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1 2	UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD
3 4 5 6 7 8 9	SAMSUNG ELECTRONICS CO. ) LTD., SAMSUNG ) ELECTRONICS AMERICA, ) INC., AND APPLE, INC., ) Petitioners ) VS. U.S. PATENT NO. VS. 8,812,993 NEONODE SMARTPHONE LLC, ) Patent Owner )
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11	****
12	REMOTE ORAL DEPOSITION OF
13	DR. CRAIG ROSENBERG
14	NOVEMBER 17, 2021
15	******************
18 19 20	REMOTE ORAL DEPOSITION of DR. CRAIG ROSENBERG, produced as a witness at the instance of the Petitioners, and duly sworn, was taken in the above-styled and numbered cause on November 17, 2021, from 11:03 a.m. to 4:06 p.m., before Heather L. Garza, CSR, RPR, in and for the State of Texas, recorded by machine shorthand, at the offices of HEATHER L. GARZA, CSR, RPR, The Woodlands, Texas, pursuant to the Federal Rules of Civil Procedure and the provisions stated on the record or attached hereto; that the deposition shall be read and signed.

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1 REMOTE APPEARANCES 2 3 FOR THE PETITIONERS: 4 Ms. Tiffany Miller DLA PIPER (US) LLP 5 401 B Street, Suite 1700 San Diego, California 92101 б (619) 699-2700 tiffany.miller@us.dlapiper.com 7 -and-8 Mr. David L. Holt 9 FISH & RICHARDSON, P.C. 1000 Maine Avenue SW 10 Washington, D.C. 20024 (202) 626-7783 11 david.holt@fr.com 12 FOR THE PATENT OWNER: 13 Mr. Philip Graves 14 HAGENS BERMAN SOBOL SHAPIRO, LLP 301 North Lake Avenue, Suite 920 15 Pasadena, California 91101 (213) 330-7150 16 philipg@hbsslaw.com 17 18 19 20 21 22 23 24 25

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3 1 EXAMINATION INDEX <sup>2</sup> WITNESS: DR. CRAIG ROSENBERG <sup>3</sup> EXAMINATION PAGE BY MS. MILLER 4 4 5 128 SIGNATURE REQUESTED б 7 REPORTER'S CERTIFICATION 129 8 9 EXHIBIT INDEX 10 PAGE 11 EXHIBIT NO.1001 4 United States Patent No. 8,812,993 dated 12 August 19, 2014 13 EXHIBIT NO.1003 4 File History of '993 Patent 14 EXHIBIT NO.1005 4 15 Certified Translation of Published Patent Application No. 2002-55750 dated 16 August 10, 2000 17 EXHIBIT NO.1006 4 Improving Selection Performance on 18 Pen-Based Systems: A Study of Pen-Based Interaction for Selection Tasks by 19 Xiangshi Ren and Shinji Moriya 20 EXHIBIT NO.1007 4 United States Patent No. 5,422,656 dated 21 June 6, 1995 22 EXHIBIT NO.1029 4 United States Patent No. 5,821,930 dated 23 October 13, 1998 24 EXHIBIT NO.2013 4 Declaration of Craig Rosenberg, Ph.D. 25

#### Discovery Resource 713-223-3300

1 (Exhibit Nos. 1001, 1003, 1005, 1006, <sup>2</sup> 1007, 1029 and 2013 were previously marked.) 3 DR. CRAIG ROSENBERG, <sup>4</sup> having been first duly sworn, testified as follows: 5 EXAMINATION <sup>6</sup> BY MS. MILLER: 7 Ο. Good morning, Dr. Rosenberg. 8 Α. Good morning. 9 Have you been deposed before? Ο. 10 Α. I have, yes. 11 Ο. How many times? 12 Α. I believe 36. 13 36. And were those all patent-related cases? 0. 14 But the majority were. Α. No. 15 Ο. When was the last time you were deposed? 16 Α. I'd say about two months ago roughly, two to <sup>17</sup> three months ago. 18 Ο. What did you do to prepare for today's <sup>19</sup> deposition? 20 I read my report, my declarations. Α. Ι <sup>21</sup> reviewed the '993 patent. I reviewed some of the  $^{22}$  prior art that was asserted in this case. I spoke <sup>23</sup> with Phil Graves and Mark Carlson. 24 Ο. Did you speak with anyone else to prepare for <sup>25</sup> your deposition besides Phil and Mark?

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1 Α. I did not. (BY MS. MILLER) So we'll start with Exhibit 2 Ο. <sup>3</sup> 2013, which is your declaration that was submitted in <sup>4</sup> this IPR proceeding. 5 Α. Okay. 6 Do you have that in front of you? 0. 7 I do, yes. Α. 8 Is this the declaration you submitted in IPR Ο. 9 2021-00145? 10 2021-00145. That's correct. Α. And are these still your opinions? 11 0. 12 Α. Yes. They are. Do you have any corrections to your opinions 13 Ο.  $^{14}$  that are in this declaration? 15 Α. No corrections to my opinions. I think in <sup>16</sup> going through and reviewing it, I found few minor <sup>17</sup> typos, but -- but no substantive changes at all. 18 Ο. Are you aware that there's a district court <sup>19</sup> case between Samsung and Neonode and Apple and <sup>20</sup> Neonode? 21 Α. Yes. I'm aware. 22 Did you review any documents from that 0. <sup>23</sup> district court proceeding? 24 I certainly didn't in preparing for this Α.  $^{25}$  deposition. I really can't recall if I had reviewed

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