

In The Matter Of:

Motorola Mobility, Inc.

vs.

Apple, Inc., et al.

JOSEPH SHAIN

and

THOMAS ERIKSSON

March 19, 2012

MERRILL CORPORATION

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Joseph Shain and Thomas Eriksson
March 19, 2012

Page 1

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF FLORIDA

IN THE MATTER OF)
)
MOTOROLA MOBILITY, INC.,)
Plaintiff,)
) CIVIL ACTION NO:
v.) 1:10cv023580-Civ-UU
)
APPLE INC.,)
Defendant.)
-----)
APPLE INC.,)
Counterclaim Plaintiff)
)
v.)
)
MOTOROLA, INC. and)
MOTOROLA MOBILITY, INC.,)
Counterclaim Defendants.)

30(b)(6) VIDEOTAPED DEPOSITION OF NEONODE, INC.
DESIGNEES: JOSEPH SHAIN and THOMAS ERIKSSON

VOLUME I

Monday, March 19, 2012
AT: 1:32 p.m.

Taken at:

Neonode Technologies AB
Linnegatan 89D
115 23 Stockholm
Sweden

Court Reporter:

ANNE-MARIE STALLARD
Accredited Real-time Reporter

Merrill Corporation - Chicago

Joseph Shain and Thomas Eriksson

March 19, 2012

Page 2

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17 VIDEOGRAPHER:

18 PHILLIP HILL

19 Videographer

Page 3

1 WITNESS INDEX

2

3 Witness Page

4 JOSEPH SHAIN (Affirmed)6

5 Examination by MS. GARRIGAN6

6 THOMAS ERIKSSON (Affirmed)12

7 Examination by MS. GARRIGAN12

8 Examination by MS. HO25

9 Further Examination by MS. GARRIGAN30

10 Further Examination by MS. HO32

11 JOSEPH SHAIN (Recalled)34

12 Examination by MS. GARRIGAN (Continued)34

13 Examination by MS. HO79

14 Further Examination by MS. GARRIGAN90

15

16

17

18

19

20

21

22

23

24

25

Page 4

1 EXHIBIT INDEX

2

3 No. Description Page

4 Exhibit 1 Document labeled11

5 MOTO-APPLE-0007392648 through

6 0007392651

7 Exhibit 2 Document labeled22

8 MOTO-APPLE-0007392580 through

9 0007392621

10 Exhibit 3 Document labeled22

11 MOTO-APPLE 0007179111 through

12 0007179134

13 Exhibit 4 Document labeled Neonode27

14 000001

15

16 Exhibit 5 Document labeled37

17 MOTO-APPLE-0007392656 through

18 0007392659

19

20 Exhibit 6 Physical exhibit and50

21 slipsheet labeled Neonode

22 000014

23

24 Exhibit 7 Document labeled Neonode58

25 000003 through 000010

1 Exhibit 8 Document labeled Neonode62

2 000011 through 000013

3

4 Exhibit 9 Document labeled Neonode66

5 000002

6 Exhibit 10 Document labeled68

7 MOTO-APPLE 0007513551 through

8 0007513560

9 Exhibit 11 Postings from various77

10 websites about Neonode N1 and

11 Neonode N1m

12 Exhibit 12 List of weblinks to78

13 documentation regarding

14 Neonode N1

Page 5

12:39:23 1 THE VIDEOGRAPHER: This is the beginning of

13:31:52 2 videotape number 1, volume 1, this is the video

13:31:55 3 operator speaking, Phillip Hill for Merrill Legal

13:31:59 4 Solutions, Chicago office. Today's date is March 19,

13:32:05 5 2012. The time on the video screen is 13:32 Swedish

13:32:13 6 time.

13:32:14 7 We are at the Stockholm offices of Neonode to

13:32:19 8 take the videotaped deposition of Yossi Shain. This is

13:32:23 9 taken in the matter of Motorola Mobility, Inc. versus

13:32:28 10 Apple Inc. This is being heard in the United States

13:32:30 11 District Court for the Southern District of Florida,

13:32:36 12 case number 1:10cv023580-Civ-UU. Please will counsel

13:32:47 13 introduce themselves and state whom they represent.

