ASSOCIATION FOR THE ADVANCEMENT OF

CIENCE

5 MARCH 1993 \$6.00 VOL. 259 • PAGES 1369-1508

ecial Report GOES

TOOOT AN

Α

DOCKET LARM Find authenticated court documents without watermarks at docketalarm.com. ISSN 0036-8075 5 MARCH 1993 VOLUME 259 NUMBER 5100



AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE

1402

1403

1404



1402 & 1442 Parasitic virulence varies with host population structure

| NEWS & COMMENT | | What Might Cause Parasites to |
|--|-------|---|
| Bernadine Healy Bows Out Healy Highlights | 1388 | Long Search for Sea Urchin Sperm Recentor Pays Off |
| Biomedical Research: Animal Regulations Overturned | 1389 | Mathematics: If You're Stumped, Try Something Harder |
| Tropical Deforestation: Not Just a Problem in Amazonia | 1390 | PERSPECTIVE |
| Gene Therapy: A Speeding Ticket for NIH's Controversial Cancer Star | 1391 | Cells in Stress: Transcriptional Act of Heat Shock Genes B. I. Morimate |
| Space Wars Begin to Take a Toll at UCSF | 1392 | |
| Gene Linked to Lou Gebrig's Disease | 1202 | ARTICLES |
| SPECIAL REPORT | | Radiative Climate Forcing by the M Pinatubo Eruption P. Minnis, E. F. Harrison, L. L. Stowe |
| A Breath Test for Cancer? Hot Field: Neurotoxicology | 1394 | F. M. Denn, D. R. Doelling, W. L. S Molecular Matchmakers |
| Ken Olden Heals NIEHS's 'Split Brain' | 1398 | A. Sancar and J. E. Hearst |
| RESEARCH NEWS | | RESEARCH ARTICLE |
| Did Venus Hiccup or Just Run Down? | 1400 | Sea Urchin Egg Receptor for Sperm Sequence Similarity of Binding Domain and hsp70 K. R. Foltz, J. S. Partin, W. J. Lenna |
| DI | EPARI | IMENTS |
| THIS WEEK IN SCIENCE | 1377 | RANDOM SAMPLES |
| EDITORIAL Basic Research (III): Priorities | 1379 | BOOK REVIEWS The Origins of Agriculture, reviewed by J. |
| LETTERS | 1381 | • The Savage Within, F. Spencer • Nata S. C. Stearns • The History and De |

ondering Greenhouse Policy: S. H. Schn H. Dowlatabadi and L. B. Lave; M. Oppenhe W. D. Nordhaus • Priority Envy: J. L. Sutton

SCIENCESCOPE

AAAS Board of Directors

F. Sherwood Rowland Retiring President, Chairman Eloise E. Clark President Francisco J. Ayala President-elect

Robert A. Frosch Florence P. Haseltine William A. Lester, Jr.

Δ

Alan Schriesheim Jean'ne M. Shreeve Chang-Lin Tien Warren M. Washington Nancy S. Wexler

William T. Golden Treasurer Richard S. Nicholson Executive Officer

John Abelson Frederick W. Alt Don L. Anderson Stephen J. Benkovic David E. Bloom Floyd E. Bloom Henry R. Bourne James J. Bull Kathryn Calame C. Thomas Caskey Dennis W. Choi

John M. Coffin Bruce F. Eldridge Paul T. Englund Richard G. Fairbanks Douglas T. Fearon Harry A. Fozzard Victor R. Fuchs Theodore H. Geballe Margaret J. Geller John C. Gerhart Roger I. M. Glass

| Stephen P. Goff |
|------------------------|
| Corey S. Goodman |
| Stephen J. Gould |
| Ira Herskowitz |
| Eric F. Johnson |
| Stephen M. Kosslyn |
| Michael LaBarbera |
| Charles S. Levings III |
| Harvey F. Lodish |
| Richard Losick |
| Anthony R. Means |

Mortimer Mishkin Roger A. Nicoll William H. Orme-Johnson III Stuart L. Pimm Yeshayau Pocker Dennis A. Powers Ralph S. Quatrano V. Ramanathan Douglas C. Rees Erkki Ruoslahti Ronald H. Schwartz

Terrence J. Sejnowski Thomas A. Steitz Richard F. Thompson Robert T. N. Tjian Emil R. Unanue Geerat J. Vermeij Bert Vogelstein Harold Weintraub Zena Werb George M. Whitesides Owen N. Witte

RM Find authenticated court documents without watermarks at docketalarm.com.

