

## Ultrasonic versus jet nebulization of iloprost in severe pulmonary hypertension

T. Gessler\*, T. Schmehl\*, M.M. Hoeper<sup>#</sup>, F. Rose\*, H.A. Ghofrani\*, H. Olschewski\*, F. Grimminger\*, W. Seeger\*

*Ultrasonic versus jet nebulization of iloprost in severe pulmonary hypertension. T. Gessler, T. Schmehl, M.M. Hoeper, F. Rose, H.A. Ghofrani, H. Olschewski, F. Grimminger, W. Seeger. ©ERS Journals Ltd 2001.*

**ABSTRACT:** Inhalation of iloprost, a stable prostacyclin analogue, is a promising perspective in the treatment of pulmonary hypertension. In initial clinical studies, a conventional jet nebulizer system was successfully used to decrease pulmonary vascular resistance and pressure, requiring however, up to twelve inhalations of 12–15 min per day. The aim of this study was to investigate if the application of an equal dose of iloprost at a drastically reduced duration of inhalation with the use of a more efficient ultrasonic nebulizer, leads to comparable haemodynamic effects, without escalation of side effects.

The physical features of the jet nebulizer system (Ilo-Neb<sup>TM</sup>) and the ultrasonic nebulizer (Multisonic Compact<sup>TM</sup>) were characterized by laser diffractometry and a  $Tc^{99m}$ -tracer technique. Mass median aerodynamic diameters were 3.2  $\mu\text{m}$  for the jet and 3.9  $\mu\text{m}$  for the ultrasonic nebulizer. Total output (mean $\pm$ SD) was 60 $\pm$ 7  $\mu\text{L}\cdot\text{min}^{-1}$  (jet) and 163 $\pm$ 15  $\mu\text{L}\cdot\text{min}^{-1}$  (ultrasonic), and efficiency of the devices was 39 $\pm$ 3% (jet) and 86 $\pm$ 5% (ultrasonic). Based on these data, a total inhalative dose of 2.8  $\mu\text{g}$  iloprost was delivered by jet nebulization within 12 min and by ultrasonic nebulization within 4 min, in 18 patients with severe primary and secondary pulmonary hypertension (New York Heart Association class III and IV), in a randomized crossover design. Haemodynamics were assessed by right heart catheterization.

Inhalation with the ultrasonic device and jet nebulizer, reduced mean $\pm$ SEM pulmonary artery pressure from 54.3 $\pm$ 2.1 to 47.1 $\pm$ 2.0 and from 53.5 $\pm$ 2.2 to 47.0 $\pm$ 2.2 mmHg, respectively, and mean $\pm$ SEM pulmonary vascular resistance from 1073 $\pm$ 109 to 804 $\pm$ 87 and from 1069 $\pm$ 125 to 810 $\pm$ 83  $\text{dyn}\cdot\text{s}\cdot\text{cm}^{-5}$ , respectively. Both modes of aerosolization were well tolerated.

In conclusion, due to the markedly higher efficiency and output of the ultrasonic device, wastage of drug is largely avoided and the duration of inhalation can be shortened to one-third, with comparable haemodynamic effects and without enforcing side effects.

*Eur Respir J 2001; 17: 14–19.*

Severe pulmonary hypertension is a life threatening disease, characterized by an increase in arterial pressure and vascular resistance in the pulmonary circulation [1]. Dyspnoea and reduced exercise capacity are the prominent clinical symptoms; death is most closely associated with an increase in right atrial pressure and a decrease in cardiac output due to right-sided heart failure [2]. Several investigations with intravenous administration of prostacyclin have demonstrated the vasodilatory capacity of this prostanoid in primary pulmonary hypertension (PPH) [3–5] as well as in forms of secondary pulmonary hypertension (SPH) [6, 7]. Moreover, in a controlled study continuous prostacyclin infusion was shown to improve exercise capacity and survival in patients suffering from severe PPH [8]. Disadvantages of this intravenous approach are the lack of pulmonary selectivity, giving way to systemic side effects, as well as infectious complications related to the long-term use of an intravenous catheter.

