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Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 15/817,235

FILING OR 371(C) DATE 11/19/2017

FIRST NAMED APPLICANT

Michael Dror

ATTY. DOCKET NO./TITLE

COREPH-0080 US CON3

**CONFIRMATION NO. 7330** 

WITHDRAWAL NOTICE

92342 Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 ISRAEL

Date Mailed: 12/27/2017

#### Letter Regarding a New Notice and/or the Status of the Application

If a new notice or Filing Receipt is enclosed, applicant may disregard the previous notice mailed on 12/08/2017. The time period for reply runs from the mail date of the new notice. Within the time period for reply, applicant is required to file a reply in compliance with the requirements set forth in the new notice to avoid abandonment of the application.

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If the reply is not filed electronically via EFS-Web, the reply must be accompanied by a copy of the new notice.

If the Office previously granted a petition to withdraw the holding of abandonment or a petition to revive under 37 CFR 1.137, the status of the application has been returned to pending status.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/cnguyen/

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant: § Confirmation No: 7330

§

Michael Dror

Serial No.: 15/817,235

Title: MINIATURE TELEPHOTO

LENS ASSEMBLY

Filed: 11/19/2017 §

Attorney Docket: Coreph-0080 US CON3

**Mail Stop Missing Parts** Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

#### **RESPONSE**

This is in response to the Notice to File Corrected Application Papers Action having a Notification Date of 12/27/2017, which response is made timely. The Notice requested Replacement Drawings. Applicant hereby provides a full set of drawings including a REPLACEMENT SHEET with a corrected number of a figure to read "FIG. 2C".

It is respectfully submitted that claims 1-24 are in condition for allowance. Prompt notice of allowance is respectfully and earnestly solicited.

Respectfully submitted,

/ Menachem Nathan / Menachem Nathan Agent for Applicant Registration No. 65392

Date: December 31, 2017

1

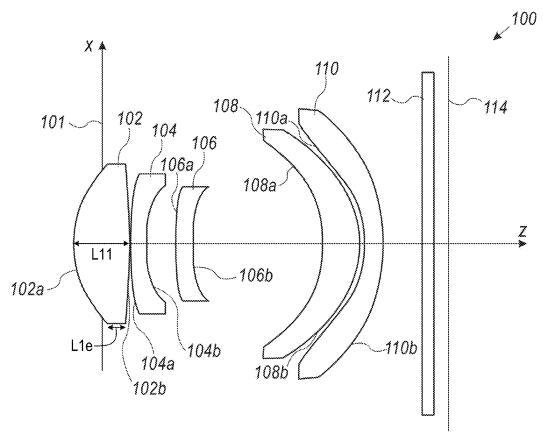
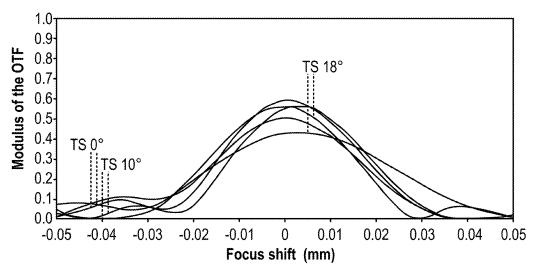


FIG. 1A



Polychromatic Diffraction Through Focus MTF

Angle 6/2/2013

Data for 0.4350 to 0.6560 µm.

Spatial Frequency: 180.0000 cycles/mm.

FIG. 1B

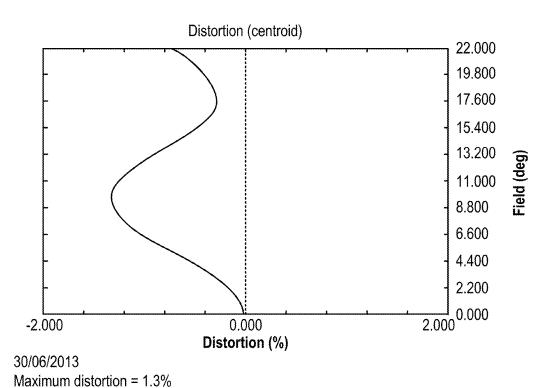


FIG. 1C

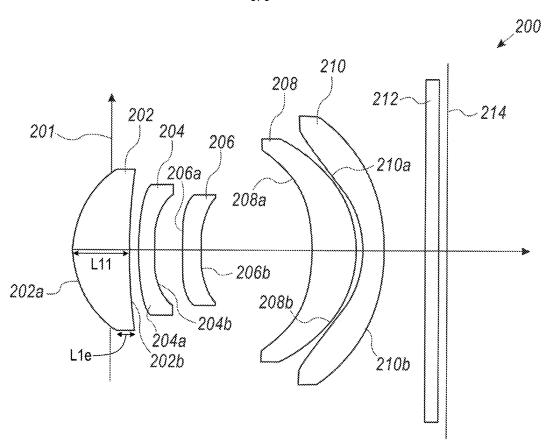
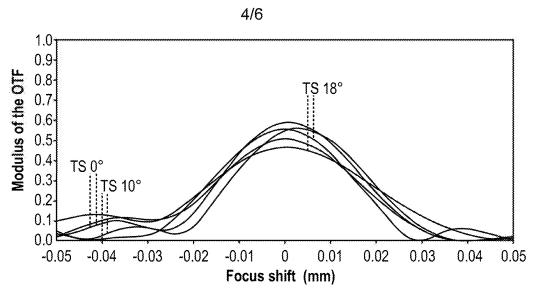


FIG. 2A

#### REPLACEMENT SHEET



Polychromatic Diffraction Through Focus MTF

Angle 6/2/2013

Data for 0.4350 to 0.6560 µm.

Spatial Frequency: 180.0000 cycles/mm.

FIG. 2B

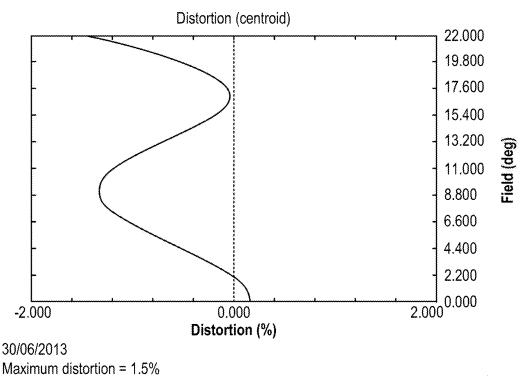


FIG. 2C

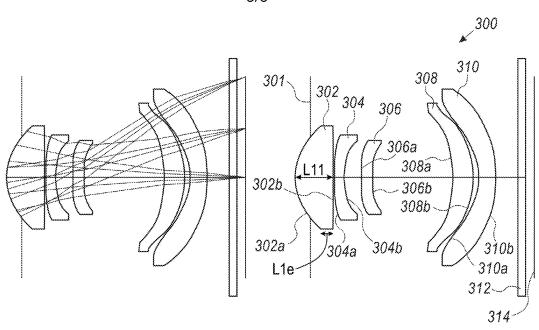
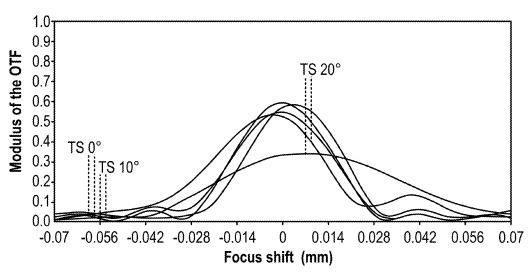


FIG. 3A



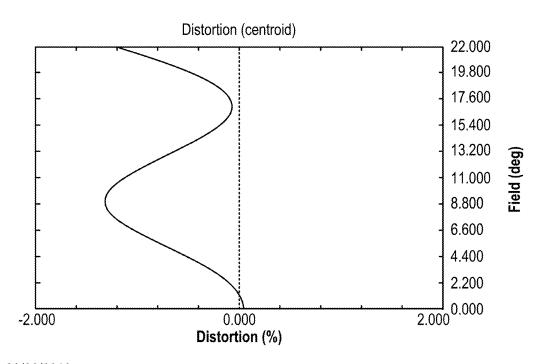
Polychromatic Diffraction Through Focus MTF

Angle 6/9/2013

Data for 0.4350 to 0.6560 µm.

Spatial Frequency: 180.0000 cycles/mm.

FIG. 3B



30/06/2013 Maximum distortion = 1.3%

FIG. 3C

Electronic Acknowledgement Receipt				
EFS ID:	31373803			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	31-DEC-2017			
Filing Date:	19-NOV-2017			
Time Stamp:	15:23:47			
Application Type:	Utility under 35 USC 111(a)			

#### **Payment information:**

Submitted wi	th Payment		no				
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Any request going to L and R		Response.pdf	77224 f1d3ca399f8fd3083bf5571d4caa5b5eb5b8 145d	no	1	
Warnings:				•	•		

Information:						
			2312628			
2	2 Drawings-only black and white line drawings	Corrected_Figures.pdf	4f6421369b3661ad835484314251845cd87 6e062	no	6	
Warnings:						
Information:						
		Total Files Size (in bytes)	23	89852		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

I ALEITI ALLEIGATION LE DETENIMINATION TIEGOTID									Applicat	tion or Docket Nun 7,235	nber
	APPLICATION AS FILED - PART I  (Column 1) (Column 2) SMALL ENTITY OR SMALL ENTITY										
	FOR	NUMBE	R FILE	D NUMBE	R EXTRA	Г	RATE(\$)	FEE(\$)	]	RATE(\$)	FEE(\$)
	SIC FEE :FR 1.16(a), (b), or (c))	N	l/A	N	N/A	T	N/A	75	1	N/A	
	RCH FEE FR 1.16(k), (i), or (m))	N	l/A	١	V/A	Г	N/A	330	1	N/A	
EXA	MINATION FEE FR 1.16(o), (p), or (q))	N	l/A	١	I/A		N/A	380	1	N/A	
TOT	AL CLAIMS FR 1.16(i))	24	minus	20= *	4	×	50 =	200	OR		
	EPENDENT CLAIR	MS 3	minus	3 = *		×	230 =	0.00	1		
FEE	APPLICATION SIZE FEE 37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						0.00				
MUI	TIPLE DEPENDE	NT CLAIM PRE	SENT (3	7 CFR 1.16(j))		Г		0.00	1		
* If t	he difference in co	olumn 1 is less th	an zero,	enter "0" in colur	mn 2.	_	TOTAL	985	1 '	TOTAL	
APPLICATION AS AMENDED - PART II  OTHER THAN (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY											
۲ ۲		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	×	=		OR	x =	
ΑM	Application Size Fe	ee (37 CFR 1.16(s))							]		
	FIRST PRESENTA	TION OF MULTIPI	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))				OR		
						_	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
B F		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
Ā	Total (37 CFR 1.16(i))	*	Minus	**	=	×	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	×	=		OR	x =	
A		ee (37 CFR 1.16(s))							]		
	FIRST PRESENTA	TION OF MULTIPI	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))				OR		
						_	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
*	* If the entry in co * If the "Highest No. * If the "Highest No.	lumber Previous	ly Paid F Paid For"	or" IN THIS SPA IN THIS SPACE is	CE is less than	20, e	enter "20".				



#### United States Patent and Trademark Office

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FILING or 371(c) DATE GRP ART

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ATTY.DOCKET.NO

OT CLAIM

ND CLAIMS

15/817,235 11/19/2017 890

COREPH-0080 US CON3

92342 Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 **ISRAEL** 

**CONFIRMATION NO. 7330 UPDATED FILING RECEIPT** 



Date Mailed: 01/23/2018

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Michael Dror, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL;

Applicant(s)

Corephotonics Ltd., Tel-Aviv, ISRAEL;

Power of Attorney: The patent practitioners associated with Customer Number 92342

Domestic Priority data as claimed by applicant

This application is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CIP of 15/170,472 06/01/2016 PAT 9568712 which is a CON of 14/932.319 11/04/2015 PAT 9402032 which is a CON of 14/367.924 09/19/2014 ABN \* which is a 371 of PCT/IB2014/062465 06/20/2014 which claims benefit of 61/842,987 07/04/2013 (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution **Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 12/06/2017

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/817,235** 

**Projected Publication Date:** 05/03/2018

Non-Publication Request: No
Early Publication Request: No
\*\* SMALL ENTITY \*\*

Title

MINIATURE TELEPHOTO LENS ASSEMBLY

**Preliminary Class** 

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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page 3 of 4

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	page 4 of 4

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Michae	el Dror	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
	Examiner Name			
	Attorney Docket Numb	er	COREPH-080 US CON3	

	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1							
	1							
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If you wisl	n to add a	additional U.S. Paten			lease click the Add button.			
			U.S.P	ATENT APPLI	CATION PUBLICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	20140029116	<b>A</b> 1	2014-01-30	Largan Precision Co Ltd			

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		15817235	
Filing Date		2017-11-19	
First Named Inventor	Micha	nel Dror	
Art Unit			
Examiner Name			
Attorney Docket Number		COREPH-080 US CON3	

	2	20130003195	A1 2	013-01-03	Yoji Kubota e	tal			
If you wis	you wish to add additional U.S. Published Application citation information please click the Add button.								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code2i	Kind Code4	Publication	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5	
	1	2008064884	JP		2008-03-21	Kyocera			
	2	2008122900	JР		2008-05-29	Fujinon			
	3	2011138175	JP		2011-07-14	Konica			
	4	1976016135	P		1976-05-21				
	5	2007306282	ЭP		2007-11-22	CITIZEN ELECTRONICS CO LTD			
	6	1995113952	₽P		1995-05-02	Nikon			
	7	1966006865	JР						
	8	20100040357	≰R		2010-04-20	LG Innotek			

# Application Number 15817235 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Art Unit Examiner Name Attorney Docket Number COREPH-080 US CON3

	9	20100119673	KR	2010-11-10	Kolen	
If you wis	h to ac	⊔liminimining Id additional Foreign P	atent Document citation	information pl	ease click the Add button	
			NON-PATENT LITE	RATURE DO	CUMENTS	
Examiner Initials*	Examiner No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					Т5
	1					
If you wis	h to ac	ld additional non-pater	nt literature document cit	ation informati	on please click the Add button	2001
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Examiner	Signa	ture			Date Considered	
					ormance with MPEP 609. Draw line through a with next communication to applicant.	
Standard ST	Γ.3). <sup>3</sup> F cument	or Japanese patent docume	nts, the indication of the year	of the reign of the	r office that issued the document, by the two-letter code ( Emperor must precede the serial number of the patent d dard ST.16 if possible. <sup>5</sup> Applicant is to place a check ma	ocument.

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	el Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-080 US CON3		

CERTIFICATION STATEMENT						
Plea	ase see 37 CFR	1.97 and 1.98 to make the appropriate selec	tion(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OF	ł					
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
	See attached c	ertification statement.				
	The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.					
×	A certification statement is not submitted herewith.  SIGNATURE					
	ignature of the a ne signature.	pplicant or representative is required in acco	rdance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the form		
Signature /Menachem Nathan/		Date (YYYY-MM-DD)	2018-02-22			
Name/Print		MENACHEM NATHAN	Registration Number	65392		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/15 (03-13)
Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a wild OA IS.

UTILITY		Attorney Docket No.		COREPH-0080 US CON3		
PATENT APPLICATION	First Named Inve	entor	Michael Dror			
TRANSMITTAL		Title		MINIATURE TELEPHOTO LENS ASSEMBLY		
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.					
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application content	Commissioner for Patents  ADDRESS TO: P.O. Box 1450  Alexandria, VA 22313-1450			P.O. Box 1450		
1. Fee Transmittal Form (PTO/SB/17 or equivalent)	ACCOMPANYING APPLICATION PAPERS					
2. Applicant asserts small entity status.  See 37 CFR 1.27  3. Applicant certifies micro entity status. See 37 CFR 1.29.  Applicant must attach form PTO/SB/15A or B or equivalent.  4. Specification [Total Pages]  Both the claims and abstract must start on a new page.  (See MPEP § 608.01(a) for information on the preferred arrange.  5. Drawing(s) (35 U.S.C. 113) [Total Sheets]  6. Inventor's Oath or Declaration [Total Pages]  (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e))  a. Newly executed (original or copy)  b. A copy from a prior application (37 CFR 1.63(d))  7. Application Data Sheet * See note below.  See 37 CFR 1.76 (PTO/AIA/14 or equivalent)  8. CD-ROM or CD-R  in duplicate, large table, or Computer Program (Appendix)  Landscape Table on CD  9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a. – c. are required)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:	10. Assignment Papers (cover sheet & document(s)) Name of Assignee  11. 37 CFR 3.73(c) Statement (when there is an assignee) 12. English Translation Document (if applicable) 13. Information Disclosure Statement (PTO/SB/08 or PTO-1449)			Power of Attorney ent ement attached cally itemized) coument(s) Applicant must attach form PTO/SB/35		
b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. Paper  c. Statements verifying identity of above copies						
*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS).  (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).						
19. CORRESPONDENCE ADDRESS						
The address associated with Customer Number: 92342 OR Correspondence address below						
Name						
Address						
City State				Zip Code		
Country Telephone				Email		
Signature /Menachem Nathan/		Date		02-22-2018		
Name (Print/Type) MENACHEM NATHAN			ation No. ney/Agent)	65,392		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. À record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(11)Publication number 07-113952 (43)Date of publication of application 02.05.1995

(51)Int.Cl. 6028 13/14

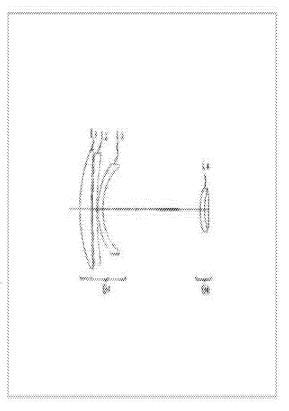
(21)Application number05-260573(22)Date of filing19.10.1993(71)ApplicantNIKON CORP(72)InventorKOYAMA MOTOO

KODAMA NAOKO



#### (57)Abstract

PURPOSE: To excellently compensate chromatic aberration over almost the whole regions of infrared wavelengths below 3µm, to have large aperture ratio and to excellently compensate aberrations over the whole screen. CONSTITUTION: Looking from the object side, the system has a front group GF and a rear group GR of positive refractive powers, the front group GF has a first lens component L1 of positive refractive power, a second lens component L2 of negative refractive power and a third lens component L3 of negative refractive power. By representing the focal distance of the whole system by (f), the focal distance of the third lens component L3 by f3, the refractive index of glass composing the third lens component L3 by n2, the conditions -8f<f3<-3f, n3<1.8 and v3<50 are satisfied, where, v3 is a value defined by n3=(ny-1)/(nz-nx) when the refractive indice for 3µm, 2µm and 1µm are represented by nx, ny nz, respectively.



(11)Publication number : 2007-306282

(43)Date of publication of application: 22.11.2007

(51)Int.Cl. H04N 5/225 (2006.01)

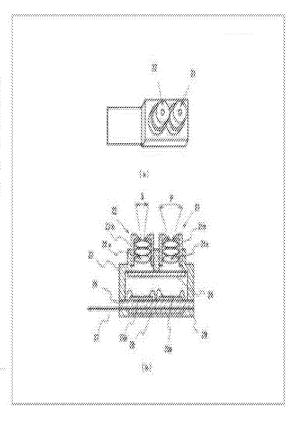
H04N 5/335 (2006.01) H01L 27/14 (2006.01) G03B 19/06 (2006.01) G03B 19/07 (2006.01) G03B 17/12 (2006.01)

(21)Application number : 2006-132321 (22)Date of filing : 11.05.2006

(71)Applicant CITIZEN ELECTRONICS CO

LTD

(72)Inventor : KAYANUMA YASUAKI



#### (54)CAMERA MODULE

#### (57)Abstract

PROBLEM TO BE SOLVED: To provide a thin camera module that can selectively obtain images of different magnifications, and is suitable to portable equipment.

SOLUTION: The camera module comprises a plurality of lens units 21, 22 having different focal lengths, image sensor chips 25a and 25b which process imaging signals based upon light made incident through the lens units, and a selecting means of selecting the light made incident through the plurality of lens units and imaging signals based upon the light. This selecting means is operative to select light made incident through one of the plurality of lens units or an imaging signal based upon the light.

(11)Publication number : 2008-054884 (43)Date of publication of application : 21.03.2008

(51)Int.Cl. : 6026 13/16 (2006,01)

 (21)Application number
 : 2006-240631

 (22)Date of filing
 : 05.09.2006

 (71)Applicant
 : KYOCERA CORP

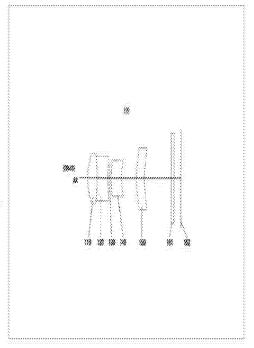
 (72)Inventor
 : KOISHI TOMOFUMI OHARA NAOTO

#### (54)IMAGING LENS

#### (57)Abstract

PROBLEM TO BE SOLVED: To provide an imaging lens designed so that an aberration is satisfactorily corrected and an optical characteristic is excellent in an optical system that has a small size and a narrow view angle.

SOLUTION: The imaging lens includes, in order from the object side: a first lens group having main refractive power; an aperture diaphragm; and a second lens group having refractive power lower than the first lens group. The imaging lens satisfies following conditional formulas: (1) |f/f| < 0.50; and (2) 0.95 < TL/f < 1.1, wherein f represents the focal distance of the entire system; fi (i=1, 2, and so on) represents the focal distance of i-th lens from the object side; and TL represents the entire length of a lens system from the aperture diaphragm to an image face.



(11) Publication number : 2008-122900

(43)Date of publication of application: 29.05.2008

(51)Int.Cl. 6028 13/00 (2006.01)

> (2006.01) 6028 13/18

(21)Application number : 2007-068160 (22)Date of filing 16.03.2007 (71)Applicant FUIINON CORP (72)Inventor SATO KENICHI

TANIYAMA MINORU

(30)Priority

Priority number 2006094540 Priority date 30.03.2006 Priority 30 10

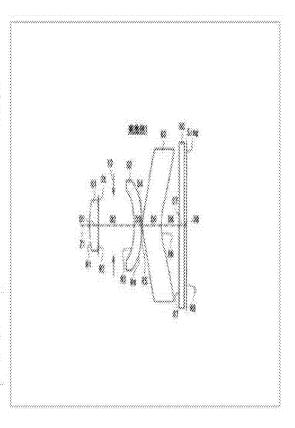
20.10.2006country: 2006285908:

#### (54) IMAGING LENS

#### (57)Abstract

PROBLEM TO BE SOLVED: To provide a compact and high-performance imaging lens where an internal space for arranging a shutter mechanism is sufficiently secured while maintaining high aberration performance coping with high pixelation.

SOLUTION: The imaging lens includes: a first lens G1 which has a biconvex shape in a vicinity of an optical axis; a second lens G2 which has a concave surface facing an object side and has negative refractive power; and a third lens G3 which has a positive or negative meniscus shape containing, in the vicinity of the optical axis, a convex surface facing the object side in order from the object side. The following conditional expressions are satisfied. (1) 0.7<f1/f<1.3, (2) 0.2<D2/f<0.5, where f denotes the focal length of the entire system, f1 denotes the focal length of the first lens G1 and D2 denotes an interval on the optical axis Z1 between the first lens G1 and the second lens G2.



(11)Publication number 2011-138175

(43)Date of publication of application: 14.07.2011

(51)Int.Cl. G928 13/99 (2995.01)

G028 13/18 (2006.01)

**(21)**Application number : 2011-089935

**(22)Date of filing** 14.04.2011

(71)Applicant KONICA MINOLTA OPTO IN

C

(72)Inventor SANO EIGO

(30)Priority

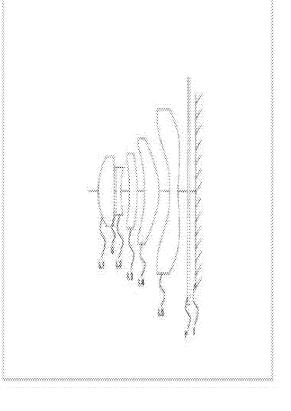
Priority number 2009045802 Priority date 27.02.2009 Priority JP country:

(54)IMAGE PICKUP LENS, IMAGE PICKUP DEVICE AND MOBILE TERMINAL

#### (57)Abstract

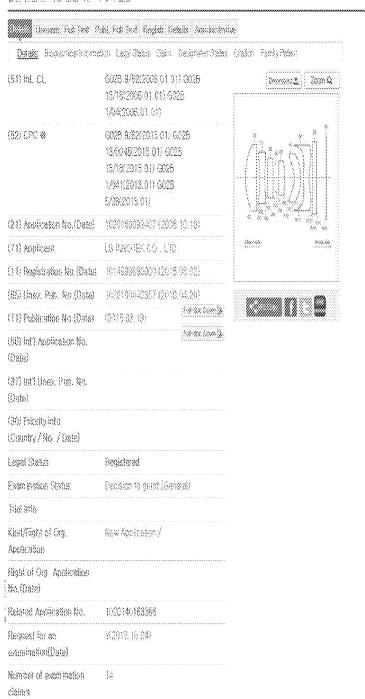
PROBLEM TO BE SOLVED: To provide an image pickup lens composed of five lenses of which various aberrations are favorably corrected though being smaller than past types, an image pickup device including the image pickup lens, and also to provide a mobile terminal.

SOLUTION: As the value of a conditional expression (1) is smaller than the upper limit, the refractive power of the first lens is maintained at a moderate level, the synthetic main points of the first to fourth lenses are arranged to an object side, and the total length of the image pickup lens is reduced. Meanwhile, as the value is larger than the lower limit, the refractive power of the first lens is prevented from becoming larger than necessary, and the high-order spherical aberration or coma aberration generated in the first lens are suppressed.



#### Imaging Lens and Camera Moudule including the same

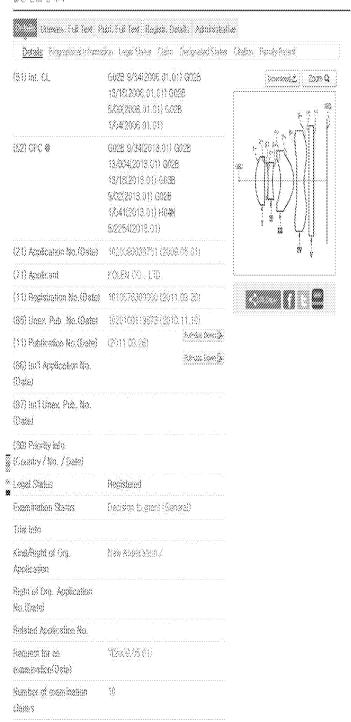
활성 번조 및 이를 포함하는 카메리 모듈



PIFPOSE. An integral long is provided to improve operation property by using a south lens having more than one point of intervien of approve appears a first lens (10), a second lens(20), a fixed lens(30), a forth lens(40), a fifth lens(60). The first lens has a positive power. A second lens has a negative power. The third lens has a positive power. The form lens and the fourth lens has a positive power and integral power. The fifth arms has a negative power. The fifth arms has a negative power. The fifth arms has a negative power. The soch lens has a negative power. An insign; is interposed between the first lens and the fourth lens COPTRIGHT KIPO 2010.

#### Photographic lens optical system

좋당 렌프 광학과



PURPOSE: A characteristic socioni system is implement excellent performance while being compact CONGRUTION. A lens cotool asset of performance while being compact CONGRUTION. A lens cotool page sensor from a subject to order. A first lenst is convex to a violect of court lenstry has light increase face or light enting face which is convex to the image sensor. A fourth lenstry has light increase face or light enting face which is convex to the image sensor. A fourth lenstry has light increase face or light enting face which is convex to the image sensor.

Electronic Acknowledgement Receipt				
EFS ID:	31861634			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	22-FEB-2018			
Filing Date:	19-NOV-2017			
Time Stamp:	15:26:09			
Application Type:	Utility under 35 USC 111(a)			

#### **Payment information:**

Submitted with Payment			no					
File Listing:								
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
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		Total Files Size (in bytes	): 31	91991	
New Applica If a new appl 1.53(b)-(d) at Acknowledg National Stat If a timely su U.S.C. 371 an national stag New Internat	ledgement Receipt evidences receipt by the applicant, and including padescribed in MPEP 503.  tions Under 35 U.S.C. 111 ication is being filed and the application of MPEP 506), a Filing Receipt (37 Cement Receipt will establish the filings of an International Application up bmission to enter the national stage of other applicable requirements a lipe submission under 35 U.S.C. 371 without application Filed with the US tractional application is being filed a	ge counts, where applicable ation includes the necessary FR 1.54) will be issued in due ng date of the application. nder 35 U.S.C. 371 e of an international applicat Form PCT/DO/EO/903 indicat vill be issued in addition to th PTO as a Receiving Office	. It serves as evidence components for a filin course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du	of receipt s g date (see hown on th the condition application e course.	37 CFR is ons of 35

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



**ISRAEL** 

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 15/817,235

FILING OR 371(C) DATE 11/19/2017

FIRST NAMED APPLICANT Michael Dror

ATTY. DOCKET NO./TITLE

COREPH-0080 US CON3 **CONFIRMATION NO. 7330** 

**PUBLICATION NOTICE** 

92342 Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101

Title:MINIATURE TELEPHOTO LENS ASSEMBLY

Publication No.US-2018-0120541-A1 Publication Date: 05/03/2018

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant: § Confirmation No. 7330

§ § §

Michael Dror

Serial No.: 15/817,235

Title: MINIATURE TELEPHOTO LENS

**ASSEMBLY** 

§

Filed: 11/19/2017

§ Attorney Docket: Coreph-0080 US CON3

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### PRELIMINARY AMENDMENT

Sir/Madam

Before substantive examination, Applicant respectfully requests the application be amended as follows:

#### IN THE CLAIMS:

Please amend the claims as follows:

- 1. (Currently amended) A lens assembly, comprising: a plurality of refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the plurality of lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), wherein a lens system that includes the lens assembly plus a window positioned between the fifth lens element and an image plane has a total track length (TTL) of 6.5 millimeters or less, and wherein a ratio TTL/EFL of is less than 1.0, wherein the plurality of lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power, a second lens element with negative refractive power, and a third lens element, wherein a focal length f1 of the first lens element is smaller than TTL/2 and wherein a lens assembly F # is smaller than 2.9.
- 2. (Original) The lens assembly of claim 1, wherein the third lens element has negative refractive power.
- 3. (Original) The lens assembly of claim 1, wherein the plurality of refractive lens elements includes five lens elements.
- 4. (Original) The lens assembly of claim 1, wherein the focal length f1, a focal length f2 of the second lens element and a focal length f3 of the third lens element fulfill the condition 1.2x|f3| > |f2| > 1.5xf1.
- 5. (Original) The lens assembly of claim 2, wherein the plurality of refractive lens elements includes five lens elements, and wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 6. (Original) The lens assembly of claim 3, wherein a fourth lens element and a fifth lens element have different refractive power signs.

- 7. (Original) The lens assembly of claim 3, wherein a fourth lens element and a fifth lens element are separated by an air gap smaller than TTL/20.
- 8. (Original) The lens assembly of claim 3, wherein one of a fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 9. (Original) The lens assembly of claim 5, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20, wherein one of the fourth lens element and the fifth lens element is characterized by an Abbe number smaller than 30, and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 10. (Original) The lens assembly of claim 6, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20, wherein one of the fourth lens element and the fifth lens element is characterized by an Abbe number smaller than 30, and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 11. (Currently amended) A lens assembly, comprising: a plurality of refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the plurality of lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), wherein a lens system that includes the lens assembly plus a window positioned between the fifth lens element and an image plane has a total track length (TTL) of 6.5 millimeters or less, and wherein a ratio TTL/EFL of is less than 1.0, wherein the plurality of lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power and a focal length f1, a second lens element with negative refractive power and a focal length f2, and a third lens element with a focal length f3, wherein the focal length f1, the focal length f2 and the focal length f3 fulfil the condition 1.2x|f3| > |f2| > 1.5xf1, and wherein a lens assembly F # is smaller than 2.9.

- 12. (Original) The lens assembly of claim 11, wherein the third lens element has negative refractive power.
- 13. (Original) The lens assembly of claim 11, wherein the plurality of refractive lens elements includes five lens elements.
- 14. (Original) The lens assembly of claim 12, wherein the plurality of refractive lens elements includes five lens elements, and wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 15. (Original) The lens assembly of claim 13, wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 16. (Original) The lens assembly of claim 13, wherein a fourth lens element and a fifth lens element are separated by an air gap smaller than TTL/20.
- 17. (Original) The lens assembly of claim 13, wherein one of a fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 18. (Original) A lens assembly, comprising: five refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), a total track length (TTL) of 6.5 millimeters or less and a ratio TTL/EFL of less than 1.0, wherein the lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power, a second lens element with negative refractive power, a third lens element and a fourth lens element, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5 and wherein a lens assembly F # is smaller than 2.9.
- 19. (Original) The lens assembly of claim 18, wherein the third lens element has negative refractive power.

- 20. (Original) The lens assembly of claim 18, wherein the fourth lens element and a fifth lens element have different refractive power signs.
- 21. (Original) The lens assembly of claim 18, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20.
- 22. (Original) The lens assembly of claim 18, wherein one of the fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 23. (Original) The lens assembly of claim 18, wherein a focal length f1 of the first lens element is smaller than TTL/2.
- 24. (Original) The lens assembly of claim 19, wherein the fourth lens element and a fifth lens element have different refractive power signs.

#### **REMARKS**

This preliminary amendment amends independent claims 1, 11 and 18 to better reflect the claimed subject matter. Support for the amendments may be found in

It is respectfully submitted that claims 1-24 are in condition for allowance. Prompt notice of allowance is respectfully and earnestly solicited.

Respectfully submitted,

/ Menachem Nathan / Menachem Nathan Agent for Applicant Registration No. 65392

Date: June 27, 2018

Electronic Acknowledgement Receipt				
EFS ID:	33022367			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	27-JUN-2018			
Filing Date:	19-NOV-2017			
Time Stamp:	15:05:00			
Application Type:	Utility under 35 USC 111(a)			

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Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Preliminary Amendment	Pr	reliminary_Amendment.pdf	75801 f5f2f95134b21f79093d7e99a9dd9087fdc5c	no	6
Warnings:				<u> </u>		

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P	ATENT APPL		FEE DETI		N RECORD		n or Docket Number 5/817,235	Filing Date 11/19/2017	To be Mailed
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			(Column 1	1)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A		
ᄖ	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A N/A			N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
INDEPENDENT CLAIMS (37 CFR 1.16(h))			m	inus 3 = *			X \$ =		
If the specification and drawings excoof paper, the application size fee due for small entity) for each additional 5 fraction thereof. See 35 U.S.C. 41(a) CFR 1.16(s).					ee due is \$310 ( onal 50 sheets o	\$155 or			
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								
* If	the difference in colu	ımn 1 is less th	an zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		APPLICAT (Column 2)	ION AS AMEN		ART II		
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빎	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		× \$230 =		0
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	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				
							TOTAL ADD'L FEI	E	0
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		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
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ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
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							TOTAL ADD'L FE	E	
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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
Mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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STATEMENT BY APPLICANT  Art Unit		Application Number		15817235	
STATEMENT BY APPLICANT  Art Unit	INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	gununum g		2017-11-19	
Art Unit				el Dror	
( Not for submission under 37 CFR 1 99)					
Examiner Name		Examiner Name			
Attorney Docket Number COREPH-0080 US CON3		Attorney Docket Numb	er	COREPH-0080 US CON3	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	of sited Document		Pages,Columns,Lines wher Relevant Passages or Rele Figures Appear			
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	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Micha	ael Dror	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
( Not for Submission under 37 CFR 1.99)	Examiner Name			
	Attorney Docket Numb	er	COREPH-0080 US CON3	
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**Date Considered** 

<sup>1</sup> See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

( Not for submission under 37 CFR 1.99)

Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor Micha		el Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 US CON3			

	CERTIFICATION STATEMENT							
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selec	tion(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
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Sigr	nature	/Menachem Nathan/	Date (YYYY-MM-DD)	2018-07-03				
Nan	ne/Print	MENACHEM NATHAN	Registration Number	65392				
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1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA** 

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- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to  UTILITY	Attorney Docket No		PH-0080 US CON3				
-	First Named Invento	<u> </u>					
PATENT APPLICATION							
TRANSMITTAL	Title	MINIATUR	E TELEPHOTO LENS ASSEMBLY				
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label I	Vo.					
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO	) <i>:</i>	ommissioner for Patents P.O. Box 1450 xandria, VA 22313-1450				
1. Fee Transmittal Form (PTO/SB/17 or equivalent)	ACCOMP	ANYING AP	PLICATION PAPERS				
2. Applicant asserts small entity status. See 37 CFR 1.27		t Papers t & document(s)) Name of Assigned					
Applicant certifies micro entity status. See 37 CFR 1.29.  Applicant must attach form PTO/SB/15A or B or equivalent.		ivanie of Assigned	=				
4. Specification [Total Pages]  Both the claims and abstract must start on a new page.							
(See MPEP § 608.01(a) for information on the preferred arrangement)  5. Drawing(s) (35 U.S.C. 113) [Total Sheets]	12. English Tra	(when there is an assignee)  12. English Translation Document (if applicable)					
Inventor's Oath or Declaration [Total Pages]     (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e))	(PTO/SB/08	13. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of citations attached  14. Preliminary Amendment					
a. Newly executed (original or copy)							
b. A copy from a prior application (37 CFR 1.63(d))	15. Return Receipt Postcard						
7. Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent)	(MPEP § 503) (Should be specifically itemized)  16. Certified Copy of Priority Document(s)						
8. CD-ROM or CD-R	(if foreign priority is claimed)						
in duplicate, large table, or Computer Program ( <i>Appendix</i> )  Landscape Table on CD	17. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35						
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i. CD-ROM or CD-R (2 copies); or							
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(2) For applications filed under 35 U.S.C. 111, the application	*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS).  (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).						
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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Electronic Acknowledgement Receipt					
EFS ID:	33079851				
Application Number:	15817235				
International Application Number:					
Confirmation Number:	7330				
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY				
First Named Inventor/Applicant Name:	Michael Dror				
Customer Number:	92342				
Filer:	Menachem Nathan				
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Attorney Docket Number:	COREPH-0080 US CON3				
Receipt Date:	03-JUL-2018				
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Application Type:	Utility under 35 USC 111(a)				

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File Listing:									
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS) Form (SB08)		SUP_IDS.pdf	1534612 01c48d62ce45fee865ccb807fe10c376ff0bf 849	no	4			
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Information:							
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2	Transmittal Letter	SUP_IDS_TF.pdf	4e6fc75cdd98c55d3547f8a2cb46fb6acf554 d00	no	2		
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#### National Stage of an International Application under 35 U.S.C. 371

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Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
Mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	Application Number		15817235
	Filing Date		2017-11-19
INFORMATION DISCLOSURE	First Named Inventor	Micha	ael Dror
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under or Of R 1.33)	Examiner Name		
	Attorney Docket Number		COREPH-0080 US CON3

					U.S.I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	ate	of cited Document		Rele	es,Columns,Lines where vant Passages or Relev res Appear	
	1	3388956	A	1968-06	i-18	Eggert et al.				
If you wish	h to add	l additional U.S. Pater	t citatio	n inform	ation pl	ease click the	Add button.			
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				FOREIG	SN PAT	ENT DOCUM	ENTS			
Examiner Initial*	-	Foreign Document Number³	Country Code <sup>2</sup> i		Kind Code <sup>4</sup>	Publication Date	tion Applicant of cited Where Passag		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	1979003617	JP		A	1979-01-01 Tsuji				
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INFORMATION DISCLOSURE		Application Number		15817235		
		Filing Date		2017-11-19		
		First Named Inventor	First Named Inventor Michael Dror			
STATEMENT B ( Not for submission		Art Unit				
	under 57 Of IC 1.99)	Examiner Name				
		Attorney Docket Numb	er	COREPH-0080 US CON	N3	
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Standard ST.3). <sup>3</sup> For Japa	D Patent Documents at www.US nese patent documents, the indi ppropriate symbols as indicated is attached.	cation of the year of the reign of	of the En	nperor must precede the ser	rial number of the patent doc	cument.

