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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

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| | | | INVENTO | DR(S) | | | 1 |
| Given Name (first an | nd middle lif anvl) | Family Name or Su | | ~~~ | Residence (Cit | y and either State o | r Foreign Country) |
| Scott D. | ia mulaic [ii aiiy]) | Edmondson | | New York. | | , | |
| Michael H. | | Fisher | | Ringoes, N | | | |
| Dooseop | | Kim | | Westfield, | | | |
| Malcolm | | MacCoss | | Freehold, N | | | |
| Emma R. | | Parmee | | Scotch Plai | | | |
| Ann E. | | Weber | | Scotch Plan | | | |
| inyou | | Xu | | Scotch Plan | uns, NJ | | |
| | nventors are being i | named on the separ | rately numbe | ered sheets atta | ached hereto | | |
| | intentors are being i | TITLE OF TH | | | | | |
| BETA-AMINO HE | TEROCYCLIC DI | PEPTIDYL PEPTIDASI | E INHIBITO | RS FOR THE | E TREATME | ENT OR PREVEN | NTION OF DIABETES |
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| | | rck & Co , Inc. | | | | | 2010 |
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| | |). Box 2000 | | | | | |
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INVENTOR INFORMATION

Inventor One Given Name:: Scott D. Family Name:: Edmondson Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence :: New York State or Province of Residence :: NY Country of Residence :: U.S.A. Citizenship Country :: U.S.A. Inventor Two Given Name :: Michael H. Family Name:: Fisher Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province:: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence:: Ringoes State or Province of Residence :: NJ Country of Residence :: U.S.A. Citizenship Country:: U.S.A. Inventor Three Given Name:: Dooseop Family Name:: Kim Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence :: Westfield State or Province of Residence :: NJ Country of Residence :: U.S.A. Citizenship Country :: Korea Inventor Four Given Name :: Malcolm Family Name:: MacCoss Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence :: Freehold State or Province of Residence:: NJ Country of Residence :: U.S.A. Citizenship Country :: United Kingdom Inventor Five Given Name :: Emma R. Family Name:: Parmee Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway

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State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence:: Scotch Plains State or Province of Residence :: NJ Country of Residence :: U.S.A. Citizenship Country:: United Kingdom Inventor Six Given Name :: Ann E. Family Name:: Weber Postal Address Line One:: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence :: Scotch Plains State or Province of Residence :: NJ Country of Residence :: U.S.A. Citizenship Country :: U.S.A. Inventor Seven Given Name :: Jinyou Family Name:: Xu Postal Address Line One .: Merck & Co., Inc., P.O. Box 2000 City:: Rahway State or Province :: NJ Country:: U.S.A. Postal or Zip Code:: 07065-0907 City of Residence:: Scotch Plains State or Province of Residence :: NJ Country of Residence :: U.S.A. Citizenship Country :: China

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 000210

APPLICATION INFORMATION

Title Line One:: BETA-AMINO HETEROCYCLIC DIPEPTIDYL PEPTI Title Line Two:: DASE INHIBITORS FOR THE TREATMENT OR PRE Title Line Three:: VENTION OF DIABETES Formal Drawings?:: No Application Type:: Utility Docket Number:: 20907PV Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Registration Number One:: 35382 Registration Number Two:: 26332

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Source:: PrintEFS Version 1.0.1

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TITLE OF THE INVENTION

BETA-AMINO HETEROCYCLIC DIPEPTIDYL PEPTIDASE INHIBITORS FOR THE TREATMENT OR PREVENTION OF DIABETES

5 BACKGROUND OF THE INVENTION

Diabetes refers to a disease process derived from multiple causative factors and characterized by elevated levels of plasma glucose or hyperglycemia in the fasting state or after administration of glucose during an oral glucose tolerance test. Persistent or uncontrolled hyperglycemia is associated with increased and premature morbidity and mortality. Often abnormal glucose homeostasis is associated both directly and indirectly with alterations of the lipid, lipoprotein and apolipoprotein metabolism and other metabolic and hemodynamic disease. Therefore patients with Type 2 diabetes mellitus are at especially increased risk of macrovascular and microvascular complications, including coronary heart disease, stroke, peripheral vascular disease, hypertension, nephropathy, neuropathy, and retinopathy. Therefore, therapeutical control of glucose homeostasis, lipid metabolism and hypertension are critically important in the clinical management and treatment of diabetes mellitus.

There are two generally recognized forms of diabetes. In type 1 diabetes, or insulin-dependent diabetes mellitus (IDDM), patients produce little or no insulin, the hormone which regulates glucose utilization. In type 2 diabetes, or noninsulin dependent diabetes mellitus (NIDDM), patients often have plasma insulin levels that are the same or even elevated compared to nondiabetic subjects; however, these patients have developed a resistance to the insulin stimulating effect on glucose and lipid metabolism in the main insulin-sensitive tissues, which are muscle, liver and adipose tissues, and the plasma insulin levels, while elevated, are insufficient to overcome the pronounced insulin resistance.

Insulin resistance is not primarily due to a diminished number of insulin receptors but to a post-insulin receptor binding defect that is not yet understood. This resistance to insulin responsiveness results in insufficient insulin activation of glucose uptake, oxidation and storage in muscle and inadequate insulin repression of lipolysis in adipose tissue and of glucose production and secretion in the liver.

The available treatments for type 2 diabetes, which have not changed substantially in many years, have recognized limitations. While physical exercise and reductions in dietary intake of calories will dramatically improve the diabetic

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