In a recent approach to overcome these shortcomings, aerosolization of the stable prostacyclin

analogue iloprost was employed for pulmonary vasodilation in both PPH and severe SPH [9–13]. Preferential vasorelaxation in the pulmonary circulation was demonstrated with this approach, the maximum pulmonary vasodilatory potency corresponding to that of intravenous prostacyclin. At present, limited data on long-term clinical use of iloprost inhalation are available, indicating an improvement in exercise capacity and pulmonary haemodynamics after 12 months of iloprost aerosol therapy in 24 patients with PPH [14]. Phase II (randomized, parallel-group comparative clinical) as well as phase III (double-blind, randomized, placebo-controlled clinical) studies addressing the impact of iloprost nebulization on exercise capacity and mortality in PPH and severe secondary pulmonary hypertension are currently under way.

In all previous studies investigating short-term or long-term iloprost nebulization [9–14], a continuous output jet nebulizer with a reservoir and filter system was used. However, the limited output of this device requires long inhalation periods of 12–15 min for

\*Dept of Internal Medicine, Justus-Liebig-University of Giessen, Giessen, Germany, <sup>#</sup>Dept of Respiratory Medicine, Hannover Medical School, Hannover, Germany.

Correspondence: W. Seeger  
Dept of Internal Medicine II  
Justus-Liebig-University  
Klinikstr. 36  
Giessen  
D-35392  
Germany  
Fax: 49 6419942359

Keywords: Iloprost  
nebulization  
pulmonary hypertension

Received: July 24 2000  
Accepted after revision October 4 2000

delivery of an adequate iloprost dose for pulmonary vasodilation. Moreover, the therapeutic use of iloprost aerosolization in pulmonary hypertension demands multiple daily inhalation manoeuvres, since the pulmonary vasodilatory effect of each single inhalation levels off within  $\sim 1$  h, thus resulting in a total duration of inhalation of up to 3 h per day. In addition, limited efficiency of the jet nebulizer system causes a notable waste of the drug. Therefore, a reduction of inhalation time with the use of a more efficient nebulizer system will markedly improve iloprost aerosol therapy. A recently developed ultrasonic nebulizer device might offer the possibility to overcome these limitations. However, no data on aerosol delivery of prostanoids with this different technical approach are presently available. The present study characterized the physical features of the ultrasonic nebulizer. Based on these data, a comparison of the haemodynamic effects of an equivalent dose of iloprost delivered in a crossover design by the jet nebulizer within 12 min and the ultrasonic device within 4 min during right heart catheter tests, was performed. Patients with severe primary and secondary pulmonary hypertension were used. It was investigated whether the iloprost application at a notably shorter duration of inhalation would result in comparable pulmonary vasodilatory effects without enforcing side effects.

## Methods

### Physical characterization of the devices

The following parameters of the devices were analysed: particle size distribution, total output of the nebulizer, effective output at the mouthpiece and aerosol loss in the different components of the device. Mass median aerodynamic diameter (MMAD) and geometric standard deviation (GSD) of the aerosol were determined using a laser diffractometer (Helos<sup>TM</sup>; Sympatec, Clausthal, Germany) at room temperature and with a distance of 1 cm between mouthpiece and laser beam. The jet nebulizer system investigated in this study (Ilo-Neb<sup>TM</sup>; Nebu-Tec company, Elsenfeld, Germany) consisted of a Bennett-Raindrop<sup>TM</sup> jet nebulizer, a reservoir, filters, valves and tubes and was driven by a Pari Boy<sup>TM</sup> compressor (Pari, Starnberg, Germany) at 80 kPa (fig. 1). For the ultrasonic nebulizer system (Multisonic Compact<sup>TM</sup>; Schill company, Probstzella, Germany) with an operating ultrasound frequency of 1.7 MHz (fig. 2), an airflow of 40 L·min<sup>-1</sup> was applied for particle size measurements. The filled-in volume was 4 mL iloprost diluted in physiological saline for both devices.