( Not for submission under 37 CFR 1.99)

Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-0080 US CON3		

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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$\boxtimes$	A certification sta	atement is not submitted herewith.						
<b>SIGNATURE</b> A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigr	nature	/Menachem Nathan/	Date (YYYY-MM-DD)	2018-07-09				
Nan	ne/Print	MENACHEM NATHAN	Registration Number	65392				

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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Under the Paperwork Reduction Act of 1995 no persons are required to  UTILITY	Attorney Docket No		PH-0080 US CON3		
-	First Named Invent	<u> </u>			
PATENT APPLICATION					
TRANSMITTAL	Title	MINIATUR	E TELEPHOTO LENS ASSEMBLY		
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label	No.			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO	0:	mmissioner for Patents P.O. Box 1450 xandria, VA 22313-1450		
1. Fee Transmittal Form  (PTO/SB/17 or equivalent)	ACCOMP	PANYING AP	PLICATION PAPERS		
2. Applicant asserts small entity status. See 37 CFR 1.27	10. Assignment (cover sheet)	nt Papers et & document(s)) Name of Assignee			
3. Applicant certifies micro entity status. See 37 CFR 1.29.  Applicant must attach form PTO/SB/15A or B or equivalent.					
4. Specification [Total Pages]  Both the claims and abstract must start on a new page.		73(c) Statement e is an assignee)	Power of Attorney		
(See MPEP § 608.01(a) for information on the preferred arrangement)  5. Drawing(s) (35 U.S.C. 113) [Total Sheets]	1 —	anslation Docume	ent		
Inventor's Oath or Declaration [Total Pages]     (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e))	(PTO/SB/08	3 or PTO-1449)	•		
a. Newly executed (original or copy)	1 — —	opies of citations a ry Amendment	attached		
b. A copy from a prior application (37 CFR 1.63(d))	15. Return Re	ceipt Postcard			
7. Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent)	1 — ` ·	33) (Should be specific Copy of Priority Do			
8. CD-ROM or CD-R		priority is claimed)	cument(s)		
in duplicate, large table, or Computer Program ( <i>Appendix</i> )  Landscape Table on CD		cation Request J.S.C. 122(b)(2)(B)(i).	Applicant must attach form PTO/SB/35		
9. Nucleotide and/or Amino Acid Sequence Submission	or equivalents	nt. marks - This is a supp	lemental IDS. Citation or identification of		
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b. Specification Sequence Listing on:	that such reference is available as prior art.				
i. CD-ROM or CD-R (2 copies); or	_				
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c. Statements verifying identity of above copies	no undos 1 FF mouet ha	n in alred and in an An	unlication Data Chapt (ADC)		
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(Print/Type)   MENACHEM NATHAN		Attorney/Agent)	65,392		

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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#### JP,54-003617,B

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#### **DETAILED DESCRIPTION**

\*\* The telephotographic objective \*\* Japanese-Patent-Application-No. 46-11866 [phase] application 46 [ Showa ]. (1971) March 8 public-presentation closed 47-22725@ Showa -- 47 (1972) October 9 @ inventor Sadahiko Tsuji -- Kawasaki \*\* hotel 80 \*\*\*\*\* @ applicant CANON KABUSHIKI KAISHA 3-30-2, Shimo-maruko, Ota-ku, Tokyo [phase] representative patent attorney Katsuo Ando \*\* -- a scope of the claim -- 15 group composition -- the 1st group -- curvature -- size -- a convex. The 2nd group is a converging lens towards the object side, and a convergence meniscus lens which has separated and arranged slight air spacing to the 1st group. It is the diverging meniscus lens which turned to the object side the concave surface which the 3rd group received the divergent lens, and the 4th group received the 3rd group, and

has separated and arranged big air spacing. The 5th group slight air spacing to the 4th group. separating to make the arranged converging lens -- focal distance [ of f:whole system ] r: -- in turn -- curvature-radius [ of each refracting interface ] d: -- in turn -- the axis top thickness of each lens, or air spacing N: -- refractive-index V: [ as opposed to d line of each lens constitution glass in turn ] -- in turn -- Abbe number [ of each lens constitution glass ] psi:, if it is the refracting power to the 3rd group from the 1st group. coming -- (1) O185 / 1.2/of f<psi f(2) 0.25 f<ri>f<ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>f</ri>ffffffffff

the detailed description of the invention -- the present invention is the Seki SU \*\* at a compact telephotographic objective with a short overall length.

This kind of lens is increase of a zona-orbicularis spherical aberration and a zona-orbicularis coma aberration, when it is going to miniaturize. It is accompanied by defects, such as aggravation etc. of generating of the positive distortion aberration based on the spherical aberration correction excessive to short wavelength light, the \*\*\*\* curvature correction excessive on which a Petzval sum is based too little, and asymmetric structure, the aberration variation by object distance, especially astigmatism.

if an overall length is lengthened and it has symmetrical type composition, it can protect substantially, but these defects are spread good 1 as an interchangeable lens for miniature

cameras -- there is nothing.

The present invention improves these points, is carried out without lengthening an overall length, corrects several aberration satisfactorily, makes change of the aberration by object distance small extremely, and is field angle 24degree' and a thing which aims to obtain an about F2.8 and about [ looking-far ratio 0.93 ] telephotographic objective, In the place by which it is characterized [ the ], the 1st group is [ size ] the converging lens which turned the becoming convex to the object side by 5 group composition. The 2nd group is a convergence NONISU dregs lens which has separated and arranged slight air spacing to the 1st group. As for the 3rd group, the 4th group is a divergent lens and the diverging meniscus lens which turned to the object side the concave surface which has separated and arranged big air spacing to the 3rd group. The 5th group considers it as the converging lens which has separated and arranged slight air spacing to the 4th group, f: focal distance [ of the whole system ] r: -- in turn -curvature-radius [ of each refracting interface ] d: -- in turn -- the axis top thickness of each lens, or air spacing N: -- refractive-index \*\*= [ as opposed to d line of each lens constitution glass in turn ] -- in turn -- Abbe number [ of each lens constitution glass ] psi:, if it is the refracting power to the 3rd group from the 1st group. Come and (1) 0.85/f < psi < 1.2/f(2) 0.25 f < rl < 0.4f(3) 0.1f<dl(4) 0 \*\* 1/and 5<1/2f(5) 0.15 f<d1+d2+d3+d, + d5< 0.3f(6) O<1/<'/2f (7) The terms and conditions of 1.47<N1 and N2<1.65<N3<1.75(8)50<VI and v2 <=61.27<V3<35 are satisfied.

In the present invention, the above-mentioned conditions (1), (2), and (3) are conditions of overall-length shortening.

(1) When the lower limit of a formula is exceeded, there is no overall-length shortening effect, if upper limit is exceeded, shortening of an overall length can be performed, but asymmetry becomes remarkable and it becomes difficult to correct it of several aberration.

If the lower limit of (2) types is exceeded, a spherical aberration and a coma aberration will enlarge, correction with a rear group will become difficult, and if upper limit is exceeded, the overall-length shortening effect will not be acquired.

- (3) If this lower limit is exceeded although a formula is effective also in correction of the chromatic aberration of magnification, it cannot perform shortening of an overall length.
- (4) A formula is a range which can correct a spherical aberration and a coma aberration, and it will become insufficient correcting it, if upper limit is exceeded and a spherical aberration will exceed a correction excessive next door and a lower limit.
- (5) The conditions of a formula are useless conditions which keep a Petzval sum suitable on the basis of the conditions of (1), if the lower limit of this condition is exceeded, a Petzval sum will become excessive, and if upper limit is exceeded, they will become [ too little ].
- (6) Formulas are the conditions of coma aberration correction of a distortion aberration and a pupil, if a lower limit is exceeded, a distortion aberration cannot be corrected, but when a lower limit is exceeded, change of the astigmatism at the time of the short-distance photographing by

the coma aberration of a pupil enlarges them.

- (7) a formula -- the above -- terms and conditions -- imposing -- if -- a lens system -- setting -- a Petzval sum -- too little -- becoming -- a thing -- protecting -- uselessness -- a convex lens -- a refractive index -- a concave lens -- a refractive index -- low -- carrying out -- things -- the range -- limiting -- a thing -- it is -- this -- the range -- deviating -- if -- a Petzval sum -- too little -- becoming .
- (8) Formulas are the conditions of the achromatism in this lens system, and if it deviates from this condition, achromatism will become difficult, and especially the lower limit of a second formula is important for preventing increase of a secondary chromatic aberration.

Next, the example of 1 numerical value of present invention enforcement is shown.

f=11:2.82 w= 240 overall length = 0.93 rl:o, 31245 d, =

61.0r4=Q -- 53555d4=0.03400r, =-3, 21278W1111111d, =

and +d4+d the 5=0.2284th table.

0.12459N1 = 1.62041V1. -60.2r2 = 24.05484d2 = 0.004r3 = 0.30835d3 = 0.03099N221.58913V2 = 0.0484d2 = 0.0484d2 = 0.004r3 = 0.03099N221.58913V2 = 0.0484d2 = 0.0484d2 = 0.004r3 = 0.03099N221.58913V2 = 0.0484d2 = 0.0484d2

0.03485N5 = 1.71736V3 = 29.5r6 = 0.24769d6 = 0.27499r7 = 0.18235d7 = 0.03000N4 = 1.58913V4 = 61.0r81 : 0.31186d8 = 0.00200r, = The Di Dell aberration coefficient of the above-mentioned Example is shown in 3.31487d9 - 0.03650N5 - 1.72342V5 = 37.9r1o = -0, 53436psi20.91d1 + d2 + d,

I11111IPV7.7465-0.90490.10571.2254-0.15553.8057-3.61723.4380-0.0159-3.2525-0.2662-0.3294-0.40761.20230.98332.9940-3.27383.

5797-0.5833-3.2764-12.23338.8352-6.3809-0.13004.7023-0.0044-0.0037-0.0031-1.6864-1

.4109-3.2031-0.2973-0.0276-2.0331-0.19120.

5282-0.26020.12821.1888-0.64891.00980.0712-0.51680.12662.83070.8348-0.26910.08680

.7855-0.28120.1923-0.04920.00240.0799-0. 7003 Fig. 2 and Fig. 3 are aberration figures in

the above-mentioned Example, and each aberration is especially corrected satisfactorily and is

understood [ in / Fig. 2 can be set in infinite distance distance, and / in Fig. 3 / a 10-f short

distance ] that the amounts of aberration variations are very few also in a short distance.

Easy description Fig. 1 of Drawings is set to the configuration diagram of one Example of a

present invention lens, Fig. 2 is set in the Example same as the above, and the \*\*\*\*\*\* figure in

infinite distance distance and Fig. 3 are aberration figures in the short distance of]Of in an

Example same as the above.

[Phase] Cited document JP,44-13678,B JP,45-35742,B rl111111

Electronic Acknowledgement Receipt					
EFS ID:	33117363				
Application Number:	15817235				
International Application Number:					
Confirmation Number:	7330				
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY				
First Named Inventor/Applicant Name:	Michael Dror				
Customer Number:	92342				
Filer:	Menachem Nathan				
Filer Authorized By:					
Attorney Docket Number:	COREPH-0080 US CON3				
Receipt Date:	09-JUL-2018				
Filing Date:	19-NOV-2017				
Time Stamp:	11:31:27				
Application Type:	Utility under 35 USC 111(a)				

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	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	First Named Inventor Michael Dror		
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under of of K 1.55)	Examiner Name			
	Attorney Docket Number		COREPH-0080 US CON3	

				U.S.	PATENTS	Remove
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	1	9235036	B2	2016-01-12	Kato et al.	
	2	4134645	A	1979-01-16	Sugiyama et al.	
	3	7697220	B2	2010-04-13	Noriyuki Iyama	
	4	8279537	B2	2012-10-02	Setsu Sato	
	5	9438792	B2	2016-09-06	Nakada et al.	
	6	7777972	B1	2010-08-17	Chen et al.	
	7	8233224	B2	2012-07-31	Chun-Shan Chen	
	8	8854745	B1	2014-10-07	Wei-Yu Chen	

( Not for submission under 37 CFR 1.99)

Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Numb	er	COREPH-0080 US CON3		

9	8004777	B2	2011-08-23	Yoshihito Souma	
10	8780465	B2	2014-07-15	Kyu Min Chae	
11	9817213	B2	2017-11-14	Romeo I. Mercado	
12	7515351	B2	2009-04-07	Chen et al.	
13	7564635	B1	2009-07-21	Hsiang-Chi Tang	
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Application Number		15817235		
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First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-0080 US CON3		

20	7813057	B2	2010-10-12	Ming Ching Lin	
21	7821724	B2	2010-10-26	Tang et al.	
22	7826149	B2	2010-11-02	Tang et al.	
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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Numb	er	COREPH-0080 US CON3		

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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-0080 US CON3		

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47	8451549	B2	2013-05-28	Yamanaka et al.	
48	2378170	Α	1945-06-12	George H Aklin	
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					:			
	53 4465345		Α	1984-08-14	Junichi Yazawa			
	54	9229194	B2	2016-01-05	Yoneyama et al.			
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			B2	2011-04-05	Li et al.			
	58 9568712		B2	2017-02-14	Dror et al.			
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	1	20140022436	A1	2014-01-23	Kim et al.			
	2	20140204480	A1	2014-07-24	Jo et al.			
	3	20050141103	A1	2005-06-30	Kiichiro Nishina			

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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Numb	er	COREPH-0080 US CON3		

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	15		20140285907	A1	2014-09	)-25	Tang et al.					
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	19 201502		20150253647	A1	2015-09-10		Romeo I. Mercado					
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	21		20160313537	A1	2016-10-27		Romeo I. Mercado					
	22		20140293453	A1	2014-10-02		Ogino et al.					
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	1	200	7306282	JP	JP		2007-11-22 Yasuaki Kayanuma					

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				_				
	2	2013106289	JP	Α	2013-05-30	Konno et al.		
	3	20140023552	KR	А	2014-02-27	Kim Bum Geun		
	4	S59121015	JP	A	1984-07-12	Yasushi Matsui		
	5	2012203234	JP	A	2012-10-22	Matsuzaka et al.		
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	7	S54157620	JP	A	1979-12-12	Toshiko Shimokura		
	8	2013063097	wo	A1	2013-05-02	Cahall et al.		
	9	104297906	CN	А	2015-01-21	Bo et al.		
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	1	A compact and cost effe	ctive design for ce	ell phone	zoom lens, Cha	ng et al., September 2007,	8 pages	

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	2		Consumer Electronic Optics: How small a lens can be? The case of panomorph lenses, Thibault et al., September 2014, 7 pages							
	3	Optica	al design of camera optics for mobile phones, Steinich et al., 2012, Pages 51-58 (8 pages)	esign of camera optics for mobile phones, Steinich et al., 2012, Pages 51-58 (8 pages)						
	4	The O	he Optics of Miniature Digital Camera Modules, Bareau et al., 2006, 11 pages							
	5	Model	ing and measuring liquid crystal tunable lenses, Peter P. Clark, 2014, 7 pages							
	6	Mobile	Platform Optical Design, Peter P. Clark, 2014, 7 pages							
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Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor Micha		nel Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 US CON3			

CERTIFIC	ATION ST	TATEMENT

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Name/Print	Menachem Nathan	Registration Number	65392

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PATENT APPLICA	TION	First Named Inv	entor	Michae	el Dror
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APPLICATION ELEME See MPEP chapter 600 concerning utility patent of		ADDRESS	TO:		ommissioner for Patents P.O. Box 1450 xandria, VA 22313-1450
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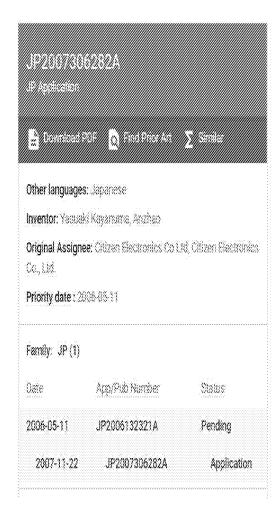
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# Abstract

PROBLEM TO BE SOLVED. To provide a thin camera module that can selectively obtain images of different magnifications, and is suitable to portable equipment.

SOLUTION: The camera module comprises a plurality of lens units 21, 22 having different focal lengths, image sensor chips 25a and 25b which process imaging signals based upon light made incident through the lens units, and a selecting means of selecting the light made incident through the plurality of lens units and imaging signals based upon the light. This selecting means is operative to select light made incident through one of the plurality of lens units or an imaging signal based upon the light.

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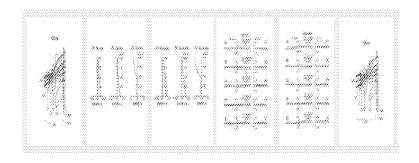


# Imaging apparatus

# Abstract

PROSLEM TO BE SOLVED. To provide high image quality with high resolution for an entire wide variable power region. SOLUTION: An imaging apparatus includes first and second imaging optical systems LN1 and LN2 with single focus, which look the same direction. A focal length of the second imaging optical system LN1. Zoerning is performed from a wide angle end to an intermediate focal length state with an electronic zoom by segmentation of an image obtained in the first imaging optical system LN1, and zooming is performed from the intermediate focal length state to a telescopic end with an electronic zoom by segmentation of an image obtained in the second imaging optical system. Thus, zooming from the wide angle end to the telescopic end is performed as a whole. Both the first and second imaging optical systems LN1 and LN2 consist of four or more lenses of first lenses of positive power and second lenses of negative power in order from an object side, the lenses nearest to the image side are negative lenses, composite focal lengths of the first lenses and the second lenses are positive and they satisfy a conditional expression 1.0<fFw/fFm<1.5.

# Images (23)



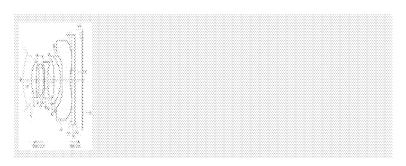


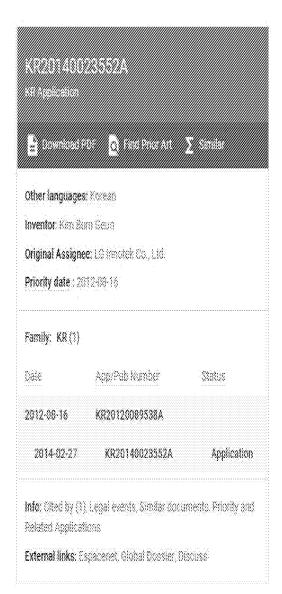
# Optical system

# Abstract

An optical system according to an embodiment comprises: a first lens, a second lens, a third lens, a fourth lens, and a fifth lens arranged from the top of an object in order and satisfies an equation 1, [Sag 2/Sag 1] < 2, and an equation 2,H5/Sag 5] > 1. In equation 1, Sag 1 is the Sag value of the surface of the first lens in an object side, and Sag 2 is the Sag value of the surface of the first lens in an image side. In equation 2, H5 is the height of an inflection point on the surface of the fifth lens in the image side, and Sag 5 is the Sag value of the inflection point on the surface of the fifth lens in the image side.

# Images (1)





# Photographic lens subjected to short distance correction

# Abstract

PURPOSE To correct satisfactorily various aberrations even in case of photographing of a short distance, and to make a titled lens durable enough even in a state that a diaphragm is opened by setting a prescribed air space by a refererence image magnification state between a positive lens component of an object side and a lens group of an image side, reducing the space at the time of focusing to a short distance object, and also magnifying the space behind a negative lens component. CONSTITUTION As for a deformed Gauss type lens of an F1.4 class, the image surface moves in the positive direction as an image magnification is raised, therefore, the image surface is corrected to the negative direction by magnifying an air space d6 behind a negative manisous lens E3, and also an asymmetrical property of a comatic aberration is corrected. In this case, the lenses L2, L3 can be moved to the front side as one body in a state that the lenses L1, L4-L7 remain fixed by setting a correcting amount of an air space d2 between a positive lens L1 and a positive meniacus fens L2, and a correcting amount of the space do to the same amount, and also the correcting amount can be decided independently to the lenses L2, L3, respectively. Accordingly, in a fast photographic lens of an area extending from a standard to a telephoto, various aberrations are corrected satisfactorily even in case of a short distance photographing, and an excellent imageforming performance can be maintained at all times.

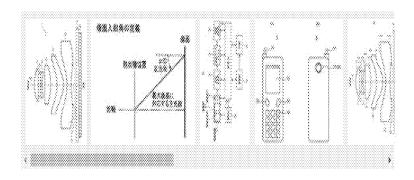


# Imaging optical system, imaging apparatus and digital instrument

# Abstract

PROBLEM TO BE SOLVED. To provide an imaging optical system composed of five lenses able to correct various aberrations more satisfactorily while achieving a smaller size, and an imaging apparatus and a digital instrument that have the optical system SOLUTION. An imaging optical system 1 includes, in order from an object side, first to fifth lenses 11 to 15. The first lens 11 is convex on the object side and has positive refractive power, a second lens 12 has negative refractive power, and the fifth lens 15 has negative refractive power and has an aspherical shape that is concave on an image side and has a vertical contact at a position other than the position of an intersection with the center axis. If the focal distance of the entire system is 1, the focal distance of the lifth lens 15 is f5, an amount of sag on an object side surface on the principal ray at the maximum field angle is 250, the thickness of a core is t5, the length of the optical path of a cline on the principal ray at the maximum field angle is 95c, the length of the optical path of a cline on the principal ray on the axis is p5c, the maximum image height is Y, and the entire optical length is TL, the imaging optical system satisfies 0.24(15/140.5, 14(250/15)4, 2.54(56/150.5) and 0.634(7/1140.9).

# Images (14)



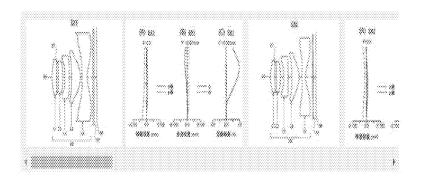


# Image-capturing lens

# Abstract

An image-capturing lens comprises in order from the object side a positive first lens, a stop, a positive second lens, a negative third lens, a positive fourth lens, and a negative fifth lens, satisfies the conditional expression of 0 < f1/f2 < 1.26 (where f1 is the focal length of the first lens and f2 is the focal length of the second lens), and forms an image of an object on the image-capturing surface of an image-capturing element.

# Images (15)





# Photographic telephoto lens

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# Abstract

PURPOSE: To achieve the balance of aberration correction and prevent the degradation in the positive coma aberration and distortion aberration produced from the refracting power of the front group by making the combined thickness of the 5 group type through combination of positive and negative single lenses smaller under the specified conditions.

CONSTITUTION. The front group disposed with a biconvex single lens  $L_1$  whose convex face of a larger curvature is opposed to the object side as the 1st group subsequently from the object side, a plane-concave or negative meniscus single lens  $L_2$  whose object side is a concave lens as the 2nd group and a positive meniscus single lens  $L_3$  whose convex face of a larger curvature is faced to the object side as well as the rear group disposed with a megative neniscus single lens  $L_4$  whose convex face is faced to the image side as the 4th group and a positive single lens  $L_5$  on the image side as the 5th group are disposed on the optical axis by leaving a specified distance. The formulas (1) thru (7) are satisfied in respective specifications, (f is focal length, d is axial air spacing, r is radius of curvature and u is Abbe number).



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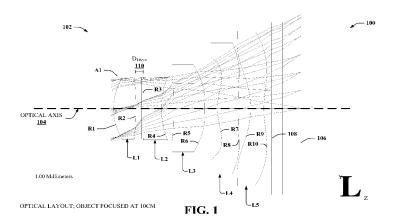
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(54) Title: OPTICAL OBJECTIVE HAVING FIVE LENSES WITH FRONT FOCUSING



(57) Abstract: Optical system comprising five lenses, a front pupil and achieving focus for objects from close to infinity by adjusting, via a MEMS actuator, the position of a subset of lenses located on the object-side of the optical system. The most object-side, biconvex, lens provides a substantial amount of the system's optical power for achieving focus from an object as close as 10 cm away from the aperture stop.

### OPTICAL OBJECTIVE HAVING FIVE LENSES WITH FRONT FOCUSING

# CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of U. S. Provisional Patent Application Serial No. 61/550,789 entitled "OPTICAL SYSTEM WITH MICROELECTROMECHANICAL SYSTEM IMAGE FOCUS ACTUATOR" filed October 24, 2011. The entirety of the above-noted application is incorporated by reference herein.

### TECHNICAL FIELD

[0002] The following relates generally to imaging optics, and more particularly to a compact optical lens system with micro electromechanical system (MEMS) actuator for focusing the optical lens system.

### **BACKGROUND**

[0003] Applications for optics and optical devices have become numerous in conjunction with advances in optical fabrication technology. One interesting advancement in optical technology is fabrication of micro lenses, and other optical components on a millimeter or micrometer scale, or less. Compared with traditional optical elements typically on the scale of centimeters or larger, micro optics have made optical systems compatible with smaller devices than traditional telescopes, microscopes, cameras, and so on.

One mechanism facilitating the fabrication of micro optics is wafer-level optics. Wafer-level optics is a fabrication technology that enables design and manufacture of optical components using techniques similar to semiconductor manufacturing. The technology is generally scalable with different size scales (e.g., millimeter, micrometer, etc.). Moreover, wafer-level optics can produce single-element as well as multi-element optical structures, yielding precision aligned stacks of lens elements. The end result of wafer-level optics provide cost effective, miniaturized optical components that enable reduced form factor for optical systems. These optical systems can be employed in a wide range of small or miniature devices, including camera modules for mobile phones, surveillance equipment, miniature video cameras,

and the like.

[0005] Although wafer-level optics is one relatively recent technology for fabricating small optical components, some traditional fabrication techniques have been adapted to small-scale optical fabrication as well. For instance, plastic fabrication techniques including injection molding, and others can be employed for manufacturing small-scale optical components. Further, glass fabrication techniques have been adapted for miniaturized optical components, providing high quality optical surfaces for small-scale devices.

[0006] In addition to optical elements, the miniaturization of digital imaging sensors has also facilitated the continuing miniaturization of image capture and recording devices. Improvements in image sensors have provided high resolution image detectors utilizing micro-scale pixilation, and at high signal to noise ratio and increasingly lower cost. In conjunction with micro optics, such as wafer-level optical components, small, relatively inexpensive digital capture and recording devices can match or exceed the capabilities of relatively expensive, yet very high quality camera systems utilizing traditional optics of just a decade ago. Although quality is very high for modern micro optical devices, one persistent limitation has been zoom capability for miniature optical systems. One solution has been the introduction of digital zoom, which sacrifices optical resolution to enlarge an image. For high resolution sensors, this often provides a suitable alternative to traditional optical zoom capability. However, optical zoom provides advantages that digital zoom cannot achieve.

[0007] For example, the inventors of the disclosed subject matter suggest it would be desirable to have a miniature optical system with optical auto-focus capability. Such an optical system that achieves close focus would be additionally desirable.

### **SUMMARY**

[0008] The following presents a simplified summary of one or more aspects in order to provide a basic understanding of such aspects. This summary is not an extensive overview of all contemplated aspects, and is intended to neither identify key or critical elements of all aspects nor delineate the scope of any or all aspects. Its sole purpose is to present some concepts of one or more aspects in a simplified form as a prelude to the more detailed description that is presented later.

[0009] Particular aspects of the subject disclosure provide a miniaturized optical system. In some aspects, the miniaturized optical system can comprise an injection

molded optical system. In further aspects, the miniaturized optical system can be an auto-focus optical system comprising five optical components. In still other aspects, the miniaturized optical system can be an auto-focus optical system employing a micro electromechanical system (MEMS) actuator to achieve focusing of the optical system.

[0010] In one or more other aspects of the subject disclosure, provided is an optical system that employs a MEMS actuator to achieve close focus. In one such aspect, the close focus can comprise a substantially 10cm object distance. Further, according to other aspects, the optical system can be configured to achieve close focus and infinity focus by adjusting position of a subset of optical components of the optical system. In particular aspects, the subset of optical components can comprise a single optical component of the optical system. In at least one such aspect, the single optical component can be a lens closest along an optical axis of the optical system to an object being imaged by the optical system (referred to as an object-side lens). In such aspect(s), the MEMS actuator can be configured to displace the object-side lens of the optical system a first distance configured to focus an object at infinity onto an image sensor associated with the optical system, and to displace the object-side lens a second distance configured to focus a close object (e.g., an object substantially 10cm from the object-side lens) onto the image sensor.

[0011] According to one or more additional aspects, an auto-focus optical system disclosed herein can be configured to include an aperture stop. In a particular aspect, the auto-focus optical system can comprise injection molded plastic lenses, whereas in other aspects, the auto-focus optical system can comprise wafer-level optical lenses, glass lenses, or a suitable combination thereof. In another aspect, the aperture stop can be positioned on an object side of the object-side lens of the optical system. In one alternative aspect, a MEMS actuator can be configured to move a subset of optical components of the optical system to focus an object, while maintaining the aperture stop in a fixed position along an optical axis of the optical system. In another alternative aspect, the MEMS actuator can instead be configured to move both the subset of optical components and the aperture stop relative to the optical axis, to focus the object.

[0012] According to still other aspects, disclosed is an auto-focus optical system comprising a plurality of optical components. The plurality of optical components can, in some such aspects, comprise an object-side lens providing a substantial amount of optical power to the optical system. In at least one such aspect, the object-side lens can comprise substantially half or greater than half of the combined focal length of the

optical system. In another aspect, the object-side lens can comprise substantially three-quarters or more of the combined focal length of the optical system. In a particular aspect, a MEMS actuator is connected to the object-side lens, and is configured to displace the object-side lens a first distance configured to focus an object at infinity, and a second distance configured to focus an object close to the optical system. According to one specific embodiment, a ratio of the focal length of the object-side lens and of a combined focal length of the optical system can be a function of a difference in magnitude of the first distance and the second distance along an optical axis of the optical system.

Optical system comprising five optical lenses. In one such aspect, an objective lens of the five optical lenses can be configured to supply all positive refractive power of the five optical lenses. In this aspect, the remaining four lenses have a combined net negative refractive power. In at least one particular aspect, the remaining four lenses can have respective negative refractive powers, with a combined net negative refractive power. According to an alternative or additional aspect, a third lens of the five optical lenses can a convex object side surface and a concave image side surface. As yet another alternative or additional aspect, a spacing between a fourth of the five optical lenses and a fifth of the five optical lenses can be a largest spacing between lenses of the optical system. In another aspect, the micro-optical system can be an auto-focus system in which a subset of the five optical lenses are movable along an optical axis to refine a focus of the optical system. In one specific aspect, the subset of the five optical lenses can comprise the objective lens, and the subset being movable by a MEMS actuator.

[0014] In further aspects of the subject disclosure, provided is a micro-optical system comprising five optical lenses. The five optical lenses can be arranged into a plurality of lens groups, each lens group comprising respective subsets of the five optical lenses. Each group comprises inter-lens distances equal to or less than a distance(s) between the plurality of optical groups. In a further aspect, each lens within at least one of the plurality of lens groups comprises at least one optical surface having both a concave portion and a convex portion. In a particular aspect, an effective focal length of the micro-optical system varies in response to a change in position along an optical axis of a first lens of the five optical lenses, and in an alternative or additional aspect, a back focal length of the micro-optical system remains substantially the same in response to the change in position along the optical axis of the first lens.

[0015] To the accomplishment of the foregoing and related ends, the one or more aspects comprise the features hereinafter fully described and particularly pointed out in the claims. The following description and the annexed drawings set forth in detail certain illustrative aspects of the one or more aspects. These aspects are indicative, however, of but a few of the various ways in which the principles of various aspects can be employed and the described aspects are intended to include all such aspects and their equivalents.

# BRIEF DESCRIPTION OF THE DRAWINGS

**[0016]** Figure 1 illustrates a diagram of an example optical imaging system configured to focus a relatively close object, according to various aspects of the subject disclosure.

**[0017]** Figure 2 illustrates a diagram of a sample optical imaging system configured to focus an object substantially at infinity, according to other disclosed aspects.

**[0018]** Figure 3 depicts a diagram of an example optical imaging system comprising a plurality of injection molded optical components.

**[0019]** Figure 4 illustrates a diagram of example field curvature and distortion graphs for a sample optical imaging system focusing a relatively close object.

**[0020]** Figure 5 illustrates a diagram of example field curvature and distortion graphs for the sample optical imaging system of Figure 4, focusing an object substantially at infinity.

[0021] Figure 6 depicts a diagram of a sample lateral color graph for an example optical imaging system focusing a relatively close object, according to further aspects.

**[0022]** Figure 7 illustrates a diagram of a sample lateral color graph for the example optical imaging system of Figure 6 focusing an object substantially at infinity, according other aspects.

[0023] Figure 8 depicts a diagram of transverse ray fan plots for a disclosed optical imaging system with an object focused at 10cm.

[0024] Figure 9 illustrates a diagram of transverse ray fan plots for the disclosed optical imaging system of Figure 8, with an object focused substantially at infinity.

**[0001]** Figure 10 illustrates a cross-section of a sample optical system for focusing an image of an object at 10cm according to aspects of the subject disclosure.

[0002] Figure 11 illustrates a cross-section of a sample optical system for focusing an image of an object at infinity according to aspects of the subject disclosure.

[0003] Figure 12 illustrates an example graph of field curvature and distortion for an object at 10cm according to aspects of the subject disclosure.

[0004] Figure 13 illustrates an example graph of field curvature and distortion for an object at infinity in other aspects of the subject disclosure.

[0005] Figure 14 illustrates an example graph of primary lateral color for an object at 10cm according to an aspect(s).

[0006] Figure 15 illustrates an example graph of primary lateral color for an object at infinity according to one or more other aspects.

[0007] Figure 16 illustrates an example transverse ray fan plot for various image heights for an object at 10cm according to still other aspects.

[0025] Figure 17 illustrates an example transverse ray fan plot for various image heights for an object at infinity according to at least one other aspect.

[0026] Figure 18 depicts a transverse ray fan plot for a range of field angles for an example micro-optical system according to additional disclosed aspects.

[0027] Figure 19 illustrates a sample diagram of the micro-optical system of Figure 18 including lenses and optical surfaces.

[0028] Figure 20 depicts an example graph of field curvature and distortion for an object focused by the micro-optical system of Figure 18.

**[0029]** Figure 21 illustrates a sample graph of longitudinal aberration for a pupil radius of 0.90 millimeters in an aspect.

**[0030]** Figure 22 depicts an example graph of lateral color for a disclosed micro-optical system according to further aspects.

[0031] Figure 23 illustrates a transverse ray fan plot for a range of field angles for a micro-optical system focused in the near-field according to disclosed aspects.

[0032] Figure 24 depicts a sample diagram of the micro-optical system of Figure 23 including lenses and optical surfaces.

**[0033]** Figure 25 illustrates an example diagram of field curvature and distortion for a near-field object focused by the micro-optical system of Figure 23.

[0034] Figure 26 depicts a sample diagram of longitudinal aberration for pupil radius of 0.90 millimeters for a disclosed micro-optical system, in an aspect.

[0035] Figure 27 illustrates an example diagram of lateral color for a disclosed micro-optical system according to still other disclosed aspects.

[0036] Figures 28A, 28B, 28C and 28D illustrate diagrams of an example micro-optical system focused at infinity according to further aspects, and related optical performance graphs.

[0037] Figures 29A, 29B, 29C and 29D depict the micro-optical system of Figure 28 focused in the near-field and related optical performance graphs.

# **DETAILED DESCRIPTION**

[0038] Various aspects are now described with reference to the drawings, wherein like reference numerals are used to refer to like elements throughout. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of one or more aspects. It will be evident, however, that such aspect(s) can be practiced without these specific details. In other instances, well-known structures and devices are shown in block diagram form in order to facilitate describing one or more aspects.

[0039] In addition, it should be apparent that the teaching herein can be embodied in a wide variety of forms and that the specific structures or functions disclosed herein are merely representative. Based on the teachings herein one skilled in the art should appreciate that the disclosed aspects can be implemented independently of other aspects, and that two or more of these aspects can be combined in various ways. For example, an apparatus can be implemented and/or a method practiced using any number of the aspects set forth herein. In addition, an apparatus can be implemented and/or a method practiced using other structure and/or functionality in addition to or other than one or more of the aspects set forth herein. As an example, many of the apparatuses and lens systems disclosed herein are described in the context of providing high resolution optical imaging via compact fixed position optical lens arrangements. One skilled in the art should appreciate that similar techniques could apply to other optical lens architectures. For example, the lens arrangements used herein may be used in mechanical focus or auto-focus systems whereby the optical arrangement is automatically or manually displaced relative to the image plane.

**[0040]** In at least one aspect of the subject disclosure, an optical imaging system is provided. The optical imaging system can comprise a first group of lenses and a second group of lenses. The optical imaging system can be focused by repositioning the first group of lenses relative to the second group of lenses along an optical axis of the optical imaging system. In at least one aspect of the subject disclosure, the second

group of lenses includes an image sensor for the optical imaging system. In particular aspects of the subject disclosure, the first group of lenses can comprise a single lens. For instance, the single lens can include an object-side lens, which is an optical element closes to an object side of the optical imaging system.

