# INFORMATION DISCLOSURE STATEMENT

Applicant : Ammar Al-Ali

App. No : Unknown

Filed : Herewith

For : LOW POWER PULSE OXIMETER

Examiner : Unknown

Art Unit : Unknown

CERTIFICATE OF EFS WEB TRANSMISSION

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November 13, 2007

John M. Grover, Reg. No. 42,610

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a PTO/SB/08 Equivalent listing 195 references that are of record in U.S. patent application No. 10/785,573, filed February 24, 2004, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed within three months of the filing date, with an RCE or before receipt of a first office action after an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 13, 2007

John M. Grover

Registration No. 42,610

Attorney of Record

Customer No. 20,995

(949) 760-0404

|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| OTATEMENT BY ALL FLOARS               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 1 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |             |   | U.S. PATENT                    | DOCUMENTS                     |  |
|----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials | Cite<br>No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      | 1           | 7,295,866   | 11/2007                        | Al-Ali                        |  |
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|                      | 3           | 7,289,835   | 10/2007                        | Mansfield et al.              |  |
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|                      | 6           | 7,274,955   | 09/2007                        | Kiani et al.                  |  |
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|                      | 14          | 7,225,007   | 05/2007                        | Al-Ali                        |  |
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|                      | 16          | 7,221,971   | 05/2007                        | Diab                          |  |
|                      | 17          | 7,215,986   | 05/2007                        | Diab                          |  |
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|                      | 19          | 7,190,261   | 03/2007                        | Al-Ali                        |  |
|                      | 20          | 7,186,966   | 03/2007                        | Al-Ali                        |  |
|                      | 21          | 7,149,561   | 12/2006                        | Diab                          |  |
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|                      | 23          | 7,132,641   | 11/2006                        | Schulz et al.                 |  |
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|                      | 28          | 7,041,060   | 05/2006                        | Flaherty et al                |  |
|                      | 29          | 7,039,449   | 05/2006                        | Al-Ali                        |  |

|  | Examiner Signature | Date Considered |
|--|--------------------|-----------------|
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

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| STATEMENT DI ALI LICANI               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 2 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |             |   | U.S. PATENT                    | DOCUMENTS                     | _  |
|----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials | Cite<br>No. | Document Number  Number - Kind Code (if known)  Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      | 30          | 7,030,749   | 04/2006                        | Al-Ali                        |  |
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|                      | 36          | 6,999,904   | 02/2006                        | Weber et al.                  |  |
|                      | 37          | 6,996,427   | 02/2006                        | Ali et al.                    |  |
|                      | 38          | 6,993,371   | 01/2006                        | Kiani et al.                  |  |
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|                      | 45          | 6,943,348   | 09/2005                        | Coffin IV                     |  |
|                      | 46          | 6,939,305   | 09/2005                        | Flaherty et al.               |  |
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|                      | 49          | 6,920,345   | 07/2005                        | Al-Ali et al.                 |  |
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|                      | 55          | 6,830,711   | 12/2004                        | Mills et al.                  |  |
|                      | 56          | 6,826,419   | 11/2004                        | Diab et al.                   |  |
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|                      | 58          | 6,816,741   | 11/2004                        | Diab                          |  |

| Examiner Signature | Date Considered |
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| OTATEMENT BY ALL EIGHT                | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 3 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |             |   | U.S. PATENT                    | DOCUMENTS                     |  |
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|                      | 59          | 6,813,511   | 11/2004                        | Diab et al.                   |  |
|                      | 60          | 6,792,300   | 09/2004                        | Diab et al.                   |  |
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|                      | 65          | 6,735,459   | 05/2004                        | Parker                        |  |
|                      | 66          | 6,728,560   | 04/2004                        | Kollias, et al.               |  |
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| -                    | 75          | 6,697,657   | 02/2004                        | Shehada, et al.               |  |
|                      | 76          | 6,697,656   | 02/2004                        | Al-Ali                        |  |
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|                      | 78          | 6,684,090   | 01/2004                        | Ali et al.                    |  |
|                      | 79          | 6,678,543   | 01/2004                        | Diab et al.                   |  |
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| •                    | 86          | 6,640,116   | 10/2003                        | Diab                          |  |
|                      | 87          | 6,639,668   | 10/2003                        | Trepagnier, Pierre            |  |

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| STATEMENT DI APPLICANT                | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 4 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |
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|                      | ~           |   | U.S. PATENT                    | DOCUMENTS                     |  |
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|                      | 88          | 6,632,181   | 10/2003                        | Flaherty et al.               |  |
|                      | 89          | 6,606,511   | 08/2003                        | Ali et al.                    |  |
|                      | 90          | 6,597,933   | 07/2003                        | Kiani et al.                  |  |
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|                      | 99          | 6,519,487   | 02/2003                        | Parker                        |  |
|                      | 100         | 6,515,273   | 02/2003                        | Al-Ali                        |  |
|                      | 101         | 6,505,059   | 01/2003                        | Kollias, et al.               |  |
|                      | 102         | 6,501,975   | 12/2002                        | Diab et al.                   |  |
|                      | 103         | 6,470,199   | 10/2002                        | Kopotic et al.                |  |
|                      | 104         | 6,463,311   | 10/2002                        | Diab                          |  |
|                      | 105         | 6,430,525   | 08/2002                        | Weber et al.                  |  |
|                      | 106         | 6,397,091   | 05/2002                        | Diab et al.                   |  |
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|                      | 108         | 6,377,829   | 04/2002                        | Al-Ali                        |  |
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|                      | 111         | 6,360,114   | 03/2002                        | Diab et al.                   |  |
|                      | 112         | 6,349,228   | 02/2002                        | Kiani et al.                  |  |
|                      | 113         | 6,343,224   | 01/2002                        | Parker                        |  |
|                      | 114         | 6,334,065   | 12/2001                        | Al-Ali et al.                 |  |
|                      | 115         | 6,321,100   | 11/2001                        | Parker                        |  |
|                      | 116         | 6,285,896   | 09/2001                        | Tobler et al.                 |  |

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| Examiner Signature   | Date Considered |

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|                                       | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 5 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

| ·                    | U.S. PATENT DOCUMENTS |   |                                |                               |  |  |
|----------------------|-----------------------|---|--------------------------------|-------------------------------|--|--|
| Examiner<br>Initials | Cite<br>No.           | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                      | 117                   | 6,280,213   | 08/2001                        | Tobler et al.                 |  |  |
|                      | 118                   | 6,278,522   | 08/2001                        | Lepper, Jr. et al.            |  |  |
|                      | 119                   | 6,263,222   | 07/2001                        | Diab et al.                   |  |  |
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|                      | 130                   | 6,151,516   | 11/2000                        | Kiani-Azarbayjany et al.      |  |  |
|                      | 131                   | 6,144,868   | 11/2000                        | Parker                        |  |  |
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|                      | 133                   | 6,110,522   | 08/2000                        | Lepper, Jr. et al.            |  |  |
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|                      | 136                   | 6,067,462   | 05/2000                        | Diab et al.                   |  |  |
|                      | 137                   | 6,045,509   | 04/2000                        | Caro et al.                   |  |  |
|                      | 138                   | 6,036,642   | 03/2000                        | Diab et al.                   |  |  |
|                      | 139                   | 6,027,452   | 02/2000                        | Flaherty et al.               |  |  |
|                      | 140                   | 6,011,986   | 01/2000                        | Diab et al.                   |  |  |
|                      | 141                   | 6,002,952   | 12/1999                        | Diab et al.                   |  |  |
|                      | 142                   | 5,997,343   | 12/1999                        | Mills et al.                  |  |  |
|                      | 143                   | 5,995,855   | 11/1999                        | Kiani et al.                  |  |  |
|                      | 144                   | 5,940,182   | 08/1999                        | Lepper, Jr. et al.            |  |  |
|                      | 145                   | 5,934,925   | 08/1999                        | Tobler et al.                 |  |  |

|                    | I               |
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| Examiner Signature | Date Considered |

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|                                       | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 6 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      | U.S. PATENT DOCUMENTS |   |                                |                               |  |  |
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|                      | 146                   | 5,924,979   | 07/1999                        | Swedlow et al.                |  |  |
|                      | 147                   | 5,919,134   | 07/1999                        | Diab                          |  |  |
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|                      | 150                   | 5,860,919   | 01/1999                        | Kiani-Azarbayjany et al.      |  |  |
|                      | 151                   | 5,833,618   | 11/1998                        | Caro et al.                   |  |  |
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|                      | 153                   | 5,823,950   | 10/1998                        | Diab et al.                   |  |  |
|                      | 154                   | 5,810,734   | 09/1998                        | Caro et al.                   |  |  |
|                      | 155                   | 5,791,347   | 08/1998                        | Flaherty et al.               |  |  |
|                      | 156                   | 5,785,659   | 07/1998                        | Caro et al.                   |  |  |
|                      | 157                   | 5,782,757   | 07/1998                        | Diab et al.                   |  |  |
|                      | 158                   | 5,769,785   | 06/1998                        | Diab et al.                   |  |  |
|                      | 159                   | 5,760,910   | 06/1998                        | Lepper, Jr. et al.            |  |  |
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|                      | 161                   | 5,743,262   | 04/1998                        | Lepper, Jr. et al.            |  |  |
|                      | 162                   | Des. 393,830  | 04/1998                        | Tobler et al.                 |  |  |
|                      | 163                   | 5,685,299   | 11/1997                        | Diab et al.                   |  |  |
|                      | 164                   | 5,645,440   | 07/1997                        | Tobler et al.                 |  |  |
|                      | 165                   | 5,638,818   | 06/1997                        | Diab et al.                   |  |  |
|                      | 166                   | 5,638,816   | 06/1997                        | Kiani-Azarbayjany et al.      |  |  |
|                      | 167                   | 5,632,272   | 05/1997                        | Diab et al.                   |  |  |
|                      | 168                   | 5,602,924   | 02/1997                        | Durand et al.                 |  |  |
|                      | 169                   | 5,590,649   | 01/1997                        | Caro et al.                   |  |  |
|                      | 170                   | 5,562,002   | 10/1986                        | Lalin                         |  |  |
|                      | 171                   | 5,561,275   | 10/1996                        | Savage, et al.                |  |  |
|                      | 172                   | 5,533,511   | 07/1996                        | Kaspari et al.                |  |  |
|                      | 173                   | 5,494,043   | 02/1996                        | O'Sullivan et al.             |  |  |
|                      | 174                   | 5,490,505   | 02/1996                        | Diab et al.                   |  |  |

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| OTATEMENT DI ALI EIOANI               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 7 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |             |   | U.S. PATENT                    | DOCUMENTS                     |  |
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|                      | 176         | D363,120  | 10/1995                        | Savage et al.                 |  |
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|                      | FOREIGN PATENT DOCUMENTS |  |                                   |                                  |  |                |  |  |
|----------------------|--------------------------|--|-----------------------------------|----------------------------------|--|----------------|--|--|
| Examiner<br>Initials | Cite<br>No.              | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant | Pages, Columns, Lines<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>1</sup> |  |  |
|                      | 193                      | EP 0 872 210 A1  | 10/1998                           | European                         |  |                |  |  |
|                      | 194                      | WO 99/63883  | 12/1999                           | PCT                              |  |                |  |  |
|                      |                          |  |                                   |                                  |  |                |  |  |
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| Examiner Signature | Date Considered |
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| STATEMENT BY ALL EIGHT                | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 8 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |   | NON PATENT LITERATURE DOCUMENTS   |  |  |  |
|----------------------|---|---|--|--|--|
| Examiner<br>Initials | itana (basak magasina isumal samial sumanasium satalag ata) data maga(a) usluma isaus |   |  |  |  |
|                      | 195   | PCT International Search Report, App. No. PCT/US02/20675, App. Date: 06/28/2002, 4 pages. |  |  |  |
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| Examiner Signature |  |  | Date Conside | ered |  |
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

| Electronic Patent Application Fee Transmittal |                            |             |          |        |                         |  |  |
|---|----------------------------|-------------|----------|--------|-------------------------|--|--|
| Application Number:                           |                            |             |          |        |                         |  |  |
| Filing Date:                                  |                            |             |          |        |                         |  |  |
| Title of Invention:                           | LOW POWER PULSE OXIMETER   |             |          |        |                         |  |  |
| First Named Inventor/Applicant Name:          | An                         | nmar Al-Ali |          |        |                         |  |  |
| Filer:  | John M. Grover/Lisa Sierra |             |          |        |                         |  |  |
| Attorney Docket Number:                       | MASIMO.285C2               |             |          |        |                         |  |  |
| Filed as Large Entity                         |                            |             |          |        |                         |  |  |
| Utility Filing Fees                           |                            |             |          |        |                         |  |  |
| Description                                   |                            | Fee Code    | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |                            |             |          |        |                         |  |  |
| Utility application filing                    |                            | 1011        | 1        | 310    | 310                     |  |  |
| Utility Search Fee                            |                            | 1111        | 1        | 510    | 510                     |  |  |
| Utility Examination Fee                       |                            | 1311        | 1        | 210    | 210                     |  |  |
| Pages:  |                            |             |          |        |                         |  |  |
| Claims:                                       |                            |             |          |        |                         |  |  |
| Miscellaneous-Filing:                         |                            |             |          |        |                         |  |  |
| Petition:                                     |                            |             |          |        |                         |  |  |
| Patent-Appeals-and-Interference:              |                            |             |          |        |                         |  |  |

| Description                       | Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |
|-----------------------------------|----------|----------|--------|-------------------------|
| Post-Allowance-and-Post-Issuance: |          |          |        |                         |
| Extension-of-Time:                |          |          |        |                         |
| Miscellaneous:                    |          |          |        |                         |
|                                   | (\$)     | 1030     |        |                         |

| Electronic Acknowledgement Receipt       |                                  |  |  |  |  |  |
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| EFS ID:                                  | 2464095                          |  |  |  |  |  |
| Application Number:                      | 11939519                         |  |  |  |  |  |
| International Application Number:        |                                  |  |  |  |  |  |
| Confirmation Number:                     | 6131                             |  |  |  |  |  |
| Title of Invention:                      | LOW POWER PULSE OXIMETER         |  |  |  |  |  |
| First Named Inventor/Applicant Name:     | Ammar Al-Ali                     |  |  |  |  |  |
| Customer Number:                         | 20995                            |  |  |  |  |  |
| Filer:                                   | John M. Grover/Alexandra Benitez |  |  |  |  |  |
| Filer Authorized By:                     | John M. Grover                   |  |  |  |  |  |
| Attorney Docket Number:                  | MASIMO.285C2                     |  |  |  |  |  |
| Receipt Date:                            | 13-NOV-2007                      |  |  |  |  |  |
| Filing Date:                             |                                  |  |  |  |  |  |
| Time Stamp:                              | 20:40:40                         |  |  |  |  |  |
| Application Type:                        | Utility under 35 USC 111(a)      |  |  |  |  |  |
| Payment information:                     | 1                                |  |  |  |  |  |
| Submitted with Payment                   | yes                              |  |  |  |  |  |
| Payment Type                             | Credit Card                      |  |  |  |  |  |
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| RAM confirmation Number                  | 4221                             |  |  |  |  |  |
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Part /.zip

**Pages** 

(if appl.)

File Listing:

**Document Description** 

Document Number

| 1   | Application Data Sheet                      | ADS_MASIMO285C2.pdf      | 257752<br>90c98a2d01044f3e11f3d29234700cb4           | no    | 4  |  |  |  |  |  |
|---|---|--------------------------|--|-------|----|--|--|--|--|--|
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| Warnings:   |   |                          |  |       |    |  |  |  |  |  |
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| This is not an                                      | USPTO supplied ADS fillable form            |                          |  |       |    |  |  |  |  |  |
| 0   |   | Specification_MASIMO285C | 1134759  | V-0-0 | 20 |  |  |  |  |  |
| 2   |   | 2.pdf                    | 40926c20cd32c4fa54c4c7ede&d6200b<br>7ac&4057         | yes   | 20 |  |  |  |  |  |
| Multipart Description/PDF files in .zip description |   |                          |  |       |    |  |  |  |  |  |
|   | Document Des                                | scription                | Start  | E     | nd |  |  |  |  |  |
|   | Specificat                                  | ion                      | 1  | 1     | 7  |  |  |  |  |  |
|   | Specificat                                  | ion                      | 18   | •     | 9  |  |  |  |  |  |
|   | Abstrac                                     | 20                       | 2  | 20    |    |  |  |  |  |  |
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| Information:  |   |                          | <u> </u>   |       |    |  |  |  |  |  |
| 3   | Drawings-only black and white line drawings | Drawings_MASIMO285C2.p   | 230386   | no    | 11 |  |  |  |  |  |
|   | arannige                                    | a.                       | c682a16ce7b1bef52314c20fc81e87830<br>315ade4         |       |    |  |  |  |  |  |
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| 4   | Oath or Declaration filed                   | Declaration_MASIMO285C2. | 54453  | no    | 1  |  |  |  |  |  |
|   |   | pdf                      | c3af\$7ccb\$333decb94eb95c3d9\$c914<br>b0ec7635      |       |    |  |  |  |  |  |
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| 5   | Power of Attorney                           | DOA MASIMO295C2 pdf      | 156061   | no    | 2  |  |  |  |  |  |
| 5   | Power of Allorney                           | POA_MASIMO285C2.pdf      | 0041453e51af9cdd69847386801f7d13<br>bf2a938b         | no    | 3  |  |  |  |  |  |
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| Information:  |   |                          |  |       |    |  |  |  |  |  |
| 6   | Information Disclosure Statement            | IDS_MASIMO285C2.pdf      | 478535   | no    | 9  |  |  |  |  |  |
| -   | Letter IDS_MASIMO28502.                     |                          | 184fa178f3f1ec09f2d937a6e4bcb3708<br>a30a935         | 3     |    |  |  |  |  |  |
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| Information:  |   |                          | <u> </u>   |       |    |  |  |  |  |  |
| 7   | Fee Worksheet (PTO-06)                      | fee-info.pdf             | 8352<br>62ad6dc74db78e658e3c8e4473ccabc6<br>f32d2347 | no    | 2  |  |  |  |  |  |
| Warnings:   |   |                          | 100.02.047   |       |    |  |  |  |  |  |

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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| Application Data  | Shoot 37 CE                                  | R 1 76                     | Attorne                        | ey Docke        | t Numb       | per         | MASIMO.285C2 |                   |              |             |                     |
| Application bate  | d Officer of Of                              | 1.70                       | Applica                        | ation Nur       | nber         |             |              |                   |              |             |                     |
| Title of Invention  | LOW POWER PUL                                | SE OXIM                    | ETER                           |                 |              |             |              |                   |              |             |                     |
| The application data shee bibliographic data arrange This document may be c document may be printed | ed in a format specifie ompleted electronica | d by the Ui<br>lly and sub | nited States<br>omitted to the | Patent and      | Tradem       | ark Offi    | ce as ou     | tlined in 37 (    | CFR 1.76.    |             |                     |
| Secrecy Order   | 37 CFR 5.2                                   |                            |                                |                 |              | _           |              |                   |              |             |                     |
|   | the application ass<br>per filers only. Ap   |                            |                                | •               |              |             | •            |                   |              | •           | rsuant to           |
| Applicant Infor   | mation:                                      |                            |                                |                 |              |             |              |                   |              |             |                     |
| Applicant 1   |  |                            |                                |                 |              |             |              |                   |              |             |                     |
| Applicant Authority   | • Inventor                                   | Legal Re                   | presentativ                    | e under         | 35 U.S.(     | C. 117      |              | )Party of In      | terest und   | der 35 U.S  | .C. 118             |
| Prefix Given Name   |  | N                          | liddle Na                      | me              |              |             | Family       | Name              |              |             | Suffix              |
| Ammar   |  |                            |                                |                 |              |             | Al-Ali       |                   | _            |             |                     |
| Residence Informa   | tion (Select One                             | ) <b>(</b> US              | Residenc                       | ру 🔘            | Non U        | S Resid     | dency        | O Active          | e US Milit   | ary Servic  | e                   |
| City Tustin   |  | State                      | /Province                      | e CA            | Co           | untry       | of Res       | sidence i         | US           |             |                     |
| Citizenship under 3   | 7 CFR 1.41(b) <sup>j</sup>                   | US                         |                                | _               |              |             |              |                   |              |             |                     |
| Mailing Address of  | Applicant:                                   |                            |                                |                 |              |             |              |                   |              |             |                     |
| Address 1   | 10880 Phillips                               | Street                     |                                |                 |              |             |              |                   |              |             |                     |
| Address 2   |  |                            |                                |                 |              |             |              |                   |              |             |                     |
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| Postal Code   | 92782  |                            |                                | Countr          | <b>y</b> i U | S           |              |                   |              |             |                     |
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| An Address is   | being provided                               | for the c                  | orrespor                       | ndence li       | nforma       | ation o     | of this      | applicatio        | on.          |             |                     |
| Customer Number   | 20995  |                            |                                |                 |              |             |              |                   |              |             |                     |
| Email Address   | efiling@kn                                   | nob.com                    |                                |                 |              |             |              | Add E             | mail         | Remove      | Email               |
| Application Inf   | ormation:                                    |                            |                                |                 |              |             |              |                   |              |             |                     |
| Title of the Inventio   | n LOWPOV                                     | VER PUL                    | SE OXIME                       | TER             |              |             |              |                   |              |             |                     |
| Attorney Docket Nu  | mber MASIMO.:                                | 285C2                      |                                |                 | Small        | l Entit     | y Statı      | ıs Claime         | d 🗌          |             |                     |
| Application Type  | ional  |                            | '                              |                 |              |             |              |                   |              |             |                     |
| Subject Matter Utility  |  |                            |                                |                 |              |             |              |                   |              |             |                     |
| Suggested Class (if   | any)   |                            |                                |                 | Sub (        | Class       | (if any      | )                 |              |             |                     |
| Suggested Technol   | ogy Center (if a                             | ny)                        |                                | '               |              |             |              |                   |              |             |                     |
| Total Number of Dra   | awing Sheets (if                             | any)                       | 11                             |                 | Sugg         | ested       | Figur        | e for Publ        | ication (    | if any)     | 3                   |

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|--|--------------|-------------------------------|-----------|------------------------|------------------------|--------------------------|----------------|----------------------------|--|--|
| Application Data Sheet 37 CFR 1.76   |              |                               | 1 76      | Attorney Docket Number |                        | MASIMO.2                 | 285C2          |                            |  |  |
| Application B  | Ap           |                               |           | Application            | on Number              |                          |                |                            |  |  |
| Title of Invention   | LOWP         | OWER PULSE                    | OXIMET    | ΓER                    |                        |                          |                |                            |  |  |
| Publication Info   | rmation:     |                               |           |                        |                        |                          |                |                            |  |  |
| Request Ear  | ly Publica   | tion (Fee requ                | ired at 1 | time of Req            | uest 37 CFR 1.2        | 219)                     |                |                            |  |  |
| Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. |              |                               |           |                        |                        |                          |                |                            |  |  |
| Representative Information:  Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).   |              |                               |           |                        |                        |                          |                |                            |  |  |
| Enter either Control are completed the   |              | Number or<br>Number will be u |           |                        |                        | lame sect<br>during proc |                | If both sections           |  |  |
| Please Select Or   | ie: (        | Customer N                    | lumber    | O us                   | Patent Practitions     | er 🔵 l                   | JS Representat | tive (37 CFR 11.9)         |  |  |
| Customer Number  | er 2         | 20995                         |           |                        |                        | ·                        |                |                            |  |  |
| Domestic Priority Information:  This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)  |              |                               |           |                        |                        |                          |                |                            |  |  |
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| Prior Application  |              | Pending                       | inuity T  | 1/00                   | Drior Applicat         | ion Numbo                |                |                            |  |  |
| Application N  | umber        | Continuation of               | inuity T  | ype                    | Prior Applicat         | lori Number              | 2004-02-24     | te (YYYY-MM-DD)            |  |  |
| Drier Application  | n Statua     | Patented                      | )         |                        | 10/703373              |                          |                | move                       |  |  |
| Application  |              | inuity Type                   |           | r Application          | _                      | 1 12                     | atent Number   | Issue Date<br>(YYYY-MM-DD) |  |  |
| Number<br>10/785573  | Continuat    | tion of                       | 10/184    | Number<br>1028         | (YYYY-MM<br>2002-06-26 |                          | 697658         | 2004-02-14                 |  |  |
| Prior Application  |              | Expired                       | 10/10-    | 1020                   | 2002 00 20             |                          |                | move                       |  |  |
| Application N  |              | •                             | inuity T  | vne                    | Prior Applicat         | ion Number               |                | te (YYYY-MM-DD)            |  |  |
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| Additional Domes   | stic Priorit | •                             |           | rated within           |                        | electina                 |                |                            |  |  |
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| Foreign Prio   | rity Inf     | ormation:                     |           |                        |                        |                          |                |                            |  |  |
| This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).  |              |                               |           |                        |                        |                          |                |                            |  |  |
| ,  |              |                               |           |                        |                        |                          | Rei            | nove                       |  |  |
| Application N  | umber        | C                             | country   | İ                      | Parent Filing D        | ate (YYYY                | -MM-DD)        | Priority Claimed           |  |  |
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| Application Da   | Application Data Sheet 37 CFR 1.76 |  |                        | Attorney Docket Number |             |                    | MASIMO.285C2      |           |                             |  |  |  |  |  |  |
| Application ba   | ta One                             | et 57 51 K 1.76                                | Application Number     |                        |             |                    |                   |           |                             |  |  |  |  |  |  |
| Title of Invention   | LOWP                               | POWER PULSE OXIME                              | TER                    |                        | •           |                    |                   |           |                             |  |  |  |  |  |  |
| Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button. |                                    |  |                        |                        |             |                    |                   |           |                             |  |  |  |  |  |  |
| Assignee Info  | rmati                              | on:  |                        |                        |             |                    |                   |           |                             |  |  |  |  |  |  |
|  |                                    | ne application data sheement recorded in the O |                        | titute for co          | mpliar      | nce with           | any requirem      | nent c    | f part 3 of Title 37        |  |  |  |  |  |  |
| Assignee 1   |                                    |  |                        |                        |             |                    | ٠                 |           |                             |  |  |  |  |  |  |
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#### MASIMO.285C2 PATENT

## LOW POWER PULSE OXIMETER

## REFERENCE TO RELATED APPLICATIONS

[0001] The present application is a continuation of U.S. Application No. 10/785,573, entitled "Low Power Pulse Oximeter," filed February 24, 2004, which is a continuation of Application No. 10/184,028, entitled "Low Power Pulse Oximeter," filed June 26, 2002, now Patent No. 6,697,658, which claims priority benefit under 35 U.S.C. § 119(e) from U.S. Provisional Application No. 60/302,564, entitled "Low Power Pulse Oximeter," filed July 2, 2001. The present application incorporates each of the foregoing disclosures herein by reference.

#### BACKGROUND OF THE INVENTION

[0002] Pulse oximetry is a widely accepted noninvasive procedure for measuring the oxygen saturation level of a person's arterial blood, an indicator of their oxygen supply. Oxygen saturation monitoring is crucial in critical care and surgical applications, where an insufficient blood supply can quickly lead to injury or death. FIG. 1 illustrates a conventional pulse oximetry system 100, which has a sensor 110 and a monitor 150. The sensor 110, which can be attached to an adult's finger or an infant's foot, has both red and infrared LEDs 112 and a photodiode detector 114. For a finger, the sensor is configured so that the LEDs 112 project light through the fingernail and into the blood vessels and capillaries underneath. The photodiode 114 is positioned at the finger tip opposite the fingernail so as to detect the LED emitted light as it emerges from the finger tissues. A pulse oximetry sensor is described in U.S. Patent 6,088,607 entitled "Low Noise Optical Probe," which is assigned to the assignee of the present invention and incorporated by reference herein.

[0003] Also shown in FIG. 1, the monitor 150 has LED drivers 152, a signal conditioning and digitization front-end 154, a signal processor 156, a display driver 158 and a display 159. The LED drivers 152 alternately activate the red and IR LEDs 112 and the front-end 154 conditions and digitizes the resulting current generated by the photodiode 114, which is proportional to the intensity of the detected light. The signal processor 156 inputs the conditioned photodiode signal and determines oxygen saturation based on the differential absorption by arterial blood of the two wavelengths emitted by the LEDs 112. Specifically, a

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ratio of detected red and infrared intensities is calculated by the signal processor **156**, and an arterial oxygen saturation value is empirically determined based on the ratio obtained. The display driver **158** and associated display **159** indicate a patient's oxygen saturation, heart rate and plethysmographic waveform.

#### SUMMARY OF THE INVENTION

[0004] Increasingly, pulse oximeters are being utilized in portable, batteryoperated applications. For example, a pulse oximeter may be attached to a patient during
emergency transport and remain with the patient as they are moved between hospital wards.
Further, pulse oximeters are often implemented as plug-in modules for multiparameter
patient monitors having a restricted power budget. These applications and others create an
increasing demand for lower power and higher performance pulse oximeters. A conventional
approach for reducing power consumption in portable electronics, typically utilized by
devices such as calculators and notebook computers, is to have a "sleep mode" where the
circuitry is powered-down when the devices are idle.

[0005] FIG. 2 illustrates a sleep-mode pulse oximeter 200 utilizing conventional sleep-mode power reduction. The pulse oximeter 200 has a pulse oximeter processor 210 and a power control 220. The power control 220 monitors the pulse oximeter output parameters 212, such as oxygen saturation and pulse rate, and controls the processor power 214 according to measured activity. For example, if there is no significant change in the oxygen saturation value over a certain time period, the power control 220 will power down the processor 210, except perhaps for a portion of memory. The power control 220 may have a timer that triggers the processor 210 to periodically sample the oxygen saturation value, and the power control 220 determines if any changes in this parameter are occurring. If not, the power control 220 will leave the processor 210 in sleep mode.

[0006] There are a number of disadvantages to applying consumer electronic sleep mode techniques to pulse oximetry. By definition, the pulse oximeter is not functioning during sleep mode. Unlike consumer electronics, pulse oximetry cannot afford to miss events, such as patient oxygen desaturation. Further, there is a trade-off between shorter but more frequent sleep periods to avoid a missed event and the increased processing overhead to

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power-up after each sleep period. Also, sleep mode techniques rely only on the output parameters to determine whether the pulse oximeter should be active or in sleep mode. Finally, the caregiver is given no indication of when the pulse oximeter outputs were last updated.

[0007] One aspect of a low power pulse oximeter is a sensor interface adapted to drive a pulse oximetry sensor and receive a corresponding input signal. A processor derives a physiological measurement corresponding to the input signal, and a display driver communicates the measurement to a display. A controller generates a sampling control output to at least one of said sensor interface and said processor so as to reduce the average power consumption of the pulse oximeter consistent with a predetermined power target.

[0008] In one embodiment, a calculator derives a signal status output responsive to the input signal. The signal status output is communicated to the controller to override the sampling control output. The signal status output may indicate the occurrence of a low signal quality or the occurrence of a physiological event. In another embodiment, the sensor interface has an emitter driver adapted to provide a current output to an emitter portion of the sensor. Here, the sampling control output determines a duty cycle of the current output. In a particular embodiment, the duty cycle may be in the range of about 3.125% to about 25%.

[0009] In another embodiment, the sensor interface has a front-end adapted to receive the input signal from a detector portion of the sensor and to provide a corresponding digitized signal. Here, the sampling control output determines a powered-down period of the front-end. A confidence indicator responsive to a duration of the powered-down period may be provided and displayed.

[0010] In yet another embodiment, the pulse oximeter comprises a plurality of data blocks responsive to the input signal, wherein the sampling control output determines a time shift of successive ones of the data blocks. The time shift may vary in the range of about 1.2 seconds to about 4.8 seconds.

[0011] An aspect of a low power pulse oximetry method comprises the steps of setting a power target and receiving an input signal from a pulse oximetry sensor. Further steps include calculating signal status related to the input signal, calculating power status

related to the power target, and sampling based upon the result of the calculating signal status and the calculating power status steps.

[0012] In one embodiment, the calculating signal status step comprises the substeps of receiving a signal statistic related to the input signal, receiving a physiological measurement related to the input signal, determining a low signal quality condition from the signal statistic, determining an event occurrence from the physiological measurement, and indicating an override based upon the low signal quality condition or the event occurrence. The calculating power status step may comprise the substeps of estimating an average power consumption for at least a portion of the pulse oximeter, and indicating an above power target condition when the average power consumption is above the power target. The sampling step may comprise the substep of increasing sampling as the result of the override. The sampling step may also comprise the substep of decreasing sampling as the result of the above power target condition, except during the override.

[0013] Another aspect of a low power pulse oximetry method comprises the steps of detecting an override related to a measure of signal quality or a physiological measurement event, increasing the pulse oximeter power to a higher power level when the override exists, and reducing the pulse oximeter power to a lower power level when the override does not exist. The method may comprise the further steps of predetermining a target power level for a pulse oximeter and cycling between the lower power level and the higher power level so that an average pulse oximeter power is consistent with the target power level.

[0014] In one embodiment, the reducing step comprises the substep of decreasing the duty cycle of an emitter driver output to the sensor. In another embodiment, the reducing step comprises the substep of powering-down a detector front-end. A further step may comprise displaying a confidence indicator related to the duration of the powering-down substep. In yet another embodiment, the reducing step comprises the substep of increasing the time-shift of post-processor data blocks.

[0015] Another aspect of a low power pulse oximeter comprises a sensor interface adapted to receive an input signal from a sensor, a signal processor configured to communicate with the sensor interface and to generate an internal parameter responsive to the input signal, and a sampling controller responsive to the internal parameter so as to generate a

sampling control to alter the power consumption of at least one of the sensor interface and the signal processor. The signal processor may be configured to generate an output parameter and the sampling controller may be responsive to a combination of the internal and output parameters so as to generate a sampling control to alter the power consumption of at least one of the sensor interface and the signal processor. The internal parameter may be indicative of the quality of the input signal. The output parameter may be indicative of oxygen saturation.

[0016] In another embodiment, the sampling controller is responsive to a predetermined power target in combination with the internal parameter so as to generate a sampling control to alter the power consumption of at least one of the sensor interface and the signal processor. The signal processor may be configured to generate an output parameter and the sampling controller may be responsive to a combination of the internal and output parameters and the power target so as to generate a sampling control to alter the power consumption of at least one of the sensor interface and the signal processor. The sensor interface may comprise an emitter driver and the sampling control may modify a duty cycle of the emitter driver. The sensor interface may comprise a detector front-end and the sampling control may intermittently power-down the detector front-end. The processor may generate a plurality of data blocks corresponding to the input signal, where each of the data blocks have a time shift from a preceding one of the data blocks, and where the sampling control may determine the amount of the time shift.

[0017] A further aspect of a low power pulse oximeter comprises an interface means for communicating with a sensor, a processor means for generating an internal parameter and an output parameter, and a controller means for selectively reducing the power consumption of at least one of the interface means and the processor means based upon the parameters. In one embodiment, the interface means comprises a driver means for determining the duty cycle of emitter current to the sensor, the driver means being responsive to the controller means. In another embodiment, the interface means comprises a detector front-end means for receiving an input signal from the sensor, the power for the detector front-end means being responsive to the controller means. In yet another embodiment, the processor means comprises a post-processor means for determining a time shift between data blocks, the post-processor means being responsive to the controller means. In a further

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embodiment, the controller means comprises a signal status calculator means for generating an indication of a low signal quality or a physiological event based upon at least one of an internal signal statistic and an output physiological measurement, and a control engine means in communications with the signal status calculator means for generating a sampling control responsive to the indication. In yet a further embodiment, the controller means comprises a power status calculator means for generating a power indication of power consumption relative to a power target, and a control engine means in communications with the power status calculator means for generating a sampling control responsive to the power indication.

# BRIEF DESCRIPTION OF THE DRAWINGS

- [0018] FIG. 1 is a block diagram of a conventional pulse oximeter sensor and monitor;
- [0019] FIG. 2 is a block diagram of a pulse oximeter having a conventional sleep mode;
  - [0020] FIG. 3 is a top-level block diagram of a low power pulse oximeter;
- [0021] FIG. 4 is a detailed block diagram of a low power pulse oximeter illustrating a sensor interface, a signal processor and a sampling controller;
- [0022] FIG. 5 is a graph of emitter drive current versus time illustrating variable duty cycle processing;
- [0023] FIG. 6 is a graph of oxygen saturation versus time illustrating intermittent sample processing;
- [0024] FIGS. 7A-B are graphs of data buffer content versus time illustrating variable data block overlap processing;
- [0025] FIG. 8 is a graph of power versus time illustrating power dissipation conformance to an average power target using variable duty cycle and intermittent sample processing;
- [0026] FIG. 9 is a state diagram of the sampling controller for variable duty cycle and intermittent sample processing;
- [0027] FIG. 10 is a graph of power versus time illustrating power dissipation using variable data block overlap processing; and

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[0028] FIG. 11 is a state diagram of the sampling controller for variable data block overlap processing.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0029] FIG. 3 illustrates one embodiment of a low power pulse oximeter. The pulse oximeter 300 has a sensor interface 320, a signal processor 340, a sampling controller 360 and a display driver 380. The pulse oximeter 300 also has a sensor port 302 and a display port 304. The sensor port 302 connects to an external sensor, e.g. sensor 110 (FIG. 1). The sensor interface 320 drives the sensor port 302, receives a corresponding input signal from the sensor port 302, and provides a conditioned and digitized sensor signal 322 accordingly. Physiological measurements 342 are input to a display driver 380 that outputs to the display port 304. The display port 304 connects to a display device, such as a CRT or LCD, which a healthcare provider typically uses for monitoring a patient's oxygen saturation, pulse rate and plethysmograph.

[0030] As shown in FIG. 3, the signal processor 340 derives the physiological measurements 342, including oxygen saturation, pulse rate and plethysmograph, from the input signal 322. The signal processor 340 also derives signal statistics 344, such as signal strength, noise and motion artifact. The physiological measurements 342 and signal statistics 344 are input to the sampling controller 360, which outputs sampling controls 362, 364, 366 accordingly. The sampling controls 362, 364, 366 regulate pulse oximeter power dissipation by causing the sensor interface 320 to vary the sampling characteristics of the sensor port 302 and by causing the signal processor 340 to vary its sample processing characteristics, as described in further detail with respect to FIG. 4, below. Advantageously, power dissipation is responsive not only to output parameters, such as the physiological measurements 342, but also to internal parameters, such as the signal statistics 344.

[0031] FIG. 4 illustrates further detail regarding the sensor interface 320, the signal processor 340 and the sampling controller 360. The sensor interface 320 has emitter drivers 480 and a detector front-end 490. The emitter drivers 480 are responsive to a sampling control 362, described below, and provide emitter drive outputs 482. The emitter drive outputs 482 activate the LEDs of a sensor attached to the sensor port 302 (FIG. 3). The

detector front-end **490** receives an input signal **492** from a sensor attached to the sensor port **302** (FIG. **3**) and provides a corresponding conditioned and digitized input signal **322** to the signal processor **340**. A sampling control **364** controls power to the detector front-end **490**, as described below.

[0032] As shown in FIG. 4, the signal processor 340 has a pre-processor 410 and a post processor 430. The pre-processor 410 demodulates red and IR signals from the digitized signal 322, performs filtering, and reduces the sample rate. The pre-processor provides a demodulated output, having a red channel 412 and an IR channel 414, which is input into the post-processor 430. The post processor 430 calculates the physiological measurements 342 and the signal statistics 344, which are output to a signal status calculator 450. The physiological measurements 342 are also output to a display driver 380 (FIG. 3) as described above. A pulse oximetry signal processor is described in U.S. Patent 6,081,735 entitled "Signal Processing Apparatus," which is assigned to the assignee of the present invention and incorporated by reference herein.

[0033] Also shown in FIG. 4, the sampling controller 360 has a control engine 440, a signal status calculator 450 and a power status calculator 460. The control engine 440 outputs sampling controls 362, 364, 366 to reduce the power consumption of the pulse oximeter 300. In one embodiment, the control engine 440 advantageously utilizes multiple sampling mechanisms to alter power consumption. One sampling mechanism is an emitter duty cycle control 362 that is an input to the emitter drivers 480. The emitter duty cycle control 362 determines the duty cycle of the current supplied by the emitter drive outputs 482 to both red and IR sensor emitters, as described with respect to FIG. 5, below. Another sampling mechanism is a front-end control 364 that intermittently removes power to the detector front-end 490, as described with respected to FIG. 6, below. Yet another sampling mechanism is a data block overlap control 366 that varies the number of data blocks processed by the post processor 430. These various sampling mechanisms provide the flexibility to reduce power without sacrificing performance during, for example, high noise conditions or oxygen desaturation events, as described below in further detail.

[0034] The sampling controls 362, 364, 366 modify power consumption by, in effect, increasing or decreasing the number of input samples received and processed.

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Sampling, including acquiring input signal samples and subsequent sample processing, can be reduced during high signal quality periods and increased during low signal quality periods or when critical measurements are necessary. In this manner, the control engine 440 regulates power consumption to satisfy a predetermined power target, to minimize power consumption, or to simply reduce power consumption, as described with respect to FIGS. 8 and 10, below. The current state of the control engine is provided as a control state output 442 to the power status calculator 460. The control engine 440 utilizes the power status output 462 and the signal status output 452 to determine its next control state, as described with respect to FIG. 9 and 11, below.

physiological measurements and signal statistics from the post processor 430 and determines the occurrence of an event or a low signal quality condition. An event determination is based upon the physiological measurements output 342 and may be any physiological-related indication that justifies the processing of more sensor samples and an associated higher power consumption level, such as an oxygen desaturation, a fast or irregular pulse rate or an unusual plethysmograph waveform to name a few. A low signal quality condition is based upon the signal statistics output 344 and may be any signal-related indication that justifies the processing of more sensor samples and an associated higher power consumption level, such as a low signal level, a high noise level or motion artifact to name a few. The signal status calculator 450 provides the signal status output 452 that is input to the control engine 440.

[0036] In addition, FIG. 4 shows that the power status calculator 460 has a control state input 442 and a power status output 462. The control state input 442 indicates the current state of the control engine 440. The power status calculator 460 utilizes an internal time base, such as a counter, timer or real-time clock, in conjunction with the control engine state to estimate the average power consumption of at least a portion of the pulse oximeter 300. The power status calculator 460 also stores a predetermined power target and compares its power consumption estimate to this target. The power status calculator 460 generates the power status output 462 as an indication that the current average power estimate is above or below the power target and provides this output 462 to the control engine 440.

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[0037] FIG. 5 illustrates emitter driver output current versus time. The graph 500 depicts the combination of a red LED drive current 510 and an IR drive current 560. The solid line graph 502 illustrates drive currents having a high duty cycle. The dashed line graph 504 illustrates drive currents having a low duty cycle. In a typical pulse oximeter, the duty cycle of the drive signals is constant and provides sufficient dark bands 508 to demodulate the detector response into red and IR channels. The emitter drivers 480 (FIG. 4), however, require a significant portion of the overall pulse oximeter power budget. Intermittently reducing the drive current duty cycle can advantageously reduce power dissipation without compromising signal integrity. As an example, a low power pulse oximeter implementation nominally consuming 500 mw may be able to reduce power consumption on the order of 70 mw by such drive current duty cycle reductions. In a preferred embodiment, the drive current duty cycle is varied within a range from about 25% to about 3.125%. In a more preferred embodiment, the drive current duty cycle is intermittently reduced from about 25% to about 3.125%. In conjunction with an intermittently reduced duty cycle or as an independent sampling mechanism, there may be a "data off" time period longer than one drive current cycle where the emitter drivers 480 (FIG. 4) are turned off. The detector front-end 490 (FIG. 4) may also be powered down during such a data off period, as described with respect to FIGS. 8 and 9, below.

[0038] FIG. 6 is a graph 600 of a pre-processor output signal 610 over time depicting the result of intermittent sampling at the detector front-end 490 (FIG. 4). The output signal 610 is a red channel 412 (FIG. 4) or an IR channel 414 (FIG. 4) output from the pre-processor 410 (FIG. 4), which is input to the post processor 430 (FIG. 4), as described above. The output signal 610 has "on" periods 612, during which time the detector front-end 490 (FIG. 4) is powered-up and "off" periods 614, during which time the detector front-end 490 (FIG. 4) is powered-down. The location and duration of the on periods 612 and off periods 614 are determined by the front-end control 364 (FIG. 4).

[0039] Also shown in FIG. 6 is a corresponding timeline 601 of overlapping data blocks 700, which are "snap-shots" of the pre-processor output signal 610 over specific time intervals. Specifically, the post processor 430 (FIG. 4) processes a sliding window of samples of the pre-processor output signal 610, as described with respect to FIGS. 7A-B,

below. Advantageously, the post processor 430 (FIG. 4) continues to function during off portions 614, marking as invalid those data blocks 640 that incorporate off portions 614. A freshness counter can be used to measure the time period 660 between valid data blocks 630, which can be displayed on a pulse oximeter monitor as an indication of confidence in the current measurements.

[0040] FIGS. 7A-B illustrate data blocks 700, which are processed by the post processor 430 (FIG. 4). Each data block 700 has n samples 702 of the pre-processor output and corresponds to a time interval 704 of  $n/f_s$ , where  $f_s$  is the sample frequency. For example, in one embodiment n = 600 and  $f_s = 62.5$  Hz. Hence, each data block time interval 704 is nominally 9.6 sec.

[0041] As shown in FIG. 7A, each data block 700 also has a relative time shift 706 from the preceding data block, where is an integral number of sample periods. That is, =  $m/f_s$ , where m is an integer representing the number of samples dropped from the preceding data block and added to the succeeding data block. In the embodiment described above, m = 75 and = 1.2 sec, nominally. The corresponding overlap 708 of two adjacent data blocks 710, 720 is  $(n-m)/f_s$ . In the embodiment described above, the overlap 708 is nominally 9.6 sec - 1.2 sec = 8.4 sec. The greater the overlap 708, i.e. the smaller the time shift 706, the more data blocks there are to process in the post-processor 430 (FIG. 4), with a corresponding greater power consumption. The overlap 708 between successive data blocks 710, 720 may vary from n-1 samples to no samples, i.e. no overlap. Also, as shown in FIG. 7B, there may be a sample gap 756 or negative overlap, i.e. samples between data blocks that are not processed by the post-processor, allowing further post-processor power savings. Sample gaps 756 may correspond to detector front-end off periods 614 (FIG. 6).

[0042] FIG. 8 illustrates an exemplar power consumption versus time profile 800 for the pulse oximeter 300 (FIG. 3) during various control engine states. In one embodiment, the control engine 440 (FIG. 4) has three states related to the sampling control outputs 362, 364 that affect pulse oximeter power consumption accordingly. One of ordinary skill in the art will recognize that the control engine 440 (FIG. 4) may have greater or fewer states and associated power consumption levels. The profile 800 shows the three control engine states

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810 and the associated power consumption levels 820. These three states are high duty cycle 812, low duty cycle 814 and data off 818.

[0043] In the high duty cycle state 812, the control engine 440 (FIG. 4) causes the emitter drivers 480 (FIG. 4) to turn on sensor emitters for a relatively long time period, such as 25% on time for each of the red 510 and IR 560 drive currents. In the low duty cycle state 814, the control engine 440 (FIG. 4) causes the emitter drivers 480 (FIG. 4) to turn on sensor emitters for a relatively short time period, such as 3.125% of the time for each of the red 510 and IR 560 drive currents. In the data off state 818, the control engine 440 (FIG. 4) turns off the emitter drivers 480 (FIG. 4) and powers down the detector front-end 490 (FIG. 4). Also shown is a predetermined target power consumption level 830. The control engine 440 (FIG. 4) alters the sensor sampling of the pulse oximeter 300 (FIG. 3) so that the average power consumption matches the target level 830, as indicated by the power status output 462 (FIG. 4), except when overridden by the signal status output 452 (FIG. 4).

[0044] As shown in FIG. 8, power consumption changes according to the control states 810 during each of the time intervals 850. In a first time interval 851, the pulse oximeter is in a low duty cycle state 814 and transitions to a high duty cycle state 812 during a second time interval 852 due to an event or low quality signal. During a third time interval 853, the pulse oximeter is able to enter the data off state 818, during which time no sensor samples are processed. In a forth time interval 854, sensor samples are again taken, but at a low duty cycle 814. During the fifth and sixth time intervals 855, 856, sensor samples are shut off and turned on again as the pulse oximeter 300 (FIG. 3) alternates between the data off state 818 and the low duty cycle state 814 so as to maintain an average power consumption at the target level 830.

[0045] FIG. 9 illustrates a state diagram 900 for one embodiment of the control engine 440 (FIG. 4). In this embodiment, there are three control states, high duty cycle 910, low duty cycle 940 and data off 970, as described with respect to FIG. 8, above. If the control state is data off 970, an event triggers a data-off to high-duty-cycle transition 972. If the control state is low duty cycle 940, an event similarly triggers a low-duty cycle to high-duty-cycle transition 942. In this manner, the occurrence of an event initiates high duty sensor sampling, allowing high fidelity monitoring of the event. Similarly, if the control state

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is low duty cycle **940**, low signal quality triggers a low-duty cycle to high-duty-cycle transition **942**. In this manner, low signal quality initiates higher duty sensor sampling, providing, for example, a larger signal-to-noise ratio.

[0046] Also shown in FIG. 9, if the control state is high duty cycle 910 and either an event is occurring or signal quality is low, then a null transition 918 maintains the high duty cycle state 910. If the pulse oximeter is not above the power target for more than a particular time interval, a null transition 948 maintains the low duty cycle state 940, so that sampling is turned-off only when necessary to track the power target. Further, if the control state is data off 970 and no time-out has occurred, a null transition 978 maintains the data off state 970, providing a minimum power consumption.

[0047] In addition, FIG. 9 shows that when the control state is in a high duty cycle state 910, if neither an event nor low signal quality are occurring, then a high-duty-cycle to low-duty-cycle transition 912 occurs by default. Also, if the control state is low duty cycle 940, if neither an event nor low signal quality are occurring and the power consumption is above the target level for longer than a particular time interval, a low-duty-cycle to data-off transition 944 occurs by default, allowing power consumption to come down to the target level. Further, if the control state is data off 970, if no event occurs and a timeout does occur, a data-off to low-duty-cycle transition 974 occurs by default, preventing excessively long periods of no sensor sampling.

[0048] FIG. 10 illustrates an exemplar power consumption versus time profile 1000 for the post processor 430 (FIG. 4) during various control engine states. In one embodiment, the control engine 440 (FIG. 4) has three states related to the sampling control output 366 (FIG. 4) that affect post processor power consumption accordingly. One of ordinary skill in the art will recognize that the control engine may have greater or fewer states and associated power consumption levels. The profile 1000 shows the three control engine states 1010 and the associated post processor power consumption levels 1020. These three states are large overlap 1012, medium overlap 1014 and small overlap 1018.

