D		T
	C	1

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :		(11) Internatio	nal Publication Number:	WO 96/23807
С07Н 19/10, 19/20, С12Q 1/68	A1	(43) Internatio	nal Publication Date:	8 August 1996 (08.08.96)
<ul> <li>(21) International Application Number: PCT/SE</li> <li>(22) International Filing Date: 30 January 1996 (</li> <li>(30) Priority Data:</li> </ul>	96/0009	96 (81) Design DE, 6) Published With	ated States: CA, JP, US, Eu DK, ES, FR, GB, GR, IE, h international search repor	rropean patent (AT, BE, CH, IT, LU, MC, NL, PT, SE).
9500342-2 31 January 1995 (31.01.95)	S	SE		
(71)(72) Applicant and Inventor: KWIATKOWSKI, [SE/SE]; Lövsångarvägen 17, S-756 52 Uppsala (S	Man SE).	ek		
(74) Agents: WIDÉN, Björn et al.; Pharmacia AB, Patent 751 82 Uppsala (SE).	Dept.,	S-		
(54) Title: NOVEL CHAIN TERMINATORS, THE USE METHOD OF THEIR PREPARATION	THER	EOF FOR NUCL	EIC ACID SEQUENCING	AND SYNTHESIS AND A
о о х но-ё-о-ё-о-ё-о- он он он	°∕ -∕`	B		<b>(I</b> )
$\vec{R}_{1} - Z - \vec{C} - L_{1} - (L_{2})_{1} - (Q)_{m} - (F)_{n}$ $\vec{R}_{2}$				
(57) Abstract				
oxygen or sulphur, Y is hydrogen or hydroxy, which optionally may be protected, $R_1$ is hydrocarbyl, which optionally is substituted with a functional group, $R_2$ is hydrogen or hydroxarbyl, which optionally is substituted with a functional group, A is an electron withdrawing or electron donating group capable of moderating the acetal stability of compound (I), $L_1$ and $L_2$ are hydrocarbon linkers, which may be the same or different, $L_2$ , when present, being either (i) connected to $L_1$ via the group A, or (ii) directly connected to $L_1$ , the group A then being connected to one of linkers $L_1$ and $L_2$ . F is a dye label, Q is a coupling group for F, and 1, m and n independently are 0 or 1, with the proviso that 1 is 1 when m is 1, and 1 is 1 and m is 1 when n is 1. The compounds of formula (I) are useful as deactivatable chain extension terminators. The invention also relates to the use of the compounds (I) in Well as to a method of preparing compounds of Formula (I).				
			of Columbia Univ in the City of Nev IDP 2020 01177	versity v York

**DOCKET A L A R M** Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

D		T
- 1	C	1

ALARM

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number: WO 96/23807
C07H 19/10, 19/20, C12Q 1/68	A1	(43) International Publication Date: 8 August 1996 (08.08.96)
(21) International Application Number: PCT/SE (22) International Filing Date: 30 January 1996 (	96/000 30.01.9	<ul> <li>(81) Designated States: CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</li> <li>(6)</li> </ul>
(30) Priority Data: 9500342-2 31 January 1995 (31.01.95)	5	Published With international search report. SE
(71)(72) Applicant and Inventor: KWIATKOWSKI, [SE/SE]; Lövsångarvägen 17, S-756 52 Uppsala (S	Man SE). Dept.	ek S-
751 82 Uppsala (SE).	Dept.,	
(54) Title: NOVEL CHAIN TERMINATORS, THE USE METHOD OF THEIR PREPARATION	THER	EOF FOR NUCLEIC ACID SEQUENCING AND SYNTHESIS AND A
О О Х НО-Р-О-Р-О-Р-О ОН ОН ОН R <sub>1</sub> Z-С-	0 	(I) (A) - (L <sub>2</sub> ) <sub>1</sub> - (Q) <sub>m</sub> - (F) <sub>n</sub>
Ŕ₂		
(57) Abstract		
The invention relates to compounds of general struct oxygen or sulphur, Y is hydrogen or hydroxy, which optio a functional group, $R_2$ is hydrogen or hydrocarbyl, which or electron donating group capable of moderating the acets	ture (I) nally m optiona al stabil	or salts thereof, wherein B is a nucleobase, X and Z independently are hay be protected, $R_1$ is hydrocarbyl, which optionally is substituted with illy is substituted with a functional group, A is an electron withdrawing lity of compound (I), $L_1$ and $L_2$ are hydrocarbon linkers, which may be

the same or different,  $L_2$ , when present, being either (i) connected to  $L_1$  via the group A, or (ii) directly connected to  $L_1$ , the group A then being connected to one of linkers  $L_1$  and  $L_2$ , F is a dye label, Q is a coupling group for F, and 1, m and n independently are 0 or 1, with the proviso that 1 is 1 when m is 1, and 1 is 1 and m is 1 when n is 1. The compounds of formula (I) are useful as deactivatable chain extension terminators. The invention also relates to the use of the compounds (I) in nucleic acid synthesis and nucleic acid sequencing as well as to a method of preparing compounds of Formula (I).

