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REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

Docket Number		TION CLASSIFICATION THIS APPLICATION	PRIOR APPLICATION EXAMINER	ART UNIT			
6683.204-US	Class Subclass						
P.O. I	Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
This is a request for filing a X continuation divisional application under 37 CFR 1.53(b) of pending prior							
international application Number PCTDK2004/000792, filed on November 18, 2004							
entitled PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES, which designated the United States.							

(1) FOR	(2) NUMBER FILED		(3) NUMBER EXTRA	(4) RATE (\$)	(5) TOTALS (\$)
TOTAL CLAIMS (37 CFR 1.16(i))	44 .	- 20 =	24	x 50 =	1200.00
INDEPENDENT CLAIMS (37 CFR 1.16(h))	5	- 3 =	2	x 200 =	400.00
APPLICATION SIZE FEE (37 If the specification and drawings of is \$250 (\$125 for small entity) for U.S.C. 41(a)(1)(G) and 37 CFR 1					
MULTIPLE DEPENDENT CL					
	BASIC FEE (37 CFR 1.16(a))	300.00			
		SEARCH FEE (37 CFR 1.16(k))	500.00		
		EXAMINATION FE (37 CFR 1.16(o))	E 200.00		
	re 2600.00				
Reduction by 50% for filing small entity (Note 37 CFR 1.27)					7)
Total					al 2600.00

		Total	2600.00
1. X Enclosed are the specification	on, claims and drawing(s).		
2. Applicant claims small entity	y status. See 37 CFR 1.27.		
3. X The Director is hereby author	rized to charge any fees which may be req	uired under 37 CFR 1.16 and	1.17, or credit any
overpayment of Deposit Account No.	14-1447 . A duplicate copy of this sheet	is enclosed.	
4. A check in the amount of \$ _	is enclosed.		
5. Payment by credit card. For	m PTO-2038 is attached.		
6. X Application Data Sheet is en	nclosed. See 37 CFR 1.76.		
7. X If a CONTINUING APPLICA	ATION, check appropriate box, and supply t	he requisite information below	v and in the first
─	g the title, or in an Application Data Sheet u		
X Continuation Divisional o	of prior PCT application No.: DK2004/000	0792 , filed on <u>November</u>	<u>18, 2004</u>
This collection of information is required by	[Page 1 of 2] 737 CFR 1.53(b). The information is required to o	btain or retain a benefit by the pu	blic which is to file (and by the

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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8. X	A declaration under CFR 1.63 is enclosed. (unsigned)						
9. X 119(a)	Priority of foreign application number <u>PA 2003 01719</u> , filed on <u>November 20, 2003</u> , in <u>Denma</u> -(d). Priority of US application number60/524,653, filed on November 24, 2003, in <u>the US</u> is claim						
10.	A preliminary amendment is enclosed.						
11.	Also enclosed:						
Addres	ss all future correspondence to: (May only be completed and signed by applicant, or attorney or a	gent of record).					
	<u>23650</u>						
	WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
	Richard Ev. Book	May 17, 2006					
Signat	ure	Date					
	ard W. Bork or printed name	Reg. No. 36,459 Registration Number, if applicable (609) 987-5800 Telephone Numbe					
	Inventor(s)/Applicant(s) Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.	(Form PTO/SB/96).					
	Attorney or agent of record						
X	Filed under 37 CFR 1.34 Registration number if acting under 37 CFR 1.34 <u>36,459</u>						
	Signatures of all the inventors or assignees of record of the entire interest or their representative transfer multiple forms if more than one signature is required, see below*.	e(s) are required.					
X	*Total of $\underline{2}$ forms are submitted.						

[Page 2 of 2]

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE EXPRESS MAIL CERTIFICATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: U.S. Patent Application for

Title: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND

FOR USE IN INJECTION DEVICES

Applicants: Pedersen et al.

Sir:

Express Mail Label No. EV 732210367 US

Date of Deposit: May 17, 2006

I hereby certify that the following attached paper(s) or fee

- 1. Request for Filing a Continuation or Division of an International Application (in duplicate)
- 2. Patent Application (34 pages of specification, 1 page of abstract, 7 sheets of drawings)
- 3. Unexecuted Combined Declaration and Power of Attorney
- 4. Application Data Sheet (4 pages)
- 5. Sequence Listing Transmittal Letter
- 6. Sequence Listing (1 page)
- 7. Computer Readable Format (CRF) of Sequence Listing

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450.

Rashida Haji

(Name of person mailing paper(s) or fee)

Rachda Haji
(Signature of person mailing paper(s) or fee)

Mailing Address: Novo Nordisk Inc. Customer Number 23650

Use the following customer number for all correspondence regarding this application.

PATENT TRADEMARK OFFICE

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PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

CROSS REFERENCE TO RELATED APPLICATIONS

This Application is a continuation of International Application serial no. PCT/DK2004/000792 filed November 18, 2004 and claims priority from U.S. Application serial no. 60/524653 filed November 24, 2003 and from Danish Application serial no. PA 2003 01719 filed November 20, 2003.

10 FIELD OF THE INVENTION

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The present invention relates to pharmaceutical formulations comprising a peptide and propylene glycol, to methods of preparing such formulations, and to uses of such formulations in the treatment of diseases and conditions for which use of the peptide contained in such formulations is indicated. The present invention further relates to methods for reducing the clogging of injection devices by a peptide formulation and for reducing deposits on production equipment during production of a peptide formulation.

BACKGROUND OF THE INVENTION

The inclusion of isotonicity agents in peptide-containing pharmaceutical formulations is widely known and one of the more common isotonic agents used in such formulations is mannitol. However, the present inventors have observed that mannitol causes problems during the production of peptide formulations as it crystallizes resulting in deposits in the production equipment and in the final product. Such deposits increase the need to clean the filling equipment during production of the formulation and this results in reduced production capability. In addition, such deposits may also result in reduced yield of the final product since vials/cartridges containing the peptide formulation may need to be discarded if particles are present. Finally, the present inventors have observed that in peptide formulations to be administered by injection, the presence of mannitol results in clogging of injection devices.

Accordingly, it is desirable to identify an alternative isotonic agent to mannitol for inclusion in peptide-containing formulations and in particular, for inclusion in peptide formulations which are administered by injection.

SUMMARY OF THE INVENTION

The present inventors have discovered that peptide formulations containing propylene glycol at certain concentrations exhibit reduced deposits in production equipment and in the final product and also exhibit reduced clogging of injection devices. The present composi-

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tions may be formulated with any peptide and are also physically and chemically stable thus rendering them shelf-stable and suitable for invasive (eg. injection, subcutaneous injection, intramuscular, intraveneous or infusion) as well as non-invasive (eg nasal, oral, pulmonary, transdermal or transmucosal e.g. buccal) means of administration.

The present invention therefore relates to a pharmaceutical formulation comprising a peptide and propylene glycol, where the propylene glycol is present in a concentration of 1-100 mg/ml and the pH of the formulation is from 7-10. In a preferred embodiment, the pharmaceutical formulations of the invention further contain a buffer and a preservative.

The present invention also relates to methods for producing the pharmaceutical formulations of the invention.

In one embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
- b) preparing a second solution by dissolving the peptide in water;
- c) mixing the first and second solutions; and
 - d) adjusting the pH of the mixture in c) to the desired pH.

In another embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative and buffer in water;
- b) adding propylene glycol to the first solution;
- mixing the first solution with a second solution containing peptide dissolved in water; and
- d) adjusting the pH of the mixture in c) to the desired pH.
- In yet another embodiment, the method for preparing a peptide formulation comprises:
 - a) preparing a solution by dissolving preservative, buffer and propylene glycol in water:
 - b) adding the peptide to the solution of step a); and
- 30 c) adjusting the pH of the solution of step b) to the desired pH.

The present invention further relates to methods of treatment using the pharmaceutical formulations of the invention where the compositions are administered in an amount effective to combat the disease, condition, or disorder for which administration of the peptide contained in the formulation is indicated.

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In addition the present invention also relates to a method for reducing deposits on production equipment during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.

The present invention also relates to a method for reducing deposits in the final product during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

The present invention further relates to a method for reducing the clogging of injection devices by a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows a photograph of dried droplets on microscope slides of from left to right, placebo (no peptide) formulations containing no isotonic agent (e only water, preservative and buffer), mannitol, sorbitol, xylitol, sucrose or glycerol as the isotonic agent with the far right slide containing mannitol with peptide Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$.

Figure 2 shows light microscopy pictures of from left to right, some of the dried droplets of placebo formulations containing mannitol, arginin, inositol or glycerol as the isotonic agent.

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Figure 3 shows light microscopy pictures of clogged needles dosed with placebo formulations containing myoinositol, maltose or glycerol as the isotonic agent.

Figure 4 shows light microscopy pictures of deposits on needles dosed with placebo formulations containing glycine, lactose or mannitol as the isotonic agent.

Figure 5 shows filling equipment after 24 hours simulated filling with Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$ medium containing myo-inositol.

Figure 6 shows deposits on filling equipment after 24 hours simulated filling with a mannitolcontaining placebo formulation.

Figure 7 shows deposits on needles dosed with mannitol (top panel) and propylene glycol (bottom panel)-containing ${\rm Arg^{34}}$, ${\rm Lys^{26}}({\rm N^\epsilon-(\gamma-Glu(N^\alpha-hexadecanoyl)))-GLP-1(7-37)}$ formulations.

DESCRIPTION OF THE INVENTION

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The present invention relates to a pharmaceutical formulation comprising a peptide or a mixture of peptides and propylene glycol where the final concentration of propylene glycol in the formulation is 1-100 mg/ml and the pH of the formulation is in the range of from 7-10.

The pharmaceutical formulations of the invention are found to be optimal for production because they exhibit reduced deposits in production equipment relative to formulations containing other isotonicity agents as measured by the simulated filling studies described in the Examples. In addition, the pharmaceutical formulations of the invention are found to be optimal for use in injection devices because they exhibit reduced clogging of the injection devices relative to formulations containing other isotonicity agents as measured by the simulated in use studies described in the Examples.

The formulations of the present invention may be formulated with any peptide where examples of such peptides include, but are not limited to, glucagon, human growth hormone (hGH), insulin, aprotinin, FactorVII, tissue plasminogen activator (TPA), FactorVIIa, FFR-FactorVIIa, heparinase, ACTH, Heparin Binding Protein, corticotropin-releasing factor, angiotensin, calcitonin, glucagon-like peptide-1, glucagon-like peptide-2, insulin-like growth factor-1, insulin-like growth factor-2, fibroblast growth factors, gastric inhibitory peptide, growth hormone-

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releasing factor, pituitary adenylate cyclase activating peptide, secretin, enterogastrin, somatostatin, somatomedin, parathyroid hormone, thrombopoietin, erythropoietin, hypothalamic releasing factors, prolactin, thyroid stimulating hormones, endorphins, enkephalins, vasopressin, oxytocin, opiods, DPP IV, interleukins, immunoglobulins, complement inhibitors, serine protease inhibitors, cytokines, cytokine receptors, PDGF, tumor necrosis factors, tumor necrosis factors receptors, growth factors and analogues as well as derivatives thereof where each of these peptides constitutes an alternative embodiment of the present invention.

In the present application, the designation "an analogue" is used to designate a peptide wherein one or more amino acid residues of the parent peptide have been substituted by another amino acid residue and/or wherein one or more amino acid residues of the parent peptide have been deleted and/or wherein one or more amino acid residues have been added to the parent peptide. Such addition can take place either at the N-terminal end or at the C-terminal end of the parent peptide or both. Typically "an analogue" is a peptide wherein 6 or less amino acids have been substituted and/or added and/or deleted from the parent peptide, more preferably a peptide wherein 3 or less amino acids have been substituted and/or added and/or deleted from the parent peptide, and most preferably, a peptide wherein one amino acid has been substituted and/or added and/or deleted from the parent peptide.

In the present application, "a derivative" is used to designate a peptide or analogue thereof which is chemically modified by introducing an organic substituent e.g. ester, alkyl or lipophilic functionalities, on one or more amino acid residues of the peptide or analogue thereof.

In one embodiment, the peptide to be included in the formulation of the invention is a GLP-1 agonist where "a GLP-1 agonist" is understood to refer to any peptide which fully or partially activates the human GLP-1 receptor. In a preferred embodiment, the "GLP-1 agonist" is any peptide that binds to a GLP-1 receptor, preferably with an affinity constant (K_D) or a potency (EC_{50}) of below 1 μ M, e.g. below 100 nM as measured by methods known in the art (see e.g. WO 98/08871) and exhibits insulinotropic activity, where insulinotropic activity may be measured in vivo or in vitro assays known to those of ordinary skill in the art. For example, the GLP-1 agonist may be administered to an animal and the insulin concentration measured over time.

Methods for identifying GLP-1 agonists are described in WO 93/19175 (Novo Nordisk A/S) and examples of suitable GLP-1 analogues and derivatives which can be used according to the present invention includes those referred to in WO 99/43705 (Novo Nordisk A/S), WO 99/43706 (Novo Nordisk A/S), WO 99/43707 (Novo Nordisk A/S), WO 98/08871 (analogues with lipophilic substituent) and in WO 02/46227 (analogues fused to serum albumin or to Fc portion of an Ig).(Novo Nordisk A/S), WO 99/43708 (Novo Nordisk A/S), WO

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99/43341 (Novo Nordisk A/S), WO 87/06941 (The General Hospital Corporation), WO 90/11296 (The General Hospital Corporation), WO 91/11457 (Buckley et al.), WO 98/43658 (Eli Lilly & Co.), EP 0708179-A2 (Eli Lilly & Co.), EP 0699686-A2 (Eli Lilly & Co.), WO 01/98331 (Eli Lilly & Co).

In one embodiment, the GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.

In one embodiment, the GLP-1 agonist is a derivative of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, which comprises a lipophilic substituent.

In this embodiment of the invention, the GLP-1 derivative preferably has three lipophilic substituents, more preferably two lipophilic substituents, and most preferably one lipophilic substituent attached to the parent peptide (ie GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue), where each lipophilic substituent(s) preferably has 4-40 carbon atoms, more preferably 8-30 carbon atoms, even more preferably 8-25 carbon atoms, even more preferably 12-25 carbon atoms, and most preferably 14-18 carbon atoms.

In one embodiment, the lipophilic substituent comprises a partially or completely hydrogenated cyclopentanophenathrene skeleton.

In another embodiment, the lipophilic substituent is a straight-chain or branched alkyl group.

In yet another embodiment, the lipophilic substituent is an acyl group of a straight-chain or branched fatty acid. Preferably, the lipophilic substituent is an acyl group having the formula CH₃(CH₂)_nCO-, wherein n is an integer from 4 to 38, preferably an integer from 12 to 38, and most preferably is CH₃(CH₂)₁₂CO-, CH₃(CH₂)₁₄CO-, CH₃(CH₂)₁₆CO-, CH₃(CH₂)₁₈CO-, CH₃(CH₂)₂₀CO- and CH₃(CH₂)₂₂CO-. In a more preferred embodiment, the lipophilic substituent is tetradecanoyl. In a most preferred embodiment, the lipophilic substituent is hexadecanoyl.

In a further embodiment of the present invention, the lipophilic substituent has a group which is negatively charged such as a carboxylic acid group. For example, the lipophilic substituent may be an acyl group of a straight-chain or branched alkane α , ω -dicarboxylic acid of the formula HOOC(CH₂)_mCO-, wherein m is an integer from 4 to 38, preferably an integer from 12 to 38, and most preferably is HOOC(CH₂)₁₄CO-, HOOC(CH₂)₁₆CO-, HOOC(CH₂)₁₈CO-, HOOC(CH₂)₂₀CO- or HOOC(CH₂)₂₂CO-.

In the GLP-1 derivatives of the invention, the lipophilic substituent(s) contain a functional group which can be attached to one of the following functional groups of an amino acid of the parent GLP-1 peptide:

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- (a) the amino group attached to the alpha-carbon of the N-terminal amino acid,
- (b) the carboxy group attached to the alpha-carbon of the C-terminal amino acid,
- (c) the epsilon-amino group of any Lys residue,
- (d) the carboxy group of the R group of any Asp and Glu residue,
- (e) the hydroxy group of the R group of any Tyr, Ser and Thr residue,
- (f) the amino group of the R group of any Trp, Asn, Gln, Arg, and His residue, or
- (g) the thiol group of the R group of any Cys residue.

In one embodiment, a lipophilic substituent is attached to the carboxy group of the R group of any Asp and Glu residue.

In another embodiment, a lipophilic substituent is attached to the carboxy group attached to the alpha-carbon of the C-terminal amino acid.

In a most preferred embodiment, a lipophilic substituent is attached to the epsilonamino group of any Lys residue.

In a preferred embodiment of the invention, the lipophilic substituent is attached to the parent GLP-1 peptide by means of a spacer. A spacer must contain at least two functional groups, one to attach to a functional group of the lipophilic substituent and the other to a functional group of the parent GLP-1 peptide.

In one embodiment, the spacer is an amino acid residue except Cys or Met, or a dipeptide such as Gly-Lys. For purposes of the present invention, the phrase "a dipeptide such as Gly-Lys" means any combination of two amino acids except Cys or Met, preferably a dipeptide wherein the C-terminal amino acid residue is Lys, His or Trp, preferably Lys, and the N-terminal amino acid residue is Ala, Arg, Asp, Asn, Gly, Glu, Gln, Ile, Leu, Val, Phe, Pro, Ser, Tyr, Thr, Lys, His and Trp. Preferably, an amino group of the parent peptide forms an amide bond with a carboxylic group of the amino acid residue or dipeptide spacer, and an amino group of the amino acid residue or dipeptide spacer forms an amide bond with a carboxyl group of the lipophilic substituent.

Preferred spacers are lysyl, glutamyl, asparagyl, glycyl, beta-alanyl and gamma-aminobutanoyl, each of which constitutes an individual embodiment. Most preferred spacers are glutamyl and beta-alanyl. When the spacer is Lys, Glu or Asp, the carboxyl group thereof may form an amide bond with an amino group of the amino acid residue, and the amino group thereof may form an amide bond with a carboxyl group of the lipophilic substituent. When Lys is used as the spacer, a further spacer may in some instances be inserted between the ϵ -amino group of Lys and the lipophilic substituent. In one embodiment, such a further spacer is succinic acid which forms an amide bond with the ϵ -amino group of Lys and with an amino group present in the lipophilic substituent. In another embodiment such a further spacer is Glu or Asp which forms an amide bond with the ϵ -amino group of Lys and another amide bond with a carboxyl

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group present in the lipophilic substituent, that is, the lipophilic substituent is a N⁵-acylated lysine residue.

In another embodiment, the spacer is an unbranched alkane α, ω -dicarboxylic acid group having from 1 to 7 methylene groups, which spacer forms a bridge between an amino group of the parent peptide and an amino group of the lipophilic substituent. Preferably, the spacer is succinic acid.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula $CH_3(CH_2)_pNH-CO(CH_2)_qCO$ -, wherein p is an integer from 8 to 33, preferably from 12 to 28 and q is an integer from 1 to 6, preferably 2.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula CH₃(CH₂)_rCO-NHCH(COOH)(CH₂)₂CO-, wherein r is an integer from 4 to 24, preferably from 10 to 24.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula CH₃(CH₂)_sCO-NHCH((CH₂)₂COOH)CO-, wherein s is an integer from 4 to 24, preferably from 10 to 24.

In a further embodiment, the lipophilic substituent is a group of the formula COOH(CH₂),CO- wherein t is an integer from 6 to 24.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-CO(CH₂)_uCH₃, wherein u is an integer from 8 to 18.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula $CH_3(CH_2)_vCO-NH-(CH_2)_z-CO$, wherein v is an integer from 4 to 24 and z is an integer from 1 to 6.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-COCH((CH₂)₂COOH)NH-CO(CH₂)_wCH₃, wherein w is an integer from 10 to 16.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-CO(CH₂)₂CH(COOH)NHCO(CH₂)_xCH₃, wherein x is zero or an integer from 1 to 22, preferably 10 to 16.

In yet another embodiment the GLP-1 agonist is Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexade-canoyl)))$ -GLP-1(7-37).

In yet another embodiment the GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-37), Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), analogues thereof and derivatives of any of these.

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In yet another embodiment the GLP-1 agonist is selected from the group consisting of Arg²⁶-GLP-1(7-37); Arg³⁴-GLP-1(7-37); Lys³⁶-GLP-1(7-37); Arg^{26,34}Lys³⁶-GLP-1(7-37); Arg^{26,34}-GLP-1(7-37); Arg^{26,34}Lys⁴⁰-GLP-1(7-37); Arg²⁶Lys³⁶-GLP-1(7-37); Arg³⁴Lys³⁶-GLP-1(7-37); Arg³⁶-GLP-1(7-37); Arg 37); Val⁸Arg²²-GLP-1(7-37); Met⁸Arg²²-GLP-1(7-37); Gly⁸His²²-GLP-1(7-37); Val⁸His²²-GLP-1(7-37); Met⁸His²²-GLP-1(7-37); His³⁷-GLP-1(7-37); Glv⁸-GLP-1(7-37); Val⁸-GLP-1(7-37); Met⁸-GLP-1(7-37); Gly⁸Asp²²-GLP-1(7-37); Val⁸Asp²²-GLP-1(7-37); Met⁸Asp²²-GLP-1(7-37);Gly⁸Glu²²-GLP-1(7-37); Val⁸Glu²²-GLP-1(7-37); Met⁸Glu²²-GLP-1(7-37); Gly⁸Lys²²-GLP-1(7-37); Val⁸Lys²²-GLP-1(7-37); Met⁸Lys²²-GLP-1(7-37); Gly⁸Arg²²-GLP-1(7-37); Val⁸Lys²²His³⁷-GLP-1(7-37); Gly⁸Glu²²His³⁷-GLP-1(7-37); Val⁸Glu²²His³⁷-GLP-1(7-37); Met⁸Glu²²His³⁷-GLP-1(7-37);Gly⁸Lys²² His³⁷-GLP-1(7-37); Met⁸Lys²²His³⁷-GLP-1(7-37):Glv8Arg²²His³⁷-GLP-1(7-37): Val8Arg²²His³⁷-GLP-1(7-37): Met8Arg²²His³⁷-GLP-1(7-37): Glv⁸His²²His³⁷-GLP-1(7-37); Val⁸His²²His³⁷-GLP-1(7-37); Met⁸His²²His³⁷-GLP-1(7-37); Gly⁸His³⁷-GLP-1(7-37); Val⁸His³⁷-GLP-1(7-37); Met⁸His³⁷-GLP-1(7-37); Gly⁸Asp²² His³⁷-GLP-1(7-37); Val⁸Asp²²His³⁷-GLP-1(7-37); Met⁸Asp²²His³⁷-GLP-1(7-37); Arg²⁶-GLP-1(7-36)-amide; Arg³⁴-GLP-1(7-36)-amide; Lys³⁶-GLP-1(7-36)-amide; Arg^{26,34}Lys³⁶-GLP-1(7-36)-amide; Arg^{26,34}-GLP-1(7-36)-amide; Arg^{26,34}Lys⁴⁰-GLP-1(7-36)-amide; Arg²⁶Lys³⁶-GLP-1(7-36)-amide: Arg³⁴Lys³⁶-GLP-1(7-36)-amide; Gly⁸-GLP-1(7-36)-amide; Val⁸-GLP-1(7-36)-amide; Met⁸-GLP-1(7-36)-amide;Gly8Asp²²-GLP-1(7-36)-amide; Gly8Glu²²His³⁷-GLP-1(7-36)-amide; Val8Asp²²-GLP-1(7-36)-amide; Met⁸Asp²²-GLP-1(7-36)-amide; Gly⁸Glu²²-GLP-1(7-36)-amide; Val⁸Glu²²-GLP-1(7-36)-amide; Met⁸Glu²²-GLP-1(7-36)-amide; Gly⁸Lys²²-GLP-1(7-36)-amide; Val⁸Lys²²-20 GLP-1(7-36)-amide; Met⁸Lys²²-GLP-1(7-36)-amide; Gly⁸His²²His³⁷-GLP-1(7-36)-amide; Gly⁸Arg²²-GLP-1(7-36)-amide; Val⁸Arg²²-GLP-1(7-36)-amide; Met⁸Arg²²-GLP-1(7-36)amide: Gly8His22-GLP-1(7-36)-amide; Val8His22-GLP-1(7-36)-amide; Met8His22-GLP-1(7-36)amide; His³⁷-GLP-1(7-36)-amide; Val⁸Arg²²His³⁷-GLP-1(7-36)-amide; Met⁸Arg²²His³⁷-GLP-1(7-36)-amide; Glv⁸His³⁷-GLP-1(7-36)-amide; Val⁸His³⁷-GLP-1(7-36)-amide; Met⁸His³⁷-GLP-25 1(7-36)-amide; Gly⁸Asp²² His³⁷-GLP-1(7-36)-amide; Val⁸Asp²²His³⁷-GLP-1(7-36)-amide; Met⁸Asp²²His³⁷-GLP-1(7-36)-amide; Val⁸Glu²²His³⁷-GLP-1(7-36)-amide; Met⁸Glu²²His³⁷-GLP-1(7-36)-amide; Gly⁸Lys²² His³⁷-GLP-1(7-36)-amide; Val⁸Lys²²His³⁷-GLP-1(7-36)-amide; Met⁸Lys²²His³⁷-GLP-1(7-36)-amide;Gly⁸Arg²²His³⁷-GLP-1(7-36)-amide; Val⁸His²²His³⁷-GLP-1(7-36)-amide; Met⁸His²²His³⁷-GLP-1(7-36)-amide; and derivatives thereof. 30 In yet another embodiment the GLP-1 agonist is selected from the group consisting of Val⁸Trp¹⁹Glu²²-GLP-1(7-37), Val⁸Glu²²Val²⁵-GLP-1(7-37), Val⁸Tyr¹⁶Glu²²-GLP-1(7-37), Val⁸Trp¹⁶Glu²²-GLP-1(7-37), Val⁸Leu¹⁶Glu²²-GLP-1(7-37), Val⁸Tyr¹⁸Glu²²-GLP-1(7-37), Val⁸Glu²²His³⁷-GLP-1(7-37), Val⁸Glu²²Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Ile³³-GLP-1(7-37), Val⁸Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵-35 GLP-1(7-37), analogues thereof and derivatives of any of these.

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In yet another embodiment the GLP-1 agonist is exendin-4 or exendin-3, an exendin-4 or exendin-3 analogue or a derivative of any of these.

Examples of exendins as well as analogues, derivatives, and fragments thereof to be included within the present invention are those disclosed in WO 97/46584, US 5,424,286 and WO 01/04156. US 5,424,286 describes a method for stimulating insulin release with an exendin polypeptide. The exendin polypeptides disclosed include HGEGTFTSDLSKQMEEEAVRL-FIEWLKNGGX; wherein X = P or Y, and HX1X2GTFITSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPPS; wherein X1X2 = SD (exendin-3) or GE (exendin-4)). WO 97/46584 describes truncated versions of exendin peptide(s). The disclosed peptides increase secretion and biosynthesis of insulin, but reduce those of glucagon. WO 01/04156 describes exendin-4 analogues and derivatives as well as the preparation of these molecules. Exendin-4 analogues stabilized by fusion to serum albumin or Fc portion of an Ig are disclosed in WO 02/46227.

In one embodiment, the exendin-4 analogue is HGEGTFTSDLSKQMEEEAVRL
15 FIEWLKNGGPSSGAPPSKKKKKK-amide.

Where the peptide to be included in the formulation of the invention is a GLP-1 agonist, the GLP-1 agonist is present in a concentration from about 0.1 mg/ml to about 100 mg/ml, more preferably in a concentration from about 0.1 mg/ml to about 50 mg/ml, and most preferably in a concentration of from about 0.1 mg/ml to about10 mg/ml.

In another embodiment, the peptide to be included in the formulation of the invention is insulin , where "insulin" is understood to mean human insulin, [where "human insulin" means insulin having the amino acid sequence shown in DSHW Nicol and LF Smith: Nature, (1960) 4736:483-485, which is hereby incorporated by reference], human insulin analogs, human insulin derivatives or mixtures thereof, where examples of insulin analogs and derivatives are those disclosed in EP 0 792 290 (Novo Nordisk A/S), EP 0 214 826 and EP 0 705 275 (Novo Nordisk A/S), US 5,504,188 (Eli Lilly), EP 0 368 187 (Aventis), US patents 5,750,497 and 6,011,007, EP 375437 and EP 383472 and where such insulins may include, but are not limited to, NPH insulin, Lys ß29 (N ϵ -tetradecanoyl) des(B30) human insulin, Lys ß29-(N ϵ -(γ -glutamyl-N γ -lithocholyl) des(B30) human insulin, N^{LB29}-octanoyl insulin, 30/70 mixtures of prompt insulin zinc (SemiLente®) with extended insulin zinc (Ultralente®), sold commercially as Lente®, insulin glargine (Lantus®) or extended insulin zinc (Ultralente®), Lys B28 ProB29 human insulin (Humalog®) , Asp B28 human insulin, insulin aspart (Novolog®), or a 30/70 mixture of insulin aspart and insulin aspart protamine (NovoMix®) .

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In one embodiment, the insulin is a derivative of human insulin or a human insulin analogue where the derivative contains at least one lysine residue and a lipophilic substituent is attached to the epsilon amino group of the lysine residue.

In one embodiment, the lysine residue to which the lipophilic substituent is attached is present at position B28 of the insulin peptide.

In an alternative embodiment, the lysine residue to which the lipophilic substituent is attached is present at position B29 of the insulin peptide.

In yet another embodiment, lipophilic substituent is an acyl group corresponding to a carboxylic acid having at least 6 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group, branched or unbranched, which corresponds to a carboxylic acid having a chain of carbon atoms 8 to 24 atoms long.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a fatty acid having at least 6 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 6 to 24 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 8 to 12 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 10 to 16 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an oligo oxyethylene group comprising up to 10, preferably up to 5, oxyethylene units.

In another preferred embodiment, the lipophilic substituent is an oligo oxypropylene group comprising up to 10, preferably up to 5, oxypropylene units.

In one preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys; Phe^{B1} may be deleted; the □-amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms; and 2-4 Zn²⁺ ions may be bound to each insulin hexamer with the proviso that when B30 is Thr or Ala and A21 and B3 are both Asn, and Phe^{B1} is not deleted, then 2-4 Zn²⁺ ions are bound to each hexamer of the insulin derivative.

In another preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded

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for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys, with the proviso that if the B30 amino acid residue is Ala or Thr, then at least one of the residues A21 and B3 is different from Asn; Phe^{B1} may be deleted; and the □-amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms.

In another preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys; Phe^{B1} may be deleted; the □-amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms; and 2-4 Zn²⁺ ions are bound to each insulin hexamer.

Where the peptide to be included in the formulation of the invention is an insulin, the insulin is present in a concentration from about 0.5 mg/ml to about 20 mg/ml, more preferably in a concentration from about 1 mg/ml to about 15 mg/ml.

In another embodiment, the peptide to be included in the formulations of the invention is hGH or Met-hGH.

Where the peptide to be included in the formulation of the invention is hGH or Met-hGH, the hGH or Met-hGH is present in a concentration from about 0.5 mg/ml to about 50 mg/ml, more preferably in a concentration from about 1 mg/ml to about 10 mg/ml.

In yet another embodiment, the peptide to be included in the formulations of the invention is GLP-2 or an analogue or derivative thereof.

Where the peptide to be included in the formulation of the invention is GLP-2 or an analogue or derivative thereof, the GLP-2 or an analogue or derivative thereof is present in a concentration from about 1 mg/ml to about 100 mg/ml, more preferably in a concentration from about 1 mg/ml to about 10 mg/ml.

In yet a further embodiment, the peptide to be included in the formulations of the invention is Factor VII or Factor VIIa or an analogue or derivative thereof.

Where the peptide to be included in the formulation of the invention is Factor VII or Factor VIIa or an analogue or derivative thereof, the Factor VII or Factor VIIa or an analogue or derivative thereof is present in a concentration from about 0.1 mg/ml to about 10 mg/ml, more preferably in a concentration from about 0.5 mg/ml to about 5 mg/ml.

In one embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 1 to about 50 mg/ml.

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In another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 5 to about 25 mg/ml.

In yet another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 8 to about 16 mg/ml.

In yet a further embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 13 to about 15 mg/ml.

In still another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 13.5 to about 14.5 mg/ml.

In another embodiment of the invention, the formulation has a pH in the range from about 7.0 to about 9.5 where the term "about" as used in connection with pH means + or - 0.1 pH units from the stated number.

In a further embodiment of the invention, the formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the formulation has a pH in the range from about 7.2 to about 8.0.

In a further embodiment of the invention, the formulation has a pH in the range from about 7.0 to about 8.3.

In yet a further embodiment of the invention, the formulation has a pH in the range from about 7.3 to about 8.3.

In a preferred embodiment of the invention, the formulations contain, in addition to a peptide and propylene glycol, a buffer and/or a preservative.

Where a buffer is to be included in the formulations of the invention, the buffer is selected from the group consisting of sodium acetate, sodium carbonate, citrate, glycylglycine, histidine, glycine, lysine, arginin, sodium dihydrogen phosphate, disodium hydrogen phosphate, sodium phosphate, and tris(hydroxymethyl)-aminomethan, or mixtures thereof. Each one of these specific buffers constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the buffer is glycylglycine, sodium dihydrogen phosphate, disodium hydrogen phosphate, sodium phosphate or mixtures thereof.

Where a pharmaceutically acceptable preservative is to be included in the formulations of the invention, the preservative is selected from the group consisting of phenol, m-cresol, methyl p-hydroxybenzoate, propyl p-hydroxybenzoate, 2-phenoxyethanol, butyl p-hydroxybenzoate, 2-phenylethanol, benzyl alcohol, chlorobutanol, and thiomerosal, or mixtures thereof. Each one of these specific preservatives constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the preservative is phenol or m-cresol.

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In a further embodiment of the invention the preservative is present in a concentration from about 0.1 mg/ml to about 50 mg/ml, more preferably in a concentration from about 0.1 mg/ml to about 25 mg/ml, and most preferably in a concentration from about 0.1 mg/ml to about 10 mg/ml

The use of a preservative in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

In a further embodiment of the invention the formulation may further comprise a chelating agent where the chelating agent may be selected from salts of ethlenediaminetetraacetic acid (EDTA), citric acid, and aspartic acid, and mixtures thereof. Each one of these specific chelating agents constitutes an alternative embodiment of the invention.

In a further embodiment of the invention the chelating agent is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the chelating agent is present in a concentration from 0.1mg/ml to 2mg/ml. In a further embodiment of the invention the chelating agent is present in a concentration from 2mg/ml to 5mg/ml.

The use of a chelating agent in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

In a further embodiment of the invention the formulation may further comprise a stabiliser selected from the group of high molecular weight polymers or low molecular compounds where such stabilizers include, but are not limited to, polyethylene glycol (e.g. PEG 3350), polyvinylalcohol (PVA), polyvinylpyrrolidone, carboxymethylcellulose, different salts (e.g. sodium chloride), L-glycine, L-histidine, imidazole, arginine, lysine, isoleucine, aspartic acid, tryptophan, threonine and mixtures thereof. Each one of these specific stabilizers constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the stabiliser is selected from the group consisting of L-histidine, imidazole and arginine.

In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0.1mg/ml to 50mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 5mg/ml to 10mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0mg/ml to 20mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration

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from 20mg/ml to 30mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 30mg/ml to 50mg/ml.

In a further embodiment of the invention the low molecular weight compound is present in a concentration from 0.1mg/ml to 50mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 5mg/ml to 10mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 10mg/ml to 20mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 20mg/ml to 30mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 30mg/ml to 50mg/ml.

The use of a stabilizer in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

In a further embodiment of the invention the formulation of the invention may further comprise a surfactant where a surfactant may be selected from a detergent, ethoxylated castor oil, polyglycolyzed glycerides, acetylated monoglycerides, sorbitan fatty acid esters, poloxamers, such as 188 and 407, polyoxyethylene sorbitan fatty acid esters, polyoxyethylene derivatives such as alkylated and alkoxylated derivatives (tweens, e.g. Tween-20, or Tween-80), monoglycerides or ethoxylated derivatives thereof, diglycerides or polyoxyethylene derivatives thereof, glycerol, cholic acid or derivatives thereof, lecithins, alcohols and phospholipids, glycerophospholipids (lecithins, kephalins, phosphatidyl serine), glyceroglycolipids (galactopyransoide), sphingophospholipids (sphingomyelin), and sphingoglycolipids (ceramides, gangliosides), DSS (docusate sodium, docusate calcium, docusate potassium, SDS (sodium dodecyl sulfate or sodium lauryl sulfate), dipalmitoyl phosphatidic acid, sodium caprylate, bile acids and salts thereof and glycine or taurine conjugates, ursodeoxycholic acid, sodium cholate, sodium deoxycholate, sodium taurocholate, sodium glycocholate, N-Hexadecyl-N,N-dimethyl-3-ammonio-1propanesulfonate, anionic (alkyl-aryl-sulphonates) monovalent surfactants, palmitoyl lysophosphatidyl-L-serine, lysophospholipids (e.g. 1-acyl-sn-glycero-3-phosphate esters of ethanolamine, choline, serine or threonine), alkyl, alkoxyl (alkyl ester), alkoxy (alkyl ether)derivatives of lysophosphatidyl and phosphatidylcholines, e.g. lauroyl and myristoyl derivatives of lysophosphatidylcholine, dipalmitoylphosphatidylcholine, and modifications of the polar head group, that is cholines, ethanolamines, phosphatidic acid, serines, threonines, glycerol, inositol, and the postively charged DODAC, DOTMA, DCP, BISHOP, lysophosphatidylserine and lysophosphatidylthreonine, zwitterionic surfactants (e.g. N-alkyl-

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N,N-dimethylammonio-1-propanesulfonates, 3-cholamido-1-propyldimethylammonio-1-propanesulfonate, dodecylphosphocholine, myristoyl lysophosphatidylcholine, hen egg lysolecithin), cationic surfactants (quarternary ammonium bases) (e.g. cetyltrimethylammonium bromide, cetylpyridinium chloride), non-ionic surfactants, polyethyleneoxide/polypropyleneoxide block copolymers (Pluronics/Tetronics, Triton X-100, Dodecyl β -D-glucopyranoside) or polymeric surfactants (Tween-40, Tween-80, Brij-35), fusidic acid derivatives- (e.g. sodium tauro-dihydrofusidate etc.), long-chain fatty acids and salts thereof C6-C12 (eg. oleic acid and caprylic acid), acylcarnitines and derivatives, N°-acylated derivatives of lysine, arginine or histidine, or side-chain acylated derivatives of lysine or arginine, N°-acylated derivatives of dipeptides comprising any combination of lysine, arginine or histidine and a neutral or acidic amino acid, N°-acylated derivative of a tripeptide comprising any combination of a neutral amino acid and two charged amino acids, or the surfactant may be selected from the group of imidazoline derivatives, or mixtures thereof. Each one of these specific surfactants constitutes an alternative embodiment of the invention.

The use of a surfactant in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

The formulations of the invention may be prepared by conventional techniques, *e.g.* as described in Remington's *Pharmaceutical Sciences*, 1985 or in Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995, where such conventional techniques of the pharmaceutical industry involve dissolving and mixing the ingredients as appropriate to give the desired end product...

As mentioned above, in a preferred embodiment, the formulations of the inventioncontain, in addition to a peptide and propylene glycol, a buffer and/or a preservative.

In one embodiment, the method for preparing such a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
- b) preparing a second solution by dissolving the peptide in water;
- c) mixing the first and second solutions; and
- d) adjusting the pH of the mixture in c) to the desired pH.

In another embodiment, the method for preparing such a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative and buffer in water;
- b) adding propylene glycol to the first solution;

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- mixing the first solution with a second solution containing peptide dissolved in water; and
- d) adjusting the pH of the mixture in c) to the desired pH.
- In yet another embodiment, the method for preparing a peptide formulation comprises:
 - a) preparing a solution by dissolving preservative, buffer and propylene glycol in water:
 - b) adding the peptide to the solution of step a); and
- 10 c) adjusting the pH of the solution of step b) to the desired pH.