[0049] As shown in FIG. 10, in the large overlap state 1012, the control engine 440 (FIG. 4) causes the post processor to process data blocks that have a comparatively small time shift 706 (FIG. 7A), and the post processor exhibits relatively high power consumption

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under these conditions, say 300 mw. In the medium overlap state 1014, the control engine 440 (FIG. 4) causes the post processor to process data blocks that have a comparatively larger time shift 706 (FIG. 7A). For example, the data blocks may be time shifted twice as much as for the large overlap state 1012, and, as such, the post processor performs only half as many computations and consumes half the nominal power, say 150 mw. In the small overlap state 1018, the control engine 440 (FIG. 4) causes the post processor to process data blocks that have a comparatively large time shift. For example, the data blocks may be time shifted twice as much as for the medium overlap state 1014. As such, the post processor performs only a quarter as many computations and consumes a quarter of the nominal power, say 75 mw, as for the large overlap state 1012. In one embodiment, the control engine 440 (FIG. 4) alters the data block overlap of the post processor in conjunction with the duty cycle of the emitter drivers described with respect to FIG. 5, above, and the front-end sampling described with respect to FIG. 6, above, so that the average power consumption of the pulse oximeter matches a target level indicated by the power status output 462 (FIG. 4) or so that the power consumption is otherwise reduced or minimized.

[0050] In a preferred embodiment, data blocks are time shifted by either about 0.4 sec or about 1.2 sec, depending on the overlap state of the control engine 440 (FIG. 4). In a more preferred embodiment, the data blocks are varied between about 1.2 sec and about 4.8 sec. In a most preferred embodiment, the data blocks are time shifted by either about 1.2 sec, about 2.4 sec or about 4.8 sec, depending on the overlap state of the control engine 440 (FIG. 4). Although the post-processing of data blocks is described above with respect to only a few overlap states and a corresponding number of particular data block time shifts, there may be many overlap states and a corresponding range of data block time shifts.

[0051] Further shown in FIG. 10, power consumption 1020 changes according to the control states 1010 during each of the time intervals 1050. In a first time interval 1052, the post processor is in a large overlap state 1012 and transitions to a medium overlap state 1014 during a second time interval 1054, so as to meet a power target during a high signal quality period, for example. During a third time interval 1055, the post processor enters a small overlap state 1018, for example to meet a power target by further reducing power

consumption. In a forth time interval 1056, the post processor transitions back to a large overlap state 1012, such as during an event or low signal quality conditions.

[0052] FIG. 11 illustrates a state diagram 1100 for one embodiment of the control engine 440 (FIG. 4). These states may function in parallel with, or in combination with, the sampling states described with respect to FIG. 9, above. In the illustrated embodiment, there are three control states, large overlap 1110, medium overlap 1140 and small overlap 1170, as described with respect to FIG. 10, above. If the control state is small overlap 1170, an event triggers a small overlap to large overlap transition 1172. If the control state is medium overlap 1140, an event similarly triggers a medium overlap to large-overlap transition 1142. In this manner, the occurrence of an event initiates the processing of more data blocks, allowing more robust signal statistics and higher fidelity monitoring of the event. Similarly, if the control state is medium overlap 1140, low signal quality triggers a medium overlap to large overlap transition 1142. In this manner, low signal quality initiates the processing of more data blocks, providing more robust signal statistics during lower signal-to-noise ratio periods.

[0053] Also shown in FIG. 11, if the control state is large overlap 1110 and either an event is occurring or signal quality is low, then a null transition 1118 maintains the large overlap state 1110. If the pulse oximeter is not above the power target for more than a particular time interval, a null transition 1148 maintains the medium overlap state 1140, so that reduced data processing occurs only when necessary to track the power target. Further, if the control state is small overlap 1170, a null transition 1178 maintains this power saving state until the power target is reached or an event or low signal quality condition occurs.

[0054] In addition, FIG. 11 shows that when the control state is in a large overlap state 1110, if neither an event nor low signal quality are occurring, then a large overlap to medium overlap transition 1112 occurs by default. Also, if the control state is medium overlap 1140, if the power consumption is above the target level for longer than a particular time interval and no low signal quality condition or event is occurring, a medium overlap to small overlap transition 1174 occurs, allowing power consumption to come down to the target level. Further, if the control state is small overlap 1170, if no event occurs but the power target has been met, a small overlap to medium overlap transition 1174 occurs.

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[0055] A low power pulse oximeter embodiment is described above as having a power status calculator 460 (FIG. 4) and an associated power target. Another embodiment of a low power pulse oximeter, however, functions without either a power status calculator or a power target, utilizing the sampling controls 362, 364, 366 (FIG. 3) in response to internal parameters and/or output parameters, such as signal statistics 344 (FIG. 3) and/or physiological measurements 342 (FIG. 3) to reduce power consumption except during, say, periods of low signal quality and physiological events.

[0056] One of ordinary skill in the art will recognize that various state diagrams are possible representing control of the emitter drivers, the detector front-end and the post-processor. Such state diagrams may have fewer or greater states with differing transitional characteristics and with differing relationships between sampling mechanisms than the particular embodiments described above. In relatively simple embodiments of the control engine 440 (FIG. 4), only a single sampling mechanism is used, such as the sampling mechanism used to vary the duty cycle of the emitter drivers. The single sampling mechanism may be based only upon internal parameters, such as signal quality, only upon output parameters, such as those that indicate the occurrence of physiological events, or upon a combination of internal and output parameters, with or without a power target.

[0057] In relatively more complex embodiments of the control engine 440 (FIG. 4), sampling mechanisms are used in combination. These sampling mechanisms may be based only upon internal parameters, only upon output parameters, or upon a combination of internal and output parameters, with or without a power target. In a particular embodiment, the emitter duty-cycle, front-end duty-cycle and data block overlap sampling mechanisms described above are combined. A "reduced overlap" state relating to the post-processing of data blocks is added to the diagram of FIG. 9 between the "low duty cycle" state and the "data off" state. That is, sampling is varied between a high duty cycle state, a low duty cycle state, a reduced overlap state and a data off state in response to signal quality and physiological events, with or without a power target.

[0058] The low power pulse oximeter has been disclosed in detail in connection with various embodiments. These embodiments are disclosed by way of examples only and

are not to limit the scope of the claims that follow. One of ordinary skill in the art will appreciate many variations and modifications.

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## WHAT IS CLAIMED IS:

1. A method of managing power consumption during continuous patient monitoring by adjusting behavior of a patient monitor, the method comprising:

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption level.

- 2. The method of Claim 1, wherein said continuously operating at said lower power consumption level comprises reducing activation of an attached sensor.
- 3. The method of Claim 2, wherein said reducing activation comprises reducing a duty cycle of said sensor.
- 4. The method of Claim 2, wherein said attached sensor comprises an optical sensor configured to detect emitted light attenuated by body tissue of said patient.
- 5. The method of Claim 1, wherein said continuously operating at said lower power consumption level comprises reducing an amount of processing by a signal processor.
  - 6. The method of Claim 5, wherein said reducing comprises processing less data.
- 7. The method of Claim 6, wherein said processing less data comprises reducing an overlap in data blocks being processed.
- 8. The method of Claim 1, wherein during said operating at said higher power consumption level, monitoring when said processing characteristics recedes from said threshold; and when receded, transitioning to continuously operating said patient monitor at said lower power consumption level.
- 9. The method of Claim 1, wherein said processing characteristics comprise signal characteristics from one or more light sensitive detectors.
- 10. The method of Claim 9, wherein said signal characteristics comprises signal strength.

- 11. The method of Claim 9, wherein said signal characteristics comprises a presence of noise.
- 12. The method of Claim 9, wherein said signal characteristics comprises a presence of motion induced noise.
- 13. The method of Claim 1, wherein said processing characteristics include determining an estimate of current power consumption and comparing said estimate with a target power consumption.
- 14. The method of Claim 1, wherein said processing characteristics include an override condition.
- 15. The method of Claim 14, wherein said override condition comprises measurements during a critical care environment.
- 16. The method of Claim 14, wherein said override condition comprises one or more monitored parameters exhibiting predefined behavior.

#### LOW POWER PULSE OXIMETER

#### Abstract of the Disclosure

A pulse oximeter may reduce power consumption in the absence of overriding conditions. Various sampling mechanisms may be used individually or in combination. Various parameters may be monitored to trigger or override a reduced power consumption state. In this manner, a pulse oximeter can lower power consumption without sacrificing performance during, for example, high noise conditions or oxygen desaturations.

**PATENT** 

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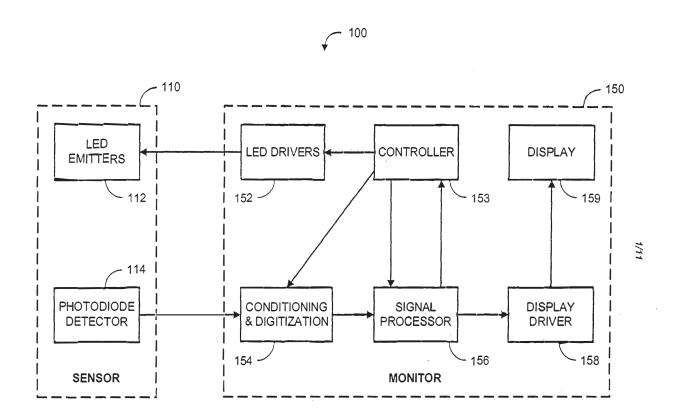


FIG. 1 (Prior Art)

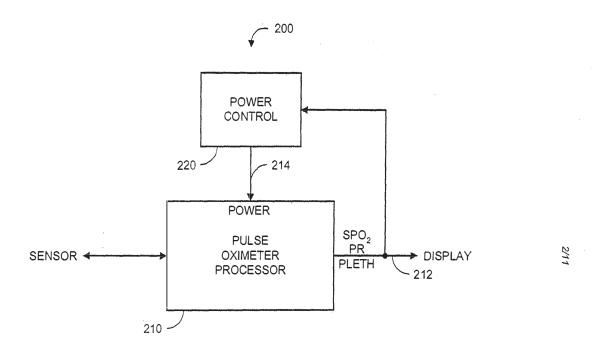


FIG. 2 (Prior Art)



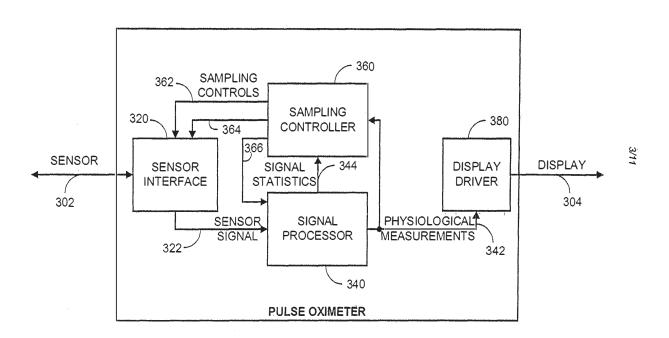


FIG. 3

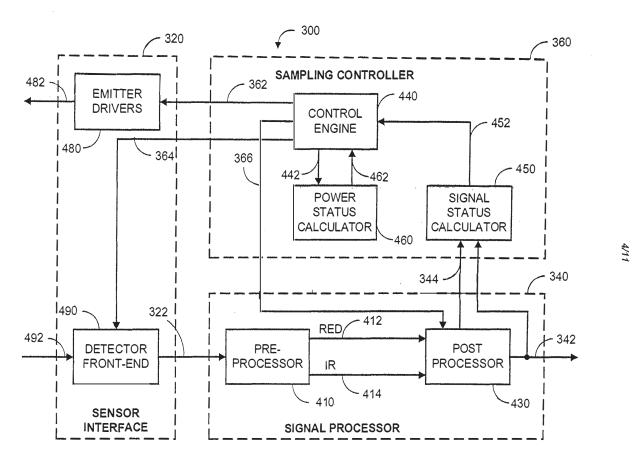


FIG. 4



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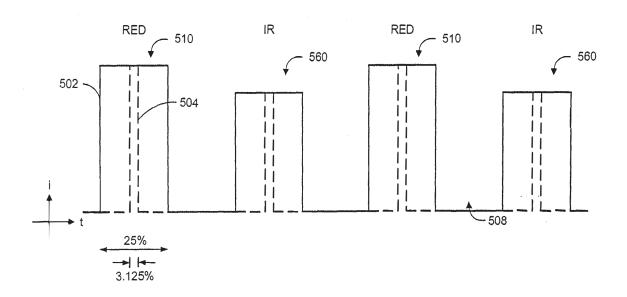
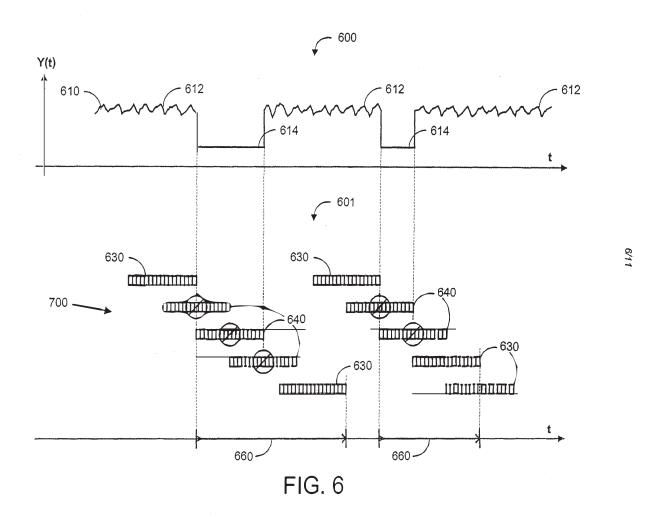
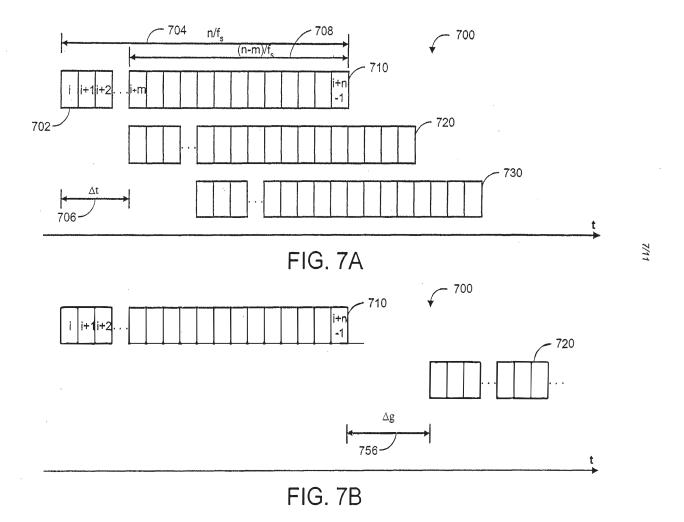


FIG. 5





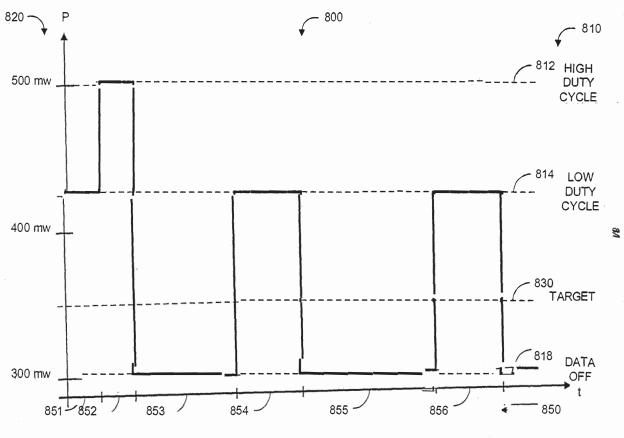


FIG. 8

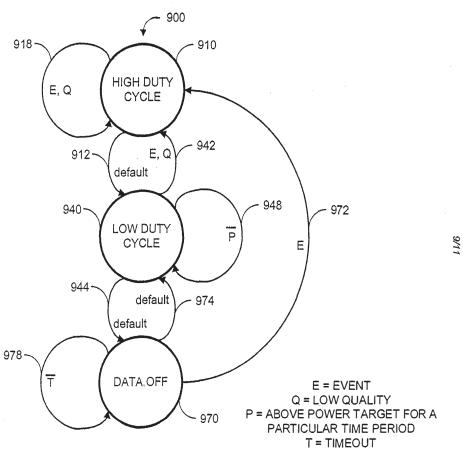


FIG. 9

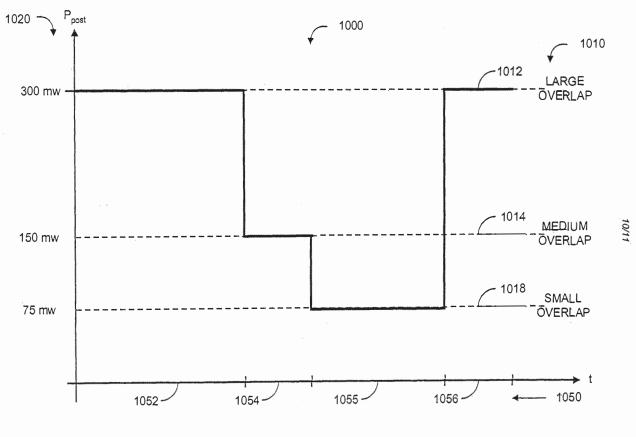


FIG. 10

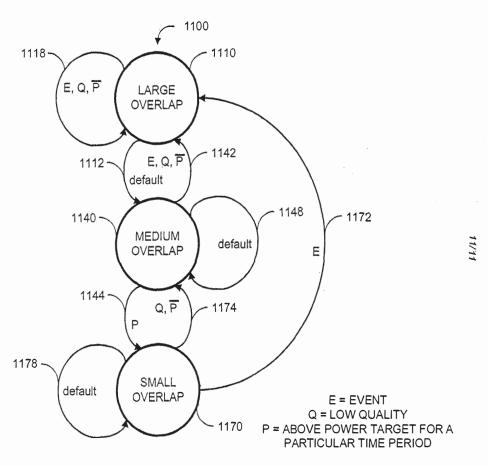


FIG. 11

Page 1

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#### **DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled LOW POWER PULSE OXIMETER; the specification of which was filed on **June 26, 2002** as Application Serial No. 10/184,028.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above:

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: 60/302,564

Filing Date: July 2, 2001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

Date 9/26/202

Residence: 10880 Phillips Street, Tustin, CA 92782

Citizenship: United States of America

Post Office Address: same as above

Send Correspondence To: KNOBBE, MARTENS, OLSON & BEAR, LLP Customer No. 20.995

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PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Ammar Al-Ali

App. No.

10/184,028

Filed

June 26, 2002

For

LOW POWER PULSE OXIMETER

Examiner

Unknown

# ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND REVOCATION AND POWER OF ATTORNEY

United States Patent and Trademark Office P.O. Box 2327 Adington, VA 22202

#### Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the Inventor to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may Jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 2040 Main Street, Fourteenth Floor, Irvine, California 92614, Telephone (949) 760-0404, Customer No. 20,995, as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S.

App. No.

10/184.032

Filed

June 26, 2002

Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the Inventor and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3,71.

Please use Customer No. 20,995 for all communications.

Masimo Corporation

Dated:

By:

Massl E. Kiani

Title:

Chârman <del>Presiden</del>t and CEO

Address: 2852 Kelvin Avenue Irvine, CA 92614

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# Not for Recordation

Application No.: 10/184,028 Filing Date: June 26, 2002

PATENT Client Code: MASIMO.285A Page 1

#### **ASSIGNMENT**

WHEREAS, I, Ammar Al-Ali, a citizen of the United States of America, residing at 10880 Phillips Street, Tustin, CA 92782, have invented certain new and useful improvements in a LOW POWER PULSE OXIMETER for which I have filed an application for Letters Patent in the United States, U.S. Application No. 10/184,028, filed on June 26, 2002:

AND WHEREAS, Masimo Corporation (hereinafter "ASSIGNEE"), a Delaware Corporation, with its principal place of business at 2852 Kelvin Avenue, Irvine, CA 92614, desires to acquire the entire right, title, and interest in and to the said Improvements and the said Application:

NOW. THEREFORE, In consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all relessues and extensions thereof, and all rights of priority under international Conventions and applications for Letters Patent which may hereafter be filled for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26th day of September 2002

Ammar Al-All

STATE OF Colymnia } ss.

On Sept 36, 2007, before me, Valor L Bewale, personally appeared Ammar Al-Ali personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

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VALERE L. MONOCH Controller Control Holey Take Control My Control Spinish Labor Notary Signature

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|           | PAT                                |   | –           | FEE DETER<br>for Form PTO                   | RMINATION REC    | ORD                |                             | • •     | on or Docket Numb<br>/939,519 | er                          |
|-----------|------------------------------------|---|-------------|---|------------------|--------------------|-----------------------------|---------|-------------------------------|-----------------------------|
| _         | A                                  | PPLICATION                                |             | ED – PART<br>Column 1)                      | (Column 2)       | SMALL              | ENTITY                      | OR      | OTHER<br>SMALL I              |                             |
|           | FOF                                | ₹   | NI NI I     | MBER FILED                                  | NUMBER EXTRA     | RATE (\$)          | FEE (\$)                    |         | RATE (\$)                     | FEE (\$)                    |
|           | C FEE<br>CFR 1.16(a), (b), o       |   |             | N/A   | N/A              | N/A                |                             | Ī       | N/A                           | 310                         |
| SEA       | RCH FEE<br>FR 1.16(k), (i), or     |   |             | N/A   | N/A              | N/A                |                             | ].      | N/A                           | 510                         |
|           | MINATION FEE<br>FR 1.16(o), (p), o | or (q))                                   |             | N/A   | N/A              | N/A                |                             | ]       | N/A                           | 210                         |
| 37 (      | AL CLAIMS<br>CFR 1.16(i))          |   | 16          | minus 20 =                                  | *                | X 25=              |                             | OR      | X 50=                         |                             |
|           | PENDENT CLAIN<br>CFR 1.16(h))      | AS  | 1           | minus 3 =                                   | *                | X 105=             |                             |         | X 210=                        |                             |
| EE        | CFR 1.16(s))                       |   |             |   |                  |                    |                             |         |                               |                             |
| MUI       | TIPLE DEPEN                        | DENT CLAIM PRE                            | ESENT (     | 37 CFR 1.16(j))                             |                  | N/A                |                             | Ī       | N/A                           |                             |
| If ti     | ne difference in o                 | column 1 is less th                       | nan zero,   | enter "0" in col                            | umn 2.           | TOTAL              |                             | ]       | TOTAL                         | 1030                        |
|           | APP                                | LICATION AS                               | AMEN        |   |                  |                    |                             | OB      | OTHER<br>SMALL                |                             |
| 1         |                                    | (Column 1)<br>CLAIMS                      | <del></del> | (Column 2)<br>HIGHEST                       | (Column 3)       | SMALL              | ENTITY                      | OR<br>1 | SWALL                         |                             |
| A TN      |                                    | REMAINING<br>AFTER<br>AMENDMENT           |             | NUMBER<br>PREVIOUSLY<br>PAID FOR            | PRESENT<br>EXTRA | RATE (\$)          | ADDI-<br>TIONAL<br>FEE (\$) |         | RATE (\$)                     | ADDI-<br>TIONAL<br>FEE (\$) |
| AMENDMENT | Total<br>(37 CFR 1.16(i))          | *   | Minus       | **  | =                | x =                |                             | OR      | x =                           |                             |
|           | Independent<br>(37 CFR 1.16(h))    | *   | Minus       | ***   | =                | x =                | <u> </u>                    | OR      | x =                           |                             |
| ∢         |                                    | e Fee (37 CFR 1.                          |             |   |                  | :                  |                             | -       |                               |                             |
|           | FIRST PRESENT                      | TATION OF MULTIF                          | PLE DEPE    | NDENT CLAIM (                               | 37 CFR 1.16(j))  | N/A                |                             | OR      | N/A                           |                             |
|           |                                    |   |             |   |                  | TOTAL<br>ADD'T FEE |                             | OR      | TOTAL<br>ADD'T FEE            |                             |
|           |                                    | (Column 1)                                |             | (Column 2)                                  | (Column 3)       |                    |                             | OR      |                               |                             |
| N<br>B    |                                    | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |             | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE (\$)          | ADDI-<br>TIONAL<br>FEE (\$) |         | RATE (\$)                     | ADDI-<br>TIONAL<br>FEE (\$) |
| AMENDMENT | Total<br>(37 CFR 1.16(i))          | Ť   | Minus       | **  | =                | x =                |                             | OR      | x =                           |                             |
| MEN       | Independent<br>(37 CFR 1.16(h))    | *   | Minus       | ***   | =                | x =                |                             | OR      | x =                           |                             |
| 4         |                                    | e Fee (37 CFR 1.                          | . ,,        |   |                  |                    |                             |         |                               |                             |
| - 1       | FIRST PRESENT                      | TATION OF MULTIF                          | PLE DEPE    | NDENT CLAIM (                               | 37 CFR 1.16(j))  | N/A                |                             | OR      | N/A                           |                             |
|           |                                    |   |             |   |                  | TOTAL<br>ADD'T FEE | 1                           | OR      | TOTAL<br>ADD'T FEE            |                             |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENDTO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

| P   | PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875               |   |                                     |   |  |       | pplication or l       | Docket Number<br>89,519     | Fil | ing Date<br>13/2007   | To be Maile            |
|---|---|---|-------------------------------------|---|--|-------|-----------------------|-----------------------------|-----|-----------------------|------------------------|
| APPLICATION AS FILED - PART I (Column 1) (Column 2) |   |   |                                     |   |  | SMALL | FNTITY $\square$      | OR                          |     | HER THAN              |                        |
|   | FOR   |   | JMBER FIL                           | <u> </u>  | MBER EXTRA                                     | П     | RATE (\$)             | FEE (\$)                    |     | RATE (\$)             | FEE (\$)               |
|   | BASIC FEE<br>(37 CFR 1.16(a), (b),  | or (c))                                   | N/A                                 |   | N/A  |       | N/A                   | (17)                        |     | N/A                   | (1)                    |
|   | SEARCH FEE<br>(37 CFR 1.16(k), (i), (ii)  |   | N/A                                 |   | N/A  | 1     | N/A                   |                             |     | N/A                   |                        |
|   | EXAMINATION FE<br>(37 CFR 1.16(o), (p),   | E   | N/A                                 |   | N/A  |       | N/A                   |                             | 1   | N/A                   |                        |
|   | ΓAL CLAIMS<br>CFR 1.16(i))  |   | 16 mir                              | nus 20 = *  |  | 1     | x \$ =                |                             | OR  | x \$ =                |                        |
| IND   | EPENDENT CLAIM<br>CFR 1.16(h))  | IS  | 1 m                                 | inus 3 = *  |  | 1     | x \$ =                |                             | 1   | x \$ =                |                        |
|   | APPLICATION SIZE<br>(37 CFR 1.16(s))  | sheet<br>is \$25<br>addit                 | s of pap<br>50 (\$125<br>ional 50 s | ation and drawin<br>er, the application<br>for small entity)<br>sheets or fraction<br>a)(1)(G) and 37 | on size fee due<br>for each<br>in thereof. See |       |                       |                             |     |                       |                        |
|   | MULTIPLE DEPEN  | IDENT CLAIM PR                            | ESENT (3                            | 7 CFR 1.16(j))  |  |       |                       |                             |     |                       |                        |
| * If  | the difference in col   | umn 1 is less than                        | zero, ente                          | r "0" in column 2.  |  |       | TOTAL                 |                             | J   | TOTAL                 |                        |
|   | APP   | (Column 1)                                | AMEND                               | )ED – PART II<br>(Column 2)   | (Column 3)                                     |       | SMAL                  | L ENTITY                    | OR  |                       | ER THAN<br>ALL ENTITY  |
| AMENDMENT   |   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |                                     | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA                               |       | RATE (\$)             | additional<br>fee (\$)      |     | RATE (\$)             | ADDITIONAL<br>FEE (\$) |
| ME  | Total (37 CFR<br>1.16(i))   | *   | Minus                               | **  | =  |       | x \$ =                |                             | OR  | x \$ =                |                        |
|   | Independent<br>(37 CFR 1.16(h))   | *   | Minus                               | ***   | =  |       | x \$ =                |                             | OR  | x \$ =                |                        |
| ΑM  | Application Si  | ize Fee (3 <b>7</b> CFR 1                 | .16(s))                             |   |  |       |                       |                             |     |                       |                        |
| Ĺ   | FIRST PRESEN  | NTATION OF MULTIF                         | LE DEPEN                            | DENT CLAIM (37 CF   | R 1.16(j))                                     |       |                       |                             | OR  |                       |                        |
|   |   |   |                                     |   |  |       | TOTAL<br>ADD'L<br>FEE |                             | OR  | TOTAL<br>ADD'L<br>FEE |                        |
|   |   | (Column 1)                                |                                     | (Column 2)  | (Column 3)                                     |       |                       |                             |     | ,                     |                        |
| L   |   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |                                     | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA                               |       | RATE (\$)             | ADDITIONAL<br>FEE (\$)      |     | RATE (\$)             | ADDITIONAL<br>FEE (\$) |
| Z<br>U  | Total (37 CFR<br>1.16(i))   | *   | Minus                               | **  | =  |       | x \$ =                |                             | OR  | x \$ =                |                        |
| AMENDMENT   | Independent<br>(37 CFR 1.16(h))   | *   | Minus                               | ***   | =  |       | x \$ =                |                             | OR  | X \$ =                |                        |
| Ш   | Application Si  | ize Fee (3 <b>7</b> CFR 1                 | .16(s))                             |   |  |       |                       |                             | ]   |                       |                        |
| AM  | FIRST PRESEN  | NTATION OF MULTIF                         | LE DEPEN                            | DENT CLAIM (37 CF   | R 1.16(j))                                     |       |                       |                             | OR  |                       |                        |
|   |   |   |                                     |   |  |       | TOTAL<br>ADD'L<br>FEE |                             | OR  | TOTAL<br>ADD'L<br>FEE |                        |
| ** If   | the entry in column<br>the "Highest Numbo<br>f the "Highest Numb<br>"Highest Number P | er Previously Paid<br>oer Previously Paid | For" IN TH<br>I For" IN T           | HIS SPACE is less<br>HIS SPACE is les   | s than 20, enter "20's than 3, enter "3".      |       | /PEGG                 | nstrument Ex<br>Y s. YARBOR | OUG |                       |                        |

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| APPLICATION NUMBER | FILING OR 371(c) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-----------------------|-----------------------|------------------------|
| 11/939,519         | 11/13/2007            | Ammar Al-Ali          | MASIMO.285C2           |

**CONFIRMATION NO. 6131** 

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA92614

Date Mailed, 12/10/2007

#### NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 03/13/2008. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

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Questions relating to this Notice should be directed to the Office of Patent Publication at 1-888-786-0101.

PART 1 - ATTORNEY/APPLICANT COPY



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| APPLICATION | FILING or   | GRP ART |               |                |            |            |
|-------------|-------------|---------|---------------|----------------|------------|------------|
| NUMBER      | 371(c) DATE | UNIT    | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
| 11/939.519  | 11/13/2007  | 3768    | 1030          | MASIMO 285C2   | 16         | 1          |

**CONFIRMATION NO. 6131** 

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614



**FILING RECEIPT** 

Date Mailed: 12/10/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Ammar Al-Ali, Tustin, CA;

Power of Attorney: The patent practitioners associated with Customer Number 20995

Domestic Priority data as claimed by applicant

This application is a CON of 10/785,573 02/24/2004 PAT 7,295,866 which is a CON of 10/184,028 06/26/2002 PAT 6,697,658 which claims benefit of 60/302,564 07/02/2001

**Foreign Applications** 

If Required, Foreign Filing License Granted: 12/06/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/939,519** 

**Projected Publication Date:** 03/13/2008

Non-Publication Request: No

Early Publication Request: No

**Title** 

LOW POWER PULSE OXIMETER

#### **Preliminary Class**

600

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|--------------------|-----------------------|-----------------------|------------------------|
| 11/939.519         | 11/13/2007            | Ammar Al-Ali          | MASIMO.285C2           |

**CONFIRMATION NO. 6131** 

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA92614

**Title:** LOW POWER PULSE OXIMETER **Publication No.** US-2008-0064936-A1

Publication Date: 03/13/2008

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The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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| Pre-Grant Publication Division, 703-605-4283 |  |
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APPLICATION NUMBER PATENT NUMBER GROUP ART UNIT FILE WRAPPER LOCATION

11/939,519

3777



## Correspondence Address/Fee Address Change

The following fields have been set to Customer Number 64735 on 08/02/2011

- Correspondence Address
- Maintenance Fee Address

The address of record for Customer Number 64735 is:

64735 KNOBBE, MARTENS, OLSON & BEAR, LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 11/939.519 11/13/2007 To be Mailed Substitute for Form PTO-875 OTHER THAN APPLICATION AS FILED - PART I SMALL ENTITY SMALL ENTITY OR (Column 1) (Column 2) FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) ■ BASIC FEE N/A N/A N/A N/A 37 CFB 1 16(a) (b) or (c)) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (g)) TOTAL CLAIMS OR X \$ X \$ minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS X \$ X \$ minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due PAPPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) (Column 3) OR CLAIMS ADDITIONAL ADDITIONAL REMAINING NUMBER PRESENT 01/09/2012 RATE (\$) RATE (\$) **AFTER PREVIOUSLY EXTRA** FEE (\$) FEE (\$) AMENDMEN **AMENDMENT** PAID FOR Total (37 CFR \* 24 Minus \*\* 27 = 0 X \$ OR X \$60= 0 \* 6 Minus \*\*\*3 3 x \$ OR X \$250= 750 Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL 750 OR ADD'I ADD'I FEE FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE (\$) RATE (\$) FEE (\$) **A**FTER PREVIOUSLY **EXTRA** FEE (\$) AMENDMENT PAID FOR Total (37 CFR . Ш Minus OR X \$ X \$ = ENDME Independent (37 CFR 1.16(h)) Minus X \$ OR X \$ Application Size Fee (37 CFR 1.16(s)) ¥ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL ADD'L OR ADD'L FFF \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /LISA THOMAS/ \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3. enter "3".

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| APPLICATION NO.            | FILING DATE                          | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |
|----------------------------|--------------------------------------|----------------------|---------------------|------------------|--|--|
| 11/939,519                 | 11/13/2007                           | Ammar Al-Ali         | MASIMO.285C2        | 6131             |  |  |
|                            | 7590 08/30/2011<br>RTENS, OLSON & BI |                      | EXAM                | INER             |  |  |
| 2040 MAIN ST<br>FOURTEENTI | REET                                 | ,                    | LIU, CHU CHUAN      |                  |  |  |
| IRVINE, CA 92              |                                      |                      | ART UNIT            | PAPER NUMBER     |  |  |
|                            |                                      |                      | 3777                |                  |  |  |
|                            |                                      |                      |                     |                  |  |  |
|                            |                                      |                      | NOTIFICATION DATE   | DELIVERY MODE    |  |  |
|                            |                                      |                      | 08/30/2012          | ELECTRONIC       |  |  |

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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jayna.cartee@knobbe.com efiling@knobbe.com

|   | Application No.  | Applicant(s)  |  |  |  |  |  |
|---|--|---|--|--|--|--|--|
|   | 11/939,519   | AL-ALI, AMMAR   |  |  |  |  |  |
| Office Action Summary   | Examiner   | Art Unit  |  |  |  |  |  |
|   | CHU CHUAN (JJ) LIU   | 3777  |  |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply  | ears on the cover sheet with the c   | orrespondence address   |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).                            | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI | lely filed the mailing date of this communication. (35 U.S.C. § 133). |  |  |  |  |  |
| Status  |  |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 13 November 2007.  2a) This action is <b>FINAL</b> .  2b) This action is non-final.  3) An election was made by the applicant in response to a restriction requirement set forth during the interview on ; the restriction requirement and election have been incorporated into this action.  4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. |  |   |  |  |  |  |  |
| Disposition of Claims   |  |   |  |  |  |  |  |
| 5)⊠ Claim(s) <u>1-16</u> is/are pending in the application. 5a) Of the above claim(s) is/are withdrav 6)□ Claim(s) is/are allowed. 7)⊠ Claim(s) <u>1-16</u> is/are rejected. 8)□ Claim(s) is/are objected to. 9)□ Claim(s) are subject to restriction and/or  | vn from consideration.   |   |  |  |  |  |  |
| Application Papers  |  |   |  |  |  |  |  |
| 10) ☐ The specification is objected to by the Examiner 11) ☑ The drawing(s) filed on 13 November 2007 is/an Applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction of the oath or declaration is objected to by the Examiner  | re: a) $\square$ accepted or b) $\square$ objected awing(s) be held in abeyance. See ion is required if the drawing(s) is obj                                      | e 37 CFR 1.85(a).<br>ected to. See 37 CFR 1.121(d).                   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |  |   |  |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.   |  |   |  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 4)   |   |  |  |  |  |  |
| Paper No(s)/Mail Date  Paper No(s)/Mail Date  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Paper No(s)/Mail Date  Notice of Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  Other:   |  |   |  |  |  |  |  |

Art Unit: 3777

#### **DETAILED ACTION**

#### Claim Objections

1. Claims 10-12 are objected to because of the following informalities: In regard to claims 10-12, "comprises" should be set forth "comprise". Appropriate correction is required.

#### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1, 8-12, and 14-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Swedlow et al. (USPN 5,924,979 applicant cited). In regard to claim 1, Swedlow discloses a method of managing power consumption during continuous patient monitoring by adjusting behavior of a patient monitor (abstract and Col 2 line 66 Col 4 line 8) the method comprising: continuously operating a patient monitor at a lower power consumption level (sleep mode, Col 2 line 66 Col 3 line 15; and Col 4 lines 60-64) to determine measurement values for one or more physiological parameters of a patient (pulse, heart rate, and oxygen saturation, Fig. 2 and Col 3 lines 16-57; pulse is detected during sleep mode, Col 7 lines 25-35); comparing processing characteristics to a predetermined threshold (predetermined period of time, Col 7 lines 25-35; manual or remotely, Col 7 line 49 Col 8 line 21; RAM is nearly full, Col 9 lines

Art Unit: 3777

19-36; sensor is attached, Fig. 2); and when said processing characteristics pass said threshold (Col 7 lines 25-35), transitioning to continuously operating said patient monitor at a higher power consumption level (pulse search and then oxygen saturation detection; Col 7 lines 25-35).

In regard to claim 8, Swedlow discloses during said operating at said higher power consumption level, monitoring when said processing characteristics recedes from said threshold (detected pulse and oxygen saturation are stable, Col 5 line 58 – Col 6 line 29; Fig. 2); and when receded, transitioning to continuously operating said patient monitor at said lower power consumption level (Col 3 lines 1-57; Fig. 2; and Col 6 lines 19-29).

In regard to claim 9, Swedlow discloses said processing characteristics comprise signal characteristics from one or more light sensitive detectors (pulse oximeter, Fig. 1 and Col 3 lines 1-15; Col 4 lines 27-43).

In regard to claim 10, Swedlow discloses said signal characteristics comprise signal strength (Col 3 lines 49-55; Col 5 lines 41-57; and Col 7 lines 25-35. It is known that pulse detection is corresponding to the signal strength).

In regard to claim 11, Swedlow discloses said signal characteristics comprise a presence of noise (Col 7 lines 36-47).

In regard to claim 12, Swedlow discloses said signal characteristics comprise a presence of motion induced noise (Col 7 lines 36-47).

In regard to claim 14, Swedlow discloses said processing characteristics include an override condition (Col 5 line 58 – Col 6 line 29; Col 7 lines 49-65).

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In regard to claim 15, Swedlow discloses said override condition comprises measurements during a critical care environment (heart rate and oxygen saturation varying rate; and different limit, Col 5 line 58 – Col 6 line 29. The method can be performed in different care environments).

In regard to claim 16, Swedlow discloses said override condition comprises one or more monitored parameters exhibiting predefined behavior (Col 5 line 58 – Col 6 line 29; Col 7 lines 49-65).

#### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 2-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swedlow as applied to claim 1 above, and further in view of Sarussi, (WO 99/63883 applicant cited). In regard to claims 2 and 3, Swedlow discloses the power levels of the drive circuit can be controlled (Col 4 lines 44-54) but not specifically discloses said continuously operating at said lower power consumption level comprises reducing activation of an attached sensor and said reducing activation comprises reducing a duty cycle of said sensor. Sarussi teaches an oximeter with energy conservation that is achieved by reducing the operational duty cycle of the light source (page 32). It is known that reducing a duty cycle of light source can better conserve energy. Therefore,

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it would have been obvious to one with ordinary skill in the art at the time of the invention was made to modify the monitor (Swedlow) to incorporate reducing a duty cycle of the sensor (Sarussi) in order to better conserve power of the monitor.

In regard to claim 4, Swedlow as modified by Sarussi discloses said attached sensor comprises an optical sensor configured to detect emitted light attenuated by body tissue of said patient (oximeter, Swedlow and Sarussi).

6. Claims 5-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swedlow as applied to claim 1 above, and further in view of Minoz (USPN 6,115,622). In regard to claims 5-7, Swedlow discloses all the claimed limitation except said continuously operating at said lower power consumption level comprises reducing an amount of processing by a signal processor; said reducing comprises processing less data; and said processing less data comprises reducing an overlap in data blocks being processed. Minoz teaches a method of conserving battery charge in a battery-powered medical recorder comprising using different sampling rate at different signal channels (Figs. 3A-C and 4-7 and claim 1) which reduces an amount/ data of processing by a signal processor (different sample rates and associated data points, Fig. 3A) and an overlap in data blocks being processed (Fig. 3B). It is known that reducing the data to be processed by a signal processor can better preserve the power. Therefore, it would have been obvious to one with ordinary skill in the art at the time of the invention was made to modify the monitor (Swedlow) to incorporate the energy conservation method (Minoz) in order to better conserve the energy of the monitor.

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#### Double Patenting

7. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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8. Claims 1 and 13-14 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 10 and of U.S. Patent No. 6,697,658. Although the conflicting claims are not identical, they are not patentably distinct from each other because claim 10 of '658 recites a pulse oximetry method for switching the pulse oximeter in a higher power level and a lower power level based on an override related to a measure of signal quality.

9. Claims 1 and 13 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 10 and 17 of U.S. Patent No. 7,295,866. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 10 and 17 recite a pulse oximeter capable of varying its power consumption which can be selected between a first and a second power consumption modes based on at least an estimate of power consumption as compared to a target power consumption.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHU CHUAN (JJ) LIU whose telephone number is (571)270-5507. The examiner can normally be reached on M-TH 8:00am~4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tse Chen can be reached on (571)272-3672. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Chu Chuan Liu/ Examiner, Art Unit 3777

/Eric F Winakur/ Primary Examiner, Art Unit 3777

| Notice of References Cited  | Application/Control No.<br>11/939,519 | Applicant(s)/Patent Under<br>Reexamination<br>AL-ALI, AMMAR |             |  |
|-----------------------------|---------------------------------------|---|-------------|--|
| Notice of Helefelices Cited | Examiner                              | Art Unit  |             |  |
|                             | CHU CHUAN (JJ) LIU                    | 3777  | Page 1 of 1 |  |

#### **U.S. PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name         | Classification |
|---|---|--|-----------------|--------------|----------------|
| * | Α | US-6,115,622                                     | 09-2000         | Minoz, Alain | 600/309        |
|   | В | US-  |                 |              |                |
|   | С | US-  |                 |              |                |
|   | D | US-  |                 |              |                |
|   | Е | US-  |                 |              |                |
|   | F | US-  |                 |              |                |
|   | G | US-  |                 |              |                |
|   | Н | US-  |                 |              |                |
|   | I | US-  |                 |              |                |
|   | J | US-  |                 |              |                |
|   | K | US-  |                 |              |                |
|   | L | US-  |                 |              |                |
|   | М | US-  |                 |              |                |

#### FOREIGN PATENT DOCUMENTS

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Country | Name | Classification |
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|   | 0 |  |                 |         |      |                |
|   | Р |  |                 |         |      |                |
|   | Q |  |                 |         |      |                |
|   | R |  |                 |         |      |                |
|   | S |  |                 |         |      |                |
|   | Т |  |                 |         |      |                |

#### NON-PATENT DOCUMENTS

| _ · |   |   |
|-----|---|---|
| *   |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|     | U |   |
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<sup>\*</sup>A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Search Notes



| App | lication | /Control | No |
|-----|----------|----------|----|
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11939519

Applicant(s)/Patent Under Reexamination

AL-ALI, AMMAR

Examiner

CHU CHUAN (JJ) LIU

Art Unit

3777

| SEARCHED |      |   |
|----------|------|---|
| Subclass | Date | F |

| Class | Subclass                               | Date       | Examiner |
|-------|--|------------|----------|
| 600   | 309, 310, 322, 323, 324, 333, 473, 476 | 08/21/2012 | CCL      |
| 356   | 41                                     | 08/21/2012 | CCL      |

| SEARCH NOTES  |            |          |
|---|------------|----------|
| Search Notes  | Date       | Examiner |
| Inventor Name Search (PALM and EAST)                  | 08/20/2012 | CCL      |
| EAST Search (TEXT, USPGPUB, USPAT) See Search History | 08/21/2012 | CCL      |
| Google NPL Search                                     | 08/21/2012 | CCL      |

|       | INTERFERENCE SEA | RCH  |         |
|-------|------------------|------|---------|
| Class | Subclass         | Date | Examine |
|       |                  |      |         |

| /CHU CHUAN (JJ) LIU/<br>Examiner.Art Unit 3777 |  |
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|                 | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-----------------|-------------------------|---|
| Index of Claims | 11939519                | AL-ALI, AMMAR                           |
|                 | Examiner                | Art Unit                                |
|                 | CHU CHUAN (JJ) LIU      | 3777                                    |

|            |          |           |             |          |                | _       |       |         |              | _ |        |       |        |    |        |  |
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|            | Claims r | enumbered | in the same | order as | presented by a | applica | ant   |         | ☐ CPA        |   | ] T.C  | ).    | R.1.47 |    |        |  |
| CLAIM DATE |          |           |             |          |                |         |       |         |              |   |        |       |        |    |        |  |
| Fi         | inal     | Original  | 08/21/2012  |          |                |         |       |         |              |   |        |       |        |    |        |  |
|            |          | 1         | ✓           |          |                |         |       |         |              |   |        |       |        |    |        |  |
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| ☐ Claims | renumbered | in the same | order as pr | esented by | applicant |  | ☐ CPA | ∐ T.E | ). <u> </u> | R.1.47 |  |
|----------|------------|-------------|-------------|------------|-----------|--|-------|-------|-------------|--------|--|
| CL       | AIM        | DATE        |             |            |           |  |       |       |             |        |  |
| Final    | Original   | 08/21/2012  |             |            |           |  |       |       |             |        |  |
|          | 1          | <b>√</b>    |             |            |           |  |       |       |             |        |  |
|          | 2          | <b>√</b>    |             |            |           |  |       |       |             |        |  |
|          | 3          | ✓           |             |            |           |  |       |       |             |        |  |
|          | 4          | <b>√</b>    |             |            |           |  |       |       |             |        |  |
|          | 5          | <b>√</b>    |             |            |           |  |       |       |             |        |  |
|          | 6          | <b>√</b>    |             |            |           |  |       |       |             |        |  |
|          | 7          | ✓           |             |            |           |  |       |       |             |        |  |
|          | 8          | ✓           |             |            |           |  |       |       |             |        |  |
|          | 9          | ✓           |             |            |           |  |       |       |             |        |  |
|          | 10         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 11         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 12         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 13         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 14         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 15         | ✓           |             |            |           |  |       |       |             |        |  |
|          | 16         | <b>√</b>    |             |            |           |  |       |       |             |        |  |

# **EAST Search History**

# **EAST Search History (Prior Art)**

| Ref<br>#   | Hits | Search Query   | DBs                    | Default<br>Operator | Plurals | Time<br>Stamp       |
|------------|------|--|------------------------|---------------------|---------|---------------------|
| L3         | 864  | estimate with power with (conservation consumption) and battery  | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/21<br>09:09 |
| L4         | 5    | 3 and 600/310-344.ccls.  | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/21<br>09:09 |
| L5         | 43   | 3 and "600".clas.  | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/21<br>09:09 |
| S1         | 1    | (11/939519).APP.   | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2012/08/20<br>06:50 |
| S2         | 205  | (Al-Ali near2 Ammar).in. and "600".clas.   | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/20<br>06:51 |
| S3         | 2    | (("7295866") or ("6697658")).PN.   | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2012/08/20<br>06:52 |
| S5         | 2    | S3 and (power high\$2 low\$2).clm.   | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/20<br>08:18 |
| S6         | 0    | S5 and threshold.clm.  | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/20<br>08:23 |
| S <b>7</b> | 1    | S5 and average.clm.  | US-<br>PGPUB;<br>USPAT | OR                  | ON      | 2012/08/20<br>08:24 |
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|      |               | "7186966"                | "7190261"                 | "7215984"                  |               |          |          |                     |
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|      |               | "7289835"  <br>"D353195" | "7292883"<br>"D353196"    | 7295866  <br>  "D359546"   |               |          |          |                     |
|      |               | "D361840"                | "D362063"                 | "D363120"                  |               |          |          |                     |
|      |               | "D393830"                | "D554263"                 | 1                          |               |          |          |                     |
|      |               | "RE38492"                | "RE39 <b>67</b> 2")       | 1                          |               |          |          |                     |
| C10  |               |                          |                           | ·····                      | <u> </u>      | il       |          | 0040/00/00          |
| S18  | 30            | SI7 and ( III            | ign\$2 iow\$2)            | with power                 | US-<br>PGPUB; | OR       | ON       | 2012/08/20<br>09:14 |
|      |               |                          |                           |                            | USPAT         |          |          | 09.14               |
| C10  | 1.0           | C17 and ( b)             | inhto and la              |                            |               |          | l        | 0010/00/00          |
| S19  | 16            | SI/ and ( ni             | ign\$2 and io             | w\$2) with power           | US-<br>PGPUB; | OR       | ON       | 2012/08/20<br>09:15 |
|      |               |                          |                           |                            | USPAT         |          |          | 09.15               |
| ļ    |               |                          |                           |                            | - 73          | 1        |          | <u> </u>            |
| S21  | 110150        | power with               | consumption               | and sensor                 | US-           | OR       | ON       | 2012/08/20          |
|      |               |                          |                           |                            | PGPUB;        |          |          | 09:18               |
|      | <u></u>       |                          |                           |                            | USPAT         | <u> </u> | <u> </u> | <u></u> l           |
| S22  | 3 <b>4362</b> | S21 and thre             | eshold and d              | compar\$4                  | US-           | OR       | ON       | 2012/08/20          |
|      |               |                          |                           |                            | PGPUB;        |          |          | 09:27               |
|      |               |                          |                           |                            | USPAT         | <u></u>  |          |                     |
| S23  | 5402          | S22 and hig              | her and low               | er <b>w</b> ith            | US-           | OR       | ON       | 2012/08/20          |
|      |               | consumption              | ı                         |                            | PGPUB;        |          |          | 09:27               |
|      | <u> </u>      |                          | ***                       |                            | USPAT         | <u> </u> |          |                     |
| S24  | 123           | S23 and "60              | 0".clas.                  |                            | US-           | OR       | ON       | 2012/08/20          |
| 1024 | 1120          | :1                       |                           |                            | PGPUB;        | 4        |          | 09:27               |
| 324  | 120           |                          |                           |                            | ;;            | 31       |          |                     |
| 324  | 120           |                          |                           |                            | USPAT         |          |          |                     |
|      |               | <br>S24 and 600          | . <u></u><br>0/310-344.cc |                            | USPAT         |          | ON       |                     |
| S25  |               |                          | <br>0/310-344.cd          | xls.                       |               | OR       | ON       | 2012/08/20<br>09:29 |

| S26 |   | winakur.xp. and medtronic.as. and memory | ,                      |    | ON  | 2012/08/20<br>10:43 |
|-----|---|--|------------------------|----|-----|---------------------|
| S27 | 1 | ("5924979").PN.                          | US-<br>PGPUB;<br>USPAT | OR | OFF | 2012/08/20<br>10:50 |
| S28 | 1 | ("6553242").PN.                          | US-<br>PGPUB;<br>USPAT | OR | OFF | 2012/08/20<br>11:04 |
| S29 | 1 | ("20080262326").PN.                      | US-<br>PGPUB;<br>USPAT | OR | OFF | 2012/08/20<br>11:20 |

# **EAST Search History (Interference)**

<This search history is empty>

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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| STATEMENT BY ALL LICANT               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 1 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |
|                                       |                      |              |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|--|
| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       | 1           | 7,295,866   | 11/2007                        | Al-Ali                        |  |  |
|                       | 2           | 7,292,883   | 11/2007                        | De Felice et al.              |  |  |
|                       | 3           | 7,289,835   | 10/2007                        | Mansfield et al.              |  |  |
|                       | 4           | D554,263  | 10/2007                        | Al-Ali                        |  |  |
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| STATEMENT BY APPLICANT                | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 2 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
|                                       | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 3 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

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|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
|                                       | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 4 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |  |
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| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
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| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
|                                       | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 5 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |  |  |
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| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| STATEMENT BY ALL LICANT               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 6 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|--|
| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| STATEMENT DI ALI LICANI               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 7 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      | U.S. PATENT DOCUMENTS |   |                                |                               |  |  |  |  |
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|                      | 190                   | 5,041,187   | 08/1991                        | Hink et al.                   |  |  |  |  |
|                      | 191                   | 4,964,408   | 10/1990                        | Hink et al.                   |  |  |  |  |
|                      | 192                   | 4,960,128   | 10/1990                        | Gordon et al.                 |  |  |  |  |
|                      |                       |   |                                |                               |  |  |  |  |

|                      | FOREIGN PATENT DOCUMENTS |  |                                   |                                  |  |                |  |
|----------------------|--------------------------|--|-----------------------------------|----------------------------------|--|----------------|--|
| Examiner<br>Initials | Cite<br>No.              | Foreign Patent Document<br>Country Code-Number-Kind Code<br>Example: JP 1234567 A1 | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant | Pages, Columns, Lines<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>1</sup> |  |
|                      | 193                      | EP 0 872 210 A1  | 10/1998                           | European                         |  |                |  |
|                      | 194                      | WO 99/63883  | 12/1999                           | PCT                              |  |                |  |
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Examiner Signature Date Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| OTATEMENT BY ALL EIGHN                | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 8 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      | NON PATENT LITERATURE DOCUMENTS |   |                |  |
|----------------------|---------------------------------|---|----------------|--|
| Examiner<br>Initials | Cite<br>No.                     | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>1</sup> |  |
|                      | 195                             | PCT International Search Report, App. No. PCT/US02/20675, App. Date: 06/28/2002, 4 pages.   |                |  |
|                      |                                 |   |                |  |
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| Examiner Signature | /Chu Chuan Li | u/ | Date Considered | 08/21/2012 |  |
|--------------------|---------------|----|-----------------|------------|--|

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

MASIMO.285C2 PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ammar Al-Ali

App. No. : 11/939,519

Filed: November 13, 2007

For : LOW POWER PULSE OXIMETER

Examiner : Chu Chuan Liu

Art Unit : 3777

Conf No. : 6131

CERTIFICATE OF EFS WEB TRANSMISSION

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

November 30, 2012

(Date)

/John M. Grover/

John M. Grover, Reg. No. 42,610

# AMENDMENT AND RESPONSE TO OFFICE ACTION DATED AUGUST 30, 2012

### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the pending Office Action, the Applicant respectfully requests the above-identified application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

Filing Date: November 13, 2007

### **AMENDMENTS TO THE CLAIMS**

The following listing of claims replaces all prior versions thereof. Changes are shown below in highlighted form, where insertions appear as underlined text (e.g., <u>insertions</u>) while deletions appear as strikethrough text (e.g., <u>deletions</u>) or double brackets (e.g., [[deletions]]).