The total output of the nebulizers and the output at the mouthpiece were quantified by a Tc<sup>99m</sup>-tracer-technique with an additional filter at the mouthpiece of the system for aerosol trapping. To mimic aerosol inhalation in patients, a volunteer performed the inhalation manoeuvres through the filter at the mouthpiece (tidal volume  $\sim 1.5$  L, breathing frequency  $\sim 11 \cdot \text{min}^{-1}$ , inspiration:expiration ratio  $\sim 1:1.8$ ). After each inhalation period (12 min for the jet nebulizer, 4 min for the ultrasonic nebulizer), the systems were disassembled

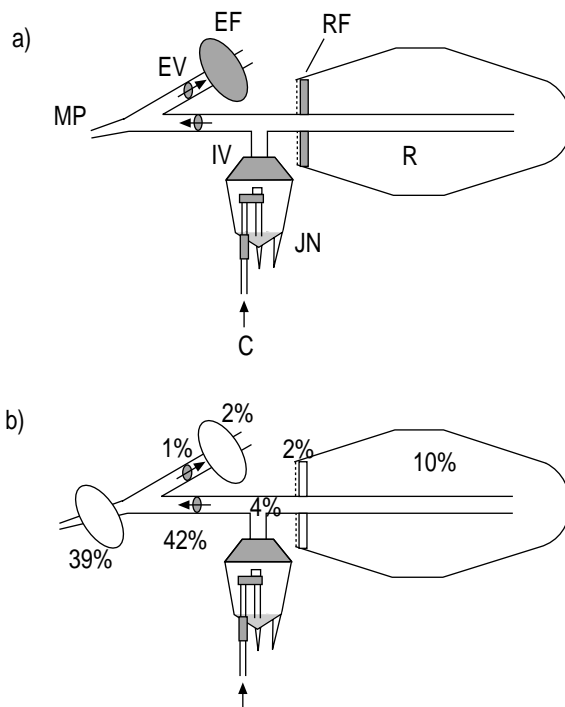


Fig. 1. – Schematic depiction of a) the jet nebulizer device, with b) deposition fractions of a Tc<sup>99m</sup>-labelled test aerosol in the different parts of the device being given as per cent of total output. In these experiments, the output at mouthpiece was captured in an additional filter mounted at this site. EF: expiration filter; EV: expiration valve; MP: mouthpiece; IV: inspiration valve; RF: reservoir filter; R: reservoir; JN: Bennett-Raindrop<sup>TM</sup> jet nebulizer; C: Pariboy<sup>TM</sup> Compressor.

and the activity deposited in the various parts of the nebulizer was determined using a gamma-counter. The efficiency, defined as the ratio of the output at the mouthpiece to total output of the nebulizer, was calculated from the activities in the components.

### Patients

A total of 18 patients with severe pulmonary hypertension was included in the investigation, all of whom were classified as New York Heart Association class III or IV. Seven patients suffered from primary pulmonary hypertension and 11 patients showed pulmonary hypertension related to thromboembolism (six patients), connective tissue disease (three patients), lung fibrosis (one patient) and portal hypertension (one patient) (diagnosis according to World Health Organization conference [1]). Diagnostic procedures included transthoracic or transoesophageal echocardiography, chest radiography, high resolution and spiral computer tomography of the lung, ventilation-perfusion scans, lung function testing including carbon monoxide-diffusion capacity, pulmonary angiograms and pulmonary artery catheter. Baseline values for mean  $\pm$  SEM pulmonary artery pressure at rest, and pulmonary vascular resistance were  $54.1 \pm 2.2$  mmHg and  $1076 \pm 121$  dyn·s·cm<sup>-5</sup>, respectively.

All patients gave written informed consent to the test trial, which was approved by the local institutional ethics committees of the participating centres.

