UNITED STATES PATENT AND TRADEMARK OFFICE

06/30/2020



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/778,662	07/21/2020	10716793	080618-1916	4471

1669057590Foley & Lardner LLP3000 K Street N.W.Suite 600Washington, DC 20007-5109

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Horst OLSCHEWSKI, Graz, AUSTRIA; United Therapeutics Corporation, Siliver Spring, MD; Robert ROSCIGNO, Chapel Hill, NC; Lewis J. RUBIN, LaJolla, CA; Thomas Schmehl, Giessen, GERMANY, Deceased; Werner SEEGER, Giessen, GERMANY; Carl STERRITT, Weybridge, UNITED KINGDOM; Robert VOSWINCKEL, Giessen, GERMANY;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>. IR103 (Rev. 10/09)

	United State	<u>s Patent</u>	and Tradema	UNITED STA United State: Address: COMMI PO. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
16/778,662	01/31/2020	1629	1720	080618-1916	8 1
166905				COBBEC	CONFIRMATION NO. 4471
Foley & Lardne	er LLP				
3000 K Street	N.W.				
Suite 600					
Washington, D	0 20007-5109				

Date Mailed: 06/18/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

**Please verify the accuracy of the data presented on this receipt.** If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

## Inventor(s)

Horst OLSCHEWSKI, Graz, AUSTRIA; Robert ROSCIGNO, Chapel Hill, NC; Lewis J. RUBIN, LaJolla, CA; Thomas Schmehl, Giessen, GERMANY, Deceased; Werner SEEGER, Giessen, GERMANY; Carl STERRITT, Weybridge, UNITED KINGDOM; Robert VOSWINCKEL, Giessen, GERMANY;

## Applicant(s)

United Therapeutics Corporation, Siliver Spring, MD;

## **Assignment For Published Patent Application**

United Therapeutics Corporation, Silver Spring, MD

Power of Attorney: The patent practitioners associated with Customer Number 166905

## Domestic Priority data as claimed by applicant

This application is a CON of 16/536,954 08/09/2019 which is a CON of 15/011,999 02/01/2016 PAT 10376525 which is a DIV of 13/469,854 05/11/2012 PAT 9339507 which is a DIV of 12/591,200 11/12/2009 PAT 9358240 which is a CON of 11/748,205 05/14/2007 ABN which claims benefit of 60/800,016 05/15/2006 **Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

## Permission to Access Application via Priority Document Exchange: No

## Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

## If Required, Foreign Filing License Granted: 06/17/2020

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/778,662** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No Title

TREPROSTINIL ADMINISTRATION BY INHALATION

**Preliminary Class** 

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

page 2 of 4

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER

# Title 35, United States Code, Section 184

## Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

 166905
 7590

 Foley & Lardner LLP
 3000 K Street N.W.

 Suite 600
 Washington, DC 20007-5109

EXAMINER SCHMITT, MICHAEL J ART UNIT PAPER NUMBER

1629

DATE MAILED: 06/12/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916	4471

TITLE OF INVENTION: TREPROSTINIL ADMINISTRATION BY INHALATION

06/12/2020

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	09/14/2020

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD</u> <u>CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

	P.O. Box 1450 Alexandria, Virgin						
further correspondence	form should be used for traincluding the Patent, advar	ansmitting the ISSUE FE nce orders and notificatio	E and PUBLICATION FE on of maintenance fees will dence address; and/or (b) i	be mailed to the cu	rent co	rrespondence address a	s indicated unless correcte
	DENCE ADDRESS (Note: Use Bl		Not Fee pap	e: A certificate of (s) Transmittal. Th ers. Each additiona	mailin; is certif il paper	g can only be used for ficate cannot be used for	domestic mailings of the or any other accompanying tt or formal drawing, mus
<sup>166905</sup> Foley & Lardr 3000 K Street N Suite 600		/2020	I he Sta add	Centreby certify that the tes Postal Service vertices to the Mail	r <b>tificat</b> iis Fee( vith suf Stop IS	e of Mailing or Transi s) Transmittal is being ficient postage for first SUE FEE address abo	nission deposited with the United t class mail in an envelop ve, or being transmitted to 3-2885, on the date below
Washington, DO	C 20007-5109						(Typed or printed name
							(Signature
							(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	R	ATTO	ORNEY DOCKET NO.	CONFIRMATION NO.
16/778,662	01/31/2020		Horst OLSCHEWSKI			080618-1916	4471
TITLE OF INVENTION	N: TREPROSTINIL ADM	IINISTRATION BY INF	HALATION				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00		\$1000	09/14/2020
				-			
EXAN	MINER	ART UNIT	CLASS-SUBCLASS				
SCHMITT,	MICHAEL J	1629	514-569000				
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-09 or more recent) attached. Use of a Customer</li> </ol>			2. For printing on the patent front page, list         (1) The names of up to 3 registered patent attorneys or agents OR, alternatively,       1				
Number is required3. ASSIGNEE NAME A		A TO BE PRINTED ON	THE PATENT (print or ty	pe)			
PLEASE NOTE: Un recorded, or filed for	less an assignee is identific recordation, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CI	ta will appear on the patent FR 3.81(a). Completion of	. If an assignee is it this form is NOT a	dentifie 1 substi	d below, the document tute for filing an assign	must have been previously ment.
(A) NAME OF ASSI	IGNEE		(B) RESIDENCE: (CITY	and STATE OR O	COUNT	TRY)	
Please check the appropriate the second seco			rinted on the patent) : 🖵 I				entity 🖵 Government
4a. Fees submitted:		lication Fee (if required)		# of Copies			
Electronic Payment:	(Please first reapply any		Non-electronic payment by	aradit aard (Attac	form	PTO 2028)	
			deficiency, or credit any o			,	
	, ,	1 (// )		1,5 1			
<ul> <li>5. Change in Entity Status (from status indicated above)</li> <li>Applicant certifying micro entity status. See 37 CFR 1.29</li> <li>Applicant asserting small entity status. See 37 CFR 1.27</li> <li>Applicant changing to regular undiscounted fee status.</li> </ul> NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), fee payment in the micro entity amount will not be accepted at the risk of application abandom NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to small or mentity status, as applicable.						application abandonment. ng this box will be taken	
			3. See 37 CFR 1.4 for sign	ature requirements	and cer	rtifications.	
Authorized Signature	2			Date			
Typed or printed nan	ne			Registration N	No		

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

Mail Stop ISSUE FEE By mail, send to:

By fax, send to: (571)-273-2885

U.S. Patent and Trademark Office; USU USA Patent Page 7

SPITENT AND TRADE	ED STATES PATEN	T AND TRADEMARK OFFICE			
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov					
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916	4471	
166905 75	90 06/12/2020		EXAN	IINER	
Foley & Lardner			SCHMITT, I	MICHAEL J	
3000 K Street N.W Suite 600	•		ART UNIT	PAPER NUMBER	
Washington, DC 20	0007-5109		1629		
			DATE MAILED: 06/12/202	0	

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal Liquidia's Exhibit 1015 enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation. Page 9

Notice of Allowability	Application No.	Applicant(s)		
	16/778,662	OLSCHEWSKI et al.		
	Examiner	Art Unit	AIA (FITF) Status	
	MICHAEL J SCHMITT	1629	No	

All claims being allowable, PROSECUTION ON THE ME herewith (or previously mailed), a Notice of Allowance (P	RITS IS (OR REMAI TOL-85) or other app <b>TENT RIGHTS.</b> This	propriate communication will be mailed in due course. <b>THIS</b> application is subject to withdrawal from issue at the initiative
1. This communication is responsive to $\frac{5}{15}$		
A declaration(s)/affidavit(s) under <b>37 CFR 1.13</b>	( <b>b)</b> was/were med c	Jf1
2. An election was made by the applicant in response restriction requirement and election have been income		
	operty office for the co	I may be eligible to benefit from the <b>Patent Prosecution</b> prresponding application. For more information, please see inquiry to <b>PPHfeedback@uspto.gov.</b>
4. Acknowledgment is made of a claim for foreign price	ority under 35 U.S.C.	§ 119(a)-(d) or (f).
Certified copies:		
a) []All b) [] Some *c) [] None of th		
<ol> <li>Certified copies of the priority document</li> <li>Certified copies of the priority document</li> </ol>		
<ol><li>Copies of the certified copies of the p</li></ol>	priority documents ha	we been received in this national stage application from the
International Bureau (PCT Rule 17.2)	(a)).	
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING noted below. Failure to timely comply will result in ABA THIS THREE-MONTH PERIOD IS NOT EXTENDABL	ANDONMENT of this	munication to file a reply complying with the requirements application.
5. CORRECTED DRAWINGS (as "replacement shee	ets") must be submitte	ed.
including changes required by the attached Ex Paper No./Mail Date	xaminer's Amendmer	nt / Comment or in the Office action of
Identifying indicia such as the application number (see sheet. Replacement sheet(s) should be labeled as such		d be written on the drawings in the front (not the back) of each ing to 37 CFR 1.121(d).
6. DEPOSIT OF and/or INFORMATION about the depatter attached Examiner's comment regarding REQUIRE		
Attachment(s)		
1. Notice of References Cited (PTO-892)		5. Examiner's Amendment/Comment
2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	I	6. 🗌 Examiner's Statement of Reasons for Allowance
3. Examiner's Comment Regarding Requirement for D	Deposit	7. 🗌 Other
of Biological Material 4. Interview Summary (PTO-413),		
Paper No./Mail Date		
/MICHAEL J SCHMITT/	/.	JEFFREY S LUNDGREN/
Examiner, Art Unit 1629	5	Supervisory Patent Examiner, Art Unit 1629
J.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)	Notice of Allowabili	ty Part of Paper No./Mail Date 20200604

Liquidia's Exhibit 1015 Page 10



Application/Control No.	Applicant(s)/Patent Under Reexamination
16/778,662	OLSCHEWSKI et al.
Examiner	Art Unit
MICHAEL J SCHMITT	1629

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*				
Class	Subclass	Date	Examiner	

\* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
EAST search	05/05/2020	MS		
EAST search	06/04/2020	MS		

Interference Search					
US Class/CPC Symbol US Subclass/CPC Group Date Examiner					
514	183, 569	06/04/2020	MS		

/MICHAEL J SCHMITT/ Examiner, Art Unit 1629		
U.S. Patent and Trademark Office	Page 1 of 1	Liquidhars Exhibitators



	Application/Control No.	Applicant(s)/Patent Under Reexamination
2	16/778,662	OLSCHEWSKI et al.
	Examiner	Art Unit
	MICHAEL J SCHMITT	1629

CPC					
Symbol		Туре	Version		
A61K	31	557	F	2013-01-01	
A61K	9	0078	I	2013-01-01	
A61K	9	008	1	2013-01-01	
A61K	31	/ 192	I	2013-01-01	

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

/MICHAEL J SCHMITT/ Examiner, Art Unit 1629	04 June 2020	Total Claims Allowed:	
(Assistant Examiner)	(Date)	8	
/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629	08 June 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none
U.S. Patent and Trademark Office		P	art of Paper No.: 20200604

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/778,662	OLSCHEWSKI et al.
	Examiner	Art Unit
	MICHAEL J SCHMITT	1629

INTERNATIONAL CLASSIFICA	TION		
CLAIMED			
A61K31/557	31	557	
A61K9/00	9	00	
A61K31/192	31	192	

#### NON-CLAIMED 1 1

US ORIGINAL CLASSIFICATION				
CLASS	SUBCLASS			
514	183			
CROSS REFERENCES(S)				

CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					
514	569					

/MICHAEL J SCHMITT/ Examiner, Art Unit 1629	04 June 2020	Total Claims Allowed:	
(Assistant Examiner)	(Date)	8	
/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629	08 June 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none
U.S. Patent and Trademark Office		P	art of Paper No.: 20200604

	Application/Control No.	Applicant(s)/Patent Under Reexamination		
Issue Classification	16/778,662	OLSCHEWSKI et al.		
	Examiner	Art Unit		
	MICHAEL J SCHMITT	1629		

	Claims renumbered in the same order as presented by applicant CPA IT.D. R.1.47														
CLAIN	IS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

/MICHAEL J SCHMITT/ Examiner, Art Unit 1629	04 June 2020	Total Claims Allowed:	
(Assistant Examiner)	(Date)	8	
/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629	08 June 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none
U.S. Patent and Trademark Office		Pa	art of Paper No.: 20200604

# **Bibliographic Data**

Application No: 16/778,6	62			
Foreign Priority claimed:	Oyes	• No		
35 USC 119 (a-d) conditions met:	Yes	No		Met After Allowance
Verified and Acknowledged:	/MICHAEI	L J SCHMITT/		
	Examiner's	Signature		Initials
Title:	TREPROS'	TINIL ADMINISTR	ATI	ON BY INHALATION

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
01/31/2020	514	1629	080618-1916
RULE			

## APPLICANTS

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## **INVENTORS**

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Werner SEEGER Giessen, GERMANY

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Robert VOSWINCKEL Giessen, GERMANY

## **CONTINUING DATA**

This application is a CON of 16536954 08/09/2019

16536954 is a CON of 15011999 02/01/2016 PAT 10376525

15011999 is a DIV of 13469854 05/11/2012 PAT 9339507

13469854 is a DIV of 12591200 11/12/2009 PAT 9358240

12591200 is a CON of 11748205 05/14/2007ABN

11748205 has PRO of 60800016 05/15/2006

## FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED\*\*

## STATE OR COUNTRY

AUSTRIA

## ADDRESS

Foley & Lardner LLP 3000 K Street N.W.

Suite 600 Washington, DC 20007-5109 UNITED STATES

## FILING FEE RECEIVED

\$5,860

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L1	3	pulmonary.clm. and treprostinil.clm. and breath.clm. and microgram.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/06/04 15:20
L2	10	pulmonary.clm. and treprostinil.clm. and breath.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/06/04 15:20
L3	10	pulmonary.clm. and treprostinil.clm. and breaths.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/06/04 15:20

# **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L4	3,337	514/183.ccls.	US-PGPUB; USPAT	ADJ	ON	2020/06/04 15:20
L5	1,502	514/569.ccls.	US-PGPUB; USPAT	ADJ	ON	2020/06/04 15:20

	Commissioner for P.O. Box 1450 Alexandria, Virgin						
further correspondence i	form should be used for tra including the Patent, advar	ansmitting the ISSUE FE ace orders and notificatio	E and PUBLICATION FEI n of maintenance fees will dence address; and/or (b) i	be mailed to the cur	rent co	rrespondence address as	eted where appropriate. All s indicated unless corrected nance fee notifications.
	DENCE ADDRESS (Note: Use Blo		Noi Fee pap	e: A certificate of (s) Transmittal. Thi ers. Each additiona	mailin is certif 1 paper	g can only be used for ficate cannot be used fo	domestic mailings of the r any other accompanying t or formal drawing, must
166905 7590 06/12/2020 Foley & Lardner LLP 3000 K Street N.W. Suite 600			I he Sta add	Cer preby certify that th tes Postal Service w ressed to the Mail S	<b>tificat</b> is Fee( vith suf Stop IS	e of Mailing or Transn s) Transmittal is being ficient postage for first SUE FEE address abov	nission deposited with the United class mail in an envelope ve, or being transmitted to 3-2885, on the date below.
Washington, DC	C 20007-5109						(Typed or printed name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	2	ATTC	RNEY DOCKET NO.	CONFIRMATION NO.
16/778,662	01/31/2020		Horst OLSCHEWSKI			080618-1916	4471
TITLE OF INVENTION	N: TREPROSTINIL ADM	INISTRATION BY INF	HALATION				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00		\$1000	09/14/2020
nonprovisionar	UNDISCOUNTED	\$1000	φ0.00	\$0.00		\$1000	09/14/2020
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EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
SCHMITT,	MICHAEL J	1629	514-569000	-			
CFR 1.363). Change of corresp Address form PTO/S "Fee Address" ind SB/47; Rev 03-09 or	lication (or "Fee Address" more recent) attached. Us	nge of Correspondence	<ol> <li>For printing on the p</li> <li>The names of up t</li> <li>The names of up t</li> <li>agents OR, alternation</li> <li>The name of a sing registered attorney or</li> <li>registered patent attorney is patent attorney on the name will be</li> </ol>	o 3 registered paten vely, le firm (having as a agent) and the nam prneys or agents. If	t attori membes of u	per a pto 2	& Lardner LLP
Number is required 3. ASSIGNEE NAME A		TO BE PRINTED ON	THE PATENT (print or ty	pe)			
PLEASE NOTE: Unl recorded, or filed for	less an assignee is identific recordation, as set forth i	ed below, no assignee dat 1 37 CFR 3.11 and 37 CI	ta will appear on the patent FR 3.81(a). Completion of	. If an assignee is ic this form is NOT a	lentifie substi	d below, the document interest to the document in the formation of the document of the document of the document in the document of the documen	must have been previously
(A) NAME OF ASSI			(B) RESIDENCE: (CITY				
United The	erapeutics Corp	oration	Silver Sp	ring, MD			
Please check the appropriate	riate assignee category or	categories (will not be p	rinted on the patent) : 🖵 I	ndividual <b>X</b> Corpo	ration	or other private group e	ntity 🖵 Government
4a. Fees submitted:	XIssue Fee Publ	lication Fee (if required)	Advance Order -	# of Copies			
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<ul> <li>Applicant certifyi</li> <li>Applicant assertin</li> <li>Applicant changin</li> </ul>	ntus (from status indicate ng micro entity status. See ng small entity status. See ng to regular undiscounted	e 37 CFR 1.29 37 CFR 1.27 I fee status.	<u>NOTE</u> : If the application to be a notification of los <u>NOTE</u> : Checking this be entity status, as applicab	entity amount will was previously und s of entitlement to to x will be taken to be le.	not be der mic micro e e a not	accepted at the risk of a ro entity status, checkir ntity status. ification of loss of entitl	application abandonment. Ig this box will be taken
NOTE: This form must l	-		3. See 37 CFR 1.4 for sign	ature requirements			
Authorized Signature				Date	Ju	ne 12, 2020	
Typed or printed nam	ne Stephen B	8. Maebius		Registration N	lo	35,264	

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Page 2 of 3 OMB 0651-0033 U.S. Patent and Trademark Office; USU WIGHA STEXTIBIT Page 18

(571)-273-2885

By fax, send to:

Electronic Patent Application Fee Transmittal					
Application Number:	16	16778662			
Filing Date:	31.	Jan-2020			
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION				
First Named Inventor/Applicant Name:	Но	rst OLSCHEWSKI			
Filer:	Ste	phen Bradford Mae	bius/Karen Str	awderman	
Attorney Docket Number:	08	0618-1916			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
UTILITY APPL ISSUE FEE		1501	1	1000	1000

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1000

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	39702767					
Application Number:	16778662					
International Application Number:						
Confirmation Number:	4471					
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION					
First Named Inventor/Applicant Name:	Horst OLSCHEWSKI					
Customer Number:	166905					
Filer:	Stephen Bradford Maebius/Karen Strawderman					
Filer Authorized By:	Stephen Bradford Maebius					
Attorney Docket Number:	080618-1916					
Receipt Date:	12-JUN-2020					
Filing Date:	31-JAN-2020					
Time Stamp:	12:10:29					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1000
RAM confirmation Number	E20206BC10493521
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File	Listing	:
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			130851		
1	Issue Fee Payment (PTO-85B)	IFTM.pdf	b80826d8e49ec0aa628033a2111ab80e1f7 cf7a2	no	1
Warnings:					
nformation:					
			30715		
2	Fee Worksheet (SB06)	fee-info.pdf	077bfe3e585add3d7f03ff529feb34021e89 daa4	no	2
Warnings:				I	
Information:					
		Total Files Size (in bytes)	16	51566	
THIS ACKNOWIE					<b>,</b>
Post Card, as d <u>New Application</u> If a new application 1.53(b)-(d) and Acknowledger <u>National Stage</u> If a timely subm U.S.C. 371 and national stage <u>New Internation</u> If a new internation	by the applicant, and including pag escribed in MPEP 503. <u>Ons Under 35 U.S.C. 111</u> ation is being filed and the applicat I MPEP 506), a Filing Receipt (37 CFI nent Receipt will establish the filing of an International Application un- mission to enter the national stage of other applicable requirements a Fo submission under 35 U.S.C. 371 will onal Application Filed with the USPT ational application is being filed an al filing date (see PCT Article 11 and	e counts, where applicable. ion includes the necessary of R 1.54) will be issued in due g date of the application. <u>der 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati I be issued in addition to the <u>FO as a Receiving Office</u> d the international applicat	components for a filin course and the date s on is compliant with t ng acceptance of the e Filing Receipt, in du ion includes the nece	of receipt si g date (see hown on th the conditic application e course. ssary comp	milar to 37 CFR is ons of 35 as a onents fo

United State	es Patent and Tradem	UNITED STA United States Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916
			<b>CONFIRMATION NO. 4471</b>
166905		PUBLICA	
Foley & Lardner LLP 3000 K Street N.W. Suite 600 Washington, DC 20007-5109	)		CC000000117500744*

Title:TREPROSTINIL ADMINISTRATION BY INHALATION

Publication No.US-2020-0171044-A1 Publication Date:06/04/2020

# NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNIT	red States Patent A	AND TRADEMARK OFFICE		
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov				
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916	4471
	7590 05/15/2020		EXAM	IINER
Foley & Lardne 3000 K Street N			SCHMITT, N	MICHAEL J
Suite 600	C 20007 5100		ART UNIT	PAPER NUMBER
Washington, D	C 20007-5109		1629	TALER NOMBER
			NOTIFICATION DATE	DELIVERY MODE
			05/15/2020	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

	Application No.	Applicant(s	-
Office Action Summary	16/778,662	OLSCHEW	
Onice Action Summary	Examiner MICHAEL J SCHMITT	Art Unit 1629	AIA (FITF) Status
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the o	correspondei	nce address
A SHORTENED STATUTORY PERIOD FOR REPL DATE OF THIS COMMUNICATION.	Y IS SET TO EXPIRE <u>3</u> MONTH	IS FROM TH	IE MAILING
- Extensions of time may be available under the provisions of 37 CFR 1.1	36(a). In no event, however, may a reply be tir	mely filed after SIX	( (6) MONTHS from the mailing
<ul> <li>date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b).</li> </ul>	, cause the application to become ABANDON	ED (35 U.S.C. § 1	33).
Status			
1) Responsive to communication(s) filed on $1/3$	31/2020.		
A declaration(s)/affidavit(s) under 37 CFR	1.130(b) was/were filed on		
, <u> </u>	This action is non-final.		
3) An election was made by the applicant in resonance on; the restriction requirement and electron con; the restriction con; the restriction requirement and electron con; the restriction con; the			
4) Since this application is in condition for allow	-		
closed in accordance with the practice under			
Disposition of Claims*			
5) ☑ Claim(s) <u>1-8</u> is/are pending in the appli	cation.		
5a) Of the above claim(s) is/are withd			
6) Claim(s) is/are allowed.			
7) $\checkmark$ Claim(s) 1-8 is/are rejected.			
8) $\Box$ Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction a	nd/or election requirement		
* If any claims have been determined <u>allowable</u> , you may be el	•	secution Hig	hway program at a
participating intellectual property office for the corresponding a	pplication. For more information, ple	ase see	
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto	<u>o.gov.</u>	
Application Papers			
10) The specification is objected to by the Exami	iner.		
11) The drawing(s) filed on is/are: a) a	accepted or b) cobjected to by	y the Exami	ner.
Applicant may not request that any objection to the d		-	
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is obje	ected to. See 3	57 CFR 1.121(d).
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for forei	gn priority under 35 U.S.C. § 1	19(a)-(d) or	(f).
a) All b) Some** c) None of <sup>-</sup>	tha		
1. Certified copies of the priority docu			
2. Certified copies of the priority docu		nnlication N	0
3. Copies of the certified copies of the		• •	
application from the International B			inis National Olage
** See the attached detailed Office action for a list of the certifi	ied copies not received.		
Attachment(c)			
Attachment(s) 1)  Notice of References Cited (PTO-892)	3) 🔲 Interview Summar	v (PTO-413)	
	Paper No(s)/Mail I		
<ol> <li>Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date</li> </ol>	SB/08b) 4) Other:		
Paper No(s)/Mail Date U.S. Patent and Trademark Office			

## **DETAILED ACTION**

Claims 1-8 are pending.

#### Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

#### **Priority**

The instant application, filed 1/31/2020 is a continuation of 16/536,954, filed 8/9/2019. 16/536,954 is a continuation of 15/011,999, filed 2/1/2016, now U.S. Patent 10,376,525 and having 2 RCE-type filings therein. 15/011,999 is a division of 13/469,854, filed 5/11/2012, now U.S. Patent 9,339,507 and having 1 RCE-type filing therein. 13/469,854 is a division of 12/591,200, filed 11/12/2009, now U.S. Patent 9,358,240 and having 2 RCE-type filings therein. 12/591,200 is a continuation of 11/748,205, filed 5/14/2007, now abandoned and having 2 RCEtype filings therein. 11/748,205 claims Priority from Provisional Application 60/800,016, filed 5/15/2006.

#### Information Disclosure Statement

The Information Disclosure Statement (IDS) submitted on 1/31/2020, is in compliance with the provisions of 37 CFR 1.97. Accordingly, the Information Disclosure Statement is being considered by the Examiner.

## **Double Patenting**

## Application/Control Number: 16/778,662 Art Unit: 1629

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§ 706.02(l)(1) - 706.02(l)(3) for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-8 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-9 of <u>U.S. Patent No. US 9,339,507</u>. Although the claims at issue are not identical, they are not patentably distinct from each other because '507 is a kit, a kit that would be used as directed in the instant method.

Claims 1-8 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-9 of <u>U.S. Patent No. US 9,358,240</u>. Although the claims at issue are not identical, they are not patentably distinct from each other because '240 is a directed towards treating the same disease with the same method as the instant, the two vary only in easily envisaged differences.

Claims 1-8 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-4 of <u>U.S. Patent No. US 10,376,525</u>. Although the claims at issue are not identical, they are not patentably distinct from each other because '525 is a directed towards treating the same disease with the same method as the instant, the two vary only in easily envisaged differences.

#### Conclusion

No claims allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL J SCHMITT whose telephone number is (571)270-7047. The examiner can normally be reached on M-F 8-6 MidDay Flex.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at

http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Lundgren can be reached on 571-272-5541. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/MICHAEL J SCHMITT/ Examiner, Art Unit 1629 Application/Control Number: 16/778,662 Art Unit: 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629 

 Application/Control No.
 Applicant(s)/Patent Under

 16/778,662
 Reexamination

 OLSCHEWSKI et al.
 Examiner

 MICHAEL J SCHMITT
 Art Unit

 1629
 Page 1 of 1

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*	В	US-9358240-B2	06-2016	Olschewski; Horst	A61P43/00	1/1
*	С	US-10376525-B2	08-2019	Olschewski; Horst	A61P11/00	1/1
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Part of Paper No. 20200505

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Application/Control No.	Applicant(s)/Patent Under Reexamination
16/778,662	OLSCHEWSKI et al.
Examiner	Art Unit
MICHAEL J SCHMITT	1629

CPC - Searched*				
Symbol	Date	Examiner		

CPC Combination Sets - Searched*				
Symbol Date Examiner				

US Classification - Searched*				
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Search Notes				
Search Notes	Date	Examiner		
EAST search	05/05/2020	MS		

Interference Search							
US Class/CPC Symbol US Subclass/CPC Group Date Ex							

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$\frown$	Substitute for for	m 144	9/PTO	Co	Complete if Known		
	INFORMATION D	DISCL	OSURE	Application Number	Unassigned		
	STATEMENT BY	' APP	LICANT	Filing Date	Herewith		
	Date Submitted: January 31, 2020			First Named Inventor	Horst OLSCHEWSKI		
				Art Unit	Unassigned		
	(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	1	of	11	Attorney Docket Number	080618-1916		

			U.S. PATENT DO	CUMENTS	
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	INFORMATION	DISCI	OSURE	Application Number	Unassigned		
	STATEMENT B	Y APF	LICANT	Filing Date	Herewith		
	Date Submitted:	anuar	v 31 2020	First Named Inventor	Horst OLSCHEWSKI		
	Date Submitted: January 31, 2020			Art Unit	Unassigned		
	(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	2	of	11	Attorney Docket Number	080618-1916		

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Date Considered

05/05/2020

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	INFORMATION [	DISCL	OSURE	Application Number	Unassigned		
	STATEMENT BY	' APP	LICANT	Filing Date	Herewith		
	Date Submitted: Ja	onuor	v 31 2020	First Named Inventor	Horst OLSCHEWSKI		
	Date Submitted. Ja	anuar	y 51, 2020	Art Unit	Unassigned		
	(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	3	of	11	Attorney Docket Number	080618-1916		

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$\frown$	Substitute for fo	rm 144	19/PTO	Complete if Known		
	INFORMATION DISCLOSURE			Application Number	Unassigned	
STATEMENT BY APPLICANT				Filing Date	Herewith	
Date Submitted: January 31, 2020				First Named Inventor	Horst OLSCHEWSKI	
	Date Submitted. J	anuai	y 51, 2020	Art Unit	Unassigned	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	4	of	11	Attorney Docket Number	080618-1916	

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	Examiner Signature	/MICHAEL J SCHMITT/	Date Considered	05/05/2020			
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	STATEMENT B	Y APF	LICANT	Filing Date	Herewith
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	Date Submitted. J	anuai	y 51, 2020	Art Unit	Unassigned
	(use as many sheets as necessary)			Examiner Name	Unassigned
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		NON PATENT LITERATURE DOCUMENTS	-
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	A102	Final Office Action dated 10/17/2012 in US SN 12/591,200.	
	A103	Final Office Action dated 11/4/2013 in US SN 12/303,877.	
	A104	Final Office Action dated 12/22/2011 in US SN 12/591,200.	
	A105	Final Office Action dated 7/2/2013 in US SN 13/120,015.	
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	INFORMATION	DISCI	OSURE	Application Number	Unassigned
STATEMENT BY APPLICANT				Filing Date	Herewith
	Date Submitted: J	วทบวท	v 31 2020	First Named Inventor	Horst OLSCHEWSKI
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Sheet	6	of	11	Attorney Docket Number	080618-1916

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Sheet	11	of	11	Attorney Docket Number	080618-1916

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A178	Wetzel, R.C., "Aerosolized prostacyclin: in search of the ideal pulmonary vasodilator," Anesthesiology, 1995, 82, 1315-1317.	
	A179	Wittwer et al., "Inhalative Pre-Treatment of Donor Lungs Using the Aerosolized Prostacyclin Analog lliprost Ameliorates Reperfusion Injury," J. Heart Lung Transplant, 2005, 24:1673-1679.	
	A180	Zanen et al., "Optimal particle size for beta 2 agonist and anticholinergic aerosols in patients with severe airflow obstruction," Thorax, 1996, 51, 977-980.	
	A181	Zanen et al., "The optimal particle size for β-adrenergic aerosols in mild asthmatics," International Journal of Pharmaceutics, 1994, 107, 211-217.	

Examiner Signature	/MICHAEL J SCHMITT/	Date Considered	05/05/2020	
considered. Incl USPTO Patent I Japanese paten by the appropria language Transi This collection o the USPTO to p including gather on the amount o Patent and Trad	itial if reference considered, whether or not citation is in conformance wit ude copy of this form with next communication to applicant. 1 Applicant's Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issue t documents, the indication of the year of the reign of the Emperor must te symbols as indicated on the document under WIPO Standard ST.16 i lation is attached. If information is required by 37 CFR 1.97 and 1.98. The information is rea rocess) an application. Confidentiality is governed by 35 U.S.C. 122 and ing, preparing, and submitting the completed application form to the USF of time you require to complete this form and/or suggestions for reducing lemark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEI	a unique citation designation number (opti- d the document, by the two-letter code (W precede the serial number of the patent d f possible. 6 Applicant is to place a check nuired to obtain or retain a benefit by the p 37 CFR 1.14. This collection is estimated TO. Time will vary depending upon the in this burden, should be sent to the Chief I	onal). 2 See Kinds Codes of /IPO Standard ST.3). 4 For ocument. 5 Kind of document mark here if English oublic which is to file (and by to take 2 hours to complete, dividual case. Any comments information Officer, U.S.	
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.				

4821-8004-0371.1

Liquidia's Exhibit 1015 Page 43

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
S1	2	pulmonary.clm. and treprostinil.clm. and breath.clm. and microgram.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/05/05 15:36
S2	9	pulmonary.clm. and treprostinil.clm. and breath.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/05/05 15:39
S3	9	pulmonary.clm. and treprostinil.clm. and breaths.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	ADJ	ON	2020/05/05 15:39

# **EAST Search History (Interference)**

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:Horst OLSCHEWSKITitle:TREPROSTINIL ADMINISTRATION BY INHALATIONAppl. No.:16/778,662Filing Date:1/31/2020Examiner:Michael J. SCHMITTArt Unit:1629Confirmation Number:4471

# **RESPONSE**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

This communication is responsive to the outstanding non-final Office Action mailed on May 15, 2020, concerning the above-referenced patent application.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this document.

Remarks begin on page 3 of this document.

Please amend the application as follows:

1. (Original) A method of treating pulmonary hypertension comprising administering by inhalation to a human suffering from pulmonary hypertension a therapeutically effective single event dose of a formulation comprising treprostinil or a pharmaceutically acceptable salt thereof with an inhalation device, wherein the therapeutically effective single event dose comprises from 15 micrograms to 90 micrograms of treprostinil or a pharmaceutically acceptable salt thereof delivered in 1 to 3 breaths.

2. (Original) The method of claim 1, wherein the inhalation device is a soft mist inhaler.

3. (Original) The method of claim 1, wherein the inhalation device is a pulsed ultrasonic nebulizer.

4. (Original) The method of claim 1, wherein the inhalation device is a dry powder inhaler.

5. (Original) The method of claim 1, wherein the inhalation device is a pressurized metered dose inhaler.

6. (Original) The method of claim 4, wherein the formulation is a powder.

7. (Original) The method of claim 6, wherein the powder comprises particles less than 5 micrometers in diameter.

8. (Original) The method of claim 1, wherein the formulation contains no metacresol.

## **REMARKS**

Applicant respectfully requests reconsideration of the present application in view of the foregoing amendments and the reasons that follow.

## **Status of Claims**

Claims 1-8 are pending. No claim is amended.

### **Obviousness-Type Double Patenting**

Claims 1-8 are rejected under the judicially created doctrine of obviousness-type double patenting based upon claims of US Patent Nos. 9,339,507, 9,358,240, and 10,376,525. Without acquiescing in the rejection and solely to expedite prosecution, Applicant submits herewith a terminal disclaimer to obviate the rejections.

Applicant believes that the application is in condition for allowance. Favorable consideration is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance prosecution.

The Commissioner is hereby authorized to charge any additional fees which may be required for this application to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extensions under 37 CFR § 1.136 and authorizes payment of any such extension fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 15, 2020

FOLEY & LARDNER LLP Customer Number: 166905 Telephone: (202) 672-5569 Facsimile: (202) 672-5399 By /Stephen B. Maebius/

Stephen B. Maebius Attorney for Applicant Registration No. 35,264

Electronic A	cknowledgement Receipt
EFS ID:	39451057
Application Number:	16778662
International Application Number:	
Confirmation Number:	4471
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION
First Named Inventor/Applicant Name:	Horst OLSCHEWSKI
Customer Number:	166905
Filer:	Stephen Bradford Maebius/Karen Strawderman
Filer Authorized By:	Stephen Bradford Maebius
Attorney Docket Number:	080618-1916
Receipt Date:	15-MAY-2020
Filing Date:	31-JAN-2020
Time Stamp:	12:28:06
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wi	th Payment	no				
File Listin	g:					
Document Number	<b>Document Description</b>	File	Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1		Respo	nse.pdf	51bcde5197c516b8df7fca584d80f0839a02 71ba	yes	3

	Multipart Description/PDF files in .zip description					
	Document Description	Start	End			
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1			
	Claims	2	2			
	Applicant Arguments/Remarks Made in an Amendment	3	3			
Warnings:						
Information:						
	Total Files Size (in bytes):	122	2811			

characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: DIST.E.FILE Document Description: Electronic T		PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce			
Electronic Petition Request	TERMINAL DISCLAIMER TO OF "PRIOR" PATENT	BVIATE A D	OUBLE PATENTING REJECTION OVER A		
Application Number	16778662				
Filing Date	31-Jan-2020				
First Named Inventor	Horst OLSCHEWSKI				
Attorney Docket Number	080618-1916				
Title of Invention	TREPROSTINIL ADMINISTRATIC	ON BY INHAI	LATION		
Filing of terminal disclaimer doe Office Action	s not obviate requirement for res	ponse unde	r 37 CFR 1.111 to outstanding		
This electronic Terminal Disclaim	ner is not being used for a Joint Re	esearch Agr	eement.		
Owner	Р	ercent Inter	rest		
United Therapeutics Corporation	1	100%			
-	any patent granted on the instan		isclaims, except as provided below, the n which would extend beyond the expiration		
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9358240					
9339507 as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.					
application that would extend to the is presently shortened by any termina - expires for failure to pay a maintenar - is held unenforceable; - is found invalid by a court of compet - is statutorily disclaimed in whole or t - has all claims canceled by a reexamin - is reissued; or	expiration date of the full statutor I disclaimer," in the event that sai nce fee; tent jurisdiction; terminally disclaimed under 37 CF nation certificate;	ry term of th d prior pate FR 1.321;	he term of any patent granted on the instant ne prior patent, "as the term of said prior patent ent later: esently shortened by any terminal disclaimer.		

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Liquidia's Exhibit 1015 Page 50

$\odot$	Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.						
0	l certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.						
Appl	icant claims the following fee st	atus:					
0	Small Entity						
0	) Micro Entity						
$\odot$	Regular Undiscounted						
belie the l	f are believed to be true; and fu ike so made are punishable by fi	made herein of my own knowledge are true and that all statements made on information and rther that these statements were made with the knowledge that willful false statements and ine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and y jeopardize the validity of the application or any patent issued thereon.					
тн	S PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES					
l ce	rtify, in accordance with 37 CFR	1.4(d)(4) that I am:					
۲	An attorney or agent registered this application	to practice before the Patent and Trademark Office who is of record in					
	Registration Number 35264	1					
0	A sole inventor						
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application						
0	A joint inventor; all of whom ar	e signing this request					
Sig	nature	/Stephen B. Maebius/					
Nai	ne	Stephen B. Maebius					

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Patent Application Fee Transmittal						
Application Number:	167	778662				
Filing Date:	31-	Jan-2020				
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION					
First Named Inventor/Applicant Name:	Horst OLSCHEWSKI					
Filer:	Stephen Bradford Maebius/Karen Strawderman					
Attorney Docket Number:	080618-1916					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
STATUTORY OR TERMINAL DISCLAIMER		1814	1	160	160	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	160

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved

Application No.: 16778662

Filing Date: 31-Jan-2020

Applicant/Patent under Reexamination: OLSCHEWSKI

Electronic Terminal Disclaimer filed on May 15, 2020

APPROVED

#### This patent is subject to a terminal disclaimer

DISAPPROVED

Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web

U.S. Patent and Trademark Office

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	39451012					
Application Number:	16778662					
International Application Number:						
Confirmation Number:	4471					
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION					
First Named Inventor/Applicant Name:	Horst OLSCHEWSKI					
Customer Number:	166905					
Filer:	Stephen Bradford Maebius/Karen Strawderman					
Filer Authorized By:	Stephen Bradford Maebius					
Attorney Docket Number:	080618-1916					
Receipt Date:	15-MAY-2020					
Filing Date:	31-JAN-2020					
Time Stamp:	12:30:20					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

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\$160
E20205EC30157071

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

# File Listing:

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			33926		
1	Terminal Disclaimer-Filed (Electronic)	eTerminal-Disclaimer.pdf	fcc82ab5224cb5995730caf4f14f924999efc 24a	no	2
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2	Fee Worksheet (SB06)	fee-info.pdf	dc2b3b682521bf5062c3f9d5f4164fe0b0b0 553d	no	2
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characterized Post Card, as <u>New Applicat</u> If a new appli 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely sul	by the applicant, and including pag described in MPEP 503. Sions Under 35 U.S.C. 111 cation is being filed and the applicat d MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filing the of an International Application un pomission to enter the national stage	t on the noted date by the U se counts, where applicable tion includes the necessary R 1.54) will be issued in due g date of the application. <u>der 35 U.S.C. 371</u> of an international applicat	SPTO of the indicated It serves as evidence components for a filin course and the date s ion is compliant with t	documents of receipt si g date (see hown on th the conditic	imilar to 37 CFR is ons of 35
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PA	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875       Application or Docket Number 16/778,662       Filing Date 01/31/2020       To be Mailed									
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				Column 1		(Column 2)		-		
<u> </u>	FOR		NUI	MBER FII	_ED I	NUMBER EXTRA		RATE (\$) FEE (\$)		
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))		N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), or			N/A		N/A		N/A	<u> </u>	
)	EXAMINATION FEE (37 CFR 1.16(o), (p), c			N/A		N/A		N/A		
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					APPLICAT	ION AS AMEI	NDED - PA	RT II		
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The	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.									

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

United Stat	es Patent and Tradema	UNITED STA United States Address: COMMI P. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916
			<b>CONFIRMATION NO. 4471</b>
166905 Foley & Lardner LLP		37 CFR 1.4 LETTER	48 ACKNOWLEDGEMENT
Suite 600 Washington, DC 20007-510	9		OC000000115124715*
166905 Foley & Lardner LLP 3000 K Street N.W. Suite 600		37 CFR 1.4 LETTER	CONFIRMATION NO. 44 48 ACKNOWLEDGEMEN

Date Mailed: 03/04/2020

# NOTICE OF ACCEPTANCE OF REQUEST UNDER 37 CFR 1.48(a)

This is in response to the applicant's request under 37 CFR 1.48(a) submitted on 02/24/2020.

The request under 37 CFR 1.48(a) to correct the inventorship, to correct or update the name of an inventor, or to correct the order of names of joint inventors is accepted.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mmasfaw/

	United State	<u>s Patent</u>	and Tradema	UNITED STA United States Address: COMMI P.O. Box J	a, Virginia 22313-1450
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
	( )				
16/778,662	01/31/2020	1629	1720	080618-1916	8 1
					<b>CONFIRMATION NO. 4471</b>
166905				UPDATE	D FILING RECEIPT
Foley & Lardne	er LLP				
3000 K Street N.W.					
Suite 600					000000113124/14
Washington, D	00 20007-5109				

Date Mailed: 03/04/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

**Please verify the accuracy of the data presented on this receipt.** If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

### Inventor(s)

Horst OLSCHEWSKI, Graz, AUSTRIA; Robert ROSCIGNO, Chapel Hill, NC; Lewis J. RUBIN, LaJolla, CA; Thomas Schmehl, Giessen, GERMANY; Werner SEEGER, Giessen, GERMANY; Carl STERRITT, Weybridge, UNITED KINGDOM; Robert VOSWINCKEL, Giessen, GERMANY;

### Applicant(s)

United Therapeutics Corporation, Siliver Spring, MD;

### **Assignment For Published Patent Application**

United Therapeutics Corporation, Silver Spring, MD

Power of Attorney: The patent practitioners associated with Customer Number 166905

#### Domestic Priority data as claimed by applicant

This application is a CON of 16/536,954 08/09/2019 which is a CON of 15/011,999 02/01/2016 PAT 10376525 which is a DIV of 13/469,854 05/11/2012 PAT 9339507 which is a DIV of 12/591,200 11/12/2009 PAT 9358240 which is a CON of 11/748,205 05/14/2007 ABN which claims benefit of 60/800,016 05/15/2006 **Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

### Permission to Access Application via Priority Document Exchange: No

#### Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

Projected Publication Date: 06/04/2020

Non-Publication Request: No

Early Publication Request: No Title

TREPROSTINIL ADMINISTRATION BY INHALATION

**Preliminary Class** 

514

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

# **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign page 2 of 4

patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

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# LICENSE FOR FOREIGN FILING UNDER

# Title 35, United States Code, Section 184

# Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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# SelectUSA

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# **United States Patent and Trademark Office**

Office of the Chief Financial Officer

Document Code:WFEE

User :C46575

Sale Accounting Date:03/03/2020

Sale Item Reference Number	Effective Date
16778662	02/24/2020

Document Number	Fee Code	Fee Code Description	Amount Paid	Payment Method
1202033957539844	1830	PROCESSING FEE, EXCEPT	\$140.00	Deposit Account
		PROV. APPLS.		