RSPECTIVE ls in Stress: Transcriptional Activation 1409 Heat Shock Genes I. Morimoto TICLES liative Climate Forcing by the Mount 1411 atubo Eruption Minnis, E. F. Harrison, L. L. Stowe, G. G. Gibson. M. Denn, D. R. Doelling, W. L. Smith, Jr. lecular Matchmakers 1415 Sancar and J. E. Hearst

SEARCH ARTICLE

Urchin Egg Receptor for Sperm: 1421 uence Similarity of Binding nain and hsp70 R. Foltz, J. S. Partin, W. J. Lennarz

NTS

| 1377 | RANDOM SAMPLES | 1405 |
|--|---|--|
| 1379 1381 eider; eimer; | BOOK REVIEWS The Origins of Agriculture, reviewed by J. • The Savage Within, F. Spencer • Nat S. C. Stearns • The History and Do Human Genetics, A. G. Motulsky • Vig and Lows • Books Received | 1473 McCorriston ural Selection, evelopment of nettes: Highs |
| 1387 | PRODUCTS & MATERIALS | 1479 |

Board of Reviewing Editors

COVER

Zonally averaged latitudinal spreading of the increase (yellow, orange, and red) in reflected shortwave radiation measured by the Earth Radiation Budget Experiment after the eruption of Mount Pinatubo in June 1991. The vertical scale is latitude from 40° S to 40°N, and the

horizontal scale is time from May to November 1991. Volcanic aerosols reflect some of the sun's energy back to space; as a result, Earth's climate is cooled. See page 1411. [Image: P. Minnis *et al.*, Atmospheric Sciences Division, NASA Langley Research Center]

1453

cchia,

1457

Scott,

1460

1463

1466

1469

| REPORTS | Antagonism of Catecholamine Receptor Signaling by Expression of Cytoplasmic |
|---|---|
| Crystal Structure and Optical Properties 1426 of Cd ₃₂ S ₁₄ (SC ₆ H ₅) ₃₆ ·DMF ₄ , a Cluster with a 15 Angstrom CdS Core N. Herron, J. C. Calabrese, W. E. Farneth, Y. Wang | Domains of the Receptors L. M. Luttrell, J. Ostrowski, S. Cote H. Kendall, R. J. Lefkowitz |
| Stable Compounds of Helium and Neon: 1428 He@C60 and Ne@C60 M. Saunders, H. A. Jiménez-Vázquez, R. J. Cross, R. J. Poreda | T _H 1 and T _H 2 Cell Antigen Receptors in Experimental Leishmaniasis S. L. Reiner, ZE. Wang, F. Hatam, P. R. M. Locksley |
| On the Application of the Minimal Principle to Solve Unknown Structures R. Miller, G. T. DeTitta, R. Jones, D. A. Langs, C. M. Weeks, H. A. Hauptman | Inhibition of Human Colon Cancer Growth by Antibody-Directed Human LAK Cells in SCID Mice H. Takahashi, T. Nakada, I. Puisieux |
| A 2000-Year Tree Ring Record of Annual 1433 Temperatures in the Sierra Nevada Mountains L. A. Scuderi | Optical Time-of-Flight and Absorbance Imaging of Biologic Media D. A. Benaron and D. K. Stevenson |
| Export of North American Ozone 1436 Pollution to the North Atlantic Ocean D. D. Parrish, J. S. Holloway, M. Trainer, P. C. Murphy, G. L. Forber, F. C. Febrenfeld | Requirement for a GTPase-Activating Protein in Vesicle Budding from the Endoplasmic Reticulum T. Yoshihisa, C. Barlowe, R. Schekman |
| For Hurphy, G. L. Forbes, F. C. Fensenteid | TECHNICAL COMMENTS |
| Laboratory D. E. G. Briggs and A. J. Kear | The Energy Density of Water and Ice Nucleation |
| Population Structure and the I 1442 Evolution of Virulence in Nematode | L. Leiserowitz |
| Parasites of Fig Wasps E. A. Herre | HTLV-1 Provirus 1470 and Mycosis Fungoides S. I. Whittaker and |
| Structure-Based Discovery of Inhibitors1445of Thymidylate SynthaseB. K. Shoichet, R. M. Stroud, D. V. Santi,I. D. Kuntz, K. M. Perry | L. Luzzatto; A. Bazarbachi, F. Saal, L. Laroche, B. Flageul, J. Périès, H. de Thé; W. W. Hall |
| Deficiency in Rhabdomyosarcomas of a Factor Required for MyoD Activity and Myogenesis S. J. Tapscott, M. J. Thayer, H. Weintraub | |
| | |

