IPR2020-00878 Apple Inc. v. Corephotonics, Ltd.

U.S. Patent No. 10,330,897

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Grounds at Issue

- Ground 2: Claims 2, 5, 6, 18, and 21–23
 Obviousness over Ogino and Baraeu
- Ground 3: Claims 3, 8, 19, and 24 Obviousness over Ogino, Bareau, and Kingslake
- Ground 4: Claims 16 and 30
 Obviousness over Chen, Iwasaki, and Beich

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DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

Overview of Argument

- Ground 2:
 - Proposed modification of Ogino example 5 with reduced F# is:
 - Contrary to the teachings of Bareau
 - Not manufacturable: thin lens edge, large center-to-edge ratio, steep edge angle, lack of oversizing, sharp corners
- Ground 3:
 - No motivation for modification from concave to convex image-side surface
 - Sasián analysis is unreliable
- Ground 4:
 - Proposed combination requires unachievable manufacturing precision and will leak light

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Independent Claims 1 and 17

(12)	United States Patent Dror et al.	(10) Patent No.: US 10,330,897 B2 (45) Date of Patent: *Jun. 25, 2019
(54)	MINIATURE TELEPHOTO LENS ASSEMBL	
(71)	Applicant: Corephotonics Ltd., Tel-Aviv (IL)	CPC - G02B 13/0045; G02B 9/60; G02B 27/0025; G02B 5/005; G02B 13/02; G02B 1/041;
(72)	Inventors: Michael Dror, Nes Ziona (IL); Ephraim Goldenberg, Ashdod (IL); Gal Shabtay, Tel Aviv (IL)	(Continued) (56) References Cited U.S. PATENT DOCUMENTS
(73)	Assignce: Corephotonics Ltd., Tel Aviv (IL)	2 354 503 A 7/1944 Arthur
(*)	Notice: Subject to any disclaimer, the term of the patent is extended or adjusted under U.S.C. 154(b) by 0 days.	2,378,170 A 6/1945 Aklin
	This patent is subject to a terminal d claimer.	is- CN 104297906 A 1/2015 JP 1966006865 4/1966
	Appl. No.: 15/976,391	(Continued)
(22)	Filed: May 10, 2018	OTHER PUBLICATIONS
(65)	Prior Publication Data US 2018/0275374 A1 Sep. 27, 2018	A compact and cost effective design for cell phone 200m lens, Chang et al., Sep. 2007, 8 pages. (Continued)
(51)	Related US. Applications Pata Continuation of projections No. 1593.17.25. ftild Nov. 19.2017, which is a continuation of application (Continuation) Int. CL CO22 IS90 CO22 IS90 CO21 IS90 COUNTIEND COUNTIEND Continuex0 Continuex0 Continuex0 Continuex0	 (57) ABSTRACT An optical level assembly includes five level elements and provide a TL/EFL (3.6. In an embeddance), the focal first and second level levels in similar that and four has been been similar that and the second level elements is gratering market. TL/S and an igr gap of the second level elevels level leve
	LIII .	

Ex. 1001, 8:22–37

1. A lens assembly, comprising: a plurality of lens elements arranged along an optical axis and spaced apart by respective spaces, wherein the lens assembly has an effective focal length (EFL), a total track length (TTL) of 6.5 millimeters or less and a ratio TTL/EFL<1.0, wherein the plurality of lens elements includes, in order from an object side to an image side, a first group comprising lens elements L_{1_1} , L_{1_2} and L_{1_3} with respective focal lengths f_{1_1} , f_{1_2} and f_{1_3} and a second group comprising lens elements L_{2_1} and L_{2_2} , wherein the first and second groups of lens elements are separated by a gap that is larger than twice any other gap between lens elements, wherein lens element L_{1_2} has negative refractive power and wherein lens elements L_{2_1} and L_{2_2} have opposite refractive powers.