[0041] Referring now to the drawings, Figure 1 depicts a block diagram of an example optical system 100 according to aspects of the subject disclosure. System 100 comprises an arrangement of optical elements 102 positioned transverse to an optical axis 104. As utilized herein, an optical element refers to a single piece of refractive or reflective material at least partially transparent to electromagnetic radiation at least partially within the visible spectrum (e.g., including wavelengths approximately 400 to 700 nanometers [nm]). Examples of suitable material include ground and polished glass, molded glass or glass formed from a replication molding process, wafer-level optics (WLO), injection-molded plastic, etched micro optics formed on an optical substrate, or the like. Additionally, an optical element will have at least one refractive or reflective surface. One example of an optical element utilized herein is an optical lens. An optical lens is an optical element comprising two opposing refractive surfaces, and an edge between the opposing surfaces that defines an outer diameter (for a circular lens) or perimeter of the lens, and an edge thickness of the lens. A typical arrangement of optical lenses includes a series of lenses 102 at least generally transverse to an axis (optical axis 104). It should be appreciated, however, that other possible arrangements can exist consistent with the subject disclosure. A "lens component" is defined herein as (A) a single lens element spaced so far from any adjacent lens element that the spacing cannot be neglected in computing the image forming properties of the respective lens elements, or (B) two or more lens elements that have adjacent lens surfaces either in full overall contact or so close together that any spacing between the adjacent lens surfaces are so small that the spacing(s) can be neglected in computing image forming properties of the two or more lens elements. Thus, some lens elements can also be lens components, and the terms "lens element" and "lens component" are not mutually exclusive terms. In addition, it should be appreciated that the term "optical component" is utilized herein to refer to a superset of items having significant properties related to imaging optical systems, and includes optical elements such as lens elements and lens components, as well as various optical stops including but not limited to aperture stops, but can also include various other items such as a thin film, a bandpass filter, a lowpass or highpass filter, a polarizing filter, a mirror, etc.

Light entering the left side, or object side, of optical elements 102 can interact sequentially with respective elements (102) and exit the right side, or image side, of the elements 102, toward an optical sensor 106. It should be appreciated that not all light interacting with the left side of the optical elements 102 will be transmitted to the sensor 106; some light can be reflected off of respective elements (102), some light can be scattered away from the optical axis 104 and absorbed (e.g., by an optical stop - not depicted), and so forth. However, in general, the optical elements 102 will receive light from an object on one side of the elements (e.g., the left side) and form a real image of the object on an opposite side of the elements (e.g., on the right side). The real image will be formed along the optical axis 104 a certain distance from the optical elements 102, called an image distance (ID). Notably, the ID depends primarily on a corresponding object distance (OD - distance between the object and the optical elements 102 along the optical axis 104) and a refractive power, or optical power, of the combined optical elements 102.

[0043] Sensor 106 can be a digital device comprising a multi-dimensional array (e.g., a two dimensional array) of electro-optical sensors, or pixels. Examples of such a device can include a charge-coupled device (CCD) array, or a complementary metaloxide semiconductor (CMOS) array, or some other suitable array of optical sensors. Each electro-optical sensor, or pixel, of such array is configured to output an electric signal when irradiated with light. Furthermore, an amount of electric current for the electric signal is directly related to energy density of light irradiating the pixel. Accordingly, by collecting output current levels from each pixel of the array, sensor 106 can digitally reproduce a two dimensional radiant energy pattern of light irradiating the sensor 106. Additionally, where the pixel surface or sensor plane of sensor 106 is placed at the above-mentioned ID, the two dimensional radiant energy pattern that is produced is that of a real optical image generated by optical elements 102. Accordingly, sensor 106 can be utilized to digitally reproduce that image. Resolution of a digital image generated by sensor 106 depends on a number of pixels within an active array of sensor 106. In addition, optical system 100 can comprise a cover plate 108 between the optical elements 102 and image sensor 106, as depicted by Figure 1.

[0044] As depicted by optical system 100, optical elements 102 can comprise five optical lenses, including lens LI, lens L2, lens L3, lens L4 and lens L5, from the object-side of optical elements 102 to an image-side of optical elements 102. As depicted, lens LI is a biconvex lens having positive optical power, having convex

object-side and convex image-side surfaces, R1 and R2, respectively. Additionally, lens LI can have a relatively strong positive optical power, relative to lenses L2, L3, L4 and L5. In at least one aspect, lens LI can have a relatively strong positive optical power relative to a combination of lenses L2, L3, L4 and L5. In a particular aspect, lens LI can provide at least about half or more of the combined focal length of optical elements 102. In an alternative aspect, lens LI can provide substantially about three-quarters or more of the combined focal length of optical elements 102. In related aspects, the optical power of the object-side lens (Ll power) can be about 1.25x the combined optical power of optical elements 102 (e.g. ,  $L1_{power} \le 1.25*(L1_{power} + L2_{power} + L3_{p0W}er + L3_{p0W}er$ L4power + L5<sub>n</sub>ower)- In a particular aspect, an aperture stop Al can be positioned at or in front of an object-side of lens LI. Aperture stop Al is described in more detail below. [0045] Lens L2 can have an overall negative optical power. Further, lens L2, in one aspect, can have a mildly concave object-side surface R3. In an alternative aspect, object-side surface R3 can be flat, with no optical power. As yet another alternative aspect, object-side surface R3 can be mildly convex. An image-side surface R4 of lens L2 can have concave curvature. Moreover, lens L2 can be configured to provide chromatic aberration correction for optical system 100. In at least one aspect, lens L2 can provide a majority of chromatic aberration correction for optical system 100. [0046] Lens L3 comprises an object-side surface R5 and an image-side surface R6. Object-side surface R5 can be mildly concave, in particular aspects. Moreover, image-side surface R6 can be convex. In a particular aspect, lens L3 can have a positive optical power.

[0047] Lens L4 comprises an object-side surface R7 and an image-side surface R8. Object-side surface R7 can have convex curvature near optical axis 104. Moreover, in at least one aspect of the subject disclosure, object-side surface R7 can transition to concave further from optical axis 104. Moreover, image-side surface R8 can be substantially flat with little or no optical power near optical axis 104, and transition to convex curvature away from optical axis 104. In an alternative aspect, image-side surface R8 can be convex near optical axis 104 having significant optical power for low to mid field angles, as well as convex away from optical axis 104. In a particular aspect, lens L4 can have positive power for low field angles (e.g., field angles between zero and about 12 to 15 degrees). In another aspect, lens L4 can have small positive, small negative, or substantially zero optical power for medium field angles

(e.g., field angles between about 12 to 15 degrees and about 22 to 25 degrees). In yet another aspect, lens L4 can have small positive, small negative, or substantially zero optical power for for high field angles (e.g., field angles between about 22 to 25 degrees and about 33 or more degrees, up to a maximum accepted field angle of optical system 100).

[0048] Lens L5 comprises an object-side surface R9 and an image-side surface R10. Object-side surface R9 can have concave curvature for low and medium field angles. In at least one aspect, object-side surface R9 can transition to mildly concave or no curvature for high field angles. Image-side surface R10 can be concave near optical axis 104. Moreover, image-side surface R10 can transition from concave to convex for medium and high field angles, as depicted.

[0049] As depicted, optical elements 102 can have respective spaces (e.g., air spacing) between respective lenses LI, L2, L3, L4 and L5. In at least one disclosed embodiment, a first on-axis distance between lens L1 and L2 can be substantially small compared with a third on-axis distance between lens L3 and lens L4. In another embodiment, the first on-axis distance can be substantially small compared to a second on-axis distance between lens L2 and L3, and a fourth on-axis distance between lens L4 and L5, in addition to the third on-axis distance. In at least one embodiment, the second, third and fourth on-axis distances can be substantially similar in magnitude, at least in comparison with the first on-axis distance. In other embodiments, these relations between the first, second, third and fourth on-axis distances need not exist. For instance, other relationships between the first, second, third and fourth on-axis distances may exist instead.

[0050] In at least one aspect of the subject disclosure, a MEMS actuator can be connected at least to lens LI. The MEMS actuator can be configured to reposition lens LI along optical axis 104 to focus objects at different object distances. As one example, the MEMS actuator can change the first distance between lens LI and lens L2 to focus objects at differing object distances. In at least one aspect, the MEMS actuator can position lens LI a distance Dio<sub>cm</sub> 110 from lens L2 to focus onto sensor 106 an image of an object that is substantially 10 centimeters (cm) from a position of aperture stop A1 on optical axis 104.

[0051] According to further aspects, aperture stop A1 can be fixed relative to optical axis 104. In another aspect, aperture stop A1 can be fixed relative to a position of lens L1. In the latter aspect, aperture stop A1 can be moved by a MEMS actuator in

conjunction with lens LI when focusing an image of an object. According to still other aspects, the MEMS actuator can be configured to move lens LI, either alone or in conjunction with aperture stop A1, a total distance along optical axis 104. The total distance can, in a particular aspect, at one end thereof focus an image of an object at infinity, and at an opposite end thereof, focus an image of an object substantially at 10cm from aperture stop A1. As utilized herein, an object at infinity includes an object distance that satisfies the paraxial approximation known in the art of optical imaging science. The paraxial approximation, broadly stated, refers to an object at such a distance that an angle - subtending a first optical ray that is parallel with optical axis 104 and a second optical ray that originates at a point on the object farthest from the optical axis and passes through optical axis 104 at aperture stop A1 - is substantially zero degrees. In yet another aspect, lens LI can have a focal length that is at least in part a function of a magnitude of the total distance. In still other aspects, a ratio of the focal length of lens LI and a combined focal length of optical elements 102 can at least in part be a function of the magnitude of the total distance.

[0052] Because the pixel array of sensor 106 generates an electronic reproduction of a real image, data generated by sensor 106 (and other sensors disclosed herein) in the form of electric signals can be saved to memory, projected to a display for viewing (e.g., digital display screen), edited in software, and so on. Thus, at least one application of optical system 100 is in conjunction with a digital camera or video camera comprising a digital display. Furthermore, optical system 100 and other optical systems included in the subject disclosure can be implemented in conjunction with a camera module of an electronic device. Such an electronic device can include a wide array of consumer, commercial or industrial devices. Examples include consumer electronics, including a cell phone, smart phone, laptop computer, net-book, PDA, computer monitor, television, flat-screen television, and so forth, surveillance or monitoring equipment, including commercial equipment (e.g., ATM cameras, bank teller window cameras, convenience store cameras, warehouse cameras and so on), personal surveillance equipment (e.g., pen camera, eyeglass camera, button camera, etc.), or industrial surveillance equipment (e.g., airfield cameras, freight yard cameras, rail yard camera, and so on). For instance in consumer electronics, because optical system 100 can comprise optical components having physical dimensions on the order of a few millimeters or less, and because at least some of optical elements 102 can have a fixed position, system 100 and other disclosed systems are well suited for various

types of mini or micro camera modules. It is to be appreciated, however, that the disclosed systems are not limited to this particular application; rather, other applications known to those of skill in the art or made known by way of the context provided herein, are included within the scope of the subject disclosure.

Figure 2 illustrates a diagram of an example optical imaging system 200 according to additional aspects of the subject disclosure. Optical imaging system 200 can comprise a set of optical elements 202 arranged transverse to an optical axis 204. Furthermore, optical elements 202 can be configured to focus an image onto an image plane 206 of an object located substantially at infinity from an aperture stop A1 of optical imaging system 200. In at least one aspect, optical elements 202 can be substantially similar to optical elements 102 of Figure 1, *supra*, except for the first distance between lens L1 and lens L2. Particularly, this first distance in optical imaging system 200 can be a distance distance distance distance of focus the object located substantially at infinity, discussed above. Furthermore, as described at Figure 1, *supra*, aperture stop A1 can, in one aspect, be fixed in position relative to optical axis 204. In an alternative aspect, aperture stop A1 can be fixed in position relative to lens L1, and move along optical axis 204 with lens L2.

[0054] It should be appreciated that surfaces R1 through R10 of lenses L1 through L5 of optical elements 102 and 202 (as well as other optical surfaces described throughout the subject disclosure) can be of varying shapes. In one aspect, one or more of the surfaces can be spherical surfaces. In other aspects, one or more of the surfaces can be conic surfaces. In yet other aspects, one or more of the surfaces can be aspheric surfaces, according to a suitable aspheric equation, such as the even aspheric equation:

(1) 
$$z = \left[\frac{CY^2}{\left[1 + (1 - (1 + K^3)^2)^{1/2}\right]^2}\right]_{+ \Sigma_i} (A_{i * Y})$$
, where z is the sag height (in

mm) of a line drawn from a point on the aspheric lens surface at a radial distance, Y from the optical axis to the tangential plane of the aspheric surface vertex, C is the curvature of the aspheric lens surface on the optical axis, Y is the radial distance (in mm) from the optical axis, K is the conic constant, and  $A_i$  is the  $1^m$  aspheric coefficient, with the summation over even number i. However, these aspects are not to be construed as limiting the scope of the subject disclosure. Rather, various surfaces can be odd aspheric, or of an aspheric equation comprising even and odd coefficients.

[0055] Further to the above, it should be appreciated that lenses of optical

elements 102 and 202 (and optical lenses of various other optical systems provided throughout the subject disclosure) can be made of various suitable types of transparent material, and formed according to various suitable processes for generating an optical quality surface. In one aspect, lenses LI through L5 can be ground and polished glass, where the glass is selected to have an index of refraction resulting in a desired effective focal length for the combined lenses LI through L5. In another aspect, the lenses can be an optical-quality injected molded plastic (or plastic of optical quality formed by another suitable method), wherein the plastic has an index of refraction suitable to provide the desired effective focal length. In at least one other aspect, the lenses LI through L5 can be etched from a transparent glass, crystalline or other suitable structure (e.g., silicon dioxide - SiO 2 wafer) with a lithographic etching process similar to that used to etch semiconductor chips (e.g., solid state memory chip, data processing chip). In a particular aspect, optical elements 102 and optical elements 202 can be described according to the optical prescription of Tables 1 - 9, below.

Parameter Description	<u>Value</u>
Effective Focal Length (in air at system temperature and pressure)	4.131396
Effective Focal Length (in image space)	4.131396
Back Focal Length	0.349633
Total Track Length (TTL)	5.49089
Image Space F/#	2.293412
Paraxial Working F/#	2.44
Working F/#	2.857105
Image Space NA	0.1752143
Object Space NA	0.008991
Stop Radius	0.90071
Paraxial Image Height	2.956
Paraxial Magnification	-0.04388
Entrance Pupil Diameter	1.801419
Entrance Pupil Position	0.18
Exit Pupil Diameter	1.236574
Exit Pupil Position	-3.03634
Maximum Radial Field	2.956

Lens Units	Millimeters (mm)
Angular Magnification	1.183246

**Table 1: General Optical Properties** 

Field #	X-Value	<u>Y-Value</u>	<u>Weight</u>
1	0	0	1
2	0	0.571	1
3	0	1.142	1
4	0	1.714	1
5	0	2.285	1
6	0	2.57	1
7	0	2.856	0.2
8	Ö	2.956	0.2

Table 2: Field Type v. Real Image Height (in mm)

Field / #	<u>VDX</u>	<u>VDY</u>	<u>VCX</u>	<u>VCY</u>	<u>VAN</u>
1	0	0	0	0	0
2	0	0	0	0	0
3	0	0	0	0	0
4	0	0	0	0	0
5	0	-0.04364	0.000234	0.043642	0
6	0	-0.081	0.001432	0.081006	0
7	0	-0.1227	0.004184	0.122713	0
8	0	-0.13916	0.006035	0.139171	0

 $Table\,3\colon Vignetting\ Factors\ for\ Fields\ of\ Table\,2$ 

Wavelength #	<u>Value (in µm)</u>	<u>Weight</u>
1	0.47	91
2	0.51	503
3	0.555	1000
4	0.61	503
5	0.65	107

Table 4: Wavelengths Used for Raytracing

<u>Surface</u>	Type	<u>Radius</u>	Thickness	<u>Material</u>	<u>Diameter</u>	Conic	<u>Notes</u>
Object	Standard	Infinity	100		132.31 88	0	
1 -	Standard	Infinity	0.1 8		2.036472	0	
Stop	Standard	Infinity	-0. 1		1.85939	0	
3	EvenAsph	1.974507	0.637634	APEL551 4ML	1.9071 53	0	
4	EvenAsph	- 13.5665	0.166808		1.93921 2	0	
	Standard					0	not
5	Standard	Infinity	0.049504		1.93921 2		vignetting
6	EvenAsph	68.79989	0.528506	OKP4HT	1.951 274	0	
7	EvenAsph	2.992822	0.247886		1.977445	0	
	Standard					0	Vignetting
8	Standard	Infinity	0.09498		2		at 1.000
9	EvenAsph	143.3822	0.902332	APEL551 4ML	2.122436	0	
10	EvenAsph	-24.6284	0.367969		2.741 479	0	
11	EvenAsph	1.9791 7	0.596974	APEL551 4ML	3.225637	0	
12	EvenAsph	91.68886	0.360983		4.1271 83	0	
13	EvenAsph	-3. 10061	0.38221 5	APEL551 4ML	4.395569	0	
14	EvenAsph	3.49791 4	0.2251		4.876466	0	
15	EvenAsph	Infinity	0.3	N-BK7	5.271 775	0	
16	Standard	Infinity	0.55		5.427336	0	
Image	Standard				0	0	

**Table 5: Surface Data Summary** 

<u>Surface</u>	Parameter Description	<u>Value</u>
R1	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.01328
	Coefficient on r 6	0.020358
	Coefficient on r 8	-0.03418
	Coefficient on r 10	0.012665
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R2	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.0043
	Coefficient on r 6	0.001395
	Coefficient on r 8	-0.02717
	Coefficient on r 10	0.022646
	Coefficient on r 12	0
	Coefficient on r 14	0

	Coefficient on r 16	0
R3	Even Asphere	<u> </u>
	Coefficient on r 2	0
	Coefficient on r 4	-0.01683
	Coefficient on r 6	0.019474
	Coefficient on r 8	-0.03875
	Coefficient on r 10	0.034171
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R4	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.01678
	Coefficient on r 6	0.057631
	Coefficient on r 8	-0.06199
	Coefficient on r 10	0.029185
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R5	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.07378
	Coefficient on r 6	0.078871
	Coefficient on r 8	-0.04834
	Coefficient on r 10	0.007991
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R6	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.20739
	Coefficient on r 6	0.12271
	Coefficient on r 8	-0.04163
	Coefficient on r 10	0.006751
	Coefficient on r 12	0

Coefficient on r 16
Coefficient on r 2
Coefficient on r 4
Coefficient on r 6
Coefficient on r 6
Coefficient on r 8
Coefficient on r 10
Coefficient on r 12
Coefficient on r 16
R8
Coefficient on r 2
Coefficient on r 4  Coefficient on r 6  Coefficient on r 8  Coefficient on r 10  Coefficient on r 12  Coefficient on r 14
Coefficient on r 6
Coefficient on r 6
Coefficient on r 8
Coefficient on r 10 -0.00087  Coefficient on r 12 0
Coefficient on r 12 0
Coefficient on r 14 0
Coefficient on r 16 0
R9 Even Asphere
Coefficient on r 2
Coefficient on r 4 -0.01701
Coefficient on r 6 0.02087
Coefficient on r 8 -0.00363
Coefficient on r 10 0.000212
Coefficient on r 12 0
Coefficient on r 14 0
Coefficient on r 16 0
RIO Even Asphere
Coefficient on r 2 0
Coefficient on r 4 -0.07822
Coefficient on r 6 0.013989
Coefficient on r 8 -0.00152
Coefficient on r 10 6.37E-05

Coefficient on r 12	0
Coefficient on r 14	0
Coefficient on r 16	0

**Table 6: Surface Aspheric Coefficients** 

Surface	<u>Edge</u>
Object	100
1	0.18
Stop	0.13436
3	0.361345
4	0.208736
5	0.052866
6	0.700429
7	0.0726
8	0.054707
9	0.625532
10	0.494114
11	0.625166
12	0.144313
13	0.505743
14	0.480978
15	0.3
16	0.55
Image	0

Table 7: Edge Thickness Data

Temperature (Temp) in degrees Celsius and pressure (Press) in atmospheres								
Surface	<u>Material</u>	<u>Temp</u>	<u>Press</u>	0.47	<u>0.51</u>	<u>0.555</u>	<u>0.61</u>	<u>0.65</u>
0		20	1	1	1	1	1	
1		20	1	1	1	1	1	
2		20	1	1	1	1	1	
3	APEL55 14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
4		20	1	1	1	1	1	
5		20	1	1	1	1	1	
6	OKP4HT	20	1	1.65642	1.646281	1.637383	1.62927	1.625419
7		20	1	1	1	1	1	
8		20	1	1	1	1	1	
9	APEL55 14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
10		20	1	1	1	1	1	
11	APEL55 14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
12		20	1	1	1	1	1	
13	APEL55	20	1	1.552896	1.549574	1.546504	1.543579	1.541977

	14ML							
14		20	1	1	1	1	1	
15	N-BK7	20	1	1.523605	1.520769	1.51 8274	1.51 5909	1.51 452
16		20	1	1	1	1	1	
17		20	1	1	1	1	1	

Table 8: Index of Refraction Data

		<u>Wavelengths (μm):</u>						
		<u>C</u>	) <u>.47</u>	<u>0.51</u>		<u>0.555</u>		
Number	Field (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	
1	0	2.4569	2.4569	2.4571	2.4571	2.4591	2.4591	
2	0.571	2.5045	2.4888	2.5043	2.4886	2.506	2.4902	
3	1.142	2.5363	2.5666	2.5363	2.5654	2.538	2.5662	
4	1.714	2.6412	2.6707	2.644	2.6687	2.6478	2.6688	
5	2.285	3.1763	2.8179	3.1806	2.8155	3.1856	2.8152	
6	2.57	3.6191	2.9098	3.6212	2.9074	3.6236	2.907	
7	2.856	4.1799	3.0168	4.1688	3.0144	4.1575	3.014	
8	2.956	4.4668	3.0594	4.4391	3.0571	4.4113	3.0567	

Table 9: F/Number Data

		<u>Wavelengths (μm)</u>					
		<u>C</u>	) <u>.61</u>	<u>0.0</u>	<u>65</u>		
<u>Number</u>	<u>Field</u> (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>		
1	0	2.4624	2.4624	2.4666	2.4666		
2	0.571	2.509	2.4932	2.5132	2.4973		
3	1.142	2.5408	2.5684	2.5444	2.5721		
4	1.714	2.6522	2.6703	2.656	2.6736		
5	2.285	3.1911	2.8164	3.1952	2.8194		
6	2.57	3.6263	2.908	3.6281	2.911		
7	2.856	4.1459	3.0151	4.1376	3.018		
8	2.956	4.3835	3.0578	4.3641	3.0606		

Table 9A: F/Number Data (Continued)

[0056] Table 1 provides general optical information for an embodiment of optical imaging systems 100 and 200. Table 2 provides image heights in the y axis, measured at the image sensor 106 or image sensor 206, for eight different optical fields, and provides weights for the respective fields. Table 3 includes vignetting data for the eight fields indicated in Table 2. Table 4 depicts wavelengths of respective rays traced

in optical imaging systems 100 and 200, depicted at Figures 1 and 2. Table 5 provides a summary of general optical surface characteristics for the lenses of optical elements 102 and optical elements 202, including surface type, radius of curvature, thickness, material (from standard glass and plastic catalogues), diameter, conic constant, and notes regarding vignetting. Table 6 describes even aspheric coefficients for the surfaces of Table 5, whereas Table 7 provides edge thickness information for those surfaces. Table 8 provides index of refraction data for multiple wavelengths for the optical fields identified at Table 2. Tables 9 and 9A provide F/# data for those same wavelengths and optical fields.

[0057] Figure 3 illustrates a diagram of an example injection molded plastic optical system 300 (also referred to as system 300) according to further aspects of the subject disclosure. System 300 can be formed from multiple injection molded plastic components. In one embodiment, two or more of lenses LI, L2, L3, L4 and L5 can be formed from a single mold. In other embodiments, respective lenses can be formed from separate molds and assembled, as depicted, after molding. In other aspects, formation of lenses LI, L2, L3, L4 and L5 can result from another optical fabrication technique, such as wafer-level optic fabrication. In at least one disclosed aspect, system 300 can be substantially similar to optical imaging system 100. In another aspect, system 300 can be substantially similar to optical imaging system 200. According to yet other aspects, system 300 can comprise MEMS hardware configured to displace lens LI along optical axis 302 to achieve focusing at an image plane 304 of system 300. In a particular embodiment, system 300 can comprise lens surfaces R1 and R2 of lens LI, surfaces R3 and R4 of lens L2, surfaces R5 and R6 of lens L3, surfaces R7 and R8 of lens L4, and surfaces R9 and R10 of lens L5, that are substantially similar to surfaces R1 - R10 described at Figure 1, supra.

Figure 4 illustrates a diagram of field curvature and F-Tan(Theta) Distortion (referred to hereinafter as distortion) for an optical imaging system as described herein. Particularly, Figure 4 illustrates field curvature and distortion for an object distance of 10cm, which can correspond with optical imaging system 100 of Figure 1, *supra*. The field curvature and distortion graphs utilize five wavelengths, having wavelengths of 0.470, 0.510, 0.555, 0.610 and 0.650 μτη, respectively, and have a maximum field angle of 33.391 degrees. The left-hand graph depicts field curvature in millimeters along a y-axis at an image plane of an optical imaging system. Field curvature data is depicted for Sagittal rays (delineated as 'S' on Figure 4) and Tangential

rays (delineated as 'T' on Figure 4). As is clear from the graph, field curvature is minimal for sagittal rays over most of the image plane, and field curvature is within a few microns for tangential rays for most of the image plane, and several microns at the outer edge of the image plane (high y values).

[0059] The distortion graph on the right hand side also includes curves for the above five wavelengths. The distortion data is normalized to 0% at the optical axis. Throughout the image plane, distortion is less than about 1.5%, and less than one percent for low field angles.

[0060] Figure 5 depicts a diagram of field curvature and distortion for an optical imaging system focusing an object at infinity. Thus, the graphs of Figure 5 can correspond with optical imaging system 200 of Figure 2, *supra*. The field curvature and distortion graphs of Figure 5 employ graphs for the same wavelengths as for Figure 4, for a maximum field angle of 34.897 degrees. Field curvature includes lines for sagittal rays (S) for the indicated wavelengths, as well as transverse rays (T) for those same wavelengths. As depicted, field curvature for an object in focus at 10cm is within about +/- 50 microns.

[0061] Distortion at infinity varies a bit more than for the 10cm graph of Figure 4. Distortion is again normalized to 0% on the optical axis. The distortion ranges from about a half percent at medium field angles to about negative one and a half percent at the edge of the image plane. Total distortion for all field angles is about two percent.

Figure 6 illustrates a graph of primary lateral color for an optical imaging system as described herein. Particularly, the primary lateral color graph of Figure 6 is for an object in focus at 10cm object distance, and therefore can correspond with optical imaging system 100 of Figure 1, *supra*. The maximum field for the primary lateral color graph is 2.9560 mm, and ranges in wavelengths between 0.4700 and 0.6500  $\mu\eta$ . As depicted, lateral color variation is well within a half a micron for small field angles, varies to just over negative one microns for medium field angles, and becomes as large as about negative one and a half microns for higher field angles. Overall distortion remains below two microns for the image plane.

[0063] Figure 7 illustrates a graph of primary lateral color for an object in focus at infinity. Accordingly, Figure 7 can correspond with optical imaging system 200 of Figure 2, *supra*. Similar to Figure 6, the maximum field is 2.9560 mm for wavelengths between 0.4700 and 0.6500  $\mu\eta$ . For low and medium field angles, primary lateral color remains at or below about one half a micron. Only at larger field angles does the

primary lateral color exceed half a micron, reaching a peak at just over about two microns at an edge of the image plane.

[0064] Figure 8 illustrates several transverse ray fan plots at an image plane of an optical imaging system described herein. Particularly, the transverse ray fan plots of Figure 8 correspond with an object in focus at 10cm object distance, and therefore can correspond with optical imaging system 100 of Figure 1, *supra*. The transverse ray fan plots depict transverse ray error  $(e_y)$  along a vertical axis, and pupil diameter  $(P_y)$  along the horizontal axis, for various image heights. Flatter plots indicate optimal performance and minimal error, whereas greater deviations along the vertical axis indicate greater transverse ray error. As is depicted by Figure 8, transverse ray error is minimal for near the optical axis (small image height), and generally increases with image height. The scale ranges from positive 25 microns to negative 25 microns along the x and y axis, respectively. The transverse ray fan plots include wavelengths between 0.470 and 0.650 wavelengths.

[0065] Figure 9 depicts several transverse ray fan plots for an object in focus at infinity, and therefore can correspond with optical imaging system 200 of Figure 2, *supra*. Similar to Figure 8, the plots exhibit minimal error near the optical axis, and generally low error for small pupil diameters at all field angles. At higher field angles and particularly higher pupil diameters, the transverse ray error increases. Generally, transverse ray error for the object at infinity is less than for the object at 10cm.

[0066] Referring now to the drawings, Figure 10 depicts a cross sectional view of an optical system 1000 for an object at 10cm comprising an arrangement of optical elements 1002 positioned in a like manner relative to an optical axis 1004. Light entering the left side, or object side, of optical elements 1002 can interact sequentially with respective elements 1002 and exit the right side, or image side, of the elements 1002, toward an image sensor 1006. The real image will be formed along the optical axis 1004 a certain distance from the optical elements 1002, called an image distance (ID). Notably, the ID depends primarily on a corresponding object distance (OD - distance between the object and the optical elements 1002 along the optical axis 1004) and a refractive power, or optical power, of the combined optical elements 102.

[0067] Sensor 1006 can be a digital device comprising a multi-dimensional array [e.g., a two dimensional array) of electro-optical sensors, or pixels, which can include a CCD array, or a CMOS array, etc. Resolution of a digital image generated by sensor 1006 depends on a number of pixels within the sensor plane array 1008, which in

turn is dependent on pixel area and total array area. Thus, for example, for relatively square pixels approximately 1.4 microns per side (1.96 square microns), a 0.4 cm square sensor array can comprise as many as 8.1 megapixels (Mp). Said differently, such a sensor would have resolution of about 8Mp. Because the pixel array generates an electronic reproduction of a real image, data generated by sensor 1006 in the form of electric signals can be saved to memory, projected to a display for viewing (e.g., digital display screen), edited in software, and so on.

[0068] It should be appreciated that the optical imaging arrangement 1000 depicted in Figure 10 (and other optical imaging systems disclosed herein) is not intended to be drawn to scale. For instance, lens thicknesses, positions and heights are not necessarily depicted in proper proportion with actual sizes. Rather, arrangement 1002 is intended to provide a visual context of an imaging system to aid conceptual understanding of other aspects disclosed herein.

[0069] Optical system 1000 comprises a first lens LI, a second lens L2, a third lens L3, a fourth lens L4, and a fifth lens L5 centered upon an optical axis 104. The lenses are numbered starting from the object side to the image side. Thus, lens LI is closest to the object, and lens L5 is closest to the image. Aperture A1 can be embedded into lens LI, or can be fixed to LI physically. Accordingly, in this embodiment, aperture A1 does not move relative to lens LI. In certain aspects of the disclosure, the aperture A1 can have a 50μη depth.

Lenses LI through L5 each have two opposed refracting surfaces. A radius of curvature for the respective surfaces is denoted by the letter "R" followed by a surface number, starting with the object side surface of lens LI. Thus, the surfaces in order from object side to image side are object side surface R1 and image side surface R2 of lens LI, object side surface R3 and image side surface R4 of lens L2, object side surface R5 and image side surface R6 of lens L3, object side surface R7 and image side surface R8 of lens L4, and object side surface R9 and image side surface R10 of lens L5. The respective surface identifiers (R1, R2, R3, ..., R10) are also utilized to represent the radius of curvature for the respective surfaces. Additionally, refractive index 3/4 denotes the refractive index of the lens medium associated with the jth surface, and v\_di is the Abbe number of the lens medium associated with the igh surface.

[0071] Lens LI can have a large positive refractive power, with both optical surfaces, R1 and R2, being convex. As utilized herein, the terms large or small refractive power (whether positive or negative) are intended to be relative to other

lenses of a particular optical system. Thus, for instance, referring to lens LI as having large positive refractive power implies that lens LI has greater than average positive refractive power as compared with other positive power lenses of optical system 1000. Conversely, a lens having small positive refractive power for optical system 1000 will have less than the average positive refractive power.

[0072] In an embodiment, LI can be moveable relative to lenses L2-L5 and the sensor plane 1008. Movement can be achieved using MEMS or other appropriate actuators. In this embodiment, L2-L5 remain fixed relative to the image sensor plane 1008 and image sensor 1006. In some aspects of the disclosure, the range of movement of LI is around ΙΟΟμιη. The movement of LI allows optical system 1000 to maintain focus on objects at various distances. In Figure 10, the optical system 1000 is focused on an object at a distance of 10cm from the optical system. In Figure 2, the optical system 1100 is focused on an object at optical infinity.

[0073] In certain embodiments, there is an inverse relationship between the refractive power of LI and the range of motion required to focus on objects at various distances. An LI with a higher power requires a shorter range of movement to focus on objects at various distances and vice versa. According to some aspects of the disclosure, the axial gap, or distance between lenses LI and L2 at the optical axis is around  $125\,\mu\eta$ , with a gap of about  $170\,\mu\eta$  at the clear aperture.

L2 can have a meniscus shape (having smaller thickness near the optical axis than away from the optical axis), with optical surface R3 being convex, and optical surface R4 being concave. In some aspects of the disclosure, lens L2 provides most of the chromatic correction for optical system 1000 and has negative refractive power. Lens L3 can be biconvex near the optical axis 1004 as optical surface R5 is convex near the optical axis 1004 and concave away from the optical axis 1004 and image side optical surface R6 is convex. According to some aspects of the disclosure, lens L3 can have a positive refractive power. In certain embodiments, L2 can be mounted on to L3, such that L2 is fixed to L3, and L2 does not touch an optical barrel that arranges lenses LI - L5 of optical system 1000 along optical axis 1004.

[0075] Lens L4 has a concave object side optical surface R7, and a convex shaped image side optical surface R8. Lens L5 can be meniscus shaped with a convex optical surface R9 near optical axis 1004 and optical surface R10 that is concave near the optical axis 104.

**[0076]** It should be appreciated that surfaces R1-R10 (as well as other optical surfaces described throughout the subject disclosure, including optical surfaces for system 200 can be of varying shapes. In one aspect, one or more of the surfaces can be spherical surfaces. In other aspects, one or more of the surfaces can be conic surfaces. In yet other aspects, one or more of the surfaces can be aspheric surfaces, according to a suitable aspheric equation, such as the even aspheric equation:

[0077] 
$$(1) \ z = \left[\frac{CY^2}{\left[1 + (1 - (1 + K)C^2Y^2)^{1/2}\right]}\right] + \sum_i (A_i * Y^i) \text{, where z is the sag}$$

height (in mm) of a line drawn from a point on the aspheric lens surface at a radial distance, Y from the optical axis to the tangential plane of the aspheric surface vertex, C is the curvature of the aspheric lens surface on the optical axis, Y is the radial distance (in mm) from the optical axis, K is the conic constant, and A<sub>i</sub> is the i<sup>th</sup> aspheric coefficient, with the summation over even number i. However, these aspects are not to be construed as limiting the scope of the subject disclosure. Rather, various surfaces can be odd aspheric, or of an aspheric equation comprising even and odd coefficients.

[0078] Further to the above, it should be appreciated that lenses L1-L5 of optical system 1000 (and the optical lenses of optical system 1100) can be made of various suitable types of transparent material, formed according to various suitable processes for generating an optical quality surface. In one aspect, the lenses L1-L5 can be ground and polished glass, where the glass is selected to have an index of refraction resulting in a desired effective focal length for the combined lenses L1-L5. In another aspect, the lenses can be an optical-quality injected molded plastic (or plastic of optical quality formed by another suitable method), wherein the plastic has an index of refraction suitable to provide the desired effective focal length. In at least one other aspect, the lenses L1-L5 can be etched from a transparent glass, crystalline or other suitable structure (e.g.), silicon dioxide - SiO  $_2$  wafer) with a lithographic etching process similar to that used to etch semiconductor chips (e.g.), solid state memory chip, data processing chip).

[0079] According to various aspects, the lenses LI, L2, L3, L4 and L5 can be made of plastic \( \left( e.g. \), APL5014, OKP4HT, or ZE-330R or another suitable plastic having similar refractive index and Abbe number, or a suitable combination thereof). In one specific aspect, lenses LI, L3, and L5 are made of one plastic \( \left( e.g. \), APL5014) while lenses L2 and L4 are made of different plastics \( \left( e.g. \), OKP4HT and ZE-330R

respectively). It should be appreciated, however, that in other aspects the lenses can be of other materials having similar Abbe numbers or refractive indices instead.

**[0080]** Turning now to Figure 11, a cross-section of a sample optical system focused at infinity according to aspects of the subject disclosure is shown. The optical system 1100 of Figure 11 is similar to optical system 100, although optical system 1100 is focused on an object at infinity as opposed to at 10 cm. A difference between optical system 1100 and optical system 1000 is that LI is positioned at a different distance from the sensor 1106 relative to lenses L2-L5.

[0081] According to one specific aspect of the subject disclosure, a prescription for the respective lenses LI, L2, L3, L4 and L5 is provided in Tables 10-13, below. Table 10 lists general lens data for the respective lenses, and Table 11 lists surface data including radius of curvature (R) (in mm) near the optical axis, distance between surfaces, diameter of the respective lenses, and material of the respective lenses. Furthermore, Table 12 provides aspheric constants  $A_i$  for i = 2, 4, 6, 8, 10, 12, 14, 16 of equation (1), supra, for aspheric surfaces of Table 11, where the index "i" is denoted by "r" [e.g., as generated in the optical design software program ZEMAX, available from ZEMAX Development Corporation). Table 13 provides refractive index n<sub>i</sub> of the i<sup>th</sup> lens for a set of wavelengths. Table 14 provides a range of fields versus image height, Table 15 provides vignetting information for optical systems 1000 and 1100, Table 16 provides wavelength and weights used for the raytracing of Figures 10 and 11, Table 17 provides surface data for optical systems 1000 and 1100, including radius, thickness, material, diameter, and conic constant. Additionally, Table 18 provides edge thickness information for optical systems 1000 and 1100.