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:	Horst OLSCHEWSKI
Title:	TREPROSTINIL ADMINISTRATION BY INHALATION
Appl. No.:	16/778,662
Filing Date:	1/31/2020
Examiner:	Unassigned
Art Unit:	Unassigned
Confirmation Number:	4471

# **REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Attached is a copy of the updated Filing Receipt dated February 27, 2020, marked to request inclusion of the fourth inventor as follows:

The inventor names should read:

Horst OLSCHEWSKI, Graz, AUSTRIA; Robert ROSCIGNO, Chapel Hill, NC; Lewis J. RUBIN, LaJolla, CA; **Thomas SCHMEHL, Giessen, GERMANY;** Werner SEEGER, Giessen, GERMANY; Carl STERRITT, Weybridge, UNITED KINGDOM; Robert VOSWINCKEL, Giessen, GERMANY;

The correction is not due to any error by Applicant as the inventors appear correctly on the properly signed ADS filed on February 24, 2020. Accordingly, no fee is due.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Date FEB 2 8 2020

FOLEY & LARDNER LLP Customer Number: 166905 Telephone: (202) 672-5569 Facsimile: (202) 672-5399

Jeps 1 Almand By\_

Stephen B. Maebius Attorney for Applicant Registration No. 35,264

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMM United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS AC Box 1450 Advess. COMMISSIONER FOR PATENTS Accounting, Viginia 22313-1450 www.uspid.gov									
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS				
16/778,662	01/31/2020		1720	080618-1916	8 1				
166905 Foley & Lardn 3000 K Street Suite 600 Washington, E					CONFIRMATION NO. 4471 ED FILING RECEIPT				

Date Mailed: 02/27/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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#### Inventor(s)

inventor(s)	Horst OLSCHEWSKI, Graz, AUSTRIA;
	Robert ROSCIGNO, Chapel Hill, NC;
Thomas SCHMEHL,	Lewis J. RUBIN, LaJolla, CA;
Giessen, GERMANY	Werner SEEGER, Giessen, GERMANY;
	Carl STERRITT, Weybridge, UNITED KINGDOM;
Applicant(a)	Robert VOSWINCKEL, Giessen, GERMANY;
Applicant(s)	United Therapeutics Corporation, Siliver Spring, MD;
Assignment For	Published Patent Application
,	United Therapeutics Corporation, Silver Spring, MD
Power of Attorne	y: The patent practitioners associated with Customer Number <u>166905</u>
Domestic Priority	y data as claimed by applicant
	This application is a CON of 16/536,954 08/09/2019
	which is a CON of 15/011,999 02/01/2016 PAT 10376525
	which is a DIV of 13/469,854 05/11/2012 PAT 9339507
	which is a DIV of 12/591,200 11/12/2009 PAT 9358240 which is a CON of 11/748,205 05/14/2007 ABN
	which claims benefit of 60/800,016 05/15/2006
Foreign Applicat Highway program	ions for which priority is claimed (You may be eligible to benefit from the Patent Prosecution at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None.

page 1 of 4

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

# Permission to Access Application via Priority Document Exchange: No

#### Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

### If Required, Foreign Filing License Granted: 02/19/2020

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/778,662** 

Projected Publication Date: 06/04/2020

Non-Publication Request: No

Early Publication Request: No Title

TREPROSTINIL ADMINISTRATION BY INHALATION

Preliminary Class

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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Electronic Acknowledgement Receipt						
EFS ID:	38724626					
Application Number:	16778662					
International Application Number:						
Confirmation Number:	4471					
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION					
First Named Inventor/Applicant Name:	Horst OLSCHEWSKI					
Customer Number:	166905					
Filer:	Stephen Bradford Maebius/Karen Strawderman					
Filer Authorized By:	Stephen Bradford Maebius					
Attorney Docket Number:	080618-1916					
Receipt Date:	28-FEB-2020					
Filing Date:	31-JAN-2020					
Time Stamp:	14:08:04					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted wit	h Payment		no					
File Listing	<b>j</b> :							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1				361191				
	Request for Corrected Filing Receipt		ReqCorrOFR.pdf	913919266320bc2b4f049aaf1df7a9e30fa7 65ba	no	6		
Warnings:								

Information:

Total Files Size (in bytes):

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Application or Docket Number 16/778,662			
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY								OR	OTHER THAN OR		
	FOR	NUMBE	R FILED	NUMBE	R EXTRA		RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	SIC FEE FR 1.16(a), (b), or (c))	N	/A	N	J/A	1 [	N/A			N/A	300
	RCH FEE FR 1.16(k), (i), or (m))	N	/A	N	J/A	1 [	N/A			N/A	660
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	N	I/A	1 [	N/A			N/A	760
TOT	AL CLAIMS FR 1.16(i))	8	minus :	20= *		1 [			OR	× 100 =	0.00
	EPENDENT CLAII FR 1.16(h))	MS 1	minus :	3 = *		1 [			1	× 460 =	0.00
FEE	PLICATION SIZ E CFR 1.16(s))	E sheets of p \$310 (\$15 50 sheets	baper, the 5 for sma or fractio	and drawings e e application siz all entity) for ea n thereof. See CFR 1.16(s).	ze fee due is ch additional						0.00
MUL	TIPLE DEPENDE	ENT CLAIM PRE	SENT (37	′ CFR 1.16(j))		] [					0.00
* lf t	he difference in co	olumn 1 is less th	an zero,	enter "0" in colur	nn 2.		TOTAL		1	TOTAL	1720
APPLICATION AS AMENDED - PART II (Column 1) (Column 2) (Column 3)							OTHER THAN SMALL ENTITY OR SMALL ENTITY				
NT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	] [	x =		OR	X =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x =		OR	x =	
AM	Application Size Fe	ee (37 CFR 1.16(s))	37 CFR 1.16(s))								
	FIRST PRESENT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	FR 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
		(Column 1) CLAIMS	<u> </u>	(Column 2) HIGHEST	(Column 3)	ı г			1	<b></b>	
NT B		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=		X =		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	] [	x =		OR	x =	
AM	Application Size Fee (37 CFR 1.16(s))				] [			]			
	FIRST PRESENT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	FR 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
*	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.     ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".     *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".     The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.										

	United State	<u>s Patent</u>	and Tradema	UNITED STAT United States Address: COMMISS P.O. Box 14	Virginia 22313-1450
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
16/778,662	01/31/2020	01411	1720	080618-1916	8 1
166905	0110112020		1120		CONFIRMATION NO. 4471 FILING RECEIPT
Foley & Lardne 3000 K Street Suite 600 Washington, D	N.W.				

Date Mailed: 02/27/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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# Inventor(s)

iDOM;
Y;

#### Applicant(s)

United Therapeutics Corporation, Siliver Spring, MD;

#### **Assignment For Published Patent Application**

United Therapeutics Corporation, Silver Spring, MD

Power of Attorney: The patent practitioners associated with Customer Number <u>166905</u>

# Domestic Priority data as claimed by applicant

This application is a CON of  $16/536,954\ 08/09/2019$ which is a CON of  $15/011,999\ 02/01/2016\ PAT\ 10376525$ which is a DIV of  $13/469,854\ 05/11/2012\ PAT\ 9339507$ which is a DIV of  $12/591,200\ 11/12/2009\ PAT\ 9358240$ which is a CON of  $11/748,205\ 05/14/2007\ ABN$ which claims benefit of  $60/800,016\ 05/15/2006$ 

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

# Permission to Access Application via Priority Document Exchange: No

# Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 02/19/2020

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/778,662** 

Projected Publication Date: 06/04/2020

Non-Publication Request: No

Early Publication Request: No Title

TREPROSTINIL ADMINISTRATION BY INHALATION

**Preliminary Class** 

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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United Stat	ies Patent and Tradema	UNITED STA United State: Addres: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916
			<b>CONFIRMATION NO. 4471</b>
166905		POA ACC	EPTANCE LETTER
Foley & Lardner LLP 3000 K Street N.W. Suite 600 Washington, DC 20007-510	09		OC000000114984184*

Date Mailed: 02/27/2020

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/24/2020.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/agizaw/

UNIT	red States Patent A	AND TRADEMARK OFFICE			
		UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/778,662	01/31/2020	Horst OLSCHEWSKI	080618-1916	4471	
Foley & Lardne 3000 K Street N			EXAM	INER	
Suite 600 Washington, D	C 20007-5109		ART UNIT	PAPER NUMBER	
-			1629		
			NOTIFICATION DATE	DELIVERY MODE	
			02/27/2020	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

	Decisio	n Granting Request for	<b>Application No.</b> 16/778,662	Applicant(s) OLSCHEWSKI	Applicant(s) OLSCHEWSKI et al.			
		ed Examination (Track I)	16/778,662       OLSCHEWSKI et al.         Examiner BRIAN W BROWN       Art Unit OPET       AIA (FITF) Status No         anuary 2020       IS GRANTED.         tion has met the requirements for prioritized examination nonprovisional application (Track I).       No         ion undergoing continued examination (RCE).         eation will undergo prioritized examination. The application will be ughout its entire course of prosecution until one of the following occurs: or extension of time to extend the time period for filing a reply;         ent to amend the application to contain more than four ims, more than thirty total claims., or a multiple dependent claim; or continued examination;         ppeal;         r suspension of action;         e of allowance;         Office action;         amination as defined in 37 CFR 41.102; or					
1.	THE REC	QUEST FILED <u>31 January 2020</u> I	S <b>GRANTED</b> .					
	<ul> <li>The above-identified application has met the requirements for prioritized examination</li> <li>A.  for an original nonprovisional application (Track I).</li> <li>B.  for an application undergoing continued examination (RCE).</li> </ul>							
2.								
	Α.	filing a <b>petition for extension</b> (	of time to extend the tir	ne period for filing	ı a reply;			
	В.	-						
	C.	filing a <b>request for continued</b>	<b>examination</b> ;					
	D.	D. filing a notice of appeal;						
	E.	filing a request for suspension of	filing a request for suspension of action;					
	F.	mailing of a notice of allowance	;					
	G.	mailing of a final Office action;						
	Н.	completion of examination as o	defined in 37 CFR 41.10	02; or				
	I.	abandonment of the application	۱.					
	Telephon	e inquiries with regard to this dec	cision should be directed	to BRIAN BROV	VN at (571)272-			
	5338. In I	nis/her absence, calls may be dire	ected to Petition Help D	esk at (571) 272-3	3282.			
		N BROWN/ Examiner, OPET						

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

Approved for use through 11/30/2014. OMB 0651-0035 U.S. Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

		s of attorney given in t	the application identified in the atta	ached statement					
under 37 Cl I hereby ap									
	r official control of the control of	omer Number:							
	2	166905	)						
Prac	Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):								
[	Name	Registration	Name	Registration					
		Number		Number					
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As attornaute	or acest(s) to represent the w	dersigned before the Linite	d States Patent and Trademark Office (U	SPTO) in consistion with					
any and all pat		to the undersigned accord	ling to the USPTO assignment records or						
			i in the attached statement under 37 CFR 3	3.73(c) to:					
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hilmed	address associated with Custo	omer Number: 166905							
OR Firm or	T								
	al Name								
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Assignee Nam	e and Address: United Thei 1040 Spring Silver Sprin								
Filed in each	application in which this f	orm is used. The statem	/3(c) (Form PTO/SB/96 or equivalent) tent under 37 CFR 3.73(c) may be cor application in which this Power of Att	npleted by one of					
Th	e individual whose signatu	SIGNATURE of Assi re and title is supplied be	ignee of Record low is authorized to act on behalf of t	he assignee					
Signature Date 03 February			2020						
Name	Shaun R. Snade	)r	Telephone 202-304	-1701					
Title	Vice President, A		al Counsel, IP						
			ation is required to obtain or retain a benefit by the second state of the second stat						

by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	38673118					
Application Number:	16778662					
International Application Number:						
Confirmation Number:	4471					
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION					
First Named Inventor/Applicant Name:	Horst Olschewski					
Customer Number:	22428					
Filer:	Stephen Bradford Maebius/Karen Strawderman					
Filer Authorized By:	Stephen Bradford Maebius					
Attorney Docket Number:	080618-1916					
Receipt Date:	24-FEB-2020					
Filing Date:	31-JAN-2020					
Time Stamp:	16:18:43					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with	Payment		no					
File Listing	:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
				112878				
1	Applicant Response to Pre-Exam Formalities Notice		TMMP.pdf	e72db3b71b8ee2f61ccdab9b642f66c5d68 9b9d3	no	2		
Warnings:					idia's Exh	vibit 101		

Information:									
			189949						
2	Application Data Sheet	MarkedADS.pdf	460cb95ab7a0dd7dad86fddaabb8b5c865 214061	no	11				
Warnings:	Warnings:								
Information:									
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	Antine charing of a marking and 27		1875981						
3	Assignee showing of ownership per 37 CFR 3.73	373cStmt.pdf	11d871e8b63dbdea2eaee5f19d08a7271ab 2fd38	no	3				
Warnings:									
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4	Power of Attorney	UTC_USPOA_166905.pdf	bddae766cf8b80d5ccae20f78254b4db6ac 208ea	no	1				
Warnings:									
Information:									
		Total Files Size (in bytes)	23	14407					
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other application Filed with the USPTO as a Receiving Office           If a new international Application is being filed and the international application includes the necessary components for an international Application see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application is being filed and the international application includes the necessary components for an international Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.									

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:	Horst OLSCHEWSKI
Title:	TREPROSTINIL ADMINISTRATION BY INHALATION
Application No.:	16/778,662
Filing Date:	1/31/2020
Examiner:	Unassigned
Art Unit:	Unassigned
Confirmation No.:	4471

# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

In response to the Notice to File Missing Parts of Application mailed February 21, 2020, in the above-identified patent application, transmitted herewith is a properly executed marked Application Data Sheet. Also submitted herewith are copies of the Statement Under 37 CFR 3.73(c) and Power of Attorney filed on February 5, 2020.

Favorable action is solicited.

It is believed no additional fees are required, however, authorization is given to charge any fees which may be required for this application to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 24, 2020

By /Stephen B. Maebius/

 FOLEY & LARDNER LLP

 Customer Number: 166905

 Telephone:
 (202) 672-5569

 Facsimile:
 (202) 672-5399

Stephen B. Maebius Attorney for Applicant Registration No. 35,264 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Shoot 37 CEP 1 76	Attorney Docket Number	080618-1916				
Application Data Sheet 37 CFR 1.76		Application Number					
Title of Invention	TREPROSTINIL ADMINISTR	TREPROSTINIL ADMINISTRATION BY INHALATION					
bibliographic data arrar This document may be	nged in a format specified by the Uni	ited States Patent and Trademark C mitted to the Office in electronic fo	being submitted. The following form contains the ffice as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the				

# document may be printed and included in a paper filed application.

# Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

# **Inventor Information:**

Invent	tor	1							R	emove	
Legal	Name										
Prefix	Give	en Name		M	liddle Name	;		Family	Name		Suffix
	Hors	t							WSKI		
Resid	lence	Information (	(Select One)		8 Residency	۲	Non US Re	sidency	🔿 Activ	e US Military Service	;
City	Graz				Country of F	Reside	ence <sup>i</sup>		AT		
	1										
Mailing	Addr	ess of Invent	or:								
Addre	ss 1		c/o United The	rapeut	tics Corporati	on					
Addre	ess 2		1040 Spring St	treet	_						
City		Silver Spring					State/Pro	vince	MD		
Posta	l Code	e	20910			Cou	intry i				
Invent	Inventor 2								R	emove	
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Mailing	Addr	ess of Invent	or:								
Addre	ess 1		c/o United The	rapeut	tics Corporati	on					
Addre	ess 2		1040 Spring St	treet	_						
City		Silver Spring					State/Pro	vince	MD		
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						Attorney	Docket	Number	080618-1	916		
Appli	icatio	on Data S	She	et 37 CFR	1.76	Applicatio						
Title of	f Inver	ntion TR	REPF	ROSTINIL ADM	MINISTR	ATION BY IN	NHALAT	ION				
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Addre	ss 1			c/o United Th	ierapeuti	cs Corporation	on					
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Application Data Sheet 37 CFR 1.76 Attorney Docket Number 080618-1916										
				Application Number						
Title of	f Inver	ntion TREP	ROSTINIL ADMINI	STR	ATION BY I	NHAL	ATION			
Prefix	Give	en Name		Mi	iddle Name	•		Family N	Name	Suffix
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Resid	lence	Information	(Select One) 🔘	้บร	Residency	۲	Non US Re	sidency (	Active US Military Service	)
City	Weyb	ridge		C	Country of F	Resid	ence <sup>i</sup>		GB	
Mailing	Addr	ess of Inven	tor							
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City		Silver Spring					State/Prov	/ince	MD	
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Mailing Address of Inventor:										
Addre			c/o United Therap	euti	cs Corporati	on				
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City	33 L	Silver Spring		<u>.                                    </u>			State/Prov	/ince	MD	
-	Code					Сог				
Postal Code       20910       Country i         All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.       Add										
Corre	Correspondence Information:									

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).					
An Address is being provided for the correspondence Information of this application.					
Customer Number	22428				
Email Address	IPDocketing@foley.com	Add Email	Remove Email		

#### PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application Da	ta Sheet 37 CER 1 76	Attorney Docket Number	080618-1916
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	TREPROSTINIL ADMINISTR/	ATION BY INHALATION	

# **Application Information:**

Title of the Invention	TREPROSTINIL ADMINISTRATION BY INHALATION				
Attorney Docket Number	Attorney Docket Number 080618-1916		Small Entity Status Claimed		
Application Type         Nonprovisional					
Subject Matter	Utility				
Total Number of Drawing Sheets (if any)     12     Suggested Figure for Publication (if any)					
Filing By Reference:					
Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if					

application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

# **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	22428		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTR	ATION BY INHALATION	

# **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Continuation of	16/536954	2019-08-09
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
16/536954	Continuation of	15/011999	2016-02-01
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
15/011999	_Division of	13/469854	2012-05-11
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
13/469854	Division of	12/591200	2009-11-12
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
12/591200	Continuation of	11/748205	2007-05-14
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
		60/800016	2006-05-15

# **Foreign Priority Information:**

PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTR/	ATION BY INHALATION	

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove		
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)		
Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button.					

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTR	ATION BY INHALATION	

# Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

# 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. <u>Priority Document Exchange (PDX)</u> - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

**B.** <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

# 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

# **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Applicant 1							
Applicant 1 If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
• Assignee	C Legal Representative u	nder 35 U.S.C. 117	Joint Inventor				
Person to whom the inventor is o	bligated to assign.	O Person who sho	ows sufficient proprietary interest				
If applicant is the legal represent	ative, indicate the authority to	file the patent applicat	ion, the inventor is:				
Name of the Deceased or Legal	ly Incapacitated Inventor:						
If the Applicant is an Organizat	ion check here. 🛛 🕅						
Organization Name United	Therapeutics Corporation						
Mailing Address Information	For Applicant:						
Address 1	40 Spring Street						
Address 2							
City Silv	ver Spring	State/Province	MD				
Country <sup>i</sup> <u>US</u>		Postal Code	_20910				
Phone Number		Fax Number					
Email Address							
Additional Applicant Data may be generated within this form by selecting the Add button.							

# Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Ollue	er une Faperw		luction Act of 1995, no pers		spond to a collection	ion of information unless it contains a valid ONIB control numbe			
Application Data Sheet 37 CFR 1.76			Attorney Dock	ket Number	080618-1916				
			Application N	umber					
Title of Inventi	on TRI	EPRO	PROSTINIL ADMINISTRATION BY INHALATION						
Assignee	Assignee 1								
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication publication.									
If the Assignee	e or Non-	Applic	ant Assignee is ar	n Organization of	check here.	$\boxtimes$			
Organization N	Name	Unit	ed Therapeutics Cor	poration					
Mailing Addres	ss Inform	ation	For Assignee ind	cluding Non-A	pplicant Ass	ignee:			
Address 1			1040 Spring Street	t					
Address 2									
City		5	Silver Spring		State/Provir	nce <u>MD</u>			
Country <sup>i</sup>	<u>US</u>				Postal Code	20910			
Phone Numbe	er				Fax Number				
Email Address									
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.									

# Signature:

**NOTE:** This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet <u>must</u> be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature -	/Stophen-BMaebius	/Stephe	n B. Maebius/	Date (YYYY-MM-DD)	2020-01-31	
First Name	Stephen B.	Last Name	Maebius	Registration Number	35264	
Additional Signature may be generated within this form by selecting the Add button.						

#### PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

		· · ·	
Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRA	ATION BY INHALATION	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0051-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number
STATEMENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: United Therapeutics Corporation Atty. Dkt. No. 080618-1916
Application No./Patent No.: 16/778,662 Filed/Issue Date: 1/31/2020
Titled: TREPROSTINIL ADMINISTRATION BY INHALATION
United Therapeutics Corporation , a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):
1. I The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.
There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
4. The recipient, via a court proceeding or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023223, Frame 0716, or for which a copy thereof is attached.
B. 🔲 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)					
3. From:			To:		
	The docume	nt was recorded in the	United States Patent and Trademark Office at		
	Reel	, Frame	, or for which a copy thereof is attached.		
4. From:			To:		
	The docume	nt was recorded in the	United States Patent and Trademark Office at		
	Reel	, Frame	, or for which a copy thereof is attached.		
5. From:			To:		
			United States Patent and Trademark Office at		
	Reel	, Frame	, or for which a copy thereof is attached.		
6. From:			To:		
	The docume	nt was recorded in the	United States Patent and Trademark Office at		
	Reel	, Frame	, or for which a copy thereof is attached.		
A	dditional document	s in the chain of title ar	re listed on a supplemental sheet(s).		
			umentary evidence of the chain of title from the original owner to the hitted for recordation pursuant to 37 CFR 3.11.		
			the original assignment document(s)) must be submitted to Assignment o record the assignment in the records of the USPTO. See MPEP 302		
	Sion in accordance	will 37 OFN Fall 3, 10	The cold the assignment in the records of the USPTO. See MPEP 302	.00]	
		s supplied below) is au	ithorized to act on behalf of the assignee.		
	n B. Maebius/				
Signature			Date		
	n B. Maebiu	IS	35,264		
Printed or T	yped Name		Title or Registration Number		

[Page 2 of 2]

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	United State	<u>s Patent</u>	and Tradema	UNITED STAT United States Address COMMIS P.O. Box 14	Virginia 22313-1450
APPLICATION NUMBER	FILING or	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
	371(c) DATE	UNII			
16/778,662	01/31/2020		1720	080618-1916	8 1
					<b>CONFIRMATION NO. 4471</b>
22428				FILING RE	ECEIPT
FOLEY & LAR	DNER LLP				
3000 K STREET N.W.					
	_    \.**.				0000000114846828
SUITE 600					
WASHINGTO	N, DC 20007-5	109			

Date Mailed: 02/21/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

**Please verify the accuracy of the data presented on this receipt.** If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

# Inventor(s)

Horst Olschewski, Residence Not Provided;						
Robert ROSCIGNO, Residence Not Provided;						
Lewis J. RUBIN, Residence Not Provided;						
Werner SEEGER, Residence Not Provided;						

# Applicant(s)

Horst Olschewski, Residence Not Provided; Robert ROSCIGNO, Residence Not Provided; Lewis J. RUBIN, Residence Not Provided; Werner SEEGER, Residence Not Provided;

# **Assignment For Published Patent Application**

United Therapeutics Corporation, Silver Spring, MD

# Power of Attorney: None

# Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

# Permission to Access Application via Priority Document Exchange: No

#### Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 02/19/2020 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 16/778,662 Projected Publication Date: To Be Determined - pending completion of Missing Parts Non-Publication Request: No Early Publication Request: No Title

TREPROSTINIL ADMINISTRATION BY INHALATION

#### **Preliminary Class**

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

# **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, page 2 of 4

this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER

# Title 35, United States Code, Section 184

# Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop

technology, manufacture products, deliver services, and grow your business, visit <u>http://www.SelectUSA.gov</u> or call +1-202-482-6800.