1. (Original) A method of managing power consumption during continuous patient monitoring by adjusting behavior of a patient monitor, the method comprising:

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption level.

- 2. (Original) The method of Claim 1, wherein said continuously operating at said lower power consumption level comprises reducing activation of an attached sensor.
- 3. (Original) The method of Claim 2, wherein said reducing activation comprises reducing a duty cycle of said sensor.
- 4. (Original) The method of Claim 2, wherein said attached sensor comprises an optical sensor configured to detect emitted light attenuated by body tissue of said patient.
- 5. (Original) The method of Claim 1, wherein said continuously operating at said lower power consumption level comprises reducing an amount of processing by a signal processor.
- 6. (Original) The method of Claim 5, wherein said reducing comprises processing less data.
- 7. (Original) The method of Claim 6, wherein said processing less data comprises reducing an overlap in data blocks being processed.

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- 8. (Original) The method of Claim 1, wherein during said operating at said higher power consumption level, monitoring when said processing characteristics recedes from said threshold; and when receded, transitioning to continuously operating said patient monitor at said lower power consumption level.
- 9. (Original) The method of Claim 1, wherein said processing characteristics comprise signal characteristics from one or more light sensitive detectors.
- 10. (Currently Amended) The method of Claim 9, wherein said signal characteristics comprise[[s]] signal strength.
- 11. (Currently Amended) The method of Claim 9, wherein said signal characteristics comprise[[s]] a presence of noise.
- 12. (Currently Amended) The method of Claim 9, wherein said signal characteristics comprise[[s]] a presence of motion induced noise.
- 13. (Original) The method of Claim 1, wherein said processing characteristics include determining an estimate of current power consumption and comparing said estimate with a target power consumption.
- 14. (Original) The method of Claim 1, wherein said processing characteristics include an override condition.
- 15. (Original) The method of Claim 14, wherein said override condition comprises measurements during a critical care environment.
- 16. (Original) The method of Claim 14, wherein said override condition comprises one or more monitored parameters exhibiting predefined behavior.
- 17. (New) A patient monitor configured to manage power consumption during continuous patient monitoring by adjusting its behavior, the monitor comprising:

an input configured to receive at least one signal responsive to light detected after attenuation by body tissue of a patient by a noninvasive sensor; and

one or more processors continuously operating at a lower power consumption level to determine measurement values for one or more

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physiological parameters of said patient, said processors comparing processing characteristics to a predetermined threshold, and when said processing characteristics pass said threshold, said processors transitioning to continuously operating at a higher power consumption level.

- 18. (New) The monitor of Claim 17, wherein processors reduce activation of an attached sensor.
- 19. (New) The monitor of Claim 18, wherein said processors reduce a duty cycle of said sensor.
- 20. (New) The monitor of Claim 17, wherein said processors reduce an amount of processing by a signal processor.
- 21. (New) The monitor of Claim 20, wherein said processors reduce an overlap in data blocks being processed.
- 22. (New) The monitor of Claim 17, wherein during said operating at said higher power consumption level, said processors monitors when said processing characteristics recedes from said threshold; and when receded, said processors transition to continuously operating at said lower power consumption level.
- 23. (New) The monitor of Claim 17, wherein said processing characteristics comprise signal characteristics from one or more light sensitive detectors.
- 24. (New) The monitor of Claim 17, wherein said processing characteristics include determining an estimate of current power consumption and comparing said estimate with a target power consumption.
- 25. (New) The monitor of Claim 17, wherein said processing characteristics include an override condition.
- 26. (New) The monitor of Claim 25, wherein said override condition comprises measurements during a critical care environment.
- 27. (New) The monitor of Claim 25, wherein said override condition comprises one or more monitored parameters exhibiting predefined behavior.

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### **REMARKS**

The Applicants thank the Examiner for his careful and thoughtful examination of the present application. By way of summary, Claims 1-16 were pending in this application. In the present amendment, the Applicants added new claims 17-27. Accordingly, Claims 1-27 remain pending.

# Claim Objections

The Office Action objected to Claims 10-12, specifically suggesting that the Applicants amend "comprises" to "comprise." The Applicants adopt the suggestion herein and submit that such amendments do not substantively change the scope of any of the claims.

# Rejection Of Claims Under 35 U.S.C. § 102 (b)

The Office Action rejected Claims 1, 8-12 and 14-16¹ under 35 U.S.C. § 102(b) as being allegedly anticipated by U.S. Pat. No. 5924979 issued to Swedlow et al. The Applicants respectfully traverses these rejections, the characterizations of the pending claims and the cited references and each and every implicit and/or explicit potential for reliance on Official Notice because Swedlow fails to identically teach every element of the claim. See M.P.E.P. § 2131 (stating that in order to anticipate a claim, a prior art reference must identically teach every element of the claim).

For example, Claim 1 recites, among other things:

1. (Original) A method of managing power consumption during continuous patient monitoring ..., the method comprising:

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and

<sup>&</sup>lt;sup>1</sup> In the listing of claims at the start of Para. 3 of the Office Action, the list ends with Claim 15. However, Claims 16 is included in the analysis on Page 4 and Claim 16 is not discussed elsewhere. Thus, the Applicants treat Claim 16 as belonging to the § 102 rejections.

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when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption level.

In contrast, Swedlow discloses a "sleep mode" for a pulse oximeter. Such "sleep mode" technologies were directly addressed in the present application's development of the prior art. For example, paragraph 6 states:

**[0006]** There are a number of disadvantages to applying consumer electronic <u>sleep mode</u> techniques to pulse oximetry. <u>By definition, the pulse oximeter is not functioning during sleep mode.</u> Unlike consumer electronics, pulse oximetry cannot afford to miss events, such as patient oxygen desaturation. Further, there is a trade-off between shorter but more frequent sleep periods to avoid a missed event and the increased processing overhead to power-up after each sleep period. Also, sleep mode techniques rely only on the output parameters to determine whether the pulse oximeter should be active or in sleep mode. Finally, the caregiver is given no indication of when the pulse oximeter outputs were last updated.

Thus, sleep mode technologies, including Swedlow, do not teach or suggest continuous determination of measurement values. Rather, sleep mode disclosures, including Swedlow, simply turn off various portions/electronics for predetermined periods of time. As stated, such technologies suffer from the trade-off of on one side, longer sleep periods that save power but potentially miss monitoring events, and on the other side, shorter sleep times designed to capture monitoring events but don't save much power.

The Office Action misreads Swedlow to teach continuous determination of measurement values <u>during</u> sleep mode. For example, the Office Action states:

3. Claims 1, 8-12, and 14-15 are rejected under 35 U.S.C. 1 02(b) as being anticipated by Swedlow et al. (USPN 5,924,979 - applicant cited). In regard to claim 1, Swedlow discloses a method of managing power consumption during continuous patient monitoring by adjusting behavior of a patient monitor (abstract and Col 2 line 66 - Col 4 line 8) the method comprising: continuously operating a patient monitor at a lower power consumption level (sleep mode, Col 2 line 66 - Col 3 line 15; and Col 4 lines 60-64) to determine measurement values for one or more physiological

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> parameters of a patient (pulse, heart rate, and oxygen saturation, Fig. 2 and Col 3 lines 16-57; pulse is detected during sleep mode, Col 7 lines 25-35); \

However, Swedlow does not teach continuous monitoring. Rather, Swedlow teaches that the system may wake up, take a measurement, and then determine whether to fully return to monitoring (Fig. 2; col. 3:1-15), or continuous acquisition of raw data during sleep mode, without continuous determination of measurement values, then upon wakeup, accessing present values of the continuously stored raw data (col. 9:20-36). Clearly, the former is not continuous. The latter is additionally not continuous determination of measurement values because if problematic values are measured during sleep mode, they are stored in memory but not recognized as problematic by the monitor unless, by mere coincidence, the monitor does its periodic check using that particular data.

Looking specifically at the section of col. 7 cited by the Office Action, as reproduced below, pulse is not continuously monitored. Rather, lines 26-29 are discussing alarms used in normal oximeter operation (i.e., not in sleep mode), and lines 30-35 discuss shorting the sleep mode period to try to account for the non-sleep mode operation. It is noteworthy that the penultimate point or the paragraph is that an alarm will be generated if "no pulse is detected for 5 seconds after awakening ....." Thus, col. 7: 25-35 does not teach continuous determination of measurement values.

> In addition, other aspects of the pulse oximeter operation may be modified during a sleep mode. In particular, a pulse oximeter includes alarm limits, such as an alarm which may be generated if no pulse is detected for a predetermined period of time (such as 10 seconds). It may be desirable to impose a shorter limit upon awakening from a sleep mode since the condition may have been continuing undetected some time prior to the awakening. In one embodiment, the "no pulse" alarm will be generated if no pulse is detected for 5 seconds after awakening, as opposed to the normal 10 seconds.

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Based on at least the foregoing, Swedlow fails to identically teach or suggest the independent claim limitations. Therefore, the Applicants respectfully request withdrawal of the rejection of the independent claims under 35 U.S.C. § 102.

Additionally, Swedlow fails to identically teach or suggest all the claim limitations for dependent Claims 8-12 and 14-16, based on their dependency and on the individual elements recited therein. For example, at least because Swedlow fails to teach continuous measurements, Swedlow fails to use of specific parameters in the determination of continuous measurements.

# Rejection Of Claims Under 35 U.S.C. § 103

The Office Action rejected Claims 2-7 under 35 U.S.C. § 103 as being unpatentable over Swedlow, mentioned above, in view of W.O. Pub. No. 99/63883 to Sarussi, or in view of U.S. Pat. No. 6115622, issued to Minoz. The Applicants respectfully traverse these rejections, the characterizations of the pending claims and the cited references and each and every implicit and/or explicit potential for reliance on Official Notice because the Swedlow, alone or in combination with either Sarussi or Minoz fails to teach or suggest the elements of the claims. See M.P.E.P. § 2143 (stating that in order to establish a *prima facie* case of obviousness for a claim, the prior art references must teach or suggest <u>all</u> the claim limitations).

As stated in the foregoing, Swedlow fails to teach or suggest continuous determination of measurement values. Sarussi and Minoz are relied up for other teachings. Based on at least the foregoing, Swedlow, alone or in combination with either Sarussi or Minoz fails to teach or suggest <u>all</u> the independent claim limitations. Therefore, the Applicants respectfully request withdrawal of the rejection of the independent claims under 35 U.S.C. § 103.

Additionally, the Swedlow, alone or in combination with either Sarussi or Minoz fails to teach or suggest all the claim limitations for dependent Claims 2-7, based on their dependency and on the individual elements recited therein.

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# Rejection Of Claims Under Obviousness-Type Double Patenting

The Office Action rejected Claims 1 and 13-14 under the non-statutory, obviousness-type double patenting over Claim 10 of U.S. Pat. No. 6697658. The Applicants respectfully traverse these rejections, because Claim 10 of the '658 patent does not render obvious Claim 1 or dependent Claims 13-14.

Claim 10 of the '658 patent recites:

10. A low power pulse oximetry method comprising the steps of: detecting an override related to a measure of signal quality or a physiological measurement event;

increasing said pulse oximeter to a higher power level when said override exists:

reducing said pulse oximeter to a lower power level if said override does not exist;

predetermining a target power level for a pulse oximeter; and

cycling between said lower power lever and said higher power level so that an average power consumption is consistent with said target power level.

Thus, Claim 10 of the '658 patent does not render obvious claims to continuous determination of measurement values. Moreover, presently pending Claims 13-14 depend from Claim 1, and therefore, Claim 10 of the '658 patent does not render obvious these claims based on their dependency and upon the features recited therein.

The Office Action also rejected Claims 10 and 13 under the non-statutory, obviousness-type double patenting over Claims 10 and 17 of U.S. Pat. No. 7295866. The Applicants respectfully traverse these rejections, because Claims 10 and 17 of the '866 patent do not render obvious Claim 1 or dependent Claim 13.

Claims 10 and 17 of the '866 patent recite:

10. A pulse oximeter capable of varying its power consumption, comprising:

an emitter driver which outputs a drive signal capable of driving at least one emitter of a sensor that detects energy attenuated by tissue of a measurement site of a patient; and

a controller which selects between at least a first duty cycle of the drive signal corresponding to a first power consumption and a second duty cycle of the drive signal corresponding to a second power consumption different than the first power consumption.

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17. The pulse oximeter of claim 10, wherein the controller selects based on at least an estimate of power consumption as compared to a target power consumption.

Thus, Claims 10 and 17 of the '866 patent do not render obvious claims to continuous determination of measurement values. Moreover, presently pending Claim 17 depends from Claim 1, and therefore, Claims 10 and 17 of the '866 patent do not render obvious these claims based on their dependency and upon the features recited therein.

# No Disclaimers or Disavowals

Although the present communication may include alterations to the application or claims, or characterizations of claim scope or referenced art, Applicant is not conceding in this application that previously pending claims are not patentable over the cited references. Rather, any alterations or characterizations are being made to facilitate expeditious prosecution of this application. Applicant reserves the right to pursue at a later date any previously pending or other broader or narrower claims that capture any subject matter supported by the present disclosure, including subject matter found to be specifically disclaimed herein or by any prior prosecution. Accordingly, reviewers of this or any parent, child or related prosecution history shall not reasonably infer that Applicant has made any disclaimers or disavowals of any subject matter supported by the present application.

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Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 30, 2012 By: /John M. Grover/

John M. Grover Registration No. 42,610 Attorney of Record Customer No. 64735 (949) 760-0404

13928838

| Electronic Patent <i>I</i>              | Electronic Patent Application Fee Transmittal |                     |          |        |                         |  |  |
|---|---|---------------------|----------|--------|-------------------------|--|--|
| Application Number:                     | 119   | 11939519            |          |        |                         |  |  |
| Filing Date:                            | 13-   | -No <b>v</b> -2007  |          |        |                         |  |  |
| Title of Invention:                     | LO  | W POWER PULSE O     | XIMETER  |        |                         |  |  |
| First Named Inventor/Applicant Name:    | An  | nmar Al-Ali         |          |        |                         |  |  |
| Filer:                                  | Jol   | nn M. Grover/Linh D | 00       |        |                         |  |  |
| Attorney Docket Number:                 | MA  | ASIMO.285C2         |          |        |                         |  |  |
| Filed as Large Entity                   |   |                     |          |        |                         |  |  |
| Utility under 35 USC 111(a) Filing Fees |   |                     |          |        |                         |  |  |
| Description                             |   | Fee Code            | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                           |   |                     |          |        |                         |  |  |
| Pages:                                  |   |                     |          |        |                         |  |  |
| Claims:                                 |   |                     |          |        |                         |  |  |
| Claims in excess of 20                  |   | 1202                | 7        | 62     | 434                     |  |  |
| Miscellaneous-Filing:                   |   |                     |          |        |                         |  |  |
| Petition:                               |   |                     |          |        |                         |  |  |
| Patent-Appeals-and-Interference:        |   |                     |          |        |                         |  |  |
| Post-Allowance-and-Post-Issuance:       |   |                     |          |        |                         |  |  |
| Extension-of-Time:                      |   |                     |          |        |                         |  |  |

| Description    | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|----------------|----------|-----------|--------|-------------------------|
| Miscellaneous: |          |           |        |                         |
|                | Tot      | al in USD | (\$)   | 434                     |

| Electronic Acknowledgement Receipt   |                              |  |  |  |
|--------------------------------------|------------------------------|--|--|--|
| EFS ID:                              | 14358327                     |  |  |  |
| Application Number:                  | 11939519                     |  |  |  |
| International Application Number:    |                              |  |  |  |
| Confirmation Number:                 | 6131                         |  |  |  |
| Title of Invention:                  | LOW POWER PULSE OXIMETER     |  |  |  |
| First Named Inventor/Applicant Name: | Ammar Al-Ali                 |  |  |  |
| Customer Number:                     | 64735                        |  |  |  |
| Filer:                               | John M. Grover/Adriana Perez |  |  |  |
| Filer Authorized By:                 | John M. Grover               |  |  |  |
| Attorney Docket Number:              | MASIMO.285C2                 |  |  |  |
| Receipt Date:                        | 30-NOV-2012                  |  |  |  |
| Filing Date:                         | 13-NOV-2007                  |  |  |  |
| Time Stamp:                          | 18:32:47                     |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)  |  |  |  |
| Payment information:                 |                              |  |  |  |

| Submitted with Payment                   | yes         |
|--|-------------|
| Payment Type                             | Credit Card |
| Payment was successfully received in RAM | \$434       |
| RAM confirmation Number                  | 7763        |
| Deposit Account                          |             |
| Authorized User                          |             |

# File Listing:

| Document | Document Description | File Name   | File Size(Bytes)/ | Multi      | Pages      |
|----------|----------------------|-------------|-------------------|------------|------------|
| Number   | Document Description | riie Naille | Message Digest    | Part /.zip | (if appl.) |

| 1  |                              | Amendment_MASIMO.pdf                             | 447602                                       | yes   | 11 |  |
|--|------------------------------|--|--|-------|----|--|
| ·  |                              | /menament_w/siwo.par                             | b3011a5c068d465c36d610025c91284f918<br>20f12 | yes   |    |  |
|  | Multip                       | part Description/PDF files in .                  | zip description                              |       |    |  |
|  | Document De                  | scription  | Start  | E     | nd |  |
|  | Amendment/Req. Reconsiderati | 1  |  | 1     |    |  |
|  | Claims                       | Claims 2   |  |       | 4  |  |
|  | Applicant Arguments/Remarks  | Applicant Arguments/Remarks Made in an Amendment |  |       | 11 |  |
| Warnings:  |                              |  |  |       |    |  |
| Information                                      |                              |  |  |       |    |  |
| 2  | Fee Worksheet (SB06)         | fee-info.pdf                                     | 30259  |       | 2  |  |
| _  |                              |  | a45622edacf3c4c4727476c2e74f253b2701<br>715f |       | _  |  |
| Warnings:  |                              |  |  |       | •  |  |
| Information                                      |                              |  |  |       |    |  |
|  |                              | Total Files Size (in bytes)                      | 47   | 77861 |    |  |
| <del>                                     </del> |                              |  |  |       |    |  |

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 11/939,519 11/13/2007 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) FOR **NUMBER FILED** NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) ☐ BASIC FEE N/A N/A N/A N/A (37 CFR 1 16(a) (b), or (c)) SEARCH FEE N/A N/A N/A N/A 37 CFR 1.16(k), (i), or (m)) EXAMINATION FEE N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (a) TOTAL CLAIMS OR minus 20 = X \$ X \$ (37 CFR 1 16(i)) INDEPENDENT CLAIMS minus 3 = X \$ X \$ (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due PAPPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) (Column 3) OR CLAIMS REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER 11/30/2012 RATE (\$) RATE (\$) **AFTER** PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) AMENDMENT **AMENDMENT** PAID FOR Total (37 CFR \* 27 434 Minus \*\* 20 7 X \$ OR X \$62= Independent (37 CFR 1.16(h)) \* 2 Minus \*\*\*3 = 0 X \$ OR X \$250= 0 Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR 434 ADD'I ADD'I FEE FEE (Column 1) (Column 2) (Column 3) HIGHEST CLAIMS REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE (\$) RATE (\$) FEE (\$) **AFTER** PRE**V**IOUSLY **EXTRA** FEE (\$) AMENDMENT PAID FOR Total (37 CFR Minus X \$ OR X \$ = = AMENDME Independent (37 CFR 1.16(h)) Minus \*\*\* X \$ OR x \$ Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'I ADD'L FEE FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /HENRIETT K. DENDY/

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MASIMO.285C2 PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Ammar Al-Ali

App. No.

: 11/939,519

Filed

: November 13, 2007

For

: LOW POWER PULSE OXIMETER

Examiner

: Chu Chuan Liu

Art Unit

: 3777

Conf No.

: 6131

# CERTIFICATE OF EFS WEB TRANSMISSION

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January 9, 2013 (Date)

John M. Grover, Reg. No. 42,610

# SUPPLEMENTAL AMENDMENT

# **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

The Applicant requests that the Examiner consider the present Supplemental Amendment filed in addition to consideration of Applicant's "Response to Office Action" filed November 30, 2012. The present Supplemental Amendment includes the following remarks and amendments.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Summary of Interview** begins on page 7 of this paper.

Remarks begin on page 8 of this paper.

Filing Date: November 13, 2007

### **AMENDMENTS TO THE CLAIMS**

The following listing of claims replaces all prior versions thereof. Changes are shown below in highlighted form, where insertions appear as underlined text (e.g., <u>insertions</u>) while deletions appear as strikethrough text (e.g., <u>deletions</u>) or double brackets (e.g., [[deletions]]

- 1. (Canceled).
- 2. (Currently Amended) <u>A method of managing power consumption</u> during continuous patient monitoring by adjusting behavior of a patient monitor, the method comprising:

driving one or more light sources configured to emit light into tissue of a monitored patient;

receiving one or more signals from one or more detectors configured to detect said light after attenuation by said tissue;

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption levelThe method of Claim—1, wherein said continuously operating at said lower power consumption level comprises reducing activation of an attached sensor, said sensor positioning said light sources and said detectors proximate said tissue.

- 3. (Original) The method of Claim 2, wherein said reducing activation comprises reducing a duty cycle of said sensor.
  - 4. (Canceled).
- 5. (Currently Amended) A method of managing power consumption during continuous patient monitoring by adjusting behavior of a patient monitor, the method comprising:

driving one or more light sources configured to emit light into tissue of a monitored patient;

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receiving one or more signals from one or more detectors configured to detect said light after attenuation by said tissue;

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption level The method of Claim 1, wherein said continuously operating at said lower power consumption level comprises reducing an amount of processing by a signal processor.

- 6. (Original) The method of Claim 5, wherein said reducing comprises processing less data.
- 7. (Original) The method of Claim 6, wherein said processing less data comprises reducing an overlap in data blocks being processed.
- 8. (Currently Amended) The method of Claim-12, wherein during said operating at said higher power consumption level, monitoring when said processing characteristics recedes from said threshold; and when receded, transitioning to continuously operating said patient monitor at said lower power consumption level.
- 9. (Currently Amended) The method of Claim—12, wherein said processing characteristics comprise signal characteristics from one or more light sensitive detectors.
- 10. (Previously Presented) The method of Claim 9, wherein said signal characteristics comprise signal strength.
- 11. (Previously Presented) The method of Claim 9, wherein said signal characteristics comprise a presence of noise.
- 12. (Previously Presented) The method of Claim 9, wherein said signal characteristics comprise a presence of motion induced noise.
- 13. (Currently Amended) The method of Claim 42, wherein said processing characteristics include determining an estimate of current power consumption and comparing said estimate with a target power consumption.

Filing Date: November 13, 2007

14. (Currently Amended) <u>A method of managing power consumption</u> during continuous patient monitoring by adjusting behavior of a patient monitor, the method comprising:

driving one or more light sources configured to emit light into tissue of a monitored patient;

receiving one or more signals from one or more detectors configured to detect said light after attenuation by said tissue;

continuously operating a patient monitor at a lower power consumption level to determine measurement values for one or more physiological parameters of a patient;

comparing processing characteristics to a predetermined threshold; and when said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption levelThe method-of-Claim-1, wherein said processing characteristics include an override condition.

- 15. (Previously Presented) The method of Claim 14, wherein said override condition comprises measurements during a critical care environment.
- 16. (Previously Presented) The method of Claim 14, wherein said override condition comprises one or more monitored parameters exhibiting predefined behavior.
  - 17. (Canceled).
- 18. (Currently Amended) <u>A patient monitor configured to manage power consumption during continuous patient monitoring by adjusting its behavior, the monitor comprising:</u>

an input configured to receive at least one signal responsive to light detected after attenuation by body tissue of a patient by a noninvasive sensor; and

one or more processors continuously operating at a lower power consumption level to determine measurement values for one or more physiological parameters of said patient, said processors comparing processing characteristics to a predetermined threshold, and when said processing characteristics pass said threshold, said processors transitioning to continuously

Filing Date: November 13, 2007

operating at a higher power consumption level<del>The monitor of Claim 17</del>, wherein processors reduce activation of an attached sensor.

- 19. (Previously Presented) The monitor of Claim 18, wherein said processors reduce a duty cycle of said sensor.
- 20. (Currently Amended) A patient monitor configured to manage power consumption during continuous patient monitoring by adjusting its behavior, the monitor comprising:

an input configured to receive at least one signal responsive to light detected after attenuation by body tissue of a patient by a noninvasive sensor; and

one or more processors continuously operating at a lower power consumption level to determine measurement values for one or more physiological parameters of said patient, said processors comparing processing characteristics to a predetermined threshold, and when said processing characteristics pass said threshold, said processors transitioning to continuously operating at a higher power consumption levelThe monitor-of-Claim-17, wherein said processors reduce an amount of processing by a signal processor.

- 21. (Previously Presented) The monitor of Claim 20, wherein said processors reduce an overlap in data blocks being processed.
- 22. (Currently Amended) The monitor of Claim—17<u>18</u>, wherein during said operating at said higher power consumption level, said processors monitors when said processing characteristics recedes from said threshold; and when receded, said processors transition to continuously operating at said lower power consumption level.
- 23. (Currently Amended) The monitor of Claim 47<u>18</u>, wherein said processing characteristics comprise signal characteristics from one or more light sensitive detectors.
- 24. (Currently Amended) The monitor of Claim 4718, wherein said processing characteristics include determining an estimate of current power consumption and comparing said estimate with a target power consumption.

Filing Date: November 13, 2007

25. (Currently Amended) <u>A patient monitor configured to manage power consumption during continuous patient monitoring by adjusting its behavior, the monitor comprising:</u>

an input configured to receive at least one signal responsive to light detected after attenuation by body tissue of a patient by a noninvasive sensor; and

one or more processors continuously operating at a lower power consumption level to determine measurement values for one or more physiological parameters of said patient, said processors comparing processing characteristics to a predetermined threshold, and when said processing characteristics pass said threshold, said processors transitioning to continuously operating at a higher power consumption levelThe-monitor-of-Claim-17, wherein said processing characteristics include an override condition.

- 26. (Previously Presented) The monitor of Claim 25, wherein said override condition comprises measurements during a critical care environment.
- 27. (Previously Presented) The monitor of Claim 25, wherein said override condition comprises one or more monitored parameters exhibiting predefined behavior.

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### **SUMMARY OF INTERVIEW**

The Applicant thanks Examiner Chu Chuan Liu for the telephonic interviews extended to the Applicant's counsel of record, John M. Grover, culminating on January 8, 2013, with an agreement as to the claim language reflected herein. Over the course of the interviews, the participants discussed U.S. Pat. No. 5,827,969 to Lee et al. and 6,402,690 to Rhee et al. and the Applicants asserted why the presently pending claims were allowable over the same.

**Application No.: 11/939,519** 

Filing Date: November 13, 2007

## **REMARKS**

By way of summary, Claims 1-27 were pending for consideration. In the present amendment, the Applicant canceled claims without prejudice or disclaimer and amended the claims without prejudice or disclaimer to previously pending versions thereof. Accordingly, Claims 2-3, 5-16, and 18-27 remain pending for consideration.

During the interviews summarized in the foregoing, an agreement was reached relating to claim language. Accordingly, the Applicant has amended the claims along the lines discussed in the interview. Therefore, the Applicant respectfully requests consideration of the pending amended claims.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11 1410.

Respectfully submitted,

KNOBBE, MAR, TENS, OLSON & BEAR, LLP

Dated: January 9, 2013

John M. Grover

Registration No. 42,610 Attorney of Record

Customer No. 64735

(949) 760-0404

14632518

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| EFS ID:                              | 14659034                    |  |  |
| Application Number:                  | 11939519                    |  |  |
| International Application Number:    |                             |  |  |
| Confirmation Number:                 | 6131                        |  |  |
| Title of Invention:                  | LOW POWER PULSE OXIMETER    |  |  |
| First Named Inventor/Applicant Name: | Ammar Al-Ali                |  |  |
| Customer Number:                     | 64735                       |  |  |
| Filer:                               | John M. Grover/Tony Do      |  |  |
| Filer Authorized By:                 | John M. Grover              |  |  |
| Attorney Docket Number:              | MASIMO.285C2                |  |  |
| Receipt Date:                        | 09-JAN-2013                 |  |  |
| Filing Date:                         | 13-NOV-2007                 |  |  |
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| Application Type:                    | Utility under 35 USC 111(a) |  |  |

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|              | Applicant summary of interview with examiner        | 7     | 7     |  |  |  |
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LIU, CHU CHUAN

ART UNIT PAPER NUMBER

3777

DATE MAILED: 02/01/2013

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 11/939,519      | 11/13/2007  | Ammar Al-Ali         | MASIMO.285C2        | 6131             |

TITLE OF INVENTION: LOW POWER PULSE OXIMETER

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
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| 11/939,519      | 11/13/2007  | Ammar Al-Ali         | MASIMO.285C2        | 6131             |

TITLE OF INVENTION: LOW POWER PULSE OXIMETER

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| 11/939,519   | 11/13/2007    | Ammar Al-Ali         | MASIMO.285C2        | 6131             |
| 64735 75   | 90 02/01/2013 |                      | EXAM                | INER             |
| KNOBBE, MARTENS, OLSON & BEAR, LLP<br>2040 MAIN STREET |               |                      | LIU, CHU            | CHUAN            |
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| IRVINE, CA 9261  | 4             |                      | 3777                |                  |

DATE MAILED: 02/01/2013

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1325 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1325 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application No.   | Applicant(s)   |                  |
|--|---|--|------------------|
|  | 11/939,519  | AL-ALI, AMMAR  |                  |
| Notice of Allowability   | Examiner  | Art Unit   |                  |
|  | CHU CHUAN (JJ) LIU  | 3777   |                  |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R  | (OR REMAINS) CLOSED in the or other appropriate communi IGHTS. This application is sub                                    | nis application. If not included cation will be mailed in due cou        | rse. <b>THIS</b> |
| 1. $\boxtimes$ This communication is responsive to $\underline{\textit{supplemental amendn}}$  | ment filed on 01/09/2013 .  |  |                  |
| 2. An election was made by the applicant in response to a resrequirement and election have been incorporated into this a   |   | ring the interview on; the   | e restriction    |
| <ol> <li>The allowed claim(s) is/are <u>2-3, 5-16, 18-27</u>. As a result of the Prosecution Highway program at a participating intellectual please see <a href="http://www.uspto.gov/patents/init_events/pph/inc">http://www.uspto.gov/patents/init_events/pph/inc</a></li> </ol>   | al property office for the corresp  | onding application. For more in  |                  |
| 4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") mus including changes required by the attached Examiner'   | e been received. e been received in Application of this communication to file a MENT of this application. t be submitted. | No  In this national stage application  reply complying with the require |                  |
| Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the sheet in the she | .84(c)) should be written on the  | drawings in the front (not the bac                                       | ck) of           |
| 6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO   | BIOLOGICAL MATERIAL must  | be submitted. Note the   |                  |
| Attachment(s)  1. Notice of References Cited (PTO-892)  2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. Interview Summary (PTO-413), Paper No./Mail Date   | <u> </u>  | nendment/Comment<br>atement of Reasons for Allowar                       | nce              |
|  |   |  |                  |

U.S. Patent and Trademark Office PTOL-37 (Rev. 09-12)

Notice of Allowability

Part of Paper No./Mail Date 20130110

Application/Control Number: 11/939,519

Art Unit: 3777

**EXAMINER'S AMENDMENT** 

Page 2

1. An examiner's amendment to the record appears below. Should the changes

and/or additions be unacceptable to applicant, an amendment may be filed as provided

by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be

submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview

with John Grover on 01/09/2013. Amendments were made to resolve potential 35 USC

112 issues.

The application has been amended as follows:

Claim 18, line 2, "by adjusting its behavior" was deleted.

Claim 20, line 2, "by adjusting its behavior" was deleted.

Claim 25, line 2, "by adjusting its behavior" was deleted.

2. The following is an examiner's statement of reasons for allowance: Lee et al.

(USPN 5,827,969) teaches an ultrasound fetal heart rate probe which continuously

output measurements during selected power settings, wherein the probe first operates

at lower power setting and when noise detected over a threshold, it can be switched to

high power mode to increase SNR. Rhee et al. (USPN 6,402,690) teaches a ring

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Page 3

Art Unit: 3777

oximeter continuously determining oxygen saturation and adjusts the LED level/ intensity according to a comparison of the detected SNR to a specific range of predetermined SNR. '969 and '690 does not specifically teach the reducing activation/ duty cycle/ on-off stages of the energy source(s) during operating the sensor. The prior art does not teach or suggest "said continuously operating at said lower power consumption level comprises reducing activation of an attached sensor", "said continuously operating at said lower power consumption level comprises reducing an amount of processing by a signal processor", or "said processing characteristics pass said threshold, transitioning to continuously operating said patient monitor at a higher power consumption level, wherein said processing characteristics include an override condition", in combination with the other claimed elements/ steps.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHU CHUAN (JJ) LIU whose telephone number is (571)270-5507. The examiner can normally be reached on M-TH 7:00am~3:30pm.

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Art Unit: 3777

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tse Chen can be reached on (571)272-3672. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Chu Chuan Liu/ Examiner, Art Unit 3777

/Eric F Winakur/ Primary Examiner, Art Unit 3777

| Notice of References Cited | Application/Control No. 11/939,519 | Applicant(s)/Pater<br>Reexamination<br>AL-ALI, AMMAR | nt Under    |  |
|----------------------------|------------------------------------|--|-------------|--|
|                            | Examiner                           | Art Unit   |             |  |
|                            | CHU CHUAN (JJ) LIU                 | 3777   | Page 1 of 1 |  |

## **U.S. PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name        | Classification |
|---|---|--|-----------------|-------------|----------------|
| * | Α | US-5,827,969                                     | 10-1998         | Lee et al.  | 600/455        |
| * | В | US-6,402,690                                     | 06-2002         | Rhee et al. | 600/323        |
|   | С | US-  |                 |             |                |
|   | D | US-  |                 |             |                |
|   | Е | US-  |                 |             |                |
|   | F | US-  |                 |             |                |
|   | G | US-  |                 |             |                |
|   | Н | US-  |                 |             |                |
|   | 1 | US-  |                 |             |                |
|   | J | US-  |                 |             |                |
|   | К | US-  |                 |             |                |
|   | L | US-  |                 |             |                |
|   | М | US-  |                 |             |                |

## FOREIGN PATENT DOCUMENTS

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Country | Name | Classification |
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|   | Р |  |                 |         |      |                |
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|   | R |  |                 |         |      |                |
|   | S |  |                 |         |      |                |
|   | Т |  |                 |         |      |                |

# NON-PATENT DOCUMENTS

| * |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
|   | U |   |
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|   | w |   |
|   | × |   |

<sup>\*</sup>A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Search Notes



| Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-------------------------|---|
| 11939519                | AL-ALI, AMMAR                           |
| Examiner                | Art Unit                                |
| CHU CHUAN (JJ) LIU      | 3777                                    |

| SEARCHED |  |            |          |  |  |  |  |  |
|----------|--|------------|----------|--|--|--|--|--|
| Class    | Subclass                               | Date       | Examiner |  |  |  |  |  |
| 600      | 309, 310, 322, 323, 324, 333, 473, 476 | 08/21/2012 | CCL      |  |  |  |  |  |
| 356      | 41                                     | 08/21/2012 | CCL      |  |  |  |  |  |
| 600      | 310, 322, 323, 324, 333, 473, 476      | 01/10/2013 | CCL      |  |  |  |  |  |

| SEARCH NOTES  |            |          |  |  |  |  |  |
|---|------------|----------|--|--|--|--|--|
| Search Notes  | Date       | Examiner |  |  |  |  |  |
| Inventor Name Search (PALM and EAST)                          | 08/20/2012 | CCL      |  |  |  |  |  |
| EAST Search (TEXT, USPGPUB, USPAT) See Search History         | 08/21/2012 | CCL      |  |  |  |  |  |
| Google NPL Search   | 08/21/2012 | CCL      |  |  |  |  |  |
| Updated EAST Search (TEXT, USPGPUB, USPAT) See Search History | 01/10/2013 | CCL      |  |  |  |  |  |
| Google NPL Search   | 01/10/2013 | CCL      |  |  |  |  |  |
| Allowance consultation with Eric Winakur (Primary Examiner)   | 01/09/2013 | CCL      |  |  |  |  |  |

| INTERFERENCE SEARCH |                                   |            |          |  |  |  |  |  |
|---------------------|-----------------------------------|------------|----------|--|--|--|--|--|
| Class               | Subclass                          | Date       | Examiner |  |  |  |  |  |
| 600                 | 310, 322, 323, 324, 333, 473, 476 | 01/10/2013 | CCL      |  |  |  |  |  |

| /CHU CHUAN (JJ) LIU/<br>Examiner.Art Unit 3777 |  |
|--|--|
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# **EAST Search History**

# **EAST Search History (Prior Art)**

| Ref<br>#    | Hits  | Search Query  | DBs                          | Default<br>Operator | Plurals | Time<br>Stamp                |
|-------------|-------|---|------------------------------|---------------------|---------|------------------------------|
| L10         | 37    | 9 and duty with cycle   | US-PGPUB;<br>USPAT           | OR                  | ON      | 2013/01/10<br>12:12          |
| L9          | 325   | 8 and consumption   | US-PGPUB;<br>USPAT           | OR                  | ON      | 2013/01/10<br>12:12          |
| L8          | 1539  | 7 and 600/310-344.ccls.   | US-PGPUB;<br>USPAT           | OR.                 | ON      | 201 <b>3</b> /01/10<br>12:12 |
| L7          | 18485 | (adjust\$3 switch\$3 increas\$3) with (intensity power) and "600".clas. | US-PGPUB;<br>USPAT           | OR                  | ON      | 2013/01/10<br>12:11          |
| S47         | 1     | ("7295866").PN.   | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>12:31          |
| S46         | 1     | ("5827969").PN.   | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>11:41          |
| <b>S</b> 45 | 249   | low and high with power adj<br>consumption and "600".clas.              | US-PGPUB                     | OR                  | ON      | 2012/12/11<br>11:33          |
| S44         | 490   | S43 and power adj consumption   | US-PGPUB                     | OR                  | ON      | 2012/12/11<br>11:11          |
| S43         | 537   | S42 and physiological with parameter                                    | US-PGPUB                     | OR                  | ON      | 2012/12/11<br>11:05          |
| S42         | 2418  | power with consumption and "600".clas.                                  | US-PGPUB                     | OR                  | ON      | 2012/12/11<br>11:05          |
| S41         | 75    | ("6005658").URPN.   | USPAT                        | OR                  | ON      | 2012/12/11<br>11:00          |
| S40         | 3     | (("4700708") or ("4759369") or ("5590652")).PN.                         | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>10:50          |
| S39         | 68    | S38 and (cycle duty adj cycle power)                                    | US-PGPUB;<br>USPAT;<br>USOCR | OR                  | ON      | 2012/12/11<br>10:44          |
| S38         | 77    | ("5595176"   "5673694").PN. OR<br>("6005658").URPN.                     | US-PGPUB;<br>USPAT;<br>USOCR | OR                  | ON      | 2012/12/11<br>10:43          |
| S37         | 1     | ("6005658").PN.   | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>10:43          |
| S36         | 1     | ("20050234317").PN.   | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>10:24          |
| S35         | 1     | ("20030218386").PN.   | US-PGPUB;<br>USPAT           | OR                  | OFF     | 2012/12/11<br>10:24          |
| S34         | 143   | duty adj cycle and 600/310-<br>344.ccls.                                | US-PGPUB                     | OR                  | ON      | 2012/12/11<br>10:22          |
| S33         | 15    | "872210"  | EPO;<br>DERWENT              | OR                  | ON      | 2012/12/11<br>10:19          |

# **EAST Search History (Interference)**

| Ref | Hits | Search Query | DBs | Default  | Plurals | Time  |
|-----|------|--------------|-----|----------|---------|-------|
| #   |      | -            |     | Operator |         | Stamp |
| T   | 1    |              |     |          |         |       |

| L11 | 10   | 6 and duty adj cycle and consumption                                    | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:13 |
|-----|------|---|--------------------|----|----|---------------------|
| L6  | 392  | 5 and 600/323.cds.  | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:11 |
| L5  | 1539 | 4 and 600/310-344.cds.  | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:10 |
| L4  |      | (adjust\$3 switch\$3 increas\$3) with (intensity power) and "600".clas. | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:10 |
| L3  |      | 1 and low and high with power adj consumption                           | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:08 |
| L1  | 4393 | power with consumption and "600".clas.                                  | US-PGPUB;<br>USPAT | OR | ON | 2013/01/10<br>12:07 |

# 1/10/2013 12:14:05 PM

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# **BIB DATA SHEET**

# **CONFIRMATION NO. 6131**

|                                      | SERIAL NUMBER FILING or DATE |               |                     |        | CLASS   | GR            | OUP ART UNIT    |              | ATTORNEY DOCKET |                   |  |  |
|--------------------------------------|------------------------------|---------------|---------------------|--------|---|---------------|-----------------|--------------|-----------------|-------------------|--|--|
| 11/939,519 11/13/2                   |                              | 007           |                     | 600    |   | 3777          |                 | MASIMO.285C2 |                 |                   |  |  |
|                                      |                              |               |                     |        | <u> </u>                                      |               |                 |              |                 |                   |  |  |
| APPLICANTS Ammar Al-Ali, Tustin, CA; |                              |               |                     |        |   |               |                 |              |                 |                   |  |  |
| ** CONTINUIN                         |                              |               |                     |        |   |               |                 |              |                 |                   |  |  |
| wh                                   | nich is a                    |               | 34,028 06           | /26/20 | ./2004 PAT 7,295<br>02 PAT 6,697,65<br>2/2001 |               |                 |              |                 |                   |  |  |
| ** FOREIGN A                         | PPLICA                       | ATIONS *****  | *****               | *****  | *   |               |                 |              |                 |                   |  |  |
| ** <b>IF REQUIRE</b><br>12/06/20     |                              | REIGN FILING  | LICENS              | E GRA  | ANTED **                                      |               |                 |              |                 |                   |  |  |
| Foreign Priority claim               |                              | Yes No        |                     |        | STATE OR                                      | SH            | HEETS           | тот          |                 | INDEPENDENT       |  |  |
| 35 USC 119(a-d) cor<br>Verified and  |                              | I             | Met after Allowance |        | COUNTRY                                       | DRAWINGS      |                 | CLAII        | MS              | CLAIMS            |  |  |
|                                      | CHU CHU<br>Examiner's        |               | Initials            |        | CA  |               | 11 16           |              | ;               | 1                 |  |  |
| ADDRESS                              |                              |               |                     |        |   |               |                 |              |                 | •                 |  |  |
|                                      |                              | ENS, OLSON    | √& BEAR             | , LLP  |   |               |                 |              |                 |                   |  |  |
| 2040 MA<br>Fourte                    | _                            |               |                     |        |   |               |                 |              |                 |                   |  |  |
| IRVINE,                              | CA 926                       | 14            |                     |        |   |               |                 |              |                 |                   |  |  |
| UNITED                               | STATE                        | S             |                     |        |   |               |                 |              |                 |                   |  |  |
| TITLE                                |                              |               |                     |        |   |               |                 |              |                 |                   |  |  |
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|                                      |                              |               |                     |        |   |               | ☐ All Fe        | es           |                 |                   |  |  |
|                                      |                              | A '           |                     | . 5    |   |               | <b>□</b> 1.16 F | ees (Fil     | ing)            |                   |  |  |
| FILING FEE<br>RECEIVED               |                              | Authority has |                     |        | aper<br>EPOSIT ACCOU <b>l</b>                 | <sub>NT</sub> | ☐ 1.17 F        | ees (Pr      | ocess           | ing Ext. of time) |  |  |
| 1464                                 |                              |               | following           |        | -   | `             | <b>□</b> 1.18 F | ees (lss     | ====<br>sue)    |                   |  |  |
|                                      |                              |               |                     |        |   | j             | ☐ Other         |              |                 |                   |  |  |
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|                                      |                              |               |                     |        | Credit  |               |                 |              |                 |                   |  |  |

# Application/Control No. 11939519 Examiner CHU CHUAN (JJ) LIU Applicant(s)/Patent Under Reexamination AL-ALI, AMMAR Art Unit 3777

| ORIGINAL       |          |            |            |           |     |          |   | INTERNATIONAL         | CLA | SSI | FICA   | ATION      |          |   |
|----------------|----------|------------|------------|-----------|-----|----------|---|-----------------------|-----|-----|--|------------|----------|---|
| CLASS SUBCLASS |          |            |            |           |     |          | С | LAIMED                |     |     | NC   | ON-CLAIMED |          |   |
| 600            | 00 323   |            |            | Α         | 6   | 1        | В | 5 / 1455 (2006.01.01) |     |     |  |            |          |   |
|                | С        | ROSS RE    | FERENCE(   | (S)       |     | <u></u>  |   |                       |     |     | <u>                                     </u> |            |          |   |
| CLASS          | SU       | IBCLASS (O | NE SUBCLAS | S PER BLO | CK) | i        |   |                       |     |     |  |            |          |   |
| 600            | 310      | 322        |            |           |     |          |   |                       |     |     |  |            |          |   |
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|                | <u> </u> |            |            |           |     | <u> </u> |   |                       |     |     |  |            |          |   |

|       | Claims renumbered in the same order as presented by applicant |       |          |       |          |       |          |       | ☐ CPA ☐ T.D. ☐ R.1.47 |       |          |       |          |       |          |
|-------|---|-------|----------|-------|----------|-------|----------|-------|-----------------------|-------|----------|-------|----------|-------|----------|
| Final | Original  | Final | Original | Final | Original | Final | Original | Final | Original              | Final | Original | Final | Original | Final | Original |
|       | 1   |       | 17       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 1     | 2   | 15    | 18       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 2     | 3   | 16    | 19       |       |          |       |          |       |                       |       |          |       |          |       |          |
|       | 4   | 20    | 20       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 9     | 5   | 21    | 21       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 10    | 6   | 17    | 22       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 11    | 7   | 18    | 23       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 3     | 8   | 19    | 24       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 4     | 9   | 22    | 25       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 5     | 10  | 23    | 26       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 6     | 11  | 24    | 27       |       |          |       |          |       |                       |       |          |       |          |       |          |
| 7     | 12  |       |          |       |          |       |          |       |                       |       |          |       |          |       |          |
| 8     | 13  |       |          |       |          |       |          |       |                       |       |          |       |          |       |          |
| 12    | 14  |       |          |       |          |       |          |       |                       |       |          |       |          |       |          |
| 13    | 15  |       |          |       |          |       |          |       |                       |       |          |       |          |       |          |
| 14    | 16  |       |          |       |          |       |          |       |                       |       |          |       |          |       |          |

| /CHU CHUAN (JJ) LIU/<br>Examiner.Art Unit 3777   | 01/10/2013 | Total Clain         | ns Allowed:       |
|--|------------|---------------------|-------------------|
| (Assistant Examiner)                             | (Date)     |                     | 7                 |
| /ERIC WINAKUR/<br>Primary Examiner.Art Unit 3777 | 01/14/2013 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner)                               | (Date)     | 1                   | 4                 |

## PART B - FEE(S) TRANSMITTAL

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64735 7590 02/01/2013 KNOBBE, MARTENS, OLSON & BEAR, LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

| APPLICATION NO.   | FILING DATE  |   | FIRST NAMED INVENTOR   |  | ATTORNEY DOCKET NO.  | CONFIRMATION NO.  |
|---|--|---|--|--|--|---|
| 11/939,519  | 11/13/2007   |   | Ammar Al-Ali   |  | MASIMO.285C2   | 6131  |
| TITLE OF INVENTION  | : LOW POWER PULSE  | COXIMETER   |  |  |  |   |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE DUE   | PUBLICATION FEE DUE  | PREV. PAID ISSUE                             | FEE TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional  | NO   | <b>\$17</b> 80  | \$300  | \$0  | \$2080   | 05/01/2013  |
| EXAM  | INER   | ART UNIT  | CLASS-SUBCLASS   |  |  |   |
| LIU, CIIU   |  | 3777  | 600-323000   |  |  |   |
| "Fee Address" ind   | ence address or indicatio<br>ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address'<br>12 or more recent) attach | nge of Correspondence  "Indication form                 | 2. For printing on the p (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be | 3 registered patent                          | attorneys 1 Knobb  | e, Martens,<br>& Bear LLP                               |
| (A) NAME OF ASSIC<br>Masimo Co                            | orporation   | pletion of this form is NO                              | (B) RESIDENCE: (CITY Irvine, CA  | and STATE OR C                               |  | oup entity  Government                                  |
| 4a. The following fee(s) a  Issue Fee  Publication Fee (N | o small entity discount p  |   | A check is enclosed.  Payment by credit care   | d, Form PTO-2038                             | y previously paid issue fee<br>is attached.<br>geany de<br>r11-1410 (enclose a | ·   |
| 5. Change in Entity Stat                                  | tus (from status indicates<br>s SMALL ENTITY statu   | •   | ☐ b. Applicant is no long  | ger claiming SMAL                            | L ENTITY status. See 37 C  | FR 1.27(g)(2).  |
| NOTE: The Issue Fee and interest as shown by the i        | d Publication Fee (if requeecords of the United Sta  | uired) will not be accepted<br>tes Patent and Trademark | d from anyone other than the Office.   | ne applicant; a regis                        | stered attorney or agent; or the   | ne assignee or other party in                           |
| Authorized Signature                                      |  |   |  |  | /lay 1, 2013   |   |
| Typed or printed name                                     | John M. G  | <u>Grover</u>   |  | Registration N                               | o. <u>42,610</u>   |   |
| This collection of inform<br>an application. Confiden     | ation is required by 37 C<br>tiality is governed by 35   | FR 1.311. The information U.S.C. 122 and 37 CFR         | on is required to obtain or r<br>1.14. This collection is est  | etain a benefit by the<br>mated to take 12 n | ne public which is to file (anninutes to complete, includir                    | d by the USPTO to process) ag gathering, preparing, and |

an application. Community is governed by 35 U.S.C. 122 and 37 CFK 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| Electronic Patent Application Fee Transmittal |                          |             |          |        |                         |  |  |  |
|---|--------------------------|-------------|----------|--------|-------------------------|--|--|--|
| Application Number:                           | 119                      | 939519      |          |        |                         |  |  |  |
| Filing Date:                                  | 13-Nov-2007              |             |          |        |                         |  |  |  |
| Title of Invention:                           | LOW POWER PULSE OXIMETER |             |          |        |                         |  |  |  |
| First Named Inventor/Applicant Name:          | Am                       | nmar Al-Ali |          |        |                         |  |  |  |
| Filer: John M. Grover/Lisa Sierra             |                          |             |          |        |                         |  |  |  |
| Attorney Docket Number: MASIMO.285C2          |                          |             |          |        |                         |  |  |  |
| Filed as Large Entity                         |                          |             |          |        |                         |  |  |  |
| Utility under 35 USC 111(a) Filing Fees       |                          |             |          |        |                         |  |  |  |
| Description                                   |                          | Fee Code    | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |  |
| Basic Filing:                                 |                          |             |          |        |                         |  |  |  |
| Pages:  |                          |             |          |        |                         |  |  |  |
| Claims:                                       |                          |             |          |        |                         |  |  |  |
| Miscellaneous-Filing:                         |                          |             |          |        |                         |  |  |  |
| Petition:                                     |                          |             |          |        |                         |  |  |  |
| Patent-Appeals-and-Interference:              |                          |             |          |        |                         |  |  |  |
| Post-Allowance-and-Post-Issuance:             |                          |             |          |        |                         |  |  |  |
| Utility Appl Issue Fee                        |                          | 1501        | 1        | 1780   | 1780                    |  |  |  |
| Publ. Fee- Early, Voluntary, or Normal        |                          | 1504        | 1        | 300    | 300                     |  |  |  |

| Description        | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|--------------------|----------|-----------|--------|-------------------------|
| Extension-of-Time: |          |           |        |                         |
| Miscellaneous:     |          |           |        |                         |
|                    | Tot      | al in USD | (\$)   | 2080                    |
|                    |          |           |        |                         |

| Electronic Ack                       | knowledgement Receipt        |
|--------------------------------------|------------------------------|
| EFS ID:                              | 15668050                     |
| Application Number:                  | 11939519                     |
| International Application Number:    |                              |
| Confirmation Number:                 | 6131                         |
| Title of Invention:                  | LOW POWER PULSE OXIMETER     |
| First Named Inventor/Applicant Name: | Ammar Al-Ali                 |
| Customer Number:                     | 64735                        |
| Filer:                               | John M. Grover/Gustavo Lopez |
| Filer Authorized By:                 | John M. Grover               |
| Attorney Docket Number:              | MASIMO.285C2                 |
| Receipt Date:                        | 01-MAY-2013                  |
| Filing Date:                         | 13-NOV-2007                  |
| Time Stamp:                          | 18:28:43                     |
| Application Type:                    | Utility under 35 USC 111(a)  |

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| Document<br>Number | Document Description        | File Name                               | File Size(Bytes)/<br>Message Digest                   | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|-----------------------------|---|---|---------------------|---------------------|
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| '                  | issue ree rayment (170 05b) | 1334E_1 EE_1W/\\$11\forall 0203\E2\;pa1 | c0f39559077475fb566eca14787270191284<br>f5ee          | 110                 |                     |
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| 2                  | Fee Worksheet (SB06)        | fee-info.pdf                            | 31899<br>5e5f605bc2697661383191b7a0425915f30<br>24a2e | no                  | 2                   |
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#### New Applications Under 35 U.S.C. 111

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## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

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|                                       | Application No.      | Unknown      |
|---------------------------------------|----------------------|--------------|
| INFORMATION DISCLOSURE                | Filing Date          | Herewith     |
| STATEMENT BY APPLICANT                | First Named Inventor | Ammar Al-Ali |
| OTATEMENT BY ALL FLOART               | Art Unit             | Unknown      |
| (Multiple sheets used when necessary) | Examiner             | Unknown      |
| SHEET 3 OF 8                          | Attorney Docket No.  | MASIMO.285C2 |

|                      |             |   | U.S. PATENT                    | DOCUMENTS                     | _  |
|----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
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Change(s) applied

to do tument, Examiner Signature Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.



