

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.

Petitioner,

v.

MASIMO CORPORATION,

Patent Owner.

Case IPR2020-01737
U.S. Patent 10,709,366

PETITIONER'S UPDATED EXHIBIT LIST

EXHIBITS

APPLE-1001	U.S. Patent No. 10,709,366 to Poeze, et al. (“the ’366 patent”)
APPLE-1002	Excerpts from the Prosecution History of the ’366 Patent (“the Prosecution History”)
APPLE-1003	Declaration of Dr. Thomas W. Kenny
APPLE-1004	Curriculum Vitae of Dr. Thomas W. Kenny
APPLE-1005	<i>Masimo Corporation, et al. v. Apple Inc.</i> , Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.)
APPLE-1006	U.S. Pub. No. 2002/0188210 (“Aizawa”)
APPLE-1007	JP 2006-296564 (“Inokawa”)
APPLE-1008	Certified English Translation of Inokawa and Translator’s Declaration
APPLE-1009	U.S. Pat. No. 7,088,040 (“Ducharme”)
APPLE-1010	U.S. Pat. No. 6,198,951 (“Kosuda”)
APPLE-1011	RESERVED
APPLE-1012	RESERVED
APPLE-1013	RESERVED
APPLE-1014	U.S. Pub. No. 2001/0056243 (“Ohsaki”)
APPLE-1015	“Design and Evaluation of a New Reflectance Pulse Oximeter Sensor,” Y. Mendelson, et al.; Worcester Polytechnic Institute,

Biomedical Engineering Program, Worcester, MA 01609;
Association for the Advancement of Medical Instrumentation,
Vol. 22, No. 4, 1988; pp. 167-173 (“Mendelson-1988”)

- APPLE-1016 “A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring,” Y. Mendelson, et al.; Proceedings of the 28th IEEE EMBS Annual International Conference, 2006; pp. 912-915 (“Mendelson-2006”)
- APPLE-1017 “Noninvasive Pulse Oximetry Utilizing Skin Reflectance Photoplethysmography,” Y. Mendelson, et al.; IEEE Transactions on Biomedical Engineering, Vol. 35, No. 10, October 1988; pp. 798-805 (“Mendelson-IEEE-1988”)
- APPLE-1018 “Acrylic: Strong, stiff, clear plastic available in a variety of brilliant colors,” available at <https://www.curbellplastics.com/Research-Solutions/Materials/Acrylic>
- APPLE-1019 U.S. Pat. No. 7,031,728 (“Beyer”)
- APPLE-1020 U.S. Pat. No. 7,092,735 (“Osann, Jr.”)
- APPLE-1021 U.S. Pat. No. 6,415,166 (“Van Hoy”)
- APPLE-1022 RESERVED
- APPLE-1023 U.S. Pub. No. 2005/0276164 (“Amron”)
- APPLE-1024 “Measurement Site and Photodetector Size Considerations in Optimizing Power Consumption of a Wearable Reflectance Pulse Oximeter,” Y. Mendelson, et al.; Proceedings of the 25th IEEE EMBS Annual International Conference, 2003; pp. 3016-3019 (“Mendelson-2003”)
- APPLE-1025 U.S. Pat. No. 6,801,799 (“Mendelson-’799”)

APPLE-1026 Declaration of Jacob Munford

APPLE-1027 U.S. Pub. No. 2007/0093786 (“Goldsmith”)

APPLE-1028 RESERVED

APPLE-1029 Wikipedia: The Free Encyclopedia, “Universal asynchronous receiver-transmitter” at https://en.wikipedia.org/wiki/Universal_asynchronous_receiver-transmitter, last accessed 08/27/2020

APPLE-1030 RESERVED

APPLE-1031 Scheduling Order, *Masimo v. Apple et al.*, Case 8:20-cv-00048, Paper 37 (April 17, 2020)

APPLE-1032 Stipulation by Apple

APPLE-1033 Telephonic Status Conference, *Masimo v. Apple et al.*, Case 8:20-cv-00048, Paper 78 (July 13, 2020)

APPLE-1034 Joseph Guzman, “Fauci says second wave of coronavirus is ‘inevitable’”, *TheHill.com* (Apr. 29, 2020), available at: <https://thehill.com/changing-america/resilience/natural-disasters/495211-fauci-says-second-wave-of-coronavirus-is>

APPLE-1035 “Tracking the coronavirus in Los Angeles County,” *LA Times.com* (Aug. 20, 2020), available at <https://www.latimes.com/projects/california-coronavirus-cases-tracking-outbreak/los-angeles-county/>

APPLE-1036 Order Amending Scheduling Order, *Masimo et al. v. True Wearables et al.*, Case 8:18-CV-02001 (July 7, 2020)

APPLE-1037 *Masimo Corporation, et al. v. Apple Inc.*, Second Amended Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.)

APPLE-1038 to 1039 RESERVED

APPLE-1040 Order Granting Stipulation to Amend the Scheduling Order, *Masimo v. Apple et al.*, Case 8:20-cv-00048, Paper 201 (September 21, 2020)

APPLE-1041 U.S. Patent No. 5,355,242 (“Eastmond”)

APPLE-1042 U.S. Patent No. 7,230,227 (“Wilcken”)

APPLE-1043 to 1046 RESERVED

APPLE-1047 U.S. Patent No. 4,941,236 (“Sherman”)

APPLE-1048 Order Re Motion to Stay, *Masimo v. Apple*, Case 8:20-cv-00048, Paper 201 (October 13, 2020)

APPLE-1049 Second Declaration of Robert Jacob Munford

APPLE-1050 Declaration of Gordon MacPherson: Mendelson-2006

APPLE-1051 Declaration of Gordon MacPherson: Mendelson-2003

APPLE-1052 Declaration of Gordon MacPherson: Mendelson-IEEE-1988)

APPLE-1053 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01536, IPR2020-01538 (August 3, 2021)

APPLE-1054 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 1 (August 1, 2021)

APPLE-1055 RESERVED

APPLE-1056 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 2 (August 2, 2021)

APPLE-1057 “Refractive Indices of Human Skin Tissues at Eight Wavelengths and Estimated Dispersion Relations between 300 and 1600 nm,” H. Ding, et al.; *Phys. Med. Biol.* 51 (2006); pp. 1479-1489 (“Ding”)

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