As the formulations of the invention are optimal for production and for use in injection devices since they exhibit reduced deposits of production equipment and reduced clogging of injection devices, the above methods of production can be used to produce peptide formulations suitable for use in production and/or for use in injection devices.

The formulations of the invention are suitable for administration to a mammal, preferably a human. The route of administration of the formulations of the invention may be any route which effectively transports the peptide contained in the formulation to the appropriate or desired site of action, such as oral, nasal, buccal, pulmonal, transdermal or parenteral.

Due to the ability of propylene glycol to reduce clogging of injection devices when compared to other isotonic agents and to mannitol in particular, in a preferred embodiment, the formulations of the invention are to be administered parenterally to a patient in need thereof. Parenteral administration may be performed by subcutaneous, intramuscular or intravenous injection by means of a syringe, optionally a pen-like syringe. Alternatively, parenteral administration can be performed by means of an infusion pump.

A further option is a composition which may be a powder or a liquid for the administration of the formulation in the form of a nasal or pulmonal spray. As a still further option, the formulation can also be administered transdemally, *e.g.* from a patch, optionally a iontophoretic patch, or transmucosally, *e.g.* bucally. The above-mentioned possible ways to administer the formulations of the invention are not to be considered as limiting the scope of the invention.

Of course, it is understood that depending on the peptide or peptides included in the formulations of the invention, the formulations may be used in methods of treatment of diseases or conditions for which use of the peptide is indicated. One skilled in the art would understand that when used in such methods of treatment, the formulations would have to be administered

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in amount effective to treat the condition or disease for which the peptide was being administered where an "effective amount" or an "amount...effective" is understood to mean a dosage which is sufficient in order for the treatment of the patient with the disease or condition to be treated to be effective compared to treatment without the administered dosage. It is to be understood that "an effective amount" is the effective dose to be determined by a qualified practitioner, who may titrate dosages to achieve the desired response. Factors for consideration of dose will include potency, bioavailability, desired pharmacokinetic/pharmacodynamic profiles, the condition or disease to be treated (e.g. diabetes, obesity, weight loss, gastric ulcers), patient-related factors (e.g. weight, health, age, etc.), presence of co-administered medications (e.g. insulin), time of administration, or other factors known to a medical practitioner.

The present invention also relates to a method for reducing deposits on production equipment during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment as described in the Examples.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.3.

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In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.3 to about 8.3.

The present invention also relates to a method for reducing deposits in the final product during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.3.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.3 to about 8.3.

The present invention further relates to a method for reducing the clogging of injection devices by a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

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In one embodiment, the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study as described in the Examples.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

All scientific publications and patents cited herein are specifically incorporated by reference. The following examples illustrate various aspects of the invention but are in no way intended to limit the scope thereof.

EXAMPLES

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EXAMPLE 1

As laboratory experiments have shown that with regards to clogging of needles and deposits on needles, formulations without peptide ("placebo") give the same conclusions as formulations with peptide at 0.3-5.0 mg/ml, the screening studies in Example 1 have been done using placebo except where indicated otherwise.

Preparation of Formulations With Different Isotonic Agents

Preservative (5.5 mg/ml phenol) and buffer 1.24 mg/ml disodium hydrogen phosphate, dihydrate) were dissolved in water and the isotonic agent was added while stirring. pH was adjusted to pH 7.9 using Sodium Hydroxide and/or Hydrochloric acid. Finally, the formulation was filtered through a $0.22~\mu m$ filter. The isotonic agents tested in each formulation and their concntrations are shown in Table 1.

Table 1 Composition of the tested formulations

Formulation	Tonicity modifier		
no.			
1	Glucose monohydrate		
	(38.0 mg/ml)		
2	Laktose monohydrate		
	(65.0 mg/ml)		
3	Maltose		
	(67.2 mg/ml)		
4	Glycine		
	(15.1 mg/ml)		
5	Polyethylenglycol 400		
	(77.5 mg/ml)		
6	L-arginin		
	(24.6 mg/ml)		
7	Myo-Inositol		
	(35.2 mg/ml)		
8	Propylene glycol		
	(13.7 mg/ml)		
9	Dimethylsulfon (18 mg/ml)		
10	Mannitol (35.9 mg/ml)		
11	Sorbitol (39.5 mg/ml)		
12	Xylitol (39.5 mg/ml)		
13	Sucrose (79.1 mg/ml		
14	Glycerol (16 mg/ml)		

5 Osmolarity

The osmolarity of the different placebo formulations was determined and the results are shown in Table 2.

An isotonic solution has an osmolarity of around 0.286 osmol/L. As can be seen from Table 2 three of the formulations (PEG 400, sucrose and xylitol) are more than 20% from being isotonic (0.229-0.343 osmol/l), however for these kind of experiments the osmolarity is not expected to influence the results, though, the tonicity of the formulations should be adjusted in future experiments.

Table 2. The measured osmolarity of the formulations

Formulation no.	Isotonic agent	Osmolarity		
1	Glucose monohydrate (38.0 mg/ml)	0.315		
2	Laktose monohydrate (65.0 mg/ml)	0.283		
3	Maltose (67.2 mg/ml)	0.306		
4	Glycine (15.1 mg/ml)	0.286		
5	Polyethylenglykol 400 (77.5 mg/ml)	0.370		
6	L-arginin(24.6 mg/ml)	0.318		
7	Myo-Inositol (35.2 mg/ml)	0.285		
8	Propylene glycol (13.7 mg/ml)	0.268		
9	Dimethylsulfon (18 mg/ml)	0.274		
_10	Mannitol (35.9 mg/ml)	0.284		
11	Sorbitol (39.5 mg/ml)	0.310		
12	Xylitol (39.5 mg/ml)	0.351		
13	Sucrose (79.1 mg/ml	0.346		
14	Glycerol (16 mg/ml)	0.262		

Drop test

A droplet of each formulation is placed on a microscope slide and let to dry. The deposit is visu-5 ally examined by eye and light microscope.

A photograph of the dried droplets of some of the formulations is shown in Figure 1. In this figure it is clearly observed that mannitol cause deposits on the microscope slide when let to dry. No deposits were observed for sorbitol, xylitol, sucrose and glycerol. The droplet on the far right (Form 1) contains mannitol and Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$.

In Figure 2, the candidates causing the most deposits on the microscope slide are shown. For comparison glycerol, which does not cause deposits, is shown (mannitol, arginine, inositol).

Clogging test

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In this test 10 NovoPens® 1.5 ml mounted with NovoFine 30® G (G 30 needle) were tested for each formulation, 5 of them placed in upright and 5 in horizontal position. The Pensystems were stored at room temperature in between testing. Each day the needle was examined for deposits and an air shot was performed prior to injection into a tissue. Degree of resistance and clogging, if any, was noted. Injections were made on a daily basis with the same needle, and this was done for 9 working days for all the formulations.

The results from the clogging test are shown in Table 3.

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Table 3 Clogging test in NovoPen 1.5 using 30G NovoFine

	•••			-				
Isotonic agent (no. of observa- tions)	Some resist- ance	Resist-	Much resist- ance	Clogged	Drop at top of needle	Dried drop at needle top	Gel- like drop on needle	Deposits on needle
Mannitol		_	_		_	_	_	
(90)	10	0	0	0	0	2	0	43
Glycerol	4.0	•	•	•	•	•		•
(90)	13	0	0	0	1	0	3	0
Sucrose		_	_	_	_	_		_
(90)	23	0	0	00	0	0	21	00
Propylene								
glycol (90)	20	00	0	0	00	0	0	0
PEG 400					12 (5 at			
(90)	25	1	0	00	needle)	0	0	0
arginin					3 (2 at			
(90)	26	2	0	0	needle)	1	0	00
Xylitol (90)	14	0	0	0	5	0	0	0
Dimethyls								
ulfon (90)	21	0	0	0	4	0	0	0
sorbitol								
(90)	12	00	0	0	9	11	0	1
Myo-								
inositol								
(90)	20	1	2	6	6	0	0	47
Glucose					16 (7 at			(1 at
(90)	32	11	5	0	needle)	1	0	needle)
glycine					1 (2 at			31 (2 at
(90)	41	9	2	0	needle)	0	0	needle)
maltose					16 (6 at			1 (5 at
(90)	35	8	7	4	needle)	0	0	needle)
laktose								31 (2 at
(90)	44	10	8	0	5	0	0	needle)

In Table 3 and in Figure 3 it was observed that inositol and maltose clogged the needle. For comparison glycerol which does not clog the needle is shown in Figure 3. In Figure 4, and in Table 3, it was observed that formulations containing glycine, lactose and mannitol gave rise to a lot of deposits on the needle. For glycine, the deposits were a droplet deposited down the needle, whereas for lactose and mannitol the deposits occurred at the top of the needle.

Simulated filling

1 L of each formulation was subjected to a simulated filling experiment which lasted for 24
 hours. After 24 hours the filling equipment was inspected for the presence of deposits.

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Based on the results from the simulated filling studies (data not shown), the placebo formulations can be divided into three categories. 1. Those isotonic agents that do not cause deposits on the filling equipment: Xylitol, glycerol, glucose monohydrate, maltose, PEG 400 and propylene glycol. 2. Those isotonic agent that cause few deposits and have superior filling properties compared to mannitol: Sorbitol, sucrose and glycine. 3. Those isotonic agent that are comparable or worse than mannitol: Mannitol, lactose monohydrate, arginin, myo-inositol and dimethyl-sulfon.

Conclusion

In the simulated filling experiment xylitol, glycerol, glucose, maltose, PEG 400, propylene glycol, sorbitol, sucrose and glycine were found to be suitable replacements candidates for mannitol. However, as glucose is a reducing saccharide, and therefore is able to initiate unwanted degradation in the formulation, this tonicity modifier is ruled out. Furthermore, maltose is ruled out due to clogging of needles. This leads to the following candidates: glycerol, xylitol, sorbitol, sucrose, glycine, propylene glycol and PEG 400, which are found to have suitable properties as replacements candidates for mannitol in peptide formulations with regards to drop test, clogging of needles and simulated filling.

However, on the basis of the following considerations, propylene glycol was chosen as the isotonic agent over the other candidates to be further investigated in head to head comparison studies with mannitol:

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- a. propylene glycol was observed to have no influence on the physical and chemical stability of Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37)-containing formulations;
- b. propylene glycol was observed to have no influence on antimicrobial preservative testing; and

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c. use of propylene glycol would no require that further toxicity studies be tested

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EXAMPLE 2

Comparison Of Mannitol and Propylene Glycol-Containing Placebo Formulations In Simulated Filling Studies and Simulated Use Studies

Preparation of Formulations

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Preservative and buffer were dissolved in water and the isotonic agent was added while stirring. pH was adjusted to the aimed pH using Sodium Hydroxide and/or Hydrochloric acid. Finally, the formulation was filtered through a 0.22 µm filter. The compositions of the formulations were as follows:

10 Disodium hydrogen phosphate, dihydrate: 1.42 mg/ml

Phenol: 5.5 mg/ml

Propylene glycol or mannitol: 13.7 or 35.9 mg/ml

Water for Injection: up to 1.0 ml.

pH: 7.90

15 Simulated Filling Study

A simulated filling study lasting 24 hours was performed as described in Example 1 and after 24 hours, the filling equipment was inspected for the presence of deposits. No deposits were observed on the filling equipment for the propylene glycol formulation. By comparison, after 24 hours, a lot of deposits were observed on the filling equipment for the mannitol formulation (see Figure 6).

Simulated In Use Study

For the simulated in use study, a clogging test was conducted as described in Example 1. The same needle was used during the study period of ten working days and each day, the needle was inspected for the presence of deposits. Figure 7 shows photographs of needles dosed with the propylene glycol (top panel) or mannitol (bottom panel) containing formulations. Deposits on the needle were observed in 48% of the cases when mannitol was used as an isotonic agent whereas no deposits were observed when propylene glycol was used as the isotonic agent.

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Example 3

Comparison of Propylene Glycol to Mannitol In Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) Containing Formulations

Preparation of Formulations

Preservative, isotonic agent (mannitol or propylene glycol) and buffer were dissolved in water and pH was adjusted to the desired pH. Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) was dissolved in water while stirring slowly. The two solutions were then mixed and pH adjusted to the desired pH using sodium hydroxide and/or hydrochloric acid. Finally, the formulation was filtered through a 0.22 μm filter. The compositions of the formulations were as follows:

Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) (6.25 mg/ml), Disodium hydrogen phosphate, dihydrate (1.42 mg/ml), Phenol (5.5 mg/ml), mannitol or propylene glycol (35.9 or 14.0 mg/ml), Water for Injection (up to 1.0 ml), pH: 8.15

Simulated In Use Study

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For the simulated in use study, a clogging test was conducted as described in Example 1 except that a G31 needle was used. The same G31 needle was used during the study period of ten working days and each day, the needle was inspected for the presence of deposits. Figure 7 shows photographs of needles with no deposits when dosed with the propylene glycol (bottom panel) or showing deposits when dosed with the mannitol (top panel) containing formulations.

For the mannitol containing formulation, clogging of the needle was observed in 1 out of 10 cases on day 4, 2 out of 10 cases on day 5, 3 out of 10 cases on day 8 and 4 out of 10 cases on day 9. By comparison, no clogging of needles was observed for the propylene glycol containing formulation.

It is believed that similar results to those obtained with the above-described propylene glycol-containing formulation would also be obtained if the pH was adjusted to 7.40, 7.70 or 7.90. In addition, additional formulations which could be tested include those having the following compositions:

Buffering agents: glycylglycine (1.32 mg/ml), L-Histidine (1.55 mg/ml), Hepes (2.38 mg/ml), or bicine (1.63 mg/ml)

Preservatives: phenol (5.0 or 5.5 mg/ml), benzylalcohol (18 mg/ml) or a mixture of m-cresol and phenol (2.5/2.0 mg/ml)

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Propylene glycol: 14.0 or 14.3 mg.ml Water for injection: up to 1.0 ml pH: 7.40, 7.70, 7.90 or 8.15

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Example 4

Influence of Peptide Concentration On Clogging of Needles

Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) formulations were prepared as described in Example 3 using peptide concentrations ranging from 0-5 mg/ml of Arg³⁴, Lys²⁶(N^e- $(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$. The compositions of the formulations were as fol-

Liraglutide: 0, 0.3, 3 and 5 mg/ml

Disodium hydrogen phosphate, dihydrate: 0.71 mg/ml Sodium dihydrogenphosphate, dihydrate: 0.62 mg/ml

Mannitol: 36.9 mg/ml Phenol: 5.0 mg/ml

Water for injection: up to 1.0 ml

pH 7.40

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A simulated in use study was conducted as in Example 3 except that a G30 needle was used and the results (data not shown) indicated that the clogging effect of the mannitol-containing formulations relative to the absence of clogging with the propylene glycol formulations was observed independent of the peptide concentration.

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Example 5

Clogging of needles in Lys ß29 (N∈-tetradecanoyl) des(B30) human insulin and NovoMix 30 formulations Containing Mannitol

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Preparation Of Formulations

The Lys ß29 (Ne-tetradecanoyl) des(B30) human insulin-containing formulation was prepared as follows:

a) Prepared a first solution by dissolving buffer, sodium chloride, preservatives (phenol and m-cresol) and mannitol in water

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- b) Prepared a second solution of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and zinc acetate dissolved in water
- c) added the peptide-containing solution of step b) to the solution of step a); and
- d) adjusted the pH of the solution to the desired pH

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The composition of Lys &329 (N ϵ -tetradecanoyl) des(B30) human insulin-containing formulation prepared in the above manner was as follows:

Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin (2400 nmol), Phenol (1.80 mg/ml), m-cresol (2.06 mg/ml), Mannitol (30.0 mg/ml), disodiumphosphate, dihydrate (0.890 mg/ml), Sodium chloride (1.17 mg/ml), Zinc acetate (65.4 ug/ml), water for injection (up to 1.0 ml), pH: 7.4

The NovoMix 30-containing formulation was prepared as follows:

- a) Prepared a solution by dissolving buffer, sodium chloride, phenol, mannitol and sodium hydroxide in water
- b) Prepared a solution of sodium chloride, phenol and mannitol in water
- 15 c) Prepared a solution of protamine sulphate in water
 - d) Prepared a solution of insulin, hydrochloric acid and zinc in water
 - e) Solutions b), c) and d) were mixed
 - f) Solution e) was added to the solution of step a)
 - g) Adjustedthe pH of the solution to the desired pH and crystallized at room temperature
- 20 h) Prepared a solution by dissolving m-cresol, phenol and mannitol in water
 - i) Solution h) is added to the crystalline fraction of step g); and
 - j) Adjusted the pH to the desired pH

The composition of the NovoMix 30-containing formulation prepared in the above manner was as follows:

Insulin aspart (100 units/ml), protamine sulphate (approx. 0.33 mg/ml), phenol (1.50 mg/ml), m-cresol (1.72 mg/ml), mannitol (30.0 mg/ml), disodiumphosphate dihydrate (1.25 mg/ml), sodium chloride (0.58 mg/ml), zinc (19.6 ug/ml), water for injection (up to 1.0 ml), pH: 7.3.

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Results

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A simulated in use study was conducted as described in Example 3 using G31 needles where 20 needles were investigated for 10 days. The results were as follows: Clogging of needles was observed for Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin on day 2 (5%), day 3 (70%) and on day 4 (100%). Clogging of needles for NovoMix 30 was observed on day 3 (5%), day 4 (10%), day 5 (35%), day 6 (40%), day 8 (50%), day 9 (55%) and day 10 (80%). Thus, the effect of mannitol on the clogging of needles is independent of the type of peptide included in the formulations since a comparable clogging effect was observed with Arg^{34} , Lys 26 (N $^{\epsilon}$ -(γ -Glu(N $^{\alpha}$ -hexadecanoyl)))-GLP-1(7-37), Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin and NovoMix 30.

Example 6

Testing of Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin and NovoMix 30 formulations containing propylene glycol

The preparation and composition of the Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin and NovoMix 30 formulations will be as described in Example 5 except that mannitol will be replaced with a concentration of propylene glycol that assures tonicity. A simulated in use test will then be conducted as described in Example 5.

Based on the fact that the clogging effect of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and NovoMix 30 mannitol-containing formulations was similar to that observed with Arg³⁴, Lys²⁶(Nε-(γ-Glu(Nα-hexadecanoyl)))-GLP-1(7-37) mannitol-containing formulations, it is believed that the effect of propylene glycol on the clogging effect of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and NovoMix 30-containing formulations will be similar to that observed with Arg³⁴, Lys²⁶(Nε-(γ-Glu(Nα-hexadecanoyl)))-GLP-1(7-37)-containing formulations.

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Claims

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- 1. A pharmaceutical formulation comprising at least one peptide and propylene glycol, wherein said propylene glycol is present in said formulation in a final concentration of from about 1 mg/ml to about 100 mg/ml and wherein said formulation has a pH of from about 7.0 to about 10.0.
 - 2. The formulation according to claim 1, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
 - 3. The formulation according to claim 1, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 4. The formulation according to claim 1, wherein the concentration of propylene glycol isfrom about 8 mg/ml to about 16 mg/ml.
 - 5. The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 9.5.
- 20 6. The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 8.3.
 - 7. The formulation according to claim 1, wherein the pH of said formulation is about 7.3 to about 8.3.
 - 8. The formulation according to claim 1, further comprising a preservative.
 - 9. The formulation according to claim 8, wherein said preservative is present in a concentration from 0.1mg/ml to 20mg/ml.
 - 10. The formulation according to claim 1, further comprising a buffer.
 - 11. The formulation according to claim 10, wherein said buffer is selected from the group consisting of glycylglycine, L-histidine, Hepes, bicine and disodium phosphate dihydrate.
 - 12. The formulation according to claim 1, wherein said peptide is a GLP-1 agonist.

- 13. The formulation according to claim 12, wherein said GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.
- 14. The formulation according to claim 13, wherein said GLP-1 agonist is a derivative of GLP-1(7-36) or GLP-1(7-37) or a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, where said derivative has a lysine residue and a lipophilic substituent attached with or without a spacer to the epsilon amino group of said lysine.
- 15. The formulation according to claim 14, wherein said lipophilic substituent has from 8 to 40 carbon atoms.
- 16. The formulation according to claim 15, wherein said spacer is an amino acid.
- 17. The formulation according to claim 16, wherein said GLP-1 agonist is Arg^{34} , Lys²⁶(N- ϵ -(γ -Glu(N- α -hexadecanoyl)))-GLP-1(7-37).
- The formulation according to claim 12, wherein said GLP-1 agonist is selected from the
 group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide,
 Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-37), Val⁸Arg²²-GLP-1(7-36)-amide,
 Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide,
 Val⁸His²²-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37);
 and Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38) analogues thereof and derivatives of any of these.
 - 19. The formulation according to claim 1, wherein said peptide is selected from insulin, an insulin analogue, a derivative of insulin or an insulin analogue or a mixture of any of the foregoing.
 - 20. The formulation according to claim 19, wherein said peptide is an insulin derivative in which said derivative contains a lysine residue to which a lipophilic substituent of at least six carbon atoms is attached.

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- 21. The formulation according to claim 20, wherein the insulin derivative is Lys &29 (N ϵ -tetradecanoyl) des(&30) human insulin.
- 22. The formulation according to claim 20, wherein said insulin derivative is N^{LB29}-octanoyl insulin.
 - 23. The formulation according to claim 19, wherein said peptide is a 30/70 mixture of insulin aspart and insulin aspart protamine.
- 10 24. The formulation according to claim 1, wherein said peptide is human growth hormone (hGH) or Met-hGH.
 - 25. The formulation according to claim 24, wherein said peptide is exendin 4, an exendin 4 analogue or a derivative of exendin 4 or an exendin 4 analogue.
 - 26. The formulation according to claim 25, wherein said peptide is exendin 4.
 - 27. The formulation according to claim 25, wherein said peptide is HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-amide.

28. A method of preparing a peptide formulation suitable for use in an injection device, said method comprising preparing a formulation containing peptide and propylene glycol and optionally a buffer and a preservative, wherein said propylene glycol is present in a concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from about 7.0 to about 10.0.

- 29. The method according to claim 28, wherein said peptide, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:
 - a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
 - b) preparing a second solution by dissolving the peptide in water;
 - c) mixing the first and second solutions; and
 - d) adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.
- 35 30. The method according to claim 28, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.

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- 31. The method according to claim 28, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 5 32. The method according to claim 28, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
 - 33. The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 9.5.
- 34. The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 8.0.
- 35. The method according to claim 28, wherein the pH of said formulation is about 7.2 to about 8.0.
 - 36. A method for reducing deposits on production equipment during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
 - 37. The method according to claim 36, wherein the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.
 - 38. The method according to claim 36, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
- 39. A method for reducing deposits in the final product during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
- 40. The method according to claim 39, wherein the reduction in deposits in the final product
 is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials

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and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

- 41. The method according to claim 39. wherein the isotonicity agent to be replaced by pro5 pylene glycol is selected from the group consisting of sorbitol, glycerol, sucrose, glycine,
 mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
 - 42. A method for reducing the clogging of injection devices by a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
 - 43. The method according to claim 42, wherein the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.
 - 44. The method according to claim 42, wherein the isotonicity agent to be replaced by propulene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

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Attorney Docket No.: 6683.204-US Express Mail Label No.: EV 732210367 US

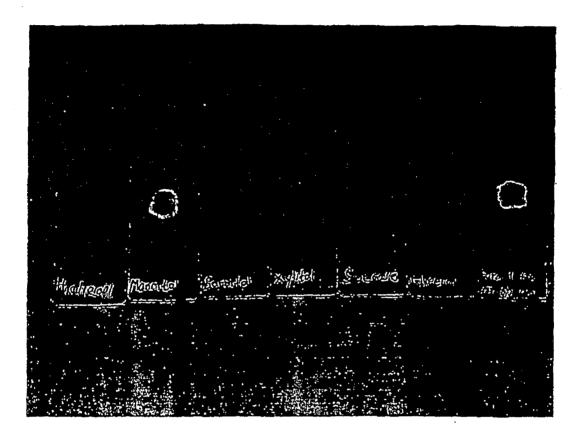
35

Abstract

The present invention relates to pharmaceutical formulations comprising a peptide and propylene glycol, to methods of preparing such formulations, and to uses of such formulations in the treatment of diseases and conditions for which use of the peptide contained in such formulations is indicated. The present invention further relates to methods for reducing the clogging of injection devices by a peptide formulation and for reducing deposits on production equipment during production of a peptide formulation.

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FIGURE 1



Attorney Docket No.: 6683.204-US Express Mail Label No.: EV 732210367 US Title: Pedersen et al.

PCT/DK2004/000792

2/7

FIGURE 2









Mannitol

Argi-

Inosi-

Glyce-

3/7

FIGURE 3







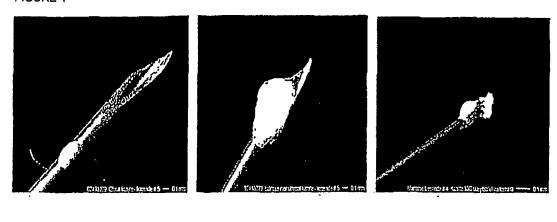
Maltose



Glycerol

4/7

FIGURE 4



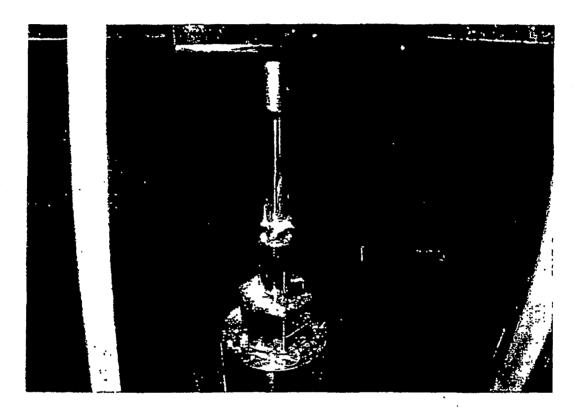
Glycine Lactose Mannitol

Attorney Docket No.: 6683.204-US Express Mail Label No.: EV 732210367 US Title: Pedersen et al.

PCT/DK2004/000792

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FIGURE 5

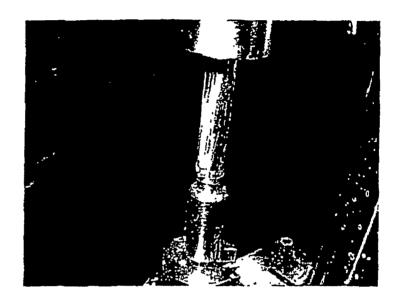


Attorney Docket No.: 6683,204-US Express Mail Label No.: EV 732210367 US Title: Pedersen et al.

PCT/DK2004/000792

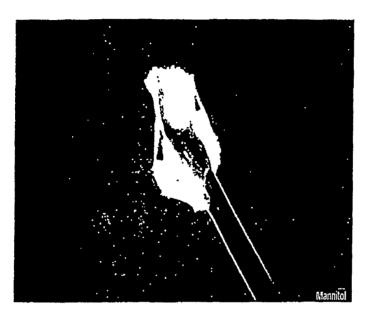
6/7

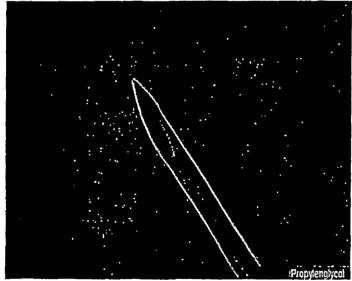
FIGURE 6



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FIGURE 7





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COMBINED DECLARATIO	N FOR PATENT APPLICATION	ON AND POWER OF ATTORN	EY Attorney's Docket Number:
(Includes Reference to PCT Inte	ernational Applications)		6683.204-US
As a below named inve	entor, I hereby declare that:		
My residence, post off	ice address and citizenship are as	stated below next to my name.	
	al names are listed below) of the	ly one name is listed below) or an e e subject matter which is claimed	
	COL-CONTAINING PEPTIDE N AND FOR USE IN INJECTION	E FORMULATIONS WHICH AI ON DEVICES	RE OPTIMAL
[x] is attached he	hich (check only one item below): reto Inited States application		
Application No. To	Be Assigned		<u> </u>
on May 17, 2006 and was amended			
on			VII
[] was filed as PCT ir Number	aternational application		
on	DOT 4 11 10		
and was amended unde on	er PCT Article 19	11411	
	have reviewed and understand as amended by an amendment refer	the contents of the above-identificated to above.	ed specification,
	ty to disclose information which 37, Code of Federal Regulations,	is material to patentability of th §1.56.	is application in
application(s) for pater inventor's certificate of the United States of A patent or inventor's cer than the United States	nt or inventor's certificate or of r of any PCT international applica America listed below and have a rtificate or any PCT international	I States Code, §119 of any provisany PCT international applications ations(s) designating at least one colso identified below any foreign application(s) designating at least one subject matter having a filing definition of the subjec	s(s) for patent or country other than application(s) for one country other
	OREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS	
COUNTRY (if PCT, indicated "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Denmark	PA 2003 01719		[x]YES []NO
United States of America	60/524,653	24 November 2003	[x]YES []NO
			[]YES []NO
A L			[]YES []NO
			LIVES LINO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney's Docket Number: 6683.204-US

I hereby claim the benefit under Title 35, United States Code '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this applications is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filling date of the prior application(s) and the national or PCT international filling date of this application:

Regul	lations, '1.56(a) which	h occurred between the	filing date	of the prior applicati	on(s) and the national or PCT	international filing	date of this a	pplication	:	
		PRIOR U.S. APP	PLICATIO	NS OR PCT INTER	NATIONAL APPLICATION UNDER 35 U.S.C. 120:	S DESIGNATING	THE U.S. FO	OR BENE	FIT	
			U.S. AP	PLICATIONS				STAT	US (Check one	:)
	U.S. APPLICATION NUMBER				U.S. FILING DATE	<u> </u>	Paten	ted	Pending	Abandoned
		PCT APPL	ICATIONS	DESIGNATING T	HE U.S.		ļ			
	APPLICATION	NO.	FILI	NG DATE	US SERIAL NI ASSIGNED					
PC	T/DK2004/0	00792 18	Nove	ember 04			<u> </u>		x	
	••									
					y(s) and/or agent(s) associate					
					tent and Trademark Office c R. Wilk-Orescan, Reg. N					nard W.
Seno	d Correspondence t	o: Reza Green, Esq. Novo Nordisk Pharn	nocenticals	Inc				Direct Te Reza C	lephone Calls To:	
		100 College Road W Princeton, NJ 0840		, inc.				(609)	987-5800	
1	Full Name of Inventor				First Given Name			Second Given Name		
	Residence &	Pedersen			Tina State or Foreign Country			Bjeldskov Country of Citizenship		
	Citizenship	Ballerup			Denmark			Denn	ıark	
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	Address	Osterhojve	j 50		Ballerup				750/Denma	rk
2	Full Name of Inventor	Family Name			First Given Name			econd G	iven Name	
		Bonde			Claude		l.,	-	of Citizenship	
	Residence & Citizenship	City			State or Foreign Country	,				
	Post Office	Lyngby Post Office Addre	SS		Denmark City			Denn	IARK ip Code/Country	***************************************
	Address	Borgevej 41			Lyngby				300/Denmai	·k
3	Full Name of	Family Name			First Given Name				iven Name	
	Inventor	Engelund			Dorthe			Kot		
	Residence &	City			State or Foreign Country				f Citizenship	
	Citizenship	Holte			Denmark		1	Denm	ark	
	Post Office	Post Office Addre	SS		City				ip Code/Country	
	Address	Gassehaver	ı 39		Holte		1	DK-28	340/Denmai	·k
4	Full Name of Inventor	Family Name			First Given Name		S	iecond G	iven Name	
	Residence & Citizenship	City			State or Foreign Country	,		Country o	f Citizenship	
	Post Office Address	Post Office Addre	ss	`	City		S	itate & Z	ip Code/Country	
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		ARATION FOR PATENT to PCT International Applica		ON AND POWER OF ATTORNEY		ey's Docket Number:
5	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
6	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
7	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
8	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City	•	State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
9	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
	further that the	se statements were made with the kr	owledge that willful	are true and that all statements made on informati false statements and the like so made are punishab false statements may jeopardize the validity of the	le by fine o	or imprisonment, or both, under
Signature of Inventor I		Signature of Invent	or 2	Signature of Inventor 3		
Date		Date	1	Date		
-	Signature of Inventor 4		Signature of Inventor 5			re of Inventor 6
Date			Date		Date	
	ure of Inventor 7		Signature of Invent	or 8		re of Inventor 9
Date		Date		Date		

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al.

Serial No.: TBA

Group Art Unit: TBA

Filed: May 17, 2006

Examiner: TBA

SEQUENCE LISTING TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

Applicants enclose herewith the Sequence Listing for the above-captioned application and a 3.5" floppy disk containing the Sequence Listing. The content of the attached paper entitled "SEQUENCE LISTING" and of the accompanying identically labeled diskette is the same. Furthermore, the information contained in the attached "SEQUENCE LISTING" and the ASCII-encoded file is identical to the information in the specification as originally filed. No new matter is added.

The Examiner is hereby invited to contact the undersigned by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

Date: May 17, 2006

Richard W. Bork, Reg. No. 36,459

Novo Nordisk Inc.

Customer Number 23650

(609) 987-5800

Use the following customer number for all correspondence regarding this application.