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|   | APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|------------|------------|---------------------|------------------|
| • | 11/939,519      | 06/04/2013 | 8457703    | MASIMO.285C2        | 6131             |

64735 **7590 05/15/2013** 

KNOBBE, MARTENS, OLSON & BEAR, LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 1603 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Ammar Al-Ali, Tustin, CA;

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# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2834402

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

# **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| MASIMO CORPORATION    | 04/23/2014     |
| MASIMO AMERICAS, INC. | 04/23/2014     |

# **RECEIVING PARTY DATA**

| Name:           | JPMORGAN CHASE BANK, NATIONAL ASSOCIATION |
|-----------------|---|
| Street Address: | 2828                                      |
| City:           | CHICAGO                                   |
| State/Country:  | ILLINOIS                                  |
| Postal Code:    | 55356                                     |

# **PROPERTY NUMBERS Total: 411**

| Property Type  | Number  |
|----------------|---------|
| Patent Number: | RE43169 |
| Patent Number: | RE41317 |
| Patent Number: | RE43860 |
| Patent Number: | RE41912 |
| Patent Number: | 8175672 |
| Patent Number: | 7245953 |
| Patent Number: | 6684091 |
| Patent Number: | 6321100 |
| Patent Number: | 6519487 |
| Patent Number: | 6343224 |
| Patent Number: | 6144868 |
| Patent Number: | 6301493 |
| Patent Number: | 6128521 |
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| Patent Number: | 6430437 |
| Patent Number: | 8430817 |
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| Patent Number: | 8641631 |
| Patent Number: | 6661161 |
| Patent Number: | 6368283 |

| Patent Number:D6Patent Number:846Patent Number:835Patent Number:812Patent Number:753Patent Number:733Patent Number:733 | 41683<br>92145<br>63349<br>59080<br>28572 |
|--|---|
| Patent Number: 846 Patent Number: 835 Patent Number: 812 Patent Number: 755 Patent Number: 736 Patent Number: 736      | 63349<br>59080<br>28572                   |
| Patent Number:838Patent Number:812Patent Number:753Patent Number:733Patent Number:733                                  | 59080<br>28572                            |
| Patent Number: 812 Patent Number: 753 Patent Number: 732 Patent Number: 733  | 28572                                     |
| Patent Number:753Patent Number:733Patent Number:733  |   |
| Patent Number: 733 Patent Number: 733  | 30955                                     |
| Patent Number: 737   |   |
|  | 28053                                     |
| Data at Namela an  | 76453                                     |
| Patent Number: 856   | 60034                                     |
| Patent Number: 812   | 26528                                     |
| Patent Number: 750   | 09154                                     |
| Patent Number: 80  | 19400                                     |
| Patent Number: 804   | 46041                                     |
| Patent Number: 803   | 36728                                     |
| Patent Number: 804   | 46042                                     |
| Patent Number: 72  | 15986                                     |
| Patent Number: 725   | 54433                                     |
| Patent Number: 749   | 96393                                     |
| Patent Number: 858   | 38880                                     |
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| Patent Number: 85  | 71619                                     |
| Patent Number: 84  | 18524                                     |
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| Patent Number: 854   | 47209                                     |
| Patent Number: 820   | 03438                                     |
|  | 55766                                     |
| Patent Number: 804   | 48040                                     |
| Patent Number: D6  | 14305                                     |
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| Patent Number: D6  | 09193                                     |
| Patent Number: 852   | 29301                                     |
|  | 18620                                     |
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|  | 74360                                     |
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|  | 52060                                     |
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| Property Type  | Number  |
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| Patent Number: | 8315683 |
| Patent Number: | 8457707 |
| Patent Number: | 8180420 |
| Patent Number: | 8190227 |
| Patent Number: | 7489958 |
| Patent Number: | 7499741 |
| Patent Number: | 7471971 |
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| Patent Number: | 7373193 |
| Patent Number: | 7483729 |
| Patent Number: | 7254434 |
| Patent Number: | 8385995 |
| Patent Number: | 7254431 |
| Patent Number: | 7500950 |
| Patent Number: | 7341559 |

| Property Type  | Number  |
|----------------|---------|
| Patent Number: | 7142901 |
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| Patent Number: | 7509494 |
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| Patent Number: | 6470199 |
| Patent Number: | 7873497 |
| Patent Number: | 7499835 |
| Patent Number: | 6999904 |
| Patent Number: | 8489364 |
| Patent Number: | 8260577 |

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| Patent Number: | 7272425 |
| Patent Number: | 6950687 |
| Patent Number: | 6671531 |
| Patent Number: | 8000761 |
| Patent Number: | 7039449 |
| Patent Number: | 6725075 |
| Patent Number: | 6377829 |
| Patent Number: | 6943348 |
| Patent Number: | 8399822 |
| Patent Number: | 6388240 |
| Patent Number: | 7910875 |
| Patent Number: | 7186966 |
| Patent Number: | 6979812 |
| Patent Number: | 6861639 |
| Patent Number: | 6515273 |
| Patent Number: | 6580086 |
| Patent Number: | 5436499 |
| Patent Number: | 6010937 |
| Patent Number: | 8532728 |
| Patent Number: | 5671914 |
| Patent Number: | 6066204 |
| Patent Number: | 6255708 |
| Patent Number: | 6635559 |
| Patent Number: | 7514725 |
| Patent Number: | 7955965 |
| Patent Number: | 7772612 |
| Patent Number: | 8242009 |
| Patent Number: | 7471969 |
| Patent Number: | 6654624 |
| Patent Number: | 8455290 |
| Patent Number: | 6360114 |
| Patent Number: | 6771994 |
| Patent Number: | 6526300 |
| Patent Number: | 6152754 |
| Patent Number: | 5590649 |
| Patent Number: | 5833618 |

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| Patent Number:         6256523           Patent Number:         6088607           Patent Number:         5041187           Patent Number:         5069213           Patent Number:         4964408           Patent Number:         6431170           Patent Number:         6826419           Patent Number:         6501975           Patent Number:         6206830           Patent Number:         5769785           Patent Number:         7132641           Patent Number:         6541756           Patent Number:         D393830           Patent Number:         7937130           Patent Number:         7469157           Patent Number:         6263222           Patent Number:         5685299           Patent Number:         5490505  | Patent Number: | 6813511 |
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| Patent Number:       5069213         Patent Number:       4964408         Patent Number:       5431170         Patent Number:       6826419         Patent Number:       6501975         Patent Number:       6206830         Patent Number:       5769785         Patent Number:       7132641         Patent Number:       6541756         Patent Number:       D393830         Patent Number:       7937130         Patent Number:       7469157         Patent Number:       6263222         Patent Number:       5685299         Patent Number:       5490505   | Patent Number: | 6088607 |
| Patent Number:       4964408         Patent Number:       5431170         Patent Number:       6826419         Patent Number:       6501975         Patent Number:       6206830         Patent Number:       6036642         Patent Number:       7132641         Patent Number:       6541756         Patent Number:       D393830         Patent Number:       7937130         Patent Number:       7469157         Patent Number:       6263222         Patent Number:       5685299         Patent Number:       5490505  | Patent Number: | 5041187 |
| Patent Number:       5431170         Patent Number:       6826419         Patent Number:       6501975         Patent Number:       6206830         Patent Number:       6036642         Patent Number:       5769785         Patent Number:       7132641         Patent Number:       6541756         Patent Number:       7937130         Patent Number:       7469157         Patent Number:       6263222         Patent Number:       5685299         Patent Number:       5490505   | Patent Number: | 5069213 |
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| Patent Number: 5452717   | Patent Number: | 5490505 |
|  | Patent Number: | 5452717 |
| Patent Number: 5337744   | Patent Number: | 5337744 |

| Property Type       | Number   |
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| Patent Number:      | 5782757  |
| Patent Number:      | RE38492  |
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| Patent Number:      | 4960128  |
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| Patent Number:      | 5479934  |
| Patent Number:      | 6721585  |
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| Application Number: | 13430451 |
| Application Number: | 13706298 |
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| Application Number: | 13196220 |
| Application Number: | 10153263 |
| Application Number: | 11894721 |
| Application Number: | 13196732 |
| Application Number: | 14022106 |
| Application Number: | 13209324 |
| Application Number: | 13942562 |
| Application Number: | 13908957 |
| Application Number: | 13953628 |
| Application Number: | 11210128 |
| Application Number: | 13777936 |
| Application Number: | 12360830 |
| Application Number: | 13721497 |
| Application Number: | 12188154 |
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| Application Number: | 13180429 |
| Application Number: | 13595912 |
| Application Number: | 13224266 |

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| Application Number: | 13160402 |
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| Application Number: | 13627855 |
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| Application Number: | 11963640 |
| Application Number: | 13471340 |
| Application Number: | 13907638 |
| Application Number: | 13681372 |
| Application Number: | 11903746 |
| Application Number: | 12641087 |
| Application Number: | 13858249 |
| Application Number: | 13079756 |
| Application Number: | 12248855 |
| Application Number: | 12360828 |
| Application Number: | 13625691 |
| Application Number: | 13675996 |
| Application Number: | 12560331 |
| Application Number: | 12147299 |
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| Application Number: | 12430742 |
| Application Number: | 13781485 |
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| Application Number: | 12434060 |
| Application Number: | 13861233 |
| Application Number: | 14064026 |
| Application Number: | 13010653 |
| Application Number: | 12824087 |
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| Application Number: | 13246768 |
| Application Number: | 13009505 |

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| Application Number: | 13037184 |
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| Application Number: | 13914276 |
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| Application Number: | 13218373 |
| Application Number: | 13565691 |
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| Application Number: | 13589010 |
| Application Number: | 13371767 |
| Application Number: | 13762270 |
| Application Number: | 13733782 |
| Application Number: | 13875219 |
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| Application Number: | 13951313 |
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| Application Number: | 12904823 |
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| Application Number: | 12960325 |
| Application Number: | 13355404 |
| Application Number: | 12905036 |
| Application Number: | 13650775 |
| Application Number: | 13911939 |
| Application Number: | 13465952 |
| Application Number: | 12955814 |

| Property Type Number         |
|------------------------------|
| Application Number: 12845607 |

#### CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

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**Email:** ptierney@mayerbrown.com, ipdocket@mayerbrown.com

Correspondent Name: PATRICK TIERNEY

Address Line 1: PO BOX 2828

Address Line 4: CHICAGO, ILLINOIS 60690-2828

| ATTORNEY DOCKET NUMBER: | 14445478   |
|-------------------------|--|
| NAME OF SUBMITTER:      | PATRICK TIERNEY  |
| SIGNATURE:              | /PT/   |
| DATE SIGNED:            | 04/29/2014   |
|                         | This document serves as an Oath/Declaration (37 CFR 1.63). |

# **Total Attachments: 18**

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#### PATENT SECURITY AGREEMENT

This PATENT SECURITY AGREEMENT, dated as of April 23, 2014 (this "Agreement"), is made by MASIMO CORPORATION, a Delaware corporation, and MASIMO AMERICAS, INC., a Delaware corporation (each, a "Grantor") and collectively, the "Grantors"), in favor of JPMORGAN CHASE BANK, NATIONAL ASSOCIATION, as the administrative agent (together with its successor(s) thereto in such capacity, the "Administrative Agent") for each of the Secured Parties.

# WITNESSETH:

WHEREAS, pursuant to a Credit Agreement, dated as of April 23, 2014 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Credit Agreement"), among the Grantors, as the Borrower, the Lenders from time to time party thereto and the Administrative Agent, the Lenders have extended Commitments to make Loans to the Borrower;

WHEREAS, in connection with the Credit Agreement, each Grantor has executed and delivered separate security agreements, each dated as of April 23, 2014 (each, as amended, supplemented, amended and restated or otherwise modified from time to time, a "Security Agreement" and collectively, the "Security Agreements");

WHEREAS, pursuant to the Credit Agreement and pursuant to Section 2 of each Security Agreement, the Grantors are required to execute and deliver this Agreement and to grant to the Administrative Agent a continuing security interest in all of the Patent Collateral (as defined below) to secure all Secured Obligations; and

WHEREAS, the Grantors have duly authorized the execution, delivery and performance of this Agreement; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantors agree, for the benefit of each Secured Party, as follows:

- SECTION 1. <u>Definitions</u>. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided in the applicable Security Agreement.
- SECTION 2. <u>Grant of Security Interest</u>. Each Grantor hereby grants to the Administrative Agent, for its benefit and the ratable benefit of each other Secured Party, a continuing security interest in all of such Grantor's right, title and interest within the United States, whether now or hereafter existing or acquired by such Grantor, in and to the following (other than Excluded Assets) ("Patent Collateral"):
  - (a) all letters patent and applications for letters patent in the United States Patent and Trademark Office, including all patent applications in preparation for filing, including all reissues, divisionals, continuations, continuations-in-part, extensions,

renewals and reexaminations of any of the foregoing ("Patents"), including each Patent and published Patent application identified in Item A of Schedule I;

- (b) all Patent licenses, and other agreements for the grant by or to such Grantor of any right to use any items of the type referred to in <u>clause (a)</u> above (each a <u>"Patent License"</u>);
- (c) the right to sue third parties for past, present and future infringements of any Patent or Patent application, and for breach or enforcement of any Patent License; and
- (d) all proceeds of, and rights associated with, the foregoing (including Proceeds, licenses, royalties, income, payments, claims, damages and proceeds of infringement suits).
- SECTION 3. <u>Security Agreement</u>. This Agreement has been executed and delivered by the Grantors for the purpose of registering the security interest of the Administrative Agent in the Patent Collateral with the United States Patent and Trademark Office. The security interest granted hereby has been granted as a supplement to, and not in limitation of, the security interest granted to the Administrative Agent for its benefit and the ratable benefit of each other Secured Party under each Security Agreement. Each Security Agreement (and all rights and remedies of the Administrative Agent and each Secured Party thereunder) shall remain in full force and effect in accordance with its terms.
- SECTION 4. <u>Waiver</u>, etc. The Grantors hereby waive promptness, diligence, notice of acceptance and any other notice with respect to any of the Liabilities, this Agreement and the Security Agreements and any requirement that any Secured Party protect, secure, perfect or insure any Lien, or any property subject thereto, or exhaust any right or take any action against each Grantor or any other Person (including any other Grantor) or entity or any Collateral securing the Secured Obligations, as the case may be. As provided below, this Agreement shall be governed by, and construed in accordance with, the laws of the State of New York.
- SECTION 5. <u>Acknowledgment</u>. The Grantors do hereby further acknowledge and affirm that the rights and remedies of the Administrative Agent with respect to the security interest in the Patent Collateral granted hereby are more fully set forth in the applicable Security Agreement, the terms and provisions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein.
- SECTION 6. <u>Loan Document</u>. This Agreement is a Loan Document executed pursuant to the Credit Agreement and shall (unless otherwise expressly indicated herein) be construed, administered and applied in accordance with the terms and provisions thereof.
- SECTION 7. Governing Law, Entire Agreement, etc. THIS SECURITY AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK.
- SECTION 8. <u>Counterparts</u>. This Agreement may be executed by the parties hereto in several counterparts, each of which shall be deemed to be an original and all of which shall

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constitute together but one and the same agreement. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or via other electronic means shall be effective as delivery of a manually executed counterpart of this Agreement.

\* \* \* \* \*

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IN WITNESS WHEREOF, this Agreement has been duly executed as of the day and year first above written.

| as C | rantor  |
|------|---|
| Ву:  | Name: Mark P, de Raad<br>Title: Chief Financial Officer         |
|      | SIMO AMERICAS, INC.   |
| Ву:  | Name: Mark P. de Raad<br>Title: Treasurer                       |
| ASS  | IORGAN CHASE BANK, NATIONAL<br>OCIATION,<br>dministrative Agent |
|      |   |
| By:  | Name:<br>Title:   |
| By:  |   |

Patent Security Agreement

IN WITNESS WHEREOF, this Agreement has been duly executed as of the day and year first above written.

| MASIMO CORPORATION, as Grantor                                     |
|--|
| By: Name: Title:   |
| MASIMO AMERICAS, INC. as Grantor                                   |
| By:  |
| JPMORGAN CHASE BANK, NATIONAL ASSOCIATION, as Administrative Agent |
| By:  Name: Title:  Vice President                                  |

# SCHEDULE I to Patent Security Agreement

Item A. Patents

Patent No. <u>Issue Date Inventor(s) Title</u>

See Schedule A

Pending Patent Applications

Serial No. Filing Date Inventor(s) <u>Title</u>

See Schedule B

| March   Microsca Monocole Price Country September 1997     |   | Schedule A - MASIN   |                |   |  |   |   |                        |
|--|---|--|----------------|---|--|---|---|------------------------|
| BRIGHT   CONTROL   CONTR   | DE 82166                                | UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND |                |   |  |   |   |                        |
| PROPERTY    |   |  |                |   |  |   |   |                        |
| R.1912    RELEGION   PROCESSOR OF PROCESSOR OF PROCESSOR SANDOLE SANDOLE APPROVED   Co.   1,114,12758   511,12005   1,121,1200   1,12   |   |  |                |   |  |   |   | ************           |
| BESSIZ   RUSSIAE PLASCOMMENT PRODUCT AND DESCRIPTION   15   11,774463   7,74760   58,7612   508,0000   7,7750   1,7750   | ************                            | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~                          |                | *************                           |  | *********                               |   |                        |
| 20.503   | *************************               |  |                | **********************                  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  |   | 2008/0009691 A1                         | 1/10/2008              |
| SSS100  RILLSARE PULS COMPATE PRODE WITH DOOR SON DEPOSACE PURPOSE P   |   |  |                |   |  |   |   |                        |
| 1888-28   RUNANE PUISCOMMETE HORSE AND COPENAGE CHINACE APPARATUS   68   000074-2   1011/2996   11/20/2007   1888-18   7/20/2007     | 6684091                                 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD      |                | 09/758038                               | 1/11/2001  | **************                          | 2001/0029325 A1                         | 10/11/200              |
| ILBSIATE   RIL JAME PULS COMPUTE PROCE AND DOSONALE SANDAGE APPRAITUS   FR.   50007974,   10/15/1909   11/26/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2007   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   158/8178   77/2008   77/   | ***************                         | ***************************************                          | ******         | ***************                         |  |   |   |                        |
| 1081479   RES SABLE RUSSE GUIMETTE PROBLEM DOSCOSSABLE RANDOCE APPARATUS   59   0000-794   1015-1998   17,797,000   1081478   77,720   1081478     |   |  |                |   | *********  | ********                                |   |                        |
| 1684-78   RUSSABLE FULLS COUNTER EPROPER AND DISPOSABLE SANDAGE APPRANTUS   B 2000-7541   10/15/1999   17/70/200   1884-78   7/70/200   |   |  | *******        |   | **************   |   |   | 7/26/2006              |
| 1311049   RELISABLE PILS CONNET DE PRODE AND DESCROALE BANDES ENFANCED   FR. 9999432.7   1071/1995   577/2006   132-049   792-059   79   | 1683478                                 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS   | DE             | 6009479.4                               |  | 11/28/2007                              | ************                            | 7/26/2006              |
| 11/21/09   REUSABLE PLUS COMMETTE PROGRE AND DESCRIPTION AND PARABOLIS   59, 99946-02.1   10/5/1995   51/7/2006   11/2009      |   |  |                |   |  | ********                                |   |                        |
| 1121049   REJARDE PUIS COMMETTE PRODUCE AND DEPOSABLE AND CARPAGNETUS   PS   99904823 7   101/15/998   577/2005   1111049   4/20/20   1121049   120/2049   REJARDE PUIS COMMETTE PRODUCE AND DEPOSABLE EARDONG APPARATUS   DE   99904823 7   101/15/998   577/2005   1111049   4/20/20   1121049   120/2049   REJARDE PUIS COMMETTE PRODUCE AND DEPOSABLE EARDONG APPARATUS   DE   99904823 7   101/15/998   577/2005   1111049   4/20/20   4/20/2   |   |  | *****          |   | *****************  | ********                                |   |                        |
| 123109   PRESSABLE PUSE CONTRETE PROCES AND DEPOSABLE SANDEC APPRABATUS   99   9994623,7   10/15/1995   11/15/2006   11/15/2006   11/15/2005   4/20/200   12/15/2006   11/15/2005   4/20/200   12/15/2005   4/20/200   4/20/200   12/15/2005   4/20/200   4   | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |  |                | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |  |   |   | 4/20/2000              |
| 2346655   BILL-SHEEL PLASE COUNTETE PRODUCE AND DISPOSABLE PARADECTIS   CA.   2246655   10/15/1999   77/7002   6727/2009   789207   77/7002   77   | ************                            | ***************************************                          | *******        | ***************                         |  | ******************                      | *************                           | 4/20/2000              |
| RELISABLE PLUS COMMETTER PRODE AND DEPOSABLE ADAMOSE MAPHOD   A  |   |  | ******         |   | ******************   | *********                               | 1121049                                 | 4/20/2000              |
| 3981171   REUSABLE PLUE COMPETER PROCE AND DEPOSABLE BANDOCE METROD   59   23002-00136   137/2001   127/2001   | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |  |                |   |  |   |   | 4/20/2000              |
| 122884   RELIABLE PULSE OMMETER PROBE AND DISPOSABLE BANDAGE   CP   131092.1   17/28/200   1/28/001   122884   7/17/20   286093   18/28/201   17/28/200   17/28/   | ************                            |  | *******        | *************                           |  | ******************                      |   | *******                |
| PRISOR   P   |   |  | recerecerecere |   | *********  | *********                               | 1222894                                 | 7/17/2002              |
| Separate    | 2366493                                 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD      | CA             | 2366493                                 | *******************  | ******************                      |   |                        |
| SHA4224   REUSABLE PULSE COMMETTE PROCE AND DESPOSABLE BANDAGE APPARATUS   US   09/437968   10/14/1999   11/17/1908   18/14/1908   18/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   10/14/1909   11/14/1909   11/14/1909   10/14/1909   11/14/1909   10/14/1909   11   | ***********                             |  |                | **************                          |  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |   | 7/18/2002              |
| BASSESS   STATES   STATES   BASSESS   BASSES   |   |  | recerecerecere |   |  |   |   |                        |
| RESERVICE RECTROORS FOR ELECTRONCEPHALOGRAPH HEADGEAR  |   |  | *************  |   | ******************   | ********************                    |   |                        |
| STEAR ALLYSTING HEADGEAR APPLIANCE USING RESERVOIR ELECTRODICS   15 09/13/246, 7/10/3988   10/47/2000   12/3088   10/27/2001   12/3088   10/27/2001   12/3088   10/27/2001   12/3088   10/27/2001   12/3088   10/27/2001   12/30701     |   | RESERVOIR ELECTRODES FOR ELECTROENCEPHALOGRAPH HEADGEAR          | 22222222222222 | *************************************** |  |   | 000000000000000000000000000000000000000 |                        |
| 1250886  | 6128521                                 |  | US             | 09/113946                               | 7/10/1998  | 10/3/2000                               |   |                        |
| 2343706 ANESTHESIA MONITORING SYSTEM BASED ON ELECTROENCEPHALOGRAPHIC GS17627 SIGNALS GNAMS GNAMS GNAMS GNAMS GNAMS GNAMS GNAMS MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PARIENT MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PARIENT MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PARIENT MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PARIENT MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A WOULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A US 12/905530 10/15/2000 8/6/30013 WASSIGNAMS SYSTEM FOR DETERMINING CONFIDENCE IN RESPIRATORY RATE US 12/905530 10/15/2000 8/6/30013 WASSIGNAMS W |   | ANESTHESIA MONITORING SYSTEM BASED ON ELECTROENCEPHALOGRAPHIC    |                |   |  |   | EP1250886                               | 10/23/200              |
| SIGNALS   AMEST   SIGNALS   AMEST   SIGNALS    |   |  |                |   |  |   |   |                        |
| 1228839   MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PAIRENT   EP 973975.6   10/77/2000   5/24/2006   EP1228830   8/14/20   10/27/2000   8/24/2006   EP1228830   8/14/20   EP1228830   8/14/20   EP1228830   8/14/20   EP1228830   8/14/20   EP1228830   8/14/20   EP1228830   EP1228830   8/14/20   EP1228830   EP   |   |  |                |   |  |   |   |                        |
| MODILE POR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A   WOULD FOR ACQUIRING CONFIDENCE IN RESPIRATORY RATE   US   12/905530   10/15/2010   4/30/2013   MARASILERMENTS   WS   12/905530   10/15/2010   4/30/2013   MARASILERMENTS   MARASILERMENT   WS   12/905530   10/15/2010   4/30/2013   MARASILERMENTS   MARASILERMENT   WS   12/905530   MARASILERMENTS   MARASILERMENT   MARASI   | 6317627                                 | SIGNALS  | US             | 09/431632                               | 11/2/1999  | 11/13/2001                              |   |                        |
| ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30817 MPATIENT  ### BA30818 MPATIENT   | 1229830                                 |  | EP             | 973975,6                                | 10/27/2000   | 5/24/2006                               | EP1229830                               | 8/14/2002              |
| ### ### ### ### ### ### ### ### ### ##   | 6430437                                 |  | US             | 09/699123                               | 10/27/2000   | 8/6/2002                                |   |                        |
| BESTATEST   BIDIRECTIONAL PHYSIOLOGICAL INFORMATION DISPLAY   US   12/904836   10/14/2010   9/3/2013   2011/0224567 A1   9/15/20   5090155   NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   JP   2007-506626   4/8/2005   9/21/2012   12/8/20   12/8/20   17/40095   NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   JP   2007-506626   4/8/2005   1/23/2013   1740095   1/10/201   17/40095   NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   US   11/547570   6/19/2007   2/4/2014   2007/0282212 A1   12/8/200   4/8/2003   5/15/2009   8/5/200   4/8/2003   5/15/2009   8/5/200   4/8/2003   5/15/2009   8/5/200   8/5/200   4/8/2003   5/15/2009   8/5/200   8   | 8430817                                 |  | US             | 12/905530                               | 10/15/2010   | 4/30/2013                               |   |                        |
| 1740095   NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   P   2007-506626   4/8/2005   9/21/2012   12/5/20   |   |  |                |   |  |   | 2011/0224567.44                         | 0 (45 (2044            |
| 1740095 NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA EP 5732095.4 4/8/2005 1/23/2013 1740095 1/10/201  8641631 NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA US 11/547570 6/19/2007 2/4/2014 2007/0282212 A1 12/6/20  4308758 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD JP 2004-516364 4/8/2003 5/15/2009 8/5/200  6661161 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD US 10/180518 6/27/2002 12/9/2003  3455223 HEADSET FOR ELECTRONIC STETHOSCOPE JP 7/527898 4/21/1995 7/25/2003 2188794 HEADSET FOR ELECTRONIC STETHOSCOPE CA 2188794 4/21/1995 10/3/2000 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE BP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE EP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 6366283 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 6366283 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 6366283 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 6366283 METHOD AND APPARATUS FOR ESTIMATING SUCCE AND MEAN US 09/658631 9/8/2000 4/9/2002 2262236 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION US 09/658631 9/8/2000 4/9/2002 2262236 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION US 09/558003 2/22/1999 6/5/2001 0592145 MEDICAL PROXIMITY DETECTION TOKEN US 13/483746 5/3/2012 6/11/2013 2012/0220843 A1 8/30/20 8369080 SIGNAL PROCESSING APPARATUS US 13/483746 5/3/2012 6/11/2013 2012/0220843 A1 8/30/20 8369080 SIGNAL PROCESSING APPARATUS US 10/838814 5/4/2000 5/12/2009 2004/0210146 A1 10/21/20 7328053 SIGNAL PROCESSING APPARATUS US 09/144897 9/1/1998 5/2/2008 8369094 SIGNAL PROCESSING APPARATUS US 09/144897 9/1/1998 5/2/2008 8369094 SIGNAL PROCESSING APPARATUS US 09/144897 9/1/1998 5/2/2009 2008/003236 A1 17/3/6/20 8050094 SIGNAL P |   |  |                |   |  |   | 2011/0224567 A1                         |                        |
| ### 8641631 NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA US 11/547570 6/19/2007 2/4/2014 2007/0282212 A1 12/6/200  ### 4308758 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD US 10/180518 6/27/2002 12/9/2003  ### 5661151 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD US 10/180518 6/27/2002 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 12/9/2003 12/9/2003  ### 5727500 13/3/2000 12/9/2003  ### 5727500 13/3/2000 12/9/2003  ### 5727500 13/3/2000 13/28/2007 EP1315452 6/4/200  ### 5727500 13/28/2007 EP1315452 6/ |   |  |                |   |  |   | 1740095                                 |                        |
| 4308758 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD JP 2004-516364 4/8/2003 5/15/2009 8/5/200 6661161 PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD US 10/180518 6/27/2002 12/9/2003 3455223 HEADSET FOR ELECTRONIC STETHOSCOPE JP 7/527898 4/21/1995 7/25/2003 2188794 HEADSET FOR ELECTRONIC STETHOSCOPE JP 7/527898 4/21/1995 10/3/2000 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE GB 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE EP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE EP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN BETT OF A STANDARD PRINTAL STANDARD PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN BETT OF A STANDARD PRINTAL STANDARD PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN BETT OF A STANDARD PRINTAL STANDARD PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN BETT OF A STANDARD PRINTAL STANDARD PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS US 09/255003 2/22/1999 6/5/2001 1315452 METHOD AND APPARATUS US 13/463746 5/3/2012 6/12/2013 2012/0220843 A1 8/30/20 1315452 MEDICAL PROCESSING APPARATUS US 13/463746 5/3/2012 10/22/2013 2012/0156524 A1 6/28/20 1315452 SIGNAL PROCESSING APPARATUS US 10/838814 5/4/2004 5/12/2009 2004/0210146 A1 10/21/20 1315452 SIGNAL PROCESSING APPARATUS US 09/14697 9/11/998 5/2/2009 2004/0210146 A1 10/21/20 1315452 SIGNAL PROCESSING APPARATUS US 11/86217 8/20/2007 9/13/2011 2008/0033266 A1 2/71/2008 1315452 SIGNAL PROCESSING APPARATUS US 11/86217 8/20/2007 9/13/2011 2008/0033266 A1 2/71/200 |   |  |                |   |  |   |   |                        |
| Be681161   PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD   US   10/180518   6/27/2002   12/9/2003  |   |  |                |   |  |   | 2007/0202212 A1                         |                        |
| 3455223 HEADSET FOR ELECTRONIC STETHOSCOPE JP 7/527898 4/21/1995 7/25/2003 2188794 HEADSET FOR ELECTRONIC STETHOSCOPE CA 2188794 4/21/1995 10/3/2000 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE GB 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE EP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE EP 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE DE 1971541.6 8/29/2001 3/28/2007 EP1315452 6/4/200 1315452 METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN PULMONARY ARTERY PRESSURES OF A PATIENT US 09/658631 9/8/2000 4/9/2002 262236 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION US 09/255003 2/22/1999 4/29/2008 8/20/19 16241683 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION US 09/255003 2/22/1999 6/5/2001 1692145 MEDICAL PROXIMITY DETECTION TOKEN US 29/432824 9/20/2012 10/22/2013 18463349 SIGNAL PROCESSING APPARATUS US 13/463746 5/3/2012 6/11/2013 2012/0220843 A1 8/30/20 18359080 SIGNAL PROCESSING APPARATUS US 13/397564 2/15/2012 1/22/2013 2012/0165624 A1 6/28/20 17530955 SIGNAL PROCESSING APPARATUS US 10/838814 5/4/2004 5/12/2009 2004/0210146 A1 10/21/20 17328053 SIGNAL PROCESSING APPARATUS US 09/195791 11/17/1998 2/5/2008 18360034 SIGNAL PROCESSING APPARATUS US 09/14897 9/1/1998 5/00/2008 18560034 SIGNAL PROCESSING APPARATUS US 09/14897 9/1/1998 5/00/2008 18560034 SIGNAL PROCESSING APPARATUS US 09/14897 9/1/1998 5/00/2009 2004/0210146 A1 10/21/20 17328053 SIGNAL PROCESSING APPARATUS US 09/14897 9/1/1998 5/00/2009 2004/0210146 A1 10/21/20 1736004 SIGNAL PROCESSING APPARATUS US 11/894716 8/20/2007 3/24/2009 2008/004823 A1 2/21/20 17690144 SIGNAL PROCESSING APPARATUS US 11/894716 8/20/2007 3/24/2009 2008/004823 A1 2/21/20 17690144 SIGNAL PR |   |  |                |   |  |   |   | 8/5/2009               |
| 2188794   HEADSET FOR ELECTRONIC STETHOSCOPE   CA   2188794   4/21/1995   10/3/2000     1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   GB   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/200     1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   EP   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/200     1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   EP   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/200     1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   DE   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/200     1315452   METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN   DIVIDING AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN   DIVIDING AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN   DIVIDING AND APPARATUS FOR NON-INVASIVE MONITORING OF RESPIRATION   DIVIDING AND APPARATUS   DIVIDING APP   |   |  |                |   |  |   |   |                        |
| 1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   GB   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/2001   3/28/2007   EP1315452   6/4/2002   EP1315452   6/4/2002   EP1315452     |   |  |                |   |  |   |   |                        |
| 1315452   METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   DE   1971541.6   8/29/2001   3/28/2007   EP1315452   6/4/2006   6368283   METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN PULMONARY ARTERY PRESSURES OF A PATIENT   US   09/658631   9/8/2000   4/9/2002     2262236   PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION   US   09/255003   2/22/1999   6/5/2001  | ***********                             | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~                          | ********       | ************                            | erren erren erren erren erren erren erren erren erren erren erren erren erren erren erren erren erren erren er |   | EP1315452                               | 6/4/2003               |
| METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN PULMONARY ARTERY PRESSURES OF A PATIENT   US   09/658631   9/8/2000   4/9/2002     4/9/2002     2262236   PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION   CA   2262236   2/22/1999   4/29/2008   8/20/19/6241683   PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION   US   09/255003   2/22/1999   6/5/2001   | 1315452                                 | METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE    | EP             | 1971541.6                               | 8/29/2001  | 3/28/2007                               | EP1315452                               | 6/4/2003               |
| PULMONARY ARTERY PRESSURES OF A PATIENT  2262236 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION CA 2262236 2/22/1999 4/29/2008 8/20/19/6241683 PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION US 09/255003 2/22/1999 6/5/2001  D692145 MEDICAL PROXIMITY DETECTION TOKEN US 29/432824 9/20/2012 10/22/2013  8463349 SIGNAL PROCESSING APPARATUS US 13/463746 5/3/2012 6/11/2013 2012/0125624 A1 6/28/20 8359080 SIGNAL PROCESSING APPARATUS US 13/397564 2/15/2012 1/22/2013 2012/0155624 A1 6/28/20 8128572 SIGNAL PROCESSING APPARATUS US 12/277221 11/24/2008 3/6/2012 2009/0076400 A1 3/19/20 7530955 SIGNAL PROCESSING APPARATUS US 10/838814 5/4/2004 5/12/2009 2004/0210146 A1 10/21/2C 7328053 SIGNAL PROCESSING APPARATUS US 09/195791 11/17/1998 2/5/2008 7376453 SIGNAL PROCESSING APPARATUS US 09/195791 11/17/1998 2/5/2008 8550034 SIGNAL PROCESSING APPARATUS US 09/110542 7/6/1998 10/15/2013 8126528 SIGNAL PROCESSING APPARATUS US 09/110542 7/6/1998 10/15/2013 11/5/ | 1315452                                 |  | DE             | 1971541.6                               | 8/29/2001  | 3/28/2007                               | EP1315452                               | 6/4/2003               |
| 2262236         PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION         CA         2262236         2/22/1999         4/29/2008         8/20/19           6241683         PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION         US         09/255003         2/22/1999         6/5/2001         US/2020         00/21/2003   | 6368283                                 |  | US             | 09/658631                               | 9/8/2000   | 4/9/2002                                |   |                        |
| 6241683   PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION   US   09/255003   2/22/1999   6/5/2001     D692145   MEDICAL PROXIMITY DETECTION TOKEN   US   29/432824   9/20/2012   10/22/2013     8463349   SIGNAL PROCESSING APPARATUS   US   13/463746   5/3/2012   6/11/2013   2012/0220843 A1   8/30/2018     8359080   SIGNAL PROCESSING APPARATUS   US   13/397564   2/15/2012   1/22/2013   2012/0165624 A1   6/28/20     8128572   SIGNAL PROCESSING APPARATUS   US   12/277221   11/24/2008   3/6/2012   2009/0076400 A1   3/19/20     7530955   SIGNAL PROCESSING APPARATUS   US   10/838814   5/4/2004   5/12/2009   2004/0210146 A1   10/21/20     7328053   SIGNAL PROCESSING APPARATUS   US   09/195791   11/17/1998   2/5/2008     7376453   SIGNAL PROCESSING APPARATUS   US   09/14897   9/1/1998   5/20/2008     8560034   SIGNAL PROCESSING APPARATUS   US   09/110542   7/6/1998   10/15/2013     8126528   SIGNAL PROCESSING APPARATUS   US   09/110542   7/6/1998   10/15/2013     8126528   SIGNAL PROCESSING APPARATUS   US   11/40122   3/24/2009   2/28/2012   2009/0182211 A1   7/16/200     7509154   SIGNAL PROCESSING APPARATUS   US   11/842117   8/20/2007   3/24/2009   2008/0045823 A1   2/21/200     8019400   SIGNAL PROCESSING APPARATUS   US   11/894716   8/20/2007   9/13/2011   2008/0033266 A1   2/7/200     8046041   SIGNAL PROCESSING APPARATUS   US   11/766714   6/21/2007   10/25/2011   2008/0033266 A1   2/7/2008     8046041   SIGNAL PROCESSING APPARATUS   US   11/766714   6/21/2007   10/25/2011   2008/0033266 A1   2/7/2008     8046041   SIGNAL PROCESSING APPARATUS   US   11/766714   6/21/2007   10/25/2011   2008/0033266 A1   2/7/2008   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/22009   2/2   | 2262236                                 |  | CA             | 2262236                                 | 2/22/1999  | 4/29/2008                               |   | 8/20/1999              |
| 8463349         SIGNAL PROCESSING APPARATUS         US         13/463746         5/3/2012         6/11/2013         2012/0220843 A1         8/30/20           8359080         SIGNAL PROCESSING APPARATUS         US         13/397564         2/15/2012         1/22/2013         2012/0165624 A1         6/28/20           8128572         SIGNAL PROCESSING APPARATUS         US         12/277221         11/24/2008         3/6/2012         2009/0076400 A1         3/19/20           7530955         SIGNAL PROCESSING APPARATUS         US         10/838814         5/4/2004         5/12/2009         2004/0210146 A1         10/21/20           7328053         SIGNAL PROCESSING APPARATUS         US         09/195791         11/17/1998         2/5/2008           7376453         SIGNAL PROCESSING APPARATUS         US         09/144897         9/1/1998         5/20/2008           8560034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8125528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20 <td>.00000000000000000000000000000000000000</td> <td></td> <td>**********</td> <td>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</td> <td>000000000000000000000000000000000000000</td> <td></td> <td></td> <td></td>  | .00000000000000000000000000000000000000 |  | **********     | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 000000000000000000000000000000000000000  |   |   |                        |
| 8359080         SIGNAL PROCESSING APPARATUS         US         13/397564         2/15/2012         1/22/2013         2012/0165624 A1         6/28/20           8128572         SIGNAL PROCESSING APPARATUS         US         12/277221         11/24/2008         3/6/2012         2009/0076400 A1         3/19/20           7530955         SIGNAL PROCESSING APPARATUS         US         10/838814         5/4/2004         5/12/2009         2004/0210146 A1         10/21/20           7328053         SIGNAL PROCESSING APPARATUS         US         09/195791         11/17/1998         2/5/2008           7376453         SIGNAL PROCESSING APPARATUS         US         09/144897         9/11/998         5/20/2008           8560034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/4/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0045823 A1         2/21/200 <td>^^^^^</td> <td></td> <td></td> <td>**************</td> <td>******</td> <td></td> <td>304 3 /03300 *** *</td> <td></td>   | ^^^^^                                   |  |                | **************                          | ******   |   | 304 3 /03300 *** *                      |                        |
| 8128572         SIGNAL PROCESSING APPARATUS         US         12/277221         11/24/2008         3/6/2012         2009/0076400 A1         3/19/20           7530955         SIGNAL PROCESSING APPARATUS         US         10/838814         5/4/2004         5/12/2009         2004/0210146 A1         10/21/20           7328053         SIGNAL PROCESSING APPARATUS         US         09/195791         11/17/1998         2/5/2008           7376453         SIGNAL PROCESSING APPARATUS         US         09/140542         7/6/1998         5/20/2008           8550034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2/08/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0045823 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/004514 A1         1/3/200 <td></td> <td></td> <td></td> <td>************************</td> <td></td> <td>~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~</td> <td>***********</td> <td>8/30/201.<br/>6/28/2012</td>   |   |  |                | ************************                |  | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | ***********                             | 8/30/201.<br>6/28/2012 |
| 7328053         SIGNAL PROCESSING APPARATUS         US         09/195791         11/17/1998         2/5/2008           7376453         SIGNAL PROCESSING APPARATUS         US         09/144897         9/1/1998         5/20/2008           8560034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0033266 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/0004514 A1         1/3/200   | **********                              | ***************************************                          | US             | ***************                         |  | *********                               | 200000000000000000000000000000000000000 | 3/19/2009              |
| 7376453         SIGNAL PROCESSING APPARATUS         US         09/144897         9/1/1998         5/20/2008           8560034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0033266 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/0004514 A1         1/3/200  | **********                              | ***************************************                          |                |   | ******   |   | 2004/0210146 A1                         | 10/21/200              |
| 8560034         SIGNAL PROCESSING APPARATUS         US         09/110542         7/6/1998         10/15/2013           8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0033266 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/0004514 A1         1/3/200  | **********                              |  |                | ************                            |  | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |   |                        |
| 8126528         SIGNAL PROCESSING APPARATUS         US         12/410422         3/24/2009         2/28/2012         2009/0182211 A1         7/16/20           7509154         SIGNAL PROCESSING APPARATUS         US         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0033266 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/0004514 A1         1/3/200   | *********                               | ***************************************                          |                | *************                           | 000000000000000000000000000000000000000  | ********                                |   |                        |
| 759154         SIGNAL PROCESSING APPARATUS         U5         11/842117         8/20/2007         3/24/2009         2008/0045823 A1         2/21/20           8019400         SIGNAL PROCESSING APPARATUS         US         11/894716         8/20/2007         9/13/2011         2008/0033266 A1         2/7/200           8046041         SIGNAL PROCESSING APPARATUS         US         11/766714         6/21/2007         10/25/2011         2008/0004514 A1         1/3/200   | .00000000000000000000000000000000000000 |  |                |   |  |   | 2009/0182211 A1                         | 7/16/2009              |
| 8046041 SIGNAL PROCESSING APPARATUS US 11/766714 6/21/2007 10/25/2011 2008/0004514 A1 1/3/200  | 7509154                                 | Signal processing apparatus                                      |                | 11/842117                               | 8/20/2007  | 3/24/2009                               | 2008/0045823 A1                         | 2/21/2008              |
|  | ********                                | ***************************************                          |                | ********************                    | 000000000000000000000000000000000000000  |   | 33535355555555555555555555555555555555  | 2/7/2008               |
| 0.5 11/100/17 0/21/200/ 10/11/2011 200//0231652 A1 12/20/20  |   |  |                |   |  |   |   | 1/3/2008               |
|  | 0030728                                 | Signate I Moderaling At I Alia 103                               |                | 11/100/13                               | 0/21/200/  | 10/11/2011                              | 2001/0291092 AT                         | 12/20/200              |