Bulk rates on request. Authorization to photocopy material for internal or personal use under circumstances not falling within the fair use provisions of the Copyright Act is granted by AAAS to libraries and other users registered with the Copyright Clearance Center (CCC) Transactional Reporting Service, provided that the base fee of \$1 per copy plus \$0.10 per page is paid directly to CCC, 27 Congress Street, Salem, MA 01970. The identification code for *Science* is 0036-8075/83 \$1 + .10. *Science* is indexed in the *Reader's Guide to Periodical Literature* and in several specialized indexes.

1426 A chip of a semiconductor

The American Association for the Advancement of Science was founded in 1848 and incorporated in 1874. Its objectives are to further the work of scientists, to facilitate cooperation among them, to foster scientific freedom and responsibility, to improve the effectiveness of science in the promotion of human welfare, to advance education in science, and to increase public understanding and appreciation of the importance and promise of the motioned of acinemic in human programs.

■ SCIENCE (ISSN 0036-8075) is published weekly on Friday, except the last week in December, by the American Association for the Advancement of Science, 1333 H Street, NW, Washington, DC 20005. Second-class postage (publication No. 484460) paid at Washington, DC, and additional mailing offices. Copyright © 1993 by the American Association for the Advancement of Science. The title SCIENCE is a registered trademark of the AAAS. Domestic individual membership and subscription (51 issues): \$87 (\$47 allocated to subscription). Domestic institutional subscription (51 issues): \$205. Foreign postage extra: Mexico, Caribbean (surface mail) \$50; other countries (air assist delivery) \$95. First class, airmail, student and emeritus rates on request. Canadian rates with GST available upon request, GST #1254 88122. Change of address allow 6 weeks, giving old and new addresses and 11-digit account number. Postmaster: Send change of address to *Science*, P.O. Box 2033, Marion, OH 4305-2033. Single copy sales: \$60.00 per issue prepaid includes surface postage: Guide to Biotechnology Products and Instruments. \$20

Δ

R

Μ

Find authenticated court documents without watermarks at docketalarm.com.

Indicates accompanying feature

REPORTS

Crystal Structure and Optical Properties of Cd₃₂S₁₄(SC₆H₅)₃₆·DMF₄, a Cluster with a 15 Angstrom CdS Core

N. Herron,* J. C. Calabrese, W. E. Farneth, Y. Wang

Recrystallization of the solid $Cd_{10}S_4(SC_6H_5)_{12}$ from a solution of pyridine and *N*,*N*-dimethylformamide (DMF) results in the formation of the cluster $Cd_{32}S_{14}(SC_6H_5)_{36}$ ·DMF₄ as pale yellow cubes. The structure consists of an 82-atom CdS core that is a roughly spherical piece of the cubic sphalerite lattice ~12 angstroms in diameter. The four corners of the lattice are capped by hexagonal wurtzite-like CdS units, which results in an overall tetrahedral cluster ~15 angstroms in diameter. This cluster dissolves intact in tetrahydrofuran where its absorption spectrum reveals a sharp peak at 358 nanometers at room temperature and its emission spectra show a strong broad band at 500 nanometers.

The use of very small molecular clusters as synthetic precursors to bulk, extended solids interests both the physics (1) and chemistry (2) communities. In the particular case of semiconductor materials, the small clusters themselves are of interest, given that the transport and optical behaviors vary as a function of crystallitic size. These phenomena have led to a whole area of research into so-called nanoclusters or quantum dots (3). In this size regime (ten to a few hundred angstroms in particle diameter), quantum effects lead to severe perturbations in electronic properties. The clusters act as examples of the quantum mechanical particle in a box (4). Although research in this area has been intense, almost all efforts have suffered from the same problem: attempts are made to relate properties to cluster size, but cluster size is crudely defined. In most cases, only a distribution of sizes is accessible because of the synthetic routes that are used to prepare such clusters (4). Although a few welldefined molecular clusters of this type do exist (5, 6), these tend to be on the small end of the size spectrum where the properties are more like those of the molecules than like those of the bulk.