UNITED ST	ates Patent and Tradema	UNITED STA' United States Address: COMMIS P.O. Box I	Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst Olschewski	080618-1916
			<b>CONFIRMATION NO. 4471</b>
22428 FOLEY & LARDNER LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 2000	7-5109		DC000000114846835*

Date Mailed: 02/21/2020

# Improper Submission of Authorization to Permit Access to Search Results under 37 CFR 1.14(h)(2)

The Authorization to Permit Access to the Search Results from the instant application under 37 CFR 1.14(h)(2) (authorization to permit access to search results) submitted on 01/31/2020 in the above-identified application is not accepted because:

• It was not properly signed. If applicant still wishes to provide authorization to permit access to the search results under 37 CFR 1.14(h)(2), applicant must submit a properly signed authorization (e.g., PTO/SB/69).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mhaile/

UNITED STA	ates Patent and Tradema	UNITED STA United States	TES DEPARTMENT OF COMMERCE s Patent and Trademark Office SSIONER FOR PATENTS
ADJUNI ON COMME		P.O. Box 1	1450 a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst Olschewski	080618-1916
			<b>CONFIRMATION NO. 4471</b>
22428		FORMALI	TIES LETTER
FOLEY & LARDNER LLP			
3000 K STREET N.W.			OC000000114846829*
SUITE 600		*(	0000000114846829*
WASHINGTON, DC 20007	7-5109		

Date Mailed: 02/21/2020

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

# FILED UNDER 37 CFR 1.53(b)

# Filing Date Granted

# Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• Complete residence information, either city and state or city and country for **Horst Olschewski**, **Robert ROSCIGNO**, **Lewis J. RUBIN**, and **Werner SEEGER** has not been provided. Residence information is required, separately from the mailing address, if the inventor lives at a location which is different from where the inventor customarily receives mail. Also, a valid state code or a valid country code must be provided. For lists of valid state and valid country codes, see the Instructions for Application Data Sheet available at <u>https://www.uspto.gov/patent/forms/forms-patent-applications-filed-or-after-september-16-2012</u>. There is an indication on either the ADS or the inventor's oath or declaration that the mailing address and residence information is not the same for this inventor.

Applicant must provide the residence information on either:

- An inventor's oath or declaration in compliance with 37 CFR 1.63, or
- A properly marked up application data sheet (ADS) in compliance with 37 CFR 1.76.

• A complete mailing address that includes either the city and state or city and country, for each inventor has not been submitted. Applicant must provide the mailing address on either:

- An inventor's oath or declaration in compliance with 37 CFR 1.63, or
- A properly marked up application data sheet (ADS) in compliance with 37 CFR 1.76.

Note that an inventor's mailing address is required even if a correspondence address has been submitted. An inventor's mailing address may not necessarily be the same as the correspondence address for the application and must be separately submitted in the manner set forth above. If the inventor lives at a location which is different from the inventor's mailing address, the inventor's residence (either city and state or city and country) must also be separately submitted in the manner set forth above. For lists of valid state and valid country codes, see the Instructions for Application Data Sheet available at <u>https://www.uspto.gov/patent/forms/forms-patent-applications-filed-or-after-september-16-2012</u>. Mailing address information is needed for the following inventor(s): **Horst Olschewski**, **Robert ROSCIGNO**, **Lewis J. RUBIN**, and **Werner SEEGER** 

# Items Required To Avoid Processing Delays:

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

- The ADS received on 01/31/2020 was not properly signed. Therefore, the Office will treat it only as a transmittal letter. See 37 CFR 1.76(e). Inventorship has not been set by this document and any foreign priority or domestic benefit claims contained therein are ineffective. See 37 CFR 1.55 or 37 CFR 1.78. If the applicant wishes to submit another ADS:
  - o It must be properly signed by a party under 37 CFR 1.33(b), and be signed in compliance with 37 CFR 1.4(d).
  - o Changes to the information of record must be properly marked up in compliance with 37 CFR 1.76(c), i.e., must identify the information that is being changed, with underlining for insertions, and strike-through or brackets for text removed. In general, the identification of the information being changed should be made relative to the most recent filing receipt.
  - o Benefit and priority claims must be presented in an ADS in compliance with 37 CFR 1.76(c) and within the time periods specified in 37 CFR 1.55 and 1.78.

If an ADS as set forth above is provided, the filing of the inventor's oath or declaration may be postponed until the application is otherwise in condition for allowance. See 37 CFR 1.53(f). Note that the inventor's oath or declaration must be filed no later than the date on which the issue fee has been paid.

In order to make changes to the information of record, an ADS must be properly signed and properly marked up relative to the current information of record.

Proper signature: The ADS must be signed with a handwritten signature or proper S-signature by:

- A patent practitioner, with the practitioner's registration number accompanying the signature (e.g., immediately below or adjacent to the signature), or
- The applicant, if the applicant is an individual other than the inventor(s) and no power of attorney has been appointed, or

• All of the inventors, if no other applicant has been established and no power of attorney has been appointed. A proper S-signature consists of only letters and/or Arabic numerals, with appropriate spaces and commas, periods, apostrophes, or hyphens for punctuation contained between a first single forward slash mark before, and a second single forward slash mark after, the S-signature.

**Proper markings:** The ADS must identify the changes being made with underlining for insertions and strike-through or brackets for text removed. No other markings or indications are acceptable. Where an ADS providing corrected or updated information does not contain all of the sections of the ADS, the entire section in which changes are being made must be included in the ADS. Information of record can generally be found on the latest filing receipt.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <u>https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</u>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <u>http://www.uspto.gov/ebc</u>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mhaile/

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875									Application or Docket Number 16/778,662		
	APP	LICATION A			umn 2)		SMALL	ENTITY	OR	OTHER THAN ORSMALL ENTIT	
FOR		NUMBE	NUMBER FILED		NUMBER EXTRA		RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))		N	N/A		N/A		N/A			N/A	300
SEARCH FEE (37 CFR 1.16(k), (i), or (m))		N	N/A		N/A		N/A			N/A	660
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))		N	N/A		N/A		N/A			N/A	760
TOTAL CLAIMS (37 CFR 1.16(i))		8	minus 2	20= *	*				OR	× 100 =	0.00
INDEPENDENT CLAIMS (37 CFR 1.16(h))		MS 1	minus :	3 = *		1 [			1	× 460 =	0.00
APPLICATION SIZE FEE (37 CFR 1.16(s))		E sheets of p \$310 (\$15 50 sheets	If the specification and sheets of paper, the ap \$310 (\$155 for small e 50 sheets or fraction th 41(a)(1)(G) and 37 CF		pplication size fee due is entity) for each additional nereof. See 35 U.S.C.						0.00
MUL	TIPLE DEPENDE	ENT CLAIM PRE	SENT (37	′ CFR 1.16(j))		1 [					0.00
* lf t	he difference in co	olumn 1 is less th	an zero, e	enter "0" in colur	nn 2.		TOTAL		1	TOTAL	1720
APPLICATION AS AMENDED - PART II (Column 1) (Column 2) (Column 3) SMALL ENTITY							ENTITY	OTHER THAN OR SMALL ENTITY			
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	] [	x =		OR	X =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x =		OR	x =	
AM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
	1	(Column 1) CLAIMS	<u>г</u>	(Column 2) HIGHEST	(Column 3)	ı г			1	<b></b>	
AMENDMENT B		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	] [	x =		OR	X =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	] [	x =		OR	X =	
	Application Size Fee (37 CFR 1.16(s))					] [					
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
	-						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
*	* If the entry in co * If the "Highest N * If the "Highest Nu The "Highest Num	lumber Previous umber Previously I	y Paid Fo Paid For" I	OR" IN THIS SPACE	CE is less thar s less than 3, er	n 20, nter "	3. enter "20".	in column 1.	1		

UNITED ST	ates Patent and Tradema	UNITED STA United States Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst Olschewski	080618-1916
			<b>CONFIRMATION NO. 4471</b>
22428 FOLEY & LARDNER LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 2000	7-5109		CC000000114846832*

Date Mailed: 02/21/2020

# Improper Submission of Authorization to Permit Access to Application by Participating Offices under 37 CFR 1.14(h)(1) (Priority Document Exchange)

The Authorization to Permit Access to Application-As-Filed by Participating Offices under 37 CFR 1.14(h)(1) (authorization to permit access to application via priority document exchange) submitted on 01/31/2020 in the above-identified application is not accepted because:

• It was not properly signed. If applicant still wishes to provide authorization to permit access under 37 CFR 1.14(h)(1), applicant must submit a properly signed authorization (e.g., PTO/SB/39).

Any authorization should be submitted prior to filing a subsequent foreign application with a participating intellectual property office in which priority is claimed to the above-identified U.S. application to ensure that it is likely that the participating foreign intellectual property office will be successful in its attempt to retrieve a copy of the U.S. priority application from the Office.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mhaile/

UNITED ST	ates Patent and Tradem	UNITED STA United States Address COMMI PO. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/778,662	01/31/2020	Horst Olschewski	080618-1916
22428		IN	CONFIRMATION NO. 4471 IPROPER CPOA LETTER
FOLEY & LARDNER LLP 3000 K STREET N.W. SUITE 600			CC000000114826321*
WASHINGTON, DC 2000	7-5109		Date Mailed: 02/21/2020

## NOTICE REGARDING POWER OF ATTORNEY

This is in response to the power of attorney filed 01/31/2020. The power of attorney in this application is not accepted for the reason(s) listed below:

• The power of attorney has not been accepted because the party who is giving power has not been identified. Power of attorney may only be signed by the applicant for patent (37 CFR 1.42) or the patent owner. A party who is not the applicant must become the applicant in accordance with 37 CFR 1.46(c) and appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. For a reissue application, reexamination proceeding, or supplemental examination proceeding, a patent owner who was not the applicant under 37 CFR 1.46 must appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. See 37 CFR 1.32(b)(4).

/bnguyen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Approved for use through 11/30/2014. OMB 0651-0035 U.S. Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I herehv rev	oke all previous power	rs of attorney	niven in th	e applicatio	n identified in th	e attached state	ment
under 37 C	FR 3.73(c).		given in u				
I hereby ap	point:		-				
Pra	ctitioners associated with Cus	tomer Number:	166905				
OF	१		L				
Pra	ctitioner(s) named below (if m	ore than ten pate	ent practitione	rs are to be na	med, then a custom	er number must be u	ised):
[	Name		istration umber		Name		jistration umber
					***************************************		
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	or agent(s) to represent the i						
	tent applications a ssigned <u>or</u> s form in accordance with 37		gned accordin	ng to the USP	TO assignment reco	rds or assignments of	locu ments
OR The	address associated with Cus	tomer Number:	166905				
Firm or	al Name						
Address							
City							
Country	,			***********************			
Telepho	ne			Email			
Assignee Nan	e and Address: United The 1040 Sprir Silver Spri		1				
Filed in each	is form, together with a st application in which this ners appointed in this for	form is used.	The stateme	nt under 37	CFR 3.73(c) may t	e completed by o	ne of
TI	ne individual whose signati		RE of Assig supplied belo			If of the assignee	
Signature		and the second			Date 03 Febru	Jary 2020	
Name	Shaun R. Snad	er er			Telephone 202-		
Title	Vice President,		General				
	nformation is required by 37 CFR			~~~~	• 5 • •		

by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Ac	knowledgement Receipt
EFS ID:	38497965
Application Number:	16778662
International Application Number:	
Confirmation Number:	4471
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION
First Named Inventor/Applicant Name:	Horst Olschewski
Customer Number:	22428
Filer:	Stephen Bradford Maebius/Karen Strawderman
Filer Authorized By:	Stephen Bradford Maebius
Attorney Docket Number:	080618-1916
Receipt Date:	05-FEB-2020
Filing Date:	
Time Stamp:	12:02:53
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wit		no			
File Listing	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Assignee showing of ownership per 37 CFR 3.73	373cStmt.pdf	1875981 11d871e8b63dbdea2eaee5f19d08a7271ab 2fd38	no	3

Information	:				
			135599		
2	Power of Attorney	UTC_USPOA_166905.pdf	bddae766cf8b80d5ccae20f78254b4db6ac 208ea	no	1
Warnings:					
Information					
		Total Files Size (in bytes)	20	11580	
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new international an international stage	ledgement Receipt evidences receip d by the applicant, and including pages described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applican nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application un bmission to enter the national stage nd other applicable requirements a F ge submission under 35 U.S.C. 371 with tional Application Filed with the USP rnational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/Re urity, and the date shown on this Ack on.	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>PTO as a Receiving Office</u> and the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filin course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du ion includes the nece of the International <i>i</i> course, subject to pres	of receipt s of date (see shown on th the condition application e course. ssary comp Application scriptions co	a 37 CFR as a ons of 35 as a onents for Number oncerning

PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0051-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number of the paper of the
Applicant/Patent Owner: United Therapeutics Corporation Atty. Dkt. No. 080618-1916
Applicant/Patent Owner:
THE TREPROSTINIL ADMINISTRATION BY INHALATION
United Therapeutics Corporation , a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):
1. 🗹 The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.
There are unspecified percentages of ownership. The other parties, including inventors, who together own the entir right, title and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entripht, title, and interest.
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entity right, title, and interest.
4. The recipient, via a court proceeding or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023223, Frame 0716, or for which a copy thereof is attached.
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		STATEME	NT UNDER 37 CFR 3.73(c)	
3. From:			То:	
	The docum	ent was recorded in the U	United States Patent and Tradema	ark Office at
	Reel	, Frame	, or for which a copy there	of is attached.
4. From:			To:	
	The docum	ent was recorded in the L	United States Patent and Tradema	ark Office at
	Reel	, Frame	, or for which a copy there	of is attached.
5. From:			To:	
			United States Patent and Tradema	
	Reel	, Frame	, or for which a copy there	of is attached.
6. From:			To:	
	The docum	ent was recorded in the L	Jnited States Patent and Tradema	ark Office at
	Reel	, Frame	, or for which a copy there	of is attached.
Additi	onal documer	nts in the chain of title are	listed on a supplemental sheet(s	).
			nentary evidence of the chain of ti ted for recordation pursuant to 37	
				<li>i)) must be submitted to Assignment rds of the USPTO. See MPEP 302.08]</li>
The undersigne	d (whose title	is supplied below) is auth	norized to act on behalf of the ass	ignee.
/Stephen B	. Maebius/			2/5/2020
Signature				Date
Stephen I		us		35,264
Printed or Type	d Name			Title or Registration Number

[Page 2 of 2]

## Privacy Act Statement

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:Horst OLSCHEWSKITitle:TREPROSTINIL ADMINISTRATION BY INHALATIONPrior Appl. No.:16/536,954Prior Appl. Filing<br/>Date:8/9/2019Examiner:UnassignedArt Unit:Unassigned

### <u>CONTINUING PATENT APPLICATION</u> <u>TRANSMITTAL LETTER</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a:

[X] Continuation [] Division [] Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

[] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

[X] Description, Claims, and Abstract (31 pages).

[X] Drawings (12 sheets, Figures 1-12).

- [X] Executed Declarations/Substitute Statements from prior application (13 pages).
- [X] Power of Attorney (1 pages).
- [X] Information Disclosure Statement, Form PTO-SB08.
- [X] Application Data Sheet (37 CFR 1.76).
- [X] PTO/SB/424 Request for Prioritized Examination.

The adjustment to the number of sheets for EFS-Web filing follows:

Number of Sheets		EFS-Web Adjustment	Number of Sheets for EFS-Web
43	Х	75%	33

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	Filed		in ic Fee						Totals
Basic Filing							\$300.00	=	\$300.00
Fee									
Search Fee							\$660.00		\$660.00
Examination							\$760.00		\$760.00
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Sequence									\$0.00
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The filing fee is calculated below at the large entity rate:

The above-identified fees of \$5,860.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any fees required to secure a filing date and maintain pendency of this application to Deposit Account No. 19-0741.

Respectfully submitted,

Date Jan. 31, 2020

By /Stephen B. Maebius/

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### **TREPROSTINIL ADMINISTRATION BY INHALATION**

#### CROSS REFERENCE TO RELATED APPLICATIONS

**[0001]** The present application is a Continuation of U.S. Application No. 16/536,954, filed August 9, 2019, which is a Continuation of U.S. Application No. 15/011,999, filed February 1, 2016, which is a Divisional of U.S. Application No. 13/469,854, filed May 11, 2012, Divisional of U.S. Application No. 12/591,200, filed November 12, 2009, which is a Continuation of U.S. Application No. 11/748,205, filed May 14, 2007, which claims priority to US provisional application No. 60/800,016 filed May 15, 2006, which are incorporated herein by reference in their entirety.

#### FIELD OF THE INVENTION

**[0002]** The present application relates to methods and kits for therapeutic treatment and, more particularly, to therapeutic methods involving administering treprostinil using a metered dose inhaler and related kits.

#### BACKGROUND OF THE INVENTION

**[0003]** All blood is driven through the lungs via the pulmonary circulation in order, among other things, to replenish the oxygen which it dispenses in its passage around the rest of the body via the systemic circulation. The flow through both circulations is in normal circumstances equal, but the resistance offered to it in the pulmonary circulation is generally much less than that of the systemic circulation. When the resistance to pulmonary blood flow increases, the pressure in the circulation is greater for any particular flow. The above described condition is referred to as pulmonary hypertension (PH). Generally, pulmonary hypertension is defined through observations of pressures above the normal range pertaining in the majority of people residing at the same altitude and engaged in similar activities.

**[0004]** Pulmonary hypertension may occur due to various reasons and the different entities of pulmonary hypertension were classified based on clinical and pathological

grounds in 5 categories according to the latest WHO convention, see e.g. Simonneau G., et al. J. Am. Coll. Cardiol. 2004; 43(12 Suppl S):5S-12S. Pulmonary hypertension can be a manifestation of an obvious or explicable increase in resistance, such as obstruction to blood flow by pulmonary emboli, malfunction of the heart's valves or muscle in handling blood after its passage through the lungs, diminution in pulmonary vessel caliber as a reflex response to alveolar hypoxia due to lung diseases or high altitude, or a mismatch of vascular capacity and essential blood flow, such as shunting of blood in congenital abnormalities or surgical removal of lung tissue. In addition, certain infectious diseases, such as HIV and liver diseases with portal hypertension may cause pulmonary hypertension. Autoimmune disorders, such as collagen vascular diseases, also often lead to pulmonary vascular narrowing and contribute to a significant number of pulmonary hypertension patients. The cases of pulmonary hypertension remain where the cause of the increased resistance is as yet inexplicable are defined as idiopathic (primary) pulmonary hypertension (iPAH) and are diagnosed by and after exclusion of the causes of secondary pulmonary hypertension and are in the majority of cases related to a genetic mutation in the bone morphogenetic protein receptor-2 gene. The cases of idiopathic pulmonary arterial hypertension tend to comprise a recognizable entity of about 40% of patients cared for in large specialized pulmonary hypertension centers. Approximately 65% of the most commonly afflicted are female and young adults, though it has occurred in children and patients over 50. Life expectancy from the time of diagnosis is short without specific treatment, about 3 to 5 years, though occasional reports of spontaneous remission and longer survival are to be expected given the nature of the diagnostic process. Generally, however, disease progress is inexorable via syncope and right heart failure and death is quite often sudden.

**[0005]** Pulmonary hypertension refers to a condition associated with an elevation of pulmonary arterial pressure (PAP) over normal levels. In humans, a typical mean PAP is approximately 12-15 mm Hg. Pulmonary hypertension, on the other hand, can be defined as mean PAP above 25mmHg, assessed by right heart catheter measurement. Pulmonary arterial pressure may reach systemic pressure levels or even exceed these in severe forms of pulmonary hypertension. When the PAP

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markedly increases due to pulmonary venous congestion, i.e. in left heart failure or valve dysfunction, plasma can escape from the capillaries into the lung interstitium and alveoli. Fluid buildup in the lung (pulmonary edema) can result, with an associated decrease in lung function that can in some cases be fatal. Pulmonary edema, however, is not a feature of even severe pulmonary hypertension due to pulmonary vascular changes in all other entities of this disease.

**[0006]** Pulmonary hypertension may either be acute or chronic. Acute pulmonary hypertension is often a potentially reversible phenomenon generally attributable to constriction of the smooth muscle of the pulmonary blood vessels, which may be triggered by such conditions as hypoxia (as in high-altitude sickness), acidosis, inflammation, or pulmonary embolism. Chronic pulmonary hypertension is characterized by major structural changes in the pulmonary vasculature, which result in a decreased cross-sectional area of the pulmonary blood vessels. This may be caused by, for example, chronic hypoxia, thromboembolism, collagen vascular diseases, pulmonary hypercirculation due to left-to-right shunt, HIV infection, portal hypertension or a combination of genetic mutation and unknown causes as in idiopathic pulmonary arterial hypertension.

**[0007]** Pulmonary hypertension has been implicated in several life-threatening clinical conditions, such as adult respiratory distress syndrome ("ARDS") and persistent pulmonary hypertension of the newborn ("PPHN"). Zapol et al., Acute Respiratory Failure, p. 241-273, Marcel Dekker, New York (1985); Peckham, J. Ped. 93:1005 (1978). PPHN, a disorder that primarily affects full-term infants, is characterized by elevated pulmonary vascular resistance, pulmonary arterial hypertension, and right-to-left shunting of blood through the patent ductus arteriosus and foramen ovale of the newborn's heart. Mortality rates range from 12-50%. Fox, Pediatrics 59:205 (1977); Dworetz, Pediatrics 84:1 (1989). Pulmonary hypertension may also ultimately result in a potentially fatal heart condition known as "cor pulmonale," or pulmonary heart disease. Fishman, "Pulmonary Diseases and Disorders" 2<sup>nd</sup> Ed., McGraw-Hill, New York (1988).

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**[0008]** Currently, there is no treatment for pulmonary hypertension that can be administered using a compact inhalation device, such as a metered dose inhaler.

## SUMMARY OF THE INVENTION

**[0009]** One embodiment is a method of delivering to a subject in need thereof a therapeutically effective amount of treprostinil, or treprostinil derivative or a pharmaceutically acceptable salt thereof comprising administering to the subject a therapeutically effective amount of the treprostinil or treprostinil derivative or a pharmaceutically acceptable salt thereof using a metered dose inhaler.

**[0010]** Another embodiment is a method for treating pulmonary hypertension comprising administering to a subject in need thereof treprostinil or its derivative, or a pharmaceutically acceptable salt thereof using a metered dose inhaler.

**[0011]** Yet another embodiment is a kit comprising a metered dose inhaler containing a pharmaceutical formulation comprising treprostinil or treprostinil derivative, or a pharmaceutically acceptable salt thereof.

**[0012]** And yet another embodiment is a kit for treating pulmonary hypertension in a subject, comprising (i) an effective amount of treprostinil or its derivative, or a pharmaceutically acceptable salt thereof; (ii) a metered dose inhaler; (iii) instructions for use in treating pulmonary hypertension.

**[0013]** Administration of treprostinil using a metered dose inhaler can provide patients, such as pulmonary hypertension patients, with a high degree of autonomy.