|                    | Schedule A - MASIMi  | O CONFIL   | DENTIAL                |                        |                         |   |                         |
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|                    |  |            |                        |                        |                         |   |                         |
| 8046042            | SIGNAL PROCESSING APPARATUS  | US         | 11/766700              | 6/21/2007              | 10/25/2011              | 2007/0249918 A1                         | 10/25/2007              |
| 7215986            | SIGNAL PROCESSING APPARATUS  | US         | 11/154093              | 6/15/2005              | 5/8/2007                | 2005/0256385 A1                         | 11/17/2005              |
| 7254433            | SIGNAL PROCESSING APPARATUS  | US         | 10/676534              | 9/30/2003              | 8/7/2007                | 2004/0064020 A1                         | 4/1/2004                |
| 7496393<br>8588880 | SIGNAL PROCESSING APPARATUS  EAR SENSOR  | US<br>US   | 10/677050<br>12/658872 | 9/30/2003<br>2/16/2010 | 2/24/2009<br>11/19/2013 | 2004/0068164 A1<br>2010/0217103 A1      | 4/8/2004<br>8/26/2010   |
| 8584345            | REPROCESSING OF A PHYSIOLOGICAL SENSOR   | US         | 13/041803              | 3/7/2011               | 11/19/2013              | 2011/0214280 A1                         | 9/8/2011                |
| 8571619            | HEMOGLOBIN DISPLAY AND PATIENT TREATMENT   | US         | 12/783436              | 5/19/2010              | 10/29/2013              | 2010/0298675 A1                         | 11/25/2010              |
| 8418524            | NON-INVASIVE SENSOR CALIBRATION DEVICE   | US         | 12/813782              | 6/11/2010              | 4/16/2013               | 2011/0023575 A1                         | 2/3/2011                |
| 8346330            | REFLECTION-DETECTOR SENSOR POSITION INDICATOR  | US         | 12/577670              | 10/12/2009             | 1/1/2013                | 2010/0094107 A1                         | 4/15/2010               |
| 8401602            | SECONDARY-EMITTER SENSOR POSITION INDICATOR  | US         | 12/577667              | 10/12/2009             | 3/19/2013               | 2010/0094106 A1                         | 4/15/2010               |
| 8547209            | ALARM SUSPEND SYSTEM   | US         | 13/476725              | 5/21/2012              | 10/1/2013               | 2012/0232366 A1                         | 9/13/2012               |
| 8203438            | ALARM SUSPEND SYSTEM   | US         | 12/510982              | 7/28/2009              | 6/19/2012               | 2010/0026510 A1                         | 2/4/2010                |
| 8355766<br>8048040 | CERAMIC EMITTER SUBSTRATE FLUID TITRATION SYSTEM   | US<br>US   | 12/248841<br>12/208998 | 10/9/2008<br>9/11/2008 | 1/15/2013<br>11/1/2011  | 2009/0156913 A1<br>2009/0076462 A1      | 6/18/2009<br>3/19/2009  |
| D135938            | CONNECTOR  | TW         | 97304976               | 8/28/2008              | 7/21/2010               | 2003/0070402 AT                         | 3/13/2003               |
| 30-0544369         | CONNECTOR  | KR         | 30-2008-0037404        | 8/29/2008              | 10/29/2009              |   |                         |
| 1363919            | CONNECTOR  | JP         | 2008-022157            | 8/28/2008              | 5/29/2009               |   |                         |
| 218211             | CONNECTOR  | IN         | 218211                 | 8/28/2008              | 4/27/2009               |   |                         |
| 000995071-0001     | CONNECTORS   | EU         | 000995071-0001         | 8/28/2008              | 8/28/2008               |   |                         |
| ZL200830148345.7   | CONNECTOR  | CN         | 2.0083E+11             | 8/29/2008              | 1/6/2010                |   |                         |
| D614305            | CONNECTOR ASSEMBLY   | US         | 29/304439              | 2/29/2008              | 4/20/2010               |   |                         |
| D587657            | CONNECTOR ASSEMBLY   | US         | 29/296067              | 10/12/2007             | 3/3/2009                |   |                         |
| 001018360-001-004  | CONNECTOR ASSEMBLY   | ΕU         | 001018360-001-004      | 10/8/2008              | 10/8/2008               |   |                         |
| D609193            | CONNECTOR ASSEMBLY   | US         | 29/296064              | 10/12/2007             | 2/2/2010                |   |                         |
| 5296793            | CONNECTOR ASSEMBLY   | JP         | 2010-529060            | 10/9/2008              | 6/21/2013               |   |                         |
| 8529301            | SHIELDED CONNECTOR ASSEMBLY  | US         | 13/399762              | 2/17/2012              | 9/10/2013               | 2012/0276786 A1                         | 11/1/2012               |
| 8118620            | CONNECTOR ASSEMBLY WITH REDUCED UNSHIELDED AREA  | US         | 12/248856              | 10/9/2008              | 2/21/2012               | 2009/0099423 A1                         | 4/16/2009               |
| 8310336            | SYSTEMS AND METHODS FOR STORING, ANALYZING, RETRIEVING AND   | US         | 12/904925              | 10/14/2010             | 11/13/2012              | 2011/0169644 A1                         | 7/14/2011               |
| 8310330            | DISPLAYING STREAMING MEDICAL DATA  |            | 12/304323              | 10/14/2010             | 11/13/2012              | 2011/0103044 A1                         | 7/14/2011               |
| 8274360            | SYSTEMS AND METHODS FOR STORING, ANALYZING, AND RETRIEVING   | US         | 12/249806              | 10/10/2008             | 9/25/2012               | 2009/0119330 A1                         | 5/7/2009                |
|                    | MEDICAL DATA   |            |                        |                        |                         |   |                         |
| 8229533            | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  LOW NOISE OXIMETRY CABLE INCLUDING CONDUCTIVE CORDS | US<br>US   | 13/358461              | 1/25/2012              | 7/24/2012               | 2012/0123278 A1                         | 5/17/2012               |
| 7919713<br>8652060 | PERFUSION TREND INDICATOR  | US         | 12/104350<br>12/011011 | 4/16/2008<br>1/22/2008 | 4/5/2011<br>2/18/2014   | 2008/0255435 A1<br>2008/0221464 A1      | 10/16/2008<br>9/11/2008 |
| 5441707            | PLETHYSMOGRAPH VARIABILITY PROCESSOR   | JР         | 2009-540509            | 12/7/2007              | 12/27/2013              | 2000/0221404 A1                         | 3/11/2008               |
| 8414499            | PLETHYSMOGRAPH VARIABILITY PROCESSOR   | US         | 11/952940              | 12/7/2007              | 4/9/2013                | 2008/0188760 A1                         | 8/7/2008                |
| 8315683            | DUO CONNECTOR PATIENT CABLE  | US         | 11/858818              | 9/20/2007              | 11/20/2012              | 2008/0071153 A1                         | 3/20/2008               |
| 8457707            | CONGENITAL HEART DISEASE MONITOR   | US         | 11/858053              | 9/19/2007              | 6/4/2013                | 2008/0071155 A1                         | 3/20/2008               |
| 8180420            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 11/842128              | 8/20/2007              | 5/15/2012               | 2008/0036752 A1                         | 2/14/2008               |
| 8190227            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 12/368222              | 2/9/2009               | 5/29/2012               | 2009/0209835 A1                         | 8/20/2009               |
| 7489958            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 11/417858              | 5/3/2006               | 2/10/2009               | 2006/0200016 A1                         | 9/7/2006                |
| 7499741            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 10/839276              | 5/4/2004               | 3/3/2009                | 2004/0204637 A1                         | 10/14/2004              |
| 7471971            | SIGNAL PROCESSING APPARATUS AND METHOD  METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE         | US         | 10/791683              | 3/2/2004               | 12/30/2008              | 2005/0096517 A1                         | 5/5/2005                |
| 8185180            | OXIMETRY SYSTEM  | US         | 11/842106              | 8/20/2007              | 5/22/2012               | 2008/0033265 A1                         | 2/7/2008                |
|                    | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   |            |                        |                        |                         |   |                         |
| 8150487            | OXIMETRY SYSTEM  | US         | 11/750930              | 5/18/2007              | 4/3/2012                | 2007/0225582 A1                         | 9/27/2007               |
| 7002220            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 10/700324              | 11/3/2003              | 2/21/2006               |   |                         |
| 7003339            | OXIMETRY SYSTEM  |            | 10/700524              | 11/3/2003              | 2/21/2006               |   |                         |
| 6643530            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 09/735960              | 12/13/2000             | 11/4/2003               | 0002206A1                               | 5/31/2001               |
| 0043330            | OXIMETRY SYSTEM  |            | 037733300              | 12, 13, 2000           | 11) 7/ 2003             | 0002200012                              | 3/31/2001               |
| 7221971            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 11/311213              | 12/19/2005             | 5/22/2007               | 2006/0161056 A1                         | 7/20/2006               |
| 8280473            | OXIMETRY SYSTEM PERFUSION INDEX SMOOTHER   | US         | 11/871620              | 10/13/3003             | 10/2/2012               | 2008/0091093 A1                         | 4/17/2009               |
|                    | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL   | ********** |                        | 10/12/2007             | ***********             | 2006/0031033 A1                         | 4/17/2008               |
| 2007313903         | SENSOR   | AU         | 2007313903             | 10/11/2007             | 9/19/2013               |   |                         |
|                    | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL   |            |                        |                        |                         |   |                         |
| 7880626            | SENSOR   | US         | 11/580214              | 10/12/2006             | 2/1/2011                | 2008/0088467 A1                         | 4/17/2008               |
| 8182443            | DRUG ADMINISTRATION CONTROLLER   | US         | 11/654904              | 1/17/2007              | 5/22/2012               |   |                         |
| 7990382            | VIRTUAL DISPLAY  | US         | 11/648972              | 1/3/2007               | 8/2/2011                | 2007/0188495 A1                         | 8/16/2007               |
| 7530942            | REMOTE SENSING INFANT WARMER   | US         | 11/583355              | 10/18/2006             | 5/12/2009               |   |                         |
| 7962188 C1         | ROBUST ALARM SYSTEM  | US         | 90/012534              | 9/13/2012              | 6/26/2013               |   |                         |
| 7962188            | ROBUST ALARM SYSTEM RESPIRATORY MONITORING   | US         | 11/546927              | 10/12/2006             | 6/14/2011               | 2007/0109115 A1                         | 5/17/2007               |
| 8028701            | PATIENT MONITOR CAPABLE OF MONITORING THE QUALITY OF ATTACHED  | US         | 11/756501              | 5/31/2007              | 10/4/2011               | 2007/0277823 A1                         | 12/6/2007               |
| 8255026            | PROBES AND ACCESSORIES   | US         | 11 <b>/</b> 871817     | 10/12/2007             | 8/28/2012               |   |                         |
| 7976472            | NONINVASIVE HYPOVOLEMIA MONITOR  | US         | 11/221411              | 9/6/2005               | 7/12/2011               | 2006/0058691 A1                         | 3/16/2006               |
| 7937128            | CYANOTIC INFANT SENSOR   | US         | 11/171632              | 6/30/2005              | 5/3/2011                | 2006/0020185 A1                         | 1/26/2006               |
| 7292883            | PHYSIOLOGICAL ASSESSMENT SYSTEM  | US         | 11/094813              | 3/30/2005              | 11/6/2007               | 2006/0009687 A1                         | 1/12/2006               |
| 7280858            | PULSE OXIMETRY SENSOR  | US         | 11/029009              | 1/4/2005               | 10/9/2007               | 2005/0197550 A1                         | 9/8/2005                |
| DE\$566282         | STAND FOR A PORTABLE PATIENT MONITOR   | US         | 29/223769              | 2/18/2005              | 4/8/2008                |   |                         |
| DES554263          | PORTABLE PATIENT MONITOR   | US         | 29/223771              | 2/18/2005              | 10/30/2007              | *************************************** | 77777222722222222       |
| 8353842            | PORTABLE PATIENT MONITOR   | US         | 12/343345              | 12/23/2008             | 1/15/2013               | 2009/0306488 A1                         | 12/10/2009              |
| 7937129            | VARIABLE APERTURE SENSOR  BLYSIAL AGEAN, PARAMETER SYSTEM  | US<br>EP   | 11/386076              | 3/21/2006              | 5/3/2011                | 2006/0258922 A1                         | 11/16/2006              |
| 1722676<br>7415297 | PHYSIOLOGICAL PARAMETER SYSTEM PHYSIOLOGICAL PARAMETER SYSTEM  | US         | 5724991.4<br>11/075389 | 3/8/2005<br>3/8/2005   | 12/19/2012<br>8/19/2008 | 1722676<br>US-2005-0203352 A1           | 11/22/2006<br>9/15/2005 |
|                    |  |            | TT/U/2303              | 3,3,2003               | 0,13,2000               | _5 2005 0200002 MI                      | 2, 13, 2003             |

|                       | Schedule A - MASI  |          |                        |   |                         |                                    |                        |
|-----------------------|--|----------|------------------------|---|-------------------------|------------------------------------|------------------------|
| 7430003.03            | ADDICATION INTERTIFICATION CIVING  |          |                        | *************************************** |                         |                                    |                        |
| 7438683 C1<br>8337403 | APPLICATION IDENTIFICATION SENSOR PATIENT MONITOR HAVING CONTEX-BASED SENSITIVITY ADJUSTMENTS              | US<br>US | 90/012546<br>12/254748 | 10/25/2012<br>10/20/2008                | 11/6/2013<br>12/25/2012 | 2009/0048495 A1                    | 2/19/2009              |
| 7438683               | APPLICATION IDENTIFICATION SENSOR  | US       | 11/071875              | 3/3/2005                                | 10/21/2008              | 2005/0283052 A1                    | 12/22/2005             |
| 7371981               | CONNECTOR SWITCH   | US       | 11/062169              | 2/18/2005                               | 5/13/2008               | 2005/0187440 A1                    | 8/25/2005              |
| 7373193               | PULSE OXIMETRY DATA CAPTURE SYSTEM   | US       | 10/983048              | 11/5/2004                               | 5/13/2008               | 2005/0101849 A1                    | 5/12/2005              |
| 7483729               | PULSE OXIMETER ACCESS APPARATUS AND METHOD   | US<br>US | 10/981186              | 11/4/2004                               | 1/27/2009               | 2005/0101848 A1                    | 5/12/2005              |
| 7254434<br>8385995    | VARIABLE PRESSURE REUSABLE SENSOR PHYSIOLOGICAL PARAMETER TRACKING SYSTEM                                  | US       | 10/965394<br>11/834602 | 10/13/2004<br>8/6/2007                  | 8/7/2007<br>2/26/2013   | 2005/0085704 A1<br>2008/0027294 A1 | 4/21/2005<br>1/31/2008 |
| 7254431               | PHYSIOLOGICAL PARAMETER TRACKING SYSTEM  | US       | 10/930048              | 8/30/2004                               | 8/7/2007                | 2005/0090724 A1                    | 4/28/2005              |
| 5100119               | MULTIPURPOSE SENSOR PORT   | JP       | 2006-521950            | 7/26/2004                               | 10/5/2012               |                                    |                        |
| 1651104               | MULTIPURPOSE SENSOR PORT   | EP       | 4779096.9              | 7/26/2004                               | 8/22/2012               | 1651104                            | 5/3/2006               |
| 7500950<br>7341559    | MULTIPURPOSE SENSOR PORT PULSE OXIMETRY EAR SENSOR   | US<br>US | 10/898680<br>10/631882 | 7/23/2004<br>7/31/2003                  | 3/10/2009<br>3/11/2008  | 2005/0075548 A1<br>2004/0054291 A1 | 4/7/2005<br>3/18/2004  |
| 7142901               | PARAMETER COMPENSATED PHYSIOLOGICAL MONITOR  | US       | 10/714526              | 11/14/2003                              | 11/28/2006              | 2004/0242980 A1                    | 12/2/2004              |
| 7274955               | PARAMETER COMPENSATED PULSE OXIMETER   | US       | 10/671179              | 9/25/2003                               | 9/25/2007               | 2004/0122301 A1                    | 6/24/2004              |
| 7096052               | OPTICAL PROBE INCLUDING PREDETERMINED EMISSION WAVELENGTH BASED  | US       | 10/679963              | 10/6/2003                               | 8/22/2006               | US-2004-0122302-A1                 | 6/24/2004              |
| 7096054               | ON PATIENT TYPE  |          |                        |   |                         |                                    | *******                |
| 7509494               | LOW NOISE OPTICAL HOUSING INTERFACE CABLE  | US<br>US | 10/632012<br>10/377996 | 7/31/2003<br>2/28/2003                  | 8/22/2006<br>3/24/2009  | 2004/0039272 A1<br>2003/0167391 A1 | 2/26/2004<br>9/4/2003  |
| 8548548               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 12/955826              | 11/29/2010                              | 10/1/2013               | 2011/0071370 A1                    | 3/24/2011              |
| 7844315               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 11/417006              | 5/3/2006                                | 11/30/2010              | 2007/0173701 A1                    | 7/26/2007              |
| 7844314               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 11/048330              | 2/1/2005                                | 11/30/2010              | 2005/0135288 A1                    | 6/23/2005              |
| 6850788<br>7015451    | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER POWER SUPPLY RAIL CONTROLLER                              | US<br>US | 10/377933<br>10/351961 | 2/28/2003<br>1/24/2003                  | 2/1/2005<br>3/21/2006   | 03/0181798<br>03/0218386           | 9/25/2003              |
| 7880606 C1            | PHYSIOLOGICAL TREND MONITOR  | US       | 90/012548              | 9/13/2012                               | 2/24/2014               | 03/0216380                         | 11/27/2003             |
| 8570167               | PHYSIOLOGICAL TREND MONITOR  | US       | 13/557107              | 7/24/2012                               | 10/29/2013              | 2012/0289797 A1                    | 11/15/2012             |
| 8228181               | PHYSIOLOGICAL TREND MONITOR  | US       | 13/018334              | 1/31/2011                               | 7/24/2012               | 2011/0124990 A1                    | 5/26/2011              |
| 7880606               | PHYSIOLOGICAL TREND MONITOR  | US       | 12/070061              | 2/12/2008                               | 2/1/2011                | 2008/0228052 A1                    | 9/18/2008              |
| 7355512<br>7190261    | PARALLEL ALARM PROCESSOR<br>ARRHYTHMIA ALARM PROCESSOR   | US<br>US | 11/717591<br>11/405815 | 3/13/2007<br>4/18/2006                  | 4/8/2008<br>3/13/2007   | 2006/0192667                       | 8/31/2006              |
| 7030749               | PARALLEL MEASUREMENT ALARM PROCESSOR   | US       | 10/975860              | 10/28/2004                              | 4/18/2006               | US-2005-0083193-A1                 | *******                |
| 6822564               | PARALLEL MEASUREMENT ALARM PROCESSOR   | US       | 10/351735              | 1/24/2003                               | 11/23/2004              | 03/0137423                         | 7/24/2003              |
| 6934570               | PHYSIOLOGICAL SENSOR COMBINATION   | US       | 10/325699              | 12/19/2002                              | 8/23/2005               | 03/0225323                         | 12/4/2003              |
| 7340287<br>6985764    | FLEX CIRCUIT SHIELDED OPTICAL SENSOR FLEX CIRCUIT SHIELDED OPTICAL SENSOR                                  | US<br>US | 11/293583              | 12/2/2005                               | 3/4/2008<br>1/10/2006   | 2006/0084852 A1                    | 4/20/2006              |
| 737789 C1             | SINE SATURATION TRANSFORM  | US       | 10/137942<br>90/012538 | 5/2/2002<br>9/14/2012                   | 4/12/2013               | 02/0165440                         | 11/7/2002              |
| 1399058               | SIGNAL COMPONENT COMPRESSOR  | GB       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 1399058               | SIGNAL COMPONENT COMPRESSOR  | EP       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 60207717.6-08         | SIGNAL COMPONENT COMPRESSOR  | DE       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 8498684<br>7904132    | SINE SATURATION TRANSFORM SINE SATURATION TRANSFORM  | US<br>US | 13/043421<br>12/336419 | 3/8/2011<br>12/16/2008                  | 7/30/2013<br>3/8/2011   | 2011/0160552 A1<br>2009/0099429 A1 | 6/30/2011<br>4/16/2009 |
| 7467002               | SINE SATURATION TRANSFORM  | US       | 11/894648              | 8/20/2007                               | 12/16/2008              | 2008/0045810 A1                    | 2/21/2008              |
| 7377899               | SINE SATURATION TRANSFORM  | US       | 11/417914              | 5/3/2006                                | 5/27/2008               | 2006/0270921 A1                    | 11/30/2006             |
| 7373194               | SIGNAL COMPONENT PROCESSOR   | US       | 11/048232              | 2/1/2005                                | 5/13/2008               | 2005/0131285 A1                    | 6/16/2005              |
| 6850787<br>8457703    | SIGNAL COMPONENT PROCESSOR LOW POWER PLUSE OXIMETER  | US<br>US | 10/184032<br>11/939519 | 6/26/2002<br>11/13/2007                 | 2/1/2005<br>6/4/2013    | 03/0055325<br>2008/0064936 A1      | 3/20/2003<br>3/13/2008 |
| 7295866               | LOW POWER PULSE OXIMETER   | US       | 10/785573              | 2/24/2004                               | 11/13/2007              | 2004/0181133 A1                    | 9/16/2004              |
| 6697658               | LOW POWER PULSE OXIMETER   | US       | 10/184028              | 6/26/2002                               | 2/24/2004               | 03/0028085                         | 2/6/2003               |
| 6658276               | PULSE OXIMETER USER INTERFACE  | US       | 10/076860              | 2/12/2002                               | 12/2/2003               | 02/0161291                         | 10/31/2002             |
| 7225006<br>6760607    | ATTACHMENT AND OPTICAL PROBE   | US       | 10/350550              | 1/23/2003                               | 5/29/2007               | 2004/0147821 A1                    | 7/29/2004              |
| - <del>}</del>        | RIBBON CABLE SUBSTRATE PULSE OXIMETRY SENSOR PULSE OXIMETRY SENSOR COMPATIBLE WITH MULTIPLE PULSE OXIMETRY | US       | 10/032339              | 12/20/2001                              | 7/6/2004                | 02/0095074                         | 7/18/2002              |
| 6697656               | SYSTEMS  | US       | 09/604340              | 6/27/2000                               | 2/24/2004               |                                    |                        |
| 6470199               | ELASTIC SOCK FOR POSITIONING AN OPTICAL PROBE  | US       | 09/598930              | 6/21/2000                               | 10/22/2002              |                                    |                        |
| 1286619               | VARIABLE INDICATION ESTIMATOR  | EP       | 1946090.6              | 6/5/2001                                | 4/20/2011               | 1286619                            | 3/5/2003               |
| 7499835 C1<br>7873497 | VARIABLE INDICATION ESTIMATOR  VARIABLE INDICATION ESTIMATOR   | US<br>US | 90/012532<br>12/362463 | 9/13/2012<br>1/29/2009                  | 12/19/2013<br>1/18/2011 | 2009/0204371 A1                    | 8/13/2009              |
| 7499835               | VARIABLE INDICATION ESTIMATOR  | US       | 11/375662              | 3/14/2006                               | 3/3/2009                | 2006/0161389 A1                    | 7/20/2006              |
| 6999904               | VARIABLE INDICATION ESTIMATOR  | US       | 10/213270              | 8/5/2002                                | 2/14/2006               | 2003/0101027                       | 5/29/2003              |
| 8489364               | VARIABLE INDICATION ESTIMATOR  | US       | 13/601930              | 8/31/2012                               | 7/16/2013               | 2012/0330562 A1                    | 12/27/2012             |
| 8260577<br>6430525    | VARIABLE INDICATION ESTIMATOR  VARIABLE MODE AVERAGER  | US<br>US | 13/007109<br>09/586845 | 1/14/2011<br>6/5/2000                   | 9/4/2012<br>8/6/2002    | 2011/0112799 A1                    | 5/12/2011              |
|                       | PULSE OXIMETER MONITOR FOR EXPRESSING THE URGENCY OF THE PATIENT'S   |          |                        |   |                         |                                    |                        |
| 6542764               | CONDITION  | US       | 09/727944              | 12/1/2000                               | 4/1/2003                |                                    |                        |
| 1239766               | RESPOSABLE PULSE OXIMETRY SENSOR   | GB       | 992852.4               | 12/7/2000                               | 10/5/2005               | 1239766                            | 9/18/2002              |
| 1239766               | RESPOSABLE PULSE OXIMETRY SENSOR   | FR       | 992852.4               | 12/7/2000                               | 10/5/2005               | 1239766                            | 9/18/2002              |
| 1239766<br>1239766    | RESPOSABLE PULSE OXIMETRY SENSOR RESPOSABLE PULSE OXIMETRY SENSOR  | EP<br>DE | 992852.4<br>992852.4   | 12/7/2000<br>12/7/2000                  | 10/5/2005<br>10/5/2005  | 1239766<br>1239766                 | 9/18/2002<br>9/18/2002 |
| 7734320               | SENSOR ISOLATION   | US       | 11/842088              | 8/20/2007                               | 6/8/2010                | 2008/0033267 A1                    | 2/7/2008               |
| 7272425               | PULSE OXIMETRY SENSOR INCLUDING STORED SENSOR DATA   | US       | 11/235617              | 9/26/2005                               | 9/18/2007               | 2006/0020180 A1                    | 1/26/2006              |
| 6950687               | ISOLATION AND COMMUNICATION ELEMENT FOR A RESPOSABLE PULSE   | US       | 10/351643              | 1/24/2003                               | 9/27/2005               | 03/0135099                         | 7/17/2003              |
| 6671531               | OXIMETRY SENSOR SENSOR WRAP INCLUDING FOLDABLE APPLICATOR  |          |                        |   | ************            |                                    |                        |
| 8000761               | RESPOSABLE PULSE OXIMETRY SENSOR   | US<br>US | 10/020664<br>11/415600 | 12/11/2001<br>5/2/2006                  | 12/30/2003<br>8/16/2011 | 02/0045807<br>2006/0200018 A1      | 4/18/2002<br>9/7/2006  |
| 7039449               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 10/741777              | 12/19/2003                              | 5/2/2006                | U5-2004-0133088-A1                 |                        |
| 6725075               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 10/128721              | 4/23/2002                               | 4/20/2004               | 02/0115919                         | 8/22/2002              |
| 6377829               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 09/456666              | 12/9/1999                               | 4/23/2002               |                                    |                        |

| 6943348   | SYSTEM FOR DETECTING INJECTION MOLDING MATERIAL   | US                         | 09/422208  | 10/19/1999  | 9/13/2005   |   | mummu                               |
|---|---|----------------------------|--|---|---|---|-------------------------------------|
| 1674034   | SENSOR LIFE MONITOR METHOD  | EP                         | 6006843.4  | 2/9/2001  | 8/25/2010   | 1674034                                 | 6/28/2006                           |
| 500827  | SENSOR LIFE MONITOR SYSTEM  | JP                         | 2001-557463                                      | 2/9/2001  | 5/25/2012   |   |                                     |
| 1257190   | SENSOR LIFE MONITOR SYSTEM  | GB                         | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                          |
| 1257190   | SENSOR LIFE MONITOR SYSTEM  | EP                         | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                          |
| 60118891.8-08   | SENSOR LIFE MONITOR SYSTEM  | DE<br>US                   | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                          |
| 8399822<br>6388240  | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR<br>SHIELDED OPTICAL PROBE AND METHOD HAVING A LONGEVITY INDICATION  | US<br>US                   | 13/069261<br>09/798764                           | 3/22/2011<br>3/2/2001                             | 3/19/2013<br>5/14/2002  | 2011/0172942 A1<br>0009265A1            | 7/14/2011<br>7/26/2001              |
| 7910875   | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR   | US                         | 11/714303  | 3/6/2007  | 3/22/2011   | 2007/0156034 A1                         | 7/5/2001                            |
| 7186966   | AMOUNT OF USE TRACKING DEVICE AND METHOD FOR MEDICAL PRODUCT  | US                         | 11/311212  | 12/19/2005  | 3/6/2007  | 2006/0097135 A1                         | 5/11/2006                           |
| 6979812   | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR   | US                         | 11/065994  | 2/24/2005   | 12/27/2005  | US-2005-0143631-A1                      |                                     |
| 6861639   | Systems and methods for indicating an amount of use of a sensor   | US                         | 10/357531  | 2/3/2003  | 3/1/2005  | 03/0111592                              | 6/19/2003                           |
| CE1E373   | SYSTEM FOR INDICATING THE EXPIRATION OF THE USEFUL OPERATING LIFE OF  | LIC                        |  |   | 2/4/2002  | 45500                                   |                                     |
| 6515273   | A PULSE OXIMETRY SENSOR   | US                         | 09/502032  | 2/10/2000   | 2/4/2003  | 45509                                   | 11/29/2001                          |
| 6580086   | SHIELDED OPTICAL PROBE AND METHOD   | US                         | 09/420544  | 10/19/1999  | 6/17/2003   |   |                                     |
| 1719449   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | EP                         | 6012571.3  | 3/24/2000   | 12/22/2010  | 1719449                                 | 11/8/2006                           |
|   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES, COMPRISING A   |                            |  |   |   |   |                                     |
| 1420692   | PASSIVE RESPIRATORY GAS HUMIDIFYER, WHERE RAYS OF LIGHT ARE   | EP                         | 2763147.2  | 8/26/2002   | 7/26/2006   | 1420692                                 | 5/26/2004                           |
|   | TRANSMITTED THROUGH A DEHUMIFIED GAS FLOW  DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES, COMPRISING A  |                            |  |   |   |   |                                     |
| 519766  | PASSIVE RESPIRATORY GAS HUMIDIFYER, WHERE RAYS OF LIGHT ARE   | SE                         | 0102860-4  | 8/28/2001   | 4/8/2003  | 519766                                  | 3/1/2003                            |
| 313700  | TRANSMITTED THROUGH A DEHUMIFIED GAS FLOW   | JL                         | 0102800-4  | 8/28/2001   | 4/8/2003  | 319700                                  | 3/1/2003                            |
| 1420842   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | EP                         | 2760976.7  | 8/26/2002   | 11/8/2006   | 1420842                                 | 5/26/2004                           |
| 523461  | DEVICE AT QUANTITATIVE ANALYSIS OF RESPIRATORY GASES  | SE                         | 0102861-2  | 8/28/2001   | 4/20/2004   | 523461                                  | 3/1/2003                            |
| 1420691   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | EP                         | 2759046.2  | 8/26/2002   | 7/26/2006   | 1420691                                 | 5/26/2004                           |
| 519779  | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | SE                         | 0102862-0  | 8/28/2001   | 4/8/2003  | 519779                                  | 3/1/2003                            |
| 524086  | MEASURING HEAD FOR A GAS ANALYSER   | SE                         | 0103599-7  | 10/30/2001  | 6/22/2004   | 524086                                  | 5/1/2003                            |
| 4644373   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | JP                         | 2000-606119                                      | 3/24/2000   | 12/10/2010  |   |                                     |
| 1617760   | AN AIR GAS ANALYZER WINDOW AND A METHOD FOR PRODUCING SUCH A  | EP                         | 4728997  | 4/22/2004   | 1/21/2009   | 1617760                                 | 1/25/2006                           |
|   | WINDOW  |                            |  | 7.557.500   | -,,   | -0-7/                                   | W.E.J.E.J.                          |
| 525095  | AN AIR GAS ANALYZER WINDOW AND A METHOD FOR PRODUCING SUCH A  | SE                         | 0301218-4  | 4/25/2003   | 11/30/2004  | 525095                                  | 10/26/2004                          |
| 447460F   | WINDOW  IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | ~*                         | 040550   | 0.151.15000                                       | 2222 2222   | 2232834                                 |                                     |
| 1171025<br>532941   | GAS SAMPLING LINE FOR RESPIRATORY GASES   | GB<br>SE                   | 916663.8<br>0801967-1                            | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                           |
| 2065697   | GAS MEASUREMENT SYSTEM  | EP                         | 8167482.2  | 9/15/2008<br>10/24/2008                           | 5/18/2010<br>2/22/2012  | 532941<br>2065697                       | 3/16/2010<br>6/3/2009               |
| 1171025   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | EP                         | 916663.8   | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                           |
| 60028953.2-08   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | DE.                        | 916663.8   | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                           |
| 5436499   | HIGH PERFORMANCE GAAS DEVICES AND METHOD  | US                         | 08/212115  | 3/11/1994   | 7/25/1995   | 77.777                                  |                                     |
| C040807   | REDUCTION OF DISLOCATIONS IN A HETEROEPITAXIAL SEMICONDUCTOR  |                            | ***************************************          |   |   |   |                                     |
| 6010937   | STRUCTURE   | US                         | 08/523694  | 9/5/1995  | 1/4/2000  |   |                                     |
| 8532728   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 12/345537  | 12/29/2008  | 9/10/2013   | 2009/0112073 A1                         | 4/30/2009                           |
| 5671914   | MULTI-BAND SPECTROSCOPIC PHOTODETECTOR ARRAY  | US                         | 08/553972  | 11/6/1995   | 9/30/1997   |   |                                     |
| 6066204   | HIGH PRESSURE MOCVD REACTOR SYSTEM  | US                         | 08/780724  | 1/8/1997  | 5/23/2000   |   |                                     |
| 6255708   | SEMICONDUCTOR P-1-N DETECTOR  | US                         | 08/949015  | 10/10/1997  | 7/3/2001  |   |                                     |
| 6635559   | FORMATION OF INSULATING ALUMINUM OXIDE IN SEMICONDUCTOR   | US                         | 09/949030  | 9/6/2001  | 10/21/2003  | 2003/00042501 A1                        | 3/6/2003                            |
| 7514725   | SUBSTRATES  |                            | aa Isooors                                       | 44 700 7000                                       | v fee feedada   |   |                                     |
| 7955965   | NANOPHOTOVOLTAIC DEVICES  NANOPHOTOVOLTAIC DEVICES  | US                         | 11/002850<br>12/851893                           | 11/30/2004  | 4/7/2009<br>6/7/2011  | 2006/0113557 A1                         | 6/1/2006                            |
| 7772612   | NANOPHOTOVOLTAIC DEVICES  NANOPHOTOVOLTAIC DEVICES  | US<br>US                   | 12/388895  | 8/6/2010<br>2/19/2009                             | 6/7/2011<br>8/10/2010   | 2010/0297803 A1<br>2009/0165852 A1      | 11/25/2010<br>7/2/2009              |
| 8242009   | NANOPHOTOVOLTAIC DEVICES  | US                         | 13/152977  | 6/3/2011  | 8/14/2012   | 2011/0237015 A1                         | 9/29/2011                           |
| 7471969   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 10/721607  | 11/25/2003  | 12/30/2008  | 2004/0158134 A1                         | 8/12/2004                           |
| 6654624   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 10/027574  | 12/19/2001  | 11/25/2003  | 02/0072660                              | 6/13/2002                           |
| 8455290   | METHOD OF FABRICATING EPITAXIAL STRUCTURES  | US                         | 12/807399  | 9/4/2010  | 6/4/2013  | 2012/0058591 A1                         | 3/8/2012                            |
| 6360114   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 09/531820  | 3/21/2000   | 3/19/2002   | **************************              | ace a a color a clara a con a con a |
| 6771994   | PULSE OXIMETER PROBE-OFF DETECTION SYSTEM   | US                         | 10/374303  | 2/24/2003   | 8/3/2004  | 03/0139656                              | 7/24/2003                           |
| 6526300   | PULSE OXIMETER PROBE-OFF DETECTION SYSTEM   | US                         | 09/595081  | 6/16/2000   | 2/25/2003   | *************************************** | *******                             |
| 6152754   | CIRCUIT BOARD BASED CABLE CONNECTOR   | US                         | 09/470401  | 12/21/1999  | 11/28/2000  |   |                                     |
| 4987057   | UNIVERSAL/UPGRADING PULSE OXIMETER  | JP                         | 2009-242957                                      | 1/25/2000   | 5/11/2012   | **************                          | *********                           |
| 2684695   | UNIVERSAL/UPGRADING PULSE OXIMETER  | CA                         | 2684695  | 1/25/2000   | 11/6/2012   |   |                                     |
| 4986324   | UNIVERSAL/UPGRADING PULSE OXIMETER  | JP                         | 2000-594379                                      | 1/25/2000   | 5/11/2012   |   |                                     |
| 5590649   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION! TO  | US                         | 08/228213  | 4/15/1994   | 1/7/1997  |   |                                     |
| 1148809   | DETERMINE BLOOD PRESSURE UNIVERSAL/UPGRADING PULSE OXIMETER   | GB                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                          |
| **********  | UNIVERSAL/UPGRADING PULSE OXIMETER  | FR                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                          |
| 1142200   | UNIVERSAL/UPGRADING PULSE OXIMETER  | EP                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                          |
| 1148809<br>1148809  |   |                            | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                          |
| 1148809   | UNIVERSAL/UPGRADING PULSE OXIMETER  | DE                         |  |   | วองจากเลยสายสายเลยส |   |                                     |
|   | Universal/upgrading pulse oximeter<br>Universal/upgrading pulse oximeter  | CA                         | 2358454  | 1/25/2000   | 3/23/2010   |   |                                     |
| 1148809<br>60037106.9-08  |   | 000000000000000000000      | 2358454<br>1962370.1                             | 1/25/2000<br>8/14/2001                            | 3/23/2010<br>7/1/2009   | 1309270                                 | 5/14/2003                           |
| 1148809<br>60037106.9-08<br>2358454   | UNIVERSAL/UPGRADING PULSE OXIMETER  | CA                         | **********                                       |   |   | 1309270<br>2064989                      | 5/14/2003<br>6/3/2009               |
| 1148809<br>60037106.9-08<br>2358454<br>1309270                                  | UNIVERSAL/UPGRADING PULSE OXIMETER<br>DUAL-MODE PULSE OXIMETER  | CA<br>SE                   | 1962370.1  | 8/14/2001   | 7/1/2009  |   |                                     |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270            | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER  | CA<br>SE<br>EP<br>NL       | 1962370.1<br>9002646.9<br>1962370.1              | 8/14/2001<br>8/14/2001<br>8/14/2001               | 7/1/2009<br>3/21/2012<br>7/1/2009   | 2064989                                 | 6/3/2009                            |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989                       | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER   | CA<br>SE<br>EP             | 1962370.1<br>9002646.9                           | 8/14/2001<br>8/14/2001                            | 7/1/2009<br>3/21/2012   | 2064989                                 | 6/3/2009                            |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270            | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO | CA<br>SE<br>EP<br>NL       | 1962370.1<br>9002646.9<br>1962370.1              | 8/14/2001<br>8/14/2001<br>8/14/2001<br>11/22/1995 | 7/1/2009<br>3/21/2012<br>7/1/2009<br>11/10/1998   | 2064989                                 | 6/3/2009                            |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270<br>5833618 | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER   | CA<br>SE<br>EP<br>NE<br>US | 1962370.1<br>9002646.9<br>1962370.1<br>08/561923 | 8/14/2001<br>8/14/2001<br>8/14/2001               | 7/1/2009<br>3/21/2012<br>7/1/2009   | 2064989                                 | 6/3/2009                            |

|                          | Schedule A - MASIM  |          |   |                          |                         |   |                          |
|--------------------------|---|----------|---|--------------------------|-------------------------|---|--------------------------|
|                          | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |          |   |                          |                         |   |                          |
| 6045509                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | US       | 09/026048                               | 2/19/1998                | 4/4/2000                |   |                          |
| 1309270<br>1309270       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | MC<br>LU | 1962370.1<br>1962370.1                  | 8/14/2001<br>8/14/2001   | 7/1/2009<br>7/1/2009    | 1309270<br>1309270                      | 5/14/2003<br>5/14/2003   |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | ΙΕ       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | GB       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270<br>1309270       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | FR<br>FI | 1962370.1<br>1962370.1                  | 8/14/2001                | 7/1/2009<br>7/1/2009    | 1309270<br>1 <b>30</b> 9270             | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | EP       | 1962370.1                               | 8/14/2001<br>8/14/2001   | 7/1/2009                | 1309270                                 | 5/14/2003<br>5/14/2003   |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | DK       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | DE       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270<br>8532727       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | CH<br>US | 1962370.1<br>11/894722                  | 8/14/2001<br>8/20/2007   | 7/1/2009<br>9/10/2013   | 1309270<br>2008/0039701 A1              | 5/14/2003<br>2/14/2008   |
| 7530949                  | DUAL-MODE PULSE OXIMETER  | US       | 10/911391                               | 8/3/2004                 | 5/12/2009               | 2005/0065417 A1                         | 3/24/2005                |
| 3908783                  | AUTOMATICLLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE  | JP       | 513247/1996                             | 9/28/1995                | 1/26/2007               | 000000000000000000000000000000000000000 | 4/25/2007                |
| 6770028<br>8405608       | DUAL-MODE PULSE OXIMETER  SYSTEM AND METHOD FOR ALTERING A DISPLAY MODE                               | US<br>US | 09/641542<br>12/039704                  | 8/18/2000<br>2/28/2008   | 8/3/2004<br>3/26/2013   | 2008/0177160 A1                         | 7/24/2008                |
| \$20000                  | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  |          | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                          |                         |   |                          |
| 7991446                  | PULSE OXIMETER  | US       | 11/431151                               | 5/8/2006                 | 8/2/2011                | 2006/0258926 A1                         | 11/16/2006               |
| 7428432                  | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A PULSE OXIMETER                         | US       | 10/420994                               | 4/22/2003                | 9/23/2008               | 2003/0197679                            | 10/23/2003               |
| 6584336                  | UNIVERSAL/UPGRADING PULSE OXIMETER  | US       | 09/516110                               | 3/1/2000                 | 6/24/2003               |   |                          |
| 6463311 C1               | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 90/012562                               | 9/14/2012                | 4/25/2013               |   |                          |
| 1632172<br>1148813       | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR                 | EP<br>GB | 5025367.3<br>99965341.3                 | 12/28/1999<br>12/28/1999 | 3/2/2011<br>11/23/2005  | 1632172<br>1148813                      | 3/8/2006<br>10/31/2001   |
| 2305103                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | EP       | 10182439.9                              | 12/28/1999               | 9/25/2013               | 2305103                                 | 4/6/2011                 |
| 1148813                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | EP       | 99965341.3                              | 12/28/1999               | 11/23/2005              | 1148813                                 | 10/31/200                |
| 69928569.0-08<br>1148813 | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | DE<br>^- | 99965341.3                              | 12/28/1999               | 11/23/2005              | 1148813                                 | 10/31/200                |
| 7988637                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR                 | AT<br>US | 99965341.3<br>11/418328                 | 12/28/1999<br>5/3/2006   | 11/23/2005<br>8/2/2011  | 1148813<br>2006/0206021 A1              | 10/31/2001<br>9/14/2006  |
| 7044918                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 10/974095                               | 10/27/2004               | 5/16/2006               | US-2005-0085702-A1                      |                          |
| 6816741                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 10/267446                               | 10/8/2002                | 11/9/2004               | 03/0032873                              | 2/13/2003                |
| 5904654<br>5791347       | EXCITER-DETECTOR UNIT FOR MEASURING PHYSIOLOGICAL PARAMETERS MOTION INSENSITIVE PULSE DETECTOR        | US<br>US | 08/606563                               | 2/26/1996                | 5/18/1999               |   | 22222222222222222        |
| 6463311                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 08/700647<br>09/471510                  | 8/14/1996<br>12/23/1999  | 8/11/1998<br>10/8/2002  |   |                          |
| 1139858                  | OXIMETRY PULSE INDICATOR  | GB       | 903166.7                                | 1/7/2000                 | 4/18/2007               | 1139858                                 | 10/10/2001               |
| 1139858                  | OXIMETRY PULSE INDICATOR  | EP       | 903166.7                                | 1/7/2000                 | 4/18/2007               | 1139858                                 | 10/10/2001               |
| 60034426.6-08<br>4300032 | OXIMETRY PULSE INDICATOR PULSE OXIMETRY DATA CONFIDENCE INDICATOR                                     | DE<br>JP | 903166.7<br>2002-588840                 | 1/7/2000<br>5/13/2002    | 4/18/2007<br>4/24/2009  | 1139858                                 | 10/10/2001               |
| 7024233 C1               | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 90/012553                               | 9/13/2012                | 9/3/2013                |   |                          |
| 6684090 C1               | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 90/012567                               | 9/14/2012                | 12/12/2013              |   |                          |
| 6027452                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 08/672218                               | 6/26/1996                | 2/22/2000               |   |                          |
| 6632181<br>6939305       | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE | US<br>US | 09/412295<br>10/685068                  | 10/5/1999<br>10/14/2003  | 10/14/2003<br>9/6/2005  | 2002/0099296 A1<br>04/0077956           | 7/25/2002<br>4/22/2004   |
| 7041060                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 11/220035                               | 9/6/2005                 | 5/9/2006                | 2006/0004293 A1                         | 1/5/2006                 |
| 7618375                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 11/413718                               | 4/28/2006                | 11/17/2009              | 2006/0206030 A1                         | 9/14/2006                |
| 7951086<br>8046040       | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE PULSE OXIMETRY DATA CONFIDENCE INDICATOR           | US<br>US | 12/617648<br>11/397372                  | 11/12/2009<br>4/4/2006   | 5/31/2011<br>10/25/2011 | 2010/0056930 A1<br>2006/0195025 A1      | 3/4/2010                 |
| 7024233                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 10/942672                               | 9/16/2004                | 4/4/2006                | 2005/0033128 A1                         | 8/31/2006<br>2/10/2005   |
| 6996427                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 10/739794                               | 12/18/2003               | 2/7/2006                | US-2004-0133087-A1                      | 7/8/2004                 |
| 6684090                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 09/858114                               | 5/15/2001                | 1/27/2004               | 02/0035315                              | 3/21/2002                |
| 6606511<br>6285896       | PULSE OXIMETRY PULSE INDICATOR  FETAL PULSE OXIMETRY SENSOR   | US<br>US | 09/478230<br>09/348767                  | 1/6/2000<br>7/7/1999     | 8/12/2003<br>9/4/2001   |   |                          |
| 7899507 C1               | PHYSIOLOGICAL MONITOR   | US       | 90/012541                               | 9/14/2012                | 12/26/2012              |   |                          |
| 1082050                  | STEREO PULSE OXIMETER   | EP       | 99925958.3                              | 5/27/1999                | 8/24/2011               | 1082050                                 | 3/14/2001                |
| 6852083                  | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD PRESSURE MONITOR                      | US       | 10/052977                               | 1/17/2002                | 2/8/2005                | 02/0095090                              | 7/18/2002                |
| 7894868                  | PHYSIOLOGICAL MONITOR   | US       | 11/429473                               | 5/5/2006                 | 2/22/2011               | 2006/0258925 A1                         | 11/16/2006               |
| 8255028                  | PHYSIOLOGICAL MONITOR   | US       | 11/429471                               | 5/5/2006                 | 8/28/2012               | 2006/0258924 A1                         | 11/16/2006               |
| 5785659                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | US       | 08/651201                               | 5/17/1996                | 7/28/1998               |   |                          |
| 7891355<br>8364223       | PHYSIOLOGICAL MONITOR PHYSIOLOGICAL MONITOR   | US<br>US | 11/417661<br>11/417931                  | 5/3/2006<br>5/3/2006     | 2/22/2011<br>1/29/2013  | 2006/0281983 A1<br>2006/0258923 A1      | 12/14/2006<br>11/16/2006 |
| 7899507                  | PHYSIOLOGICAL MONITOR   | US       | 11/417545                               | 5/3/2006                 | 3/1/2011                | 2006/0270920 A1                         | 11/30/2006               |
| 7761128                  | PHYSIOLOGICAL MONITOR   | US       | 11/104720                               | 4/13/2005                | 7/20/2010               | 2005/0197551 A1                         | 9/8/2005                 |
| 6898452<br>6714804       | STEREO PULSE OXIMETER STEREO DI IL SE OVINACTER   | US       | 10/668487                               | 9/22/2003                | 5/24/2005               | 04/0059209                              | 3/25/2004                |
| 6334065                  | STEREO PULSE OXIMETER STEREO PULSE OXIMETER   | US<br>US | 10/026013<br>09/323176                  | 12/21/2001<br>5/27/1999  | 3/30/2004<br>12/25/2001 | 02/0082488                              | 6/27/2002                |
| 6165005                  | PATIENT CABLE SENSOR SWITCH   | US       | 09/456232                               | 12/7/1999                | 12/25/2001              | *******************************         |                          |
| 5997343<br>7844313       | PATIENT CABLE SENSOR SWITCH PULSE OXIMETRY SENSOR ADAPTER   | US       | 09/044705                               | 3/19/1998                | 12/7/1999               |   |                          |
|                          | FOR CONTRETAL SENSOR ADAPTER  | US<br>US | 11/341999                               | 1/27/2006                | 11/30/2010              | 2006/0189859 A1                         | 8/24/2006                |
| 6325761                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | US       | 09/151910<br>09/514917                  | 9/11/1998<br>2/28/2000   | 10/10/2000<br>12/4/2001 |   |                          |
| 771503<br>2343092        | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | AU       | 60347/99                                | 9/10/1999                | 7/8/2001                |   | 5/25/2000                |
| 1112023                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS     | CA       | 2343092                                 | 9/10/1999                | 11/4/2008               |   | , 4, 435HJ               |
| 1112023                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | EP<br>GB | 99969003.5<br>99969003.5                | 9/10/1999                | 1/10/2007               | 1112023                                 | 7/4/2001                 |
| 6993371                  | PULSE OXIMETRY SENSOR ADAPTER   | US       | 10/624446                               | 9/10/1999                | 1/10/2007               | 1112023                                 | 7/4/2001                 |

