|     | Pa  | age | 1 |
|-----|---|-----|---|
|     | UNITED STATES PATENT AND TRADEMARK OFFICE<br>BEFORE THE PATENT TRIAL AND APPEAL BOARD |     |   |
|     |   |     |   |
| ME  | EDTRONIC, INC., and   |     |   |
| ME  | EDTRONIC VASCULAR, INC.,  |     |   |
|     |   |     |   |
|     | Petitioners,  |     |   |
| vs  | case No. IPR2020-00126  |     |   |
| v   | U.S. Patent No. 8,048,0   | 132 |   |
| ΤE  | ELEFLEX INNOVATIONS   |     |   |
| s.  | .A.R.L.,  |     |   |
|     | Patent Owner.   |     |   |
|     |   |     |   |
|     |   |     |   |
| ΙF  | PR2020-00126 (Patent 8,048,032 B2)  |     |   |
| ΙF  | PR2020-00127 (Patent 8,048,032 B2)  |     |   |
| ΙF  | PR2020-00128 (Patent RE45,380 E)  |     |   |
| ΙF  | PR2020-00129 (Patent RE45,380 E)  |     |   |
| IF  | PR2020-00130 (Patent RE45,380 E)  |     |   |
| IF  | PR2020-00132 (Patent RE45,760 E)  |     |   |
| IF  | PR2020-00135 (Patent RE45,776 E)  |     |   |
| IF  | PR2020-00136 (Patent RE45,776 E)  |     |   |
| IF  | PR2020-00137 (Patent RE47,379 E)  |     |   |
| ΙF  | PR2020-00138 (Patent RE47,379 E)  |     |   |
|     | VIDEOCONFERENCE VIDEOTAPED  |     |   |
|     | DEPOSITION OF   |     |   |
|     | PETER T. KEITH  |     |   |
|     |   |     |   |
| DA  | ATE: November 23, 2020  |     |   |
| ΤI  | IME: 8:58 a.m.  |     |   |
| ΡI  | LACE: Minneapolis, Minnesota  |     |   |
| ( v | via videoconference)  |     |   |
| JC  | DB NO.: MW 4338308  |     |   |
| RE  | EPORTED BY: Dawn Workman Bounds, CSR  |     |   |

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| Page 2  | Page  |
|---|---|
| 1 A P P E A R A N C E S<br>2 (ALL APPEARANCES VIA VIDEOCONFERENCE)        | 1 INDEX   |
| 3 ON BEHALF OF PETITIONERS:   | 2 WITNESS: PETER T. KEITH PAGE                              |
| 4 SHARON ROBERG-PEREZ, ESQ.   | 3 EXAMINATION BY MS. ROBERG-PEREZ 6                         |
| EMILY TREMBLAY, ESQ.  | 4 EXAMINATION BY MR. VANDENBURGH 18                         |
| 5 CYRUS A. MORTON, ESQ.   | 5 EXHIBITS MARKED/REFERRED TO                               |
| ROBINS KAPLAN LLP   | 6 No. 1118: Picture/diagram of GuideLiner                   |
| 6 2800 LaSalle Plaza  | Version 2 EX-2138, IPR2020-00132,                           |
| 800 LaSalle Ave   | 7 p. 150 83   |
| 7 Minneapolis, MN 55401   | 8 No. 1119: Picture/diagram of GuideLiner                   |
| 612.349.8500  | Version 2 EX-2138, IPR2020-00132,                           |
| 8 sroberg-perez@robinskaplan.com  | 9 pp. 150; 152 84   |
| camorton@rkmc.com   | 10 No. 1120: U.S. Patent 5,658,251 155                      |
| 9 ETremblay@RobinsKaplan.com  | 11 No. 1121: U.S. Patent 5,156,594 162                      |
| 0   | 12  |
| 1   | 13  |
| 2   | 14  |
| 3   | 15  |
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| 2   | 22  |
| 3 (APPEARANCES CONTINUED ON NEXT PAGE)                                    | 23  |
| 4   | 24  |
| 5   | 25  |
| Page 3  | Page  |
| 1 ON BEHALF OF PATENT OWNER:  | 1 PROCEEDINGS   |
| 2 DEREK VANDENBURGH, ESQ.   | 2 THE VIDEOGRAPHER: Good morning. We                        |
| JOSEPH W. WINKELS, ESQ.<br>3 CARLSON CASPERS VANDENBURGH & LINDQUIST, PA. | 3 going on the record at 8:58 a.m. Eastern Standard Time,   |
| Capella Tower, Suite 4200   | 4 on November 23, 2020. Please note that the microphone     |
| 4 225 South Sixth Street  | 5 are sensitive and may pick up whispering, private         |
| Minneapolis, MN 55402   |   |
| 5 612.436.9623  | 6 conversations, and cellular interference. Please turn     |
| dvandenburgh@carlsoncaspers.com   | 7 off all cell phones and place them away from microphone   |
| 5 jwinkels@carlsoncaspers.com   | 8 as they can possibly interfere with deposition audio.     |
| 7 KENNETH E. LEVITT, ESQ.   | 9 Audio and video recording will continue to take place     |
| DORSEY & WHITNEY, LLP<br>50 S. Sixth Street, Suite 1500                   | 10 unless all parties agree to go off the record.           |
