

**Federal Information
Processing Standards Publication 197**

November 26, 2001

**Announcing the
ADVANCED ENCRYPTION STANDARD (AES)**

Federal Information Processing Standards Publications (FIPS PUBS) are issued by the National Institute of Standards and Technology (NIST) after approval by the Secretary of Commerce pursuant to Section 5131 of the Information Technology Management Reform Act of 1996 (Public Law 104-106) and the Computer Security Act of 1987 (Public Law 100-235).

1. **Name of Standard.** Advanced Encryption Standard (AES) (FIPS PUB 197).
2. **Category of Standard.** Computer Security Standard, Cryptography.
3. **Explanation.** The Advanced Encryption Standard (AES) specifies a FIPS-approved cryptographic algorithm that can be used to protect electronic data. The AES algorithm is a symmetric block cipher that can encrypt (encipher) and decrypt (decipher) information. Encryption converts data to an unintelligible form called ciphertext; decrypting the ciphertext converts the data back into its original form, called plaintext.

The AES algorithm is capable of using cryptographic keys of 128, 192, and 256 bits to encrypt and decrypt data in blocks of 128 bits.

4. **Approving Authority.** Secretary of Commerce.
5. **Maintenance Agency.** Department of Commerce, National Institute of Standards and Technology, Information Technology Laboratory (ITL).
6. **Applicability.** This standard may be used by Federal departments and agencies when an agency determines that sensitive (unclassified) information (as defined in P. L. 100-235) requires cryptographic protection.

Other FIPS-approved cryptographic algorithms may be used in addition to, or in lieu of, this standard. Federal agencies or departments that use cryptographic devices for protecting classified information can use those devices for protecting sensitive (unclassified) information in lieu of this standard.

In addition, this standard may be adopted and used by non-Federal Government organizations. Such use is encouraged when it provides the desired security for commercial and private organizations.

7. Specifications. Federal Information Processing Standard (FIPS) 197, Advanced Encryption Standard (AES) (affixed).

8. Implementations. The algorithm specified in this standard may be implemented in software, firmware, hardware, or any combination thereof. The specific implementation may depend on several factors such as the application, the environment, the technology used, etc. The algorithm shall be used in conjunction with a FIPS approved or NIST recommended mode of operation. Object Identifiers (OIDs) and any associated parameters for AES used in these modes are available at the Computer Security Objects Register (CSOR), located at <http://csrc.nist.gov/csor/> [2].

Implementations of the algorithm that are tested by an accredited laboratory and validated will be considered as complying with this standard. Since cryptographic security depends on many factors besides the correct implementation of an encryption algorithm, Federal Government employees, and others, should also refer to NIST Special Publication 800-21, *Guideline for Implementing Cryptography in the Federal Government*, for additional information and guidance (NIST SP 800-21 is available at <http://csrc.nist.gov/publications/>).

9. Implementation Schedule. This standard becomes effective on May 26, 2002.

10. Patents. Implementations of the algorithm specified in this standard may be covered by U.S. and foreign patents.

11. Export Control. Certain cryptographic devices and technical data regarding them are subject to Federal export controls. Exports of cryptographic modules implementing this standard and technical data regarding them must comply with these Federal regulations and be licensed by the Bureau of Export Administration of the U.S. Department of Commerce. Applicable Federal government export controls are specified in Title 15, Code of Federal Regulations (CFR) Part 740.17; Title 15, CFR Part 742; and Title 15, CFR Part 774, Category 5, Part 2.

12. Qualifications. NIST will continue to follow developments in the analysis of the AES algorithm. As with its other cryptographic algorithm standards, NIST will formally reevaluate this standard every five years.

Both this standard and possible threats reducing the security provided through the use of this standard will undergo review by NIST as appropriate, taking into account newly available analysis and technology. In addition, the awareness of any breakthrough in technology or any mathematical weakness of the algorithm will cause NIST to reevaluate this standard and provide necessary revisions.

13. Waiver Procedure. Under certain exceptional circumstances, the heads of Federal agencies, or their delegates, may approve waivers to Federal Information Processing Standards (FIPS). The heads of such agencies may redelegate such authority only to a senior official designated pursuant to Section 3506(b) of Title 44, U.S. Code. Waivers shall be granted only when compliance with this standard would

- a. adversely affect the accomplishment of the mission of an operator of Federal computer system or
- b. cause a major adverse financial impact on the operator that is not offset by government-wide savings.

Agency heads may act upon a written waiver request containing the information detailed above. Agency heads may also act without a written waiver request when they determine that conditions for meeting the standard cannot be met. Agency heads may approve waivers only by a written decision that explains the basis on which the agency head made the required finding(s). A copy of each such decision, with procurement sensitive or classified portions clearly identified, shall be sent to: National Institute of Standards and Technology; ATTN: FIPS Waiver Decision, Information Technology Laboratory, 100 Bureau Drive, Stop 8900, Gaithersburg, MD 20899-8900.

In addition, notice of each waiver granted and each delegation of authority to approve waivers shall be sent promptly to the Committee on Government Operations of the House of Representatives and the Committee on Government Affairs of the Senate and shall be published promptly in the Federal Register.

When the determination on a waiver applies to the procurement of equipment and/or services, a notice of the waiver determination must be published in the Commerce Business Daily as a part of the notice of solicitation for offers of an acquisition or, if the waiver determination is made after that notice is published, by amendment to such notice.

A copy of the waiver, any supporting documents, the document approving the waiver and any supporting and accompanying documents, with such deletions as the agency is authorized and decides to make under Section 552(b) of Title 5, U.S. Code, shall be part of the procurement documentation and retained by the agency.

14. Where to obtain copies. This publication is available electronically by accessing <http://csrc.nist.gov/publications/>. A list of other available computer security publications, including ordering information, can be obtained from NIST Publications List 91, which is available at the same web site. Alternatively, copies of NIST computer security publications are available from: National Technical Information Service (NTIS), 5285 Port Royal Road, Springfield, VA 22161.

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Specification for the
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