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|-------------|---|------------|-------------|---|--------------------|---|---|
|             | Mark Comments   |            |             |   |                    |   |   |
| 6597933     | PULSE OXIMETRY SENSOR ADAPTER                             | US         | 09/982453   | 10/17/2001                              | 7/22/2003          | 02/0026107 2/28/2                       |   |
| 6349228     | PULSE OXIMETRY SENSOR ADAPTER                             | US         | 09/404060   | 9/23/1999                               | 2/19/2002          |   |   |
| 5995855     | PULSE OXIMETRY SENSOR ADAPTER                             | US         | 09/021957   | 2/11/1998                               | 11/30/199 <b>9</b> |   |   |
| 6830711     | MOLD TOOL FOR AN OPTOELECTRONIC ELEMENT                   | US         | 10/336953   | 1/3/2003                                | 12/14/2004         | 03/0143297 7/31/3                       | 2003                                    |
| 7332784     | METHOD OF PROVIDING AN OPTOELECTRONIC ELEMENT WITH A NON- | US         | 11/475725   | 6/27/2006                               | 2/19/2008          | 2007/0007612 A1 1/11/2                  | /2007                                   |
| /332/64     | PROTRUDING LENS   |            | 11/4/5/25   | 6/2//2006                               | 2/19/2006          | 2007/0007812 A1 1/11/2                  | 2007                                    |
| 7067893     | OPTOELECTRONIC ELEMENT WITH A NON-PROTRUDING LENS         | US         | 10/337058   | 1/3/2003                                | 6/27/2006          | 03/0132495 7/17/2                       | 2003                                    |
| 6525386     | NON-PROTRUDING OPTOELECTRONIC LENS                        | US         | 09/038494   | 3/10/1998                               | 2/25/2003          |   |   |
| 6184521     | PHOTODIQUE DETECTOR WITH INTEGRATED NOISE SHIELDING       | US         | 09/003224   | 1/6/1998                                | 2/6/2001           |   |   |
| 5890929     | SHIELDED MEDICAL CONNECTOR                                | US         | 08/868164   | 6/3/1997                                | 4/6/1999           |   |   |
| 8180420 C1  | Signal processing apparatus and method                    | US         | 90/012542   | 9/13/2012                               | 11/19/2013         |   |   |
| 6067462     | SIGNAL PROCESSING APPARATUS AND METHOD                    | US         | 09/081539   | 5/19/1998                               | 5/23/2000          |   |   |
| 6699194     | SIGNAL PROCESSING APPARATUS AND METHOD                    | US         | 09/547588   | 4/11/2000                               | 3/2/2004           |   |   |
| 6002952     | SIGNAL PROCESSING APPARATUS AND METHOD                    | US         | 08/834194   | 4/14/1997                               | 12/14/1999         |   |   |
| 4454854     | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | JР         | 2000-543037 | 4/9/1999                                | 2/12/2010          |   |   |
|             | OXIMETRY SYSTEM   |            | 2000 0 ,000 | ,,,,,,,,,,                              | -,,                |   |   |
| 1067861     | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | GB         | 99916568.1  | 4/9/1999                                | 7/12/2006          | 1067861 1/17/2                          | /2001                                   |
|             | OXIMETRY SYSTEM   |            |             |   |                    |   |   |
| 1067861     | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | EP         | 99916568.1  | 4/9/1999                                | 7/12/2006          | 1067861 1/17/2                          | /2001                                   |
| 700000      | OXIMETRY SYSTEM   |            |             | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                    |   |   |
| 6229856     | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | US         | 09/058799   | 4/10/1998                               | 5/8/2001           |   |   |
|             | OXIMETRY SYSTEM   |            | ·           |   |                    |   | 000000000                               |
| 5919134     | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | US         | 09/005898   | 1/12/1998                               | 7/6/1999           |   |   |
|             | OXIMETRY SYSTEM   |            |             |   |                    |   | (1000)<br>(1000)                        |
| 1030181     | PATIENT CABLE CONNECTOR                                   | JP         | 10985/1996  | 4/16/1996                               | 11/6/1998          | 1/20/1                                  | 1999                                    |
| 2055!550    | PATIENT CABLE CONNECTOR                                   | GB         | 2055550     | 4/16/1996                               | 9/23/1996          |   |   |
| M9603723.7  | PATIENT CABLE CONNECTOR                                   | DE         | 9603723.7   | 4/16/1996                               | 10/22/1996         |   | 555555555                               |
| 6280213     | PATIENT CABLE CONNECTOR                                   | US         | 09/708251   | 11/7/2000                               | 8/28/2001          |   |   |
| 5934925     | PATIENT CABLE CONNECTOR                                   | US         | 08/838392   | 4/9/1997                                | 8/10/1999          |   | ******                                  |
| 5645440     | PATIENT CABLE CONNECTOR                                   | US         | 08/543297   | 10/16/1995                              | 7/8/1997           |   |   |
| 5758644     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 08/478493   | 6/7/1995                                | 6/2/1998           | ************************************    | 2222222                                 |
| 6011986     | MANUAL AND AUTOMATIC PROBECAUBRATION                      | US         | 09/016924   | 2/2/1998                                | 1/4/2000           |   |   |
| 6397091     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 09/451151   | 11/30/1999                              | 5/28/2002          | 20123 9/6/2                             | 2001                                    |
| 6678543     | OPTICAL PROBE AND POSITIONING WRAP                        | US         | 10/005711   | 11/8/2001                               | 1/13/2004          | 02/0062071 5/23/2                       | 2002                                    |
| 7496391     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 10/757279   | 1/13/2004                               | 2/24/2009          | 2004/0147824 A1 7/29/2                  | 2004                                    |
| 7526328     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 11/640077   | 12/15/2006                              | 4/28/2009          | 2007/0112260 A1 5/17/2                  | 2007                                    |
| 8145287     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 12/430049   | 4/24/2009                               | 3/27/2012          | 2009/0270703 A1 10/29/                  | /2009                                   |
| 6263222 C1  | SIGNAL PROCESSING APPARATUS                               | US         | 90/012403   | 7/23/2012                               | 8/9/2013           |   |   |
| 5823950     | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | US         | 08/745474   | 11/12/1996                              | 10/20/1998         |   | *******                                 |
| 729132      | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | ΑU         | 41065/99    | 6/4/1996                                | 11/15/2001         |   |   |
| 7530955 C1  | SIGNAL PROCESSING APPARATUS                               | US         | 90/012566   | 9/14/2012                               | 1/30/2014          |   |   |
| 832421      | LIGHTSOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER    | ΑT         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   |   |
| 704383      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | AU         | 59771/96    | 6/4/1996                                | 7/29/1999          | 12/30/                                  | /1996                                   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | BE         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | 2222222                                 |
| PI9706436-0 | MANUAL AND AUTOMATIC PROBE CALIBRATION                    | BR         | PI9706436-0 | 12/19/1997                              | 5/6/2008           | 12/7/1                                  | 1999                                    |
| 2221446     | OPTICAL SENSOR INCLUDING INFORMATION ELEMENT              | CA         | 2221446     | 6/4/1996                                | 9/30/2008          |   |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | CH         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   |   |
| 96195864.2  | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | CN         | 96195864.2  | 6/4/1996                                | 7/2/2003           | 9/2/1                                   | 1998                                    |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | DE         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | ,000000000                              |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | ÐК         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | EP         | 96917089.3  | 6/4/1996                                | 8/28/2002          | 4 <b>/1/</b> 1                          | 1998                                    |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | ES         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | 100000000000000000000000000000000000000 |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | Fl         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | ccccccci                                |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | FR         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | GB         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | .ecceccci                               |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | GR         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   |   |
| HK1009848   | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | HK         | 98110565.7  | 6/4/1996                                | 4/4/2003           | 1009848 6/11/1                          | 1999                                    |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | t <b>E</b> | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | 000000000                               |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | ΙΤ         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | .eccessis)                              |
| 1238627     | MEDICAL SENSOR AND INFORMATION SYSTEM                     | EP.        | 2012382.4   | 6/4/1996                                | 8/12/2009          | 1238627 9/11/2                          | /2002                                   |
| HK1049779   | MEDICAL SENSOR AND INFORMATION SYSTEM                     | HK         | 3101733.7   | 6/4/1996                                | 12/11/2009         | HK1049779 5/30/2                        | accertain                               |
| 3837161     | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | JP         | 9-501166    | 6/4/1996                                | 8/4/2006           | 3/30/1                                  |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | NL         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | 200000000000000000000000000000000000000 |
| 2357059     | SIGNAL PROCESSING APPARATUS                               | CA         | 2357059     | 10/10/1995                              | 12/7/2010          |   |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | PT         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | eeeebbbbbii                             |
| 725063      | PHYSIOLOGICAL MONITOR AND METHOD OF MINIMIZING NOISE      | ΑU         | 21258/99    | 10/10/1995                              | 1/25/2001          |   |   |
| 4021916     | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | JP         | 2005-353967 | 6/4/1996                                | 10/5/2007          | 12/12/                                  | /2007                                   |
| 2199723     | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | RU         | 98100085    | 6/4/1996                                | 2/27/2003          |   |   |
| 832421      | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | SE         | 96917089.3  | 6/4/1996                                | 8/28/2002          |   | 4 00000000                              |
| 196645      | SIGNAL PROCESSING APPARATUS                               | MX         | 972434      | 10/10/1995                              | 5/25/2000          |   |   |
| 5638818     | LOW NOISE OPTICAL PROBE                                   | US         | 08/333132   | 11/1/1994                               | 6/17/1997          | 222222220000000000000000000000000000000 | 166666666                               |
| 3705814     | SIGNAL PROCESSING APPARATUS                               | )JP        | 8-514054    | 10/10/1995                              | 8/5/2005           | 10/12/                                  | /200F                                   |
| 95196636.7  | SIGNAL PROCESSING APPARATUS                               | CN         | 95196636.7  | 10/10/1995                              | 2/12/2003          | 10/12/<br>12/24/                        |   |
| 2199016     | SIGNAL PROCESSING APPARATUS                               | CA         | 2199016     | 10/10/1995                              | 1/1/2002           | 12/24/                                  | ) KET /                                 |
| 699762      | SIGNAL PROCESSING APPARATUS                               | AU         | 39623/95    | 10/10/1995                              | 4/1/1999           | 5/15/1                                  | 1996                                    |
| 760205      | PHYSIOLOGICAL MONITOR AND METHOD OF MINIMIZING NOISE      | AU         | 71730/00    | 10/10/1995                              | 9/4/2003           | 5/15/1                                  | (1000000)<br>                           |
| 3576168     | LOW NOISE OPTICAL PROBE                                   | JP         | 8-514884    | 11/1/1995                               | 7/16/2003          | 10/13/                                  | /2∩∩⊿                                   |
|             |   |            |             |   |                    | 10/13/                                  |   |

|                       | Schedule A - MASIMO  | CONFID     |                          |                         |                         |   |
|-----------------------|--|------------|--------------------------|-------------------------|-------------------------|---|
|                       |  |            |                          |                         |                         |   |
| 5632272<br>4173429    | SIGNAL PROCESSING APPARATUS  LOW NOISE OPTICAL PROBE   | US<br>JP   | 08/320154<br>2003-390644 | 10/7/1994<br>11/1/1995  | 5/27/1997<br>8/22/2008  | 10/29/2008  |
| 7962190               | SIGNAL PROCESSING APPARATUS  | US         | 09/111604                | 7/7/1998                | 6/14/2011               | 10/23/2008  |
| 723417                | FINGER-COT OXIMETRIC PROBE   | GB         | 94922544.5               | 7/13/1994               | 4/2/2003                |   |
| 723417                | FINGER-COT OXIMETRIC PROBE   | FR         | 94922544.5               | 7/13/1994               | 4/2/2003                |   |
| 723417<br>69432421.3  | FINGER-COT OXIMETRIC PROBE   | EP<br>DE   | 94922544.5<br>94922544.5 | 7/13/1994<br>7/13/1994  | 4/2/2003<br>4/2/2003    | 723417 7/31/1996                                  |
| 94194813.7            | FINGER-COT OXIMETRIC PROBE FINGER-COT OXIMETRIC PROBE  | CN         | 94922344,5               | 7/13/1994               | 1/8/2003                | 1/29/1997   |
| 688352                | SENSOR PROBE COMPRISING A FINGER COT AND A SOURCE AND DETECTOR OF                                      | AU         | 73613/94                 | 7/13/1994               | 7/2/1998                | 2/13/1995   |
| 6371921               | ELECTROMAGNETIC ENERGY (AMENDED TITLE) SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD | US         | 09/430928                | 11/1/1999               | 4/16/2002               |   |
| 6595316               | PRESSURE MONITOR TENSION-ADJUSTABLE MECHANISM FOR STETHOSCOPE EARPIECES                                | US         | 09/907796                | 7/18/2001               | 7/22/2003               | 2003/0015368 A1 1/23/2003                         |
| 5561275               | HEADSET FOR ELECTRONIC STETHOSCOPE   | US         | 08/234254                | 4/28/1994               | 10/1/1996               | 2003/0013300 A1 2/23/2003                         |
| 75753                 | THORACIC COUPLER   | CA         | 1994-2101                | 10/21/1994              | 2/16/1995               |   |
| DES361840             | STETHOSCOPE HEAD   | US         | 29/021668                | 4/21/1994               | 8/29/1995               |   |
| 76446<br>DES363120    | EARTIP   | CA         | 1994-2103                | 10/21/1994              | 5/25/1995               |   |
| 76445                 | STETHOSCOPE EAR TIP STETHOSCOPE HEADSET  | US<br>CA   | 29/021665<br>1994-2102   | 4/21/1994<br>10/21/1994 | 10/10/1995<br>5/25/1995 |   |
| DES362063             | STETHOSCOPE HEADSET  | US         | 29/021646                | 4/21/1994               | 9/5/1995                |   |
| 74948                 | STETHOSCOPE HEAD   | CA         | 28-05-93-8               | 11/12/1993              | 10/13/1994              |   |
| DES353196             | STETHOSCOPE HEAD   | US         | 29/008786                | 5/28/1993               | 12/6/1994               |   |
| 74277<br>DES353195    | ELECTRONIC STETHOSCOPE HOUSING  ELECTRONIC STETHOSCOPE HOUSING   | CA<br>US   | 28-05-93-9<br>29/008785  | 11/12/1993<br>5/28/1993 | 5/26/1994<br>12/6/1994  |   |
| 5602924               | ELECTRONIC STETHOSCOPE   | US         | 08/164382                | 12/9/1993               | 2/11/1997               |   |
| 6236872               | SIGNAL PROCESSING APPARATUS  | US         | 09/199744                | 11/25/1998              | 5/22/2001               |   |
| 7215984               | SIGNAL PROCESSING APPARATUS  | US         | 10/838593                | 5/4/2004                | 5/8/2007                | 2004/0204636 A1 10/14/2004                        |
| 6650917<br>6745060    | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS  | US<br>US   | 10/005631<br>10/006427   | 12/4/2001               | 11/18/2003              | 2003/0036689 A1 2/20/2003<br>02/0077536 6/20/2002 |
| RE38476               | SIGNAL PROCESSING APPARATUS  | US         | 10/185804                | 12/3/2001<br>6/27/2002  | 6/1/2004<br>3/30/2004   | 02/0077536 6/20/2002                              |
| 8364226               | SIGNAL PROCESSING APPARATUS  | US         | 13/370239                | 2/9/2012                | 1/29/2013               | 2012/0149997 A1 6/14/2012                         |
| 7454240               | SIGNAL PROCESSING APPARATUS  | US         | 11/432278                | 5/11/2006               | 11/18/2008              | 2006/0217609 A1 9/28/2006                         |
| 7383070               | SIGNAL PROCESSING APPARATUS  | US         | 11/003231                | 12/3/2004               | 6/3/2008                | 2006/0089549 A1 4/27/2006                         |
| 6157850<br>5534851    | SIGNAL PROCESSING APPARATUS  ALARM FOR PATIENT MONITOR AND LIFE SUPPORT EQUIPMENT                      | US<br>U\$  | 08/859837<br>08/254393   | 5/16/1997<br>6/6/1994   | 12/5/2000<br>7/9/1996   |   |
| 5319355               | ALARM FOR PATIENT MONITOR AND LIFE SUPPORT EQUIPMENT SYSTEM  | US         | 07/727308                | 7/10/1991               | 6/7/1994                |   |
| 7483730               | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  | US         | 10/957843                | 10/4/2004               | 1/27/2009               | 2005/0043600 A1 2/24/2005                         |
| 6813511               | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  | US         | 10/260049                | 9/27/2002               | 11/2/2004               | 03/0045785 3/6/2003                               |
| 6792300<br>6256523    | LOW-NOISE OPTICAL PROBES FOR REDUCING LIGHT PIPING  LOW-NOISE OPTICAL PROBES                           | US<br>US   | 09/898990<br>09/094202   | 7/3/2001<br>6/9/1998    | 9/14/2004<br>7/3/2001   | 02/0026109 2/28/2002                              |
| 6088607               | LOW NOISE OFTICAL PROBE  | US         | 08/790674                | 1/28/1997               | 7/11/2000               |   |
| 5041187               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE AND METHOD OF FORMING THE SAME                            | US         | 07/591552                | 10/1/1990               | 8/20/1991               |   |
| 5069213               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE AND ENCODER   | US         | 07/452719                | 12/19/1989              | 12/3/1991               |   |
| 4964408               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE   | US         | 07/188217                | 4/29/1988               | 10/23/1990              |   |
| 5431170               | PULSE RESPONSIVE DEVICE  | US         | 07/938179                | 5/28/1991               | 7/11/1995               |   |
| 6826419               | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 10/327234                | 12/20/2002              | 11/30/2004              | 03/0097049 5/22/2003                              |
| 6501975<br>6206830    | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                          | US<br>US   | 09/757444<br>09/441736   | 1/9/2001<br>11/17/1999  | 12/31/2002<br>3/27/2001 |   |
| 6036642               | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 09/102131                | 6/22/1998               | 3/14/2000               |   |
| 5769785               | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 08/479918                | 6/7/1995                | 6/23/1998               |   |
| 7132641               | SHIELDED OPTICAL PROBE HAVING AN ELECTRICAL CONNECTOR  | US         | 10/404961                | 3/31/2003               | 11/7/2006               | 2003/0162414 A1 8/28/2003                         |
| 6541756<br>DES.393830 | SHIELDED OPTICAL PROBE HAVING AN ELECTRICAL CONNECTOR PATIENT CABLE CONNECTOR                          | US<br>US   | 09/770757<br>29/045258   | 1/25/2001<br>10/16/1995 | 4/1/2003<br>4/28/1998   | 45532 11/29/2001                                  |
| 7937130               | SIGNAL PROCESSING APPARATUS  | US         | 12/340577                | 12/19/2008              | 5/3/2011                | 2009/0099430 A1 4/16/2009                         |
| 7469157               | SIGNAL PROCESSING APPARATUS  | US         | 10/779033                | 2/13/2004               | 12/23/2008              | 2004/0236196 A1 11/25/2004                        |
| 6263222               | SIGNAL PROCESSING APPARATUS  | US         | 08/943511                | 10/6/1997               | 7/17/2001               |   |
| 5685299<br>5490505    | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS  | US<br>US   | 08/572488<br>08/132812   | 12/14/1995<br>10/6/1993 | 11/11/1997<br>2/13/1996 |   |
| 5452717               | FINGER-COT PROBE   | US         | 08/253100                | 6/2/1994                | 9/26/1995               |   |
| 5337744               | LOW NOISE FINGER COT PROBE   | US         | 08/091873                | 7/14/1993               | 8/16/1994               |   |
| 2096985               | LOW NOICE OPTICAL PROBE  | RU         | 93058378                 | 3/5/1992                | 11/27/1997              |   |
| 3464215               | LOW NOISE OPTICAL PROBE  | JP         | 507871/1992              | 3/5/1992                | 8/22/2003               | 00000 00000000 00000000 00000000 000000           |
| 576560<br>HK1010670   | LOW NOISE OPTICAL PROBE  LOW NOISE OPTICAL PROBE   | IT<br>HK   | 92908666.8<br>98111719   | 3/5/1992<br>3/5/1992    | 5/3/2000<br>1/12/2001   | 1010670 6/25/1999                                 |
| 576560                | LOWNOISE OPTICAL PROBE   | GB         | 92908666.8               | 3/5/1992                | 5/3/2000                | 2010075 0,25,1333                                 |
| 576560                | LOW NOISE OPTICAL PROBE  | FR         | 92908666.8               | 3/5/1992                | 5/3/2000                |   |
| 576560                | LOW NOISE OPTICAL PROBE  | EP         | 92908666.8               | 3/5/1992                | 5/3/2000                | 1/5/1994  |
| 576560<br>2105681     | LOW NOISE OPTICAL PROBE LOWNOISE OPTICAL PROBE   | DE<br>CA   | 92908666.8<br>2105681    | 3/5/1992<br>3/5/1992    | 5/3/2000<br>7/8/2003    | 10/1/1992   |
| 576560                | LOW NOISE OPTICAL PROBE  | BE         | 92908666.8               | 3/5/1992                | 7/8/2003<br>5/3/2000    | 10/1/1992   |
| 664175                | LOW NOISE OPTICAL PROBE  | ΑU         | 15691/92                 | 3/5/1992                | 3/5/1996                |   |
| 5782757               | LOW NOISE OPTICAL PROBES   | US         | 08/543789                | 10/16/1995              | 7/21/1998               |   |
| 574509                | SIGNAL PROCESSING APPARATUS AND METHOD   | SE         | 92907861.6               | 3/5/1992                | 9/15/1999               |   |
| 2144211<br>574509     | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                          | RU<br>NŁ   | 93058616<br>92907861.6   | 3/5/1992<br>3/5/1992    | 1/10/2000<br>9/15/1999  |   |
| 3363150               | SIGNAL PROCESSING APPARATUS AND METHOD   | JP         | 507451/1992              | 3/5/1992                | 10/25/2002              |   |
|                       |  | ********** |                          |                         |                         |   |

| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | IT                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
|---|---|---|--------------------------|------------------------|-------------------------|---|---|
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | GB                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | FR                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | EP                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   | 12/22/1993                              |
| 69229994.7                              | SIGNAL PROCESSING APPARATUS AND METHOD  | DE                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 2105682                                 | SIGNAL PROCESSING APPARATUS AND METHOD  | CA                                      | 2105682                  | 3/5/1992               | 9/2/2003                |   | 9/17/1992                               |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | BE                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 658177                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | AU<br>US                                | 15369/92                 | 3/5/1992               | 7/24/1995               |   |   |
| RE38492<br>5482036                      | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                                       | US                                      | 10/095586<br>08/249690   | 3/11/2002<br>5/26/1994 | 4/6/2004<br>1/9/1996    |   |   |
| 5494043                                 | ARTERIAL SENSOR   | US                                      | 08/059425                | 5/4/1993               | 2/27/1996               |   |   |
| <u>}</u>                                | METHOD AND APPARATUS FOR CONTINUOUSLY AND NONINVASIVELY   | ***********                             |                          |                        |                         |   |   |
| 5163438                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   | US                                      | 07/586794                | 9/24/1990              | 11/17/1992              |   | }                                       |
| 4960128                                 | METHOD AND APPARATUS FOR CONTINUOUSLY AND NON-INVASIVELY  | US                                      | 07/270224                | 11/14/1988             | 10/2/1990               |   |   |
| 4900126                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   |   | G11210224                | 11/14/1200             | 10/2/1330               |   |   |
| 5533511                                 | APPARATUS AND METHOD FOR NONINVASIVE BLOOD PRESSURE   | US                                      | 08/177448                | 1/5/1994               | 7/9/1996                |   |   |
|   | MEASUREMENT   |   |                          |                        |                         | 220222222222222222222222222222222222222 | 200000000000000000000000000000000000000 |
| 5726440                                 | WAVELENGTH SELECTIVE PHOTODETECTOR  APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO                   | US                                      | 08/553875                | 11/6/1995              | 3/10/1998               |   |   |
| 69618654.3                              | DETERMINE A PHYSIOLOGICAL PARAMETER   | DE                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
| <b></b>                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   | *****************                       |
| 855874                                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | EP                                      | 96934010.8               | 10/2/1996              | 1/2/2002                | 855874                                  | 8/5/1998                                |
| 055074                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   | 00024040                 | 40/2/1006              | 1/2/2002                |   |   |
| 855874                                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | FR                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
| 855874                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | GB                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
|   | DETERMINE A PHYSIOLOGICAL PARAMETER   |   | 30334010.0               | 10/1/2550              | 2,2,2002                |   |   |
| 3703496                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | JP                                      | 9-514398                 | 10/2/1996              | 7/29/2005               |   | 10/5/2005                               |
|   | **************************************  |   |                          |                        |                         |   | ******************                      |
| 857034                                  | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | DE                                      | 96934056,1               | 10/3/1996              | 6/29/2005               | 857034                                  | 8/12/1998                               |
|   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   |   |
| 857034                                  | DETERMINE A DUVICAL CONDITION OF THE HIMAN ARTERIAL COCTEM  | EP                                      | 96934056.1               | 10/3/1996              | 6 <b>/</b> 29/2005      | 857034                                  | 8/12/1998                               |
| 1                                       | AFFARATUS AND INCTIDUTOR INCASORING ANTROCOTO FERTURANTO TO REALESTANDO   |   |                          | 40794400-              | e tan haar              | 9024                                    |   |
| 857034                                  | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | GB                                      | <u> </u>                 | 10/3/1996              | 6/29/2005               | 857034                                  | 8/12/1998                               |
| 3712418                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | JP                                      | 9-515847                 | 10/3/1996              | 8/26/2005               |   |   |
| 3/12410                                 | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | ****                                    |                          |                        |                         |   |   |
| 785746                                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | DE                                      | 95935672.6               | 9/28/1995              | 2/25/2004               | 785746                                  | 7/30/1997                               |
| 785746                                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | EP<br>FR                                | 95935672.6<br>95935672.6 | 9/28/1995<br>9/28/1995 | 2/25/2004<br>2/25/2004  | 785746<br>785746                        | 7/30/1997<br>7/30/1997                  |
| 785746<br>785746                        | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE | GB                                      | 95935672.6               | 9/28/1995              | 2/25/2004               | 785746                                  | 7/30/1997                               |
| 763740                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         | 703740                                  |   |
| 2187638                                 | DETERMINE BLOOD PRESSURE  | CA                                      | 2187638                  | 4/3/1995               | 2/29/2000               |   |   |
|   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | DE                                      | 05015533.5               | 4/3/1005               | 10/10/2001              |   |   |
| 69523150.2                              | DETERMINE BLOOD PRESSURE  | DE                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | EP                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   | 1/29/1997                               |
| 733EEX                                  | DETERMINE BLOOD PRESSURE  |   |                          |                        |                         |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | FR                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
|   | DETERMINE BLOOD PRESSURE  |   |                          |                        |                         |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE BLOOD PRESSURE                              | GB                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
| K-2000000000000000000000000000000000000 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   |   |
| 2831471                                 | DETERMINE BLOOD PRESSURE  | JP                                      | 7-526991                 | 4/3/1995               | 9/25/1998               |   |   |
| *******                                 | METHOD AND APPARATUS FOR CONTINUOUSLY AND NON-INVASIVELY  | r x                                     | 614937                   | 0/20/1090              | 1/21/1005               |   |   |
| 1334211                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   | CA                                      | 614837                   | 9/29/1989              | 1/31/1995               |   |   |
| 955868                                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | EP                                      | 97930025.8               | 6/12/1997              | 8/16/2006               | 955868                                  | 11/17/1999                              |
| 955868                                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | GB                                      | 97930025.8               | 6/12/1997              | 8/16/2006               | 955868                                  | 11/17/1999                              |
| 3957758                                 | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | JP                                      | 10-503199                | 6/12/1997              | 5/18/2007               | 700000000000000000000000000000000000000 | 8/15/2007                               |
| 1227754                                 | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD   | DE                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
|   | PRESSURE MONITOR  METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A                                       |   |                          |                        |                         |   |   |
| 1227754                                 | PHYSIOLOGICAL PARAMETER   | EP                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
|   | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD   | 000000000000000000000000000000000000000 |                          |                        |                         |   | 0.4242.222                              |
| 1227754                                 | PRESSURE MONITOR  | GB                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
| 69518434T2                              | LOW NOISE OPTICAL PROBE   | DE                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   |   |
| 790800                                  | LOW NOISE OPTICAL PROBE   | EP                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   | 8/27/1997                               |
| 790800                                  | LOW NOISE OPTICAL PROBE   | FR                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   |   |
| 790800                                  | LOW NOISE OPTICAL PROBE   | GB                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   | 2/42/2000                               |
| 4223001<br>HK1055235                    | SIGNAL PROCESSING APPARATUS  METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE                          | JP<br>uw                                | 2004-362173<br>3107612   | 10/10/1995             | 11/28/2008<br>7/13/2007 | 1971541.6                               | 2/12/2009                               |
| 679473                                  | ELECTRONIC STETHOSCOPE  | HK<br>AU                                | 510/612<br>55587/94      | 8/29/2001<br>12/7/1993 | 7/13/2007<br>10/23/1997 | 13/1541.6                               | 1/2/2004                                |
| 2140658                                 | ELECTRONIC STETHOSCOPE  ELECTRONIC STETHOSCOPE  | CA.                                     | 2140658                  | 12/7/1993              | 7/24/2001               |   | 6/23/1994                               |
| 6081735                                 | SIGNAL PROCESSING APPARATUS   | US                                      | 08/887815                | 7/3/1997               | 6/27/2000               |   |   |
| 671895                                  | ELECTRONIC STETHOSCOPE  | EР                                      | 94900696.9               | 12/7/1993              | 5/13/1998               | 671895                                  | 9/20/1995                               |
| 758213                                  | HEADSET FOR ELECTRONIC STETHOSCOPE  | EP                                      | 95916525.9               | 4/21/1995              | 7/12/2000               | 758213                                  | 2/19/1997                               |
| DE\$359546                              | FILTER HOUSING FOR A DENTAL UNIT  | US                                      | 29/017956                | 1/27/1994              | 6/20/1995               |   |   |
| 75922                                   | DESIGN FOR WASHING AND DISINFECTING WATER SUPPLY CONDUCTS   | CA                                      | 1994-1438                | 7/22/1994              | 3/9/1995                |   |   |
|   |   |   |                          |                        |                         |   |   |

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|---------|---|------|-----------|-----------|------------------------|---------------|
| 5479934 | EEG HEADPIECE WITH DISPOSABLE ELECTRODES AND APPARATUS AND SYSTEN<br>AND METHOD FOR USE THEREWITH | l US | 08/126113 | 9/23/1993 | 1/2/1996               |               |
| 6721585 | UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND                                  |      | 09/931273 | 8/17/2001 | 4/13/2004              |               |
| 0721303 | DISPOSABLE PATIENT ATTACHMENT DEVICES   |      | 03/331273 |           |                        |               |
| 6735459 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS                                    | US   | 10/237038 | 9/9/2002  | 5/11/2004 2003/0009092 | 2 A1 1/9/2003 |

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|-------------------------|---|---|------------------------|------------------------------------|---|
| 9813309.3               | CAS CANADI INC LINE FOR DECIDATODY CASES  | ED.                                     | 9/11/2009              | 2326246                            | 6/1/2011                                |
| 13/063648               | GAS SAMPLING LINE FOR RESPIRATORY GASES  GAS SAMPLING LINE  | EP<br>US                                | 6/6/2011               | 2011/0237969 A1                    | 6/1/2011<br>9/29/2011                   |
| 12/800824               | METHOD OF FABRICATING BIFACIAL TANDEM SOLAR CELLS   | US                                      | 5/24/2010              | 2011/0287578 A1                    | 11/24/2011                              |
| 13/892051               | EPITAXIAL STRUCTURES ON SIDES OF A SUBSTRATE  | US                                      | 5/10/2013              | 2013/0243021 A1                    | 9/19/2013                               |
| 11/899512               | DEVICES AND METHODS FOR MEASURING PULSUS PARADOXUS  | US                                      | 9/6/2007               | 2008/0064965 A1                    | 3/13/2008                               |
| 13/430451               | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US                                      | 3/26/2012              | 2012/0184832 A1                    | 7/19/2012                               |
| 10184916.4              | SIGNAL PROCESSING APPARATUS   | EP                                      | 10/10/1995             | 2341446                            | 7/6/2011                                |
| 7023060.2               | SIGNAL PROCESSING METHOD  | EP                                      | 10/10/1995             | 1905352                            | 4/2/2008                                |
| 13/706298               | SIGNAL PROCESSING APPARATUS AND METHOD  | US                                      | 12/5/2012              | 2013/0197328 A1                    | 8/1/2013                                |
| 13/745590               | PHYSIOLOGICAL MONITOR   | US                                      | 1/18/2013              | 2013/0197330 A1                    | 8/1/2013                                |
| 10182866.3              | STEREO PULSE OXIMETER PULSE AND CONFIDENCE INDICATOR DISPLAYED PROXIMATE                                  | EP                                      | 5/27/1999              | 2319398                            | 5/11/2011                               |
| 13/280282               | PLETHYSMOGRAPH  | US                                      | 10/24/2011             | 2012/0041316 A1                    | 2/16/2012                               |
| 13/196220               | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US                                      | 8/2/2011               | 2011/0288383 A1                    | 11/24/2011                              |
|                         | SYSTEM AND METHOD FOR ALTERING A DISPLAY MODE BASED ON A GRAVITY-   | *************                           |                        | *************                      | *************************************** |
| 10/153263               | RESPONSIVE SENSOR   | US                                      | 5/21/2002              | 2002/0140675 A1                    | 10/3/2002                               |
| 11/894721               | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  | US                                      | 8/20/2007              | 2008/0030468 A1                    | 2/7/2008                                |
| 11/054721               | PULSE OXIMETER  | US                                      | 8/20/2007              | 2000/0050400 A1                    | 2/1/2000                                |
| 13/196732               | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  | US                                      | 8/2/2011               | 2011/0288384 A1                    | 11/24/2011                              |
|                         | PULSE OXIMETER  |   |                        |                                    |   |
| 14/022106               | DUAL-MODE PATIENT MONITOR   | US                                      | 9/9/2013               | 2014/0012100 A1                    | 1/9/2014                                |
| 7021807.8               | UNIVERSAL/UPGRADING PULSE OXIMETER  | EP                                      | 1/25/2000              | 1889569                            | 2/20/2008                               |
| 8012674.1<br>10181436.6 | UNIVERSAL/UPGRADING PULSE OXIMETER IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR                             | EP<br>EP                                | 1/25/2000<br>3/24/2000 | 1992278<br>2298159                 | 11/19/2008<br>3/23/2011                 |
| 13/209324               | RESPOSABLE PULSE OXIMETRY SENSOR  | US                                      | 8/12/2011              | 2011/0301444 A1                    | 12/8/2011                               |
| 13/942562               | VARIABLE INDICATION ESTIMATOR   | US                                      | 7/15/2013              | 2014/0025306 A1                    | 1/23/2014                               |
| 13/908957               | LOW POWER PULSE OXIMETER  | US                                      | 6/3/2013               | ,<br>2013/0267804 A1               | 10/10/2013                              |
| 13/953628               | SINE SATURATION TRANSFORM   | US                                      | 7/29/2013              | 2014/0031650 A1                    | 1/30/2014                               |
| 11/210128               | PHYSIOLOGICAL SENSOR COMBINATION  | US                                      | 8/23/2005              | 2005/0277819 A1                    | 12/15/2005                              |
| 11195281.8              | MULTIPURPOSE SENSOR PORT  | EP                                      | 7/26/2004              | 2443993                            | 4/25/2012                               |
| 13/777936               | PHYSIOLOGICAL PARAMETER TRACKING SYSTEM   | US                                      | 2/26/2013              | 2013/0274572 A1                    | 10/17/2013                              |
| 12/360830               | PULSE OXIMETER ACCESS APPARATUS AND METHOD  | US                                      | 1/27/2009              | 2009/0137885 A1                    | 5/28/2009                               |
| 13/721497               | MULTI-MODE PATIENT MONITOR CONFIGURED TO SELF-CONFIGURE FOR A<br>SELECTED OR DETERMINED MODE OF OPERATION | US                                      | 12/20/2012             | 2013/0109935 A1                    | 5/2/2013                                |
| 12/188154               | PHYSIOLOGICAL PARAMETER SYSTEM  | US                                      | 8/7/2008               | 2008/0300471 A1                    | 12/4/2008                               |
| 13/100145               | CYANOTIC INFANT SENSOR  | US                                      | 5/3/2011               | 2011/0208025 A1                    | 8/25/2011                               |
| 5772104.5               | CYANOTIC INFANT SENSOR  | EP                                      | 7/7/2005               | 1771109                            | 4/11/2007                               |
| 13/180429               | NONINVASIVE HYPOVOLEMIA MONITOR   | US                                      | 7/11/2011              | 2011/0270094 A1                    | 11/3/2011                               |
| 13/595912               | PATIENT MONITOR CAPABLE OF MONITORING THE QUALITY OF ATTACHED   | US                                      | 8/27/2012              | 2012/0319816 A1                    | 12/20/2012                              |
|                         | PROBES AND ACCESSORIES  | 000000000000000000000000000000000000000 |                        |                                    |   |
| 13/224266               | RESPIRATORY MONITORING  | US                                      | 9/1/2011               | 2012/0226184 A1                    | 9/6/2012                                |
| 13/160402               | ROBUST ALARM SYSTEM   | US                                      | 6/14/2011              | 2011/0241869 A1                    | 10/6/2011                               |
| 11/633656<br>13/475136  | PHYSIOLOGICAL ALARM NOTIFICATION SYSTEM DRUG ADMINISTRATION CONTROLLER                                    | US<br>US                                | 12/4/2006<br>5/18/2012 | 2007/0180140 A1<br>2012/0227739 A1 | 8/2/2007<br>9/13/2012                   |
|                         | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  |   |                        |                                    |   |
| 13/015207               | SENSOR  | US                                      | 1/27/2011              | 2011/0172967 A1                    | 7/14/2011                               |
| 7060424.0               | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  |   | 10/11/2007             | 2070260                            | 7/22/2000                               |
| 7868424.8               | SENSOR  | EP                                      | 10/11/2007             | 2079360                            | 7/22/2009                               |
| 10100400.2              | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  | HK                                      | 10/11/2007             | 1133377                            | 3/26/2010                               |
|                         | SENSOR  |   |                        |                                    |   |
| 11/871808               | VARIABLE MODE PULSE INDICATOR   | US                                      | 10/12/2007             | 2008/0091092 A1                    | 4/17/2008                               |
| 13/627855               | PERFUSION INDEX SMOOTHER  | US                                      | 9/26/2012              | 2013/0079610 A1                    | 3/28/2013                               |
| 7852700.9               | PERFUSION INDEX SMOOTHER  METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE                        | EP                                      | 10/12/2007             | 2073692                            | 7/1/2009                                |
| 13/437800               | OXIMETRY SYSTEM   | US                                      | 4/2/2012               | 2012/0253155 A1                    | 10/4/2012                               |
| 11/963640               | PHYSIOLOGICAL PARAMETER SYSTEM  | US                                      | 12/21/2007             | 2008/0188733 A1                    | 8/7/2008                                |
| 13/471340               | SIGNAL PROCESSING APPARATUS AND METHOD  | US                                      | 5/14/2012              | 2012/0302894 A1                    | 11/29/2012                              |
| 13/907638               | CONGENITAL HEART DISEASE MONITOR  | US                                      | 5/31/2013              | 2013/0331670 A1                    | 12/12/2013                              |
| 13/681372               | DUO CONNECTOR PATIENT CABLE   | US                                      | 11/19/2012             | 2013/0324808 A1                    | 12/5/2013                               |
| 11/903746               | MODULAR PATIENT MONITOR   | US                                      | 9/24/2007              | 2008/0108884 A1                    | 5/8/2008                                |
| 12/641087               | MODULAR PATIENT MONITOR   | US                                      | 12/17/2009             | 2010/0261979 A1                    | 10/14/2010                              |
| 10195398.2              | MODULAR PATIENT MONITOR   | EP                                      | 12/16/2010             | 2335569                            | 6/22/2011                               |
| 13/858249               | PLETHYSMOGRAPH VARIABILITY PROCESSOR  | US                                      | 4/8/2013               | 2013/0296713 A1                    | 11/7/2013                               |
| 7865424.1<br>13/079756  | PLETHYSMOGRAPH VARIABILITY PROCESSOR  LOW NOISE OXIMETRY CABLE INCLUDING CONDUCTIVE CORDS                 | EP<br>US                                | 12/7/2007<br>4/4/2011  | 2096994<br>2011/0174517 A1         | 9/9/2009<br>7/21/2011                   |
| 12/248855               | PHYSIOLOGICAL PARAMETER DETECTOR  | US<br>US                                | 10/9/2008              | 2011/01/451/ A1<br>2009/0095926 A1 | 4/16/2009                               |
| 12/270033               | THIS SECOND IT AND WHILE IN DETECTOR  |   | 10/3/2000              | 2003,0033320 A1                    | 7,10,2003                               |

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| 13/625691   | SYSTEMS AND METHODS FOR STORING, ANALYZING, AND RETRIEVING MEDICAL DATA                                    | US             | 9/24/2012              | 2013/0096936 A1                         | 4/18/2013                         |
| 13/675996   | SYSTEMS AND METHODS FOR STORING, ANALYZING, RETRIEVING AND DISPLAYING STREAMING MEDICAL DATA               | US             | 11/13/2012             | 2013/0162433 A1                         | 6/27/2013                         |
| 8836970.7   | CONNECTOR ASSEMBLY   | EP             | 10/9/2008              | 2227843                                 | 9/15/2010                         |
| 12/782651   | DISPOSABLE COMPONENTS FOR REUSABLE PHYSIOLOGICAL SENSOR  | US             | 5/18/2010              | 2010/0317936 A1                         | 12/16/2010                        |
| PCT/US2010/035323                                 | DISPOSABLE COMPONENTS FOR REUSABLE PHYSIOLOGICAL SENSOR  | WO             | 5/18/2010              | WO 2010/135373                          | 11/25/2010                        |
| 12/560331   | HEMOGLOBIN MONITOR   | US             | 9/15/2009              | 2010/0099964 A1                         | 4/22/2010                         |
| 12/147299   | DISPOSABLE ACTIVE PULSE SENSOR   | US             | 6/26/2008              | 2009/0030330 A1                         | 1/29/2009                         |
| 13/287060   | FLUID TITRATION SYSTEM   | US             | 11/1/2011              | 2012/0046557 A1                         | 2/23/2012                         |
| 12/559815   | PATIENT MONITOR INCLUDING MULTI-PARAMETER GRAPHICAL DISPLAY  | US             | 9/15/2009              | 2010/0069725 A1                         | 3/18/2010                         |
| PCT/US2009/057023                                 | PATIENT MONITOR INCLUDING MULTI-PARAMETER GRAPHICAL DISPLAY  | wo             | 9/15/2009              | WO 2010/031070                          | 3/18/2010                         |
| PCT/US2009/052146                                 | ALARM SUSPEND SYSTEM   | WO             | 7/29/2009              | WO 2010/014743                          | 2/4/2010                          |
| 12/430742<br>9739526.3                            | MONITOR CONFIGURATION SYSTEM  MONITOR CONFIGURATION SYSTEM   | US<br>EP       | 4/27/2009<br>4/27/2009 | 2009/0275844 A1<br>2278911              | 11/5/200 <del>9</del><br>2/2/2011 |
| PCT/US2009/041838                                 |  | WO             | 4/27/2009              | WO 2009/134724                          | 11/5/2009                         |
| 13/781485   | SECONDARY-EMITTER SENSOR POSITION INDICATOR  | US             | 2/28/2013              | 2013/0245409 A1                         | 9/19/2013                         |
| 13/725908   | REFLECTION-DETECTOR SENSOR POSITION INDICATOR  | US             | 12/21/2012             | 2013/0211264 A1                         | 8/15/2013                         |
| 12/723526   | OPEN ARCHITECTURE MEDICAL COMMUNICATION SYSTEM   | US             | 3/12/2010              | 2010/0234718 A1                         | 9/16/2010                         |
| 12/727097   | DIGIT GAUGE FOR NONINVASIVE OPTICAL SENSOR   | US             | 3/18/2010              | 2010/0241033 A1                         | 9/23/2010                         |
| 12/434060   | EXTERNAL EAR-PLACED NON-INVASIVE PHYSIOLOGICAL SENSOR  | US             | 5/1/2009               | 2009/0275813 A1                         | 11/5/2009                         |
| 13/861233   | NON-INVASIVE SENSOR CALIBRATION DEVICE   | US             | 4/11/2013              | 2013/0237784 A1                         | 9/12/2013                         |
| 14/064026   | HEMOGLOBIN DISPLAY AND PATIENT TREATMENT   | US             | 10/25/2013             | 2014/0051954 A1                         | 2/20/2014                         |
| 13/010653   | WIRELESS PATIENT MONITORING SYSTEM   | US             | 1/20/2011              | 2011/0208015 A1                         | 8/25/2011                         |
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| SUBMISSION TYPE: | CORRECTIVE ASSIGNMENT   |
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|                  | Corrective Assignment to correct the TO CORRECT THE NATURE OF CONVEYANCE TO SECURITY INTEREST AND TO CORRECT THE GRANTEE'S ADDRESS previously recorded on Reel 032784 Frame 0864. Assignor(s) hereby confirms the SECURITY AGREEMENT. |

# **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| MASIMO AMERICAS, INC. | 04/23/2014     |
| MASIMO CORPORATION    | 04/23/2014     |

#### **RECEIVING PARTY DATA**

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| Patent Number: | D609193 |
| Patent Number: | D587657 |
| Patent Number: | D614305 |
| Patent Number: | D692145 |

#### **CORRESPONDENCE DATA**

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| NAME OF SUBMITTER:      | PATRICK TIERNEY  |
| SIGNATURE:              | /PT/   |
| DATE SIGNED:            | 05/27/2014   |
|                         | This document serves as an Oath/Declaration (37 CFR 1.63). |

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#### PATENT SECURITY AGREEMENT

This PATENT SECURITY AGREEMENT, dated as of April 23, 2014 (this "Agreement"), is made by MASIMO CORPORATION, a Delaware corporation, and MASIMO AMERICAS, INC., a Delaware corporation (each, a "Grantor") and collectively, the "Grantors"), in favor of JPMORGAN CHASE BANK, NATIONAL ASSOCIATION, as the administrative agent (together with its successor(s) thereto in such capacity, the "Administrative Agent") for each of the Secured Parties.

# WITNESSETH:

WHEREAS, pursuant to a Credit Agreement, dated as of April 23, 2014 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Credit Agreement"), among the Grantors, as the Borrower, the Lenders from time to time party thereto and the Administrative Agent, the Lenders have extended Commitments to make Loans to the Borrower;

WHEREAS, in connection with the Credit Agreement, each Grantor has executed and delivered separate security agreements, each dated as of April 23, 2014 (each, as amended, supplemented, amended and restated or otherwise modified from time to time, a "Security Agreement" and collectively, the "Security Agreements");

WHEREAS, pursuant to the Credit Agreement and pursuant to Section 2 of each Security Agreement, the Grantors are required to execute and deliver this Agreement and to grant to the Administrative Agent a continuing security interest in all of the Patent Collateral (as defined below) to secure all Secured Obligations; and

WHEREAS, the Grantors have duly authorized the execution, delivery and performance of this Agreement; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantors agree, for the benefit of each Secured Party, as follows:

- SECTION 1. <u>Definitions</u>. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided in the applicable Security Agreement.
- SECTION 2. <u>Grant of Security Interest</u>. Each Grantor hereby grants to the Administrative Agent, for its benefit and the ratable benefit of each other Secured Party, a continuing security interest in all of such Grantor's right, title and interest within the United States, whether now or hereafter existing or acquired by such Grantor, in and to the following (other than Excluded Assets) ("Patent Collateral"):
  - (a) all letters patent and applications for letters patent in the United States Patent and Trademark Office, including all patent applications in preparation for filing, including all reissues, divisionals, continuations, continuations-in-part, extensions,

renewals and reexaminations of any of the foregoing ("Patents"), including each Patent and published Patent application identified in Item A of Schedule I;

- (b) all Patent licenses, and other agreements for the grant by or to such Grantor of any right to use any items of the type referred to in <u>clause (a)</u> above (each a <u>"Patent License"</u>);
- (c) the right to sue third parties for past, present and future infringements of any Patent or Patent application, and for breach or enforcement of any Patent License; and
- (d) all proceeds of, and rights associated with, the foregoing (including Proceeds, licenses, royalties, income, payments, claims, damages and proceeds of infringement suits).
- SECTION 3. <u>Security Agreement</u>. This Agreement has been executed and delivered by the Grantors for the purpose of registering the security interest of the Administrative Agent in the Patent Collateral with the United States Patent and Trademark Office. The security interest granted hereby has been granted as a supplement to, and not in limitation of, the security interest granted to the Administrative Agent for its benefit and the ratable benefit of each other Secured Party under each Security Agreement. Each Security Agreement (and all rights and remedies of the Administrative Agent and each Secured Party thereunder) shall remain in full force and effect in accordance with its terms.
- SECTION 4. Waiver, etc. The Grantors hereby waive promptness, diligence, notice of acceptance and any other notice with respect to any of the Liabilities, this Agreement and the Security Agreements and any requirement that any Secured Party protect, secure, perfect or insure any Lien, or any property subject thereto, or exhaust any right or take any action against each Grantor or any other Person (including any other Grantor) or entity or any Collateral securing the Secured Obligations, as the case may be. As provided below, this Agreement shall be governed by, and construed in accordance with, the laws of the State of New York.
- SECTION 5. <u>Acknowledgment</u>. The Grantors do hereby further acknowledge and affirm that the rights and remedies of the Administrative Agent with respect to the security interest in the Patent Collateral granted hereby are more fully set forth in the applicable Security Agreement, the terms and provisions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein.
- SECTION 6. <u>Loan Document</u>. This Agreement is a Loan Document executed pursuant to the Credit Agreement and shall (unless otherwise expressly indicated herein) be construed, administered and applied in accordance with the terms and provisions thereof.
- SECTION 7. Governing Law, Entire Agreement, etc. THIS SECURITY AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK.
- SECTION 8. <u>Counterparts</u>. This Agreement may be executed by the parties hereto in several counterparts, each of which shall be deemed to be an original and all of which shall

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constitute together but one and the same agreement. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or via other electronic means shall be effective as delivery of a manually executed counterpart of this Agreement.