| Minneapolis, MN 55402   | 11 This is media unit 1 of the video-recorded               |
| 9 612.340.2600  | 12 deposition of Peter T. Keith, in the matter of Medtronic |
| levitt.kenneth@dorsey.com   |   |
| )   | 13 v. Teleflex Innovations. My name is Adam Venturini fro   |
| 1 ALSO PRESENT:   | 14 the firm Veritext, and I'm the videographer. The court   |
| 2 Greg Smock, Teleflex  | 15 reporter is Dawn Bounds from the firm Veritext.          |
| Adam Venturini, Videographer  | 16 I'm not authorized to administer an oath.                |
| 4<br>5  | 17 I'm not related to any party in this action nor am I     |
| 5   | 18 finally interested in the outcome.                       |
| 7   | 19 Counsel and all present remotely will now                |
| 3   |   |
| )   | 20 state their appearances and affiliations for the record. |
| )   | 21 If there are any objections to proceeding, state them at |
| 1   | 22 the time of your appearance, beginning with the noticing |
| 2   | 23 attorney.  |
| 3   | 24 MS. ROBERG-PEREZ: Sharon Roberg-Perez                    |
| 4   |   |
| 4<br>5  | 25 representing Petitioner Medtronic of the firm Robins     |

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|          | Page 6  | Paga   |
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|          | Kaplan. With me are my colleagues Cy Morton and Emily                                 | 1 Q. Okay. Is there any reason today, such as  |
|          | Tremblay.   | 2 illness or medication, that you will not be able to  |
| 3        | MR. VANDENBURGH: This is Derek  | 3 testify fully and accurately?  |
|          | Vandenburgh here today on behalf of Teleflex.   | 4 A. No.   |
|          | Also appearing is Joe Winkels of the Carlson Caspers                                  | 5 Q. You've submitted declarations in several IPRs   |
|          | firm, as well as Ken Levitt of the Dorsey firm; and Greg                              | 6 initiated by Medtronic, right?   |
|          | Smock of Teleflex is here as well.  | 7 A. Yes.  |
| 8        | THE VIDEOGRAPHER: All right. Will the   | 8 Q. You've also submitted declarations in   |
|          | court reporter please swear in the witness.   | 9 connection with the district court litigation that   |
| 10       | THE REPORTER: Due to the need for this  | 10 Teleflex initiated against Medtronic, right?  |
|          | deposition to take place remotely because of the                                      | 11 A. Yes.   |
|          | government's order for physical distancing, the parties                               | 12 Q. Aside from counsel, have you spoken about the  |
|          | will stipulate the court reporter may swear in the                                    | 13 IPRs with anyone?   |
|          | witness over the videoconference and that the witness has                             | 14 A. No.  |
| 15       | verified that he is in fact Peter T. Keith.   | 15 Q. What about the district court litigation?  |
| 16       | Agreed, counsel?  | 16 A. No.  |
| 17       | MS. ROBERG-PEREZ: Agreed.   | 17 Q. You're familiar with the name Tom Ressemann,   |
| 18       | MR. VANDENBURGH: Agreed.  | 18 right?  |
| 19       | PETER T. KEITH,   | 19 A. Yes, I am.   |
| 20       | duly sworn via videoconference as stipulated by counsel                               | 20 Q. Have you spoken with him in the last six   |
| 21       | was examined and testified as follows:  | 21 months?   |
| 22       | EXAMINATION   | 22 A. Yes, I have.   |
| 23       | BY MS. ROBERG-PEREZ:  | 23 Q. What about?  |
| 24       | Q. Good morning, Mr. Keith.   | A. Well, we're we have a friendship. We've   |
| 25       | You've been deposed before, haven't you?  | 25 worked together professionally. I've spoken to him about  |
| 1        | Page 7<br>A. I have, yes.   | Pag<br>1 some companies that he's involved with that I have  |