23650

PATENT TRADEMARK OFFICE

SEQUENCE LISTING

```
<110> Novo Nordisk A/S
<120> Propylene glycol-containing peptide formulations which are optimal for
production and for use in injection devices
<130> 6683.204-WO
<140> PCT/DK2004/000792
<141> 2004-11-18
<150> PA 2003 01719
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<160> 1
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Ser Gly Ala Pro Pro Ser Lys Lys Lys Lys Lys
       35
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	PA	TENT APPL	CATIO Subst	N FEE DET	ERMINATI TO-875 Ef	ON lectiv	RECORD e December 8	2004	Applic	Hon or Docket N	umber 7
* **.		APPLICATION (Cc	I AS FIL Jumn 1)		Column 2)		SMALL	ENTITY	OR		THAN ENTITY
FOR NUMBER FILED NUMBER EXTRA			RATE (\$)	FEE (\$)	<u>}</u>	RATE (\$)	FEE (\$)				
	SIC FEE CFR 1.16(a), (b), o	r (c))	NA		N/A		NA	150.00		N/A	300.00
SE	ARCH FEE CFR 1 16(N. (A. or		N/Å `		N/A.		N/A	\$250	1	N/A	\$500
EX	MINATION FEE CFR 1:10(ql, (p), o		N/A :		N/A	1	N/A	\$100	1	N/A	\$200
TO	TAL CLAIMS CFR 1.16(i))	γų	minus	20 =	24: .	1	X\$ 25 .		OR	X\$50 .	1200
INO	EPENDENT CL CFR 1.16(h))	AIMS	minus	3 = -	2	1	X100 .			X200	400
APF	PLICATION SIZE	sheets of is \$250 (ecification of paper, 1 \$125 for al 50 she	n and drawings the application s small entity) for ets or fraction to ()(G) and 37 CF	size fee due reach hereof. See						
MUI	TIPLE DEPEN	DENT CLAIM PRE	SENT (37	CFR 1.16(j))			+180=			+360=	-
*# t	he difference in	column 1 is less th	an zero, e	nter "0" in column	2.		TOTAL			TOTAL	2600
	APPI	LICATION AS	AMEND	ED - PART I	· •	•	-			• .	
•		(Column 1)		(Column 2)	(Column 3)		SMALL I	ENTITY	OR	OTHER SMALL	
ΠA		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT, EXTRA		RATE (5)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
ENDMENT	Total (37 CFR 1.16(i)		Minus	••	=	1 1	X\$ 25 _		OR	X\$50 _	
Š	Independent (37 CFR 1.1en))		Minus.	***	-	1	X100 _		OR	X200 _	· · · · · · · · · · · · · · · · · · ·
ME	Application Siz	e Fee (37 CFR 1.1	(6(s))	•		1			OK .		
٨	FIRST PRESENT	TATION OF MULTIPL	E DEPEND	ENT CLAIM (37 CI	FR 1.16(0)		+180=		OR	+360=	
			······································		•		TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
	•	(Column 1)		(Column 2)	(Column 3)						
8 5		CLAIMS REMAINING AFTER AMENDMENT	٠.	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
핗	Total (37.CFR 1.10(1))	•	Minus	••	=		X\$ 25 =		. OR	X\$50 =	
AMENDMEN	Independent (37 CFR 1.16(h))		Minus		=		X100 _		OR 1	X200 _	
뾝	Application Size	Fee (37 CFR 1.1	6(s))			1 [
	FIRST PRESENT.	ATION OF MULTIPLE	DEPENDE	ENT CLAIM (37 CF	R 1.16()		+180=		OR	+360=	
•						- 1	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

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03	FC:1311	200.00	DA
04	FC:1201	400.00	DA
05	FC:1202	1200.00	DA

PTO-1556 (5/87)

*U.S. Government Printing Office: 2002 --- 489-267/8903:

APPLICATION DATA SHEET

Application Information	
Application Type::	Continuation of International Application No. PCT/DK2004/000792 filed November 18, 2004
Subject Matter::	Utility
Total Drawing Sheets::	7
Sequence submission?::	Paper
Computer Readable Form (CRF)?::	Yes
Number of copies of CRF::	1
Title::	PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES
Total Pages Specification::	34 pages of specification and 1 page of abstract
Attorney Docket Number::	6683.204-US
Request for Early Publication?::	No
Total Drawing Sheets::	7
Small Entity?::	No
Request for Non-Publication?::	No

Applicant Information	
Applicant Authority Type::	Inventor
Primary Citizenship Country::	Denmark
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Country of Residence::	Denmark
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City of mailing address::	Holte
Country of mailing address::	Denmark
Postal or Zip Code of mailing	DK-2840
address::	

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Fax Number::	609-919-7741
E-Mail Address::	REZG@Novonordisk.com
	TRAB@Novonordisk.com
	Patents@Novonordisk.com

Representative Information

Representative Customer Number::	23650

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	PCT/DK2004/000792	11/18/04
	And Claims Priority of	60/524,653	11/24/03

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
DK (Denmark)	PA 2003 01719	11/20/03	Yes

Assignee Information

Assignee name::	Novo Nordisk A/S
Street of mailing address::	Novo Allé
City of mailing address::	Bagsvaerd
Country of mailing address::	Denmark
Postal or Zip Code of mailing address::	DK-2880



torney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al.

Application No.: 11/435,977 Group Art Unit: 1646

Filed: May 17, 2006 Examiner: TBA

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which they believe may be material to the patentability of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While the references may be "material" under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are "prior art" unless specifically designated as such.

The filing of this Information Disclosure Statement shall not be construed as a representation that no material references other than those listed exist or that a search has been conducted.

The references are listed in Form PTO-1449 which is in accordance with the requirements of M.P.E.P. 609. A copy of the references is also enclosed.

The references are as follows:

- 1. WO 2005/046716
- 2. WO 93/23010
- 3. WO 95/13825
- 4. WO 99/16417
- 5. U.S. Patent No. 2002/0151467

6. WO 03/013589

7. EP 1424077

8. U.S. Patent No.5206219

9. WO 95/22560

10. WO 95/05848

11. WO 02/067989

12. WO 92/19260

13. Sing, S et al – AAPS Pharmscitech – 2003 – Vol. 4 Part 3 – Pgs. 334-342

It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the undersigned if there are any questions concerning this paper or the attached references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due. However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit Account No. 14-1447.

Respectfully submitted,

Date: July 10, 2006

5

Richard W. Bork, Reg. No. 36,459

Novo Nordisk Inc. 100 College Road West Princeton, NJ 08540 (609) 987-5800

Use the following customer number for all correspondence regarding this application.

23650
PATENT TRADEMARK OFFICE

- 2 -

FORM PTO-1449 (Rev. 2-32)		MENT OF COMMERCE TRADEMARK OFFICE	Atty. Docket No. 6683.204	-US	Serial No. 11/43	35,977	
FORM PTO-1449 (Rev. 2-332) 7 7006	INFORMATION DISCLOSURI STATEMENT BY APPLICANT	E r	Applicant Pedersen et a	I.			
7 2006			Filing Date May 17, 2000	6	Group 1646		
JUL ,		U.S. PATE	NT DOCUMENTS				
MINER OF THE PARTY	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
	2002/0151467	12/21/00	Leung, F.K.				
	5206219	11/25/91	Applied Analytical Industries, INC				
	•						
	-	FOREIGN PA	TENT DOCUMENTS	·			
	DOCUMENT]		[TRANS	LATION
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	2005/046716	11/12/04	WO				
	93/23010	05/07/92	WO				
	95/13825	10/24/94	WO				
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	95/22560	02/21/95	WO				
	95/05848	08/23/94	WO				
	02/067989	01/08/02	WO				
	92/19260	05/07/91	WO				
	OTHER I	OCUMENTS (Including	g Author, Title, Date, Pertine	nt Pages, Etc.)		4
	Singh, S et	al - Aaps Pha	armscitech - 2003	3 - Vol.	4 - Part 3	3-Pgs.3	34-342
			MAN				"
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				· · · · · · · · · · · · · · · · · · ·			
EXAMINER	1 1		DATE CONSIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

59-11-06

1FW

SEP 0 8 2006

ttorney Docket No.: 6683.204-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al.

Confirmation No.: 7802

Serial No.: 11/435,977

Group Art Unit: 1646

Filed: May 17, 2006

Examiner: TBA

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Date of Deposit: September 8, 2006

Express Mail Label No.: EV 450790449 US

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

- 1. Petition and Fee For Extension of Time (in duplicate)
- 2. Response to Notice to File Missing Parts (in duplicate)
- 3. Copy of Notice to File Missing Parts
- 4. Executed Combined Declaration and Power of Attorney (3 pages)
- 5. Substitute computer readable format (CRF) of Sequence Listing
- 6. Substitute paper copy of Sequence Listing
- 7. Certified copy of Priority Application No. PA 2003 01719

is being deposited with the United States Postal Service as express mail in an envelope addressed to:

MS: Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Rashida Haji

(name of person mailing paper)

(signature

of

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paper

SEP 0 8 2006 Afformey Docket No.: 6683.204-US Serial No.: 11/435,977
Sed: May 17, 2006
Inventors: Pedersen et al.
Express Mail Label No.: EV 450790449 US
Attorney Docket No.: 6683.204-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al.

Confirmation No.: 7802

Serial No.: 11/435,977

Group Art Unit: 1646

Filed: May 17, 2006

Examiner: TBA

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated June 12, 2006 (a copy thereof is attached hereto), Applicants submit the Combined Declaration and Power of Attorney signed and dated by Applicants for the above-captioned application.

Applicants also enclose certified copy of Danish application no. PA 2003 01719, priority of which is claimed under 35 U.S.C. 119.

Applicants also enclose herewith a substitute copy of the Sequence Listing for the above-captioned application and a substitute computer readable form (CRF) copy of the Sequence Listing.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same.

Attorney Docket No.: 6683.204-US

Serial No.: 11/435,977 Filed: May 17, 2006 Inventors: Pedersen et al.

Express Mail Label No.: EV 450790449 US

Please charge the required fee, estimated to be \$130.00, with this application and credit any overpayments to Novo Nordisk Inc., Deposit Account No. 14-1447. Please charge any additional fees, should they be required, to Deposit Account No. 14-1447. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: September 8, 2006

Richard W. Bork, Reg. No. 36,459

Novo Nordisk Inc. 100 College Road West Princeton, NJ 08540 (609) 987-5800

Use the following customer number for all correspondence regarding this application.

23650 PATENT TRADEMARK OFFICE

09-11-04



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS FOR 1450 Alexandria, Vinginia 22313-1450 www.upto.gov

FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

11/435,977 05/17/2006 Tina Bjeldskov Pedersen

6683.204-US

23650 NOVO NORDISK, INC. PATENT DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON. NJ 08540

APPLICATION NUMBER

CONFIRMATION NO. 7802 FORMALITIES LETTER

Date Mailed: 06/12/2006

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/12/2006 JBALINAN 00000058 141447 11435977

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
 the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
 indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
 substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
 of the sequence listing information recorded in computer readable form is identical to the written (on paper
 or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

To Download Patentin Software, visit http://www.uspto.gov/web/patents/software.htm For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday,
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

(FW

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A . Call at MITOTEL at Late 4 . In

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dex 1450 Alexandria, Virginia 22313-1450

NNDD

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

11/435,977

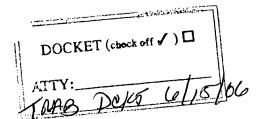
05/17/2006

Tina Bjeldskov Pedersen

6683.204-US

ATTORNEY DOCKET NUMBER

23650 NOVO NORDISK, INC. PATENT DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540



CONFIRMATION NO. 7802 FORMALITIES LETTER

Date Mailed: 06/12/2006

JUN 15 2016

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

· The oath or declaration is unsigned.

L

 A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

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- For Rules Interpretation, call (571) 272-0951
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- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382

PART 1 - ATTORNEY/APPLICANT COPY

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney's Booket Number: 6683 2042 US

SEP 0 8 2006

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

<u>-</u>	hich (check only one item below):						
[x] is attached hereto [] was filed as United States application							
Application No. 1	Application No. 11/435,977 on May 17, 2006						
on May 17, 2006							
and was amended		,					
on							
[] was filed as PCT in Number	[] was filed as PCT international application						
on							
and was amended und	er PCT Article 19						
on							
I hereby claim prioricapplication(s) for pate inventor's certificate of the United States of patent or inventor's cethan the United States	ty to disclose information which 37, Code of Federal Regulations, it benefits under Title 35, United ent or inventor's certificate or of or of any PCT international applic America listed below and have a certificate or any PCT international of America filed by me on the sat which priority is claimed:	§1.56. I States Code, §119 of any pr any PCT international applicat ations(s) designating at least on lso identified below any foreig application(s) designating at least on the state of	ovisional or foreign ions(s) for patent or e country other than a pplication(s) for ist one country other				
OR U.S. PROVISIONAL/I	FOREIGN/PCT APPLICATION(S	S) AND ANY PRIORITY CLAI	MS UNDER 35 U.S.C. 119:				
COUNTRY	T	DATE OF FILING	PRIORITY CLAIMED				
	APPLICATION NUMBER	(day, month, year)	UNDER 35 USC 119				
f PCT, indicated "PCT")		20 November 2003	1 1 3 3 7 7 0 1 3 3 3 0				
f PCT, indicated "PCT") nmark	PA 2003 01719	20 November 2003	[x]YES []NO				
	PA 2003 01719 60/524,653	24 November 2003	[x]YES []NO				
nmark							
nmark			[x]YES []NO				

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney's Docket Number:

6683.204-US

I hereby claim the benefit under Title 35, United States Code '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this applications is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35. United States Code, '112. I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120: STATUS (Check one) U.S. APPLICATIONS Pending U.S. APPLICATION NUMBER U.S. FILING DATE Patented Abandoned PCT APPLICATIONS DESIGNATING THE U.S. APPLICATION NO. FILING DATE US SERIAL NUMBERS ASSIGNED (if any) PCT/DK2004/000792 18 November 04 x POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with Customer Number 23650, including the following attorney(s) and/or agent(s), to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Reza Green, Reg. No. 38.475; Richard W. Bork, Reg. No. 36,459; Marc A. Began, Reg. No. 48,829; Rosemarie R. Wilk-Orescan, Reg. No. 45,220; Len S. Smith, Reg. No. 43,139 Send Correspondence to: Reza Green, Esq. Direct Telephone Calls To: Novo Nordisk Pharmaceuticals Inc. Reza Green 100 College Road West (609) 987-5800 Princeton, NJ 0840 Family Name Second Given Name First Given Name Full Name of Inventor Tina Pedersen Bjeldskov State or Foreign Country Country of Citizenship Residence & Citizenship Smarum Denmark Denmark Post Office Post Office Address State & Zip Code/Country Address Kongehaven 9 DK-2765/Denmark Smørum Family Name First Given Name Second Given Name Full Name of 2 Inventor Bonde Claude Country of Citizenship City State or Foreign Country Residence & Citizenship Lyngby Denmark France Post Office Address State & Zip Code/Country Post Office Address DK-2800/Denmark Borgevej 41 B Lyngby First Given Name Second Given Name Family Name 3 Full Name of Inventor Engelund Dorthe Kot State or Foreign Country Country of Citizenship Residence & City Citizenship Holte Denmark Denmark Post Office Address City State & Zip Code/Country Post Office Address Gassehaven 39 Holte DK-2840/Denmark Second Given Name Family Name First Given Name Full Name of Inventor City State or Foreign Country Country of Citizenship Residence & Citizenship Post Office Address Post Office City State & Zip Code/Country

ncı	udes Reference	to PCT International App	ications)		668	3.204-US
	Full Name of Inventor	Family Name		First Given Name	1 000	Second Given Name
	Residence & Citizenship	City	<u>J</u>	State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
1	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City	<u>. </u>	State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
	Full Name of Inventor	Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
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1	Full Name of	Family Name		First Given Name		Second Given Name
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		Family Name		First Given Name		Second Given Name
	Residence & Citizenship	City		State or Foreign Country		Country of Citizenship
	Post Office Address	Post Office Address		City		State & Zip Code/Country
	further that th	nese statements were made with th	e knowledge that wil	edge are true and that all statements made on info liful false statements and the like so made are pun liful false statements may jeopardize the validity of	ishable by fine	or imprisonment, or both, under
Signature of Inventor 1 VM GALDALLW POLISM		Signature of Inventor 2 Color De Corde		Signature of Inventor 3 Doilk Kit Engelu 1		
Date		Date O2 _ Aug _ 2006 Signature of Inventor 5			34- igl - Obol Signature of Inventor 6	
				Date		
				Signature of Inventor 8		Signature of Inventor 9
			1 -		"	



sequence listing.ST25.txt SEQUENCE LISTING

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Novo Nordisk A/S
      Pedersen, Tina
Bonde, Claude
       Engelund, Dorthe
<120> Propylene glycol-containing peptide formulations
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      2006-05-17
       PCT/DK2004/000792
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Best Available Copy

Kongeriget Danmark

Patent application No.: PA

PA 2003 01719

Date of filing:

20 November 2003

Applicant:

Novo Nordisk A/S

(Name and address)

Novo Allé

DK-2880 Bagsværd

Denmark

Titlel: Propylene glycol-containing peptide formulations which are optimal for production and for use in injection devices

IPC: -

This is to certify that the attached documents are exact copies of the above mentioned patent application as originally filed.

Nonderiget Daniel

Patent- og Varemærkestyrelsen Økonomi- og Erhvervsministeriet

28 April 2006

Pia Høybye-Olsen

PATENT- OG VAREMÆRKESTYRELSEN

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2 0 NOV. 2003 Modtaget

PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

FIELD OF THE INVENTION

The present invention relates to pharmaceutical formulations comprising a peptide and propylene glycol, to methods of preparing such formulations, and to uses of such formulations in the treatment of diseases and conditions for which use of the peptide contained in such formulations is indicated. The present invention further relates to methods for reducing the clogging of injection devices by a peptide formulation and for reducing deposits on production equipment during production of a peptide formulation.

10 BACKGROUND OF THE INVENTION

The inclusion of isotonicity agents in peptide-containing pharmaceutical formulations is widely known and one of the more common isotonic agents; used in such formulations is mannitol. However, the present inventors have observed that mannitol causes problems during the production of peptide formulations as it crystallizes resulting in deposits in the production equipment and in the final product. Such deposits increase the need to clean the filling equipment during production of the formulation and this results in reduced production capability. In addition, such deposits may also result in reduced yield of the final product since vials/cartridges containing the peptide formulation may need to be discarded if particles are present. Finally, the present inventors have observed that in peptide formulations to be administered by injection, the presence of mannitol results in clogging of injection devices.

Accordingly, it is desirable to identify an alternative isotonic agent to mannitol for inclusion in peptide-containing formulations and in particular, for inclusion in peptide formulations which are administered by injection.

SUMMARY OF THE INVENTION

The present inventors have discovered that peptide formulations containing propylene glycol at certain concentrations exhibit reduced deposits in production equipment and in the final product and also exhibit reduced clogging of injection devices. The present compositions may be formulated with any peptide and are also physically and chemically stable thus rendering them shelf-stable and suitable for invasive (eg. injection, subcutaneous injection, intramuscular, intraveneous or infusion) as well as non-invasive (eg nasal, oral, pulmonary, transdermal or transmucosal e.g. buccal) means of administration.

The present invention therefore relates to a pharmaceutical formulation comprising a peptide and propylene glycol, where the propylene glycol is present in a concentration of 1-

100 mg/ml and the pH of the formulation is from 7-10. In a preferred embodiment, the pharmaceutical formulations of the invention further contain a buffer and a preservative.

The present invention also relates to methods for producing the pharmaceutical formulations of the invention.

In one embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water:
- b) preparing a second solution by dissolving the peptide in water;
- c) mixing the first and second solutions; and
- d) adjusting the pH of the mixture in c) to the desired pH.

In another embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative and buffer in water;
- b) adding propylene glycol to the first solution;
- c) mixing the first solution with a second solution containing peptide dissolved in water; and
- d) adjusting the pH of the mixture in c) to the desired pH.

In yet another embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a solution by dissolving preservative, buffer and propylene glycol in water;
- b) adding the peptide to the solution of step a); and
- c) adjusting the pH of the solution of step b) to the desired pH.

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The present invention further relates to methods of treatment using the pharmaceutical formulations of the invention where the compositions are administered in an amount effective to combat the disease, condition, or disorder for which administration of the peptide contained in the formulation is indicated.

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In addition the present invention also relates to a method for reducing deposits on production equipment during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the

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formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.

The present invention also relates to a method for reducing deposits in the final product during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

The present invention further relates to a method for reducing the clogging of injection devices by a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.

20 BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows a photograph of dried droplets on microscope slides of from left to right, placebo (no peptide) formulations containing no isotonic agent (e only water, preservative and buffer), mannitol, sorbitol, xylitol, sucrose or glycerol as the isotonic agent with the far right slide containing mannitol with peptide Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$.

Figure 2 shows light microscopy pictures of from left to right, some of the dried droplets of placebo formulations containing mannitol, arginin, inositol or glycerol as the isotonic agent.

Figure 3 shows light microscopy pictures of clogged needles dosed with placebo formulations containing myoinositol, maltose or glycerol as the isotonic agent.

Figure 4 shows light microscopy pictures of deposits on needles dosed with placebo formulations containing glycine, lactose or mannitol as the isotonic agent.

Figure 5 shows filling equipment after 24 hours simulated filling with Arg^{34} , Lys²⁶(N^c-(γ -Glu(N^a-hexadecanoyl)))-GLP-1(7-37) medium containing myo-inositol.

Figure 6 shows deposits on filling equipment after 24 hours simulated filling with a mannitol-containing placebo formulation.

Figure 7 shows deposits on G30 needles dosed with propylene glycol (left-hand panel) and mannitol (right-hand panel)-containing placebo formulations.

Figure 8 shows deposits on needles dosed with mannitol (top panel) and propylene glycol (bottom panel)-containing Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) formulations.

DESCRIPTION OF THE INVENTION

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The present invention relates to a pharmaceutical formulation comprising a peptide or a mixture of peptides and propylene glycol where the final concentration of propylene glycol in the formulation is 1-100 mg/ml and the pH of the formulation is in the range of from 7-10.

The pharmaceutical formulations of the invention are found to be optimal for produc-** tion because they exhibit reduced deposits in production equipment relative to formulations containing other isotonicity agents as measured by the simulated filling studies described in the Examples. In addition, the pharmaceutical formulations of the invention are found to be optimal for use in injection devices because they exhibit reduced clogging of the injection devices relative to formulations containing other isotonicity agents as measured by the simulated in use studies described in the Examples.

The formulations of the present invention may be formulated with any peptide where examples of such peptides include, but are not limited to, glucagon, human growth hormone (hGH), insulin, aprotinin, FactorVII, tissue plasminogen activator (TPA), FactorVIIa, FFR-FactorVIIa, heparinase, ACTH, Heparin Binding Protein, corticotropin-releasing factor, angiotensin, calcitonin, glucagon-like peptide-1, glucagon-like peptide-2, insulin-like growth factor-1, insulin-like growth factor-2, fibroblast growth factors, gastric inhibitory peptide, growth hormone-releasing factor, pituitary adenylate cyclase activating peptide, secretin, enterogastrin, somatostatin, somatomedin, parathyroid hormone, thrombopoietin, erythropoietin, hypothalamic releasing factors, prolactin, thyroid stimulating hormones, endorphins, enkephalins, vasopressin, oxytocin, opiods, DPP IV, interleukins, immunoglobulins, complement inhibitors, serine protease

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inhibitors, cytokines, cytokine receptors, PDGF, tumor necrosis factors, tumor necrosis factors receptors, growth factors and analogues as well as derivatives thereof where each of these peptides constitutes an alternative embodiment of the present invention.

In the present application, the designation "an analogue" is used to designate a peptide wherein one or more amino acid residues of the parent peptide have been substituted by another amino acid residue and/or wherein one or more amino acid residues of the parent peptide have been deleted and/or wherein one or more amino acid residues have been added to the parent peptide. Such addition can take place either at the N-terminal end or at the C-terminal end of the parent peptide or both. Typically "an analogue" is a peptide wherein 6 or less amino acids have been substituted and/or added and/or deleted from the parent peptide, more preferably a peptide wherein 3 or less amino acids have been substituted and/or added and/or deleted from the parent peptide.

In the present application, "a derivative" is used to designate a peptide or analogue thereof which is chemically modified by introducing an organic substituent e.g. ester, alkyl or lipophilic functionalities, on one or more amino acid residues of the peptide or analogue thereof.

In one embodiment, the peptide to be included in the formulation of the invention is a GLP-1 agonist where "a GLP-1 agonist" is understood to refer to any peptide which fully or partially activates the human GLP-1 receptor. In a preferred embodiment, the "GLP-1 agonist" is any peptide that binds to a GLP-1 receptor, preferably with an affinity constant (K_D) or a potency (EC₅₀) of below 1 μ M, e.g. below 100 nM as measured by methods known in the art (see e.g. WO 98/08871) and exhibits insulinotropic activity, where insulinotropic activity may be measured in vivo or in vitro assays known to those of ordinary skill in the art. For example, the GLP-1 agonist may be administered to an animal and the insulin concentration measured over time.

Methods for identifying GLP-1 agonists are described in WO 93/19175 (Novo Nordisk A/S) and examples of suitable GLP-1 analogues and derivatives which can be used according to the present invention includes those referred to in WO 99/43705 (Novo Nordisk A/S), WO 99/43706 (Novo Nordisk A/S), WO 99/43707 (Novo Nordisk A/S), WO 98/08871 (analogues with lipophilic substituent) and in WO 02/46227 (analogues fused to serum albumin or to Fc portion of an Ig).(Novo Nordisk A/S), WO 99/43708 (Novo Nordisk A/S), WO 99/43341 (Novo Nordisk A/S), WO 87/06941 (The General Hospital Corporation), WO 90/11296 (The General Hospital Corporation), WO 91/11457 (Buckley et al.), WO 98/43658 (Eli Lilly & Co.), EP 0708179-A2 (Eli Lilly & Co.), EP 0699686-A2 (Eli Lilly & Co.), WO 01/98331 (Eli Lilly & Co.).

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In one embodiment, the GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.

In one embodiment, the GLP-1 agonist is a derivative of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, which comprises a lipophilic substituent.

In this embodiment of the invention, the GLP-1 derivative preferably has three lipophilic substituents, more preferably two lipophilic substituents, and most preferably one lipophilic substituent attached to the parent peptide (ie GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue), where each lipophilic substituent(s) preferably has 4-40 carbon atoms, more preferably 8-30 carbon atoms, even more preferably 8-25 carbon atoms, even more preferably 12-25 carbon atoms, and most preferably 14-18 carbon atoms.

In one embodiment, the lipophilic substituent comprises a partially or completely hydrogenated cyclopentanophenathrene skeleton.

In another embodiment, the lipophilic substituent is a straight-chain or branched alkyl group.

In yet another embodiment, the lipophilic substituent is an acyl group of a straight-chain or branched fatty acid. Preferably, the lipophilic substituent is an acyl group having the formula CH₃(CH₂)_nCO-, wherein n is an integer from 4 to 38, preferably an integer from 12 to 38, and most preferably is CH₃(CH₂)₁₂CO-, CH₃(CH₂)₁₄CO-, CH₃(CH₂)₁₆CO-, CH₃(CH₂)₁₈CO-, CH₃(CH₂)₂₀CO- and CH₃(CH₂)₂₂CO-. In a more preferred embodiment, the lipophilic substituent is tetradecanoyl. In a most preferred embodiment, the lipophilic substituent is hexadecanoyl.

In a further embodiment of the present invention, the lipophilic substituent has a group which is negatively charged such as a carboxylic acid group. For example, the lipophilic substituent may be an acyl group of a straight-chain or branched alkane α , ω -dicarboxylic acid of the formula HOOC(CH₂)_mCO-, wherein m is an integer from 4 to 38, preferably an integer from 12 to 38, and most preferably is HOOC(CH₂)₁₄CO-, HOOC(CH₂)₁₆CO-, HOOC(CH₂)₁₈CO-, HOOC(CH₂)₂₂CO-.

In the GLP-1 derivatives of the invention, the lipophilic substituent(s) contain a functional group which can be attached to one of the following functional groups of an amino acid of the parent GLP-1 peptide:

- (a) the amino group attached to the alpha-carbon of the N-terminal amino acid,
- (b) the carboxy group attached to the alpha-carbon of the C-terminal amino acid,
- (c) the epsilon-amino group of any Lys residue,
- (d) the carboxy group of the R group of any Asp and Glu residue,

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- (e) the hydroxy group of the R group of any Tyr, Ser and Thr residue,
- (f) the amino group of the R group of any Trp, Asn, Gln, Arg, and His residue, or
- (g) the thiol group of the R group of any Cys residue.

In one embodiment, a lipophilic substituent is attached to the carboxy group of the R group of any Asp and Glu residue.

In another embodiment, a lipophilic substituent is attached to the carboxy group attached to the alpha-carbon of the C-terminal amino acid.

In a most preferred embodiment, a lipophilic substituent is attached to the epsilonamino group of any Lys residue.

In a preferred embodiment of the invention, the lipophilic substituent is attached to the parent GLP-1 peptide by means of a spacer. A spacer must contain at least two functional groups, one to attach to a functional group of the lipophilic substituent and the other to a functional group of the parent GLP-1 peptide.

In one embodiment, the spacer is an amino acid residue except Cys or Met, or a dipeptide such as Gly-Lys. For purposes of the present invention, the phrase "a dipeptide such as Gly-Lys" means any combination of two amino acids except Cys or Met, preferably a dipeptide wherein the C-terminal amino acid residue is Lys, His or Trp, preferably Lys, and the N-terminal amino acid residue is Ala, Arg, Asp, Asn, Gly, Glu, Gln, Ile, Leu, Val, Phe, Pro, Ser, Tyr, Thr, Lys, His and Trp. Preferably, an amino group of the parent peptide forms an amide bond with a carboxylic group of the amino acid residue or dipeptide spacer, and an amino group of the amino acid residue or dipeptide spacer forms an amide bond with a carboxyl group of the lipophilic substituent.

Preferred spacers are lysyl, glutamyl, asparagyl, glycyl, beta-alanyl and gamma-aminobutanoyl, each of which constitutes an individual embodiment. Most preferred spacers are glutamyl and beta-alanyl. When the spacer is Lys, Glu or Asp, the carboxyl group thereof may form an amide bond with an amino group of the amino acid residue, and the amino group thereof may form an amide bond with a carboxyl group of the lipophilic substituent. When Lys is used as the spacer, a further spacer may in some instances be inserted between the ϵ -amino group of Lys and the lipophilic substituent. In one embodiment, such a further spacer is succinic acid which forms an amide bond with the ϵ -amino group of Lys and with an amino group present in the lipophilic substituent. In another embodiment such a further spacer is Glu or Asp which forms an amide bond with the ϵ -amino group of Lys and another amide bond with a carboxyl group present in the lipophilic substituent, that is, the lipophilic substituent is a N^{ϵ}-acylated lysine residue.

In another embodiment, the spacer is an unbranched alkane α , ω -dicarboxylic acid group having from 1 to 7 methylene groups, which spacer forms a bridge between an amino

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group of the parent peptide and an amino group of the lipophilic substituent. Preferably, the spacer is succinic acid.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula CH₃(CH₂)_pNH-CO(CH₂)_qCO-, wherein p is an integer from 8 to 33, preferably from 12 to 28 and q is an integer from 1 to 6, preferably 2.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula CH₃(CH₂)_rCO-NHCH(COOH)(CH₂)₂CO-, wherein r is an integer from 4 to 24, preferably from 10 to 24.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula CH₃(CH₂)₅CO-NHCH((CH₂)₂COOH)CO-, wherein s is an integer from 4 to 24, preferably from 10 to 24.

In a further embodiment, the lipophilic substituent is a group of the formula COOH(CH₂)₁CO- wherein t is an integer from 6 to 24.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-CO(CH₂)_uCH₃, wherein u is an integer from 8 to 18.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula $CH_3(CH_2)_vCO-NH-(CH_2)_z-CO$, wherein v is an integer from 4 to 24 and z is an integer from 1 to 6.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-COCH((CH₂)₂COOH)NH-CO(CH₂)_wCH₃, wherein w is an integer from 10 to 16.

In a further embodiment, the lipophilic substituent with the attached spacer is a group of the formula -NHCH(COOH)(CH₂)₄NH-CO(CH₂)₂CH(COOH)NHCO(CH₂)_xCH₃, wherein x is zero or an integer from 1 to 22, preferably 10 to 16.

In yet another embodiment the GLP-1 agonist is Arg^{34} , $Lys^{26}(N^e-(\gamma-Glu(N^\alpha-hexade-canoyl)))$ -GLP-1(7-37).

In yet another embodiment the GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-37), Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), analogues thereof and derivatives of any of these.

In yet another embodiment the GLP-1 agonist is selected from the group consisting of Arg²⁶-GLP-1(7-37); Arg³⁴-GLP-1(7-37); Lys³⁶-GLP-1(7-37); Arg^{26,34}-Lys³⁶-GLP-1(7-37); Arg^{26,34}-GLP-1(7-37); Arg^{26,34}-GLP-1(7-37); Arg^{26,34}-GLP-1(7-37); Arg^{26,34}-GLP-1(7-37); Val⁸Arg²²-GLP-1(7-37); Met⁸Arg²²-GLP-1(7-37); Gly⁸His²²-GLP-1(7-37); Val⁸His²²-GLP-1(7-37); Val⁸His²²-G

1(7-37); Met⁸His²²-GLP-1(7-37); His³⁷-GLP-1(7-37); Gly⁸-GLP-1(7-37); Val⁸-GLP-1(7-37); Met⁸-GLP-1(7-37); Gly⁸Asp²²-GLP-1(7-37); Val⁸Asp²²-GLP-1(7-37); Met⁸Asp²²-GLP-1(7-37);Gly⁸Glu²²-GLP-1(7-37); Val⁸Glu²²-GLP-1(7-37); Met⁸Glu²²-GLP-1(7-37); Gly⁸Lys²²-GLP-1(7-37); Val⁸Lys²²-GLP-1(7-37); Met⁸Lys²²-GLP-1(7-37); Gly⁸Arg²²-GLP-1(7-37); Val⁸Lys²²His³⁷-GLP-1(7-37); Gly⁸Glu²²His³⁷-GLP-1(7-37); Val⁸Glu²²His³⁷-GLP-1(7-37); Met⁸Glu²²His³⁷-GLP-1(7-37);Glv⁸Lvs²² His³⁷-GLP-1(7-37); Met⁸Lys²²His³⁷-GLP-1(7-37);Gly⁸Arg²²His³⁷-GLP-1(7-37); Val⁸Arg²²His³⁷-GLP-1(7-37); Met⁸Arg²²His³⁷-GLP-1(7-37); Gly⁸His²²His³⁷-GLP-1(7-37); Val⁸His²²His³⁷-GLP-1(7-37); Met⁸His²²His³⁷-GLP-1(7-37); Gly⁸His³⁷-GLP-1(7-37); Val⁸His³⁷-GLP-1(7-37); Met⁸His³⁷-GLP-1(7-37); Gly⁸Asp²² His³⁷-GLP-1(7-37); Val⁸Asp²²His³⁷-GLP-1(7-37); Met⁸Asp²²His³⁷-GLP-1(7-37); Arg²⁸-GLP-1(7-36)-amide; Arg³⁴-GLP-1(7-36)-amide; Lys³⁶-GLP-1(7-36)-amide; Arg^{26,34}Lys³⁶-GLP-1(7-36)-amide; Arg^{26,34}-GLP-1(7-36)-amide: Arg^{28,34}Lvs⁴⁰-GLP-1(7-36)-amide: Arg²⁸Lvs³⁶-GLP-1(7-36)-amide: Arg³⁴Lys³⁶-GLP-1(7-36)-amide; Gly⁸-GLP-1(7-36)-amide; Val⁸-GLP-1(7-36)-amide; Met⁸-GLP-1(7-36)-amide; Gly⁸Asp²²-GLP-1(7-36)-amide; Gly⁸Glu²²His³⁷-GLP-1(7-36)-amide; Val⁸Asp²²-GLP-1(7-36)-amide; Met⁸Asp²²-GLP-1(7-36)-amide; Gly⁸Glu²²-GLP-1(7-36)-amide; Val⁸Glu²²-15 GLP-1(7-36)-amide; Met⁸Glu²²-GLP-1(7-36)-amide; Gly⁸Lys²²-GLP-1(7-36)-amide; Val⁸Lys²²-GLP-1(7-36)-amide; Met⁸Lys²²-GLP-1(7-36)-amide; Gly⁸His²²His³⁷-GLP-1(7-36)-amide; Gly⁸Arg²²-GLP-1(7-36)-amide; Val⁸Arg²²-GLP-1(7-36)-amide; Met⁸Arg²²-GLP-1(7-36)amide; Gly⁸His²²-GLP-1(7-36)-amide; Val⁸His²²-GLP-1(7-36)-amide; Met⁸His²²-GLP-1(7-36)amide; His³⁷-GLP-1(7-36)-amide; Val⁸Arg²²His³⁷-GLP-1(7-36)-amide; Met⁸Arg²²His³⁷-GLP-20 1(7-36)-amide; Gly8His37-GLP-1(7-36)-amide; Val8His37-GLP-1(7-36)-amide; Met8His37-GLP-1(7-36)-amide; Gly⁸Asp²² His³⁷-GLP-1(7-36)-amide; Val⁸Asp²²His³⁷-GLP-1(7-36)-amide; Met⁸Asp²²His³⁷-GLP-1(7-36)-amide; Val⁸Glu²²His³⁷-GLP-1(7-36)-amide; Met⁸Glu²²His³⁷-GLP-1(7-36)-amide; Gly⁸Lys²² His³⁷-GLP-1(7-36)-amide; Val⁸Lys²²His³⁷-GLP-1(7-36)-amide; Met⁸Lys²²His³⁷-GLP-1(7-36)-amide; Gly⁸Arg²²His³⁷-GLP-1(7-36)-amide; Val⁸His²²His³⁷-GLP-25 1(7-36)-amide; Met⁸His²²His³⁷-GLP-1(7-36)-amide; and derivatives thereof. In yet another embodiment the GLP-1 agonist is selected from the group consisting of Val⁸Trp¹⁹Glu²²-GLP-1(7-37), Val⁸Glu²²Val²⁵-GLP-1(7-37), Val⁸Tyr¹⁶Glu²²-GLP-1(7-37), Val8Trp16Glu22-GLP-1(7-37), Val8Leu16Glu22-GLP-1(7-37), Val8Tyr18Glu22-GLP-1(7-37), 30 Val⁸Glu²²His³⁷-GLP-1(7-37), Val⁸Glu²²Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Ile³³-GLP-1(7-37), Val⁸Glu²²Val²⁵lie³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵-GLP-1(7-37), analogues thereof and derivatives of any of these.

In yet another embodiment the GLP-1 agonist is exendin-4 or exendin-3, an exendin-4 or exendin-3 analogue or a derivative of any of these.

Examples of exendins as well as analogues, derivatives, and fragments thereof to be included within the present invention are those disclosed in WO 97/46584, US 5,424,286 and

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WO 01/04156. US 5,424,286 describes a method for stimulating insulin release with an exendin polypeptide. The exendin polypeptides disclosed include HGEGTFTSDLSKQMEEEAVRL-FIEWLKNGGX; wherein X = P or Y, and

HX1X2GTFITSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPPS; wherein X1X2 = SD (exendin-3) or GE (exendin-4)). WO 97/46584 describes truncated versions of exendin peptide(s). The disclosed peptides increase secretion and biosynthesis of insulin, but reduce those of glucagon. WO 01/04156 describes exendin-4 analogues and derivatives as well as the preparation of these molecules. Exendin-4 analogues stabilized by fusion to serum albumin or Fc portion of an Iq are disclosed in WO 02/46227.

In one embodiment, the exendin-4 analogue is HGEGTFTSDLSKQMEEEAVRL-FIEWLKNGGPSSGAPPSKKKKKK-amide.

Where the peptide to be included in the formulation of the invention is a GLP-1 agonist, the GLP-1 agonist is present in a concentration from about 0.1 mg/ml to about 100 mg/ml, more preferably in a concentration from about 0.1 mg/ml to about 50 mg/ml, and most preferably in a concentration of from about 0.1 mg/ml to about 10 mg/ml.

In another embodiment, the peptide to be included in the formulation of the invention is insulin , where "insulin" is understood to mean human insulin, [where "human insulin" means insulin having the amino acid sequence shown in DSHW Nicol and LF Smith: Nature, (1960) 4736:483-485, which is hereby incorporated by reference], human insulin analogs, human insulin derivatives or mixtures thereof, where examples of insulin analogs and derivatives are those disclosed in EP 0 792 290 (Novo Nordisk A/S), EP 0 214 826 and EP 0 705 275 (Novo Nordisk A/S), US 5,504,188 (Eli Lilly), EP 0 368 187 (Aventis), US patents 5,750,497 and 6,011,007, EP 375437 and EP 383472 and where such insulins may include, but are not limited to, NPH insulin, Lys (329 (Nε-tetradecanoyl) des(B30) human insulin, Lys (329 (Nε-tetradecanoyl) des(B30) human insulin, 30/70 mixtures of prompt insulin zinc (SemiLente®) with extended insulin zinc (Ultralente®), sold commercially as Lente®, insulin glargine (Lantus®) or extended insulin zinc (Ultralente®), Lys (329 Pro 10 Pro 1

In one embodiment, the insulin is a derivative of human insulin or a human insulin analogue where the derivative contains at least one lysine residue and a lipophilic substituent is attached to the epsilon amino group of the lysine residue.

In one embodiment, the lysine residue to which the lipophilic substituent is attached is present at position B28 of the insulin peptide.

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In an alternative embodiment, the lysine residue to which the lipophilic substituent is attached is present at position B29 of the insulin peptide.