Surfaces (including apertures)	15
Stops	1
System Aperture	Float by stop size = 0.886727
Apodization	Uniform Factor = 0.00000E+000
Temperature (C)	2.00000E+001
Pressure (ATM)	1.00000E+000
Effective Focal Length	4.28412 (in air at system temp & pressure)
Effective Focal Length	4.28412 (in image space)

Back Focal Length	0.5015793
TTL	5.299934
Image Space F/#	2.415692
Paraxial Working F/#	2.415692
Working F/#	2.40149
Image Space NA	0.202684
Object Space NA	8.867272e-011
Stop Radius	0.8867272
Paraxial Image Height	2.856
Paraxial Magnification	0
Entrance Pupil Diameter	1.773454
Entrance Pupil Position	0
Field Type	Real Image height in mm
Maximum Radial Field	2.856
Primary Wavelength	0.555 μιη
Lens Units	mm
Angular Magnification	1.39828

**Table 10: General Properties for Optical Systems 1000 and 1100** (Optical Properties defined in Optical Design Software Zemax)

Surface	Туре	Radius (mm)	Thickness (mm)	Medium	Diameter (mm)
OBJ	Standard	Infinity	Infinity		0
A1	Standard	Infinity	0.05		1.773454
R1	Even_Asph	2.031962	0.545	APL5014	1.774436
R2	Even_Asph	-17.60993	0.1242087		1.867362
R3	Even_Asph	4.178319	0.3	ОКР4-НТ	1.941112
R4	Even_Asph	1.60308	0.3519566		2.054041
R5	Even_Asph	5.790688	0.6445624	APL5014	2.132792
R6	Even_Asph	-2.417954	0.2002186		2.449247
R7	Even_Asph	-0.9919052	0.3658935	ZE-330R	2.5699
R8	Even_Asph	-1.223582	0.5116968		2.686087
R9	Even_Asph	12.46394	1.123586	APL5014	2.987795
R10	Even_Asph	2.132428	0.3415114		4.646312
14	Standard	Infinity	0.3	D263T	6

15	Standard	Infinity_	0.4913	6
IMA	Standard	Infinity		6.4

Table 11: Surface Data for Lens Elements for Optical System 1000 and 1100

Surface	Conic	Note
OBJ	0	
A1	0	stop1
R1	-1	L1-1
R2	-1	L1-2
R3	-1	L2-1
R4	-1	L2-2
R5	-1	L3-1
R6	-1	L3-2
R7	-1	L4-1
R8	-1	L4-2
R9	-1	L5-1
R10	-1	L5-2
		Ir cut-off
14	0	(D263T)
15	0	
IMA		

**Table 11: Continued** 

Surface	R1	
	Coeff on r2	0
	Coeff on r4	0.021400101
	Coeff on r6	-0.0044229854
	Coeff on r8	-0.00018162551
	Coeff on r10	0
	Coeff on r12	0
	Coeff on r14	0
	Coeff on r16	0
Surface	R2	
	Coeff on r2	0
	Coeff on r4	0.0013366226
	Coeff on r6	0.0044675095

	Coeff on r8	-0.0065254167
	Coeff on rlO	0
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R3	
	Coeff on r2	0
	Coeff on r4	-0.15575931
	Coeff on r6	0.11775238
	Coeff on r8	-0.040496241
	Coeff on rlO	0
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R4	
	Coeff on r2	0
	Coeff on r4	-0.17899613
	Coeff on r6	0.13165259
	Coeff on r8	-0.041877243
	Coeff on rlO	0
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R5	
	Coeff on r2	0
	Coeff on r4	-0.034806957
	Coeff on r6	-0.055196853
	Coeff on r8	-0.0076170308
	Coeff on rlO	0
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R6	
	Coeff on r2	0
	Coeff on r4	0.020581236
	Coeff on r6	-0.0065040866
	Coeff on r8	-0.018006387
	Coeff on rlO	0
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R7	

	Coeff on r2	0
	Coeff on r4	0.17752822
	Coeff on r6	0.0025820117
	Coeff on r8	0.0073104429
	Coeff on rlO	-0.0065708267
	Coeff on rl2	0
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R8	
	Coeff on r2	0
	Coeff on r4	0.03288338
	Coeff on r6	0.076502466
	Coeff on r8	-0.06842281
	Coeff on rlO	0.038984099
	Coeff on rl2	-0.0076836467
	Coeff on rl4	0
	Coeff on rl6	0
Surface	R9	
	Coeff on r2	0
	Coeff on r4	-0.1830718
	Coeff on r6	0.075510932
	Coeff on r8	-0.034603365
	Coeff on rlO	0.0066539539
	Coeff on rl2	-0.00029016159
	Coeff on rl4	0
	Coeff on rl6	0
Surface	RIO	
	Coeff on r2	0
	Coeff on r4	-0.15124446
	Coeff on r6	0.071176496
	Coeff on r8	-0.029255744
	Coeff on rlO	0.0080879291
	Coeff on rl2	-0.0014220241
	Coeff on rl4	0.00014276636
	Coeff on rl6	-6.2275295e-006

Table 12: Aspheric Coefficients for Optical System 1000 and 1100

				Index (for given wavelength in μm)			μm)	
Surface	Medium	Temp	Pressure	<u>0.47</u>	<u>0.41</u>	<u>0.555</u>	<u>0.61</u>	<u>0.65</u>
L1	APL5014	20	1	1.5518	1.5483	1.5452	1.5424	1.5408

L2	ОКР4-НТ	20	1	1.6564	1.6458	1.6369	1.6291	1.6248
L3	APL5014	20	1	1.5518	1.5483	1.5452	1.5424	1.5408
L4	ZE-330R	20	1	1.5172	1.5139	1.5111	1.5084	1.5069
L5	APL5014	20	1	1.5518	1.5483	1.5452	1.5424	1.5408

Table 13: Index of Refraction for Optical Systems 1000 and 1100

Field #	X-Value	<u>Y-Value</u>	<u>Weight</u>
1	0	0	1
2	0	0.571	1
3	0	1.142	1
4	0	1.714	1
5	0	2.285	1
6	0	2.57	1
7	0	2.856	1

Table 14: Field Type v. Real Image Height (in mm)

Field / #	<u>VDX</u>	<u>VDY</u>	<u>VCX</u>	<u>VCY</u>	<u>VAN</u>
1	0	0	0	0	0
2	0	-0.00376	0.00001	0.003764	0
3	0	-0.00751	0.00003	0.007509	0
4	0	-0.01125	0.000093	0.011253	0
5	0	-0.01512	0.00015	0.015117	0
6	0	-0.01707	0.000226	0.017069	0
7	0	-0.019	0.000251	0.018997	0
1	0	0	0	0	0

**Table 15: Vignetting Factors for Fields of Table 2** 

Wavelength #	Value (in µm)	Weight
1	0.47	91
2	0.51	503
3	0.555	1000
4	0.61	503
5	0.65	107

Table 16: Wavelengths Used for Raytracing

Surface	<u>Туре</u>	<u>Radius</u>	<u>Thickness</u>	<u>Material</u>	<u>Diameter</u>	<u>Conic</u>	<u>Notes</u>
1					l		

Object	Standard	Infinity	Infinity		0	0	
Stop	Standard	Infinity	0.05		1.773454	0	
2	Standard	Infinity	-0.204		1.773454	0	
3	_EvenAsph _	2.031 962	0.545	APL501 4	1.774436	- 1	L 1
4	EvenAsph	- 17.6099	0.124209		1.867362	- 1	
5	EvenAsph	4.17831 9	0.3	OKP4HT	1.941 112	- 1	L2
6	EvenAsph	1.60308	0.351 957		2.054041	- 1	
7	EvenAsph	5.790688	0.644562	APL501 4	2.132792	- 1	L3
8	EvenAsph	-2.41 795	0.20021 9		2.449247	- 1	
9	EvenAsph	-0.991 9 1	0.365894	ZE-330R	2.5699	- 1	L4
10	EvenAsph	- 1.22358	0.51 1697		2.686087	- 1	
11	EvenAsph	12.46394	1.123586	APL501 4	2.987795	- 1	L5
12	EvenAsph	2.132428	0.341 5 1 1		4.64631 2	- 1	
13	Standard	Infinity	0.3	D263T	6	0	IRCF
14	Standard	Infinity	0.491 3	N-BK7	6	0	
Image	Standard	Infinity	6.4		0	0	

**Table 17: Surface Data Summary** 

<u>Surface</u>	<u>Edge</u>
Stop	0.05
2	0.000726
3	0.315728
4	0.189805
5	0.491449
6	0.078721
7	0.308446
8	0.214072
9	0.303193
10	0.428579
11	1.31122
12	0.662695
13	0.3
14	0.4913
Image	0

Table 18: Edge Thickness Data

[0082] Figure 12 illustrates a graph of field curvature and distortion for optical configuration 1002. Further, the field curvature and distortion values are displayed for several wavelengths ranging from  $0.470\,\mu\eta$  to  $0.650\,\mu\eta$ . Field curvature is within about 10 microns for these wavelengths for low field angles, and is less than 100 microns even at the perimeter of the image plane. Further, distortion is well within the range of two and negative two percent. As would be clear to one of skill in the art, aberrations are well compensated for by the subject optical arrangement 1002.

[0083] Figure 13 illustrates a graph of field curvature and distortion for optical configuration 1102. Further, the field curvature and distortion values are displayed for

several wavelengths ranging from  $0.470\,\mu\eta$  to  $0.650\,\mu\eta$ . Field curvature is well within the range of +/- 100 microns, and distortion is well within the range of two and negative two percent. As would be clear to one of skill in the art, aberrations are well compensated for by the subject optical arrangement 1102.

[0084] Figure 14 depicts a graph of lateral color for optical arrangement 1002. A maximum field for the graph is 2.8560mm. Additionally, the lateral color curve is over a range of wavelengths from 0.470 μιη to 0.650 μιη. The primary lateral color for an object in focus at 10cm is about -3.5 μιη as depicted by the graph.

[0085] Figure 15 depicts a graph of lateral color for optical arrangement 1102 for an object in focus at infinity. A maximum field for the graph is 2.8560mm. Additionally, the lateral color curve is over a range of wavelengths from 0.470 μ $\eta$  to 0.650 μ $\eta$ . The primary lateral color for the object in focus at infinity is about +0.8 microns.

[0086] Figure 16 and Figure 17 depict transverse ray fan plots for optical arrangements 1002 and 1102 respectively. The transverse ray fan plots depict transverse aberration ( $e_y$  and  $e_x$ ) along the y and x axis for pupil diameters  $P_y$  and  $P_x$ . The transverse ray fan plots are made at image heights 0.000mm (1600 and 1700), 0.5710mm (1602 and 1702), 1.1420mm (1604 and 1704), 1.7140mm (1606 and 1706), 2.2850mm (1608 and 1708), 2.5700mm (1610 and 1710), and 2.8560mm (1612 and 1712). The plots are generally within acceptable ranges for optical imaging and accordingly, the optical arrangements 1002 and 1102 have good imaging quality.

[0087] Figure 18 illustrates a diagram of an example ray plot diagram for an optical system 1800 according to alternative aspects of the subject disclosure. System 1800 comprises an arrangement of optical elements 1802. Optical rays are depicted intersecting optical elements 1802 within a field of view of optical system 1800. On-axis rays are focused onto the optical axis at an image plane or focal plane associated with optical elements 1802, and rays originating at larger field angles are depicted as converging at farther distances from the optical axis at the image plane.

[0088] A left-most side of optical elements 1802 is an object side of optical system 1800, and a right-most side of optical elements 1802 is an image side of optical system 1800. A real image of the object is formed at the image plane of optical elements 1802 when optical elements 1802 are properly in focus. In at least one aspect of the subject disclosure, optical system 1800 can comprise a variable focus optical system, in which a subset of optical elements 1802 can be moved along the optical axis

to bring an image of an object into focus at the image plane. In particular aspects, a set of positions of the subset of optical elements 1802 can correspond with a set of object distances having respective images in focus at the image plane. In other words, when the subset of optical elements 1802 is positioned at one of the set of positions, an object at a corresponding one of the set of object distances will be in focus at the image plane. A position of optical elements 1802 as depicted by Figure 18 and Figure 19, *infra*, illustrate an example arrangement in which optical elements of system 1800 are in a position to focus an object located at infinity onto the image plane. A position of optical elements 1802 as depicted by Figures 23 and 24, *infra*, illustrate an example arrangement in which the optical elements are in a position to focus a near-field object onto the image plane.

**[0089]** Figure 19 depicts a diagram of an example optical system 1900 comprising optical elements and optical surfaces according to additional aspects of the subject disclosure. Optical system 1900 can be substantially similar to optical system 1800. As indicated, optical system 1900 is configured to focus an image of an object located in the far-field *[e.g.*, at infinity).

centered along an optical system 1900 can comprise a set of optical elements 1902 centered along an optical axis 1904. Optical elements 1902 can be configured to focus an image that can be captured by a sensor 1908. Sensor 1908 can comprise a multi-dimensional array of optical-sensitive pixels located at an image plane of sensor 1908. The optical-sensitive pixels can output electrical signals in response to electro-magnetic energy [e.g., light) focused by optical elements 1902 upon sensor 1908. Moreover, the electrical signals can have characteristics related to optical characteristics of the electro-magnetic energy. These electrical signals can be utilized to re-produce the image focused by optical elements 1902 and captured by sensor 1908, as described herein or known in the art. Optical system 1900 can also comprise a cover plate 1906 for sensor 1908. Cover plate can protect the optical-sensitive pixels of sensor 1908 from dust or other particles that might otherwise absorb or scatter electro-magnetic energy focused by optical elements 1902, thereby distorting the image.

[0091] Optical elements 1902 can comprise five optical lenses, including lens LI, lens L2, lens L3, lens L4 and lens L5 (referred to collectively as lenses LI - L5). The optical lenses are numbered from left - the object side of optical system 1900 - to right - the image side of optical system 1900. The left-most lens, LI, is therefore also

referred to herein as the object-side lens. Alternatively, lens LI can be referred to as an objective lens of optical system 1900.

[0092] As depicted, lens LI is a bi-convex lens having positive optical power, and having a convex object-side surface R1 and convex image-side surface R2. Furthermore, lens LI can have a strong optical power relative to lenses L2, L3, L4 and L5 of optical elements 1902. In particular aspects, lens LI can have greater positive optical power than either of lenses L2, L3, L4 or L5. In a further aspect, LI can have greater positive optical power than any subset of lenses L2, L3, L4 and L5. In at least one alternative or additional aspect, lens LI can have greater positive optical power than the combination of lenses L2, L3, L4 and L5. As depicted, an aperture stop A1 can be located about the object side surface R1 of lens L1.

[0093] Lens 2 can be a lens having a negative optical power. Lens L2 can have an object-side surface R3 and an image-side surface R4. Surface R3 can be mildly convex, in some aspects of the subject disclosure. In other aspects, surface R3 can be substantially flat with no significant optical power. In still other aspects of the subject disclosure, surface R3 can have a complex curvature that is convex for a subset of pupil radii (e.g., a range of distances from optical axis 1904) of surface R3, and concave for a different subset of pupil radii of surface R3. As an example, surface R3 can have a concave curvature from the optical axis 1904 to a first pupil radius, and can have a convex curvature from the first pupil radius to a second pupil radius, where the second pupil radius is larger than the first pupil radius. An image side surface R4 can have a concave curvature, providing the majority of negative optical power of lens L2.

Lens L3 can be a meniscus lens having a convex curvature toward the object side of lens L3. As depicted, lens L3 comprises an object side surface R5 and image side surface R6. Object side surface R5 can have convex curvature. In particular aspects, convexity of object side surface R5 can be stronger near optical axis 1904 than near a perimeter of lens L3. Said differently, a radius of curvature of object side surface R5 can increase with increasing pupil radius of object side surface R5, and in at least one aspect become infinite near the perimeter of lens L3. Image side surface R6 can have concave curvature. In at least one aspect, a radius of curvature of image side surface R6 can increase with increasing pupil radius of lens L3. In an alternative or additional aspect, image side surface R6 can be convex near the perimeter of lens L3.

[0095] Lens L4 comprises an object side surface R7 and an image side surface R8. Lens L4 can be a meniscus lens toward the image side of optical elements 1902.

Additionally, lens L4 can have mild positive optical power. In one alternative or additional aspect, positive power of lens L4 can be greater near optical axis 1904 as compared with a periphery of lens L4, whereas in other aspects the positive power can be substantially constant over the surface of image side surface R8.

[0096] Lens L5 comprises an object side surface R9 and image side surface R10. Object side surface R9 can have concave curvature for low and medium field angles, and reduced curvature at higher field angles. Image side surface R10 can be concave near optical axis 1904. Further, image side surface R10 can transition from concave to convex for medium and high field angles.

[0097] Optical elements 1902 can have respective spaces (air gaps) between respective lenses LI, L2, L3, L4 and L5. In a particular aspect, an on-axis air distance between lens L4 and lens L5 can be a largest of a set of air distances among lenses LI - L5. In an alternative or additional aspect, an air distance between lens L3 and lens L4 can be a second largest of the set of air distances among lenses LI - L5.

In a further aspect of the subject disclosure, an actuator can be connected to a subset of optical elements 1902. In one example, the actuator can be a MEMS actuator, whereas in other aspects the actuator can be another type of actuator known in the art. The actuator can be configured to reposition the subset of optical lenses along optical axis 1904. Repositioning the subset of optical lenses can cause images of objects at different object distances to come into focus at sensor 1908 of optical system 1900. In particular aspects, optical lenses 1902 can be configured to focus an image of an object located in the far field (e.g., infinity, ...) onto sensor 1908. According to further aspects, the subset of optical elements 1902 can be repositioned to focus an object in the near field at sensor 1908. In a specific aspect, the subset of optical elements can include lens LI, and lens LI can be positioned as depicted by Figure 19 by the MEMS actuator to bring an object located at infinity into focus at sensor 1908, and can be positioned as depicted by Figure 23 by the MEMS actuator to bring an object at an object distance of substantially 12.8 centimeters (cm) into focus at sensor 1908.

[0099] In a further aspect, aperture stop A1 can be fixed relative to optical axis 1904. In another aspect, aperture stop A1 can be fixed relative to a position of lens LI. In the latter aspect, aperture stop A1 can be moved by a MEMS actuator in conjunction with lens LI when focusing an image of an object onto sensor 1908. According to still other aspects, the MEMS actuator can be configured to move lens LI, either alone or in conjunction with aperture stop A1, a total distance along optical axis 1904. The total

distance can, at one end thereof, focus an image of an object at infinity on sensor 1908, and at another end thereof, focus an image of an object at an object distance of substantially 12.8cm at sensor 1908.

[00100] Lenses LI - L5 can be of various suitable types of suitable optically transparent material, and formed according to a suitable method(s) for generating an optical quality surface. In one aspect, lenses LI - L5 can be ground or polished glass, where the glass is selected to have an index of refraction resulting in a desired effective focal length for the combined lenses LI - L5. In another aspect, the lenses can be an optical-quality injection molded plastic (or plastic of optical quality formed by another suitable fabrication method), wherein the plastic has an index of refraction suitable to provide the desired focal length. In another aspect(s), the lenses LI - L5 can be etched from a transparent glass, crystalline or other suitable structure with a lithographic etching process similar to that used to etch semiconductor chips. In a specific aspect(s), lenses LI - L5 can be of differing glasses, plastics or suitable optical-transparent medium, by one or more of the above or similar suitable fabrication techniques (note that cover 1908 is a fictional material). In a further aspect, optical elements 1902 can have be described according to the optical prescription of Tables 19 - 27A.

Parameter Description	<u>Value</u>
Effective Focal Length (in air at system temperature and pressure)	4.803702
Effective Focal Length (in image space)	4.803702
Back Focal Length	0.1097044
Total Track Length (TTL)	5.588093
Image Space F/#	2.668723
Paraxial Working F/#	2.668742
Working F/#	2.647852
Image Space NA	0.1841501
Object Space NA	9e-007
Stop Radius	0.9
Paraxial Image Height	3.492
Paraxial Magnification	-4.803736e-006
Entrance Pupil Diameter	1.8
Entrance Pupil Position	0.05

Exit Pupil Diameter	1.214476
Exit Pupil Position	-3.231397
Maximum Radial Field	3.492
Lens Units	Millimeters (mm)
Angular Magnification	1.482119

**Table 1: General Optical Properties (Object in Focus at Infinity)** 

<u>Field #</u>	X-Value	<u>Y-Value</u>	<u>Weight</u>
1	0	0	1
2	0	0.339	1
3	0	0.678	1
4	0	1.018	1
5	0	1.357	1
6	0	1.696	1
7	0	2.035	1
8	0	2.375	1
9	0	2.714	1
10	0	3.053	1
11	0	3.392	1
12	0	3.492	1

Table 20: Field Type v. Real Image Height (in mm)

Field / #	<u>VDX</u>	<u>VDY</u>	<u>VCX</u>	VCY	<u>VAN</u>
1	0	0	0	0	0
2	0	0	0	0	0
3	0	0	0	0	0
4	0	0	0	0	0
5	0	0	0	0	0
6	0	0	0	0	0
7	0	-0.005032	0.000003	0.005032	0
8	0	-0.018773	0.000041	0.018775	0
9	0	-0.031175	0.000230	0.031178	0
10	0	-0.062274	0.000739	0.062281	0
11	0	-0.154303	0.005254	0.154319	0
12	0	-0.218933	0.013148	0.218954	0

**Table 21: Vignetting Factors for Fields of Table 20** 

Wavelength #	<u>Value (in µm)</u>	<u>Weight</u>
1	0.4358	0.15
2	0.4861	0.45
3	0.5461	1.00

4	0.5876	0.80
5	0.6563	0.10

**Table 22: Wavelengths Used for Raytracing** 

Surface	<u>Type</u>	<u>Radius</u>	<u>Thickness</u>	<u>Material</u>	<u>Diameter</u>	Conic	<u>Notes</u>
Object	Standard	Infinity	1000000		1471328	0	
1	Standard	Infinity	0.05		2.054093	0	Stop1
Stop	Standard	Infinity	-0.176644		1.8	0	A1
3	EvenAsph	2.024203	0.721	ZEONF52R	1.872	-1	R1
4	EvenAsph	-11.83444	0		1.89	0	R2
5	Standard	Infinity	0.1656548		2.013514	0	Stop 2
6	EvenAsph	-250	0.32	EP5000	1.91	0	R3
7	EvenAsph	2.745619	0.3434152		2.093864	0	R4
8	Standard	Infinity	0.0030239		2.284004	0	Stop 3
9	EvenAsph	2.970147	0.3494011	EP5000	2.456709	0	R5
10	EvenAsph	3.958667	0.1844251		2.735497	0	R6
11	Standard	Infinity	0.3353069		2.904996	0	Stop 4
12	EvenAsph	-4.538803	0.5144013	ZEONF52R	3.007844	0	R7
13	EvenAsph	-1.564577	0.2719004		3.349097	-1	R8
14	Standard	Infinity	0.421506		5.022903	0	Stop 5
15	EvenAsph	-3.333743	0.908	ZEONF52R	5.142131	0	R9
16	EvenAsph	3.980869	0.6500583		6.098372	0	R10
17	Standard	Infinity	0.3		6.850686	0	CG 1908
18	Standard	Infinity	0.1		7.016723	0	
Image	Standard	Infinity			7.009976	0	

**Table 23: Surface Data Summary** 

<u>Surface</u>	Parameter Description	<u>Value</u>
R1	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.0072492627
	Coefficient on r 6	-0.0011425636
	Coefficient on r 8	-0.026147557
	Coefficient on r 10	0.02892707
	Coefficient on r 12	-0.015728565
	Coefficient on r 14	0
	Coefficient on r 16	0

C	coefficient on r 2 coefficient on r 4 coefficient on r 6 coefficient on r 8 coefficient on r 10 coefficient on r 12 coefficient on r 14	0 -0.018496708 0.041017657 -0.14989043 0.18705355
C	coefficient on r 6 coefficient on r 8 coefficient on r 10 coefficient on r 12	0.041017657 -0.14989043
C	oefficient on r 10 pefficient on r 12	0.041017657 -0.14989043
	pefficient on r 10	
Co	pefficient on r 12	0.18705355
	pefficient on r 14	-0.081043198
		0
Co	oefficient on r 16	0
R3	Even Asphere	
C	oefficient on r 2	0
	oefficient on r 4	-0.04452888
C	oefficient on r 6	0.1590456
	oefficient on r 8	-0.32756888
	oefficient on r 10	0.38155806
Co	oefficient on r 12	-0.15580658
	oefficient on r 14	0
Co	oefficient on r 16	0
R4	Even Asphere	
	oefficient on r 2	0
	oefficient on r 4	-0.067461498
	oefficient on r 6	0.16544449
	oefficient on r 8	-0.23156178
	oefficient on r 10	0.20660588
	pefficient on r 12	-0.067541427
Co	oefficient on r 14	0
Co	oefficient on r 16	0
R5	Even Asphere	
	oefficient on r 2	0
C	oefficient on r 4	-0.10351059
	oefficient on r 6	0.083284677
C	oefficient on r 8	-0.073446626
	oefficient on r 10	0.031355945
Co	pefficient on r 12	-0.005953706
Co	pefficient on r 14	0

	Coefficient on r 16	0
R6	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.080839736
	Coefficient on r 6	0.05806703
	Coefficient on r 8	-0.042396061
	Coefficient on r 10	0.013117216
	Coefficient on r 12	-0.001392345
	Coefficient on r 14	0
	Coefficient on r 16	0
R7	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.061283862
	Coefficient on r 6	0.056005953
	Coefficient on r 8	-0.023784572
	Coefficient on r 10	0.004382924
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R8	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.005176523
	Coefficient on r 6	0.02067126
	Coefficient on r 8	0.001625502
	Coefficient on r 10	-0.001134584
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R9	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.019100544
	Coefficient on r 6	-0.000208483
	Coefficient on r 8	5.84E-05
	Coefficient on r 10	-3.36E-06
	Coefficient on r 12	0

	Coefficient on r 14	0
	Coefficient on r 16	0
RIO	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.038366245
	Coefficient on r 6	0.004903112
	Coefficient on r 8	-0.000509017
	Coefficient on r 10	1.88E-05
	Coefficient on r 12	1.99E-07
	Coefficient on r 14	0
	Coefficient on r 16	0

**Table 24: Surface Aspheric Coefficients** 

<u>Surface</u>	<u>Edge</u>
Object	1000000
1	0.05
Stop	0.036971
3	0.45386
4	0.053525
5	0.17191
6	0.53351
7	0.123651
8	0.113652
9	0.301427
10	0.121772
11	0.050401
12	0.30346
13	0.767748
14	0.053483
15	0.953242
16	0.972839
17	0.3
18	0.1
Image	0

**Table 25: Edge Thickness Data** 

	Temperature (Temp) in degrees Celsius and pressure (Press) in atmospheres								
Surface         Material         Temp         Press         0.4358         0.4861         0.5461         0.5876         0.6563								0.6563	
0		20	1	1	1	1	1	1	
1		20	1	1	1	1	1	1	
2		20	1	1	1	1	1	1	
3	ZEONF5	20	1	1.5467026	1.54130926	1.53688	1.53462	1.531786	

	2R							
4		20	1	1	1	1	1	1
5		20	1	1	1	1	1	1
6	EP5000	20	1	1.671 40227	1.6545931 2	1.641 7 1 7	1.635484	1.628005
7		20	1	1	1	1	1	1
8		20	1	1	1	1	1	1
9	EP5000	20	1	1.671 40227	1.6545931 2	1.641 717	1.635484	1.628005
10		20	1	1	1	1	1	1
11		20	1	1	1	1	1	1
	ZEONF5							
12	2R	20	1	1.5467026	1.541 30926	1.53688	1.53462	1.53 1786
13		20	1	1	1	1	1	1
14		20	1	1	1	1	1	1
	ZEON				1.541 309			
15	F52R	20	1	1.5467026	26	1.53688	1.53462	1.53 1786
16		20	1	1	1	1	1	1
	MODE			1.5267041	1.522378			
17	L	20	1	6	72	1.51 871 9	1.51 6798	1.51 4329
18		20	1	1	1	1	1	1
19		20	1	1	1	1	1	1

**Table 26: Index of Refraction Data** 

		Wavelengths (μm):						
		0.4	<u>4358</u>	0.4	<u>861</u>	<u>0.5461</u>		
Number	Field (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	Sag	<u>Tan</u>	<u>Sag</u>	
1	0	2.6405	2.6405	2.6425	2.6425	2.6479	2.6479	
2	0.339	2.6528	2.6499	2.6546	2.6517	2.6597	2.6568	
3	0.678	2.681	2.6772	2.6823	2.6783	2.6871	2.683	
4	1.018	2.7091	2.7201	2.7106	2.7201	2.7153	2.7239	
5	1.357	2.7373	2.7739	2.7401	2.7726	2.7455	2.7753	
6	1.696	2.8006	2.8361	2.8059	2.8335	2.8128	2.8352	
7	2.035	2.9489	2.9083	2.9563	2.9045	2.9645	2.9052	
8	2.375	3.1818	2.9917	3.1891	2.9868	3.196	2.9866	
9	2.714	3.5028	3.0817	3.5075	3.076	3.5109	3.075	
10	3.053	4.1133	3.1794	4.1121	3.1732	4.109	3.1717	
11	3.392	5.1478	3.3011	5.1473	3.2949	5.1404	3.2931	
12	3.492	5.7808	3.3568	5.7754	3.3511	5.7637	3.3495	

Table 27: F/Number Data

		<u>Wavelengths (μm)</u>					
		0.9	5 <u>876</u>	0.6	<u>563</u>		
Number	<u>Field</u> (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>		
1	0	2.6519	2.6519	2.6583	2.6583		
2	0.339	2.6637	2.6608	2.67	2.6671		
3	0.678	2.6909	2.6867	2.697	2.6927		
4	1.018	2.719	2.7272	2.7249	2.7327		

5	1.357	2.7494	2.7781	2.7555	2.7831
6	1.696	2.81 73	2.8375	2.824	2.841 7
7	2.035	2.9694	2.907	2.9763	2.91 06
8	2.375	3.2	2.9879	3.2054	2.9909
9	2.714	3.51 25	3.0759	3.51 43	3.0782
10	3.053	4.1067	3.1722	4.1033	3.1 741
11	3.392	5.1347	3.2934	5.1255	3.295
12	3.492	5.7553	3.3499	5.7426	3.351 5

**Table 27A: F/Number Data (Continued)** 

[00101] Table 19 provides general optical information for an embodiment of optical systems 1800, 1900 of Figures 18 and 19, respectively. Table 20 provides image heights in the y axis, measured at the image sensor 1906 for a set of optical fields, and respective weights for the respective fields. Table 21 includes vignetting data for the set of optical fields of Table 20. Table 22 depicts wavelengths of respective rays traced in optical imaging system 1800, depicted at Figure 18. Table 23 provides a summary of general optical surface characteristics for lenses of optical elements 1902, including surface type, radius of curvature, thickness, material (from standard glass and plastic catalogues; not that a fictitious material is used for cover glass 1908), diameter, conic constant and applicable notes. Table 24 describes aspheric coefficients for the surfaces of Table 23, whereas Table 25 provides edge thickness information for those surfaces. Table 26 provides index of refraction data for multiple wavelengths for the optical fields identified at Table 20. Tables 27 and 27A provide F/# data for those same wavelengths and optical fields.

Figure 20 depicts a diagram of field curvature and distortion for the optical systems 1800, 1900 of Figures 18 and 19, *supra*. Particularly, the field curvature and distortion depicted in Figure 20 correspond with the optical elements 1902 configured to focus an image of an object at infinity onto sensor 1906. The field curvature and distortion graphs utilize five wavelengths, including 0.436, 0.486, 0.546, 0.588 and 0.656 μιη respectively. Moreover, the rays are traced with a maximum field of 35.543 degrees. The left-hand graph depicts field curvature in millimeters along a y axis at an image plane of an optical imaging system. Field curvature curves are depicted for Sagittal rays (delineated by an 'S') and Tangential rays (delineated by a 'T'). The range of field curvature over the utilized wavelengths is within a few microns for sagittal and tangential rays. The distortion graphs on the right-hand side of Figure 20 also includes curves for the above five wavelengths. The distortion data is

normalized to 0% at the optical axis. Throughout the image plane, distortion is less than about -1%, and for mid to low field angles below about + / - one half a percent.

[00103] Figure 21 illustrates a diagram of longitudinal aberration for a set of wavelengths. Longitudinal aberration of Figure 21 relates to optical elements 1902, configured to image an object located at infinity onto sensor 1906. The listed wavelengths include 0.436, 0.486, 0.546, 0.588 and 0.656 μη. The graph charts longitudinal aberration in millimeters for increasing field angles, for a pupil radius of 0.9mm. At low field angles the longitudinal aberration is generally positive and less than about 0.02 millimeters. At high field angles, the longitudinal aberration is more negative and generally less than about 0.03 millimeters. The longitudinal aberration graph of Figure 21 indicates optical elements 1902 provide reasonably good aberration correction for the identified wavelengths.

[00104] Figure 22 depicts a graph of lateral color for optical elements 1902 of Figure 19, *supra*. Accordingly, the graph of lateral color relates to optical elements 1902 configured to focus an image of an object located at infinity onto sensor 1906. The maximum field for the lateral color graph is 3.3920 millimeters, and wavelengths for the lateral color graph range from 0.4358 through 0.6563 μιη. In addition, data is referenced to 0.546100 μιη. For most field angles the lateral color is within about + / - 0.5 microns. At high field angles, lower wavelengths exhibit lateral color about -1 micron or greater, and higher wavelengths exhibit lateral color about 1 micron.

[00105] Figure 23 illustrates a diagram of an example optical system 2300 according to still other aspects of the subject disclosure. Optical system 2300 can comprise a set of optical elements 2302, as depicted. In at least one aspect of the subject disclosure, optical elements 2302 can comprise a set of lenses substantially similar to optical elements 1802 and 1902 of Figures 18 and 19, *supra*, but having a different focus position. Specifically, a subset of optical elements 2302 can be positioned in a manner suitable to focus an image of a near-field object at an image plane of optical elements 2302. As depicted, the near-field object position for optical elements 2302 is 12.8cm. By repositioning the subset of optical elements 2302 between the position depicted by Figure 23 and that of optical elements 1902 of Figure 19, optical system 2300 can focus different object distances between the near-field object and an object at infinity.

[00106] Optical system 2300 illustrates a set of ray fans representing light incident upon optical elements 2302 at discrete field angles. A field angle of zero is

depicted by rays of light that converge at an optical axis of optical system 2300 at an image plane of optical elements 2302. Light converging at points on the image plane at increasing distances from the optical axis represent rays of light encountering optical elements 2302 at correspondingly larger field angles.

[00107] Figure 24 depicts a diagram of an example optical system 2400 according to still other aspects of the subject disclosure. Optical system 2400 delineates optical lenses and associated optical surfaces of optical system 2300 of Figure 23. Further, in at least one aspect, the optical lenses and associated optical surfaces of optical system 2300 can be substantially similar to the optical lenses and optical surfaces of optical systems 1800 and 1900 of Figures 18 and 19, *supra*. Optical system 2400 can differ from optical systems 1800 and 1900 in that optical elements 2402 can be configured to focus an image of an object located at substantially 12.8cm at a sensor 2408. Other aspects of optical system 2400 and optical elements 2402, including optical surfaces R1 and R2 of lens L1, R3 and R4 of lens L2, R5 and R6 of lens L3, R7 and R8 of lens L4, and R9 and R10 of lens L5. Further, sensor 2408 and cover glass 2406 can be substantially similar to sensor 1906 and cover glass 1908 of optical system 1900.

elements 2402 comprise an object lens, lens LI, which is connected to an actuator (*e.g.*, MEMS actuator, ...) to facilitate auto-focusing for optical system 2400. In the arrangement of optical elements 2402 depicted by Figure 24, and in particular an air distance distance  $_{nea}$  r between lens LI and lens L2, optical elements 2402 are configured to focus a real image of an object at an object distance of 12.8cm onto sensor 2408. By moving lens LI into a position depicted by optical elements 1902 of Figure 19, where the air distance between lens LI and lens L2 is a distance, optical system 2400 can be configured to focus an image of an object at infinity, instead. In at least one alternative or additional aspect of the subject disclosure, lens LI can be repositioned to change the air distance between distance  $_{nea}$  r and distance, thereby focusing an image of an object located at points between 12.8cm and infinity at sensor 2408. Optical elements 2402 can have image characteristics as described by the optical characteristics of Tables 28 - 31A.