Dependent Claims 2, 5, 6, 18, 21, 23 – F#<2.9 or F#=2.8

	United States Patent Dror et al.	(10) Patent No.: US 10,330,897 B2 (45) Date of Patent: *Jun. 25, 2019
(54)	MINIATURE TELEPHOTO LENS ASSEMBL	X (58) Field of Classification Search
(71)	Applicant: Corephotonics Ltd., Tel-Aviv (IL)	CPC - G02B 13/0045; G02B 9/60; G02B 27/0025; G02B 5/005; G02B 13/02; G02B 1/041;
(72)	Inventors: Michael Dror, Nes Ziona (IL); Ephraim Goldenberg, Ashdod (IL); Gal Shabtay, Tel Aviv (IL)	(Continued) (56) References Cited U.S. PATENT DOCUMENTS
(73)	Assignce: Corephotonics Ltd., Tel Aviv (IL)	2.354.503 A 7/1944 Arthur
(*)	Notice: Subject to any disclaimer, the term of the patent is extended or adjusted under U.S.C. 154(b) by 0 days.	2,378,170 A 6/1945 Aklin his (Continued)
	This patent is subject to a terminal d claimer.	
(21)	Appl. No.: 15/976,391	(Continued)
(22)	Filed: May 10, 2018	OTHER PUBLICATIONS
(65)	Prior Publication Data US 2018/0275374 A1 Sep. 27, 2018	A compact and cost effective design for cell phone zoom lens, Chang et al., Sep. 2007, 8 pages. (Continued)
(51)	Related U.S. Applications Data Continuition of projections No. 1593 (172,35, field No. 19, 2017, which is a continuation of application (Continued) Int. Cl. G2D 1599 (2006,01) G2D 1599 (2006,01) G2D 1599 (2003,01), G42B 159 (Continued) CC. CPC G42B 15995 (2013,01), G42B 159 (Continued) X4	60 (57) ANSTRACT An optical lens assembly includues five lens elements and provides a TIU/EFL-40. In an embodiment, the focal length of the first lens descenter if the TIU/2, an arige preseven first and accord lens elements is simular than laft the second formal lens elements is greater than TIU/3 and an air gap between the fourth and fifth lens elements is smaller than all about 15 times the fifth lens element is smaller than a short. All senses that the second lenses. All lenses
	101 102 104 101 102 104 102a L11 L11 104 102a 1040	110 112 114 1080 1080 1080 1100 1080 1100 1100 1100 1100

Ex. 1001, 8:37–10:17 core photonics **2**. The lens assembly of claim **1**, wherein the TTL is equal or smaller than 6.0 mm and wherein the lens assembly has a f-number F#<2.9.

5. The lens assembly of claim 1, wherein the lens assembly has a f-number F#<2.9.

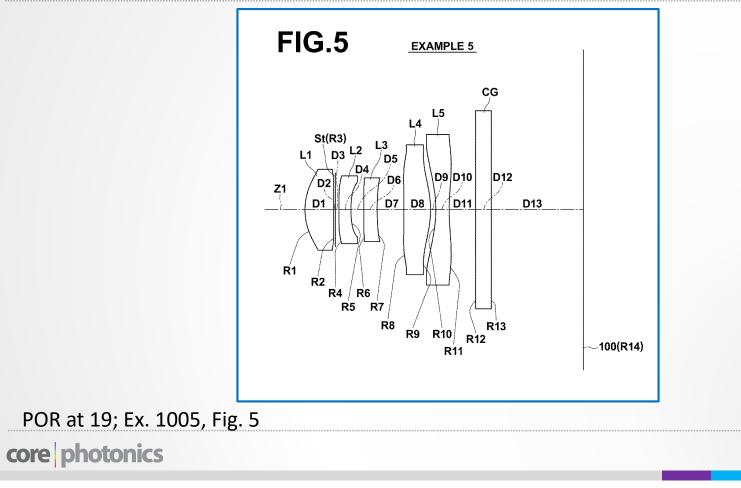
6. The lens assembly of claim 5, wherein lens element L_{1-1} has a concave image-side surface.

18. The lens assembly of claim **17**, wherein the TTL is equal or smaller than 6.0 mm and wherein the lens assembly has a f-number F#<2.9.

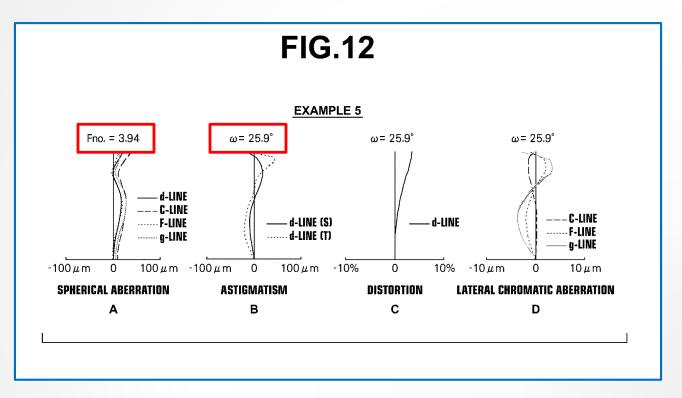
21. The lens assembly of claim **17**, wherein the lens assembly has a f-number F#<2.9.