In yet another embodiment, lipophilic substituent is an acyl group corresponding to a carboxylic acid having at least 6 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group, branched or unbranched, which corresponds to a carboxylic acid having a chain of carbon atoms 8 to 24 atoms long.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a fatty acid having at least 6 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 6 to 24 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 8 to 12 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an acyl group corresponding to a linear, saturated carboxylic acid having from 10 to 16 carbon atoms.

In another preferred embodiment, the lipophilic substituent is an oligo oxyethylene group comprising up to 10, preferably up to 5, oxyethylene units.

In another preferred embodiment, the lipophilic substituent is an oligo oxypropylene group comprising up to 10, preferably up to 5, oxypropylene units.

In one preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys; Phe^{B1} may be deleted; the □-amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms; and 2-4 Zn²⁺ ions may be bound to each insulin hexamer with the proviso that when B30 is Thr or Ala and A21 and B3 are both Asn, and Phe^{B1} is not deleted, then 2-4 Zn²⁺ ions are bound to each hexamer of the insulin derivative.

In another preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys, with the proviso that if the B30 amino acid residue is Ala or Thr, then at least one of the residues A21 and B3 is different from Asn; Phe^{B1} may be deleted; and the □-amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms.

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In another preferred embodiment, the invention relates to a human insulin derivative in which the B30 amino acid residue is deleted or is any amino acid residue which can be coded for by the genetic code except Lys, Arg and Cys; the A21 and the B3 amino acid residues are, independently, any amino acid residues which can be coded for by the genetic code except Lys, Arg and Cys; Phe^{B1} may be deleted; the \Box -amino group of Lys^{B29} has a lipophilic substituent which comprises at least 6 carbon atoms; and 2-4 Zn²⁺ ions are bound to each insulin hexamer.

Where the peptide to be included in the formulation of the invention is an insulin, the insulin is present in a concentration from about 0.5 mg/ml to about 20 mg/ml, more preferably in a concentration from about 1 mg/ml to about 15 mg/ml.

In another embodiment, the peptide to be included in the formulations of the invention is hGH or Met-hGH

Where the peptide to be included in the formulation of the invention is hGH or MethGH, the hGH or MethGH is present in a concentration from about 0.5 mg/ml to about 50 mg/ml, more preferably in a concentration from about 1 mg/ml to about 10 mg/ml.

In yet another embodiment, the peptide to be included in the formulations of the invention is GLP-2 or an analogue or derivative thereof.

Where the peptide to be included in the formulation of the invention is GLP-2 or an analogue or derivative thereof, the GLP-2 or an analogue or derivative thereof is present in a concentration from about 1 mg/ml to about 100 mg/ml, more preferably in a concentration from about 1 mg/ml to about 10 mg/ml.

In yet a further embodiment, the peptide to be included in the formulations of the invention is Factor VII or Factor VII or an analogue or derivative thereof.

Where the peptide to be included in the formulation of the invention is Factor VII or Factor VII or an analogue or derivative thereof, the Factor VII or Factor VII or an analogue or derivative thereof is present in a concentration from about 0.1 mg/ml to about 10 mg/ml, more preferably in a concentration from about 0.5 mg/ml to about 5 mg/ml.

In one embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 1 to about 50 mg/ml.

In another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 5 to about 25 mg/ml.

In yet another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 8 to about 16 mg/ml.

In yet a further embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 13 to about 15 mg/ml.

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In still another embodiment, the final concentration of propylene glycol in the formulations of the invention is from about 13.5 to about 14.5 mg/ml.

In another embodiment of the invention, the formulation has a pH in the range from about 7.0 to about 9.5 where the term "about" as used in connection with pH means + or - 0.1 pH units from the stated number.

In a further embodiment of the invention, the formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the formulation has a pH in the range from about 7.2 to about 8.0.

In a preferred embodiment of the invention, the formulations contain, in addition to a peptide and propylene glycol, a buffer and/or a preservative.

Where a buffer is to be included in the formulations of the invention, the buffer is selected from the group consisting of sodium acetate, sodium carbonate, citrate, glycylglycine, histidine, glycine, lysine, arginin, sodium dihydrogen phosphate, disodium hydrogen phosphate, sodium phosphate, and tris(hydroxymethyl)-aminomethan, or mixtures thereof. Each one of these specific buffers constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the buffer is glycylglycine, sodium dihydrogen phosphate, disodium hydrogen phosphate, sodium phosphate or mixtures thereof

Where a pharmaceutically acceptable preservative is to be included in the formulations of the invention, the preservative is selected from the group consisting of phenol, m-cresol, methyl p-hydroxybenzoate, propyl p-hydroxybenzoate, 2-phenoxyethanol, butyl p-hydroxybenzoate, 2-phenylethanol, benzyl alcohol, chlorobutanol, and thiomerosal, or mixtures thereof. Each one of these specific preservatives constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the preservative is phenol or m-cresol.

In a further embodiment of the invention the preservative is present in a concentration from about 0.1 mg/ml to about 50 mg/ml, more preferably in a concentration from about 0.1 mg/ml to about 25 mg/ml, and most preferably in a concentration from about 0.1 mg/ml to about 10 mg/ml

The use of a preservative in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*. 19th edition. 1995.

In a further embodiment of the invention the formulation may further comprise a chelating agent where the chelating agent may be selected from salts of ethlenediaminetetraacetic acid (EDTA), citric acid, and aspartic acid, and mixtures thereof.

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Each one of these specific chelating agents constitutes an alternative embodiment of the invention.

In a further embodiment of the invention the chelating agent is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the chelating agent is present in a concentration from 0.1mg/ml to 2mg/ml. In a further embodiment of the invention the chelating agent is present in a concentration from 2mg/ml to 5mg/ml.

The use of a chelating agent in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

In a further embodiment of the invention the formulation may further comprise a stabiliser selected from the group of high molecular weight polymers or low molecular compounds where such stabilizers include, but are not limited to, polyethylene glycol (e.g. PEG 3350), polyvinylalcohol (PVA), polyvinylpyrrolidone, carboxymethylcellulose, different salts (e.g. sodium chloride), L-glycine, L-histidine, imidazole, arginine, lysine, isoleucine, aspartic acid, tryptophan, threonine and mixtures thereof. Each one of these specific stabilizers constitutes an alternative embodiment of the invention. In a preferred embodiment of the invention the stabiliser is selected from the group consisting of L-histidine, imidazole and arginine.

In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0.1mg/ml to 50mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 5mg/ml to 10mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 0mg/ml to 20mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 20mg/ml to 30mg/ml. In a further embodiment of the invention the high molecular weight polymer is present in a concentration from 30mg/ml to 50mg/ml.

In a further embodiment of the invention the low molecular weight compound is present in a concentration from 0.1mg/ml to 50mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 0.1mg/ml to 5mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 5mg/ml to 10mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentration from 10mg/ml to 20mg/ml. In a further embodiment of the invention the low molecular weight compound is present in a concentra-

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tion from 20mg/ml to 30mg/ml. In a further embodiment of the invention the low-molecular weight compound is present in a concentration from 30mg/ml to 50mg/ml.

The use of a stabilizer in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995.

In a further embodiment of the invention the formulation of the invention may further comprise a surfactant where a surfactant may be selected from a detergent, ethoxylated castor oil, polyglycolyzed glycerides, acetylated monoglycerides, sorbitan fatty acid esters, poloxamers, such as 188 and 407, polyoxyethylene sorbitan fatty acid esters, polyoxyethylene derivatives such as alkylated and alkoxylated derivatives (tweens, e.g. Tween-20, or Tween-80), monoglycerides or ethoxylated derivatives thereof, diglycerides or polyoxyethylene derivatives thereof, glycerol, cholic acid or derivatives thereof, lecithins, alcohols and phospholipids, glycerophospholipids (lecithins, kephalins, phosphatidyl serine), glyceroglycolipids (galactopyransoide), sphingophospholipids (sphingomyelin), and sphingoglycolipids (ceramides, gangliosides), DSS (docusate sodium, docusate calcium, docusate potassium, SDS (sodium dodecyl sulfate or sodium lauryl sulfate), dipalmitoyl phosphatidic acid, sodium caprylate, bile acids and salts thereof and glycine or taurine conjugates, ursodeoxycholic acid, sodium cholate, sodium deoxycholate, sodium taurocholate, sodium glycocholate, N-Hexadecyl-N,N-dimethyl-3-ammonio-1propanesulfonate, anionic (alkyl-aryl-sulphonates) monovalent surfactants, palmitoyl lysophosphatidyl-L-serine, lysophospholipids (e.g. 1-acyl-sn-qlycero-3-phosphate esters of ethanolamine, choline, serine or threonine), alkyl, alkoxyl (alkyl ester), alkoxy (alkyl ether)derivatives of lysophosphatidyl and phosphatidylcholines, e.g. lauroyl and myristoyl derivatives of lysophosphatidylcholine, dipalmitoylphosphatidylcholine, and modifications of the polar head group, that is cholines, ethanolamines, phosphatidic acid, serines, threonines, glycerol, inositol, and the postively charged DODAC, DOTMA, DCP, BISHOP, lysophosphatidylserine and lysophosphatidylthreonine, zwitterionic surfactants (e.g. N-alkyl-N,N-dimethylammonio-1-propanesulfonates, 3-cholamido-1-propyldimethylammonio-1propanesulfonate, dodecylphosphocholine, myristoyl lysophosphatidylcholine, hen egg lysolecithin), cationic surfactants (quarternary ammonium bases) (e.g. cetyltrimethylammonium bromide, cetylpyridinium chloride), non-ionic surfactants, polyethyleneoxide/polypropyleneoxide block copolymers (Pluronics/Tetronics, Triton X-100, Dodecyl β-D-glucopyranoside) or polymeric surfactants (Tween-40, Tween-80, Brij-35), fusidic acid derivatives- (e.g. sodium tauro-dihydrofusidate etc.), long-chain fatty acids and salts thereof C6-C12 (eg. oleic acid and caprylic acid), acylcarnitines and derivatives, N^αacylated derivatives of lysine, arginine or histidine, or side-chain acylated derivatives of

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lysine or arginine, N^{α} -acylated derivatives of dipeptides comprising any combination of lysine, arginine or histidine and a neutral or acidic amino acid, N^{α} -acylated derivative of a tripeptide comprising any combination of a neutral amino acid and two charged amino acids, or the surfactant may be selected from the group of imidazoline derivatives, or mixtures thereof. Each one of these specific surfactants constitutes an alternative embodiment of the invention.

The use of a surfactant in pharmaceutical compositions is well-known to the skilled person. For convenience reference is made to Remington: *The Science and Practice of Pharmacy*. 19th edition. 1995.

The formulations of the invention may be prepared by conventional techniques, *e.g.* as described in Remington's *Pharmaceutical Sciences*, 1985 or in Remington: *The Science and Practice of Pharmacy*, 19th edition, 1995, where such conventional techniques of the pharmaceutical industry involve dissolving and mixing the ingredients as appropriate to give the desired end product..

As mentioned above, in a preferred embodiment, the formulations of the inventioncontain, in addition to a peptide and propylene glycol, a buffer and/or a preservative.

In one embodiment, the method for preparing such a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
- b) preparing a second solution by dissolving the peptide in water;
- c) mixing the first and second solutions; and
- d) adjusting the pH of the mixture in c) to the desired pH.

In another embodiment, the method for preparing such a peptide formulation comprises:

- a) preparing a first solution by dissolving preservative and buffer in water;
- b) adding propylene glycol to the first solution;
- c) mixing the first solution with a second solution containing peptide dissolved in water; and
- d) adjusting the pH of the mixture in c) to the desired pH.

In yet another embodiment, the method for preparing a peptide formulation comprises:

- a) preparing a solution by dissolving preservative, buffer and propylene glycol in water;
- b) adding the peptide to the solution of step a); and
- c) adjusting the pH of the solution of step b) to the desired pH.

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As the formulations of the invention are optimal for production and for use in injection devices since they exhibit reduced deposits of production equipment and reduced clogging of injection devices, the above methods of production can be used to produce peptide formulations suitable for use in production and/or for use in injection devices.

The formulations of the invention are suitable for administration to a mammal, preferably a human. The route of administration of the formulations of the invention may be any route which effectively transports the peptide contained in the formulation to the appropriate or desired site of action, such as oral, nasal, buccal, pulmonal, transdermal or parenteral.

Due to the ability of propylene glycol to reduce clogging of injection devices when compared to other isotonic agents and to mannitol in particular, in a preferred embodiment, the formulations of the invention are to be administered parenterally to a patient in need thereof. Parenteral administration may be performed by subcutaneous, intramuscular or intravenous injection by means of a syringe, optionally a pen-like syringe. Alternatively, parenteral administration can be performed by means of an infusion pump.

A further option is a composition which may be a powder or a liquid for the administration of the formulation in the form of a nasal or pulmonal spray. As a still further option, the formulation can also be administered transdermally, e.g. from a patch, optionally a iontophoretic patch, or transmucosally, e.g. bucally. The above-mentioned possible ways to administer the formulations of the invention are not to be considered as limiting the scope of the invention.

Of course, it is understood that depending on the peptide or peptides included in the formulations of the invention, the formulations may be used in methods of treatment of diseases or conditions for which use of the peptide is indicated. One skilled in the art would understand that when used in such methods of treatment, the formulations would have to be administered in amount effective to treat the condition or disease for which the peptide was being administered where an "effective amount" or an "amount...effective" is understood to mean a dosage which is sufficient in order for the treatment of the patient with the disease or condition to be treated to be effective compared to treatment without the administered dosage. It is to be understood that "an effective amount" is the effective dose to be determined by a qualified practitioner, who may titrate dosages to achieve the desired response. Factors for consideration of dose will include potency, bioavailability, desired pharmacokinetic/pharmacodynamic profiles, the condition or disease to be treated (e.g. diabetes, obesity, weight loss, gastric ulcers), patient-related factors (e.g. weight, health, age, etc.), presence of co-administered medications (e.g. insulin), time of administration, or other factors known to a medical practitioner.

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The present invention also relates to a method for reducing deposits on production equipment during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment as described in the Examples.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

The present invention also relates to a method for reducing deposits in the final product during production of a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

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In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

The present invention further relates to a method for reducing the clogging of injection devices by a peptide formulation, where the method comprises replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

In one embodiment, the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study as described in the Examples.

In another embodiment, the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

In a further embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 1 to about 50 mg/ml.

In another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 5 to about 25 mg/ml.

In yet another embodiment, the isotonicity agent previously utilized in said formulation is replaced with propylene glycol in a concentration of from about 8 to about 16 mg/ml.

In another embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 9.5.

In a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from about 7.0 to about 8.0.

In yet a further embodiment of the invention, the propylene glycol-containing formulation has a pH in the range from 7.2 to about 8.0.

All scientific publications and patents cited herein are specifically incorporated by reference. The following examples illustrate various aspects of the invention but are in no way intended to limit the scope thereof.

EXAMPLES

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EXAMPLE 1

Simulated filling experiments, drop and clogging tests of replacement candidates for mannitol

As laboratory experiments have shown that with regards to clogging of needles and deposits on needles, formulations without peptide ("placebo") give the same conclusions as formulations with peptide at 0.3-5.0 mg/ml, the screening studies in Example 1 have been done using placebo except where indicated otherwise.

Preparation Of Formulations With Different Isotonic Agents

Preservative (5.5 mg/ml phenol) and buffer 1.24 mg/ml disodium hydrogen phosphate, dihydrate) were dissolved in water and the isotonic agent was added while stirring. pH was adjusted to pH 7.9 using Sodium Hydroxide and/or Hydrochloric acid. Finally, the formulation was filtered through a 0.22 µm filter. The isotonic agents tested in each formulation and their concntrations are shown in Table 1.

Table 1 Composition of the tested formulations

Formulation no.	Tonicity modifier				
1	Glucose monohydrate				
	(38.0 mg/ml)				
2	Laktose monohydrate				
	(65.0 mg/ml)				
3	Maltose				
	(67.2 mg/ml)				
4	Glycine				
	(15.1 mg/ml)				
5	Polyethylenglycol 400				
	(77.5 mg/ml)				
6	L-arginin				
	(24.6 mg/ml)				
7	Myo-Inositol				
	(35.2 mg/ml)				
8	Propylene glycol				
	(13.7 mg/ml)				
9	Dimethylsulfon (18 mg/ml)				
10	Mannitol (35.9 mg/ml)				
11	Sorbitol (39.5 mg/ml)				
12	Xylitol (39.5 mg/ml)				
13	Sucrose (79.1 mg/ml				
14	Glycerol (16 mg/ml)				

Osmolarity

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The osmolarity of the different placebo formulations was determined and the results are shown in Table 2.

An isotonic solution has an osmolarity of around 0.286 osmol/L. As can be seen from Table 2 three of the formulations (PEG 400, sucrose and xylitol) are more than 20% from being isotonic (0.229-0.343 osmol/l), however for these kind of experiments the osmolarity is not expected to influence the results, though, the tonicity of the formulations should be adjusted in future experiments.

Table 2. The measured osmolarity of the formulations

Formulation no.	Isotonic agent	Osmolarity		
1	Glucose monohydrate (38.0 mg/ml)	0.315		
2	Laktose monohydrate (65.0 mg/ml)	0.283		
3	Maltose (67.2 mg/ml)	0.306		
4	Glycine (15.1 mg/ml)	0.286		
5	Polyethylenglykol 400 (77.5 mg/ml)	0.370		
. 6	L-arginin(24.6 mg/ml)	0.318		
7	. Myo-Inositol (35.2 mg/ml)	0.285		
8	Propylene glycol (13.7 mg/ml)	0.268		
9	Dimethylsulfon (18 mg/ml)	0.274		
10	Mannitol (35.9 mg/ml)	0.284		
11	Sorbitol (39.5 mg/ml)	0.310		
12	Xylitol (39.5 mg/ml)	0.351		
13	Sucrose (79.1 mg/ml	0.346		
14	Glycerol (16 mg/ml)	0.262		

Drop test

A droplet of each formulation is placed on a microscope slide and let to dry. The deposit is visually examined by eye and light microscope.

A photograph of the dried droplets of some of the formulations is shown in Figure 1. In this figure it is clearly observed that mannitol cause deposits on the microscope slide when let to dry. The droplet on the far right (Form 1) contains mannitol and Arg^{34} , $Lys^{26}(N^e-(\gamma-Glu(N^a-hexade-canoyl)))-GLP-1(7-37)$.

In Figure 2, the candidates causing the most deposits on the microscope slide are shown. For comparison glycerol, which does not cause deposits, is shown.

Clogging test

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In this test 10 NovoPens® 1.5 ml mounted with NovoFine 30® G (G 30 needle) were tested for each formulation, 5 of them placed in upright and 5 in horizontal position. The Pensystems were stored at room temperature in between testing. Each day the needle was examined for deposits and an air shot was performed prior to injection into a tissue. Degree of resistance and clogging, if any, was noted. Injections were made on a daily basis with the same needle, and this was done for 9 working days for all the formulations.

The results from the clogging test are shown in Table 3.

Table 3 Clogging test in NovoPen 1.5 using 30G NovoFine

				_				
Isotonic agent (no. of observa- tions)	Some resist-	Resist-	Much resist- ance	clogged	Drop at top of needle	Dried drop at needle top	Gel- like drop on needle	Deposits on needle
Mannitol	10		0					40
(90)	10	0	0	0	00	2	0	43
Glycerol (90)	13	0	0	0	1	0	3	0
Sucrose								
(90)	23	0	0	0	0	0	21	0
Propylene								
glycol (90)	20	0	0	0	0	0	0	0
PEG 400					12 (5 at			
(90)	25	1	0	0	needle)	0	0	0
arginin					3 (2 at			
(90)	26	2	0	0	needle)	. 1	0	0
Xylitol (90)	14	0	0	0	5	0	0	0
Dimethyl-							3.5	
sulfon (90)	21	0	00	0	4	0	0	0
sorbitol			٠.					
(90)	12	00	0	0	99	1	0	1
Myo-								
inositol								
(90)	20	11	2	6	6	0	00	47
Glucose					16 (7 at			(1 at
(90)	32	11	5	0	needle)	1	0	needle)
glycine					1 (2 at			31 (2 at
(90)	41	9	2	0	needle)	0	0	needle)
maltose					16 (6 at			1 (5 at
(90)	35	8	7	4	needle)	0	0	needle)
laktose								31 (2 at
(90)	44	10	8	0	5	0	0	needle)

In Table 3 and in Figure 3 it was observed that inositol and maltose clogged the needle. For comparison glycerol is shown in Figure 3. In Figure 4, and in Table 3, it was observed that formulations containing glycine, lactose and mannitol gave rise to a lot of deposits on the needle. For glycine, the deposits were a droplet deposited down the needle, whereas for lactose and mannitol the deposits occurred at the top of the needle.

Simulated filling

1 L of each formulation was subjected to a simulated filling experiment which tasted for 24
 hours. After 24 hours the filling equipment was inspected for the presence of deposits.

Based on the results from the simulated filling studies (data not shown), the placebo formulations can be divided into three categories. 1. Those isotonic agents that do not cause deposits on the filling equipment: Xylitol, glycerol, glucose monohydrate, maltose, PEG 400 and propylene glycol. 2. Those isotonic agent that cause few deposits and have superior filling properties compared to mannitol: Sorbitol, sucrose and glycine. 3. Those isotonic agent that are comparable or worse than mannitol: Mannitol, lactose monohydrate, arginin, myo-inositol and dimethyl-sulfon.

Conclusion

In the simulated filling experiment xylitol, glycerol, glucose, maltose, PEG 400, propylene glycol, sorbitol, sucrose and glycine were found to be suitable replacements candidates for mannitol. However, as glucose is a reducing saccharide, and therefore is able to initiate unwanted degradation in the formulation, this tonicity modifier is ruled out. Furthermore, maltose is ruled out due to clogging of needles. This leads to the following candidates: glycerol, xylitol, sorbitol, sucrose, glycine, propylene glycol and PEG 400, which are found to have suitable properties as replacements candidates for mannitol in peptide formulations with regards to drop test, clogging of needles and simulated filling.

However, on the basis of the following considerations, propylene glycol was chosen as the isotonic agent over the other candidates to be further investigated in head to head comparison studies with mannitol:

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- a. propylene glycol was observed to have no influence on the physical and chemical stability of Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37)-containing formulations;
- propylene glycol was observed to have no influence on antimicrobial preservative testing; and

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c. use of propylene glycol would no require that further toxicity studies be tested

EXAMPLE 2

Comparison Of Mannitol and Propylene Glycol-Containing Placebo Formulations In Simulated Filling Studies and Simulated Use Studies

Preparation Of Formulations

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Preservative and buffer were dissolved in water and the isotonic agent was added while stiming. pH was adjusted to the aimed pH using Sodium Hydroxide and/or Hydroxhloric acid. Finally, the formulation was filtered through a 0.22 μm filter. The compositions of the formulations were as follows:

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Disodium hydrogen phosphate, dihydrate: 1.42 mg/ml

Phenol: 5.5 mg/ml Propylene glycol or mannitol: 13.7 or 35.9

Water for Injection:

13.7 or 35.9 mg/ml up to 1.0 ml.

pH: 7.90

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Simulated Filling Study

A simulated filling study lasting 24 hours was performed as described in Example 1 and after 24 hours, the filling equipment was inspected for the presence of deposits. No deposits were observed on the filling equipment for the propylene glycol formulation. By comparison, after 24 hours, a lot of deposits were observed on the filling equipment for the mannitol formulation (see Figure 6).

Simulated In Use Study

For the simulated in use study, a clogging test was conducted as described in Example 1. The same needle was used during the study period of ten working days and each day, the needle was inspected for the presence of deposits. Figure 7 shows photographs of needles dosed with the propylene glycol (left-hand panel) or mannitol (right-hand panel) containing formulations. Deposits on the needle were observed in 48% of the cases when mannitol was used as an isotonic agent whereas no deposits were observed when propylene glycol was used as the isotonic agent.

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Example 3

Comparison of Propylene Glycol to Mannitol In Arg³⁴, Lys²⁶(N^c-{γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) Containing Formulations

Preparation of Formulations

Preservative, isotonic agent (mannitol or propylene glycol) and buffer were dissolved in water and pH was adjusted to the desired pH. Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) was dissolved in water white stirring slowly. The two solutions were then mixed and pH adjusted to the desired pH using sodium hydroxide and/or hydrochloric acid. Finally, the formulation was filtered through a 0.22 μm filter. The compositions of the formulations were as follows:

Arg³⁴, Lys²⁶(N^c-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) (6.25 mg/ml), Disodium hydrogen phosphate, dihydrate (1.42 mg/ml), Phenol (5.5 mg/ml), mannitol or propylene glycol (35.9 or 14.0 mg/ml), Water for Injection (up to 1.0 ml),
 pH: 8.15

Simulated In Use Study

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For the simulated in use study, a clogging test was conducted as described in Example 1 except that a G31 needle was used. The same G31 needle was used during the study period of ten working days and each day, the needle was inspected for the presence of deposits. Figure 8 shows photographs of needles dosed with the propylene glycol (bottom panel) or mannitol (top panel) containing formulations.

For the mannitol containing formulation, clogging of the needle was observed in 1 out of 10 cases on day 4, 2 out of 10 cases on day 5, 3 out of 10 cases on day 8 and 4 out of 10 cases on day 9. By comparison, no clogging of needles was observed for the propylene glycol containing formulation.

It is believed that similar results to those obtained with the above-described propylene glycol-containing formulation would also be obtained if the pH was adjusted to 7.40, 7.70 or 7.90. In addition, additional formulations which could be tested include those having the following compositions:

Buffering agents: glycylglycine (1.32 mg/ml), L-Histidine (1.55 mg/ml), Hepes (2.38 mg/ml), or bicine (1.63 mg/ml)

Preservatives: phenol (5.0 or 5.5 mg/ml), benzylalcohol (18 mg/ml) or a mixture of m-cresol and phenol (2.5/2.0 mg/ml)

Propylene glycol: 14.0 or 14.3 mg.ml

Water for injection: up to 1.0 ml pH: 7.40, 7.70, 7.90 or 8.15

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Example 4

Influence of Peptide Concentration On Clogging of Needles

Arg³⁴, Lys²⁶(N^c-(γ -Glu(N^o-hexadecanoyl)))-GLP-1(7-37) formulations were prepared as described in Example 3 using peptide concentrations ranging from 0-5 mg/ml of Arg³⁴, Lys²⁶(N^c-(γ -Glu(N^o-hexadecanoyl)))-GLP-1(7-37). The compositions of the formulations were as follows:

Liraglutide: 0, 0.3, 3 and 5 mg/ml

Disodium hydrogen phosphate, dihydrate: 0.71 mg/ml Sodium dihydrogenphosphate, dihydrate: 0.62 mg/ml

15 Mannitol: 36.9 mg/ml Phenol: 5.0 mg/ml

Water for injection: up to 1,0 ml

pH 7.40

A simulated in use study was conducted as in Example 3 except that a G30 needle was used and the results (data not shown) indicated that the clogging effect of the mannitol-containing formulations relative to the absence of clogging with the propylene glycol formulations was observed independent of the peptide concentration.

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Example 5

Clogging of needles in Lys β29 (Nε-tetradecanoyl) des(B30) human insulin and No-voMix 30 formulations Containing Mannitol

30 Preparation Of Formulations

The Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin-containing formulation was prepared as follows:

a) Prepared a first solution by dissolving buffer, sodium chloride, preservatives (phenol and m-cresol) and mannitol in water

- b) Prepared a second solution of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and zinc acetate dissolved in water
- c) added the peptide-containing solution of step b) to the solution of step a); and
- d) adjusted the pH of the solution to the desired pH

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The composition of Lys β29 (Nε-tetradecanoyl) des(B30) human insulin-containing formulation prepared in the above manner was as follows:

Lys ß29 (Nɛ-tetradecanoyl) des(B30) human insulin (2400 nmol), Phenol (1.80 mg/ml), m-cresol (2.06 mg/ml), Mannitol (30.0 mg/ml), disodiumphosphate, dihydrate (0.890 mg/ml), Sodium chloride (1.17 mg/ml), Zinc acetate (65.4 ug/ml), water for injection (up to 1.0 ml), pH: 7.4

The NovoMix 30-containing formulation was prepared as follows:

- a) Prepared a solution by dissolving buffer, sodium chloride, phenol, mannitol and sodium hydroxide in water
- b) Prepared a solution of sodium chloride, phenol and mannitol in water
- 15 c) Prepared a solution of protamine sulphate in water
 - d) Prepared a solution of insulin, hydrochloric acid and zinc in water
 - e) Solutions b), c) and d) were mixed
 - f) Solution e) was added to the solution of step a)
 - g) Adjustedthe pH of the solution to the desired pH and crystallized at room temperature
- 20 h) Prepared a solution by dissolving m-cresol, phenol and mannitol in water
 - i) Solution h) is added to the crystalline fraction of step g); and
 - i) Adjusted the pH to the desired pH

The composition of the NovoMix 30-containing formulation prepared in the above manner was as follows:

Insulin aspart (100 units/ml), protamine sulphate (approx. 0.33 mg/ml), phenol (1.50 mg/ml), m-cresol (1.72 mg/ml), mannitol (30.0 mg/ml), disodiumphosphate dihydrate (1.25 mg/ml), sodium chloride (0.58 mg/ml), zinc (19.6 ug/ml), water for injection (up to 1.0 ml), pH: 7.3.

Results

A simulated in use study was conducted as described in Example 3 using G31 needles where 20 needles were investigated for 10 days. The results were as follows: Clogging of needles was observed for Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin on day 2 (5%), day 3 (70%) and on day 4 (100%). Clogging of needles for NovoMix 30 was observed on day 3 (5%), day 4 (10%), day 5 (35%), day 6 (40%), day 8 (50%), day 9 (55%) and day 10 (80%). Thus, the effect of mannitol on the clogging of needles is independent of the type of peptide included in the formulations since a comparable clogging effect was observed with Arg^{34} , $Lys^{26}(N^{\epsilon}-(\gamma-Glu(N^{\alpha}-hexadecanoyl)))-GLP-1(7-37)$, Lys &29 (N ϵ -tetradecanoyl) des(B30) human insulin and NovoMix 30.

Example 6

Testing of Lys β29 (Nε-tetradecanoyl) des(B30) human insulin and NovoMix 30 formulations containing propylene glycol

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The preparation and composition of the Lys ß29 (Nɛ-tetradecanoyl) des(B30) human insulin and NovoMix 30 formulations will be as described in Example 5 except that mannitol will be replaced with a concentration of propylene glycol that assures tonicity. A simulated in use test will then be conducted as described in Example 5.

Based on the fact that the clogging effect of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and NovoMix 30 mannitol-containing formulations was similar to that observed with Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37) mannitol-containing formulations, it is believed that the effect of propylene glycol on the clogging effect of Lys ß29 (Nε-tetradecanoyl) des(B30) human insulin and NovoMix 30-containing formulations will be similar to that observed with Arg³⁴, Lys²⁶(N^ε-(γ-Glu(N^α-hexadecanoyl)))-GLP-1(7-37)-containing formulations.

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Claims

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- 1. A pharmaceutical formulation comprising at least one peptide and propylene glycol, wherein said propylene glycol is present in said formulation in a final concentration of from about 1 mg/ml to about 100 mg/ml and wherein said formulation has a pH of from about 7.0 to about 10.0.
 - 2. The formulation according to claim 1, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml:
- 3. The formulation according to claim 1, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 4. The formulation according to claim 1, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
 - 5. The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 9.5.
- 20 6. The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 8.0.
 - 7. The formulation according to claim 1, wherein the pH of said formulation is about 7.2 to about 8.0.
 - 8. The formulation according to claim 1, further comprising a preservative.
 - 9. The formulation according to claim 8, wherein said preservative is present in a concentration from 0.1mg/ml to 20mg/ml.
 - 10. The formulation according to claim 1, further comprising a buffer.
 - 11. The formulation according to claim 10, wherein said buffer is selected from the group consisting of glycylglycine, L-histidine, Hepes, bicine and disodium phosphate dihydrate.
 - 12. The formulation according to claim 1, wherein said peptide is a GLP-1 agonist.

13. The formulation according to claim 12, wherein said GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.

14. The formulation according to claim 13, wherein said GLP-1 agonist is a derivative of GLP-1(7-36) or GLP-1(7-37) or a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, where said derivative has a lysine residue and a lipophilic substituent attached with or with-

- 15. The formulation according to claim 14, wherein said lipophilic substituent has from 8 to 40 carbon atoms.
 - 16. The formulation according to claim 15, wherein said spacer is an amino acid.

out a spacer to the epsilon amino group of said lysine.

- 17. The formulation according to claim 16, wherein said GLP-1 agonist is Arg^{34} , $Lys^{26}(N-\epsilon-(\gamma-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37).$
- The formulation according to claim 12, wherein said GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37); and Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38) analogues thereof and derivatives of any of these.
 - 19. The formulation according to claim 1, wherein said peptide is selected from insulin, an insulin analogue, a derivative of insulin or an insulin analogue or a mixture of any of the foregoing.
 - 20. The formulation according to claim 19, wherein said peptide is an insulin derivative in which said derivative contains a lysine residue to which a lipophilic substituent of at least six carbon atoms is attached.

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- 21. The formulation according to claim 20, wherein the insulin derivative is Lys β29 (Νεtetradecanoyl) des(B30) human insulin.
- 22. The formulation according to claim 20, wherein said insulin derivative is N^{OB29}-octanoyl insulin.
 - 23. The formulation according to claim 19, wherein said peptide is a 30/70 mixture of insulin aspart and insulin aspart protamine.
- 10 24. The formulation according to claim 1, wherein said peptide is human growth hormone (hGH) or Met-hGH.
 - 25. The formulation according to claim 24, wherein said peptide is exendin 4, an exendin 4 analogue or a derivative of exendin 4 or an exendin 4 analogue.
 - 26. The formulation according to claim 25, wherein said peptide is exendin 4.
 - 27. The formulation according to claim 25, wherein said peptide is HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-amide.
 - 28. A method of preparing a peptide formulation suitable for use in an injection device, said method comprising preparing a formulation containing peptide and propylene glycol and optionally a buffer and a preservative, wherein said propylene glycol is present in a concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from about 7.0 to about 10.0.
 - 29. The method according to claim 28, wherein said peptide, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:
 - a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
 - b) preparing a second solution by dissolving the peptide in water;
 - c) mixing the first and second solutions; and
 - d) adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.
- 35 30. The method according to claim 28, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.

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- 31. The method according to claim 28, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 5 32. The method according to claim 28, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
 - 33. The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 9.5.
- 34. The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 8.0.
- 35. The method according to claim 28, wherein the pH of said formulation is about 7.2 to about 8.0.
 - 36. A method for reducing deposits on production equipment during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
 - 37. The method according to claim 36, wherein the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.
 - 38. The method according to claim 36, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
- 39. A method for reducing deposits in the final product during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
- 40. The method according to claim 39, wherein the reduction in deposits in the final product
 is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials

and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

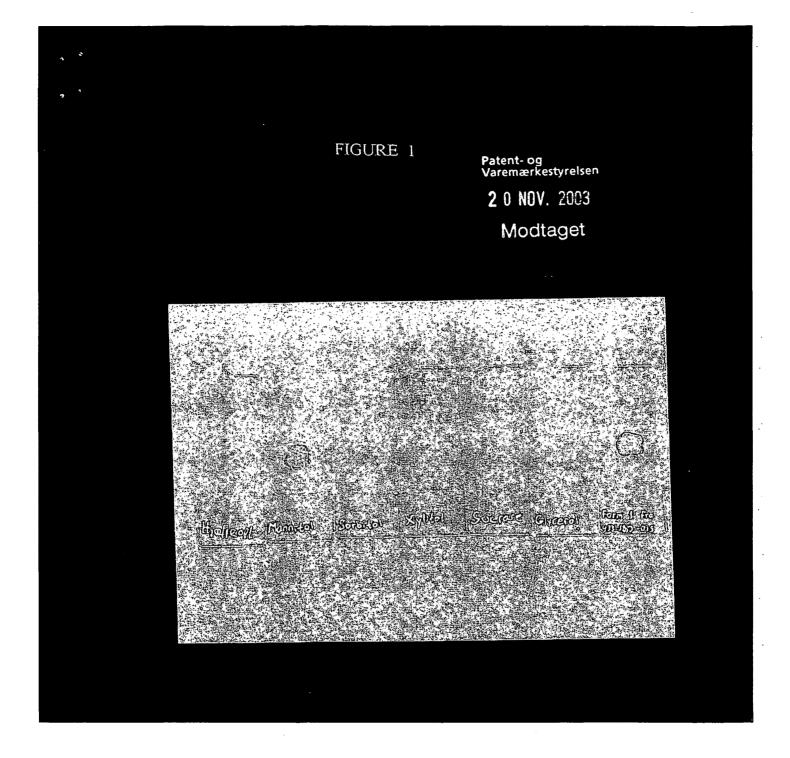
- 41. The method according to claim 39. wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
 - 42. A method for reducing the clogging of injection devices by a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
 - 43. The method according to claim 42, wherein the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.
 - 44. The method according to claim 42, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

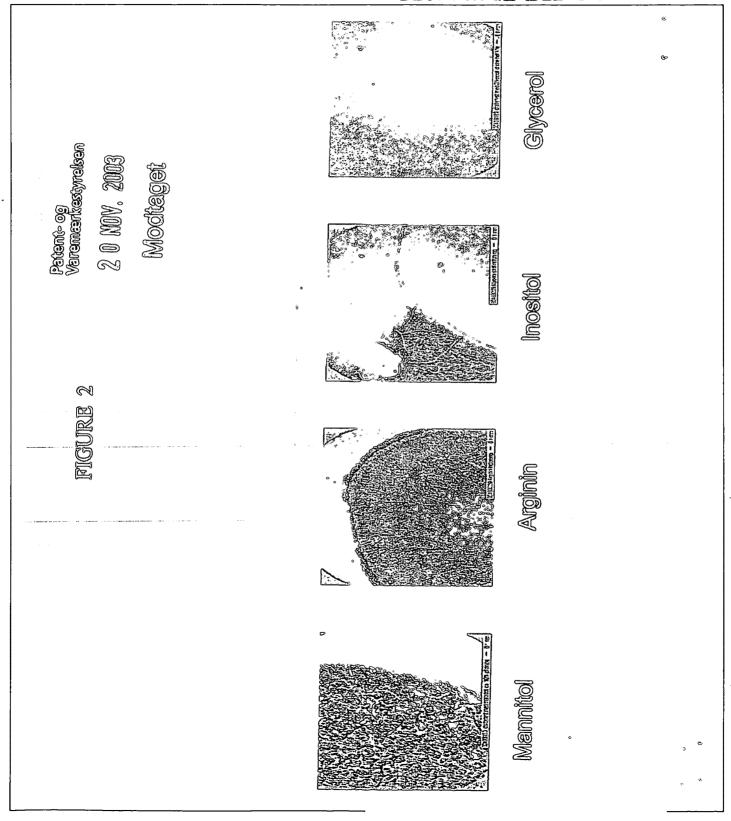
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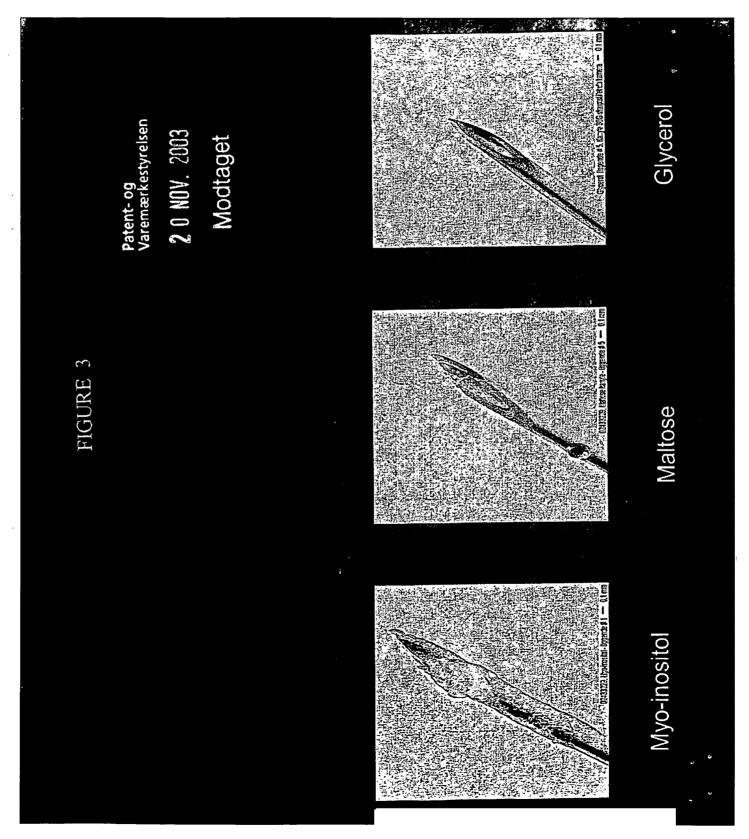
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Abstract

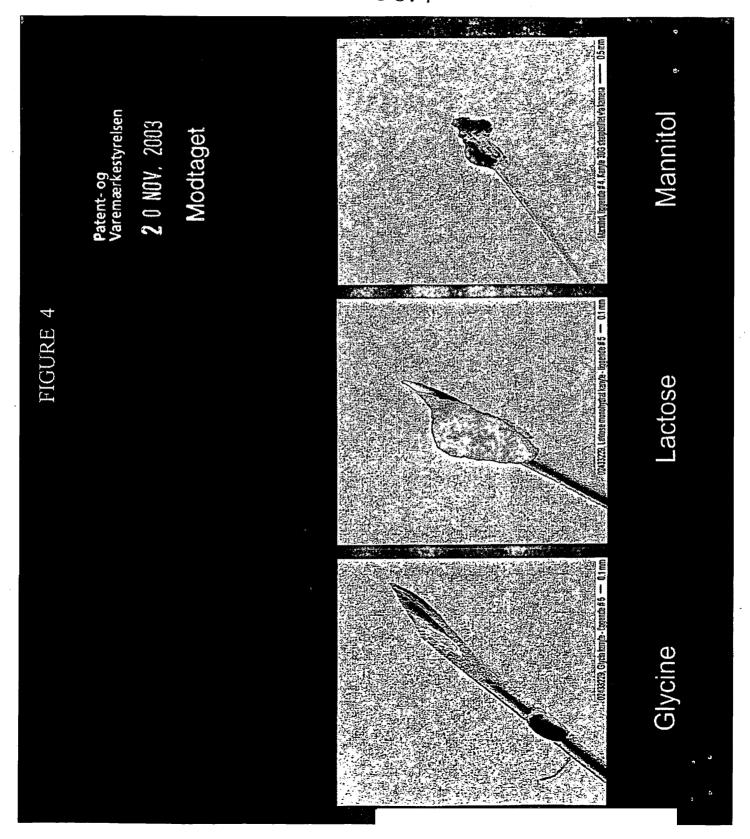
The present invention relates to pharmaceutical formulations comprising a peptide and propylene glycol, to methods of preparing such formulations, and to uses of such formulations in the treatment of diseases and conditions for which use of the peptide contained in such formulations is indicated. The present invention further relates to methods for reducing the clogging of injection devices by a peptide formulation and for reducing deposits on production equipment during production of a peptide formulation.

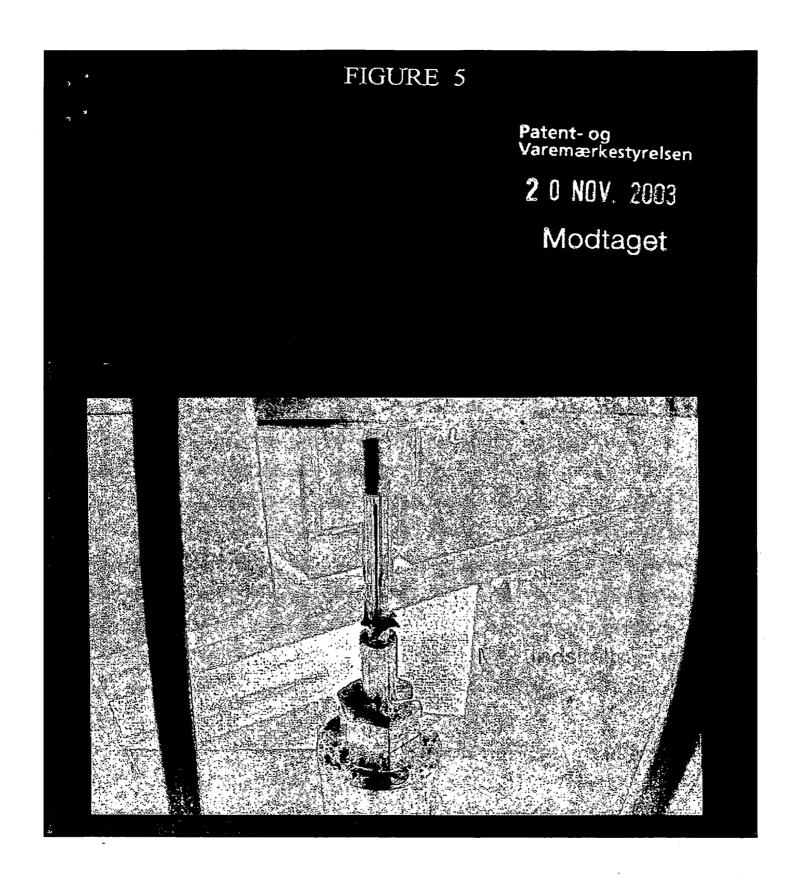


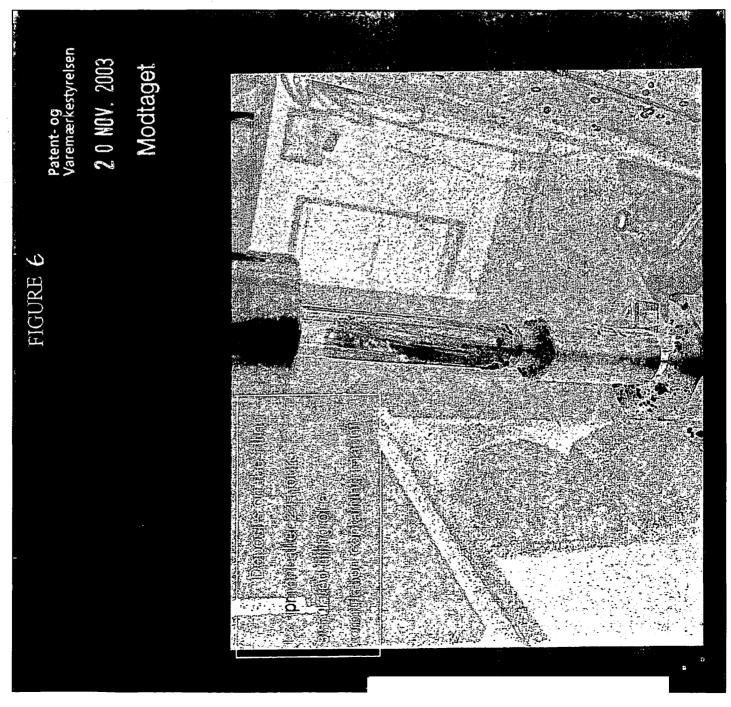




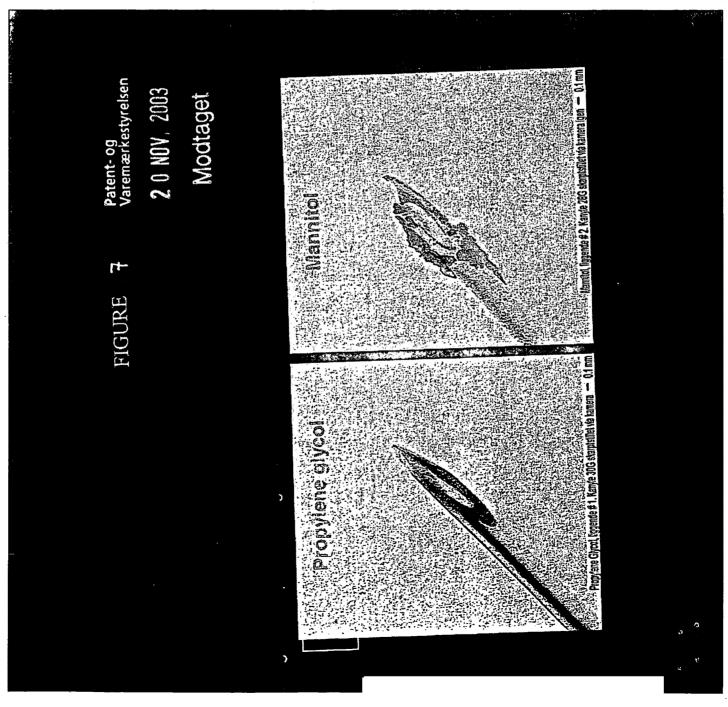
PFIZER, INC. v. NOVO NORDISK A/S - IPR2020-01252, Ex. 1003, p. 111 of 283



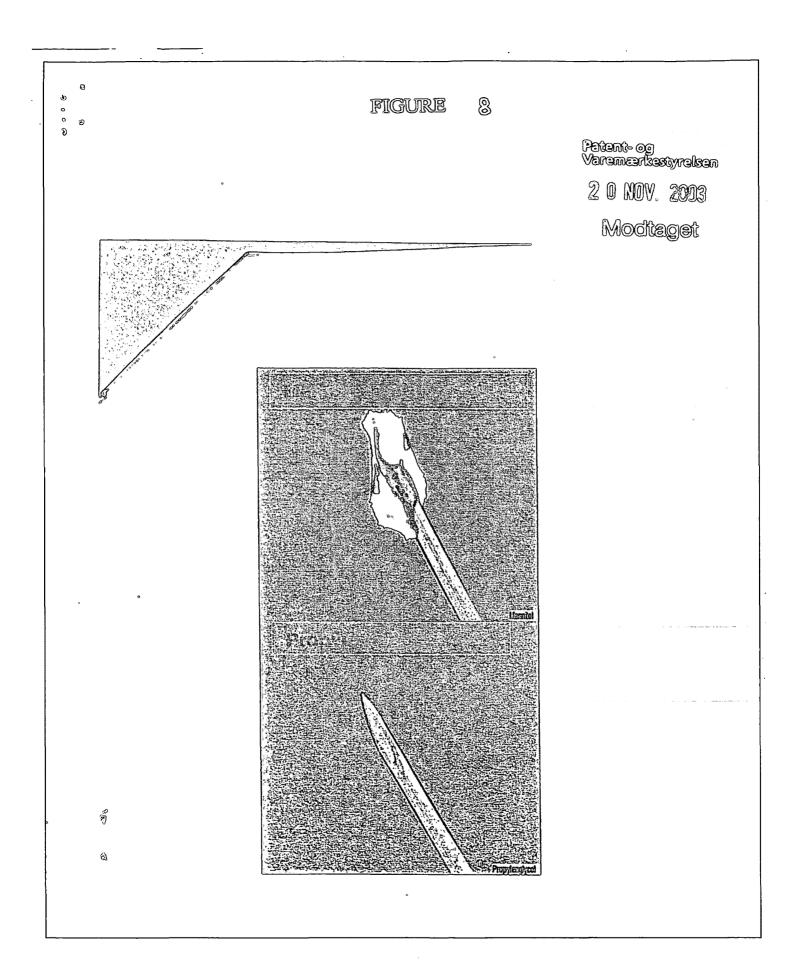




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PFIZER, INC. v. NOVO NORDISK A/S - IPR2020-01252, Ex. 1003, p. 115 of 283



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/435,977	05/17/2006	6683.204-US 7802				
23650 NOVO NORDI		EXAMINER				
INTELLECTU	AL PROPERTY DEPA	BRADLEY, CHRISTINA				
PRINCETON,			ART UNIT	PAPER NUMBER		
			1654			
			NOTIFICATION DATE	DELIVERY MODE		
	7590 06/16/2008 EDISK, INC. FUAL PROPERTY DEPARTMENT GE ROAD WEST N, NJ 08540 EXAMINER BRADLEY, CHRISTINA ART UNIT PAPER NUMBER 1654			ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

nnipatent@novonordisk.com KSHL@novonordisk.com KISW@novonordisk.com

	Annlication No.	Aunticont(c)								
	Application No.	Applicant(s)								
