### Mays et al.

[45] May 22, 1984

[54]	TOBACCO	TREATMENT PROCESS				
[75]	Inventors:	Charles D. Mays, Lewisville; Max A. Wagoner; Daniel G. Williard, both of Winston-Salem, all of N.C.				
[73]	Assignee:	R. J. Reynolds Tobacco Company, Winston-Salem, N.C.				
[21]	Appl. No.:	269,086				
[22]	Filed:	Jun. 2, 1981				
[51] [52] [58]	U.S. Cl	A24B 3/12				
[56]		References Cited				
	U.S. PATENT DOCUMENTS					

0.5.11112.11							
Re. 29,298	2/1977	Banks	131/144				
3,085,581	4/1963	Rosenberg	131/144				
3,419,015	12/1968	Wochnowski	131/138				
3,548,838	12/1970	Key et al	131/144				
3,678,939	12/1972	Key et al	131/144				
3,742,961	7/1973	Waller	131/138				
3,800,806	4/1974	Banks	131/144				
3,817,258	6/1974	Ernow	. 131/31				
4,004,594	1/1977	Wochnowski et al	131/303				

4,054,145	10/1977	Berndt et al	131/139
4,148,325	4/1979	Solomon et al	131/134

### FOREIGN PATENT DOCUMENTS

1065767 9/1959 Fed. Rep. of Germany .

### OTHER PUBLICATIONS

World Tobacco, No. 59, (Jan. 1978), pp. 89-91.

Akehurst, B. C., Tobacco, Longman, (1968), pp. 471-474.

Flavour Industry 4 (No. 11) (Nov.-Dec. 1973), pp.

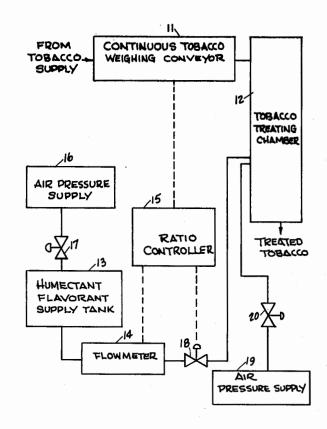
Flavour Industry 4, (No. 11), (Nov.-Dec. 1973), pp. 491-493.

Primary Examiner—Vincent Millin Attorney, Agent, or Firm—Herbert J. Bluhm

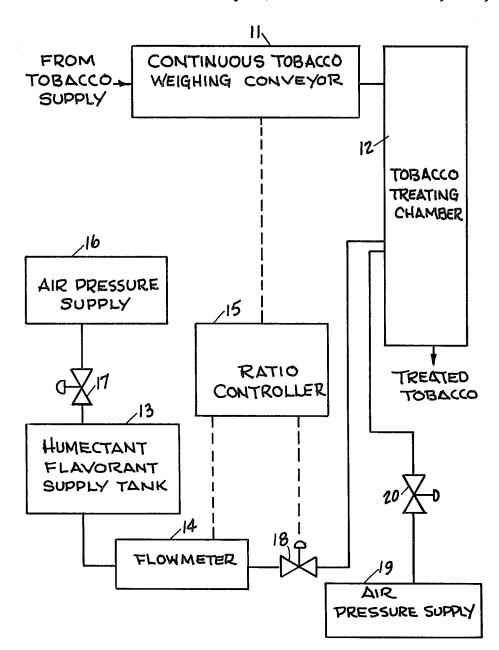
### [57] ABSTRACT

Cut shredded or otherwise comminuted tobacco is treated with a volatile flavoring additive in a continuous process wherein the additive is combined with a polyhydric alcohol carrier and the additive/polyhydric alcohol combination is applied to the tobacco [by gasatomizing spray nozzle means] under controlled conditions.

27 Claims, 1 Drawing Figure







### TOBACCO TREATMENT PROCESS

### **TECHNICAL FIELD**

This invention relates to the treatment of tobacco with flavoring and other additives in connection with the manufacture of tobacco products utilizing the treated tobacco.