23. The lens assembly of claim 17, wherein the lens assembly has a f-number F#=2.8.

Ogino Example 5



Ogino Example 5: F# = 3.94



POR at 19–20; Ex. 1005, Fig. 12

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Bareau "Typical Lens Specifications"

4. Specifications

The following are typical lens specifications for a ¹/₄" sensor format:

FOV	60 degrees	
Image Circle	4.6 mm diam.	
TTL	5.0mm	
f/no	f/2.8	
Distortion	<2%	
Chief Ray Angle	<22 degrees	
Relative Illumination	>50%	

FOV - The field of view for these systems is typically 60 to 66 degrees across the sensor diagonal, but the design must include a slightly larger angle to allow for correction over the image circle.

POR at 22, 31; Ex. 1012 at 3

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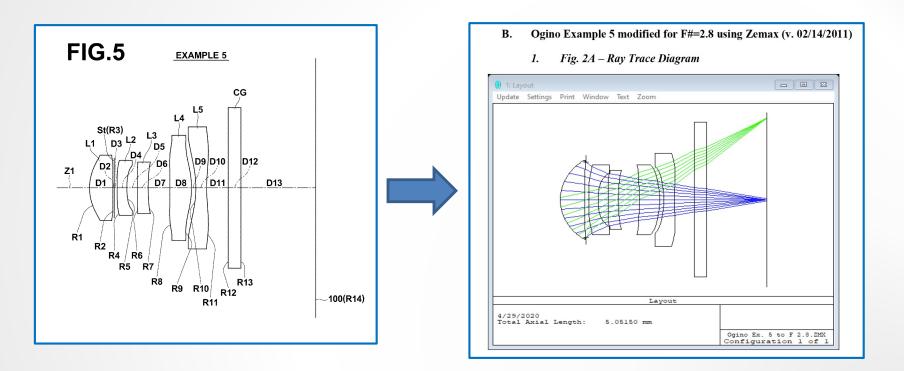
Ogino Examples: Other F# Values

	EXAMPLE 1	
Fno. = 2.47	ω= 35.2° ω= 35.2° EXAMPLE 2	ω= 35.2°
Fno. = 2.46	ω= 35.1° ω= 35.1° EXAMPLE 3	ω= 35.1°
Fno. = 2.45	$\omega = 35.2^{\circ}$ $\omega = 35.2^{\circ}$	ω= 35.2°
Fno. = 3.04	$\frac{\mathbf{EXAMPLE 4}}{\omega = 32.5^{\circ}} \qquad \omega = 32.5^{\circ}$	ω= 32.5°
	EXAMPLE 5	<i>a</i> 02.0
Fno. = 3.94	ω= 25.9° ω= 25.9° EXAMPLE 6	ω= 25.9°
Fno. = 2.64	$\omega = 29.8^{\circ} \qquad \qquad \omega = 29.8^{\circ}$	ω= 29.8°

POR at 30–31; Ex. 1005, Figs. 8–13

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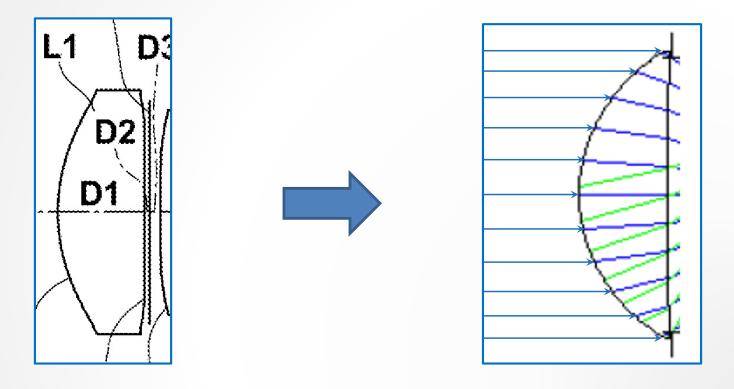
Modifying Ogino Example 5:



POR at 37–38; Ex. 1005, Fig. 5; Ex. 1003 at 104

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Modifying Ogino Example 5: First Lens Element