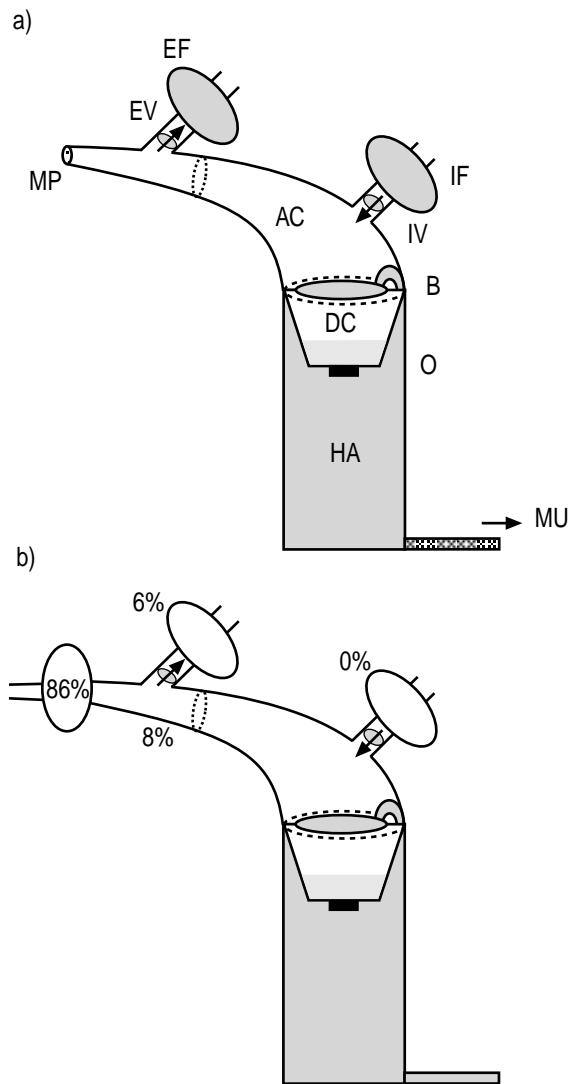


Fig. 2. – Schematic depiction of a) the ultrasonic nebulizer device, with b) deposition fractions of a  $^{99m}\text{Tc}$ -labelled test aerosol in the different parts of the device being given as per cent of total output. In these experiments, the output at mouthpiece was captured in an additional filter mounted at this site. EF: expiration filter; EV: expiration valve; MP: mouthpiece; AC: aerosol chamber; DC: drug chamber; HA: hand apparatus; IV: inspiration valve; IF: inspiration filter; B: baffle; O: oscillator; MU: main unit.

#### Catheter and inhalation protocol

Before starting the device comparison with inhaled iloprost, a fibreoptic thermodilution pulmonary artery catheter was employed for measurement of pulmonary artery pressure (PAP), pulmonary artery wedge pressure (PAWP), central venous pressure (CVP) and cardiac output (CO). A femoral artery catheter was used to assess systemic arterial pressure (SAP). Based on these data, cardiac index (CI), pulmonary vascular resistance (PVR) and systemic vascular resistance (SVR) were calculated.

Each patient inhaled with both devices in a randomized order. The first inhalation was performed

after achieving a stable baseline of haemodynamic variables; the second inhalation started 2 h after the end of the first inhalation. PAP, PAWP, CVP, CO and SAP were recorded before (baseline) and 0, 5, 15, 30 and 60 min after the end of each inhalation.

For inhalation manoeuvres with the jet nebulizer, iloprost was diluted in saline to a final concentration of  $10\ \mu\text{g}\cdot\text{mL}^{-1}$ , and 4 mL of the solution were placed in the nebulizer. The nebulizer was then driven with room air at a pressure of 80 kPa for an inhalation period of 12 min. For inhalation manoeuvres with the ultrasonic nebulizer system, iloprost was diluted in saline to a final concentration of  $5\ \mu\text{g}\cdot\text{mL}^{-1}$  and 4 mL of the solution were introduced into the nebulizer. Patients then inhaled the nebulized drug for a period of 4 min. This procedure was based on the physical characterizations of the nebulizers, targeting to achieve an equivalent dose ( $2.8\ \mu\text{g}$ ) of the vasodilatory prostanoid at the mouthpiece with both systems.