Parameter Description	<u>Value</u>

Effective Focal Length (in air at system temperature and pressure)	4.673877
Effective Focal Length (in image space)	4.673877
Back Focal Length	-0.05732965
Total Track Length (TTL)	5.668093
Image Space F/#	2.596598
Paraxial Working F/#	2.7471 79
Working F/#	2.738746
Image Space NA	0.1 790633
Object Space NA	0.007028331
Stop Radius	0.9
Paraxial Image Height	3.492
Paraxial Magnification	-0.03861 711
Entrance Pupil Diameter	1.8
Entrance Pupil Position	0.05
Exit Pupil Diameter	1.198642
Exit Pupil Position	-3.269722
Maximum Radial Field	3.492
Lens Units	Millimeters (mm)
Angular Magnification	1.501698

Table 28: General Optical Properties (Object in Focus at ~12.8cm)

Field / #	<u>VDX</u>	<u>VDY</u>	<u>VCX</u>	<u>VCY</u>	<u>VAN</u>
1	0	0	0	0	0
2	0	0	0	0	0
3	0	0	0	0	0
4	0	0	0	0	0
5	0	0	0	0	0
6	0	0	0	0	0
7	0	-0.00588	0.000003	0.005875	0
8	0	-0.02139	0.000058	0.021397	0
9	0	-0.0355	0.00023	0.035498	0
10	0	-0.06623	0.000798	0.066238	0
11	0	-0.16868	0.006487	0.1687	0
12	0	-0.24396	0.016135	0.243989	0

Table 29: Vignetting Factors for Fields of Table 20

Surface	Туре	<u>Radius</u>	Thickness	<u>Material</u>	<u>Diameter</u>	Conic	Notes
Object	Standard	Infinity	128		179. 14 13	0	
1	Standard	Infinity	0.05		2.060591	0	Stopl
Stop	Standard	Infinity	-0. 176644		1.8	0	A 1
3	EvenAsph	2.024203	0.721	ZEONF52R	1.872	- 1	R1
4	EvenAsph	- 11.8344	0.08		1.89	0	R2
5	Standard	Infinity	0.1656548		2.01 5092	0	Stop 2
6	EvenAsph	-250	0.32	EP5000	1.91	0	R3
7	EvenAsph	2.74561 9	0.34341 52		2.093864	0	R4
8	Standard	Infinity	0.00302399		2.28441 4	0	Stop 3
9	EvenAsph	2.9701 47	0.349401 1	EP5000	2.456709	0	R5
10	EvenAsph	3.958667	0.1844251		2.735497	0	R6
11	Standard	Infinity	0.3353069		2.905287	0	Stop 4
12	EvenAsph	-4.5388	0.51 4401 3	ZEONF52R	3.007844	0	R7
13	EvenAsph	- 1.56458	0.271 9004		3.349097	- 1	R8
14	Standard	Infinity	0.421 506		5.0391 33	0	Stop 5
15	EvenAsph	-3.33374	0.908	ZEONF52R	5.1421 3 1	0	R9
16	EvenAsph	3.980869	0.6500583		6.098372	0	R10
17	Standard	Infinity	0.3		6.852322	0	CG 1908
18	Standard	Infinity	0.1		7.029509	0	
Image	Standard	Infinity			7.027898	0	

**Table 30: Surface Data Summary** 

			Wavelengths (μm):				
		0.4	<u>4358</u>	0.4	<u>0.4861</u>		<u>461</u>
Number	Field (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	Sag
1	0	2.7277	2.7277	2.7317	2.7317	2.7387	2.7387
2	0.339	2.7368	2.7372	2.7406	2.741	2.7475	2.7479
3	0.678	2.7568	2.7652	2.7602	2.7683	2.7668	2.7746
4	1.018	2.7759	2.8091	2.7796	2.8111	2.7862	2.8166
5	1.357	2.8003	2.8645	2.8054	2.8651	2.8128	2.8695
6	1.696	2.8707	2.929	2.8781	2.9282	2.8869	2.9315
7	2.035	3.0426	3.0048	3.0514	3.0027	3.0608	3.0049
8	2.375	3.3173	3.0938	3.3236	3.0904	3.33	3.0916
9	2.714	3.7043	3.1909	3.7032	3.1866	3.7021	3.1868
10	3.053	4.4104	3.2987	4.3944	3.2936	4.3798	3.2932
11	3.392	5.6482	3.4392	5.6174	3.4339	5.587	3.4329
12	3.492	6.4939	3.5062	6.4341	3.5014	6.3828	3.5006

Table 31: F/Number Data

		<u>Wavelengths (μm)</u>				
		<u>0.5876</u>		<u>0.6563</u>		
Number	Field (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	

1	0	2.7437	2.7437	2.7514	2.751 4
2	0.339	2.7524	2.7528	2.7599	2.7603
3	0.678	2.771 6	2.7793	2.7789	2.7865
4	1.01 8	2.7909	2.8208	2.7981	2.8276
5	1.357	2.81 77	2.8733	2.8251	2.8794
6	1.696	2.8924	2.9347	2.9003	2.9401
7	2.035	3.0664	3.0075	3.0742	3.01 22
8	2.375	3.3337	3.0937	3.339	3.0977
9	2.71 4	3.701 6	3.1884	3.701	3.1 9 1 7
10	3.053	4.372	3.2943	4.361 8	3.2971
11	3.392	5.5698	3.4337	5.5469	3.436
12	3.492	6.3558	3.501 4	6.321 1	3.5037

**Table 31A: F/Number Data (Continued)** 

[00109] Tables 28 - 31A comprise optical characteristics and image characteristics of optical system 2400 that differ from the configuration of optical system 1900. Table 28 provides general optical information for the embodiment of optical system 2400. Table 29 includes vignetting data for the set of optical fields of Table 20. Table 30 provides a summary of general optical characteristics for lenses of optical elements 2402, including surface type, radius of curvature, thickness, material (from standard glass and plastic catalogues, including a fictitious material for cover glass 2408), diameter, conic constant and applicable notes. Tables 31 and 31A provide F/# data for identified wavelengths and optical fields.

[00110] Figure 25 illustrates a diagram of field curvature and distortion for the optical system 2400 of Figure 24, *supra*. Wavelengths employed for the field curvature and distortion graphs include 0.436, 0.486, 0.546, 0.588 and 0.656 μιη. Rays traced to generate these graphs have units in field angle with a maximum field of 34.188 degrees. The field curvature for both tangential and sagittal rays are generally positive and less than about 0.05 mm for all field angles. Distortion is less than about 1% for mid to low field angles, and increases to about 1.6% at high field angles.

[00111] Figure 26 illustrates a diagram of longitudinal aberration for optical system 2400. The longitudinal aberration graph is provided for five wavelengths, including 0.436, 0.486, 0.546, 0.588 and 0.656  $\mu\eta$ . The graph charts longitudinal aberration in millimeters for increasing field angles, and with pupil radius of 0.9mm. At low field angles the longitudinal aberration is generally positive and less than about 0.04 millimeters. At higher field angles, the longitudinal aberration ranges positive to negative for different field angles, and is generally between positive 0.03 millimeters and about negative 0.035 millimeters.

[00112] Figure 27 depicts a graph of lateral color for optical elements 2402 of Figure 24, *supra*. The graph of lateral color relates to optical elements 2402 configured to focus an image of an object located at about 12.8cm onto sensor 2406. The maximum field for the lateral color graph is 3.3920 millimeters, and wavelengths employed for the graph range from 0.4358 through 0.6563\_μη. In addition, data is referenced to 0.546100 μη. For all field angles the lateral color is less than about +3 microns and greater than about -1 microns. At low and mid field angles, the lateral color ranges between about +1 micron and about -0.25 microns.

[00113] Figures 28A - 28D illustrate an example optical system according to one or more additional aspects of the subject disclosure. The optical system is depicted at Figure 28A on the upper left in a configuration to focus an image of an object at infinity onto a sensor of the optical system. Figures 29A - 29D illustrate the example optical system in a configuration to focus an image of a near-field object onto the sensor of the optical system. The latter configuration can be achieved, for instance, by decreasing an air distance between the first left-most lens closest to the object side of the optical system, closer to the second lens on the object side of the optical system.

[00114] Generally, the optical system comprises five lenses, from an object side to image side, including lens LI (also referred to as an objective lens), lens L2, lens L3, lens L4 and lens L5 (referred to collectively as lenses LI - L5). Moreover, the optical system of Figures 28A - 28D can comprise two or more lens groups, defined at least in part on an on-axis inter-lens air distance between respective lenses of the two or more lens groups. As an example, the five lenses of the optical system can be arranged into two lens groups, a first of the lens groups comprising a first lens, second lens and third lens from the object side of the optical system, and where the second of the lens groups comprising a fourth lens and fifth lens from the object side of the optical system. The lens groups can be constrained to have on-axis air distances between lenses that is smaller than an on-axis air distance between the first and the second lens groups.

[00115] Figures 28B - 28D illustrate image characteristics for the optical system of Figure 28A configured to focus an image of an object at infinity on a sensor of the optical system (far field focus configuration). Figures 29B - 29D illustrate image characteristics for the optical system of Figure 29A configured to focus a near-field object on the sensor (near field focus configuration). Figure 28B depicts a graph of field curvature and distortion for the far field focus configuration, with a maximum field greater than about 32 degrees for wavelengths between about 0.47 and about 0.65

microns. Figure 28C illustrates a graph of longitudinal aberration for the far field configuration at the above wavelengths, and for a pupil radius of about 0.991mm, and Figure 28D depicts a graph of lateral color for this configuration having a maximum field of about 2.956 millimeters having data referenced to wavelength of about 0.555 microns.

[00116] Figure 29B illustrates field curvature and distortion for the near field configuration of the optical system, depicted at Figure 29A. The field curvature and distortion has a maximum field of about 34.51 degrees for wavelengths between about 0.470 and about 0.650 microns. Figure 29C depicts longitudinal aberration for the near field configuration with pupil radius of about 0.991 millimeters and wavelengths of about 0.470, 0.510, 0.555, 0.610 and 0.650 microns. Figure 29D illustrates a graph of lateral color for the near field configuration, with a maximum field of about 2.9560 millimeters and with data referenced to wavelength of 0.555 microns. The optical system of Figures 28A and 29A are described by the optical and image characteristics provided by Tables 32 - 40A, below.

Parameter Description	<u>Value</u>
Effective Focal Length (in air at system temperature and pressure)	4.309199
Effective Focal Length (in image space)	4.309199
Back Focal Length	0.528864
Total Track Length (TTL)	5.348668
Image Space F/#	2.44563
Paraxial Working F/#	2.44563
Working F/#	2.468005
Image Space NA	0.200303
Object Space NA	8.81E-11
Stop Radius	0.881
Paraxial Image Height	2.956
Paraxial Magnification	0
Entrance Pupil Diameter	1.762
Entrance Pupil Position	0
Exit Pupil Diameter	1.257817
Exit Pupil Position	-3.09729

Maximum Radial Field	2.956
Lens Units	Millimeters (mm)
Angular Magnification	1.400838

**Table 32: General Optical Properties (Object in Focus at Infinity)** 

Field #	X-Value	<u>Y-Value</u>	<u>Weight</u>
1	0	0	1
2	0	0.571	1
3	0	1.142	1
4	0	1.714	1
5	0	2.285	1
6	0	2.57	1
7	0	2.856	0.2
8	0	2.956	0.2

Table 33: Field Type v. Real Image Height (in mm)

<u>Field / #</u>	<u>VDX</u>	<u>VDY</u>	<u>VCX</u>	<u>VCY</u>	<u>VAN</u>
1	0	0	0	0	0
2	0	0.003903	0.00001	0.003903	0
3	0	0.007781	0.000035	0.007783	0
4	0	0.01172	0.000106	0.011721	0
5	0	-0.00257	0.000337	0.034007	0
6	0	-0.04564	0.001626	0.08102	0
7	0	-0.09687	0.005447	0.136263	0
8	0	-0.14243	0.009529	0.183383	0

**Table 34: Vignetting Factors for Fields of Table 20** 

Wavelength #	<u>Value (in µm)</u>	<u>Weight</u>
1	0.47	91
2	0.51	503
3	0.555	1000
4	0.61	503
5	0.65	107

Table 35: Wavelengths Used for Raytracing

<u>Surface</u>	Type	<u>Radius</u>	Thickness	<u>Material</u>	<u>Diameter</u>	<u>Conic</u>	<u>Notes</u>
Object	Standard	Infinity	Infinity		0	0	
Stop	Standard	Infinity	-0.05		1.762	0	Stop
2	Standard	Infinity	-0.05		1.762	0	Vig
3	EvenAsph	1.9541 12	0.658876	APEL551 4ML	1.8681 1	0	L 1
4	EvenAsph	- 16.4984	0.12381 2		1.900083	0	
5	EvenAsph	28.78283	0.537232	EP5000-F	1.936491	0	L2
6	EvenAsph	2.751 3	0.242475		2.0091 9	0	
7	Standard	Infinity	0.05		2.05	0	
8	Standard	Infinity	0.08601 6		2.05	0	
9	EvenAsph	-34.5053	0.862679	APEL551 4ML	2.120461	0	L3
10	EvenAsph	-6. 1921 6	0.424308		2.71 6502	0	
11	EvenAsph	2.722564	0.5091 04	APEL551 4ML	3.355454	0	L4
12	EvenAsph	-4.26721	-0. 19		4.009626	0	
13	Standard	Infinity	0.05		4.16	0	
14	Standard	Infinity	0.389066		4.16	0	
15	EvenAsph	-2.51 369	0.4261 0 1	APEL551 4ML	4.449728	0	L5
16	EvenAsph	2.608397	0.329		4.87851 7	0	
17	Standard	Infinity	0.3	N-BK7	5.233205	0	CG 1908
18	Standard	Infinity	0.55		5.402932	0	
Image	Standard	Infinity			5.91 7565	0	

**Table 36: Surface Data Summary** 

Surface	Parameter Description	<u>Value</u>
R1	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.00386
	Coefficient on r 6	0.009055
	Coefficient on r 8	-0.01604
	Coefficient on r 10	0.006453
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R2	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.014879
	Coefficient on r 6	-0.02489
	Coefficient on r 8	0.011334
	Coefficient on r 10	0.003758
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0

	Coefficient on r 2	0
		0
	Coefficient on r 4	0.001774
	Coefficient on r 6	-0.03857
	Coefficient on r 8	0.035738
	Coefficient on r 10	-0.00277
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R4	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.00027
	Coefficient on r 6	0.001123
	Coefficient on r 8	0.000761
	Coefficient on r 10	0.006183
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R5	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.04891
	Coefficient on r 6	0.029453
	Coefficient on r 8	-0.01911
	Coefficient on r 10	0.004124
	Coefficient on r 12	0
	Coefficient on r 14	0
	Coefficient on r 16	0
R6	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.13503
	Coefficient on r 6	0.048368
	Coefficient on r 8	0.001742
	Coefficient on r 10	-0.00582
	Coefficient on r 12	0.00078
	Coefficient on r 14	5.79E-05

	Coefficient on r 16	0.000106
R7	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.1187
	Coefficient on r 6	0.031933
	Coefficient on r 8	-0.0214
	Coefficient on r 10	0.008804
	Coefficient on r 12	-0.0012
	Coefficient on r 14	-0.00074
	Coefficient on r 16	0.00021 6
R8	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.070788
	Coefficient on r 6	-0.01663
	Coefficient on r 8	-0.00017
	Coefficient on r 10	-0.00047
	Coefficient on r 12	0.000282
	Coefficient on r 14	-1.50E-05
	Coefficient on r 16	-2.54E-06
R9	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	0.019234
	Coefficient on r 6	0.011958
	Coefficient on r 8	-0.00178
	Coefficient on r 10	1.79E-05
	Coefficient on r 12	5.79E-06
	Coefficient on r 14	9.92E-07
	Coefficient on r 16	4.90E-08
RIO	Even Asphere	
	Coefficient on r 2	0
	Coefficient on r 4	-0.10214
	Coefficient on r 6	0.027793
	Coefficient on r 8	-0.0071
	Coefficient on r 10	0.000868
	Coefficient on r 12	1.66E-05
-		

Coefficient on r 14	- 1.31 E-05
Coefficient on r 16	7.35E-07

**Table 37: Surface Aspheric Coefficients** 

Surface	<u>Edge</u>			
Stop	-0.05			
2	0.184732			
3	0.400359			
4	0.15927			
5	0.723662			
6	0.044372			
7	0.05			
8	0.026648			
9	0.560283			
10	0.571295			
11	0.51101			
12	0.022871			
13	0.05			
14	0.126282			
15	0.613075			
16	0.40481			
17	0.3			
18	0.55			
Image	0			

Table 38: Edge Thickness Data

Temperature (Temp) in degrees Celsius and pressure (Press) in atmospheres								
Surface	<u>Material</u>	<u>Temp</u>	<u>Press</u>	0.4358	0.4861	0.5461	0.5876	0.6563
0		20	1	1	1	1	1	1
1		20	1	1	1	1	1	1
2		20	1	1	1	1	1	1
	APEL55							
3	14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
4		20	1	1	1	1	1	1
	EP5000-							
5	F	20	1	1.657924	1.647547	1.638966	1.631351	1.627143
6		20	1	1	1	1	1	1
7		20	1	1	1	1	1	1
8		20	1	1	1	1	1	1
	APEL55							
9	14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
10		20	1	1	1	1	1	1
	APEL55							
11	14ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541977
12		20	1	1	1	1	1	1
13		20	1	1	1	1	1	1
14		20	1	1	1	1	1	1

	APEL							
	551 4							
15	ML	20	1	1.552896	1.549574	1.546504	1.543579	1.541 977
16		20	1	1	1	1	1	1
17	N-BK7	20	1	1.523605	1.520769	1.51 8274	1.51 5909	1.51 452
18		20	1	1	1	1	1	1
19		20	1	1	1	1	1	1

**Table 39: Index of Refraction Data** 

		<u>Wavelengths (μm):</u>						
		0.4	<u>4358</u>	<u>0.4861</u>		<u>0.5461</u>		
Number	Field (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	
1	0	2.4671	2.4671	2.4655	2.4655	2.468	2.468	
2	0.571	2.5183	2.4954	2.516	2.4934	2.5179	2.4954	
3	1.142	2.5939	2.5672	2.5915	2.564	2.5931	2.5651	
4	1.714	2.7124	2.6738	2.7134	2.6698	2.717	2.6702	
5	2.285	3.1321	2.8097	3.1364	2.8053	3.1424	2.8052	
6	2.57	3.6079	2.8988	3.613	2.8941	3.6195	2.8939	
7	2.856	3.8132	3.003	3.8209	2.9987	3.8222	2.9987	
8	2.956	4.0285	3.0378	4.0284	3.0345	4.0233	3.0351	

Table 40: F/Number Data

		<u>Wavelengths (μm)</u>				
		0.9	587 <u>6</u>	0.6	563	
<u>Number</u>	<u>Field</u> (mm)	<u>Tan</u>	<u>Sag</u>	<u>Tan</u>	<u>Sag</u>	
1	0	2.4725	2.4725	2.4748	2.4748	
2	0.571	2.522	2.4996	2.524	2.5017	
3	1.142	2.5966	2.5685	2.5984	2.5702	
4	1.714	2.7219	2.6729	2.7244	2.6742	
5	2.285	3.1492	2.8075	3.1529	2.8085	
6	2.57	3.6267	2.896	3.6307	2.8969	
7	2.856	3.8203	3.0009	3.8197	3.0019	
8	2.956	4.0151	3.0376	4.0105	3.0389	

Table 40A: F/Number Data (Continued)

[00117] Tables 32 - 40A provides optical and image characteristics for the optical system of Figure 28A, having a far field focus configuration. Table 32 provides general optical information for this optical system. Table 33 provides image heights in the y axis, measured at an image sensor of the optical system, for a set of optical fields and

respective weights for the respective optical fields. Table 34 includes vignetting data for the set of optical fields of Table 33. Table 35 depicts wavelengths of respective rays traced in the optical imaging system of Figure 28. Table 36 provides a summary of general optical surface characteristics for lenses of this optical system, including surface type, radius of curvature, thickness, material (from standard glass and plastic catalogues), diameter, conic constant and applicable notes. Table 37 describes aspheric coefficients for the surfaces of Table 35, whereas Table 38 provides edge thickness information for those surfaces. Table 39 provides index of refraction data for multiple wavelengths and listed optical fields. Tables 40 and 40A provide F/# data for those same wavelengths and optical fields.

an example, instance, or illustration. Any aspect or design described herein as "exemplary" is not necessarily to be construed as preferred or advantageous over other aspects or designs. Rather, use of the word exemplary is intended to present concepts in a concrete fashion. As used in this application, the term "or" is intended to mean an inclusive "or" rather than an exclusive "or". That is, unless specified otherwise, or clear from context, "X employs A or B" is intended to mean any of the natural inclusive permutations. That is, if X employs A; X employs B; or X employs both A and B, then "X employs A or B" is satisfied under any of the foregoing instances. In addition, the articles "a" and "an" as used in this application and the appended claims should generally be construed to mean "one or more" unless specified otherwise or clear from context to be directed to a singular form.

[00119] Furthermore, various portions of electronic systems associated with disclosed optical systems described herein may include or consist of artificial intelligence or knowledge or rule based components, sub-components, processes, means, methodologies, or mechanisms (*e.g.*, support vector machines, neural networks, expert systems, Bayesian belief networks, fuzzy logic, data fusion engines, classifiers. ..). Such components, *inter alia*, and in addition to that already described herein, can automate certain mechanisms or processes performed thereby to make portions of the systems and methods more adaptive as well as efficient and intelligent. For instance, such components can automate optimization of image quality of an optical system, as described above (*e.g.*, see electronic device 500 of Figure 5, *supra*).

[00120] What has been described above includes examples of aspects of the claimed subject matter. It is, of course, not possible to describe every conceivable

combination of components or methodologies for purposes of describing the claimed subject matter, but one of ordinary skill in the art can recognize that many further combinations and permutations of the disclosed subject matter are possible.

Accordingly, the disclosed subject matter is intended to embrace all such alterations, modifications and variations that fall within the spirit and scope of the appended claims. Furthermore, to the extent that the terms "includes," "has" or "having" are used in either the detailed description or the claims, such terms are intended to be inclusive in a manner similar to the term "comprising" as "comprising" is interpreted when employed as a transitional word in a claim.

## **CLAIMS**

What is Claimed is:

1. An optical imaging system arranged along an optical axis, comprising:
a set of optical lenses including a first lens group and a second lens group,
wherein the second lens group is fixed in position along the optical axis;

a micro electromechanical system (MEMS) actuator mechanically connected to the first lens group and configured to adjust a position of the first lens group along the optical axis, wherein a first adjusted position is configured to focus an image of an object positioned far from the optical imaging system onto an image plane associated with the optical imaging system, and wherein a second adjusted position is configured to focus an image of an object positioned near to the optical imaging system onto the image plane;

wherein:

the set of optical lenses comprising five lenses;

the MEMS actuator is configured to adjust a position of the first lens group along the optical axis up to between 50 and 150 micrometers;

the first optical lens group comprising a biconvex object-side lens; and a ratio of the focal length of the biconvex object-side lens to a combined focal length of the five lenses is greater than one half.

- 2. The optical imaging system of claim 1, further comprising an aperture stop positioned at an object-side of the biconvex object-side lens.
- 3. The optical imaging system of claim 2, wherein the aperture stop is fixed in position along the optical axis.
- 4. The optical imaging system of claim 2, wherein the aperture stop is fixed in position relative to the first lens group.

5. The optical imaging system of claim 4, wherein the MEMS actuator is configured to reposition the first lens group and the aperture stop along the optical axis and maintain the fixed position between the aperture stop and the first lens group at least at the first adjusted position and at the second adjusted position.

- 6. The optical imaging system of claim 1, the second lens group comprising four lens elements, including a second lens, a third lens, a fourth lens and a fifth lens.
- 7. The optical imaging system of claim 6, the second lens having a concave imageside surface and a flat or a weak convex curvature on an object-side surface.
- 8. The optical imaging system of claim 7, the second lens having a negative optical power, and formed of an OKP4HT plastic.
- 9. The optical imaging system of claim 6, the third lens having a concave object-side surface and a convex image-side surface, a positive optical power, and formed of an APEL5514ML glass.
- 10. The optical imaging system of claim 6, the fourth lens having an object-side surface that is convex near the optical axis and transitions to concave away from the optical axis, and an image-side surface having convex curvature.
- 11. The optical imaging system of claim 10, the fourth lens having positive optical power near the optical axis, and having small negative optical power, small positive optical power, or no optical power away from the optical axis, and the fourth lens is formed of an APEL55 14ML plastic.
- 12. The optical imaging system of claim 6, the fifth lens having an object-side surface that is concave near the optical axis and that transitions to convex away from the optical axis, and an image-side surface that is concave near the optical axis and transitions to convex away from the optical axis.

13. The optical imaging system of claim 12, the fifth lens having large negative optical power near the optical axis, and positive optical power away from the optical axis, and the fifth lens is formed of an APEL5514ML plastic.

- 14. The optical imaging system of claim 1, the biconvex object-side lens is formed of an APEL55 14ML plastic.
- 15. The optical imaging system of claim 1, wherein an optical power of the biconvex object-side lens is at least in part a function of a distance along the optical axis between the first adjusted position and the second adjusted position.
- 16. The optical imaging system of claim 1, wherein the ratio of the focal length of the biconvex object-side lens to a combined focal length of the five lenses is about three quarters.
- 17. The optical imaging system of claim 1, wherein the ratio of the optical power of the biconvex object-side lens to a combined optical power of the five lenses is at least in part a function of a distance along the optical axis between the first adjusted position and the second adjusted position.
- 18. The optical imaging system of claim 1, wherein the object positioned far from the optical system is positioned substantially at infinity, and wherein the object positioned near to the optical system is positioned at substantially 10cm from an aperture stop of the optical imaging system.

19. An optical system comprising:

a plurality of optical elements arranged along a common optical axis for forming a real image of an object, said optical elements including:

a first lens having a positive refractive power, with both surfaces, one facing an object side and another facing an image side, having convex shape;

a second lens having negative refractive power and a meniscus shape, with the surface facing the object side having a convex shape and the surface facing the image side having a concave shape;

a third lens having positive refractive power, and a biconvex shape near the optical axis, and the surface facing the object side is concave away from the optical axis;

a fourth lens, with the surface facing the object side having a concave shape, and the surface facing the image side having a convex shape; and

a fifth lens having a meniscus shape with the surface facing the object side having a convex shape and the surface facing the image side having a concave shape near the optical axis and a convex shape away from the optical axis; and an actuator configured to move the first lens along the optical axis.

- 20. The optical system of claim 19, wherein the motor is a microelectromechanical system.
- 21. The optical system of claim 19, wherein the second lens performs a majority of chromatic correction for the optical system.
- 22. The optical system of claim 19, further comprising an aperture that is embedded into the first lens and moves with the first lens, wherein the aperture having a depth of  $50\mu\eta$ .
- 23. The optical system of claim 19, wherein the F-number of the optical system is approximately 2.4.
- 24. The optical system of claim 19, wherein one or more of the lenses are made of plastic.

25. The optical system of claim 19, wherein the surfaces of the lenses are aspheric.

- 26. The optical system of claim 19, wherein the refractive index of the lenses is within a range of about 1.5 to about 1.66.
- 27. The optical system of claim 19, wherein the range of movement for the first lens is between about  $O\mu\eta$  and about  $IOO\mu\eta$ .
- 28. The optical system of claim 19, wherein an amount of movement to focus an image of an object is inversely proportional to the positive refractive power of the first lens.
- 29. The optical system of claim 19, wherein the primary lateral color range for the optical system focused on an object at infinity is equal to or less than approximately  $I\mu m$ .
- 30. The optical system of claim 19, wherein the primary lateral color range for the optical system focused on an object at 10cm is equal to or less than approximately  $4\mu\eta$ .

31. An optical imaging system arranged along an optical axis, comprising:

a set of optical lenses including a first lens group and a second lens group, wherein the second lens group is fixed in position along the optical axis and comprises a majority of the optical lenses of the set of optical lenses; and

an actuator mechanically connected to the first lens group and configured to adjust a position of the first lens group along the optical axis, wherein a first adjusted position is configured to focus an image of an object positioned far from the optical imaging system onto an image plane associated with the optical imaging system, and wherein a second adjusted position is configured to focus an image of an object positioned near to the optical imaging system onto the image plane;

wherein:

the set of optical lenses comprising five lenses;

the actuator is configured to adjust a position of the first lens group along the optical axis up to between 50 and 150 micrometers;

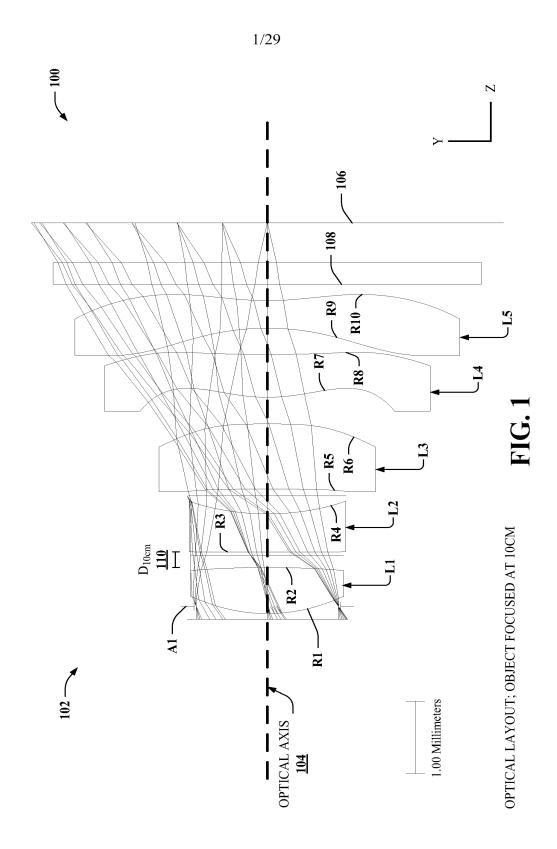
the second optical lens group comprising a third lens of the set of optical lenses that is third in sequence from an object side of the set of optical lenses, the third lens having a meniscus shape that is convex toward the object side of the set of optical lenses.

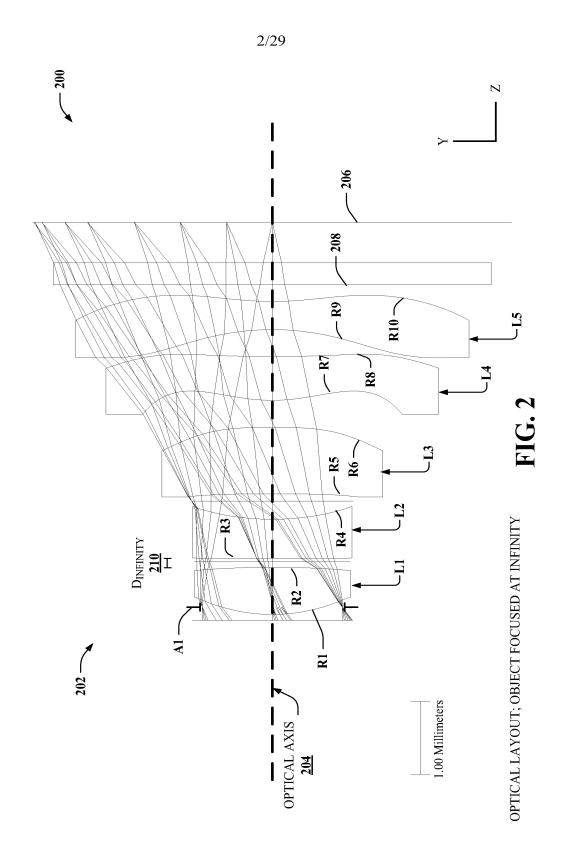
- 32. The optical imaging system of claim 31, wherein the actuator comprises a micro electromechanical system (MEMS) actuator.
- 33. The optical imaging system of claim 31, the first optical lens group comprising a biconvex objective lens that provides a majority of the positive optical power of the set of optical lenses.
- 34. The optical imaging system of claim 33, wherein the biconvex objective lens has greater positive refractive power than a combined refractive power of the set of optical lenses.
- 35. The optical imaging system of claim 31, wherein an effective focal length of the set of optical lenses is between about 4.5 and about 5.0 millimeters.

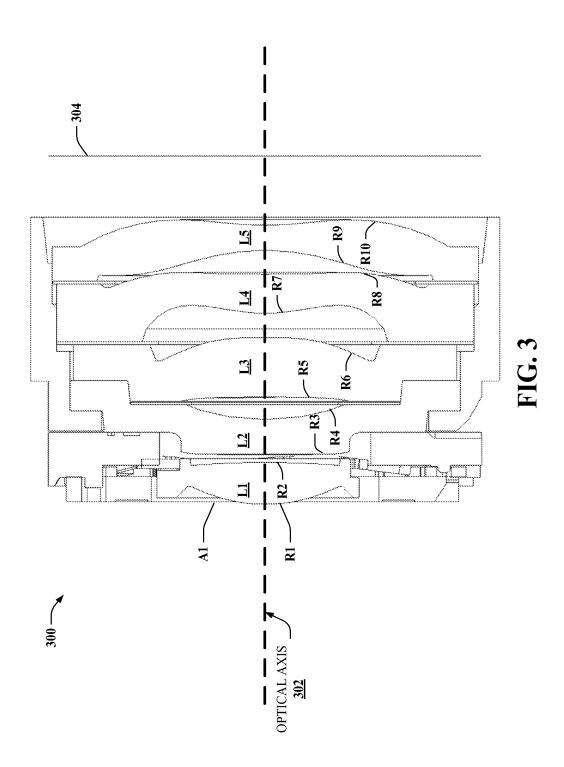
36. The optical imaging system of claim 31, wherein a ratio of total track length to effective focal length of the optical system is between about 1.1 and about 1.2.

- 37. The optical imaging system of claim 31, wherein the first lens group comprises a single lens of the set of optical lenses.
- 38. The optical imaging system of claim 37, wherein the single lens is an objective lens of the optical system.
- 39. The optical imaging system of claim 37, wherein the second adjusted position focuses an image of an object at an object distance of about 12.8 centimeters onto the image plane.
- 40. The optical imaging system of claim 31, wherein an air distance between a third lens and a fourth lens of the set of optical lenses, numbered from an object side of the set of optical lenses, is a largest of air distances between respective ones of the set of optical lenses.
- 41. The optical imaging system of claim 31, wherein an air distance between a fourth lens and a fifth lens of the set of optical lenses, numbered from an object side of the set of optical lenses, is a largest of air distances between respective ones of the set of optical lenses.
- 42. The optical imaging system of claim 31, further comprising an aperture stop about an object side surface of a first lens of the set of optical lenses, numbered from an object side of the set of optical lenses.
- 43. The optical imaging system of claim 42, further comprising a stop between a second and third lens of the set of optical lenses, numbered from an object side of the set of optical lenses.
- 44. The optical imaging system of claim 43, further comprising a second stop between the third lens and a fourth lens of the set of optical lenses.

45. The optical imaging system of claim 44, further comprising a third stop between the fourth lens and a fifth lens of the set of optical lenses.







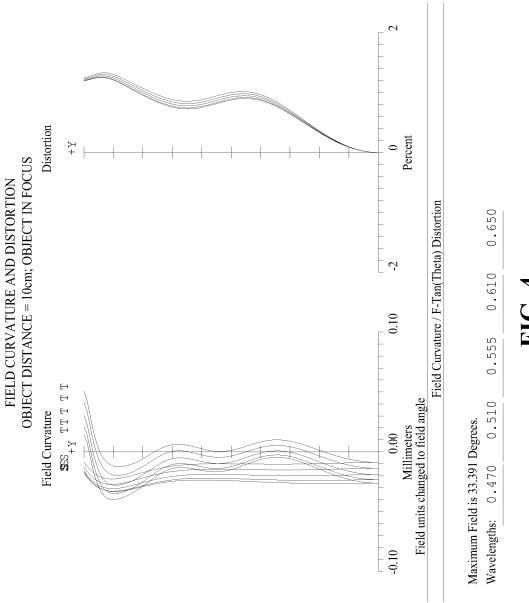
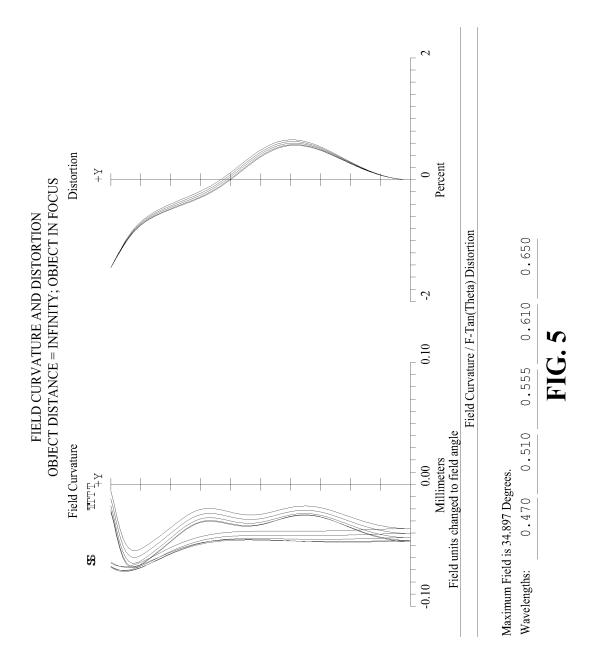
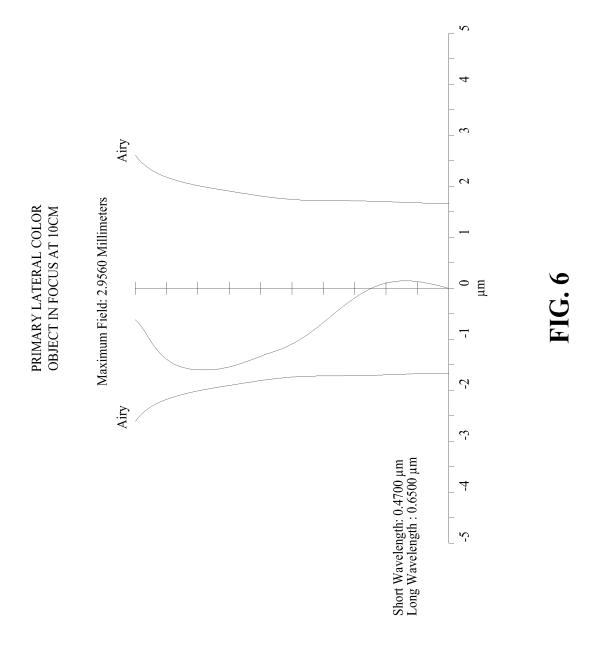
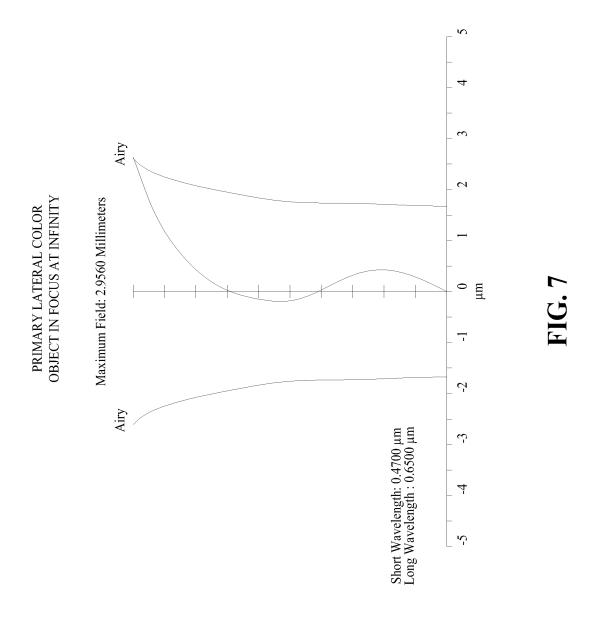


