQI value. In one example, the number of bits used to represent the generated CQI value is the same and is independent  
25 of the selected CQI table. At block 1135, the CQI value may be transmitted via a wireless channel.

[0139] Thus, the method 1100 may provide for a selection of different CQI tables based on a reporting schedule of the CQI value. It should be noted that the method 1100 is just one implementation and that the operations of the method 1100 may be rearranged or otherwise  
30 modified such that other implementations are possible.



[0140] FIG. 12 is a flow chart illustrating one example of a method 1200 for wireless communications. For clarity, the method 1200 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1200 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0141] At block 1205, the use of at least two MCS tables may be supported. At block 1210, a transmission may be received via a wireless channel. For example, the transmission may be received from an eNB 105 via a downlink channel. At block 1215, one of the MCS tables may be identified for the received transmission. The identified MCS table may be used to identify an MCS to apply to the received transmission. The identified MCS may indicate a demodulation and decoding scheme to apply to the received transmission. At least one of the MCS tables may support a higher order modulation scheme, such as, but not limited to 256QAM.

[0142] Thus, the method 1200 may provide for a selection of different MCS tables to identify an MCS for the received transmission. It should be noted that the method 1200 is just one implementation and that the operations of the method 1200 may be rearranged or otherwise modified such that other implementations are possible.

[0143] FIG. 13 is a flow chart illustrating one example of a method 1300 for wireless communications. For clarity, the method 1300 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1300 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0144] At block 1305, the use of at least two MCS tables may be supported. At block 1310, a transmission may be received via a wireless channel. At block 1315, one of the MCS tables may be identified to use for the received transmission. At block 1320, the identified MCS table may be used to determine an MCS to use on the received transmission. At block 1325, the identified MCS table may also be used to identify a size of the received transmission. As previously explained, each MCS index value included in an MCS table may be mapped to a TBS table that indicates the size of a transport block being transmitted.

[0145] Therefore, the method 1300 may provide for a selection of different MCS tables to identify an MCS for the received transmission as well as a size of the transmission. It should be noted that the method 1300 is just one implementation and that the operations of the method 1300 may be rearranged or otherwise modified such that other implementations are possible.

[0146] With regards to the UE 115, the present systems and methods may be implemented as a new capability on the UE 115. In another example, the present systems and methods may be implemented into current UE categories and/or by adding new UE categories. The present systems and methods may increase a maximum size that the UE is able to process in a subframe and/or a transport block currently defined in each UE category may be increased.

[0147] In one example, the present systems and methods may be applied to uplink transmissions if higher order MCS (*e.g.*, 256QAM) are supported for the uplink. In one configuration, new MCS tables used to identify MCSs for uplink transmissions may be different from the new MCS tables used to determine MCSs for downlink transmissions.

[0148] FIG. 14 is a flow chart illustrating one example of a method 1400 for wireless communications. For clarity, the method 1400 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1400 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the eNB table selection module 510 of FIGS. 5, 6, 7, and/or 8 may execute one or more sets of codes to control the functional elements of the eNB 105 to perform the functions described below.

[0149] At block 1405, the use of at least two MCS tables may be supported. At block 1410, one of the MCS tables may be identified. The identified MCS table may be used, at block 1415, to identify an MCS for a transmission. The identified MCS may be for a downlink transmission. In one configuration, the identified MCS may be for an uplink transmission. At least one of the MCS tables may support a higher order modulation scheme, such as, but not limited to 256QAM.

[0150] Thus, the method 1400 may provide for a selection of different MCS tables to identify an MCS for a transmission. It should be noted that the method 1400 is just one implementation and that the operations of the method 1400 may be rearranged or otherwise modified such that other implementations are possible.

[0151] FIG. 15 is a flow chart illustrating one example of a method 1500 for wireless communications. For clarity, the method 1500 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1500 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the eNB table selection module 510 of FIGS. 5, 6, 7, and/or 8 may execute one or more sets of codes to control the functional elements of the eNB 105 to perform the functions described below.

[0152] At block 1505, the use of at least two MCS tables may be supported. At block 1510, each of the MCS tables may be mapped to a TBS table. At block 1520, a DCI format may be identified. At block 1525, one of the MCS tables may be identified based at least in part on the identified DCI format. At block 1530, the identified MCS table may be used to identify an MCS for a transmission.

[0153] Therefore, the method 1500 may provide for mapping different MCS tables to TBS tables and selecting an MCS table based on a DCI format. It should be noted that the method 1500 is just one implementation and that the operations of the method 1500 may be rearranged or otherwise modified such that other implementations are possible.

[0154] FIG. 16 is a flow chart illustrating one example of a method 1600 for wireless communications. For clarity, the method 1600 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1600 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the eNB table selection module 510 of FIGS. 5, 6, 7, and/or 8 may execute one or more sets of codes to control the functional elements of the eNB 105 to perform the functions described below.

[0155] At block 1605, the use of at least two CQI tables may be supported. At block 1610, CQI data for a wireless channel may be received. At block 1615, one of the CQI tables may be identified. The identified table may be used to identify a CQI value based on the received CQI data.

[0156] Thus, the method 1600 may provide for a selection of a CQI table to identify a CQI value that is based on received CQI data. It should be noted that the method 1600 is just one implementation and that the operations of the method 1600 may be rearranged or otherwise modified such that other implementations are possible.

[0157] The detailed description set forth above in connection with the appended drawings describes exemplary examples and does not represent the only examples that may be implemented or that are within the scope of the claims. The term "exemplary" used throughout this description means "serving as an example, instance, or illustration," and not "preferred" or "advantageous over other examples." The detailed description includes  
5 specific details for the purpose of providing an understanding of the described techniques. These techniques, however, may be practiced without these specific details. In some instances, well-known structures and devices are shown in block diagram form in order to avoid obscuring the concepts of the described examples.

10 [0158] Techniques described above may be used for various wireless communications systems such as CDMA, TDMA, FDMA, OFDMA, SC-FDMA, and other systems. The terms "system" and "network" are often used interchangeably. A CDMA system may implement a radio technology such as CDMA2000, Universal Terrestrial Radio Access  
15 (UTRA), etc. CDMA2000 covers IS-2000, IS-95, and IS-856 standards. IS-2000 Releases 0 and A are commonly referred to as CDMA2000 1X, 1X, etc. IS-856 (TIA-856) is commonly referred to as CDMA2000 1xEV-DO, High Rate Packet Data (HRPD), etc. UTRA includes Wideband CDMA (WCDMA) and other variants of CDMA. A TDMA system may implement a radio technology such as Global System for Mobile Communications (GSM).  
20 An OFDMA system may implement a radio technology such as Ultra Mobile Broadband (UMB), Evolved UTRA (E-UTRA), IEEE 802.11 (Wi-Fi), IEEE 802.16 (WiMAX), IEEE 802.20, Flash-OFDM, etc. UTRA and E-UTRA are part of Universal Mobile Telecommunication System (UMTS). 3GPP Long Term Evolution (LTE) and LTE-Advanced (LTE-A) are new releases of UMTS that use E-UTRA. UTRA, E-UTRA, UMTS, LTE, LTE-A, and GSM are described in documents from an organization named "3rd  
25 Generation Partnership Project" (3GPP). CDMA2000 and UMB are described in documents from an organization named "3rd Generation Partnership Project 2" (3GPP2). The techniques described herein may be used for the systems and radio technologies mentioned above as well as other systems and radio technologies. The description below, however, describes an LTE system for purposes of example, and LTE terminology is used in much of  
30 the description below, although the techniques are applicable beyond LTE applications.

[0159] Information and signals may be represented using any of a variety of different technologies and techniques. For example, data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description may be represented by voltages, currents, electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof.

[0160] The various illustrative blocks and modules described in connection with the disclosure herein may be implemented or performed with a general-purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general-purpose processor may be a microprocessor, but in the alternative, the processor may be any conventional processor, controller, microcontroller, or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, multiple microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

[0161] The functions described herein may be implemented in hardware, software executed by a processor, firmware, or any combination thereof. If implemented in software executed by a processor, the functions may be stored on or transmitted over as one or more instructions or code on a computer-readable medium. Other examples and implementations are within the scope and spirit of the disclosure and appended claims. For example, due to the nature of software, functions described above can be implemented using software executed by a processor, hardware, firmware, hardwiring, or combinations of any of these. Features implementing functions may also be physically located at various positions, including being distributed such that portions of functions are implemented at different physical locations. Also, as used herein, including in the claims, “or” as used in a list of items prefaced by “at least one of” indicates a disjunctive list such that, for example, a list of “at least one of A, B, or C” means A or B or C or AB or AC or BC or ABC (i.e., A and B and C).

[0162] Computer-readable media includes both computer storage media and communication media including any medium that facilitates transfer of a computer program from one place to another. A storage medium may be any available medium that can be

accessed by a general purpose or special purpose computer. By way of example, and not limitation, computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM or other optical disk storage, magnetic disk storage or other magnetic storage devices, or any other medium that can be used to carry or store desired program code means in the form of instructions or data structures and that can be accessed by a general-purpose or special-  
5 purpose computer, or a general-purpose or special-purpose processor. Also, any connection is properly termed a computer-readable medium. For example, if the software is transmitted from a website, server, or other remote source using a coaxial cable, fiber optic cable, twisted pair, digital subscriber line (DSL), or wireless technologies such as infrared, radio, and  
10 microwave, then the coaxial cable, fiber optic cable, twisted pair, DSL, or wireless technologies such as infrared, radio, and microwave are included in the definition of medium. Disk and disc, as used herein, include compact disc (CD), laser disc, optical disc, digital versatile disc (DVD), floppy disk and blu-ray disc where disks usually reproduce data magnetically, while discs reproduce data optically with lasers. Combinations of the above  
15 are also included within the scope of computer-readable media.

[0163] The previous description of the disclosure is provided to enable a person skilled in the art to make or use the disclosure. Various modifications to the disclosure will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other variations without departing from the spirit or scope of the disclosure. Throughout  
20 this disclosure the term "example" or "exemplary" indicates an example or instance and does not imply or require any preference for the noted example. Thus, the disclosure is not to be limited to the examples and designs described herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

[0164] **What is claimed is:**

25

CLAIMS

- 1           1.     A method for wireless communications, comprising:  
2                 supporting the use of at least two channel quality indicator (CQI) tables;  
3                 identifying one of the at least two CQI tables; and  
4                 using the identified CQI table to generate a CQI value for a wireless channel.
- 1           2.     The method of claim 1, wherein a same number of bits is used to  
2     represent the CQI value regardless of which CQI table is identified.
- 1           3.     The method of claim 1, wherein the identification of the CQI table is  
2     based at least in part on an identification of channel state information (CSI), from a plurality  
3     of CSI identifications, where the CSI is at least one of a periodic CSI or an aperiodic CSI.
- 1           4.     The method of claim 3, wherein each of the plurality of CSI  
2     identifications is associated with a set of subframes, where the set of subframes are  
3     determined based at least in part on a semi-static configuration or a semi-static indication.
- 1           5.     The method of claim 1, further comprising:  
2                 identifying a first CQI table to use for a first channel state information (CSI)  
3     process; and  
4                 identifying a second CQI table to use for a second CSI process, the second  
5     CSI process being different than the first CSI process.
- 1           6.     The method of claim 1, wherein the identifying one of the at least two  
2     CQI tables comprises:  
3                 selecting the CQI table to use to generate the CQI value according to a  
4     predefined configuration setting.
- 1           7.     The method of claim 1, wherein the identifying one of the at least two  
2     CQI tables comprises:  
3                 dynamically selecting the CQI table to use to generate the CQI value.
- 1           8.     The method of claim 1, further comprising:  
2                 determining whether the CQI value is to be transmitted via a control channel  
3     or a data channel; and

4                   upon determining that the CQI value is to be transmitted via the control  
5 channel, identifying a first CQI table to use; and  
6                   upon determining that the CQI value is to be transmitted via the data channel,  
7 identifying a second CQI table to use, the second CQI table being different from the first CQI  
8 table.

1                   9.     The method of claim 8, further comprising:  
2                   upon identifying the first CQI table, using a first number of bits to represent  
3 the CQI value based on the first CQI table; and  
4                   upon identifying the second CQI table, using a second number of bits to  
5 represent the CQI value based on the second CQI table, the second number of bits being  
6 greater than the first number of bits.

1                   10.    The method of claim 1, further comprising:  
2                   determining whether the CQI value is part of a periodic channel state  
3 information (P-CSI) report or an aperiodic channel state information (A-CSI) report;  
4                   upon determining that the CQI value is part of the P-CSI report, identifying a  
5 first CQI table to use; and  
6                   upon determining that the CQI value is part of the A-CSI report, identifying a  
7 second CQI table to use, the second CQI table being different from the first CQI table.

1                   11.    The method of claim 1, further comprising:  
2                   generating a first CQI value using a first CQI table;  
3                   generating a second CQI value using a second CQI table; and  
4                   transmitting the first CQI value and the second CQI value via a wireless  
5 channel in a single subframe.

1                   12.    The method of claim 1, wherein the at least two CQI tables comprise at  
2 least one common data entry.

1                   13.    The method of claim 1, wherein a CQI table comprises a listing of CQI  
2 values, each CQI value being mapped to a spectral efficiency value.

1                   14.    The method of claim 1, wherein at least one of the identified CQI  
2 reporting tables supports 256 Quadrature Amplitude Modulation (QAM).



- 1           15. An apparatus for wireless communications, comprising:  
2           a processor;  
3           a memory in electronic communication with the processor; and  
4           instructions stored in the memory, the instructions being executable by the  
5 processor to:  
6           support the use of at least two channel quality indicator (CQI)  
7 reporting tables;  
8           identify one of the at least two CQI tables; and  
9           use the identified CQI table to generate a CQI value for a wireless  
10 channel.
- 1           16. The apparatus of claim 15, wherein a same number of bits is used to  
2 represent the CQI value regardless of which CQI table is identified.
- 1           17. The apparatus of claim 15, wherein the identification of the CQI table  
2 is based at least in part on an identification of channel state information (CSI), from a  
3 plurality of CSI identifications, where the CSI is at least one of a periodic CSI or an aperiodic  
4 CSI.
- 1           18. The apparatus of claim 17, wherein each of the plurality of CSI  
2 identifications is associated with a set of subframes, where the set of subframes are  
3 determined based at least in part on a semi-static configuration or a semi-static indication.
- 1           19. The apparatus of claim 15, wherein the instructions are executable by  
2 the processor to:  
3           identify a first CQI table to use for a first channel state information (CSI)  
4 process; and  
5           identify a second CQI table to use for a second CSI process, the second CSI  
6 process being different than the first CSI process.
- 1           20. The apparatus of claim 15, wherein the instructions to identify one of  
2 the at least two CQI tables are executable by the processor to:  
3           select the CQI table to use to generate the CQI value according to a predefined  
4 configuration setting.

1                   21.    The apparatus of claim 15, wherein the instructions to identify one of  
2 the at least two CQI tables are executable by the processor to:

3                   dynamically select the CQI table to use to generate the CQI value.

1                   22.    The apparatus of claim 15, wherein the instructions are executable by  
2 the processor to:

3                   determine whether the CQI value is to be transmitted via a control channel or a  
4 data channel; and

5                   upon determining that the CQI value is to be transmitted via the control  
6 channel, identify a first CQI table to use; and

7                   upon determining that the CQI value is to be transmitted via the data channel,  
8 identify a second CQI table to use, the second CQI table being different from the first CQI  
9 table.

1                   23.    The apparatus of claim 15, wherein the instructions are executable by  
2 the processor to:

3                   upon identifying the first CQI table, use a first number of bits to represent the  
4 CQI value based on the first CQI table; and

5                   upon identifying the second CQI table, use a second number of bits to  
6 represent the CQI value based on the second CQI table, the second number of bits being  
7 greater than the first number of bits.

1                   24.    The apparatus of claim 15, wherein the instructions are executable by  
2 the processor to:

3                   determine whether the CQI value is part of a periodic channel state  
4 information (P-CSI) report or an aperiodic channel state information (A-CSI) report;

5                   upon determining that the CQI value is part of the P-CSI report, identify a first  
6 CQI table to use; and

7                   upon determining that the CQI value is part of the A-CSI report, identify a  
8 second CQI table to use, the second CQI table being different from the first CQI table.

1                   25.    The apparatus of claim 15, wherein the instructions are executable by  
2 the processor to:

3 generate a first CQI value using a first CQI table;  
4 generate a second CQI value using a second CQI table; and  
5 transmit the first CQI value and the second CQI value via a wireless channel  
6 in a single subframe.

1 26. The apparatus of claim 15, wherein the at least two CQI tables  
2 comprise at least one common data entry.

1 27. The apparatus of claim 15, wherein a CQI table comprises a listing of  
2 CQI values, each CQI value being mapped to a spectral efficiency value.

1 28. The apparatus of claim 15, wherein at least one of the identified CQI  
2 reporting tables supports 256 Quadrature Amplitude Modulation (QAM).

1 29. An apparatus for wireless communications, comprising:  
2 means for supporting the use of at least two channel quality indicator (CQI)  
3 reporting tables;  
4 means for identifying one of the at least two CQI tables; and  
5 means for using the identified CQI table to generate a CQI value for a wireless  
6 channel.

1 30. The apparatus of claim 29, wherein a same number of bits is used to  
2 represent the CQI value regardless of which CQI table is identified.

1 31. The apparatus of claim 29, wherein the identification of the CQI table  
2 is based at least in part on an identification of channel state information (CSI), from a  
3 plurality of CSI identifications, where the CSI is at least one of a periodic CSI or an aperiodic  
4 CSI.

1 32. The apparatus of claim 31, wherein each of the plurality of CSI  
2 identifications is associated with a set of subframes, where the set of subframes are  
3 determined based at least in part on a semi-static configuration or a semi-static indication.

1 33. The apparatus of claim 29, further comprising:  
2 means for determining whether the CQI value is to be transmitted via a control  
3 channel or a data channel; and

4                   upon determining that the CQI value is to be transmitted via the control  
5 channel, means for identifying a first CQI table to use; and  
6                   upon determining that the CQI value is to be transmitted via the data channel,  
7 means for identifying a second CQI table to use, the second CQI table being different from  
8 the first CQI table.

1                   34.     The apparatus of claim 33, further comprising:  
2                   upon identifying the first CQI table, means for using a first number of bits to  
3 represent the CQI value based on the first CQI table; and  
4                   upon identifying the second CQI table, means for using a second number of  
5 bits to represent the CQI value based on the second CQI table, the second number of bits  
6 being greater than the first number of bits.

1                   35.     A computer program product for managing wireless communications,  
2 the computer program product comprising a non-transitory computer-readable medium  
3 storing instructions executable by a processor to:  
4                   support the use of at least two channel quality indicator (CQI) reporting tables;  
5                   identify one of the at least two CQI tables; and  
6                   use the identified CQI table to generate a CQI value for a wireless channel.

1                   36.     A method for wireless communications, comprising:  
2 supporting the use of at least two modulation and coding scheme (MCS)  
3 tables;  
4                   receiving a transmission via a wireless channel; and  
5                   identifying one of the at least two MCS tables to use for the received  
6 transmission.

1                   37.     The method of claim 36, further comprising:  
2 using the identified MCS table to determine an MCS to use for the received  
3 transmission.

1                   38.     The method of claim 36, wherein a first transport block size (TBS)  
2 table is mapped from a first MCS table and a second TBS table is mapped from a second

3 MCS table, the second TBS table comprising at least one TBS that is greater than a maximum  
4 TBS in the first TBS table.

1 39. The method of claim 38, further comprising:  
2 identifying a TBS table mapped from the identified MCS table;  
3 using the identified TBS table to determine a size of the received transmission.

1 40. The method of claim 36, wherein the identifying one of the at least two  
2 MCS tables comprises:  
3 determining a type of transmission used to transmit the received transmission  
4 via the wireless channel; and  
5 identifying one of the at least two MCS tables to use for the received  
6 transmission based at least in part on the type of transmission.

1 41. The method of claim 36, wherein the identifying one of the at least two  
2 MCS tables comprises:  
3 identifying a type of control channel used to transmit the received  
4 transmission; and  
5 identifying one of the at least two MCS tables to use for the received  
6 transmission based at least in part on the identified type of control channel.

1 42. The method of claim 36, further comprising:  
2 using the identified MCS table to determine an MCS to use for an uplink  
3 transmission.

1 43. The method of claim 36, wherein a first number of bits is used to  
2 represent a first MCS based on a first MCS table, and a second number of bits is used to  
3 represent a second MCS based on a second MCS table.

1 44. The method of claim 43, wherein the first number of bits is the same as  
2 the second number of bits.

1 45. The method of claim 36, wherein the identifying one of the at least two  
2 MCS tables comprises:  
3 dynamically selecting the MCS table to use for the received transmission.

1           46.    The method of claim 36, wherein identifying one of the at least two  
2 MCS tables comprises:  
3           selecting the MCS table to use for the received transmission according to a  
4 predefined configuration setting.

1           47.    An apparatus for wireless communications, comprising:  
2 a processor;  
3 a memory in electronic communication with the processor; and  
4 instructions stored in the memory, the instructions being executable by the  
5 processor to:  
6           support the use of at least two modulation and coding scheme (MCS)  
7 tables;  
8           receive a transmission via a wireless channel; and  
9           identify one of the at least two MCS tables to use for the received  
10 transmission.

1           48.    The apparatus of claim 47, wherein the instructions are executable by  
2 the processor to:  
3           use the identified MCS table to determine an MCS to use for the received  
4 transmission.

1           49.    The apparatus of claim 47, wherein a first transport block size (TBS)  
2 table is mapped from a first MCS table and a second TBS table is mapped from a second  
3 MCS table, the second TBS table comprising at least one TBS that is greater than a maximum  
4 TBS in the first TBS table.

1           50.    The apparatus of claim 49, wherein the instructions are executable by  
2 the processor to:  
3           identify a TBS table mapped from the identified MCS table;  
4           use the identified TBS table to determine a size of the received transmission.

1           51.    The apparatus of claim 47, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:

3                   determine a type of transmission used to transmit the received transmission via  
4 the wireless channel; and  
5                   identify one of the at least two MCS tables to use for the received transmission  
6 based at least in part on the type of transmission.

1                   52. The apparatus of claim 47, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:  
3                   identify a type of control channel used to transmit the received transmission;  
4 and  
5                   identify one of the at least two MCS tables to use for the received transmission  
6 based at least in part on the identified type of control channel.

1                   53. The apparatus of claim 47, wherein the instructions are executable by  
2 the processor to:  
3                   use the identified MCS table to determine an MCS to use for an uplink  
4 transmission.

1                   54. The apparatus of claim 47, wherein a first number of bits is used to  
2 represent a first MCS based on a first MCS table, and a second number of bits is used to  
3 represent a second MCS based on a second MCS table.

1                   55. The apparatus of claim 54, wherein the first number of bits is the same  
2 as the second number of bits.

1                   56. The apparatus of claim 47, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:  
3                   dynamically select the MCS table to use for the received transmission.

1                   57. The apparatus of claim 47, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:  
3                   select the MCS table to use for the received transmission according to a  
4 predefined configuration setting.

1                   58. An apparatus for wireless communications, comprising:

2 means for supporting the use of at least two modulation and coding scheme  
3 (MCS) tables;  
4 means for receiving a transmission via a wireless channel; and  
5 means for identifying one of the at least two MCS tables to use for the  
6 received transmission.

1 59. The apparatus of claim 58, further comprising:  
2 means for using the identified MCS table to determine an MCS to use for the  
3 received transmission.

1 60. The apparatus of claim 58, wherein a first number of bits is used to  
2 represent a first MCS based on a first MCS table, and a second number of bits is used to  
3 represent a second MCS based on a second MCS table.

1 61. The apparatus of claim 60, wherein the first number of bits is the same  
2 as the second number of bits.

1 62. A computer program product for managing wireless communications,  
2 the computer program product comprising a non-transitory computer-readable medium  
3 storing instructions executable by a processor to:  
4 support the use of at least two modulation and coding scheme (MCS) tables;  
5 receive a transmission via a wireless channel; and  
6 identify one of the at least two MCS tables to use for the received  
7 transmission.

1 63. A method for wireless communications, comprising:  
2 supporting the use of at least two modulation and coding scheme (MCS)  
3 tables;  
4 identifying one of the at least two MCS tables; and  
5 using the identified MCS table to identify an MCS for a transmission.

1 64. The method of claim 63, further comprising:  
2 identifying a channel quality indicator (CQI) value, the identified MCS being  
3 based at least in part on the received CQI value.



1                   65.    The method of claim 63, wherein the using the identified MCS table to  
2 identify the MCS comprises:  
3                   selecting the MCS for a downlink transmission.

1                   66.    The method of claim 63, wherein the using the identified MCS table to  
2 identify the MCS comprises:  
3                   selecting the MCS for an uplink transmission.

1                   67.    The method of claim 63, wherein the identification of one of the at  
2 least two MCS tables is based at least in part on a downlink control information (DCI)  
3 format.

1                   68.    The method of claim 63, wherein a first number of bits is used to  
2 represent a first MCS based on a first MCS table, and a second number of bits is used to  
3 represent a second MCS based on a second MCS table.

1                   69.    The method of claim 68, wherein the first number of bits is the same as  
2 the second number of bits.

1                   70.    The method of claim 63, further comprising:  
2                   associating a single MCS table with each assignment of a physical downlink  
3 shared channel (PDSCH).

1                   71.    The method of claim 63, further comprising:  
2                   identifying at least two codewords to be transmitted via a single physical  
3 downlink shared channel (PDSCH); and  
4                   using the identified one of the at least two MCS tables for downlink  
5 transmissions of the at least two codewords.

1                   72.    The method of claim 63, further comprising:  
2                   identifying a type of control channel to be used for the transmission; and  
3                   identifying one of the at least two MCS tables to use for the transmission  
4 based at least in part on the identified type of control channel.

1                   73.    The method of claim 63, further comprising:

2 identifying a set of candidates for a control channel transmission; and  
3 identifying one of the at least two MCS tables to use for the control channel  
4 transmission based at least in part on the identified set of candidates.

1 74. The method of claim 73, wherein a first set of candidates are  
2 associated with a common search space, and a second set of candidates are associated with a  
3 user equipment specific search space.

1 75. The method of claim 74, further comprising:  
2 identifying at least one candidate associated with both the common search  
3 space and the user equipment search space; and  
4 identifying one of the at least two MCS tables based at least in part on a  
5 predefined rule.

1 76. The method of claim 63, further comprising:  
2 identifying a type of the transmission to occur on a wireless channel; and  
3 identifying one of the at least two MCS tables to use for the transmission  
4 based at least in part on the identified type of transmission.

1 77. The method of claim 63, wherein the identifying one of the at least two  
2 MCS tables comprises:  
3 dynamically selecting the MCS table to use for the transmission.

1 78. The method of claim 77, wherein the dynamic selection of the MCS  
2 table is performed by an information field in downlink control information, where the  
3 information field selects one of a plurality of sets of configurations, where each set of  
4 configuration comprises parameters including an MCS table indicator and at least one of a  
5 rate matching parameter and a quasi-co-location indication parameter.

1 79. The method of claim 63, wherein identifying one of the at least two  
2 MCS tables comprises:  
3 selecting the MCS table to use for the transmission according to a predefined  
4 configuration setting.

1                   80.    The method of claim 63, wherein an MCS table comprises a listing of  
2 MCSs, each MCS being mapped to at least one of a modulation scheme and a transport block  
3 size (TBS).

1                   81.    The method of claim 80, wherein a first TBS table is mapped from a  
2 first MCS table and a second TBS table is mapped from a second MCS table, the second TBS  
3 table comprising at least one TBS that is greater than a maximum TBS in the first TBS table.

1                   82.    The method of claim 63, wherein at least one of the identified MCS  
2 tables supports 256 Quadrature Amplitude Modulation (QAM).

1                   83.    An apparatus for wireless communications, comprising:  
2 a processor;  
3 a memory in electronic communication with the processor; and  
4 instructions stored in the memory, the instructions being executable by the  
5 processor to:  
6                   support the use of at least two modulation and coding scheme (MCS)  
7 tables;  
8                   identify one of the at least two MCS tables; and  
9                   use the identified MCS table to identify an MCS for a transmission.

1                   84.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:  
3                   identify a channel quality indicator (CQI) value, the identified MCS being  
4 based at least in part on the received CQI value.

1                   85.    The apparatus of claim 83, wherein the instructions to use the  
2 identified MCS table to identify the MCS are executable by the processor to:  
3                   select the MCS for a downlink transmission.

1                   86.    The apparatus of claim 83, wherein the instructions to use the  
2 identified MCS table to identify the MCS are executable by the processor to:  
3                   select the MCS for an uplink transmission.

1           87.    The apparatus of claim 83, wherein the identification of one of the at  
2 least two MCS tables is based at least in part on a downlink control information (DCI)  
3 format.

1           88.    The apparatus of claim 83, wherein a first number of bits is used to  
2 represent a first MCS based on a first MCS table, and a second number of bits is used to  
3 represent a second MCS based on a second MCS table.

1           89.    The apparatus of claim 83, wherein the first number of bits is the same  
2 as the second number of bits.

1           90.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:  
3           associate a single MCS table with each assignment of a physical downlink  
4 shared channel (PDSCH).

1           91.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:  
3           identify at least two codewords to be transmitted via a single physical  
4 downlink shared channel (PDSCH); and  
5           use the identified one of the at least two MCS tables for downlink  
6 transmissions of the at least two codewords.

1           92.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:  
3           identify a type of control channel to be used for the transmission; and  
4           identify one of the at least two MCS tables to use for the transmission based at  
5 least in part on the identified type of control channel.

1           93.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:  
3           identify a set of candidates for a control channel transmission; and  
4           identify one of the at least two MCS tables to use for the control channel  
5 transmission based at least in part on the identified set of candidates.

1           94.    The apparatus of claim 93, wherein a first set of candidates are  
2 associated with a common search space, and a second set of candidates are associated with a  
3 user equipment specific search space.

1           95.    The apparatus of claim 94, wherein the instructions are executable by  
2 the processor to:

3                identify at least one candidate associated with both the common search space  
4 and the user equipment search space; and

5                identify one of the at least two MCS tables based at least in part on a  
6 predefined rule.

1           96.    The apparatus of claim 83, wherein the instructions are executable by  
2 the processor to:

3                identify a type of the transmission to occur on a wireless channel; and

4                identify one of the at least two MCS tables to use for the transmission based at  
5 least in part on the identified type of transmission.

1           97.    The apparatus of claim 83, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:

3                dynamically select the MCS table to use for the transmission.

1           98.    The apparatus of claim 97, wherein the dynamic selection of the MCS  
2 table is performed by an information field in downlink control information, where the  
3 information field selects one of a plurality of sets of configurations, where each set of  
4 configuration comprises parameters including an MCS table indicator and at least one of a  
5 rate matching parameter and a quasi-co-location indication parameter.

1           99.    The apparatus of claim 83, wherein the instructions to identify one of  
2 the at least two MCS tables are executable by the processor to:

3                select the MCS table to use for the transmission according to a predefined  
4 configuration setting.

1           100. The apparatus of claim 83, wherein an MCS table comprises a listing  
2 of MCSs, each MCS being mapped to at least one of a modulation scheme and a transport  
3 block size (TBS).

1           101. The apparatus of claim 100, wherein a first TBS table is mapped from  
2 a first MCS table and a second TBS table is mapped from a second MCS table, the second  
3 TBS table comprising at least one TBS that is greater than a maximum TBS in the first TBS  
4 table.

1           102. The apparatus of claim 83, wherein at least one of the identified MCS  
2 tables supports 256 Quadrature Amplitude Modulation (QAM).

1           103. An apparatus for wireless communications, comprising:  
2 means for supporting the use of at least two modulation and coding scheme  
3 (MCS) tables;  
4 means for identifying one of the at least two MCS tables; and  
5 means for using the identified MCS table to identify an MCS for a  
6 transmission.

1           104. The apparatus of claim 103, further comprising:  
2 means for selecting the MCS for downlink transmission.

1           105. The apparatus of claim 103, further comprising:  
2 means for selecting the MCS for an uplink transmission.

1           106. A computer program product for managing wireless communications,  
2 the computer program product comprising a non-transitory computer-readable medium  
3 storing instructions executable by a processor to:  
4 support the use of at least two modulation and coding scheme (MCS) tables;  
5 identify one of the at least two MCS tables; and  
6 use the identified MCS table to identify an MCS for a transmission.

1           107. A method for wireless communications, comprising:  
2 supporting the use of at least two channel quality indicator (CQI) tables;  
3 receiving CQI data for a wireless channel; and

4 identifying one of the at least two CQI tables to use to identify a CQI value  
5 based on the CQI data.

1 108. The method of claim 107, wherein a same number of bits is used to  
2 represent the CQI value regardless of which CQI table is identified.

1 109. The method of claim 107, wherein the identifying one of the at least  
2 two CQI tables comprises:  
3 selecting the CQI table to use to identify the CQI value according to a  
4 predefined configuration setting.

1 110. The method of claim 107, wherein the identifying one of the at least  
2 two CQI tables comprises:  
3 dynamically selecting the CQI table to use to identify the CQI value.

1 111. An apparatus for wireless communications, comprising:  
2 a processor;  
3 a memory in electronic communication with the processor; and  
4 instructions stored in the memory, the instructions being executable by the  
5 processor to:  
6 support the use of at least two channel quality indicator (CQI) tables;  
7 receive CQI data for a wireless channel; and  
8 identify one of the at least two CQI tables to use to identify a CQI  
9 value based on the CQI data.

1 112. An apparatus for wireless communications, comprising:  
2 means for supporting the use of at least two channel quality indicator (CQI)  
3 tables;  
4 means for receiving CQI data for a wireless channel; and  
5 means for identifying one of the at least two CQI tables to use to identify a  
6 CQI value based on the CQI data.

1 113. A computer program product for managing wireless communications,  
2 the computer program product comprising a non-transitory computer-readable medium  
3 storing instructions executable by a processor to:

- 4 support the use of at least two channel quality indicator (CQI) tables;
- 5 receive CQI data for a wireless channel; and
- 6 identify one of the at least two CQI tables to use to identify a CQI value based
- 7 on the CQI data.