### **BACKGROUND ART**

The manufacture of tobacco products usually involves treatment of the tobacco being processed with certain additives to enhance the quality and flavor charous processing conditions to which the tobacco is subjected, care must be taken so that additives applied to the tobacco are not adversely affected by subsequent processing steps. This is particularly true in the case of cause subsequent processing steps involving heat can result in significant losses of the volatile additives from the treated tobacco. Thus, tobacco flavoring additives which are somewhat volatile are generally applied to the tobacco in the form of an alcoholic solution of "top 25 flavors" after the tobacco has already been subjected to the heating and drying conditions which are used during certain processing steps.

In addition to the volatile "top flavor" additives, another category of additives having a relatively low 30 degree of volatility is also customarily applied to tobacco. This category includes materials such as sugars, licorice, cocoa, essential oils, fruit extracts and humectants. These materials are known in the art as "casing" materials and they are applied to the tobacco by dipping 35 or spraying prior to the cutting or shredding operation. While the ingredients used in casing compositions may vary to a considerable degree, the basic components are the sugars and humectants. The sugars serve to import the smoking quality of certain tobaccos such as Burley which are deficient in natural sugars. The humectants impart elasticity to the tobacco and contribute to increased stability of the flavor. It should be noted that water is also used in most casing compositions and can provide improved elasticity or pliability of the tobacco. The elasticity due to water, however, is less permanent than that of humectants because water is removed from the cased tobacco during subsequent processing of the tobacco whereas the less volatile humectants are retained by the tobacco during the heating and drying steps. With regard to the specific humectants used in the treatment of tobacco, glycerine was the original humectant used but other compounds used for this purpose include diethylene glycol, triethylene glycol, propylene 55 glycol, butylene glycol and sorbitol.

Apart from the question of where in the processing sequence, "top flavors" are applied to tobacco, the particular method for applying such additives is of primary concern. The method used must be capable of 60 applying the desired quantities of flavoring material to the tobacco as uniformly as possible. It is not surprising, therefore, that methods and apparatus for applying flavoring materials to tobacco have attracted considerable attention from those skilled in the art. For example, 65 recent improvements in application methods and apparatus are disclosed in U.S. Pat. No. 4,054,145. The complex arrangement described in that patent attests to the

difficulties in achieving uniform application of flavoring additives to tobacco.

Perhaps the best known and most widely accepted "top flavor" applied to tobacco is menthol. Menthol is most often applied in the form of a dilute alcoholic solution using a rotating cylinder similar to that shown in U.S. Pat. No. 4,054,145. Alcoholic solutions of menthol have also been applied to tobacco in a pneumatic system as described in U.S. Pat. Nos. 3,548,838 and 10 3,678,939. In spite of its long history of use, the application of alcoholic solutions of menthol to tobacco is not without its disadvantages. The use of alcohol as a carrier medium for menthol and other flavoring additives is both expensive and hazardous. Since the alcohol is noracteristics of the resulting products. In view of the vari- 15 mally not recovered following its removal from the treated tobacco by evaporation, it is necessary to employ venting procedures that will remove most of the alcohol and other volatile materials from the factory area where the tobacco is being treated. Not only do flavoring additives which are somewhat volatile be- 20 such venting procedures result in losses of menthol and other flavoring additives from the treated tobacco, they also require appropriate steps to prevent the formation of explosive mixtures of alcohol vapors and air. Thus, the alcohol-based flavor application systems incur the cost of the alcohol as well as operation and maintenance of equipment for controlling and removing alcohol vapors released into the factory processing area.

The deficiencies associated with the application of menthol dissolved in alcohol have been recognized by those skilled in the art and have led to the development of methods and apparatus for overcoming these deficiencies. One such method is disclosed in U.S. Pat. No. 3,800,806 (Reissue No. 29,298) which describes a method for applying menthol and other flavorants to tobacco by exposing the tobacco to flavorant vapors under controlled conditions. This method avoids the use of solvents or other carriers and purports to overcome the deficiencies of prior art processes based on the spray application of flavorant solutions. Although the teachings of this patent refer to the variations in flavorant application levels which can occur in prior art processes, no data are provided which would indicate that consistently uniform application levels result from the vaporized flavorant application process disclosed. In-45 deed, it is stated that the factors affecting deposition of the vaporized flavorant on tobacco include the concentration of flavorant vapor in the process stream, the contact time of flavorant vapor with tobacco, temperature of the air stream in the pneumatic conduit, velocity of air in the conduit, tobacco feed rate and tobacco temperature. In order to maintain the desired concentration of flavorant vapor in the process stream, it is necessary to withdraw samples of the pneumatic stream flowing through the conduit for analysis so that additional flavorant can be injected into the process stream. It is apparent that the number of factors that must be precisely controlled in the process increase processing costs without providing any guarantee that variation in flavorant application levels will not occur due to inadequate control over one or more process parameters. Thus, the method disclosed in U.S. Pat. No. 3,800,806 is not entirely satisfactory.