## BRIEF DESCRIPTION OF THE DRAWINGS

**[0014]** FIGURE 1 pulmonary and systemic changes in hemodynamics following the inhalation of placebo (open circles), 30µg treprostinil (triangles), 45µg treprostinil (squares) or 60µg TREprostinil (black circles) applied by a Metered Dose Inhaler (MDI-TRE). A single short inhalation of treprostinil induced sustained reduction of PAP and PVR that outlasted the observation period of 120 minutes at doses of 45 and 60µg MDI-TRE. Systemic arterial pressure and resistance were not significantly

affected. PAP = mean pulmonary artery pressure; PVR = pulmonary vascular resistance; SAP = mean systemic arterial pressure; SVR = systemic vascular resistance. Data are given as mean value  $\pm$  standard error of the mean (SEM).

**[0015]** FIG. 2 presents hemodynamic changes induced by the inhalation of placebo (open circles),  $30\mu g$  treprostinil (triangles),  $45\mu g$  treprostinil (squares) or  $60\mu g$  treprostinil (black circles) applied by a metered dose inhaler. Treprostinil induced sustained elevation of cardiac output. Heart rate was rather unchanged as a sign for low spillover of MDI-TRE to the systemic circulation. Gas exchange was not negatively affected. CO = cardiac output; HR = heart rate; SaO2 = arterial oxygen saturation; SvO2 = central venous oxygen saturation. Data are given as mean value  $\pm$  SEM.

**[0016]** FIG. 3 shows areas under the curve for changes in pulmonary vascular resistance (PVR) calculated for an observation period of 120 minutes after inhalation treprostinil using a metered dose inhaler. PVR was markedly lowered by treprostinil inhalation. The increased pulmonary vasodilation over time with the two highest doses mainly relies on the more sustained effect over time. Data are shown as mean value  $\pm$  95% confidence intervals.

**[0017]** FIG. 4 demonstrates Ventilation-perfusion matching measured with the multiple inert gas elimination technique. Five patients ( $30\mu$ g TRE, n=2;  $45\mu$ g TRE, n=1;  $60\mu$ g TRE, n=2) with pre-existing gas exchange problems were investigated for changes in ventilation-perfusion ratios. All patients had significant shunt flow at baseline. Shunt-flow and low V/Q areas were not significantly changed by nitric oxide (NO) inhalation or treprostinil inhalation using a metered dose inhaler (MDI-TRE). MDI-TRE applied at high treprostinil concentrations did not negatively affect ventilation-perfusion matching and gas-exchange. Data are given as mean value  $\pm$  95% confidence intervals.

**[0018]** FIG. 5 presents response of pulmonary vascular resistance (PVR) to inhaled treprostinil vs. iloprost - period effects. a) First inhalation with treprostinil (n=22) vs. first inhalation with iloprost (n=22); b) second inhalation with treprostinil (n=22) vs.

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second inhalation with iloprost (n=22). The PVR decrease with treprostinil was delayed and prolonged, compared to iloprost. Due to carryover effects from the first period, in the second period, the effects of both drugs appeared shortened. Data are shown as percent of baseline values (mean value  $\pm$  95% confidence interval).

**[0019]** FIG. 6 presents response of PVR and systemic arterial pressure (SAP) to inhalation of treprostinil vs. iloprost – dose effects. a) Inhalation of 7.5  $\mu$ g iloprost (in 6 min) vs. 7.5  $\mu$ g treprostinil (6 min) (n=14, in a randomized order). b) Inhalation of 7.5  $\mu$ g iloprost (6 min) vs. 15  $\mu$ g treprostinil (6 min) (n=14, in randomized order). c) Inhalation of 7.5  $\mu$ g iloprost (6 min) vs. 15  $\mu$ g treprostinil (3 min) (n=16, in randomized order). Data are shown as percent of baseline values (mean ± 95% confidence interval). Iloprost, filled circles; Treprostinil, open triangles.

**[0020]** FIG. 7 presents hemodynamic response to inhalation of treprostinil vs. iloprost. Data from n=44 patients, who inhaled both drugs in randomized order, shown as percent of baseline values (mean value  $\pm$  95% confidence interval). PVR, pulmonary vascular resistance; PAP, mean pulmonary arterial pressure; SAP, mean systemic arterial pressure; CO, cardiac output.

**[0021]** FIG. 8 presents pharmacodynamics after treprostinil inhalation vs. placebo. Placebo or treprostinil in doses of  $30\mu g$ ,  $60\mu g$  or  $90\mu g$  were inhaled (means  $\pm 95\%$  confidence intervals). Maximal decrease of PVR was comparable for all doses. The duration of pulmonary vasodilation (PVR-decrease) appeared to be dose dependent. PVR, pulmonary vascular resistance; PAP, mean pulmonary arterial pressure; SAP, mean systemic arterial pressure; CO, cardiac output; SaO2, arterial oxygen saturation; SvO2, mixed venous oxygen saturation.

**[0022]** FIG. 9 presents Areas Between the placebo and the treprostinil Curves (ABC). ABCs were calculated for a 3-hour period after inhalation of TRE or placebo from the relative changes of hemodynamic parameters (means  $\pm$  95 % confidence intervals). PVR, pulmonary vascular resistance; PAP, mean pulmonary arterial pressure; SAP, mean systemic arterial pressure; SVR, systemic vascular resistance.

**[0023]** FIG. 10 presents hemodynamic responses to the inhalation of 15µg treprostinil. The inhalation time by increasing treprostinil concentration. A pulse of aerosol was generated every 6 seconds. TRE aerosol was inhaled in concentrations of  $100\mu$ g/ml (18 pulses; n=6),  $200\mu$ g/ml (9 pulses; n=6),  $600\mu$ g/ml (3 pulses; n=21),  $1000\mu$ g/ml (2 pulses; n=7) and  $2000\mu$ g/ml (1 pulse; n=8). Placebo data correspond to Figure 8. Data are shown as means  $\pm$  95 % confidence intervals. PVR, pulmonary vascular resistance; PAP, mean pulmonary arterial pressure; SAP, mean systemic arterial pressure; CO, cardiac output.

**[0024]** FIG. 11 presents areas between the placebo curve and the responses to  $15\mu g$  treprostinil applied at increasing concentrations to minimize inhalation time. Mean  $\pm$  SEM of relative changes of hemodynamic parameters (observation time 120 min). PAP, pulmonary arterial pressure, SAP, systemic arterial pressure, PVR, pulmonary vascular resistance, CO, cardiac output, SaO2, systemic arterial oxygen saturation, SvO2, pulmonary arterial oxygen saturation.

**[0025]** FIG. 12 presents pharmacokinetics of treprostinil after a single inhalation. Treprostinil plasma levels after inhalation of  $30\mu g$ ,  $60\mu g$ ,  $90\mu g$  or  $120\mu g$  treprostinil (6 min inhalation period; experiments correspond to those shown in figure 8 and 9). Data with error bars represent mean values  $\pm$  SEM.

#### DETAILED DESCRIPTION OF THE INVENTION

**[0026]** Unless otherwise specified, the term "a" or "an" used herein shall mean "one or more."

[0027] The present application incorporates herein by reference in its entirety Voswinckel R, *et al.* J. Am. Coll. Cardiol. 2006; 48:1672-1681.

**[0028]** The inventors discovered that a therapeutically effective dose of treprostinil can be administered in a few single inhalations using a compact inhalation device, such as a metered dose inhaler. Furthermore, the inventors discovered that such administering does not cause significant side effects, especially no significant side effects related to systemic blood pressure and circulation as well as no gas exchange deteriorations or disruptions.

**[0029]** Accordingly, one embodiment of the invention is a method of delivering to a subject in need thereof, such as a human being, a therapeutically effective amount of treprostinil comprising administering to the subject a formulation comprising a therapeutically effective amount of treprostinil, its derivative or a pharmaceutically acceptable salt thereof using a metered dose inhaler. Treprostinil can be administered via a metered dose inhaler to a subject affected with a condition or disease, which can be treated by treprostinil, such as asthma, pulmonary hypertension, peripheral vascular disease or pulmonary fibrosis.

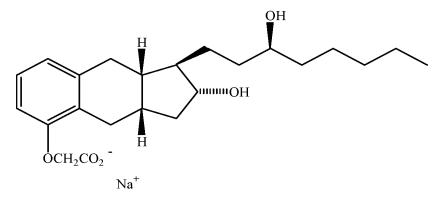
**[0030]** Another embodiment of the invention is a method for treating pulmonary hypertension, comprising administering to a subject in need thereof, such as a human being, treprostinil or its derivative, or a pharmaceutically acceptable salt using a metered dose inhaler.

[0031] Treprostinil, or 9-deoxy-2',9-alpha-methano-3-oxa-4,5,6-trinor-3,7-(1'3'interphenylene)-13,14-dihydro-prostaglandin F1, is a prostacyclin analogue, first described in US patent 4,306,075. US Patent No. 5,153,222 describes use of treprostinil for treatment of pulmonary hypertension. Treprostinil is approved for the intravenous as well as subcutaneous route, the latter avoiding septic events associated with continuous intravenous catheters. US patents Nos. 6,521,212 and 6,756,033 describe administration of treprostinil by inhalation for treatment of pulmonary hypertension, peripheral vascular disease and other diseases and conditions. US patent No. 6,803,386 discloses administration of treprostinil for treating cancer such as lung, liver, brain, pancreatic, kidney, prostate, breast, colon and head-neck cancer. US patent application publication No. 2005/0165111 discloses treprostinil treatment of ischemic lesions. US patent No. 7,199,157 discloses that treprostinil treatment improves kidney functions. US patent application publication No. 2005/0282903 discloses treprostinil treatment of neuropathic foot ulcers. US provisional application No. 60/900,320 filed February 9, 2007, discloses treprostinil treatment of pulmonary fibrosis.

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**[0032]** The term "acid derivative" is used herein to describe C1-4 alkyl esters and amides, including amides wherein the nitrogen is optionally substituted by one or two C1-4 alkyl groups.

**[0033]** The present invention also encompasses methods of using Treprostinil or its derivatives, or pharmaceutically acceptable salts thereof. In one embodiment, a method uses Treprostinil sodium, currently marketed under the trade name of REMODULIN<sup>®</sup>. The FDA has approved Treprostinil sodium for the treatment of pulmonary arterial hypertension by injection of dose concentrations of 1.0 mg/mL, 2.5 mg/mL, 5.0 mg/mL and 10.0 mg/mL. The chemical structure formula for Treprostinil sodium is:



**[0034]** Treprostinil sodium is sometimes designated by the chemical names: (a)  $[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]acetic acid; or (b) 9-deoxy-2',9-<math>\alpha$ -methano-3-oxa-4,5,6-trinor-3,7-(1',3'-interphenylene)-13,14-dihydro-prostaglandin F<sub>1</sub>. Treprostinil sodium is also known as: UT-15; LRX-15; 15AU81; UNIPROST<sup>TM</sup>; BW A15AU; and U-62,840. The molecular weight of Treprostinil sodium is 390.52, and its empirical formula is C<sub>23</sub>H<sub>34</sub>O<sub>5</sub>.

**[0035]** In certain embodiments, treprostinil can be administered in combination with one or more additional active agents. In some embodiments, such one or more additional active agents can be also administered together with treprostinil using a metered dose inhaler. Yet in some embodiments, such one or more additional active agents can be administered separately from treprostinil. Particular additional active

agents that can be administered in combination with treprostinil may depend on a particular disease or condition for treatment or prevention of which treprostinil is administered. In some cases, the additional active agent can be a cardiovascular agent such as a calcium channel blocker, a phosphodiesterase inhibitor, an endothelial antagonist, or an antiplatelet agent.

**[0036]** The present invention extends to methods of using physiologically acceptable salts of Treprostinil, as well as non-physiologically acceptable salts of Treprostinil that may be used in the preparation of the pharmacologically active compounds of the invention.

The term "pharmaceutically acceptable salt" refers to a salt of Treprostinil [0037] with an inorganic base, organic base, inorganic acid, organic acid, or basic or acidic amino acid. Salts of inorganic bases can be, for example, salts of alkali metals such as sodium or potassium; alkaline earth metals such as calcium and magnesium or aluminum; and ammonia. Salts of organic bases can be, for example, salts trimethylamine, triethylamine, pyridine, picoline, ethanolamine, diethanolamine, and triethanolamine. Salts of inorganic acids can be, for example, salts of hydrochloric acid, hydroboric acid, nitric acid, sulfuric acid, and phosphoric acid. Salts of organic acids can be, for example, salts of formic acid, acetic acid, trifluoroacetic acid, fumaric acid, oxalic acid, lactic acid, tartaric acid, maleic acid, citric acid, succinic acid, malic acid, methanesulfonic acid, benzenesulfonic acid, and p-toluenesulfonic acid. Salts of basic amino acids can be, for example, salts of arginine, lysine and ornithine. Salts of acidic amino acids can include, for example, salts of aspartic acid and glutamic acid. Quaternary ammonium salts can be formed, for example, by reaction with lower alkyl halides, such as methyl, ethyl, propyl, and butyl chlorides, bromides, and iodides, with dialkyl sulphates, with long chain halides, such as decyl, lauryl, myristyl, and stearyl chlorides, bromides, and iodides, and with aralkyl halides, such as benzyl and phenethyl bromides.

**[0038]** Preferred pharmaceutically acceptable salts are disclosed, for example, in US patent application publication No. 20050085540.

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**[0039]** Treprostinil can be administered by inhalation, which in the present context refers to the delivery of the active ingredient or a combination of active ingredients through a respiratory passage, wherein the subject in need of the active ingredient(s) through the subject's airways, such as the subject's nose or mouth.

**[0040]** A metered dose inhaler in the present context means a device capable of delivering a metered or bolus dose of respiratory drug, such as treprostinil, to the lungs. One example of the inhalation device can be a pressurized metered dose inhaler, a device which produces the aerosol clouds for inhalation from solutions and/or suspensions of respiratory drugs in chlorofluorocarbon (CFC) and/or hydrofluoroalkane (HFA) solutions.

**[0041]** The inhalation device can be also a dry powder inhaler. In such case, the respiratory drug is inhaled in solid formulation, usually in the form of a powder with particle size less than 10 micrometers in diameter or less than 5 micrometers in diameter.

[0042] The metered dose inhaler can be a soft mist inhaler (SMI), in which the aerosol cloud containing a respiratory drug can be generated by passing a solution containing the respiratory drug through a nozzle or series of nozzles. The aerosol generation can be achieved in SMI, for example, by mechanical, electromechanical or thermomechanical process. Examples of soft mist inhalers include the Respimat<sup>®</sup> Inhaler (Boeringer Ingelheim GmbH), the AERx<sup>®</sup> Inhaler (Aradigm Corp.), the Mystic<sup>TM</sup> Inhaler (Ventaira Pharmaceuticals, Inc) and the Aira<sup>TM</sup> Inhaler (Chrysalis Technologies Incorporated). For a review of soft mist inhaler technology, see e.g. M. Hindle, The Drug Delivery Companies Report, Autumn/Winter 2004, pp. 31-34. The aerosol for SMI can be generated from a solution of the respiratory drug further containing pharmaceutically acceptable excipients. In the present case, the respiratory drug is treprostinil, its derivative or a pharmaceutically acceptable salt thereof, which can be formulated in SMI is as a solution. The solution can be, for example, a solution of treprostinil in water, ethanol or a mixture thereof. Preferably, the diameter of the treprostinil-containing aerosol particles is less than about 10 microns, or less than about 5 microns, or less than about 4 microns.

**[0043]** Treprostinil concentration in an aerosolable formulation, such as a solution, used in a metered dose inhaler can range from about 500  $\mu$ g/ml to about 2500  $\mu$ g/ml, or from about 800  $\mu$ g/ml to about 2200  $\mu$ g/ml, or from about 1000  $\mu$ g/ml to about 2000  $\mu$ g/ml.

**[0044]** The dose of treprostinil that can be administered using a metered dose inhaler in a single event can be from about 15 μg to about 100 μg or from about 15μg to about 90 μg or from about 30 μg to about 90 μg or from about 30 μg to about 60μg.

**[0045]** Administering of treprostinil in a single event can be carried out in a limited number of breaths by a patient. For example, treprostinil can be administered in 20 breaths or less, or in 10 breaths or less, or than 5 breaths or less. Preferably, treprostinil is administered in 3, 2 or 1 breaths.

**[0046]** The total time of a single administering event can be less than 5 minutes, or less than 1 minute, or less than 30 seconds.

**[0047]** Treprostinil can be administered a single time per day or several times per day.

**[0048]** In some embodiments, the method of treatment of pulmonary hypertension can further comprise administering at least one supplementary agent selected from the group consisting of sildenafil, tadalafil, calcium channel blockers (diltiazem, amlodipine, nifedipine), bosentan, sitaxsentan, ambrisentan, and pharmaceutically acceptable salts thereof. In some embodiments, the supplementary agents can be included in the treprostinil formulation and, thus, can be administered simultaneously with treprostinil using a metered dose inhaler. In some embodiments, the supplementary agents can be administered separately from treprostinil. In some embodiments, the application of intravenous prostacyclin (flolan), intravenous iloprost or intravenous or subcutaneous treprostinil can be administered in addition to treprostinil administered via inhalation using a metered dose inhaler.

**[0049]** The present invention also provides a kit that includes a metered dose inhaler containing a pharmaceutical formulation comprising treprostinil or its derivative, or a pharmaceutically acceptable salt thereof. Such a kit can further include instructions on how to use the metered dose inhaler for inhaling treprostinil. Such instructions can include, for example, information on how to coordinate patient's breathing, and actuation of the inhaler. The kit can be used by a subject, such as human being, affected with a disease or condition that can be treated by treprostinil, such as asthma, pulmonary hypertension, peripheral vascular disease or pulmonary fibrosis.

**[0050]** In some cases, the kit is a kit for treating pulmonary hypertension, that includes (i) a metered dose inhaler containing a pharmaceutical formulation comprising treprostinil or its derivative, or a pharmaceutically acceptable salt thereof; and (ii) instructions for use of the metered dose inhaler containing treprostinil in treating pulmonary hypertension.

**[0051]** As used herein, the phrase "instructions for use" shall mean any FDAmandated labeling, instructions, or package inserts that relate to the administration of Treprostinil or its derivatives, or pharmaceutically acceptable salts thereof, for treatment of pulmonary hypertension by inhalation. For example, instructions for use may include, but are not limited to, indications for pulmonary hypertension, identification of specific symptoms associated with pulmonary hypertension, that can be ameliorated by Treprostinil, recommended dosage amounts for subjects suffering from pulmonary hypertension and instructions on coordination of individual's breathing and actuation of the metered dose inhaler.

**[0052]** The present invention can be illustrated in more detail by the following example, however, it should be understood that the present invention is not limited thereto.

#### EXAMPLE 1

## OPEN LABEL STUDY UPON ACUTE SAFETY, TOLERABILITY AND HEMODYNAMIC EFFECTS OF INHALED TREPROSTINIL DELIVERED IN SECONDS.

**[0053]** A study was conducted of acute vasodilator challenge during right heart catheter investigation to determine the safety, tolerability and pulmonary vasodilatory potency of inhaled treprostinil applied in seconds by a soft mist inhaler (SMI-TRE). The study produced evidence for a long lasting favourable effect of SMI-TRE on pulmonary hemodynamics in absence of systemic side effects and gas exchange disruptions.

#### Summary:

**[0054]** Inhaled nitric oxide (20 ppm; n=45) and inhaled treprostinil sodium (TRE; n=41) or placebo (n=4) were applied once during right heart catheter investigation. TRE was delivered in 2 breaths ( $1000\mu g/ml$  aerosol concentration;  $30\mu g$  dose; n=12), 3 breaths (1000µg/ml; 45µg; n=9) or 2 breaths (2000µg/ml; 60µg; n=20) from a Respinat<sup>®</sup> SMI. Pulmonary hemodynamics and blood gases were measured at defined time points, observation time following TRE application was 120 minutes. TRE doses of 30µg, 45µg and 60µg reduced pulmonary vascular resistance (PVR) to  $84.4 \pm 8.7$  %,  $71.4 \pm 17.5$  % and  $77.5 \pm 7.2$  % of baseline values, respectively (mean  $\pm$  95% confidence interval). The 120 minute area under the curve for PVR for placebo,  $30\mu g$ ,  $45\mu g$  and  $60\mu g$  TRE was  $1230 \pm 1310$ ,  $-870 \pm 940$ ,  $-2450 \pm 2070$  and - $2000 \pm 900 \text{ min }\%$ , respectively. Reduction of PVR by a single inhalation of the two higher doses outlasted the observation period of 120 minutes. Reduction of systemic vascular resistance and pressure was negligible, showing a high pulmonary selectivity for SMI-TRE. Intrapulmonary selectivity was also provided by SMI-TRE as ventilation/perfusion matching, assessed by the multiple inert gas elimination technique in 5 patients with gas exchange problems, was not significantly different after SMI-TRE compared to inhaled nitric oxide or no treatment. No significant side effects were observed.

**[0055]** Conclusions: The acute application of inhaled treprostinil with a metered dose inhaler in 2-3 breaths was safe, well tolerated and induced a strong and sustained pulmonary selective vasodilation.

#### Methods and Patients

**[0056]** A total number of 45 patients with moderate to severe precapillary pulmonary hypertension were enrolled. Patient characteristics were: female to male ratio (f/m) = 29/16, age  $59 \pm 2.3$  years, pulmonary artery pressure (PAP)  $45 \pm 1.8$  mmHg, pulmonary vascular resistance (PVR)  $743 \pm 52$  dynes s cm<sup>-5</sup>, pulmonary artery wedge pressure (PAWP)  $8.6 \pm 0.5$  mmHg, central venous pressure (CVP)  $6.4 \pm 0.7$  mmHg, cardiac output (CO)  $4.5 \pm 0.2$  l/min, central venous oxygen saturation (SvO2)  $62.3 \pm 1.2$  mmHg (mean  $\pm$  Standard Error of the Mean). Disease etiologies were idiopathic PAH (iPAH) (n=13), PAH other (n=11), chronic thromboembolic pulmonary hypertension (CTEPH) (n=17) and pulmonary fibrosis (n=4). Table 1 presents the patient characteristics of the different groups.

#### Table 1.

[0057] Patient characteristics of the different treatment groups. Data are given as mean  $\pm$  Standard Error of the Mean (SEM). PAP = pulmonary artery pressure; PVR = pulmonary vascular resistance; CO = cardiac output; SAP = systemic arterial pressure; SaO2 = arterial oxygen saturation; SvO2 = central venous oxygen saturation.