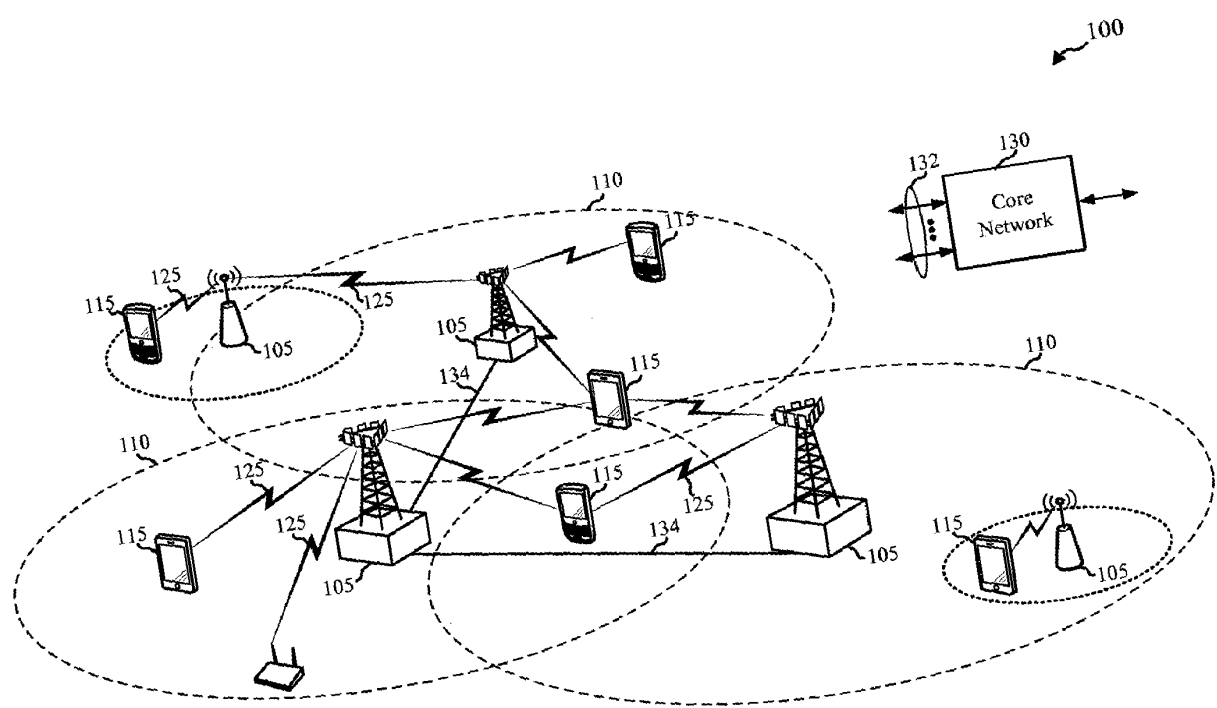


FIG. 1

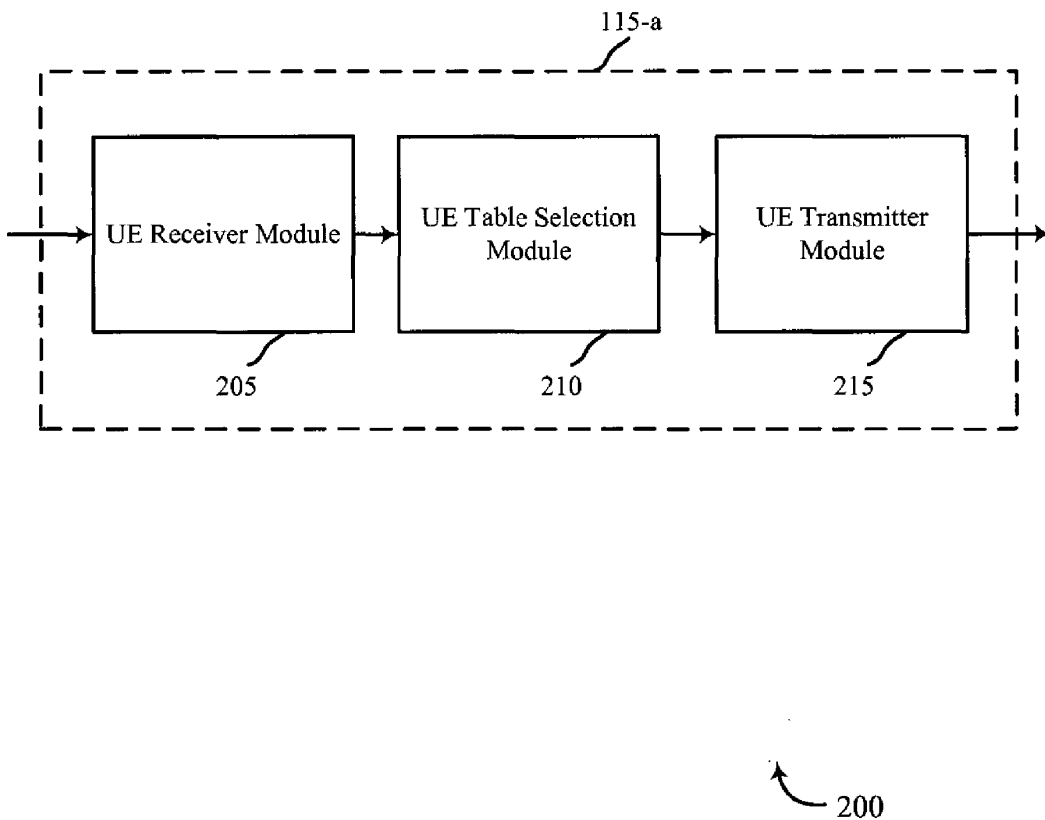


FIG. 2

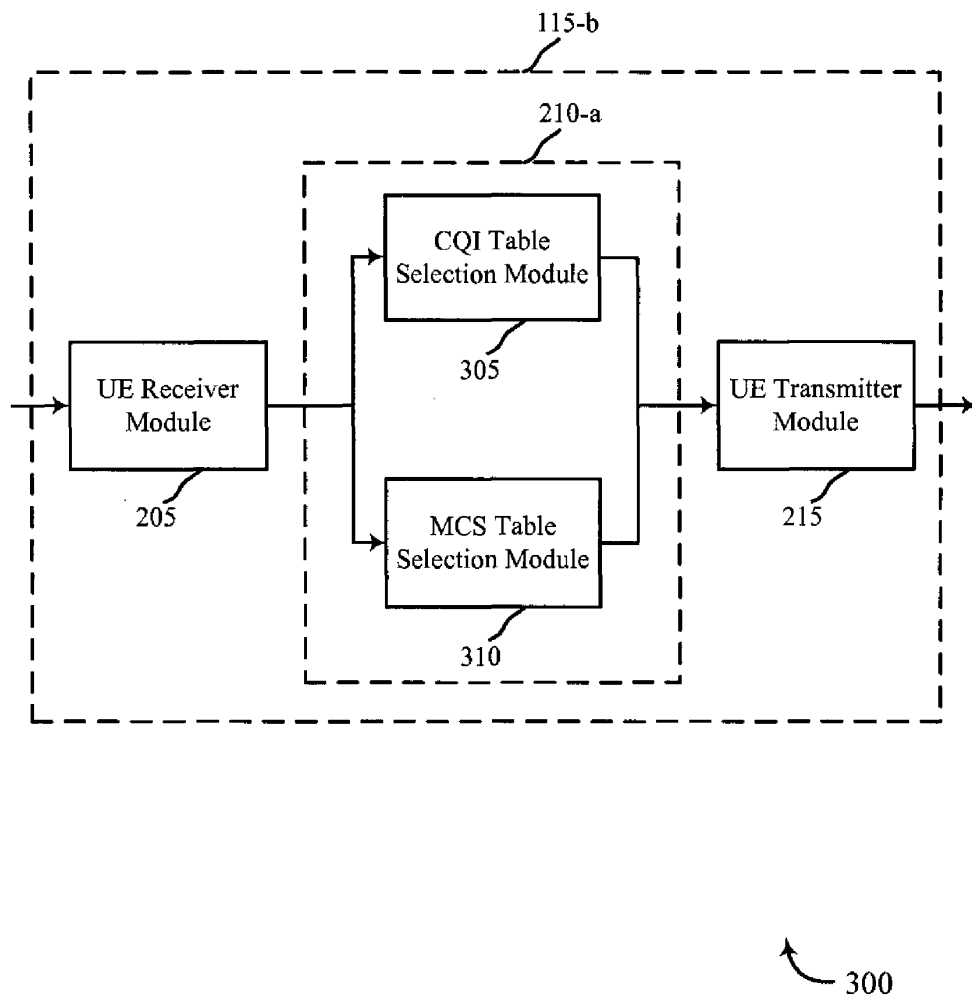


FIG. 3

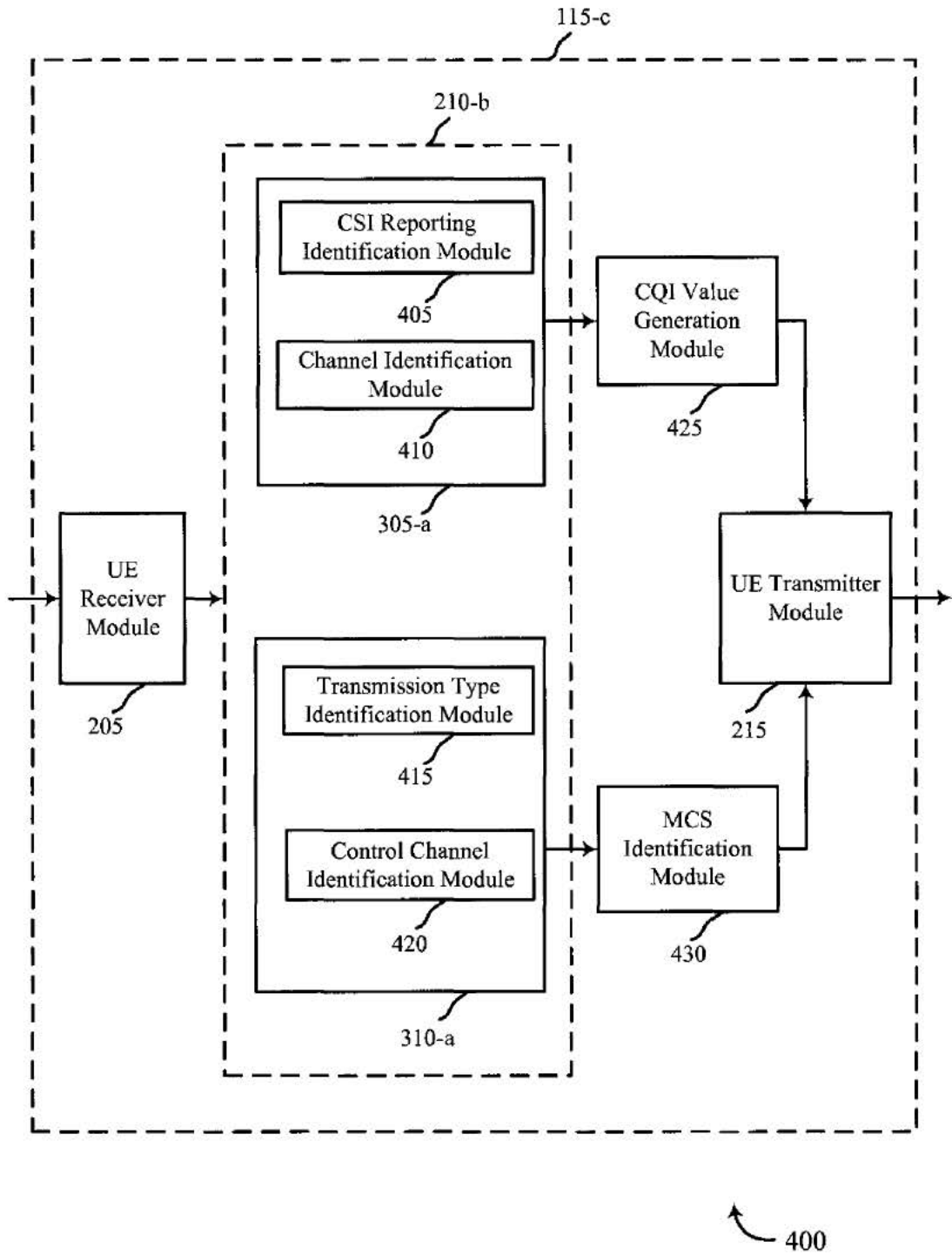


FIG. 4A

450 ↗

| CQI Index | Modulation Scheme | Code Rate x 1024 | Efficiency |
|-----------|-------------------|------------------|------------|
| 0         | Out of Range      |                  |            |
| 1         | QPSK              | 78               | 0.1523     |
| 2         | QPSK              | 120              | 0.2344     |
| 3         | QPSK              | 193              | 0.377      |
| 4         | QPSK              | 308              | 0.6016     |
| 5         | QPSK              | 449              | 0.877      |
| 6         | QPSK              | 602              | 1.1758     |
| 7         | 16QAM             | 378              | 1.4766     |
| 8         | 16QAM             | 490              | 1.9141     |
| 9         | 16QAM             | 616              | 2.4063     |
| 10        | 64QAM             | 466              | 2.7305     |
| 11        | 64QAM             | 567              | 3.3223     |
| 12        | 64QAM             | 666              | 3.9023     |
| 13        | 64QAM             | 772              | 4.5234     |
| 14        | 64QAM             | 873              | 5.1152     |
| 15        | 64QAM             | 948              | 5.5547     |

Old CQI Table

↖ 460

| CQI Index | Modulation Scheme | Code Rate x 1024 | Efficiency |
|-----------|-------------------|------------------|------------|
| 0         | Out of Range      |                  |            |
| 1         | QPSK              | 78               | 0.1523     |
| 2         | QPSK              | 193              | 0.377      |
| 3         | QPSK              | 449              | 0.877      |
| 4         | 16QAM             | 378              | 1.4766     |
| 5         | 16QAM             | 490              | 1.9141     |
| 6         | 16QAM             | 616              | 2.4063     |
| 7         | 64QAM             | 466              | 2.7305     |
| 8         | 64QAM             | 567              | 3.3223     |
| 9         | 64QAM             | 666              | 3.9023     |
| 10        | 64QAM             | 772              | 4.5234     |
| 11        | 64QAM             | 873              | 5.1152     |
| 12        | 64QAM             | 948              | 5.5547     |
| 13        | 256QAM            | 792              | 6.0313     |
| 14        | 256QAM            | 873              | 6.8203     |
| 15        | 256QAM            | 948              | 7.4063     |

New CQI Table

FIG. 4B

470 ↗

↖ 480

| MCS Index | Modulation Order | TBS Index |
|-----------|------------------|-----------|
| 0         | 2                | 0         |
| 1         | 2                | 1         |
| 2         | 2                | 2         |
| 3         | 2                | 3         |
| 4         | 2                | 4         |
| 5         | 2                | 5         |
| 6         | 2                | 6         |
| 7         | 2                | 7         |
| 8         | 2                | 8         |
| 9         | 2                | 9         |
| 10        | 4                | 9         |
| 11        | 4                | 10        |
| 12        | 4                | 11        |
| 13        | 4                | 12        |
| 14        | 4                | 13        |
| 15        | 4                | 14        |
| 16        | 4                | 15        |
| 17        | 6                | 15        |
| 18        | 6                | 16        |
| 19        | 6                | 17        |
| 20        | 6                | 18        |
| 21        | 6                | 19        |
| 22        | 6                | 20        |
| 23        | 6                | 21        |
| 24        | 6                | 22        |
| 25        | 6                | 23        |
| 26        | 6                | 24        |
| 27        | 6                | 25        |
| 28        | 6                | 26        |
| 29        | 2                | Reserved  |
| 30        | 4                |           |
| 31        | 6                |           |

Old MCS Table

| MCS Index | Modulation Order | TBS Index |
|-----------|------------------|-----------|
| 0         | 2                | 0         |
| 1         | 2                | 1         |
| 2         | 2                | 2         |
| 3         | 2                | 3         |
| 4         | 2                | 4         |
| 5         | 2                | 5         |
| 6         | 2                | 6         |
| 7         | 2                | 7         |
| 8         | 2                | 8         |
| 9         | 2                | 9         |
| 10        | 4                | 10        |
| 11        | 4                | 11        |
| 12        | 4                | 12        |
| 13        | 4                | 13        |
| 14        | 4                | 14        |
| 15        | 4                | 15        |
| 16        | 6                | 16        |
| 17        | 6                | 17        |
| 18        | 6                | 18        |
| 19        | 6                | 19        |
| 20        | 6                | 20        |
| 21        | 6                | 21        |
| 22        | 6                | 22        |
| 23        | 6                | 23        |
| 24        | 6                | 24        |
| 25        | 8                | 25        |
| 26        | 8                | 26        |
| 27        | 8                | 27        |
| 28        | 8                | 28        |
| 29        | 4                | Reserved  |
| 30        | 6                |           |
| 31        | 8                |           |