FIG. 4

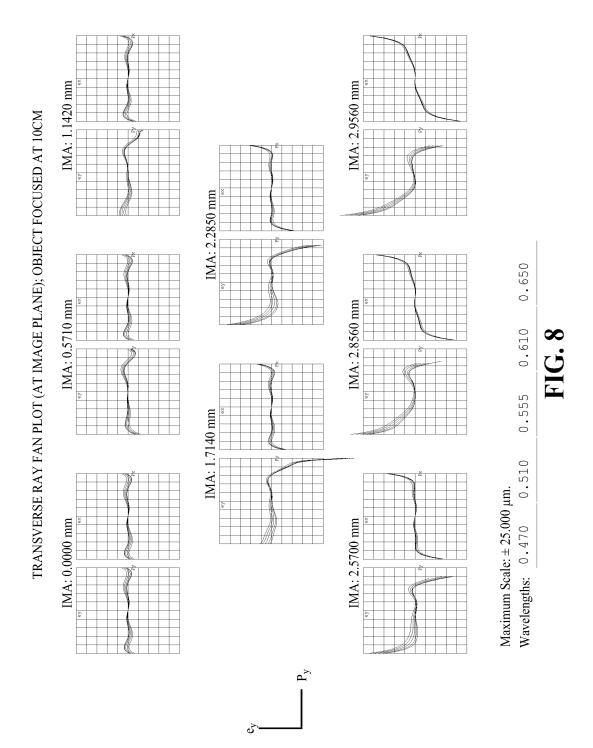


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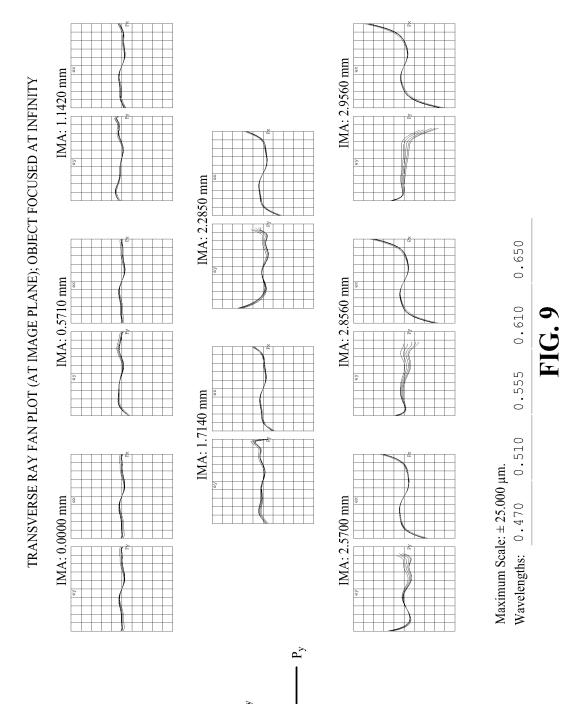


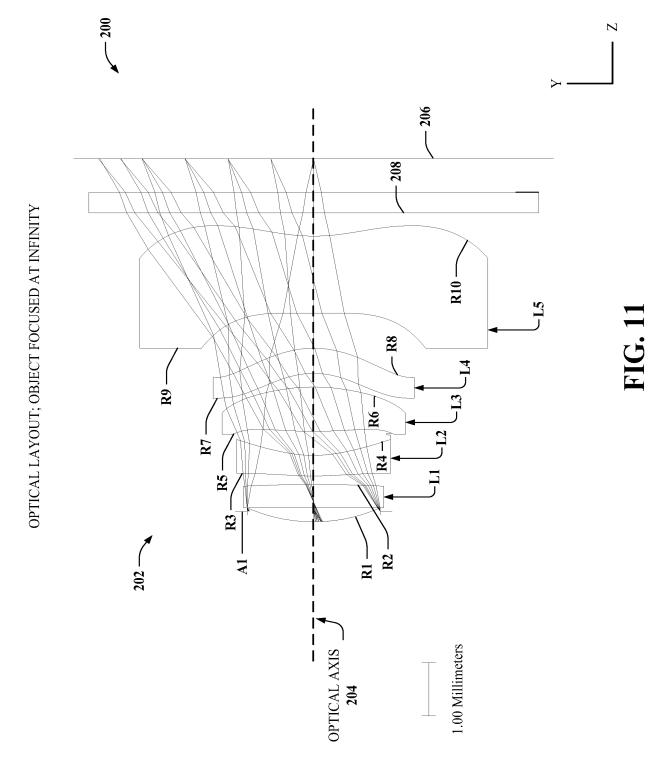


8/29



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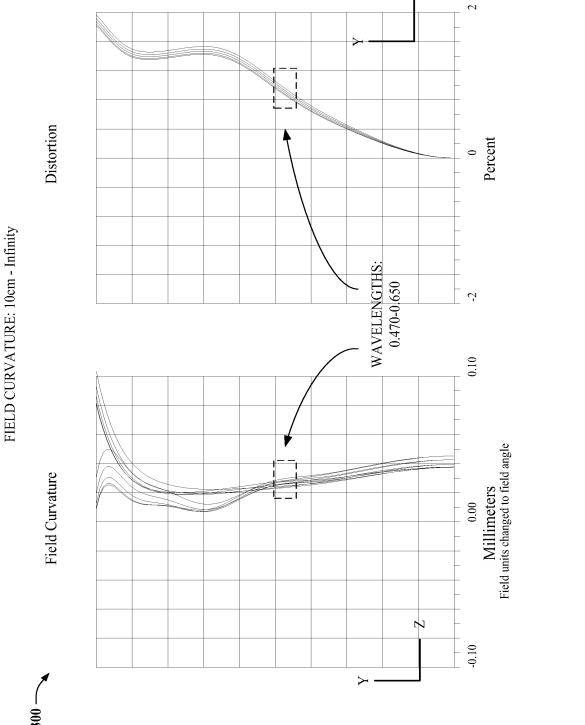


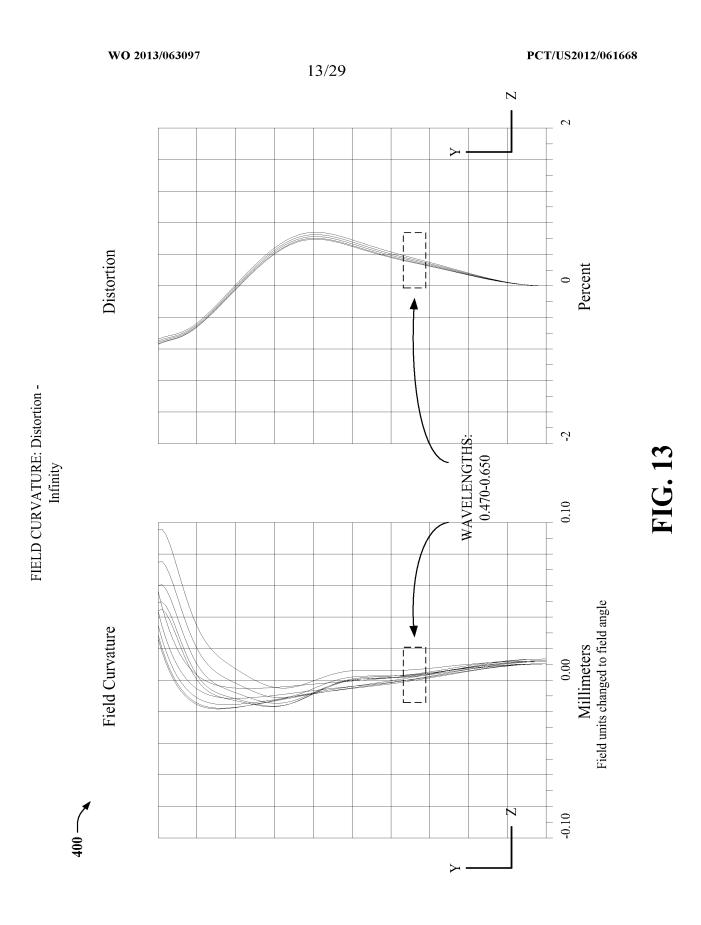


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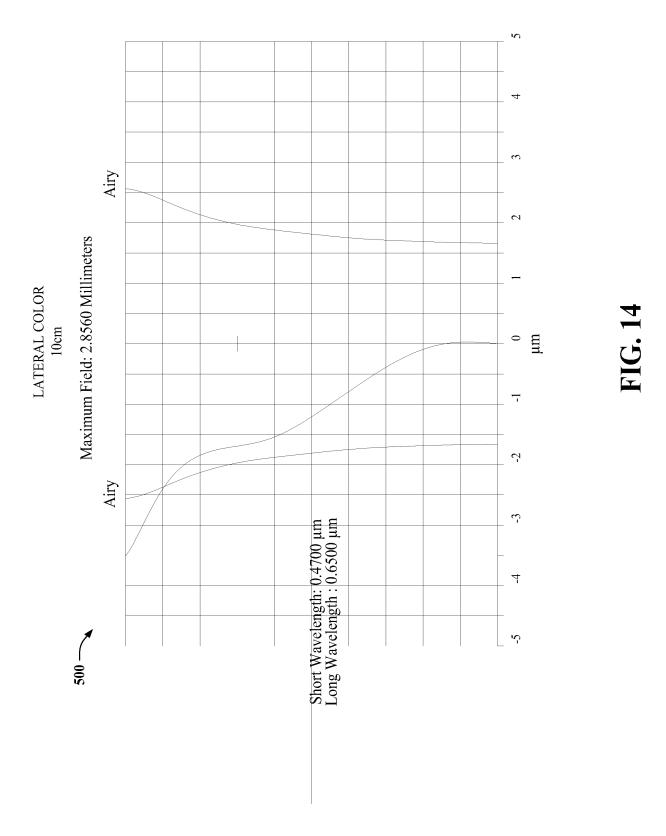
Ν



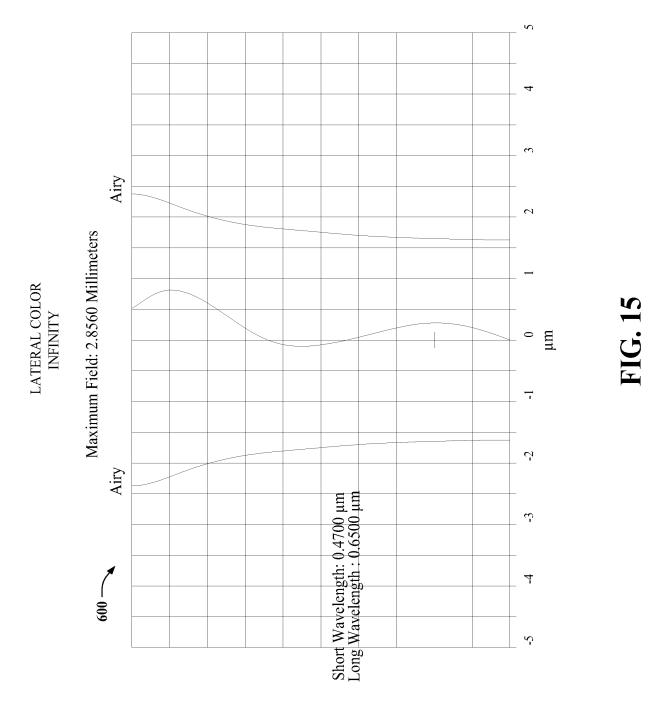




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APPL-1002 / Page 470 of 624 APPLE INC. v. COREPHOTONICS LTD.



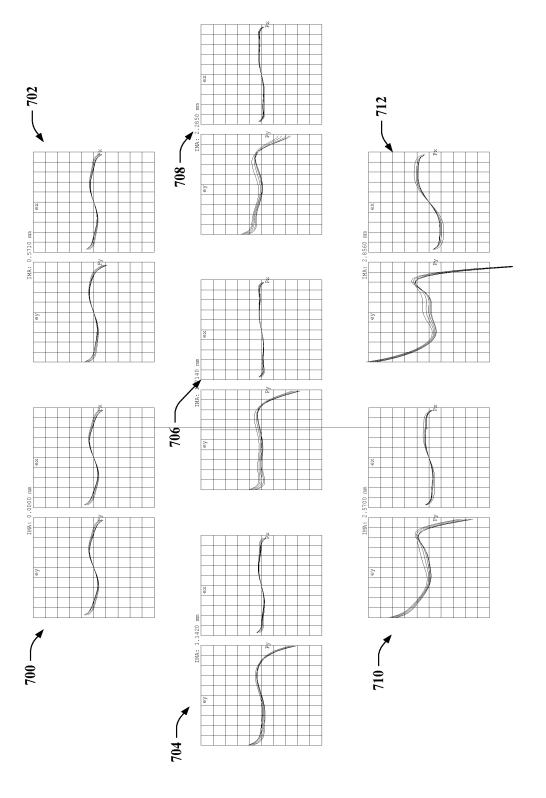
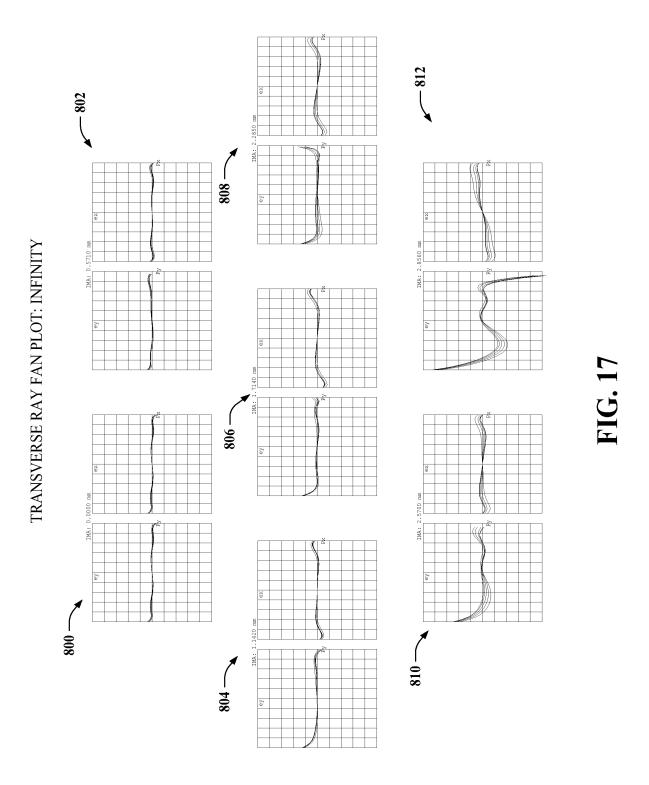
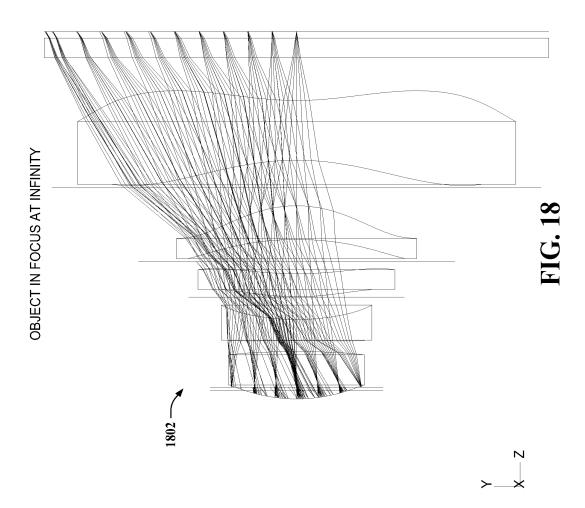


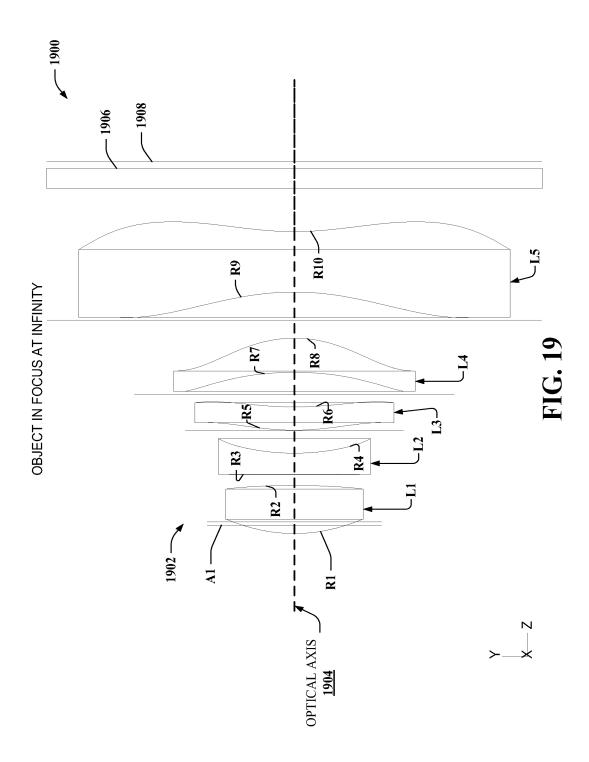
FIG. 16

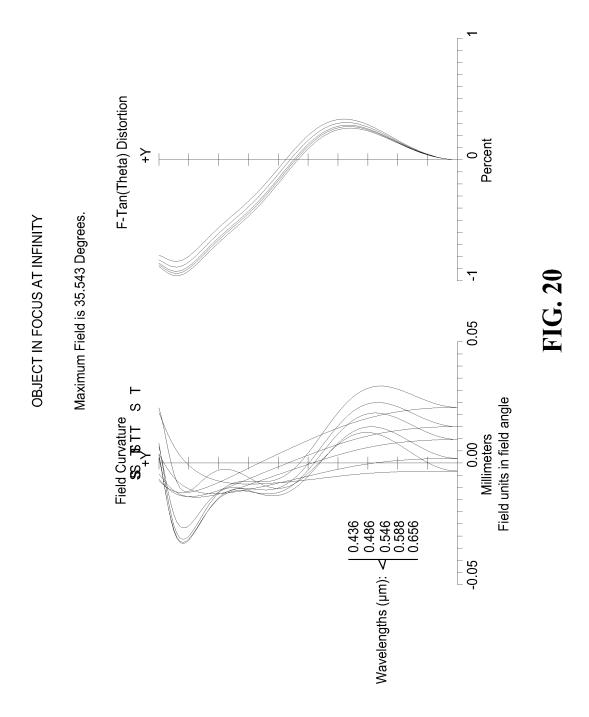


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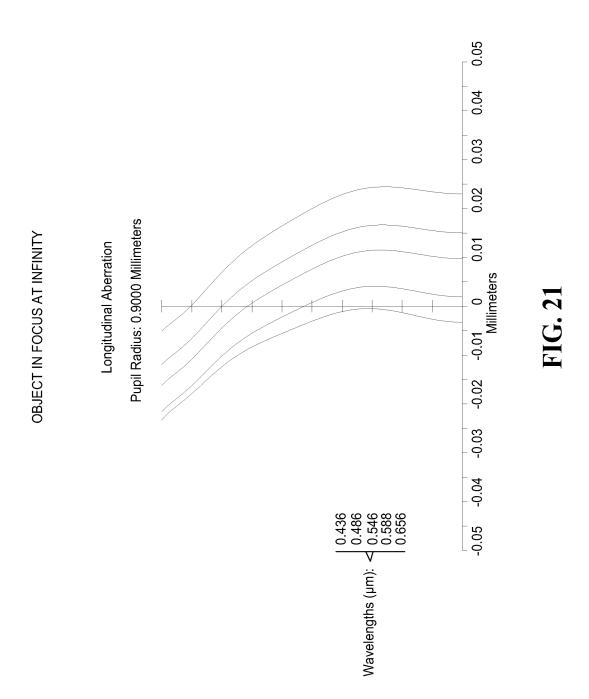




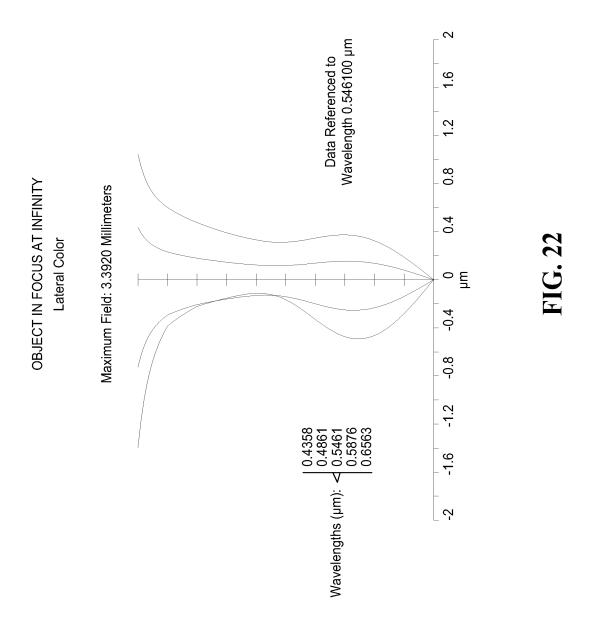




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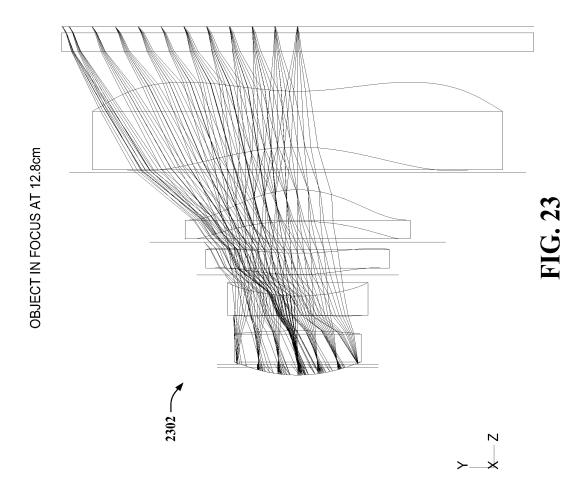


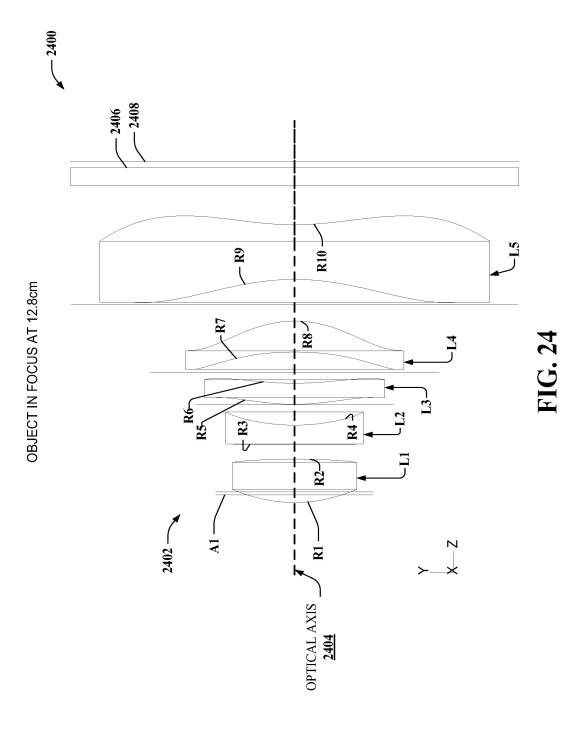
APPL-1002 / Page 476 of 624 APPLE INC. v. COREPHOTONICS LTD.

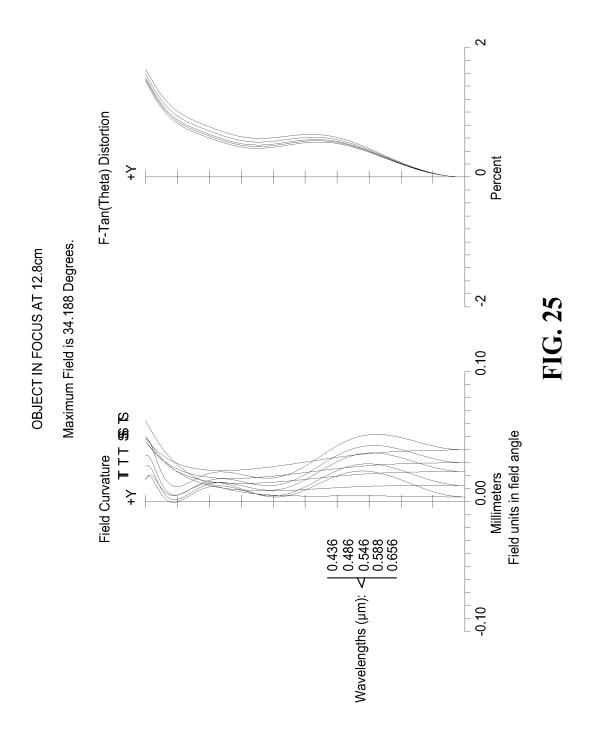


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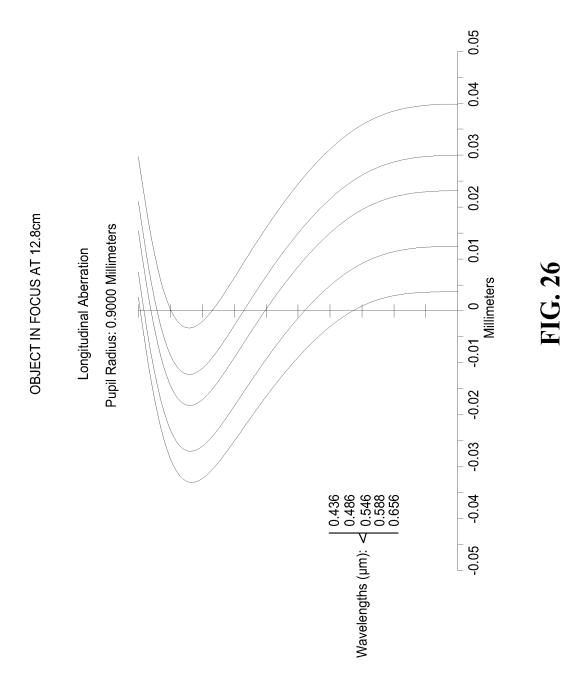








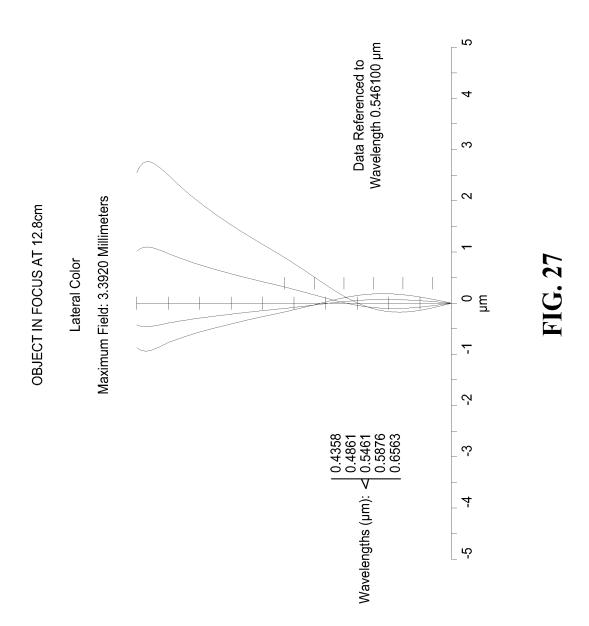
APPL-1002 / Page 480 of 624 APPLE INC. v. COREPHOTONICS LTD.



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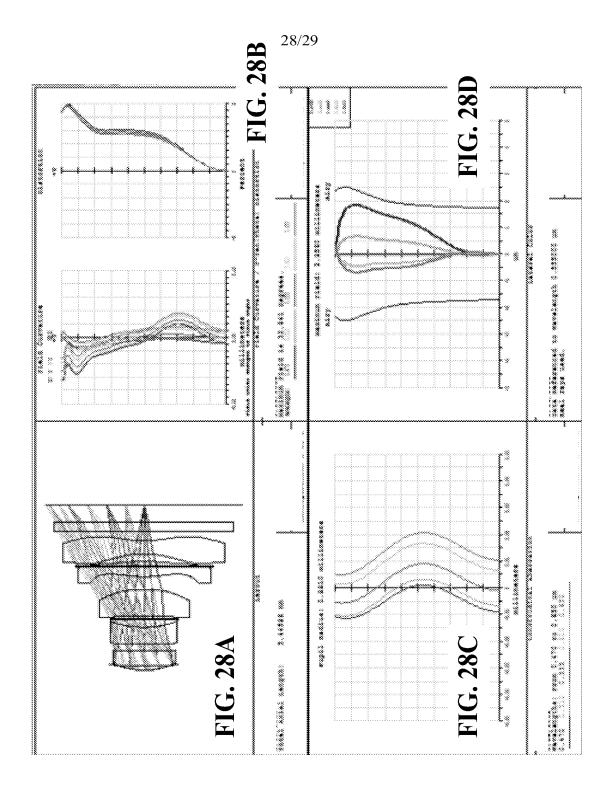
WO 2013/063097 PCT/US2012/061668

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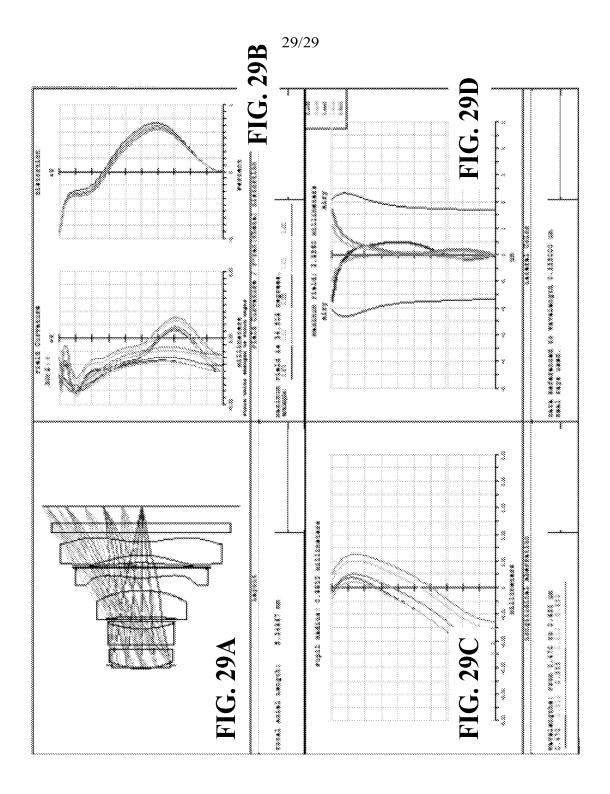


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WO 2013/063097 PCT/US2012/061668



WO 2013/063097 PCT/US2012/061668



#### **INTERNATIONAL SEARCH REPORT**

International application No PCT/US2012/061668

A. CLASSIFICATION OF SUBJECT MATTER
INV. G02B13/00 H04N5/232
ADD.,

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

EPO-Internal

Minimum documentation searched (classification system followed by classification symbols)  ${\tt G02B} ~~{\tt H04N}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	JP 2010 224521 A (KONICA MINOLTA OPTO INC) 7 October 2010 (2010-10-07)	1,14-18
Y	abstract; figure 5	6-13
Y	US 5 598 299 A (HAYAKAWA) 28 January 1997 (1997-01-28) figure 1	6-13
Α	JP 2011 209554 A (Y. SHINOHARA) 20 October 2011 (2011-10-20) figures 4,11; tables 7,19	19-30
X	JP 7 181389 A (MINOLTA CO LTD) 21 July 1995 (1995-07-21) abstract; figure 1 paragraph [0023]; table 1	31-35, 40-42

Further documents are listed in the continuation of Box C.

X See patent family annex.

- \* Special categories of cited documents :
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" documentwhich may throw doubts on priority claim(s) orwhich is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

14 March 2013

Name and mailing address of the ISA/
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax: (+31-70) 340-3016

Date of mailing of the international search report

21/03/2013

Authorized officer

Michel , Alain

Form PCT/ISA/210 (second sheet) (April 2005)

International application No. PCT/US2012/061668

### INTERNATIONAL SEARCH REPORT

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest in the invitation.  No protest accompanied the payment of additional search fees.

Form PCT/ISA/21 0 (continuation of first sheet (2)) (April 2005)

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

#### 1. claims: 1-18

Claim 1 rel ates to an imaging system having two lens groups, five lenses and front-focusi ng carri ed out by a MEMS actuator. Claims 2-18 depend on claim 1. The underlying problem to be solved is how to enable a smalllens displacement during focusing.

The special feature is the first lens group comprising a biconvex object-si delens, the focal length of which being greater than half of the focal length of the whole imaging system.

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#### 2. claims: 19-30

Independent claim 19 relates to an optical system having five lenses, focusing being carried out by moving the first lens. Claims 20-30 depend on claim 19.

The underlyi ng problem to be solved is how to reduce field curvature and distorti on.

The special feature is the lens constitution of the optical system, the first and the fourth lenses being biconvex, the second and the fifth lenses being menisci and the third lens being concave-convex.

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#### 3. claims: 31-45

Independent claim 31 relates to an imaging system having two lens groups, the first lens group for focusing and consisting of two lenses and the second lens group having three lenses. Claims 32-45 depend on claim 31. The underlying problem to be solved is how to reduce primary lateral colour.

The special feature is the second lens group comprising a fore-front lens being a meniscus that has a convex surface towards the object side.

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### **INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No PCT/US2012/061668

JP 2010224521	A	07-10-2010	CN JP JP US	101819315 2010224521 2011138175 2010220229	A A A	01-09-2010 07-10-2010 14-07-2011 02-09-2010
us <b>5598299</b>	Α	28-01 -1997	JP JP US	3141681 7294853 5598299	В2 А А	05-03 -2001 10-11 -1995 28-01 -1997
JP 2011209554	Α	20-10 -2011	NONE			
JP 7181389	Α	21-07 -1995	NONE			

Form PCT/ISA/210 (patent family annex) (April 2005)

### Optical lens

#### Abstract

The invention relates to an optical lens which sequentially comprises a front lens group with positive focal power, a diaphragm element and a rear lens group with positive focal power from the object space to the image space. The front lens group sequentially comprises a first lens and a second lens from the object space to the image space. The first lens is a biconcave lens with negative focal power. The second lens is a biconvex lens with positive focal power. The rear lens group sequentially comprises a third lens, a fourth lens and a fifth lens from the object space to the image space. A gluing lens is formed by the third lens and the fourth lens. The fifth lens is an aspheric surface lens with positive focal power, and two concave faces of the fifth lens are in the same-direction crescent shape. According to the optical lens, high pixels, small deformation and large apertures can be achieved under the condition that the requirements for low cost and miniaturization are met, a perfect image can still be kept within the temperature range of -40 DEG C to 85 DEG C, and the optical lens is particularly suitable for monitors and vehicle-mounted camera systems which work in the day and at night or under a poor illumination condition.



Electronic Acknowledgement Receipt					
EFS ID:	33783722				
Application Number:	15817235				
International Application Number:					
Confirmation Number:	7330				
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY				
First Named Inventor/Applicant Name:	Michael Dror				
Customer Number:	92342				
Filer:	Menachem Nathan				
Filer Authorized By:					
Attorney Docket Number:	COREPH-0080 US CON3				
Receipt Date:	20-SEP-2018				
Filing Date:	19-NOV-2017				
Time Stamp:	16:53:36				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment no									
File Listing:									
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS) Form (SB08)			615172					
		IDS_Lens.pdf		4e658e79c5519bcc034f7c84ee4419112bb 8c65a	no	12			
Warnings:	-			•					

Information:					
2	Transmittal Letter	IDS_TF.pdf	277361 	no	2
Warnings:			•		
Information:					
	Foreign Reference	Lens_FR.pdf	2602347	no	110
3	roleigh kelelence	Letis_FN.pui	a3ba49b7753b9e70b0353fc6e285bb9106f ab23c	110	
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	n the PDF is too large. The pages should be pper and may affect subsequent processing		tted, the pages will be re	sized upon er	ntry into the
Information:					
			4681685		
4	Non Patent Literature	Lens_Papers.pdf	c8a8ad8853971fe9772d8b90d079b6f7005 a1b30	no	48
Warnings:			•		
Information:					
		Total Files Size (in bytes)	81	76565	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 15/817,235 COREPH-0080 US CON3 Michael Dror 7330 10/22/2018 92342 7590 EXAMINER Nathan & Associates Patent Agents Ltd LESTER, EVELYN A P.O.Box 10178 Tel Aviv, 6110101 **ISRAEL** ART UNIT PAPER NUMBER 2872 NOTIFICATION DATE DELIVERY MODE 10/22/2018 ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

info@natpatent.com amirr@natpatent.com dorong@natpatent.com

	Application No. 15/817,235	Applicant(s) DROR ET AL							
Office Action Summary	Examiner EVELYN A. LESTER	Art Unit 2872	AIA (First Inventor to File) Status Yes						
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).									
Status									
1) Responsive to communication(s) filed on  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on  2a) This action is FINAL. 2b) This action is non-final.  3) An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.  4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is									
closed in accordance with the practice under E	x parte Quayle, 1935 G.D. 11, 45	)3 O.G. 213.							
Disposition of Claims*  5)  Claim(s) 1-24 is/are pending in the application.  5a) Of the above claim(s) is/are withdraw  6)  Claim(s) is/are allowed.  7)  Claim(s) 1-24 is/are rejected.  8)  Claim(s) is/are objected to.  9)  Claim(s) are subject to restriction and/or  * If any claims have been determined allowable, you may be eliparticipating intellectual property office for the corresponding aphttp://www.uspto.gov/patents/init_events/pph/index.jsp or send  Application Papers  10) The specification is objected to by the Examiner  11) The drawing(s) filed on 12-31-17 is/are: a) and Applicant may not request that any objection to the ore Replacement drawing sheet(s) including the correction.	vn from consideration.  r election requirement.  igible to benefit from the Patent Pros polication. For more information, plea an inquiry to PPHfeedback@uspto.c  r.  ccepted or b) □ objected to by the drawing(s) be held in abeyance. See	ase see gov. ne Examiner. e 37 CFR 1.85	(a).						
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  ** See the attached detailed Office action for a list of the certified copies not received.									
Attachment(s)  1) Notice of References Cited (PTO-892)	3) ☐ Interview Summary Paper No(s)/Mail Da								
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 11-19-17; 12-27-17; 2-22-18; 7-3-18; 7	SB/08b)								

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

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The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

#### **DETAILED ACTION**

#### Information Disclosure Statement

1. In the Information Disclosure Statement (IDS) filed on 9-20-18, having 12 pages, several of the documents have been lined through because they are repeat recitations from other filed IDS's.

### Specification

2. The disclosure is objected to because of the following informalities: The status of related U.S. patent applications need to be updated by amendment.

Appropriate correction is required.

#### Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have

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been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§ 706.02(l)(1) - 706.02(l)(3) for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For

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more information about eTerminal Disclaimers, refer to

www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

4. Claims 1-24 are provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-30 of copending Application No. 15/976,391 (also known as U.S. Patent Pub. 2018/0275374 A1). Although the claims at issue are not identical, they are not patentably distinct from each other because the application claimed inventions are but obvious variations of each other.

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented.

The application claimed invention(s) recite(s) the same structural limitations in their independent claims and/or the dependent claims dependent on those independent claims, making the scope of the claimed inventions, of each application, the same or at least so similar that one of ordinary skill in the art of lens assemblies would understand that each claimed invention is essentially the same as the other claimed invention(s). As noted for example the limitation of the "total track length (TTL) of 6.5 or less" is the same in the claimed inventions of the other patent applications. Further, each application claimed invention has 5 lens elements, which include a positive first lens, a negative second lens and a negative third, regardless of the lens labels provided in the recitation of the claimed invention. Also the fourth and fifth lens elements each have an

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opposite refractive power, again regardless of lens labeling, i.e. such as  $L_{2-1}$  and  $L_{2-2}$  are the same lenses as the fourth and fifth lens elements recited in the other application(s) claimed invention(s). Additionally, all of the same conditional recitations are the same as recited in each claimed invention of the application(s).

Therefore, the claimed invention of the present application is hereby anticipated by or at the very least very obvious to one of ordinary skill in the art, as being a similar invention, especially in scope.