#### Statistics

All values are presented as means  $\pm$  SEM unless otherwise noted. Statistical comparisons of haemodynamic parameters at 0, 5, 15, 30 min after inhalation *versus* baseline (pre inhalation) were performed for each device using paired t-tests. The exact Wilcoxon matched pair signed-rank test was used if data did not show normal distribution in Kolmogorov-Smirnov tests. For multiple testing, the Holm correction was applied [15].

To compare the influence of the different devices on haemodynamic parameters, the differences of post *versus* pre inhalation values for both devices were calculated. These differences were analysed with the same statistical procedures as described above.

#### Results

The physical parameters of both nebulizers are shown in table 1. In figure 1 and 2, the aerosol deposition in the different parts of the devices is depicted: 61% of the generated aerosol was lost within the jet nebulizer device, compared to only 14% in the ultrasonic device. Based on these data, the "standard" iloprost aerosol application, as investigated in previous clinical studies with employment of the currently tested jet nebulizer device, was calculated to result in a total iloprost dose at the mouthpiece of  $2.8\ \mu\text{g}$  (12 min inhalation period, iloprost concentration  $10\ \mu\text{g}\cdot\text{mL}^{-1}$ ). To achieve an equivalent dose when using the ultrasonic nebulizer device, the iloprost concentration was reduced to  $5\ \mu\text{g}\cdot\text{mL}^{-1}$  and the inhalation time to 4 min to match the higher output at the mouthpiece of the ultrasonic nebulizer.

The kinetics of haemodynamic parameters pre-, and up to one hour postiloprost inhalation, for both devices are shown in figures 3 and 4. The iloprost inhalations with both devices were well tolerated. Side effects, such as cough or flush occurred in only few patients to very moderate degrees and never led to discontinuation of inhalation. The iloprost delivery *via* both devices resulted in a significant reduction of PAP, PVR and the PVR/SVR ratio, as well as in an increase of CI (figs 3 and 4; table 2). In addition, some minor and

Table 1. – Comparison of physical parameters of the nebulizer devices

	Jet nebulizer system	Ultrasonic nebulizer system
MMAD $\mu\text{m}$	3.2 $\pm$ 0.1	3.9 $\pm$ 0.2
Gsd	1.8 $\pm$ 0.0	1.6 $\pm$ 0.1
Total output of nebulizer $\mu\text{L}\cdot\text{min}^{-1}$	60 $\pm$ 7	163 $\pm$ 15
Output at mouthpiece $\mu\text{L}\cdot\text{min}^{-1}$	23 $\pm$ 3	140 $\pm$ 13
Efficiency %	39 $\pm$ 3	86 $\pm$ 5

Data are presented as mean $\pm$ SD; n=6. MMAD: mass median aerodynamic diameter; Gsd: geometric standard deviation.

rapidly transient decrease in systemic arterial pressure was noted. All changes in haemodynamic variables largely levelled off within  $\sim$ 1 h. There was no statistically significant difference between responses to the jet and ultrasonic nebulization techniques, except for the CI, which increased more rapidly and more prominently when applying the iloprost dose in the ultrasonic nebulization manoeuvre, as compared to the standard jet nebulization protocol (increase in CI 0.44  $\text{L}\cdot\text{min}^{-1}\cdot\text{m}^{-2}$  versus 0.19  $\text{L}\cdot\text{min}^{-1}\cdot\text{m}^{-2}$  assessed 5 min after termination of inhalation manoeuvre;  $p<0.05$ ).

### Discussion

The physical characterization of both the jet and ultrasonic nebulizers, demonstrated that particle sizes of both systems are within a range suitable for alveolar deposition [16–18]. Particle sizes of the presently investigated ultrasonic nebulizer (Multisonic Compact<sup>TM</sup>) are dependent on the gas flow through the system; the applied flow of 40  $\text{L}\cdot\text{min}^{-1}$  matches realistic mean inspiratory flow conditions, resulting in a MMAD of 3.9  $\mu\text{m}$ .