New MCS Table

FIG. 4C

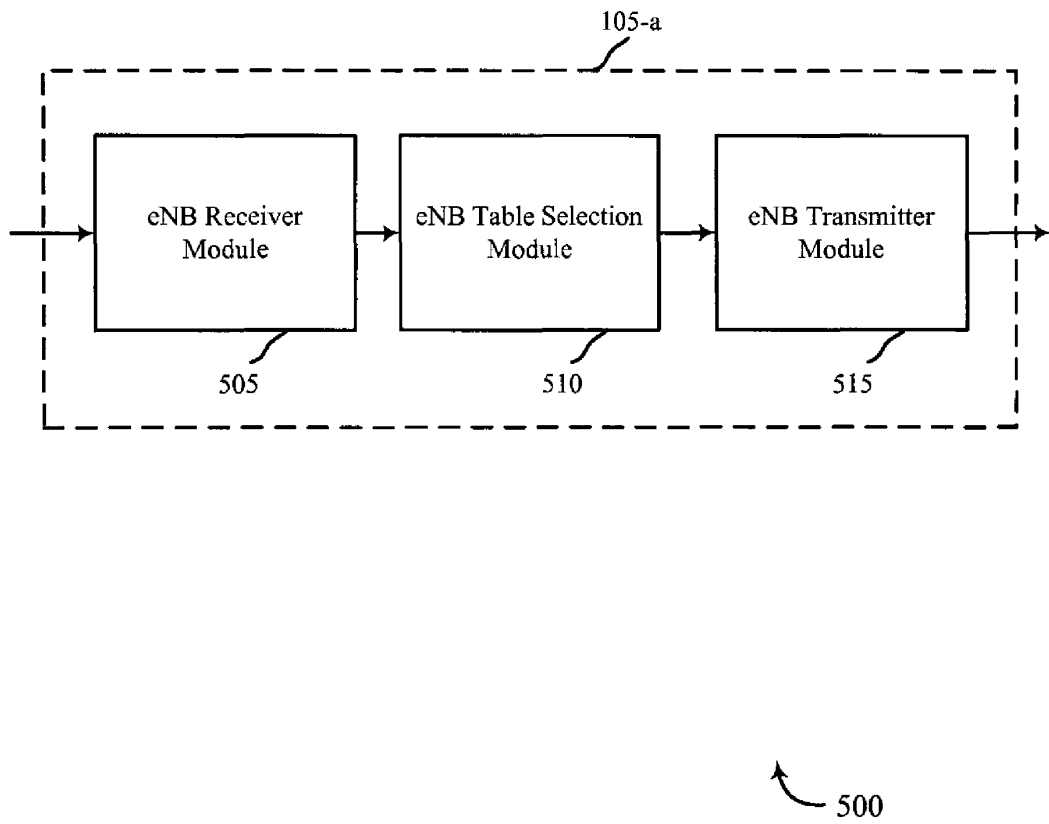


FIG. 5

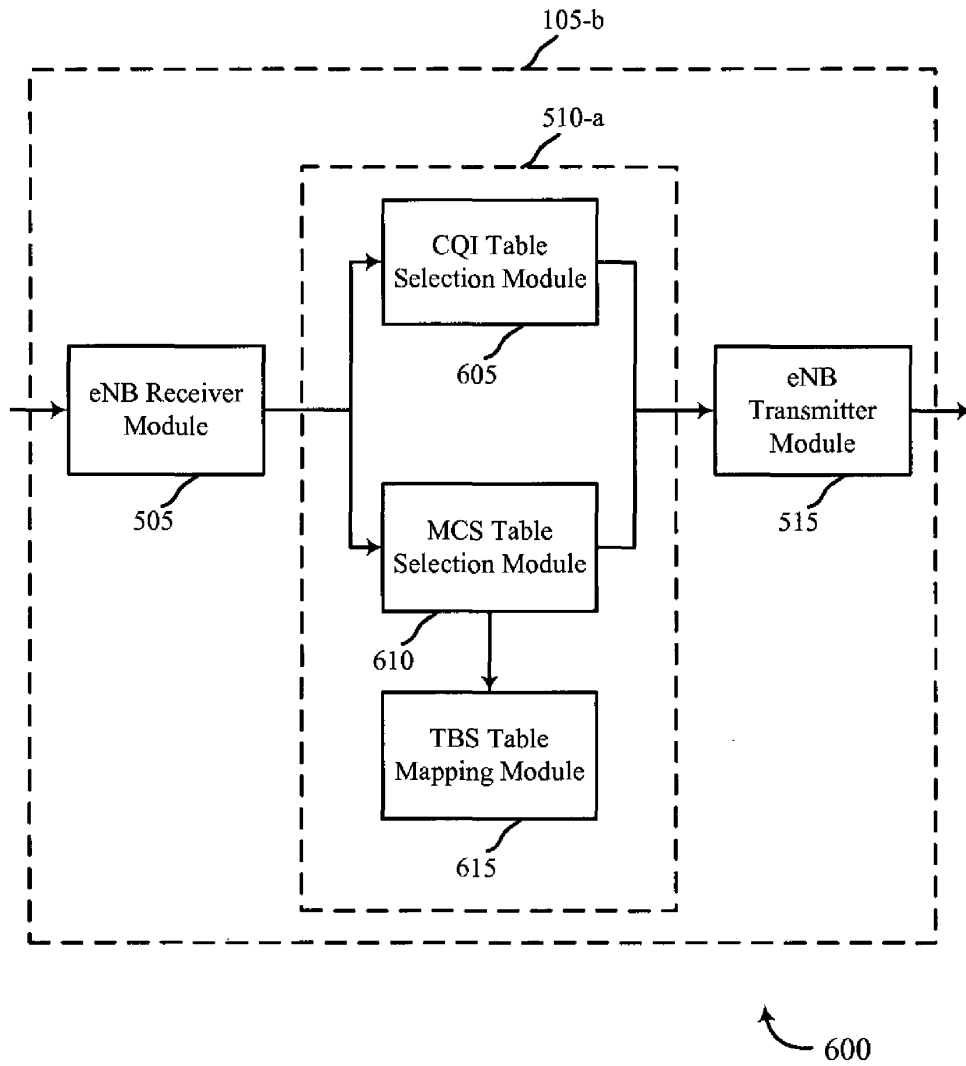


FIG. 6



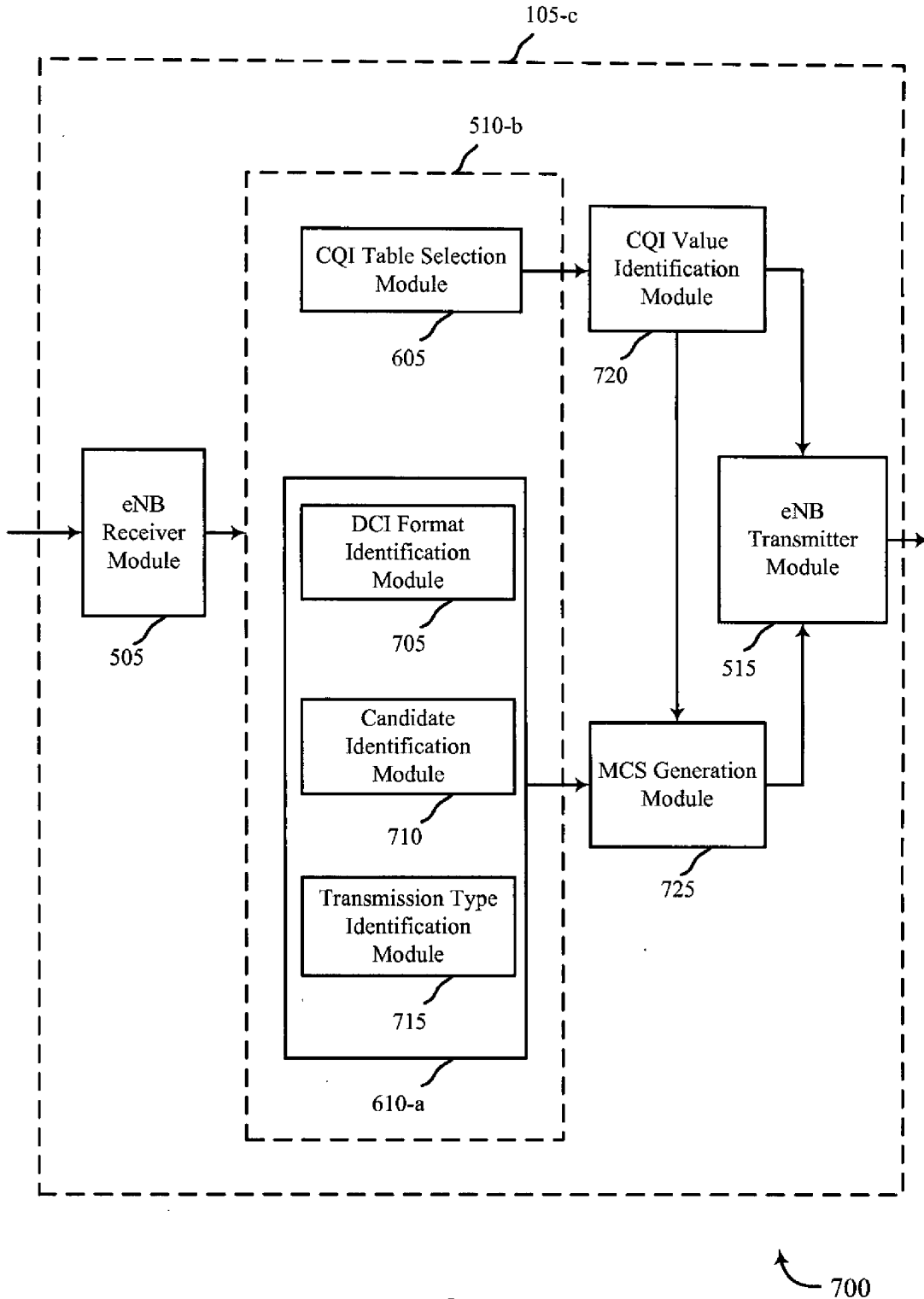


FIG. 7

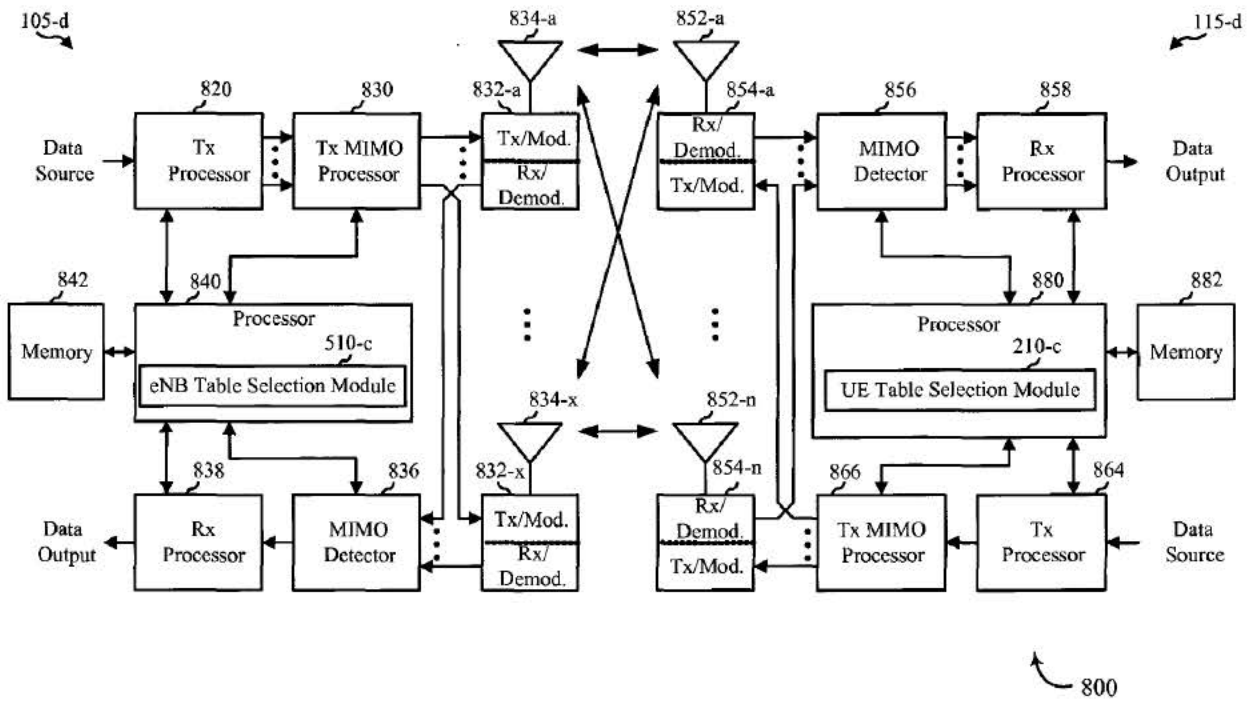


FIG. 8

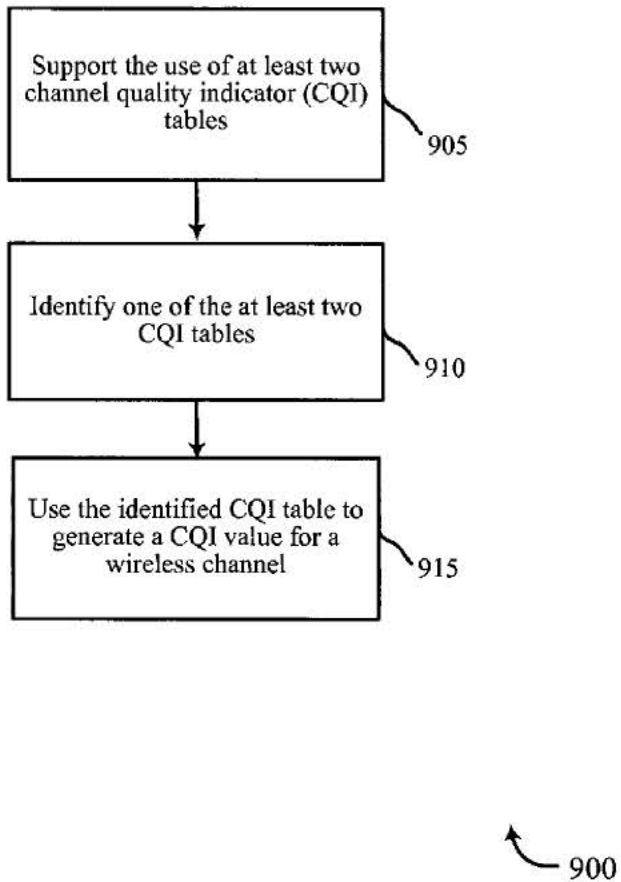


FIG. 9

12/18

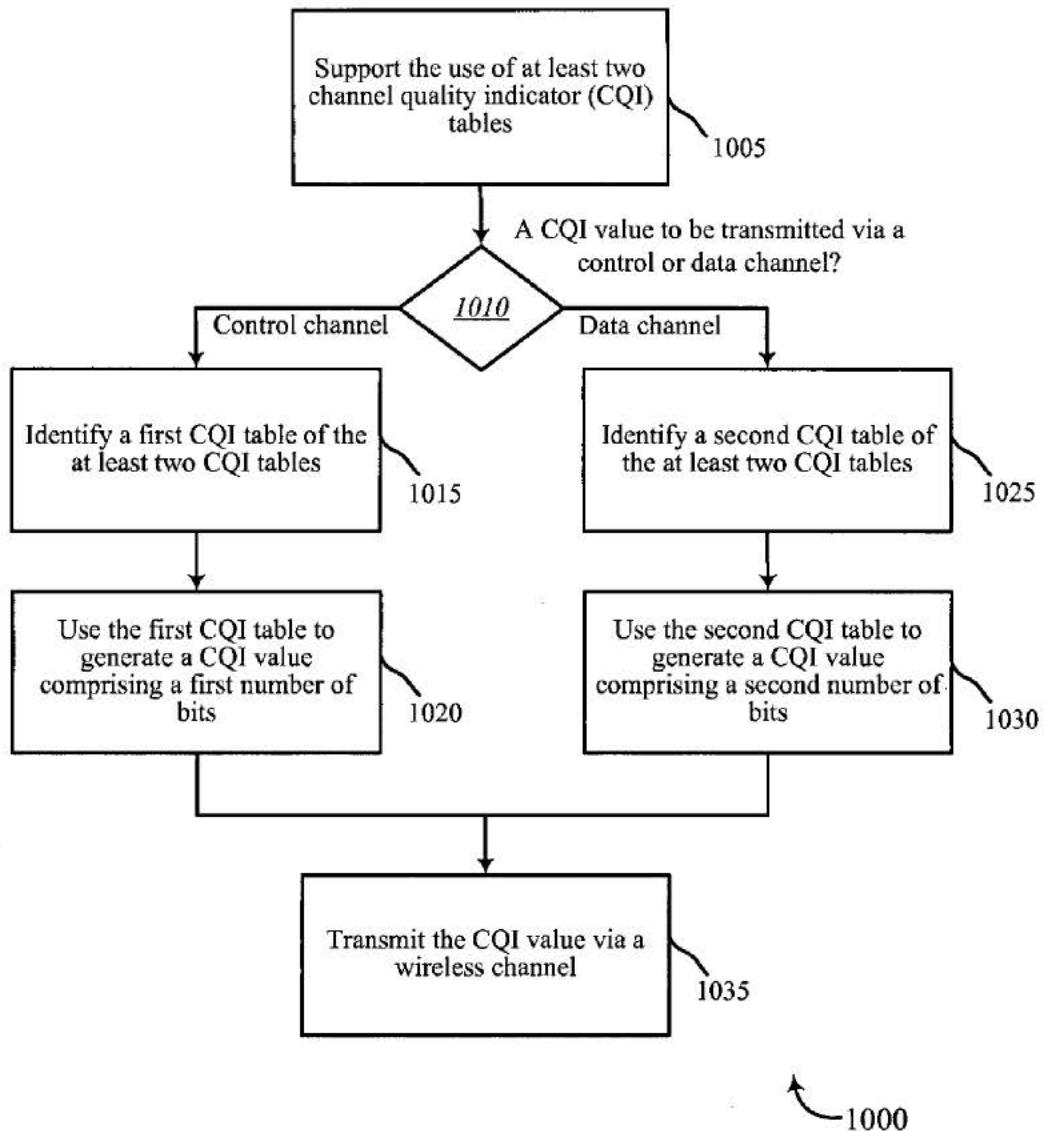


FIG. 10

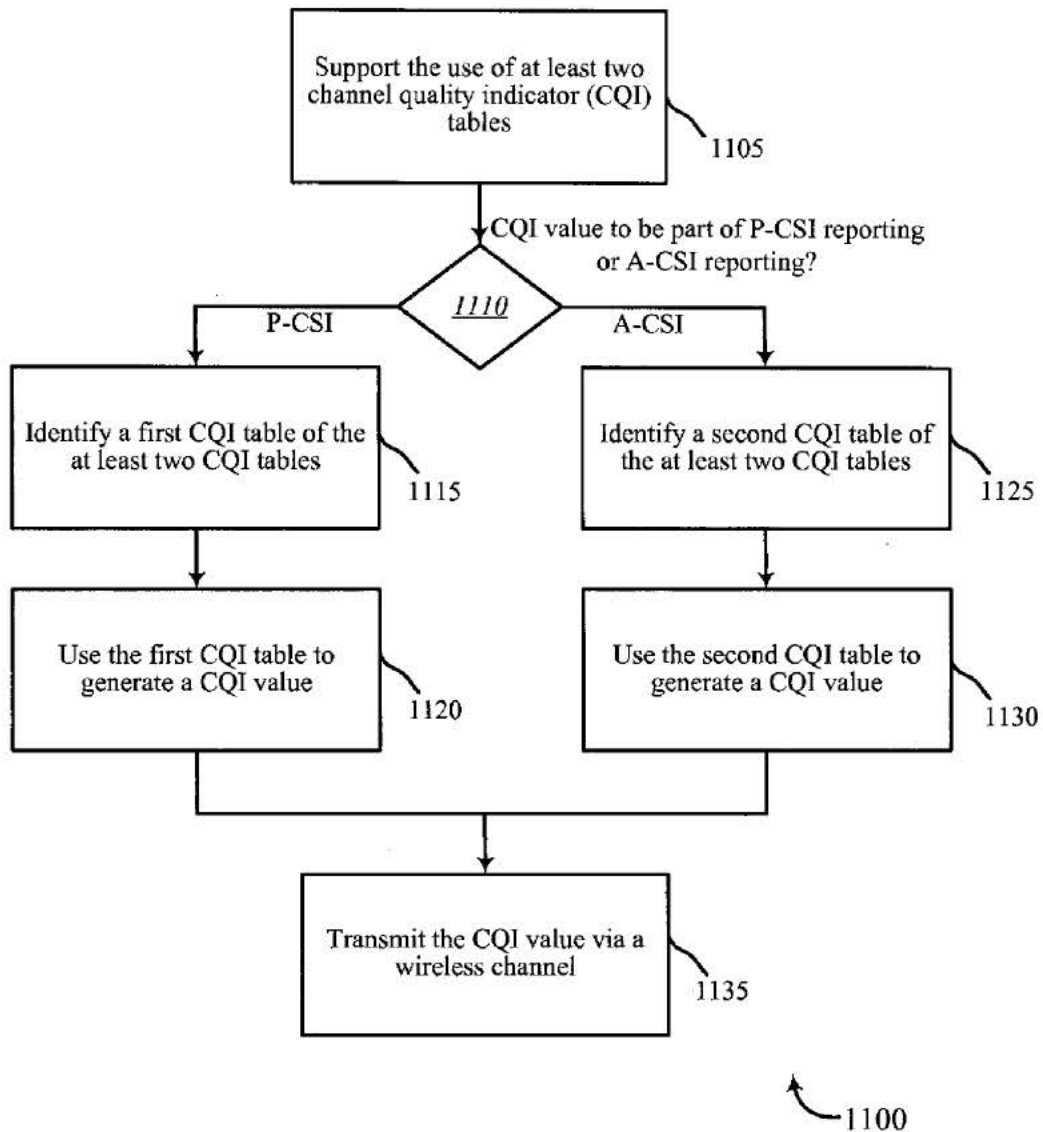


FIG. 11

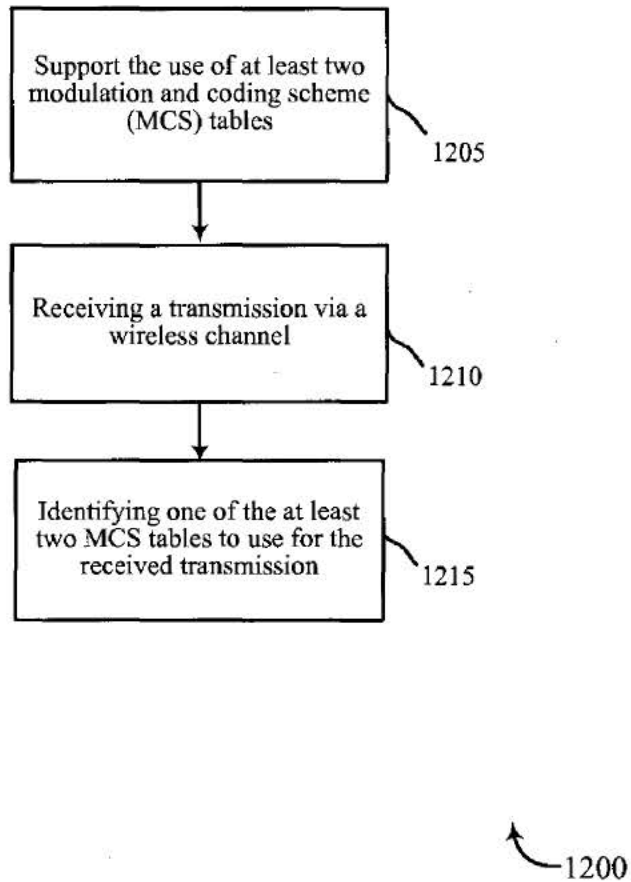


FIG. 12

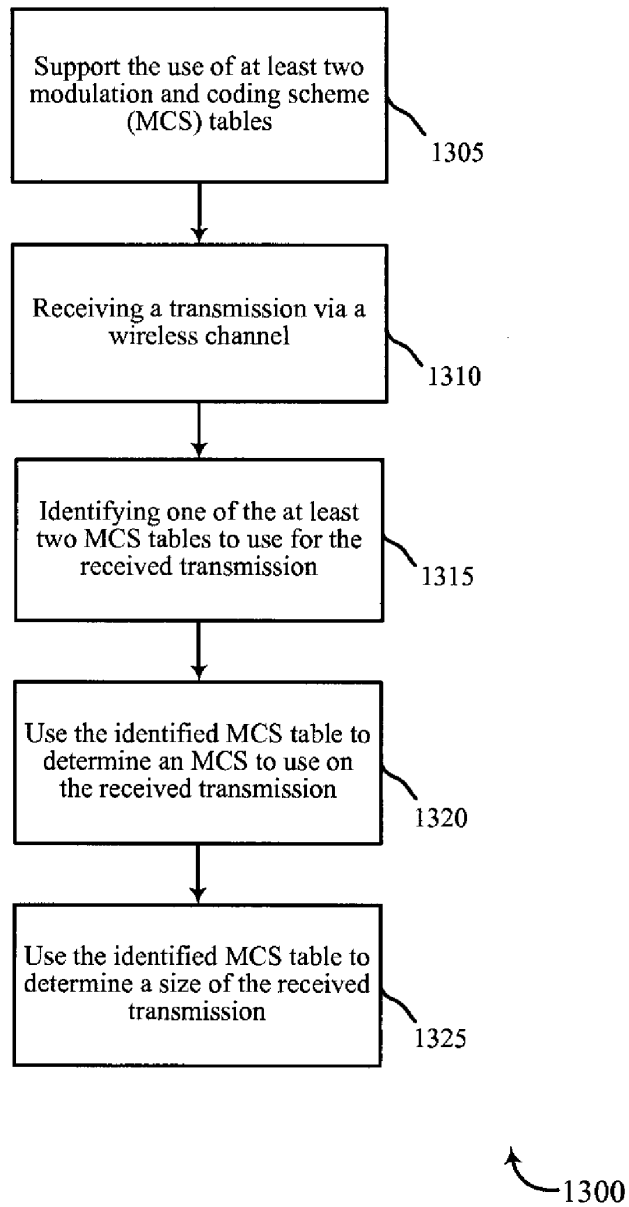


FIG. 13

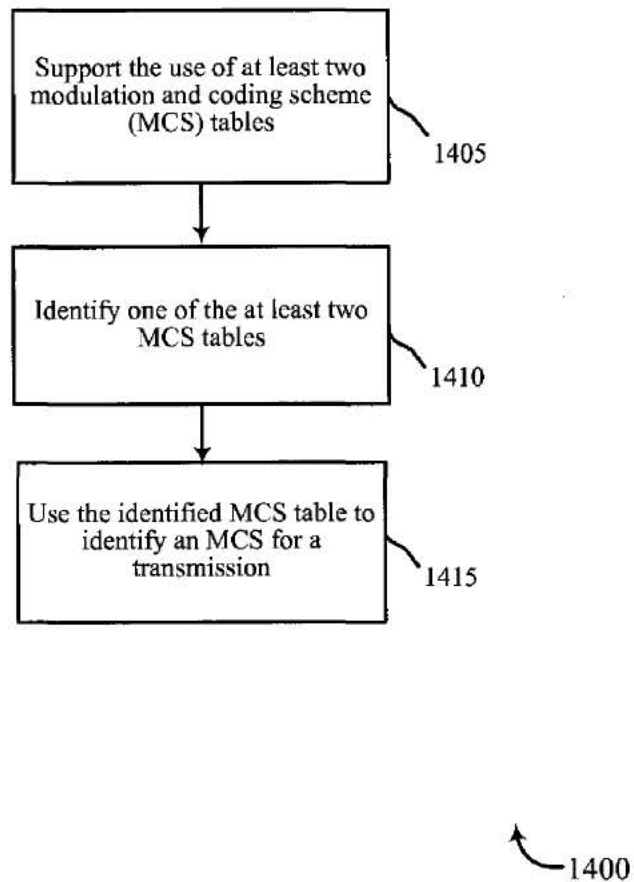


FIG. 14



17/18

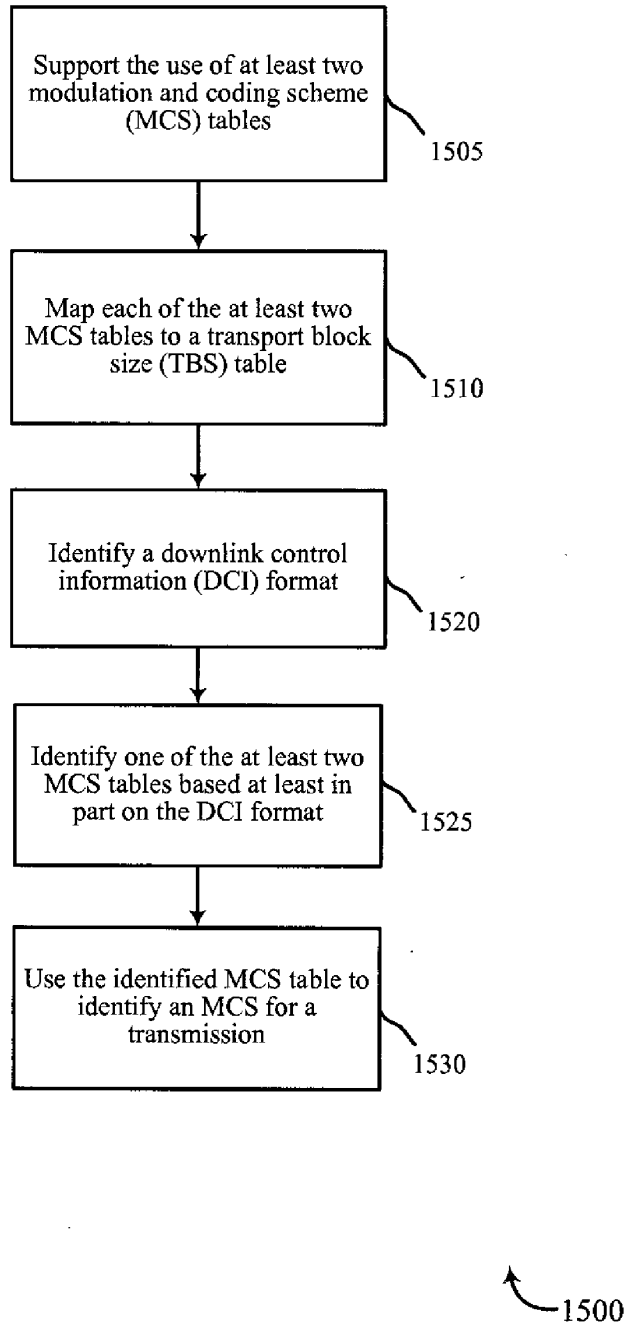
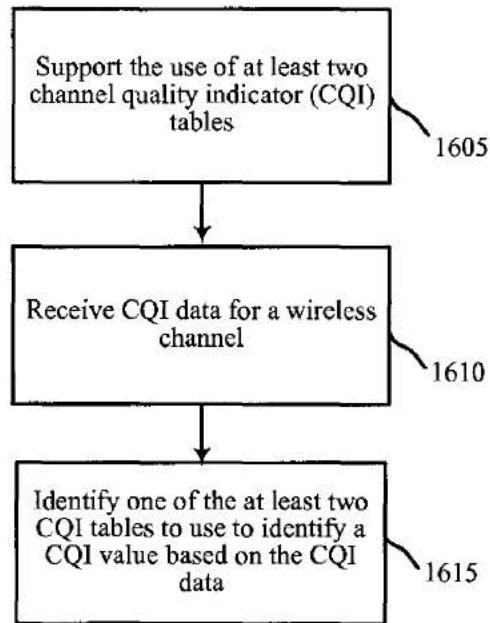


FIG. 15



1600

FIG. 16

INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2013/077844

| <p>A. CLASSIFICATION OF SUBJECT MATTER<br/>INV. H04L1/00<br/>ADD.</p> <p>According to International Patent Classification (IPC) or to both national classification and IPC</p>   |  |   |   |  |                       |   |   |   |   |  |   |
|--|--|---|---|--|-----------------------|---|---|---|---|--|---|
| <p>B. FIELDS SEARCHED</p> <p>Minimum documentation searched (classification system followed by classification symbols)<br/>H04L</p> <p>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched</p> <p>Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)<br/>EPO-Internal, COMPENDEX, INSPEC, IBM-TDB, WPI Data</p>  |  |   |   |  |                       |   |   |   |   |  |   |
| <p>C. DOCUMENTS CONSIDERED TO BE RELEVANT</p> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X</td> <td> <p>US 2009/163142 A1 (PI ZHOUYUE [US] ET AL)<br/>25 June 2009 (2009-06-25)</p> <p>page 4, paragraph 40<br/>page 9, paragraph 59</p> </td> <td> <p>1-11,13,<br/>15-25,<br/>27,<br/>29-35,<br/>107-113</p> </td> </tr> <tr> <td>X</td> <td> <p>US 8 340 212 B2 (FUTAGI SADAKI [JP] ET AL)<br/>25 December 2012 (2012-12-25)</p> <p>column 4, line 59 - column 5, line 31;<br/>figures 2,8,9<br/>column 1, line 46 - line 50</p> <p>-----<br/>-/-</p> </td> <td> <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> </td> </tr> </tbody> </table>  |  |   | Category*   | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. | X | <p>US 2009/163142 A1 (PI ZHOUYUE [US] ET AL)<br/>25 June 2009 (2009-06-25)</p> <p>page 4, paragraph 40<br/>page 9, paragraph 59</p> | <p>1-11,13,<br/>15-25,<br/>27,<br/>29-35,<br/>107-113</p> | X | <p>US 8 340 212 B2 (FUTAGI SADAKI [JP] ET AL)<br/>25 December 2012 (2012-12-25)</p> <p>column 4, line 59 - column 5, line 31;<br/>figures 2,8,9<br/>column 1, line 46 - line 50</p> <p>-----<br/>-/-</p> | <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> |
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.   |   |  |                       |   |   |   |   |  |   |
| X  | <p>US 2009/163142 A1 (PI ZHOUYUE [US] ET AL)<br/>25 June 2009 (2009-06-25)</p> <p>page 4, paragraph 40<br/>page 9, paragraph 59</p>  | <p>1-11,13,<br/>15-25,<br/>27,<br/>29-35,<br/>107-113</p>                   |   |  |                       |   |   |   |   |  |   |
| X  | <p>US 8 340 212 B2 (FUTAGI SADAKI [JP] ET AL)<br/>25 December 2012 (2012-12-25)</p> <p>column 4, line 59 - column 5, line 31;<br/>figures 2,8,9<br/>column 1, line 46 - line 50</p> <p>-----<br/>-/-</p>   | <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> |   |  |                       |   |   |   |   |  |   |
| <p><input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.      <input checked="" type="checkbox"/> See patent family annex.</p>  |  |   |   |  |                       |   |   |   |   |  |   |
| <p>* Special categories of cited documents :</p> <table border="0"> <tr> <td style="vertical-align: top;"> <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier application or patent but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="vertical-align: top;"> <p>** later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>*Z* document member of the same patent family</p> </td> </tr> </table> |  |   | <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier application or patent but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> | <p>** later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>*Z* document member of the same patent family</p> |                       |   |   |   |   |  |   |
| <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier application or patent but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p>  | <p>** later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>*Z* document member of the same patent family</p> |   |   |  |                       |   |   |   |   |  |   |
| <p>Date of the actual completion of the international search<br/>20 May 2014</p>   |  | <p>Date of mailing of the international search report<br/>28/05/2014</p>    |   |  |                       |   |   |   |   |  |   |
| <p>Name and mailing address of the ISA/<br/>European Patent Office, P.B. 5818 Patentlaan 2<br/>NL - 2280 HV Rijswijk<br/>Tel. (+31-70) 340-2040,<br/>Fax: (+31-70) 340-3016</p>  |  | <p>Authorized officer<br/>Kazaniecki, Daniel</p>                            |   |  |                       |   |   |   |   |  |   |

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INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2013/077844

| C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT |   |   |
|--|---|---|
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No.   |
| X  | <p>US 8 040 912 B2 (IMAMURA DAICHI [JP] ET AL) 18 October 2011 (2011-10-18)</p> <p>column 10, line 41 - column 12, line 63;<br/>figure 4</p>  | <p>1-8,<br/>11-22,<br/>25-33,<br/>35,<br/>107-113</p>                       |
| X  | <p>US 2007/070956 A1 (SEKI HIROYUKI [JP]) 29 March 2007 (2007-03-29)</p> <p>page 4, paragraph 76 - paragraph 78</p>   | <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> |
| X  | <p>US 2009/245408 A1 (MUJTABA SYED AON [US] ET AL) 1 October 2009 (2009-10-01)</p> <p>page 3, paragraph 39</p>  | <p>1-8,<br/>11-22,<br/>25-33,<br/>35,<br/>107-113</p>                       |
| X  | <p>US 2008/049813 A1 (KUROSE KENGO [JP] ET AL) 28 February 2008 (2008-02-28)</p> <p>page 6, paragraph 94</p>  | <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> |
| X  | <p>QUALCOMM EUROPE ET AL: "Definition of MIMO operation on Hs-PDSCH, preferred precoding and CQI reporting procedures, modified CQI tables", 3GPP DRAFT; R1-071229, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE ; 650, ROUTE DES LUCIOLES ; F-06921 SOPHIA-ANTIPOLIS CEDEX ; FRANCE, vol. RAN WG1, no. St. Louis, USA; 20070218, 18 February 2007 (2007-02-18), XP050105191, [retrieved on 2007-02-18] page 1</p> | <p>1-8,<br/>11-13,<br/>15-22,<br/>25-27,<br/>29-33,<br/>35,<br/>107-113</p> |

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Form PCT/ISA/210 (continuation of second sheet) (April 2005)

INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2013/077844

| C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT |   |  |
|--|---|--|
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No.  |
| X  | US 2008/188259 A1 (BLANZ JOSEF J [DE] ET AL) 7 August 2008 (2008-08-07)<br><br>page 5, paragraph 58<br>-----  | 1-8,<br>11-13,<br>15-22,<br>25-27,<br>29-33,<br>35,<br>107-113       |
| X  | US 2006/287743 A1 (SAMPATH HEMANTH [US] ET AL) 21 December 2006 (2006-12-21)<br><br>page 5, paragraph 49<br>-----   | 1-8,<br>11-22,<br>25-33,<br>107-113                                  |
| X  | "WF on CSI Process Definition Samsung, Intel, Qualcomm, NAL LG Electronics", 3GPP DRAFT; R1-125336 WF ON CSI PROCESS DEFINITION - FINAL, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE ; 650, ROUTE DES LUCIOLES ; F-06921 SOPHIA-ANTIPOLIS CEDEX ; FRANCE<br><br>15 November 2012 (2012-11-15), XP050663175,<br>Retrieved from the Internet:<br>URL:http://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_71/Docs/<br>[retrieved on 2012-11-15]<br>the whole document<br>----- | 1,3-5,<br>10,15,<br>17-19,<br>24,29,<br>31,32,<br>35,107,<br>111-113 |
| X  | US 2011/026623 A1 (SRINIVASA SUDHIR [US] ET AL) 3 February 2011 (2011-02-03)<br>page 14, paragraph 155<br>page 17, paragraph 202<br>-----   | 36-106   |
| X  | CN 102 624 481 A (ZTE CORP)<br>1 August 2012 (2012-08-01)<br>abstract<br>-----  | 36-106   |
| X  | US 2007/066242 A1 (YI BYOUNG-HA [KR] ET AL) 22 March 2007 (2007-03-22)<br>page 2, paragraph 22<br>-----   | 36-106   |
| X  | US 2009/010211 A1 (SUMASU ATSUSHI [JP] ET AL) 8 January 2009 (2009-01-08)<br>page 2, paragraph 31<br>-----  | 36-106   |
| X  | US 2011/235604 A1 (INOUE TAKAMICHI [JP] ET AL) 29 September 2011 (2011-09-29)<br>page 4, paragraph 62<br>page 10, paragraph 187<br>-----  | 36-106   |
|  | -----<br>-/--   |  |

## Electronic Acknowledgement Receipt

|   |  |
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| <b>EFS ID:</b>                              | 20776180   |
| <b>Application Number:</b>                  | 14390904   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7239   |
| <b>Title of Invention:</b>                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |
| <b>First Named Inventor/Applicant Name:</b> | David Hammarwall   |
| <b>Customer Number:</b>                     | 24112  |
| <b>Filer:</b>                               | John R. Owen/Katya Fox   |
| <b>Filer Authorized By:</b>                 | John R. Owen   |
| <b>Attorney Docket Number:</b>              | 4015-8999 / P41223-US2   |
| <b>Receipt Date:</b>                        | 24-NOV-2014  |
| <b>Filing Date:</b>                         |  |
| <b>Time Stamp:</b>                          | 09:59:34   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371   |

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| Document Number | Document Description | File Name            | File Size(Bytes)/<br>Message Digest                              | Multi Part /.zip | Pages (if appl.) |
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| 1               | Transmittal Letter   | IDS_Cover_Letter.pdf | 14495<br><small>c6a5735efcd02d89940cb545f03594c3e4b32aef</small> | no               | 1                |

### Warnings:

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| 2  | Information Disclosure Statement (IDS) Form (SB08) | IDS.pdf                     | 612790                                    | no | 4  |
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| 3  | Foreign Reference                                  | CN102624481.pdf             | 1574246                                   | no | 21 |
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| 4  | Foreign Reference                                  | CN102624481_translation.pdf | 145430                                    | no | 29 |
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| <b>Information:</b>  |  |                             |   |    |    |
| 5  | Non Patent Literature                              | R1-130311.pdf               | 385908                                    | no | 4  |
|  |  |                             | a1a683fec03fc1eba1472d5986edd0cf8c95fe9   |    |    |
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| 6  | Non Patent Literature                              | R1-131328.pdf               | 594314                                    | no | 6  |
|  |  |                             | 25f3be043b7c0b55ca00ef6cce71a61b1ae448338 |    |    |
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| 7  | Non Patent Literature                              | R1-131853.pdf               | 198340                                    | no | 2  |
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| 8  | Non Patent Literature                              | R1-140118.pdf               | 863540                                    | no | 8  |
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| <b>Information:</b>  |  |                             |   |    |    |
| 9  | Foreign Reference                                  | WO2013123961A1.pdf          | 2549441                                   | no | 32 |
|  |  |                             | 1b0e9e8b9968195d640b063ee5f936088686e2f   |    |    |
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| <b>Information:</b>  |  |                             |   |    |    |

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| 10 | Foreign Reference | WO2014109915A1.pdf | 6196390                                  | no | 84 |
|    |                   |                    | 9314360b8e03740b769bb75a786f3ac9e7f7f304 |    |    |

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| <b>Total Files Size (in bytes):</b> | 13134894 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of **Hammarwall, et al.** )  
Serial No.: **14/390904** )  
Filed: **October 6, 2014** ) Examiner: TBA  
For: **Method and Radio Node for Enabling Use** ) Group Art Unit: TBA  
**of High Order Modulation in a Radio** ) Confirmation No.: 7239  
**Communication with a User Equipment** )  
Attorney's Docket No: **4015-8999 / P41223-US2** )

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Also enclosed is Form PTO/SB/08a for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art.

**The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.**

Respectfully submitted,  
COATS & BENNETT, P.L.L.C.

/John R. Owen Reg. No. 42055/  
John R. Owen  
Registration No.: 42,055  
Telephone: (919) 854-1844

Dated: 24 November 2014

|  |   |   |
|--|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | Attorney Docket No.<br>4015-8999 / P41223-US2   |
|  |   | U.S. Application No. (if known, see 37 CFR 1.5) |
| International Application No.<br>PCT/SE2014/050803   | International Filing Date<br>2014-06-26 | Priority Date Claimed<br>2013-08-09             |
| Title of Invention<br>Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment   |   |   |
| First Named Inventor<br>Hammarwall, David  |   |   |
| <b>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.</b>   |   |   |
| 1. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). NOTE: The express request under 35 U.S.C. 371(f) will not be effective unless the requirements under 35 U.S.C. 371(c)(1), (2), and (4) for payment of the basic national fee, copy of the International Application and English translation thereof (if required), and the oath or declaration of the inventor(s) have been received. |   |   |
| 2. <input checked="" type="checkbox"/> A copy of the International Application (35 U.S.C. 371(c)(2)) is attached hereto (not required if the International Application was previously communicated by the International Bureau or was filed in the United States Receiving Office (RO/US)).  |   |   |
| 3. An English language translation of the International Application (35 U.S.C. 371(c)(2))  |   |   |
| a. <input checked="" type="checkbox"/> is attached hereto.   |   |   |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).   |   |   |
| 4. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))   |   |   |
| a. <input checked="" type="checkbox"/> is attached.  |   |   |
| b. <input type="checkbox"/> was previously filed in the international phase under PCT Rule 4.17(iv).   |   |   |
| <b>Items 5 to 8 below concern amendments made in the international phase.</b>  |   |   |
| <u>PCT Article 19 and 34 amendments</u>  |   |   |
| 5. <input type="checkbox"/> Amendments to the claims under PCT Article 19 are attached (not required if communicated by the International Bureau) (35 U.S.C. 371(c)(3)).   |   |   |
| 6. <input type="checkbox"/> English translation of the PCT Article 19 amendment is attached (35 U.S.C. 371(c)(3)).   |   |   |
| 7. <input type="checkbox"/> English translation of annexes (Article 19 and/or 34 amendments only) of the International Preliminary Examination Report is attached (35 U.S.C. 371(c)(5)).   |   |   |
| <u>Cancellation of amendments made in the international phase</u>  |   |   |
| 8a. <input type="checkbox"/> Do not enter the amendment made in the international phase under PCT Article 19.  |   |   |
| 8b. <input type="checkbox"/> Do not enter the amendment made in the international phase under PCT Article 34.  |   |   |
| NOTE: A proper amendment made in English under Article 19 or 34 will be entered in the U.S. national phase application absent a clear instruction from applicant not to enter the amendment(s).  |   |   |
| <b>The following items 9 to 17 concern a document(s) or information included.</b>  |   |   |
| 9. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |   |   |
| 10. <input checked="" type="checkbox"/> A preliminary amendment.   |   |   |
| 11. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.   |   |   |
| 12. <input type="checkbox"/> A substitute specification. NOTE: A substitute specification cannot include claims. See 37 CFR 1.125(b).  |   |   |
| 13. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.   |   |   |
| 14. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.3 and 37 CFR 1.821-1.825.  |   |   |
| 15. <input checked="" type="checkbox"/> Assignment papers ( <i>cover sheet and document(s)</i> ). Name of Assignee: <u>Telefonaktiebolaget L M Ericsson (publ)</u>   |   |   |
| 16. <input checked="" type="checkbox"/> 37 CFR 3.73(c) Statement ( <i>when there is an Assignee</i> ).   |   |   |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|   |   |  |
|---|---|--|
| U.S. APPLN. No. (if known – see 37 CFR 1.5)   | INTERNATIONAL APPLICATION No.<br><b>PCT/SE2014/050803</b> | ATTORNEY DOCKET No.<br><b>4015-8999 / P41223-US2</b>                               |
| 17. <input type="checkbox"/> Other items or information:  |   |  |
| <b>The following fees have been submitted.</b>  |   | CALCULATIONS      PTO USE ONLY   |
| 18. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... <b>\$280</b>   |   | \$ 280   |
| 19. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b><br>All other situations ..... <b>\$720</b>   |   | \$ 720   |
| 20. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b><br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... <b>\$120</b><br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... <b>\$480</b><br>All other situations ..... <b>\$600</b> |   | \$ 600   |
| <b>TOTAL OF 18, 19, and 20 =</b>  |   | <b>\$ 1600</b>   |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) in an electronic medium or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>Fee for each additional 50 sheets of paper or fraction thereof ..... <b>\$400</b>  |   |  |
| Total Sheets  | Extra Sheets  | Number of each addition 50 or fraction thereof (round <b>up</b> to a whole number) |
| - 100 =   | / 50 =  | x <b>\$400</b>   |
| Surcharge of <b>\$140.00</b> for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |   | \$   |
| CLAIMS  | NUMBER FILED  | NUMBER EXTRA   |
| Total claims  | 26 - 20 =   | 6  |
| Independent claims  | 6 - 3 =   | 3  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |   | + <b>\$780</b>   |
| Processing fee of <b>\$140.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |   | \$   |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |   | <b>\$ 3340</b>   |
| <input type="checkbox"/> <b>Applicant asserts small entity status.</b> See 37 CFR 1.27. Fees above are reduced by 1/2.  |   |  |
| <input type="checkbox"/> <b>Applicant certifies micro entity status.</b> See 37 CFR 1.29. Fees above are reduced by 3/4. Applicant must attach form PTO/SB/15A or B or equivalent.  |   |  |
| <b>TOTAL NATIONAL FEE =</b>   |   | <b>\$ 3340</b>   |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property.  |   | \$   |
| <b>TOTAL FEES ENCLOSED =</b>  |   | <b>\$ 3340</b>   |
|   |   | <b>Amount to be refunded:</b>  |
|   |   | \$   |
|   |   | <b>Amount to be charged:</b>   |
|   |   | \$   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.

c.  The Director is hereby authorized to charge additional fees which may be required, or credit any overpayment, to Deposit Account No. <sup>181167</sup> \_\_\_\_\_ as follows:

i.  any required fee.

ii.  any required fee except for excess claims fees required under 37 CFR 1.492(d) and (e) and multiple dependent claim fee required under 37 CFR 1.492(f).

d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO.

**ADVISORY:** If filing by EFS-Web, do **NOT** attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is **not** recommended and by doing so your **credit card information may be displayed via PAIR**. To protect your information, it is recommended to pay fees online by using the electronic payment method.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

**Correspondence Address**

The address associated with Customer Number: <sup>24112</sup> \_\_\_\_\_ **OR**  Correspondence address below

|         |  |       |           |          |
|---------|--|-------|-----------|----------|
| Name    |  |       |           |          |
| Address |  |       |           |          |
| City    |  | State |           | Zip Code |
| Country |  |       | Telephone |          |
| Email   |  |       |           |          |

|                   |                               |                                   |                |
|-------------------|-------------------------------|-----------------------------------|----------------|
| Signature         | /John R. Owen Reg. No. 42055/ | Date                              | 6 October 2014 |
| Name (Print/Type) | John R. Owen                  | Registration No. (Attorney/Agent) | 42055          |

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b>   |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. |  |                        |                        |

## Secrecy Order 37 CFR 5.2

|                          |   |
|--------------------------|---|
| <input type="checkbox"/> | Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) |
|--------------------------|---|

## Inventor Information:

|   |                   |                               |                    |               |                                       |
|---|-------------------|-------------------------------|--------------------|---------------|---------------------------------------|
| <b>Inventor 1</b>   |                   |                               |                    |               | <input type="button" value="Remove"/> |
| <b>Legal Name</b>   |                   |                               |                    |               |                                       |
| <b>Prefix</b>   | <b>Given Name</b> | <b>Middle Name</b>            | <b>Family Name</b> | <b>Suffix</b> |                                       |
|   | David             |                               | Hammarwall         |               |                                       |
| <b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                               |                    |               |                                       |
| <b>City</b>   | Vallentuna        | <b>Country of Residence i</b> | SE                 |               |                                       |
| <b>Mailing Address of Inventor:</b>   |                   |                               |                    |               |                                       |
| <b>Address 1</b>  | Hällmarksvägen 59 |                               |                    |               |                                       |
| <b>Address 2</b>  |                   |                               |                    |               |                                       |
| <b>City</b>   | Vallentuna        | <b>State/Province</b>         |                    |               |                                       |
| <b>Postal Code</b>  | SE-18653          | <b>Country i</b>              | SE                 |               |                                       |
| <b>Inventor 2</b>   |                   |                               |                    |               | <input type="button" value="Remove"/> |
| <b>Legal Name</b>   |                   |                               |                    |               |                                       |
| <b>Prefix</b>   | <b>Given Name</b> | <b>Middle Name</b>            | <b>Family Name</b> | <b>Suffix</b> |                                       |
|   | Meng              |                               | Wang               |               |                                       |
| <b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                               |                    |               |                                       |
| <b>City</b>   | Solna             | <b>Country of Residence i</b> | SE                 |               |                                       |
| <b>Mailing Address of Inventor:</b>   |                   |                               |                    |               |                                       |
| <b>Address 1</b>  | Signalistgatan 14 |                               |                    |               |                                       |
| <b>Address 2</b>  |                   |                               |                    |               |                                       |
| <b>City</b>   | Solna             | <b>State/Province</b>         |                    |               |                                       |
| <b>Postal Code</b>  | SE-16972          | <b>Country i</b>              | SE                 |               |                                       |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the <b>Add</b> button.                                     |                   |                               |                    |               | <input type="button" value="Add"/>    |

## Correspondence Information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention                        | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |

Enter either Customer Number or complete the Correspondence Information section below.  
For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence information of this application.

|                 |       |  |   |
|-----------------|-------|--|---|
| Customer Number | 24112 |  |   |
| Email Address   |       | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

### Application Information:

|   |  |   |                          |
|---|--|---|--------------------------|
| Title of the Invention                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |   |                          |
| Attorney Docket Number                  | 4015-8999 / P41223-US2   | Small Entity Status Claimed               | <input type="checkbox"/> |
| Application Type                        | Nonprovisional   |   |                          |
| Subject Matter                          | Utility  |   |                          |
| Total Number of Drawing Sheets (if any) | 7  | Suggested Figure for Publication (if any) | 5                        |

### Filing By Reference :

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

|  |                          |  |
|--|--------------------------|--|
| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country |
|  |                          |  |

### Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

### Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

|                    |  |  |   |
|--------------------|--|--|---|
| Please Select One: | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number    | 24112  |  |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention                        | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |

### Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

|   |                               |                                       |                                    |
|---|-------------------------------|---------------------------------------|------------------------------------|
| Prior Application Status  | Pending                       | <input type="button" value="Remove"/> |                                    |
| Application Number  | Continuity Type               | Prior Application Number              | Filing Date (YYYY-MM-DD)           |
|   | a 371 of international        | PCT/SE2014/050803                     | 2014-06-26                         |
| Prior Application Status  | Expired                       | <input type="button" value="Remove"/> |                                    |
| Application Number  | Continuity Type               | Prior Application Number              | Filing Date (YYYY-MM-DD)           |
| PCT/SE2014/050803   | Claims benefit of provisional | 61/863935                             | 2013-08-09                         |
| Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button. |                               |                                       | <input type="button" value="Add"/> |

### Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

|  |                      |                          |  |
|--|----------------------|--------------------------|--|
| <input type="button" value="Remove"/>  |                      |                          |  |
| Application Number   | Country <sup>i</sup> | Filing Date (YYYY-MM-DD) | Access Code <sup>i</sup> (if applicable) |
|  |                      |                          |  |
| Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button. |                      |                          | <input type="button" value="Add"/>       |



|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention                        | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

|  |
|--|
| <p>This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.</p> <p><input type="checkbox"/> NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.</p> |
|--|

## Authorization to Permit Access:

|   |
|---|
| <p><input type="checkbox"/> Authorization to Permit Access to the Instant Application by the Participating Offices</p> <p>If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.</p> <p>In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.</p> <p>In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.</p> |
|---|

## Applicant Information:

|  |
|--|
| <p>Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.</p> |
|--|

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|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention                        | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |

|   |  |                                       |
|---|--|---------------------------------------|
| <b>Applicant 1</b>  |  | <input type="button" value="Remove"/> |
| <p>If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.</p> |  |                                       |
| <input type="button" value="Clear"/>  |  |                                       |
| <input checked="" type="radio"/> Assignee   | <input type="radio"/> Legal Representative under 35 U.S.C. 117         | <input type="radio"/> Joint Inventor  |
| <input type="radio"/> Person to whom the inventor is obligated to assign.   | <input type="radio"/> Person who shows sufficient proprietary interest |                                       |
| If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:   |  |                                       |
|   |  |                                       |
| Name of the Deceased or Legally Incapacitated Inventor : <input type="text"/>   |  |                                       |
| If the Applicant is an Organization check here. <input checked="" type="checkbox"/>   |  |                                       |
| Organization Name   | Telefonaktiebolaget L M Ericsson (publ)                                |                                       |
| <b>Mailing Address Information:</b>   |  |                                       |
| Address 1   | SE-164 83  |                                       |
| Address 2   |  |                                       |
| City  | Stockholm  | State/Province                        |
| Country <sup>i</sup>  | SE   | Postal Code                           |
| Phone Number  |  | Fax Number                            |
| Email Address   |  |                                       |
| Additional Applicant Data may be generated within this form by selecting the Add button. <input type="button" value="Add"/>   |  |                                       |

### Assignee Information including Non-Applicant Assignee Information:

|   |
|---|
| <p>Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.</p>  |
| <b>Assignee 1</b>   |
| <p>Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.</p> |
| <input type="button" value="Remove"/>   |
| If the Assignee or Non-Applicant Assignee is an Organization check here. <input type="checkbox"/>   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |  |                        |                        |
|---|--|------------------------|------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |  | Attorney Docket Number | 4015-8999 / P41223-US2 |
|   |  | Application Number     |                        |
| Title of Invention                        | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                        |                        |

|        |            |             |             |        |
|--------|------------|-------------|-------------|--------|
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|        |            |             |             |        |

**Mailing Address Information For Assignee including Non-Applicant Assignee:**

|               |             |                |  |  |
|---------------|-------------|----------------|--|--|
| Address 1     |             |                |  |  |
| Address 2     |             |                |  |  |
| City          |             | State/Province |  |  |
| Country i     | Postal Code |                |  |  |
| Phone Number  |             | Fax Number     |  |  |
| Email Address |             |                |  |  |

Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.