Another attempt to solve the problem of applying uniform quantities of flavorants such as menthol to tobacco is described in U.S. Pat. No. 3,817,258 and the corresponding U.K. patent specification No. 1,357,057. This patent discloses a method and apparatus for applying a flavorant solution to a shredded tobacco stream

just before the tobacco is made into cigarettes. The teachings indicate that menthol dissolved in alcohol is sprayed, preferably in aerosol form, onto a moving layer of tobacco just before the tobacco layer is deposited onto a moving web of cigarette paper on a cigarette 5 making machine. Although the disclosed invention has certain attractive features, it has proven to be somewhat unsatisfactory when used with present-day high speed cigarette making machines. Some of the invention's drawbacks are discussed in U.K. patent application No. 2,030,894 published in April 1980. The net result is that a completely satisfactory solution to the problem of applying uniform levels of flavorants to tobacco in an economical manner continues to elude those skilled in 15

In this review of background art, it should be noted that a number of references teach the use of solvents other than alcohol for applying menthol and other flavorants to tobacco. For example, U.S. Pat. No. 20 3,085,581 discloses a process for spraying cigar tobacco with a composition containing menthol, butylene glycol and water. U.S. Pat. No. 4,128,101 (Example IV) discloses the treatment of tobacco with a 5 percent by weight solution of 3-ethoxy-4-hydroxy-benzaldehyde <sup>25</sup> 2,2-dimethylpropanediol acetal in propylene glycol although it is evident from the teachings that solvent systems based on ethyl alcohol are preferred. In German Pat. No. 1,065,767, tobacco is treated with solutions of menthol and certain menthol esters in solvents 30 such as diethylene glycol, 1,3-butylene glycol and isopropyl alcohol. Other references could be cited which teach the use of glycols as solvents for flavoring additives but such teachings are usually found in connection 35 with casing compositions which are based largely on flavorants of low volatility. In spite of the numerous teachings relating to the addition of flavorant additives, there is an apparent lack of recognition among those skilled in the art as to how relatively volatile flavor 40 additives may be applied to tobacco without the use of costly volatile solvents and/or apparatus for incorporating volatile flavorants into tobacco products.

### BRIEF SUMMARY OF THE INVENTION

This invention provides a method for incorporating a volatile flavoring additive into cut, shredded or otherwise comminuted tobacco in connection with the manufacture of tobacco products.

It is a principal object of this invention to provide a 50 method for applying a volatile flavoring additive to cut, shredded or otherwise comminuted tobacco which avoids the use of solvents with low boiling points as a carrier medium for the flavoring additive.

It is a further object of this invention to provide a method for continuously applying uniform amounts of a flavoring additive and a humectant material to a moving stream of cut or shredded tobacco.

Further objects of this invention include a reduction 60 are somewhat lower than would otherwise be the case. in evaporative losses of a volatile flavoring additive from treated tobacco and an overall reduction in the release of potential air pollutants from the treatment of tobacco with a volatile flavoring additive when compared with prior art methods based on flavorant carrier 65 solvents having low boiling points.

Other objects and advantages of the invention will be apparent from the detailed description which follows.

