

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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APPLE INC.,

Petitioner,

v.

MASIMO CORPORATION,

Patent Owner.

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IPR2020-01713 (Patent 10,624,564 B1)

IPR2020-01716 (Patent 10,702,194 B1)

IPR2020-01722 (Patent 10,470,695 B2)

IPR2020-01733 (Patent 10,702,195 B1)

IPR2020-01737 (Patent 10,709,366 B1)

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Before JOSIAH C. COCKS, ROBERT L. KINDER, and  
AMANDA F. WIEKER, *Administrative Patent Judges*.

WIEKER, *Administrative Patent Judge*.

ORDER  
Setting Oral Argument  
*37 C.F.R. § 42.70*

IPR2020-01713 (Patent 10,624,564 B1)  
IPR2020-01716 (Patent 10,702,194 B1)  
IPR2020-01722 (Patent 10,470,695 B2)  
IPR2020-01733 (Patent 10,702,195 B1)  
IPR2020-01737 (Patent 10,709,366 B1)

## ORAL ARGUMENT

### *Time and Format*

The Board has considered Petitioner's and Patent Owner's requests for oral argument in these proceedings.<sup>1</sup> Taking into consideration these requests, and the time constraints for oral hearings on this day, the oral arguments will commence at **10:00 am Eastern Time on February 9, 2022, by videoconference.**<sup>2</sup> USPTO facilities remain closed to the public due to the state of affairs relating to COVID-19. The Board will provide a court reporter for the hearing, and the reporter's transcript will constitute the official record of the hearing.

Petitioner requests, for each proceeding captioned above, no more than one hour per side for oral argument time, i.e., five hours of total argument time per side across all five cases. *See, e.g.*, IPR2020-01733, Paper 24.

Patent Owner requests a consolidated argument for IPR1713, IPR1716, IPR1733, and IPR1737, due to overlap across the four proceedings, with one hour per side of total oral argument time for all four cases. *See, e.g.*, IPR2020-01733, Paper 25. For IPR1722, Patent Owner

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<sup>1</sup> *See* IPR2020-01713 ("IPR1713") (Papers 23, 24); IPR2020-01716 ("IPR1716") (Papers 25, 26); IPR2020-01722 ("IPR1722") (Papers 20, 21); IPR2020-01733 ("IPR1733") (Papers 24, 25); IPR2020-01737 ("IPR1737") (Papers 24, 25).

<sup>2</sup> If there are any concerns about disclosing confidential information, the parties must contact the Board at [Trials@uspto.gov](mailto:Trials@uspto.gov) at least ten (10) business days before the hearing date.

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requests 30 minutes per side for oral argument time. *See* IPR2020-01722, Paper 20.

Upon considering these requests, we grant oral argument according to the following schedule and groupings of proceedings to be argued together.

<b>Proceeding(s)</b>	<b>Time Per Side</b>	<b>Start Time</b>
IPR2020-01713 IPR2020-01716 IPR2020-01733 IPR2020-01737	2 hours	10:00 AM ET, with a 30 minute break during this portion of the hearing
IPR2020-01722	1 hour	10 minutes after conclusion of prior argument

The first four proceedings (IPR2020-01713, IPR2020-01716, IPR2020-01733, IPR2020-01737) will be argued together and will share a single transcript. Given the overlap in these first four proceedings, statements made during during this portion of the hearing are applicable to each of the four proceedings, unless it is explicitly noted that a statement applies to a specific proceeding only.

IPR2020-01722 will be argued separately and will have its own transcript. As a reminder, even if an argument was made during the portion of the hearing devoted to the first four proceedings, the argument must also be made and put on record during the portion of the hearing devoted to IPR2020-01722, for it to be considered in that proceeding.

For each portion of the hearing, Petitioner will open by presenting its case for unpatentability of the challenged patent(s). Thereafter, Patent Owner will respond to Petitioner's argument. Petitioner may reserve

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rebuttal time to respond to arguments presented by Patent Owner. In accordance with the Consolidated Trial Practice Guide<sup>3</sup> (“CTPG”), issued in November 2019, Patent Owner may request to reserve time for a brief sur-rebuttal. *See* CTPG 83.

The parties may request a pre-hearing conference in advance of the hearing. *See id.* at 82. “The purpose of the pre-hearing conference is to afford the parties the opportunity to preview (but not argue) the issues to be discussed at the oral hearing, and to seek the Board’s guidance as to particular issues that the panel would like addressed by the parties.” *Id.* If either party desires a pre-hearing conference, the parties should jointly contact the Board at [Trials@uspto.gov](mailto:Trials@uspto.gov) at least seven (7) business days before the hearing date to request a conference call for that purpose. If no response is received within two (2) days from the Board, the party requesting the conference should call the Board directly to ensure the request is being processed.

### *Demonstratives*

As set forth in 37 C.F.R. § 42.70(b), demonstratives shall be served on opposing counsel at least seven (7) business days before the hearing date and filed on or before Friday, February 4, 2022.<sup>4</sup>

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<sup>3</sup> Available at <https://www.uspto.gov/TrialPracticeGuideConsolidated>.

<sup>4</sup> The parties may stipulate to an alternative schedule for serving and filing demonstratives, and request the Board to modify the schedule for filing demonstratives, at least seven (7) business days before the hearing date.

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Demonstratives are not a mechanism for making new arguments. Demonstratives are also not evidence, and will not be relied upon as evidence. Rather, demonstratives are visual aids to a party's oral presentation regarding arguments and evidence previously presented and discussed in the papers. Accordingly, demonstratives shall be clearly marked with the words "DEMONSTRATIVE EXHIBIT – NOT EVIDENCE" in the footer. *See Dell Inc. v. Acceleron, LLC*, 884 F.3d 1364, 1369 (Fed. Cir. 2018) (holding that the Board is obligated under its own regulations to dismiss untimely argument "raised for the first time during oral argument"). "[N]o new evidence may be presented at the oral argument." CTPG 85–86; *see also St. Jude Med., Cardiology Div., Inc. v. The Bd. of Regents of the Univ. of Mich.*, IPR2013-00041, Paper 65, 2–3 (PTAB Jan. 27, 2014) (explaining that "new" evidence includes evidence already of record but not previously discussed in any paper of record).

Furthermore, because of the strict prohibition against the presentation of new evidence or arguments at a hearing, it is strongly recommended that each demonstrative includes a citation to a paper in the record, which allows the Board to easily ascertain whether a given demonstrative contains "new" argument or evidence or, instead, contains only that which is developed in the existing record.

Due to the nature of the Board's consideration of demonstratives and the opportunity afforded for the parties to reach an agreement without involving the Board, the Board does not anticipate that objections to demonstratives are likely to be sustained. Nevertheless, to the extent that a

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