Office Action Symmony	11/435,977	PEDERSEN ET AL.								
Office Action Summary	Examiner	Art Unit								
	Christina Marchetti Bradley	1654								
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).										
Status										
1)⊠ Responsive to communication(s) filed on <u>17 May 2006</u> .										
2a) ☐ This action is FINAL . 2b) ☐ This	action is non-final.									
3) Since this application is in condition for allowa										
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11, 45	i3 O.G. 213.								
Disposition of Claims										
4) Claim(s) 1-44 is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) Claim(s) is/are allowed. 6) Claim(s) is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) 1-44 are subject to restriction and/or a	wn from consideration.									
Application Papers										
 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. 										
Priority under 35 U.S.C. § 119										
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.										
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	4) ☐ Interview Summary Paper No(s)/Mail Da 5) ☐ Notice of Informal P	nte								
Paper No(s)/Mail Date	6) Other:	••								

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20080526

Art Unit: 1654

DETAILED ACTION

Election/Restrictions

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 12-18, drawn to formulations of GLP-1 and analogues, classified in class
 514, subclass 2.
- II. Claims 19-23, drawn to formulations of insulins and its analogues, classified in class 514, subclass 3.
- III. Claim 24, drawn to formulations of human growth factor, classified in class 514, subclass 2.
- IV. Claims 25-27, drawn to formulations of exendin-4 and its analogues, classified in class 514, subclass 2.
- Claims 28-44, drawn to a method of preparing peptide formulations for an injectable device, classified in class 514, subclass 2.
- 2. Claims 1-11 link(s) inventions I-IV. The restriction requirement among the linked inventions is **subject to** the nonallowance of the linking claim(s), claims 1-11. Upon the indication of allowability of the linking claim(s), the restriction requirement as to the linked inventions **shall** be withdrawn and any claim(s) depending from or otherwise requiring all the limitations of the allowable linking claim(s) will be rejoined and fully examined for patentability in accordance with 37 CFR 1.104 **Claims that require all the limitations of an allowable linking claim** will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are

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governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

Applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, the allowable linking claim, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Where a restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

3. The inventions are distinct, each from the other because of the following reasons:

Inventions I-IV are directed to related peptides. The related inventions are distinct if: (1) the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect; (2) the inventions do not overlap in scope, i.e., are mutually exclusive; and (3) the inventions as claimed are not obvious variants. See MPEP § 806.05(j). In the instant case, the inventions as claimed peptides have materially different chemical structures and biological functions. Furthermore, the inventions as claimed do not encompass overlapping subject matter and there is nothing of record to show them to be obvious variants.

Inventions I-IV are related to invention V as products and processes of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that

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product. See MPEP § 806.05(h). In the instant case the claimed products could be used in a materially different process such as a method of treating a disease.

4. Restriction for examination purposes as indicated is proper because all these inventions listed in this action are independent or distinct for the reasons given above <u>and</u> there would be a serious search and examination burden if restriction were not required because one or more of the following reasons apply:

- (a) the inventions have acquired a separate status in the art in view of their different classification;
- (b) the inventions have acquired a separate status in the art due to their recognized divergent subject matter;
- (c) the inventions require a different field of search (for example, searching different classes/subclasses or electronic resources, or employing different search queries);
- (d) the prior art applicable to one invention would not likely be applicable to another invention;
- (e) the inventions are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include

(i) an election of a invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an

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election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected invention.

If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

5. This application contains claims directed to the following patentably distinct species of GLP-1 peptides: GLP-1(7-36)-amide, GLP-1(7-37), Gly8-GLP-1(7-36)-amide, Arg34, Lys26(N-ε-(7-Glu(N-α-hexadecanoyl)))-GLP-1 (7-37), GlyS-GLP-1(7-37), VaP-GLP-1(7-36)-amide, ValS-GLP-1 (7-37), Va18Asp22-GLP-1 (7-36)-amide, ValSAsp22-GLP-1 (7-37), Va18Glu22-GLP-1 (7-36)-amide, ValaGlu22-GLP-1 (7-36)-amide, ValaGlu22-GLP-1 (7-37), Va18Lys22-GLP-1 (7-37), ValBHis22-GLP-1 (7-37), ValSArg22-GLP-1(7-36)-amide, ValBArg22-GLP-1 (7-37), ValBHis22-GLP-1 (7-36)-amide, ValSHis22-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37); and Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38). The species are independent or distinct because claims to the different species recite the mutually exclusive characteristics of such species. In addition, these species are not obvious variants of each other based on the current record.

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If Group I or V are elected, Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 12-16 and 18 are generic.

6. This application contains claims directed to the following patentably distinct species of insulin peptides: Lys β 29 (N ϵ -tetradecanoyl)des(B30) human insulin and N^{L β 29}-octanoyl insulin. The species are independent or distinct because claims to the different species recite the mutually exclusive characteristics of such species. In addition, these species are not obvious variants of each other based on the current record.

If Group II or V are elected, Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 19, 20 and 23 are generic.

7. This application contains claims directed to the following patentably distinct species of exendin-4 peptides: exendin-4 and SEQ ID NO: 1. The species are independent or distinct because claims to the different species recite the mutually exclusive characteristics of such species. In addition, these species are not obvious variants of each other based on the current record.

If Group IV or V are elected, Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 25 is generic.

There is an examination and search burden for these patentably distinct species due to their mutually exclusive characteristics. The species require a different field of search (e.g., searching different classes/subclasses or electronic resources, or employing different search

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queries); and/or the prior art applicable to one species would not likely be applicable to another species; and/or the species are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election of the species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other species.

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Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

- 8. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).
- 9. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder

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in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder**. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christina Marchetti Bradley whose telephone number is (571)272-9044. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday, 9:00 A.M. to 3:30 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cecilia Tsang/ Supervisory Patent Examiner, Art Unit 1654 /Christina Marchetti Bradley/ Examiner, Art Unit 1654 Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR

PRODUCTION AND FOR USE IN INJECTION DEVICES

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper is being filed in response to the Office Action mailed June 16, 2008 that made restriction and election of species requirements. Applicants were requested to elect one of five (5) designated groups.

- Claims 12-18, drawn to formulations of GLP-1 and analogues, classified in class 514, subclass
- II. Claims 19-23, drawn to formulations of insulins and its analogues, classified in class 514, subclass 3.
- III. Claims 24, drawn to formulations of human growth factor, classified in class 514, subclass 2.
- IV. Claims 25-27, drawn to formulations of exendin-4 and its analogues, classified in class 514, subclass 2.
- V. Claims 28-44, drawn to a method of preparing peptide formulations for an injectable device, classified in class 514, subclass 2.

In response to these requirements, Applicants hereby elect with traverse the invention of Group I, and the species of Arg34, Lys26(N- ϵ -(7-Glu(N- α -hexadecanoyl)))-GLP-1(7-37). Applicants hereby reserve the right to file continuing applications directed to the nonelected subject matter.

The Examiner is hereby invited to contact the undersigned by telephone if there are any questions concerning this response or application.

Respectfully submitted,

Date: August 14, 2008 /Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802		
		EXAMINER				
INTELLECTU.	AL PROPERTY DEPA	BRADLEY, CHRISTINA				
			ART UNIT	PAPER NUMBER		
			1654			
			NOTIFICATION DATE	DELIVERY MODE		
	O NORDISK, INC. ELLECTUAL PROPERTY DEPARTMENT COLLEGE ROAD WEST ICETON, NJ 08540 EXAMINER BRADLEY, CHRISTINA ART UNIT PAPER NUMBER 1654					

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

nnipatent@novonordisk.com KSHL@novonordisk.com KISW@novonordisk.com

	Application No.	Applicant(s)							
	11/435,977	PEDERSEN ET AL.							
Office Action Summary	Examiner	Art Unit							
	Christina Marchetti Bradley	1654							
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).									
Status									
1)⊠ Responsive to communication(s) filed on <u>15 Au</u>	<u>ıgust 2008</u> .								
	action is non-final.								
3) Since this application is in condition for allowar closed in accordance with the practice under E									
Disposition of Claims									
4) ☐ Claim(s) 1-44 is/are pending in the application. 4a) Of the above claim(s) 20-24 is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-19 and 25-44 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	n from consideration.								
Application Papers									
9)☐ The specification is objected to by the Examine	r.								
10)☐ The drawing(s) filed on is/are: a)☐ acce	epted or b) \square objected to by the E	Examiner.							
Applicant may not request that any objection to the		· ·							
Replacement drawing sheet(s) including the correcting. 11) The oath or declaration is objected to by the Ex									
Priority under 35 U.S.C. § 119									
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 									
Attachment(s) 1) ☑ Notice of References Cited (PTO-892) 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) ☑ Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 7/17/2006.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	nte							

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

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DETAILED ACTION

Election/Restrictions

1. Applicant's election of Group I, claims 12-18, and the species Arg³⁴, Lys²⁶(N-ε-(7-Glu(N-α-hexadecanoyl)))-GLP-1(7-37), in the reply filed on 08/15/2008 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)). A prior art search of Group I and the elected species yielded results that read on claims 1-19, 25-28 and 30-44 (see rejection under 35 U.S.C. 102(e) below). As a result, the restriction requirement between Groups I, II, IV and V is withdrawn. The election of species requirement is maintained. Claims 1-44 are pending. Claims 20-24 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention or species, there being no allowable generic or linking claim.

Priority

- 2. Acknowledgment is made of applicant's claim for priority under 35 U.S.C. 119(e) based upon U.S. provisional application 60/524,653, filed 11/24/2003. A claim for priority under 35 U.S.C. 119(e) cannot be based on said application, since the instant application was filed more than twelve months thereafter and since PCT/DK04/00792, filed 11/18/2004, does not claim priority to said application.
- 5. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

Specification

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6. The use of the trademarks SEMILENTE, ULTRALENTE, LENTE, LANTUS, ULTRALENTE, HUMALOG, NOVOLOG, NOVOMIX, NOVOPENS and NOVOFINE have been noted in this application. They should be capitalized wherever they appear and be accompanied by the generic terminology.

7. Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 9. Claims 1-19, 25-28 and 30-44 are rejected under 35 U.S.C. 102(e) as being anticipated by Knudsen *et al.* (U.S. 2006/0287221). Knudsen *et al.* teach a pharmaceutical formulation comprising a peptide and propylene glycol present at a final concentration of 14 mg/ml and having a pH of 7.7 (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110), satisfying all of the limitations of claims 1-7. With respect to claim 8, the formulation comprises a preservative, phenol. With respect to claim 9, the preservative phenol is present at a final concentration of 40 mM (3.764 mg/ml). With respect to claim 10, the formulation comprises a buffer. With respect to claim 11, the buffer is bicine (examples 3 and 4, paragraphs

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0109, 0110). With respect to claims 12-18, the peptide is the GLP-1 agonist Arg³⁴, Lys²⁶(N-ε-(7-Glu(N-α-hexadecanoyl)))-GLP-1(7-37) or liraglutide (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claims 12-18, the peptide may also be GLP-1(7-37) (SEQ ID NO. 1), a GLP-1(7-37) analogue, a derivative of GLP-1(7-37), or a derivative of a GLP-1(7-37) analogue; Arg³⁴-GLP-1(7-37), Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-37), Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Val⁸Trp.sup.19Glu²²-GLP-1(7-37), Val⁸Glu²²Val²⁵-GLP-1(7-37), Val⁸Tyr¹⁶Glu²²-GLP-1(7-37), Val⁸Trp¹⁶Glu²²-GLP-1(7-37), Val⁸Leu¹⁶Glu²²-GLP-1(7-37), Val⁸Tyr¹⁸Glu²²-GLP-1(7-37), Val⁸Glu²²His³⁷-GLP-1(7-37), Val⁸Glu²²Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Ile³³-GLP-1(7-37), Val⁸Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵-GLP-1(7-37), analogues thereof and derivatives of any of these; a GLP-1(7-36)-amide; a derivative of GLP-1(7-37) or a derivative of a GLP-1(7-37) analogue having a lysine residue and wherein a lipophilic substituent optionally via a spacer is attached to the epsilon amino group of said lysine, wherein said lipophilic substituent has from 8 to 40 carbon atoms, and wherein said spacer is present and is selected from an amino acid; a dipeptidyl aminopeptidase IV protected GLP-1 compound; a plasma stable GLP-1 compound; or desamino-His⁷, Arg²⁶, Lys³⁴(N^{ϵ}-(γ -Glu(N^{α}-hexadecanoyl)))-GLP-1(7-37), desamino-His⁷, Arg²⁶, Lys³⁴(N^ε-octanoyl)-GLP-1(7-37), Arg²⁶, Lys³⁴, Lys³⁸ (N^ε-(.omega.-carboxypentadecanoyl))-GLP-1(7-38), Arg^{26} ,34, $\operatorname{Lys}^{36}(\operatorname{N}^{\epsilon}$ -(γ -Glu($\operatorname{N}^{\alpha}$ -hexadecanoyl)))-GLP-1 (7-36) and Arg^{34} , $\operatorname{Lys}^{26}(\operatorname{N}^{\epsilon}-(\gamma-\operatorname{Glu}(\operatorname{N}^{\alpha}-\operatorname{hexadecanoyl})))$ -GLP-1 (7-37) (paragraphs 0038-

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0040, 0064-0066). With respect to claim 19, the formulation also comprises an insulin analogue, insulin Asp $^{\beta28}$ (aspart) (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claim 19, the peptide may also be a human insulin analogue, Lys $^{\beta28}$, Pro $^{\beta29}$ -human insulin, Lys $^{\beta3}$, Glu $^{\beta29}$ -human insulin, des(B30) human insulin or derivative of a human insulin analogue (paragraph 0060). With respect to claims 25-27, the peptide may also be exendin-4, an exendin-4 analogue, a derivative of exendin-4, a derivative of an exendin-4 analogue, exendin-3 or ZP-10

(HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-NH2) or an acylated exendin-4 analogue or a pegylated exendin-4 analogue (claims 31-39, paragraph 0068, 0069). With respect to claims 28 and 30-35, Knudsen *et al.* teach a method of making the formulation for injection (Example 1, paragraph 0099, Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the prior art of Knudsen *et al.* because the compositions and methods of making them taught by the prior art are identical to the claimed invention. The limitations regarding isotonicity agents previously utilized are mental steps.

10. The applied reference has a common assignee and inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

Claim Rejections - 35 USC § 103

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11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 12. Claim 29 is rejected under 35 U.S.C. 103(a) as being obvious over Knudsen *et al.* (U.S. 2006/0287221). The teaching of Knudsen *et al.* is described above. With respect to claim 29, the reference does not explicitly teach the method steps of preparing a first solution, preparing a second solution. mixing the first and second solutions and adjusting the pH. It would have been obvious to the skilled artisan to make the compositions described in Knudsen *et al.* according to this method.

The applied reference has a common assignee and inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). This rejection might also be overcome

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by showing that the reference is disqualified under 35 U.S.C. 103(c) as prior art in a rejection under 35 U.S.C. 103(a). See MPEP § 706.02(l)(1) and § 706.02(l)(2).

13. Claims 1-18, 25-27 and 28-44 are rejected under 35 U.S.C. 103(a) as being obvious over Engelund et al. (U.S. 2006/0084605). Engelund et al. recites a formulation comprising a GLP-1 peptide, a buffer, a preservative and an isotonicity agent, wherein the pH of the formulation is 7.2-8 (claim 1). Engelund et al. teaches that the isotonicity agent may be selected from the group consisting of sodium chloride, xylitol, mannitol, sorbitol, glycerol, glucose, maltose, sucrose, Lglycine, L-histidine, arginine, lysine, isoleucine, aspartic acid, tryptophan, threonine, polyethylene glycol, propylene glycol and mixtures thereof (claim 51). Thus, one of skill in the art could readily envisage a formulation comprising a GLP-1 peptide, a buffer, a preservative and propylene glycol wherein the pH is between 7.2 and 8 based on claims 1 and 51 of Engelund et al.. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-12 and 28-35. With respect to claims 12-18 and 25-27, Engelund et al. teach that the GLP-1 peptide may be Arg^{34} , $Lys^{26}(N-\varepsilon-(7-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37)$ (claim 28) or an exendin-4 (paragraphs 0065-0067) as well a numerous other GLP-1 analogues (paragraphs 0046-0051). With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/244,497.

The applied reference has a common assignee and inventor with the instant application.

Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only

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under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). This rejection might also be overcome by showing that the reference is disqualified under 35 U.S.C. 103(c) as prior art in a rejection under 35 U.S.C. 103(a). See MPEP § 706.02(1)(1) and § 706.02(1)(2).

Double Patenting

14. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

15. Claims 1-19 and 28-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-8, 10, 12, 17-19, 30, 41-49 and 54-72 of copending Application No. 11/417,562. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/417,562 recites a formulation comprising a meal-related insulin, Arg³⁴, Lys²⁶(N-ε-(7-Glu(Nα-hexadecanoyl)))-GLP-1(7-37), a preservative and an isotonicity agent. Claim 58 of copending Application No. 11/417,562 states that the isotonicity agent may be selected from the group consisting of mannitol, sorbitol, propylene glycol and a mixture thereof. Claims 2 and 3 of copending Application No. 11/417,562 state that the pH of the formulation is from 7 to 9, or from 7 to 8. Thus, one of skill in the art could readily envisage a formulation comprising a peptide, propylene glycol wherein the pH is between 7 and 9 based on claims 1-3 and 58 of copending Application No. 11/417,562. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12-19 and 28-35. With respect to claims 8 and 9, claims 1 and 53 of copending Application No. 11/417,562 state that the formulation may also include a preservative selected from phenol and m-cresol. With respect to claims 10 and 11, claim 55 of copending Application No. 11/417,562 state that the formulation may also include a buffer. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending

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Application No. 11/417,562. This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

16. Claims 1-18 and 25-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-63 of copending Application No. 11/667,040. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/667,040 recites a formulation comprising an insulinotropic peptide and an isotonicity agent, wherein the pH of the formulation is from 7.0 to 8.5. Claim 25 of copending Application No. 11/667,040 states that the isotonicity agent may be selected from the group consisting of mannitol, glycerol, propylene glycol and a mixture thereof. Thus, one of skill in the art could readily envisage a formulation comprising an insulinotropic peptide and propylene glycol wherein the pH is between 7 and 8.5 based on claim 25 of copending Application No. 11/667,040. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12-19 and 28-35. With respect to claims 8 and 9, claim 26 of copending Application No. 11/667,040 state that the formulation may also include a preservative. With respect to claims 10 and 11, claims 22-24 of copending Application No. 11/667,040 state that the formulation may also include a buffer. With respect to claims 12-18, claim 31 of copending Application No. 11/667,040 states that the insulinotropic peptide is Arg^{34} , $Lys^{26}(N-\varepsilon-(7-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37)$. With respect to claims 12-16, 18 and 25-27, claims 27-30 and 32-35 of copending Application 11/667,040 state the insulinotropic peptide may be one of numerous GLP-1 analogues or derivatives or exendin

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analogues or derivatives. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/667,040. This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

17. Claims 1-12 and 28-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 3-11, 19-21, 29 and 48-54 of copending Application No. 11/244,497. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/244,497 recites a formulation comprising a GLP-1 peptide, a buffer, a preservative and an isotonicity agent, wherein the pH of the formulation is 7.2-8. Claim 51 of copending Application No. 11/244,497 states that the isotonicity agent may be selected from the group consisting of sodium chloride, xylitol, mannitol, sorbitol, glycerol, glucose, maltose, sucrose, L-glycine, Lhistidine, arginine, lysine, isoleucine, aspartic acid, tryptophan, threonine, polyethylene glycol, propylene glycol and mixtures thereof. Thus, one of skill in the art could readily envisage a formulation comprising a GLP-1 peptide, a buffer, a preservative and propylene glycol wherein the pH is between 7.2 and 8 based on claims 1 and 51 of copending Application No. 11/244,497. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12 and 28-35. With respect to claims 8 and 9, claim 49 of copending Application No. 11/244,497 recites a list of possible preservatives. With respect to claims 10 and 11, claim 48 of copending

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Application No. 11/244,497 recites a list of possible buffers. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/244,497. This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Conclusion

- 18. No claims are allowed.
- 19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christina Marchetti Bradley whose telephone number is (571)272-9044. The examiner can normally be reached on Monday-Thursday, 9:00 A.M. to 3:00 P.M.
- 20. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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21. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cecilia Tsang/ Supervisory Patent Examiner, Art Unit 1654 /Christina Marchetti Bradley/ Examiner, Art Unit 1654

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					Christina Ma	rchetti Bradley	1654	Page 1 of 1
				U.S. PA	ATENT DOCUM	ENTS	•	
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY			Name		Classification
*	Α	US-2006/0287221	12-2006	Knudse	n et al.			514/003
*	В	US-2006/0084605	04-2006	Engelui	nd et al.			514/012
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20081119

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11435977	PEDERSEN ET AL.
	Examiner	Art Unit
	Christina Marchetti Bradley	1654

✓	Rejected	-	Cancelled	N	Non-Elected		Α	Appeal		
=	Allowed	÷	Restricted	I	Interference		0	Objected		
	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47									

CL	AIM				DATE					
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U.S. Patent and Trademark Office Part of Paper No.: 20081119

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11435977	PEDERSEN ET AL.
	Examiner	Art Unit
	Christina Marchetti Bradley	1654

✓ Rejected		-	Cancelled	N Non-Elected			Α	Ap	peal		
= Allowed		÷	Restricted		I	I Interference			O	Obj	ected
☐ Claim	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47										
CLAIM DATE											
Final	Original	11/19/2008									
	37	✓									

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U.S. Patent and Trademark Office Part of Paper No.: 20081119

Receist date: 07/17/2006 11435977 - GAU: 1654

torney Docket No.: 6683.204-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al.

Application No.: 11/435,977 Group Art Unit: 1646

Filed: May 17, 2006 Examiner: TBA

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which they believe may be material to the patentability of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While the references may be "material" under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are "prior art" unless specifically designated as such.

The filing of this Information Disclosure Statement shall not be construed as a representation that no material references other than those listed exist or that a search has been conducted.

The references are listed in Form PTO-1449 which is in accordance with the requirements of M.P.E.P. 609. A copy of the references is also enclosed.

The references are as follows:

- 1. WO 2005/046716
- 2. WO 93/23010
- 3. WO 95/13825
- 4. WO 99/16417
- 5. U.S. Patent No. 2002/0151467

11435977 - GAU: 1654 Receipt date: 07/17/2006

6. WO 03/013589

7. EP 1424077

8. U.S. Patent No.5206219

9. WO 95/22560

10. WO 95/05848

11. WO 02/067989

12. WO 92/19260

13. Sing, S et al – AAPS Pharmscitech – 2003 – Vol. 4 Part 3 – Pgs. 334-342

It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the undersigned if there are any questions concerning this paper or the attached references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due. However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit Account No. 14-1447.

Respectfully submitted,

Date: July 10, 2006

\$

Richard W. Bork, Reg. No. 36,459

Novo Nordisk Inc. 100 College Road West Princeton, NJ 08540 (609) 987-5800

Use the following customer number for all correspondence regarding this application.

23650 PATENT TRADEMARK OFFICE

Receipt date: 07/17/2006

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Atty. Docket No. 6683.204-US		Serial No. 11/435,977		
			Applicant Pedersen et al.				
7 2006	Use several sheets if necessary)	Filing Date May 17, 2006		Group 1646			
INF ,		U.S. PATE	NT DOCUMENTS				
AMINER OF STREET	DOCUMENT NUMBER	DOCUMENT		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2002/0151467	12/21/00	Leung, F.K.				
	5206219	11/25/91	Applied Analytical Industries, INC				
NEFENENCE	CONSIDERED E)		LINED THROUGH. ATENT DOCUMENTS	l/c.s./			
	DOCUMENT		TRANSLATION				
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	2005/046716	11/12/04	WO				
	93/23010	05/07/92	WO				
	95/13825	10/24/94	WO				
	99/16417	10/01/97	WO				
	03/013589	05/20/02	WO				
	1424077	05/20/02	EP				
	95/22560	02/21/95	WO				
	95/05848	08/23/94	WO				
	02/067989	01/08/02	WO	<u> </u>			
	92/19260	05/07/91	WO				
	OTHER I	OOCUMENTS (Including	g Author, Title, Date, Pertino	ent Pages, Etc	:.)		
	Singh, S et	al - Aaps Ph	armscitech - 200	3 - Vol.	4 - Part	3-Pgs.3	34-34
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FILE 'CAPLUS' ENTERED AT 14:27:01 ON 19 NOV 2008
L1
            1 S US 20070010424/PN
L2
         32637 S PROPYLENE GLYCOL
L3
        187137 S INSULIN
L4
           1146 S GLUCAGON-LIKE PEPTIDE I
L5
           2965 S GLP?
           689 S EXENDIN
L6
L7
        207001 S DRUG DELIVERY SYSTEMS
L8
          82033 S FORMULATION
L9
            158 S L2 AND (L3-L6) AND (L7 OR L8)
L10
             6 S L2 (L) (L3-L6) (L) (L7 OR L8)
    FILE 'STNGUIDE' ENTERED AT 14:30:02 ON 19 NOV 2008
    FILE 'CAPLUS' ENTERED AT 14:31:38 ON 19 NOV 2008
L11
             3 S L2 AND L3 AND (L4 OR L5) AND L6 AND (L7 OR L8)
L12
             11 S L2 AND L3 AND (L4-L6) AND (L7 OR L8)
L13
              2 S L2(L)(L4-L6)(L)(L7 OR L8)
L14
             15 S L2 AND (L4-L6) AND (L7 OR L8)
     FILE 'STNGUIDE' ENTERED AT 14:33:45 ON 19 NOV 2008
    FILE 'CAPLUS' ENTERED AT 14:36:17 ON 19 NOV 2008
L15
             5 S L2 (L) L3 (L) (L7 OR L8)
    FILE 'CAPLUS' ENTERED AT 14:38:52 ON 19 NOV 2008
L16
          15664 S (PEPTIDE OR PROTEIN) (L) (L7 OR L8)
L17
              1 S L16 AND L1
L18
              0 S (PEPTIDE OR PROTEIN) (L) (L1)
L19
              1 S (PEPTIDE OR PROTEIN) AND (L7 OR L8) AND L1
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Please use the following customer number for all correspondence regarding this application.

23650
PATENT TRADEMARK OFFICE

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

RESPONSE TO OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed December 2, 2008, please amend the above-captioned application and consider the remarks herewith as follows:

AMENDMENTS TO THE SPECIFICATION begin on page 2 of this paper.

AMENDMENTS TO THE CLAIMS are reflected in the Listing of Claims which begins on page 4 of this paper.

REMARKS begin on page 10 of this paper.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 2 of 12

AMENDMENTS TO THE SPECIFICATION:

Please replace page 10, lines 30-33 with the following:

--(SEMILENTE®) with extended insulin zinc (ULTRALENTE®), sold commercially as LENTE®, insulin glargine (LANTUS®) or extended insulin zinc (ULTRALENTE®), Lys^{B28} Pro^{B29} human insulin (HUMALOG®), Asp^{B28} human insulin, insulin aspart (NOVOLOG®), or a 30/70 mixture of insulin aspart and insulin aspart protamine (NOVOMIX®).—

Please replace page 22, lines 13-18 with the following:

--In this test 10 NOVOPENS® 1.5 ml mounted with NOVOFINE 30® G (G 30 needle) were tested for each formulation, 5 of them placed in upright and 5 in horizontal position. The Pensystems were stored at room temperature in between testing. Each day the needle was examined for deposits and an air shot was performed prior to injection into a tissue. Degree of resistance and clogging, if any, was noted. Injections were made on a daily basis with the same needle, and this was done for 9 working days for all the formulations.—

Please replace page 2, lines 11-24 with the following:

- -- The NOVOMIX® 30-containing formulation was prepared as follows:
- a) Prepared a solution by dissolving buffer, sodium chloride, phenol, mannitol and sodium hydroxide in water
- b) Prepared a solution of sodium chloride, phenol and mannitol in water
- c) Prepared a solution of protamine sulphate in water
- d) Prepared a solution of insulin, hydrochloric acid and zinc in water
- e) Solutions b), c) and d) were mixed
- f) Solution e) was added to the solution of step a)
- g) Adjusted the pH of the solution to the desired pH and crystallized at room temperature
- h) Prepared a solution by dissolving m-cresol, phenol and mannitol in water

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 3 of 12

i) Solution h) is added to the crystalline fraction of step g); and

j) Adjusted the pH to the desired pH

The composition of the NOVOMIX® 30-containing formulation prepared in the above manner was as follows:--

Please replace page 29, lines 11-26 with the following:

--Example 6

Testing of Lys &29 (Nε-tetradecanoyl) des(B30) human insulin and NOVOMIX® 30 formulations containing propylene glycol

The preparation and composition of the Lys β29 (Nε-tetradecanoyl) des(B30) human insulin and NOVOMIX® 30 formulations will be as described in Example 5 except that mannitol will be replaced with a concentration of propylene glycol that assures tonicity. A simulated in use test will then be conducted as described in Example 5.

Based on the fact that the clogging effect of Lys $\beta29$ (N ϵ -tetradecanoyl) des(B30) human insulin and NOVOMIX® 30 mannitol-containing formulations was similar to that observed with Arg³⁴, Lys²⁶(N ϵ -(γ -Glu(N α -hexadecanoyl)))-GLP-1(7-37) mannitol-containing formulations, it is believed that the effect of propylene glycol on the clogging effect of Lys $\beta29$ (N ϵ -tetradecanoyl) des(B30) human insulin and NovoMix 30-containing formulations will be similar to that observed with Arg³⁴, Lys²⁶(N ϵ -(γ -Glu(N α -hexadecanoyl)))-GLP-1(7-37)-containing formulations.--

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 4 of 12

AMENDMENTS TO THE CLAIMS:

The following Listing of Claims replaces all prior versions, and listings, of claims.

LISTING OF CLAIMS

- 1. (Original) A pharmaceutical formulation comprising at least one peptide and propylene glycol, wherein said propylene glycol is present in said formulation in a final concentration of from about 1 mg/ml to about 100 mg/ml and wherein said formulation has a pH of from about 7.0 to about 10.0.
- 2. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 3. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 4. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 5. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 9.5.
- 6. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 8.3.
- 7. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.3 to about 8.3.
- 8. (Original) The formulation according to claim 1, further comprising a preservative.
- 9. (Original) The formulation according to claim 8, wherein said preservative is present in a concentration from 0.1mg/ml to 20mg/ml.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 5 of 12

10. (Original) The formulation according to claim 1, further comprising a buffer.

- 11. (Original) The formulation according to claim 10, wherein said buffer is selected from the group consisting of glycylglycine, L-histidine, Hepes, bicine and disodium phosphate dihydrate.
- 12. (Original) The formulation according to claim 1, wherein said peptide is a GLP-1 agonist.
- 13. (Original) The formulation according to claim 12, wherein said GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.
- 14. (Original) The formulation according to claim 13, wherein said GLP-1 agonist is a derivative of GLP-1(7-36) or GLP-1(7-37) or a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, where said derivative has a lysine residue and a lipophilic substituent attached with or without a spacer to the epsilon amino group of said lysine.
- 15. (Original) The formulation according to claim 14, wherein said lipophilic substituent has from 8 to 40 carbon atoms.
- 16. (Original) The formulation according to claim 15, wherein said spacer is an amino acid.
- 17. (Original) The formulation according to claim 16, wherein said GLP-1 agonist is Arg^{34} , $Lys^{26}(N-\epsilon-(\gamma-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37)$.
- 18. (Original) The formulation according to claim 12, wherein said GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP

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Val⁸His²²-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37); and Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38) analogues thereof and derivatives of any of these.

- 19. (Original) The formulation according to claim 1, wherein said peptide is selected from insulin, an insulin analogue, a derivative of insulin or an insulin analogue or a mixture of any of the foregoing.
- 20. (Withdrawn) The formulation according to claim 19, wherein said peptide is an insulin derivative in which said derivative contains a lysine residue to which a lipophilic substituent of at least six carbon atoms is attached.
- 21. (Withdrawn) The formulation according to claim 20, wherein the insulin derivative is Lys β29 (Nε-tetradecanoyl) des(B30) human insulin.
- 22. (Withdrawn) The formulation according to claim 20, wherein said insulin derivative is $N^{\Box B29}$ -octanoyl insulin.
- 23. (Withdrawn) The formulation according to claim 19, wherein said peptide is a 30/70 mixture of insulin aspart and insulin aspart protamine.
- 24. (Withdrawn) The formulation according to claim 1, wherein said peptide is human growth hormone (hGH) or Met-hGH.
- 25. (Original) The formulation according to claim 24, wherein said peptide is exendin 4, an exendin 4 analogue or a derivative of exendin 4 or an exendin 4 analogue.
- 26. (Original) The formulation according to claim 25, wherein said peptide is exendin 4.
- 27. (Original) The formulation according to claim 25, wherein said peptide is HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-amide.

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28. (Original) A method of preparing a peptide formulation suitable for use in an injection device, said method comprising preparing a formulation containing peptide and propylene glycol and optionally a buffer and a preservative, wherein said propylene glycol is present in a concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from about 7.0 to about 10.0.

- 29. (Original) The method according to claim 28, wherein said peptide, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:
 - a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
 - b) preparing a second solution by dissolving the peptide in water;
 - c) mixing the first and second solutions; and
 - d) adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.
- 30. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 31. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 32. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 33. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 9.5.
- 34. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 8.0.

Application No.: 11/435,977

Response to Office Action of December 2, 2008

Attorney Docket No.: 6683.204-US

Page 8 of 12

35. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.2 to about 8.0.

- 36. (Original) A method for reducing deposits on production equipment during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
- 37. (Original) The method according to claim 36, wherein the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.
- 38. (Original) The method according to claim 36, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
- 39. (Original) A method for reducing deposits in the final product during production of a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.
- 40. (Original) The method according to claim 39, wherein the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.
- 41. (Original) The method according to claim 39, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, glycerol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US
Response to Office Action of December 2, 2008 Page 9 of 12

42. (Original) A method for reducing the clogging of injection devices by a peptide formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml.

- 43. (Original) The method according to claim 42, wherein the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.
- 44. (Original) The method according to claim 42, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 10 of 12

REMARKS

Upon entry of the present amendment, claims 1-19 and 25-44 are pending. The present amendment adds no new matter.

THE REJECTION UNDER 35 U.S.C. §102(E)

The Office Action rejected claims 1-19, 25-28 and 30-44 under 35 U.S.C. §102(e) as being anticipated by Knudsen et al. (U.S. 2006/0287221; "**Knudsen**").

Applicants note that the present Application is a continuation of PCT Application No. DK2004/000792 (filed on November 18, 2004). In addition, the present Application claims priority under 35 U.S.C. §119(a-d) to Danish Patent Application No. PA 200301719 (filed November 20, 2003). Thus, the present Application is entitled at least to the November 20, 2003 priority date. In contrast, Knudsen is a continuation of PCT Application No. DK2004/000788 (filed on November 12, 2004). Thus, the effective US filing date of **Knudsen** is May 3, 2006. In light of the foregoing, Applicants note that, as set forth in M.P.E.P. §2136.03, a reference's foreign priority date under 35 U.S.C. §119(a)-(d) and (f) can not be used as the 35 U.S.C. §102(e) reference date, and thus, cannot be used to antedate the present Application's filing date. See e.g. In re Hilmer, 359 F.2d 859, 149 USPQ 480 (CCPA 1966) (Hilmer I) (Applicant filed an application with a right of priority to a German application. The examiner rejected the claims over a U.S. patent to Habicht based on its Swiss priority date. The U.S. filing date of Habicht was later than the application's German priority date. The court held that the reference's Swiss priority date could not be relied on in a 35 U.S.C. 102(e) rejection. Because the U.S. filing date of Habicht was later than the earliest effective filing date (German priority date) of the application, the rejection was reversed.). Accordingly, Applicants believe that the present rejection is now moot.

The Rejections under 35 U.S.C. §103(a)

The Examiner has rejected claim 29 under 35 U.S.C. §103(a) as being obvious over Knudsen.

Applicants note that the present Application and **Knudsen** were, at the time the invention of the present Application was made, owned by Novo Nordisk. Thus, Applicants assert that **Knudsen** is disqualified under 35 U.S.C. §103(c) as prior art in the present rejection under 35 U.S.C. §103(a).

