

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,
Patent Owner.

Case No. IPR2020-00953
Patent No. 9,911,325 B2

**PETITIONER'S REQUEST FOR REFUND OF
THE POST-INSTITUTION FEE**

Mail Stop "PATENT BOARD"

Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the Patent and Trademark Office's Final Rule Setting and Adjusting Patent Fees, 78 Fed. Reg. 4,211, 4,233-34 (Jan. 18, 2013), Petitioner requests a refund in the amount of \$15,000.00 to be paid to deposit account number 19-0036.

On May 18, 2020, Petitioner filed a Petition for *Inter Partes* Review seeking review of claims 9, 11-15 of U.S. Patent No. 9,911,325 (assigned case number IPR2020-00953) (Paper 4). On November 10, 2020, the Board issued a Decision Denying Institution of *Inter Partes* Review and Denying Motion for Joinder (Paper 13). Trial was never instituted in this proceeding.

The Rules provide for a refund of the institution fee if the Board does not institute trial. Payment of the \$15,000.00 post-institution fee under 37 C.F.R. § 42.15(a)(2) in this proceeding was processed through PTAB E2E on May 18, 2020.

Relief requested:

Accordingly, Petitioner requests a refund in the amount of \$15,000.00 for the post-institution fee that it has paid to the USPTO in connection with this proceeding.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/ Lestin L. Kenton /

Lestin L. Kenton
Registration No. 72,314
Counsel for Petitioner

Date: May 12, 2023

1100 New York Avenue, N.W.
Washington, D.C. 20008
(202) 371-2600

CERTIFICATION OF SERVICE

The undersigned hereby certifies that a true and correct copy of the foregoing **PETITIONER'S REQUEST FOR REFUND OF THE POST-INSTITUTION FEE** was served electronically via e-mail on May 12, 2023, in its entirety on the following counsel for Patent Owner:

S. Benjamin Pleune (Lead Counsel)	ben.pleune@alston.com
Ryan W. Koppelman (Back-up Counsel)	ryan.koppelman@alston.com
Thomas W. Davison (Back-up Counsel)	tom.davison@alston.com
James H. Abe (Back-up Counsel)	james.abe@alston.com
Caleb J. Bean (Back-up Counsel)	caleb.bean@alston.com
Derek S. Neilson (Back-up Counsel)	derek.neilson@alston.com
Nicholas T. Tsui (Back-up Counsel)	nick.tsui@alston.com
Gary Jarosik (Back-up Counsel)	jarosikg@gtlaw.com
James J. Lukas, Jr. (Back-up Counsel)	lukasj@gtlaw.com
Benjamin P. Gilford (Back-up Counsel)	gilfordb@gtlaw.com

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/ Lestin L. Kenton /

Lestin L. Kenton
Registration No. 72,314
Counsel for Petitioner

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(202) 371-2600

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