5. Claims 1-24 are provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-12 of copending Application No. 15/976,422 (also known as U.S. Patent Pub. 2018/0275375 A1). Although the claims at issue are not identical, they are not patentably distinct from each other because the application claimed invention and the patent claimed invention are but obvious variations of each other.

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented.

The application claimed invention(s) recite(s) the same structural limitations in their independent claims and/or the dependent claims dependent on those independent claims, making the scope of the claimed inventions, of each application, the same or at least so similar that one of ordinary skill in the art of lens assemblies would understand

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that each claimed invention is essentially the same as the other claimed invention(s). As noted for example the limitation of the "total track length (TTL) of 6.5 or less" is the same in the claimed inventions of the other patent applications. Further, each application claimed invention has 5 lens elements, which include a positive first lens, a negative second lens and a negative third, regardless of the lens labels provided in the recitation of the claimed invention. Also the fourth and fifth lens elements each have an opposite refractive power, again regardless of lens labeling, i.e. such as  $L_{2-1}$  and  $L_{2-2}$  are the same lenses as the fourth and fifth lens elements recited in the other application(s) claimed invention(s). Additionally, all of the same conditional recitations are the same as recited in each claimed invention of the application(s).

Therefore, the claimed invention of the present application is hereby anticipated by or at the very least very obvious to one of ordinary skill in the art, as being a similar invention, especially in scope.

6. Claims 1-24 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 9,402,032 B2. Although the claims at issue are not identical, they are not patentably distinct from each other because the application claimed invention and the patent claimed invention are but obvious variations of each other.

The application claimed invention recites the same structural limitations in their independent claims and/or the dependent claims of those independent claims as compared to the patent claimed invention, making the scope of the claimed inventions,

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of each, the same or at least so similar that one of ordinary skill in the art of lens assemblies would understand that each claimed invention is essentially the same as the other claimed invention(s). As noted for example the limitation of the "total track length (TTL) of 6.5 or less" is the same in the claimed inventions of each the application claimed invention and the patent claimed invention. Further, each application claimed invention and patent claimed invention has 5 lens elements, which include a positive first lens, a negative second lens and a negative third, regardless of the lens labels provided in the recitation of the claimed invention. Also the fourth and fifth lens elements each have an opposite refractive power, again regardless of lens labeling, i.e. such as L<sub>2-1</sub> and L<sub>2-2</sub> are the same lenses as the fourth and fifth lens elements recited in the application claimed invention and the patent claimed invention. Additionally, all of the same conditional recitations are the same as recited in each claimed invention of the application(s).

Therefore, the claimed invention of the present application is hereby anticipated by or at the very least very obvious to one of ordinary skill in the art, as being a similar invention as compared to the patent claimed invention, especially in scope.

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7. Claims 1-24 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1- of U.S. Patent No. 9,568,712 B2. Although the claims at issue are not identical, they are not patentably distinct from each other because the application claimed invention and the patent claimed invention are but obvious variations of each other.

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The application claimed invention recites the same structural limitations in their independent claims and/or the dependent claims of those independent claims as compared to the patent claimed invention, making the scope of the claimed inventions, of each, the same or at least so similar that one of ordinary skill in the art of lens assemblies would understand that each claimed invention is essentially the same as the other claimed invention(s). As noted for example the limitation of the "total track length (TTL) of 6.5 or less" is the same in the claimed inventions of each the application claimed invention and the patent claimed invention. Further, each application claimed invention and patent claimed invention has 5 lens elements, which include a positive first lens, a negative second lens and a negative third, regardless of the lens labels provided in the recitation of the claimed invention. Also the fourth and fifth lens elements each have an opposite refractive power, again regardless of lens labeling, i.e. such as  $L_{2-1}$  and  $L_{2-2}$  are the same lenses as the fourth and fifth lens elements recited in the application claimed invention and the patent claimed invention. Additionally, all of the same conditional recitations are the same as recited in each claimed invention of the application(s).

Therefore, the claimed invention of the present application is hereby anticipated by or at the very least very obvious to one of ordinary skill in the art, as being a similar invention as compared to the patent claimed invention, especially in scope.

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8. Claims 1-24 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-5 of U.S. Patent No. 9,857,568 B2. Although the claims at

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issue are not identical, they are not patentably distinct from each other because the application claimed invention and the patent claimed invention are but obvious variations of each other.

The application claimed invention recites the same structural limitations in their independent claims and/or the dependent claims of those independent claims as compared to the patent claimed invention, making the scope of the claimed inventions, of each, the same or at least so similar that one of ordinary skill in the art of lens assemblies would understand that each claimed invention is essentially the same as the other claimed invention(s). As noted for example the limitation of the "total track length (TTL) of 6.5 or less" is the same in the claimed inventions of each the application claimed invention and the patent claimed invention. Further, each application claimed invention and patent claimed invention has 5 lens elements, which include a positive first lens, a negative second lens and a negative third, regardless of the lens labels provided in the recitation of the claimed invention. Also the fourth and fifth lens elements each have an opposite refractive power, again regardless of lens labeling, i.e. such as L<sub>2-1</sub> and L<sub>2-2</sub> are the same lenses as the fourth and fifth lens elements recited in the application claimed invention and the patent claimed invention. Additionally, all of the same conditional recitations are the same as recited in each claimed invention of the application(s).

Therefore, the claimed invention of the present application is hereby anticipated by or at the very least very obvious to one of ordinary skill in the art, as being a similar invention as compared to the patent claimed invention, especially in scope.

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#### Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EVELYN A. LESTER whose telephone number is (571)272-2332. The examiner can normally be reached on M-F, subject to an increased flex schedule.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky L. Mack can be reached on (571) 272-2333. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/EVELYN A. LESTER/ Primary Examiner Art Unit 2872

#### Applicant(s)/Patent Under Application/Control No. Reexamination 15/817,235 DROR ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 **EVELYN A. LESTER** 2872 **U.S. PATENT DOCUMENTS** Document Number Date Name **CPC Classification** US Classification Country Code-Number-Kind Code MM-YYYY US-9,402,032 B2 07-2016 Dror; Michael G02B9/60 1/1 Α \* US-9,568,712 B2 02-2017 Dror; Michael G02B9/60 1/1 В US-9,857,568 B2 01-2018 Dror; Michael G02B13/0045 1/1 С D US-2018/0275374 A1 09-2018 Dror; Michael G02B13/0045 1/1 US-2018/0275375 A1 09-2018 Dror; Michael G02B13/0045 1/1 Е US-F US-G US-Н US-US-US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date **CPC Classification** Name Country Country Code-Number-Kind Code MM-YYYY Ν 0 Ρ Q R s Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20181015

15/817,235 - GAU: 2872

Receipt date: 02/22/2018

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Michae	el Dror	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for businessess ander or of K 1.00)	Examiner Name			
	Attorney Docket Number		COREPH-080 US CON3	

				U.S.	PATENTS	
Examiner Initial*	Cite No	Patent Nillmher		Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/E.A.L/	1	20140029116	A1	2014-01-30	Largan Precision Co Ltd	

Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor	el Dror				
Art Unit					
Examiner Name					
Attorney Docket Numb	er	COREPH-080 US C	ON3		

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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Publication Date	Name of Patentee Applicant of cited Document	where Pass	e Relevant ages or Relevant es Appear	ТБ
/E.A.L/	1	2008064884	JP		2008-03-21	Kyocera			
/E.A.L/	2	2008122900	JР		2008-05-29	Fujinon			
/E.A.L,	<sup>7</sup> 3	<b>2</b> 011138175	УP		2011-07-14	Konica			
/E.A.L/	4	1976016135	JP		1976-05-21				
Æ.A.L/	5	2007306282	JР		2007-11-22	CITIZEN ELECTRO CO LTD	NICS		
/E.A.L/	6	1995113952	JP		1995-05-02	Nikon			
/E.A.L/	7	1966006865	JP						
/E.A.L/	8	20100040357	KR		2010-04-20	LG Innotek			

15/817,235 - GAU: 2872 Receipt date: 02/22/2018 **Application Number** 15817235 Filing Date 2017-11-19 INFORMATION DISCLOSURE First Named Inventor Michael Dror STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number COREPH-080 US CON3 E.A.L/ ΚR 20100119673 2010-11-10 Kolen If you wish to add additional Foreign Patent Document citation information please click the Add button **NON-PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials\* publisher, city and/or country where published. 1 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** Date Considered 09/28/2018 **Examiner Signature** /EVELYN A LESTER/ \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO

Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

( Not for submission under 37 CFR 1.99)

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Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor Micha		el Dror			
Art Unit					
Examiner Name					
Attorney Docket Numb	er	COREPH-080 US	CON3		

		CERTIFICATION	N STATEMENT							
Plea	ase see 37 CFR <sup>,</sup>	1.97 and 1.98 to make the appropriate selecti	ion(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR	Ł									
	foreign patent of after making rea any individual d	f information contained in the information doffice in a counterpart foreign application, ar asonable inquiry, no item of information contidesignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	nd, to the knowledge of that interest in the information dispersion dispersions.	he person signing the certification isclosure statement was known to						
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Nan	me/Print	MENACHEM NATHAN	Registration Number	65392						
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA** 

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#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### **BIB DATA SHEET**

### **CONFIRMATION NO. 7330**

15/817,235	SERIAL NUMB	BER	FILING O			CLASS	GR	OUP ART	UNIT	ATTO	DRNEY DOCKET			
APPLICANTS Corephotonics Ltd., Tel-Aviv, ISRAEL; INVENTORS Michael Dror, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL; Title application is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CON of 14/363,319 11/04/2015 PAT 9402032 which is a CON of 14/363,319 11/04/2015 PAT 9402032 which is a 371 of PCT/IB2014/062465 06/20/2014 which claims benefit of 61/842,987 07/04/2013 (')Data provided by applicant is not consistent with PTO records.  **FOREIGN APPLICATIONS***  **IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** 12/06/2017  Foreign Priority claimed	15/817,235							2872		CO	REPH-0080 US			
Corephotonics Ltd., Tel-Aviv, ISRAEL; INVENTORS Michael Dror, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL;  **CONTINUING DATA*****  This application is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CIP of 15/170,472 06/01/2016 PAT 9568712 which is a CON of 14/932,319 11/04/2015 PAT 9402032 which is a 371 of PCT/IB2014/062465 06/20/2014 which is a 371 of PCT/IB2014/062465 06/20/2014 which claims benefit of 61/842,987 07/04/2013 (*)Data provided by applicant is not consistent with PTO records.  **FOREIGN APPLICATIONS****  ***IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY** 12/06/2017  Foreign Priority claimed			RUL	E							CON3			
INVENTORS  Michael Dor, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL; This application is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CIP of 15/170,472 06/01/2016 PAT 9568712 which is a CON of 14/387,924 09/19/2014 PAT 9402032 which is a CON of 14/367,924 09/19/2014 ABN which is a 371 of PCT/IB2014/062465 06/20/2014 which claims benefit of 61/842,987 07/04/2013 (')Data provided by applicant is not consistent with PTO records.  **FOREIGN APPLICATIONS  ***IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ** 12/06/2017  Foreign Priority claimed														
Michael Dror, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL;  ***CONTINUING DATA***  This application is a CON of 15/418,925 01/30/2017 PAT 9857568 which is a CON of 15/470,472 06/01/2016 PAT 9568712 which is a CON of 14/367,924 09/19/2014 ABN which is a 371 of PCT/182014/062465 06/20/2014 which claims benefit of 61/842,987 07/04/2013 (*)Data provided by applicant is not consistent with PTO records.  ***FOREIGN APPLICATIONS***  ***IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ** 12/06/2017  Foreign Priority claimed														
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Foreign Priority claimed														
35 USC 119(a-d) conditions met		-	EIGN FILING	G LICENS	E GRAI	NTED ** ** SMA	LL E	NIIIY**						
ADDRESS  Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 ISRAEL  TITLE  MINIATURE TELEPHOTO LENS ASSEMBLY  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT 890  Allowance ISRAEL  2 24 3  ADDRESS  OLAMIS  CEAMIS  CAMIS  CEAMIS  CEA			· ·	- Maria										
ADDRESS  Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 ISRAEL  TITLE  MINIATURE TELEPHOTO LENS ASSEMBLY  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:    All Fees     1.16 Fees (Filing)     1.17 Fees (Processing Ext. of time)     1.18 Fees (Issue)     Other     Other	, ,			Allowa	ance		DRA 			_				
Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 ISRAEL  TITLE  MINIATURE TELEPHOTO LENS ASSEMBLY  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:    All Fees     1.16 Fees (Filing)     1.17 Fees (Processing Ext. of time)     1.18 Fees (Issue)     Other     Other				Initials		ISRAEL		2	24	-	3			
P.O.Box 10178 Tel Aviv, 6110101 ISRAEL  TITLE  MINIATURE TELEPHOTO LENS ASSEMBLY  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:    All Fees     1.16 Fees (Filing)     1.17 Fees (Processing Ext. of time)     1.18 Fees (Issue)     Other	ADDRESS													
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BIB (Rev. 05/07).

Receipt date: 07/03/2018

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor Mich		hael Dror	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not let oublinesien ander et et it nee)	Examiner Name			
	Attorney Docket Numb	er	COREPH-0080 US CON3	

					U.S.I	PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	of cited Document		Relev	s,Columns,Lines where vant Passages or Releves es Appear				
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			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS						
Examiner Initial*	Cite N	Cite No Publication Kind Code <sup>1</sup> Publication Name of Patentee or Application Of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear								
/E.A.L/	1	20140293453	A1	2014-10	)-02	Tatsuyuki OGI	NO						
If you wish	n to add	l additional U.S. Publis	shed Ap	plication	citation	n information p	olease click the Add	d butto	n.				
				FOREIG	GN PAT	ENT DOCUM	ENTS						
Examiner Initial*		Foreign Document Number³	Country Code <sup>2</sup> i			Publication Date	Name of Patentee Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5			
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Examiner Initials*	I fonce magazine inurnal serial symposium catalog etc) date nades(s) volume-issue number(s)												

15/817,235 - GAU: 2872 Receipt date: 07/03/2018 **Application Number** 15817235 Filing Date 2017-11-19 INFORMATION DISCLOSURE First Named Inventor Michael Dror STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number COREPH-0080 US CON3 1 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** /EVELYN A LESTER/ 09/28/2018 **Examiner Signature** Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if

English language translation is attached.

Receipt date: 07/03/2018 15/817,235 - GAU: 2872

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

			100 CD / CD 100 T	/ 200	 ~ ~ ~ ~
Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor	Micha	el Dror			
Art Unit					
Examiner Name					
Attorney Docket Numb	er	COREPH-0080 U	S CON3		

	CERTIFICATION STATEMENT										
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):										
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).										
OR	OR										
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).										
	See attached ce	ertification statement.									
	The fee set forth	n in 37 CFR 1.17 (p) has been	submitted here	with.							
×	A certification st	atement is not submitted here									
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.										
Sigr	nature	/Menachem Nathan/		Date (YYYY-MM-DD)	2018-07-03						
Nan	ame/Print MENACHEM NATHAN Registration Number 65392										
	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR										

1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA** 

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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15/817,235 - GAU: 2872

Receipt date: 09/20/2018

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031

mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Micha	el Dror	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not lot submission under 57 of K 1.55)	Examiner Name			
	Attorney Docket Number		COREPH-0080 US CON3	

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Application Number		15817235
Filing Date		2017-11-19
First Named Inventor	Micha	nel Dror
Art Unit		
Examiner Name		
Attorney Docket Numb	er	COREPH-0080 US CON3

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Application Number		15817235
Filing Date		2017-11-19
First Named Inventor	Micha	nel Dror
Art Unit	•	
Examiner Name		
Attorney Docket Number		COREPH-0080 US CON3

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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Michael Dror			
Art Unit				
Examiner Name				
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Filing Date		2017-11-19	
First Named Inventor	Micha	el Dror	
Art Unit			
Examiner Name			
Attorney Docket Number		COREPH-0080 US CON3	

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Attorney Docket Number

COREPH-0080 US CON3

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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Releva		Lines where ges or Relevant
	1	20140022436	A1	2014-01-23	Kim et al.			
	2	20140204480	A1	2014-07-24	Jo et al.			
	3	20050141103	A1	2005-06-30	Kiichiro Nishina			

Application Number		15817235
Filing Date		2017-11-19
First Named Inventor	Micha	nel Dror
Art Unit	•	
Examiner Name		
Attorney Docket Numb	er	COREPH-0080 US CON3

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Attorney Docket Number

COREPH-0080 US CON3

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	15		20140285907	A1	2014-09-25		Tang et al.			
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	17		20120105708	A1	2012-05-03 Yasuaki Hagiwara					
	18		20150116569	A1	2015-04	2015-04-30 Romeo I. Mercado				
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	21		20160313537	A1	2016-10	)-27	Romeo I. Mercado			
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Attorney Docket Number

COREPH-0080 US CON3

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If you wis	h to ad	d add	itional non-patent literature document citation information pl	lease click the Add b	utton Add						
			EXAMINER SIGNATURE								
Examiner	Signa	ture	/EVELYN A LESTER/	Date Considered	09/28/2018						
			reference considered, whether or not citation is in conforma mance and not considered. Include copy of this form with r								
Standard ST <sup>4</sup> Kind of doc	Γ.3). <sup>3</sup> Fo cument b	or Japa by the a	O Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office innese patent documents, the indication of the year of the reign of the Emperopriate symbols as indicated on the document under WIPO Standard String is attached.	eror must precede the seri	al number of the patent docu	ument.					

Receipt date: 09/20/2018 15/817,235 - GAU: 2872 **Application Number** 15817235 Filing Date 2017-11-19 INFORMATION DISCLOSURE First Named Inventor Michael Dror Art Unit

**Examiner Name** 

STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Attorney Docket	Number	COREPH-0080 US CON3

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a
foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Menachem Nathan/	Date (YYYY-MM-DD)	2018-09-20
Name/Print	Menachem Nathan	Registration Number	65392

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt date: 09/20/2018 15/817,235 - GAU: 2872

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law
  enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

INFORMATION DISCLOSURE

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
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15817235

2017-11-19

STATEMENT BY APPLICANT			First Named Inventor Michael Dror					
		BY APPLICA ion under 37 CFR 1.		Art Unit				
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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	ssue Date Name of Patentee of Applicant			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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#### **NON-PATENT LITERATURE DOCUMENTS**

**FOREIGN PATENT DOCUMENTS** 

Publication

1979-01-01

Kind

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Code4 Date

Examiner Initials\*

Cite No

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.

Examiner

Initial\*

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Cite

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Foreign Document

Number<sup>3</sup>

1979003617

Name of Patentee or

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Document

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		Application Number		15817235		
	Filing Date		2017-11-19			
	DISCLOSURE	First Named Inventor	Michae	el Dror		
	BY APPLICANT under 37 CFR 1.99)	Art Unit				
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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor Micha		nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-0080 US CON3		

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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	See attached ce	rtification statement.						
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.					
$\boxtimes$	A certification sta	atement is not submitted herewith.						
ı	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	nature	/Menachem Nathan/	Date (YYYY-MM-DD)	2018-07-09				
Nan	ne/Print	MENACHEM NATHAN	Registration Number	65392				

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  Member with respect to the subject matter of the record.
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### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	<u> </u>	Default Operator	Plurals	Time Stamp
L1	11	3 ;	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 19:48
L2	2	3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 19:50
L3	4	y	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 19:51
L4	2		US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 19:58
L5	2	21	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:06

### **EAST Search History (Interference)**

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15/817,235 - GAU: 2872

Doc code: IDS

Receipt date: 09/20/2018

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031

mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		15817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor Michael		el Dror	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 57 Of K 1.55)	Examiner Name			
	Attorney Docket Number		COREPH-0080 US CON3	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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	5	9438792	B2	2016-09-06	Nakada et al.	
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gaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	<del>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>	<del>823322</del> 4	<del>- 82</del>	<del>2012-07-31</del>	Chun Shan Chen	
	8	8854745	B1	2014-10-07	Wei-Yu Chen	

		13/01/.233 = GAU: 20/2			
Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor	Micha	nel Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 US CON3			

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		<u> </u>			
Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor Micha		nel Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 US CON3			

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Application Number		15817235			
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First Named Inventor Micha		nel Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 US CON3			

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		15/81/.235 - GAU: 28/2
Application Number		15817235
Filing Date		2017-11-19
First Named Inventor Micha		nel Dror
Art Unit	•	
Examiner Name		
Attorney Docket Number		COREPH-0080 US CON3

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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patent of cited Docume		Releva		Lines where ges or Releva
	1	20140022436	A1	2014-01-23	Kim et al.				
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Application Number		15817235
Filing Date		2017-11-19
First Named Inventor	Micha	nel Dror
Art Unit		
Examiner Name		
Attorney Docket Numb	er	COREPH-0080 US CON3

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and the same of the same	eceipt date: 09/20/2018				Number		15817235	15/817,235 - GAU: 2872		
INFORMATION DISCLOSURE				Filing Date	Filing Date			2017-11-19		
				First Named	First Named Inventor Michael		ael Dror			
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		15	20140285907	A1	2014-09	)-25	Tang et al.						
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		20	20160085089	A1	2016-03-24		Romeo I. Merc	ado					
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Receipt date: 09/20/2018			15	/817,235 -	GAU:	2872
•	Application Number		15817235	•		
	Filing Date		2017-11-19			
INFORMATION DISCLOSURE	First Named Inventor	Micha	hael Dror			
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit					
	Examiner Name					

Attorney Docket Number

COREPH-0080 US CON3

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	2	201310628 <del>9</del>	JP	A	2013-05-30	Konno et al.		
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**Examiner Name** 

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	6	Mobile	Mobile Platform Optical Design, Peter P. Clark, 2014, 7 pages							
<b>If</b> you wi	sh to a	dd addi	litional non-patent literature document citation informa	tion please click the Add b	utton Add					
			EXAMINER SIGNATUR	₹E						
Examine	er Signa	ature	/EVELYN A LESTER/	Date Considered	09/28/2018					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
Standard 8	ST.3). <sup>3</sup> l	For Japai	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Entranses patent documents, the indication of the year of the reign of the	e Emperor must precede the seria	al number of the patent document					

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English language translation is attached.

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First Named Inventor	Micha	nel Dror
Art Unit		
Examiner Name		
Attorney Docket Number		COREPH-0080 US CON3

CFRT			

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a
foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Menachem Nathan/	Date (YYYY-MM-DD)	2018-09-20
Name/Print	Menachem Nathan	Registration Number	65392

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
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- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law
  enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Receipt date: 12/27/2017

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (03-15)
Approved for use through 07/31/2016, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		15817235
	Filing Date		2017-11-19
INFORMATION DISCLOSURE	First Named Inventor	Micha	nel Dror
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	COREPH-0080 US CON3
	Attorney Docket Numb	er	COREPH-0080 US CON3

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Receipt date: 12/27/2017 15/817,235 - GAU: 2872 **Application Number** 15817235 Filing Date 2017-11-19 INFORMATION DISCLOSURE First Named Inventor Michael Dror STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number COREPH-0080 US CON3 1 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Date Considered Examiner Signature** /EVELYN A LESTER/ 09/28/2018 \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor	Micha	el Dror			
Art Unit					
Examiner Name					
Attorney Docket Numb	er	COREPH-0080 U	S CON3		

	CERTIFICATION STATEMENT					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the app	oropriate selecti	on(s):		
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
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	foreign patent o after making rea any individual d	ffice in a counterpart foreign sonable inquiry, no item of ir	application, an	id, to the knowledge of the interest in the information d	cited in a communication from a he person signing the certification isclosure statement was known to illing of the information disclosure	
	See attached ce	rtification statement.				
	The fee set forth	in 37 CFR 1.17 (p) has been	submitted here	with.		
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1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA** 

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  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
15817235	DROR ET AL.
Examiner	Art Unit
EVELYN A LESTER	2872

CPC- SEARCHED		
Symbol	Date	Examiner
G02B 13/0045; G02B 9/60; G02B 27/0025; G02B 5/005; G02B 13/02; G02B 1/041; G02B 13/002; G02B9/00; G02B 27/646; H04N 2201/00; Y10T 29/4913.	10-14-18	EAL

CPC COMBINATION SETS - SEARCHED					
Symbol	Date	Examiner			

	US CLASSIFICATION SEARCHE	ED .	
Class	Subclass	Date	Examiner
359	714, 739, 740, 763, 764	10-14-18	EAL

<sup>\*</sup> See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

SEARCH NOTES		
Search Notes	Date	Examiner
EAST Search: USPAT, US=PGPUB, JPO, EPO, DERWENT, IBM-TDB.	10-14-18	EAL
Classification searches in CPC and USPC, crossed with text search.	10-14-18	EAL
Inventor and Assignee searches in EAST	10-14-18	EAL

INTERFERENCE SEARCH								
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner					
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/EVELYN A LESTER/ Primary Examiner.Art Unit 2872

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### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp	
L1	1750645	lens\$2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L2	912007	object with image	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L3	257631	total near4 length	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L4	100576	aspheric\$6 or non-spheric\$6 or nonspheric\$6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L5	1511172	positive with negative	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L6	407792	optical near2 axis	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L7	6591	L1 and L2 and L3 and L4 and L5 and L6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L8	167037	focal near2 length	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L9	21420	fifth near2 lens	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	
L10	7216911	plastic or resin	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:16	

L11	167037	focal near2 length	US-PGPUB;	OR	ON	2018/10/14
			USPAT; EPO; JPO; DERWENT; IBM_TDB			20:16
L12	88558	meniscus	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:17
L13	12468	359/713,714,715-717,739,740,745- 748,754-795.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:17
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L15	61	corephotonics.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:18
L16	46	dror-michael.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:18
L17	100	goldenberg-ephraim.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:19
L18	113	shabtay-gal.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:19
L19	2618	8 and 9 and 10 and 11 and 12	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:19
L20	1306	7 and 19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:19
L21	547	13 and 20	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:19
L22	794	14 and 20	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2018/10/14 20:20

L23 919	21 or 22	US-PGPUB;	OR	ON	2018/10/14
		USPAT; EPO;			20:20
		JPO;			
		DERWENT;			
		IBM_TDB			

### **EAST Search History (Interference)**

< This search history is empty>

10/14/2018 8:21:33 PM

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	15817235	DROR ET AL.
	Examiner	Art Unit
	EVELYN A LESTER	2872

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	CLAIM						DATE				
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	1		✓								
	2		✓								
	3		✓								
	4		✓								
	5		✓								
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U.S. Patent and Trademark Office Part of Paper No.: 20181015

Receipt date: 11/19/2017 15/817,235 - GAU: 2872

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		15817235	
INFORMATION DISCLOSURE	Filing Date		2017-11-19	
	First Named Inventor	med Inventor Michael Dror		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not let submission ander et et it nee)	Examiner Name			
	Attorney Docket Number	er	COREPH-0080 US CON3	

	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1	8395851		2013-03-12	Tang et al.				
	2	8508860		2013-08-13	Tang et al.				
	3	8072695		2011-12-06	Lee et al.				
	4	7826151		2010-11-02	Tsung-Han Tsai				
	5	5946142	A	1999-08-31	Hirata et al.				
	6	8233224	B2	2012-07-31	Chen				
	7	8310768	B2	2012-11-13	Lin et al.				
	8	5172235	A	1992-12-15	Wilm et al				

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Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor	Micha	ael Dror		
Art Unit				
Examiner Name				
Attorney Docket Numb	er	COREPH-0080 U	S CON3	

	9	8046026	B2	2011-10-25	Коа	
	10	8731390	B2	2014-05-20	Goldenberg et al.	
If you wis	h to add	additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
					CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20100254029		2010-10-07	Yoshikazu Shinohara	
	2	20120314296	A1	2012-12-13	Shabtay et al.	
	3	20070229987	A1	2007-10-04	Shinohara	
	4	20130038947	A1	2013-02-14	Tsai et al.	
	5	20070229987	A1	2007-10-04	Tang et al.	
	6	20120087020	A1	2010-04-12	Deng et al.	
	7	20110115965	A1	2011-05-19	Engelhardt et al.	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		15817235				
Filing Date		2017-11-19				
First Named Inventor	Micha	ael Dror				
Art Unit						
Examiner Name						
Attorney Docket Number		COREPH-0080 US CON3				

	8	20080166115	A1	2008-07	'-10	Sachs et al.				
	9	20150085174	A1	2015-03	3-24	2015-03-24				
	10	20150029601	A1	2015-01	-29	Dror et al.				
	11	20060187312	A1	2006-08	3-24	Labaziewicz et al.				
	12	20110080487	A1	2011-04	-07	Vankataraman et al.				
	13	20090002839	A1	2009-01	-01	Sato Kenichi				
	14	20080218613	A1	2008-09	)-11	Jenson Wilbert F et. al.				
	15	20080187310	A1	2008-08	3-24	Jenson Wilbert F et. al.				
	16	20100277269	A1	2010-11	-04	Scarff Lawrence				
If you wish	n to ad	d additional U.S. Publi	shed Ap	plication	cation citation information please click the Add button.					
				FOREIG	SN PAT	ENT DOCUM	ENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Kind Code <sup>4</sup>	Publication Name of Patentee			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	5

Receipt date: 11/19/2017 15/817,235 - GAU: 2872

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

		15817235			
		2017-11-19			
First Named Inventor	Micha	ael Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 U	S CON3		

	1	2013063097	wo	A1	2013-05-02	Cahall et al.		
	2	2014199338	wo	A2	2014-12-18	COREPHOTONICS LTD		
	3	5741395	JP		2015-07-01	KONICA MINOLTA ADVANCED LAYERS Inc.		
	4	2013105012	wo	A2	2013-07-18	Goldenberg et al.		
	5	2015015383	wo	A2	2015-02-05	Shabtay et al.		
If you wisl	h to ac	dd additional Foreign P	atent Document	citation	information pl	ease click the Add buttor	1	
			NON-PATE	NT LITE	RATURE DO	CUMENTS		
Examiner Initials*	aminer lais*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						<b>T</b> 5	
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If you wisl	If you wish to add additional non-patent literature document citation information please click the Add button							
EXAMINER SIGNATURE								
Examiner	Examiner Signature /EVELYN A LESTER/ Date Considered 09/28/2018							
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if								

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English language translation is attached.

Receipt date: 11/19/2017 15/817,235 - GAU: 2872

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

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Application Number	Application Number				
Filing Date		2017-11-19			
First Named Inventor	Micha	el Dror			
Art Unit					
Examiner Name					
Attorney Docket Number		COREPH-0080 U	S CON3		

	CERTIFICATION STATEMENT						
Ple	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
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	foreign patent o after making rea any individual d	information contained in the information diffice in a counterpart foreign application, an isonable inquiry, no item of information contaesignated in 37 CFR 1.56(c) more than thr 37 CFR 1.97(e)(2).	d, to the knowledge of that in the information d	ne person signing the certification isclosure statement was known to			
	See attached ce	rtification statement.					
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.				
×	A certification sta	atement is not submitted herewith.					
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.						
Sig	nature	/Menachem Nathan/	Date (YYYY-MM-DD)	2017-11-15			
Nar	ne/Print	MENACHEM NATHAN	Registration Number	65392			
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an applicatio is estimated to take 1 hour to complete, inclu	n. Confidentiality is gove	rned by 35 U.S.C. 122 and 37 CFR			

22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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  negotiations.
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Doc Code: DIST.E.FILE Document Description: Electroni	c Terminal Disclaimer - Filed	PTO/SB/25 PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce					
Electronic Petition Request	VIATE A PROVISIONAL DOUBLE PATENTING REFERENCE" APPLICATION O OBVIATE A DOUBLE PATENTING REJECTION OVER A						
Application Number	15817235						
Filing Date	19-Nov-2017						
First Named Inventor	Michael Dror	Michael Dror					
Attorney Docket Number	COREPH-0080 US CON3	US CON3					
Title of Invention	MINIATURE TELEPHOTO LENS A	O LENS ASSEMBLY					
Office Action		onse under 37 CFR 1.111 to outstanding					
	nimer is not being used for a Joint Res	1					
Owner		rcent Interest					
Corephotonics Ltd.	10	00 %					
The owner(s) of percent interest list	red above in the instant application h	ereby disclaims, except as provided below, the terminal					

The owner(s) of percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number(s)

15976422 filed on 05/10/2018 15976391 filed on 05/10/2018

as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

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term	owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the ninal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration of the full statutory term of prior patent number(s)
985	7568
956	8712
940	2032
grar own	ne term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so nted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly led. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors ssigns.
appl is pr - exp - is h	naking the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant lication that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent esently shortened by any terminal disclaimer," in the event that said prior patent later: pires for failure to pay a maintenance fee; neld unenforceable; found invalid by a court of competent jurisdiction;
- is s	tatutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
ı	s all claims canceled by a reexamination certificate;
	eissued; or name of the expiration of its full statutory term as presently shortened by any terminal disclaimer.
•	Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.
0	I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.
Арр	licants claims the following fee status:
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01	Micro Entity
OF	Regular Undiscounted
belie the l	reby declare that all statements made herein of my own knowledge are true and that all statements made on information and ef are believed to be true; and further that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application or any patent issued thereon.
ТН	IS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES
l ce	ertify, in accordance with 37 CFR 1.4(d)(4) that I am:
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	Registration Number 65392
0	A sole inventor
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application
	A joint inventor; all of whom are signing this request

Signature	/Menachem Nathan/
Name	Menachem Nathan

<sup>\*</sup>Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Patent Application Fee Transmittal							
Application Number:	158	317235					
Filing Date:	19-	Nov-2017					
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY						
First Named Inventor/Applicant Name:	Michael Dror						
Filer:	Menachem Nathan						
Attorney Docket Number:	COREPH-0080 US CON3						
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:			·				
STATUTORY OR TERMINAL DISCLAIMER		2814	1	160	160		
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	160

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 15817235
Filing Date: 19-Nov-2017
Applicant/Patent under Reexamination: Dror
Electronic Terminal Disclaimer filed on October 25, 2018
This patent is subject to a terminal disclaimer
☐ DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

Electronic Acknowledgement Receipt				
EFS ID:	34117946			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	25-OCT-2018			
Filing Date:	19-NOV-2017			
Time Stamp:	16:16:44			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$160
RAM confirmation Number	102618INTEFSW16164100
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File Listing					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			37480		
1	Terminal Disclaimer-Filed (Electronic)	e Terminal-Disclaimer.pdf	904b2f0f36f5fd6597ccae2f645a77b7d91c1 160	no	3
Warnings:					
Information:					
			30182		
2	Fee Worksheet (SB06)	fee-info.pdf	c3754d99fb90932f0e33b2190f88a546c9b1 9783	no	2
Warnings:			<u> </u>		
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		Total Files Size (in bytes	): 6	7662	

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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Doc Code: DIST.E.FILE Document Description: Electronic T	erminal Disclaimer - Filed		PTO/SB/25 PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	REJECTION OVER A PENDING "	REFERENCE	OVISIONAL DOUBLE PATENTING " APPLICATION A DOUBLE PATENTING REJECTION OVER A
Application Number	15817235		
Filing Date	19-Nov-2017		
First Named Inventor	Michael Dror		
Attorney Docket Number	COREPH-0080 US CON3		
Title of Invention	MINIATURE TELEPHOTO LENS A	ASSEMBLY	
Filing of terminal disclaimer doe:  Office Action	s not obviate requirement for resp	oonse under	37 CFR 1.111 to outstanding
This electronic Terminal Disclaim	er is not being used for a Joint Re	search Agre	ement.
Owner	Pe	ercent Intere	st
Corephotonics Ltd.	10	00 %	
The owner(s) of percent interest listed	above in the instant application h	hereby discla	aims, except as provided below, the terminal

The owner(s) of percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number(s)

15976422 filed on 05/10/2018 15976391 filed on 05/10/2018

as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

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985	7568
956	8712
940	2032
grar own	ne term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so nted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly led. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors ssigns.
appl is pr - exp - is h	naking the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant lication that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent esently shortened by any terminal disclaimer," in the event that said prior patent later: pires for failure to pay a maintenance fee; neld unenforceable; found invalid by a court of competent jurisdiction;
- is s	tatutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
ı	s all claims canceled by a reexamination certificate;
	eissued; or name of the expiration of its full statutory term as presently shortened by any terminal disclaimer.
•	Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.
0	I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.
Арр	licants claims the following fee status:
<b>⊙</b> 9	Small Entity
01	Micro Entity
OF	Regular Undiscounted
belie the l	reby declare that all statements made herein of my own knowledge are true and that all statements made on information and ef are believed to be true; and further that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application or any patent issued thereon.
ТН	IS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES
l ce	ertify, in accordance with 37 CFR 1.4(d)(4) that I am:
•	An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application
	Registration Number 65392
0	A sole inventor
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application
	A joint inventor; all of whom are signing this request

Signature	/Menachem Nathan/
Name	Menachem Nathan

<sup>\*</sup>Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Acknowledgement Receipt				
EFS ID:	34118175			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	25-OCT-2018			
Filing Date:	19-NOV-2017			
Time Stamp:	16:20:35			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment		no				
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject		OA_Response.pdf	45678 27580e1ec9b3ad8ae1ac4b37dbc652d069c 7b9a0	no	8
Warnings:						

Information:					
			64371		
2	Terminal Disclaimer Filed	efiling Ack_e Terminal_Disclaim er.pdf	3f603c7e110ca603ed58b8cec9f34be97907 bbd2	no	3
Warnings:					
The PDF file had digital signatur	s been signed with a digital signature and t e.	he legal effect of the document w	ill be based on the conte	nts of the file	not the
Information:					
			37480		
3	Terminal Disclaimer Filed	eTerminal_Disclaimer_Filing. pdf	904b2f0f36f5fd6597ccae2f645a77b7d91c1 160	no	3
Warnings:					
Information:					
		Total Files Size (in bytes)	14	17529	

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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	34117946			
Application Number:	15817235			
International Application Number:				
Confirmation Number:	7330			
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY			
First Named Inventor/Applicant Name:	Michael Dror			
Customer Number:	92342			
Filer:	Menachem Nathan			
Filer Authorized By:				
Attorney Docket Number:	COREPH-0080 US CON3			
Receipt Date:	25-OCT-2018			
Filing Date:	19-NOV-2017			
Time Stamp:	16:16:44			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$160
RAM confirmation Number	102618INTEFSW16164100
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File Listing	<b>j</b> :				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Terminal Disclaimer-Filed (Electronic)	e Terminal-Disclaimer.pdf	37480 904b2f0f36f5fd6597ccae2f645a77b7d91c1 160	no	3
Warnings:	•		'		
Information:					
			30182		
2	Fee Worksheet (SB06)	fee-info.pdf	c3754d99fb90932f0e33b2190f88a546c9b1 9783	no	2
Warnings:	-				
Information:					
		Total Files Size (in bytes	): 6	7662	

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 15817235
Filing Date: 19-Nov-2017
Applicant/Patent under Reexamination: Dror
Electronic Terminal Disclaimer filed on October 25, 2018
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§ Confirmation No. 7330 In re Applicant:

Michael Dror

§ § §

Serial No.: 15/817,235

Title: MINIATURE TELEPHOTO LENS

**ASSEMBLY** 

Filed: 11/19/2017

Attorney Docket: Coreph-0080 US CON3

Examiner: Lester, Evelyn A.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **RESPONSE**

This is in response to the United States Patent and Trademark Office Action having a Notification Date of 10/22/2018, which response is made timely.