**Signature:**

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications

|                  |                               |           |                   |                     |       |
|------------------|-------------------------------|-----------|-------------------|---------------------|-------|
| <b>Signature</b> | /John R. Owen Reg. No. 42055/ |           | Date (YYYY-MM-DD) | 2014-10-06          |       |
| First Name       | John                          | Last Name | Owen              | Registration Number | 42055 |

Additional Signature may be generated within this form by selecting the Add button.

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

### Technical field

The present disclosure relates generally to a radio node of a cellular network, a User Equipment, UE and methods therein, for enabling use of a high order modulation when communicating radio signals.

### Background

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any wireless terminal or device capable of radio communication with a cellular network including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. For example, the term User Equipment, UE could be exchanged by the term "wireless device". Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a cellular network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the Modulation and Coding Scheme, MCS, adopted for Physical Downlink Shared Channel, PDSCH, transmission must be indicated in downlink MCS signaling by the serving radio node to the UE. By uplink signaling, the UE informs the radio node about corresponding radio-link, i.e. channel, conditions through Channel Quality Indicator, CQI signaling, including sending CQI reports to the radio node.

This is generally illustrated in Fig. 1 in which a radio node 100 of a cellular network is serving two UEs denoted UE1 and UE2. In this example, UE1 and UE2 both

report quality measurements made on the channel used by sending CQI reports to the radio node 100 which selects a suitable MCS for each UE based on their CQI reporting and signals the selected MCS to the UEs, respectively. Link adaptation is made in this way for individual UEs on a dynamic basis since the radio-link conditions may change rapidly. The selection of a suitable MCS can thus be made individually for each UE.

In current LTE systems, the set of available modulation schemes for both downlink and uplink includes Quadrature Phase-Shift Keying, QPSK, 16 Quadrature Amplitude Modulation, QAM, and 64QAM, corresponding to two, four and six bits carried per modulation symbol, respectively. In this field, the number of bits carried per modulation symbol is usually referred to as the modulation order,  $Q_m$ .

In brief, the serving radio node selects a suitable MCS based on CQI reporting from the UE and signals the selected MCS to the UE with reference to a predefined MCS index table which is known to the UE. The MCS index table maps MCS indices to modulation order and a Transport Block Size, TBS, index. Further, the UE determines a CQI value based on signal measurements and the CQI is signaled from the UE to the radio node with reference to a likewise predefined CQI index table which maps CQI indices to modulation forms and code rates. In this description, the term “modulation form” is used for short to indicate a modulation format, method or scheme.

In LTE, especially for scenarios with good channel conditions where the Signal-to-Interference-and-Noise Ratio, SINR, is high, e.g. in small-cell environments where the UE is close to its serving radio node, a straightforward means to provide higher data rate for the UE with given transmission bandwidth is to use higher-order modulation that allows for more bits of information to be carried per modulation symbol, as compared to the modulation schemes mentioned above where the highest possible data rate is provided by 64QAM carrying six bits per modulation symbol. However, it is a problem that the control signaling schemes, methods, formats or protocols of today do not support any modulation with higher order than six bits per symbol, as in 64QAM. It is also a problem that additional control

signaling would be required between the UE and the serving radio node if higher data rate is to be achieved by using higher-order modulation.

### Summary

It is an object of embodiments described herein to address at least some of the problems and issues outlined above. It is possible to achieve this object and others by using a radio node, a UE and methods therein as defined in the attached independent claims.

According to one aspect, a method is performed by a radio node of a cellular network. The radio node is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

In this method, the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. The radio node then instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

According to another aspect, a radio node of a cellular network is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table

wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The radio node comprises a logic unit configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE.

The radio node also comprises an instructing unit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. At least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

According to another aspect, a method is performed by a User Equipment, UE, being operable to apply a first table configuration in a radio communication with a radio node of a cellular network. The first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. In this method, the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE further applies the second table configuration in the radio communication with the radio node.

According to another aspect, a User Equipment, UE, is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation



and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The UE comprises a communication unit which is configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE also comprises a logic unit which is configured to apply the second table configuration in the radio communication with the radio node.

When using any of the above methods and nodes, it is possible to achieve a higher data rate in the radio communication between the radio node and the UE by using the higher modulation order of the second table configuration, e.g. when the radio or channel conditions are favorable, instead of being limited to the maximum modulation order of the first table configuration.

A computer program is also provided comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out either of the above methods. A carrier is also provided which contains the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

The above methods and nodes may be configured and implemented according to different optional embodiments to accomplish further features and benefits, to be described below.

#### Brief description of drawings

The solution will now be described in more detail by means of exemplary embodiments and with reference to the accompanying drawings, in which:

Fig. 1 is a communication scenario illustrating how link adaptation can be achieved, according to the prior art.

Fig. 2 is a table used for MCS signaling from a radio node to a UE, according to a first table configuration.

Fig. 3 is a table used for CQI signaling from a UE to a radio node, according to the first table configuration.

Fig. 4 is a flow chart illustrating a procedure in a radio node, according to some possible embodiments.

Fig. 5 is a block diagram illustrating an example of how a radio node may be configured and operate, according to further possible embodiments.

Fig. 6 is a flow chart illustrating a procedure in a UE, according to some possible embodiments.

Fig. 7 is a block diagram illustrating an example of how a UE may be configured and operate, according to further possible embodiments.

Fig. 8 is an example of a modified table used for MCS signaling from a radio node to a UE, according to according to a second table configuration.

Fig. 9 is an example of a modified table used for CQI signaling from a UE to a radio node, according to the second table configuration.

Fig. 10 is an example of a modified table used for mapping a Transport Block Size, TBS, index to a data rate, according to further possible embodiments.

#### Detailed description

In this solution it has been recognized that the above-described control signaling for MCS and CQI indication can be re-designed in order to adopt higher-order modulation schemes in LTE systems. In particular, the MCS and CQI index tables used for such signaling can be modified such that the current maximum modulation order can be increased without requiring any extra signaling bits. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM allowing eight bits per symbol, or even higher modulation of 512QAM, and so forth.

Briefly described, a first table configuration is initially applied in radio communication between a radio node and a UE. The first table configuration comprises a first MCS table and/or a first CQI table which tables support a certain maximum modulation order, e.g. 6. An example of the first MCS table is shown in Fig. 2 and an example of the first CQI table is shown in Fig. 3. The first MCS table and the first CQI table are thus predefined and known to the UE, for example the tables currently used in LTE for signaling between radio nodes and UEs for enabling link adaptation as described above although other MCS and CQI tables are also possible to use in the first table configuration. In these examples it can be seen that the maximum modulation order supported by the first MCS table and the first CQI table is  $Q_m = 6$  which corresponds to 64QAM.

When detecting that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in the above communication, e.g. based on CQI reports from the UE, the radio node instructs the UE to apply a second table configuration that supports the higher modulation order. The second table configuration comprises a second MCS table and/or a second CQI table having additional entries that support the higher modulation order. Examples of how such tables of the second table configuration can be configured will be described in more detail later below. In this solution, at least one entry for at least one modulation order is maintained, i.e. kept, from the table(s) of the first table configuration as a fall-back in case it would become desirable or even necessary to use the least one modulation order of the first table configuration when the second table configuration is applied, such as when the radio conditions get worse and only the least one modulation order of the first table configuration, e.g. the lowest modulation order, is possible to use for keeping the radio connection.

It will now be described how link adaptation can be achieved in general according to LTE.

For downlink data transmission in LTE, the radio node typically selects the MCS depending on the CQI feedback transmitted by the UE in the uplink, as illustrated

in Fig. 1. The CQI feedback indicates the present channel condition and possible data rate, or more specifically a modulation and coding scheme MCS, that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling between the radio node and the UE. In the downlink, the information about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the Downlink Control Information, DCI, transmitted from the radio node to the UE. This MCS field corresponds to the MCS index table shown in Fig. 2. In this table, there is room for 32 combinations or entries, where 29 entries are used to signal an adopted MCS, each entry corresponding to a modulation order and a Transport Block Size, TBS, while 3 entries are reserved, e.g., to support adaptive retransmissions. All possible TBS can be described by a TBS table mapping a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist the serving radio node to select the appropriate MCS to apply for downlink transmissions. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals transmitted by the serving radio node. For example, the reported CQI may represent the highest MCS that is supported for a PDSCH transmission, e.g. with a transport block error rate probability not exceeding 10%. The CQI is signaled from the UE to the radio node with reference to a predefined CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations corresponding to CQI index 0-15 in the CQI index table. It should be noted that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. Thus, the selected and signaled CQI indicates the highest modulation and coding rate at which the block error rate measured at UE does not exceed 10%. Based on the CQI feedback from the UE and other information, the radio node is able to select a proper MCS index from the MCS table and notify the UE accordingly by MCS signaling.

Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, the CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that also includes specific entries for new modulation schemes. The modification of MCS/CQI table may require re-designing the DCI format and possibly also the Uplink Control Information, UCI, format.

Typically, the additional MCS/CQI tables are used in scenarios with high Signal-to-Noise Ratio, SNR, or SINR which allow for higher-order modulation to be used thanks to the high signal quality. In scenarios with relatively low SNR or SINR, on the other hand, the current MCS/CQI tables supporting QPSK, 16QAM and 64QAM are useful to achieve link robustness. Hence, a solution has been devised with flexibility to adopt appropriate MCS/CQI tables based on channel conditions as follows.

As mentioned above, current LTE systems only support modulation up to 64QAM, while it may be desirable to use higher-order modulation, e.g. 256QAM, to increase the data rate when the signal quality allows. To support higher-order modulation, adaptations and/or extensions to the current control signaling in terms of the MCS index table, the CQI index table and the corresponding fields in DCI/UCI are required. This can be solved by the embodiments described herein.

In this disclosure, an alternative design of an MCS index table and/or of a CQI index table supporting higher-order modulation is described which can be used for LTE systems, which can be supported in addition to basic MCS and CQI tables such as the current design of the MCS index table and the CQI index table shown in Fig. 2 and Fig. 3, respectively.

In the current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM, e.g. as illustrated in Figs 2 and 3. The proposed new MCS

and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits in the DCI/UCI formats, or the number of entries in the MCS table and in the CQI table, respectively. In this solution, it is possible to select higher-order modulation schemes e.g. in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables may be preserved. The current MCS and/or CQI tables may be comprised in a first table configuration while the new MCS and/or CQI tables supporting a higher-order modulation may be comprised in a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE and a radio node has to implement may be minimized. In other words, the UE and the radio node need to support only one extra MCS table and/or CQI table of the second table configuration in order to enable the higher-order modulation.

In a possible embodiment, at least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and/or at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table is preserved or maintained from the basic MCS and/or CQI table, to ensure proper communication between the radio node and the UE under poor channel or radio conditions. Thus, a fallback is provided in case it is only possible or desirable to use a modulation order lower than the higher modulation order, e.g. the lowest modulation order, when the second table configuration is applied. This provides flexibility and robustness in case of changing channel or radio conditions, and provides a robust format to, for example, signal control-plane data, and/or to reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel or radio conditions. By employing embodiments described herein, the link adaption in LTE systems may be enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency e.g. in

high SINR scenarios, while maintaining robustness in case of worsening radio conditions.

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and Ultra Mobile Broadband, UMB, may also benefit from exploiting embodiments described herein.

It should also be noted that terminology such as radio node should be considered non-limiting and in general “radio node” could be considered as device 1 and “UE” could be considered as device 2 and these two devices may communicate with each other over some radio channel in the manner described herein.

In the following, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be utilized in another embodiment wherever appropriate.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. A possible design of alternative MCS and CQI index tables will now be described as well as the mechanism by which the radio node and the UE can switch between the proposed new MCS/CQI tables of the second table configuration and the MCS/CQI tables of the first table configuration. It should be noted that the solution is not limited to the specific examples of MCS/CQI tables described herein and that any MCS/CQI tables may be used in accordance with the embodiments described herein.

An example of a procedure, performed by a radio node of a cellular network when the solution is employed, will now be described with reference to the flow chart in Fig. 4. Some possible but non-limiting embodiments will also be described which may be used for the radio node. In this procedure, it is assumed that the radio node is operable to apply a first table configuration in radio communications with UEs, and that the first table configuration comprises at least one of a first MCS

table and a first CQI table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. As said above, the maximum modulation order supported by the currently used MCS/CQI tables is  $Q_m = 6$  which corresponds to 64QAM, and the currently used MCS/CQI tables may, without limitation, be used as the first table configuration in this solution.

A first **action 400** illustrates that the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. For example, this may be detected when a performance related parameter, e.g. SINR, of signals communicated between the radio node and the second UE is above a certain threshold.

Another **action 402** illustrates that the radio node instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. In other words, the at least one of the second MCS table and the second CQI table includes at least one entry for at least one modulation order, e.g. the lowest modulation order, that is also included in the at least one of the first MCS table and the first CQI table, in order to provide said fall-back. Such a fall-back may be desirable, and even necessary, if the signal quality, e.g. as indicated by the CQI reports from the UE, suddenly deteriorates and no modulation order higher than the lowest one is suitable or even possible to use for achieving robustness.

In a possible embodiment, the radio node may instruct the first UE to apply the second table configuration in the radio communication between the radio node and



the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold. The performance related parameter may be derived from CQI reports provided by the first UE containing measurements of downlink reference signals transmitted by the radio node.

In another possible embodiment, the radio node may instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold. In yet a possible embodiment, the radio node may in this case instruct the second UE explicitly by sending an instruction to the second UE to apply the first table configuration, or implicitly by not sending an instruction to the second UE to apply the second table configuration which indicates to the second UE that it should apply the first table configuration. Furthermore, the radio node may instruct the first UE as well to apply the first table configuration again, if the performance related parameter of signals between the radio node and the first UE would fall below the threshold. In either of the latter embodiments, the performance related parameter may comprise a Signal-to-Interference-and-Noise Ratio, SINR.

It was mentioned above that the MCS and CQI index tables of the second table configuration may be created by modifying the MCS and CQI index tables of the first table configuration, e.g. the tables shown in Figs 2 and 3, such that the current maximum modulation order can be increased. This may be done without requiring any extra signaling bits. In one possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries for the higher modulation order have been added and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed in the respective second tables. In another possible embodiment, the at least one modulation order in the at least one of the first MCS table and the first CQI table may comprise a lowest modulation order of the first table configuration. It will be described later below how the above-

mentioned modification of the first MCS table and the first CQI table may be done in more detail with reference to examples shown in Figs 8 and 9.

It was also mentioned above that at least one entry for the at least one modulation order, e.g. the lowest modulation order, in the at least one of the first MCS table and the first CQI table is maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table as a fall-back in case the signal quality deteriorates while using the second table configuration. In another possible embodiment, the first entry for the lowest modulation order in the first MCS table may be maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table may be maintained in the second CQI table as the fall-back. This embodiment will be illustrated in more detail later below.

In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order may be equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size. Thereby, no extra bits are needed in the signaling of MCS and/or CQI, respectively, for supporting the second table configuration in addition to the first table configuration.

In another possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added. In yet a possible embodiment, the first and second table configurations may further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively. The TBS table maps a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size, e.g. given in number of bits.

A detailed but non-limiting example of how a radio node may be structured with some possible functional entities such as modules, circuits or units, to bring about

the above-described functionality of the radio node, is illustrated by the block diagram in Fig. 5. In this figure, the radio node 500 is operable to apply a first table configuration in radio communications with UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The radio node 500 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the radio node 500 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 4 and the embodiments described above, where appropriate. In order to put any of this into practice, the radio node 500 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the radio node 500 comprises means, such as a **logic unit 500a**, configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node 500 and a first UE 502. This detecting operation may be performed as described for action 400 above.

The radio node 500 also comprises means, such as an **instructing unit 500b**, configured to instruct the first UE 502 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. This instructing operation may be performed as described for action 402 above.

An example of a procedure, performed by a UE when the solution is employed, will now be described with reference to the flow chart in Fig. 6. In this procedure, it is assumed that the UE is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

A first **action 600** illustrates that the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. In another **action 602**, the UE applies the second table configuration in the radio communication with the radio node, which may include receiving MCS signaling from the radio node with reference to the second MCS table and/or sending CQI reports to the radio node with reference to the second CQI table, in accordance with the second table configuration.

A detailed but non-limiting example of how a UE may be structured with some possible functional entities such as modules, circuits or units, to bring about the above-described functionality of the UE, is illustrated by the block diagram in Fig. 7. In this figure, the UE 700 is operable to apply a first table configuration in a radio communication with a serving radio node 702 of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The UE 700 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the UE 700 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 6. In order to put any of this into practice, the UE

700 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the UE 700 comprises means, such as a **communication unit 700a**, configured to receive an instruction from the radio node 702 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE 700 also comprises means, such as a **logic unit 700b**, configured to apply the second table configuration in the radio communication with the radio node 702. This is illustrated in the figure by the UE 700 receiving MCS signaling from the radio node 702 with reference to the second MCS table and/or sending CQI reports to the radio node 702, with reference to the second CQI table in accordance with the second table configuration.

It should be noted that Figs 5 and 7 illustrate various functional units in the radio node 500 and the UE 700, respectively, and the skilled person is able to implement these functional units in practice using suitable software and hardware. Thus, the solution is generally not limited to the shown structures of the radio node 500 and the UE 700, and the functional units 500a-b and 700a-b may be configured to operate according to any of the features described in this disclosure, where appropriate.

The functional units 500a-b and 700a-b described above can be implemented in the radio node 500 and the UE 700, respectively, by means of program modules of a respective computer program comprising code means which, when run by the processor P in each node causes the radio node 500 and the UE 700 to perform the above-described actions and procedures. Each processor P may comprise a single Central Processing Unit (CPU), or could comprise two or more processing units. For example, each processor P may include a general purpose microprocessor, an instruction set processor and/or related chips sets and/or a special purpose microprocessor such as an Application Specific Integrated Circuit (ASIC). Each processor P may also comprise a storage for caching purposes.

Each computer program may be carried by a computer program product in each of the radio node 500 and the UE 700 in the form of a memory having a computer readable medium and being connected to the processor P. The computer program product or memory M in each of the radio node 500 and the UE 700 may thus comprise a computer readable medium on which the computer program is stored e.g. in the form of computer program modules or the like. For example, the memory M in each node may be a flash memory, a Random-Access Memory (RAM), a Read-Only Memory (ROM) or an Electrically Erasable Programmable ROM (EEPROM), and the program modules could in alternative embodiments be distributed on different computer program products in the form of memories within the respective radio node 500 and UE 700.

The solution described herein may be implemented in the respective radio node 500 and UE 700 by a computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the actions according to any of the above embodiments. The solution may also be implemented at the respective radio node 500 and UE 700 in a carrier containing the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

It will now be described in more detail how the first MCS and CQI tables shown in Figs 2 and 3 can be modified to create the second MCS and CQI tables, which is exemplified in the modified MCS table shown in Fig. 8 and in the modified CQI table shown in Fig. 9.

#### **MCS index table**

In an illustrative example, a first MCS table of the above-described first table configuration is used for downlink transmission to one UE, such as UE1 in Fig. 1, and for downlink transmission to another UE, such as UE2 in Fig. 1, a second MCS table of the above-described second table configuration is used.

In another illustrative example, the first MCS table is the current MCS table of the first table configuration in specification as shown in Fig. 2, and the second MCS table is a modified new MCS table of the second table configuration that supports

a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation. An example of a second MCS table is shown in Fig. 8 which has been modified from the first MCS table of Fig. 2.

In another illustrative example, the radio node can use either current MCS table or proposed alternative MCS table for DL transmission. In a further example, the information about the MCS table that is to be used may be part of a DCI message, in which case it typically applies to a single specific subframe, or it may be part of a Radio Resource Control, RRC message or a Medium Access Control, MAC message, in which case it typically applies until further notice.

In another illustrative example, the proposed MCS index table of the second table configuration may have  $32+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index  $I_{MCS}$ , modulation order  $Q_m$  and TBS index  $I_{TBS}$ . Each table row or entry, that corresponds to one MCS, is indexed by the MCS index  $I_{MCS}$  and contains a particular combination of modulation order and TBS index.

In another illustrative example, in the proposed MCS index table of the second table configuration,  $M$  rows, i.e.,  $M$  MCS indices may be used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBS index, where  $M$  is a non-negative integer with  $M > N$ .

In another illustrative example,  $32+N-M$  MCS entries in Fig. 2 may be re-used in the new MCS table of the second table configuration. In other words,  $M-N$  MCS entries in Fig. 2 are not included in the new MCS table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another example, the rows of TBS values corresponding to the TBS indices contained in said  $M-N$  MCSs may be removed from the TBS table of the first table configuration. Meanwhile,  $M$

new rows of TBS values corresponding to said  $M$  MCSs for higher-order modulation are added to said TBS table.

In a further illustrative example, when  $N=0$ , the new MCS table of the second table configuration may keep the same size as the MCS table in Fig. 2 and  $M$  MCSs for higher-order modulation in Fig. 8 replaces  $M$  MCSs in Fig. 2. In a further example, the lowest MCS, corresponding to MCS index 0, may be preserved or kept in the new MCS table of the second table configuration in Fig. 8. In a further example, the subsequent  $M$  lowest MCSs (corresponding to MCS indices 1 through  $M$ ) are excluded in the new MCS table of the second table configuration. An example of such a new MCS table is shown in Fig. 8. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed in Fig. 8, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the MCS table in Fig. 8.

In order to create the TBS table of the second table configuration, rows of TBS values corresponding to the removed and added MCSs should be removed and added accordingly from and to the TBS table of the first table configuration. Other MCS entries are re-used in the new MCS table and the indices in this table are rearranged, i.e. renumbered, from beginning. Fig. 10 illustrates an example of a proposed TBS table of the second table configuration, table dimension is  $27 \times 110$ , with index 21-26 for 256QAM, corresponding to the table in Fig. 9, where "NV" denotes new values. For the example shown in Fig. 10, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS values for 256QAM have been added at the end of the table. This new TBS table of the second table configuration shall be consistent with the TBS index in said new MCS table of the second table configuration.

Fig. 10 will now be explained in more detail. Fig. 10 shows the Transport Block Size table in the specification 36.213 Table 7.1.7.2.1-1. The transport block size, i.e. the number of bits carried by a transport block is determined by both adopted MCS and the number of pair of resource blocks which is denoted  $N_{PRB}$  in the table. For each MCS index in the MCS table, there are 110 possible numbers of



PRB, 1-110. This is why the TBS table has a size of 110 columns and 27 rows that correspond to different MCSs, although they are not one-to-one mappings to the MCS table. If new MCS indices are added for 256QAM, the TBS entries for 256QAM need also be calculated and specified. Hence, in the new MCS table, the new entries for 256QAM must contain the TBS indices for corresponding new TBS values.

In another illustrative example, the lowest MCS, i.e. the entry with MCS index 0 in the MCS table of the first table configuration shown in Fig. 2 may be re-used in the new MCS table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another illustrative example, when the new MCS table of the second table configuration is in use and the entry for lowest MCS is selected for a transmission, this indicates that it is difficult to support higher-order modulation due to variation of channel quality, bad radio conditions or other issues, and that a more robust MCS is needed. Hence, the MCS table used in the next transmission may automatically fall back to the first MCS table of the first table configuration, which may without limitation be the MCS table of the current standard, which allows for selection of any of the entries of e.g. the lowest modulation order.

In another illustrative example, the MCS field in DCI may be extended by one or more bits to indicate the MCS index when  $N > 0$ , that is, the new MCS table of the second table configuration may have more than 32 MCS indices. However, this expansion of the MCS table may require one or more extra bits in the DCI.

### **CQI index table**

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.

In an illustrative example, a first CQI table of the first table configuration may be used for radio transmission from the first UE to the radio node, while in the radio transmission from the second UE to the radio node, a second CQI table of the second table configuration may be used, or vice versa.

In another illustrative example, said first CQI table and second CQI table are the current CQI table in specification and a modified CQI table that contains entries for higher-order modulation, respectively. An example of a second CQI table of the second table configuration is shown in Fig. 9 which has been modified from the first CQI table of the first table configuration in Fig. 3.

In another illustrative example, a UE can use either the current CQI table of the first table configuration or the new CQI table of the second table configuration for transmission. In further examples, the information about the CQI table that is to be used may be part of a UCI message or part of a RRC or MAC message.

In another illustrative example, the proposed CQI table of the second table configuration has  $16+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the new CQI table represent the same parameters as those of the CQI table shown in Fig. 3, which include CQI index, modulation form, code rate and efficiency. Each table row or entry, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another illustrative example, in the proposed CQI index table of the second table configuration,  $M$  rows, i.e.,  $M$  CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where  $M$  is a non-negative integer with  $M > N$ . In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another illustrative example,  $16+N-M$  CQIs in Fig. 3 are re-used in the alternative CQI table of the second table configuration. In a further embodiment,

when  $N=0$ , the new CQI table keeps the same size as the CQI table in Fig. 3 and  $M$  CQIs for higher-order modulation replaces  $M$  CQIs in Fig. 3.

In a further example, the lowest CQI corresponding to CQI index 1 is preserved in the new CQI table of the second table configuration. In a further embodiment, the subsequent  $M$  lowest CQIs, corresponding to CQI indices 2 through  $M+1$ , are excluded in the new CQI table of the second table configuration. An example of such an embodiment is provided in Fig. 9. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to the table in Fig. 9. Other CQIs are re-used in the table and their indices are rearranged.

As mentioned above, the lowest CQI, i.e. the entry with index 1 in Fig. 3, may be re-used in the new CQI table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition.

In another illustrative example, when the new CQI table of the second table configuration is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission may automatically fall back to the first CQI table of the first table configuration which may without limitation be the CQI table in the current standard.

In another illustrative example, the CQI field in uplink control signaling may be extended by one or more bits to indicate the CQI index when  $N>0$ , that is, the new CQI table has more than 16 CQIs. However, this expansion of the CQI table may require one or more extra bits in the DCI.

### **Potential advantages**

The embodiments described herein may have the following advantages:

- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR or SNR scenarios.
- At least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table may be preserved from the first table configuration as a fallback in the second table configuration to ensure proper communication between the radio node and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.
- The implementation effort in the radio node and UEs may be kept to a minimum by sharing the majority of the MCS/CQI entries in the first and second MCS/CQI tables, respectively.
- The solution may be applied for both downlink and uplink transmissions.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms “radio node”, “User Equipment, UE”, “table configuration”, and “modulation order” have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

### **Abbreviations**

|       |                                  |
|-------|----------------------------------|
| LTE   | long-term evolution              |
| PDSCH | physical downlink shared channel |

|       |   |
|-------|---|
| MCS   | modulation and coding scheme                    |
| eNB   | E-UTRAN NodeB                                   |
| UE    | user equipment                                  |
| CQI   | channel-quality indicator                       |
| QPSK  | quadrature phase-shift keying                   |
| 16QAM | 16 quadrature amplitude modulation              |
| 64QAM | 64 quadrature amplitude modulation              |
| SINR  | signal-to-interference-and-noise ratio          |
| DCI   | downlink control information                    |
| GSM   | global system for mobile communications         |
| WCDMA | wideband code-division multiple access          |
| WiMAX | worldwide interoperability for microwave access |
| UMB   | ultra mobile broadband                          |

## CLAIMS

1. A method performed by a radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- detecting (400) that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- instructing (402) the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

2. A method according to claim 1, wherein the radio node (500) instructs the first UE (502) to apply the second table configuration in the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

3. A method according to claim 2, wherein the radio node (500) instructs a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter

of signals communicated between the radio node (500) and the second UE is below the threshold.

4. A method according to claim 2 or 3, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.
5. A method according to claim 3, wherein the radio node (500) instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
6. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
7. A method according to any of claims 1-6, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.
8. A method according to claim 7, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.
9. A method according to claim 6 and any of claims 7-8, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
10. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of

the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

11. A method according to any of claims 1-10, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

12. A radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node (500) comprising:

- a logic unit (500a) configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- an instructing unit (500b) configured to instruct the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

13. A radio node (500) according to claim 12, wherein the radio node (500) is configured to instruct the first UE (502) to apply the second table configuration in



the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

14. A radio node (500) according to claim 13, wherein the radio node (500) is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter of signals communicated between the radio node (500) and the second UE is below the threshold.

15. A radio node (500) according to claim 13 or 14, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

16. A radio node (500) according to claim 14, wherein the radio node (500) is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

17. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

18. A radio node (500) according to any of claims 12-17, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

19. A radio node (500) according to claim 18, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.

20. A radio node (500) according to claim 17 and any of claims 18-19, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

21. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

22. A radio node (500) according to any of claims 12-21, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

23. A method performed by a User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (600) an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- applying (602) the second table configuration in the radio communication with the radio node (702).

24. A User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (700a) configured to receive an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- a logic unit (700b) configured to apply the second table configuration in the radio communication with the radio node (702).

25. A computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the method according to any one of claims 1-11 and 23.

26. A carrier containing the computer program of claim 25, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

**ABSTRACT**

A method and radio node (500) for enabling higher-order modulation in a radio communication with a first UE (502). A first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table which tables support a certain maximum modulation order. When the radio node (500) detects that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in the radio communication, the radio node (500) instructs the first UE (502) to apply a second table configuration which comprises at least one of a second MCS table and a second CQI table which second tables support the higher modulation order. At least one entry for at least one modulation order in the tables of the first table configuration is maintained in the tables of the second table configuration as a fall-back in case it is desirable to use the at least one modulation order of the first table configuration when the second table configuration is applied. Thereby, a higher data rate can be achieved in the radio communication.

(Fig. 5)

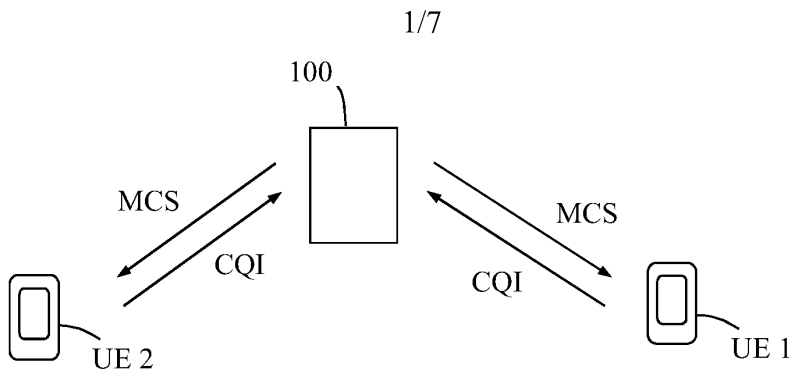


Fig. 1 (Prior art)

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |
| 2                      | 2                         | 2                      |
| 3                      | 2                         | 3                      |
| 4                      | 2                         | 4                      |
| 5                      | 2                         | 5                      |
| 6                      | 2                         | 6                      |
| 7                      | 2                         | 7                      |
| 8                      | 2                         | 8                      |
| 9                      | 2                         | 9                      |
| 10                     | 4                         | 9                      |
| 11                     | 4                         | 10                     |
| 12                     | 4                         | 11                     |
| 13                     | 4                         | 12                     |
| 14                     | 4                         | 13                     |
| 15                     | 4                         | 14                     |
| 16                     | 4                         | 15                     |
| 17                     | 6                         | 15                     |
| 18                     | 6                         | 16                     |
| 19                     | 6                         | 17                     |
| 20                     | 6                         | 18                     |
| 21                     | 6                         | 19                     |
| 22                     | 6                         | 20                     |
| 23                     | 6                         | 21                     |
| 24                     | 6                         | 22                     |
| 25                     | 6                         | 23                     |
| 26                     | 6                         | 24                     |
| 27                     | 6                         | 25                     |
| 28                     | 6                         | 26                     |
| 29                     | 2                         | reserved               |
| 30                     | 4                         |                        |
| 31                     | 6                         |                        |

Fig. 2

| CQI index | modulation   | code rate x 1024 | efficiency |
|-----------|--------------|------------------|------------|
| 0         | out of range |                  |            |
| 1         | QPSK         | 78               | 0.1523     |
| 2         | QPSK         | 120              | 0.2344     |
| 3         | QPSK         | 193              | 0.3770     |
| 4         | QPSK         | 308              | 0.6016     |
| 5         | QPSK         | 449              | 0.8770     |
| 6         | QPSK         | 602              | 1.1758     |
| 7         | 16QAM        | 378              | 1.4766     |
| 8         | 16QAM        | 490              | 1.9141     |
| 9         | 16QAM        | 616              | 2.4063     |
| 10        | 64QAM        | 466              | 2.7305     |
| 11        | 64QAM        | 567              | 3.3223     |
| 12        | 64QAM        | 666              | 3.9023     |
| 13        | 64QAM        | 772              | 4.5234     |
| 14        | 64QAM        | 873              | 5.1152     |
| 15        | 64QAM        | 948              | 5.5547     |