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
СН	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	ม	Liechtenstein	SK	Slovakia
СМ	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova UA Ukraine		Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Vict Nam

DOCKET

LARM

Δ

#### NOVEL CHAIN TERMINATORS, THE USE THEREOF FOR NUCLEIC ACID SEQUENCING AND SYNTHESIS AND A METHOD OF THEIR PREPARATION

The present invention relates to novel nucleic acid 5 chain extension terminators, their use in nucleic acid sequencing and synthesis, respectively, as well as a method for preparing such compounds.

Today, there are two predominant methods for DNA sequence determination: the chemical degradation method (Maxam and Gilbert, Proc. Natl. Acad. Sci. <u>74</u>:560-564 (1977), and the dideoxy chain termination method (Sanger et al., Proc. Natl. Acad. Sci. <u>74</u>:5463-5467 (1977)). Most automated sequencers are based on the chain termination method utilizing fluorescent detection of product

15 formation. In these systems either primers to which deoxynucleotides and dideoxynucleotides are added are dyelabelled, or the added dideoxynucleotides are fluorescently labelled. As an alternative, dye labelled deoxynucleotides can be used in conjunction with unlabeled

20 dideoxynucleotides. This chain termination method is based upon the ability of an enzyme to add specific nucleotides onto the 3' hydroxyl end of a primer annealed to a template. The base pairing property of nucleic acids determines the specificity of nucleotide addition. The

25 extension products are then separated electrophoretically on a polyacrylamide gel and detected by an optical system utilizing laser excitation.

Although both the chemical degradation method and the dideoxy chain termination method are in widespread use,

- 30 there are many associated disadvantages. For example, the methods require gel-electrophoretic separation. Typically, only 400-800 base pairs can be sequenced from a single clone. As a result, the systems are both time- and laborintensive. Methods avoiding gel separation have been
- 35 developed in attempts to increase the sequencing throughput.

Sequencing by hybridization (SBH) methods have been proposed by Crkvenjakov (Drmanac et al., Genomics <u>4</u>:114

1

(1989); Strezoska et al., (Proc. Natl. Acad. Sci. USA <u>88</u>:10089 (1991)), Bains and Smith (Bains and Smith, J. Theoretical Biol. <u>135</u>:303 (1988)) and in US-A-5,202,231. This type of system utilizes the information obtained from

5 multiple hybridizations of the polynucleotide of interest, using short oligonucleotides to determine the nucleic acid sequence. These methods potentially can increase the sequence throughput beacuse multiple hybridization reactions are performed simultaneously. To reconstruct the

10 sequence, however, an extensive computer search algorithm is required to determine the most likely order of all fragments obtained from the multiple hybridizations.

The SBH methods are problematic in several respects. For example, the hybridization is dependent upon the

- 15 sequence composition of the duplex of the oligonucleotide and the polynucleotide of interest, so that GC-rich regions are more stable than AT-rich regions. As a result, false positives and false negatives during hybridization detection are frequently present and complicate sequence
- 20 determination. Furthermore, the sequence of the polynucleotide is not determined directly, but is inferred from the sequence of the known probe, which increases the possibility for error.

Methods have also been proposed which detect the 25 addition or removal of single molecules from a DNA strand. For example, Hyman E.D., Anal. Biochem., <u>174</u>:423 (1988) discloses the addition of a nucleotide to a an immobilised DNA template/primer complex in the presence of a polymerase and determination of polymerisation reaction

30 by detecting the pyrophosphate liberated as a result of the polymerisation.

Jett et al., J. Biomol. Struct. Dyn., I, p. 301, 1989 discloses a method wherein a single stranded DNA or RNA molecule of labelled nucleotides, complementary to the

35 sequence to be determined, is suspended in a moving flow stream. Individual bases are then cleaved sequentially from the end of the suspended sequence and determined by a detector passed by the flow stream.

2



## Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

