### UNITED STATES PATENT AND TRADEMARK OFFICE

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#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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WALMART INC., Petitioner,

v.

CARAVAN CANOPY INTERNATIONAL, INC., Patent Owner.

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Case No. IPR2020-01026
Patent No. 5,944,040
Issue Date: AUGUST 31, 1999
Title: COLLAPSIBLE TENT FRAME

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#### PATENT OWNER'S UPDATED EXHIBIT LIST



## **EXHIBIT LIST**

Exhibit No.	Description	Served	Filed
2001	Caravan Canopy Int'l, Inc. v. Home Depot U.S.A., et al., No. SACV 19-01072, Order Consolidating Cases, dated December 13, 2019	X	X
2002	Caravan Canopy Int'l, Inc. v. Costco Wholesale Corporation, et al., No. SACV 19- 01072, Scheduling Order, dated January 27, 2020	X	X
2003	Caravan Canopy Int'l, Inc. v. Walmart Inc., et al., No. 19-06978 Consolidated with 19-01072, Walmart's Memorandum in Support of its Motion to Stay Litigation Pending Inter Partes Review, dated June 18, 2020	X	X
2004	Caravan Canopy Int'l, Inc. v. Lowe's Home Centers, LLC et al., No. 19-06952 Consolidated with 19-01072, Request for Clarification re Stay of Litigation, dated August 26, 2020	X	X
2005	Caravan Canopy Int'l, Inc. v. Home Depot U.S.A., Inc., et al., No. SACV 19-01072, Order Denying Defendants' Request for Clarification, dated August 28, 2020	X	X
2006	Claim Chart for Walmart's Ozark Trial Canopy, dated December 9, 2019	X	X
2007	Caravan Canopy Int'l, Inc. v. Walmart Inc., et al., No. 19-06978 Consolidated with 19-01072, Defendant Walmart Inc.'s Preliminary Invalidity Contentions, dated March 16, 2020	X	X
2008	Walmart's Initial Invalidity Contentions Claim Chart – Ex. D	X	X
2009	Walmart's Initial Invalidity Contentions Claim Chart – Ex. A	X	X



Exhibit No.	Description	Served	Filed
2010	Caravan Canopy Int'l, Inc. v. Costco Wholesale Corporation, et al., No. 19-01072, Costco Wholesale Corporation's Invalidity Contentions and Accompanying Document Production, dated November 4, 2019X	X	X
2011	Caravan Canopy Int'l, Inc. v. Z-Shade Co. LTD., et al., No. 19-06224, Z-Shade Co., LTD.'s Invalidity Contentions and Accompanying Document Production, dated November 4, 2019	X	X
2012	Caravan Canopy Int'l, Inc. v. Shelterlogic Corp., et al., No. 19-01224 Consolidated with 19-01072, Invalidity Contentions of Shelterlogic Corp., dated January 21, 2020	X	X
2013	Caravan Canopy Int'l, Inc. v. Lowe's Home Centers, LLC et al., No. 19-06952, Lowe's Invalidity Contentions and Accompanying Document Production, dated November 4, 2019	X	X
2014	Declaration of Lance Rake	X	X
2015	U.S. Patent No. 5,701,923 to Losi, Jr. et al.	X	X
2016	U.S. Patent No. 5,275,188 to Tsai	X	X
2017	U.S. Patent No. 5,421,356 to Lynch	X	X
2018	U.S. Patent No. 5,634,483 to Gwin	X	X
2019	U.S. Patent No. 5,794,640 to Jang	X	X
2020	U.S. Patent No. 4,641,676 to Lynch	X	X
2021	U.S. Patent No. 4,607,656 to Carter	X	X
2022	Caravan Canopy Int'l, Inc. v. Costco Wholesale Corp., et al., No. SACV 19-01072, Response to "Request for Clarification re Stay of Litigation," dated August 27, 2020	X	X



## IPR2020-01026 Patent Owner's Updated Exhibit List

Exhibit No.	Description	Served	Filed
2023	Caravan Canopy Int'l, Inc. v. Costco Wholesale Corp., et al., No. SACV 19-01072, Amended Scheduling Order, dated August 11, 2020	X	X
2024	Caravan Canopy Int'l, Inc. v. Costco Wholesale Corp., et al., No. SACV 19-01072, Costco Wholesale Corporation's Final Invalidity Contentions and Accompanying Document Production, dated August 18, 2020	X	X
2025	Caravan Canopy Int'l, Inc. v. Z-Shade Co. LTD, et al., No. SACV 19-06224, Z-Shade Co. LTD's Final Invalidity Contentions and Accompanying Document Production, dated August 18, 2020	X	X
2026	Caravan Canopy Int'l, Inc. v. ShelterLogic Corp. No. SACV 19-01072, Invalidity Contentions of ShelterLogic Corp., dated March 16, 2020	X	X
2027	Caravan Canopy Int'l, Inc. v. Lowe's Home Centers, LLC, No. SACV 19-006952, Lowe's Invalidity Contentions and Accompanying Document Production, dated November 4, 2019	X	X
2028	Supplemental Declaration of Lance Rake	X	



## IPR2020-01026 Patent Owner's Updated Exhibit List

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner hereby serves this Updated

Exhibit List and Exhibit 2028.

Date: January 14, 2021 By: /Kyle W. Kellar/

Kyle W. Kellar, Reg. No. 71,165 LEWIS ROCA ROTHGERBER CHRISTIE LLP 655 N. Central Ave., Suite 2300 Glendale, CA 91203 Counsel for Patent Owner Caravan Canopy International, Inc.



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