Fig. 3

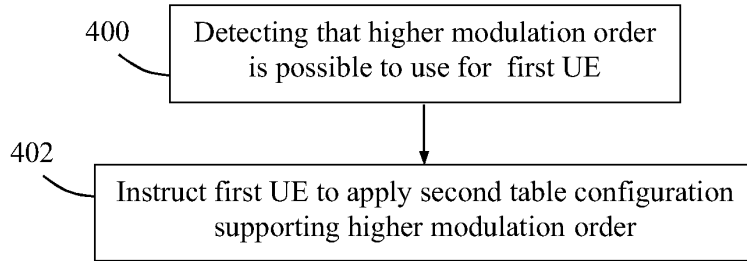


Fig. 4

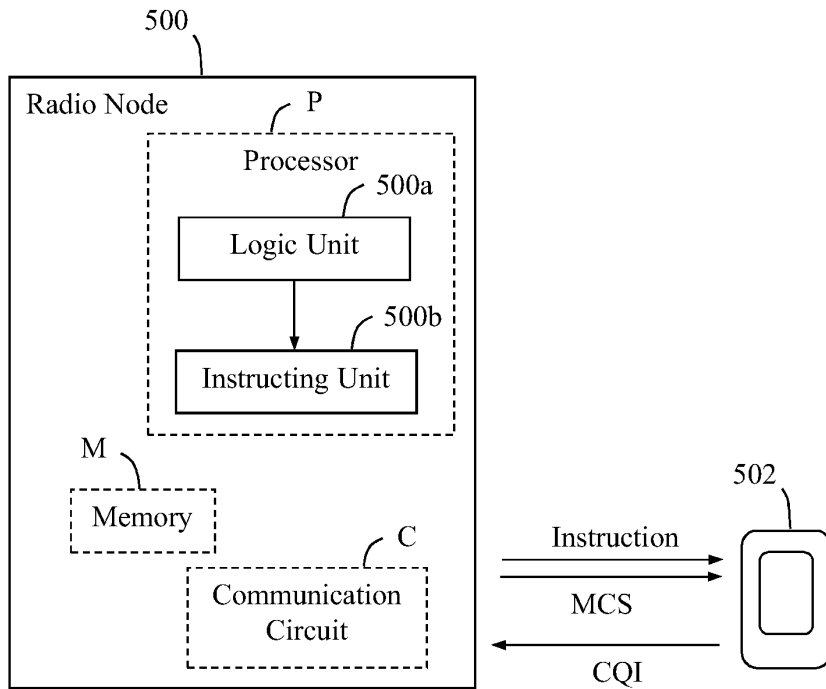


Fig. 5

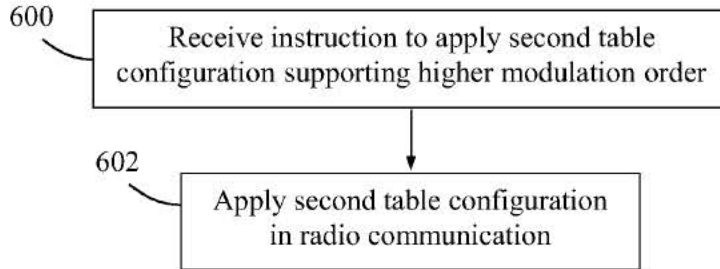


Fig. 6

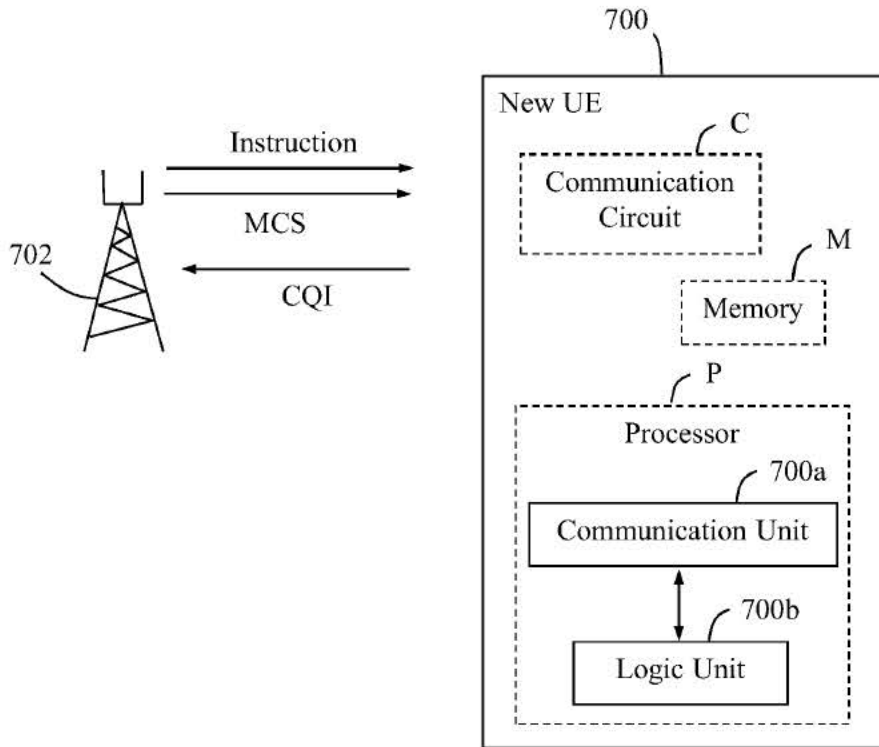


Fig. 7



| <b>MCS Index</b> | <b>Modulation Order</b> | <b>TBS Index</b> |
|------------------|-------------------------|------------------|
| $I_{MCS}$        | $Q_m$                   | $I_{TBS}$        |
| <del>0</del>     | <del>2</del>            | <del>0</del>     |
| <del>1</del>     | <del>2</del>            | <del>1</del>     |
| <del>2</del>     | <del>2</del>            | <del>2</del>     |
| <del>3</del>     | <del>2</del>            | <del>3</del>     |
| <del>4</del>     | <del>2</del>            | <del>4</del>     |
| <del>5</del>     | <del>2</del>            | <del>5</del>     |
| <del>6</del>     | <del>2</del>            | <del>6</del>     |
| <del>7-1</del>   | <del>2</del>            | <del>7</del>     |
| <del>8-2</del>   | <del>2</del>            | <del>8</del>     |
| <del>9-3</del>   | <del>2</del>            | <del>9</del>     |
| <del>10-4</del>  | <del>4</del>            | <del>9</del>     |
| <del>11-5</del>  | <del>4</del>            | <del>10</del>    |
| <del>12-6</del>  | <del>4</del>            | <del>11</del>    |
| <del>13-7</del>  | <del>4</del>            | <del>12</del>    |
| <del>14-8</del>  | <del>4</del>            | <del>13</del>    |
| <del>15-9</del>  | <del>4</del>            | <del>14</del>    |
| <del>16-10</del> | <del>4</del>            | <del>15</del>    |
| <del>17-11</del> | <del>6</del>            | <del>15</del>    |
| <del>18-12</del> | <del>6</del>            | <del>16</del>    |
| <del>19-13</del> | <del>6</del>            | <del>17</del>    |
| <del>20-14</del> | <del>6</del>            | <del>18</del>    |
| <del>21-15</del> | <del>6</del>            | <del>19</del>    |
| <del>22-16</del> | <del>6</del>            | <del>20</del>    |
| <del>23-17</del> | <del>6</del>            | <del>21</del>    |
| <del>24-18</del> | <del>6</del>            | <del>22</del>    |
| <del>25-19</del> | <del>6</del>            | <del>23</del>    |
| <del>26-20</del> | <del>6</del>            | <del>24</del>    |
| <del>27-21</del> | <del>6</del>            | <del>25</del>    |
| <del>28-22</del> | <del>6</del>            | <del>26</del>    |
| <b>23</b>        | <b>8</b>                | <b>New index</b> |
| <b>24</b>        | <b>8</b>                | <b>New index</b> |
| <b>25</b>        | <b>8</b>                | <b>New index</b> |
| <b>26</b>        | <b>8</b>                | <b>New index</b> |
| <b>27</b>        | <b>8</b>                | <b>New index</b> |
| <b>28</b>        | <b>8</b>                | <b>New index</b> |
| <del>29</del>    | <del>2</del>            | reserved         |
| <del>30</del>    | <del>4</del>            |                  |
| <del>31</del>    | <del>6</del>            |                  |

Fig. 8

| <u>CQI index</u> | <u>modulation</u> | <u>code rate x 1024</u> | <u>efficiency</u> |
|------------------|-------------------|-------------------------|-------------------|
| <u>0</u>         | out of range      |                         |                   |
| <u>1</u>         | <u>QPSK</u>       | <u>78</u>               | <u>0.1523</u>     |
| <u>2</u>         | <u>QPSK</u>       | <u>120</u>              | <u>0.2344</u>     |
| <u>3</u>         | <u>QPSK</u>       | <u>193</u>              | <u>0.3770</u>     |
| <u>4</u>         | <u>QPSK</u>       | <u>308</u>              | <u>0.6016</u>     |
| <u>5</u>         | <u>QPSK</u>       | <u>449</u>              | <u>0.8770</u>     |
| <u>6-2</u>       | <u>QPSK</u>       | <u>602</u>              | <u>1.1758</u>     |
| <u>7-3</u>       | <u>16QAM</u>      | <u>378</u>              | <u>1.4766</u>     |
| <u>8-4</u>       | <u>16QAM</u>      | <u>490</u>              | <u>1.9141</u>     |
| <u>9-5</u>       | <u>16QAM</u>      | <u>616</u>              | <u>2.4063</u>     |
| <u>10-6</u>      | <u>64QAM</u>      | <u>466</u>              | <u>2.7305</u>     |
| <u>11-7</u>      | <u>64QAM</u>      | <u>567</u>              | <u>3.3223</u>     |
| <u>12-8</u>      | <u>64QAM</u>      | <u>666</u>              | <u>3.9023</u>     |
| <u>13-9</u>      | <u>64QAM</u>      | <u>772</u>              | <u>4.5234</u>     |
| <u>14-10</u>     | <u>64QAM</u>      | <u>873</u>              | <u>5.1152</u>     |
| <u>15-11</u>     | <u>64QAM</u>      | <u>948</u>              | <u>5.5547</u>     |
| <u>12</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>13</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>14</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>15</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |

Fig. 9

| $l_{TBS}$ | $N_{PRB}$ |      |      |      |     |     |     |       |       |       |
|-----------|-----------|------|------|------|-----|-----|-----|-------|-------|-------|
|           | 1         | 2    | 3    | 4    | ... | ... | ... | 108   | 109   | 110   |
| 0         | 16        | 32   | 56   | 88   | ... | ... | ... | 2984  | 2984  | 3112  |
| 1         | 24        | 56   | 88   | 144  | ... | ... | ... | 4008  | 4008  | 4008  |
| 2         | 32        | 72   | 144  | 176  | ... | ... | ... | 4776  | 4968  | 4968  |
| 3         | 40        | 104  | 176  | 208  | ... | ... | ... | 6200  | 6456  | 6456  |
| 4         | 56        | 120  | 208  | 256  | ... | ... | ... | 7736  | 7736  | 7992  |
| 5         | 72        | 144  | 224  | 328  | ... | ... | ... | 9528  | 9528  | 9528  |
| 6         | 328       | 176  | 256  | 392  | ... | ... | ... | 11448 | 11448 | 11448 |
| 7 1       | 104       | 224  | 328  | 472  | ... | ... | ... | 12960 | 13536 | 13536 |
| 8 2       | 120       | 256  | 392  | 536  | ... | ... | ... | 15264 | 15264 | 15264 |
| 9 3       | 136       | 296  | 456  | 616  | ... | ... | ... | 16992 | 16992 | 17568 |
| 10 4      | 144       | 328  | 504  | 680  | ... | ... | ... | 19080 | 19080 | 19080 |
| 11 5      | 176       | 376  | 584  | 776  | ... | ... | ... | 22152 | 22152 | 22152 |
| 12 6      | 208       | 440  | 680  | 904  | ... | ... | ... | 24496 | 24496 | 25456 |
| 13 7      | 224       | 488  | 744  | 1000 | ... | ... | ... | 27376 | 28336 | 28336 |
| 14 8      | 256       | 552  | 840  | 1128 | ... | ... | ... | 30576 | 31704 | 31704 |
| 15 9      | 280       | 600  | 904  | 1224 | ... | ... | ... | 32856 | 34008 | 34008 |
| 16 10     | 328       | 632  | 968  | 1288 | ... | ... | ... | 35160 | 35160 | 35160 |
| 17 11     | 336       | 696  | 1064 | 1416 | ... | ... | ... | 39232 | 39232 | 39232 |
| 18 12     | 376       | 776  | 1160 | 1544 | ... | ... | ... | 42368 | 43816 | 43816 |
| 19 13     | 408       | 840  | 1288 | 1736 | ... | ... | ... | 46888 | 46888 | 46888 |
| 20 14     | 440       | 904  | 1384 | 1864 | ... | ... | ... | 51024 | 51024 | 51024 |
| 21 15     | 488       | 1000 | 1480 | 1992 | ... | ... | ... | 55056 | 55056 | 55056 |
| 22 16     | 520       | 1064 | 1608 | 2152 | ... | ... | ... | 59256 | 59256 | 59256 |
| 23 17     | 552       | 1128 | 1736 | 2280 | ... | ... | ... | 61664 | 61664 | 63776 |
| 24 18     | 584       | 1192 | 1800 | 2408 | ... | ... | ... | 66592 | 66592 | 66592 |
| 25 19     | 616       | 1256 | 1864 | 2536 | ... | ... | ... | 68808 | 68808 | 71112 |
| 26 20     | 712       | 1480 | 2216 | 2984 | ... | ... | ... | 75376 | 75376 | 75376 |
| 21        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 22        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 23        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 24        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 25        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 26        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |

Fig. 10

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|            |   |   |
|------------|---|---|
| <b>0</b>   | <b>For receiving Office use only</b>  |   |
| 0-1        | International Application No.   |   |
| 0-2        | International Filing Date   |   |
| 0-3        | Name of receiving Office and "PCT International Application"  |   |
| <b>0-4</b> | <b>Form PCT/RO/101 PCT Request</b>  |   |
| 0-4-1      | Prepared Using  | <b>PCT Online Filing<br/>Version 3.5.000.235 MT/FOP<br/>20020701/0.20.5.20</b>  |
| 0-5        | <b>Petition</b><br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty  |   |
| 0-6        | <b>Receiving Office (specified by the applicant)</b>  | <b>Swedish Patent and Registration Office (RO/SE)</b>   |
| 0-7        | <b>Applicant's or agent's file reference</b>  | <b>P41223WO1</b>  |
| <b>I</b>   | <b>Title of Invention</b>   | <b>METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT</b> |
| <b>II</b>  | <b>Applicant</b>  |   |
| II-1       | This person is  | <b>Applicant only</b>   |
| II-2       | Applicant for   | <b>All designated States</b>  |
| II-4       | Name  | <b>Telefonaktiebolaget L M Ericsson (publ)</b>  |
| II-5       | Address   | <b>SE-164 83 Stockholm<br/>Sweden</b>   |
| II-6       | State of nationality  | <b>SE</b>   |
| II-7       | State of residence  | <b>SE</b>   |
| II-8       | Telephone No.   | <b>+46 10 719 0000</b>  |
| II-9       | Facsimile No.   | <b>+46 10 71 75695</b>  |
| II-10      | e-mail  | <b>patent.development@ericsson.com</b>  |
| II-10(a)   | E-mail authorization<br>The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application: | <b>exclusively in electronic form (no paper notifications will be sent)</b>   |

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|--------------|---|--|
| <b>III-1</b> | <b>Applicant and/or inventor</b>  |  |
| III-1-1      | This person is  | <b>Inventor only</b>   |
| III-1-3      | Inventor for  |  |
| III-1-4      | Name (LAST, First)  | <b>HAMMARWALL, David</b>   |
| III-1-5      | Address   | <b>Hällmarksvägen 59<br/>SE-186 53 VALLENTUNA<br/>Sweden</b>                     |
| <b>III-2</b> | <b>Applicant and/or inventor</b>  |  |
| III-2-1      | This person is  | <b>Inventor only</b>   |
| III-2-3      | Inventor for  |  |
| III-2-4      | Name (LAST, First)  | <b>WANG, Meng</b>  |
| III-2-5      | Address   | <b>Signalistgatan 14<br/>SE-169 72 SOLNA<br/>Sweden</b>                          |
| <b>IV-1</b>  | <b>Agent or common representative; or address for correspondence</b>  |  |
|              | The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:   | <b>Agent</b>   |
| IV-1-1       | Name (LAST, First)  | <b>BOU FAICAL, Roger</b>   |
| IV-1-2       | Address   | <b>Ericsson AB<br/>Patent Unit Kista RAN1<br/>SE-164 80 Stockholm<br/>Sweden</b> |
| IV-1-3       | Telephone No.   | <b>+46 10 7134981</b>  |
| IV-1-4       | Facsimile No.   | <b>+46 10 7175695</b>  |
| IV-1-5       | e-mail  | <b>patent.development@ericsson.com</b>   |
| IV-1-5(a)    | E-mail authorization<br>) The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application: | <b>exclusively in electronic form (no paper notifications will be sent)</b>      |
| <b>V</b>     | <b>DESIGNATIONS</b>   |  |
| <b>V-1</b>   | <b>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</b>                                     |  |
| <b>VI-1</b>  | <b>Priority claim of earlier national application</b>   |  |
| VI-1-1       | Filing date   | <b>09 August 2013 (09.08.2013)</b>   |
| VI-1-2       | Number  | <b>61/863,935</b>  |
| VI-1-3       | Country   | <b>US</b>  |

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|              |  |  |  |
|--------------|--|--|--|
| <b>VI-2</b>  | <b>Priority document request</b><br>The International Bureau is requested to obtain from a digital library a certified copy of the earlier application(s) identified above as item(s), using, where applicable, the access code(s) indicated:  | <b>VI-1 Access code: 6638</b>                |  |
| <b>VI-3</b>  | <b>Incorporation by reference :</b><br>where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6. |  |  |
| <b>VII-1</b> | <b>International Searching Authority Chosen</b>  | <b>European Patent Office (EPO) (ISA/EP)</b> |  |
| <b>VIII</b>  | <b>Declarations</b>  | Number of declarations                       |  |
| VIII-1       | Declaration as to the identity of the inventor   | -  |  |
| VIII-2       | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent   | <b>1</b>                                     |  |
| VIII-3       | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application   | <b>1</b>                                     |  |
| VIII-4       | Declaration of inventorship (only for the purposes of the designation of the United States of America)   | -  |  |
| VIII-5       | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | -  |  |

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|                 |   |  |
|-----------------|---|--|
| <b>VIII-2-1</b> | <b>Declaration: Entitlement to apply for and be granted a patent</b><br>Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:<br>Name (LAST, First) | <b>In relation to this international application</b><br><br><b>Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:</b>  |
| VIII-2-1(i)     |   | <b>ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng</b>  |
| VIII-2-1(vii)   |   | <b>transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)</b> |

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|               |  |   |
|---------------|--|---|
| VIII-3-1      | <p><b>Declaration: Entitlement to claim priority</b><br/> Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))</p> <p>Name</p> | <p><b>In relation to this international application</b></p> <p><b>Telefonaktiebolaget L M Ericsson (publ) is entitled to claim priority of earlier application No. 61/863,935 by virtue of the following:</b></p>   |
| VIII-3-1(i)   |  | <p><b>ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng</b></p>  |
| VIII-3-1(vii) |  | <p><b>transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)</b></p> |



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| <b>IX</b>    | <b>Check list</b>  | <b>Number of sheets</b>           | <b>Electronic file(s) attached</b> |
|--------------|--|-----------------------------------|------------------------------------|
| IX-1         | Request (including declaration sheets)                                     | <b>6</b>                          | ✓                                  |
| IX-2         | Description  | <b>25</b>                         | ✓                                  |
| IX-3         | Claims   | <b>6</b>                          | ✓                                  |
| IX-4         | Abstract   | <b>1</b>                          | ✓                                  |
| IX-5         | Drawings   | <b>7</b>                          | ✓                                  |
| IX-7         | TOTAL  | <b>45</b>                         |                                    |
|              | <b>Accompanying Items</b>  | <b>Paper document(s) attached</b> | <b>Electronic file(s) attached</b> |
| IX-8         | Fee calculation sheet  | -                                 | ✓                                  |
| IX-18        | PCT-SAFE physical media  | -                                 | -                                  |
| IX-19        | Other  | <b>Pre-conversion archive</b>     | ✓                                  |
| <b>IX-20</b> | <b>Figure of the drawings which should accompany the abstract</b>          | <b>5</b>                          |                                    |
| <b>IX-21</b> | <b>Language of filing of the international application</b>                 | <b>English</b>                    |                                    |
| <b>X-1</b>   | <b>Signature of applicant, agent or common representative</b>              | <b>/Roger Bou Faical/</b>         |                                    |
| <b>X-1-1</b> | <b>Name (LAST, First)</b>  | <b>BOU FAICAL, Roger</b>          |                                    |
| <b>X-1-2</b> | <b>Name of signatory</b>   | <b>BOU FAICAL, Roger</b>          |                                    |
| <b>X-1-3</b> | <b>Capacity (if such capacity is not obvious from reading the request)</b> | <b>(Representative)</b>           |                                    |

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|-------------|--|---------------|
| <b>10-1</b> | <b>Date of actual receipt of the purported international application</b>   |               |
| <b>10-2</b> | <b>Drawings:</b>   |               |
| 10-2-1      | Received   |               |
| 10-2-2      | Not received   |               |
| <b>10-3</b> | <b>Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application</b> |               |
| <b>10-4</b> | <b>Date of timely receipt of the required corrections under PCT Article 11(2)</b>  |               |
| <b>10-5</b> | <b>International Searching Authority</b>   | <b>ISA/EP</b> |
| <b>10-6</b> | <b>Transmittal of search copy delayed until search fee is paid</b>   |               |

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### Acknowledgement of receipt

We hereby acknowledge receipt of your request for the processing of an international application according to the Patent Cooperation Treaty as follows:

|                                 |   |   |
|---------------------------------|---|---|
| Submission number               | 1000052941  |   |
| PCT application number          | PCT/SE2014/050803   |   |
| Date of receipt                 | 26 June 2014  |   |
| Receiving Office                | Swedish Patent and Registration Office  |   |
| Your reference                  | P41223WO1   |   |
| Applicant                       | Telefonaktiebolaget L M Ericsson (publ)   |   |
| Number of applicants            | 1   |   |
| Country                         | SE  |   |
| Title                           | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT                          |   |
| Documents submitted             | eolf-pkda.xml<br>eolf-appb.xml<br>eolf-fees.xml<br>eolf-othd-000001.pdf (32 p.)<br>eolf-appb-P000001.pdf (7 p.)<br>eolf-othd-000002.zip | eolf-requ.xml<br>eolf-decl.xml<br>eolf-vlog.xml<br>eolf-abst.txt<br>eolf-draw.txt |
| Submitted by                    | CN=Angela Norén 12457   |   |
| Method of submission            | Online  |   |
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/Swedish Patent and Registration Office/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of **Hammarwall, et al.** )  
Serial No.: **TBD** ) Examiner: **TBD**  
Filed: **TBD** ) Group Art Unit: **TBD**  
For: **Method and Radio Node for Enabling Use** )  
**of High Order Modulation in a Radio** )  
**Communication with a User Equipment** )  
Attorney's Docket No: **4015-8999 / P41223-US2** )

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Please be advised that this is a **U.S. National Stage Filing of PCT Application**  
**PCT/SE2014/050803.**

Prior to examination, please amend the application as indicated below.

**AMENDMENTS TO THE CLAIMS**

1-26. (Canceled)

27. (New) A method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;

instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

28. (New) The method of claim 27, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
29. (New) The method of claim 28, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
30. (New) The method of claim 28, wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
31. (New) The method of claim 30, wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
32. (New) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
33. (New) The method of claim 32, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

34. (New) The method of claim 27, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.
35. (New) The method of claim 34, wherein:
  - the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback;
  - an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.
36. (New) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
37. (New) The method of claim 27, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.

38. (New) A radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node comprising:
- one or more processing circuits configured to function as:
    - a logic circuit configured to detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;
    - an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;
- wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;
- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.
39. (New) The radio node of claim 38, wherein the radio node is configured to instruct the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.



40. (New) The radio node of claim 39, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
41. (New) The radio node of claim 39, wherein the radio node is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
42. (New) The radio node of claim 41, wherein the radio node is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
43. (New) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
44. (New) The radio node of claim 43, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
45. (New) The radio node of claim 38, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

46. (New) The radio node of claim 45, wherein:
- the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback;
  - an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.
47. (New) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
48. (New) The radio node of claim 38, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
49. (New) A method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:
- receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order

which is higher than the maximum modulation order of the first table configuration;

applying the second table configuration in the radio communication with the radio node.

50. (New) A User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

one or more processing circuits configured to function as:

a communication circuit configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;

a logic circuit configured to apply the second table configuration in the radio communication with the radio node.

51. (New) A computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the radio node, causes the radio node to:
- detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;
  - instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;
- wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;
- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

52. (New) A computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:

receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;

apply the second table configuration in the radio communication with the radio node.

**REMARKS**

The foregoing claim amendments are submitted prior to examination on the merits. The amendments cancel claims 1-26, and add new claims 27-52. New claims 27-52 are similar to now canceled claims 1-26, but are written to eliminate multiple dependent claims, and to better comport the language of the claims with U.S. practice. No new matter has been added, and the amendments are not submitted for reasons related to patentability over any prior art.

Entry of the amendments is requested prior to examination on the merits.

Respectfully submitted,  
COATS & BENNETT P.L.L.C.

/John R. Owen Reg. No. 42055/  
John R. Owen  
Registration No. 42,055  
Telephone: (919) 854-1844

Date: 6 October 2014

|  |                        |            |
|--|------------------------|------------|
| <b>DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)</b> | Attorney Docket Number | P41223 WO1 |
|--|------------------------|------------|

|                           |  |
|---------------------------|--|
| <b>Title of Invention</b> | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |
|---------------------------|--|

As the below named inventor, I hereby declare that:

This declaration is directed to:

The attached application, or

United States application or PCT international application number PCT/SE2014/050803, filed on June 26, 2014

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I am aware of the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

**LEGAL NAME OF INVENTOR**


Inventor: David Hammarwall

Date (Optional):

Signature:



|  |                           |            |
|--|---------------------------|------------|
| <b>DECLARATION (37 CFR 1.63) FOR UTILITY OR<br/>DESIGN APPLICATION USING AN<br/>APPLICATION DATA SHEET (37 CFR 1.76)</b> | Attorney Docket<br>Number | P41223 WO1 |
|--|---------------------------|------------|

|  |   |
|--|---|
| <b>Title of<br/>Invention</b>  | <b>METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER<br/>MODULATION IN A RADIO COMMUNICATION WITH A USER<br/>EQUIPMENT</b> |
| <p>As the below named inventor, I hereby declare that:</p> <p>This declaration is directed to:</p> <p><input type="checkbox"/> The attached application, or</p> <p><input type="checkbox"/> United States application or PCT international application number PCT/SE2014/050803, filed on June 26, 2014</p> <p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.</p> <p>I am aware of the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p> |   |
| <b>LEGAL NAME OF INVENTOR</b>  |   |
| Inventor:  | Meng Wang   |
|  | Date (Optional):  |
| Signature:   |    |



## POWER OF ATTORNEY

The undersigned, being duly authorized representatives of **TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)** (hereinafter referred to as "Ericsson") having its registered office at SE-164 83 Stockholm, Sweden, does hereby authorize **Coats & Bennett P.L.L.C. practitioners associated with United States Patent and Trademark Office Customer Number 24112** to represent Ericsson before the United States Patent and Trademark Office in any and all matters regarding patents or patent applications filed by Ericsson or wherein Ericsson is the assignee of the entire interest thereto.


This Power of Attorney shall include the right for **Coats & Bennett P.L.L.C. practitioners associated with United States Patent and Trademark Office Customer Number 24112** to sign and submit in Ericsson's name and on Ericsson's behalf any document, notification, filing, petition or request in connection with any patent applications or patents owned by or assigned to Ericsson.

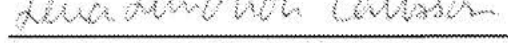
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We hereby revoke all previous authorization, if any made, in respect of same matter or proceeding. This Power of Attorney shall be valid for **five (5) years** from the date hereof unless earlier revoked. This Power of Attorney may be revoked at any time by Ericsson.

**Stockholm, Sweden on**

**TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)**

By:   
**Mr. John Han**  
Vice President, Patent Development

By:   
**Mrs. Lena Lundholm Carlsson**  
CST Manager and PPO

Date: *January 18th, 2012*

Date: *January 18th, 2012*

I, the undersigned, Anne-Marie Bonde, Notary Public of the City of Stockholm

hereby certify that

John Han and Lena Lundholm Carlsson


duly authorized to sign for

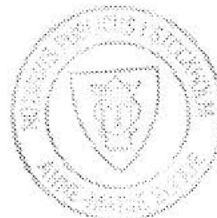
TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)

have issued and signed the foregoing document

Fee 400 :- Stockholm [Date] 20.01.2012

Crowns Ex officio:

  
Signature: Notary Public of the City of Stockholm



**STATEMENT UNDER 37 CFR 3.73(c)**Applicant/Patent Owner: Telefonaktiebolaget L M Ericsson (publ)Application No./Patent No.: TBA Filed/Issue Date: TBATitled: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User EquipmentTelefonaktiebolaget L M Ericsson (publ), a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1.  The assignee of the entire right, title, and interest.
2.  An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is \_\_\_\_\_%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3.  The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4.  The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

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[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**STATEMENT UNDER 37 CFR 3.73(c)**

3. From: \_\_\_\_\_ To: \_\_\_\_\_

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Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/John R. Owen Reg. No. 42055/

6 October 2014

Signature

Date

John R. Owen

42055

Printed or Typed Name

Title or Registration Number

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



## ASSIGNMENT

This Assignment is made by:

**HAMMARWALL, David**

**Hällmarksvägen 59  
SE-186 53 VALLENTUNA  
Sweden**

**WANG, Meng**

**Signalistgatan 14  
SE-169 72 SOLNA  
Sweden**

(hereinafter referred to as "Assignor(s)") in favor, and for the benefit and behoof of, Telefonaktiebolaget L M Ericsson (publ), a corporation duly organized under and pursuant to the laws of Sweden and having its principal place of business at SE-164 83 Stockholm, Sweden (hereinafter referred to as "Assignee").

For good, sufficient and adequate consideration, the receipt of which is hereby acknowledged, the Assignor(s) have, as of the Effective Date, sold, assigned, transferred, and set over, and by these presents, and to the extent any transferable or assignable rights still remain with the inventor, do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the following inventions, application(s) for Letters Patent, and any and all Letters Patent or Patents in all countries and pursuant to all multilateral treaty organizations, including Sweden, the United States of America, the Patent Cooperation Treaty and European Patent Convention, that may be granted therefor and thereon, and in and to any and all divisions, continuations, continuations-in-part, conversions and utility models of said application(s), and reissues and extensions of said Letters Patent or Patents, the same to be held and enjoyed by the Assignee, for its use and behoof and the use and the behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent, Patents and Utility Models may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor(s) had this sale and assignment not been made:

### METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

including, but not limited to, the application(s) for Letters Patent and Utility Model filed in:

| Country Code | Priority Application(s) | Filing Date(s) |
|--------------|-------------------------|----------------|
| WO           | PCT/SE2014/050803       | 2014-06-26     |
|              |                         | - -            |

The Effective date is the earliest date of the above listed Filing Date(s).

Assignor(s) hereby authorize and request Assignee's Attorneys to insert the serial number and filing date of said application(s) for Letter Patent or Utility Model, when known. The assignment of the above mentioned rights includes a transfer of the whole right to use a priority (including priority according to any convention, multilateral agreement, bilateral agreement and national law) of the above mentioned application(s) for Letter Patent and Utility Model in all countries and multilateral treaty organizations wherein no residual rights shall remain with the Assignor(s). Assignor(s) hereby request

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that said Letters Patent, Patent or Utility Model be issued to Assignee as the Assignee of said inventions, the Letters Patent, Patent or Utility Model to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns. Assignee alone hereinafter has the entire disposal of the invention and possesses entire ownership to any domestic and foreign patents or utility models granted thereafter. The rights granted hereunder shall include all rights to institute legal actions, obtain remedies and recover and retain damages in respect to said Letters Patent, Patent or Utility Model.

The Assignor(s) and Assignee hereby understand and agree that with the execution of this Assignment, to the extent necessary or appropriate, national and/or regional applications may be filed by the Assignee as the applicant and on behalf of the assignor.

To the extent Assignee is entitled to receive the rights hereunder pursuant to this Assignment, each of the Assignor(s) hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of the Effective Date, the Assignor(s) were the sole and lawful owners of the entire right, title, and interest in and to the inventions and application(s) for Letters Patent or Utility Models above-mentioned, and that the same are unencumbered, and that the Assignor(s) have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

Further, and for the same consideration, the Assignor(s) hereby covenant(s) and agree(s) to and with the Assignee, its successors, legal representatives, and assigns that the Assignor(s) will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said application(s) for Letters Patent or Utility Model, or any proceeding in connection with Letters Patent or Utility Model for said inventions, in any country and any multilateral treaty organization, including interference proceedings, is lawful and desirable, or that any division, continuation, continuation-in-part, conversion or Utility Model of any application(s) for Letters Patent or Utility Model, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Utility Model for said inventions, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns. If any of the Assignor(s) is prevented by any obstacles from signing said documents in person, this Assignment shall be valid as a Power of Attorney for the Assignee to sign these documents on behalf of any such Assignor(s) or, in the event of the death of the latter, the estate thereof.

This Assignment shall be governed by and construed under, and any dispute, controversy or claim related hereto shall be decided in accordance with, the laws of:

**Sweden**

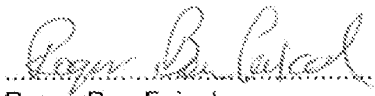
without regard to the conflicts of laws provisions thereof. Any dispute, controversy or claim arising under, out of or relating to this Assignment and any subsequent amendments of this Assignment, including, without limitation, its formation, validity, binding effect, interpretation, performance, breach or termination, as well as non-contractual claims, shall be referred to and finally determined by arbitration in accordance with the WIPO Arbitration Rules. The arbitral tribunal shall consist of a sole arbitrator. The place of

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arbitration shall be in the country of the inventor's residence. The language to be used in the arbitral proceedings shall be English.

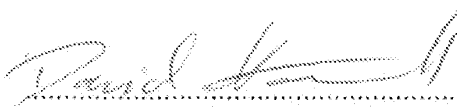
No modifications shall be made to this Assignment unless in writing and signed by each of the Assignor(s) and Assignee. If any of the provisions of this Assignment shall be deemed invalid or unenforceable, then the entire Assignment shall be construed as if not containing the particular invalid or unenforceable provision or provisions, and the rights and obligations of Assignee and Assignor(s) shall be construed and enforced accordingly. Assignee's failure to exercise any option made available as a result hereof, shall not be construed as a waiver of such provisions, rights, or options, or affect the validity of this Assignment. Assignor covenants and agrees that it will not take any actions in violation of this Assignment.

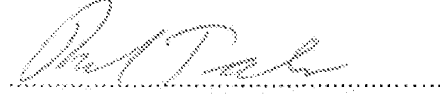
Subsequent assignment from any of the assignors to the assignee purporting to convey the subject matter specified herein for a particular country, patent office, or jurisdiction shall not invalidate any provision of this assignment and any such subsequent assignment shall act as a further confirmation of the assignment herein.

