

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,
Patent Owner.

Case IPR2020-01012
Patent 7,589,642

**MANDATORY NOTICES OF THE PATENT OWNER
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

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I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. *Universal Electronics, Inc. v. Roku, Inc.*, 8:20-cv-00701-JVS-ADS (C.D. Cal. 2020) (pending);
- C. *Universal Electronics, Inc. v. TCL Electronics Holdings LTD., et al.*, 8:20-cv-00704-JVS-ADS (C.D. Cal. 2020) (pending);
- D. *Universal Electronics, Inc. v. Hisense Co., LTD., et. al.*, 8:20-cv-00696-JVS-ADS (C.D. Cal. 2020) (pending);
- E. *Universal Electronics Inc. v. Funai Electric Company, LTD., et al.*, 8:20-cv-00700-JVS-ADS (C.D. Cal. 2020) (pending);
- F. *Certain Electronic Devices, Including Streaming Players, Televisions, Set Top Boxes, Remote Controllers, and Components Thereof*; Inv. No. 337-TA-1200 (U.S.I.T.C. 2020)
- G. U.S. Patent No. 9,716,853, IPR2020-00952 (petition filed on May 18, 2020, no institution decision has been issued)
- H. U.S. Patent No. 9,911,325, IPR2020-00953 (petition filed on May 18, 2020, no institution decision has been issued)
- I. U.S. Patent No. 9,911,325, IPR2020-00951 (petition filed on May 18, 2020, no institution decision has been issued)
- J. U.S Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, institution denied);

- K. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, institution denied);
- L. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, institution denied);
- M. U.S. Patent No. 9,911,325, IPR2019-01614 (petition filed on September 18, 2019, instituted on April 16, 2020);
- N. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, instituted on April 1, 2020);
- O. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, instituted on May 14, 2020);
- P. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, instituted on May 14, 2020);
- Q. U.S. Patent No. 7,589,642, IPR2019-01612 (petition filed on September 18, 2019, instituted on April 1, 2020);
- R. U.S. Patent No. 9,716,853, IPR2019-01615 (petition filed on September 18, 2019, instituted on April 17, 2020);
- S. *Universal Electronics Inc. v. Logitech Inc.*, 8-11-cv-01056 (C.D. Cal. 2011) (terminated);
- T. *Universal Electronics Inc v. Peel Technologies, Inc.*, 8-13-cv-01484 (C.D. Cal. 2013) (terminated);
- U. *Universal Electronics, Inc v. Universal Remote Control, Inc. et al.*, 8-16-cv-01666 (C.D. Cal. 2016) (terminated);
- V. *Universal Electronics, Inc. v. Universal Remote Control, Inc.*, 8-13-cv-00984 (C.D. Cal. 2013) (terminated);
- W. *Universal Remote Control, Inc. v. UEI Cayman Inc.*, IPR2014-01082 (PTAB 2014) (institution denied); and
- X. The following applications and patents claim, or may claim, the benefit of the priority of the '642 patent: 12/462,526 filed on 08-04-2009 which

is now US Pat. No. 8,23,2001; 13/068,820 filed on 05-21-2011 which is now US Pat. No. 9,355,553; 15/153,905 filed on 05-13-2016 which is now US Pat. No. 9,911,235; 15/864,339 filed on 01-08-2018 which is Pending; 16,057,544 filed on 08-07-2018 which is Pending.

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