

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ROKU, INC.,  
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,  
Patent Owner.

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Case IPR2020-00953  
U.S. Patent 9,911,325

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**PETITIONER'S UPDATED EXHIBIT LIST**

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Patent Trial and Appeal Board  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Petitioner serves this Updated Exhibit List to accompany filing of Exhibit  
1034, Transcript of Telephone Conference.

**PETITIONER'S UPDATED EXHIBIT LIST**

<b>Exhibit No.</b>	<b>Description</b>
<b>1001</b> (Previously Filed)	U.S. Patent No. 9,911,325 to Mui (“’325 Patent”)
<b>1002</b> (Previously Filed)	Prosecution History of U.S. Patent No. 9,911,325 (“Prosecution History”)
<b>1003</b> (Previously Filed)	Declaration of Dr. Samuel Russ in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 9,911,325
<b>1004</b> (Previously Filed)	Curriculum Vitae of Dr. Samuel Russ
<b>1005</b> (Previously Filed)	U.S. Patent Publication No. 2004/0080428 to Rye <i>et al.</i> (“Rye”)
<b>1006</b> (Previously Filed)	U.S. Patent No. 4,426,662 to Skerlos <i>et al.</i> (“Skerlos”)
<b>1007</b> (Previously Filed)	U.S. Patent No. 7,562,128 to Caris <i>et al.</i> (“Caris”)
<b>1008</b> (Previously Filed)	U.S. Patent No. 8,132,105 to Dubil <i>et al.</i> (“Dubil”)
<b>1009</b> (Previously Filed)	Markman Order SACV 18-01580 JVS (Dated August 8, 2019)

Exhibit No.	Description
<p><b>1010</b> (Previously Filed)</p>	<p>“Device Specification for Infrared Detecting unit for Remote Control GP1UV70QS series,” Sharp Corporation Electronic Components Group, Opto-Electronic Devices Division (Dated December 27, 2002) (“GP1UV70”)</p>
<p><b>1011</b> (Previously Filed)</p>	<p>“Data Formats for IR Remote Control,” Vishay Semiconductors (Dated August 27, 2003) (“Vishay”)</p>
<p><b>1012</b> (Previously Filed)</p>	<p>“User Interface – Infrared Learner (Remote Control) AN2092” Project Guide, Cypress Semiconductor Corporation (Dated November 11, 2002) (“Cypress”)</p>
<p><b>1013</b> (Previously Filed)</p>	<p>“VCR Commander Service User’s Guide,” Scientific-Atlanta, Inc., (Dated September 2000) (“VCR Commander”)</p>
<p><b>1014</b> (Previously Filed)</p>	<p>U.S. Patent No. 5,469,152 to Yamamoto <i>et al.</i> (“Yamamoto”).</p>
<p><b>1015</b> (Previously Filed)</p>	<p>“Infrared Remote Control Transmitter RC5 Product Specification,” Philips Semiconductors (Dated June 15, 1999)</p>
<p><b>1016</b> (Previously Filed)</p>	<p>“AT2400 AllTouch Remote Control User’s Guide,” Scientific-Atlanta, Inc. (Dated February 2002)</p>
<p><b>1017</b> (Previously Filed)</p>	<p>“EXPLORER 2100 or 3100 Digital Home Communications Terminals User’s Installation Guide,” Scientific Atlanta, Inc. (Dated July 2000)</p>
<p><b>1018</b> (Previously Filed)</p>	<p>U.S. Patent No. 6,909,471 to Bayley (“Bayley”).</p>
<p><b>1019</b> (Previously Filed)</p>	<p>U.S. Patent No. 5,745,192 to Bialobrzewski (“Bialobrzewski”)</p>

<b>Exhibit No.</b>	<b>Description</b>
<b>1020</b> (Previously Filed)	U.S. Patent No. 5,365,282 to Levine (“Levine”)
<b>1021</b> (Previously Filed)	U.S. Patent No. 6,225,873 to Hill (“Hill”)
<b>1022</b> (Previously Filed)	U.S. Patent No. 7,149,474 to Mikhak (“Mikhak”)
<b>1023</b> (Previously Filed)	U.S. Patent No. 6,122,010 to Emelko (“Emelko”)
<b>1024</b> (Previously Filed)	U.S. Patent No. 7,151,575 to Landry <i>et al.</i> (“Landry”)
<b>1025</b> (Previously Filed)	U.S. Patent No. 6,930,730 to Maxon <i>et al.</i> (“Maxon”)
<b>1026</b> (Previously Filed)	U.S. Patent No. 6,993,134 to Epstein (“Epstein”)
<b>1027</b> (Previously Filed)	Patent Owner’s Infringement Contentions, Ex. C-1, <i>Universal Electronics Inc. v. Roku, Inc.</i> , 8:18-cv-01580 (C.D. Cal.)
<b>1028</b> (Previously Filed)	<i>Intentionally Left Blank</i>
<b>1029</b> (Previously Filed)	U.S. Patent Publication No. 2005/0168658 to Woolgar <i>et al.</i> (“Woolgar”)

Exhibit No.	Description
<b>1030</b> (Previously Filed)	U.S. Patent No. 7,861,262 to Gutman (“Gutman”)
<b>1031</b> (Previously Filed)	U.S. Patent No. 6,137,802 to Jones <i>et al.</i> (“Jones”)
<b>1032</b> (Previously Filed)	U.S. Patent Publication No. 2012/0249890 to Chardon et al. (“Chardon”)
<b>1033</b> (Previously Filed)	High-Definition Multimedia Interface – Specification Version 1.3a (November 10, 2006)
<b>1034</b> (Newly Filed)	Transcript of Telephone Conference, <i>Roku, Inc. v. Universal Electronics, Inc.</i> , June 26, 2020

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/Lestin Kenton/

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Attorney for Petitioner Roku, Inc.

Date: June 30, 2020

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