The total output of the ultrasonic nebulizer (163  $\mu\text{L}\cdot\text{min}^{-1}$ ) is 2.7 times higher than that of the jet nebulizer. The difference between the two systems is even more pronounced with regard to the output at mouthpiece: this parameter, describing the amount of aerosol delivered *de facto* to the inhaling patient, is more than six times higher in the ultrasonic nebulizer system as compared to the jet nebulizer. This is mainly

due to a notable aerosol loss at the inspiration valve of the jet nebulizer device (fig. 1), with preferential deposition of large particles. The design of the ultrasonic nebulizer does not require any valve in the inspiratory aerosol flow, leading to a high efficiency of the device: 86% of the total aerosol output is available at the mouthpiece for inhalation. Moreover, the ultrasonic device offers, due to its compact construction, the advantage of an easy handling and maintenance, as compared to the jet nebulizer.

Both systems avoid drug contamination of the environment by the use of filters, thereby minimizing the risk of drug exposure to the medical staff. This is of particular importance when aerosolizing highly efficacious drugs, such as vasoactive agents or antibiotics, as demonstrated for pentamidine in recent studies [19, 20].

Based on the data of the physical characterization, the inhalation time for delivery of an equivalent iloprost dose at the mouthpiece (2.8  $\mu\text{g}$ ) was reduced from 12 min with the jet nebulizer system to 2 min with the ultrasonic nebulizer, when retaining the same concentration of the iloprost solution (10  $\mu\text{g}\cdot\text{mL}^{-1}$ ). In preliminary catheter investigations, however, some increase in systemic side effects was observed when administering the total iloprost dose of 2.8  $\mu\text{g}$  *via* the inhalation route for such a short time period. Therefore, we reduced the iloprost concentration from 10  $\mu\text{g}\cdot\text{mL}^{-1}$  to 5  $\mu\text{g}\cdot\text{mL}^{-1}$  when employing the ultrasonic nebulizer, and consequently doubled the inhalation time to 4 min with this device. This inhalation protocol was generally well tolerated. Furthermore, by diluting the prostanoid solution, drug waste in the dead space of the nebulizer was reduced.

When directly comparing the haemodynamic effects of equivalent iloprost doses delivered either by jet or ultrasonic nebulization in a crossover design, a marked pulmonary vasodilation with a decrease in pulmonary artery pressure and pulmonary vascular resistance, and increase in CI was noted in response to both modes of aerosol administration. Strength and time course of the iloprost effect were comparable for both devices. Thus, the total amount of inhaled iloprost and not the duration of the inhalation manoeuvre (4 versus 12 min) is obviously the main determinant for both the strength and the duration of the pulmonary vasodilation effect. This is also true for the systemic effects, as both modes of aerosol administration caused preferential pulmonary vasodilation (reflected by a decrease

Table 2. – Haemodynamic parameters pre- and postinhalation (greatest effects)

	Jet nebulizer system		Ultrasonic nebulizer system	
	Pre	Post	Pre	Post
mPAP mmHg	53.5 $\pm$ 2.2	47.0 $\pm$ 2.2	54.3 $\pm$ 2.1	47.1 $\pm$ 2.0
PVR $\text{dyn}\cdot\text{s}\cdot\text{cm}^{-5}$	1069 $\pm$ 125	810 $\pm$ 83	1073 $\pm$ 109	804 $\pm$ 87
CI $\text{L}\cdot\text{min}^{-1}\cdot\text{m}^{-2}$	2.24 $\pm$ 0.17	2.48 $\pm$ 0.15*	2.22 $\pm$ 0.17	2.66 $\pm$ 0.19*
PVR/SVR	0.56 $\pm$ 0.04	0.49 $\pm$ 0.04	0.56 $\pm$ 0.03	0.50 $\pm$ 0.03
mSAP mmHg	91.8 $\pm$ 3.8	86.3 $\pm$ 2.7	90.6 $\pm$ 2.5	82.5 $\pm$ 2.4
SVR $\text{dyn}\cdot\text{s}\cdot\text{cm}^{-5}$	1877 $\pm$ 135	1612 $\pm$ 100	1874 $\pm$ 124	1462 $\pm$ 113

mPAP: mean pulmonary artery pressure; PVR: pulmonary vascular resistance; CI: cardiac index; SVR: systemic vascular resistance; PVR/SVR: ratio of PVR to SVR; mSAP: mean systemic artery pressure; Pre: pre-inhalation value; Post: extreme value up to 60 min postinhalation (all extreme values are minimums except those marked with \* which are maximum). Values are given as mean $\pm$ SEM for n=18 patients.

