| | Page 1 |
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| 1 | UNITED STATES PATENT AND TRADEMARK OFFICE |
| 2 | BEFORE THE PATENT TRIAL AND APPEAL BOARD |
| 3 | |
| 4 | ADVANCED MICRO DEVICES, INC., |
| 5 | Petitioner, |
| 6 | v. |
| 7 | MONTEREY RESEARCH LLC, |
| 8 | Patent Owner. |
| 9 | |
| 10 | Case No. IPR2020-00985 |
| | U.S. Patent 6,651,134 |
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| 12 | |
| 13 | |
| 14 | REMOTE VIDEOTAPED DEPOSITION OF R. JACOB BAKER |
| 15 | Friday, February 12, 2021 |
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| 24 | Reported By: Lynne M. Ledanois, CSR 6811 |
| 25 | |



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| 1 | UNITED STATES PATENT AND TRADEMARK OFFICE | 1 | INDEX OF EXAMINATION |
| 2 | BEFORE THE PATENT TRIAL AND APPEAL BOARD | 2 | Examination by: Page |
| 3 | A DAVANGED A MODE O DEVMODE A DAVA | 3 | Mr. Wueste 9 |
| 4 | ADVANCED MICRO DEVICES, INC., | 4 | |
| 5 | Petitioner, | 5 | |
| 6 | V. | 6 | |
| 7 | MONTEREY RESEARCH LLC, | 7 | |
| 8 | Patent Owner. | 8 | |
| 9 | | 9 | |
| 10 | Case No. IPR2020-00985 | 10 | |
| ١., | U.S. Patent 6,651,134 | 11 | |
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| 13 | William III Will AR MAGOR | 14 | |
| 14 | Videotaped deposition of R. JACOB | 15 | |
| 15 | BAKER, taken in Las Vegas, Nevada, commencing | 16 | |
| 16 | at 8:50 a.m., on Friday, February 12, 2021 | 17 | |
| 17 | before Lynne Ledanois, Certified Shorthand | 18 | |
| 18 | Reporter No. 6811 | 19 | |
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| 23 | | | |
| 1 | Page 3 APPEARANCES: | 1 | Page 5 INDEX OF EXHIBITS |
| | ATTEARANCES. | [| |
| $\frac{1}{2}$ | Comment for the Detition on | $\begin{vmatrix} 2 \\ 2 \end{vmatrix}$ | Deposition Description Page |
| 3 | Counsel for the Petitioner: | 3 | Exhibit 2003 Document headed, DRAM |
| 4 | DESMARAIS LLP | 4 | Circuit Design; 76 |
| _ | BY: MICHAEL WUESTE | | |
| 5 | | 5 | DD DY WOLLDY VILL DAVED |
| 6 | Attorney at Law | 6 | PREVIOUSLY MARKED |
| 6 7 | Attorney at Law 230 Park Avenue | 6 7 | NUMBER PAGE |
| 6 7 8 | Attorney at Law 230 Park Avenue New York, New York 10169 | 6 7 8 | NUMBER PAGE Exhibit 1002 23 |
| 6 7 8 9 | Attorney at Law 230 Park Avenue | 6 7 8 9 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 |
| 6 7 8 | Attorney at Law 230 Park Avenue New York, New York 10169 | 6 7 8 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
| 6 7 8 9 10 | Attorney at Law 230 Park Avenue New York, New York 10169 | 6 7 8 9 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 |
| 6 7 8 9 10 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com | 6 7 8 9 10 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
| 6 7 8 9 10 11 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: | 6 7 8 9 10 11 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
| 6 7 8 9 10 11 12 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: O'MELVENY & MYERS LLP BY: BRIAN MERRILL COOK | 6 7 8 9 10 11 12 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
| 6 7 8 9 10 11 12 13 14 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: O'MELVENY & MYERS LLP BY: BRIAN MERRILL COOK Attorney at Law | 6 7 8 9 10 11 12 13 14 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
| 6 7 8 9 10 11 12 13 14 15 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: O'MELVENY & MYERS LLP BY: BRIAN MERRILL COOK Attorney at Law 400 S. Hope Street | 6 7 8 9 10 11 12 13 14 15 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
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| 6 7 8 9 10 11 12 13 14 15 16 17 18 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: O'MELVENY & MYERS LLP BY: BRIAN MERRILL COOK Attorney at Law 400 S. Hope Street 18th Floor | 6 7 8 9 10 11 12 13 14 15 16 17 18 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