\* \* \* \* \*

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IN WITNESS WHEREOF, this Agreement has been duly executed as of the day and year first above written.

| 35 13       | rantor  |
|-------------|---|
| Ву:         | Name; Mark P, de Raad<br>Title: Chief Financial Officer         |
|             | SIMO AMERICAS) INC.   |
| Ву:         | Name: Mark P. de Raad Title: Treasurer                          |
|             | IORGAN CHASE BANK, NATIONAL                                     |
| ASS         | IORGAN CHASE BANK, NATIONAL<br>OCIATION,<br>dministrative Agent |
| ASS         | OCIATION, .dministrative Agent                                  |
| ASS<br>as A | SOCIATION,  dministrative Agent                                 |
| ASS<br>as A | OCIATION, .dministrative Agent                                  |

Patent Security Agreement

IN WITNESS WHEREOF, this Agreement has been duly executed as of the day and year first above written.

| MASIMO CORPORATION, as Grantor                                     |
|--|
| By:Name: Title:  |
| MASIMO AMERICAS, INC. as Grantor                                   |
| By:  |
| JPMORGAN CHASE BANK, NATIONAL ASSOCIATION, as Administrative Agent |
| By: Name: Title: Vice President                                    |

# SCHEDULE I to Patent Security Agreement

Item A. Patents

Patent No. <u>Issue Date Inventor(s) Title</u>

See Schedule A

Pending Patent Applications

Serial No. Filing Date Inventor(s) <u>Title</u>

See Schedule B

|                    | Schedule A - MASIV  | 10 CONFID | ENTIAL                    |                          |                          |                                    |                        |
|--------------------|---|-----------|---------------------------|--------------------------|--------------------------|------------------------------------|------------------------|
|                    | UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND  |           |                           |                          |                          |                                    |                        |
| RE43169            | DISPOSABLE PATIENT ATTACHMENT DEVICES  UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND  UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND | US        | 12/573851                 | 10/5/2009                | 2/7/2012                 |                                    |                        |
| RE41317            | DISPOSABLE PATIENT ATTACHMENT DEVICES   | US        | 11/404123                 | 4/13/2006                | 5/4/2010                 |                                    |                        |
| RE43860            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | US        | 12/917433                 | 11/1/2010                | 12/11/2012               |                                    |                        |
| RE41912<br>8175672 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATII   | US<br>US  | 11/432798<br>11/774446    | 5/11/2006<br>7/6/2007    | 11/2/2010<br>5/8/2012    | 2008/0009691 A1                    | 1/10/2008              |
| 7245953            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATII  | US        | 10/287795                 | 11/5/2002                | 7/17/2007                | 2006/0003031 A1                    | 1/10/2006              |
| 6684091            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD   | US        | 09/758038                 | 1/11/2001                | 1/27/2004                | 2001/0029325 A1                    | 10/11/2001             |
| 6321100            | REUSABLE PULSE OXIMETER PROBE WITH DISPOSABLE LINER   | US        | 09/352144                 | 7/13/1999                | 11/20/2001               |                                    |                        |
| 1683478<br>1683478 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS   | GB<br>FR  | 6009479.4<br>6009479.4    | 10/15/1999<br>10/15/1999 | 11/28/2007<br>11/28/2007 | 1683478<br>1683478                 | 7/26/2006<br>7/26/2006 |
| 1683478            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | EP        | 6009479.4                 | 10/15/1999               | 11/28/2007               | 1683478                            | 7/26/2006              |
| 1683478            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | DE        | 6009479.4                 | 10/15/1999               | 11/28/2007               | 1683478                            | 7/26/2006              |
| 4614537<br>1121049 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | JP<br>GB  | 2000-575417<br>99954623.7 | 10/15/1999<br>10/15/1999 | 10/29/2010<br>5/17/2006  | 1121049                            | 4/20/2000              |
| 1121049            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | FR        | 99954623.7                | 10/15/1999               | 5/17/2006                | 1121049                            | 4/20/2000              |
| 1121049            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | ES        | 99954623.7                | 10/15/1999               | 5/17/2006                | 1121049                            | 4/20/2000              |
| 1121049            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | EP        | 99954623.7                | 10/15/1999               | 5/17/2006                | 1121049                            | 4/20/2000              |
| 1121049<br>2346639 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | DE<br>CA  | 99954623.7<br>2346639     | 10/15/1999<br>10/15/1999 | 5/17/2006<br>8/12/2008   | 1121049                            | 4/20/2000<br>4/20/2000 |
| 745306             | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BADANGE APPARATUS  | AU        | 200010929                 | 10/15/1999               | 7/4/2002                 |                                    | 6/22/2000              |
| 3981271            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD   | JP        | 2002-001134               | 1/8/2002                 | 7/6/2007                 |                                    | 9/26/2007              |
| 1222894            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE  | EP        | 1310925.1                 | 12/28/2001               | 1/26/2011                | 1222894                            | 7/17/2002              |
| 2366493<br>784021  | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD  REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE METHOD  | CA<br>AU  | 2366493<br>200210079      | 1/3/2002<br>1/7/2002     | 1/3/2012<br>5/4/2006     |                                    | 7/18/2002              |
| 6519487            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | US        | 09/679828                 | 10/5/2000                | 2/11/2003                |                                    |                        |
| 6343224            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS  | US        | 09/417898                 | 10/14/1999               | 1/29/2002                |                                    |                        |
| 6144868            | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS RESERVOIR ELECTRODES FOR ELECTROENCEPHALOGRAPH HEADGEAR  | US        | 09/289647                 | 4/12/1999                | 11/7/2000                |                                    |                        |
| 6301493            | APPLIANCE   | US        | 09/431966                 | 11/1/1999                | 10/9/2001                |                                    |                        |
| 6128521            | SELF ADJUSTING HEADGEAR APPLIANCE USING RESERVOIR ELECTRODES  | US        | 09/113946                 | 7/10/1998                | 10/3/2000                |                                    |                        |
| 1250886            | ANESTHESIA MONITORING SYSTEM BASED ON ELECTROENCEPHALOGRAPHIC<br>SIGNALS  | EP        | 1109804.3                 | 4/21/2001                | 4/21/2010                | EP1250886                          | 10/23/2002             |
| 2343706            | ANESTHESIA MONITORING SYSTEM BASED ON ELECTROENCEPHALOGRAPHIC<br>SIGNALS  | CA        | 2343706                   | 4/10/2001                | 12/6/2011                |                                    |                        |
| 6317627            | ANESTHESIA MONITORING SYSTEM BASED ON ELECTROENCEPHALOGRAPHIC<br>SIGNALS  | US        | 09/431632                 | 11/2/1999                | 11/13/2001               |                                    |                        |
| 1229830            | MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PATIENT   | EP        | 973975.6                  | 10/27/2000               | 5/24/2006                | EP1229830                          | 8/14/2002              |
| 6430437            | MODULE FOR ACQUIRING ELECTROENCEPHALOGRAPH SIGNALS FROM A PATIENT   | US        | 09/699123                 | 10/27/2000               | 8/6/2002                 |                                    |                        |
| 8430817            | SYSTEM FOR DETERMINING CONFIDENCE IN RESPIRATORY RATE<br>MEASUREMENTS   | US        | 12/905530                 | 10/15/2010               | 4/30/2013                |                                    |                        |
| 8523781            | BIDIRECTIONAL PHYSIOLOGICAL INFORMATION DISPLAY   | US        | 12/904836                 | 10/14/2010               | 9/3/2013                 | 2011/0224567 A1                    | 9/15/2011              |
| 5090155            | NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   | JP        | 2007-506626               | 4/8/2005                 | 9/21/2012                |                                    | 12/5/2012              |
| 1740095            | NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   | EP        | 5732095.4                 | 4/8/2005                 | 1/23/2013                | 1740095                            | 1/10/2007              |
| 8641631            | NON-INVASIVE MONITORING OF RESPIRATORY RATE, HEART RATE AND APNEA   | US        | 11/547570                 | 6/19/2007                | 2/4/2014                 | 2007/0282212 A1                    | 12/6/2007              |
| 4308758            | PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD   | JP        | 2004-516364               | 4/8/2003                 | 5/15/2009                |                                    | 8/5/2009               |
| 5661161            | PIEZOELECTRIC BIOLOGICAL SOUND MONITOR WITH PRINTED CIRCUIT BOARD   | US        | 10/180518                 | 6/27/2002                | 12/9/2003                |                                    |                        |
| 3455223<br>2188794 | HEADSET FOR ELECTRONIC STETHOSCOPE  HEADSET FOR ELECTRONIC STETHOSCOPE  | JP<br>CA  | 7/527898<br>2188794       | 4/21/1995<br>4/21/1995   | 7/25/2003<br>10/3/2000   |                                    |                        |
| 1315452            | METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   | GB        | 1971541.6                 | 8/29/2001                | 3/28/2007                | EP1315452                          | 6/4/2003               |
| 1315452            | METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE   | EP        | 1971541.6                 | 8/29/2001                | 3/28/2007                | EP1315452                          | 6/4/2003               |
| 1315452            | METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE METHOD AND APPARATUS FOR ESTIMATING SYSTOLIC AND MEAN   | DE        | 1971541.6                 | 8/29/2001                | 3/28/2007                | EP1315452                          | 6/4/2003               |
| 6368283            | PULMONARY ARTERY PRESSURES OF A PATIENT   | US        | 09/658631                 | 9/8/2000                 | 4/9/2002                 |                                    |                        |
| 2262236            | PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION  | CA        | 2262236                   | 2/22/1999                | 4/29/2008                |                                    | 8/20/1999              |
| 6241683            | PHONOSPIROMETRY FOR NON-INVASIVE MONITORING OF RESPIRATION MEDICAL PROXIMITY DETECTION TOKEN  | US<br>US  | 09/255003                 | 2/22/1999                | 6/5/2001                 |                                    |                        |
| D692145<br>8463349 | MEDICAL PROXIMITY DETECTION TOKEN  SIGNAL PROCESSING APPARATUS  | US        | 29/432824<br>13/463746    | 9/20/2012<br>5/3/2012    | 10/22/2013<br>6/11/2013  | 2012/0220843 A1                    | 8/30/2012              |
| 8359080            | SIGNAL PROCESSING APPARATUS   | US        | 13/397564                 | 2/15/2012                | 1/22/2013                | 2012/0165624 A1                    | 6/28/2012              |
| 8128572            | SIGNAL PROCESSING APPARATUS   | US        | 12/277221                 | 11/24/2008               | 3/6/2012                 | 2009/0076400 A1                    | 3/19/2009              |
| 7530955<br>7328053 | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS   | US<br>US  | 10/838814<br>09/195791    | 5/4/2004<br>11/17/1998   | 5/12/2009<br>2/5/2008    | 2004/0210146 A1                    | 10/21/2004             |
| 7376453            | SIGNAL PROCESSING APPARATUS   | US        | 09/144897                 | 9/1/1998                 | 5/20/2008                |                                    |                        |
| 8560034            | Signal processing apparatus   | US        | 09/110542                 | 7/6/1998                 | 10/15/2013               |                                    |                        |
| 8126528            | SIGNAL PROCESSING APPARATUS   | US<br>US  | 12/410422                 | 3/24/2009                | 2/28/2012                | 2009/0182211 A1                    | 7/16/2009              |
| 7509154<br>8019400 | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS   | US        | 11/842117<br>11/894716    | 8/20/2007<br>8/20/2007   | 3/24/2009<br>9/13/2011   | 2008/0045823 A1<br>2008/0033266 A1 | 2/21/2008<br>2/7/2008  |
| 8046041            | SIGNAL PROCESSING APPARATUS   | US        | 11/766714                 | 6/21/2007                | 10/25/2011               | 2008/0004514 A1                    | 1/3/2008               |
| 8036728            | SIGNAL PROCESSING APPARATUS   | US        | 11/766719                 | 6/21/2007                | 10/11/2011               | 2007/0291832 A1                    | 12/20/2007             |

|                    | Schedule A - MASIMi  | O CONFIL   | DENTIAL                |                        |                         |   |                         |
|--------------------|--|------------|------------------------|------------------------|-------------------------|---|-------------------------|
|                    |  |            |                        |                        |                         |   |                         |
| 8046042            | SIGNAL PROCESSING APPARATUS  | US         | 11/766700              | 6/21/2007              | 10/25/2011              | 2007/0249918 A1                         | 10/25/2007              |
| 7215986            | SIGNAL PROCESSING APPARATUS  | US         | 11/154093              | 6/15/2005              | 5/8/2007                | 2005/0256385 A1                         | 11/17/2005              |
| 7254433            | SIGNAL PROCESSING APPARATUS  | US         | 10/676534              | 9/30/2003              | 8/7/2007                | 2004/0064020 A1                         | 4/1/2004                |
| 7496393<br>8588880 | SIGNAL PROCESSING APPARATUS  EAR SENSOR  | US<br>US   | 10/677050<br>12/658872 | 9/30/2003<br>2/16/2010 | 2/24/2009<br>11/19/2013 | 2004/0068164 A1<br>2010/0217103 A1      | 4/8/2004<br>8/26/2010   |
| 8584345            | REPROCESSING OF A PHYSIOLOGICAL SENSOR   | US         | 13/041803              | 3/7/2011               | 11/19/2013              | 2011/0214280 A1                         | 9/8/2011                |
| 8571619            | HEMOGLOBIN DISPLAY AND PATIENT TREATMENT   | US         | 12/783436              | 5/19/2010              | 10/29/2013              | 2010/0298675 A1                         | 11/25/2010              |
| 8418524            | NON-INVASIVE SENSOR CALIBRATION DEVICE   | US         | 12/813782              | 6/11/2010              | 4/16/2013               | 2011/0023575 A1                         | 2/3/2011                |
| 8346330            | REFLECTION-DETECTOR SENSOR POSITION INDICATOR  | US         | 12/577670              | 10/12/2009             | 1/1/2013                | 2010/0094107 A1                         | 4/15/2010               |
| 8401602            | SECONDARY-EMITTER SENSOR POSITION INDICATOR  | US         | 12/577667              | 10/12/2009             | 3/19/2013               | 2010/0094106 A1                         | 4/15/2010               |
| 8547209            | ALARM SUSPEND SYSTEM   | US         | 13/476725              | 5/21/2012              | 10/1/2013               | 2012/0232366 A1                         | 9/13/2012               |
| 8203438            | ALARM SUSPEND SYSTEM   | US         | 12/510982              | 7/28/2009              | 6/19/2012               | 2010/0026510 A1                         | 2/4/2010                |
| 8355766<br>8048040 | CERAMIC EMITTER SUBSTRATE FLUID TITRATION SYSTEM   | US<br>US   | 12/248841<br>12/208998 | 10/9/2008<br>9/11/2008 | 1/15/2013<br>11/1/2011  | 2009/0156913 A1<br>2009/0076462 A1      | 6/18/2009<br>3/19/2009  |
| D135938            | CONNECTOR  | TW         | 97304976               | 8/28/2008              | 7/21/2010               | 2003/0070402 AT                         | 3/13/2003               |
| 30-0544369         | CONNECTOR  | KR         | 30-2008-0037404        | 8/29/2008              | 10/29/2009              |   |                         |
| 1363919            | CONNECTOR  | JP         | 2008-022157            | 8/28/2008              | 5/29/2009               |   |                         |
| 218211             | CONNECTOR  | IN         | 218211                 | 8/28/2008              | 4/27/2009               |   |                         |
| 000995071-0001     | CONNECTORS   | EU         | 000995071-0001         | 8/28/2008              | 8/28/2008               |   |                         |
| ZL200830148345.7   | CONNECTOR  | CN         | 2.0083E+11             | 8/29/2008              | 1/6/2010                |   |                         |
| D614305            | CONNECTOR ASSEMBLY   | US         | 29/304439              | 2/29/2008              | 4/20/2010               |   |                         |
| D587657            | CONNECTOR ASSEMBLY   | US         | 29/296067              | 10/12/2007             | 3/3/2009                |   |                         |
| 001018360-001-004  | CONNECTOR ASSEMBLY   | ΕU         | 001018360-001-004      | 10/8/2008              | 10/8/2008               |   |                         |
| D609193            | CONNECTOR ASSEMBLY   | US         | 29/296064              | 10/12/2007             | 2/2/2010                |   |                         |
| 5296793            | CONNECTOR ASSEMBLY   | JP         | 2010-529060            | 10/9/2008              | 6/21/2013               |   |                         |
| 8529301            | SHIELDED CONNECTOR ASSEMBLY  | US         | 13/399762              | 2/17/2012              | 9/10/2013               | 2012/0276786 A1                         | 11/1/2012               |
| 8118620            | CONNECTOR ASSEMBLY WITH REDUCED UNSHIELDED AREA  | US         | 12/248856              | 10/9/2008              | 2/21/2012               | 2009/0099423 A1                         | 4/16/2009               |
| 8310336            | SYSTEMS AND METHODS FOR STORING, ANALYZING, RETRIEVING AND   | US         | 12/904925              | 10/14/2010             | 11/13/2012              | 2011/0169644 A1                         | 7/14/2011               |
| 8310330            | DISPLAYING STREAMING MEDICAL DATA  |            | 12/304323              | 10/14/2010             | 11/13/2012              | 2011/0103044 A1                         | 7/14/2011               |
| 8274360            | SYSTEMS AND METHODS FOR STORING, ANALYZING, AND RETRIEVING   | US         | 12/249806              | 10/10/2008             | 9/25/2012               | 2009/0119330 A1                         | 5/7/2009                |
|                    | MEDICAL DATA   |            |                        |                        |                         |   |                         |
| 8229533            | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  LOW NOISE OXIMETRY CABLE INCLUDING CONDUCTIVE CORDS | US<br>US   | 13/358461              | 1/25/2012              | 7/24/2012               | 2012/0123278 A1                         | 5/17/2012               |
| 7919713<br>8652060 | PERFUSION TREND INDICATOR  | US         | 12/104350<br>12/011011 | 4/16/2008<br>1/22/2008 | 4/5/2011<br>2/18/2014   | 2008/0255435 A1<br>2008/0221464 A1      | 10/16/2008<br>9/11/2008 |
| 5441707            | PLETHYSMOGRAPH VARIABILITY PROCESSOR   | JР         | 2009-540509            | 12/7/2007              | 12/27/2013              | 2000/0221404 A1                         | 3/11/2008               |
| 8414499            | PLETHYSMOGRAPH VARIABILITY PROCESSOR   | US         | 11/952940              | 12/7/2007              | 4/9/2013                | 2008/0188760 A1                         | 8/7/2008                |
| 8315683            | DUO CONNECTOR PATIENT CABLE  | US         | 11/858818              | 9/20/2007              | 11/20/2012              | 2008/0071153 A1                         | 3/20/2008               |
| 8457707            | CONGENITAL HEART DISEASE MONITOR   | US         | 11/858053              | 9/19/2007              | 6/4/2013                | 2008/0071155 A1                         | 3/20/2008               |
| 8180420            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 11/842128              | 8/20/2007              | 5/15/2012               | 2008/0036752 A1                         | 2/14/2008               |
| 8190227            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 12/368222              | 2/9/2009               | 5/29/2012               | 2009/0209835 A1                         | 8/20/2009               |
| 7489958            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 11/417858              | 5/3/2006               | 2/10/2009               | 2006/0200016 A1                         | 9/7/2006                |
| 7499741            | SIGNAL PROCESSING APPARATUS AND METHOD   | US         | 10/839276              | 5/4/2004               | 3/3/2009                | 2004/0204637 A1                         | 10/14/2004              |
| 7471971            | SIGNAL PROCESSING APPARATUS AND METHOD  METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE         | US         | 10/791683              | 3/2/2004               | 12/30/2008              | 2005/0096517 A1                         | 5/5/2005                |
| 8185180            | OXIMETRY SYSTEM  | US         | 11/842106              | 8/20/2007              | 5/22/2012               | 2008/0033265 A1                         | 2/7/2008                |
|                    | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   |            |                        |                        |                         |   |                         |
| 8150487            | OXIMETRY SYSTEM  | US         | 11/750930              | 5/18/2007              | 4/3/2012                | 2007/0225582 A1                         | 9/27/2007               |
| 7002220            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 10/700324              | 11/3/2003              | 2/21/2006               |   |                         |
| 7003339            | OXIMETRY SYSTEM  |            | 10/700524              | 11/3/2003              | 2/21/2006               |   |                         |
| 6643530            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 09/735960              | 12/13/2000             | 11/4/2003               | 0002206A1                               | 5/31/2001               |
| 0043330            | OXIMETRY SYSTEM  |            | 037733300              | 12, 13, 2000           | 11) 7/ 2003             | 0002200012                              | 3/31/2001               |
| 7221971            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE   | US         | 11/311213              | 12/19/2005             | 5/22/2007               | 2006/0161056 A1                         | 7/20/2006               |
| 8280473            | OXIMETRY SYSTEM PERFUSION INDEX SMOOTHER   | US         | 11/871620              | 10/13/3003             | 10/2/2012               | 2008/0091093 A1                         | 4/17/2009               |
|                    | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL   | ********** |                        | 10/12/2007             | **********              | 2006/0031033 A1                         | 4/17/2008               |
| 2007313903         | SENSOR   | AU         | 2007313903             | 10/11/2007             | 9/19/2013               |   |                         |
|                    | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL   |            |                        |                        |                         |   |                         |
| 7880626            | SENSOR   | US         | 11/580214              | 10/12/2006             | 2/1/2011                | 2008/0088467 A1                         | 4/17/2008               |
| 8182443            | DRUG ADMINISTRATION CONTROLLER   | US         | 11/654904              | 1/17/2007              | 5/22/2012               |   |                         |
| 7990382            | VIRTUAL DISPLAY  | US         | 11/648972              | 1/3/2007               | 8/2/2011                | 2007/0188495 A1                         | 8/16/2007               |
| 7530942            | REMOTE SENSING INFANT WARMER   | US         | 11/583355              | 10/18/2006             | 5/12/2009               |   |                         |
| 7962188 C1         | ROBUST ALARM SYSTEM  | US         | 90/012534              | 9/13/2012              | 6/26/2013               |   |                         |
| 7962188            | ROBUST ALARM SYSTEM RESPIRATORY MONITORING   | US         | 11/546927              | 10/12/2006             | 6/14/2011               | 2007/0109115 A1                         | 5/17/2007               |
| 8028701            | PATIENT MONITOR CAPABLE OF MONITORING THE QUALITY OF ATTACHED  | US         | 11/756501              | 5/31/2007              | 10/4/2011               | 2007/0277823 A1                         | 12/6/2007               |
| 8255026            | PROBES AND ACCESSORIES   | US         | 11 <b>/</b> 871817     | 10/12/2007             | 8/28/2012               |   |                         |
| 7976472            | NONINVASIVE HYPOVOLEMIA MONITOR  | US         | 11/221411              | 9/6/2005               | 7/12/2011               | 2006/0058691 A1                         | 3/16/2006               |
| 7937128            | CYANOTIC INFANT SENSOR   | US         | 11/171632              | 6/30/2005              | 5/3/2011                | 2006/0020185 A1                         | 1/26/2006               |
| 7292883            | PHYSIOLOGICAL ASSESSMENT SYSTEM  | US         | 11/094813              | 3/30/2005              | 11/6/2007               | 2006/0009687 A1                         | 1/12/2006               |
| 7280858            | PULSE OXIMETRY SENSOR  | US         | 11/029009              | 1/4/2005               | 10/9/2007               | 2005/0197550 A1                         | 9/8/2005                |
| DE\$566282         | STAND FOR A PORTABLE PATIENT MONITOR   | US         | 29/223769              | 2/18/2005              | 4/8/2008                |   |                         |
| DES554263          | PORTABLE PATIENT MONITOR   | US         | 29/223771              | 2/18/2005              | 10/30/2007              | *************************************** | 77777222722222222       |
| 8353842            | PORTABLE PATIENT MONITOR   | US         | 12/343345              | 12/23/2008             | 1/15/2013               | 2009/0306488 A1                         | 12/10/2009              |
| 7937129            | VARIABLE APERTURE SENSOR  BLYSIAL AGEAN, PARAMETER SYSTEM  | US<br>EP   | 11/386076              | 3/21/2006              | 5/3/2011                | 2006/0258922 A1                         | 11/16/2006              |
| 1722676<br>7415297 | PHYSIOLOGICAL PARAMETER SYSTEM PHYSIOLOGICAL PARAMETER SYSTEM  | US         | 5724991.4<br>11/075389 | 3/8/2005<br>3/8/2005   | 12/19/2012<br>8/19/2008 | 1722676<br>US-2005-0203352 A1           | 11/22/2006<br>9/15/2005 |
|                    |  |            | TT/U/2303              | 3,3,2003               | 0,13,2000               | _5 2005 0200002 MI                      | 2, 13, 2003             |

|                       | Schedule A - MASI  |          |                        |   |                         |                                    |                        |
|-----------------------|--|----------|------------------------|---|-------------------------|------------------------------------|------------------------|
| 7430003.03            | ADDICATION INTERTIFICATION CIVING  |          |                        | *************************************** |                         |                                    |                        |
| 7438683 C1<br>8337403 | APPLICATION IDENTIFICATION SENSOR PATIENT MONITOR HAVING CONTEX-BASED SENSITIVITY ADJUSTMENTS              | US<br>US | 90/012546<br>12/254748 | 10/25/2012<br>10/20/2008                | 11/6/2013<br>12/25/2012 | 2009/0048495 A1                    | 2/19/2009              |
| 7438683               | APPLICATION IDENTIFICATION SENSOR  | US       | 11/071875              | 3/3/2005                                | 10/21/2008              | 2005/0283052 A1                    | 12/22/2005             |
| 7371981               | CONNECTOR SWITCH   | US       | 11/062169              | 2/18/2005                               | 5/13/2008               | 2005/0187440 A1                    | 8/25/2005              |
| 7373193               | PULSE OXIMETRY DATA CAPTURE SYSTEM   | US       | 10/983048              | 11/5/2004                               | 5/13/2008               | 2005/0101849 A1                    | 5/12/2005              |
| 7483729               | PULSE OXIMETER ACCESS APPARATUS AND METHOD   | US<br>US | 10/981186              | 11/4/2004                               | 1/27/2009               | 2005/0101848 A1                    | 5/12/2005              |
| 7254434<br>8385995    | VARIABLE PRESSURE REUSABLE SENSOR PHYSIOLOGICAL PARAMETER TRACKING SYSTEM                                  | US       | 10/965394<br>11/834602 | 10/13/2004<br>8/6/2007                  | 8/7/2007<br>2/26/2013   | 2005/0085704 A1<br>2008/0027294 A1 | 4/21/2005<br>1/31/2008 |
| 7254431               | PHYSIOLOGICAL PARAMETER TRACKING SYSTEM  | US       | 10/930048              | 8/30/2004                               | 8/7/2007                | 2005/0090724 A1                    | 4/28/2005              |
| 5100119               | MULTIPURPOSE SENSOR PORT   | JP       | 2006-521950            | 7/26/2004                               | 10/5/2012               |                                    |                        |
| 1651104               | MULTIPURPOSE SENSOR PORT   | EP       | 4779096.9              | 7/26/2004                               | 8/22/2012               | 1651104                            | 5/3/2006               |
| 7500950<br>7341559    | MULTIPURPOSE SENSOR PORT PULSE OXIMETRY EAR SENSOR   | US<br>US | 10/898680<br>10/631882 | 7/23/2004<br>7/31/2003                  | 3/10/2009<br>3/11/2008  | 2005/0075548 A1<br>2004/0054291 A1 | 4/7/2005<br>3/18/2004  |
| 7142901               | PARAMETER COMPENSATED PHYSIOLOGICAL MONITOR  | US       | 10/714526              | 11/14/2003                              | 11/28/2006              | 2004/0242980 A1                    | 12/2/2004              |
| 7274955               | PARAMETER COMPENSATED PULSE OXIMETER   | US       | 10/671179              | 9/25/2003                               | 9/25/2007               | 2004/0122301 A1                    | 6/24/2004              |
| 7096052               | OPTICAL PROBE INCLUDING PREDETERMINED EMISSION WAVELENGTH BASED  | US       | 10/679963              | 10/6/2003                               | 8/22/2006               | US-2004-0122302-A1                 | 6/24/2004              |
| 7096054               | ON PATIENT TYPE  |          |                        |   |                         |                                    | *******                |
| 7509494               | LOW NOISE OPTICAL HOUSING INTERFACE CABLE  | US<br>US | 10/632012<br>10/377996 | 7/31/2003<br>2/28/2003                  | 8/22/2006<br>3/24/2009  | 2004/0039272 A1<br>2003/0167391 A1 | 2/26/2004<br>9/4/2003  |
| 8548548               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 12/955826              | 11/29/2010                              | 10/1/2013               | 2011/0071370 A1                    | 3/24/2011              |
| 7844315               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 11/417006              | 5/3/2006                                | 11/30/2010              | 2007/0173701 A1                    | 7/26/2007              |
| 7844314               | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER   | US       | 11/048330              | 2/1/2005                                | 11/30/2010              | 2005/0135288 A1                    | 6/23/2005              |
| 6850788<br>7015451    | PHYSIOLOGICAL MEASUREMENT COMMUNICATIONS ADAPTER POWER SUPPLY RAIL CONTROLLER                              | US<br>US | 10/377933<br>10/351961 | 2/28/2003<br>1/24/2003                  | 2/1/2005<br>3/21/2006   | 03/0181798<br>03/0218386           | 9/25/2003              |
| 7880606 C1            | PHYSIOLOGICAL TREND MONITOR  | US       | 90/012548              | 9/13/2012                               | 2/24/2014               | 03/0216380                         | 11/27/2003             |
| 8570167               | PHYSIOLOGICAL TREND MONITOR  | US       | 13/557107              | 7/24/2012                               | 10/29/2013              | 2012/0289797 A1                    | 11/15/2012             |
| 8228181               | PHYSIOLOGICAL TREND MONITOR  | US       | 13/018334              | 1/31/2011                               | 7/24/2012               | 2011/0124990 A1                    | 5/26/2011              |
| 7880606               | PHYSIOLOGICAL TREND MONITOR  | US       | 12/070061              | 2/12/2008                               | 2/1/2011                | 2008/0228052 A1                    | 9/18/2008              |
| 7355512<br>7190261    | PARALLEL ALARM PROCESSOR<br>ARRHYTHMIA ALARM PROCESSOR   | US<br>US | 11/717591<br>11/405815 | 3/13/2007<br>4/18/2006                  | 4/8/2008<br>3/13/2007   | 2006/0192667                       | 8/31/2006              |
| 7030749               | PARALLEL MEASUREMENT ALARM PROCESSOR   | US       | 10/975860              | 10/28/2004                              | 4/18/2006               | US-2005-0083193-A1                 | *******                |
| 6822564               | PARALLEL MEASUREMENT ALARM PROCESSOR   | US       | 10/351735              | 1/24/2003                               | 11/23/2004              | 03/0137423                         | 7/24/2003              |
| 6934570               | PHYSIOLOGICAL SENSOR COMBINATION   | US       | 10/325699              | 12/19/2002                              | 8/23/2005               | 03/0225323                         | 12/4/2003              |
| 7340287<br>6985764    | FLEX CIRCUIT SHIELDED OPTICAL SENSOR FLEX CIRCUIT SHIELDED OPTICAL SENSOR                                  | US<br>US | 11/293583              | 12/2/2005                               | 3/4/2008<br>1/10/2006   | 2006/0084852 A1                    | 4/20/2006              |
| 737789 C1             | SINE SATURATION TRANSFORM  | US       | 10/137942<br>90/012538 | 5/2/2002<br>9/14/2012                   | 4/12/2013               | 02/0165440                         | 11/7/2002              |
| 1399058               | SIGNAL COMPONENT COMPRESSOR  | GB       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 1399058               | SIGNAL COMPONENT COMPRESSOR  | EP       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 60207717.6-08         | SIGNAL COMPONENT COMPRESSOR  | DE       | 2742353.2              | 6/28/2002                               | 11/30/2005              | 1399058                            | 3/24/2004              |
| 8498684<br>7904132    | SINE SATURATION TRANSFORM SINE SATURATION TRANSFORM  | US<br>US | 13/043421<br>12/336419 | 3/8/2011<br>12/16/2008                  | 7/30/2013<br>3/8/2011   | 2011/0160552 A1<br>2009/0099429 A1 | 6/30/2011<br>4/16/2009 |
| 7467002               | SINE SATURATION TRANSFORM  | US       | 11/894648              | 8/20/2007                               | 12/16/2008              | 2008/0045810 A1                    | 2/21/2008              |
| 7377899               | SINE SATURATION TRANSFORM  | US       | 11/417914              | 5/3/2006                                | 5/27/2008               | 2006/0270921 A1                    | 11/30/2006             |
| 7373194               | SIGNAL COMPONENT PROCESSOR   | US       | 11/048232              | 2/1/2005                                | 5/13/2008               | 2005/0131285 A1                    | 6/16/2005              |
| 6850787<br>8457703    | SIGNAL COMPONENT PROCESSOR LOW POWER PLUSE OXIMETER  | US<br>US | 10/184032<br>11/939519 | 6/26/2002<br>11/13/2007                 | 2/1/2005<br>6/4/2013    | 03/0055325<br>2008/0064936 A1      | 3/20/2003<br>3/13/2008 |
| 7295866               | LOW POWER PULSE OXIMETER   | US       | 10/785573              | 2/24/2004                               | 11/13/2007              | 2004/0181133 A1                    | 9/16/2004              |
| 6697658               | LOW POWER PULSE OXIMETER   | US       | 10/184028              | 6/26/2002                               | 2/24/2004               | 03/0028085                         | 2/6/2003               |
| 6658276               | PULSE OXIMETER USER INTERFACE  | US       | 10/076860              | 2/12/2002                               | 12/2/2003               | 02/0161291                         | 10/31/2002             |
| 7225006<br>6760607    | ATTACHMENT AND OPTICAL PROBE   | US       | 10/350550              | 1/23/2003                               | 5/29/2007               | 2004/0147821 A1                    | 7/29/2004              |
| - <del>}</del>        | RIBBON CABLE SUBSTRATE PULSE OXIMETRY SENSOR PULSE OXIMETRY SENSOR COMPATIBLE WITH MULTIPLE PULSE OXIMETRY | US       | 10/032339              | 12/20/2001                              | 7/6/2004                | 02/0095074                         | 7/18/2002              |
| 6697656               | SYSTEMS  | US       | 09/604340              | 6/27/2000                               | 2/24/2004               |                                    |                        |
| 6470199               | ELASTIC SOCK FOR POSITIONING AN OPTICAL PROBE  | US       | 09/598930              | 6/21/2000                               | 10/22/2002              |                                    |                        |
| 1286619               | VARIABLE INDICATION ESTIMATOR  | EP       | 1946090.6              | 6/5/2001                                | 4/20/2011               | 1286619                            | 3/5/2003               |
| 7499835 C1<br>7873497 | VARIABLE INDICATION ESTIMATOR  VARIABLE INDICATION ESTIMATOR   | US<br>US | 90/012532<br>12/362463 | 9/13/2012<br>1/29/2009                  | 12/19/2013<br>1/18/2011 | 2009/0204371 A1                    | 8/13/2009              |
| 7499835               | VARIABLE INDICATION ESTIMATOR  | US       | 11/375662              | 3/14/2006                               | 3/3/2009                | 2006/0161389 A1                    | 7/20/2006              |
| 6999904               | VARIABLE INDICATION ESTIMATOR  | US       | 10/213270              | 8/5/2002                                | 2/14/2006               | 2003/0101027                       | 5/29/2003              |
| 8489364               | VARIABLE INDICATION ESTIMATOR  | US       | 13/601930              | 8/31/2012                               | 7/16/2013               | 2012/0330562 A1                    | 12/27/2012             |
| 8260577<br>6430525    | VARIABLE INDICATION ESTIMATOR  VARIABLE MODE AVERAGER  | US<br>US | 13/007109<br>09/586845 | 1/14/2011<br>6/5/2000                   | 9/4/2012<br>8/6/2002    | 2011/0112799 A1                    | 5/12/2011              |
|                       | PULSE OXIMETER MONITOR FOR EXPRESSING THE URGENCY OF THE PATIENT'S   |          |                        |   |                         |                                    |                        |
| 6542764               | CONDITION  | US       | 09/727944              | 12/1/2000                               | 4/1/2003                |                                    |                        |
| 1239766               | RESPOSABLE PULSE OXIMETRY SENSOR   | GB       | 992852.4               | 12/7/2000                               | 10/5/2005               | 1239766                            | 9/18/2002              |
| 1239766               | RESPOSABLE PULSE OXIMETRY SENSOR   | FR       | 992852.4               | 12/7/2000                               | 10/5/2005               | 1239766                            | 9/18/2002              |
| 1239766<br>1239766    | RESPOSABLE PULSE OXIMETRY SENSOR RESPOSABLE PULSE OXIMETRY SENSOR  | EP<br>DE | 992852.4<br>992852.4   | 12/7/2000<br>12/7/2000                  | 10/5/2005<br>10/5/2005  | 1239766<br>1239766                 | 9/18/2002<br>9/18/2002 |
| 7734320               | SENSOR ISOLATION   | US       | 11/842088              | 8/20/2007                               | 6/8/2010                | 2008/0033267 A1                    | 2/7/2008               |
| 7272425               | PULSE OXIMETRY SENSOR INCLUDING STORED SENSOR DATA   | US       | 11/235617              | 9/26/2005                               | 9/18/2007               | 2006/0020180 A1                    | 1/26/2006              |
| 6950687               | ISOLATION AND COMMUNICATION ELEMENT FOR A RESPOSABLE PULSE   | US       | 10/351643              | 1/24/2003                               | 9/27/2005               | 03/0135099                         | 7/17/2003              |
| 6671531               | OXIMETRY SENSOR SENSOR WRAP INCLUDING FOLDABLE APPLICATOR  |          |                        |   | ************            |                                    |                        |
| 8000761               | RESPOSABLE PULSE OXIMETRY SENSOR   | US<br>US | 10/020664<br>11/415600 | 12/11/2001<br>5/2/2006                  | 12/30/2003<br>8/16/2011 | 02/0045807<br>2006/0200018 A1      | 4/18/2002<br>9/7/2006  |
| 7039449               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 10/741777              | 12/19/2003                              | 5/2/2006                | U5-2004-0133088-A1                 |                        |
| 6725075               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 10/128721              | 4/23/2002                               | 4/20/2004               | 02/0115919                         | 8/22/2002              |
| 6377829               | RESPOSABLE PULSE OXIMETRY SENSOR   | US       | 09/456666              | 12/9/1999                               | 4/23/2002               |                                    |                        |

| 6943348   | SYSTEM FOR DETECTING INJECTION MOLDING MATERIAL   | US                         | 09/422208  | 10/19/1999  | 9/13/2005   |   | mummu                                |
|---|---|----------------------------|--|---|---|---|--------------------------------------|
| 1674034   | SENSOR LIFE MONITOR METHOD  | EP                         | 6006843.4  | 2/9/2001  | 8/25/2010   | 1674034                                 | 6/28/2006                            |
| 500827  | SENSOR LIFE MONITOR SYSTEM  | JP                         | 2001-557463                                      | 2/9/2001  | 5/25/2012   |   |                                      |
| 1257190   | SENSOR LIFE MONITOR SYSTEM  | GB                         | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                           |
| 1257190   | SENSOR LIFE MONITOR SYSTEM  | EP                         | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                           |
| 60118891.8-08   | SENSOR LIFE MONITOR SYSTEM  | DE<br>US                   | 1909052.1  | 2/9/2001  | 4/19/2006   | 1257190                                 | 11/20/2002                           |
| 8399822<br>6388240  | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR<br>SHIELDED OPTICAL PROBE AND METHOD HAVING A LONGEVITY INDICATION  | US<br>US                   | 13/069261<br>09/798764                           | 3/22/2011<br>3/2/2001                             | 3/19/2013<br>5/14/2002  | 2011/0172942 A1<br>0009265A1            | 7/14/2011<br>7/26/2001               |
| 7910875   | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR   | US                         | 11/714303  | 3/6/2007  | 3/22/2011   | 2007/0156034 A1                         | 7/5/2001                             |
| 7186966   | AMOUNT OF USE TRACKING DEVICE AND METHOD FOR MEDICAL PRODUCT  | US                         | 11/311212  | 12/19/2005  | 3/6/2007  | 2006/0097135 A1                         | 5/11/2006                            |
| 6979812   | SYSTEMS AND METHODS FOR INDICATING AN AMOUNT OF USE OF A SENSOR   | US                         | 11/065994  | 2/24/2005   | 12/27/2005  | US-2005-0143631-A1                      |                                      |
| 6861639   | Systems and methods for indicating an amount of use of a sensor   | US                         | 10/357531  | 2/3/2003  | 3/1/2005  | 03/0111592                              | 6/19/2003                            |
| CE1E373   | SYSTEM FOR INDICATING THE EXPIRATION OF THE USEFUL OPERATING LIFE OF  | LIC                        |  |   | 2/4/2002  | 45500                                   |                                      |
| 6515273   | A PULSE OXIMETRY SENSOR   | US                         | 09/502032  | 2/10/2000   | 2/4/2003  | 45509                                   | 11/29/2001                           |
| 6580086   | SHIELDED OPTICAL PROBE AND METHOD   | US                         | 09/420544  | 10/19/1999  | 6/17/2003   |   |                                      |
| 1719449   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | EP                         | 6012571.3  | 3/24/2000   | 12/22/2010  | 1719449                                 | 11/8/2006                            |
|   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES, COMPRISING A   |                            |  |   |   |   |                                      |
| 1420692   | PASSIVE RESPIRATORY GAS HUMIDIFYER, WHERE RAYS OF LIGHT ARE   | EP                         | 2763147.2  | 8/26/2002   | 7/26/2006   | 1420692                                 | 5/26/2004                            |
|   | TRANSMITTED THROUGH A DEHUMIFIED GAS FLOW  DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES, COMPRISING A  |                            |  |   |   |   |                                      |
| 519766  | PASSIVE RESPIRATORY GAS HUMIDIFYER, WHERE RAYS OF LIGHT ARE   | SE                         | 0102860-4  | 8/28/2001   | 4/8/2003  | 519766                                  | 3/1/2003                             |
| 313700  | TRANSMITTED THROUGH A DEHUMIFIED GAS FLOW   | JL                         | 0102800-4  | 8/28/2001   | 4/8/2003  | 319700                                  | 3/1/2003                             |
| 1420842   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | EP                         | 2760976.7  | 8/26/2002   | 11/8/2006   | 1420842                                 | 5/26/2004                            |
| 523461  | DEVICE AT QUANTITATIVE ANALYSIS OF RESPIRATORY GASES  | SE                         | 0102861-2  | 8/28/2001   | 4/20/2004   | 523461                                  | 3/1/2003                             |
| 1420691   | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | EP                         | 2759046.2  | 8/26/2002   | 7/26/2006   | 1420691                                 | 5/26/2004                            |
| 519779  | DEVICE FOR QUANTITATIVE ANALYSIS OF RESPIRATORY GASES   | SE                         | 0102862-0  | 8/28/2001   | 4/8/2003  | 519779                                  | 3/1/2003                             |
| 524086  | MEASURING HEAD FOR A GAS ANALYSER   | SE                         | 0103599-7  | 10/30/2001  | 6/22/2004   | 524086                                  | 5/1/2003                             |
| 4644373   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | JP                         | 2000-606119                                      | 3/24/2000   | 12/10/2010  |   |                                      |
| 1617760   | AN AIR GAS ANALYZER WINDOW AND A METHOD FOR PRODUCING SUCH A  | EP                         | 4728997  | 4/22/2004   | 1/21/2009   | 1617760                                 | 1/25/2006                            |
|   | WINDOW  |                            |  | 7.557.500   | -,,   | -0-7/                                   | W.E.J.E.J.                           |
| 525095  | AN AIR GAS ANALYZER WINDOW AND A METHOD FOR PRODUCING SUCH A  | SE                         | 0301218-4  | 4/25/2003   | 11/30/2004  | 525095                                  | 10/26/2004                           |
| 447460F   | WINDOW  IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | ~*                         | 040550   | 0.151.15000                                       | 2222 2222   | 2232834                                 |                                      |
| 1171025<br>532941   | GAS SAMPLING LINE FOR RESPIRATORY GASES   | GB<br>SE                   | 916663.8<br>0801967-1                            | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                            |
| 2065697   | GAS MEASUREMENT SYSTEM  | EP                         | 8167482.2  | 9/15/2008<br>10/24/2008                           | 5/18/2010<br>2/22/2012  | 532941<br>2065697                       | 3/16/2010<br>6/3/2009                |
| 1171025   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | EP                         | 916663.8   | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                            |
| 60028953.2-08   | IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR  | DE.                        | 916663.8   | 3/24/2000   | 6/21/2006   | 1171025                                 | 1/16/2002                            |
| 5436499   | HIGH PERFORMANCE GAAS DEVICES AND METHOD  | US                         | 08/212115  | 3/11/1994   | 7/25/1995   | 77.777                                  |                                      |
| C040807   | REDUCTION OF DISLOCATIONS IN A HETEROEPITAXIAL SEMICONDUCTOR  |                            | ***************************************          |   |   |   |                                      |
| 6010937   | STRUCTURE   | US                         | 08/523694  | 9/5/1995  | 1/4/2000  |   |                                      |
| 8532728   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 12/345537  | 12/29/2008  | 9/10/2013   | 2009/0112073 A1                         | 4/30/2009                            |
| 5671914   | MULTI-BAND SPECTROSCOPIC PHOTODETECTOR ARRAY  | US                         | 08/553972  | 11/6/1995   | 9/30/1997   |   |                                      |
| 6066204   | HIGH PRESSURE MOCVD REACTOR SYSTEM  | US                         | 08/780724  | 1/8/1997  | 5/23/2000   |   |                                      |
| 6255708   | SEMICONDUCTOR P-1-N DETECTOR  | US                         | 08/949015  | 10/10/1997  | 7/3/2001  |   |                                      |
| 6635559   | FORMATION OF INSULATING ALUMINUM OXIDE IN SEMICONDUCTOR   | US                         | 09/949030  | 9/6/2001  | 10/21/2003  | 2003/00042501 A1                        | 3/6/2003                             |
| 7514725   | SUBSTRATES  |                            | aa Isooors                                       | 44 700 7000                                       | v fee feedada   |   |                                      |
| 7955965   | NANOPHOTOVOLTAIC DEVICES  NANOPHOTOVOLTAIC DEVICES  | US                         | 11/002850<br>12/851893                           | 11/30/2004  | 4/7/2009<br>6/7/2011  | 2006/0113557 A1                         | 6/1/2006                             |
| 7772612   | NANOPHOTOVOLTAIC DEVICES  NANOPHOTOVOLTAIC DEVICES  | US<br>US                   | 12/388895  | 8/6/2010<br>2/19/2009                             | 6/7/2011<br>8/10/2010   | 2010/0297803 A1<br>2009/0165852 A1      | 11/25/2010<br>7/2/2009               |
| 8242009   | NANOPHOTOVOLTAIC DEVICES  | US                         | 13/152977  | 6/3/2011  | 8/14/2012   | 2011/0237015 A1                         | 9/29/2011                            |
| 7471969   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 10/721607  | 11/25/2003  | 12/30/2008  | 2004/0158134 A1                         | 8/12/2004                            |
| 6654624   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 10/027574  | 12/19/2001  | 11/25/2003  | 02/0072660                              | 6/13/2002                            |
| 8455290   | METHOD OF FABRICATING EPITAXIAL STRUCTURES  | US                         | 12/807399  | 9/4/2010  | 6/4/2013  | 2012/0058591 A1                         | 3/8/2012                             |
| 6360114   | PULSE OXIMETER PROBE-OFF DETECTOR   | US                         | 09/531820  | 3/21/2000   | 3/19/2002   | **************************              | ace a a color a clara a conse a con- |
| 6771994   | PULSE OXIMETER PROBE-OFF DETECTION SYSTEM   | US                         | 10/374303  | 2/24/2003   | 8/3/2004  | 03/0139656                              | 7/24/2003                            |
| 6526300   | PULSE OXIMETER PROBE-OFF DETECTION SYSTEM   | US                         | 09/595081  | 6/16/2000   | 2/25/2003   | *************************************** | *******                              |
| 6152754   | CIRCUIT BOARD BASED CABLE CONNECTOR   | US                         | 09/470401  | 12/21/1999  | 11/28/2000  |   |                                      |
| 4987057   | UNIVERSAL/UPGRADING PULSE OXIMETER  | JP                         | 2009-242957                                      | 1/25/2000   | 5/11/2012   | **************                          | *********                            |
| 2684695   | UNIVERSAL/UPGRADING PULSE OXIMETER  | CA                         | 2684695  | 1/25/2000   | 11/6/2012   |   |                                      |
| 4986324   | UNIVERSAL/UPGRADING PULSE OXIMETER  | JP                         | 2000-594379                                      | 1/25/2000   | 5/11/2012   |   |                                      |
| 5590649   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION! TO  | US                         | 08/228213  | 4/15/1994   | 1/7/1997  |   |                                      |
| 1148809   | DETERMINE BLOOD PRESSURE UNIVERSAL/UPGRADING PULSE OXIMETER   | GB                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                           |
| **********  | UNIVERSAL/UPGRADING PULSE OXIMETER  | FR                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                           |
| 1142200   | UNIVERSAL/UPGRADING PULSE OXIMETER  | EP                         | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                           |
| 1148809<br>1148809  |   |                            | 907031.9   | 1/25/2000   | 11/14/2007  | 1148809                                 | 10/31/2001                           |
| 1148809   | UNIVERSAL/UPGRADING PULSE OXIMETER  | DE                         |  |   | วองจากเลยสายสายเลยส |   |                                      |
|   | Universal/upgrading pulse oximeter<br>Universal/upgrading pulse oximeter  | CA                         | 2358454  | 1/25/2000   | 3/23/2010   |   |                                      |
| 1148809<br>60037106.9-08  |   | 000000000000000000000      | 2358454<br>1962370.1                             | 1/25/2000<br>8/14/2001                            | 3/23/2010<br>7/1/2009   | 1309270                                 | 5/14/2003                            |
| 1148809<br>60037106.9-08<br>2358454   | UNIVERSAL/UPGRADING PULSE OXIMETER  | CA                         | **********                                       |   |   | 1309270<br>2064989                      | 5/14/2003<br>6/3/2009                |
| 1148809<br>60037106.9-08<br>2358454<br>1309270                                  | UNIVERSAL/UPGRADING PULSE OXIMETER<br>DUAL-MODE PULSE OXIMETER  | CA<br>SE                   | 1962370.1  | 8/14/2001   | 7/1/2009  |   |                                      |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270            | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER  | CA<br>SE<br>EP<br>NL       | 1962370.1<br>9002646.9<br>1962370.1              | 8/14/2001<br>8/14/2001<br>8/14/2001               | 7/1/2009<br>3/21/2012<br>7/1/2009   | 2064989                                 | 6/3/2009                             |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989                       | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER   | CA<br>SE<br>EP             | 1962370.1<br>9002646.9                           | 8/14/2001<br>8/14/2001                            | 7/1/2009<br>3/21/2012   | 2064989                                 | 6/3/2009                             |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270            | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO | CA<br>SE<br>EP<br>NL       | 1962370.1<br>9002646.9<br>1962370.1              | 8/14/2001<br>8/14/2001<br>8/14/2001<br>11/22/1995 | 7/1/2009<br>3/21/2012<br>7/1/2009<br>11/10/1998   | 2064989                                 | 6/3/2009                             |
| 1148809<br>60037106.9-08<br>2358454<br>1309270<br>2064989<br>1309270<br>5833618 | UNIVERSAL/UPGRADING PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A PHYSIOLOGICAL PARAMETER   | CA<br>SE<br>EP<br>NE<br>US | 1962370.1<br>9002646.9<br>1962370.1<br>08/561923 | 8/14/2001<br>8/14/2001<br>8/14/2001               | 7/1/2009<br>3/21/2012<br>7/1/2009   | 2064989                                 | 6/3/2009                             |