| 2        | Q. About how many times?  | 2 offered some thoughts and comments in terms of potentia  |
| 3        | A. I it's I've been deposed many times over   | 3 employment opportunities that he's looked into.  |
|          | a long career in medical devices, so I don't really have                              | 4 He sits on the board of directors of a few   |
|          | a particular figure, but probably could be approaching 20                             | 5 companies, one of which he talked with or he and I   |
|          | times maybe.  | 6 talked about, and I have done a little bit of consulting   |
| 7        | Q. Okay. Have you ever testified at trial?  | 7 with that company.   |
| 8        | A. I have.  | 8 Q. And to confirm, you have not spoken with him  |
| 9        | Q. How many times?  | 9 about the subject matter of these IPRs, correct?   |
| 10       | A. Just one time for that.  | 10 A. Correct. He knows that I'm involved in this  |
| 11       | Q. And when was that?   | 11 patent litigation, but I've not spoken about any subject  |
| 12       | A. That was, I believe, in the early 2000s.   | 12 matter.   |
| 13       | Q. Was that a patent matter?  | 13 Q. Okay. Who wrote your declarations submitted in   |
| 14       | A. Yes.   | 14 these IPRs?   |
| 15       | Q. And who did you testify for?   | 15 A. They're my declarations.   |
| 16       | A. I testified on behalf of Boston Scientific.  | 16 Q. And so you wrote these declarations?   |
| 17       | Q. Was Boston Scientific the plaintiff in that  | 17 A. I they're certainly my words. The process  |
| 18       | case or defendant?  | 18 of the writing was done, you know, in coordination with   |
| 19       | A. Well, it was a complicated proceeding that I                                       | 19 the lawyers; but I I you know, I drafted much of  |
| 20       | think they were a plaintiff in aspects and a defendant in                             | 20 you know, did the initial drafts of much of them.   |
|          | aspects, I believe.   | 21 You know, they may have done some initial   |
|          | -   | 22 drafting of portions, and but it was always there   |
| 21       | Q. Were you an expert witness in that trial?  |  |
| 21<br>22 | <ul><li>Q. Were you an expert witness in that trial?</li><li>A. Yes, I was.</li></ul> | 23 was always an extraordinary amount of discussion and  |
|          |   | <ul><li>23 was always an extraordinary amount of discussion and</li><li>24 editing; and at the end of the day, they're my words.</li></ul> |

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| 1 was there any material, other than what you've cited in  | 1 Q. Well, what is a cutting balloon?  |
| 2 your declarations, that you considered?  | 2 A. There's one product in particular this is a   |
| 3 A. I don't believe so.   | 3 long, long time ago.   |
| 4 Q. Anything that any material that you reviewed  | 4 But there's one product in particular that   |
| 5 but did not cite in your declarations?   | 5 was referred to as a "cutting balloon" that had  |
| 6 A. I don't think so.   | 6 essentially some short longitudinal razor blades affixed   |
| 7 Q. How did you prepare for your deposition today?  | 7 to the surface of the balloon.   |
| 8 A. I rereviewed my declarations and some of the  | 8 Q. Was that a Boston product or a Grayzel product?   |
| 9 other materials in the case, and I had some conversations  | 9 A. This was so long ago, I honestly, I don't   |
| 10 with counsel.   | 10 remember.   |
| 11 Q. How long were those conversations?   | 11 Q. You testified on behalf of Boston, though,   |
| A. I mean, in the last several days, say, the  | 12 right?  |
| 13 conversations that I've had with counsel have probably  | 13 A. Yes.   |
| 14 been maybe 10 hours.  | 14 Q. What was your opinion in the case?   |
| 15 Q. Did you review any of the material cited in  | 15 A. I don't recall.  |