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 11 of 12

See e.g. M.P.E.P. §706.02(l)(2)(II). Accordingly, Applicants believe that the present rejection is now moot.

The Examiner has also rejected claims 1-18, 25-27 and 28-44 under 35 U.S.C. §103(a) as being obvious over Engelund et al. (U.S. 2006/0084605; "Engelund").

Applicants note that the present Application and **Engelund** were, at the time the invention of the present Application was made, owned by Novo Nordisk. Thus, Applicants assert that **Engelund** is disqualified under 35 U.S.C. §103(c) as prior art in the present rejection under 35 U.S.C. §103(a). *See e.g.* M.P.E.P. §706.02(l)(2)(II). Accordingly, Applicants believe that the present rejection is now moot.

THE NON-STATUTORY OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTIONS

The Examiner has made the following provisional rejections on the grounds of nonstatutory obviousness-type double patenting:

- 1) Claims 1-19 and 28-44 are rejected as being unpatentable over claims 1-8, 10, 12, 17-19, 30, 41-49 and 54-72 of copending US Patent Application No. 11/417,562;
- 2) Claims 1-18 and 25-44 are rejected as being unpatentable over claims 1-63 of copending US Patent Application No. 11/667,040; and
- 3) Claims 1-12 and 28-44 are rejected as being unpatentable over claims 1, 3-11, 19-21 29 and 48-54 of copending US Patent Application No. 11/244,497.

Applicants note that upon the issuance of any the above referenced applications, Applicants will review the need for Terminal Disclaimers in the remaining, pending applications upon notification of allowable subject matter in the same. Accordingly, Applicants believe that the present rejection is now moot.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of December 2, 2008 Page 12 of 12

CONCLUSION

In view of the above, Applicant(s) submit(s) that the application is now in condition for allowance and issue and respectfully request(s) early action to that end. Applicant(s) believe(s) that no additional fees are due. However, should any fees be due, the Commissioner is hereby authorized to charge any fees in connection with this application and to credit any overpayments to Deposit Account No. 14-1447. The undersigned invites the Examiner to contact him/her by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

Date: April 2, 2009 /Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802	
23650 NOVO NORDI	7590 06/25/200 ISK_INC	EXAMINER			
INTELLECTU	AL PROPERTY DEPA	BRADLEY, CHRISTINA			
PRINCETON,		ART UNIT	PAPER NUMBER		
ŕ		1654			
			NOTIFICATION DATE	DELIVERY MODE	
			06/25/2009	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

nnipatent@novonordisk.com KSHL@novonordisk.com KISW@novonordisk.com

	Application No.	Applicant(s)						
Office Action Summers	11/435,977	PEDERSEN ET AL.						
Office Action Summary	Examiner	Art Unit						
The MANUNO DATE of this country is a	CHRISTINA BRADLEY	1654						
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the	correspondence address						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILLING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1) Responsive to communication(s) filed on <u>02 April 2009</u> .								
2a) ☐ This action is FINAL . 2b) ☐ This								
3) Since this application is in condition for allowar	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims								
4)⊠ Claim(s) <u>1-44</u> is/are pending in the application.								
4a) Of the above claim(s) <u>20-24</u> is/are withdrawn from consideration.								
5) Claim(s) is/are allowed.								
6)⊠ Claim(s) <u>1-19,25-28 and 30-44</u> is/are rejected.	6)⊠ Claim(s) <u>1-19,25-28 and 30-44</u> is/are rejected.							
7) Claim(s) 29 is/are objected to.								
8) Claim(s) are subject to restriction and/or	r election requirement.							
Application Papers								
9)☐ The specification is objected to by the Examine	r.							
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:								
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
Attachment(s)								
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date								
Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal 6) Other:							

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20090622

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DETAILED ACTION

Status of Claims

1. Claims 1-44 are pending. Claims 20-24 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention or species, there being no allowable generic or linking claim.

Priority

- 2. Acknowledgment is made of applicant's claim for priority under 35 U.S.C. 119(e) based upon U.S. provisional application 60/524,653, filed 11/24/2003. A claim for priority under 35 U.S.C. 119(e) cannot be based on said application, since the instant application was filed more than twelve months thereafter and since PCT/DK04/00792, filed 11/18/2004, does not claim priority to said application.
- 5. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

Specification

6. The objection to the use of the trademarks SEMILENTE, ULTRALENTE, LENTE, LANTUS, ULTRALENTE, HUMALOG, NOVOLOG, NOVOMIX, NOVOPENS and NOVOFINE is withdrawn in light of the amendment filed 04/02/2009.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an

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international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claims 1-19, 25-28 and 30-44 are rejected under 35 U.S.C. 102(e) as being anticipated by Knudsen et al. (U.S. 2006/0287221). Knudsen et al. teach a pharmaceutical formulation comprising a peptide and propylene glycol present at a final concentration of 14 mg/ml and having a pH of 7.7 (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110), satisfying all of the limitations of claims 1-7. With respect to claim 8, the formulation comprises a preservative, phenol. With respect to claim 9, the preservative phenol is present at a final concentration of 40 mM (3.764 mg/ml). With respect to claim 10, the formulation comprises a buffer. With respect to claim 11, the buffer is bicine (examples 3 and 4, paragraphs 0109, 0110). With respect to claims 12-18, the peptide is the GLP-1 agonist Arg³⁴, Lys²⁶(N-ε-(7-Glu(N-α-hexadecanoyl)))-GLP-1(7-37) or liraglutide (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claims 12-18, the peptide may also be GLP-1(7-37) (SEQ ID NO. 1), a GLP-1(7-37) analogue, a derivative of GLP-1(7-37), or a derivative of a GLP-1(7-37) analogue; Arg³⁴-GLP-1(7-37), Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-37), Val⁸Arg²²-GLP-1(7-36)-amide, Val⁸Arg²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Val⁸Trp.sup.19Glu²²-GLP-1(7-37), Val⁸Glu²²Val²⁵-GLP-1(7-37), Val⁸Tyr¹⁶Glu²²-GLP-1(7-37), Val⁸Trp¹⁶Glu²²-GLP-1(7-37), Val⁸Leu¹⁶Glu²²-GLP-1(7-37), Val⁸Tvr¹⁸Glu²²-GLP-1(7-37), Val⁸Glu²²His³⁷-GLP-1(7-37), Val⁸Glu²²Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Ile³³-GLP-1(7-37), Val⁸Glu²²Val²⁵Ile³³-GLP-1(7-37), Val⁸Trp¹⁶Glu²²Val²⁵-GLP-1(7-37), analogues thereof

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and derivatives of any of these; a GLP-1(7-36)-amide; a derivative of GLP-1(7-37) or a derivative of a GLP-1(7-37) analogue having a lysine residue and wherein a lipophilic substituent optionally via a spacer is attached to the epsilon amino group of said lysine, wherein said lipophilic substituent has from 8 to 40 carbon atoms, and wherein said spacer is present and is selected from an amino acid; a dipeptidyl aminopeptidase IV protected GLP-1 compound; a plasma stable GLP-1 compound; or desamino-His⁷, Arg²⁶, Lys³⁴(N^{ϵ}-(γ -Glu(N^{α}-hexadecanoyl)))-GLP-1(7-37), desamino-His⁷, Arg²⁶, Lys³⁴(N^ε-octanoyl)-GLP-1(7-37), Arg²⁶, Lys³⁴, Lys³⁸ (N^ε-(.omega.-carboxypentadecanoyl))-GLP-1(7-38), Arg^{26} ,34, $\operatorname{Lys}^{36}(\operatorname{N}^{\epsilon}$ -(γ -Glu($\operatorname{N}^{\alpha}$ -hexadecanoyl)))-GLP-1 (7-36) and Arg^{34} , $\operatorname{Lys}^{26}(\operatorname{N}^{\epsilon}-(\gamma-\operatorname{Glu}(\operatorname{N}^{\alpha}-\operatorname{hexadecanoyl})))$ -GLP-1 (7-37) (paragraphs 0038-0040, 0064-0066). With respect to claim 19, the formulation also comprises an insulin analogue, insulin Asp^{β 28} (aspart) (Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claim 19, the peptide may also be a human insulin analogue, Lys $^{\beta 28}$, Pro $^{\beta29}$ -human insulin, Lys $^{\beta3}$, Glu $^{\beta29}$ -human insulin, des(B30) human insulin or derivative of a human insulin analogue (paragraph 0060). With respect to claims 25-27, the peptide may also be exendin-4, an exendin-4 analogue, a derivative of exendin-4, a derivative of an exendin-4 analogue, exendin-3 or ZP-10 (HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-NH2) or an acylated exendin-4 analogue or a pegylated exendin-4 analogue (claims 31-39, paragraph 0068, 0069).

(HGEGTFTSDLSKQMEEEAVRLFIEWLKNGGPSSGAPPSKKKKKK-NH2) or an acylated exendin-4 analogue or a pegylated exendin-4 analogue (claims 31-39, paragraph 0068, 0069). With respect to claims 28 and 30-35, Knudsen *et al.* teach a method of making the formulation for injection (Example 1, paragraph 0099, Figures 6-9, paragraphs 0015-0018, examples 3 and 4, paragraphs 0109, 0110). With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the prior art of Knudsen *et al.* because the compositions and

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methods of making them taught by the prior art are identical to the claimed invention. The limitations regarding isotonicity agents previously utilized are mental steps.

- 9. The applied reference has a common assignee and inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.
- 10. In the response filed 04/02/2009, Applicant traverses the rejection on the grounds that the applied reference does not qualify as prior art under 35 U.S.C. 102(e). This argument is not persuasive.
- All references, whether the WIPO publication, the U.S. patent application publication or the U.S. patent of, or claiming the benefit of, an international application (IA) that was filed on or after November 29, 2000, designated the U.S., and was published in English under PCT Article 21(2) have the 35 U.S.C. 102(e) prior art date of the international filing date or earlier effective U.S. filing date. U.S. 2006/0287221 is a continuation of PCT/DK04/00788 which claims priority to US provisional application 60/519,590, filed November 13, 2003. PCT/DK/04/00788 was filed after November 29, 2000, designated the U.S., and was published in English. Therefore, the prior art date for U.S. 2006/0287221 is November 13, 2003 which is prior to the foreign priority date of the instant application November 20, 2003. Therefore, the rejection is maintained.

Claim Rejections - 35 USC § 103

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12. The rejection of claim 29 under 35 U.S.C. 103(a) as being obvious over Knudsen *et al*. (U.S. 2006/0287221) is withdrawn. Applicant has provided evidence in this file showing that the invention was owned by, or subject to an obligation of assignment to, the same entity as Knudsen *et al*. (U.S. 2006/0287221) at the time this invention was made. Therefore, Knudsen *et al*. (U.S. 2006/0287221) is disqualified as prior art under 35 U.S.C. 103(c).

13. The rejection of claims 1-18, 25-27 and 28-44 under 35 U.S.C. 103(a) as being obvious over Engelund *et al.* (U.S. 2006/0084605) is withdrawn. Applicant has provided evidence in this file showing that the invention was owned by, or subject to an obligation of assignment to, the same entity as Engelund *et al.* (U.S. 2006/0084605) at the time this invention was made. Therefore, Engelund *et al.* (U.S. 2006/0084605) is disqualified as prior art under 35 U.S.C. 103(c).

Allowable Subject Matter

14. Claim 29 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Double Patenting

15. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

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A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

16. Claims 1-19 and 28-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-8, 10, 12, 17-19, 30, 41-49 and 54-72 of copending Application No. 11/417,562. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/417,562 recites a formulation comprising a meal-related insulin, Arg³⁴, Lys²⁶(N-ε-(7-Glu(Nα-hexadecanoyl)))-GLP-1(7-37), a preservative and an isotonicity agent. Claim 58 of copending Application No. 11/417,562 states that the isotonicity agent may be selected from the group consisting of mannitol, sorbitol, propylene glycol and a mixture thereof. Claims 2 and 3 of copending Application No. 11/417,562 state that the pH of the formulation is from 7 to 9, or from 7 to 8. Thus, one of skill in the art could readily envisage a formulation comprising a peptide, propylene glycol wherein the pH is between 7 and 9 based on claims 1-3 and 58 of copending Application No. 11/417,562. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12-19 and 28-35. With respect to claims 8 and 9, claims 1 and 53 of copending Application No. 11/417,562 state that the formulation may also include a preservative selected from phenol and m-cresol. With respect to claims 10 and 11, claim 55 of copending Application No. 11/417,562 state that the formulation may also include a buffer. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent

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to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/417,562. This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

17. Claims 1-18 and 25-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-63 of copending Application No. 11/667,040. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/667,040 recites a formulation comprising an insulinotropic peptide and an isotonicity agent, wherein the pH of the formulation is from 7.0 to 8.5. Claim 25 of copending Application No. 11/667,040 states that the isotonicity agent may be selected from the group consisting of mannitol, glycerol, propylene glycol and a mixture thereof. Thus, one of skill in the art could readily envisage a formulation comprising an insulinotropic peptide and propylene glycol wherein the pH is between 7 and 8.5 based on claim 25 of copending Application No. 11/667,040. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12-19 and 28-35. With respect to claims 8 and 9, claim 26 of copending Application No. 11/667,040 state that the formulation may also include a preservative. With respect to claims 10 and 11, claims 22-24 of copending Application No. 11/667,040 state that the formulation may also include a buffer. With respect to claims 12-18, claim 31 of copending Application No. 11/667,040 states that the insulinotropic peptide is Arg³⁴, Lys²⁶(N-ε-(7-Glu(N-α-hexadecanoyl)))-GLP-1(7-37). With respect to claims 12-16, 18 and 25-27, claims 27-30 and 32-35 of copending Application 11/667,040 state the

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insulinotropic peptide may be one of numerous GLP-1 analogues or derivatives or exendin analogues or derivatives. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/667,040. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

18. Claims 1-12 and 28-44 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 3-11, 19-21, 29 and 48-54 of copending Application No. 11/244,497. Although the conflicting claims are not identical, they are not patentably distinct from each other. Claim 1 of copending Application No. 11/244,497 recites a formulation comprising a GLP-1 peptide, a buffer, a preservative and an isotonicity agent, wherein the pH of the formulation is 7.2-8. Claim 51 of copending Application No. 11/244,497 states that the isotonicity agent may be selected from the group consisting of sodium chloride, xylitol, mannitol, sorbitol, glycerol, glucose, maltose, sucrose, L-glycine, Lhistidine, arginine, lysine, isoleucine, aspartic acid, tryptophan, threonine, polyethylene glycol, propylene glycol and mixtures thereof. Thus, one of skill in the art could readily envisage a formulation comprising a GLP-1 peptide, a buffer, a preservative and propylene glycol wherein the pH is between 7.2 and 8 based on claims 1 and 51 of copending Application No. 11/244,497. The skilled artisan would have been motivated to optimize the concentration of the isotonicity agent through routine experimentation, satisfying all of the limitations of claims 1-7, 12 and 28-35. With respect to claims 8 and 9, claim 49 of copending Application No. 11/244,497 recites a

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list of possible preservatives. With respect to claims 10 and 11, claim 48 of copending Application No. 11/244,497 recites a list of possible buffers. With respect to claims 36-44, the effect of reducing deposits on production equipment is inherent to the compositions and methods of making them that are obvious over the claims of copending Application No. 11/244,497. This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

19. Applicant did not traverse the double patenting rejections in the response filed 04/02/2009. Therefore, the rejections are maintained.

Conclusion

- 20. No claims are allowed.
- 21. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-

9044. The examiner can normally be reached on Monday-Thursday, 8:30 A.M. to 4:30 P.M.

23. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

24. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cecilia Tsang/ Supervisory Patent Examiner, Art Unit 1654 /Christina Marchetti Bradley/ Examiner, Art Unit 1654

Please use the following customer number for all correspondence regarding this application.

23650 PATENT TRADEMARK OFFICE

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

RESPONSE TO FINAL OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed June 25, 2009, please amend the above-captioned application and consider the remarks herewith as follows:

AMENDMENTS TO THE CLAIMS are reflected in the Listing of Claims which begins on page 2 of this paper.

REMARKS begin on page 8 of this paper.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 2 of 10

AMENDMENTS TO THE CLAIMS:

The following Listing of Claims replaces all prior versions, and listings, of claims.

LISTING OF CLAIMS

- 1. (Currently Amended) A pharmaceutical formulation comprising at least one peptide GLP-1 agonist, a disodium phosphate dehydrate buffer and propylene glycol, wherein said propylene glycol is present in said formulation in a final concentration of from about 1 mg/ml to about 100 mg/ml and wherein said formulation has a pH of from about 7.0 to about 10.0.
- 2. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 3. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 4. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 5. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 9.5.
- 6. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 8.3.
- 7. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.3 to about 8.3.
- 8. (Original) The formulation according to claim 1, further comprising a preservative.
- 9. (Original) The formulation according to claim 8, wherein said preservative is present in a concentration from 0.1mg/ml to 20mg/ml.

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10. (Cancelled).

11. (Cancelled).

12. (Cancelled).

- 13. (Original) The formulation according to claim 1, wherein said GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.
- 14. (Original) The formulation according to claim 13, wherein said GLP-1 agonist is a derivative of GLP-1(7-36) or GLP-1(7-37) or a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, where said derivative has a lysine residue and a lipophilic substituent attached with or without a spacer to the epsilon amino group of said lysine.
- 15. (Original) The formulation according to claim 14, wherein said lipophilic substituent has from 8 to 40 carbon atoms.
- 16. (Original) The formulation according to claim 15, wherein said spacer is an amino acid.
- 17. (Original) The formulation according to claim 16, wherein said GLP-1 agonist is Arg^{34} , $Lys^{26}(N-\epsilon-(\gamma-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37)$.
- 18. (Original) The formulation according to claim 1, wherein said GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37); and

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Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38) analogues thereof and derivatives of any of these.

19. (Cancelled).

20. (Withdrawn) The formulation according to claim 19, wherein said peptide is an insulin

derivative in which said derivative contains a lysine residue to which a lipophilic substituent of at

least six carbon atoms is attached.

21. (Withdrawn) The formulation according to claim 20, wherein the insulin derivative is Lys

β29 (Nε-tetradecanoyl) des(B30) human insulin.

22. (Withdrawn) The formulation according to claim 20, wherein said insulin derivative is

 N^{LB29} -octanoyl insulin.

23. (Withdrawn) The formulation according to claim 19, wherein said peptide is a 30/70 mixture

of insulin aspart and insulin aspart protamine.

24. (Withdrawn) The formulation according to claim 1, wherein said peptide is human growth

hormone (hGH) or Met-hGH.

25. (Cancelled).

26. (Cancelled).

27. (Cancelled).

28. (Currently Amended) A method of preparing a peptide formulation suitable for use in an

injection device, said method comprising preparing a formulation containing peptide and propylene

glycol and optionally a buffer and a preservative, wherein said propylene glycol is present in a

concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from

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about 7.0 to about 10.0, and wherein said peptide, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:

- a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
- b) preparing a second solution by dissolving the peptide in water;
- c) mixing the first and second solutions; and adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.
- 29. (Cancelled).
- 30. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 31. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 32. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 33. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 9.5.
- 34. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 8.0.
- 35. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.2 to about 8.0.
- 36. (Currently Amended) A method for reducing deposits on production equipment during production of a peptide GLP-1 agonist formulation, said method comprising replacing the isotonicity

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 6 of 10

agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dehydrate

buffer.

37. (Original) The method according to claim 36, wherein the reduction in deposits on the

production equipment during production by the propylene glycol-containing formulation relative to

that observed for the formulation containing the previously utilized isotonicity agent is measured by

a simulated filling experiment.

38. (Original) The method according to claim 36, wherein the isotonicity agent to be replaced by

propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose

monohydrate, arginin, myo-inositol and dimethylsulfon.

39. (Currently Amended) A method for reducing deposits in the final product during production

of a peptide GLP-1 agonist formulation, said method comprising replacing the isotonicity agent

previously utilized in said formulation with propylene glycol at a concentration of between 1-100

mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dehydrate

buffer.

40. (Original) The method according to claim 39, wherein the reduction in deposits in the final

product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-

containing formulation that must be discarded due to deposits relative to number of vials and/or

cartridges of the formulation containing the previously utilized isotonicity agent that must be

discarded due to deposits.

41. (Original) The method according to claim 39, wherein the isotonicity agent to be replaced by

propylene glycol is selected from the group consisting of sorbitol, glycerol, sucrose, glycine,

mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.

42. (Currently Amended) A method for reducing the clogging of injection devices by a peptide

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 7 of 10

<u>GLP-1</u> agonist formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dehydrate buffer.

- 43. (Original) The method according to claim 42, wherein the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.
- 44. (Original) The method according to claim 42, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 8 of 10

REMARKS

Upon entry of the present amendment, claims 1-9, 13-18, 28 and 30-44 are pending. Claims 10-12, 19, 25-27 and 29 have been cancelled. Claims 20-24 have been withdrawn. Claims 1, 36, 39 and 42 have been amended to now recite a pharmaceutical formulation comprising at least one GLP-1 agonist, propylene glycol and a disodium phosphate dehydrate buffer. Basis may e.g. be found in the claims as originally filed (i.e. claims 10, 11 and 12) and in the Specification on pg. 5, lines 18-19 and pg. 13, lines 25, 26-27 and 32. Claim 28 has been amended to recite the limitations of claim 29. The present amendment adds no new matter.

THE REJECTION UNDER 35 U.S.C. §102(E)

The Office Action rejected claims 1-19, 25-28 and 30-44 under 35 U.S.C. §102(e) as being anticipated by Knudsen et al. (U.S. 2006/0287221; "**Knudsen**").

Applicants have amended claims 1, 36, 39 and 42 to recite a pharmaceutical formulation comprising at least one GLP-1 agonist, propylene glycol and a disodium phosphate dehydrate buffer. Basis may e.g. be found in the claims as originally filed (i.e. claims 10, 11 and 12) and in the Specification on pg. 5, lines 18-19 and pg. 13, lines 25, 26-27 and 32. Applicants note that **Knudsen** is related to pharmaceutical compositions comprising a mixture of an insulin peptide and a GLP-1 peptide; compositions described include those comprising insulin aspart, liraglutide, propylene glycol and phenol. However, nowhere is a pharmaceutical formulation mentioned which comprises at least one GLP-1 agonist, about 1 mg/ml to about 100 mg/ml propylene glycol and a disodium phosphate dehydrate buffer, wherein said formulation has a pH of from about 7.0 to about 10.0. For example, neither the Specification, nor any of the examples in **Knudsen** mention a composition comprising a disodium phosphate dehydrate buffer, a method of preparing such or method of reducing deposits or clogging. Accordingly, Applicants believe that the present rejection is now moot.

THE NON-STATUTORY OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTIONS

The Examiner has made the following provisional rejections on the grounds of nonstatutory obviousness-type double patenting:

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 9 of 10

1) Claims 1-19 and 28-44 are rejected as being unpatentable over claims 1-8, 10, 12, 17-19, 30, 41-49 and 54-72 of copending US Patent Application No. 11/417,562;

- 2) Claims 1-18 and 25-44 are rejected as being unpatentable over claims 1-63 of copending US Patent Application No. 11/667,040; and
- 3) Claims 1-12 and 28-44 are rejected as being unpatentable over claims 1, 3-11, 19-21 29 and 48-54 of copending US Patent Application No. 11/244,497.

Applicants note that upon the issuance of any the above referenced applications, Applicants will review the need for Terminal Disclaimers in the remaining, pending applications upon notification of allowable subject matter in the same.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 10 of 10

CONCLUSION

In view of the above, Applicant(s) submit(s) that the application is now in condition for allowance and issue and respectfully request(s) early action to that end. Applicant(s) believe(s) that no additional fees are due. However, should any fees be due, the Commissioner is hereby authorized to charge any fees in connection with this application and to credit any overpayments to Deposit Account No. 14-1447. The undersigned invites the Examiner to contact him/her by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

Date: November 18, 2009 /Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883 Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

NOTICE OF APPEAL TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

<u>Tina Bjeldskov Pedersen, Claude Bonde, and Dorthe Kot Engelund</u> hereby appeal(s) to the Board of Patent Appeals and Interferences from the decision(s) dated

June 25, 2009 of the Primary Examiner finally rejecting claims 1-19, 25-28 and 30-44.

Please charge the required fee, currently \$540.00, to Novo Nordisk Inc., Deposit Account No. 14-1447. Please charge any additional fees, should they be required, to Deposit Account No. 14-1447.

Respectfully submitted,

Date: November 18, 2009 /Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REJECTION OVER A PENDING "REFERENCE" APPLICATION	6683.204-US					
In re Application of: Tina Bjeldskov Pedersen et al.						
Application No.: 11/435,977						
Filed: May 17, 2006						
For: Propylene Glycol-Containing Peptide Formulations which are Optimal for Production and for Use in Inject	tion Devices					
except as provided below, the terminal part of the statutory term of any patent granted on the instant application the expiration date of the full statutory term of any patent granted on pending reference Application Number on May 3, 2007 , as such term is defined in 35 U.S.C. 154 and 173, and as the term of any papplication may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending patent on the pending some strength of the provided by any terminal disclaimer filed prior to the grant of any patent on the pending some strength on the instant application shall be enforceable only for and during some strength or the provided by the strength of th	except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number 11/667,040 , filed on May 3, 2007 , as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is					
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.						
Check either box 1 or 2 below, if appropriate.						
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, gove etc.), the undersigned is empowered to act on behalf of the business/organization.	rnment agency,					
belief are believed to be true; and further that these statements were made with the knowledge that willful	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may ieopardize the validity of the application or any patent issued thereon					
2. The undersigned is an attorney or agent of record. Reg. No						
/Shelby J. Walker, Reg. No. 45,192/	November 25, 2009					
Signature	Date					
Shelby J. Walker, Reg. No. 45,192						
Typed or printed name						
	(609) 987-5800					
	Telephone Number					
Terminal disclaimer fee under 37 CFR 1.20(d) is included.						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.						

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

POWER OF ATTORNEY,

REVOCATION OF PREVIOUSLY GRANTED POWER OF ATTORNEY, AND AUTHORIZATION TO MAKE SUBMISSIONS REGARDING OWNERSHIP

Power of Attorney:

Novo Nordisk A/S (hereinafter "Novo Nordisk"), hereby appoints and acknowledges its appointment of the attorneys of the Novo Nordisk Inc. Intellectual Property Department (the latter including the attorneys and agents associated with U.S. Patent and Trademark Office Customer Number 23650) and any successor entities or appointed agents thereof (hereinafter "NNI Attorneys") to act for Novo Nordisk in all proceedings before the U.S. Patent and Trademark Office ("USPTO").

Such proceedings shall include, without limitation, filing, prosecution, withdrawal, maintenance, and abandonment of such U.S. patent applications (and International (PCT) Patent Applications filed with the USPTO), as well as the initiation and handling of appeal, reexamination, reissue, interference, cancellation, correction, or similar proceedings involving U.S. patents and patent applications and the transaction of all other business associated with such patent applications and patents in the U.S. Patent and Trademark Office. By virtue of this appointment, Novo Nordisk authorizes the NNI Attorneys to receive all communications, official actions, and decisions, of the U.S. Patent and Trademark Office and to lodge and withdraw any legal measures deemed fit by the NNI Attorneys with respect to such patents and patent applications.

Revocation of Previously Granted Power of Attorney:

Immediately upon the filing of this document with USPTO in connection with any patent or patent application, all previously granted powers of attorney in connection with the patent or patent application will be revoked.

Authorization To Make Submissions Regarding Ownership:

Novo Nordisk further hereby authorizes and empowers the NNI attorneys to make any appropriate submissions regarding Novo Nordisk's ownership interest in any such patent applications or patents on behalf of Novo Nordisk A/S with the USPTO in accordance with 37 C.F.R. § 3.73(b) (or any substantially similar successor thereof) and having the application number(s) listed thereon.

The individual whose signature and title is supplied below is authorized to grant this power of attorney and authorization to the NNI Attorneys, and behalf of Novo Nordisk.

Vice President - Corporate Patents

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner: _Tina Bjeldskov Pedersen et al.	
Application No./Patent No.: 11/435,977 Filed/Issue Date: May 17, 2006	
Entitled: Propylene Glycol-Containing Peptide Formulations which are Optimal for Production and f	or Use in Injection Devices
Novo Nordisk A/S (Name of Assignee) , a corporation (Type of Assignee, e.g., corporation, parts)	nership, university, government agency, etc.)
states that it is: 1. ✓ the assignee of the entire right, title, and interest; or	
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%)	
in the patent application/patent identified above by virtue of either:	
A. An assignment from the inventor(s) of the patent application/patent identified above in the United States Patent and Trademark Office at Reel 018240 , Frame 08 thereof is attached.	. The assignment was recorded 330 , or for which a copy
OR B. A chain of title from the inventor(s), of the patent application/patent identified above,	to the current assignee as follows:
1. From: To: To: The document was recorded in the United States Patent and Trademark Office Reel, Frame, or for which a copy there	of is attached.
The document was recorded in the United States Patent and Trademark Offi Reel, or for which a copy the	
3. From: To:	
The document was recorded in the United States Patent and Trademark Offi Reel, Frame, or for which a copy the	
Additional documents in the chain of title are listed on a supplemental sheet.	
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title fr assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11	
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) mu Division in accordance with 37 CFR Part 3, to record the assignment in the recor 302.08]	
The undersigned (whose title is supplied below) is authorized to act on behalf of the assig	nee.
/Shelby J. Walker, Reg. No. 45,192/	November 25, 2009
Signature	Date
Shelby J. Walker, Reg. No. 45.192	(609) 987-5800
Printed or Typed Name	Telephone Number
IP Counsel Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Please use the following customer number for all correspondence regarding this application.

23650 PATENT TRADEMARK OFFICE

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

SUPPLEMENTAL AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please amend the above-captioned application and consider the remarks herewith as follows:

AMENDMENTS TO THE CLAIMS are reflected in the Listing of Claims which begins on page 2 of this paper.

REMARKS begin on page 7 of this paper.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 2 of 7

AMENDMENTS TO THE CLAIMS:

The following Listing of Claims replaces all prior versions, and listings, of claims.

LISTING OF CLAIMS

- 1. (Currently Amended) A pharmaceutical formulation comprising at least one peptide GLP-1 agonist, a disodium phosphate dihydrate buffer and propylene glycol, wherein said propylene glycol is present in said formulation in a final concentration of from about 1 mg/ml to about 100 mg/ml and wherein said formulation has a pH of from about 7.0 to about 10.0.
- 2. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 3. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 4. (Original) The formulation according to claim 1, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 5. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 9.5.
- 6. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.0 to about 8.3.
- 7. (Original) The formulation according to claim 1, wherein the pH of said formulation is about 7.3 to about 8.3.
- 8. (Original) The formulation according to claim 1, further comprising a preservative.
- 9. (Original) The formulation according to claim 8, wherein said preservative is present in a concentration from 0.1mg/ml to 20mg/ml.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 3 of 7

10. (Cancelled).

11. (Cancelled).

12. (Cancelled).

- 13. (Original) The formulation according to claim 1, wherein said GLP-1 agonist is selected from the group consisting of GLP-1(7-36)-amide, GLP-1(7-37), a GLP-1(7-36)-amide analogue, a GLP-1(7-37) analogue, or a derivative of any of these.
- 14. (Original) The formulation according to claim 13, wherein said GLP-1 agonist is a derivative of GLP-1(7-36) or GLP-1(7-37) or a GLP-1(7-36)-amide analogue or a GLP-1(7-37) analogue, where said derivative has a lysine residue and a lipophilic substituent attached with or without a spacer to the epsilon amino group of said lysine.
- 15. (Original) The formulation according to claim 14, wherein said lipophilic substituent has from 8 to 40 carbon atoms.
- 16. (Original) The formulation according to claim 15, wherein said spacer is an amino acid.
- 17. (Original) The formulation according to claim 16, wherein said GLP-1 agonist is Arg^{34} , $Lys^{26}(N-\epsilon-(\gamma-Glu(N-\alpha-hexadecanoyl)))-GLP-1(7-37)$.
- 18. (Original) The formulation according to claim 1, wherein said GLP-1 agonist is selected from the group consisting of Gly⁸-GLP-1(7-36)-amide, Gly⁸-GLP-1(7-37), Val⁸-GLP-1(7-36)-amide, Val⁸-GLP-1(7-37), Val⁸Asp²²-GLP-1(7-36)-amide, Val⁸Asp²²-GLP-1(7-37), Val⁸Glu²²-GLP-1(7-36)-amide, Val⁸Glu²²-GLP-1(7-37), Val⁸Lys²²-GLP-1(7-36)-amide, Val⁸Lys²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Val⁸His²²-GLP-1(7-36)-amide, Val⁸His²²-GLP-1(7-37), Arg34GLP-1(7-37); Arg26,34,Lys36GLP-1(7-36); Arg26GLP-1(7-37); and

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 4 of 7

Gly8,Arg26,34,Glu37,Lys38GLP-1(7-38) analogues thereof and derivatives of any of these.

19-27. (Cancelled).

- 28. (Currently Amended) A method of preparing a peptide formulation suitable for use in an injection device, said method comprising preparing a formulation containing peptide and propylene glycol and optionally a buffer and a preservative, wherein said propylene glycol is present in a concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from about 7.0 to about 10.0, and wherein said peptide, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:
 - a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;
 - b) preparing a second solution by dissolving the peptide in water;
 - c) mixing the first and second solutions; and

adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.

- 29. (Cancelled).
- 30. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 1 mg/ml to about 50 mg/ml.
- 31. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 5 mg/ml to about 25 mg/ml.
- 32. (Original) The method according to claim 28, wherein the concentration of propylene glycol is from about 8 mg/ml to about 16 mg/ml.
- 33. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 9.5.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 5 of 7

34. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.0 to about 8.0.

- 35. (Original) The method according to claim 28, wherein the pH of said formulation is about 7.2 to about 8.0.
- 36. (Currently Amended) A method for reducing deposits on production equipment during production of a peptide GLP-1 agonist formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dihydrate buffer.
- 37. (Original) The method according to claim 36, wherein the reduction in deposits on the production equipment during production by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured by a simulated filling experiment.
- 38. (Original) The method according to claim 36, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
- 39. (Currently Amended) A method for reducing deposits in the final product during production of a peptide GLP-1 agonist formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dihydrate buffer.
- 40. (Original) The method according to claim 39, wherein the reduction in deposits in the final product is measured by a reduction in the number of vials and/or cartridges of the propylene glycol-containing formulation that must be discarded due to deposits relative to number of vials and/or

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 6 of 7

cartridges of the formulation containing the previously utilized isotonicity agent that must be discarded due to deposits.

- 41. (Original) The method according to claim 39, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of sorbitol, glycerol, sucrose, glycine, mannitol, lactose monohydrate, arginin, myo-inositol and dimethylsulfon.
- 42. (Currently Amended) A method for reducing the clogging of injection devices by a peptide GLP-1 agonist formulation, said method comprising replacing the isotonicity agent previously utilized in said formulation with propylene glycol at a concentration of between 1-100 mg/ml, and wherein said GLP-1 agonist formulation comprises a disodium phosphate dihydrate buffer.
- 43. (Original) The method according to claim 42, wherein the reduction in clogging of the injection device by the propylene glycol-containing formulation relative to that observed for the formulation containing the previously utilized isotonicity agent is measured in a simulated in use study.
- 44. (Original) The method according to claim 42, wherein the isotonicity agent to be replaced by propylene glycol is selected from the group consisting of inositol, maltose, glycine, lactose and mannitol.

Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Response to Office Action of June 25, 2009 Page 7 of 7

REMARKS

Upon entry of the present amendment, claims 1-9, 13-18, 28 and 30-44 are pending. Claims 10 - 12, 19 - 27 and 29 have been cancelled. Claims 1, 28, 36, 39 and 42 have been amended to correct a grammatical error. The present amendment adds no new matter.

THE NON-STATUTORY OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTIONS

The Examiner has made the following provisional rejections on the grounds of nonstatutory obviousness-type double patenting: 1) Claims 1-18 and 25-44 are rejected as being unpatentable over claims 1-63 of copending US Patent Application No. 11/667,040; and 2) Claims 1-12 and 28-44 are rejected as being unpatentable over claims 1, 3-11, 19-21, 29 and 48-54 of copending US Patent Application No. 11/244,497.

Applicants have filed all necessary Terminal Disclaimers herewith. Accordingly, Applicants believe that the present rejection is now moot.

CONCLUSION

In view of the above, Applicant(s) submit(s) that the application is now in condition for allowance and issue and respectfully request(s) early action to that end. Applicant(s) believe(s) that no additional fees are due. However, should any fees be due, the Commissioner is hereby authorized to charge any fees in connection with this application and to credit any overpayments to Deposit Account No. 14-1447. The undersigned invites the Examiner to contact him/her by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

/Shelby J. Walker, Reg. No. 45,192/ Date: November 25, 2009

> Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650

(609) 987-4883

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REJECTION OVER A PENDING "REFERENCE" APPLICATION	6683.204-US				
In re Application of: Tina Bjeldskov Pedersen et al.					
Application No.: 11/435,977					
Filed: May 17, 2006					
For: Propylene Glycol-Containing Peptide Formulations which are Optimal for Production and for Use in Inject	tion Devices				
The owner*, Novo Nordisk A/S, of					
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.					
Check either box 1 or 2 below, if appropriate.					
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, gove etc.), the undersigned is empowered to act on behalf of the business/organization.	rnment agency,				
belief are believed to be true; and further that these statements were made with the knowledge that willful	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may ieopardize the validity of the application or any patent issued thereon				
2. The undersigned is an attorney or agent of record. Reg. No					
/Shelby J. Walker, Reg. No. 45,192/	November 25, 2009				
Signature	Date				
Shelby J. Walker, Reg. No. 45,192					
Typed or printed name					
	(609) 987-5800				
	Telephone Number				
Terminal disclaimer fee under 37 CFR 1.20(d) is included.					
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.					

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application Number		Application/Co	ntrol No.	Re	pplicant(s)/Patent ι eexamination EDERSEN ET AL	
Document Code - DISQ			Internal D	000	cument – DC	NOT MAIL
TERMINAL DISCLAIMER	⊵	☑ APPROVE	ED		☐ DISAPPI	ROVED
Date Filed : 11/25/09		This patent is subject to a Terminal Disclaimer				
	_					
Approved/Disapproved b	y:	1				
Janice Ford						
two terminals approved						

U.S. Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802
NOVO NORDI	7590 12/03/200 ISK, INC.	9	EXAM	IINER
INTELLECTU.	AL PROPERTY DEPA	ARTMENT	BRADLEY,	CHRISTINA
100 COLLEGE ROAD WEST PRINCETON, NJ 08540			ART UNIT	PAPER NUMBER
			1654	
			NOTIFICATION DATE	DELIVERY MODE
			12/03/2009	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

nnipatent@novonordisk.com KSHL@novonordisk.com KISW@novonordisk.com

	Application No.	Applicant(s)			
Examiner-Initiated Interview Summary	11/435,977	PEDERSEN ET AL.			
Examiner-indated interview Summary	Examiner	Art Unit			
	CHRISTINA BRADLEY	1654			
All Participants:	Status of Application:				
(1) <u>CHRISTINA BRADLEY</u> .	(3)				
(2) <u>Shelby Walker</u> .	(4)				
Date of Interview: 24 November 2009	Time:				
Type of Interview: ☐ Telephonic ☐ Video Conference ☐ Personal (Copy given to: ☐ Applicant ☐ Applicant Exhibit Shown or Demonstrated: ☐ Yes ☐ No If Yes, provide a brief description:	nt's representative)				
Part I.					
Rejection(s) discussed:					
Claims discussed: Prior art documents discussed:					
Part II.					
SUBSTANCE OF INTERVIEW DESCRIBING THE GENEF The Examiner contacted Applicant to ask if the amendment to cla intended to be "a disodium dihydrate buffer," the former being ne Walker confirmed that the dihydrate was intended and that dehyd	im 1 to include "a disodium phos w matter and the latter being sup	phate dehydrate buffer" was ported in the specification. Ms.			
amending the claim to dihydrate would overcome the pending rej patenting rejections would be maintained. Applicant agreed to fill withdrawn claims and to file terminal disclaimers. The Examiner the supplemental amendment is filed.	ection under 35 U.S.C. § 102(e) t e a supplemental amendment to	out that the non-statutory double correct the claims and cancel			
Part III.					
 ☐ It is not necessary for applicant to provide a separate redirectly resulted in the allowance of the application. The of the interview in the Notice of Allowability. ☐ It is not necessary for applicant to provide a separate redid not result in resolution of all issues. A brief summary 	e examiner will provide a writto ecord of the substance of the	en summary of the substance interview, since the interview			
/Christina Marchetti Bradley/ Examiner, Art Unit 1654 (A	pplicant/Applicant's Representat	ive Signature – if appropriate)			

U.S. Patent and Trademark Office PTOL-413B (04-03)

Examiner Initiated Interview Summary

Paper No. 20091124

Receipt date: 11/25/2009

OK TO ENTER: /C.B./

Please use the following customer number for all correspondence regarding this application.

23650 PATENT TRADEMARK OFFICE

Attorney Docket No.: 6683.204-US **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

SUPPLEMENTAL AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please amend the above-captioned application and consider the remarks herewith as follows:

AMENDMENTS TO THE CLAIMS are reflected in the Listing of Claims which begins on page 2 of this paper.

REMARKS begin on page 7 of this paper.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23650

7590

12/16/2009

NOVO NORDISK, INC. INTELLECTUAL PROPERTY DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540 EXAMINER

BRADLEY, CHRISTINA

ART UNIT PAPER NUMBER

1654

DATE MAILED: 12/16/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802

TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/16/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee potifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 23650 7590 12/16/2009 Certificate of Mailing or Transmission NOVO NORDISK, INC. I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. INTELLECTUAL PROPERTY DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540 (Depositor's name (Signature APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 11/435,977 05/17/2006 6683.204-US 7802 Tina Bjeldskov Pedersen TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 03/16/2010 EXAMINER ART UNIT CLASS-SUBCLASS BRADLEY, CHRISTINA 1654 514-002000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 🗖 Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this form). Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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OMB 065



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. O. Box 1450 Alexandria, Virginia 22313-1450

FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
05/17/2006 Tina Bjeldskov Pedersen		6683.204-US	7802
12/16/2009		EXAM	INER
, INC.		BRADLEY,	CHRISTINA
	MENT	ART UNIT	PAPER NUMBER
AD WEST 3540		1654 DATE MAILED: 12/16/200	0
	05/17/2006 12/16/2009 , INC. ROPERTY DEPARTAD WEST	05/17/2006 Tina Bjeldskov Pedersen 12/16/2009 , INC. ROPERTY DEPARTMENT AD WEST	05/17/2006 Tina Bjeldskov Pedersen 6683.204-US 12/16/2009 EXAM , INC. BRADLEY, ROPERTY DEPARTMENT AD WEST 540

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 250 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 250 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
Notice of Allowability	11/435,977 Examiner	PEDERSEN ET AL. Art Unit	
	CHRISTINA BRADLEY	1654	
The MAILING DATE of this communication apperature. All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet with (OR REMAINS) CLOSED in the or other appropriate communiting the communiting the communiting the communities of the cover sheet with the cover sheet	nis application. If not included cation will be mailed in due course. THIS	e
2. ☑ The allowed claim(s) is/are <u>1-9,13-18,28 and 30-44</u> .			
3. Acknowledgment is made of a claim for foreign priority unal All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	e been received. be been received in Application	No	
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the requirements	
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 			
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") mus (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in to the deponsion of the depon	son's Patent Drawing Review (. s Amendment / Comment or in .84(c)) should be written on the he header according to 37 CFR sit of BIOLOGICAL MATER	the Office action of drawings in the front (not the back) of 1.121(d). RIAL must be submitted. Note the	
Attachment(s)			
1. Notice of References Cited (PTO-892)		mal Patent Application	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Sum Paper No./M	nmary (PTO-413), ail Date	
Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date		mendment/Comment	
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. ⊠ Examiner's St 9. □ Other	atement of Reasons for Allowance	
/Anish Gupta/ Primary Examiner, Art Unit 1654			

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20091201

Application/Control Number: 11/435,977 Page 2

Art Unit: 1654

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR
 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Shelby Walker on December 2, 2009.

The application has been amended as follows:

In claim 18, lines 5-6, delete "Arg34GLP- 1(7-37); Arg26,34,Lys36GLP- 1 (7-36); Arg26GLP- 1(7-37); and Gly8,Arg26,34,Glu37,Lys38GLP- 1(7-38) analogues thereof and derivatives of any of these." and insert --Arg³⁴GLP- 1(7-37), Arg^{26,34}Lys³⁶GLP- 1 (7-36), Arg²⁶GLP-I(7-37), and Gly⁸Arg^{26,34}Glu³⁷Lys³⁸GLP- 1(7-38) and derivatives of any of these." therefor.

28. (Currently Amended) A method of preparing a <u>GLP-1 agonistpeptide</u>-formulation suitable for use in an injection device, said method comprising preparing a formulation containing <u>a</u> <u>GLP-1 agonist, peptide and propylene glycol, a disodium phosphate dihydrate buffer, and optionally a buffer and a preservative, wherein said propylene glycol is present in a concentration from about 1 mg/ml to about 100 mg/ml, and wherein said formulation has a pH from about 7.0 to about 10.0, and wherein said <u>GLP-1 agonistpeptide</u>, said propylene glycol and said buffer and preservative are mixed together to produce said formulation as follows:</u>

Application/Control Number: 11/435,977 Page 3

Art Unit: 1654

a) preparing a first solution by dissolving preservative, propylene glycol and buffer in water;

- b) preparing a second solution by dissolving the GLP-1 agonist peptide in water;
- c) mixing the first and second solutions; and

adjusting the pH of the mixture in c) to a pH of from about 7.0 to about 10.0.

2. The following is an examiner's statement of reasons for allowance: The rejection of claims 1-19, 22-28 and 30-44 under 35 U.S.C. 102(e) as being anticipated by Knudsen et al. (US 2006/0287221) is overcome by the amendment filed 11/25/2009. Knudsen et al. teaches phosphate buffer which is generic to the species disodium phosphate dihydrate buffer now claimed. Therefore, the claims are not anticipated by Knudsen et al. Furthermore, the reference is disqualified as prior art under 35 U.S.C. 103(c). The non-statutory double patenting rejections over 11/667,040 and 11/244,497 are overcome by the terminal disclaimers filed 11/25/2009. The non-statutory double patenting rejection over 11/417,562 is moot because the case is now abandoned.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-9044. The examiner can normally be reached on Monday-Thursday, 8:30 A.M. to 4:30 P.M.

Application/Control Number: 11/435,977 Page 4

Art Unit: 1654

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Anish Gupta/ Primary Examiner, Art Unit 1654

/Christina Marchetti Bradley/ Examiner, Art Unit 1654/

cmb

Receipt date: 07/17/2006

11435977 - GAU: 1654

Sheet 1 of 1 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Atty. Docket No. 6683.204-US Serial No. 11/435,977 PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE Applicant Pedersen et al. Use several sheets if necessary) Group 1646 Filing Date May 17, 2006 U.S. PATENT DOCUMENTS DOCUMENT FILING DATE NUMBER DATE NAME SUBCLASS IF APPROPRIATE 12/21/00 2002/0151467 Leung, F.K. 5206219 11/25/91 Applied Analytical Industries, INC ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. 1/C.B./ FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION COUNTRY NUMBER DATE CLASS SUBCLASS YES NO 2005/046716 -11/12/04 <u>5/26/2005</u> WO , -05/07/92 93/23010 11/25/992 WO ı 95/13825 -10/24/94 5/2d/995WO , 99/16417 10/01/97 18/1999 WO 03/013589 -05/20/02 2 20 200340 05/20/02 1424077 EP 95/22560 02/21/95 WO , 08/23/94 3/2/1995 WO 95/05848 1/15 02/067989 01/08/02 WO 92/19260 -05/07/91 11/12 1992WO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Singh, S et al ~ Aaps Pharmscitech - 2003 - Vol. 4 - Part 3-Pgs.334-342 EXAMINER /Christina Bradley/ DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.

Receipt date: 07/17/2006

11435977 - GAU: 1654

Sheet 1 of 1 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Atty. Docket No. 6683.204-US Serial No. 11/435,977 PATENT AND TRADEMARK OFFICE (Rev. 2-32) INFORMATION DISCLOSURE Applicant Pedersen et al. STATEMENT BY APPLICANT Use several sheets if necessary) Filing Date May 17, 2006 Group 1646 U.S. PATENT DOCUMENTS DOCUMENT NUMBER FILING DATE SUBCLASS IF APPROPRIATE CLASS DATE NAME 2002/0151467 12/21/00 Leung, F.K. 10/17/2002 5206219 11/25/91 Applied Analytical Industries, INC ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH: 1/C.B./ FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION NUMBER DATE COUNTRY CLASS SUBCLASS 2005/046716 11/12/04 WO 93/23010 05/07/92 WO 10/24/94 95/13825 WO 99/16417 10/01/97 WO 03/013589 05/20/02 WO 1424077 05/20/02 ВP 95/22560 02/21/95 WO 95/05848 08/23/94 WO 02/067989 01/08/02 WO 92/19260 05/07/91 WO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Singh, S et al - Aaps Pharmscitech - 2003 - Vol. 4 - Part 3-Pgs.334-342 EXAMINER /Christina Bradley/ DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FO		D EXAMINATION OF COMMENTS OF C	N(RCE)TRANSMITTAI -Web)	<u> </u>			
Application Number	11/435,977	Filing Date	2006-05-17	Docket Number (if applicable)	6683.204-US	Art Unit	1654		
First Named Inventor	Pedersen			Examiner Name	Bradley, Christina	,			
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV								
	SUBMISSION REQUIRED UNDER 37 CFR 1.114								
in which they	were filed unless a	applicant ins		applicant does not wi	nents enclosed with the RCE wi sh to have any previously filed u				
	y submitted. If a fir on even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a		
☐ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	on				
Ott	her 								
✓ Enclosed									
☐ Ar	mendment/Reply								
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				requested under 37 (ler 37 CFR 1.17(i) red	CFR 1.103(c) for a period of moquired)	onths —			
Other									
				FEES					
	ector is hereby aut			FR 1.114 when the F ment of fees, or cred	RCE is filed. it any overpayments, to				
		SIGNATUR	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED				
	Practitioner Signa ant Signature	ature							

Approved for use through 07/31/2012. OMB 0651-0031

Doc description: Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner						
Signature	/Shelby J. Walker, Reg. No. 45,192/	Date (YYYY-MM-DD)	2010-03-15				
Name	Shelby J. Walker, Reg. No. 45,192	Registration Number	45192				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: 6683.204-US

U.S. Application No. 11/435,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Conf. No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, and supplemental to the Information Disclosure Statement filed January 11, 2007, Applicants hereby submit the following Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98, and in conformance with MPEP 609 and 37 C.F.R. § 1.98(d).

Applicants hereby make of record the documents cited in the following commonly owned U.S. Application which are not already of record in the present application:

• U.S. App. No. 12/184,531, filed August 1, 2008 by Juul-Mortensen et al. (published as 2008/0318865 on December 25, 2008)

Applicant(s) submit herewith the Office Action(s) listed on the accompanying Form(s) PTO-1449. The Examiner is encouraged to review any responses in the herein mentioned applications, and Applicant(s) assume(s) that due to the ease of review on PAIR by the Examiner, these responses need not be submitted. Since prosecution may be ongoing in the herein mentioned application(s), Applicant(s) assume(s) that the Examiner will continue to evaluate the application(s) as needed.

1

The Examiner is requested to initial the attached Form PTO-1449, and to return the initialed copy with the next communication from the U.S. Patent and Trademark Office.

Applicants hereby submit one Form PTO-1449 sheet listing the above-noted documents for consideration by the Examiner in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98:

				Application No.	11/435,977	
		FORMATION DISCLO		Filing Date	May 17, 2006	
	ST	ATEMENT BY APPL	ICANT	Applicant	Pedersen et al.	
				Art Unit	1654	
				Examiner Name:	Bradley, Christina	
Sheet	1	0	f 1	Atty. Docket No.	6683.204-US	
					0003.204-03	
				DOCUMENTS		
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(if known)	Issue/Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passage Relevant Figures Appear	es or
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EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(ff known)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т
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			NON PATENT LITER	ATURE DOCUMENTS		
Examiner Initials	Cite No.	symposium, catalog, etc.), dat	e, pages(s), volume-issue nur	nber(s), publisher, city and/or		T
		l l			S. Application No. 12/184,531	
		filed August 1, 200	os by Moriensen ei	aı.		╂
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EXAMINER		ı		DATE	1	
SIGNATURE				CONSIDERED		

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

REMARKS

Pursuant to 37 C.F.R. § 1.98 copies of the U.S. patent documents (patents, application

publications, and applications) are not being submitted herewith. Of course, should any of

the documents not be readily available to the Examiner, the Examiner is requested to contact

the undersigned and additional copies will be submitted.

Applicants note that Copyrighted material submitted with this Information Disclosure

Statement may be delivered to the Government under license from the Copyright Clearance

Center, Inc., or other rights holders – no further reproduction of such works is permitted.

Inclusion of any reference is not intended to constitute an admission that the reference

is "prior art" unless it is specifically designated as such.

This Information Disclosure Statement is being filed within three months of

the filing date of a national application or date of entry into the national stage of an

international application or before the mailing date of a first Office action on the merits, or

before the mailing date of a first Office action after the filing of a request for continued

examination as per the requirements of 37 CFR § 1.97(b). Therefore, no fee is due.

However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit

Account No. 14-1447.

Applicants respectfully request that any references or other information listed above

be made of record in this patent application. The Examiner is invited to call the undersigned

if there are any questions concerning this submission or application.

Respectfully submitted,

Date: March 16, 2010

/Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192

Novo Nordisk Inc.

Customer Number 23650

(609) 987-4883

4

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.usplo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23650

7590

04/06/2010

NOVO NORDISK, INC. INTELLECTUAL PROPERTY DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540 EXAMINER

BRADLEY, CHRISTINA

ART UNIT PAPER NUMBER

1654

DATE MAILED: 04/06/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802

TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	07/06/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

indicated unless correct maintenance fee notifica	ted below or directed otl	ng the Patent, advance of herwise in Block 1, by (a	a) specifying a new corre	spondence address; and/or	r (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for		
CURRENT CORRESPOND	DENCE ADDRESS (Note: Use B	lock 1 for any change of address)	Fee	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
23650		5/2010		Certificate	of Mailing or Transi	mission		
100 COLLEGE	AL PROPERTY DE ROAD WEST	PARTMENT	I h Sta ado trai	I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
PRINCETON, I	NJ 08540					(Depositor's name)		
						(Signature)		
						(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.		
11/435,977	05/17/2006	•	Tina Bjeldskov Pederser		6683.204-US	7802		
TITLE OF INVENTION FOR USE IN INJECTION		COL-CONTAINING PE	PTIDE FORMULATION	S WHICH ARE OPTIMA	AL FOR PRODUCTION	ON AND		
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	NO	\$1510	\$300	\$0	\$1810	07/06/2010		
EXAN	MINER	ART UNIT	CLASS-SUBCLASS]				
BRADLEY,	CHRISTINA	1654	514-002000					
CFR 1.363).	lence address or indicatio condence address (or Cha B/122) attached.	· ·	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
☐ "Fee Address" inc	dication (or "Fee Address 02 or more recent) attack	" Indication form	(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
	aless an assignee is ident th in 37 CFR 3.11. Com			• ,		ocument has been filed for		
Please check the appropr	riate assignee category or	r categories (will not be pr	rinted on the patent): \Box	Individual 🗖 Corporati	ion or other private gro	oup entity Government		
4a. The following fee(s)	are submitted:	41		ase first reapply any prev	viously paid issue fee s	shown above)		
☐ Issue Fee	No small entity discount	nermitted)	A check is enclosed.	rd. Form PTO-2038 is atta	ached			
Advance Order -	,		The Director is hereb	y authorized to charge the osit Account Number	required fee(s), any de			
a. Applicant clain	atus (from status indicate ns SMALL ENTITY stati	us. See 37 CFR 1.27.		nger claiming SMALL EN				
NOTE: The Issue Fee ar interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be accepte ites Patent and Trademark	ed from anyone other than coffice.	the applicant; a registered	attorney or agent; or th	e assignee or other party in		
Authorized Signature	2			Date				
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Alexandria, Virginia 22.	313-1430.					by the USPTO to process) g gathering, preparing, and me you require to complete urtment of Commerce, P.O. for Patents, P.O. Box 1450,		
Under the Paperwork Re	eduction Act of 1995, no	persons are required to re	spond to a collection of in	formation unless it display	s a valid OMB control	number.		

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 065



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802
23650	7590 04/06/2010		EXAM	INER
NOVO NORDI	ISK, INC.	BRADLEY,	CHRISTINA	
	L PROPERTY DEPAR	ART UNIT	PAPER NUMBER	
100 COLLEGE I PRINCETON, N		1654 DATE MAILED: 04/06/201	0	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 250 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 250 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
Notice of Allowability	11/435,977 Examiner	PEDERSEN ET AL. Art Unit
•	OUDIOTINA DDADLEY	4054
	CHRISTINA BRADLEY	1654
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in the or other appropriate communication. This application is sub-	is application. If not included cation will be mailed in due course. THIS
1. X This communication is responsive to the RCE filed 3/16/20	<u>010</u> .	
2. X The allowed claim(s) is/are <u>1-9,13-18,28 and 30-44</u> .		
 3. Acknowledgment is made of a claim for foreign priority unerstanding a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 	e been received.	
2. Certified copies of the priority documents have3. Copies of the certified copies of the priority do	, ,	
International Bureau (PCT Rule 17.2(a)). * Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
 CORRECTED DRAWINGS (as "replacement sheets") must (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner' Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such in the such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as such as the sheet (s) should be labeled as sheet (s) sheet	son's Patent Drawing Review (. s Amendment / Comment or in .84(c)) should be written on the	the Office action of drawings in the front (not the back) of
 DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT 		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 3/16/2010 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Sum Paper No./Ma 7. ☐ Examiner's Ar 8. ☐ Examiner's St	mal Patent Application mary (PTO-413), all Date nendment/Comment atement of Reasons for Allowance
/Christina Marchetti Bradley/	9. Other	
Examiner, Art Unit 1654		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20100326

Application/Control Number: 11/435,977 Page 2

Art Unit: 1654

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 03/16/2010 has been entered.

- 2. The information disclosure statement (IDS) submitted on 03/16/2010 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.
- 3. Claims 1-9, 13-18, 28 and 30-44 are allowed as they appear in the Examiner's Amendment mailed 12/16/2009 and for the reasons presented in that Office action.
- 4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-9044. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday 8:30 A.M. to 4:30 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

Application/Control Number: 11/435,977 Page 3

Art Unit: 1654

applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christina Marchetti Bradley/ Examiner, Art Unit 1654

cmb

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

				Application No.	11/435,977	
		FORMATION DISCLOS		Filing Date	May 17, 2006	
	ST	ATEMENT BY APPLIC	CANT	Applicant	Pedersen et al.	
				Art Unit	1654	
				Examiner Name:	Bradley, Christina	
Sheet	1	of	1	Atty. Docket No.	6683.204-US	
					00001204 CD	
EXAMINER	Cite	DOCUMENT NUMBER	U.S. PATENT Issue/Publication	NAME of Patentee or	Pages, Columns, Lines Where Relevant Passage:	
INITIALS	No.	Number –Kind Code ^(ff known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Figures Appear	s 01
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			FOREIGN PATE	ENT DOCUMENTS		
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(if known)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T
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				ATURE DOCUMENTS		
Examiner Initials	Cite No.	symposium, catalog, etc.), date, p	pages(s), volume-issue nun	nber(s), publisher, city and/or c		Т
/C.B./		Non-Final Office Ac filed August 1, 2008			S. Application No. 12/184,531	
		1				1

EXAMINER	/Obstation Dunalines/	DATE	02/26/2010
SIGNATURE	/Christina Bradley/	CONSIDERED	03/20/2010

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Doc code: RCEX Doc description: Request for Continued Examination (RCE)

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)									
Application Number	11/435,977	Filing Date	2006-05-17	Docket Number (if applicable)	6683.204-US	Art Unit	1654		
First Named	Pedersen	Date		Examiner Name	Bradley, Christina	Othe			
This is a Req Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV								
		s	UBMISSION REQ	UIRED UNDER 37	CFR 1.114				
in which they	Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).								
	submitted. If a fir n even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a		
☐ Co	nsider the argume	nts in the A	ppeal Brief or Reply	Brief previously filed	on				
☐ Ott	ner 								
X Enclosed									
☐ An	nendment/Reply								
⊠ Info	ormation Disclosur	e Statemer	nt (IDS)						
☐ Aff	davit(s)/ Declarati	on(s)							
Ot	her 								
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, , ,				requested under 37 (ler 37 CFR 1.17(i) red	CFR 1.103(c) for a period of moquired)	onths _			
Other									
				FEES					
★ The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 141447								
	S	GNATUF	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED				
⋉ Patent	Practitioner Signa	ature							
Applica	ant Signature								

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner					
Signature	/Shelby J. Walker, Reg. No. 45,192/	Date (YYYY-MM-DD)	2010-06-22		
Name	Shelby J. Walker	Registration Number	45192		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	REQU	JEST FC			N(RCE)TRANSMITTAL	L				
	I		(Submitte	d Only via EFS	-vved)					
Application Number	11/435,977	Filing Date	2006-05-17	Docket Number (if applicable)	6683.204-US	Art Unit	1654			
First Named Inventor	Pedersen			Examiner Name	Bradley, Christina					
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV									
		S	UBMISSION REC	QUIRED UNDER 37	CFR 1.114					
in which they	were filed unless a	pplicant in		applicant does not wi	nents enclosed with the RCE wi sh to have any previously filed t					
	y submitted. If a fir on even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a			
☐ Co	nsider the argume	nts in the A	ppeal Brief or Reply	y Brief previously filed	l on					
Ott	ner 									
▼ Enclosed										
☐ An	nendment/Reply									
⋉ Info	ormation Disclosur	e Statemer	nt (IDS)							
Aff	idavit(s)/ Declarati	on(s)								
☐ Ot	her ————									
			MIS	SCELLANEOUS						
				requested under 37 der 37 CFR 1.17(i) re	CFR 1.103(c) for a period of mequired)	onths _				
Other _							_			
				FEES						
★ The Direct	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 141447									
	8	GNATUR	RE OF APPLICAN	IT, ATTORNEY, OF	R AGENT REQUIRED					
Patent	Practitioner Signa	ature								
Applic	ant Signature									

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner					
	Signature	/Shelby J. Walker, Reg. No. 45,192/	Date (YYYY-MM-DD)	2010-06-22	
	Name	Shelby J. Walker	Registration Number	45192	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Confirmation No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant(s) hereby submit(s) three (3) sheet(s) listing references and other information for consideration by the Examiner in accordance with 37 C.F.R. 1.56, 1.97, and 1.98:

US Application No.: 11/435,977 Attorney Docket No.: 6683.204-US Filing Date: May 17, 2006 Page 2 of 5

				Application No.	11/435,977
	INFORMATION DIS		Filing Date	May 17, 2006	
STATEMENT BY APPLICANT			Applicant	Pedersen et al.	
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet 1 of 3		Atty. Docket No.	6683.204-US		

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code (of known)	Issue/Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		5,272,135	12-21-93	Takruri	
		5,705,483	01-06-98	Galloway	
		6,184,201	02-06-01	Drucker et al.	
		6,268,343	07-31-01	Knudsen et al.	
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		6,586,399	07-01-03	Drucker et al.	
		2001/0014666	08-16-01	Hermeling et al.	
		2001/0027180	10-04-01	Isaacs	
		2003/0060412	03-27-03	Prouty et al.	
		2003/0069182	04-10-03	Rinella	
		2003/0158101	08-21-03	Drucker	
		2003/0207802	11-06-03	DeFelippis	
		2003/0220243	11-27-03	Glaesner et al.	
		2004/0248782	12-09-04	Bridon et al.	

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EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code (ff kn twn)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т
		WO 96/20005	07-04-96	Novo Nordisk A/S		
		WO 98/08871	03-05-98	Novo Nordisk A/S		
		WO 98/31386 (corresponds to US 6,274,553 above)	07-23-98	Japan Energy Corp.		
		WO 99/29336	06-17-99	Eli Lilly & Co.		
		WO 99/30731	06-24-99	Eli Lilly & Co.		
		WO 99/43341	09-02-99	Novo Nordisk A/S		
		WO 99/43708	09-22-99	Novo Nordisk A/S		
		WO 00/15224	03-23-00	Eli Lilly & Co.		
		WO 00/37098	06-29-00	Eli Lilly & Co.		
		WO 00/41546	07-20-00	Amylin Pharmaceuticals		
		WO 00/55119	09-21-00	Novo Nordisk A/S		
		WO 01/43762	06-2101	Eli Lilly & Co.		
		WO 01/49314	07-12-01	NPS Allelix Corp.		

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

US Application No.: 11/435,977
Attorney Docket No.: 6683.204-US
Filing Date: May 17, 2006
Page 3 of 5

			_	Application No.	11/435,977
	INFORMATION DISC		Filing Date	May 17, 2006	
	STATEMENT BY APP	LICAN	<u>l</u>	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	2	of	3	Atty. Docket No.	6683.204-US

	FOREIGN PATENT DOCUMENTS							
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code (at Innown)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т		
INITIALS	No.	EP 708179	04-24-96	Eli Lilly & Co.	Passages of Relevant Figures Appear	+-		
			04-24-90	En Liny & Co.		-		
		JP 2001-525371	12-11-01	FI: I :II 9. C-				
		(corresponds to WO 99/29336 above)	12-11-01	Eli Lilly & Co.				
	+	99/29330 above)				\vdash		
		JP 2002-508332						
		(corresponds to WO	03-19-02	Eli Lilly & Co.				
		99/30731 above)						
		JP 2002-532557						
		(corresponds to WO	10-02-02	Eli Lilly & Co.				
		00/37098 above)		-				
		RU 2180218						
		(corresponds to WO	03-10-02	Japan Energy Corp.				
		98/31386 above)						
		WO 01/77141	10-18-01	Novo Nordisk A/S				
		WO 02/47715	06-20-02	Eli Lilly & Co.				
		WO 02/48183	06-20-02	Eli Lilly & Co.				
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		WO 03/020201	03-13-03	Eli Lilly & Co.				
		WO 03/035099	05-01-03	Eli Lilly & Co.				
		WO 2004/029076	04-08-04	Novo Nordisk A/S				
		WO 2005/000222	01-06-05	Amylin				
	1			Pharmaceuticals		1		
		WO 2006/025882	03-09-06	UAB Research				

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

US Application No.: 11/435,977
Attorney Docket No.: 6683.204-US
Filing Date: May 17, 2006
Page 4 of 5

	NITONIA MICONINIO		_	Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY APP	LICAN	<u>l</u>	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	3	of	3	Atty. Docket No.	6683.204-US

include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T
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EXAMINER	DATE
SIGNATURE	CONSIDERED

US Application No.: 11/435,977 Filing Date: May 17, 2006
Attorney Docket No.: 6683.204-US Page 5 of 5

Except for US patent documents, a copy of each listed reference is enclosed or submitted herewith.

Copyrighted material submitted with this Information Disclosure Statement may be delivered to the Government under license from the Copyright Clearance Center, Inc., or other rights holders – no further reproduction of such works is permitted.

Inclusion of any reference is not intended to constitute an admission that the reference is "prior art" unless it is specifically designated as such.

This Information Disclosure Statement is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination as per the requirements of 37 CFR § 1.97(b). Therefore, no fee is due. However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit Account No. 14-1447.

Applicant(s) respectfully request(s) that any references or other information listed above be made of record in this patent application. The Examiner is invited to call the undersigned if there are any questions concerning this submission or application.

Respectfully submitted,

Date: June 25, 2010 /Shelby J

/Shelby J. Walker, Reg. No. 45,192/ Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883 Attorney Docket No.: 6683.204-US

U.S. Application No. 11/435,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Conf. No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE

OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450, <u>AMENDMENT</u> Alexandria, VA 22313-1450

Dear Sir:

In accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, and supplemental to the Information Disclosure Statement filed JUNE 25, 2010, Applicants hereby submit the following Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98, and in conformance with MPEP 609 and 37 C.F.R. § 1.98(d).

Applicants hereby make of record the following commonly owned U.S. Application(s) which may not already be of record in the present application,

- U.S. App. No. 10/185,923, filed JUNE 27, 2002, Inventors: FLINK et al. (Attorney Docket No. 6358.500-US) (Abandoned);
- U.S. App. No. 11/786,095, filed APRIL 11,2007, Inventors: FLINK et al. (Attorney Docket No. 6358.510-US) (Abandoned);
- U.S. App. No. 12/343,722, filed DECEMBER 24, 2008, Inventors: FLINK et al. (Attorney Docket No. 6358.520-US) (Abandoned);
- U.S. App. No. 12/785,861, Filed on MAY 24, 2010, by FLINK et al. (Attorney Docket No. 6358.530-US);

1

- U.S. App. No. 11/290,635, Filed NOVEMBER 30, 2005, by JUUL-MORTENSEN (Attorney Docket No. 6689.204-US)(Abandoned);
- U.S. App. No. 12/184,531, Filed AUGUST 1, 2008, by JUUL-MORTENSEN (Attorney Docket No. 6689.214-US);
- U.S. App. No. 11/290,634, Filed NOVEMBER 30, 2005, by JUUL-MORTENSEN et al. (Attorney Docket No. 6702.204-US) (ISSUED);
- U.S. App. No. 12/612,888, Filed NOVEMBER 5, 2009, by JUUL-MORTENSEN et al. (Attorney Docket No. 6702.214-US)
- U.S. App. No. 11/365,274, Filed MARCH 1, 2006, by SCHLEIN et al. (Attorney Docket No. 6711.204-US) (Abandoned);
- U.S. App. No. 12/752,634, Filed APRIL 1, 2010, by SCLEIN et al. (Attorney Docket No. 6711.214-US);
- U.S. App. No. 11/667,040, Filed MAY 3, 2007, by LUDVIGSEN ET AL. (Attorney Docket No. 7001.504-US) (Abandoned);
- U.S. App. No. 12/643,330, Filed DECEMBER 21, 2009, by LUDVIGSEN ET AL.. (Attorney Docket No. 7001.514-US)

Applicants may also submit herewith Office Actions and, *inter alia*, any documents cited therein, and these documents are listed on the accompanying Forms PTO-1449.

The Examiner is encouraged to review any associated Applicant responses in the above mentioned applications, and Applicants assume that due to the ease of review on PAIR by the Examiner, these responses need not be submitted/listed. Since prosecution may be ongoing in the herein mentioned applications, Applicants assume that the Examiner will continue to evaluate the applications as needed.

The Examiner is requested to consider the attached Form PTO-1449, and to return the initialed and signed copy with the next communication from the U.S. Patent and Trademark Office.

Applicants hereby submit <u>5</u> Forms PTO-1449 sheet for consideration by the Examiner in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98:

Attorney Docket No.: 6683.204-US

	************	~ ~ ~ ~ ~		Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY API	PLICA	NT	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	1	of	5	Atty. Docket No.	6683.204-US

U.S. PATENT DOCUMENTS

			U.S. PATENT	DOCUMENTS	
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(if known)	Issue/Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		4468346	Aug-1984	PAUL ET AL.	
		5455331	10/3/1995	PEARCE	
		5652216	7/29/1997	KORNFELT ET	
		6133229	Oct-2000	GIBSON ET AL.	
		6284727	Sep-2001	KIM ET AL.	
		6380357	8/16/2001	HERMELING	
		6384016	5/7/2002	KAARSHOLM	
		6444788	Sep-2002	STABY, ARNE	
		6844321	1/1/2005	ARENTSEN,	
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		7056886	6/6/2006	ISSACS	
		7238663	Jul-2007	DEFELIPPIS ET	
		20030119734	Jun-2003	FLINK ET AL.	
		20030220255	11/27/200	KNUDSEN ET	
		20040248782	12/9/2004	BRIDON ET AL.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(if knewn)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т
		WO0152937	7/26/2001	MINIMED INC.		
		EP1344533	9/17/2003	NATIMMUNE A/S		
		EP1396499	3/10/2004	ELI LILLY & CO.		
		EP747390	12/11/1996	ELI LILLY & CO.		
		WO9510605	4/20/1995	THE UNIVERSITY OF LEEDS INNOVATIONS LTD.		
		EP0431679	11/28/1990	MERCK		

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application No.	11/435,977
	Filing Date	May 17, 2006
	Applicant	Pedersen et al.
	Art Unit	1654

	INFORMATION DISC STATEMENT BY API		Filing Date Applicant Art Unit	May 17, 2006 Pedersen et al. 1654	
				Examiner Name:	Bradley, Christina
Sheet	2	of	5	Atty. Docket No.	6683.204-US

Attorney Docket No.: 6683.204-US

		FOREIGN PATENT	DOCUMENTS	
1	EP0438767	12/22/1990	BASF	
I	EP0926159	6/30/1999	ELI LILLY	
1	EP1329462	10/24/2001	THE JURIDICAL FOUNDATION	
	EP699687	8/30/1995	THE GREEN CROSS CORP.	
J	P10101696	4/21/1998	JAPAN FOUND. FOR CANCER RESEARCH	Т
PA	A200101010	6/28/2001	NOVO NORDISK	_
V	VO0155213	8/2/2001	ELI LILLY	
V	VO0248183	6/20/2002	ELI LILLY	
V	VO9000200	1/11/1990	GENEX	
V	VO9638469	12/5/1996	NOVO NORDISK	
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V	VO9943707	9/2/1999	NOVO NORDISK	
Wo	D2004105781	12/9/2004	NOVO NORDISK	

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T
		BAILEY ET AL. THE KINETICS OF ENZYME-CATALYSED REACTIONS Biochemical Engineering Fundamentals, 2nd Ed., pp. 129-148 (1986)	
		ENTRY FOR GLYCERIN IN DRUGS.COM (WWW.DRUGS.COM/PPA/GLYCERIN-GLYCEROL.HTML), PRINTED 08/04/2009	
		EUROPEAN PHARMACOPOEIA, 2007, VOL. 1, PAGE 730, COUNCIL OF EUROPE-STRASBOURG	
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		SHINOTESUTO, PATENT ABSTRACTS OF JAPAN, OF JP10101696	Т

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

		~~ ~~~		Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY AP	PLICA	NT	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	Sheet 3 of 5				6683.204-US

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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

Attorney Docket No.: 6683.204-US

	DIEGODAL MICAL DIG	T OOT	0.5	Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY API	PLICA	NT	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	Sheet 4 of 5				6683.204-US

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NON-FINAL OFFICE ACTION IN 11/290,634, FILED NOVEMBER 30, 2005, INVENTORS: JUUL-MORTENSEN ET AL. (ATTORNEY DOCKET NO. 6702.204-US) SENT JUNE 30, 2008					
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NON-FINAL OFFICE ACTION IN 11/290,635, FILED NOVEMBER 30, 2005, INVENTORS: JUUL-MORTENSEN ET AL. (ATTORNEY DOCKET NO. 6689.204-US) SENT FEBRUARY 2, 2007					
NON-FINAL OFFICE ACTION IN 11/290,635, FILED NOVEMBER 30, 2005, INVENTORS: JUUL-MORTENSEN ET AL. (ATTORNEY DOCKET NO. 6689.204-US) SENT FEBRUARY 2, 2007					
NON-FINAL OFFICE ACTION IN 11/365,274, FILED MARCH 1, 2006, INVENTORS: SCHLEIN ET AL. (ATTORNEY DOCKET NO. 6711.204-US) SENT AUGUST 20, 2007					
NON-FINAL OFFICE ACTION IN 11/365,274, FILED MARCH 1, 2006, INVENTORS: SCHLEIN ET AL. (ATTORNEY DOCKET NO. 6711.204-US) SENT FEBRUARY 5, 2007					
NON-FINAL OFFICE ACTION IN 11/365,274, FILED MARCH 1, 2006, INVENTORS: SCHLEIN ET AL. (ATTORNEY DOCKET NO. 6711.204-US) SENT JANUARY 28, 2009					
FINAL OFFICE ACTION IN 10/185,923, FILED JUNE 27, 2002, INVENTORS: FLINK ET AL. (ATTORNEY DOCKET NO. 6358.500-US) SENT DECEMBER 12, 2006					
FINAL OFFICE ACTION IN 10/185,923, FILED JUNE 27, 2002, INVENTORS: FLINK ET AL. (ATTORNEY DOCKET NO. 6358.500-US) SENT JUNE 14, 2005					
FINAL OFFICE ACTION IN 10/185,923, FILED JUNE27, 2002, INVENTORS: FLINK ET AL. (ATTORNEY DOCKET NO. 6358.500-US) SENT JUNE 30, 2008					