### DETAILED DESCRIPTION OF THE INVENTION

In the conventional processing of cured tobacco destined for use in consumer products such as cigarettes and pipe smoking tobacco, tobacco strips (i.e., pieces of tobacco leaf lamina having stems and midribs removed therefrom) are treated with casing materials before further processing. The casing materials are essentially aqueous solutions of sugars and humectants which are applied to the cased strips prior to or in conjunction with a heating treatment to seal the casing in the leaf and to carmelize the added sugars. The treated tobacco strips are cut or shredded while still moist to give particle sizes of desired dimensions and the cut or shredded tobacco is again heated to reduce moisture levels. The dried and cooled cut tobacco is normally treated with an alcoholic solution of relatively volatile top flavors to impart desired flavors notes and aroma to the tobacco. The alcohol is allowed to evaporate from the treated tobacco before the tobacco is incorporated into smoking tobacco products.

This invention is based on the discovery that the quantities of humectants used in the casing materials applied to tobacco strips can be reduced substantially without apparent adverse effects on the tobacco during the subsequent processing steps and that the quantities of humectants withheld from the casing materials can instead be used as a vehicle or carrier for the top flavors applied to the cut or shredded tobacco. The net result is that the final humectant levels applied to the tobacco are essentially unchanged while the alcohol previously used for applying the volatile flavoring additives is no longer needed. Not only is there a substantial economic benefit realized by eliminating the cost of the alcohol carrier for the top flavors but a source of air pollution (i.e., the evaporating alcohol) is also eliminated.

The humectants which may be used in carrying out the process of this invention are those which are normally liquid at the processing temperatures employed. Those humectants which are preferred carriers include polyhydric alcohols such as propylene glycol, dipropylene glycol, trimethylene glycol, diethyleneglycol, triethyleneglycol, glycerol, a-methylglycerol and 1,2-, 45 1,3-, 1,4- and 2,3-butanediols. Propylene glycol and glycerol are particularly preferred as humectant/solvent agents since they are already widely used as tobacco humectants.

Humectants which are normally in the solid state at ambient temperatures (e.g., sorbitol) may also be used with this invention provided that the volatility of the flavoring additives incorporated therein and the operating temperatures employed do not lead to unacceptable losses of flavoring additives due to evaporation. In order to avoid excessively high operating temperatures, it is desirable to include one or more additional humectants and/or water in the carrier medium with the solid humectant. This will ensure that the medium is maintained in the liquid state at operating temperatures that

A variety of flavoring additives may be applied to the tobacco by using a humectant as the carrier for the additives. Examples of flavoring additives commonly used in the tobacco industry are menthol, anethole, cinnamaldehyde, vanillin, ethyl vanillin, peppermint oil and spearmint oil. Other examples of tobacco flavoring additives may be found in a compilation published in World Tobacco 59, pages 89-91 (January 1978). The

flavoring additives preferably included in the humectant carrier are those additives which are volatile. For the purposes of this invention, volatile flavoring additives are defined as those additives which readily distil at atmospheric pressure without appreciable decomposition or which are volatile with steam. In contrast to the volatility of the preferred additives, the sugars used in casing compositions are not distillable at atmospheric pressure nor are they volatile with steam.

The composition of the flavoring additive/humectant 10 carrier system applied to the tobacco will depend on various factors including (a) the desired final humectant level in the treated tobacco, (b) the desired final concentration of flavoring additive or additives in the treated tobacco and (c) the solubility or miscibility of the fla- 15 voring additive(s) in the humectant carrier under the process conditions used. The normal use levels of humeetants in tobacco products are sufficiently high so that no particular problems are encountered in achieving the desired concentration of flavoring additives. 20 moving tobacco stream as it moves through the treating The World Tobacco reference mentioned above, for example, recommends maximum weight percentages (based on dry weight of tobacco) of 3.0, 10.0 and 6.0 percent of 1,3-butanediol, glycerol and propylene glycol, respectively. For certain flavoring additives and 25 humectants which are in the solid state at ambient temperatures it may be necessary to heat the mixture when preparing and applying the additive/carrier system. Depending on the degree of volatility of the particular flavoring additive(s) being applied, the additive/carrier 30 system may be heated to temperatures of 30° to 100° C. at the time of application to the tobacco in order to maintain a homogeneous, liquid system. It is obvious that two or more humectants may also be used in combination to prepare the flavoring additive/humectant 35 carrier system. The incorporation of suitable emulsifiers may also be necessary with some systems. Regardless of the techniques used in preparing the flavoring additive/humectant carrier systems, it is essential that they be completely homogeneous under the application 40 conditions employed so that the additive(s) and humectant(s) can be applied to the tobacco at consistently uniform levels.