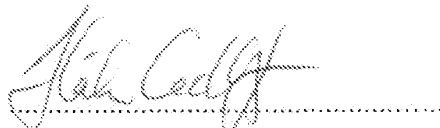
Date September 18, 2019 Signature on behalf of   
Assignee Roger Bou Faical

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Title: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

Date August 4, 2014      Signature of Assignor   
HAMMARWALL, David

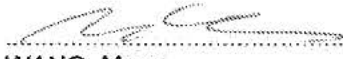
Date August 4, 2014      Witnessed by   
Name: Paul Teder  
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187 46 TÄBY  
Sweden

Date August 4, 2014      Witnessed by   
Name: Håkan Cedlöf  
Address: Börjesonsvägen 28  
16850 BROMMA  
Sweden

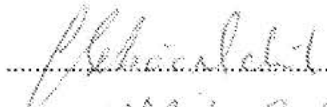


### ASSIGNMENT

Title: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

Date 2014-07-03 Signature of Assignor   
WANG, Meng

Date 2014-07-03 Witnessed by   
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19164 SOLLENTUNA  
SWEDEN

|   |                        |            |                        |
|---|------------------------|------------|------------------------|
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |            |                        |
|   | Filing Date            |            |                        |
|   | First Named Inventor   | Hammarwall |                        |
|   | Art Unit               |            |                        |
|   | Examiner Name          |            |                        |
|   | Attorney Docket Number |            | 4015-8999 / P41223-US2 |

| U.S. PATENTS      |         |               |                        |            |   |  | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|--------|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |        |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                        |  |
|   | Filing Date            |                        |  |
|   | First Named Inventor   | Hammarwall             |  |
|   | Art Unit               |                        |  |
|   | Examiner Name          |                        |  |
|   | Attorney Docket Number | 4015-8999 / P41223-US2 |  |

|  |   |   |                          |
|--|---|---|--------------------------|
|  | 1 | 3RD GENERATION PARTNERSHIP PROJECT, "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures (3GPP TS 36.213 version 11.2.0 Release 11)", Technical Specification, ETSI TS 136 213 V11.2.0, 2013-04-01, pp. 1-175, ETSI, France | <input type="checkbox"/> |
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|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

|   |                        |                        |  |
|---|------------------------|------------------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                        |  |
|   | Filing Date            |                        |  |
|   | First Named Inventor   | Hammarwall             |  |
|   | Art Unit               |                        |  |
|   | Examiner Name          |                        |  |
|   | Attorney Docket Number | 4015-8999 / P41223-US2 |  |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                               |                     |            |
|------------|-------------------------------|---------------------|------------|
| Signature  | /John R. Owen Reg. No. 42055/ | Date (YYYY-MM-DD)   | 2014-10-06 |
| Name/Print | John R. Owen                  | Registration Number | 42055      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## Electronic Patent Application Fee Transmittal

|   |  |                 |               |                             |
|---|--|-----------------|---------------|-----------------------------|
| <b>Application Number:</b>                              |  |                 |               |                             |
| <b>Filing Date:</b>                                     |  |                 |               |                             |
| <b>Title of Invention:</b>                              | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |                 |               |                             |
| <b>First Named Inventor/Applicant Name:</b>             | David Hammarwall   |                 |               |                             |
| <b>Filer:</b>   | John R. Owen/Katya Fox   |                 |               |                             |
| <b>Attorney Docket Number:</b>                          | 4015-8999 / P41223-US2   |                 |               |                             |
| Filed as Large Entity                                   |  |                 |               |                             |
| <b>U.S. National Stage under 35 USC 371 Filing Fees</b> |  |                 |               |                             |
| <b>Description</b>                                      | <b>Fee Code</b>  | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |
| <b>Basic Filing:</b>                                    |  |                 |               |                             |
| National Stage Fee                                      | 1631   | 1               | 280           | 280                         |
| National Stage Search - all other cases                 | 1632   | 1               | 600           | 600                         |
| National Stage Exam - all other cases                   | 1633   | 1               | 720           | 720                         |
| <b>Pages:</b>   |  |                 |               |                             |
| <b>Claims:</b>  |  |                 |               |                             |
| Claims in excess of 20                                  | 1615   | 6               | 80            | 480                         |
| Independent claims in excess of 3                       | 1614   | 3               | 420           | 1260                        |
| <b>Miscellaneous-Filing:</b>                            |  |                 |               |                             |

| Description                              | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|--|----------|----------|--------|----------------------|
| <b>Petition:</b>                         |          |          |        |                      |
| <b>Patent-Appeals-and-Interference:</b>  |          |          |        |                      |
| <b>Post-Allowance-and-Post-Issuance:</b> |          |          |        |                      |
| <b>Extension-of-Time:</b>                |          |          |        |                      |
| <b>Miscellaneous:</b>                    |          |          |        |                      |
| <b>Total in USD (\$)</b>                 |          |          |        | <b>3340</b>          |

## Electronic Acknowledgement Receipt

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 20338046   |
| <b>Application Number:</b>                  | 14390904   |
| <b>International Application Number:</b>    | PCT/SE2014/050803  |
| <b>Confirmation Number:</b>                 | 7239   |
| <b>Title of Invention:</b>                  | Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment |
| <b>First Named Inventor/Applicant Name:</b> | David Hammarwall   |
| <b>Customer Number:</b>                     | 24112  |
| <b>Filer:</b>                               | John R. Owen/Katya Fox   |
| <b>Filer Authorized By:</b>                 | John R. Owen   |
| <b>Attorney Docket Number:</b>              | 4015-8999 / P41223-US2   |
| <b>Receipt Date:</b>                        | 06-OCT-2014  |
| <b>Filing Date:</b>                         |  |
| <b>Time Stamp:</b>                          | 15:40:09   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371   |

### Payment information:

|  |                           |
|--|---------------------------|
| Submitted with Payment                   | yes                       |
| Payment Type                             | Electronic Funds Transfer |
| Payment was successfully received in RAM | \$3340                    |
| RAM confirmation Number                  | 2119                      |
| Deposit Account                          |                           |
| Authorized User                          |                           |

### File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|----------------------------------|------------------|------------------|
|-----------------|----------------------|-----------|----------------------------------|------------------|------------------|



|                     |  |                           |  |            |    |
|---------------------|--|---------------------------|--|------------|----|
| 1                   | Transmittal of New Application                             | Transmittal.pdf           | 217911                                   | no         | 4  |
|                     |  |                           | 13318734feabc56e0fb15f34b9ee7c759587913  |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 2                   | Application Data Sheet                                     | ADS.pdf                   | 1561381                                  | no         | 7  |
|                     |  |                           | 69864748f7563b7058bd4558ac781d89f94b1492 |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 3                   |  | Application.pdf           | 210668                                   | yes        | 32 |
|                     |  |                           | a0904a8c6c4408e007b2a5bfbcca7a63c0b73400 |            |    |
|                     | <b>Multipart Description/PDF files in .zip description</b> |                           |  |            |    |
|                     | <b>Document Description</b>                                |                           | <b>Start</b>                             | <b>End</b> |    |
|                     | Specification  |                           | 1  | 25         |    |
|                     | Claims   |                           | 26                                       | 31         |    |
|                     | Abstract   |                           | 32                                       | 32         |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 4                   | Drawings-only black and white line drawings                | Drawings.pdf              | 59680                                    | no         | 7  |
|                     |  |                           | 4107db1acd7b623c669059a50b2800ed367ba18d |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 5                   | Documents submitted with 371 Applications                  | PCT_Request.pdf           | 45888                                    | no         | 6  |
|                     |  |                           | 85a8ec3349710693d8932edd073873447390d020 |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 6                   | Documents submitted with 371 Applications                  | PCT_Receipt.pdf           | 51197                                    | no         | 2  |
|                     |  |                           | 8d434fa18f805b19ced442922ebc19e8dce8adff |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |
| 7                   | Preliminary Amendment                                      | Preliminary_Amendment.pdf | 42979                                    | no         | 11 |
|                     |  |                           | 497d1c745ca968fb747cfc700d8024116d552d6b |            |    |
| <b>Warnings:</b>    |  |                           |  |            |    |
| <b>Information:</b> |  |                           |  |            |    |

|  |  |                    |   |    |     |
|--|--|--------------------|---|----|-----|
| 8  | Oath or Declaration filed                          | Declaration.pdf    | 1396716                                   | no | 2   |
|  |  |                    | 873cf836d6981e9b09f7d5943cd720207a915250  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| 9  | Power of Attorney                                  | POA.pdf            | 927756                                    | no | 1   |
|  |  |                    | 53e297355923e6d5259f2bf44cdeeefb4f46cc01d |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| 10   | Assignee showing of ownership per 37 CFR 3.73.     | Statement_373c.pdf | 48451                                     | no | 3   |
|  |  |                    | 2fa81380611a89a9cbac6cbf976b5a12e0b3223f  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| 11   | Assignee showing of ownership per 37 CFR 3.73.     | Assignment.pdf     | 3822774                                   | no | 5   |
|  |  |                    | 4da8b5c3af7d363005507667f5ab976674215155  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| 12   | Information Disclosure Statement (IDS) Form (SB08) | IDS.pdf            | 612290                                    | no | 4   |
|  |  |                    | 05679a57a6b079e7eae552dbbcc70f9c94c1274d  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems. |  |                    |   |    |     |
| 13   | Non Patent Literature                              | ETSI_TS_136213.pdf | 14467233                                  | no | 175 |
|  |  |                    | f6558edf84b8a8cdf08636014dd145e009551124  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| 14   | Fee Worksheet (SB06)                               | fee-info.pdf       | 38206                                     | no | 2   |
|  |  |                    | f638bebe4bf0d1b6d4c72ffe2e8173c9fb7b60b3  |    |     |
| <b>Warnings:</b>   |  |                    |   |    |     |
| <b>Information:</b>  |  |                    |   |    |     |
| <b>Total Files Size (in bytes):</b>  |  |                    | 23503130                                  |    |     |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PCT

Print Out (Original in Electronic Form)

|               |   |   |
|---------------|---|---|
| VIII-3-1      | <b>Declaration: Entitlement to claim priority</b><br>Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)) | <p>In relation to this international application</p> <p>Telefonaktiebolaget L M Ericsson (publ) is entitled to claim priority of earlier application No. 61/863,935 by virtue of the following:</p>   |
| VIII-3-1(i)   |   | ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng  |
| VIII-3-1(vii) |   | transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003) |

---

## **CERTIFICATE OF AVAILABILITY OF A CERTIFIED PATENT DOCUMENT IN A DIGITAL LIBRARY**

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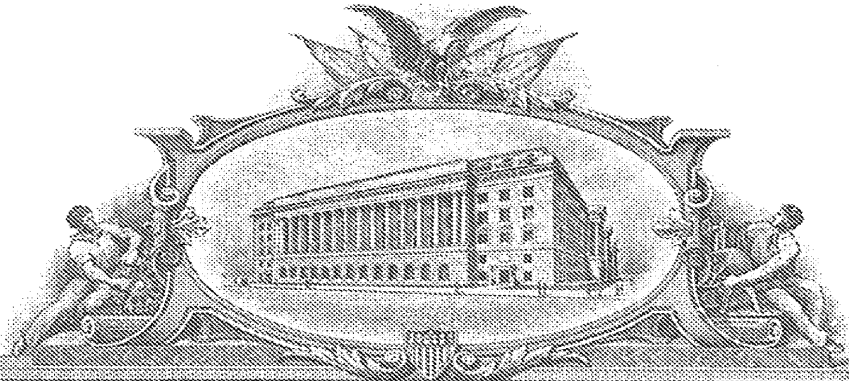
Document details:           Country/Office: **US**  
  Filing date: **09 Aug 2013 (09.08.2013)**  
  Application number: **61863935**

Date of availability of document: **25 Sep 2013 (25.09.2013)**

Availability to Office:           **IB [02 Jul 2014 (02.07.2014)]**

Date of issue of this certificate: **02 Jul 2014 (02.07.2014)**

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**THE UNITED STATES OF AMERICA**

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE**

**United States Patent and Trademark Office**

*July 02, 2014*

**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.**

**APPLICATION NUMBER: 61/863,935**  
**FILING DATE: August 09, 2013**

**THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS US61/863,935**



Certified by

*David J. Kayes*

Under Secretary of Commerce  
for Intellectual Property  
and Director of the United States  
Patent and Trademark Office

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|  |  |                        |             |
|--|--|------------------------|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b>  |  | Attorney Docket Number | PS54636US00 |
|  |  | Application Number     |             |
| Title of Invention   | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |                        |             |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |  |                        |             |

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

|   |                   |                               |                    |               |                                       |
|---|-------------------|-------------------------------|--------------------|---------------|---------------------------------------|
| <b>Inventor 1</b>   |                   |                               |                    |               | <input type="button" value="Remove"/> |
| <b>Legal Name</b>   |                   |                               |                    |               |                                       |
| <b>Prefix</b>   | <b>Given Name</b> | <b>Middle Name</b>            | <b>Family Name</b> | <b>Suffix</b> |                                       |
|   | David             |                               | HAMMARWALL         |               |                                       |
| <b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                               |                    |               |                                       |
| <b>City</b>   | Vallentuna        | <b>Country of Residence i</b> | SE                 |               |                                       |
| <b>Mailing Address of Inventor:</b>   |                   |                               |                    |               |                                       |
| <b>Address 1</b>  | Hällmarksvägen 59 |                               |                    |               |                                       |
| <b>Address 2</b>  |                   |                               |                    |               |                                       |
| <b>City</b>   | Vallentuna        | <b>State/Province</b>         |                    |               |                                       |
| <b>Postal Code</b>  | SE-186 53         | <b>Country i</b>              | SE                 |               |                                       |
| <b>Inventor 2</b>   |                   |                               |                    |               | <input type="button" value="Remove"/> |
| <b>Legal Name</b>   |                   |                               |                    |               |                                       |
| <b>Prefix</b>   | <b>Given Name</b> | <b>Middle Name</b>            | <b>Family Name</b> | <b>Suffix</b> |                                       |
|   | Meng              |                               | WANG               |               |                                       |
| <b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                               |                    |               |                                       |
| <b>City</b>   | Solna             | <b>Country of Residence i</b> | SE                 |               |                                       |
| <b>Mailing Address of Inventor:</b>   |                   |                               |                    |               |                                       |
| <b>Address 1</b>  | Signalistgatan 14 |                               |                    |               |                                       |
| <b>Address 2</b>  |                   |                               |                    |               |                                       |
| <b>City</b>   | Solna             | <b>State/Province</b>         |                    |               |                                       |
| <b>Postal Code</b>  | SE-169 72         | <b>Country i</b>              | SE                 |               |                                       |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the <b>Add</b> button.                                     |                   |                               |                    |               | <input type="button" value="Add"/>    |

## Correspondence Information:

|   |  |             |
|---|--|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number   | PS54636US00 |
|   | Application Number   |             |
| Title of Invention                        | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |             |

Enter either Customer Number or complete the Correspondence Information section below.  
For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence information of this application.

|                 |                          |  |   |
|-----------------|--------------------------|--|---|
| Customer Number | 11754                    |  |   |
| Email Address   | valeacases@valea.se      | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |
| Email Address   | sarah.rodriguez@valea.se | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

### Application Information:

|   |  |   |                          |
|---|--|---|--------------------------|
| Title of the Invention                  | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |   |                          |
| Attorney Docket Number                  | PS54636US00  | Small Entity Status Claimed               | <input type="checkbox"/> |
| Application Type                        | Provisional  |   |                          |
| Subject Matter                          | Utility  |   |                          |
| Total Number of Drawing Sheets (if any) | 7  | Suggested Figure for Publication (if any) |                          |

### Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

### Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

|                    |  |  |   |
|--------------------|--|--|---|
| Please Select One: | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number    | 11754  |  |   |

### Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

|                          |                 |                                       |                          |
|--------------------------|-----------------|---------------------------------------|--------------------------|
| Prior Application Status |                 | <input type="button" value="Remove"/> |                          |
| Application Number       | Continuity Type | Prior Application Number              | Filing Date (YYYY-MM-DD) |
|                          |                 |                                       |                          |



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|   |  |             |
|---|--|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number   | PS54636US00 |
|   | Application Number   |             |
| Title of Invention                        | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |             |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

### Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

| Application Number | Country <sup>i</sup> | Filing Date (YYYY-MM-DD) | Access Code <sup>l</sup> (if applicable) |
|--------------------|----------------------|--------------------------|--|
|                    |                      |                          |  |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

### Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

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|   |  |             |
|---|--|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number   | PS54636US00 |
|   | Application Number   |             |
| Title of Invention                        | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |             |

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

## Applicant Information:

|  |  |  |                                       |
|--|--|--|---------------------------------------|
| Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.  |  |  |                                       |
| <b>Applicant 1</b>   |  |  | <input type="button" value="Remove"/> |
| If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section. |  |  |                                       |
| <input type="button" value="Clear"/>   |  |  |                                       |
| <input checked="" type="radio"/> Assignee  | <input type="radio"/> Legal Representative under 35 U.S.C. 117 | <input type="radio"/> Joint Inventor                                   |                                       |
| <input type="radio"/> Person to whom the inventor is obligated to assign.  |  | <input type="radio"/> Person who shows sufficient proprietary interest |                                       |
| If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:  |  |  |                                       |
|  |  |  |                                       |
| Name of the Deceased or Legally Incapacitated Inventor : <input type="text"/>  |  |  |                                       |
| If the Applicant is an Organization check here. <input checked="" type="checkbox"/>  |  |  |                                       |
| Organization Name  | TELEFONAKTIEBOLAGET L M ERICSSON (publ)                        |  |                                       |
| <b>Mailing Address Information:</b>  |  |  |                                       |
| Address 1  | A Swedish Company  |  |                                       |
| Address 2  |  |  |                                       |
| City   | Stockholm  | State/Province   |                                       |
| Country <sup>i</sup>   | SE   | Postal Code  | SE-164 83                             |
| Phone Number   |  | Fax Number   |                                       |

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|--|--|------------------------|------------------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b>  |  | Attorney Docket Number | PS54636US00                        |
|  |  | Application Number     |                                    |
| Title of Invention   | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |                        |                                    |
| Email Address  |  |                        |                                    |
| Additional Applicant Data may be generated within this form by selecting the Add button. |  |                        | <input type="button" value="Add"/> |

**Non-Applicant Assignee Information:**

|  |             |                |             |                                       |
|--|-------------|----------------|-------------|---------------------------------------|
| Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.  |             |                |             |                                       |
| <b>Assignee 1</b>  |             |                |             |                                       |
| Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s). |             |                |             |                                       |
|  |             |                |             | <input type="button" value="Remove"/> |
| If the Assignee is an Organization check here. <input type="checkbox"/>  |             |                |             |                                       |
| Prefix   | Given Name  | Middle Name    | Family Name | Suffix                                |
|  |             |                |             |                                       |
| <b>Mailing Address Information:</b>  |             |                |             |                                       |
| Address 1  |             |                |             |                                       |
| Address 2  |             |                |             |                                       |
| City   |             | State/Province |             |                                       |
| Country i  | Postal Code |                |             |                                       |
| Phone Number   |             | Fax Number     |             |                                       |
| Email Address  |             |                |             |                                       |
| Additional Assignee Data may be generated within this form by selecting the Add button.  |             |                |             | <input type="button" value="Add"/>    |

**Signature:**

|   |                   |           |                   |                                    |
|---|-------------------|-----------|-------------------|------------------------------------|
| NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications |                   |           |                   |                                    |
| Signature   | /Sarah Rodriguez/ |           | Date (YYYY-MM-DD) | 2013-08-09                         |
| First Name  | Sarah             | Last Name | RODRIGUEZ         | Registration Number                |
| Additional Signature may be generated within this form by selecting the Add button.   |                   |           |                   | <input type="button" value="Add"/> |

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|   |  |             |
|---|--|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number   | PS54636US00 |
|   | Application Number   |             |
| Title of Invention                        | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |             |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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**Provisional Application for Patent Cover Sheet**  
 This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)

**Inventor(s)**

Inventor 1 Remove

| Given Name | Middle Name | Family Name | City       | State | Country <small>i</small> |
|------------|-------------|-------------|------------|-------|--------------------------|
| David      |             | HAMMARWALL  | Vallentuna |       | SE                       |

Inventor 2 Remove

| Given Name | Middle Name | Family Name | City  | State | Country <small>i</small> |
|------------|-------------|-------------|-------|-------|--------------------------|
| Meng       |             | WANG        | Solna |       | SE                       |

All Inventors Must Be Listed – Additional Inventor Information blocks may be generated within this form by selecting the **Add** button. Add

|  |  |
|--|--|
| <b>Title of Invention</b>              | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |
| Attorney Docket Number (if applicable) | PS54636US00  |

**Correspondence Address**

Direct all correspondence to (select one):

|   |   |
|---|---|
| <input checked="" type="radio"/> The address corresponding to Customer Number | <input type="radio"/> Firm or Individual Name |
| Customer Number   | 11754   |

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

- No.
- Yes, the invention was made by an agency of the United States Government. The U.S. Government agency name is:
- Yes, the invention was under a contract with an agency of the United States Government. The name of the U.S. Government agency and Government contract number are:

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**Entity Status**

**Applicant asserts small entity status under 37 CFR 1.27 or applicant certifies micro entity status under 37 CFR 1.29**

- Applicant asserts small entity status under 37 CFR 1.27
- Applicant certifies micro entity status under 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent.
- No

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| Signature  | /Sarah Rodriguez/ |           |           | Date (YYYY-MM-DD)                    | 2013-08-09 |
| First Name | Sarah             | Last Name | RODRIGUEZ | Registration Number (If appropriate) | 66219      |

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## METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

### Technical field

The present disclosure relates generally to a method and a radio node of a cellular network for enabling use of a high order modulation when communicating radio signals with a User Equipment, UE.

### Background and summary

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any user-controlled wireless terminal or device capable of radio communication including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a wireless communication network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the MCS (Modulation and Coding Scheme) adopted for PDSCH transmission must be indicated by the eNB to the UE and the UE, by uplink signaling, informs the eNB about corresponding channel conditions through CQI reporting, including sending CQI reports to the eNB. This is generally illustrated in Fig. 1.

In current LTE systems, the set of modulation scheme for both downlink and uplink includes QPSK, 16QAM and 64QAM, corresponding to two, four and six bits per modulation symbol, respectively. In LTE, especially for scenarios with good channel conditions such as high SINR, e.g. in small-cell environments with

terminals close to cell site, a straightforward means to provide higher data rate with given transmission bandwidth is the use of higher-order modulation that allows for more bits of information to be carried per modulation symbol. Hence, in order to adopt higher-order modulation schemes in LTE systems, there is a need to re-design the control signaling for MCS and CQI indication, in particular, the MCS and CQI index tables. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM, 512QAM, and so forth.

For downlink data transmission in LTE, the eNB typically selects the MCS depending on the CQI (Channel Quality Indicator) feedback transmitted by the UE in the uplink, as illustrated in Figure 1. The CQI feedback indicates the data rate (or more specifically a modulation and coding scheme MCS) that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling for indication between eNB and UE. In the downlink, the knowledge about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the DCI (Downlink Control Information). This MCS field corresponds to the MCS index table as shown in Fig. 2. In this table, of 32 combinations, 29 are used to signal a MCS, each corresponding to a modulation and a transport block size (TBS), while 3 are reserved (e.g., to support adaptive retransmissions). All possible TBS can be described by a TBS table mapping a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist eNB to select the appropriate MCS for downlink transmission. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals. It should be noted that the reported CQI represents the highest MCS that is supported for a PDSCH transmission, with a transport block error rate probability not exceeding 10%. The CQI is signaled to the eNB by the means of CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations in the CQI index table.

Note that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. However, for a given assumed allocation bandwidth (given by the CQI reference resource), there is a one-to-one mapping between a CQI and a corresponding MCS (i.e., the reported CQI should not be interpreted as the maximum supported code rate, but the maximum supported corresponding MCS).

Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that includes specific entries for new modulation schemes. The modification of MCS/CQI table, naturally, requires re-designing the DCI/UCI formats.

Typically, the additional MCS/CQI tables are used in high SNR region that is suitable for high-order modulation. In the relatively low SNR region, on the other hand, current MCS/CQI tables are desired for link robustness. Hence, some mechanisms with flexibility to adopt appropriate MCS/CQI tables based on channel conditions have become necessary.

In conclusion, current LTE systems support modulation up to 64QAM. To support higher-order modulation, adaptations and/or extensions to the current control signaling in term of MCS index table, CQI index table and the corresponding fields in DCI/UCI are required.

In this disclosure, an alternative design of MCS index table and/or of a CQI index table is described which can be used for LTE systems, which is/are to be supported in addition to a basic (the current) MCS and/or CQI table.

In current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM. The proposed new MCS and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits

in the DCI/UCI formats, or the number of entries in the MCS table/CQI table, respectively. Typically, higher-order modulation schemes are selected in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables are preserved. The current MCS and/or CQI tables may be called a first table configuration while the new current MCS and/or CQI tables supporting a higher-order modulation may be called a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE/eNB has to implement is minimized.

An embodiment of the disclosed solution is that the lowest MCS entry in the new MCS table and/or that in CQI table is preserved or kept from the basic MCS and/or CQI table, to ensure proper communication between eNB and UE under poor channel condition, thus providing a fallback in case in case it is only possible to use the lowest modulation order when the second table configuration is applied. This provides resilience to changing channel conditions, and provides a robust format to, for example, signal control-plane data, and/or reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel conditions. Through this invention, the link adaption in LTE systems is enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency in high SINR scenarios.

#### Detailed description

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and UMB, may also benefit from exploiting the ideas covered within this disclosure.

Also note that terminology such as base station/eNB should be considered non-limiting and does in particular not imply a certain hierarchical relation between the two; in general “eNB” could be considered as device 1 and “UE” could be considered as device 2 and these two devices communicate with each other over some radio channel.

In this section, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be tacitly assumed to be present in another embodiment and it will be obvious to a person skilled in the art how those components may be used in other exemplifying embodiments.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. In the sequel, we describe a design of alternative MCS and CQI index tables as well as the mechanism by which eNB/UE can switch between the proposed new MCS/CQI tables and current MCS/CQI tables, i.e. according to the described second and first table configurations.

#### **MCS index table**

In a possible embodiment, a first MCS table is used for downlink transmission to one UE (such as UE1 in Figure 1), and in the downlink transmission to another UE (such as UE2 in Figure 1), a second MCS table is used. In a further such embodiment the first and second UE may be one and the same UE.

In another embodiment, the first MCS table and second MCS table are either the current MCS table of the first table configuration in specification (see Fig. 2) or a modified new MCS table of the second table configuration table that supports a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation.

In another embodiment, eNB can use either current MCS table or proposed alternative MCS table for DL transmission. In a further embodiment, the information about the MCS table that is (to be) used is part of a DCI message (in

which case it typically applies to a single specific subframe), or is part of a RRC or MAC message (in which case it typically applies until further notice).

In another embodiment, the proposed MCS index table has  $32+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index  $I_{MCS}$ , modulation order  $Q_m$  and TBS index  $I_{TBS}$ . Each table row, that is one MCS, is indexed by an MCS index and contains a particular combination of modulation order and TBS (transport block size) table index.

In another embodiment, in the proposed MCS index table,  $M$  rows, i.e.,  $M$  MCS indices are used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBS index, where  $M$  is a non-negative integer with  $M > N$ .

In another embodiment,  $32+N-M$  MCS entries in Fig. 2 may be re-used in the new table. In other words,  $M-N$  MCSs in Fig. 2 are not included in the new table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another embodiment, the rows of TBS values corresponding to the TBS indices contained in said  $M-N$  MCSs are removed from the TBS table. Meanwhile,  $M$  new rows of TBS values corresponding to said  $M$  MCSs for higher-order modulation are added to said TBS table.

In a further embodiment, when  $N=0$ , the new table keeps the same size as Fig. 2 and  $M$  MCSs for higher-order modulation replaces  $M$  MCSs in Fig. 2. In a further such embodiment, the lowest MCS (corresponding to MCS index 0) is preserved or kept in the new MCS table. In a further such embodiment, the subsequent  $M$  lowest MCSs (corresponding to MCS indices 1 through  $M$ ) are excluded in the new (alternate) MCS table. An example of such an embodiment is shown in Fig. 4. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the table in Fig. 4.

Meanwhile in the TBS table, rows of TBS values corresponding to the removed and added MCSs are removed and added accordingly. Other MCSs are re-used in the table and the indices in this table are rearranged from beginning. Fig. 6 illustrates an example of a proposed TBS table (dimension 21\*110) with index 21-26 for 256QAM (corresponding to the table in Fig. 5), where NV = new values. For the example shown in Fig. 6, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS values for 256QAM have been added at the end of the table. This new TBS table shall be consistent with the TBS index in said new MCS table.

In another embodiment, the lowest MCS, i.e. the entry with MCS index 0 in Fig. 2, may be re-used in the new table for any case. This is to ensure that the communication between eNB and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another embodiment, when the new MCS table is in use and the entry for lowest MCS is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the MCS table used in next transmission will automatically fall back to the MCS table in current standard, i.e. to the first MCS table.

In another embodiment, the MCS field in DCI is extended by one or more bits to indicate the MCS index when  $N > 0$ , that is, the alternative MCS table has more than 32 MCS indices.

#### **CQI index table**

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.

In a possible embodiment, a first CQI table may be used for radio transmission from the first UE to eNB, while in the radio transmission from the second UE to eNB, a second CQI table is used, or vice versa. In a further such embodiment said first and second UE may be the same UE.

In another embodiment, said first CQI table and second CQI table are either current CQI table in specification or a modified CQI table that contains entries for higher-order modulation.

In another embodiment, a UE can use either the current CQI table or the proposed alternative CQI table for transmission. In a further embodiment, the information about the CQI table that is (to be) used is part of a UCI message or part of a RRC or MAC message.

In another embodiment, the proposed CQI index table has  $16+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the table shown in Fig. 3, which include CQI index, modulation order, code rate and efficiency. Each table row, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another embodiment, in the proposed CQI index table,  $M$  rows, i.e.,  $M$  CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where  $M$  is a non-negative integer with  $M > N$ . In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another embodiment,  $16+N-M$  CQIs in Fig. 3 are re-used in the alternative CQI table. In a further such embodiment, when  $N=0$ , it keeps the same size as the table in Fig. 3 and  $M$  CQIs for higher-order modulation replaces  $M$  CQIs in Fig. 3. In a further such embodiment, the lowest CQI (corresponding to CQI index 1) is preserved in the proposed CQI table. In a further such embodiment the subsequent  $M$  lowest CQIs (corresponding to CQI indices 2 through  $M+1$ ) are excluded in the new (alternate) CQI table. An example of such embodiment is provided in Fig. 5. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to



the table in Fig. 5. Other CQIs are re-used in the table and their indices are rearranged.

In another embodiment, the lowest CQI, i.e. the entry with index 1 in Fig. 3, must be re-used in the new table for any case. This is to ensure that the communication between eNB and UE works properly even when the radio link experiences very poor channel condition.

In another embodiment, when the new CQI table is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission will automatically fall back to the CQI table in current standard.

In another embodiment, the CQI field in uplink control signaling is extended by one or more bits to indicate the CQI index when  $N > 0$ , that is, the new CQI index table has more than 16 CQIs.

### **Potential advantages**

The embodiments described herein may have the following advantages:

- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR scenarios.
- The lowest MCS entry in MCS table and that in CQI table may be preserved as a fallback to ensure proper communication between eNB and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.

- The implementation effort in eNB and UEs may be kept to a minimum by sharing the majority of the MCS/CQI among the current and a new alternate MCS/CQI tables.
- The solution may be applied in both downlink and uplink.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms “radio node”, “User Equipment, UE”, “table configuration”, and “modulation order” have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

#### **Abbreviations**

|       |   |
|-------|---|
| LTE   | long-term evolution                             |
| PDSCH | physical downlink shared channel                |
| MCS   | modulation and coding scheme                    |
| eNB   | E-UTRAN NodeB                                   |
| UE    | user equipment                                  |
| CQI   | channel-quality indicator                       |
| QPSK  | quadrature phase-shift keying                   |
| 16QAM | 16 quadrature amplitude modulation              |
| 64QAM | 64 quadrature amplitude modulation              |
| SINR  | signal-to-interference-and-noise ratio          |
| DCI   | downlink control information                    |
| GSM   | global system for mobile communications         |
| WCDMA | wideband code-division multiple access          |
| WiMAX | worldwide interoperability for microwave access |

UMB            ultra mobile broadband

Some definitions of possible features and embodiments are outlined below, partly with reference to Figs 7-10.

1. A method is performed by a radio node (200) of a cellular network, the radio node being operable for applying a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the method comprising:
  - detecting (100) that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE, and
  - instructing (102) the first UE to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and wherein at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second CQI table as a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.
2. In a possible embodiment, the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
3. In another possible embodiment, the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
4. In another possible embodiment, the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

5. In another possible embodiment, the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
6. In another possible embodiment, the second MCS table and/or the second CQI table are modifications of the first MCS table and/or the first CQI table, respectively, where a set of entries for the higher modulation order have been added and a set of entries for a lowest modulation order have been removed.
7. In another possible embodiment, at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second CQI table as a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.
8. In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
9. In another possible embodiment, the second MCS table and/or the second CQI table are modifications of the first MCS table and/or the first CQI table, respectively, where all entries of the first MCS table and/or the first CQI table have been kept in the second MCS table and/or the second CQI table and a set of entries for the higher modulation order have been added.
10. In another possible embodiment, the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.
11. A radio node (200) of a cellular network is also described, the radio node being operable for applying a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising a first Modulation

and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the radio node comprising:

- a logic unit (200a) configured to detect that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE, and
- an instructing unit (200b) configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and wherein at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second CQI table as a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.

12. In further possible embodiments, the radio node is configured to perform the method of any of the above radio node method embodiments, where appropriate.

13. A method is also performed by a User Equipment, UE (400), the UE being operable for applying a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (300) an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and

- applying (302) the second table configuration in the radio communication with the radio node.

14. A User Equipment, UE, (400) is also described, the UE being operable for applying a first table configuration in a radio communication with a radio node (402) of a cellular network, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (400a) configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and
- a logic unit (400b) configured to apply the second table configuration in the radio communication with the radio node.

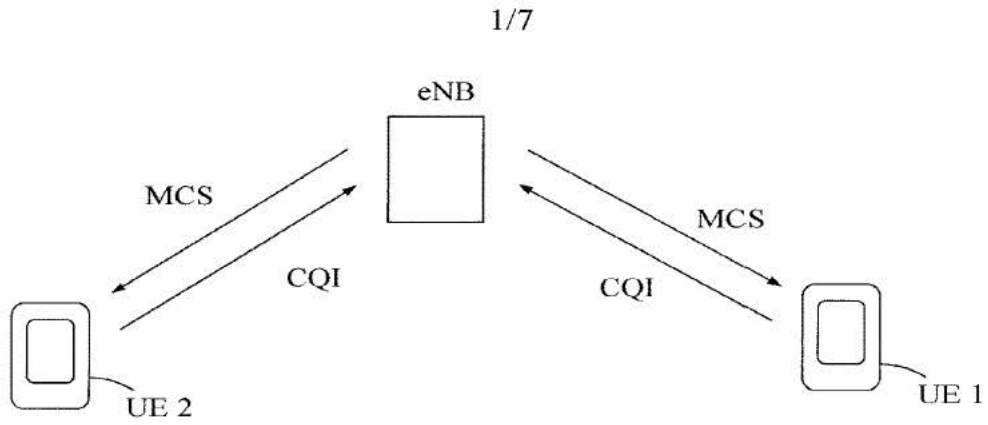


Fig. 1

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |
| 2                      | 2                         | 2                      |
| 3                      | 2                         | 3                      |
| 4                      | 2                         | 4                      |
| 5                      | 2                         | 5                      |
| 6                      | 2                         | 6                      |
| 7                      | 2                         | 7                      |
| 8                      | 2                         | 8                      |
| 9                      | 2                         | 9                      |
| 10                     | 4                         | 9                      |
| 11                     | 4                         | 10                     |
| 12                     | 4                         | 11                     |
| 13                     | 4                         | 12                     |
| 14                     | 4                         | 13                     |
| 15                     | 4                         | 14                     |
| 16                     | 4                         | 15                     |
| 17                     | 6                         | 15                     |
| 18                     | 6                         | 16                     |
| 19                     | 6                         | 17                     |
| 20                     | 6                         | 18                     |
| 21                     | 6                         | 19                     |
| 22                     | 6                         | 20                     |
| 23                     | 6                         | 21                     |
| 24                     | 6                         | 22                     |
| 25                     | 6                         | 23                     |
| 26                     | 6                         | 24                     |
| 27                     | 6                         | 25                     |
| 28                     | 6                         | 26                     |
| 29                     | 2                         | reserved               |
| 30                     | 4                         |                        |
| 31                     | 6                         |                        |

Fig. 2 Basic MCS index table



| CQI index | modulation   | code rate x 1024 | efficiency |
|-----------|--------------|------------------|------------|
| 0         | out of range |                  |            |
| 1         | QPSK         | 78               | 0.1523     |
| 2         | QPSK         | 120              | 0.2344     |
| 3         | QPSK         | 193              | 0.3770     |
| 4         | QPSK         | 308              | 0.6016     |
| 5         | QPSK         | 449              | 0.8770     |
| 6         | QPSK         | 602              | 1.1758     |
| 7         | 16QAM        | 378              | 1.4766     |
| 8         | 16QAM        | 490              | 1.9141     |
| 9         | 16QAM        | 616              | 2.4063     |
| 10        | 64QAM        | 466              | 2.7305     |
| 11        | 64QAM        | 567              | 3.3223     |
| 12        | 64QAM        | 666              | 3.9023     |
| 13        | 64QAM        | 772              | 4.5234     |
| 14        | 64QAM        | 873              | 5.1152     |
| 15        | 64QAM        | 948              | 5.5547     |

Fig. 3 Basic CQI index table

| <u>MCS Index</u><br>$I_{MCS}$ | <u>Modulation Order</u><br>$Q_m$ | <u>TBS Index</u><br>$I_{TBS}$ |
|-------------------------------|----------------------------------|-------------------------------|
| <del>0</del>                  | <del>2</del>                     | <del>0</del>                  |
| <del>1</del>                  | <del>2</del>                     | <del>1</del>                  |
| <del>2</del>                  | <del>2</del>                     | <del>2</del>                  |
| <del>3</del>                  | <del>2</del>                     | <del>3</del>                  |
| <del>4</del>                  | <del>2</del>                     | <del>4</del>                  |
| <del>5</del>                  | <del>2</del>                     | <del>5</del>                  |
| <del>6</del>                  | <del>2</del>                     | <del>6</del>                  |
| <del>7-1</del>                | <del>2</del>                     | <del>7</del>                  |
| <del>8-2</del>                | <del>2</del>                     | <del>8</del>                  |
| <del>9-3</del>                | <del>2</del>                     | <del>9</del>                  |
| <del>10-4</del>               | <del>4</del>                     | <del>9</del>                  |
| <del>11-5</del>               | <del>4</del>                     | <del>10</del>                 |
| <del>12-6</del>               | <del>4</del>                     | <del>11</del>                 |
| <del>13-7</del>               | <del>4</del>                     | <del>12</del>                 |
| <del>14-8</del>               | <del>4</del>                     | <del>13</del>                 |
| <del>15-9</del>               | <del>4</del>                     | <del>14</del>                 |
| <del>16-10</del>              | <del>4</del>                     | <del>15</del>                 |
| <del>17-11</del>              | <del>6</del>                     | <del>15</del>                 |
| <del>18-12</del>              | <del>6</del>                     | <del>16</del>                 |
| <del>19-13</del>              | <del>6</del>                     | <del>17</del>                 |
| <del>20-14</del>              | <del>6</del>                     | <del>18</del>                 |
| <del>21-15</del>              | <del>6</del>                     | <del>19</del>                 |
| <del>22-16</del>              | <del>6</del>                     | <del>20</del>                 |
| <del>23-17</del>              | <del>6</del>                     | <del>21</del>                 |
| <del>24-18</del>              | <del>6</del>                     | <del>22</del>                 |
| <del>25-19</del>              | <del>6</del>                     | <del>23</del>                 |
| <del>26-20</del>              | <del>6</del>                     | <del>24</del>                 |
| <del>27-21</del>              | <del>6</del>                     | <del>25</del>                 |
| <del>28-22</del>              | <del>6</del>                     | <del>26</del>                 |
| <b>23</b>                     | <b>8</b>                         | <b>New index</b>              |
| <b>24</b>                     | <b>8</b>                         | <b>New index</b>              |
| <b>25</b>                     | <b>8</b>                         | <b>New index</b>              |
| <b>26</b>                     | <b>8</b>                         | <b>New index</b>              |
| <b>27</b>                     | <b>8</b>                         | <b>New index</b>              |
| <b>28</b>                     | <b>8</b>                         | <b>New index</b>              |
| <del>29</del>                 | <del>2</del>                     | reserved                      |
| <del>30</del>                 | <del>4</del>                     |                               |
| <del>31</del>                 | <del>6</del>                     |                               |