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| 6 7 8 9 10 11 12 13 14 15 166 17 18 19 20 21 | Attorney at Law 230 Park Avenue New York, New York 10169 mwueste@desmaraisllp.com Counsel for the Patent Owner: O'MELVENY & MYERS LLP BY: BRIAN MERRILL COOK Attorney at Law 400 S. Hope Street 18th Floor Los Angeles, California 90071 bcook@omm.com | 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 | NUMBER PAGE Exhibit 1002 23 Exhibit 1003 107 Exhibit 1005 145 |
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| | Page 6 | | Page 8 |
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| 1 | Friday, February 12, 2021 | 1 | R. JACOB BAKER, PH.D., |
| 2 | 8:50 a m. | 2 | having been duly sworn, testified as follows: |
| 3 _ | | 3 | EXAMINATION |
| 4 | VIDEOGRAPHER: Good morning. We're | 4 | VIDEOGRAPHER: You may proceed, |
| 5 | going on the record at approximately | 5 | counsel. |
| 6 | 8:50 a m. on February 12th, 2021. | 6 | BY MR. WUESTE: |
| 7 | Please note that your microphones are | 7 | Q Good morning, Dr. Baker. |
| 8 | sensitive and may pick up whispering, | 8 | 2 |
| 9 | private conversations and cell phones. | 9 | Q Please state and spell your name and |
| 10 | Please turn off all cell phones or | 10 | address for the record. |
| 11 | place them away from the microphones as they | 11 | A Russell, R-U-S-S-E-L, Jacob, |
| 12 | can interfere with the deposition audio. | 12 | J-A-C-O-B, Baker, B-A-K-E-R. |
| 13 | Audio and video recording will | 13 | My address is 6775 Agave, which is |
| 14 | continue to take place unless all parties | 14 | spelled A-G-A-V-E, Azul, which is spelled |
| 15 | agree to go off the record. | 15 | A-Z-U-L, Court, Las Vegas, Nevada 89120. |
| 16 | This is Media Unit 1 of the | 16 | |
| 17 | video-recorded deposition of Dr. R. Jacob | 17 | although we're taking this deposition remotely, |
| 18 | Baker in the matter of Advanced Micro | 18 | you're under oath today just as you would be in |
| 19 | Devices Incorporated, petitioner, versus | 19 | <i>y 2</i> |
| 20 | Monterey Research LLC, patent owner. | 20 | |
| 21 | This case is filed in the United | 21 | Q Is there any reason you're not able to |
| 22 | States Patent and Trademark Office before | 22 | testify truthfully and accurately today? |
| 23 | the Patent Trial and Appeal Board. Case | 23 | |
| 24 | number IPR2020-00985. | 24 | |
| 25 | Today's deposition is being held via | 25 | correct? |
| 1 | Page 7 | 1 | Page 9 |
| 1 | remote video conference and all parties are | 1 | A Yes. |
| 2 | at their own respective locations. | $\begin{vmatrix} 2 \\ 3 \end{vmatrix}$ | |
| 3 | My name is Chris Neal from the firm vary tech legal solutions and I'm the | 4 | |
| 5 | videographer. The court reporter is Lynne | 5 | Q Roughly how many remote depositions have you done? |
| 6 | Ledanois, also from the firm Veritext Legal | 6 | • |
| 7 | Solutions. | 7 | Q Okay. I'll go over basic rules |
| 8 | I'm not related to any party in this | 8 | |
| 9 | action nor am I financially interested in | 9 | 1 1 1 |
| 10 | the outcome in any way. | 10 | |
| 11 | Counsel and everyone attending | 11 | So it's important even more than usual that we |
| 12 | remotely will now state their appearances | 12 | • |
| 13 | and affiliations for the record. If there | 13 | |
| 14 | are any objections to proceeding, please | 14 | , e , |
| 15 | state them at the time of your appearance | 15 | asking another question. And I'll ask that you |
| 16 | beginning with the noticing attorney. | 16 | |
| 17 | MR. WUESTE: Michael Wueste from | 17 | |
| 18 | Desmarais LLP representing patent owner | 18 | |