When menthol is used as the flavoring additive, it is preferred that the polyhydric alcohol selected as the 45 carrier be one that is capable of dissolving appreciable quantities of menthol. This is particularly true where the treated tobacco is to be used for manufacturing tobacco products containing relatively high levels of menthol. The use of higher menthol concentrations in 50 the humectant carrier makes it possible to avoid excessive levels of humectant in such treated tobacco. Accordingly, it is preferred that menthol concentrations in the humectant carrier medium be at least 20 percent or, more preferred, at least 35 percent or, most preferred, at 55 least 50 percent by weight based on the total weight of the menthol/carrier system. When propylene glycol, for example, is used as the carrier, it is possible to employ menthol concentrations of up to 80 percent by weight or more in the menthol/propylene glycol sys- 60 tem.

The manner in which the flavoring additive/humectant carrier system is applied to the tobacco is a very important consideration if the additive is to be distributed uniformly throughout the tobacco. Since the hu- 65 mectants preferred for use with this invention are relatively high boiling, viscous liquids as compared to solvents such as ethanol and propanol which have tradi-

tionally been used as flavorant carriers, it is necessary to employ spray nozzles which are capable of accommodating the viscosities and relatively low flow rates involved. Spray nozzles which are effective for this purpose include the gas- or air-atomizing type nozzles which emit an aerosol spray that is very suitable. Such nozzles may generate the aerosol spray by mixing the compressed gas or air with the liquid medium either internally or externally to the nozzle from which the liquid medium emerges. Gas-atomizing spray nozzles are also amenable to use with heated flavoring additive/humectant carrier systems in that a heated gas may be used to effect atomization of the additives. Although other types of spray nozzles such as hydraulic pressure type nozzles will accommodate the liquid humectant/flavorant systems, such nozzles give less satisfactory results as far as uniform distribution of the additives throughout the tobacco mass is concerned.

Another important consideration is the nature of the zone. The tobacco stream should be sufficiently spread out or dispersed to expose as many tobacco particles as possible to the droplets of liquid emerging from the spray nozzles. The temperature of the tobacco at the time it is contacted with the spray should be such that appreciable loss of flavoring additives due to evaporation is avoided. This is particularly true when a heated flavoring additive/humectant carrier system is being applied to the tobacco. It is preferred that the tobacco be agitated as it moves through the treating zone to improve exposure of the individual particles of tobacco to the spray droplets. At least one spray nozzle and preferably two or more spray nozzles are located in the treating zone. The number of nozzles, their location and their orientation with respect to the moving stream of tobacco will be largely determined by the design of the apparatus being used and the tobacco flow rate capacity of the apparatus. These factors are appreciated by those skilled in the art and the particular arrangement that is most suitable can be determined with a minimum of experimentation. For example, apparatus involving a rotating cylinder of the type disclosed in U.S. Pat. Nos. 3,419,015 and 4,054,145 has been found to be effective in the practice of this invention provided that the specific teachings contained herein are observed. Vertically disposed treating chambers of the general type disclosed in U.S. Pat. No. 3,742,961 may also be used with modifications in accordance with the present teachings. Other apparatus designs may also be adapted for use with this invention.

The manner in which the flow rate of the liquid humectant/flavorant system to the treating zone is controlled with respect to the stream of tobacco moving through the zone is crucial to the application of uniform levels of humectant/flavorant additives to the tobacco being treated. Although the prior art recognizes the need to control carefully with respect to each other the flow rates of the liquid and tobacco streams, the demands placed on devices for controlling the process streams are considerably less stringent with relatively dilute alcoholic solutions of flavoring additives as compared with the humectant/flavorant systems used in the present invention. Since it is desirable to avoid excessive levels of humectants in the treated tobacco, the present invention must achieve uniform distribution of flavoring additives with relatively small quantities of humectants. It is preferred that the humectant/flavorant flow rate be regulated by a mass flow meter and

# DOCKET A L A R M

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

## **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