Fig. 4 Example of MCS index table with MCS index 23-28 added for 256QAM

| <u>CQI index</u> | <u>modulation</u> | <u>code rate x 1024</u> | <u>efficiency</u> |
|------------------|-------------------|-------------------------|-------------------|
| 0                | out of range      |                         |                   |
| 1                | <u>QPSK</u>       | <u>78</u>               | <u>0.1523</u>     |
| <del>2</del>     | <del>QPSK</del>   | <del>120</del>          | <del>0.2344</del> |
| 3                | <u>QPSK</u>       | <u>193</u>              | <u>0.3770</u>     |
| 4                | <u>QPSK</u>       | <u>308</u>              | <u>0.6016</u>     |
| 5                | <u>QPSK</u>       | <u>449</u>              | <u>0.8770</u>     |
| <del>6-2</del>   | <del>QPSK</del>   | <del>602</del>          | <del>1.1758</del> |
| <del>7-3</del>   | <del>16QAM</del>  | <del>378</del>          | <del>1.4766</del> |
| <del>8-4</del>   | <del>16QAM</del>  | <del>490</del>          | <del>1.9141</del> |
| <del>9-5</del>   | <del>16QAM</del>  | <del>616</del>          | <del>2.4063</del> |
| <del>10-6</del>  | <del>64QAM</del>  | <del>466</del>          | <del>2.7305</del> |
| <del>11-7</del>  | <del>64QAM</del>  | <del>567</del>          | <del>3.3223</del> |
| <del>12-8</del>  | <del>64QAM</del>  | <del>666</del>          | <del>3.9023</del> |
| <del>13-9</del>  | <del>64QAM</del>  | <del>772</del>          | <del>4.5234</del> |
| <del>14-10</del> | <del>64QAM</del>  | <del>873</del>          | <del>5.1152</del> |
| <del>15-11</del> | <del>64QAM</del>  | <del>948</del>          | <del>5.5547</del> |
| <u>12</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>13</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>14</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>15</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |

Fig. 5 Example of CQI index table with CQI index 12 - 15 added for 256QAM

| I <sub>TBS</sub> | N <sub>PRB</sub> |      |      |      |     |     |     |       |       |       |
|------------------|------------------|------|------|------|-----|-----|-----|-------|-------|-------|
|                  | 1                | 2    | 3    | 4    | ... | ... | ... | 108   | 109   | 110   |
| 0                | 16               | 32   | 56   | 88   | ... | ... | ... | 2984  | 2984  | 3112  |
| 1                | 24               | 56   | 88   | 144  | ... | ... | ... | 4008  | 4008  | 4008  |
| 2                | 32               | 72   | 144  | 176  | ... | ... | ... | 4776  | 4968  | 4968  |
| 3                | 40               | 104  | 176  | 208  | ... | ... | ... | 6200  | 6456  | 6456  |
| 4                | 56               | 120  | 208  | 256  | ... | ... | ... | 7736  | 7736  | 7992  |
| 5                | 72               | 144  | 224  | 328  | ... | ... | ... | 9528  | 9528  | 9528  |
| 6                | 328              | 176  | 256  | 392  | ... | ... | ... | 11448 | 11448 | 11448 |
| 7 1              | 104              | 224  | 328  | 472  | ... | ... | ... | 12960 | 13536 | 13536 |
| 8 2              | 120              | 256  | 392  | 536  | ... | ... | ... | 15264 | 15264 | 15264 |
| 9 3              | 136              | 296  | 456  | 616  | ... | ... | ... | 16992 | 16992 | 17568 |
| 10 4             | 144              | 328  | 504  | 680  | ... | ... | ... | 19080 | 19080 | 19080 |
| 11 5             | 176              | 376  | 584  | 776  | ... | ... | ... | 22152 | 22152 | 22152 |
| 12 6             | 208              | 440  | 680  | 904  | ... | ... | ... | 24496 | 24496 | 25456 |
| 13 7             | 224              | 488  | 744  | 1000 | ... | ... | ... | 27376 | 28336 | 28336 |
| 14 8             | 256              | 552  | 840  | 1128 | ... | ... | ... | 30576 | 31704 | 31704 |
| 15 9             | 280              | 600  | 904  | 1224 | ... | ... | ... | 32856 | 34008 | 34008 |
| 16 10            | 328              | 632  | 968  | 1288 | ... | ... | ... | 35160 | 35160 | 35160 |
| 17 11            | 336              | 696  | 1064 | 1416 | ... | ... | ... | 39232 | 39232 | 39232 |
| 18 12            | 376              | 776  | 1160 | 1544 | ... | ... | ... | 42368 | 43816 | 43816 |
| 19 13            | 408              | 840  | 1288 | 1736 | ... | ... | ... | 46888 | 46888 | 46888 |
| 20 14            | 440              | 904  | 1384 | 1864 | ... | ... | ... | 51024 | 51024 | 51024 |
| 21 15            | 488              | 1000 | 1480 | 1992 | ... | ... | ... | 55056 | 55056 | 55056 |
| 22 16            | 520              | 1064 | 1608 | 2152 | ... | ... | ... | 59256 | 59256 | 59256 |
| 23 17            | 552              | 1128 | 1736 | 2280 | ... | ... | ... | 61664 | 61664 | 63776 |
| 24 18            | 584              | 1192 | 1800 | 2408 | ... | ... | ... | 66592 | 66592 | 66592 |
| 25 19            | 616              | 1256 | 1864 | 2536 | ... | ... | ... | 68808 | 68808 | 71112 |
| 26 20            | 712              | 1480 | 2216 | 2984 | ... | ... | ... | 75376 | 75376 | 75376 |
| 21               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 22               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 23               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 24               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 25               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 26               | NV               | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |

Fig. 6 Example of TBS table (dimension 21\*110) with index 21-26 for 256QAM (corresponding to Fig. 5), NV = new values

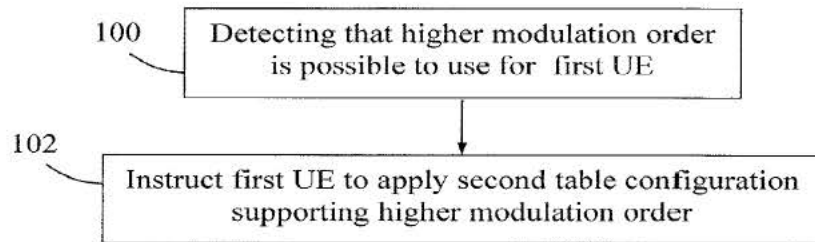


Fig. 7

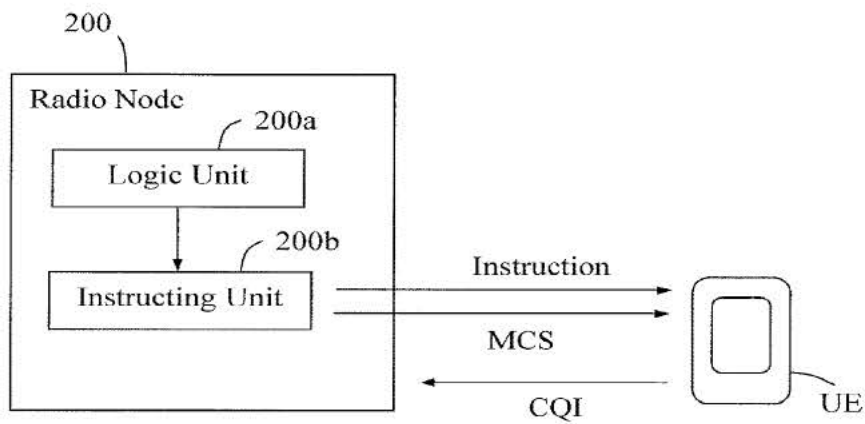


Fig. 8

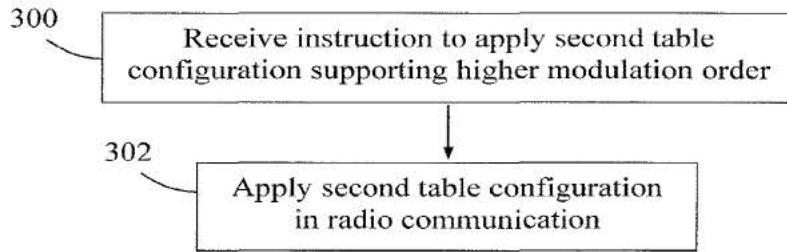


Fig. 9

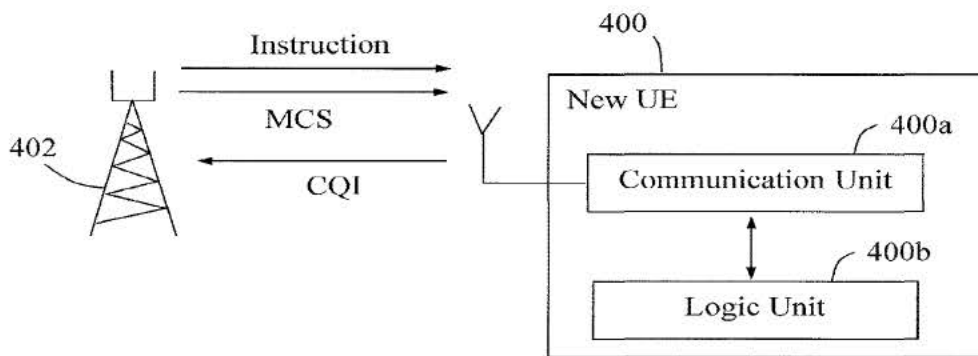


Fig. 10

## Electronic Acknowledgement Receipt

|   |  |
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| <b>EFS ID:</b>                              | 16544384   |
| <b>Application Number:</b>                  | 61863935   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 6638   |
| <b>Title of Invention:</b>                  | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |
| <b>First Named Inventor/Applicant Name:</b> | David HAMMARWALL   |
| <b>Customer Number:</b>                     | 11754  |
| <b>Filer:</b>                               | Sarah Janelle Rodriguez/Anne Jansson   |
| <b>Filer Authorized By:</b>                 | Sarah Janelle Rodriguez  |
| <b>Attorney Docket Number:</b>              | PS54636US00  |
| <b>Receipt Date:</b>                        | 09-AUG-2013  |
| <b>Filing Date:</b>                         |  |
| <b>Time Stamp:</b>                          | 08:47:40   |
| <b>Application Type:</b>                    | Provisional  |

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| Submitted with Payment                   | yes         |
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|   |   |                   |   |         |    |
|---|---|-------------------|---|---------|----|
| 1   | Application Data Sheet                      | ADS.pdf           | 1505207<br>528b52429cdf1e9ee9a9f2a35af13291ebf0e8db | no      | 7  |
| <b>Warnings:</b>  |   |                   |   |         |    |
| <b>Information:</b>   |   |                   |   |         |    |
| 2   | Provisional Cover Sheet (SB16)              | SB16.pdf          | 1477173<br>38988dfcb174bf1d01b2c24c71b5d3c9634ac510 | no      | 3  |
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| <b>Information:</b>   |   |                   |   |         |    |
| 3   | Specification                               | Specification.pdf | 482758<br>1f55aa9608dde19301447128f0b29615d8a06d30  | no      | 15 |
| <b>Warnings:</b>  |   |                   |   |         |    |
| <b>Information:</b>   |   |                   |   |         |    |
| 4   | Drawings-only black and white line drawings | Drawings.pdf      | 167673<br>d3f8276cc0d16033eedc549300c8a0914897117a7 | no      | 7  |
| <b>Warnings:</b>  |   |                   |   |         |    |
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| 5   | Fee Worksheet (SB06)                        | fee-info.pdf      | 29867<br>h62d97aabc7841786f388049a22ac932d17cf78    | no      | 2  |
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| International application number:            | <b>PCT/SE2014/050803</b>                        |
| International filing date:                   | <b>26 June 2014 (26.06.2014)</b>                |
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|               |  |  |
|---------------|--|--|
| VIII-2-1      | <p><b>Declaration: Entitlement to apply for and be granted a patent</b><br/> Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:<br/> Name (LAST, First)</p> | <p>In relation to this international application</p> <p>Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:</p>   |
| VIII-2-1(i)   |  | <p>ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng</p>  |
| VIII-2-1(vii) |  | <p>transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)</p> |

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| <b>0</b>        | <b>For receiving Office use only</b>  |  |
| <b>0-1</b>      | International Application No.   | PCT/SE2014/050803  |
| <b>0-2</b>      | International Filing Date   | 26 June 2014 (26.06.2014)  |
| <b>0-3</b>      | Name of receiving Office and "PCT International Application"  | RO/SE  |
| <b>0-4</b>      | <b>Form PCT/RO/101 PCT Request</b>  |  |
| <b>0-4-1</b>    | Prepared Using  | PCT Online Filing<br>Version 3.5.000.235 MT/FOP<br>20020701/0.20.5.20  |
| <b>0-5</b>      | <b>Petition</b><br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty  |  |
| <b>0-6</b>      | <b>Receiving Office (specified by the applicant)</b>  | Swedish Patent and Registration Office<br>(RO/SE)  |
| <b>0-7</b>      | <b>Applicant's or agent's file reference</b>  | P41223WO1  |
| <b>I</b>        | <b>Title of Invention</b>   | METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT |
| <b>II</b>       | <b>Applicant</b>  |  |
| <b>II-1</b>     | This person is  | Applicant only   |
| <b>II-2</b>     | Applicant for   | All designated States  |
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| <b>II-9</b>     | Facsimile No.   | +46 10 71 75695  |
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| <b>II-10(a)</b> | E-mail authorization<br>The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application: | exclusively in electronic form (no paper notifications will be sent)   |

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| III-1-3      | Inventor for  |  |
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| III-2-3      | Inventor for  |  |
| III-2-4      | Name (LAST, First)  | WANG, Meng   |
| III-2-5      | Address   | Signalistgatan 14<br>SE-169 72 SOLNA<br>Sweden                         |
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|              | The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:   | Agent  |
| IV-1-1       | Name (LAST, First)  | BOU FAICAL, Roger  |
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| IV-1-5       | e-mail  | patent.development@ericsson.com  |
| IV-1-5(a)    | E-mail authorization<br>) The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application: | exclusively in electronic form (no paper notifications will be sent)   |
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| <b>VI-1</b>  | <b>Priority claim of earlier national application</b>   |  |
| VI-1-1       | Filing date   | 09 August 2013 (09.08.2013)  |
| VI-1-2       | Number  | 61/863,935   |
| VI-1-3       | Country   | US   |

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| <b>VI-3</b>  | <b>Incorporation by reference :</b><br>where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6. |  |  |
| <b>VII-1</b> | <b>International Searching Authority Chosen</b>  | <b>European Patent Office (EPO) (ISA/EP)</b> |  |
| <b>VIII</b>  | <b>Declarations</b>  | <b>Number of declarations</b>                |  |
| VIII-1       | Declaration as to the identity of the inventor   | –  |  |
| VIII-2       | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent   | 1  |  |
| VIII-3       | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application   | 1  |  |
| VIII-4       | Declaration of inventorship (only for the purposes of the designation of the United States of America)   | –  |  |
| VIII-5       | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | –  |  |

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| VIII-2-1      | <b>Declaration: Entitlement to apply for and be granted a patent</b><br>Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:<br>Name (LAST, First) | In relation to this international application<br><br>Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:   |
| VIII-2-1(i)   |   | ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng  |
| VIII-2-1(vii) |   | transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003) |

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| VIII-3-1      | <b>Declaration: Entitlement to claim priority</b><br>Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))<br>Name | <p>In relation to this international application</p> <p>Telefonaktiebolaget L M Ericsson (publ) is entitled to claim priority of earlier application No. 61/863,935 by virtue of the following:</p>   |
| VIII-3-1(i)   |   | ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng  |
| VIII-3-1(vii) |   | transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003) |

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| <b>IX</b>                 | <b>Check list</b>   | <b>Number of sheets</b>           | <b>Electronic file(s) attached</b> |
|---------------------------|---|-----------------------------------|------------------------------------|
| IX-1                      | Request (including declaration sheets)                              | 6                                 | ✓                                  |
| IX-2                      | Description   | 25                                | ✓                                  |
| IX-3                      | Claims  | 6                                 | ✓                                  |
| IX-4                      | Abstract  | 1                                 | ✓                                  |
| IX-5                      | Drawings  | 7                                 | ✓                                  |
| IX-7                      | TOTAL   | 45                                |                                    |
| <b>Accompanying Items</b> |   | <b>Paper document(s) attached</b> | <b>Electronic file(s) attached</b> |
| IX-8                      | Fee calculation sheet   | -                                 | ✓                                  |
| IX-18                     | PCT-SAFE physical media   | -                                 | -                                  |
| IX-19                     | Other   | Pre-conversion archive            | ✓                                  |
| IX-20                     | Figure of the drawings which should accompany the abstract          | 5                                 |                                    |
| IX-21                     | Language of filing of the international application                 | English                           |                                    |
| X-1                       | Signature of applicant, agent or common representative              | /Roger Bou Faical/                |                                    |
| X-1-1                     | Name (LAST, First)  | BOU FAICAL, Roger                 |                                    |
| X-1-2                     | Name of signatory   | BOU FAICAL, Roger                 |                                    |
| X-1-3                     | Capacity (if such capacity is not obvious from reading the request) | (Representative)                  |                                    |

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| 10-1   | Date of actual receipt of the purported international application   | 26 June 2014 (26.06.2014) |
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| 10-2-1 | Received  |                           |
| 10-2-2 | Not received  |                           |
| 10-3   | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application |                           |
| 10-4   | Date of timely receipt of the required corrections under PCT Article 11(2)  |                           |
| 10-5   | International Searching Authority   | ISA/EP                    |
| 10-6   | Transmittal of search copy delayed until search fee is paid   |                           |

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## NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

BOU FAICAL, Roger  
Ericsson AB  
Patent Unit Kista RAN1  
SE-164 80 Stockholm  
SUÈDE

|  |  |
|--|--|
| Date of mailing ( <i>day/month/year</i> )<br>27 February 2015 (27.02.2015) | <b>IMPORTANT NOTIFICATION</b>  |
| Applicant's or agent's file reference<br>P41223WO1                         |  |
| International application No.<br>PCT/SE2014/050803                         | International filing date ( <i>day/month/year</i> )<br>26 June 2014 (26.06.2014) |

1. The following indications appeared on record concerning:

the applicant       the inventor       the agent       the common representative

|  |                      |                    |
|--|----------------------|--------------------|
| Name and Address<br>WANG, Meng<br>Signalistgatan 14<br>SE-169 72 Solna<br>Sweden | State of Nationality | State of Residence |
|  | Telephone No.        |                    |
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|  | E-mail address       |                    |

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

the person       the name       the address       the nationality       the residence

|   |   |                    |
|---|---|--------------------|
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|   | Telephone No.   |                    |
|   | Facsimile No.   |                    |
|   | E-mail address<br><input type="checkbox"/> Notifications by e-mail authorized |                    |

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4. A copy of this notification has been sent to:

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- (74) Agent: BOU FAICAL, Roger; Ericsson AB, Patent Unit Kista RAN1, SE-164 80 Stockholm (SE).

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[Continued on next page]

(54) Title: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

(57) Abstract: A method and radio node (500) for enabling higher-order modulation like 256QAM in LTE. A first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table which tables support a certain maximum modulation order. When the radio node (500) detects that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in the radio communication, the radio node (500) instructs the first UE (502) to apply a second table configuration which comprises at least one of a second MCS table and a second CQI table which second tables support the higher modulation order. At least one entry for at least one modulation order in the tables of the first table configuration is maintained in the tables of the second table configuration as a fall-back in case it is desirable to use the at least one modulation order of the first table configuration when the second table configuration is applied. Thereby, a higher data rate can be achieved in the radio communication. A legacy MCS table and a new MCS table having entries with 256QAM are provided with the signalling to switch between these tables.

WO 2015/020587 A1

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |
| 2                      | 2                         | 2                      |
| 3                      | 2                         | 3                      |
| 4                      | 2                         | 4                      |
| 5                      | 2                         | 5                      |
| 6                      | 2                         | 6                      |
| 7                      | 2                         | 7                      |
| 8                      | 2                         | 8                      |
| 9                      | 2                         | 9                      |
| 10                     | 4                         | 10                     |
| 11                     | 4                         | 11                     |
| 12                     | 4                         | 12                     |
| 13                     | 4                         | 13                     |
| 14                     | 4                         | 14                     |
| 15                     | 4                         | 15                     |
| 16                     | 4                         | 16                     |
| 17                     | 6                         | 16                     |
| 18                     | 6                         | 16                     |
| 19                     | 6                         | 17                     |
| 20                     | 6                         | 18                     |
| 21                     | 6                         | 19                     |
| 22                     | 6                         | 20                     |
| 23                     | 6                         | 21                     |
| 24                     | 6                         | 22                     |
| 25                     | 6                         | 23                     |
| 26                     | 8                         | 24                     |
| 27                     | 8                         | 25                     |
| 28                     | 8                         | 26                     |
| 29                     | 8                         | 27                     |
| 30                     | 8                         | 28                     |
| 31                     | 8                         | 29                     |
| 32                     | 8                         | New index              |
| 33                     | 8                         | New index              |
| 34                     | 8                         | New index              |
| 35                     | 8                         | New index              |
| 36                     | 8                         | New index              |
| 37                     | 8                         | New index              |
| 38                     | 8                         | New index              |
| 39                     | 2                         | reserved               |
| 40                     | 4                         | reserved               |
| 41                     | 6                         | reserved               |

Fig. 8

**Declarations under Rule 4.17:**

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

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## METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

### Technical field

The present disclosure relates generally to a radio node of a cellular network, a User Equipment, UE and methods therein, for enabling use of a high order modulation when communicating radio signals.

### Background

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any wireless terminal or device capable of radio communication with a cellular network including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. For example, the term User Equipment, UE could be exchanged by the term "wireless device". Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a cellular network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the Modulation and Coding Scheme, MCS, adopted for Physical Downlink Shared Channel, PDSCH, transmission must be indicated in downlink MCS signaling by the serving radio node to the UE. By uplink signaling, the UE informs the radio node about corresponding radio-link, i.e. channel, conditions through Channel Quality Indicator, CQI signaling, including sending CQI reports to the radio node.

This is generally illustrated in Fig. 1 in which a radio node 100 of a cellular network is serving two UEs denoted UE1 and UE2. In this example, UE1 and UE2 both

report quality measurements made on the channel used by sending CQI reports to the radio node 100 which selects a suitable MCS for each UE based on their CQI reporting and signals the selected MCS to the UEs, respectively. Link adaptation is made in this way for individual UEs on a dynamic basis since the radio-link conditions may change rapidly. The selection of a suitable MCS can thus be made individually for each UE.

In current LTE systems, the set of available modulation schemes for both downlink and uplink includes Quadrature Phase-Shift Keying, QPSK, 16 Quadrature Amplitude Modulation, QAM, and 64QAM, corresponding to two, four and six bits carried per modulation symbol, respectively. In this field, the number of bits carried per modulation symbol is usually referred to as the modulation order,  $Q_m$ .

In brief, the serving radio node selects a suitable MCS based on CQI reporting from the UE and signals the selected MCS to the UE with reference to a predefined MCS index table which is known to the UE. The MCS index table maps MCS indices to modulation order and a Transport Block Size, TBS, index. Further, the UE determines a CQI value based on signal measurements and the CQI is signaled from the UE to the radio node with reference to a likewise predefined CQI index table which maps CQI indices to modulation forms and code rates. In this description, the term "modulation form" is used for short to indicate a modulation format, method or scheme.

In LTE, especially for scenarios with good channel conditions where the Signal-to-Interference-and-Noise Ratio, SINR, is high, e.g. in small-cell environments where the UE is close to its serving radio node, a straightforward means to provide higher data rate for the UE with given transmission bandwidth is to use higher-order modulation that allows for more bits of information to be carried per modulation symbol, as compared to the modulation schemes mentioned above where the highest possible data rate is provided by 64QAM carrying six bits per modulation symbol. However, it is a problem that the control signaling schemes, methods, formats or protocols of today do not support any modulation with higher order than six bits per symbol, as in 64QAM. It is also a problem that additional control

signaling would be required between the UE and the serving radio node if higher data rate is to be achieved by using higher-order modulation.

### Summary

It is an object of embodiments described herein to address at least some of the problems and issues outlined above. It is possible to achieve this object and others by using a radio node, a UE and methods therein as defined in the attached independent claims.

According to one aspect, a method is performed by a radio node of a cellular network. The radio node is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

In this method, the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. The radio node then instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

According to another aspect, a radio node of a cellular network is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table

wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The radio node comprises a logic unit configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE.

The radio node also comprises an instructing unit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. At least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

According to another aspect, a method is performed by a User Equipment, UE, being operable to apply a first table configuration in a radio communication with a radio node of a cellular network. The first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. In this method, the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE further applies the second table configuration in the radio communication with the radio node.

According to another aspect, a User Equipment, UE, is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation

and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The UE comprises a communication unit which is configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE also comprises a logic unit which is configured to apply the second table configuration in the radio communication with the radio node.

When using any of the above methods and nodes, it is possible to achieve a higher data rate in the radio communication between the radio node and the UE by using the higher modulation order of the second table configuration, e.g. when the radio or channel conditions are favorable, instead of being limited to the maximum modulation order of the first table configuration.

A computer program is also provided comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out either of the above methods. A carrier is also provided which contains the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

The above methods and nodes may be configured and implemented according to different optional embodiments to accomplish further features and benefits, to be described below.

#### Brief description of drawings

The solution will now be described in more detail by means of exemplary embodiments and with reference to the accompanying drawings, in which:

Fig. 1 is a communication scenario illustrating how link adaptation can be achieved, according to the prior art.



Fig. 2 is a table used for MCS signaling from a radio node to a UE, according to a first table configuration.

Fig. 3 is a table used for CQI signaling from a UE to a radio node, according to the first table configuration.

Fig. 4 is a flow chart illustrating a procedure in a radio node, according to some possible embodiments.

Fig. 5 is a block diagram illustrating an example of how a radio node may be configured and operate, according to further possible embodiments.

Fig. 6 is a flow chart illustrating a procedure in a UE, according to some possible embodiments.

Fig. 7 is a block diagram illustrating an example of how a UE may be configured and operate, according to further possible embodiments.

Fig. 8 is an example of a modified table used for MCS signaling from a radio node to a UE, according to according to a second table configuration.

Fig. 9 is an example of a modified table used for CQI signaling from a UE to a radio node, according to the second table configuration.

Fig. 10 is an example of a modified table used for mapping a Transport Block Size, TBS, index to a data rate, according to further possible embodiments.

#### Detailed description

In this solution it has been recognized that the above-described control signaling for MCS and CQI indication can be re-designed in order to adopt higher-order modulation schemes in LTE systems. In particular, the MCS and CQI index tables used for such signaling can be modified such that the current maximum modulation order can be increased without requiring any extra signaling bits. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM allowing eight bits per symbol, or even higher modulation of 512QAM, and so forth.

Briefly described, a first table configuration is initially applied in radio communication between a radio node and a UE. The first table configuration comprises a first MCS table and/or a first CQI table which tables support a certain maximum modulation order, e.g. 6. An example of the first MCS table is shown in Fig. 2 and an example of the first CQI table is shown in Fig. 3. The first MCS table and the first CQI table are thus predefined and known to the UE, for example the tables currently used in LTE for signaling between radio nodes and UEs for enabling link adaptation as described above although other MCS and CQI tables are also possible to use in the first table configuration. In these examples it can be seen that the maximum modulation order supported by the first MCS table and the first CQI table is  $Q_m = 6$  which corresponds to 64QAM.

When detecting that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in the above communication, e.g. based on CQI reports from the UE, the radio node instructs the UE to apply a second table configuration that supports the higher modulation order. The second table configuration comprises a second MCS table and/or a second CQI table having additional entries that support the higher modulation order. Examples of how such tables of the second table configuration can be configured will be described in more detail later below. In this solution, at least one entry for at least one modulation order is maintained, i.e. kept, from the table(s) of the first table configuration as a fall-back in case it would become desirable or even necessary to use the least one modulation order of the first table configuration when the second table configuration is applied, such as when the radio conditions get worse and only the least one modulation order of the first table configuration, e.g. the lowest modulation order, is possible to use for keeping the radio connection.

It will now be described how link adaptation can be achieved in general according to LTE.

For downlink data transmission in LTE, the radio node typically selects the MCS depending on the CQI feedback transmitted by the UE in the uplink, as illustrated

in Fig. 1. The CQI feedback indicates the present channel condition and possible data rate, or more specifically a modulation and coding scheme MCS, that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling between the radio node and the UE. In the downlink, the information about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the Downlink Control Information, DCI, transmitted from the radio node to the UE. This MCS field corresponds to the MCS index table shown in Fig. 2. In this table, there is room for 32 combinations or entries, where 29 entries are used to signal an adopted MCS, each entry corresponding to a modulation order and a Transport Block Size, TBS, while 3 entries are reserved, e.g., to support adaptive retransmissions. All possible TBS can be described by a TBS table mapping a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist the serving radio node to select the appropriate MCS to apply for downlink transmissions. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals transmitted by the serving radio node. For example, the reported CQI may represent the highest MCS that is supported for a PDSCH transmission, e.g. with a transport block error rate probability not exceeding 10%. The CQI is signaled from the UE to the radio node with reference to a predefined CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations corresponding to CQI index 0-15 in the CQI index table. It should be noted that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. Thus, the selected and signaled CQI indicates the highest modulation and coding rate at which the block error rate measured at UE does not exceed 10%. Based on the CQI feedback from the UE and other information, the radio node is able to select a proper MCS index from the MCS table and notify the UE accordingly by MCS signaling.

Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, the CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that also includes specific entries for new modulation schemes. The modification of MCS/CQI table may require re-designing the DCI format and possibly also the Uplink Control Information, UCI, format.

Typically, the additional MCS/CQI tables are used in scenarios with high Signal-to-Noise Ratio, SNR, or SINR which allow for higher-order modulation to be used thanks to the high signal quality. In scenarios with relatively low SNR or SINR, on the other hand, the current MCS/CQI tables supporting QPSK, 16QAM and 64QAM are useful to achieve link robustness. Hence, a solution has been devised with flexibility to adopt appropriate MCS/CQI tables based on channel conditions as follows.

As mentioned above, current LTE systems only support modulation up to 64QAM, while it may be desirable to use higher-order modulation, e.g. 256QAM, to increase the data rate when the signal quality allows. To support higher-order modulation, adaptations and/or extensions to the current control signaling in terms of the MCS index table, the CQI index table and the corresponding fields in DCI/UCI are required. This can be solved by the embodiments described herein.

In this disclosure, an alternative design of an MCS index table and/or of a CQI index table supporting higher-order modulation is described which can be used for LTE systems, which can be supported in addition to basic MCS and CQI tables such as the current design of the MCS index table and the CQI index table shown in Fig. 2 and Fig. 3, respectively.

In the current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM, e.g. as illustrated in Figs 2 and 3. The proposed new MCS

and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits in the DCI/UCI formats, or the number of entries in the MCS table and in the CQI table, respectively. In this solution, it is possible to select higher-order modulation schemes e.g. in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables may be preserved. The current MCS and/or CQI tables may be comprised in a first table configuration while the new MCS and/or CQI tables supporting a higher-order modulation may be comprised in a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE and a radio node has to implement may be minimized. In other words, the UE and the radio node need to support only one extra MCS table and/or CQI table of the second table configuration in order to enable the higher-order modulation.

In a possible embodiment, at least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and/or at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table is preserved or maintained from the basic MCS and/or CQI table, to ensure proper communication between the radio node and the UE under poor channel or radio conditions. Thus, a fallback is provided in case it is only possible or desirable to use a modulation order lower than the higher modulation order, e.g. the lowest modulation order, when the second table configuration is applied. This provides flexibility and robustness in case of changing channel or radio conditions, and provides a robust format to, for example, signal control-plane data, and/or to reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel or radio conditions. By employing embodiments described herein, the link adaption in LTE systems may be enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency e.g. in

high SINR scenarios, while maintaining robustness in case of worsening radio conditions.

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and Ultra Mobile Broadband, UMB, may also benefit from exploiting embodiments described herein.

It should also be noted that terminology such as radio node should be considered non-limiting and in general "radio node" could be considered as device 1 and "UE" could be considered as device 2 and these two devices may communicate with each other over some radio channel in the manner described herein.

In the following, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be utilized in another embodiment wherever appropriate.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. A possible design of alternative MCS and CQI index tables will now be described as well as the mechanism by which the radio node and the UE can switch between the proposed new MCS/CQI tables of the second table configuration and the MCS/CQI tables of the first table configuration. It should be noted that the solution is not limited to the specific examples of MCS/CQI tables described herein and that any MCS/CQI tables may be used in accordance with the embodiments described herein.

An example of a procedure, performed by a radio node of a cellular network when the solution is employed, will now be described with reference to the flow chart in Fig. 4. Some possible but non-limiting embodiments will also be described which may be used for the radio node. In this procedure, it is assumed that the radio node is operable to apply a first table configuration in radio communications with UEs, and that the first table configuration comprises at least one of a first MCS

table and a first CQI table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. As said above, the maximum modulation order supported by the currently used MCS/CQI tables is  $Q_m = 6$  which corresponds to 64QAM, and the currently used MCS/CQI tables may, without limitation, be used as the first table configuration in this solution.

A first **action 400** illustrates that the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. For example, this may be detected when a performance related parameter, e.g. SINR, of signals communicated between the radio node and the second UE is above a certain threshold.

Another **action 402** illustrates that the radio node instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. In other words, the at least one of the second MCS table and the second CQI table includes at least one entry for at least one modulation order, e.g. the lowest modulation order, that is also included in the at least one of the first MCS table and the first CQI table, in order to provide said fall-back. Such a fall-back may be desirable, and even necessary, if the signal quality, e.g. as indicated by the CQI reports from the UE, suddenly deteriorates and no modulation order higher than the lowest one is suitable or even possible to use for achieving robustness.

In a possible embodiment, the radio node may instruct the first UE to apply the second table configuration in the radio communication between the radio node and

the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold. The performance related parameter may be derived from CQI reports provided by the first UE containing measurements of downlink reference signals transmitted by the radio node.

In another possible embodiment, the radio node may instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold. In yet a possible embodiment, the radio node may in this case instruct the second UE explicitly by sending an instruction to the second UE to apply the first table configuration, or implicitly by not sending an instruction to the second UE to apply the second table configuration which indicates to the second UE that it should apply the first table configuration. Furthermore, the radio node may instruct the first UE as well to apply the first table configuration again, if the performance related parameter of signals between the radio node and the first UE would fall below the threshold. In either of the latter embodiments, the performance related parameter may comprise a Signal-to-Interference-and-Noise Ratio, SINR.

