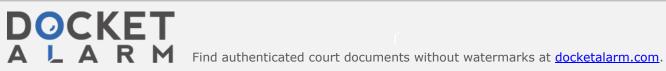
1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	
3	BEFORE THE PATENT TRIAL AND APPEAL BOARD
4	
5	
6	
7	SAMSUNG ELECTRONICS CO. LTD., SAMSUNG ELECTRONICS AMERICA, INC., AND APPLE, INC.
8	
9	Petitioners
10	v.
11	NEONODE SMARTPHONE, LLC
12	Patent Owner
13	
14	Case IPR2021-00145
15	U.S. Patent No. 8,812,993
16	
17	
18	
19	
20	ORAL AND VIDEOCONFERENCE DEPOSITION
21	JOSEPH SHAIN
22	December 1, 2021
23	
24	
25	
•	



```
1
          ORAL AND VIDEOCONFERENCE DEPOSITION OF
<sup>3</sup> JOSEPH SHAIN, produced as a witness at the instance of
<sup>4</sup> the Petitioners, and duly sworn, was taken in the
<sup>5</sup> above-styled and numbered cause on December 1, 2021,
6 from 10:01 a.m. to 10:44 a.m., before Mia Hoang,
<sup>7</sup> Certified Shorthand Reporter in and for the State of
8 Texas, reported by computerized stenotype machine via
<sup>9</sup> Zoom, pursuant to the Federal Rules of Civil Procedure
10 and the provisions stated on the record or attached
<sup>11</sup> hereto.
12
13
14
15
16
17
18
19
21
22
23
24
25
```



```
1
                   APPEARANCES
2
  FOR THE PETITIONERS:
        Mr. Zachary Loney
         DLA Piper
5
         303 Colorado Street, Suite 3000
         Austin, Texas
                         78701
6
         Telephone:
                    (512) 457-7203
         E-mail: zachary.loney@dlapiper.com
7
8
  FOR THE PATENT OWNER:
        Mr. Philip Graves
10
        HAGENS, BERMAN, SOBOL, SHAPIRO, LLP
         301 N. Lake Avenue, Suite 920
11
         Pasadena, CA 91101
         Telephone:
                     (213) 330-7147
12
        E-mail: philipg@hbsslaw.com
13
14
15
16
17
18
19
20
21
22
23
24
25
```



1					
		INDEX			
2			PAGE		
3					
4 Appea:	rances		. 3		
⁵ JOSEP:	H SHAIN				
6	Examination by Mr. Loney 5				
7	Examination by Mr. Graves				
⁸ Signa	Signature and Changes 26				
⁹ Repor	ter's C	ertificate	. 28		
10					
11					
12					
13					
14		EXHIBITS			
15					
¹⁶ NO.		DESCRIPTION	PAGE		
¹⁷ Exhib	it 1	Updated Notice of Deposition	7		
18		of Joseph Shain			
¹⁹ Exhib	it 2	Shain Declaration	9		
²⁰ Exhib	it 3	N1 Image	17		
²¹ Exhib	it 4	N2 Image	17		
22					
23		******			
24					
25					



- THE REPORTER: Could you raise your right hand to be sworn?
- 3
 (Witness sworn)
- JOSEPH SHAIN,
- ⁵ having been first duly sworn, testified as follows:
- 6 EXAMINATION
- 7 BY MR. LONEY:
- Q. All right. Thank you for your time today,
- ⁹ Mr. Shain.
- 10 Could you please start off by stating
- 11 your full name for the record.
- 12 A. Joseph Shain.
- Q. All right. And where are you currently
- 14 located today?
- 15 A. Stockholm, Sweden.
- Q. And is English your first language?
- 17 A. Yes.
- Q. Okay. And so just, you understand you're
- 19 under oath today, right?
- ²⁰ A. Yes.
- Q. Okay. And you understand that the testimony
- 22 you're giving today during this deposition will be as
- ²³ important as if you were giving it in a courtroom?
- 24 A. Yes.
- 25 Q. Okay. Have you ever been deposed before,



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