13:32:50 14 MS. GARRIGAN: Cathleen Garrigan from Quinn

13:32:53 15 Emanuel Urquhart & Sullivan on behalf of Motorola

13:32:56 16 Mobility Inc. and Motorola Solutions, Inc.

13:33:01 17 MS. HO: Jill Ho from Weil Gotshal & Manges,

13:33:05 18 on behalf of Apple.

13:33:08 19 MR. KRONENGOLD: Steve Kronengold, SRK Law,

13:33:10 20 on behalf of Neonode.

13:33:16 21 THE VIDEOGRAPHER: The court reporter today

13:33:17 22 is Ms. Anne-Marie Stallard of Merrill Legal Solutions,

13:33:24 23 London office. Please will the court reporter swear in

13:33:26 24 the witness.

25

2 (Pages 2 to 5)

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Joseph Shain and Thomas Eriksson
March 19, 2012

Page 6	Page 8
<p>13:33:27 1 JOSEPH SHAIN 13:33:38 2 having been duly affirmed testified as follows: 13:33:38 3 EXAMINATION BY MS. GARRIGAN 13:33:39 4 Q. Good afternoon, Mr. Shain. Thank you very much 13:33:42 5 for taking the time to meet with me today. I'm 13:33:45 6 an attorney for Motorola and I'm going to ask you 13:33:50 7 a series of questions today. Have you ever been 13:33:52 8 deposed before? 13:33:53 9 A. No. 13:33:54 10 Q. So if I ask a question that's unclear, you 13:33:58 11 should just let me know and I'll try to rephrase 13:34:02 12 the question so that we can reach a mutual 13:34:05 13 understanding of what it is. Don't speculate if you 13:34:08 14 don't know what the answer is, and if you could just 13:34:11 15 give clear, verbal answers, it will help our court 13:34:14 16 reporter out today. So no nodding. 13:34:17 17 A. Okay. 13:34:19 18 Q. And then is there any reason why you can't 13:34:23 19 testify accurately and truthfully today? 13:34:26 20 A. No. 13:34:27 21 Q. Could you please state your full name for 13:34:29 22 the record. 13:34:30 23 A. Joseph Shain. 13:34:31 24 Q. And your current address? 13:34:34 25 A. 27B Pinsky Street, Rehovot, Israel.</p>	<p>13:35:35 1 me and we'll go back. 13:35:37 2 A. Okay. 13:35:38 3 Q. Have you worked at Neonode continuously since 13:35:40 4 2008? 13:35:41 5 A. Yes. 13:35:45 6 Q. What are your current responsibilities as 13:35:47 7 the Vice President of Intellectual Property? 13:35:51 8 A. Drafting and prosecuting patent applications 13:35:53 9 that cover the company's technological innovations, and 13:36:00 10 trademark applications as well. 13:36:06 11 Q. Are you familiar with the N1 phone? 13:36:10 12 A. Yes. 13:36:12 13 Q. Was the N1 phone developed by Neonode? 13:36:14 14 A. Yes. 13:36:16 15 Q. Was the N1 phone the first phone developed by 13:36:19 16 Neonode? 13:36:22 17 A. Yes. 13:36:24 18 Q. When did Neonode begin working on the N1 phone? 13:36:28 19 A. In 2001. 13:36:35 20 Q. Who was involved in developing the N1 phone in 13:36:39 21 2001? 13:36:41 22 A. Thomas Eriksson and Magnus Goertz. 13:36:47 23 Q. How long did they work on developing the N1 13:36:49 24 phone? 13:36:52 25 A. The phone was commercially available</p>
