Filed: November 17, 2021

### On behalf of:

Patent Owner Masimo Corporation

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

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APPLE INC.

Petitioner,

v.

MASIMO CORPORATION,

Patent Owner.

IPR2020-01715 Patent 10,631,765

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MASIMO OBJECTIONS TO ADMISSIBILITY OF APPLE EVIDENCE SERVED WITH ITS REPLY



Pursuant to 37 C.F.R. § 42.64(b), Patent Owner Masimo Corporation objects as follows to the admissibility of evidence served with Petitioner's reply. Patent Owner reserves the right to: (1) timely file a motion to exclude these objectionable exhibits or portions thereof; (2) challenge the credibility and/or weight that should be afforded to these exhibits, whether or not Patent Owner files a motion to exclude the exhibits; (3) challenge the sufficiency of the evidence to meet Petitioner's burden of proof on any issue, including, without limitation, whether Petitioner met its burden to prove the prior art status of the alleged prior art on which it relies, whether or not Patent Owner has objected to, or files a motion to exclude, the evidence; and (4) cross examine any Petitioner declarant within the scope of his or her direct testimony that relates to these exhibits, without regard to whether Patent Owner has objected to the testimony or related exhibits or whether the testimony or related exhibits are ultimately found to be inadmissible.

<b>Exhibit No. and Description</b>	Objection
Exhibit 1047 - Second Declaration of Dr. Thomas W. Kenny	Masimo objects because declarant's testimony improperly relies on new evidence and arguments not presented in connection with Petitioner's petition and does not respond to arguments raised in Patent Owner's responsive papers (37 C.F.R. § 42.23) (see e.g., ¶¶34-67).
	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
	The testimony is misleading, incomplete, and irrelevant because it lacks support for contentions and mischaracterizes the teachings of Exs. 1001, 1003, 1006, 1008, 1009, 1010, 1012,



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<b>Exhibit No. and Description</b>	Objection
	1016, 1019, 1024, 1049, 1051, 1052, 1053, 1054, 1056, 1057, 1058, 2004, 2006, 2007, and the
	Patent Owner Response.
	Improper Testimony by Expert Witness (FRE
	702):
	The testimony is not based on sufficient facts and data, and does not reliably apply facts and data using scientific principles.
Exhibit 1049 - Hecht Optics	Admissibility (FRE 1002, 1003):
Fourth Edition	This exhibit is an inadmissible copy because the exhibit as filed contains illegible and/or
	inaccurate reproductions of text and/or figures.
	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
	As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.
Exhibit 1050 - Excerpts of	Admissibility (FRE 1002, 1003):
Hecht Optics Second Edition	This exhibit is an inadmissible copy because the exhibit as filed contains illegible and/or inaccurate reproductions of text and/or figures.
	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
	As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.



<b>Exhibit No. and Description</b>	Objection
Exhibit 1051 - Hecht Optics	Admissibility (FRE 1002, 1003):
Second Edition	This exhibit is an inadmissible copy because the exhibit as filed contains illegible and/or inaccurate reproductions of text and/or figures.
	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
	As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.
Exhibit 1055 - "Refractive Indices of Human Skin Tissues at Eight Wavelengths and Estimated Dispersion Relations between 300 and 1600 nm," H. Ding, et al.; Phys. Med. Biol. 51 (2006); pp. 1479-1489	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):  As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.
Exhibit 1056 - "Analysis of the Dispersion of Optical Plastic Materials," S. Kasarova, et al.; Optical Materials 29 (2007); pp. 1481-1490	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):  As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.



<b>Exhibit No. and Description</b>	Objection
Exhibit 1057 - "Noninvasive Pulse Oximetry Utilizing Skin	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
Reflectance Photoplethysmography," Y. Mendelson, et al.; IEEE Transactions on Biomedical Engineering, Vol. 35, No. 10, October 1988; pp. 798-805	As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.
Exhibit 1058 - U.S. Pat. No. 6,198,951	Incomplete, Irrelevant, Misleading (FRE 106, 401, 403):
	As used by Petitioner, this document does not stand for the proposition for which it is cited and the portion of this document cited by Petitioner provides an incomplete characterization that, when taken in isolation, is misleading in the manner in which it is used, and confuses issues in the case.

Respectfully submitted, Knobbe, Martens, Olson & Bear, LLP

Dated: November 17, 2021 By: /Jacob L. Peterson/

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