	Placebo (n=4)	30µg TRE (n=12)	45µg TRE (n=9)	60μg TRE (n=20)
Age [years]	61 ± 8	$53.9 \pm 3.9$	$54.2 \pm 5.7$	$65.5 \pm 3.1$
PAP [mmHg]	$49.5 \pm 10.1$	45 ± 3.1	54.3 ± 2.8	39.7 ± 2.0
PVR [Dynes]	896 ± 163	597 ±53.9	$1049 \pm 107$	$663\pm81$
CO [l/min]	$4.46 \pm 0.9$	$5.2 \pm 0.4$	$3.9 \pm 0.4$	$4.4 \pm 0.3$
SAP [mmHg]	$98 \pm 8.1$	$90.1 \pm 3.2$	$82.8 \pm 3.9$	$86.1 \pm 2.0$
SaO2 [%]	$85.3 \pm 4.5$	$90.0 \pm 1.1$	89.6 ± 1.1	$90.6 \pm 0.5$
SvO2 [%]	57.5 ±3.9	$66.0 \pm 1.6$	$59.1 \pm 3.4$	$62.5 \pm 1.6$

**[0058]** Baseline values were determined 20-30 minutes after placement of the catheter. Heart rate, pulmonary and systemic blood pressure and cardiac output were measured and blood gases were taken during each pharmacological intervention at defined time points. Pharmacological interventions included the inhalation of 20 ppm nitric oxide (NO) after evaluation of baseline parameters (n=45) and the consecutive inhalation of placebo (n=4),  $30\mu g$  SMI-TRE (n=12),  $45\mu g$  SMI-TRE (n=9) or  $60\mu g$ (n=20) SMI-TRE. Placebo and treprostinil was applied with the Respinat<sup>®</sup> SMI. For filling of this device with treprostinil sodium, the placebo solution was withdrawn from the device with a syringe and treprostinil solution was injected into the device under sterile conditions. Aerosol quality was controlled before and after refilling of the SMI devices by laser diffractometry, see e.g. Gessler T., Schmehl T., Hoeper M.M., Rose F., Ghofrani H.A., Olschewski H. et al. Ultrasonic versus jet nebulization of iloprost in severe pulmonary hypertension. Eur. Respir. J. 2001;17:14-19 incorporated herein in its entirety. The aerosol sizes before (placebo) and after filling (treprostinil) were unchanged. The aerosol particles mass median aerodynamic diameter of treprostinil-aerosol was  $4-5\mu m$ , which can be at the upper limit for alveolar deposition. The aerosol volume delivered by one cycle from the SMI was 15µl. The solution used for aerosol generation was prepared from treprostinil sodium salt using a standard protocol. The SMI was either filled with a concentration of  $1000 \mu g/ml$  treprostinil sodium (one aerosol puff =  $15 \mu g$  TRE) or with  $2000 \mu g/ml$ (one puff =  $30\mu g$  TRE). The different doses were applied as 2 puffs  $1000\mu g/ml$  $(30\mu g)$ , 3 puffs  $1000\mu g/ml$  ( $45\mu g$ ) and 2 puffs  $2000\mu g/ml$  ( $60\mu g$ ). The placebo was inhaled as 2 puffs from a placebo-SMI. Hemodynamics and gas-exchange parameters were recorded for 120 minutes after TRE inhalation. This study used the Respirat®

device, because the implemented "soft mist" technology was well suited for the deposition of such highly active drugs like prostanoids.

**[0059]** The impact of SMI-TRE on ventilation-perfusion matching was assessed in five patients (30µg TRE, n=2; 45µg TRE, n=1; 60µg TRE, n=2) with pre-existing gas exchange problems by use of the multiple inert gas elimination technique (MIGET), see e.g. Wagner PD, Saltzman HA, West JB. Measurement of continuous distributions of ventilation-perfusion ratios: theory. J Appl Physiol. 1974; 36:588-99; Ghofrani HA, Wiedemann R, Rose F, Schermuly RT, Olschewski H, Weissmann N et al. Sildenafil for treatment of lung fibrosis and pulmonary hypertension: a randomised controlled trial. Lancet. 2002;360:895-900, both incorporated herein in their entirety.

#### Statistics:

**[0060]** Mean values, standard deviation, standard error of the mean and 95% confidence intervals were calculated. Statistical analysis was done by use of a paired t-test.

#### Results:

**[0061]** The inhalation of treprostinil sodium from the metered dose inhaler (SMI-TRE) was well tolerated, only mild and transient cough for a maximum of one minute was reported. No systemic side effects like headache, flush, nausea or dizziness were observed.

**[0062]** Two to three breaths of SMI-TRE induced a strong pulmonary vasodilation that outlasted the observation time of 120 minutes (45 and  $60\mu g$ ). The lower dose of  $30\mu g$  TRE induced a somewhat shorter effect on pulmonary vascular resistance; however, the maximal pulmonary vasodilation was comparable. In contrast, placebo inhalation did not induce pulmonary vasodilation. In fact a slight increase in PVR over the time of the right heart catheter investigation could be recorded following placebo inhalation (Figure 1). The effect of SMI-TRE on systemic vascular resistance and pressure was very small and not clinically significant. Cardiac output was significantly increased over the whole observation period, whereas heart rate was

rather unchanged. Gas exchange was not influenced by SMI-TRE (Figure 2). The maximal changes in hemodynamic and gas-exchange parameters compared to baseline values are depicted in Table 2.

Table 2.

**[0063]** Extremes of the relative changes of hemodynamic and gas exchange parameters compared to baseline after inhalation of Placebo (n=4),  $30\mu g$  treprostinil (n=12),  $45\mu g$  treprostinil (n=9) and  $60\mu g$  treprostinil (n=20). Highest (max) and lowest (min) values during the observation period are shown. Data are given as percent of baseline values (mean ± SEM). PAP = pulmonary artery pressure; PVR = pulmonary vascular resistance; SVR = systemic vascular resistance; CO = cardiac output; SAP = systemic arterial pressure; HR = heart rate; SaO2 = arterial oxygen saturation; SvO2 = central venous oxygen saturation.

	Placebo	30µg TRE	45µg TRE	60µg TRE
PAP (min)	$99.4 \pm 3.0$	$83.4 \pm 3.2$	$77.6 \pm 6.8$	$79.5 \pm 2.4$
PVR (min)	$101.4 \pm 1.9$	$84.4\pm4.4$	$71.4 \pm 8.9$	$77.5 \pm 3.7$
CO (max)	$99.7 \pm 1.1$	$108.8 \pm 3.8$	$108.6 \pm 5.6$	$103.8 \pm 2.0$
SVR (min)	$104.3 \pm 4.3$	$97.7 \pm 4.2$	$92 \pm 3.9$	$91.3 \pm 2.1$
SAP (min)	$102.7 \pm 1.7$	$97.3 \pm 1.9$	$96.1 \pm 1.5$	$93.6 \pm 2.9$
HR (max)	$105 \pm 2.1$	$106.1 \pm 2.9$	$99.1 \pm 2.4$	$101.1 \pm 0.9$
SaO2 (min)	$98.2 \pm 0.4$	$101 \pm 0.3$	$94.4 \pm 1.8$	$95.8 \pm 0.9$
SvO2 (max)	$104.5 \pm 1.4$	$102.4 \pm 1.3$	$104.5 \pm 4.4$	$102 \pm 1.0$

**[0064]** The areas under the curve for PVR were calculated for placebo and the different SMI-TRE doses over the 120 minute observation period (figure 3). A dose effect of SMI-TRE with a trend to a more sustained effect with the two highest doses could be observed.

**[0065]** The inhalation of a highly concentrated aerosol can be in theory prone to disturbances of gas exchange because the deposition of even small amounts of aerosol may deliver high doses locally and thereby antagonize the hypoxic pulmonary vasoconstriction in poorly ventilated areas. This would then lead to increased shunt flow or increase of low ventilation/perfusion (V/Q) areas. This question was addressed in five patients with the multiple inert gas elimination technique (MIGET), the gold-standard for intrapulmonary V/Q ratio determination. The MIGET patients

were selected for pre-existing gas exchange limitations. Characteristics of these patients were: PAP 54.6  $\pm$  3.2 mmHg, PVR 892  $\pm$  88 dynes, SaO2 91.7  $\pm$  0.5 %, SvO2 65.2  $\pm$  1.8 %. Etiologies were iPAH (n=1), CTEPH (n=3), pulmonary fibrosis (n=1). The maximal relative reduction of SaO2 after inhalation of SMI-TRE in these patients was -3.8  $\pm$  1.5 % compared to baseline values. Shunt flow at baseline, NOinhalation and 60 minutes after SMI-TRE was 6.4  $\pm$  4.3 %, 5.4  $\pm$  3.0 % and 8.3  $\pm$ 3.4 %, respectively (mean  $\pm$  95% confidence interval; figure 4).

**[0066]** No significant increase in low V/Q areas or shunt fraction after inhalation of SMI-TRE was observed, in fact the distribution of perfusion was not different to that at baseline and during nitric oxide inhalation. This proves an excellent intrapulmonary selectivity of SMI-TRE, which is also reflected by unchanged arterial oxygen saturation.

#### Conclusion:

**[0067]** Treprostinil is tolerated at high doses with no systemic side effects. The application of an effective amount of treprostinil in only few or even one single breath was achieved with a highly concentrated treprostinil sodium solution. Treprostinil can be applied by a metered dose inhaler, such as Respimat<sup>®</sup> soft mist inhaler.

#### EXAMPLE 2

## INVESTIGATION OF THE EFFECTS OF INHALED TREPROSTINIL ON PULMONARY HEMODYNAMICS AND GAS EXCHANGE IN SEVERE PULMONARY HYPERTENSION

**[0068]** This study investigated the effects of inhaled treprostinil on pulmonary vascular resistance in severe pulmonary hypertension and addressed systemic effects and gas exchange as well as tolerability and efficacy of high doses of treprostinil given in short time. A total of 123 patients with a mean pulmonary artery pressure of about 50 mmHg were investigated in three separate randomized studies. Inhaled treprostinil exerted potent sustained pulmonary vasodilation with excellent tolerability and could be safely applied in a few breaths or even one breath.

### Summary:

**[0069]** Three different studies were conducted on a total of 123 patients by means of right heart catheterization: i) a randomized crossover-design study (44 patients), ii) a dose escalation study (31 patients) and iii) a study of reduction of inhalation time while keeping the dose fixed (48 patients). The primary endpoint was the change in pulmonary vascular resistance (PVR).

**[0070]** The mean pulmonary artery pressure of the enrolled patients was about 50 mmHg. Hemodynamics and patient characteristics were similar in all studies. In study i) TRE and Iloprost (ILO), at an inhaled dose of 7.5  $\mu$ g, displayed comparable PVR decrease, with a significantly different time course (p<0.001), TRE exhibiting a more sustained effect on PVR (p<0.0001) and less systemic side effects. In study ii) placebo, 30 $\mu$ g, 60 $\mu$ g, 90 $\mu$ g or 120 $\mu$ g TRE were applied with drug effects being observed for 3 hours after inhalation. A near-maximal acute PVR decrease was observed at 30 $\mu$ g TRE. In study iii) TRE was inhaled with a pulsed ultrasonic nebulizer, mimicking a metered dose inhaler. 15 $\mu$ g TRE was inhaled with 18 pulses (TRE concentration 100 $\mu$ g/ml), 9 pulses (200 $\mu$ g/ml), 3 pulses (600 $\mu$ g/ml), 2 pulses (1000 $\mu$ g/ml) or 1 pulse (2000 $\mu$ g/ml), each mode achieving comparable, sustained pulmonary vasodilation.

[0071] Inhaled treprostinil exerts sustained pulmonary vasodilation with excellent tolerability at doses, which may be inhaled in a few or even one breath. Inhaled treprostinil is advantageous to inhaled iloprost in terms of duration of effect and systemic side effects. Inhaled treprostinil is well tolerated in concentrations up to 2000 mg/ml (bringing down inhalation time to a single breath) and in high doses (up to 90  $\mu$ g).

## Methods:

**[0072]** All inhalations were performed with the OPTINEB® ultrasonic nebulizer (Nebutec, Elsenfeld, Germany).

**[0073]** Study i) was a randomized, open-label, single-blind crossover study. The primary objective was to compare the acute hemodynamic effects and the systemic side effects of inhaled treprostinil with inhaled iloprost at comparable doses. A total number of 44 patients with moderate to severe precapillary pulmonary hypertension were enrolled. Patient characteristics and hemodynamic as well as gas exchange parameters are outlined in Table 3.

#### Table 3

**[0074]** Patient characteristics, hemodynamic parameters and gas exchange values at baseline, before challenge with inhalative prostanoids.

**[0075]** Group 1 corresponds to study i); randomized crossover study comparing inhaled iloprost (ILO) and inhaled treprostinil (TRE). a = 7.5g ILO vs. 7.5µg TRE, b = 7.5g ILO vs. 15µg TRE (6 min inhalation time), c = 7.5g ILO vs. 15µg TRE (3 min inhalation time). Group 2 corresponds to study ii); evaluation of maximal tolerated dose of TRE. a = placebo inhalation, b = 30µg TRE, c = 60µg TRE, d = 90µg TRE, e = 120µg TRE. Group 3 corresponds to study iii); reduction of inhalation time by increase of TRE concentration, aiming at a total inhaled dose of 15µg. a = 18 pulses of 100µg/ml TRE, b = 9 pulses of 200µg/ml TRE, c = 3 pulses of 600µg/ml TRE, d = 2 pulses of 1000µg/ml TRE, e = 1 pulse 2000µg/ml TRE. Etiology of pulmonary hypertension was classified as idiopathic PAH (i), PAH of other causes (o), chronic thromboembolic PH (t), and pulmonary fibrosis (f).

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			Gender	Etiology	PAP	PVR	SAP	CVP	PAWP			
	Z		f/m	i/o/t/f	[mmHg]	[dyn*s*cm <sup>-5</sup> ]	[mmHg]	[mmHg]	[mmHg]	CO [l/min]	SaO2 [%]	SvO2 [%]
8	14		11/3	4/4/2/4	53.8±3.1	911±102	95.4±3.6	7.4±1	8.0±0.8	4.3±0.4	93.8±2	63.9±2.4
Ą	14		10/4	1/6/5/2	47.4±3.8	716±80	90.6±3.3	5.9±1.4	6.4±0.7	4.7±0.4	92±1	64.4±2.3
lc	16	56±2.9 7/9	6/2	6/3/6/1	47.5±4.5	777±102	92±4.5	8,3±1.4	8.6±1.4	4.4±0.5	91.4±0.9	59.8±2.6
2a	8	60.8±4	4/4	2/2/3/1	51.9±4.9	849±152	95.9±4.8	7.6±1.4	11.1±1.7	4.4±0.6	89.6±2.8	60.1±2.8
2b	8	52.8±6.6	6/2	1/3/3/1	49±4	902±189	92.4±2.4	4.8±1.1	7.2±1.3	4.0±0.4	92.4±2.4	62.5±1.7
2c	9	56.8±5.9	4/2	0/2/2/2	44.2±3.5	856±123	96.3±3.9	5±1.1	6±1	3,8±0.3	92.8±1.5	63,6±1.8
2d	9	51.2±3.8	4/2	2/2/2/0	55.5±4.9	$940\pm110$	91.2±8.1	11.2±1.2	$10\pm0.7$	3.9±0.4	92±1.9	62±5.8
2c	3	57.3±9.1	1/2	0/1/0/2	45.3±5.2	769±267	99±3.2	5±2.1	9.0±0	4.5±0.6	94.2±1.3	66.3±1.5
3a	9	52.7±6.6	4/2	2/4/0/0	53.8±6.7	928±145	92.7±7.9	8.7±2.7	8.8±1.3	4.2±0.6	90.4±2.8	64.8±4.3
3b	9	58.3±3.5	4/2	3/1/1/1	54.2±6.1	808±156	94.3±2.8	7±1.4	$10\pm 1.3$	5±0.7	91.9±0.7	63.5±2.9
3c	21	57.4±5.6	8/3	7/7/6/1	46.1±2.5	66∓006	88±2.8	9±1.4	9.2±0.5	3.7±0.3	91.7±0.5	59.7±2
3d	7	55.6±5.8	3/4	0/4/3/0	53.1±7.1	732±123	91.4±5.6	7.9±3.1	8.6±1.3	5±0.4	90.7±1.4	61.3±3.7
ė	8	59±5.2	1/2	0/4/4/0	$45.1\pm3.9$	733±114	92.8±6.8	4.6±0.8	8.1±1.1	4.3±0.2	90.7±0.8	66.3±2.8

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**[0076]** Each patient inhaled both iloprost and treprostinil on the same day during right heart catheter investigation; the drugs were administered consecutively with a one hour interval between the drug applications. One half of the study patients initially inhaled treprostinil and then inhaled iloprost (n=22), while the other half initially inhaled iloprost and then inhaled treprostinil (n=22). Patients were randomized to one of the two groups and blinded as to the study drugs. Drug effects were monitored for 60 minutes after each inhalation. Iloprost was inhaled at 4 µg/ml (6 min inhalation time; n=44) and treprostinil was inhaled at a concentration of 4 µg/ml (6 min inhalation; n=14), 8 µg/ml (6 min inhalation; n=14) or 16 µg/ml (3 min inhalation; n=16). Based on previous biophysical characterization of the ultrasonic device with iloprost- and treprostinil-solution, this corresponds to a total inhaled dose of 7.5µg iloprost and treprostinil (4µg/ml) and 15µg treprostinil (8µg/ml and 16µg/ml), respectively.

**[0077]** Study ii) was a randomized, open-label, single blind, placebo controlled study. The primary objectives were to describe the pharmacodynamic and pharmacokinetic effects of inhaled treprostinil at a well tolerated dose  $(30\mu g)$  and to explore the highest tolerated single dose. A total number of 31 patients inhaled either placebo or treprostinil; each patient received one inhalation. The first 16 patients were randomized to  $30\mu g$  TRE ( $16\mu g/ml$ , n=8) or placebo (stock solution in a concentration corresponding to TRE  $16\mu g/ml$ ). Subsequent patients received  $60\mu g$  TRE ( $32\mu g/ml$ ; n=6),  $90\mu g$  TRE ( $48\mu g/ml$ ; n=6) and  $120\mu g$  TRE ( $64\mu g/ml$ ; n=3). Inhalation time was 6 minutes in all groups. Hemodynamics and gas-exchange as well as arterial treprostinil concentrations were recorded for 180 minutes.

**[0078]** Study iii) was a randomized, open-label, single blind study. The primary objective was to explore the shortest possible inhalation time for a  $15\mu g$  dose of inhaled treprostinil. A total of 48 patients inhaled one dose of TRE during right heart catheter investigation. The drug was applied in 18, 9, 3, 2 or 1 breaths. The aerosol was generated by a pulsed ultrasonic nebulizer (OPTINEB®, Nebutec, Elsenfeld, Germany) in cycles consisting of 2 seconds aerosol production (pulse) and 4 seconds pause. The device included an opto-acoustical trigger for the patient to synchronize

the inspiration to the end of the aerosol pulse, thereby providing exact dosage. The TRE dose of  $15\mu g$  was either generated during 18 cycles (OPTINEB® filled with  $100\mu g/ml$  TRE, n=6), 9 cycles ( $200\mu g/ml$  TRE, n=6), 3 cycles ( $600\mu g/ml$  TRE, n=21), 2 cycles ( $1000\mu g/ml$  TRE, n=7) or 1 cycle ( $2000\mu g/ml$  TRE, n=8). Hemodynamics and gas exchange were recorded for 120 - 180 minutes.

**[0079]** Treprostinil plasma concentrations were assessed in study ii) at 10, 15, 30, 60 and 120 minutes after inhalation. Treprostinil quantification was done by Alta Analytical Laboratory (El Dorado Hills, California, USA) with a validated liquid chromatography atmospheric-pressure ionization tandem mass spectrometry as previously described Wade M., *et al.* J. Clin. Pharmacol. 2004;44:503-9. Mixed venous blood was drawn at the depicted time points (Figure 11) after inhalation, centrifuged and the plasma frozen at -80°C until temperature controlled shipping on dry ice.

#### Statistics:

**[0080]** For statistical analysis of study i) the repeated PVR measurements after inhaled iloprost and treprostinil were subjected to a three-factorial analysis of variance (ANOVA; factors: time (A), drug (B), treprostinil concentration (C)) to avoid multiple testing. The time to maximum PVR decrease after inhalation of iloprost versus treprostinil was compared by paired t-test. Area under the curve (AUC) was calculated from start of inhalation until 60 min after inhalation. Means, standard error of the mean (SEM) and 95% confidence intervals were calculated. For study ii) and iii) areas between curves (ABC) were calculated between placebo inhalation (study ii) and the respective treprostinil inhalation until 180 min (study ii)) and 120 min (study iii)) after end of inhalation.

#### <u>Results:</u>

**[0081]** The inhalation of iloprost as well as treprostinil in study i) resulted in a rapid decrease in PVR and PAP (Figure 5-7). No significant differences were observed for the areas under the curve (AUC) of PVR decrease after inhalation of 7.5  $\mu$ g TRE in 6 minutes (AUC -12.6  $\pm$  7.0 %), 15  $\mu$ g TRE in 6 minutes (AUC -13.3  $\pm$  3.2 %) and 15

 $\mu$ g TRE in 3 minutes (AUC -13.6 ± 4.3 %). The AUC for PVR after the inhalation of 7.5  $\mu$ g iloprost in 6 minutes was  $-7.7 \pm 3.7\%$  (mean  $\pm 95\%$  confidence interval). An overview of the pooled data of treprostinil inhalation as compared to iloprost inhalation is given in Figure 7. The maximum effect of iloprost and treprostinil on PVR was comparable but this effect was reached significantly later after treprostinil inhalation ( $18 \pm 2 \text{ min}$ ) compared to iloprost ( $8 \pm 1 \text{ min}$ ; mean  $\pm \text{SEM}$ , p<0.0001) and lasted considerably longer (after 60 min, PVR values in the treprostinil group had not yet returned to baseline). The increase in cardiac output was less acute but prolonged after treprostinil inhalation. Systemic arterial pressure (SAP) was unaffected by treprostinil inhalation, whereas a transient decrease was observed after iloprost inhalation. Iloprost and treprostinil did not affect gas exchange. Three-factorial ANOVA for PVR demonstrated a significant difference between repeated measurements after inhalation (p(A) < 0.0001), no significant difference between drugs ( $p_B=0.1$ ), no difference between treprostinil concentrations ( $p_{(C)}=0.74$ ) and a significant drug x time interaction ( $p_{(AxB)} < 0.0001$ ). This translates into a significant effect of both drugs on PVR with comparable drug potency but a prolonged drug effect of treprostinil compared to iloprost.

**[0082]** In this study the occasionally observed mild side effects of iloprost inhalation at the given dose (transient flush, headache) were not observed with inhaled treprostinil. Bad taste was reported by most of the patients after inhalation of TRE. This was later found to be attributable to the metacresol preservative contained in the treprostinil solution.