Page 7	Page 9
<p>13:34:38 1 Q. Are you familiar with the company Neonode? 13:34:40 2 A. Yes. 13:34:41 3 Q. Are you an employee at Neonode? 13:34:43 4 A. I am. 13:34:44 5 Q. When did you first become an employee at 13:34:46 6 Neonode? 13:34:48 7 A. I first started working for Neonode 13:34:50 8 in April 2008. 13:34:54 9 Q. What was your title when you first joined 13:34:57 10 Neonode in 2008? 13:35:00 11 A. Patent Engineer. 13:35:02 12 Q. And is that your title today? 13:35:04 13 A. No, today I'm Vice President of Intellectual 13:35:08 14 Property. 13:35:09 15 Q. Between when you first joined and being 13:35:12 16 Vice President of Intellectual Property, did you have 13:35:15 17 any intermediate titles? 13:35:19 18 A. No. 13:35:19 19 Q. Have you worked at Neonode continuously 13:35:21 20 since -- 13:35:22 21 A. Oh, possibly Intellectual Property Manager at 13:35:27 22 one point. 13:35:28 23 Q. Thank you, and that actually brings up another 13:35:29 24 thing. If at any point you want to go back and clarify 13:35:32 25 something or correct it, you should just do that; stop</p>	<p>13:36:55 1 on November 1, 2004, so I would say three years. More 13:37:03 2 than three years. 13:37:08 3 Q. What were the goals in developing the N1 phone? 13:37:14 4 MR. KRONENGOLD: I object to that question to 13:37:15 5 the extent that it asks for confidential and 13:37:17 6 proprietary information. The witness -- Yossi, you may 13:37:23 7 answer, but it's a broad question and please do not go 13:37:26 8 into any confidential proprietary information of 13:37:30 9 the company as to what the goals were in developing 13:37:33 10 the N1 phone. 13:37:36 11 THE WITNESS: So, briefly, the goals were to 13:37:38 12 create a phone, something novel. A touch screen phone. 13:38:15 13 BY MS. GARRIGAN 13:38:16 14 Q. When was the N1 phone first announced to 13:38:18 15 the public? 13:38:22 16 A. I believe it was announced in 2001. I was able 13:38:25 17 to establish announcements going back to 2002. 13:38:32 18 Q. Are you familiar with the German technology 13:38:34 19 con- -- the German technology conference, CeBit? 13:38:40 20 A. Yes. 13:38:40 21 Q. Was the N1 phone shown at CeBit in 2002? 13:38:46 22 A. I'm not sure. I believe it was. I'm not 13:38:50 23 positive. 13:39:16 24 Q. Do you know if the N1 phone was demonstrated at 13:39:19 25 the March 2002 CeBit conference?</p>