| 19 | Monterey research LLC. | 19 | |
| 20 | MR. COOK: This is Brian Cook with | 20 | • • |
| 21 | O'Melveny & Myers representing petitioner | 21 | not request a break while there is a question |
| 22 | Advanced Micro Devices. | 22 | pending. |
| 23 | VIDEOGRAPHER: Thank you. Will the | 23 | |
| 24 | court reporter please swear in the witness. | 24 | • |
| 25 | - | 25 | |
| 1 | | 1 | |



Page 10 1 so I have a couple of additional questions. have another TV up on the wall that's connected 2 You mentioned before that you are to my control for audiovisual system in my home. 3 located at Agave Azul Court in Las Vegas, And I have an Ethernet switch and a remote Nevada. Is that where you're located today to 4 4 control also in this room. 5 provide your testimony? 5 Okay. Aside from the device you're 6 A Yes. using to appear over Zoom today and the Polycom 7 Q And is that your home or an office? phone, do you have your cell phone or tablet or 8 It's my home and I have a conference Α 8 any other kind of electronic communication 9 room and an office in my home. device in your room with you? 10 Okay. Is there anybody else in the 10 Α No. 11 room with you today? 11 Q Okay. And just to confirm, the 12 A No. Polycom phone is off and unconnected right now; 12 13 0 Do you have any documents in the room 13 correct? 14 with you today? 14 Α It's off. It's still connected to the 15 Α Yes. 15 wall. 16 O And what are those documents? 16 Q My apologies. The Polycom phone in 17 I have a clean version of my front of you is off or you said disengaged; 17 declaration in this matter for the '134 patent 18 correct? 19 and then I have the '134 patent itself, clean as 19 A Yes. 20 well. 20 O Okay. So right now -- strike that. 21 Q 21 Any other documents? You are currently connected to the 22 Α No. 22 Zoom application; correct? 23 Okay. Did you print out those copies 23 Α Yes. 24 of the documents, your declaration in this 24 Q And you're currently connected to the matter and the '134 patent yourself? exhibit share application; correct? Page 11 Page 13 1 Α Yes. 1 Α I'm logged into the Veritext exhibit 2 2 Okay. And just to confirm, you share, yes. mentioned earlier that your declaration was 3 So right now in the room with you, am clean. Purely for the record, there are no I correct in understanding that the only means notes on the declaration -- on the copy of your 5 of communications you have open are the Zoom declaration you have with you today? application and exhibit share application? 7 7 Correct, there is no writing. It's I have my email open as well and my 8 8 not been marked or annotated in any way. Chrome web browser. Q Okay. And the same question for the Would you please close your email in 10 '134 patent. The copy of the '134 patent you 10 your Chrome web browser for the duration of this have with you today is clean, no notes or marks 11 deposition? 12 or annotations? 12 Α Yes. 13 13 Α Correct. Just to confirm, you've now closed out 14 Okay. And just to cross my T's and 14 of your email application? 15 dot my I's, are there any other paper documents 15 Α Yes. in your room today that you used in preparation 16 Okay. Dr. Baker, you understand that 17 for this deposition? you've been sworn in and you may not communicate 17 18 Α No. 18 with anyone about the substance of your 19 Dr. Baker, do you have any other 19 testimony during the course of this deposition? 20 electronic devices in the room with you other Α 21 than the device you're using to appear over Zoom 21 Dr. Baker, what did you do to prepare 22 22 right now? for today's deposition? 23 23 I have a Polycom phone, which is not MR. COOK: I'll just caution the 24 engaged or off. I have a TV I use for 24 witness not to reveal any attorney-client projecting in the conference room. And then I 25 communication or attorney work product. But

