

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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PHILIP MORRIS PRODUCTS, S.A.,  
Petitioner,

v.

RAI STRATEGIC HOLDINGS, INC.,  
Patent Owner.

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Case IPR2020-01602  
Patent 9,901,123

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**PATENT OWNER'S MANDATORY NOTICES**

Patent Owner, RAI Strategic Holdings, Inc. (“Patent Owner”), provides the following mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

**I. REAL PARTIES-IN-INTEREST**

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies RAI Strategic Holdings, Inc., R.J. Reynolds Vapor Company, RAI Innovations Company, and R.J. Reynolds Tobacco Company as real parties-in-interest.

**II. RELATED MATTERS**

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owner states the following:

**A. Proceedings Before the USPTO Involving the Patent at Issue**

U.S. Patent No. 9,901,123 (“’123 patent”), which is the subject of this proceeding, is at issue in the following *inter partes* review proceeding:

Proceeding	Petitioner
IPR2020-00919	Philip Morris Products, S.A.

**B. Proceedings Before the USPTO Involving Related Patents**

The following proceedings before the USPTO involve patents related to the ’123 patent:

Proceeding	Petitioner	U.S. Patent No.
IPR2020-00921	Philip Morris Products, S.A.	9,814,268

Both the ’123 patent and U.S. Patent No. 9,814,268 claim priority to U.S. Serial No. 11/550,634 filed on October 18, 2006, now issued as U.S. Patent No. 7,726,320.

### C. Litigations Involving the Patent at Issue

The '123 patent is involved in litigation in *RAI Strategic Holdings, Inc. v. Altria Client Services LLC*, No. 1:20-cv-00393-LO-TCB (E.D. Va.), filed April 9, 2020, and at the United States International Trade Commission, Investigation No. 337-TA-1199, *Certain Tobacco Heating Articles and Components Thereof*, filed on April 9, 2020 and instituted by the Commission on May 11, 2020.

### III. LEAD AND BACKUP COUNSEL AND SERVICE INFORMATION

In accordance with 37 C.F.R. §§ 42.8(b)(3), 42.8(b)(4), and 42.10(a), Patent Owner identifies the following lead and backup counsel and service information:

Lead counsel: David M. Maiorana (Reg. No. 41,449)  
Jones Day  
901 Lakeside Avenue  
Cleveland, OH 44114  
Tel: (216) 586-3939  
Fax: (216) 579-0212  
Email: dmaiorana@jonesday.com

Backup counsel:

Anthony M. Insogna (Reg. No. 35,203) Jones Day 4655 Executive Drive, Suite 1500 San Diego, CA 92121-3134 Tel: (858) 314-1200 Fax: (844) 345-3178 Email: aminsogna@jonesday.com	Kenneth S. Luchesi (Reg. No. 58,673) Jones Day 901 Lakeside Avenue Cleveland, OH 44114-1190 Tel: (216) 586-3939 Fax: (216) 579-0212 Email: kluchesi@jonesday.com
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Geoffrey K. Gavin (Reg. No. 47,591)  
Jones Day  
1420 Peachtree Street, N.E., Suite 800  
Atlanta, GA 30309-3053  
Tel: (404) 521-3939  
Fax: (404) 581-8330  
Email: ggavin@jonesday.com

Joshua R. Nightingale (Reg. No. 67,865)  
Jones Day  
500 Grant Street, Suite 4500  
Pittsburgh, PA 15219-2514  
Tel: (412) 391-3939  
Fax: (412) 394-7959  
Email: jrnightingale@jonesday.com

George N. Phillips (Reg. No. 68,001)  
Jones Day  
250 Vesey Street  
New York, NY 10281-1047  
Tel: (212) 326-3939  
Fax: (212) 755-7306  
Email: gphillips@jonesday.com

David B. Cochran (Reg. No. 39,142)  
Jones Day  
901 Lakeside Avenue  
Cleveland, OH 44114  
Tel: (216) 586-3939  
Fax: (216) 579-0212  
Email: dcochran@jonesday.com

Patent Owner consents to electronic service by email at the email addresses listed above.

Pursuant to 37 C.F.R. § 42.10(b), a Power of Attorney is being submitted to the Board herewith.

Respectfully submitted,

Dated: October 9, 2020

/David M. Maiorana/

David M. Maiorana (Reg. No. 41,449)  
JONES DAY  
901 Lakeside Avenue  
Cleveland, OH 44114  
Telephone: (216) 586-3939  
Facsimile: (216) 579-0212  
Email: dmaiorana@jonesday.com

*Counsel for Patent Owner  
RAI Strategic Holdings, Inc.*

**CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.6, the undersigned certifies that a copy of the foregoing “Patent Owner’s Mandatory Notices” was served on October 9, 2020 by electronic mail upon the following counsel of record for Petitioner, Philip Morris Products, S.A.:

Jonathan M. Strang, Reg. No. 61,724  
Latham & Watkins LLP  
555 Eleventh Street, NW, Suite 1000  
Washington, DC 20004-1304  
Tel: (202) 637-2362  
Fax: (202) 637-2201  
Email: jonathan.strang@lw.com

Matthew J. Moore, Reg. No. 42,012  
Latham & Watkins LLP  
555 Eleventh Street, NW, Suite 1000  
Washington, DC 20004-1304  
Tel: (202) 637-2278  
Fax: (202) 637-2201  
Email: matthew.moore@lw.com

Christopher W. Henry, Reg. No. 60,907  
Latham & Watkins LLP  
200 Clarendon Street  
Boston, MA 02116  
Tel: (617) 880-4550  
Fax: (617) 948-6001  
Email: christopher.henry@lw.com

Dated: October 9, 2020

/David M. Maiorana/

David M. Maiorana (Reg. No. 41,449)  
JONES DAY  
901 Lakeside Avenue  
Cleveland, OH 44114  
Telephone: (216) 586-3939  
Facsimile: (216) 579-0212  
Email: dmaiorana@jonesday.com

*Counsel for Patent Owner  
RAI Strategic Holdings, Inc.*