

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.

Petitioner,

v.

MASIMO CORPORATION,

Patent Owner.

Case IPR2020-01714
U.S. Patent 10,631,765

PETITIONER'S UPDATED EXHIBIT LIST

EXHIBITS

APPLE-1001	US Patent No. 10,631,765
APPLE-1002	File History for the '765 Patent
APPLE-1003	Declaration of Dr. Kenny
APPLE-1004	Curriculum Vitae of Dr. Kenny
APPLE-1005	<i>Masimo Corporation, et al. v. Apple Inc.</i> , Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.)
APPLE-1006	US Pub. No. 2002/0188210 (“Aizawa”)
APPLE-1007	JP Pub. No. 2006/296564 (“Inokawa”)
APPLE-1008	Certified English Translation of Inokawa and Translator’s Declaration
APPLE-1009	US Pub. No. 2001/0056243 (“Ohsaki”)
APPLE-1010	“A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring,” Y. Mendelson, et al.; Proceedings of the 28th IEEE EMBS Annual International Conference, 2006; pp. 912-915 (“Mendelson-2006”)
APPLE-1011	US Pub. No. US 2007/0093786 (“Goldsmith”)
APPLE-1012	US Patent No. 6,801,799 (“Mendelson-799”)
APPLE-1013	US Pub. No. 2004/0054291 (“Schulz”)
APPLE-1014	RESERVED
APPLE-1015	RESERVED
APPLE-1016	US Patent No. 3,789,601 (“Bergey”)

- APPLE-1017 “Design and Evaluation of a New Reflectance Pulse Oximeter Sensor,” Y. Mendelson, et al.; Worcester Polytechnic Institute, Biomedical Engineering Program, Worcester, MA 01609; Association for the Advancement of Medical Instrumentation, Vol. 22, No. 4, 1988; pp. 167-173 (“Mendelson-1988”)
- APPLE-1018 “Skin Reflectance Pulse Oximetry: In Vivo Measurements from the Forearm and Calf,” Y. Mendelson, et al.; Journal of Clinical Monitoring, vol. 7, No. 1, January 1991 (“Mendelson 1991”)
- APPLE-1019 Design of Pulse Oximeters, J.G. Webster; Institution of Physics Publishing, 1997 (“Webster”)
- APPLE-1020 QuickSpecs; HP iPAQ Pocket PC h4150 Series
- APPLE-1021 How to Do Everything with Windows Mobile, Frank McPherson; McGraw Hill, 2006 (“McPherson”)
- APPLE-1022 Master Visually Windows Mobile 2003, Bill Landon, et al.; Wiley Publishing, Inc., 2004 (“Landon”)
- APPLE-1023 “Stimulating Student Learning with a Novel ‘In-House’ Pulse Oximeter Design,” J. Yao and S. Warren; Proceedings of the 2005 American Society for Engineering Education Annual Conference & Exposition, 2005 (“Yao”)
- APPLE-1024 US Pub. No. 2008/0194932 (“Ayers”)
- APPLE-1025 U.S. Patent No. 7,031,728 (“Beyer”)
- APPLE-1026 US Pub. No. 2007/0145255 (“Nishikawa”)
- APPLE-1027 National Instruments LabVIEW User Manual
- APPLE-1028-1030 RESERVED
- APPLE-1031 Scheduling Order, *Masimo v. Apple et al.*, Case 8:20-cv-00048, Paper 37 (April 17, 2020)

- APPLE-1032 Stipulation by Apple
- APPLE-1033 Telephonic Status Conference, Masimo v. Apple et al., Case 8:20-cv-00048, Paper 78 (July 13, 2020)
- APPLE-1034 Joseph Guzman, “Fauci says second wave of coronavirus is ‘inevitable’”, TheHill.com (Apr. 29, 2020), available at: <https://thehill.com/changing-america/resilience/natural-disasters/495211-fauci-says-second-wave-of-coronavirus-is>
- APPLE-1035 “Tracking the coronavirus in Los Angeles County,” LATimes.com (Aug. 20, 2020), available at <https://www.latimes.com/projects/california-coronavirus-cases-tracking-outbreak/los-angeles-county/>
- APPLE-1036 Order Amending Scheduling Order, *Masimo et al. v. True Wearables et al.*, Case 8:18-CV-02001 (July 7, 2020)
- APPLE-1037 *Masimo Corporation, et al. v. Apple Inc.*, Second Amended Complaint, Civil Action No. 8:20-cv-00048 (C.D. Cal.)
- APPLE-1038 U.S. Patent No. 7,558,622 (“Tran”)
- APPLE-1039 Declaration of Jacob R. Munford
- APPLE-1040 Order Granting Stipulation to Amend the Scheduling Order, *Masimo v. Apple et al.*, Case 8:20-cv-00048, Paper 201 (September 21, 2020)
- APPLE-1041 U.S. Patent No. 7,251,513 (“Kondoh”)
- APPLE-1042 JP Pub. No. 2005-270543 (“Tanagi”)
- APPLE-1043 Certified English Translation of Tanagi and Translator’s Declaration

- APPLE-1044 Order Granting Motion to Stay in *Masimo Corporation et al. v. Apple Inc.*, Civil Action No. 8:20-cv-00048-JVS-JDE, October 13,2020
- APPLE-1045 Second Declaration of Jacob R. Munford
- APPLE-1046 Declaration of Gordon MacPherson
- APPLE-1047 Second Declaration of Dr. Thomas W. Kenny
- APPLE-1048 RESERVED
- APPLE-1049 Eugene Hecht, *Optics* (4th Ed. 2002)
- APPLE-1050 Excerpts of Eugene Hecht, *Optics* (2nd Ed. 1990), pages 79-143, 211-220
- APPLE-1051 Eugene Hecht, *Optics* (2nd Ed. 1990)
- APPLE-1052 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 1 (August 1, 2021)
- APPLE-1053 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01520, IPR2020-01537, IPR2020-01539, Day 2 (August 2, 2021)
- APPLE-1054 Deposition Transcript of Dr. Vijay Madiseti in IPR2020-01536, IPR2020-01538 (August 3, 2021)
- APPLE-1055 “Refractive Indices of Human Skin Tissues at Eight Wavelengths and Estimated Dispersion Relations between 300 and 1600 nm,” H. Ding, et al.; *Phys. Med. Biol.* 51 (2006); pp. 1479-1489 (“Ding”)
- APPLE-1056 “Analysis of the Dispersion of Optical Plastic Materials,” S. Kasarova, et al.; *Optical Materials* 29 (2007); pp. 1481-1490 (“Kasarova”)

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