|                          | Schedule A - MASIM  |          |   |                          |                         |   |                          |
|--------------------------|---|----------|---|--------------------------|-------------------------|---|--------------------------|
|                          | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |          |   |                          |                         |   |                          |
| 6045509                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | US       | 09/026048                               | 2/19/1998                | 4/4/2000                |   |                          |
| 1309270<br>1309270       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | MC<br>LU | 1962370.1<br>1962370.1                  | 8/14/2001<br>8/14/2001   | 7/1/2009<br>7/1/2009    | 1309270<br>1309270                      | 5/14/2003<br>5/14/2003   |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | ΙΕ       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | GB       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270<br>1309270       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | FR<br>FI | 1962370.1<br>1962370.1                  | 8/14/2001                | 7/1/2009<br>7/1/2009    | 1309270<br>1 <b>30</b> 9270             | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | EP       | 1962370.1                               | 8/14/2001<br>8/14/2001   | 7/1/2009                | 1309270                                 | 5/14/2003<br>5/14/2003   |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | DK       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270                  | DUAL-MODE PULSE OXIMETER  | DE       | 1962370.1                               | 8/14/2001                | 7/1/2009                | 1309270                                 | 5/14/2003                |
| 1309270<br>8532727       | DUAL-MODE PULSE OXIMETER DUAL-MODE PULSE OXIMETER   | CH<br>US | 1962370.1<br>11/894722                  | 8/14/2001<br>8/20/2007   | 7/1/2009<br>9/10/2013   | 1309270<br>2008/0039701 A1              | 5/14/2003<br>2/14/2008   |
| 7530949                  | DUAL-MODE PULSE OXIMETER  | US       | 10/911391                               | 8/3/2004                 | 5/12/2009               | 2005/0065417 A1                         | 3/24/2005                |
| 3908783                  | AUTOMATICLLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE  | JP       | 513247/1996                             | 9/28/1995                | 1/26/2007               | 000000000000000000000000000000000000000 | 4/25/2007                |
| 6770028<br>8405608       | DUAL-MODE PULSE OXIMETER  SYSTEM AND METHOD FOR ALTERING A DISPLAY MODE                               | US<br>US | 09/641542<br>12/039704                  | 8/18/2000<br>2/28/2008   | 8/3/2004<br>3/26/2013   | 2008/0177160 A1                         | 7/24/2008                |
| \$20000                  | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  |          | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                          |                         |   |                          |
| 7991446                  | PULSE OXIMETER  | US       | 11/431151                               | 5/8/2006                 | 8/2/2011                | 2006/0258926 A1                         | 11/16/2006               |
| 7428432                  | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A PULSE OXIMETER                         | US       | 10/420994                               | 4/22/2003                | 9/23/2008               | 2003/0197679                            | 10/23/2003               |
| 6584336                  | UNIVERSAL/UPGRADING PULSE OXIMETER  | US       | 09/516110                               | 3/1/2000                 | 6/24/2003               |   |                          |
| 6463311 C1               | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 90/012562                               | 9/14/2012                | 4/25/2013               |   |                          |
| 1632172<br>1148813       | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR                 | EP<br>GB | 5025367.3<br>99965341.3                 | 12/28/1999<br>12/28/1999 | 3/2/2011<br>11/23/2005  | 1632172<br>1148813                      | 3/8/2006<br>10/31/2001   |
| 2305103                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | EP       | 10182439.9                              | 12/28/1999               | 9/25/2013               | 2305103                                 | 4/6/2011                 |
| 1148813                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | EP       | 99965341.3                              | 12/28/1999               | 11/23/2005              | 1148813                                 | 10/31/200                |
| 69928569.0-08<br>1148813 | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | DE<br>^- | 99965341.3                              | 12/28/1999               | 11/23/2005              | 1148813                                 | 10/31/200                |
| 7988637                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR                 | AT<br>US | 99965341.3<br>11/418328                 | 12/28/1999<br>5/3/2006   | 11/23/2005<br>8/2/2011  | 1148813<br>2006/0206021 A1              | 10/31/2001<br>9/14/2006  |
| 7044918                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 10/974095                               | 10/27/2004               | 5/16/2006               | US-2005-0085702-A1                      |                          |
| 6816741                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 10/267446                               | 10/8/2002                | 11/9/2004               | 03/0032873                              | 2/13/2003                |
| 5904654<br>5791347       | EXCITER-DETECTOR UNIT FOR MEASURING PHYSIOLOGICAL PARAMETERS MOTION INSENSITIVE PULSE DETECTOR        | US<br>US | 08/606563                               | 2/26/1996                | 5/18/1999               |   | 22222222222222222        |
| 6463311                  | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US       | 08/700647<br>09/471510                  | 8/14/1996<br>12/23/1999  | 8/11/1998<br>10/8/2002  |   |                          |
| 1139858                  | OXIMETRY PULSE INDICATOR  | GB       | 903166.7                                | 1/7/2000                 | 4/18/2007               | 1139858                                 | 10/10/2001               |
| 1139858                  | OXIMETRY PULSE INDICATOR  | EP       | 903166.7                                | 1/7/2000                 | 4/18/2007               | 1139858                                 | 10/10/2001               |
| 60034426.6-08<br>4300032 | OXIMETRY PULSE INDICATOR PULSE OXIMETRY DATA CONFIDENCE INDICATOR                                     | DE<br>JP | 903166.7<br>2002-588840                 | 1/7/2000<br>5/13/2002    | 4/18/2007<br>4/24/2009  | 1139858                                 | 10/10/2001               |
| 7024233 C1               | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 90/012553                               | 9/13/2012                | 9/3/2013                |   |                          |
| 6684090 C1               | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 90/012567                               | 9/14/2012                | 12/12/2013              |   |                          |
| 6027452                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 08/672218                               | 6/26/1996                | 2/22/2000               |   |                          |
| 6632181<br>6939305       | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE | US<br>US | 09/412295<br>10/685068                  | 10/5/1999<br>10/14/2003  | 10/14/2003<br>9/6/2005  | 2002/0099296 A1<br>04/0077956           | 7/25/2002<br>4/22/2004   |
| 7041060                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 11/220035                               | 9/6/2005                 | 5/9/2006                | 2006/0004293 A1                         | 1/5/2006                 |
| 7618375                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | US       | 11/413718                               | 4/28/2006                | 11/17/2009              | 2006/0206030 A1                         | 9/14/2006                |
| 7951086<br>8046040       | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE PULSE OXIMETRY DATA CONFIDENCE INDICATOR           | US<br>US | 12/617648<br>11/397372                  | 11/12/2009<br>4/4/2006   | 5/31/2011<br>10/25/2011 | 2010/0056930 A1<br>2006/0195025 A1      | 3/4/2010                 |
| 7024233                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 10/942672                               | 9/16/2004                | 4/4/2006                | 2005/0033128 A1                         | 8/31/2006<br>2/10/2005   |
| 6996427                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 10/739794                               | 12/18/2003               | 2/7/2006                | US-2004-0133087-A1                      | 7/8/2004                 |
| 6684090                  | PULSE OXIMETRY DATA CONFIDENCE INDICATOR  | US       | 09/858114                               | 5/15/2001                | 1/27/2004               | 02/0035315                              | 3/21/2002                |
| 6606511<br>6285896       | PULSE OXIMETRY PULSE INDICATOR  FETAL PULSE OXIMETRY SENSOR   | US<br>US | 09/478230<br>09/348767                  | 1/6/2000<br>7/7/1999     | 8/12/2003<br>9/4/2001   |   |                          |
| 7899507 C1               | PHYSIOLOGICAL MONITOR   | US       | 90/012541                               | 9/14/2012                | 12/26/2012              |   |                          |
| 1082050                  | STEREO PULSE OXIMETER   | EP       | 99925958.3                              | 5/27/1999                | 8/24/2011               | 1082050                                 | 3/14/2001                |
| 6852083                  | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD PRESSURE MONITOR                      | US       | 10/052977                               | 1/17/2002                | 2/8/2005                | 02/0095090                              | 7/18/2002                |
| 7894868                  | PHYSIOLOGICAL MONITOR   | US       | 11/429473                               | 5/5/2006                 | 2/22/2011               | 2006/0258925 A1                         | 11/16/2006               |
| 8255028                  | PHYSIOLOGICAL MONITOR   | US       | 11/429471                               | 5/5/2006                 | 8/28/2012               | 2006/0258924 A1                         | 11/16/2006               |
| 5785659                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | US       | 08/651201                               | 5/17/1996                | 7/28/1998               |   |                          |
| 7891355<br>8364223       | PHYSIOLOGICAL MONITOR PHYSIOLOGICAL MONITOR   | US<br>US | 11/417661<br>11/417931                  | 5/3/2006<br>5/3/2006     | 2/22/2011<br>1/29/2013  | 2006/0281983 A1<br>2006/0258923 A1      | 12/14/2006<br>11/16/2006 |
| 7899507                  | PHYSIOLOGICAL MONITOR   | US       | 11/417545                               | 5/3/2006                 | 3/1/2011                | 2006/0270920 A1                         | 11/30/2006               |
| 7761128                  | PHYSIOLOGICAL MONITOR   | US       | 11/104720                               | 4/13/2005                | 7/20/2010               | 2005/0197551 A1                         | 9/8/2005                 |
| 6898452<br>6714804       | STEREO PULSE OXIMETER STEREO DI IL SE OVINACTER   | US       | 10/668487                               | 9/22/2003                | 5/24/2005               | 04/0059209                              | 3/25/2004                |
| 6334065                  | STEREO PULSE OXIMETER STEREO PULSE OXIMETER   | US<br>US | 10/026013<br>09/323176                  | 12/21/2001<br>5/27/1999  | 3/30/2004<br>12/25/2001 | 02/0082488                              | 6/27/2002                |
| 6165005                  | PATIENT CABLE SENSOR SWITCH   | US       | 09/456232                               | 12/7/1999                | 12/25/2001              | *******************************         |                          |
| 5997343<br>7844313       | PATIENT CABLE SENSOR SWITCH PULSE OXIMETRY SENSOR ADAPTER   | US       | 09/044705                               | 3/19/1998                | 12/7/1999               |   |                          |
|                          | FOR CONTRETAL SENSOR ADAPTER  | US<br>US | 11/341999                               | 1/27/2006                | 11/30/2010              | 2006/0189859 A1                         | 8/24/2006                |
| 6325761                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | US       | 09/151910<br>09/514917                  | 9/11/1998<br>2/28/2000   | 10/10/2000<br>12/4/2001 |   |                          |
| 771503<br>2343092        | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | AU       | 60347/99                                | 9/10/1999                | 7/8/2001                |   | 5/25/2000                |
| 1112023                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS     | CA       | 2343092                                 | 9/10/1999                | 11/4/2008               |   | , 4, 435HJ               |
| 1112023                  | DEVICE AND METHOD FOR MEASURING PULSUS PARADOXUS  | EP<br>GB | 99969003.5<br>99969003.5                | 9/10/1999                | 1/10/2007               | 1112023                                 | 7/4/2001                 |
| 6993371                  | PULSE OXIMETRY SENSOR ADAPTER   | US       | 10/624446                               | 9/10/1999                | 1/10/2007               | 1112023                                 | 7/4/2001                 |

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|                    | Sec. Sec.   |            |                          |                          |                        |   |
| 6597933            | Pulse oximetry sensor adapter   | US         | 09/982453                | 10/17/2001               | 7/22/2003              | 02/0026107 2/28/2002                              |
| 6349228            | PULSE OXIMETRY SENSOR ADAPTER   | US         | 09/404060                | 9/23/1999                | 2/19/2002              |   |
| 5995855            | PULSE OXIMETRY SENSOR ADAPTER   | US         | 09/021957                | 2/11/1998                | 11/30/199 <b>9</b>     |   |
| 6830711            | MOLD TOOL FOR AN OPTOELECTRONIC ELEMENT  METHOD OF PROVIDING AN OPTOELECTRONIC ELEMENT WITH A NON-              | US         | 10/336953                | 1/3/2003                 | 12/14/2004             | 03/0143297 7/31/2003                              |
| 7332784            | PROTRUDING LENS   | US         | 11 <b>/</b> 475725       | 6/27/2006                | 2/19/2008              | 2007/0007612 A1 1/11/2007                         |
| 7067893            | OPTOELECTRONIC ELEMENT WITH A NON-PROTRUDING LENS   | US         | 10/337058                | 1/3/2003                 | 6/27/2006              | 03/0132495 7/17/2003                              |
| 6525386            | NON-PROTRUDING OPTOELECTRONIC LENS  | US         | 09/038494                | 3/10/1998                | 2/25/2003              |   |
| 6184521            | PHOTODIODE DETECTOR WITH INTEGRATED NOISE SHIELDING   | US         | 09/003224                | 1/6/1998                 | 2/6/2001               |   |
| 5890929            | SHIELDED MEDICAL CONNECTOR  | US         | 08/868164                | 6/3/1997                 | 4/6/1999               |   |
| 8180420 C1         | SIGNAL PROCESSING APPARATUS AND METHOD  | US         | 90/012542                | 9/13/2012                | 11/19/2013             |   |
| 6067462<br>6699194 | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                                   | US<br>US   | 09/081539<br>09/547588   | 5/19/1998<br>4/11/2000   | 5/23/2000<br>3/2/2004  |   |
| 6002952            | SIGNAL PROCESSING APPARATUS AND METHOD  | US         | 08/834194                | 4/14/1997                | 12/14/1999             |   |
|                    | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  |            |                          |                          |                        |   |
| 4454854            | OXIMETRY SYSTEM   | JP         | 2000-543037              | 4/9/1999                 | 2/12/2010              |   |
| 1067861            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | GB         | 99916568.1               | 4/9/1999                 | 7/12/2006              | 1067861 1/17/2001                                 |
|                    | OXIMETRY SYSTEM  METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE                                       |            |                          |                          |                        |   |
| 1067861            | OXIMETRY SYSTEM   | EP         | 99916568.1               | 4/9/1999                 | 7/12/2006              | 1067861 1/17/2001                                 |
| 622005.6           | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  |            | 00/050700                | 4/40/4000                | F /0 /2004             |   |
| 6229856            | OXIMETRY SYSTEM   | US         | 09/058799                | 4/10/1998                | 5/8/2001               |   |
| 5919134            | METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE  | us         | 09/005898                | 1/12/1998                | 7/6/1999               |   |
|                    | OXIMETRY SYSTEM   |            |                          |                          |                        | to teeps  |
| 1030181<br>2055550 | PATIENT CABLE CONNECTOR  PATIENT CABLE CONNECTOR  | JP<br>GB   | 10985/1996<br>2055550    | 4/16/1996<br>4/16/1996   | 11/6/1998<br>9/23/1996 | 1/20/1999   |
| M9603723.7         | PATIENT CABLE CONNECTOR   | DE         | 9603723.7                | 4/16/1996                | 10/22/1996             |   |
| 6280213            | PATIENT CABLE CONNECTOR   | US         | 09/708251                | 11/7/2000                | 8/28/2001              |   |
| 5934925            | PATIENT CABLE CONNECTOR   | US         | 08/838392                | 4/9/1997                 | 8/10/1999              |   |
| 5645440            | PATIENT CABLE CONNECTOR   | US         | 08/543297                | 10/16/1995               | 7/8/1997               |   |
| 5758644            | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US         | 08/478493                | 6/7/1995                 | 6/2/1998               |   |
| 6011986            | MANUAL AND AUTOMATIC PROBE CAUBRATION   | US         | 09/016924                | 2/2/1998                 | 1/4/2000               |   |
| 6397091            | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US<br>US   | 09/451151                | 11/30/1999               | 5/28/2002              | 20123 9/6/2001                                    |
| 6678543<br>7496391 | OPTICAL PROBE AND POSITIONING WRAP  MANUAL AND AUTOMATIC PROBE CALIBRATION                                      | US         | 10/005711<br>10/757279   | 11/8/2001<br>1/13/2004   | 1/13/2004<br>2/24/2009 | 02/0062071 5/23/2002<br>2004/0147824 A1 7/29/2004 |
| 7526328            | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US         | 11/640077                | 12/15/2004               | 4/28/2009              | 2007/0112260 A1 5/17/2007                         |
| 8145287            | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US         | 12/430049                | 4/24/2009                | 3/27/2012              | 2009/0270703 A1 10/29/2009                        |
| 6263222 C1         | Signal Processing Apparatus   | US         | 90/012403                | 7/23/2012                | 8/9/2013               |   |
| 5823950            | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US         | 08/745474                | 11/12/1996               | 10/20/1998             |   |
| 729132             | MANUAL AND AUTOMATIC PROBE CALIBRATION  | AU         | 41065/99                 | 6/4/1996                 | 11/15/2001             |   |
| 7530955 C1         | SIGNAL PROCESSING APPARATUS   | US         | 90/012566                | 9/14/2012                | 1/30/2014              |   |
| 832421<br>704383   | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER | AT<br>AU   | 96917089.3<br>59771/96   | 6/4/1996<br>6/4/1996     | 8/28/2002<br>7/29/1999 | 12/30/1996  |
| 704383<br>832421   | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | BE         | 96917089.3               | 6/4/1996                 | 8/28/2002              | 12/30/1996  |
| PI9706436-0        | MANUAL AND AUTOMATIC PROBE CALIBRATION  | BR         | PI9706436-0              | 12/19/1997               | 5/6/2008               | 12/7/1999   |
| 2221446            | OPTICAL SENSOR INCLUDING INFORMATION ELEMENT  | CA         | 2221446                  | 6/4/1996                 | 9/30/2008              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | CH         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 96195864.2         | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | CN         | 96195864.2               | 6/4/1996                 | 7/2/2003               | 9/2/1998  |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | DE         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | DK         | 96917089.3               | 6/4/1996                 | 8/28/2002              | 4/1/1000  |
| 832421<br>832421   | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER | ES         | 96917089.3<br>96917089.3 | 6/4/1996<br>6/4/1996     | 8/28/2002<br>8/28/2002 | 4/1/1998  |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | Fl         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | FR         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | GB         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | GR         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| HK1009848          | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | HK         | 98110565.7               | 6/4/1996                 | 4/4/2003               | 1009848 6/11/1999                                 |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | IE<br>     | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 832421<br>1238627  | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER MEDICAL SENSOR AND INFORMATION SYSTEM                   | IT<br>EP   | 96917089.3<br>2012382.4  | 6/4/1996<br>6/4/1996     | 8/28/2002<br>8/12/2009 | 1238627 9/11/2002                                 |
| HK1049779          | MEDICAL SENSOR AND INFORMATION SYSTEM   | HK         | 3101733.7                | 6/4/1996                 | 12/11/2009             | HK1049779 5/30/2003                               |
| 3837161            | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | JP         | 9-501166                 | 6/4/1996                 | 8/4/2006               | 3,30,2003   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | NL         | 96917089.3               | 6/4/1996                 | 8/28/2002              |   |
| 2357059            | SIGNAL PROCESSING APPARATUS   | CA         | 2357059                  | 10/10/1995               | 12/7/2010              |   |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | PT         | 96917089.3               | 6/4/1996                 | 8/28/2002              | 200000000000000000000000000000000000000           |
| 725063             | PHYSIOLOGICAL MONITOR AND METHOD OF MINIMIZING NOISE  | AU         | 21258/99                 | 10/10/1995               | 1/25/2001              | 42 42 5007  |
| 4021916<br>2199723 | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER | JP<br>RU   | 2005-353967<br>98100085  | 6/4/1996                 | 10/5/2007              | 12/12/2007  |
| 832421             | LIGHT SOURCE WITH ADJUSTABLE WAVELENGTH FOR AN OXIMETER   | SE         | 96917089.3               | 6/4/1996<br>6/4/1996     | 2/27/2003<br>8/28/2002 |   |
| 196645             | SIGNAL PROCESSING APPARATUS   | MX         | 972434                   | 10/10/1995               | 5/25/2000              |   |
| 5638818            | LOW NOISE OPTICAL PROBE   | US         | 08/333132                | 11/1/1994                | 6/17/1997              |   |
| 3705814            | SIGNAL PROCESSING APPARATUS   | JP         | 8-514054                 | 10/10/1995               | 8/5/2005               | 10/12/2005  |
| 95196636.7         | SIGNAL PROCESSING APPARATUS   | CN         | 95196636.7               | 10/10/1995               | 2/12/2003              | 12/24/1997  |
| 2199016            | SIGNAL PROCESSING APPARATUS   | CA         | 2199016                  | 10/10/1995               | 1/1/2002               | rurhees.  |
| 699762<br>760205   | SIGNAL PROCESSING APPARATUS PHYSIOLOGICAL MONITOR AND METHOD OF MINIMIZING NOISE                                | AU<br>AU   | 39623/95<br>71730/00     | 10/10/1995<br>10/10/1995 | 4/1/1999               | 5/15/1996   |
| 3576168            | LOW NOISE OPTICAL PROBE   | JP         | 8-514884                 | 11/1/1995                | 9/4/2003<br>7/16/2004  | 10/13/2004  |
|                    |   |            | _ 51.554                 | **/ */ ±333              | ,, 10, 2004            | 10, 13, 2004                                      |

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|-----------------------|--|------------------|--------------------------|-------------------------|-------------------------|---|
|                       |  |                  |                          |                         |                         |   |
| 5632272<br>4173429    | SIGNAL PROCESSING APPARATUS  LOW NOISE OPTICAL PROBE   | US<br>JP         | 08/320154<br>2003-390644 | 10/7/1994<br>11/1/1995  | 5/27/1997<br>8/22/2008  | 10/29/2008  |
| 7962190               | SIGNAL PROCESSING APPARATUS  | US               | 09/111604                | 7/7/1998                | 6/14/2011               | 10/23/2008  |
| 723417                | FINGER-COT OXIMETRIC PROBE   | GB               | 94922544.5               | 7/13/1994               | 4/2/2003                |   |
| 723417                | FINGER-COT OXIMETRIC PROBE   | FR               | 94922544.5               | 7/13/1994               | 4/2/2003                |   |
| 723417<br>69432421.3  | FINGER-COT OXIMETRIC PROBE   | EP<br>DE         | 94922544.5<br>94922544.5 | 7/13/1994<br>7/13/1994  | 4/2/2003<br>4/2/2003    | 723417 7/31/1996                                  |
| 94194813.7            | FINGER-COT OXIMETRIC PROBE FINGER-COT OXIMETRIC PROBE  | CN               | 94922344,5               | 7/13/1994               | 1/8/2003                | 1/29/1997   |
| 688352                | SENSOR PROBE COMPRISING A FINGER COT AND A SOURCE AND DETECTOR OF                                      | AU               | 73613/94                 | 7/13/1994               | 7/2/1998                | 2/13/1995   |
| 6371921               | ELECTROMAGNETIC ENERGY (AMENDED TITLE) SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD | US               | 09/430928                | 11/1/1999               | 4/16/2002               |   |
| 6595316               | PRESSURE MONITOR TENSION-ADJUSTABLE MECHANISM FOR STETHOSCOPE EARPIECES                                | US               | 09/907796                | 7/18/2001               | 7/22/2003               | 2003/0015368 A1 1/23/2003                         |
| 5561275               | HEADSET FOR ELECTRONIC STETHOSCOPE   | US               | 08/234254                | 4/28/1994               | 10/1/1996               | 2003/0013300 A1 2/23/2003                         |
| 75753                 | THORACIC COUPLER   | CA               | 1994-2101                | 10/21/1994              | 2/16/1995               |   |
| DES361840             | STETHOSCOPE HEAD   | US               | 29/021668                | 4/21/1994               | 8/29/1995               |   |
| 76446<br>DES363120    | EARTIP   | CA               | 1994-2103                | 10/21/1994              | 5/25/1995               |   |
| 76445                 | STETHOSCOPE EAR TIP STETHOSCOPE HEADSET  | US<br>CA         | 29/021665<br>1994-2102   | 4/21/1994<br>10/21/1994 | 10/10/1995<br>5/25/1995 |   |
| DES362063             | STETHOSCOPE HEADSET  | US               | 29/021646                | 4/21/1994               | 9/5/1995                |   |
| 74948                 | STETHOSCOPE HEAD   | CA               | 28-05-93-8               | 11/12/1993              | 10/13/1994              |   |
| DES353196             | STETHOSCOPE HEAD   | US               | 29/008786                | 5/28/1993               | 12/6/1994               |   |
| 74277<br>DES353195    | ELECTRONIC STETHOSCOPE HOUSING  ELECTRONIC STETHOSCOPE HOUSING   | CA<br>US         | 28-05-93-9<br>29/008785  | 11/12/1993<br>5/28/1993 | 5/26/1994<br>12/6/1994  |   |
| 5602924               | ELECTRONIC STETHOSCOPE   | US               | 08/164382                | 12/9/1993               | 2/11/1997               |   |
| 6236872               | SIGNAL PROCESSING APPARATUS  | US               | 09/199744                | 11/25/1998              | 5/22/2001               |   |
| 7215984               | SIGNAL PROCESSING APPARATUS  | US               | 10/838593                | 5/4/2004                | 5/8/2007                | 2004/0204636 A1 10/14/2004                        |
| 6650917<br>6745060    | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS  | US<br>US         | 10/005631<br>10/006427   | 12/4/2001               | 11/18/2003              | 2003/0036689 A1 2/20/2003<br>02/0077536 6/20/2002 |
| RE38476               | SIGNAL PROCESSING APPARATUS  | US               | 10/185804                | 12/3/2001<br>6/27/2002  | 6/1/2004<br>3/30/2004   | 02/0077536 6/20/2002                              |
| 8364226               | SIGNAL PROCESSING APPARATUS  | US               | 13/370239                | 2/9/2012                | 1/29/2013               | 2012/0149997 A1 6/14/2012                         |
| 7454240               | SIGNAL PROCESSING APPARATUS  | US               | 11/432278                | 5/11/2006               | 11/18/2008              | 2006/0217609 A1 9/28/2006                         |
| 7383070               | SIGNAL PROCESSING APPARATUS  | US               | 11/003231                | 12/3/2004               | 6/3/2008                | 2006/0089549 A1 4/27/2006                         |
| 6157850<br>5534851    | SIGNAL PROCESSING APPARATUS  ALARM FOR PATIENT MONITOR AND LIFE SUPPORT EQUIPMENT                      | US<br>U <b>S</b> | 08/859837<br>08/254393   | 5/16/1997<br>6/6/1994   | 12/5/2000<br>7/9/1996   |   |
| 5319355               | ALARM FOR PATIENT MONITOR AND LIFE SUPPORT EQUIPMENT SYSTEM  | US               | 07/727308                | 7/10/1991               | 6/7/1994                |   |
| 7483730               | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  | US               | 10/957843                | 10/4/2004               | 1/27/2009               | 2005/0043600 A1 2/24/2005                         |
| 6813511               | LOW-NOISE OPTICAL PROBES FOR REDUCING AMBIENT NOISE  | US               | 10/260049                | 9/27/2002               | 11/2/2004               | 03/0045785 3/6/2003                               |
| 6792300<br>6256523    | LOW-NOISE OPTICAL PROBES FOR REDUCING LIGHT PIPING  LOW-NOISE OPTICAL PROBES                           | US<br>US         | 09/898990<br>09/094202   | 7/3/2001<br>6/9/1998    | 9/14/2004<br>7/3/2001   | 02/0026109 2/28/2002                              |
| 6088607               | LOW NOISE OFTICAL PROBE  | US               | 08/790674                | 1/28/1997               | 7/11/2000               |   |
| 5041187               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE AND METHOD OF FORMING THE SAME                            | US               | 07/591552                | 10/1/1990               | 8/20/1991               |   |
| 5069213               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE AND ENCODER   | US               | 07/452719                | 12/19/1989              | 12/3/1991               |   |
| 4964408               | OXIMETER SENSOR ASSEMBLY WITH INTEGRAL CABLE   | US               | 07/188217                | 4/29/1988               | 10/23/1990              |   |
| 5431170               | PULSE RESPONSIVE DEVICE  | US               | 07/938179                | 5/28/1991               | 7/11/1995               |   |
| 6826419               | SIGNAL PROCESSING APPARATUS AND METHOD   | US               | 10/327234                | 12/20/2002              | 11/30/2004              | 03/0097049 5/22/2003                              |
| 6501975<br>6206830    | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                          | US<br>US         | 09/757444<br>09/441736   | 1/9/2001<br>11/17/1999  | 12/31/2002<br>3/27/2001 |   |
| 6036642               | SIGNAL PROCESSING APPARATUS AND METHOD   | US               | 09/102131                | 6/22/1998               | 3/14/2000               |   |
| 5769785               | SIGNAL PROCESSING APPARATUS AND METHOD   | US               | 08/479918                | 6/7/1995                | 6/23/1998               |   |
| 7132641               | SHIELDED OPTICAL PROBE HAVING AN ELECTRICAL CONNECTOR  | US               | 10/404961                | 3/31/2003               | 11/7/2006               | 2003/0162414 A1 8/28/2003                         |
| 6541756<br>DES.393830 | SHIELDED OPTICAL PROBE HAVING AN ELECTRICAL CONNECTOR PATIENT CABLE CONNECTOR                          | US<br>US         | 09/770757<br>29/045258   | 1/25/2001<br>10/16/1995 | 4/1/2003<br>4/28/1998   | 45532 11/29/2001                                  |
| 7937130               | SIGNAL PROCESSING APPARATUS  | US               | 12/340577                | 12/19/2008              | 5/3/2011                | 2009/0099430 A1 4/16/2009                         |
| 7469157               | SIGNAL PROCESSING APPARATUS  | US               | 10/779033                | 2/13/2004               | 12/23/2008              | 2004/0236196 A1 11/25/2004                        |
| 6263222               | SIGNAL PROCESSING APPARATUS  | US               | 08/943511                | 10/6/1997               | 7/17/2001               |   |
| 5685299<br>5490505    | SIGNAL PROCESSING APPARATUS SIGNAL PROCESSING APPARATUS  | US<br>US         | 08/572488<br>08/132812   | 12/14/1995<br>10/6/1993 | 11/11/1997<br>2/13/1996 |   |
| 5452717               | FINGER-COT PROBE   | US               | 08/253100                | 6/2/1994                | 9/26/1995               |   |
| 5337744               | LOW NOISE FINGER COT PROBE   | US               | 08/091873                | 7/14/1993               | 8/16/1994               |   |
| 2096985               | LOW NOICE OPTICAL PROBE  | RU               | 93058378                 | 3/5/1992                | 11/27/1997              |   |
| 3464215               | LOW NOISE OPTICAL PROBE  | JP               | 507871/1992              | 3/5/1992                | 8/22/2003               | 00000 00000000 00000000 00000000 000000           |
| 576560<br>HK1010670   | LOW NOISE OPTICAL PROBE  LOW NOISE OPTICAL PROBE   | IT<br>HK         | 92908666.8<br>98111719   | 3/5/1992<br>3/5/1992    | 5/3/2000<br>1/12/2001   | 1010670 6/25/1999                                 |
| 576560                | LOWNOISE OPTICAL PROBE   | GB               | 92908666.8               | 3/5/1992                | 5/3/2000                | 2010075 0,25,1333                                 |
| 576560                | LOW NOISE OPTICAL PROBE  | FR               | 92908666.8               | 3/5/1992                | 5/3/2000                |   |
| 576560                | LOW NOISE OPTICAL PROBE  | EP               | 92908666.8               | 3/5/1992                | 5/3/2000                | 1/5/1994  |
| 576560<br>2105681     | LOW NOISE OPTICAL PROBE LOWNOISE OPTICAL PROBE   | DE<br>CA         | 92908666.8<br>2105681    | 3/5/1992<br>3/5/1992    | 5/3/2000<br>7/8/2003    | 10/1/1992   |
| 576560                | LOW NOISE OPTICAL PROBE  | BE               | 92908666.8               | 3/5/1992                | 7/8/2003<br>5/3/2000    | 10/1/1992   |
| 664175                | LOW NOISE OPTICAL PROBE  | ΑU               | 15691/92                 | 3/5/1992                | 3/5/1996                |   |
| 5782757               | LOW NOISE OPTICAL PROBES   | US               | 08/543789                | 10/16/1995              | 7/21/1998               |   |
| 574509                | SIGNAL PROCESSING APPARATUS AND METHOD   | SE               | 92907861.6               | 3/5/1992                | 9/15/1999               |   |
| 2144211<br>574509     | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                          | RU<br>NŁ         | 93058616<br>92907861.6   | 3/5/1992<br>3/5/1992    | 1/10/2000<br>9/15/1999  |   |
| 3363150               | SIGNAL PROCESSING APPARATUS AND METHOD   | JP               | 507451/1992              | 3/5/1992                | 10/25/2002              |   |
|                       |  | **********       |                          |                         |                         |   |

| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | IT                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
|---|---|---|--------------------------|------------------------|-------------------------|---|---|
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | GB                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | FR                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | EP                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   | 12/22/1993                              |
| 69229994.7                              | SIGNAL PROCESSING APPARATUS AND METHOD  | DE                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 2105682                                 | SIGNAL PROCESSING APPARATUS AND METHOD  | CA                                      | 2105682                  | 3/5/1992               | 9/2/2003                |   | 9/17/1992                               |
| 574509                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | BE                                      | 92907861.6               | 3/5/1992               | 9/15/1999               |   |   |
| 658177                                  | SIGNAL PROCESSING APPARATUS AND METHOD  | AU<br>US                                | 15369/92                 | 3/5/1992               | 7/24/1995               |   |   |
| RE38492<br>5482036                      | SIGNAL PROCESSING APPARATUS AND METHOD SIGNAL PROCESSING APPARATUS AND METHOD                                       | US                                      | 10/095586<br>08/249690   | 3/11/2002<br>5/26/1994 | 4/6/2004<br>1/9/1996    |   |   |
| 5494043                                 | ARTERIAL SENSOR   | US                                      | 08/059425                | 5/4/1993               | 2/27/1996               |   |   |
| <u>}</u>                                | METHOD AND APPARATUS FOR CONTINUOUSLY AND NONINVASIVELY   | ***********                             |                          |                        |                         |   |   |
| 5163438                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   | US                                      | 07/586794                | 9/24/1990              | 11/17/1992              |   | }                                       |
| 4960128                                 | METHOD AND APPARATUS FOR CONTINUOUSLY AND NON-INVASIVELY  | US                                      | 07/270224                | 11/14/1988             | 10/2/1990               |   |   |
| 4900126                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   |   | G11210224                | 11/14/1200             | 10/2/1330               |   |   |
| 5533511                                 | APPARATUS AND METHOD FOR NONINVASIVE BLOOD PRESSURE   | US                                      | 08/177448                | 1/5/1994               | 7/9/1996                |   |   |
|   | MEASUREMENT   |   |                          |                        |                         | 220222222222222222222222222222222222222 | 200000000000000000000000000000000000000 |
| 5726440                                 | WAVELENGTH SELECTIVE PHOTODETECTOR  APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO                   | US                                      | 08/553875                | 11/6/1995              | 3/10/1998               |   |   |
| 69618654.3                              | DETERMINE A PHYSIOLOGICAL PARAMETER   | DE                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
| <b></b>                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   | *****************                       |
| 855874                                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | EP                                      | 96934010.8               | 10/2/1996              | 1/2/2002                | 855874                                  | 8/5/1998                                |
| 055074                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   | 00024040                 | 40/2/1006              | 1/2/2002                |   |   |
| 855874                                  | DETERMINE A PHYSIOLOGICAL PARAMETER   | FR                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
| 855874                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | GB                                      | 96934010.8               | 10/2/1996              | 1/2/2002                |   |   |
|   | DETERMINE A PHYSIOLOGICAL PARAMETER   |   | 30334010.0               | 10/1/2550              | 2,2,2002                |   |   |
| 3703496                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | JP                                      | 9-514398                 | 10/2/1996              | 7/29/2005               |   | 10/5/2005                               |
|   | **************************************  |   |                          |                        |                         |   | ******************                      |
| 857034                                  | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | DE                                      | 96934056,1               | 10/3/1996              | 6/29/2005               | 857034                                  | 8/12/1998                               |
|   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   |   |
| 857034                                  | DETERMINE A DUVICAL CONDITION OF THE HIMAN ARTERIAL COCTEM  | EP                                      | 96934056.1               | 10/3/1996              | 6 <b>/</b> 29/2005      | 857034                                  | 8/12/1998                               |
| 1                                       | AFFARATUS AND INCTIDUTOR INCASORING ANTROCOTO FERTURANTO TO REALESTANDO   |   |                          | 40794400-              | e tan haar              | 9024                                    |   |
| 857034                                  | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | GB                                      | <u> </u>                 | 10/3/1996              | 6/29/2005               | 857034                                  | 8/12/1998                               |
| 3712418                                 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | JP                                      | 9-515847                 | 10/3/1996              | 8/26/2005               |   |   |
| 3/12410                                 | DETERMINE A PHYSICAL CONDITION OF THE HUMAN ARTERIAL SYSTEM   | ****                                    |                          |                        |                         |   |   |
| 785746                                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | DE                                      | 95935672.6               | 9/28/1995              | 2/25/2004               | 785746                                  | 7/30/1997                               |
| 785746                                  | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE   | EP<br>FR                                | 95935672.6<br>95935672.6 | 9/28/1995<br>9/28/1995 | 2/25/2004<br>2/25/2004  | 785746<br>785746                        | 7/30/1997<br>7/30/1997                  |
| 785746<br>785746                        | AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE AUTOMATICALLY ACTIVATED BLOOD PRESSURE MEASUREMENT DEVICE | GB                                      | 95935672.6               | 9/28/1995              | 2/25/2004               | 785746                                  | 7/30/1997                               |
| 763740                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         | 703740                                  |   |
| 2187638                                 | DETERMINE BLOOD PRESSURE  | CA                                      | 2187638                  | 4/3/1995               | 2/29/2000               |   |   |
|   | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | DE                                      | 05015533.5               | 4/3/1005               | 10/10/2001              |   |   |
| 69523150.2                              | DETERMINE BLOOD PRESSURE  | DE                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | EP                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   | 1/29/1997                               |
| 733EEX                                  | DETERMINE BLOOD PRESSURE  |   |                          |                        |                         |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   | FR                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
|   | DETERMINE BLOOD PRESSURE  |   |                          |                        |                         |   |   |
| 755221                                  | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE BLOOD PRESSURE                              | GB                                      | 95915523.5               | 4/3/1995               | 10/10/2001              |   |   |
| K-2000000000000000000000000000000000000 | APPARATUS AND METHOD FOR MEASURING AN INDUCED PERTURBATION TO   |   |                          |                        |                         |   |   |
| 2831471                                 | DETERMINE BLOOD PRESSURE  | JP                                      | 7-526991                 | 4/3/1995               | 9/25/1998               |   |   |
| *******                                 | METHOD AND APPARATUS FOR CONTINUOUSLY AND NON-INVASIVELY  | r x                                     | 614937                   | 0/20/1090              | 1/21/1005               |   |   |
| 1334211                                 | MEASURING THE BLOOD PRESSURE OF A PATIENT   | CA                                      | 614837                   | 9/29/1989              | 1/31/1995               |   |   |
| 955868                                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | EP                                      | 97930025.8               | 6/12/1997              | 8/16/2006               | 955868                                  | 11/17/1999                              |
| 955868                                  | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | GB                                      | 97930025.8               | 6/12/1997              | 8/16/2006               | 955868                                  | 11/17/1999                              |
| 3957758                                 | RAPID NON-INVASIVE BLOOD PRESSURE MEASURING DEVICE  | JP                                      | 10-503199                | 6/12/1997              | 5/18/2007               | 700000000000000000000000000000000000000 | 8/15/2007                               |
| 1227754                                 | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD   | DE                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
|   | PRESSURE MONITOR  METHOD FOR MEASURING AN INDUCED PERTURBATION TO DETERMINE A                                       |   |                          |                        |                         |   |   |
| 1227754                                 | PHYSIOLOGICAL PARAMETER   | EP                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
|   | SYSTEM AND METHOD OF DETERMINING WHETHER TO RECALIBRATE A BLOOD   | 000000000000000000000000000000000000000 |                          |                        |                         |   | 0.4242.222                              |
| 1227754                                 | PRESSURE MONITOR  | GB                                      | 976847.4                 | 11/1/2000              | 6/13/2007               | 1227754                                 | 8/7/2002                                |
| 69518434T2                              | LOW NOISE OPTICAL PROBE   | DE                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   |   |
| 790800                                  | LOW NOISE OPTICAL PROBE   | EP                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   | 8/27/1997                               |
| 790800                                  | LOW NOISE OPTICAL PROBE   | FR                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   |   |
| 790800                                  | LOW NOISE OPTICAL PROBE   | GB                                      | 95940704                 | 11/1/1995              | 8/16/2000               |   | 2/42/2000                               |
| 4223001<br>HK1055235                    | SIGNAL PROCESSING APPARATUS  METHOD AND APPARATUS FOR ESTIMATING PULMONARY ARTERY PRESSURE                          | JP<br>uw                                | 2004-362173<br>3107612   | 10/10/1995             | 11/28/2008              | 1971541.6                               | 2/12/2009                               |
| 679473                                  | ELECTRONIC STETHOSCOPE  | HK<br>AU                                | 510/612<br>55587/94      | 8/29/2001<br>12/7/1993 | 7/13/2007<br>10/23/1997 | 13/1541.6                               | 1/2/2004                                |
| 2140658                                 | ELECTRONIC STETHOSCOPE  ELECTRONIC STETHOSCOPE  | CA.                                     | 2140658                  | 12/7/1993              | 7/24/2001               |   | 6/23/1994                               |
| 6081735                                 | SIGNAL PROCESSING APPARATUS   | US                                      | 08/887815                | 7/3/1997               | 6/27/2000               |   |   |
| 671895                                  | ELECTRONIC STETHOSCOPE  | EР                                      | 94900696.9               | 12/7/1993              | 5/13/1998               | 671895                                  | 9/20/1995                               |
| 758213                                  | HEADSET FOR ELECTRONIC STETHOSCOPE  | EP                                      | 95916525.9               | 4/21/1995              | 7/12/2000               | 758213                                  | 2/19/1997                               |
| DE\$359546                              | FILTER HOUSING FOR A DENTAL UNIT  | US                                      | 29/017956                | 1/27/1994              | 6/20/1995               |   |   |
| 75922                                   | DESIGN FOR WASHING AND DISINFECTING WATER SUPPLY CONDUCTS   | CA                                      | 1994-1438                | 7/22/1994              | 3/9/1995                |   |   |
|   |   |   |                          |                        |                         |   |   |

|         | rice serves res   |      |           |           |                        |               |
|---------|---|------|-----------|-----------|------------------------|---------------|
| 5479934 | EEG HEADPIECE WITH DISPOSABLE ELECTRODES AND APPARATUS AND SYSTEN<br>AND METHOD FOR USE THEREWITH | l US | 08/126113 | 9/23/1993 | 1/2/1996               |               |
| 6721585 | UNIVERSAL MODULAR PULSE OXIMETER PROBE FOR USE WITH REUSABLE AND                                  |      | 09/931273 | 8/17/2001 | 4/13/2004              |               |
| 0721303 | DISPOSABLE PATIENT ATTACHMENT DEVICES   |      | 03/331273 |           |                        |               |
| 6735459 | REUSABLE PULSE OXIMETER PROBE AND DISPOSABLE BANDAGE APPARATUS                                    | US   | 10/237038 | 9/9/2002  | 5/11/2004 2003/0009092 | 2 A1 1/9/2003 |

|                         | Schedule B - MASIMO CONFIDENT  Schedule B - MASIMO CONFIDENT  | TAL                                     |                        |                                    |   |
|-------------------------|---|---|------------------------|------------------------------------|---|
| 9813309.3               | CAS CANADI INC LINE FOR DECIDATODY CASES  | ED.                                     | 9/11/2009              | 2326246                            | 6/1/2011                                |
| 13/063648               | GAS SAMPLING LINE FOR RESPIRATORY GASES  GAS SAMPLING LINE  | EP<br>US                                | 6/6/2011               | 2011/0237969 A1                    | 6/1/2011<br>9/29/2011                   |
| 12/800824               | METHOD OF FABRICATING BIFACIAL TANDEM SOLAR CELLS   | US                                      | 5/24/2010              | 2011/0287578 A1                    | 11/24/2011                              |
| 13/892051               | EPITAXIAL STRUCTURES ON SIDES OF A SUBSTRATE  | US                                      | 5/10/2013              | 2013/0243021 A1                    | 9/19/2013                               |
| 11/899512               | DEVICES AND METHODS FOR MEASURING PULSUS PARADOXUS  | US                                      | 9/6/2007               | 2008/0064965 A1                    | 3/13/2008                               |
| 13/430451               | MANUAL AND AUTOMATIC PROBE CALIBRATION  | US                                      | 3/26/2012              | 2012/0184832 A1                    | 7/19/2012                               |
| 10184916.4              | SIGNAL PROCESSING APPARATUS   | EP                                      | 10/10/1995             | 2341446                            | 7/6/2011                                |
| 7023060.2               | SIGNAL PROCESSING METHOD  | EP                                      | 10/10/1995             | 1905352                            | 4/2/2008                                |
| 13/706298               | SIGNAL PROCESSING APPARATUS AND METHOD  | US                                      | 12/5/2012              | 2013/0197328 A1                    | 8/1/2013                                |
| 13/745590               | PHYSIOLOGICAL MONITOR   | US                                      | 1/18/2013              | 2013/0197330 A1                    | 8/1/2013                                |
| 10182866.3              | STEREO PULSE OXIMETER PULSE AND CONFIDENCE INDICATOR DISPLAYED PROXIMATE                                  | EP                                      | 5/27/1999              | 2319398                            | 5/11/2011                               |
| 13/280282               | PLETHYSMOGRAPH  | US                                      | 10/24/2011             | 2012/0041316 A1                    | 2/16/2012                               |
| 13/196220               | PLETHYSMOGRAPH PULSE RECOGNITION PROCESSOR  | US                                      | 8/2/2011               | 2011/0288383 A1                    | 11/24/2011                              |
|                         | SYSTEM AND METHOD FOR ALTERING A DISPLAY MODE BASED ON A GRAVITY-   | *************                           |                        | *************                      | *************************************** |
| 10/153263               | RESPONSIVE SENSOR   | US                                      | 5/21/2002              | 2002/0140675 A1                    | 10/3/2002                               |
| 11/894721               | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  | US                                      | 8/20/2007              | 2008/0030468 A1                    | 2/7/2008                                |
| 11/054721               | PULSE OXIMETER  | US                                      | 8/20/2007              | 2000/0050400 A1                    | 2/1/2000                                |
| 13/196732               | SYSTEMS AND METHODS FOR ACQUIRING CALIBRATION DATA USABLE IN A  | US                                      | 8/2/2011               | 2011/0288384 A1                    | 11/24/2011                              |
|                         | PULSE OXIMETER  |   |                        |                                    |   |
| 14/022106               | DUAL-MODE PATIENT MONITOR   | US                                      | 9/9/2013               | 2014/0012100 A1                    | 1/9/2014                                |
| 7021807.8               | UNIVERSAL/UPGRADING PULSE OXIMETER  | EP                                      | 1/25/2000              | 1889569                            | 2/20/2008                               |
| 8012674.1<br>10181436.6 | UNIVERSAL/UPGRADING PULSE OXIMETER IMPROVED PULSE OXIMETER PROBE-OFF DETECTOR                             | EP<br>EP                                | 1/25/2000<br>3/24/2000 | 1992278<br>2298159                 | 11/19/2008<br>3/23/2011                 |
| 13/209324               | RESPOSABLE PULSE OXIMETRY SENSOR  | US                                      | 8/12/2011              | 2011/0301444 A1                    | 12/8/2011                               |
| 13/942562               | VARIABLE INDICATION ESTIMATOR   | US                                      | 7/15/2013              | 2014/0025306 A1                    | 1/23/2014                               |
| 13/908957               | LOW POWER PULSE OXIMETER  | US                                      | 6/3/2013               | ,<br>2013/0267804 A1               | 10/10/2013                              |
| 13/953628               | SINE SATURATION TRANSFORM   | US                                      | 7/29/2013              | 2014/0031650 A1                    | 1/30/2014                               |
| 11/210128               | PHYSIOLOGICAL SENSOR COMBINATION  | US                                      | 8/23/2005              | 2005/0277819 A1                    | 12/15/2005                              |
| 11195281.8              | MULTIPURPOSE SENSOR PORT  | EP                                      | 7/26/2004              | 2443993                            | 4/25/2012                               |
| 13/777936               | PHYSIOLOGICAL PARAMETER TRACKING SYSTEM   | US                                      | 2/26/2013              | 2013/0274572 A1                    | 10/17/2013                              |
| 12/360830               | PULSE OXIMETER ACCESS APPARATUS AND METHOD  | US                                      | 1/27/2009              | 2009/0137885 A1                    | 5/28/2009                               |
| 13/721497               | MULTI-MODE PATIENT MONITOR CONFIGURED TO SELF-CONFIGURE FOR A<br>SELECTED OR DETERMINED MODE OF OPERATION | US                                      | 12/20/2012             | 2013/0109935 A1                    | 5/2/2013                                |
| 12/188154               | PHYSIOLOGICAL PARAMETER SYSTEM  | US                                      | 8/7/2008               | 2008/0300471 A1                    | 12/4/2008                               |
| 13/100145               | CYANOTIC INFANT SENSOR  | US                                      | 5/3/2011               | 2011/0208025 A1                    | 8/25/2011                               |
| 5772104.5               | CYANOTIC INFANT SENSOR  | EP                                      | 7/7/2005               | 1771109                            | 4/11/2007                               |
| 13/180429               | NONINVASIVE HYPOVOLEMIA MONITOR   | US                                      | 7/11/2011              | 2011/0270094 A1                    | 11/3/2011                               |
| 13/595912               | PATIENT MONITOR CAPABLE OF MONITORING THE QUALITY OF ATTACHED   | US                                      | 8/27/2012              | 2012/0319816 A1                    | 12/20/2012                              |
|                         | PROBES AND ACCESSORIES  | 000000000000000000000000000000000000000 |                        |                                    |   |
| 13/224266               | RESPIRATORY MONITORING  | US                                      | 9/1/2011               | 2012/0226184 A1                    | 9/6/2012                                |
| 13/160402               | ROBUST ALARM SYSTEM   | US                                      | 6/14/2011              | 2011/0241869 A1                    | 10/6/2011                               |
| 11/633656<br>13/475136  | PHYSIOLOGICAL ALARM NOTIFICATION SYSTEM DRUG ADMINISTRATION CONTROLLER                                    | US<br>US                                | 12/4/2006<br>5/18/2012 | 2007/0180140 A1<br>2012/0227739 A1 | 8/2/2007<br>9/13/2012                   |
|                         | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  |   |                        |                                    |   |
| 13/015207               | SENSOR  | US                                      | 1/27/2011              | 2011/0172967 A1                    | 7/14/2011                               |
| 7060424.0               | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  |   | 10/11/2007             | 2070260                            | 7/22/2000                               |
| 7868424.8               | SENSOR  | EP                                      | 10/11/2007             | 2079360                            | 7/22/2009                               |
| 10100400.2              | SYSTEM AND METHOD FOR MONITORING THE LIFE OF A PHYSIOLOGICAL  | HK                                      | 10/11/2007             | 1133377                            | 3/26/2010                               |
|                         | SENSOR  |   |                        |                                    |   |
| 11/871808               | VARIABLE MODE PULSE INDICATOR   | US                                      | 10/12/2007             | 2008/0091092 A1                    | 4/17/2008                               |
| 13/627855               | PERFUSION INDEX SMOOTHER  | US                                      | 9/26/2012              | 2013/0079610 A1                    | 3/28/2013                               |
| 7852700.9               | PERFUSION INDEX SMOOTHER  METHOD AND APPARATUS FOR DEMODULATING SIGNALS IN A PULSE                        | EP                                      | 10/12/2007             | 2073692                            | 7/1/2009                                |
| 13/437800               | OXIMETRY SYSTEM   | US                                      | 4/2/2012               | 2012/0253155 A1                    | 10/4/2012                               |
| 11/963640               | PHYSIOLOGICAL PARAMETER SYSTEM  | US                                      | 12/21/2007             | 2008/0188733 A1                    | 8/7/2008                                |
| 13/471340               | SIGNAL PROCESSING APPARATUS AND METHOD  | US                                      | 5/14/2012              | 2012/0302894 A1                    | 11/29/2012                              |
| 13/907638               | CONGENITAL HEART DISEASE MONITOR  | US                                      | 5/31/2013              | 2013/0331670 A1                    | 12/12/2013                              |
| 13/681372               | DUO CONNECTOR PATIENT CABLE   | US                                      | 11/19/2012             | 2013/0324808 A1                    | 12/5/2013                               |
| 11/903746               | MODULAR PATIENT MONITOR   | US                                      | 9/24/2007              | 2008/0108884 A1                    | 5/8/2008                                |
| 12/641087               | MODULAR PATIENT MONITOR   | US                                      | 12/17/2009             | 2010/0261979 A1                    | 10/14/2010                              |
| 10195398.2              | MODULAR PATIENT MONITOR   | EP                                      | 12/16/2010             | 2335569                            | 6/22/2011                               |
| 13/858249               | PLETHYSMOGRAPH VARIABILITY PROCESSOR  | US                                      | 4/8/2013               | 2013/0296713 A1                    | 11/7/2013                               |
| 7865424.1<br>13/079756  | PLETHYSMOGRAPH VARIABILITY PROCESSOR  LOW NOISE OXIMETRY CABLE INCLUDING CONDUCTIVE CORDS                 | EP<br>US                                | 12/7/2007<br>4/4/2011  | 2096994<br>2011/0174517 A1         | 9/9/2009<br>7/21/2011                   |
| 12/248855               | PHYSIOLOGICAL PARAMETER DETECTOR  | US<br>US                                | 10/9/2008              | 2011/01/451/ A1<br>2009/0095926 A1 | 4/16/2009                               |
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|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

# **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| MASIMO CORPORATION    | 04/23/2014     |
| MASIMO AMERICAS, INC. | 04/23/2014     |

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## **PROPERTY NUMBERS Total: 411**

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