We report the single-crystal structure and optical properties of a large, wellcharacterized semiconductor molecular cluster. It is a 15 Å diameter crystalline fragment of bulk cubic CdS with an 82atom core. This cluster contains all of the structural features of the bulk extended solid, yet it remains soluble in organic solvents and so retains many of the attractive properties (from a characterizational and processing viewpoint) of a discrete molecule.

We reported previously (7) that when the discrete molecular species $(NMe_4)_4Cd_{10}S_4$ - $(SC_6H_5)_{16}$ (5) (Me, methyl) is heated un-

Central Research and Development Department, The DuPont Company, Wilmington, DE 19880–0328.

der carefully controlled conditions, the material converts to bulk crystalline CdS. It does so in two distinct steps. First, at 250°C, it loses the tetramethylammonium cations and four of the thiophenolate "caps," which generates a solid, $Cd_{10}S_4(SC_6H_5)_{12}$. This step is followed by the loss, at 500°C, of the remaining phenyl groups in the form of diphenyl sulfide which leaves behind crystalline CdS. The intermediate composition, Cd10S4- $(SC_6H_5)_{12}$, is a pale-yellow solid with a broad x-ray diffraction pattern that indicates very small (<25 Å) sphalerite-phase (cubic) crystallites of CdS. However, this solid is very soluble in pyridine, and such solutions can be recrystallized by the addition of DMF to the point of incipient precipitation. Large, clearyellow, cubic crystals formed (along with a small amount of yellow powder) during a period of several days at room temperature. The larger crystals appear to fracture when exposed to strong visible or ultraviolet (UV) light. The crystals are, therefore, routinely grown and handled under subdued lighting conditions. One such crystal was suitable for x-ray diffraction analysis.

The solution of its structure (Fig. 1A) reveals that recrystallization has led to the nucleation of a cluster with a stoichiometry of $Cd_{32}S_{14}(SC_6H_5)_{36}$ ·DMF₄ (8). This molecule is much larger than the original Cd_{10} cluster from which it was prepared. It appears that this cluster assembled itself from CdS/SC₆H₅ species of various nuclearities that are in rapid exchange in the pyridine solution [¹¹³Cd nuclear magnetic resonance shows broad resonances in pyridine at all of the temperatures that we measured (7)]. This cluster was apparently the least soluble species and therefore the one that preferentially crystallized. The structural details reveal that the 82-atom core is constructed from a large (~12 Å diameter), roughly spherical chunk of the sphalerite form of bulk CdS whose requisite "dangling" surface bonds have been terminated by wurtzita like (havaganal) CdS units at four tatra

hedral corners. Thus, the cluster looks like a large tetrahedron whose points have been capped by DMF solvent molecules and is neutral in charge. The cluster core is ~15 Å across (from the Cd atom at the vertex of the tetrahedron to the center of the opposite tetrahedral face). All of the edges of the core are covered with phenyl rings of bridging and capping thiophenolate ligands. The structure is, in fact, a larger homolog of the large CdS cluster, $Cd_{17}S_4(SC_6H_5)_{28}^{2-}$, which was prepared by Dance and coworkers (6). It has all of the same structural features that are noted for that material (including the open clefts that run along each of the tetrahedral edges) except for (i) the capping of the Cd atoms that are at the vertices of the tetrahedron, which, in our case, is performed by DMF solvent but, in the structure of Dance and co-workers, is done by $SC_6H_5^-$ units and (ii) the appearance of four triply bridging sulfide ions in the centers of the tetrahedral faces. At the very center of the cluster is a ten-atom fragment with the same connectivity as bulk sphalerite-phase CdS with four tetracoordinated cadmium and six tetracoordinated sulfide ions in an adamantyl arrangement.

The Cd-S bond length in this core is 2.503(4) Å (where the number in parenthesis is the error in the last digit), which is significantly less than that found for bulk CdS (2.519 Å). The individual clusters of the crystal structure interact only by means of nonbonded contact of the phenyl groups of the capping thiophenolate ligands, as depicted in the packing diagram (Fig. 1B). One fascinating aspect of the packing structure is the presence of very large intercluster voids, which is similar to what is found in zeolites. Channels ~8 Å in diameter provide access to large spherical cavities ~16 Å in diameter between the individual clusters. These spaces appear to be essentially free of adsorbed solvent molecules.

