

**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD**

Regeneron Pharmaceuticals, Inc.,

Petitioner

v.

Novartis Pharma AG, Novartis Technology LLC, and Novartis
Pharmaceuticals Corporation,

Patent Owners.

U.S. Patent No. 9,220,631 to Sigg et al.

IPR2020-01318

**PATENT OWNERS' SUBMISSION OF MANDATORY NOTICE
INFORMATION UNDER 37 C.F.R. § 42.8(a)(2)**

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owners Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation (collectively, “Patent Owners”) file their Mandatory Notices. This notice is timely filed within 21 days of the purported date of service of the petition on July 16, 2020.

I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The patent owners and real parties-in-interest are Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation.

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owners identify the following district court and administrative proceedings that would affect, or may be affected by, a decision in this proceeding:

- *Novartis Pharma AG et al v. Regeneron Pharmaceuticals, Inc.*, No. 1:20-cv-00690-TJM-CFH (NDNY)
- *Regeneron Pharmaceuticals Inc. v. Novartis Pharma AG et al*, 1:20-cv-05502-AJN (SDNY)
- International Trade Commission Inv. No. 337-1207, *Certain Pre-Filled Syringes for Intravitreal Injection and Components Thereof*
- IPR2020-01317 (Patent No. 9,220,631), *Regeneron Pharmaceuticals, Inc. v. Novartis Pharma AG, et al.*

III. 37 C.F.R. § 42.8(b)(3) – DISCLOSURE OF COUNSEL

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies lead and back-up counsel as follows:

<p>Lead Counsel:</p> <p>Elizabeth J. Holland (Reg. No. 47,657) Goodwin Procter LLP 620 Eighth Avenue New York, NY 10018 Phone: (212) 813-8800 Fax: (212) 355-3333 EHolland@goodwinlaw.com</p>	<p>Backup Counsel:</p> <p>William G. James (Reg. No. 55,931) Goodwin Procter LLP 1900 N Street, N.W. Washington, D.C. 20036 Phone: (202) 346-4000 Fax: (202) 346-4444 WJames@goodwinlaw.com</p>
<p>Backup Counsel:</p> <p>Linnea Cipriano (Reg. No. 67,729) Goodwin Procter LLP 620 Eighth Avenue New York, NY 10018 Phone: (212) 813-8800 Fax: (212) 355-3333 LCipriano@goodwinlaw.com</p>	<p>Backup Counsel:</p> <p>Joshua Weinger (Reg. No. 73,198) Goodwin Procter LLP 100 Northern Avenue Boston, MA 02210 Phone: (617) 570-1000 Fax: (617) 523-1231 JWeinger@goodwinlaw.com</p>

IV. 37 C.F.R. § 42.8(b)(4) – CORRESPONDENCE

Pursuant to 37 C.F.R. § 42.8(b)(4), Patent Owners request that all electronic correspondence be directed to lead counsel and back-up counsel identified in Section III above. Patent Owner consents to electronic service at the following e-mail address: DG-NovartisPFS@goodwinlaw.com.

Dated: August 6, 2020

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CERTIFICATE OF SERVICE

A copy of this Patent Owners' Mandatory Notices has been served on

Petitioner's attorneys of record as follows via electronic mail:

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