Amendment to the Specification is presented in page 2.

**Amendments to the Claims** begin on page 3.

Remarks/Arguments begin on page 7.

#### IN THE SPECIFICATION:

Please amend the "CROSS REFERENCE TO RELATED APPLICATIONS" section as follows:

### CROSS REFERENCE TO RELATED APPLICATIONS

This application is a Continuation application of US patent application No. 15/418,925 filed January 30, 2017, now Pat. No. 9,857,568, which was a Continuation in Part application of US patent application No. 15/170,472 filed June 1, 2016, now Pat. No. 9,568,712, which was a Continuation application of US patent application No. 14/932,319 filed November 4, 2015, now Pat. No. 9,402,032, which was a Continuation application of US patent application No. 14/367,924 filed June 22, 2014, now abandoned, which was a 371 Continuation application of international application PCT/IB2014/062465 filed June 20, 2014, and is related to and claims priority from US Provisional Patent Application No. 61/842,987 filed July 4, 2013, which is incorporated herein by reference in its entirety.

#### IN THE CLAIMS

Please amend the claims as follows:

- 1. (Currently amended) A lens assembly, comprising: a plurality of refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the plurality of lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), wherein a lens system that includes the lens assembly plus a window positioned between the fifth-plurality of lens elements and an image plane has a total track length (TTL) of 6.5 millimeters or less, wherein a ratio TTL/EFL is less than 1.0, wherein the plurality of lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power, a second lens element with negative refractive power, and a third lens element, wherein a focal length f1 of the first lens element is smaller than TTL/2 and wherein a lens assembly F # is smaller than 2.9.
- 2. (Original) The lens assembly of claim 1, wherein the third lens element has negative refractive power.
- 3. (Original) The lens assembly of claim 1, wherein the plurality of refractive lens elements includes five lens elements.
- 4. (Original) The lens assembly of claim 1, wherein the focal length f1, a focal length f2 of the second lens element and a focal length f3 of the third lens element fulfill the condition 1.2x|f3| > |f2| > 1.5xf1.
- 5. (Original) The lens assembly of claim 2, wherein the plurality of refractive lens elements includes five lens elements, and wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 6. (Original) The lens assembly of claim 3, wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 7. (Original) The lens assembly of claim 3, wherein a fourth lens element and a fifth lens element are separated by an air gap smaller than TTL/20.

- 8. (Original) The lens assembly of claim 3, wherein one of a fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 9. (Original) The lens assembly of claim 5, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20, wherein one of the fourth lens element and the fifth lens element is characterized by an Abbe number smaller than 30, and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 10. (Original) The lens assembly of claim 6, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20, wherein one of the fourth lens element and the fifth lens element is characterized by an Abbe number smaller than 30, and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 11. (Currently amended) A lens assembly, comprising: a plurality of refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the plurality of lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), wherein a lens system that includes the lens assembly plus a window positioned between the fifth-plurality of lens elements and an image plane has a total track length (TTL) of 6.5 millimeters or less, wherein a ratio TTL/EFL is less than 1.0, wherein the plurality of lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power and a focal length f1, a second lens element with negative refractive power and a focal length f2, and a third lens element with a focal length f3, wherein the focal length f1, the focal length f2 and the focal length f3 fulfill the condition 1.2x|f3| > |f2| > 1.5xf1, and wherein a lens assembly F # is smaller than 2.9.
- 12. (Original) The lens assembly of claim 11, wherein the third lens element has negative refractive power.

- 13. (Original) The lens assembly of claim 11, wherein the plurality of refractive lens elements includes five lens elements.
- 14. (Original) The lens assembly of claim 12, wherein the plurality of refractive lens elements includes five lens elements, and wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 15. (Original) The lens assembly of claim 13, wherein a fourth lens element and a fifth lens element have different refractive power signs.
- 16. (Original) The lens assembly of claim 13, wherein a fourth lens element and a fifth lens element are separated by an air gap smaller than TTL/20.
- 17. (Original) The lens assembly of claim 13, wherein one of a fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 18. (Original) A lens assembly, comprising: five refractive lens elements arranged along an optical axis, wherein at least one surface of at least one of the lens elements is aspheric, wherein the lens assembly has an effective focal length (EFL), a total track length (TTL) of 6.5 millimeters or less and a ratio TTL/EFL of less than 1.0, wherein the lens elements comprises, in order from an object side to an image side, a first lens element with positive refractive power, a second lens element with negative refractive power, a third lens element and a fourth lens element, wherein the third lens element and the fourth lens element are separated by an air gap greater than TTL/5 and wherein a lens assembly F # is smaller than 2.9.
- 19. (Original) The lens assembly of claim 18, wherein the third lens element has negative refractive power.
- 20. (Original) The lens assembly of claim 18, wherein the fourth lens element and a fifth lens element have different refractive power signs.

- 21. (Original) The lens assembly of claim 18, wherein the fourth lens element and the fifth lens element are separated by an air gap smaller than TTL/20.
- 22. (Original) The lens assembly of claim 18, wherein one of the fourth lens element and a fifth lens element is characterized by an Abbe number smaller than 30 and wherein the other of the fourth lens element and the fifth lens element is characterized by an Abbe number greater than 50.
- 23. (Original) The lens assembly of claim 18, wherein a focal length f1 of the first lens element is smaller than TTL/2.
- 24. (Original) The lens assembly of claim 19, wherein the fourth lens element and a fifth lens element have different refractive power signs.

#### REMARKS

Reconsideration of this application is respectfully requested. Based on the following Remarks, Applicant respectfully requests the Examiner to reconsider and withdraw all outstanding rejections.

#### **Status of Claims**

Claims 1-24 are pending in the application. All claims were rejected in the Office Action d10/22/2018. The rejection is respectfully traversed.

Although not mentioned by Examiner, Applicant has identified an <u>inadvertent and obvious</u> mistake in the language of claims 1 and 11, where the recitation "the fifth lens element" has no antecedence. Applicant hereby amends these claims to recite "the <u>fifth plurality of lens elements</u>", which does have antecedence. The amendment is supported by FIGS. 1A, 2A and 3A and their description.

## **Information Disclosure Statement (IDS)**

Applicant acknowledges Examiner's action regarding the IDS filed 09-20-2018.

# **Specification**

As requested by Examiner, Applicant hereby updates the status of related U.S. patent applications in the CROSS REFERENCE TO RELATED APPLICATIONS section.

## Non-statutory double patenting

Claims 1-24 have been provisionally rejected on the ground of non-statutory double patenting over claims 1-31 of co-pending Application No. 15/976,391 (also known as U.S. Pub. 2018/0275374 A1), claims 1-24 of co-pending Application No. 15/976,422 (also known as U.S. Pub. 2018/0275375 A1), claims 1-20 of U.S. Patent No. 9,402,032 B2, claims 1- of U.S. Patent No. 9,568,712 B2 and claims 1-5 of U.S. Patent No. 9,857,568 B2.

In response, Applicant files herewith an eTerminal Disclaimer in compliance with 37

CFR 1.321(c) or 1.321 (d) and signed in compliance with 37 CFR 1.321(b). Withdrawal of

the rejection and allowance of all claims is respectfully requested.

Conclusion

All of the stated grounds of rejection have been properly traversed or rendered moot.

Applicant therefore respectfully requests that the Examiner reconsider and withdraw all

presently outstanding rejections. Applicant believes that a full and complete response has

been made to the outstanding Office Action and, as such, the present application is in

condition for allowance. If the Examiner believes, for any reason, that further personal

communication will expedite prosecution of this application, the Examiner is invited to

telephone the undersigned at the number provided.

Prompt and favorable consideration of this Amendment is respectfully requested.

Respectfully submitted,

/ Menachem Nathan /

Menachem Nathan

Agent for Applicant

Registration No. 65392

Date: October 25, 2018

8

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	Application Number		15817235	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2018-11-19	
	First Named Inventor Michael Dr		el Dror	
	Art Unit			
	Examiner Name			
	Attorney Docket Number	er	COREPH-0080 US CON3	

			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	8149523	B2	2012-04-03	Yuichi Ozaki	
	2	9279957	B2	2016-03-08	Kanda et al.	
	3	9488802	B2	2016-11-08	Chen et al.	
	4	9185291	B1	2015-11-10	Shabtay at el.	
	5	8958164	B2	2015-02-17	Kwon et al.	
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			U.S.P.	ATENT APPLIC	CATION PUBLICATIONS	Remove
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20170115471	A1	2017-04-27	Yoshikazu Shinohara	

					Applic	ation N	umber		15817235				
					Filing	Filing Date			2018-11-19				
			N DISCLOS		First N	Named	Inventor	Mich	ael Dror				
			BY APPLICA on under 37 CFR		Art Ur	Art Unit							
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	2		20140362274	A1	2014-12	2-11	Christie el	al.					
	3		20110001838	A1	2011-01	I-06	Sung Hwa	ın Lee					
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Examiner	Signa	ture							Date Conside	red			
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		15817235				
Filing Date		2018-11-19				
First Named Inventor	Micha	nel Dror				
Art Unit						
Examiner Name						
Attorney Docket Number		COREPH-0080 US CON3				

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Menachem Nathan/	Date (YYYY-MM-DD)	2019-01-05
Name/Print	MENACHEM NATHAN	Registration Number	65392

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/15 (03-13)
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UTILITY	persons are requi	reu to re	Attorney Docket			PH-0080 US CON3		
PATENT APPLICA	TION		First Named Inv	entor	Michae	el Dror		
TRANSMITTA	-		Title		MINIATURE TELEPHOTO LENS ASSEMBL			
(Only for new nonprovisional applications under			Express Mail Lal	pel No.				
APPLICATION ELEME See MPEP chapter 600 concerning utility patent of	NTS	S.	ADDRESS			mmissioner for Patents P.O. Box 1450 xandria, VA 22313-1450		
1. Fee Transmittal Form (PTO/SB/17 or equivalent)			ACCOM	1PAN'	YING AP	PLICATION PAPERS		
2. Applicant asserts small entity status. See 37 CFR 1.27					ers ocument(s)) of Assignee			
3. Applicant certifies micro entity status. Applicant must attach form PTO/SB/15A or B 4. Specification [Total P] Both the claims and abstract must start on a r (See MPEP § 608.01(a) for information on the 5. Drawing(s) (35 U.S.C. 113) [Total S] 6. Inventor's Oath or Declaration [Total P] (including substitute statements under 37 CFR 1.64 serving as an oath or declaration under 37 CFR 1.64 a. Newly executed (original or copy) b. A copy from a prior application (37 CFR 1.64) See 37 CFR 1.76 (PTO/AIA/14 or equivalent) 8. CD-ROM or CD-R in duplicate, large table, or Computer Progra Landscape Table on CD 9. Nucleotide and/or Amino Acid Sequence St (if applicable, items a. – c. are required) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of abox	or equivalent. Pages new page. preferred arrange. heets and assignments 3(e))  CFR 1.63(d)) w.  In (Appendix)  Libmission	] ment)]	(when the life in	3.73(c) Siere is an of Translat able) ation Dis (OB or PTC Copies mary Am Receipt 503) (Shed Copy of priority blication 5 U.S.C. 12 alent. Remark:	tatement assignee) ion Docume closure State 0-1449) of citations a endment Postcard uid be specific of Priority Do is claimed) Request 22(b)(2)(B)(i). a s - This is an rence in this	Power of Attorney  Int  ement  attached  cally itemized)		
*Note: (1) Benefit claims under 37 CFR 1.78 an (2) For applications filed under 35 U.S.0 assignee, person to whom the inven interest in the matter. See 37 CFR 1.	nd foreign priorit C. 111, the applicator is under an o .46(b).	cation nobligation	nust contain an Al on to assign, or pe	S specif rson wh	ying the app	licant if the applicant is an		
		ESPO	IDENCE ADDR	ESS				
The address associated with Customer Nu	ımber: <u>92342</u>				OR	Correspondence address below		
Name								
Address	,					ı		
City	State				Zip Code			
Country	Telephone				Email			
Signature /Menachem Nathan/				Date		01-05-2019		
Name (Print/Type) MENACHEM NATH	HAN				ation No. ney/Agent)	65,392		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. À record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt						
EFS ID:	34771126					
Application Number:	15817235					
International Application Number:						
Confirmation Number:	7330					
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY					
First Named Inventor/Applicant Name:	Michael Dror					
Customer Number:	92342					
Filer:	Menachem Nathan					
Filer Authorized By:						
Attorney Docket Number:	COREPH-0080 US CON3					
Receipt Date:	05-JAN-2019					
Filing Date:	19-NOV-2017					
Time Stamp:	07:38:18					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

Submitted wi	th Payment	no				
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)		Lens_IDS2.pdf	1034611 2bbc132c9823d2f4bd36910141f13daf2882 côde	no	4
Warnings:						

Information:					
2	Transmittal Letter	Lens_IDS2_TF.pdf	277371 no		2
			0496c6b72a21d1363d0c1650c578b27bf12 ef98a		
Warnings:					
Information:					
		Total Files Size (in bytes):	13	11982	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

92342 7590 02/25/2019 Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 ISRAEL EXAMINER

LESTER, EVELYN A

ART UNIT PAPER NUMBER

2872

DATE MAILED: 02/25/2019

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/817.235	11/19/2017	Michael Dror	COREPH-0080 US CON3	7330

TITLE OF INVENTION: MINIATURE TELEPHOTO LENS ASSEMBLY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$500	\$0.00	\$0.00	\$500	05/28/2019

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

PTOL-85 (Rev. 02/11)

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 92342 7590 Certificate of Mailing or Transmission 02/25/2019 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope Nathan & Associates Patent Agents Ltd P.O.Box 10178 addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. Tel Aviv, 6110101 (Typed or printed name **ISRAEL** (Signatur) APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 11/19/2017 15/817.235 COREPH-0080 US CON3 Michael Droi 7330 TITLE OF INVENTION: MINIATURE TELEPHOTO LENS ASSEMBLY APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE 05/28/2019 nonprovisional **SMALL** \$0.00 **EXAMINER** ART UNIT CLASS-SUBCLASS LESTER, EVELYN A 2872 359-714000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual 🗖 Corporation or other private group entity 🗖 Government Publication Fee (if required) Advance Order - # of Copies 4a. Fees submitted: ☐Issue Fee 4b. Method of Payment: (Please first reapply any previously paid fee shown above) ☐ Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date Typed or printed name Registration No.

Page 2 of 3

OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/817,235 11/19/2017		Michael Dror	COREPH-0080 US CON3	7330
92342 75	90 02/25/2019		EXAM	INER
Nathan & Associ	ates Patent Agents L	LESTER, E	VELYN A	
P.O.Box 10178			ART UNIT	PAPER NUMBER
Tel Aviv, 6110101				17H ER WOMBER
ISRAEL			2872	

DATE MAILED: 02/25/2019

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### **OMB Clearance and PRA Burden Statement for PTOL-85 Part B**

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 15/817,235	Applicant(s) Dror et al.	
Notice of Allowability	Examiner EVELYN A LESTER	Art Unit 2872	AIA Status Yes
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	lication. If not in will be mailed in	ncluded n due course. <b>THIS</b>
1. This communication is responsive to TD and IDS filed on 10			
A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was/	<del></del>		
<ol> <li>An election was made by the applicant in response to a rest restriction requirement and election have been incorporated</li> </ol>		ne interview on	; the
3. The allowed claim(s) is/are 1-24. As a result of the allowed Highway program at a participating intellectual property offic http://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the corresponding application.	For more infor	
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) All b) Some *c) None of the:	, haan yaasiyad		
<ol> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> </ol>			
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage a	application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with	the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.		
<ul><li>including changes required by the attached Examiner's Paper No./Mail Date</li></ul>			
Identifying indicia such as the application number (see 37 CFR 1, sheet. Replacement sheet(s) should be labeled as such in the heat		gs in the front (	not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F			ne e
Attachment(s)			
<ol> <li>Notice of References Cited (PTO-892)</li> <li>✓ Information Disclosure Statements (PTO/SB/08),</li> </ol>	<ol> <li>Examiner's Amend</li> <li>Examiner's Statem</li> </ol>		
Paper No./Mail Date 1-5-19.		ent of neasons	ioi Allowance
<ol> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ol>	7. U Other		
4. Interview Summary (PTO-413), Paper No./Mail Date.			
/EVELYN A LESTER/ Primary Examiner, Art Unit 2872			
Timely Examines, fit one Eor E			
	i		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20190214

Application/Control Number: 15/817,235 Page 2

Art Unit: 2872

#### Notice of Pre-AIA or AIA Status

1. The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

#### Terminal Disclaimer

2. The terminal disclaimer filed on 10-25-18 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of U.S. patent applications (should they issue as patents), serial numbers: 15/976,422 and 15/976,391; and U.S. Patents: 9,857,568; 9,568,712; and 9,402,032, has been reviewed and is accepted. The terminal disclaimer has been recorded.

#### REASONS FOR ALLOWANCE

3. The following is an examiner's statement of reasons for allowance:

The prior art does not show or fairly suggest the claimed invention of a lens assembly having the claimed structure and claimed limitations, wherein a rejection under 35 USC 102 or 103 would be improper. Please particularly note the combination of claimed elements and claimed limitations, including the terminal disclaimer filed on 10-25-18 which was approved. Since the obviousness-type double patenting rejection was the only rejection of record in the office action mailed on 10-22-18.

Further, "The Patent Trial and Appeal Board" cases: IPR-2018-01140 and IPR-2018-01146, were reviewed for content, i.e. prior art and arguments were considered in light of the present claims. Also, IPR-2018-01356 was considered, but the decision to

Application/Control Number: 15/817,235 Page 3

Art Unit: 2872

go to trial was denied in this case. Lastly, IPR-2019-00030 was considered to the extent possible, as no decision for the case has been made of record to date.

Therefore, in light of the Applicants' arguments and/or amendments filed on 6-21-11, the claimed invention is considered to be in condition for allowance as being novel and nonobvious over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EVELYN A LESTER whose telephone number is (571)272-2332. The examiner can normally be reached on M-F: 10am-6pm (ET); subject to flex-schedule..

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Mack can be reached on (571) 272-2333. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 15/817,235 Page 4

Art Unit: 2872

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/EVELYN A LESTER/ Primary Examiner Art Unit 2872

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/817,235	Dror et al.
	Examiner	Art Unit
	EVELYN A LESTER	2872

СРС	CPC					
Symbol			Туре	Version		
G02B	/ 13	/ 0045	F	2013-01-01		
G02B	/ 13	/ 02	I	2013-01-01		
G02B	/ 9	/ 60	I	2013-01-01		
G02B	/ 27	/ 0025	I	2013-01-01		
G02B	1	/ 041	I	2013-01-01		
G02B	/ 27	/ 646	I	2013-01-01		
G02B	/ 13	/ 002	A	2013-01-01		
G02B	/ 5	/ 005	A	2013-01-01		
H04N	/ 2201	/ 00	A	2013-01-01		
G02B	/ 9	/ 00	A	2013-01-01		
H04N	/ 2101	/ 00	A	2013-01-01		
Y10T	/ 29	/ 4913	А	2015-01-15		

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	24	1
/EVELYN A LESTER/ Primary Examiner, Art Unit 2872	14 February 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/817,235	Dror et al.
	Examiner	Art Unit
	EVELYN A LESTER	2872

INTERNATIONAL CLASSIFICATION	
CLAIMED	
NON-CLAIMED	

US ORIGINAL CLASSIFICATION				
CLASS	SUBCLASS			
359	714			

CROSS REFERENCES(S)							
CLASS		SUBCLASS (ONE SUBCLASS PER BLOCK)					
359	739	740	763	764			

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	24	1
/EVELYN A LESTER/ Primary Examiner, Art Unit 2872	14 February 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

U.S. Patent and Trademark Office

Part of Paper No.: 20190214

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/817,235	Dror et al.
	Examiner	Art Unit
	EVELYN A LESTER	2872

<b>\</b>	Claims re	enumbe	red in th	ne same	e order a	ıs prese	ented by	applica	ant [	] CPA	<b>✓</b>	T.D.	☐ R.1	.47	
CLAIM	S														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		10		19										
	2		11		20										
	3		12		21										
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	5		14		23										
	6		15		24										
	7		16												
	8		17											·	
	9		18												

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	24	1
/EVELYN A LESTER/ Primary Examiner, Art Unit 2872	14 February 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

U.S. Patent and Trademark Office

Part of Paper No.: 20190214

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
15/817,235	Dror et al.
Examiner	Art Unit
EVELYN A LESTER	2872

CPC - Searched*				
Symbol	Date	Examiner		
G02B 13/0045; G02B 9/60; G02B 27/0025; G02B 5/005; G02B 13/02; G02B 1/041; G02B 13/002; G02B9/00; G02B 27/646; H04N 2201/00; Y10T 29/4913.	10-14-18	EAL		
Update search of the above from 10-1-18	02/14/2019	EAL		

CPC Combination Sets - Searched*					
Symbol	Date	Examiner			

US Classification - Searched*					
Class	Subclass	Date	Examiner		
359	714, 739, 740, 763, 764	10-14-18	EAL		
Update	search of the above from 10-1-18	02/14/2019	EAL		

<sup>\*</sup> See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
EAST Search: USPAT, US=PGPUB, JPO, EPO, DERWENT, IBM-TDB.	10-14-18	EAL		
Classification searches in CPC and USPC, crossed with text search.	10-14-18	EAL		
Inventor and Assignee searches in EAST	10-14-18	EAL		
EAST Search: USPAT, US=PGPUB, JPO, EPO, DERWENT, IBM-TDB.	02/14/2019	EAL		
Classification update searches in CPC and USPC, crossed with text search.	02/14/2019	EAL		
IPR Cases were reviewed: IPR2018-01140; IPR2018-01146; IPR2018-01356; and IPR2019-00030.	02/14/2019	EAL		

/EVELYN A LESTER/ Primary Examiner.Art Unit 2872	

U.S. Patent and Trademark Office Page 1 of 2

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/817,235	Dror et al.
	Examiner	Art Unit
	EVELYN A LESTER	2872

Interference Search								
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner					
Same as the	above for CPC and USPC, crossed with a text search	02/14/2019	EAL					

/EVELYN A LESTER/ Primary Examiner.Art Unit 2872	

U.S. Patent and Trademark Office
Page 2 of 2
Part of Paper No.: 20190214

# **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	ef Hits Search Query		DBs	Default Operator	Plurals	Time Stamp
L1	12617	359/713,714,715-717,739,740,745- 748,754-795.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:25
L2	16755	( (G02B13/0045 OR G02B9/62 OR G02B9/60 OR G02B13/18 OR G02B13/004 OR G02B9/64 OR G02B5/005 OR G02B13/00 OR G02B9/12).CPC. )	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:25
L3	1730861	@pd> = "20181001"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:26
L4	23642	1 or 2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:26
L5	1786907	lens\$2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L6	936187	object with image	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L7	263818	total near4 length	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L8	102939	aspheric\$6 or non-spheric\$6 or nonspheric\$6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L9	1547162	positive with negative	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L10	415287	optical near2 axis	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27

L11	6847	L5 and L6 and L7 and L8 and L9 and L10	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:27
L12	1205	3 and 4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:34
L13	199	11 and 12	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:34
L15	16755	( (G02B13/0045 OR G02B9/62 OR G02B9/60 OR G02B13/18 OR G02B13/004 OR G02B9/64 OR G02B5/005 OR G02B13/00 OR G02B9/12).CPC. )	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/02/14 20:35

## **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L14	7564	359/713,714,715-717,739,740,745- 748,754-795.ccls.	US- PGPUB; USPAT	OR	ON	2019/02/14 20:35
L16	674651	object with image	US- PGPUB; USPAT	OR	ON	2019/02/14 20:35
L17	233632	total near4 length	US- PGPUB; USPAT	OR	ON	2019/02/14 20:36
L18	1223562	positive with negative	US- PGPUB; USPAT	OR	ON	2019/02/14 20:36
L19	9835	( (G02B13/0045 OR G02B9/62 OR G02B9/60 OR G02B13/18 OR G02B13/004 OR G02B9/64 OR G02B5/005 OR G02B13/00 OR G02B9/12).CPC. )	US- PGPUB; USPAT	OR	ON	2019/02/14 20:36
L20	13773	14 or 19	US- PGPUB; USPAT	OR	ON	2019/02/14 20:37
L21	10903	16 and 17 and 18	US- PGPUB; USPAT	OR	ON	2019/02/14 20:37
L22	4405	20 and 21	US- PGPUB; USPAT	OR	ON	2019/02/14 20:37

2/ 14/ 2019 8:38:53 PM C:\ Users\ elester\ Documents\ EAST\ Workspaces\ 15817235 and 15976391 and 15976422.wsp

Receipt date: 01/05/2019

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number	l	15817235	
	Filing Date		2018-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Michae	el Dror	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not lot businession under or or it not)	Examiner Name			
	Attorney Docket Number		COREPH-0080 US CON3	

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	8149523	B2	2012-04-03	Yuichi Ozaki	
	2	9279957	B2	2016-03-08	Kanda et al.	
	3	9488802	B2	2016-11-08	Chen et al.	
	4	9185291	B1	2015-11-10	Shabtay at el.	
	5	8958164	B2	2015-02-17	Kwon et al.	
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20170115471	A1	2017-04-27	Yoshikazu Shinohara	

			05/2019		Applic	ation N	umber		15817235		· 		
					Filing	Date			2018-11-19				
			I DISCLOSI		First N	Named I	nventor	Micha	ael Dror				
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)			Art Ur	nit		•							
Not lor submission under 37 CFR 1.33)				Exam	iner Naı	me		•					
				Attorn	ey Docl	ket Numb	er	COREPH-0080 L	JS CON	13			
	2	2	0140362274	A1	2014-12	2-11	Christie et	al.					
	3	2	0110001838	A1	2011-01	I-06	Sung Hwan Lee						
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		Count Code <sup>2</sup>				on A	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		_		
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Examiner	Signa	iture	/EVELYN	A LE	STER/				Date Conside	ered	02/1	4/2019	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.A.L/

Receipt date: 01/05/2019

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 15817235

Filing Date 2018-11-19

First Named Inventor Michael Dror

Art Unit Examiner Name

CFRT			

COREPH-0080 US CON3

Attorney Docket Number

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Menachem Nathan/	Date (YYYY-MM-DD)	2019-01-05
Name/Print	MENACHEM NATHAN	Registration Number	65392

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

Receipt date: 01/05/2019

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.A.L/

#### PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s)	), by mail or fax, or v	ria EFS-Web.		
By mail, send to:	Mail Stop ISSUE				By fax, send to	o: (571)-273-2885
	Commissioner for P.O. Box 1450	Patents				
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INSTRUCTIONS: This	-		E and PUBLICATION FEI	(if required), Blocks 1 thr	ough 5 should be comple	eted where appropriate. Al
further correspondence i	including the Patent, adva	unce orders and notification	n of maintenance fees will dence address; and/or (b) i	be mailed to the current co	rrespondence address as	indicated unless corrected
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Tel Aviv, 61101	101		the	ressed to the Mail Stop IS USPTO via EFS-Web or b	by facsimile to (571) 27:	3-2885, on the date below.
ISRAEL						(Typed or printed name,
			***************************************			(Signature)
			L			(Date)
APPLICATION NO.	FILING DATE	:	FIRST NAMED INVENTOR	ATTO	DRNEY DOCKET NO.	CONFIRMATION NO.
15/817,235	11/19/2017		Michael Dror	CORE	EPH-0080 US CON3	7330
TITLE OF INVENTION	N: MINIATURE TELEP:	HOTO LENS ASSEMBL	Y			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(\$) DUE	DATE DUE
nonprovisional	SMALL	\$500	\$0.00	\$0.00	\$500	05/28/2019
EXA	MINER	ART UNIT	CLASS-SUBCLASS	1		
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SB/47; Rev 03-09 or	dication (or "Fee Address more recent) attached. U	se of a Customer	listed, no name will be	printed.	3	
Number is required 3 ASSIGNEE NAME A	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	A TO BE PRINTED ON	THE PATENT (print or ty	ne)		
			a will appear on the patent	•	d below, the document:	must have been previously
		in 37 CFR 3.11 and 37 CF	R. 3.81(a). Completion of			nent.
(A) NAME OF ASSI	IGNEE		•	and STATE OR COUNT	IRY)	
Corephotonic	s Ltd.		Tel Aviv, Israel			
Please check the approp	riate assignee category o	r categories (will not be pr	rinted on the patent) : 🖵 L	adividual 🗖 Corporation	or other private group er	ntity 🗖 Government
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The Director is he	ereby authorized to charg	e the required fee(s), any	deficiency, or credit any o	verpayment to Deposit Ac	count No	
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	/Menachem Natha		3. See 37 CFR 1.4 for sign	•••••	AMCAUOIS.	***************************************
Authorized Signature	Menachem Nath			Date 02/25/2019	:202	
Typed or printed nam	ie	all		Registration No. 65	)39Z	

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal								
Application Number:	15817235							
Filing Date:	19-	-Nov-2017						
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY							
First Named Inventor/Applicant Name:	Mic	chael Dror						
Filer:	Menachem Nathan							
Attorney Docket Number:	co	REPH-0080 US CON	13					
Filed as Small Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
UTILITY APPL ISSUE FEE		2501	1	500	500			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	500

Electronic Acknowledgement Receipt					
EFS ID:	35238854				
Application Number:	15817235				
International Application Number:					
Confirmation Number:	7330				
Title of Invention:	MINIATURE TELEPHOTO LENS ASSEMBLY				
First Named Inventor/Applicant Name:	Michael Dror				
Customer Number:	92342				
Filer:	Menachem Nathan				
Filer Authorized By:					
Attorney Docket Number:	COREPH-0080 US CON3				
Receipt Date:	25-FEB-2019				
Filing Date:	19-NOV-2017				
Time Stamp:	13:58:10				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$500
RAM confirmation Number	022619INTEFSW13585500
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File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Issue Fee Payment (PTO-85B)	Issue_Fee.pdf	542444 4a2a061303431f509fd08a11220814ff701fa 1c2	no	1		
Warnings:			'	•			
Information:							
2	Fee Worksheet (SB06)	fee-info.pdf	29887 a2a068774da686bf5807da8fa0aff5ca9aff6 db5	no	2		
Warnings:							
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		Total Files Size (in bytes)	57	72331			

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/817,235	11/19/2017	Michael Dror	COREPH-0080 US CON3	7330
	7590 05/10/201 ciates Patent Agents Lt		EXAM	INER
P.O.Box 10178			TALLMAN,	ROBERT E
Tel Aviv, 6110 ISRAEL	101		ART UNIT	PAPER NUMBER
			2872	
			NOTIFICATION DATE	DELIVERY MODE
			05/10/2019	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

amirr@natpatent.com dorong@natpatent.com info@natpatent.com

PTOL-90A (Rev. 04/07)

Corrected	Application No. Applicant(s) 15/817,235 Dror et al.						
Notice of Allowability	Examine		Art Unit 2872	AIA (FITF) Status Yes			
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 at 1. This communication is responsive to correction of IDS filed 2	Cars on the OR REMA or other ap GHTS. The and MPEF	AINS) CLOSED in this appropriate communication is application is subject to 1308.	lication. If not i will be mailed withdrawal fror	included in due course. THIS m issue at the initiative			
<ul> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on</li> <li>2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.</li> <li>3. ✓ The allowed claim(s) is/are 1-24. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution</li> </ul>							
Highway program at a participating intellectual property offic http://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the or send a	corresponding application. n inquiry to PPHfeedback	For more info				
4. ☐ Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.0	C. § 119(a)-(d) or (f).					
Certified copies:							
<ol> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> </ol>	<ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this national stage application from the</li> </ol>						
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			complying with	the requirements			
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submit	ted.					
including changes required by the attached Examiner's Paper No./Mail Date			fice action of				
Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the hea			gs in the front	(not the back) of each			
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				the			
Attachment(s)  1. Notice of References Cited (PTO-892)  2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. Interview Summary (PTO-413), Paper No./Mail Date.		5. Examiner's Amend 6. Examiner's Statem 7. Other					
/Robert E. Tallman/ Primary Examiner, Art Unit 2872							

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20190506

Receipt date: 02/22/2018

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
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	Application Number		5817235	
	Filing Date		2017-11-19	
INFORMATION DISCLOSURE	First Named Inventor	Michae	el Dror	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
	Examiner Name			
	Attorney Docket Numb	er	COREPH-080 US CON3	

				U.S.	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/E.A.L/	1	20140029116	A1	2014-01-30	Largan Precision Co Ltd	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		15817235
Filing Date		2017-11-19
First Named Inventor	Micha	ael Dror
Art Unit		
Examiner Name		
Attorney Docket Number		COREPH-080 US CON3

/E.A.L/	2	20130003195	A1	2013-01-03	Yoji Kubota e	t al		
If you wis	h to ac	dd additional U.S. Pub	lished Ap			please click the Add butto	on.	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Publication	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
/E.A.L/	1	<b>2</b> 008064884	₽P		2008-03-21	Kyocera		
/E.A.L/	2	2008122900	JP		2008-05-29	Fujinon		
/E.A.L/	/3	2011138175	JP		2011-07-14	Konica		
/E.A.L/	4	1976016135	JP		1976-05-21		in and a second and	
/E.A.L/	5	2007306282	₽P		2007-11-22	CITIZEN ELECTRONICS CO LTD		
/E.A.L/	6	1995113952	₽		1995-05-02	Nikon		
/E.A.L/	7	1966006865	JP		1966-4-18			
/E.A.L/	8	20100040357	KR		2010-04-20	LG Innotek		

Receipt date: 02/22/2018 15/817,235 - GAU: 2872 **Application Number** 15817235 Filing Date 2017-11-19 INFORMATION DISCLOSURE First Named Inventor Michael Dror STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number COREPH-080 US CON3 /E.A.L/ ΚR 20100119673 2010-11-10 Kolen If you wish to add additional Foreign Patent Document citation information please click the Add button **NON-PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials\* publisher, city and/or country where published. 1 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** Date Considered 09/28/2018 **Examiner Signature** /EVELYN A LESTER/ \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a

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<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GGV">www.USPTO.GGV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

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Application Number		15817235				
Filing Date		2017-11-19				
First Named Inventor	Micha	el Dror				
Art Unit						
Examiner Name						
Attorney Docket Numb	er	COREPH-080 US	CON3			

	CERTIFICATION STATEMENT						
Plea	ase see 37 CFR	1.97 and 1.98 to make the appropri	ate selection(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	ł						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached c	ertification statement.					
	The fee set fort	h in 37 CFR 1.17 (p) has been subr	mitted herewith.				
×	A certification s	tatement is not submitted herewith.					
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Signature /Menachem Nathan/ Date		Date (YYYY-MM-DD)	2018-02-22				
Nan	ne/Print	MENACHEM NATHAN	Registration Number	65392			
<b>TL:</b> .		tion in required by 27 OFD 4.0	7 and 4.00. The information is requi	red to obtain or retain a benefit by the			

public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA** 

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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Receipt date: 11/19/2017 15/817,235 - GAU: 2872

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		15817235		
Filing Date		2017-11-19		
First Named Inventor Micha		nel Dror		
Art Unit				
Examiner Name				
Attorney Docket Number		COREPH-0080 US CON3		

	8	20080166115	A1	2008-07	'-10	Sachs et al.				
hange(s)	9	20150085174	A1	2015-03	3-24	Shabtay ( <del>2015-03-24</del>	et al.			
pplied o documen R.S./ /6/2019	t, 10	20150029601	A1	2015-01	-29	Dror et al.				
	11	20060187312	A1	2006-08	3-24	Labaziewicz eł	al.			
	12	20110080487	A1	2011-04	-07	Vankataraman	et al.			
	13	20090002839	A1	2009-01	-01	Sato Kenichi				
	14	20080218613	A1	2008-09	)-11	Jenson Wilber	t F et. al.			
	15	20080187310	A1	2008-08	3-24	Jenson Wilber	t F et. al.			
	16	20100277269	A1	2010-11	-04	Scarff Lawrence	ce			
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Countr Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	TE

15/817,235 - GAU: 2872

Receipt date: 02/22/2018

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		15817235
	Filing Date		2017-11-19
INFORMATION DISCLOSURE	First Named Inventor	Michae	Dror
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(1000000 000000000000000000000000000000	Examiner Name		
	Attorney Docket Numb	er (	COREPH-080 US CON3

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
<b>/E.A.L/</b> hange(s) a	1 applied	20140029116	A1	2014-01-30	Tsai et al.	

to document,

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3/6/2019

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

			2010211200	Carac ,	E-0 1 E-
Application Number		15817235			
Filing Date		2017-11-19			
First Named Inventor	Micha	ael Dror			
Art Unit					
Examiner Name					
Attorney Docket Numb	er	COREPH-0080 U	S CON3		

	9	8046026	B2	2011-10-25	Коа	
	10 8731390		B2	2014-05-20	Goldenberg et al.	
If you wisl	h to add	additional U.S. Pater			lease click the Add button.  CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevar Figures Appear
	1	20100254029		2010-10-07	Yoshikazu Shinohara	
	2	20120314296	A1	2012-12-13	Shabtay et al.	
	3	20070229987	A1	2007-10-04	Shinohara	
	4	20130038947	A1	2013-02-14	Tsai et al.	
	5	20070229987	A1	2007-10-04	Tang et al.	
ange(s) a <sub>l</sub>		20120087020	A1	04/2012 2019 04 12	Tang et al.  Deng et al.	
.5./ 9/2019	7	20110115965	A1	2011-05-19	Engelhardt et al.	

# United States Patent and Trademark Office



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P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

ATTORNEY DOCKET NO. APPLICATION NO ISSUE DATE PATENT NO. CONFIRMATION NO. 15/817 235 06/18/2019 10324277 7330

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COREPH-0080 US CON3

05/29/2019

Nathan & Associates Patent Agents Ltd P.O.Box 10178 Tel Aviv, 6110101 **ISRAEL** 

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Corephotonics Ltd., Tel-Aviv, ISRAEL; Michael Dror, Nes Ziona, ISRAEL; Ephraim Goldenberg, Ashdod, ISRAEL; Gal Shabtay, Tel Aviv, ISRAEL;

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IR103 (Rev. 10/09)