Page 14 Page 16 1 otherwise, go ahead and answer the question. because during our meeting yesterday, we met 2 THE WITNESS: I reviewed my with the Veritext folks about today's 3 declaration, cited materials, other 3 deposition. 4 4 documents in the proceedings and I met with Okay. Beyond the Veritext folks that 5 AMD's attorneys. you mentioned as well as AMD's counsel, did you 6 BY MR. WUESTE: meet or speak with anybody else in preparation 7 Q I would like to start with the for today's deposition? 8 documents you reviewed. So you reviewed your 8 Α No. declaration and the materials cited within your Q And just to confirm, when you met with 10 declaration: correct? 10 the Veritext folks, that was to walk you through 11 Α Yes. using the Veritext platform and exhibit share 12 platforms? And you mentioned other documents in 12 13 the proceeding. What other documents were 13 Α Yes. 14 14 those? Thank you, sir. 15 The patent owner preliminary response, 15 Dr. Baker, you understand you're 16 the -- I forget what it's called, response to testifying today regarding an inter partes 16 review or IPR petition that AMD has brought 17 the patent owner preliminary response and then 17 the surreply to that response. I don't remember against U.S. Patent Number 6,651,134 owned by my 19 exactly the names. And then the only other one 19 client, Monterey Research LLC? 20 20 Α I reviewed was the decision. Yes. 21 21 0 Q And by decision, am I to take that to And you've already done so, so if I 22 mean the institution decision filed by the 22 continue to refer to that patent as the '134 23 Patent Trials and Appeals Board? 23 patent, you'll understand what I mean? 24 24 Α Yes. Α Yes. 25 25 Q Q Okay. How many hours did you spend When were you retained to provide your Page 15 Page 17 opinions regarding the '134 patent in this IPR reviewing those documents in advance of today's 1 2 deposition? 2 proceeding? 3 A I don't remember exactly, but I think 3 Α I believe it was earlier last year in 4 on my own it was about three hours. 2020. 4 5 5 Okay. Were there any other documents, Can you give a rough, you know, month aside from the ones already mentioned, that you or date when you were retained in 2020? 6 7 reviewed in preparation for today's deposition? If I had to guess, I would say A I don't think so. I think that was 8 8 February or March. 9 it. 9 How were you retained for this IPR 10 You mentioned you met with AMD's 10 proceeding regarding the '134 patent? Was it 11 through an engagement letter or something 11 counsel. Without getting into any privileged conversations, whom from AMD's counsel did you 12 similar? meet with? 13 13 Α Yes. 14 14 Α Mr. Cook and Mr. Whilt. What did you discuss with counsel for 15 15 AMD before you signed your engagement letter? Could you spell the latter person's 16 name for the record, please? 16 MR. COOK: I'll caution the witness 17 Whilt is spelled W-H-I-L-T. 17 not to reveal any attorney-client 18 Thank you, sir. For how long did you 18 communications. I'm not sure what that 19 meet with Mr. Cook and Mr. Whilt in preparation 19 question is trying to get at. 20 20 for today's deposition? THE WITNESS: I don't know if I 21 21 Around six hours. discussed anything or if I did, I don't 22 22 Did you meet or speak with anybody recall what I discussed. It was a year ago. 23 else either from AMD's counsel or otherwise in 23 BY MR. WUESTE: 24 preparation for today's deposition? 24 Okay. Did, for example, you get a 25 copy of the '134 patent before signing your I think technically the answer is yes,



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