| 16 your declarations?  | 16 Q. To the extent you can okay.  |
| 17 A. I think so.  | 17 Do you remember if you opined on claim  |
| 18 Q. What material?   | 18 construction?   |
| A. I looked at the root patents. I looked at a   | 19 A. I don't recall.  |
| 20 number of the prior art patents that are of relevance to  | 20 Q. Okay. You also in your CV list a case Boston   |
| 21 the case. Those are things that I can think of.   | 21 Scientific v. Cordis on behalf of the plaintiffs.   |
| I probably looked at more, but I can't   | 22 What was the technology at issue in that  |
| 23 recall them right now.  | 23 case?   |
| 24 Q. Did you review any material not cited in your  | A. That, I believe, was related to multilayer  |
| 25 declarations?   | 25 extrusions used in angioplasty catheters.   |
| Page 11  | Page   |
| 1 A. I don't think so.   | 1 Q. Was that the case that you testified at trial?  |
| 2 Q. Did you attend the depositions given by   | 2 A. Yes.  |
| 3 Dr. Graham?  | <ul><li>3 Q. Do you remember what your and you testified</li></ul>   |
| 4 A. No.   | 4 as an expert, right?   |
| 5 Q. Have you reviewed his testimony?  | 5 A. Correct.  |
| 6 A. No.   | <ul><li>6 Q. Did you also testify at a claim construction</li></ul>  |
| 7 Q. Okay. You testified that you've previously  | 7 hearing in that case?  |
| 8 been deposed.  | 8 A. I don't think so.   |
| 9 And am I correct those depositions were  |  |
| 10 largely in patent cases?  |  |
| 11 A. Yes.   | 10 case?   |
|  | 11 A. No. Again, that was so long ago and, you know,   |
|  | 12 very involved. I do not recall.   |
| 13 right?  | <ul> <li>Q. Okay. Your CV also lists a matter SciCo v.</li> <li>14 Destan Scientific</li> </ul>                                  |
| 14 A. Yes.   | 14 Boston Scientific.  |
| 15 Q. I'd like to understand what types of cases   | 15 What was the technology in that case?   |
| 16 those were.   | 16 A. I believe that was related to some design  |
| 17 Your CV mentions a matter Grayzel versus  | 17 aspects of rapid exchange angioplasty catheters.  |
| 18 Boston Scientific?  | 18 Q. Do you do you remember what your opinion was   |
| 19 A. Yes.   | 19 in that case?   |
| Q. What was the technology at issue in that case?  | 20 A. No.  |
| 21 A. I believe that related to balloon angioplasty  | 21 Q. Okay. Aside from those three matters, what   |
|  | 22 other notent metters have you offered testimony in?   |
| 22 catheters and some aspects of of the balloon itself in  | 22 other patent matters have you offered testimony in?   |
| <ul><li>22 catheters and some aspects of of the balloon itself in</li><li>23 terms of having cutting elements or rigid elements.</li></ul> | <ul><li>22 other patent matters have you offered testimory in?</li><li>23 A. I have been a fact witness in a number of</li></ul> |
| 22 catheters and some aspects of of the balloon itself in  |  |

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| 1 Scientific, related to various angioplasty catheters that   | 1 A. No, they're not exactly the same.  |
| 2 I either designed or was an inventor on.  | 2 Q. Okay. All I want to know is what kind of   |
| 3 Q. So did those matters account for the lion's  | 3 products I won't even use a modifier.   |
| 4 share of the 20 or so depositions you mentioned?  | 4 What kind of products did you do work on  |
| 5 A. Yes.   | 5 at SCIMED?  |
| 6 Q. Okay. You've got a bachelor's degree in  | 6 A. So I worked on fixed wire angioplasty catheter   |
| 7 mechanical engineering, right?  | 7 products. I worked on rapid exchange angioplasty  |
| 8 A. Yes.   | 8 catheter products. I worked on guidewires. I worked on  |
| 9 Q. And you mentioned your work for SCI SCIMED.  | 9 atherectomy catheters. I worked on vascular sealing   |
| 10 And when did you start working at SCIMED?  | 10 products. I worked on drug delivery products.  |
| 11 A. Well, I think all this is laid out on my  | 11 And I probably worked on other products,   |
