UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.
Petitioner,
v.
MASIMO CORPORATION,
Patent Owner

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Case IPR2020-01715 U.S. Patent 10,631,765

PETITIONER'S UPDATED EXHIBIT LIST

EXHIBITS

| APPLE-1001 | US Patent No. 10,631,765 | |
|--------------------------|---|--|
| APPLE-1002 | File History for U.S. Patent No. 10,631,765 | |
| APPLE-1003 | Declaration of Dr. Kenny | |
| APPLE-1004 | Curriculum Vitae of Dr. Kenny | |
| APPLE-1005 | Masimo Corporation, et al. v. Apple Inc., Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.) | |
| APPLE-1006 | US Pub. No. 2002/0188210 ("Aizawa") | |
| APPLE-1007 | JP Pub. No. 2006/296564 ("Inokawa") | |
| APPLE-1008 | Certified English Translation of Inokawa and Translator's Declaration | |
| APPLE-1009 | US Pub. No. 2001/0056243 ("Ohsaki") | |
| APPLE-1010 | "A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring," Y. Mendelson, et al.; Proceedings of the 28th IEEE EMBS Annual International Conference, 2006; pp. 912-915 ("Mendelson-2006") | |
| APPLE-1011 | US Pub. No. US 2007/0093786 ("Goldsmith") | |
| APPLE-1012 | US Patent No. 6,801,799 ("Mendelson-799") | |
| APPLE-1013 | US Pub. No. 2004/0054291 ("Schulz") | |
| APPLE-1014-1015 RESERVED | | |
| APPLE-1016 | US Patent No. 3,789,601 ("Bergey") | |



| APPLE-1017 | "Design and Evaluation of a New Reflectance Pulse Oximeter Sensor," Y. Mendelson, et al.; Worcester Polytechnic Institute, Biomedical Engineering Program, Worcester, MA 01609; Association for the Advancement of Medical Instrumentation, vol. 22, No. 4, 1988; pp. 167-173 ("Mendelson-1988") |
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| APPLE-1018 | "Skin Reflectance Pulse Oximetry: In Vivo Measurements from the Forearm and Calf," Y. Mendelson, et al.; Journal of Clinical Monitoring, vol. 7, No. 1, January 1991 ("Mendelson 1991") |
| APPLE-1019 | Excerpts from Design of Pulse Oximeters, J.G. Webster; Institution of Physics Publishing, 1997 ("Webster") |
| APPLE-1020 | QuickSpecs; HP iPAQ Pocket PC h4150 Series |
| APPLE-1021 | Excerpts from How to Do Everything with Windows Mobile, Frank McPherson; McGraw Hill, 2006 ("McPherson") |
| APPLE-1022 | Excerpts from Master Visually Windows Mobile 2003, Bill Landon, et al.; Wiley Publishing, Inc., 2004 ("Landon") |
| APPLE-1023 | "Stimulating Student Learning with a Novel 'In-House' Pulse Oximeter Design," J. Yao and S. Warren; Proceedings of the 2005 American Society for Engineering Education Annual Conference & Exposition, 2005 ("Yao") |
| APPLE-1024 | US Pub. No. 2008/0194932 ("Ayers") |
| APPLE-1025 | U.S. Patent No. 7,031,728 ("Beyer") |
| APPLE-1026 | RESERVED |
| APPLE-1027 | National Instruments LabVIEW User Manual |
| APPLE-1028-103 | 0 RESERVED |
| APPLE-1031 | Scheduling Order, Masimo v. Apple et al., Case 8:20-cv-00048, Paper 37 (April 17, 2020) |



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| APPLE-1032 | Stipulation by Apple |
| APPLE-1033 | Telephonic Status Conference, Masimo v. Apple et al., Case 8:20-cv-00048, Paper 78 (July 13, 2020) |
| APPLE-1034 | Joseph Guzman, "Fauci says second wave of coronavirus is 'inevitable'", TheHill.com (Apr. 29, 2020), available at: https://thehill.com/changing-america/resilience/natural-disasters/495211-fauci-says-second-wave-of-coronavirus-is |
| APPLE-1035 | "Tracking the coronavirus in Los Angeles County," LATimes.com (Aug. 20, 2020), available at https://www.latimes.com/projects/california-coronavirus-casestracking-outbreak/los-angeles-county/ |
| APPLE-1036 | Order Amending Scheduling Order, Masimo et al. v. True Wearables et al., Case 8:18-CV-02001 (July 7, 2020) |
| APPLE-1037 | Masimo Corporation, et al. v. Apple Inc., Second Amended Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.) |
| APPLE-1038 | U.S. Patent No. 7,558,622 ("Tran") |
| APPLE-1039 | Declaration of Jacob R. Munford |
| APPLE-1040 | Order Granting Stipulation to Amend the Scheduling Order, Masimo v. Apple et al., Case 8:20-cv-00048, Paper 201 (September 21, 2020) |
| APPLE-1041 | U.S. Patent No. 7,251,513 ("Kondoh") |
| APPLE-1042 | JP Pub. No. 2005-270543 ("Tanagi") |
| APPLE-1043 | Certified English Translation of Tanagi and Translator's Declaration |



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| APPLE-1044 | Order Regarding Motion to Stay (Redacted) in <i>Masimo Corporation et al. v. Apple Inc.</i> , Case 8:20-cv-00048, October 13, 2020 |
| APPLE-1045 | Declaration of Jacob R. Munford |
| APPLE-1046 | Declaration of Gordon MacPherson |
| APPLE-1047 | Second Declaration of Dr. Thomas W. Kenny |
| APPLE-1048 | RESERVED |
| APPLE-1049 | Eugene Hecht, Optics (4th Ed. 2002) |
| APPLE-1050 | Excerpts of Eugene Hecht, Optics (2 nd Ed. 1990), pages 79-143, 211-220 |
| APPLE-1051 | Eugene Hecht, Optics (2 nd Ed. 1990) |
| APPLE-1052 | Deposition Transcript of Dr. Vijay Madisetti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 1 (August 1, 2021) |
| APPLE-1053 | Deposition Transcript of Dr. Vijay Madisetti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 2 (August 2, 2021) |
| APPLE-1054 | Deposition Transcript of Dr. Vijay Madisetti in IPR2020-01536, IPR2020-01538 (August 3, 2021) |
| APPLE-1055 | "Refractive Indices of Human Skin Tissues at Eight Wavelengths and Estimated Dispersion Relations between 300 and 1600 nm," H. Ding, et al.; Phys. Med. Biol. 51 (2006); pp. 1479-1489 ("Ding") |
| APPLE-1056 | "Analysis of the Dispersion of Optical Plastic Materials," S. Kasarova, et al.; Optical Materials 29 (2007); pp. 1481-1490 ("Kasarova") |



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