**[0083]** In study ii) pharmacodynamics of inhaled placebo or treprostinil were observed for 180 minutes. Placebo inhalation was followed by a gradual increase in PVR over the entire observation time. Due to reduced patient numbers in the 120 $\mu$ g TRE group (because of side effects, see below), the hemodynamic values for this group were not included in the graphs of this study (Figure 8-9). All TRE doses lead to comparable maximal decreases of PVR to 76.5±4.7% (30 $\mu$ g), 73.7±5.8% (60 $\mu$ g), 73.3±4.3% (90 $\mu$ g) and 65.4±4.1% (120 $\mu$ g) of baseline values. An extended duration of pulmonary vasodilation was noted, surpassing the 3 hour observation period for the

 $60\mu g$  and  $90\mu g$  (and  $120 \mu g$ ) TRE doses, whereas in the 30  $\mu g$  dose group the hemodynamic changes had just returned to baseline within this period. Even at the highest doses, TRE had only minor effects on systemic arterial pressure (Figure 8). Cardiac output was increased to a maximum of  $106.8\pm3.2\%$  ( $30\mu g$ ),  $122.9\pm4.3\%$ ( $60\mu g$ ),  $114.3\pm4.8\%$  ( $90\mu g$ ) and  $111.3\pm3.9\%$  ( $120\mu g$  TRE). The areas between the response curves after placebo versus TRE inhalation were calculated for PVR, PAP, SVR and SAP (Figure 9). Areas between the curves for PVR were not significantly different for  $30\mu g$ ,  $60\mu g$  and  $90\mu g$  TRE, a nearly maximal effect on PVR was already observed with  $30\mu g$  TRE. Effects on PAP and SAP were small and did not show a dose-response relationship. Gas exchange was not affected at doses up to  $90\mu g$  TRE, but arterial oxygen saturation was significantly decreased at a dose of  $120\mu g$  TRE in all 3 patients. Further dose increments were omitted due to this side effect and severe headache in one patient.

**[0084]** Again, bad taste of the TRE aerosol was reported by most patients. Other side effects were flushing (n=1;  $30\mu g$  TRE), mild transient cough (n=3;  $60\mu g$  TRE), mild transient bronchoconstriction that resolved after one inhalation of fenoterol (n=1;  $30\mu g$  TRE), moderate bronchoconstriction that resolved after one inhalation of fenoterol (n=1;  $120\mu g$  TRE), and severe headache (n=1;  $120\mu g$  TRE). The bad taste, the bronchoconstriction and the drop in SaO2 was attributed to metacresol in the original TRE solution. With the use of a metacresol-free solution of TRE (University Hospital Giessen, Germany; produced according to the manufacturer's protocol) in the following study, these side effects did no longer occur.

**[0085]** Study iii) was performed with metacresol-free TRE solution, having no specific taste and smell. A total of 48 patients were enrolled. This study aimed at the reduction of inhalation time and aerosol volume needed for pulmonary drug delivery. A modified OPTINEB® inhalation device was programmed to produce a constant amount of aerosol during repeatable pulses of aerosol generation. With this device, treprostinil could be safely utilized up to a concentration of  $2000\mu$ g/ml without considerable side effects. No relationship of number or type of side effects to TRE

concentration was observed. Reported side effects were mild transient cough (n=6), mild headache (n=2) and mild jaw pain (n=1).

**[0086]** The reduction of PVR and PAP was comparable between all groups (Figure 10). TRE inhalation reduced PVR to  $76.3\pm5.6\%$  (18 pulses,  $100\mu$ g/ml),  $72.9\pm4.9\%$  (9 pulses,  $200\mu$ g/ml),  $71.2\pm6.0\%$  (3 pulses,  $600\mu$ g/ml),  $77.4\pm4.5\%$  (2 pulses,  $1000\mu$ g/ml) and  $80.3\pm5.2\%$  (1 pulse,  $2000\mu$ g/ml). PAP was reduced to  $84.2\pm4.5\%$  (18 pulses,  $100\mu$ g/ml),  $84.2\pm4.1\%$  (9 pulses,  $200\mu$ g/ml),  $81.1\pm4.1\%$  (3 pulses,  $600\mu$ g/ml),  $86\pm4\%$  (2 pulses,  $1000\mu$ g/ml) and  $88\pm5.4\%$  (1 pulse,  $2000\mu$ g/ml). Cardiac output was moderately increased in all groups, whereas systemic arterial pressure was not significantly affected.

**[0087]** The areas between the curves (ABC) for changes in hemodynamic and gasexchange parameters after inhalation of  $15\mu g$  TRE versus placebo were calculated for an observation time of 120 minutes (Figure 11). The ABC for both PVR and PAP was comparable between all groups.

**[0088]** Pharmakokinetic results from study ii): Peak plasma concentrations of treprostinil were found 10-15 minutes after inhalation. Maximal treprostinil plasma concentrations ( $C_{max}$ ) for the 30µg, 60µg, 90µg and 120µg doses were 0.65 ± 0.28 ng/ml (n=4), 1.59 ± 0.17 ng/ml (n=4), 1.74 ng/ml (n=1) and 3.51 ± 1.04 ng/ml (n=2), respectively (mean ± SEM; Figure 12).

### Discussion:

**[0089]** These studies investigated whether i) the acute effects of inhaled treprostinil would be comparable to or possibly advantageous over inhaled iloprost in pulmonary hypertensive patients, ii) the inhaled prostanoid dose might be increased without substantial local or systemic side effects, and iii) if the time of inhalation, which is 6 – 12 minutes for iloprost, could be reduced significantly by increasing the concentration of treprostinil aerosol.

**[0090]** The patient population in these studies included different forms of precapillary pulmonary hypertension. All these patients had a need for therapy of

pulmonary hypertension and reflected the typical population of a pulmonary hypertension center. No major differences in patient characteristics or hemodynamic baseline values existed between the different groups (table 3).

**[0091]** In study i) it was shown that the inhalation of treprostinil and iloprost in similar doses resulted in a comparable maximum pulmonary vasodilatory effect. However, marked differences in the response profile were noted. The onset of the pulmonary vasodilatory effect of inhaled treprostinil was delayed compared to iloprost, but lasted considerably longer, with the PVR decrease continuing beyond the one-hour observation period. Although the average dose of treprostinil inhalation, whereas flush and transient SAP decrease, accompanied by more prominent cardiac output increase, occurred after iloprost inhalation. Such side effects were more prominent than in previous studies with inhaled iloprost. This may have been caused by the fact that the iloprost dose used in this study was 50% higher than the recommended single inhalation dose ( $5\mu$ g) and that the preceding treprostinil inhalation. Surprisingly, with TRE there was no such systemic side effect, although the average effect on PVR was as potent as with iloprost.

**[0092]** This study used a cross-over design in order to minimize the effects of interindividual differences in response to prostanoids. The short observation period of 1 hour was used to avoid an uncomfortably long catheter investigation. As a study limitation, the short observation interval may have caused carryover effects of the first to the second period as suggested by Figure 5. However, this still allowed for the interpretation of the study, that both drugs are potent pulmonary vasodilators and that treprostinil effects are significantly sustained compared to the iloprost effects.

**[0093]** The longer duration of action and the virtual absence of side effects (except the bitter taste of treprostinil aerosol, later attributed to metacresol) encouraged increasing the applied treprostinil dose in study ii). Observation time was extended to 3 hours to obtain precise pharmacodynamic data. Inhaled treprostinil resulted in a strong pulmonary vasodilation that outlasted the observation time of 3 hours when compared to placebo inhalation. Surprisingly, inhaled treprostinil was tolerated in doses up to  $90\mu g$ .

**[0094]** Study iii) successfully demonstrated that the inhalation time could be reduced to literally one single breath of 2000µg/ml treprostinil solution, thereby applying a dose of 15µg. This drug administration with a single breath induced pulmonary vasodilation for longer than 3 hours compared to placebo inhalation. Side effects were minor, of low frequency and not related to drug concentration. It was a surprising finding that such high concentrations of treprostinil were so well tolerated.

### Conclusion:

**[0095]** Inhaled treprostinil can be applied in high doses (up to 90  $\mu$ g) with a minimal inhalation time. Inhaled treprostinil exerts high pulmonary selectivity and leads to a long-lasting pulmonary vasodilation.

**[0096]** Although the foregoing refers to particular preferred embodiments, it will be understood that the present invention is not so limited. It will occur to those of ordinary skill in the art that various modifications may be made to the disclosed embodiments and that such modifications are intended to be within the scope of the present invention.

**[0097]** All of the publications, patent applications and patents cited in this specification are incorporated herein by reference in their entirety.

### WHAT IS CLAIMED IS:

1. A method of treating pulmonary hypertension comprising administering by inhalation to a human suffering from pulmonary hypertension a therapeutically effective single event dose of a formulation comprising treprostinil or a pharmaceutically acceptable salt thereof with an inhalation device, wherein the therapeutically effective single event dose comprises from 15 micrograms to 90 micrograms of treprostinil or a pharmaceutically acceptable salt thereof at thereof with an inhalation device in 1 to 3 breaths.

2. The method of claim 1, wherein the inhalation device is a soft mist inhaler.

3. The method of claim 1, wherein the inhalation device is a pulsed ultrasonic nebulizer.

4. The method of claim 1, wherein the inhalation device is a dry powder inhaler.

5. The method of claim 1, wherein the inhalation device is a pressurized metered dose inhaler.

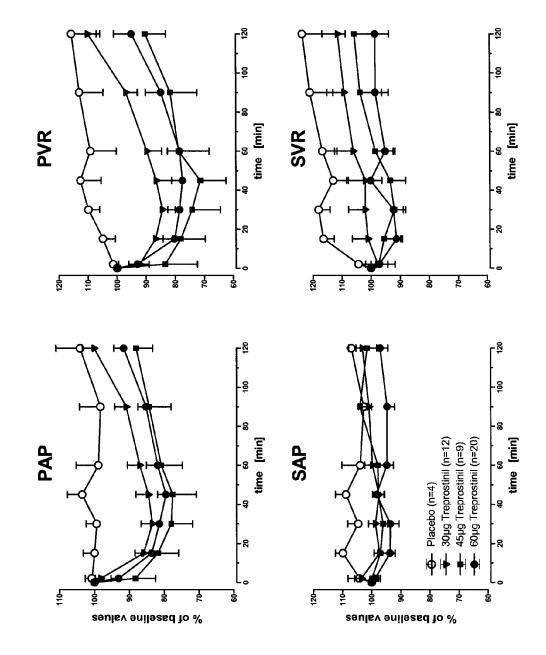
6. The method of claim 4, wherein the formulation is a powder.

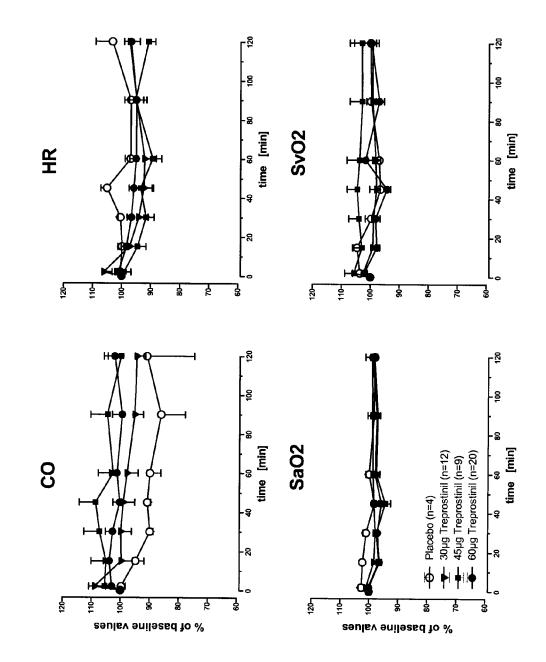
7. The method of claim 6, wherein the powder comprises particles less than 5 micrometers in diameter.

8. The method of claim 1, wherein the formulation contains no metacresol.

### ABSTRACT

Treprostinil can be administered using a metered dose inhaler. Such administration provides a greater degree of autonomy to patients. Also disclosed are kits that include a metered dose inhaler containing a pharmaceutical formulation containing treprostinil.





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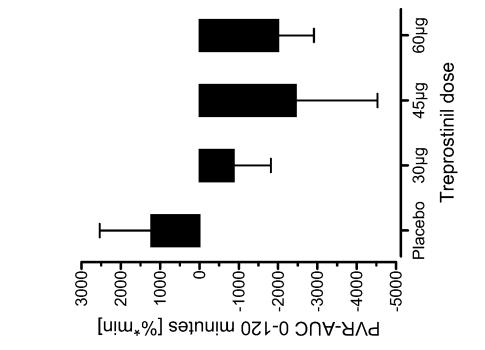
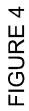
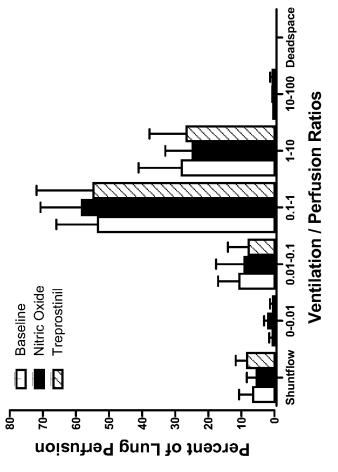
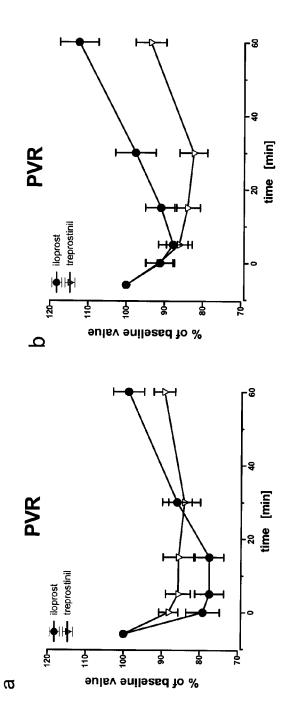
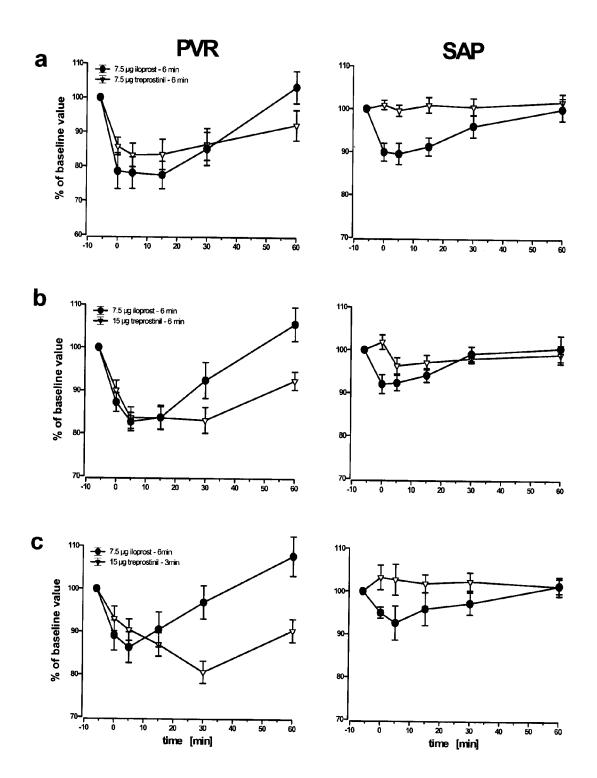


FIGURE 3









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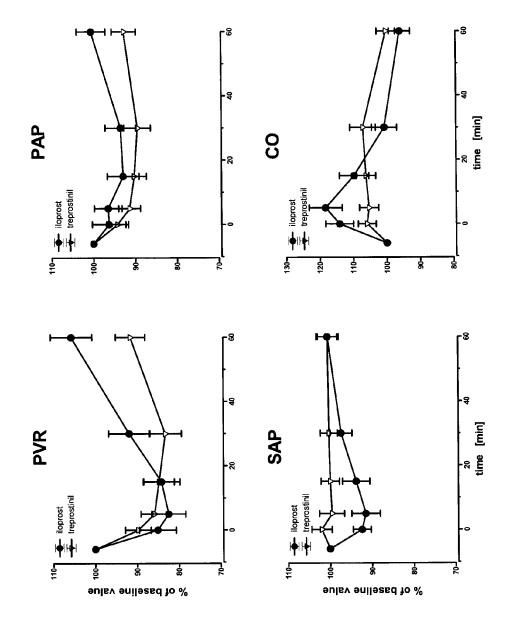
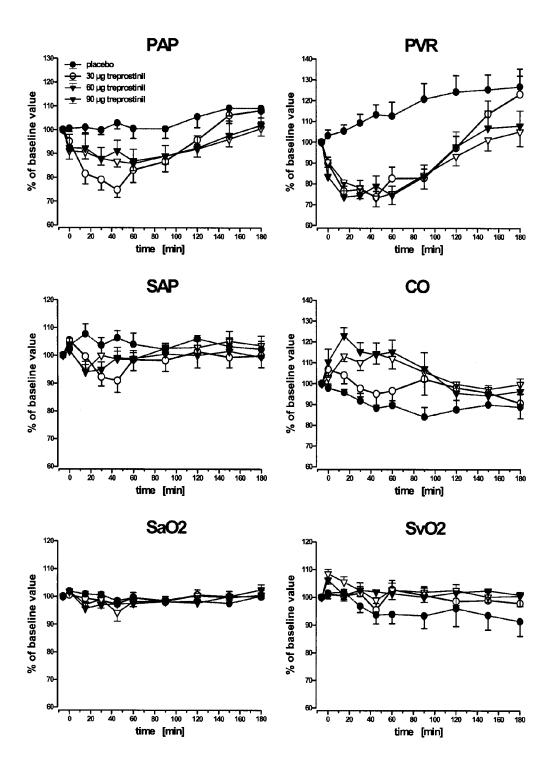
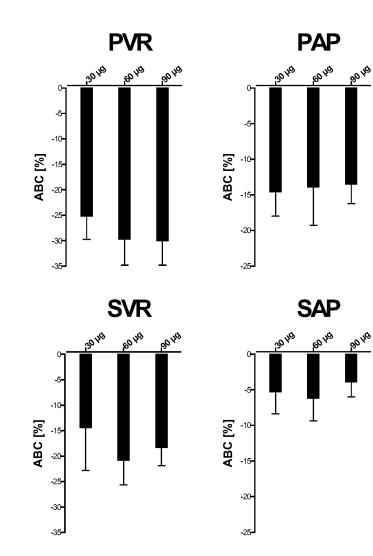
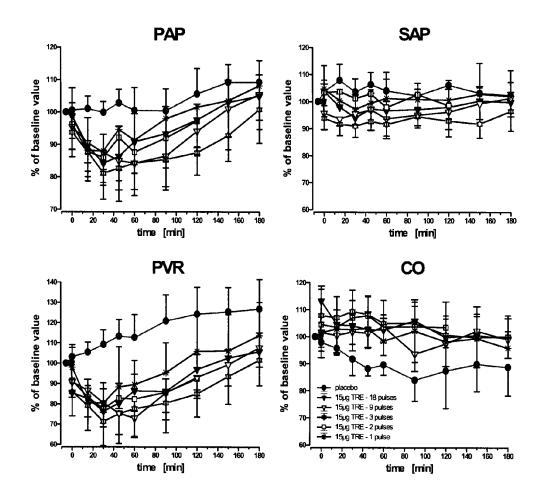
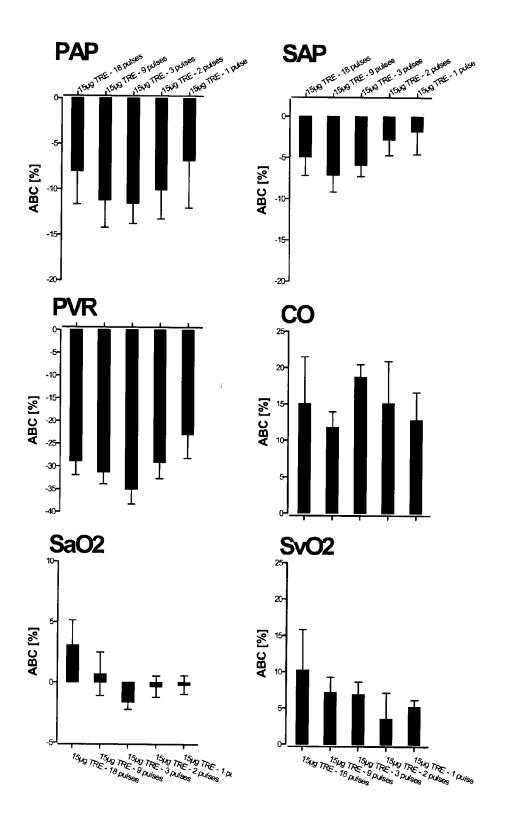


FIGURE 7

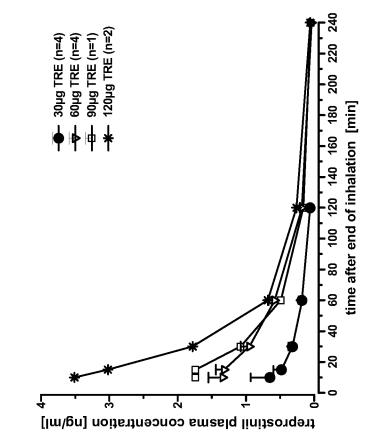












PTO/AIA/01 (modified)

080618-1639

### DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION				
As the below	named	invento	r, I hereby declare that:		
This declarati is directed to:			The attached application, or		
		$\boxtimes$	United States application or PCT international application number 15/011,999 filed on 2/1/2016.		
The above-id	entified	applica	tion was made or authorized to be made by me.		
I believe that	I am th	e origina	al inventor or an original joint inventor of a claimed invention in the application.		
I hereby ackr fine or imprise	iowledg onment	e that a of not r	ny willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by nore than (5) years, or both.		
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LEGAL NAME OF INVENTOR					
Inventor: Signature:	Horst (	DLSCHI	EWSKI Date (Optional): <u>16 juny 2016</u> nt Unun		
Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.					