| 12 resume, but I believe that was 1985.   | 12 but I can't recall other ones sitting here right now.  |
| 13 Q. And SCI I'm correct in understanding that   | 13 Q. Okay. So you mentioned fixed wire angioplasty   |
| 14 SCIMED's products included interventional cardiology   | 14 products, rapid exchange angioplasty products,   |
| 15 products?  | 15 guidewires, atherectomy catheters, vascular sealing  |
| 16 A. Well, I mean, interventional cardiology   | 16 products, and drug delivery products.  |
| 17 products is a particular term that's used in some of the   | 17 Of those six categories, which of those  |
| 18 patents at issue here, so I I I don't know what  | 18 products are introduced into the coronary vasculature?   |
| 19 context you're asking me that question.  | 19 A. I would say all of those with the exception of  |
| Q. Do the patents use the term "interventional  | 20 vascular sealing products.   |
| 21 cardiology products"?  | 21 Q. So when you mentioned drug delivery products,   |
| A. I think they use "interventional cardiology  | 22 what type of products were you referring to?   |
| 23 devices."  | 23 A. These would be products that they were  |
| 24 Q. Okay. Understood.   | 24 catheters that would go into coronary arteries for the   |
| 25 So I'm not I'm trying to stay away from  | 25 purpose of being able to deliver a drug.   |
| Page 15   | Page 1  |
| 1 patent terms, and I'm really just interested in the types   | <ol> <li>Q. Were these drug-eluting stents?</li> <li>A. No.</li> </ol>  |
| <ul><li>2 of products that you worked on when you were at SCIMED.</li><li>3 You mentioned one type, balloon</li></ul> |   |
|   | 3 Q. So of the fixed wire angioplasty products, how 4 many were there?  |
| <ul><li>4 angioplasty catheters, I think; is that correct?</li><li>5 A. Yes.</li></ul>                                | 5 Do you remember their names?  |
| <ul><li>6 Q. What other types of interventional cardiology</li></ul>  | <ul> <li>6 A. There were the first family of products</li> </ul>  |
|   |   |
| <ul><li>7 products did you work on at SCIMED?</li><li>8 A. Well, I just want to be clear that we're</li></ul>         | 7 and by family I'm referring to that the balloons were<br>8 available in different inflated diameters as well as                   |
| 9 talking about I mean  | 9 different coil tip lengths. Those were referred to the  |
| 0   | 10 as the ACE catheters.  |
| 10 Q. Products.   |   |
| A not specifically to what that term might  |   |
| 12 strictly mean in the context of the patents, but if I  | 12 more recent products after the ACE was introduced that<br>13 were called the Pivot products. Again, there may be                 |
| 13 mean, it sounds like you're trying to ask it in you  | 14 more. I'm just recalling all of them as I sit here   |
| 14 know, in maybe a broader sense of interventional   |   |
| 15 cardiology.<br>16 Q. Mr. Keith   | 15 today.   |
|   | 16 Q. But for the fixed wire angioplasty products,  |
| 17 A. I   | 17 there were at least the ACE and the Pivot products,  |
| Q can we agree that the patents do not refer  | 18 right?   |
| 19 to "interventional cardiology products"?   | 19 A. Correct.  |
| A. They refer to "interventional cardiology   | 20 Q. Do you remember what the names were of the  |
| 21 devices."  | 21 rapid exchange angioplasty products?   |
| 22 Q. Correct.  | 22 A. The one I was most directly involved with was   |
| And my question to you  | 23 the Express catheter. And then there were some more  |
| <ul><li>A. Very similar terms.</li><li>Q. But they're not the same term, are they?</li></ul>                          | <ul> <li>24 recent products that one was referred to as the Rally.</li> <li>25 And, again, there may be some others that</li> </ul> |
|   | And again there may be some others that   |

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