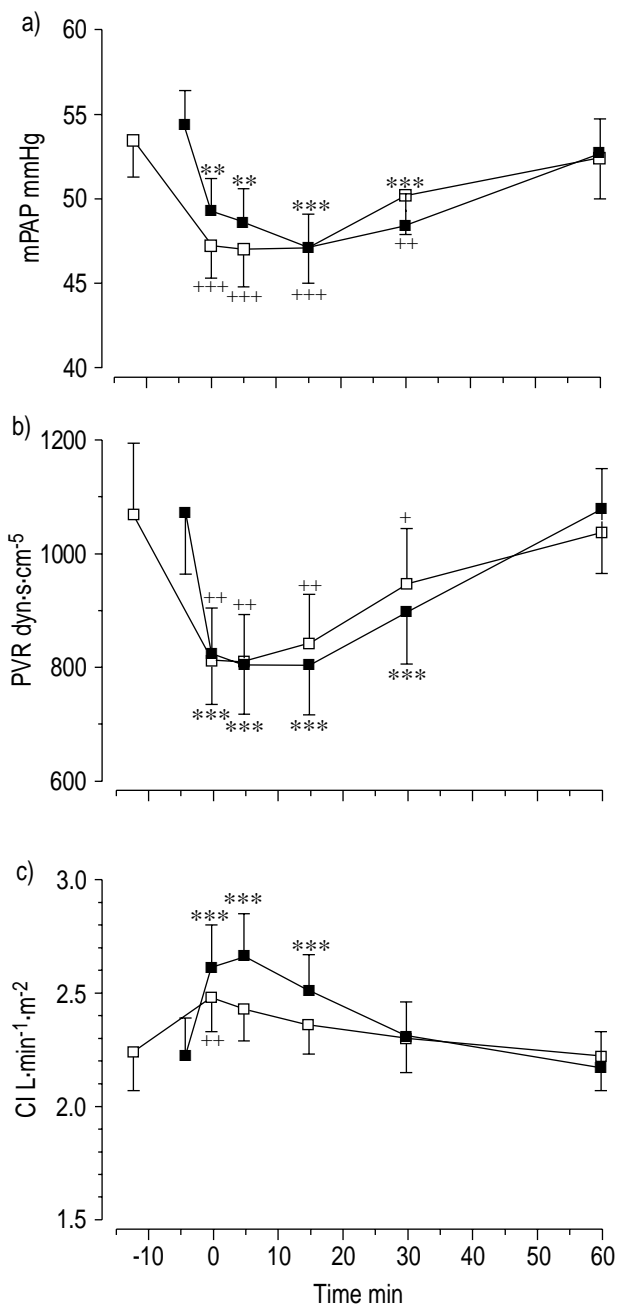


Fig. 3. – Responses of mean pulmonary artery pressure (mPAP), pulmonary vascular resistance (PVR) and cardiac index (CI) to iloprost inhalation (2.8  $\mu\text{g}$ ) *via* jet nebulizer (12 min;  $\square$ ) and ultrasonic nebulizer (4 min;  $\blacksquare$ ). To normalize for the different length of the inhalation period, time was set at zero at the end of the aerosolization manoeuvre for both techniques. Statistical differences between pre- and postaerosolization data are indicated for both approaches (\*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$  for ultrasonic nebulization; +:  $p < 0.05$ ; ++:  $p < 0.01$ ; +++:  $p < 0.001$  for jet nebulization).

