

September 30, 2020

VIA E-MAIL

Joseph R. Re  
Stephen C. Jensen  
Perry D. Oldham  
Stephen W. Larson  
Knobbe, Martens, Olson & Bear LLP  
2040 Main Street  
Irvine, CA 92614

Re: Masimo Corporation et al. v. Apple Inc., Case No. 8:20-cv-00048 (C.D. Cal.)

Dear Counsel:

We write regarding petitions for *inter partes* review (IPR) being filed with the Patent Trial and Appeal Board (PTAB) to address claims of U.S. Patent No. 10,631,765. The table below lists grounds asserted by Apple in IPR petitions challenging claims of this patent, along with the implicated claims against which each ground is asserted. We write to inform you that Apple hereby stipulates that in the event the PTAB institutes an *inter partes* review including a ground listed in the table against the corresponding claims listed in the table for that ground (“Instituted Ground”), Apple will not assert that Instituted Ground against the corresponding claims listed in the table for that ground in the above captioned litigation (8:20-cv-00048).

Patent No.	Proceeding No.	Claims	Grounds
10,631,765	IPR2020-01714	1-8, 10-13, 15-16, 20-29	Mendelson-799 in view of Ohsaki, Schulz, and Mendelson-2006
		9	Mendelson-799 in view of Ohsaki, Schulz, Mendelson-2006, and Bergey
		14	Mendelson-799 in view of Ohsaki, Schulz, Mendelson-2006, and Goldsmith

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Patent No.	Proceeding No.	Claims	Grounds
		17-19	Mendelson-799 in view of Ohsaki, Schulz, Mendelson-2006, and Aizawa
	IPR2020-01715	1-8, 10-13, 15-29	Aizawa in view of Inokawa, Ohsaki, and Mendelson-2006
		9	Aizawa in view of Inokawa, Ohsaki, Mendelson-2006, and Bergey
		14	Aizawa in view of Inokawa, Ohsaki, Mendelson-2006, and Goldsmith

In so stipulating, Apple seeks to avoid multiple proceedings addressing the validity of these claims based on the Instituted Grounds. Rather, consistent with Congressional intent, through this stipulation, Apple expresses its intention to have only the PTAB address the Instituted Grounds of invalidity of these claims. But, for the sake of clarity and to avoid any doubt, if the PTAB declines to institute any of the grounds identified herein, Apple reserves the right to assert such grounds in this litigation. Additionally, even in the event of institution, Apple reserves its rights to continue to assert all grounds other than Instituted Grounds.

Sincerely,



Brian Rosenthal

## Appendix – Prior Art References Used in the Listed Grounds

Reference Name	Details
Mendelson-799	U.S. Patent No. 6,801,799
Ohsaki	U.S. Pub. No. 2001/0056243
Schulz	U.S. Pub. No. 2004/0054291
Mendelson-2006	“A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring,” Y. Mendelson, et al.; Proceedings of the 28th IEEE EMBS Annual International Conference, 2006; pp. 912-915
Bergey	U.S. Patent No. 3,789,601
Goldsmith	U.S. Pub. No. 2007/0093786
Aizawa	U.S. Pub. No. 2002/0188210
Inokawa	JP 2006-296564