It was mentioned above that the MCS and CQI index tables of the second table configuration may be created by modifying the MCS and CQI index tables of the first table configuration, e.g. the tables shown in Figs 2 and 3, such that the current maximum modulation order can be increased. This may be done without requiring any extra signaling bits. In one possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries for the higher modulation order have been added and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed in the respective second tables. In another possible embodiment, the at least one modulation order in the at least one of the first MCS table and the first CQI table may comprise a lowest modulation order of the first table configuration. It will be described later below how the above-



mentioned modification of the first MCS table and the first CQI table may be done in more detail with reference to examples shown in Figs 8 and 9.

It was also mentioned above that at least one entry for the at least one modulation order, e.g. the lowest modulation order, in the at least one of the first MCS table and the first CQI table is maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table as a fall-back in case the signal quality deteriorates while using the second table configuration. In another possible embodiment, the first entry for the lowest modulation order in the first MCS table may be maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table may be maintained in the second CQI table as the fall-back. This embodiment will be illustrated in more detail later below.

In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order may be equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size. Thereby, no extra bits are needed in the signaling of MCS and/or CQI, respectively, for supporting the second table configuration in addition to the first table configuration.

In another possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added. In yet a possible embodiment, the first and second table configurations may further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively. The TBS table maps a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size, e.g. given in number of bits.

A detailed but non-limiting example of how a radio node may be structured with some possible functional entities such as modules, circuits or units, to bring about

the above-described functionality of the radio node, is illustrated by the block diagram in Fig. 5. In this figure, the radio node 500 is operable to apply a first table configuration in radio communications with UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The radio node 500 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the radio node 500 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 4 and the embodiments described above, where appropriate. In order to put any of this into practice, the radio node 500 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the radio node 500 comprises means, such as a **logic unit 500a**, configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node 500 and a first UE 502. This detecting operation may be performed as described for action 400 above.

The radio node 500 also comprises means, such as an **instructing unit 500b**, configured to instruct the first UE 502 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. This instructing operation may be performed as described for action 402 above.

An example of a procedure, performed by a UE when the solution is employed, will now be described with reference to the flow chart in Fig. 6. In this procedure, it is assumed that the UE is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

A first **action 600** illustrates that the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. In another **action 602**, the UE applies the second table configuration in the radio communication with the radio node, which may include receiving MCS signaling from the radio node with reference to the second MCS table and/or sending CQI reports to the radio node with reference to the second CQI table, in accordance with the second table configuration.

A detailed but non-limiting example of how a UE may be structured with some possible functional entities such as modules, circuits or units, to bring about the above-described functionality of the UE, is illustrated by the block diagram in Fig. 7. In this figure, the UE 700 is operable to apply a first table configuration in a radio communication with a serving radio node 702 of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The UE 700 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the UE 700 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 6. In order to put any of this into practice, the UE

700 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the UE 700 comprises means, such as a **communication unit 700a**, configured to receive an instruction from the radio node 702 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE 700 also comprises means, such as a **logic unit 700b**, configured to apply the second table configuration in the radio communication with the radio node 702. This is illustrated in the figure by the UE 700 receiving MCS signaling from the radio node 702 with reference to the second MCS table and/or sending CQI reports to the radio node 702, with reference to the second CQI table in accordance with the second table configuration.

It should be noted that Figs 5 and 7 illustrate various functional units in the radio node 500 and the UE 700, respectively, and the skilled person is able to implement these functional units in practice using suitable software and hardware. Thus, the solution is generally not limited to the shown structures of the radio node 500 and the UE 700, and the functional units 500a-b and 700a-b may be configured to operate according to any of the features described in this disclosure, where appropriate.

The functional units 500a-b and 700a-b described above can be implemented in the radio node 500 and the UE 700, respectively, by means of program modules of a respective computer program comprising code means which, when run by the processor P in each node causes the radio node 500 and the UE 700 to perform the above-described actions and procedures. Each processor P may comprise a single Central Processing Unit (CPU), or could comprise two or more processing units. For example, each processor P may include a general purpose microprocessor, an instruction set processor and/or related chips sets and/or a special purpose microprocessor such as an Application Specific Integrated Circuit (ASIC). Each processor P may also comprise a storage for caching purposes.

Each computer program may be carried by a computer program product in each of the radio node 500 and the UE 700 in the form of a memory having a computer readable medium and being connected to the processor P. The computer program product or memory M in each of the radio node 500 and the UE 700 may thus comprise a computer readable medium on which the computer program is stored e.g. in the form of computer program modules or the like. For example, the memory M in each node may be a flash memory, a Random-Access Memory (RAM), a Read-Only Memory (ROM) or an Electrically Erasable Programmable ROM (EEPROM), and the program modules could in alternative embodiments be distributed on different computer program products in the form of memories within the respective radio node 500 and UE 700.

The solution described herein may be implemented in the respective radio node 500 and UE 700 by a computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the actions according to any of the above embodiments. The solution may also be implemented at the respective radio node 500 and UE 700 in a carrier containing the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

It will now be described in more detail how the first MCS and CQI tables shown in Figs 2 and 3 can be modified to create the second MCS and CQI tables, which is exemplified in the modified MCS table shown in Fig. 8 and in the modified CQI table shown in Fig. 9.

### **MCS index table**

In an illustrative example, a first MCS table of the above-described first table configuration is used for downlink transmission to one UE, such as UE1 in Fig. 1, and for downlink transmission to another UE, such as UE2 in Fig. 1, a second MCS table of the above-described second table configuration is used.

In another illustrative example, the first MCS table is the current MCS table of the first table configuration in specification as shown in Fig. 2, and the second MCS table is a modified new MCS table of the second table configuration that supports

a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation. An example of a second MCS table is shown in Fig. 8 which has been modified from the first MCS table of Fig. 2.

In another illustrative example, the radio node can use either current MCS table or proposed alternative MCS table for DL transmission. In a further example, the information about the MCS table that is to be used may be part of a DCI message, in which case it typically applies to a single specific subframe, or it may be part of a Radio Resource Control, RRC message or a Medium Access Control, MAC message, in which case it typically applies until further notice.

In another illustrative example, the proposed MCS index table of the second table configuration may have  $32+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index  $I_{MCS}$ , modulation order  $Q_m$  and TBS index  $I_{TBS}$ . Each table row or entry, that corresponds to one MCS, is indexed by the MCS index  $I_{MCS}$  and contains a particular combination of modulation order and TBS index.

In another illustrative example, in the proposed MCS index table of the second table configuration,  $M$  rows, i.e.,  $M$  MCS indices may be used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBS index, where  $M$  is a non-negative integer with  $M > N$ .

In another illustrative example,  $32+N-M$  MCS entries in Fig. 2 may be re-used in the new MCS table of the second table configuration. In other words,  $M-N$  MCS entries in Fig. 2 are not included in the new MCS table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another example, the rows of TBS values corresponding to the TBS indices contained in said  $M-N$  MCSs may be removed from the TBS table of the first table configuration. Meanwhile,  $M$

new rows of TBS values corresponding to said  $M$  MCSs for higher-order modulation are added to said TBS table.

In a further illustrative example, when  $N=0$ , the new MCS table of the second table configuration may keep the same size as the MCS table in Fig. 2 and  $M$  MCSs for higher-order modulation in Fig. 8 replaces  $M$  MCSs in Fig. 2. In a further example, the lowest MCS, corresponding to MCS index 0, may be preserved or kept in the new MCS table of the second table configuration in Fig. 8. In a further example, the subsequent  $M$  lowest MCSs (corresponding to MCS indices 1 through  $M$ ) are excluded in the new MCS table of the second table configuration. An example of such a new MCS table is shown in Fig. 8. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed in Fig. 8, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the MCS table in Fig. 8.

In order to create the TBS table of the second table configuration, rows of TBS values corresponding to the removed and added MCSs should be removed and added accordingly from and to the TBS table of the first table configuration. Other MCS entries are re-used in the new MCS table and the indices in this table are rearranged, i.e. renumbered, from beginning. Fig. 10 illustrates an example of a proposed TBS table of the second table configuration, table dimension is  $27 \times 110$ , with index 21-26 for 256QAM, corresponding to the table in Fig. 9, where "NV" denotes new values. For the example shown in Fig. 10, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS values for 256QAM have been added at the end of the table. This new TBS table of the second table configuration shall be consistent with the TBS index in said new MCS table of the second table configuration.

Fig. 10 will now be explained in more detail. Fig. 10 shows the Transport Block Size table in the specification 36.213 Table 7.1.7.2.1-1. The transport block size, i.e. the number of bits carried by a transport block is determined by both adopted MCS and the number of pair of resource blocks which is denoted  $N_{PRB}$  in the table. For each MCS index in the MCS table, there are 110 possible numbers of

PRB, 1-110. This is why the TBS table has a size of 110 columns and 27 rows that correspond to different MCSs, although they are not one-to-one mappings to the MCS table. If new MCS indices are added for 256QAM, the TBS entries for 256QAM need also be calculated and specified. Hence, in the new MCS table, the new entries for 256QAM must contain the TBS indices for corresponding new TBS values.

In another illustrative example, the lowest MCS, i.e. the entry with MCS index 0 in the MCS table of the first table configuration shown in Fig. 2 may be re-used in the new MCS table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another illustrative example, when the new MCS table of the second table configuration is in use and the entry for lowest MCS is selected for a transmission, this indicates that it is difficult to support higher-order modulation due to variation of channel quality, bad radio conditions or other issues, and that a more robust MCS is needed. Hence, the MCS table used in the next transmission may automatically fall back to the first MCS table of the first table configuration, which may without limitation be the MCS table of the current standard, which allows for selection of any of the entries of e.g. the lowest modulation order.

In another illustrative example, the MCS field in DCI may be extended by one or more bits to indicate the MCS index when  $N > 0$ , that is, the new MCS table of the second table configuration may have more than 32 MCS indices. However, this expansion of the MCS table may require one or more extra bits in the DCI.

### **CQI index table**

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.



In an illustrative example, a first CQI table of the first table configuration may be used for radio transmission from the first UE to the radio node, while in the radio transmission from the second UE to the radio node, a second CQI table of the second table configuration may be used, or vice versa.

In another illustrative example, said first CQI table and second CQI table are the current CQI table in specification and a modified CQI table that contains entries for higher-order modulation, respectively. An example of a second CQI table of the second table configuration is shown in Fig. 9 which has been modified from the first CQI table of the first table configuration in Fig. 3.

In another illustrative example, a UE can use either the current CQI table of the first table configuration or the new CQI table of the second table configuration for transmission. In further examples, the information about the CQI table that is to be used may be part of a UCI message or part of a RRC or MAC message.

In another illustrative example, the proposed CQI table of the second table configuration has  $16+N$  rows where  $N \geq 0$  is a non-negative integer. The columns of the new CQI table represent the same parameters as those of the CQI table shown in Fig. 3, which include CQI index, modulation form, code rate and efficiency. Each table row or entry, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another illustrative example, in the proposed CQI index table of the second table configuration,  $M$  rows, i.e.,  $M$  CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where  $M$  is a non-negative integer with  $M > N$ . In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another illustrative example,  $16+N-M$  CQIs in Fig. 3 are re-used in the alternative CQI table of the second table configuration. In a further embodiment,

when  $N=0$ , the new CQI table keeps the same size as the CQI table in Fig. 3 and  $M$  CQIs for higher-order modulation replaces  $M$  CQIs in Fig. 3.

In a further example, the lowest CQI corresponding to CQI index 1 is preserved in the new CQI table of the second table configuration. In a further embodiment, the subsequent  $M$  lowest CQIs, corresponding to CQI indices 2 through  $M+1$ , are excluded in the new CQI table of the second table configuration. An example of such an embodiment is provided in Fig. 9. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to the table in Fig. 9. Other CQIs are re-used in the table and their indices are rearranged.

As mentioned above, the lowest CQI, i.e. the entry with index 1 in Fig. 3, may be re-used in the new CQI table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition.

In another illustrative example, when the new CQI table of the second table configuration is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission may automatically fall back to the first CQI table of the first table configuration which may without limitation be the CQI table in the current standard.

In another illustrative example, the CQI field in uplink control signaling may be extended by one or more bits to indicate the CQI index when  $N>0$ , that is, the new CQI table has more than 16 CQIs. However, this expansion of the CQI table may require one or more extra bits in the DCI.

### **Potential advantages**

The embodiments described herein may have the following advantages:

- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR or SNR scenarios.
- At least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table may be preserved from the first table configuration as a fallback in the second table configuration to ensure proper communication between the radio node and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.
- The implementation effort in the radio node and UEs may be kept to a minimum by sharing the majority of the MCS/CQI entries in the first and second MCS/CQI tables, respectively.
- The solution may be applied for both downlink and uplink transmissions.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms “radio node”, “User Equipment, UE”, “table configuration”, and “modulation order” have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

### Abbreviations

|       |                                  |
|-------|----------------------------------|
| LTE   | long-term evolution              |
| PDSCH | physical downlink shared channel |

|       |   |
|-------|---|
| MCS   | modulation and coding scheme                    |
| eNB   | E-UTRAN NodeB                                   |
| UE    | user equipment                                  |
| CQI   | channel-quality indicator                       |
| QPSK  | quadrature phase-shift keying                   |
| 16QAM | 16 quadrature amplitude modulation              |
| 64QAM | 64 quadrature amplitude modulation              |
| SINR  | signal-to-interference-and-noise ratio          |
| DCI   | downlink control information                    |
| GSM   | global system for mobile communications         |
| WCDMA | wideband code-division multiple access          |
| WiMAX | worldwide interoperability for microwave access |
| UMB   | ultra mobile broadband                          |

## CLAIMS

1. A method performed by a radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- detecting (400) that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- instructing (402) the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

2. A method according to claim 1, wherein the radio node (500) instructs the first UE (502) to apply the second table configuration in the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

3. A method according to claim 2, wherein the radio node (500) instructs a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter

of signals communicated between the radio node (500) and the second UE is below the threshold.

4. A method according to claim 2 or 3, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.
5. A method according to claim 3, wherein the radio node (500) instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
6. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
7. A method according to any of claims 1-6, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.
8. A method according to claim 7, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.
9. A method according to claim 6 and any of claims 7-8, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
10. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of

the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

11. A method according to any of claims 1-10, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

12. A radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node (500) comprising:

- a logic unit (500a) configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- an instructing unit (500b) configured to instruct the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

13. A radio node (500) according to claim 12, wherein the radio node (500) is configured to instruct the first UE (502) to apply the second table configuration in

the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

14. A radio node (500) according to claim 13, wherein the radio node (500) is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter of signals communicated between the radio node (500) and the second UE is below the threshold.

15. A radio node (500) according to claim 13 or 14, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

16. A radio node (500) according to claim 14, wherein the radio node (500) is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

17. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

18. A radio node (500) according to any of claims 12-17, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

19. A radio node (500) according to claim 18, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.



20. A radio node (500) according to claim 17 and any of claims 18-19, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

21. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

22. A radio node (500) according to any of claims 12-21, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

23. A method performed by a User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (600) an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- applying (602) the second table configuration in the radio communication with the radio node (702).

24. A User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (700a) configured to receive an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- a logic unit (700b) configured to apply the second table configuration in the radio communication with the radio node (702).

25. A computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the method according to any one of claims 1-11 and 23.

26. A carrier containing the computer program of claim 25, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

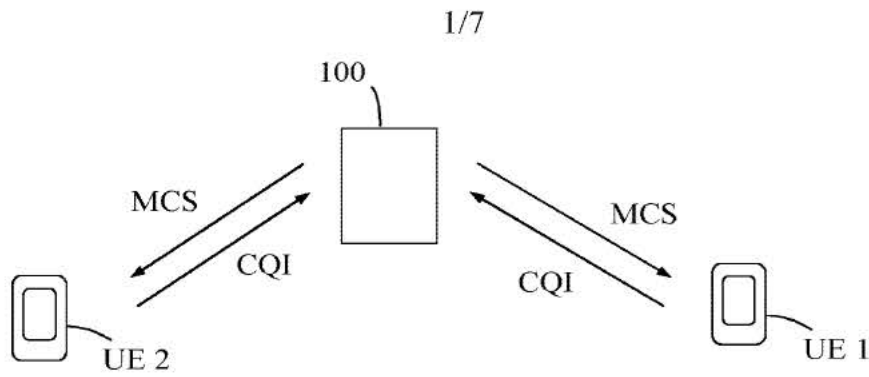


Fig. 1 (Prior art)

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | TBS Index<br>$I_{TBS}$ |
|------------------------|---------------------------|------------------------|
| 0                      | 2                         | 0                      |
| 1                      | 2                         | 1                      |
| 2                      | 2                         | 2                      |
| 3                      | 2                         | 3                      |
| 4                      | 2                         | 4                      |
| 5                      | 2                         | 5                      |
| 6                      | 2                         | 6                      |
| 7                      | 2                         | 7                      |
| 8                      | 2                         | 8                      |
| 9                      | 2                         | 9                      |
| 10                     | 4                         | 9                      |
| 11                     | 4                         | 10                     |
| 12                     | 4                         | 11                     |
| 13                     | 4                         | 12                     |
| 14                     | 4                         | 13                     |
| 15                     | 4                         | 14                     |
| 16                     | 4                         | 15                     |
| 17                     | 6                         | 15                     |
| 18                     | 6                         | 16                     |
| 19                     | 6                         | 17                     |
| 20                     | 6                         | 18                     |
| 21                     | 6                         | 19                     |
| 22                     | 6                         | 20                     |
| 23                     | 6                         | 21                     |
| 24                     | 6                         | 22                     |
| 25                     | 6                         | 23                     |
| 26                     | 6                         | 24                     |
| 27                     | 6                         | 25                     |
| 28                     | 6                         | 26                     |
| 29                     | 2                         | reserved               |
| 30                     | 4                         |                        |
| 31                     | 6                         |                        |

Fig. 2

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| CQI index | modulation   | code rate x 1024 | efficiency |
|-----------|--------------|------------------|------------|
| 0         | out of range |                  |            |
| 1         | QPSK         | 78               | 0.1523     |
| 2         | QPSK         | 120              | 0.2344     |
| 3         | QPSK         | 193              | 0.3770     |
| 4         | QPSK         | 308              | 0.6016     |
| 5         | QPSK         | 449              | 0.8770     |
| 6         | QPSK         | 602              | 1.1758     |
| 7         | 16QAM        | 378              | 1.4766     |
| 8         | 16QAM        | 490              | 1.9141     |
| 9         | 16QAM        | 616              | 2.4063     |
| 10        | 64QAM        | 466              | 2.7305     |
| 11        | 64QAM        | 567              | 3.3223     |
| 12        | 64QAM        | 666              | 3.9023     |
| 13        | 64QAM        | 772              | 4.5234     |
| 14        | 64QAM        | 873              | 5.1152     |
| 15        | 64QAM        | 948              | 5.5547     |

Fig. 3

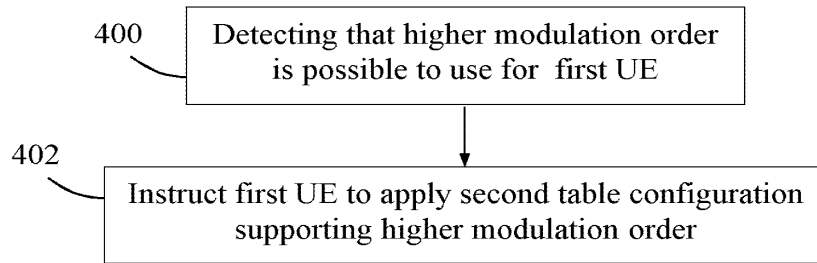


Fig. 4

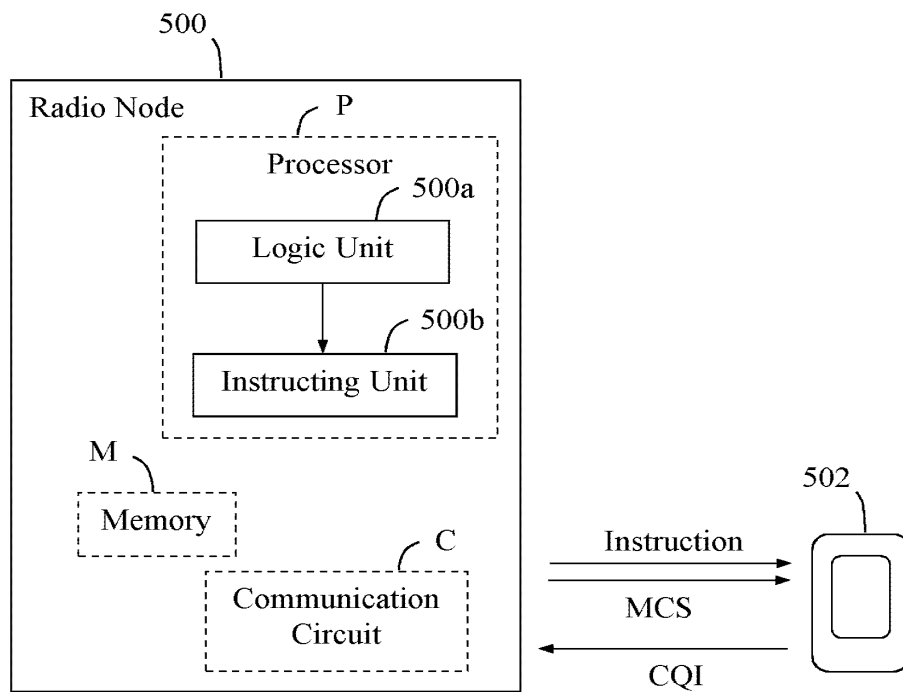


Fig. 5

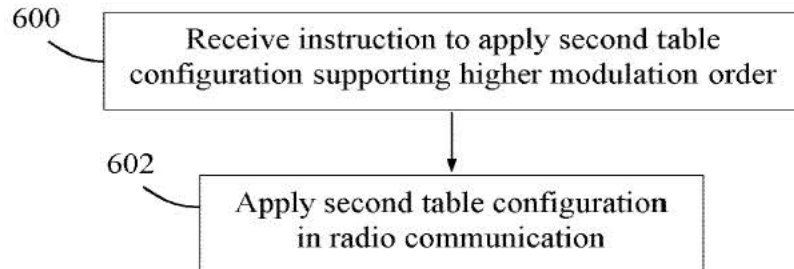


Fig. 6

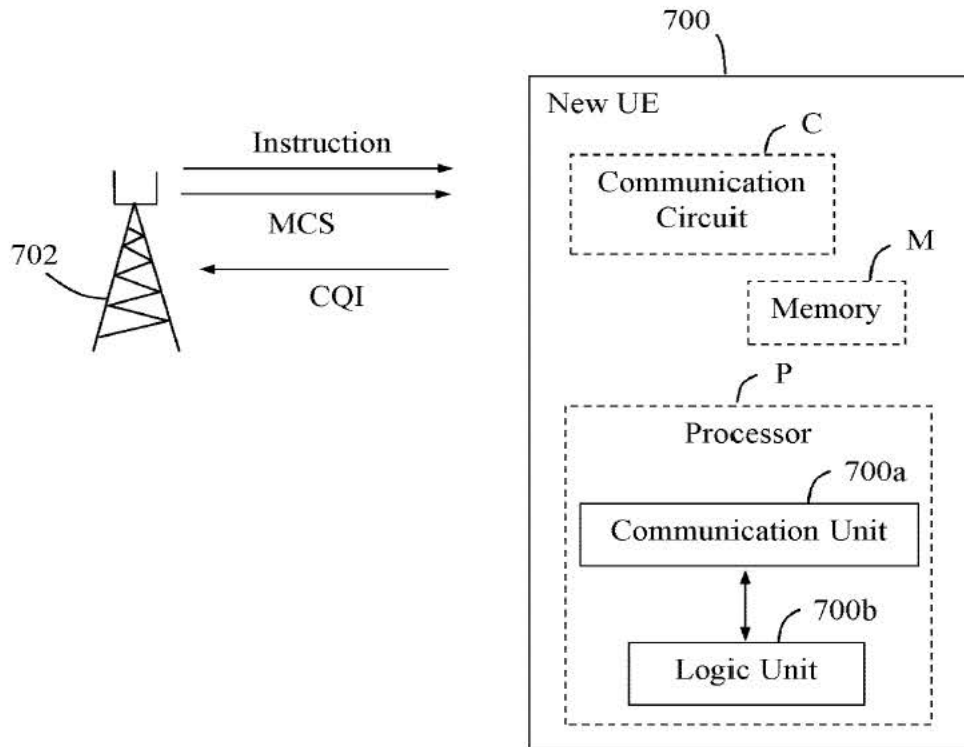


Fig. 7

| <u>MCS Index</u> | <u>Modulation Order</u> | <u>TBS Index</u> |
|------------------|-------------------------|------------------|
| $I_{MCS}$        | $Q_m$                   | $I_{TBS}$        |
| 0                | 2                       | 0                |
| 1                | 2                       | 1                |
| 2                | 2                       | 2                |
| 3                | 2                       | 3                |
| 4                | 2                       | 4                |
| 5                | 2                       | 5                |
| 6                | 2                       | 6                |
| 7-1              | 2                       | 7                |
| 8-2              | 2                       | 8                |
| 9-3              | 2                       | 9                |
| 10-4             | 4                       | 9                |
| 11-5             | 4                       | 10               |
| 12-6             | 4                       | 11               |
| 13-7             | 4                       | 12               |
| 14-8             | 4                       | 13               |
| 15-9             | 4                       | 14               |
| 16-10            | 4                       | 15               |
| 17-11            | 6                       | 15               |
| 18-12            | 6                       | 16               |
| 19-13            | 6                       | 17               |
| 20-14            | 6                       | 18               |
| 21-15            | 6                       | 19               |
| 22-16            | 6                       | 20               |
| 23-17            | 6                       | 21               |
| 24-18            | 6                       | 22               |
| 25-19            | 6                       | 23               |
| 26-20            | 6                       | 24               |
| 27-21            | 6                       | 25               |
| 28-22            | 6                       | 26               |
| <b>23</b>        | <b>8</b>                | <b>New index</b> |
| <b>24</b>        | <b>8</b>                | <b>New index</b> |
| <b>25</b>        | <b>8</b>                | <b>New index</b> |
| <b>26</b>        | <b>8</b>                | <b>New index</b> |
| <b>27</b>        | <b>8</b>                | <b>New index</b> |
| <b>28</b>        | <b>8</b>                | <b>New index</b> |
| 29               | 2                       | reserved         |
| 30               | 4                       |                  |
| 31               | 6                       |                  |

Fig. 8

| <u>CQI index</u> | <u>modulation</u> | <u>code rate x 1024</u> | <u>efficiency</u> |
|------------------|-------------------|-------------------------|-------------------|
| <u>0</u>         | out of range      |                         |                   |
| <u>1</u>         | <u>QPSK</u>       | <u>78</u>               | <u>0.1523</u>     |
| <u>2</u>         | <u>QPSK</u>       | <u>120</u>              | <u>0.2344</u>     |
| <u>3</u>         | <u>QPSK</u>       | <u>193</u>              | <u>0.3770</u>     |
| <u>4</u>         | <u>QPSK</u>       | <u>308</u>              | <u>0.6016</u>     |
| <u>5</u>         | <u>QPSK</u>       | <u>449</u>              | <u>0.8770</u>     |
| <u>6-2</u>       | <u>QPSK</u>       | <u>602</u>              | <u>1.1758</u>     |
| <u>7-3</u>       | <u>16QAM</u>      | <u>378</u>              | <u>1.4766</u>     |
| <u>8-4</u>       | <u>16QAM</u>      | <u>490</u>              | <u>1.9141</u>     |
| <u>9-5</u>       | <u>16QAM</u>      | <u>616</u>              | <u>2.4063</u>     |
| <u>10-6</u>      | <u>64QAM</u>      | <u>466</u>              | <u>2.7305</u>     |
| <u>11-7</u>      | <u>64QAM</u>      | <u>567</u>              | <u>3.3223</u>     |
| <u>12-8</u>      | <u>64QAM</u>      | <u>666</u>              | <u>3.9023</u>     |
| <u>13-9</u>      | <u>64QAM</u>      | <u>772</u>              | <u>4.5234</u>     |
| <u>14-10</u>     | <u>64QAM</u>      | <u>873</u>              | <u>5.1152</u>     |
| <u>15-11</u>     | <u>64QAM</u>      | <u>948</u>              | <u>5.5547</u>     |
| <u>12</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>13</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>14</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |
| <u>15</u>        | <u>256QAM</u>     | <u>New value</u>        | <u>New value</u>  |

Fig. 9



| $l_{TBS}$ | $N_{PRB}$ |      |      |      |     |     |     |       |       |       |
|-----------|-----------|------|------|------|-----|-----|-----|-------|-------|-------|
|           | 1         | 2    | 3    | 4    | ... | ... | ... | 108   | 109   | 110   |
| 0         | 16        | 32   | 56   | 88   | ... | ... | ... | 2984  | 2984  | 3112  |
| 1         | 24        | 56   | 88   | 144  | ... | ... | ... | 4008  | 4008  | 4008  |
| 2         | 32        | 72   | 144  | 176  | ... | ... | ... | 4776  | 4968  | 4968  |
| 3         | 40        | 104  | 176  | 208  | ... | ... | ... | 6200  | 6456  | 6456  |
| 4         | 56        | 120  | 208  | 256  | ... | ... | ... | 7736  | 7736  | 7992  |
| 5         | 72        | 144  | 224  | 328  | ... | ... | ... | 9528  | 9528  | 9528  |
| 6         | 328       | 176  | 256  | 392  | ... | ... | ... | 11448 | 11448 | 11448 |
| 7 1       | 104       | 224  | 328  | 472  | ... | ... | ... | 12960 | 13536 | 13536 |
| 8 2       | 120       | 256  | 392  | 536  | ... | ... | ... | 15264 | 15264 | 15264 |
| 9 3       | 136       | 296  | 456  | 616  | ... | ... | ... | 16992 | 16992 | 17568 |
| 10 4      | 144       | 328  | 504  | 680  | ... | ... | ... | 19080 | 19080 | 19080 |
| 11 5      | 176       | 376  | 584  | 776  | ... | ... | ... | 22152 | 22152 | 22152 |
| 12 6      | 208       | 440  | 680  | 904  | ... | ... | ... | 24496 | 24496 | 25456 |
| 13 7      | 224       | 488  | 744  | 1000 | ... | ... | ... | 27376 | 28336 | 28336 |
| 14 8      | 256       | 552  | 840  | 1128 | ... | ... | ... | 30576 | 31704 | 31704 |
| 15 9      | 280       | 600  | 904  | 1224 | ... | ... | ... | 32856 | 34008 | 34008 |
| 16 10     | 328       | 632  | 968  | 1288 | ... | ... | ... | 35160 | 35160 | 35160 |
| 17 11     | 336       | 696  | 1064 | 1416 | ... | ... | ... | 39232 | 39232 | 39232 |
| 18 12     | 376       | 776  | 1160 | 1544 | ... | ... | ... | 42368 | 43816 | 43816 |
| 19 13     | 408       | 840  | 1288 | 1736 | ... | ... | ... | 46888 | 46888 | 46888 |
| 20 14     | 440       | 904  | 1384 | 1864 | ... | ... | ... | 51024 | 51024 | 51024 |
| 21 15     | 488       | 1000 | 1480 | 1992 | ... | ... | ... | 55056 | 55056 | 55056 |
| 22 16     | 520       | 1064 | 1608 | 2152 | ... | ... | ... | 59256 | 59256 | 59256 |
| 23 17     | 552       | 1128 | 1736 | 2280 | ... | ... | ... | 61664 | 61664 | 63776 |
| 24 18     | 584       | 1192 | 1800 | 2408 | ... | ... | ... | 66592 | 66592 | 66592 |
| 25 19     | 616       | 1256 | 1864 | 2536 | ... | ... | ... | 68808 | 68808 | 71112 |
| 26 20     | 712       | 1480 | 2216 | 2984 | ... | ... | ... | 75376 | 75376 | 75376 |
| 21        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 22        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 23        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 24        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 25        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |
| 26        | NV        | NV   | NV   | NV   | ... | ... | ... | NV    | NV    | NV    |

Fig. 10

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02 FC : 1632 -600.00 OP

Document code: WFEE

United States Patent and Trademark Office  
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01 FC : 1642 480.00 OP

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|   |   |              |   |                                  |                                       |
|---|---|--------------|---|----------------------------------|---------------------------------------|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875                               |   |              | Application or Docket Number<br><b>14/390,904</b> | Filing Date<br><b>10/06/2014</b> | <input type="checkbox"/> To be Mailed |
| ENTITY: <input checked="" type="checkbox"/> LARGE <input type="checkbox"/> SMALL <input type="checkbox"/> MICRO |   |              |   |                                  |                                       |
| <b>APPLICATION AS FILED – PART I</b>  |   |              |   |                                  |                                       |
| (Column 1)  |   | (Column 2)   |   |                                  |                                       |
| FOR   | NUMBER FILED  | NUMBER EXTRA | RATE (\$)   | FEE (\$)                         |                                       |
| <input type="checkbox"/> BASIC FEE<br><small>(37 CFR 1.16(a), (b), or (c))</small>                              | N/A   | N/A          | N/A   |                                  |                                       |
| <input type="checkbox"/> SEARCH FEE<br><small>(37 CFR 1.16(k), (l), or (m))</small>                             | N/A   | N/A          | N/A   |                                  |                                       |
| <input type="checkbox"/> EXAMINATION FEE<br><small>(37 CFR 1.16(o), (p), or (q))</small>                        | N/A   | N/A          | N/A   |                                  |                                       |
| TOTAL CLAIMS<br><small>(37 CFR 1.16(i))</small>   | minus 20 =  | *            | X \$ =  |                                  |                                       |
| INDEPENDENT CLAIMS<br><small>(37 CFR 1.16(h))</small>   | minus 3 =   | *            | X \$ =  |                                  |                                       |
| <input type="checkbox"/> APPLICATION SIZE FEE<br><small>(37 CFR 1.16(s))</small>                                | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |              |   |                                  |                                       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT<br><small>(37 CFR 1.16(j))</small>                    |   |              |   |                                  |                                       |
| * If the difference in column 1 is less than zero, enter "0" in column 2.                                       |   |              | TOTAL   |                                  |                                       |

|   |  |                                  |       |                                    |               |                 |                     |
|---|--|----------------------------------|-------|------------------------------------|---------------|-----------------|---------------------|
| <b>APPLICATION AS AMENDED – PART II</b> |  |                                  |       |                                    |               |                 |                     |
| (Column 1)                              |  | (Column 2)                       |       | (Column 3)                         |               |                 |                     |
| <b>AMENDMENT</b>                        | <b>10/06/2014</b>  | CLAIMS REMAINING AFTER AMENDMENT |       | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) |
|   | Total (37 CFR 1.16(i))   | * 26                             | Minus | ** 26                              | = 0           | X \$80 =        | 0                   |
|   | Independent (37 CFR 1.16(h))   | * 6                              | Minus | ***6                               | = 0           | X \$420 =       | 0                   |
|   | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))                           |                                  |       |                                    |               |                 |                     |
|   | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                  |       |                                    |               |                 |                     |
|   |  |                                  |       |                                    |               | TOTAL ADD'L FEE | <b>0</b>            |

|   |  |                                  |       |                                    |               |                       |                     |
|---|--|----------------------------------|-------|------------------------------------|---------------|-----------------------|---------------------|
| (Column 1)  |  | (Column 2)                       |       | (Column 3)                         |               |                       |                     |
| <b>AMENDMENT</b>  |  | CLAIMS REMAINING AFTER AMENDMENT |       | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)             | ADDITIONAL FEE (\$) |
|   | Total (37 CFR 1.16(i))   | *                                | Minus | **                                 | =             | X \$ =                |                     |
|   | Independent (37 CFR 1.16(h))   | *                                | Minus | ***                                | =             | X \$ =                |                     |
|   | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))                           |                                  |       |                                    |               |                       |                     |
|   | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                  |       |                                    |               |                       |                     |
|   |  |                                  |       |                                    |               | TOTAL ADD'L FEE       |                     |
| * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.   |  |                                  |       |                                    |               | LIE<br>/MARY PEOPLES/ |                     |
| ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".                                       |  |                                  |       |                                    |               |                       |                     |
| *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  |  |                                  |       |                                    |               |                       |                     |
| The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. |  |                                  |       |                                    |               |                       |                     |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.