in the PVR/SVR ratio), with a very minor drop in systemic arterial pressure. Although not significantly different by statistical analysis (excepting CI increase), there was a tendency for a more prominent pulmonary and systemic vasodilatation potency (with corresponding cardiac output response) in the early post-aerosolization period upon employment of the ultrasonic

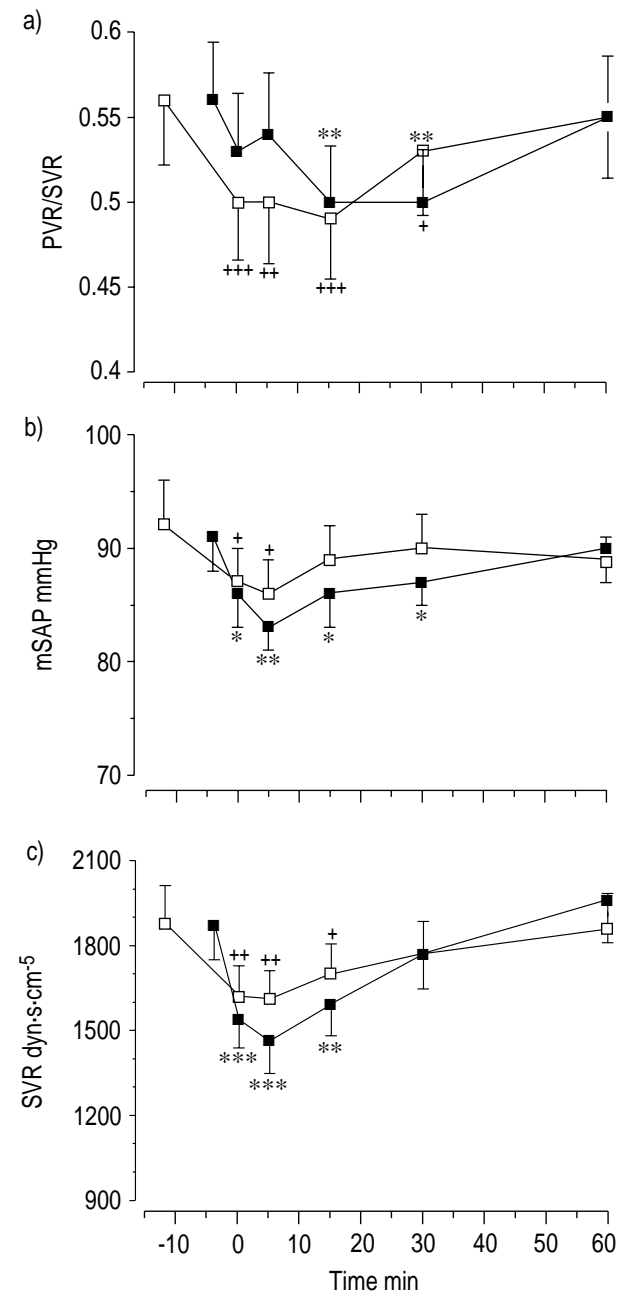


Fig. 4. – Responses of the ratio of pulmonary vascular resistance to systemic vascular resistance (PVR/SVR), mean systemic artery pressure (mSAP) and systemic vascular resistance (SVR) to iloprost inhalation (2.8  $\mu\text{g}$ ) *via* jet nebulizer (12 min;  $\square$ ) and ultrasonic nebulizer (4 min  $\blacksquare$ ). To normalize for the different length of the inhalation period, time was set at zero at the end of the aerosolization manoeuvre for both techniques. Statistical differences between pre- and postaerosolization data are indicated for both approaches (\*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$  for ultrasonic nebulization; +:  $p < 0.05$ ; ++:  $p < 0.01$ ; +++:  $p < 0.001$  for jet nebulization).

nebulization manoeuvre. These observations might support the hypothesis of a spill-over to the systemic circulation and hence systemic vasodilatation acting as a driving force of increased cardiac output.

The pulmonary vasodilator effect levelled off within  $\sim 1$  h, independent of the device used. Therefore, the inhalation frequency remains unchanged with up to 12

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.