

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,
Patent Owner.

Case No. IPR2020-00952
Patent No. 9,716,853 B2

**PETITIONER'S REQUEST FOR REFUND OF
THE POST-INSTITUTION FEE**

Mail Stop "PATENT BOARD"

Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the Patent and Trademark Office's Final Rule Setting and Adjusting Patent Fees, 78 Fed. Reg. 4,211, 4,233-34 (Jan. 18, 2013), Petitioner requests a refund in the amount of \$15,000.00 to be paid to deposit account number 19-0036.

On May 18, 2020, Petitioner filed a Petition for *Inter Partes* Review seeking review of claims 1, 2, 6 and 8 of U.S. Patent No. 9,716,853 (assigned case number IPR2020-00952) (Paper 1). On November 10, 2020, the Board issued a Decision Denying Institution of *Inter Partes* Review and Motion for Joinder (Paper 11). Trial was never instituted in this proceeding.

The Rules provide for a refund of the institution fee if the Board does not institute trial. Payment of the \$15,000.00 post-institution fee under 37 C.F.R. § 42.15(a)(2) in this proceeding was processed through PTAB E2E on May 18, 2020.

Relief requested:

Accordingly, Petitioner requests a refund in the amount of \$15,000.00 for the post-institution fee that it has paid to the USPTO in connection with this proceeding.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/ Lestin L. Kenton /

Lestin L. Kenton
Registration No. 72,314
Counsel for Petitioner

Date: May 12, 2023

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CERTIFICATION OF SERVICE

The undersigned hereby certifies that a true and correct copy of the foregoing **PETITIONER'S REQUEST FOR REFUND OF THE POST-INSTITUTION FEE** was served electronically via e-mail on May 12, 2023, in its entirety on the following counsel for Patent Owner:

| | |
|---------------------------------------|--|
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Respectfully submitted,

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/ Lestin L. Kenton /

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