3 (Pages 6 to 9)

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Joseph Shain and Thomas Eriksson
March 19, 2012

Page 10	Page 12
<p>13:39:24 1 A. I believe it was. I -- yes, I don't know that 13:39:27 2 for sure. I didn't look into that. 13:39:41 3 Q. Do you know who would have attended the CeBit 13:39:43 4 conference in 2002 on behalf of Neonode? 13:39:45 5 A. I imagine Magnus Goertz, possibly 13:39:52 6 Thomas Eriksson. But actually that's conjecture on 13:39:56 7 my part. 13:39:57 8 Q. Okay. 13:39:57 9 A. I don't know for sure. 13:40:09 10 MR. KRONENGOLD: Again, if you don't know, 13:40:10 11 just say "I do not know". 13:40:13 12 THE WITNESS: Okay. 13:40:13 13 BY MS. GARRIGAN 13:40:14 14 Q. Do you know if an N1 phone was actually shown 13:40:19 15 at the March 2002 CeBit conference? 13:40:23 16 A. I don't know. 13:40:34 17 Q. Did an N1 phone, or a prototype of the phone, 13:40:37 18 exist in 2002? 13:40:40 19 A. I don't know. I believe it did. I don't know. 13:40:50 20 Certainly the end of 2002, but you asked 13:40:54 21 about March 2002. 13:40:55 22 Q. So by December of 2002 was there an N1 phone? 13:41:00 23 A. There was something, because I have a picture 13:41:04 24 of one from 2002, so I know that it exists. 13:41:10 25 Q. Why don't we mark that as an exhibit, what</p>	<p>13:47:45 1 (1:49 p.m.) 13:48:59 2 THE VIDEOGRAPHER: Going back on the record, 13:49:00 3 the time is 13:49. Thank you very much. 13:49:05 4 MS. GARRIGAN: So for the record, during 13:49:07 5 a break counsel had a discussion about the most 13:49:11 6 appropriate Neonode witness to answer questions about 13:49:14 7 the 2001 to 2005 timeframe and, based on our 13:49:18 8 discussion, Neonode has designated Mr. Thomas Eriksson 13:49:24 9 to answer questions about the earlier timeframe, and 13:49:28 10 I believe Apple does not have any objections to 13:49:30 11 the substitution of witnesses. 13:49:32 12 MS. HO: No objection. 13:49:33 13 MS. GARRIGAN: Could we swear in the witness. 13:49:35 14 THOMAS ERIKSSON 13:49:42 15 having been duly affirmed testified as follows: 13:49:43 16 EXAMINATION BY MS. GARRIGAN 13:49:43 17 Q. Thank you, Mr. Eriksson. 13:49:47 18 So going back to when Neonode was first announced 13:49:50 19 in 2001, are you familiar with the German technology 13:49:54 20 conference CeBit? 13:49:56 21 A. Yes. 13:49:57 22 Q. Was the N1 phone shown at CeBit in March 2002? 13:50:01 23 A. Yes. 13:50:02 24 Q. Was a -- was an actual prototype of the device 13:50:06 25 shown?</p>
Page 11	Page 13
<p>13:41:13 1 you're gesturing to. 13:41:15 2 A. Okay. 13:41:15 3 Q. Can I see it from here? 13:41:16 4 MR. KRONENGOLD: This was produced in 13:41:17 5 response to the subpoena. 13:41:20 6 MS. GARRIGAN: I actually have this. 13:41:22 7 THE WITNESS: Okay. 13:41:25 8 MR. KRONENGOLD: And it is entitled "New 13:41:29 9 Ultra-Mobile Smartphone Neonode N1" dated December 21, 13:41:36 10 2002. 13:41:37 11 MS. GARRIGAN: Can we mark exhibit 1. 13:42:02 12 (Exhibit 1 marked for identification) 13:42:11 13 MS. HO: I'll note for the record that this 13:42:14 14 has a Moto-Apple Bates stamp instead of a Neonode Bates 13:42:20 15 stamp. 13:42:20 16 MS. GARRIGAN: Right. Mr. Kronengold gave us 13:42:22 17 a list of links in one of his subpoena responses and so 13:42:25 18 we went to the links and we produced them. They didn't 13:42:27 19 actually produce documents to us. 13:42:41 20 MR. KRONENGOLD: Cathleen -- off the record. 13:42:42 21 Cathleen and Jill. 13:42:51 22 THE VIDEOGRAPHER: Going off the record, 13:42:53 23 the time is 13:42. 13:43:01 24 (1:42 p.m.) 13:43:17 25 (Discussion off the record.)</p>	<p>13:50:06 1 A. Yes. 13:50:07 2 Q. And did Neonode refer to the device as "N1" at 13:50:13 3 that -- at that time? 13:50:13 4 A. Yes. 13:50:15 5 Q. Who presented the Neonode phone at the CeBit 13:50:20 6 conference in 2002? 13:50:21 7 A. Myself. 13:50:23 8 Q. Did you give a demonstration of how the device 13:50:27 9 worked at the conference? 13:50:28 10 A. Yes. 13:50:29 11 Q. Were people attending the conference permitted 13:50:33 12 to try out the N1 device? 13:50:38 13 A. Separate seatings, not publicly. 13:50:43 14 Q. Were the separate seatings for people that were 13:50:45 15 not Neonode employees? 13:50:47 16 A. Typically large operators or customers. 13:50:51 17 Q. Did the N1 phone shown at CeBit have 13:50:55 18 the ability to lock and unlock? 13:51:01 19 A. No, it was more of a show general of 13:51:05 20 the concept of gesturing instead of key pressing. 13:51:12 21 THE COURT REPORTER: Sorry, could you repeat 13:51:12 22 "The concept of gesturing", was it? 13:51:12 23 THE WITNESS: A gesture-based user interface, 13:51:18 24 that was the thing we showed. 13:51:24 25 MR. KRONENGOLD: Could you repeat</p>

4 (Pages 10 to 13)

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