### 080618-1639

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Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION				
As the below	named	inventor	, I hereby declare that:		
This declarati			The attached application, or		
is directed to:			United States application or PCT international application number 15/011,999 filed on 2/1/2016.		
The above-id	entified	applicat	tion was made or authorized to be made by me.		
I believe that	l am the	e origina	al inventor or an original joint inventor of a claimed invention in the application.		
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LEGAL NAME OF INVENTOR					
Inventor: Robert ROSCIGNO Date (Optional): <u>2/3/2016</u> Signature: fibert Roscard					
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080618-1639

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Title of Invention					
As the below	named inve	entor, I hereby declare that:			
This declarati		The attached application, or			
is directed to:		United States application or PCT filed on 2/1/2016.	international application number 15/011,999		
The above-id	entified ap	plication was made or authorized to be	made by me.		
I believe that	I am the o	riginal inventor or an original joint inve	ntor of a claimed invention in the application.		
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LEGAL NAME OF INVENTOR					
Inventor:	Inventor: Lewis J. RUBIN Date (Optional): 3/4/16				
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DECLARATION	(37 CFR 1.63) FOR UTILITY OR DESIGN
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080618-1639

Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION			
As the below	named invent	or, I hereby declare that:		
This declaration is directed to:	on 🛛	The attached application, or		
	$\boxtimes$	United States application or PCT International application number 15/011,999 filed on 2/1/2016.		
The above-ide	entified applic	ation was made or authorized to be made by me.		
I believe that	I am the origir	nal inventor or an original joint inventor of a claimed invention in the application.		
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LEGAL NAME OF INVENTOR Inventor: Werner SEEGER Date (Optional): <u>2016-2-3</u> Signature:				
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OR An application data sheet under 3 Statement Supplemental Sheet (P information is attached. See 37 Cl	I VIMINI I DI BOUIVIII	14 or equivalent) has not been nt) naming the entire inventive	submitted, Thus, a Substitute entity and providing inventor		
	WARNING				
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO o support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the JSPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a peterned in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicy available.					
PERSON EXECUTING THIS SUBSTITUTE S	TATEMENT:				
Name: Shaun R., Snader					
Signature:	hal				
APPLICANT NAME AND TITLE OF PERSON f the applicant is a juristic entity, list the applic	NEXECUTING THIS S	UBSTITUTE STATEMENT:			
United Therapeutics		or the alghet.			
Title of Person Executing This Substitute Statement: Vice Preside The signer, whose title is supplied above, is a	uthorized to act on beh	alf of the applicant			
Residence of the signer (unless provided in	n an application data	sheet, PTO/AIA/14 or equiva	ilent):		
City Falls Church	<sub>State</sub> VA	Country Unite	ed States		
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) United Therapeutics Corporation, 1735 Connecticut Avenue, NW					
City Washington	State DC	<sub>zip</sub> 20009	United States		
lote: Use an additional PTO/AIA/02 form for e fter diligent effort, or has refused to execute t	each inventor who is de	ceased, legally incapacitated,	cannot be found or reached		

[Page 2 of 2]

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  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
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SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)						
Title of Invention	TREPROSTINIL ADMINIS	TRATION BY INI	HALATION			
This stateme	ent is directed to:		na manana manana kata ya manana kata ya kata ya kata ya kata ya kata ya kata ya kata kat			
The att	ached application,					
OR						
United S	States application or PCT internation	al application number _	15/011,999 filed o	02-01-2016		
Provention of the local division of the loca	ME of inventor to whom this s					
ł	Name (first and middle (if any)) and	Family Name or Surna	me)	/2000.000/00000000000000000000000000000		
ļ	ERRITT					
Residence (e	except for a deceased or legally inca	pacitated inventor):				
<sub>citv</sub> We	ybridge	State	GB			
	ss (except for a deceased or legally incar		Country			
	d Therapeutics, 16 Upper V		ston			
<sub>city</sub> Lond	don	State	Zip WC1H 0AF	Country GB		
I believe the in the app	above-named inventor or joint inven lication.	tor to be the original inv	ventor or an original joint invent	or of a claimed invention		
The above-ic	lentified application was made or au	thorized to be made by	me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.						
Relationship to the inventor to whom this substitute statement applies:						
Legal Representative (for deceased or legally incapacitated inventor only),						
	Assignee.					
Pei	rson to whom the inventor is under a	n obligation to assign.				
	Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or					
provinti annas	Joint Inventor.					
		(Data 4 4 0)				

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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SUBSTITUTE STATEMENT					
Circumstances permitting execution of this substitute statement:					
Inventor is deceased,					
Inventor is under legal incapacity,					
Inventor cannot be found or reached after diligent effort, or					
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.					
If there are joint inventors, please check the appropriate box below:					
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the ent or is currently submitted.	ire inventive entity has been				
OR An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been s Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive e information is attached. See 37 CFR 1.64(b).	ubmitted. Thus, a Substitute ntity and providing inventor				
WARNING:					
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
PERSON EXECUTING THIS SUBSTITUTE STATEMENT:	мания и то полно и то полно и на				
Name: Shaun R. Snader Date (Optional): 01 July 2019					
Signature:					
APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT: If the applicant is a juristic entity, list the applicant name and the title of the signer:	anna ann an an ann ann an ann an ann an				
United Therapeutics Corporation					
Applicant Name:					
Title of Person Executing This Substitute Statement: Vice President, Associate General Counsel, IP	This Substitute Statement: Vice President, Associate General Counsel, IP				
The signer, whose title is supplied above, is authorized to act on behalf of the applicant. Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equival	ent):				
city Falls Church State VA Country United States					
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) United Therapeutics Corporation, 1735 Connecticut Avenue, NW					
city Washington State DC Zip 20009	United States				
Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.	cannot be found or reached				

[Page 2 of 2]

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SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)						
Title of Invention	TREPROSTINIL ADMIN	IISTRATION BY IN	HALATI	ON		
This stateme	ent is directed to:					
The att	ached application,					
OR			4 5 10			
United \$	States application or PCT interna	tional application number	15/0	11,999 filed on	02-01-2016	
LEGAL NA	ME of inventor to whom thi	s substitute statemer	nt applies	4 * *		
	Name (first and middle (if any)) a	and Family Name or Surr	ame)			
Robert	VOSWINCKEL	an a chun an				
Residence (	except for a deceased or legally i	ncapacitated inventor):		an and a support of the states of		
Gie	ssen	State	Co	DE		
-	ss (except for a deceased or legally i Is-Liebig Universitaet Gie		1			
<sub>city</sub> Bad	Nauheim	State		D-61231	Country DE	
	above-named inventor or joint in plication.	nventor to be the original	inventor or	an original joint inventor	r of a claimed invention	
The above-	identified application was made c	r authorized to be made	by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.						
Relationship to the inventor to whom this substitute statement applies:						
Legal Representative (for deceased or legally incapacitated inventor only),						
Assignee,						
	Person to whom the inventor is under an obligation to assign,					
P	erson who otherwise shows a su	fficient proprietary interes	it in the ma	tter (petition under 37 C	FR 1.46 is required), or	
	pint Inventor.					
L		Dage 1 of				

#### [Page 1 of 2]

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SUBSTITUTE STATEMENT						
Circumstances permitting execution of this substitute statement:						
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Inventor is under legal incapacity,						
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If there are joint inventors, please check the appropriate box below:						
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entor or is currently submitted.	tire inventive entity has been					
OR						
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been s Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive e information is attached. See 37 CFR 1.64(b).						
WARNING:	an an an tha an a chuir an					
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PERSON EXECUTING THIS SUBSTITUTE STATEMENT:	n mananan mananan mananan mananan ang mang m					
Name: Shaun R. Snader	01 July 2019 Date (Optional):					
Signature:						
APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT: If the applicant is a juristic entity, list the applicant name and the little of the signer:						
United Therapeutics Corporation						
Applicant Name:	an 20 mm - The Sector Scheme and Sector Scheme Sector Scheme Sector Scheme Sector Scheme Sector Scheme Sector S					
Title of Person Executing This Substitute Statement: Vice President, Associate General Counsel, IF	)					
The signer, whose title is supplied above, is authorized to act on behalf of the applicant. Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equiva						
Falls Church         VA         Country Unite           Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or         Country Unite	ed States					
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) United Therapeutics Corporation, 1735 Connecticut Avenue, NW						
city Washington State DC Zip 20009						
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[Page 2 of 2]

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Application Da	ta Shoot 37 CEP 1 76	Attorney Docket Number	080618-1916					
Application Data Sheet 37 CFR 1.76		Application Number						
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION							
bibliographic data arrar	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the							

#### document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### **Inventor Information:**

Invent	tor	1						R	emove	
Legal	Name									
Prefix	Give	en Name		Middle Name	e		Family I	Name		Suffix
	Hors	t					OLSCHE	WSKI		
Resid	lence	Information (	Select One)	US Residency	۲	Non US Res	sidency (	) Activ	e US Military Service	;
City	Graz			Country of F	Reside	nce <sup>i</sup>		AT		
				I						
Mailing	Addr	ess of Invent	or:							
Addre	ss 1		c/o United The	erapeutics Corporati	on					
Addre	ss 2		1040 Spring S	treet						
City		Silver Spring				State/Prov	vince	MD		
Posta	l Code	9	20910		Cou	ntry i				
Invent	tor	2						R	emove	
Legal	Name									
Prefix	Give	en Name		Middle Name	e		Family I	Name		Suffix
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Resid	lence	Information (	Select One)	US Residency	0	Non US Res	sidency (	🔿 Activ	e US Military Service	;
City	Cha	oel Hill		State/Province	NC	Countr	y of Resid	dence	US	
Mailing	Addr	ess of Invent	or:							
Addre	ss 1		c/o United The	erapeutics Corporati	on					
Addre	ss 2		1040 Spring S	treet						
City		Silver Spring				State/Prov	vince	MD		
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					Attorney I	Docket	Number	080618-1	916		
Appli	icatio	on Data Sh	eet 37 CFR	1.76	Applicatio						
Title of Invention TREPROSTINIL ADMINISTRATION BY INHALATION											
Resid	lence	Information	(Select One)	🖲 US	Residency		Non US Re:	sidency (	) Active	e US Military Service	
City	LaJo	lla		State	/Province	CA	Countr	y of Resid	dence	US	
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Mailing	Addr	ess of Inven	tor:								I
Addre	ss 1		c/o United Th	ierapeut	ics Corporatio	on					
Addre	ss 2		1040 Spring	Street							
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Resid	lence	Information	(Select One)		Residency		Non US Res	l sidency (	) Active	US Military Service	
City	Giess	en			Country of F	Residen	ce <sup>i</sup>		DE		
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PTO/AIA/14 (02-18)

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Application Data Sheet 37 CFR 1.76				Application Number						
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Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).						
An Address is being provided for the correspondence Information of this application.						
Customer Number	22428					
Email Address	IPDocketing@foley.com	Add Email	Remove Email			

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTR	ATION BY INHALATION	

### **Application Information:**

Title of the Invention	TREPROSTINIL ADMINISTRATION BY INHALATION					
Attorney Docket Number	080618-1916		Small Entity Status Claimed			
Application Type	Nonprovisional					
Subject Matter	Utility					
Total Number of Drawing	Sheets (if any)	12	Suggested Figure for Publication (if any)			
Filing By Reference:						

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

### **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Oustomer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	22428		

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status		Remove	
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Continuation of	16/536954	2019-08-09
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
16/536954	Continuation of	15/011999	2016-02-01
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
15/011999	Division of	13/469854	2012-05-11
Prior Application Status			Remaye
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
13/469854	Division of	12/591200	2009-11-12
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
12/591200	Continuation of	11/748205	2007-05-14
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Claims benefit of provisional	60/800016	2006-05-15

### **Foreign Priority Information:**

PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CER 1 76	Attorney Docket Number	080618-1916
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

#### 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. <u>Priority Document Exchange (PDX)</u> - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

**B.** <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

### 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CER 1 76	Attorney Docket Number	080618-1916
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

# **Applicant Information:**

Providing assignment information to have an assignment record			for compliance with any	requirement of part 3 of Title 37 of CFR	
Applicant 1					
The information to be provided 1.43; or the name and address who otherwise shows sufficient applicant under 37 CFR 1.46 (a	in this so of the as propriet assignee	ection is the name and address ssignee, person to whom the ir ary interest in the matter who i , person to whom the inventor	s of the legal representat iventor is under an oblig s the applicant under 37 is obligated to assign, or	, this section should not be completed. tive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an r person who otherwise shows sufficient ors who are also the applicant should be	
Assignee		C Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor	
Person to whom the invento	or is oblig	ated to assign.	O Person who sho	ows sufficient proprietary interest	
If applicant is the legal repre	esentativ	ve, indicate the authority to	file the patent applicat	ion, the inventor is:	
Name of the Deceased or L	egally I	ncapacitated Inventor:			
If the Applicant is an Orga	nization	check here.			
Organization Name Ur	nited The	erapeutics Corporation			
Mailing Address Informa	tion Fo	r Applicant:			
Address 1	1040 \$	Spring Street			
Address 2					
City	City Silver Spring State/Province MD				
Country <sup>i</sup>	Country <sup>i</sup> Postal Code 20910				
Phone Number			Fax Number		
Email Address			·	·	
Additional Applicant Data m	ay be g	enerated within this form by	selecting the Add but	ton.	

# Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### PTO/AIA/14 (02-18) Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Da	ta Shoa	at 37 CER 1 76	Attorney Docket Nur	nber 0806	18-1916
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	TREPRO	OSTINIL ADMINISTRATION BY INHALATION			
Assignee 1					
application publication	. An assig cant. For a	nee-applicant identifie	d in the "Applicant Inforr	nation" section	s desired to be included on the patent will appear on the patent application ion as an assignee is also desired on the
If the Assignee or N	Non-Appl	icant Assignee is ar	n Organization check h	iere.	$\boxtimes$
Organization Name	Organization Name United Therapeutics Corporation				
Mailing Address In	formatio	n For Assignee in	cluding Non-Applica	nt Assignee:	
Address 1		1040 Spring Stree	t		
Address 2					
City		Silver Spring	State	Province	MD
Country		Posta	l Code	20910	
Phone Number			Fax N	umber	
Email Address			•		
Additional Assignee selecting the Add bu		Applicant Assignee	Data may be generate	ed within this	form by

### Signature:

**NOTE:** This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet <u>must</u> be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Stephen B. Maebius			Date (YYYY-MM-DD)	2020-01-31
First Name	Stephen B.	Last Name	Maebius	Registration Number	35264

Additional Signature may be generated within this form by selecting the Add button.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	080618-1916
		Application Number	
Title of Invention	TREPROSTINIL ADMINISTRATION BY INHALATION		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

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OR						
Pract	titioner(s) n	amed below (if more th	an ten patent practitione	rs are to be n	amed, then a customer n	umber must be used):
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any and all pat	ent applica	to represent the underst tions a ssigned <u>only</u> to t cordance with 37 CFR 3	the undersigned accord	ing to the US	PTO assignment records	(USPTO) in connection wit or assignments docu men
				in the attache	ed statement under 37 CF	R 3.73(c) to:
	address as	sociated with Customer	Number: 22428			
OR						
Firm or Individua	al Name					
Address						
City						
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Assignee Nam	e and Add	united Thera 1040 Spring	apeutics Corpora	alion		
			g, Maryland 209	10		
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Filed in each	annlicati	on in which this form	is used. The statem	ent under 3	7 CFR 3.73(c) may be (	completed by one of
The practitio	ners appo					Attorney is to be filed.
Th	ie individu	al whose signature a	SIGNATURE of Assi and title is supplied be	gnee of Re low is autho	cord prized to act on behalf o	of the assignee
Signature		Altal	0		Date 12/1 Telephone 202-	1/12
Name		Andrew J. Fisher	/		Telephone 202-	742-1208
Title		Chief Strategic Off			1	
his collection of i	nformation is	& Deputy General	1.32 and 1.33. The information	ation is required	d to obtain or retain a benefit l	by the public which is to file (ar
y the USPTO to p complete, includ omments on the a	process) an a ding gatherin amount of tir rademark Of	application. Confidentiality g, preparing, and submittin ne you require to complete fice. U.S. Department of Co	is governed by 35 U.S.C. 1 g the completed application this form and/or suggestion	22 and 37 CFF i form to the US is for reducing ilexandria, VA 2	R 1.11 and 1.14. This collection SPTO. Time will vary dependint this burden, should be sent to 22313-1450. DO NOT SEND	ng upon the individual case.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2. Liquidia's Exhibit 1015 Page 187

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>I</u> N	FORMATION DISCLOSURE STATEMENT UNDER 37 CFR <b>\$1.56</b>
Art Unit:	Unassigned
Examiner:	Unassigned
Filing Date:	Herewith
Application No.:	Unassigned (CON of 16/536,954)
Title:	TREPROSTINIL ADMINISTRATION BY INHALATION
First Inventor Name:	Horst OLSCHEWSKI

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including Application No. 11/748,205, filed 5/14/2007; Application No. 12/591,200, filed 11/12/2009; Application No. 13/469,854, filed 5/11/2012; Application No. 15/011,999, filed 2/1/2016; Application No. 16/536,954, filed 8/9/2019, for copies of references of record therein that are not being provided here; although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741. Respectfully submitted,

Date Jan. 31, 2020

By /Stephen B. Maebius/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5569 Facsimile: (202) 672-5399 Stephen B. Maebius Attorney for Applicant Registration No. 35,264

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$\frown$	Substitute for form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE			LOSURE	Application Number	Unassigned
STATEMENT BY APPLICANT			PLICANT	Filing Date	Herewith
	Date Submitted: January 31, 2020		First Named Inventor	Horst OLSCHEWSKI	
Date Submitted. January 31, 2020		Art Unit	Unassigned		
(use as many sheets as necessary)		Examiner Name	Unassigned		
Sheet	1	of	11	Attorney Docket Number	080618-1916

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Cite No.¹	Document Number Number-Kind Code <sup>2</sup> (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	STATEMENT B	Y APF	LICANT	Filing Date	Herewith
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	(use as many shee	ets as	necessary)	Examiner Name	Unassigned
Sheet	2	of	11	Attorney Docket Number	080618-1916

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Signature	Considered	

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Sheet	3	of	11	Attorney Docket Number	080618-1916	

#### FOREIGN PATENT DOCUMENTS Cite No.<sup>1</sup> Foreign Patent Document Country Code<sup>3-</sup>Number<sup>4-</sup> Kind Code<sup>5</sup> (*if known*) Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Documents Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Pari GmbH

Glaxo Group Limited

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	Sub	ostitute for fo	rm 144	49/PTO	Complete if Known			
	INFO	RMATION	DISC	LOSURE	Application Number	Unassigned		
	STAT	EMENT B	Y APF	PLICANT	Filing Date	Herewith		
г	Date Si	ubmitted <sup>.</sup> .I	anua	rv 31, 2020	First Named Inventor	Horst OLSCHEWSKI		
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Sheet	4		of	11	Attorney Docket Number	080618-1916		
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	INFO	RMATION DISCL	OSURE	Application Number	Unassigned		
	STAT	EMENT BY APP	LICANT	Filing Date	Herewith		
	Date Su	ubmitted: January	/ 31, 2020	First Named Inventor	Horst OLSCHEWSKI		
		-		Art Unit	Unassigned		
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Examiner Initials*	Cite No. <sup>1</sup>		azine, journal, seria		ate, page(s), volume-issue number(s),	Т	
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	A136	Non-Final	Office	e Action dated 3/15	/2013 in US SN 12/303,877.			
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	STAT	EMENT BY AP	PLICANT	Filing Date	Herewith		
	Date Su	ıbmitted: Janua	rv 31, 2020	First Named Inventor	Horst OLSCHEWSKI		
				Art Unit	Unassigned		
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	STATEMENT BY APPLICANT Date Submitted: January 31, 2020			Filing Date	Herewith			
				First Named Inventor	Horst OLSCHEWSKI			
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Signature		Considered	
*EXAMINER: Ini	tial if reference considered, whether or not citation is in conformance with MPEP 609.	Draw line through citation if	not in conformance and not

considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation design ation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete,

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:	TREPROSTINIL ADMIN	IISTRATION BY II	NHALATION		
First Named Inventor/Applicant Name:	Horst Olschewski				
Filer:	Stephen Bradford Ma	ebius/Karen Stra	awderman		
Attorney Docket Number:	080618-1916				
Filed as Large Entity					
Filing Fees for Track I Prioritized Examination - Nonpr	ovisional Applicatic	on under 35 U	SC 111(a)		
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:					
UTILITY APPLICATION FILING	1011	1	300	300	
UTILITY SEARCH FEE	1111	1	660	660	
UTILITY EXAMINATION FEE	1311	1	760	760	
REQUEST FOR PRIORITIZED EXAMINATION	1817	1	4000	4000	
Pages:					
Claims:					
Miscellaneous-Filing:					
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	5860

Electronic Acknowledgement Receipt			
EFS ID:	38459522		
Application Number:	16778662		
International Application Number:			
Confirmation Number:	4471		
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION		
First Named Inventor/Applicant Name:	Horst Olschewski		
Customer Number:	22428		
Filer:	Stephen Bradford Maebius/Karen Strawderman		
Filer Authorized By:	Stephen Bradford Maebius		
Attorney Docket Number:	080618-1916		
Receipt Date:	31-JAN-2020		
Filing Date:			
Time Stamp:	15:30:40		
Application Type:	Utility under 35 USC 111(a)		

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File Listing	<b>;:</b>				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			145998		
1	TrackOne Request	Track1Req.pdf	ccc5d2c87131b28878e289072dfc5198330 56944	no	2
Warnings:			-		
Information:					
			109118		
2	Transmittal of New Application	Transmittal.pdf	b47401b3d8c15bbb2cb92eb4573cd88dd8 6983f9	no	4
Warnings:			· · · · · · · · · · · · · · · · · · ·		
Information:					
			209817		
3		Specification.pdf	b2ab7c9ebd97efe96dfea59735d29c22f9cc 6b11	yes	31
	Multip	art Description/PDF files in	n .zip description		
	Document Des	scription	Start	E	nd
	Specificati	on	1	2	29
	Claims		30	3	80
	Abstrac	t	31	3	1
Warnings:					
Information:					
	Drawings only black and white line	Drawings.pdf	159877		
4	Drawings-only black and white line drawings		b89b4deeb7c6241b3d08d4951f8514d942 d760db	no	12
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Warnings:					
Information:					
			2517074		

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Information:					
			113890		
6	Application Data Sheet	ADS.pdf	83c68b297999654615c99df3d6efc78051f3 428f	no	11
Warnings:					I
Information:					
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7	Power of Attorney	POA.pdf		no	1
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Warnings:					
Information:					
			116539		
8	Transmittal Letter	IDSTM.pdf		no	2
			e 375 1 f 3 e e e 0 d 2 4 1 d 9 a 4 4 5 4 d b 4 7 c 6 0 6 4 0 5 a f 7 a c 7 a		
Warnings:					
Information:					
			224370		
9	Information Disclosure Statement (IDS)	SB08.pdf		no	11
	Form (SB08)		14f6a07d9f844d17be43b3fdeb64660ca18c cd19		
Warnings:					
Information:					
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			38959		
10	Fee Worksheet (SB06)	fee-info.pdf		no	2
		•	2f04d429c8e773ac44855b430a31749b6b8 2f64f		
Warnings:				l	I
Information:					
		Total Files Size (in bytes)	37	/52155	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION
UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor:	Horst OLSCHEWSKI	Nonprovisional Application Number (if known):	Not yet Assigned	
Title of Invention:	TREPROSTINIL ADMINISTRATION BY INHALATION			

# APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
  - I. **Original Application (Track One) Prioritized Examination under § 1.102(e)(1)**
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a). This certification and request is being filed with the utility application via EFS-Web.
   ---OR---
  - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
  - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

<sub>Signature</sub> /Stephen B. Maebius/	<sub>Date</sub> Jan. 31, 2020
Name (Print/Typed) Stephen B. Maebius	Practitioner Registration Number 35,264
<u>Note</u> : This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) fo Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.

\*Total of \_\_\_\_\_\_ forms are submitted.

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.