With such a well-defined molecular cluster, we examined the intrinsic optical properties of a single-sized, 15 Å quantum dot without ambiguities from size dispersion or poorly defined surfaces. On photoexcitation, the crystals emit green light at \sim 520 nm (Fig. 2A). The excitation spectrum shows absorption bands at 325 and 384 nm with a weak shoulder at 435 nm (Fig. 2A).

In pyridine solution, the optical spectra and emission properties are quite different from those of the solid and revert to the behavior of the precursor material, $Cd_{10}S_4(SC_6H_5)_{12}$, in pyridine (7); this result is consistent with the view that pyridine causes the rapid fracture of the cluster core into a dynamic mixture of species with lower nuclearities. The instability of clusters of this type in coordinating solvents such as pyridine and DMF has been well documented (5). We propose that this is a



Fig. 1. (**A**) Crystal structure of $Cd_{32}S_{14}(SC_6H_5)_{36}$ ·DMF₄ core. All phenyl groups have been omitted for clarity, but their orientations with respect to the cluster are implied by the nonterminated stick bonds that protrude from the thiophenolate S atoms. The spheres represent Cd (green), sulfide S (yellow), thiophenolate S (red), and N (blue) atoms. Selected bond lengths (in angstroms with error in the last digit in parentheses) are Cd–S^{2–} = 2.468(4) (triply bridging S in center of cluster's tetrahedral face), 2.503(4) (central ada-



mantyl core), 2.538(8), 2.532(4), and 2.537(5) Å (second shell out from central core); $Cd-SC_6H_5 = 2.495(5)$, 2.569(5), 2.546(5), 2.503(5), 2.554(5), and 2.560(5) Å; Cd-N = 2.33(4) Å. (**B**) Crystal packing diagram of $Cd_{32}S_{14}(SC_6H_5)_{36}$ DMF₄ that shows four molecules at the corners of a face of the unit cell. The phenyl rings have been reduced to red hexagons, and the cores of the clusters are represented by green tetrahedra that are centered on the Cd atoms and defined by the attached S (yellow) and N (blue) atoms.

result of the ability of the coordinating solvent to both compete with the surfacecapping thiophenolate ligands for the metal sites and to immediately passivate dangling bonds, which arise as individual cluster bonds break and fragments detach from the cluster surface.

When the crystals are dissolved in tetrahydrofuran (THF), however (they are sparingly soluble in this, as well as DMF and acetonitrile solvents), the solution emits at ~500 nm (Fig. 2B). The excitation spectrum shows sharp absorption bands at 313 and 366 nm, the same as those observed in the solid crystal except that they are shifted to higher energy by ~ 18 nm. The similarity between the THF-solution and the solidstate spectra indicates that the cluster dissolves intact into this solvent and, furthermore, in the crystal there exist only weak cluster-cluster interactions, which is consistent with the cluster packing that is revealed in Fig. 1B. The Cd₃₂S₁₄(SC₆H₅)₃₆·DMF₄ solid should therefore be regarded as a molecular crystal, similar to what has been found in the case of C₆₀ fullerene crystals.

The lowest absorption band of $Cd_{32}S_{14}$ -(SC₆H₅)₃₆·DMF₄ dissolved in THF is located at 358 nm (Fig. 2C). This transition has a large absorption cross section (the extinction coefficient is ~84,500 M⁻¹ cm⁻¹). the effect of solvent polarity (from THF to acetonitrile), which indicates that the ground state or the corresponding excited state has a vanishingly small dipole moment. Both the large absorption cross section and the vanishing dipole moment are signatures of an ideal quantum-confined "exciton state" (4), to use a bulk semiconductor term. The possibility that this band is a result of charge transfer from cadmium to thiophenolate, which would yield a large excited-state dipole moment, can be eliminated on the basis of these data.

In spite of the large oscillator strength of the 358-nm state, the luminescence spectrum is dominated by a low-lying excited state that emits in the green (Fig. 2B). There is a very efficient relaxation process that leads to the formation of this second, lower lying excited state. Previous research on small CdS particles (9) has often revealed such an emission band that is at a much lower energy than the absorption edge. This band has usually been loosely associated with defects largely because the samples have not been sufficiently defined to permit a more definitive description. This defect concept is no longer valid for our well-defined cluster, and such an emission band must be attributable to an intrinsic excited state of the cluster. This excited state has a very low oscillator strength (be-





Find authenticated court documents without watermarks at docketalarm.com.

DOCKET



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