FINAL OFFICE ACTION IN 11/290,635, FILED , INVENTORS: JUUL- MORTENSEN ET AL. (ATTORNEY DOCKET NO. 6689.204-US) SENT SEPTEMBER 5, 2007					

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

Attorney Docket No.: 6683.204-US

U.S. Application No. 11/435,977

			Application No.	11/435,977	
	INFORMATION DISC		Filing Date	May 17, 2006	
	STATEMENT BY API	PLICA	NT	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	5	of	5	Atty. Docket No.	6683.204-US

 NONTATENT LITERATURE DOCUMENTS	
FINAL OFFICE ACTION IN 11/290,635, FILED NOVEMBER 30, 2005, INVENTORS: JUUL-MORTENSEN ET AL. (ATTORNEY DOCKET NO. 6689.204-US) SENT SEPTEMBER 5, 2007	
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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

REMARKS

Pursuant to 37 C.F.R. § 1.98 copies of the U.S. patent documents (patents,

application publications, and applications are not being submitted herewith. Of course,

should any of the documents not be readily available to the Examiner, the Examiner is

requested to contact the undersigned and additional copies will be submitted.

Applicants note that Copyrighted material submitted with this Information

Disclosure Statement may be delivered to the Government under license from the Copyright

Clearance Center, Inc., or other rights holders – no further reproduction of such works is

permitted.

Inclusion of any reference is not intended to constitute an admission that the

reference is "prior art" unless it is specifically designated as such.

This Information Disclosure Statement is being filed within three months of

the filing date of a national application or date of entry into the national stage of an

international application or before the mailing date of a first Office action on the merits, or

before the mailing date of a first Office action after the filing of a request for continued

examination as per the requirements of 37 CFR § 1.97(b). Therefore, no fee is due.

However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit

Account No. 14-1447.

Applicants respectfully request that any references or other information listed

above be made of record in this patent application. The Examiner is invited to call the

undersigned if there are any questions concerning this submission or application.

Respectfully submitted,

Date: July 26, 2010

/ Teresa Chen, Reg. No. 55,352/

Teresa Chen, Reg. No. 55,352

Novo Nordisk Inc.

Customer Number 23650

(609) 987-5800

8

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23650 7590 03/14/2011 NOVO NORDISK, INC. INTELLECTUAL PROPERTY DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540 EXAMINER
BRADLEY, CHRISTINA

ART UNIT PAPER NUMBER

1654

DATE MAILED: 03/14/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802

TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/14/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

23650 NOVO NORDIS	7590 03/14. 7590 03/14. SK, INC. L PROPERTY DE. OAD WEST 08540 FILING DATE 05/17/2006 PROPYLENE GLYCO	PARTMENT	FIRST NAMED INVENT	ee(s) Transmittal. Thappers. Each additionate its own certificate of the Main ansmitted to the USF	is certificate of paper, such the of mailing of the control of the	cannot be used fo as an assignmen r transmission. ailing or Transm smittal is being t postage for first FEE address a 3-2885, on the dat DOCKET NO.	deposited with the United class mail in an envelope above, or being facsimile e indicated below. (Depositor's name) (Signature) (Date) CONFIRMATION NO. 7802
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TO	TAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	<u> </u>	\$1810	06/14/2011
EXAMIN	NER	ART UNIT	CLASS-SUBCLASS	7			
BRADLEY, Cl	HRISTINA	1654	514-002000	_			
CFR 1.363). Change of correspon Address form PTO/SB/ Tee Address" indic PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unles recordation as set forth (A) NAME OF ASSIGNED Please check the appropria	ation (or "Fee Address" or more recent) attached by RESIDENCE DATA as an assignee is identin 37 CFR 3.11. Comp	Indication form ed. Use of a Customer A TO BE PRINTED ON The field below, no assignee letion of this form is NO	or agents OR, altern (2) the name of a si registered attorney of 2 registered patent a listed, no name will ITHE PATENT (print or data will appear on the T a substitute for filing (B) RESIDENCE: (Cl	agle firm (having as a ragent) and the nanttorneys or agents. If the printed. type) patent. If an assign assignment. TY and STATE OR (a member a nes of up to no name is nee is identific		cument has been filed for
4a. The following fee(s) ar Issue Fee Publication Fee (No Advance Order - # c	small entity discount p	d above)	D. Payment of Fee(s): (I A check is enclose Payment by credit The Director is her overpayment, to De	I. card. Form PTO-2038 by authorized to cha posit Account Numb	3 is attached. rge the require	ed fee(s), any defi enclose an	iciency, or credit any extra copy of this form).
NOTE: The Issue Fee and			b. Applicant is no				
interest as shown by the re-	cords of the United Sta	tes Patent and Trademark	Office.				
Authorized Signature _				Date			
Typed or printed name				Registration I	No		
This collection of informat an application. Confidentis submitting the completed this form and/or suggestion Box 1450, Alexandria, Vir Alexandria, Virginia 2231 Under the Paperwork Redu	3-1450.						

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977 05/17/2006		Tina Bjeldskov Pedersen	6683.204-US	7802
23650 75	90 03/14/2011	EXAM	INER	
NOVO NORDIS	K, INC.		BRADLEY,	CHRISTINA
INTELLECTUAL	PROPERTY DEPART	MENT		
100 COLLEGE RO	OAD WEST	ART UNIT	PAPER NUMBER	
PRINCETON, NJ	08540		1654	

DATE MAILED: 03/14/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 390 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 390 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
A	11/435,977	PEDERSEN ET AL.
Notice of Allowability	Examiner	Art Unit
	CHRISTINA BRADLEY	1654
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
1. 🛮 This communication is responsive to the RCE filed 06/25/2	<u>2010</u> .	
2. X The allowed claim(s) is/are 1-9,13-18,28 and 30-44.		
3. Acknowledgment is made of a claim for foreign priority ur	nder 35 U.S.C. § 119(a)-(d) or (f).	
a) ⊠All b) ☐ Some*c) ☐ None of the:		
 Certified copies of the priority documents have 	been received.	
Certified copies of the priority documents have	been received in Application No	·
3. Copies of the certified copies of the priority do	cuments have been received in this r	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
 5. CORRECTED DRAWINGS (as "replacement sheets") mus (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Paper No./Mail Date 	on's Patent Drawing Review (PTO-	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawir he header according to 37 CFR 1.121(o	ngs in the front (not the back) of i).
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal P	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. 🔲 Interview Summary Paper No./Mail Dat	
Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>See Continuation Sheet</u>	7. Examiner's Amendn	
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's Stateme	nt of Reasons for Allowance
	9. Other	
/Christina Marchetti Bradley/ Primary Examiner, Art Unit 1654		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20110303

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 07/28/2010, 06/25/2010.

Application/Control Number: 11/435,977 Page 2

Art Unit: 1654

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under Ex Parte Quayle, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 06/25/2010 has been entered.

- 2. The information disclosure statement (IDS) submitted on 07/28/2010 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.
- 3. Numerous NPL citations on the information disclosure statement filed 06/25/2010 fail to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because they are missing titles and/or dates. All references on the IDS filed 06/25/2010 have been considered except for the references that are lined-through. These references have been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).
- 4. Claims 1-9, 13-18, 28 and 30-44 are allowed as they appear in the Examiner's Amendment mailed 12/16/2009 and for the reasons presented in that Office action.

Application/Control Number: 11/435,977 Page 3

Art Unit: 1654

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-9044. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday 8:30 A.M. to 4:30 P.M.

- 6. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 7. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christina Marchetti Bradley/ Primary Examiner, Art Unit 1654

cmb

US Application No.: 11/435,977
Attorney Docket No.: 6683.204-US
Filing Date: May 17, 2006
Page 2 of 5

			Application No.	11/435,977	
	INFORMATION DISC		Filing Date	May 17, 2006	
	STATEMENT BY APPLICANT				Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	1	of	3	Atty. Docket No.	6683.204-US

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code (if known)	Issue/Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		5,272,135	12-21-93	Takruri	
		5,705,483	01-06-98	Galloway	
		6,184,201	02-06-01	Drucker et al.	
		6,268,343	07-31-01	Knudsen et al.	
		6,274,553	08-14-01	Furuya	
		6,586,399	07-01-03	Drucker et al.	
		2001/0014666	08-16-01	Hermeling et al.	
		2001/0027180	10-04-01	Isaacs	
		2003/0060412	03-27-03	Prouty et al.	
		2003/0069182	04-10-03	Rinella	
		2003/0158101	08-21-03	Drucker	
		2003/0207802	11-06-03	DeFelippis	
		2003/0220243	11-27-03	Glaesner et al.	
		2004/0248782	12-09-04	Bridon et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(f known)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T
		WO 96/20005	07-04-96	Novo Nordisk A/S		
		WO 98/08871	03-05-98	Novo Nordisk A/S		
		WO 98/31386 (corresponds to US 6,274,553 above)	07-23-98	Japan Energy Corp.		
		WO 99/29336	06-17-99	Eli Lilly & Co.		
		WO 99/30731	06-24-99	Eli Lilly & Co.		
		WO 99/43341	09-02-99	Novo Nordisk A/S		
		WO 99/43708	09-22-99	Novo Nordisk A/S		
		WO 00/15224	03-23-00	Eli Lilly & Co.		
		WO 00/37098	06-29-00	Eli Lilly & Co.		
		WO 00/41546	07-20-00	Amylin Pharmaceuticals		
		WO 00/55119	09-21-00	Novo Nordisk A/S		
		WO 01/43762	06-2101	Eli Lilly & Co.		
		WO 01/49314	07-12-01	NPS Allelix Corp.		

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

 US Application No.: 11/435,977
 Filing Date: May 17, 2006

 Attorney Docket No.: 6683.204-US
 Page 3 of 5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No. Filing Date Applicant Art Unit	11/435,977 May 17, 2006 Pedersen et al. 1654
				Examiner Name:	Bradley, Christina
Sheet	2	of	3	Atty. Docket No.	6683.204-US

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number – Kind Code (if kn riven)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т
INTITALS	INO.	EP 708179	04-24-96	Eli Lilly & Co.	r assages of Relevant Figures Appear	\top
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EXAMINER	/Christina Bradley/	DATE	03/03/2011
SIGNATURE	/Unristina Bradiey/	CONSIDERED	00/00/2011

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			_	Application No.	11/435,977
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		Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T	
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SIGNATURE	/Christina Bradley/	CONSIDERED	03/03/2011

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Except for US patent documents, a copy of each listed reference is enclosed or submitted herewith.

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Inclusion of any reference is not intended to constitute an admission that the reference is "prior art" unless it is specifically designated as such.

This Information Disclosure Statement is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination as per the requirements of 37 CFR § 1.97(b). Therefore, no fee is due. However, please charge any fees should they be required, to Novo Nordisk Inc. Deposit Account No. 14-1447.

Applicant(s) respectfully request(s) that any references or other information listed above be made of record in this patent application. The Examiner is invited to call the undersigned if there are any questions concerning this submission or application.

Respectfully submitted,

Date: June 25, 2010 /Shelby J. Walker, Reg. No. 45,192/

Shelby J. Walker, Reg. No. 45,192 Novo Nordisk Inc. Customer Number 23650 (609) 987-4883

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

	***************************************		Application No.	11/435,977	
	INFORMATIO		Filing Date	May 17, 2006	
	STATEMENT BY APPLICANT				Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	1	of	5	Atty. Docket No.	6683.204-US

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SIGNATURE	/Christina Bradley/	CONSIDERED	03/03/2011

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

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				Examiner Name:	Bradley, Christina
Sheet	2	of	5	Atty. Docket No.	6683.204-US

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				Art Unit	1654
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	11/435,977
				Filing Date	May 17, 2006
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				Examiner Name:	Bradley, Christina
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Receipt date: 07/28/2010 11435977 - GAU: 1654

Attorney Docket No.: 6683.204-US U.S. Application No. 11/435,977

			Application No.	11/435,977	
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EXAMINER		DATE	
SIGNATURE	/Christina Bradley/	CONSIDERED	03/03/2011

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Doc code: RCEX Doc description: Request for Continued Examination (RCE)

	REQU	JEST FC			N(RCE)TRANSMITTA	L				
	I		(Submitte	d Only via EFS	-vved)					
Application Number	11/435,977	Filing Date	2006-05-17	Docket Number (if applicable)	6683.204-US	Art Unit	1654			
First Named Inventor	Pedersen			Examiner Name	Bradley, Christina					
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV									
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in which they	were filed unless a	pplicant in		applicant does not wi	nents enclosed with the RCE wi sh to have any previously filed t					
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The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 141447										
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Patent	Practitioner Signa	ature								
Applic	ant Signature									

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner					
Signature	/Michael J. Brignati, Reg. No. 60.890/	Date (YYYY-MM-DD)	2011-06-10		
Name	Michael J. Brignati, Ph.D.	Registration Number	60890		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: 6683.204-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pedersen et al. Conf. No.: 7802

Application No.: 11/435,977 Group Art Unit: 1654

Filed: May 17, 2006 Examiner: Bradley, Christina

For: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH

ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Dear Sir:

In accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, and supplemental to the Information Disclosure Statement filed July 28, 2010, Applicants hereby submit the following Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98, and in conformance with MPEP 609 and 37 C.F.R. § 1.98(d).

Applicants hereby make of record the following commonly owned U.S. Applications which may not already be of record in the present application,

- U.S. App. No. 12/785,861, Filed on May 24, 2010, by Flink et al. (Attorney Docket No. 6358.530-US).
- U.S. App. No. 12/752,634, Filed on April 1, 2010, by Schlein et al. (Attorney Docket No. 6711.214-US)

Applicants may also submit herewith Office Actions and, *inter alia*, any documents cited therein, and these documents are listed on the accompanying Form(s) PTO-1449.

US Application No.: 11/435,977 Filing Date: May 17, 2006
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The Examiner is encouraged to review any associated Applicant responses in the above mentioned applications, and Applicants assume that due to the ease of review on PAIR by the Examiner, these responses need not be submitted/listed. Since prosecution may be ongoing in the herein mentioned applications, Applicants assume that the Examiner will continue to evaluate the applications as needed.

The Examiner is requested to consider the attached Form PTO-1449, and to return the initialed and signed copy with the next communication from the U.S. Patent and Trademark Office.

Applicants hereby submit <u>two</u> Forms PTO-1449 sheets for consideration by the Examiner in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98:

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	THE OPERATION DIGG		Application No.	11/435,977	
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		WO 93/18785	09-30-93	Novo Nordisk A/S		
		WO 01/51071	07-19-01	Novo Nordisk A/S		
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NON PATENT LITERATURE DOCUMENTS

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T
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		REMINGTON'S PHARMACEUTICAL SCIENCES, MACK PUBLISHING COMPANY, 18 TH EDITION, 1990, CHAPTER 84, PAGES 1545-1550	
		KNUDSEN ET AL., J. MED. CHEM., VOL. 43, PAGES 1664-1669, 2000	
		STENESH, J., BIOCHEMISTRY, 1998, PAGES 67-69	
		WANG ET AL., J. PARENTERAL SCIENCE AND TECHNOLOGY, VOL. 42, PAGES S4- S26, 1988	
		SIGMA PRODUCTION INFORMATION ON GLY GLY BUFFER, MARCH 2010	
		MARTIN ET AL., PHYSICAL PHARMACY, 1983, PAGE 232	
		DECLARATION OF JOHNNY C. GONZALEZ, NOVEMBER 2010, PAGES 1-7	
		ELI LILLY AND COMPANY PRODUCT INFORMATION ON HUMALOG INSULIN LISPRO INJECTION, 2009, PAGES 1-12	
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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

US Application No.: 11/435,977 Filing Date: May 17, 2006
Attorney Docket No.:6683.204-US Page 5 of 5

Except for US patent documents a copy of each listed reference is enclosed or submitted herewith.

Copyrighted material submitted with this Information Disclosure Statement may be delivered to the Government under license from the Copyright Clearance Center Inc. or other rights holders – no further reproduction of such works is permitted.

Inclusion of any reference is not intended to constitute an admission that the reference is "prior art" unless it is specifically designated as such.

This Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination. Therefore no fee is due. However please charge any fees should they be required to Novo Nordisk Inc. Deposit Account No. 14-1447.

Applicants respectfully request that any references or other information listed above be made of record in this patent application. The Examiner is invited to call the undersigned if there are any questions concerning this submission or application.

Respectfully submitted,

Date: June 10, 2011 /Michael J. Brignati, Reg. No. 60,890/

Michael J. Brignati, Ph.D., Reg. No. 60,890 Novo Nordisk Inc. Customer Number 23650 (609) 987-5800

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23650 7590 07/19/2011
NOVO NORDISK, INC.
INTELLECTUAL PROPERTY DEPARTMENT
100 COLLEGE ROAD WEST
PRINCETON, NJ 08540

EXAMINER
BRADLEY, CHRISTINA

ART UNIT PAPER NUMBER

1654

DATE MAILED: 07/19/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802

TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	10/19/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
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Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

23650 NOVO NORDIS	7590 07/19. SK, INC. L PROPERTY DE. OAD WEST 08540 FILING DATE 05/17/2006 PROPYLENE GLYCO	PARTMENT	FIRST NAMED INVENT	pe(s) Transmittal. That papers. Each additionate its own certification wave its own certification. Cet thereby certify that the postal Service valdressed to the Mai ansmitted to the USF	is certificate c. l paper, such a e of mailing or rtificate of Ma is Fee(s) Tran with sufficient I Stop ISSUE TO (571) 273-	annot be used fo as an assignmen transmission. silling or Transm ismittal is being postage for first FEE address a 2885, on the dat	deposited with the United class mail in an envelope above, or being facsimile e indicated below. (Depositor's name) (Signature) (Date) CONFIRMATION NO. 7802
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TOT.	AL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	·	\$1810	10/19/2011
EXAMIN	NER	ART UNIT	CLASS-SUBCLASS				
BRADLEY, C	HRISTINA	1654	514-007200	_			
CFR 1.363). Change of correspon Address form PTO/SB/ Tee Address" indic PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unler recordation as set forth (A) NAME OF ASSIGNED Please check the appropria	ation (or "Fee Address" or more recent) attached by RESIDENCE DATA as an assignee is identin 37 CFR 3.11. Comp	Indication form Ed. Use of a Customer A TO BE PRINTED ON The field below, no assignee letion of this form is NO	or agents OR, altern (2) the name of a si registered attorney of 2 registered patent a listed, no name will ITHE PATENT (print or data will appear on the T a substitute for filing (B) RESIDENCE: (Cl	agle firm (having as a ragent) and the nanttorneys or agents. If the printed. type) patent. If an assign assignment. TY and STATE OR (a member a les of up to no name is lee is identifie		cument has been filed for
4a. The following fee(s) ar ☐ Issue Fee ☐ Publication Fee (No ☐ Advance Order - # c	small entity discount p	d above)	D. Payment of Fee(s): (I	I. card. Form PTO-2038 by authorized to cha posit Account Numb	B is attached. rge the require er	d fee(s), any defi (enclose an	iciency, or credit any extra copy of this form).
NOTE: The Issue Fee and			b. Applicant is no				
interest as shown by the re	cords of the United Sta	tes Patent and Trademark	Office.	a me apparenn, a reg			— — — — — — — — — — — — — — — — — — —
Authorized Signature _				Date			
Typed or printed name				Registration I	No		
This collection of informat an application. Confidentis submitting the completed this form and/or suggestio Box 1450, Alexandria, Vir Alexandria, Virginia 2231: Under the Paperwork Redu	3-1450.						

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802
23650 75	90 07/19/2011		EXAM	INER
NOVO NORDIS	K, INC.		BRADLEY,	CHRISTINA
INTELLECTUAL	PROPERTY DEPART	TMENT .		
100 COLLEGE RO	OAD WEST	ART UNIT	PAPER NUMBER	
PRINCETON, NJ	08540		1654	

DATE MAILED: 07/19/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 390 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 390 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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	Application No.	Applicant(s)
	11/435,977	PEDERSEN ET AL.
Notice of Allowability	Examiner	Art Unit
	CHRISTINA BRADLEY	1654
TI MAN INO DATE (VI)		
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
1. 🛮 This communication is responsive to the RCE filed 06/10/2	<u>2011</u> .	
2. 🛮 The allowed claim(s) is/are <u>1-9,13-18,28 and 30-44</u> .		
3. 🛮 Acknowledgment is made of a claim for foreign priority ur	nder 35 U.S.C. § 119(a)-(d) or (f).	
a) ⊠All b) ☐ Some*c) ☐ None of the:		
 Certified copies of the priority documents have 	been received.	
Certified copies of the priority documents have	been received in Application No	·
Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 		
 CORRECTED DRAWINGS (as "replacement sheets") mus (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Paper No./Mail Date 	on's Patent Drawing Review (PTO-	·
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawir he header according to 37 CFR 1.121(ngs in the front (not the back) of d).
 DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT 		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal P	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summary Paper No./Mail Dat	
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>06/10/2011</u> 	7. Examiner's Amendr	
 Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
	9. Other	
/Christina Marchetti Bradley/ Primary Examiner, Art Unit 1654		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20110616

Application/Control Number: 11/435,977 Page 2

Art Unit: 1654

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under Ex Parte Quayle, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 06/10/2011 has been entered.

- The information disclosure statement (IDS) submitted on 06/10/2011 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.
- 3. Claims 1-9, 13-18, 28 and 30-44 are allowed as they appear in the Examiner's Amendment mailed 12/16/2009 and for the reasons presented in that Office action.
- Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-9044. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday 6:00 A.M. to 5:00 P.M.
- 5. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 6. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

Application/Control Number: 11/435,977 Page 3

Art Unit: 1654

applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christina Marchetti Bradley/ Primary Examiner, Art Unit 1654

cmb

Receipt date: 06/10/2011

US Application No.: 11/435,977
Attorney Docket No.:6683.204-US

11435977 - GAU: 1654

Filing Date: May 17, 2006 Page 3 of 5

	TITODIA (TIO) CONTRACTO		_	Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY APP	LICAN.	L	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	1	of	2	Atty. Docket No.	6683.204-US

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number –Kind Code ^(if known)	Publication Date MM-DD-YYYY	NAME of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Т
/C.B./		WO 93/18785	09-30-93	Novo Nordisk A/S		
/C.B./		WO 01/51071	07-19-01	Novo Nordisk A/S		
		WO 02/47716	06-20-02	Eli Lilly & Co.		
/C.B./		JP 2003-519195 (corresponds to WO 01/49314)	06-17-03	NPS Allelix Corp.		
/C.B./		WO 01/49314 (corresponds to JP 2003- 519195)	07-12-01	NPS Allelix Corp.		

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

Receipt date: 06/10/2011 11435977 - GAU: 1654

US Application No.: 11/435,977 Attorney Docket No.:6683.204-US Filing Date: May 17, 2006 Page 4 of 5

				Application No.	11/435,977
	INFORMATION DISC			Filing Date	May 17, 2006
	STATEMENT BY APP	LICAN	ľ	Applicant	Pedersen et al.
				Art Unit	1654
				Examiner Name:	Bradley, Christina
Sheet	2	θf	2	Atty. Docket No.	6683.204-US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T
/C.B./		REMINGTON'S PHARMACEUTICAL SCIENCES, MACK PUBLISHING COMPANY, 16 TH EDITION, 1980, CHAPTER 79, PAGE 1406	
/C.B./		PLUMER'S PRINCIPLES & PRACTICE OF INTRAVENOUS THERAPY, 2006, EDITION 8, PAGES 124-128	
/C.B./		EUROPEAN PHARMACOPOEIA, 3 RD EDITION, 1997, PAGES 17-18	
/C.B./		UNITED STATES PHARMACOPOEIA, 24 TH EDITION, 1999, PAGES 1977-1978	
/C.B./		FURTHER EXPERIMENTAL DATA June 22, 2009 /C.B./	
/C.B./		FROKJAER ET AL., PHARMACEUTICAL FORMULATION DEVELOPMENT OF PEPTIDES AND PROTEINS, 2000, PAGES 145-148 AND 150-151	
/C.B./		MARTIN ET AL., PHYSICAL PHARMACY: PHYSICAL CHEMICAL PRINCIPLES IN THE PHARMACEUTICAL SCIENCES, 1983, PAGE 222 – 225	
/C.B./		REMINGTON'S PHARMACEUTICAL SCIENCES, MACK PUBLISHING COMPANY, 18 TH EDITION, 1990, CHAPTER 84, PAGES 1545-1550	
/C.B./		KNUDSEN ET AL., J. MED. CHEM., VOL. 43, PAGES 1664-1669, 2000	
/C.B./		STENESH, J., BIOCHEMISTRY, 1998, PAGES 67-69	
/C.B./		WANG ET AL., J. PARENTERAL SCIENCE AND TECHNOLOGY, VOL. 42, PAGES S4- S26, 1988	
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/C.B./		ELI LILLY AND COMPANY PRODUCT INFORMATION ON HUMALOG INSULIN LISPRO INJECTION, 2009, PAGES 1-12	

EX	AMINER		/Christina Bra	idlev/				DATE		06/16/2011
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/C.B./ Glucagon-like Pept~de-1 with Pharmacokinetic Properties Suitable for Once Daily Administration" /CMB/ title for Wang et al. "Parenteral Formulations of Proteins and Peptides: Stability and Stabilizers"

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Doc code: RCEX Doc description: Request for Continued Examination (RCE)

	REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)										
Application Number	11435977	Filing Date	2006-05-17	Docket Number (if applicable)	6683.204-US	Art Unit	1654				
First Named	Tina B. Pedersen		1	Examiner Name	C. Bradley	Otine					
This is a Req Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV										
SUBMISSION REQUIRED UNDER 37 CFR 1.114											
in which they	were filed unless a	pplicant ins		applicant does not wi	nents enclosed with the RCE wi sh to have any previously filed t						
	submitted. If a fir n even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a				
☐ Co	nsider the argume	nts in the A	ppeal Brief or Reply	Brief previously filed	on						
☐ Ott	ner 										
X Enclosed											
☐ An	nendment/Reply										
⊠ Info	ormation Disclosur	e Statemer	nt (IDS)								
☐ Aff	davit(s)/ Declarati	on(s)									
Ot	her 										
			MIS	CELLANEOUS							
				requested under 37 der 37 CFR 1.17(i) red	CFR 1.103(c) for a period of mequired)	onths _					
Other											
				FEES							
★ The Dire	ctor is hereby auth			FR 1.114 when the F ment of fees, or cred	RCE is filed. it any overpayments, to						
	S	GNATUF	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED						
⋉ Patent	Practitioner Signa	ature									
Applica	ant Signature										

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner								
Signature	/Michael J. Brignati, Reg. No. 60,890/	Date (YYYY-MM-DD)	2011-09-13						
Name	Michael J. Brignati	Registration Number	60890						

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

NOVO NORDISK, INC.
INTELLECTUAL PROPERTY DEPARTMENT
100 COLLEGE ROAD WEST
PRINCETON, NJ 08540

EXAMINER
BRADLEY, CHRISTINA

ART UNIT
PAPER NUMBER

1654

DATE MAILED: 11/01/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	Tina Bjeldskov Pedersen	6683.204-US	7802

TITLE OF INVENTION: PROPYLENE GLYCOL-CONTAINING PEPTIDE FORMULATIONS WHICH ARE OPTIMAL FOR PRODUCTION AND FOR USE IN INJECTION DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	02/01/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

maintenance fee notificat	ions.	•		<u> </u>		parate "FEE ADDRESS" for		
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NOVO NORDI	SK, INC.		I ha		tificate of Mailing or Trans is Fee(s) Transmittal is bein			
	L PROPERTY DE	PARTMENT	Stat	tes Postal Service w	vith sufficient postage for fir	est class mail in an envelope above, or being facsimile late indicated below.		
100 COLLEGE I PRINCETON, N			tran	smitted to the USP	ΓO (571) 273-2885, on the d	ate indicated below.		
						(Depositor's name)		
			_			(Signature)		
						(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/435,977	05/17/2006		Tina Bjeldskov Pedersen		6683.204-US	7802		
TITLE OF INVENTION: USE IN INJECTION DE		DL-CONTAINING PEPT	IDE FORMULATIONS V	VHICH ARE OPTII	MAL FOR PRODUCTION A	AND FOR		
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	E FEE TOTAL FEE(S) DUE	E DATE DUE		
nonprovisional	NO	\$1740	\$300	\$0	\$2040	02/01/2012		
EXAMI	NER	ART UNIT	CLASS-SUBCLASS]				
BRADLEY, C	CHRISTINA	1654	514-007200	_				
1. Change of corresponde CFR 1.363).	nce address or indicatio	n of "Fee Address" (37	2. For printing on the p					
	ondence address (or Cha /122) attached.	inge of Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
			(2) the name of a single registered attorney or	le firm (having as a	member a 2es of up to			
PTO/SB/47; Rev 03-02 Number is required.	cation (or "Fee Address 2 or more recent) attach	ed. Use of a Customer	registered attorney or 2 registered patent atto- listed, no name will be	orneys or agents. If a printed.	no name is 3			
3. ASSIGNEE NAME AN	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	pe)				
PLEASE NOTE: Unle	ess an assignee is ident	ified below, no assignee	data will appear on the p	atent. If an assign	ee is identified below, the c	document has been filed for		
(A) NAME OF ASSIC		section of this form is 110	(B) RESIDENCE: (CITY and STATE OR COUNTRY)					
Please check the appropri	ate assionee category or	categories (will not be n	rinted on the patent):	Individual 🔲 Co	orporation or other private gr	youn entity Government		
								
4a. The following fee(s) a Issue Fee	re submitted:	41	b. Payment of Fee(s): (Plea A check is enclosed.	ase first reapply an	y previously paid issue fee	shown above)		
Publication Fee (No	o small entity discount p	permitted)	Payment by credit card. Form PTO-2038 is attached.					
Advance Order - #	of Copies		The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
5. Change in Entity Stat	us (from status indicated SMALL ENTITY state		□ h Amaliaantia na lan	oon oloimino SMAI	LL ENTITY status. See 37 C	PER 1.27(a)(2)		
NOTE: The Issue Fee and	Publication Fee (if req	uired) will not be accepte	d from anyone other than t			he assignee or other party in		
interest as shown by the re	ecords of the United Sta	tes Patent and Trademark	COffice.		, , , , , , , , , , , , , , , , , , ,			
Authorized Signature				Date				
Typed or printed name				Registration N	бо			
Alexandria, Virginia 2231	13-1450.				he public which is to file (an minutes to complete, includi mments on the amount of ti Trademark Office, U.S. Dep . SEND TO: Commissioner displays a valid OMB contro	d by the USPTO to process) ng gathering, preparing, and time you require to complete oartment of Commerce, P.O. for Patents, P.O. Box 1450, ol number.		

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435,977	05/17/2006	6683.204-US	7802	
23650 75	590 11/01/2011		EXAM	IINER
NOVO NORDIS	K, INC.		BRADLEY,	CHRISTINA
INTELLECTUAL	PROPERTY DEPART	TMENT		
100 COLLEGE RO	DAD WEST		ART UNIT	PAPER NUMBER
PRINCETON, NJ	08540		1654	-

DATE MAILED: 11/01/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 390 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 390 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	11/435,977	PEDERSEN ET AL.
Notice of Allowability	Examiner	Art Unit
	CHRISTINA BRADLEY	1654
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
1. \boxtimes This communication is responsive to <u>the RCE filed 09/15/20</u>	<u>911</u> .	
2. \square An election was made by the applicant in response to a rest requirement and election have been incorporated into this action.	riction requirement set forth during t	he interview on; the restriction
3. X The allowed claim(s) is/are 1-9,13-18,28 and 30-44.		
 4. Acknowledgment is made of a claim for foreign priority under a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 		
2. Certified copies of the priority documents have		
3. ☐ Copies of the certified copies of the priority do		
International Bureau (PCT Rule 17.2(a)).		G 11
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give		
6. CORRECTED DRAWINGS (as "replacement sheets") must	t be submitted.	
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Review (PTO-	948) attached
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	Office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
 DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FO 		
Attachment(s)	E N-4!4 -4 D	letent Application
1. Notice of References Cited (PTO-892)	5. Notice of Informal P	• •
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary Paper No./Mail Dat	
3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 09/15/2011	7. 🗌 Examiner's Amendr	
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
	9.	
/Christina Bradley/ Primary Examiner, Art Unit 1654		

U.S. Patent and Trademark Office PTOL-37 (Rev. 03-11)

L-37 (Rev. 03-11) Notice of Allowability

Part of Paper No./Mail Date 20111021

Application/Control Number: 11/435,977 Page 2

Art Unit: 1654

ALLOWANCE

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 09/15/2011 has been entered.

The information disclosure statement (IDS) submitted on 09/15/2011 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner. It is noted that non-patent literature citation 17 was not considered because a complete legible copy of the reference was not filed. The title page of the book was supplied but not p. 241. It has been placed in the application file, but the information referred to therein has not been considered.

Claims 1-9, 13-18, 28 and 30-44 are allowed as they appear in the Examiner's Amendment mailed 12/16/2009 and for the reasons presented in that Office action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTINA BRADLEY whose telephone number is (571)272-9044. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday 6:00 A.M. to 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on (571) 272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 11/435,977 Page 3

Art Unit: 1654

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christina Bradley/ Primary Examiner, Art Unit 1654

cmb

Becejet date: 09/15/2011

EFS Web 2.1.17

Doc description: Information Disclosure Statement (IDS) Filed

09/15/2011

11435977 ~ GAS 16546

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		11435977
	Filing Date		2006-05-17
INFORMATION DISCLOSURE	First Named Inventor	Tina E	3. Pedersen
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1654
(Not lot Submission under or of K 1.00)	Examiner Name	C. Bra	adley
	Attorney Docket Number		6683.204-US

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant Iment	Relev	s,Columns,Lines where vant Passages or Releves es Appear	
/C.B./	1	4468346		1984-08	-28	Paul et al.				
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/C.B./	1	20040156835	A1	2004-08	-12	Imoto et al.				
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/C.B./	1	2000-510813	JP			2000-08-22	GENETICS INSTIT	UTE	Corresponds to WO9624369	
/C.B./	2	2002-504908	JP			2002-02-12	ELI LILLY & CO.		Corresponds to WO9856406	
/C.B.,	3	2002-524514	JP			2002-08-06	ELI LILLY & CO.		Corresponds to WO0015224 previously submitted	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

Receipt date: 09/15/2011 11435977 - GAU: 1654 Application Number 11435977 Filing Date 2006-05-17 **INFORMATION DISCLOSURE** First Named Inventor Tina B. Pedersen STATEMENT BY APPLICANT Art Unit 1654 (Not for submission under 37 CFR 1.99) Examiner Name C. Bradley 6683.204-US Attorney Docket Number

/C.B./	4	0100223	wo	2001-01-04	MINIMED INC.		
/C.B./	5	0151071	wo	2001-07-19	NOVO NORDISK		
/C.B./	6	2002098445	wo	2002-12-12	CHUGAI PHARMACEUTICAL CO.		
/C.B.	7	9318785	wo	1993-09-30	NOVO NORDISK		
/C.B./	8	9624369	wo	1996-08-15	GENETICS INSTITUTE	Corresponds to JP 2000-510813	
/C.B./	9	9856406	wo	1998-12-17	ELI LILLY & CO.	Corresponds to JP 2002-504908	
/C.B./	10	2003519195	JP	2003-06-17	NPS ALLELIX CORP.	Corresponds to WO0149314 previously submitted	
/C.	B. ∜ 1	0247716	wo	2002-06-20	ELI LILLY		
/C.B./	12	2306024	CA	1999-04-08	FLEMINGTON PHARMACEUTICAL CORP.		
/C.B./	13	2527743	CA	2004-12-09	NOVO NORDISK		
/C.B./	14	722492	EP	2005-03-09	UNIVERSITY OF LEEDS INNOVATIONS LTD.		

EFS Web 2.1.17 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

11435977 - GAU: 1654 Receipt date: 09/15/2011 Application Number 11435977 Filing Date 2006-05-17 **INFORMATION DISCLOSURE** First Named Inventor Tina B. Pedersen STATEMENT BY APPLICANT Art Unit 1654 (Not for submission under 37 CFR 1.99) Examiner Name C. Bradley 6683.204-US Attorney Docket Number

If you wis	h to ac	dd additional Foreign Patent Document citation information please click the Add button Add	
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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T 5
/C.B./	1	ELI LILLY & CO., HUMALOG LISPRO INJECTION, USP PRODUCT INFORMATION DATED FEBRUARY 11, 2010	
/C.B./	2	European Pharmacopoeia, 3RD EDITION, 2.2.3, 1997, PP. 17-8, Council of Europe-Strasbourg	
/C.B./	3	FROKJAER & HOVGAARD, PHARMACEUTICAL FORMULATION DEVELOPMENT OF, 2000, PP. 145- 148 & 150-151	
/C.B./	4	FURTHER EXPERIMENTAL DATA DATED JUNE 22, 2009	
/C.B./	5	GONZALES, JOHNNY C., DECLARATION OF (INCLUDING CURRICULUM VITA) DATED NOVEMBER 1, 2010 from Patent EP1412384	
/C.B./	6	KNUDSEN, L.B. ET AL., POTENT DERIVATIVES OF GLUCOGON-LIKE PEPTIDE-1, JOURNAL OF MEDICINAL CHEMISTRY, 2000, VOL. 43, pp. 1664-9	
/C.B./	7	KRISTENSEN, H.G., ALMEN FARMACI, 2000, PP. 273-274, 281	
/C.B./	8	MACK PUBLISHING CO., REMINGTON'S PHARMACEUTICAL SCIENCES, 16TH EDITION,1980, PT. 79, pg. 1406	
/C.B./	9	MACK PUBLISHING CO., REMINGTON'S PHARMACEUTICAL SCIENCES, 18TH EDITION, 1990, CHAPTER 84, PAGES 1545-50	

EFS Web 2.1.17 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

11435977 - GAU: 1654 Receipt date: 09/15/2011 Application Number 11435977 Filing Date 2006-05-17 **INFORMATION DISCLOSURE** First Named Inventor Tina B. Pedersen STATEMENT BY APPLICANT Art Unit 1654 (Not for submission under 37 CFR 1.99) Examiner Name C. Bradley 6683.204-US Attorney Docket Number

/C.B./	10	MARTIN A. ET AL., PHYSICAL PHARMACY; PHYSICAL CHEMICAL PRINCIPLES IN THE PHARMACEUTICAL SCIENCES, 1983, 3RD EDITION, PG. 232	
/C.B.	11	MARTIN A. ET AL., PHYSICAL PHARMACY; PHYSICAL CHEMICAL PRINCIPLES IN THE PHARMACEUTICAL SCIENCES, 1983, 3RD EDITION, PG. 323	
/C.B./	12	SIGMA PRODUCT INFORMATION ON GLY-GLY BUFFER DATED MARCH 16, 2010	
/C.B./	13	STENESH, J. BIOCHEMISTRY, 1998, PP. 67-9	
/C.!	14 B./	UNITED STATES PHARMACOPOEIA, 24TH EDITION, 1999, PP. 1977-8	
/C.B./	15	VILLANUEVA_PENACARRIL, M.L., POTENT GLYCOGNIC EFFECT OF GLP-1(7-36) AMIDE IN RAT SKELETAL MUSCLE, DIABETOLOGIA, 1994, VOL. 37, PP. 1163-6	
/C.B./	16	WANG & HANSEN, JOURNAL OF PARENTERAL SCIENCE & TECHNOLOGY, 1988, VOL. 42, pp. 4-26	
000000000000000000000000000000000000000	17	WANG ET. AL., AGGREGATION OF THERAPEUTIC PROTEINS, 2010, PG. 241 no copy filed	
/C.B.	/ 18	WEINSTEIN, SHARON, PLUMER'S PRINCIPLES & PRACTICE OF INTRAVENOUS, 2006, VOL.8 (8), pp. 124-8	

EFS Web 2.1.17 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

Receipt date: 09/15/2011

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 11435977 11435977 - GAU: 1654

Filing Date 2006-05-17

First Named Inventor Tina B. Pedersen

Art Unit 1654

Examiner Name C. Bradley

6683.204-US

		EXAMINER SIGNATURE	
Examiner Signature	/Christina Bradley/	Date Considere	d 10/21/2011

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket Number

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 09/15/2011	Application Number		11435977	11435977 - GAU: 1654
INFORMATION BIOCH COURT	Filing Date		2006-05-17	
INFORMATION DISCLOSURE	First Named Inventor Tina E		B. Pedersen	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1654	
(Notice Submission under or or it iso)	Examiner Name	C. Bra	Bradley	
	Attorney Docket Number		6683.204-US	

CERTIFICATION STATEMENT								
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached certification statement.							
	The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
×	X A certification statement is not submitted herewith.							
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Signature		/Michael J. Brignati, Reg. No. 60,890/	Date (YYYY-MM-DD)	2011-09-13				
Name/Print		Michael J. Brignati	Registration Number	60890				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/435.977	02/14/2012	8114833	6683.204-US	7802

23650

7590

01/25/2012

NOVO NORDISK, INC. INTELLECTUAL PROPERTY DEPARTMENT 100 COLLEGE ROAD WEST PRINCETON, NJ 08540

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 663 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Tina Bjeldskov Pedersen, Smorum, DENMARK; Claude Bonde, Lyngby, DENMARK; Dorthe Kot Engelund, Holte, DENMARK;

IR103 (Rev. 10/09)