POR at 37–38; Ex. 1005, Fig. 5; Ex. 1003 at 104

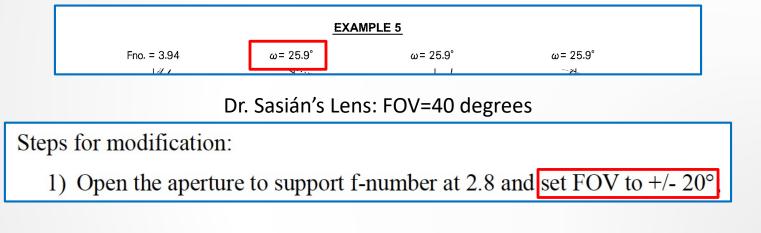
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Modifying Ogino Example 5: Field of View Too Narrow

Bareau (Ex. 1012 at 3): FOV=60–66 degrees

FOV - The field of view for these systems is typically 60 to 66 degrees across the sensor diagonal, but the design must include a slightly larger angle to allow for correction over the image circle.

Ogino Example 5: FOV=51.8 degrees



POR at 31–32; Ex. 1012 at 3; Ex. 1005, Fig. 12; Ex. 1003 at 104

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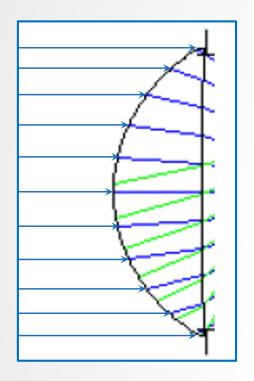
Ogino Examples: Other Designs Are Closer to Bareau's F# and FOV

	EXAMPLE 1	
Fno. = 2.47	ω= 35.2° ω= 35.2° EXAMPLE 2	ω= 35.2°
Fno. = 2.46	$ω = 35.1^\circ$ $ω = 35.1^\circ$ EXAMPLE 3	ω= 35.1°
Fno. = 2.45	$\omega = 35.2^{\circ} \qquad \omega = 35.2^{\circ}$	ω= 35.2°
1110. – 2.40	EXAMPLE 4	ω- 33.z
Fno. = 3.04	ω= 32.5° ω= 32.5° EXAMPLE 5	ω= 32.5°
Fno. = 3.94	ω = 25.9° ω = 25.9°	ω= 25.9°
Fno. = 2.64	$\frac{\mathbf{EXAMPLE 6}}{\omega = 29.8^{\circ}}$ $\omega = 29.8^{\circ}$	ω= 29.8°

POR at 30-31, 34; Ex. 1005, Figs. 8-13

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Modifying Ogino Example 5: First Lens Element Unmanufacturable



POR at 39; SR at 2 core photonics

- Microscopic lens edge
- Steep edge slope
- High center-to-edge ratio
- No ability to oversize
- Sharp corners

Not manufacturable using <u>any</u> technique for lens manufacture

Ogino Lenses Would Preferably Be Plastic Injection Molded



Dr. Jose Sasian Petitioner's Expert

POR at 35; Ex. 2009 at 69

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"While Ogino does not specifically indicate that its lens elements can be plastic, a POSITA would recognize that the index of refraction and Abbe number of the lens elements specified in Example 6 of Ogino are within the range of values of plastic materials used for cell phone lenses.

"Further lens elements of the sizes and asphericities described in Ogino would preferably be made of plastic via injection molding processes. See Ex.1019, p.34.14 (pdf p.80). A POSITA would also recognize that when designing lens elements for crafting via injection molding, a number of manufacturing realities apply that all promote maximizing the thickness of the lens element at the edge."

Bareau Teaches Plastic Injection Molded Lenses

The three-element form is very common (fig.9), and a good place to start. Just about every camera module lens manufacturer has a lens of this form in their offerings. Designs tend not to be stop-symmetric. The aperture stop is usually towards the front of the lens, often before the first element, which helps CRA and TTL. The majority of these lenses are all-plastic although some incorporate one glass element (usually the front element) for the advantages of high-index refraction and color correction. Plastic elements are almost always bi-aspheric, and frequently the aspheres are not subtle! The shape of the last lens surface in the design above is typical. Four element systems provide high performance, but are only viable when the TTL is relatively large (>6.0mm), otherwise the performance degradation due to tolerances cancels out the nominal gain. Four element systems are mostly found in cameras with ¹/₄" sensor formats or larger, though they are becoming less common. Likewise, the effectiveness of a 3-element approach decreases to the point that a 2-element system becomes more practical when the TTL is less than 4 mm.

POR at 35–36; Ex. 1012 at 8

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But Dr. Milster's Manufacturability Analysis Addresses All Techniques of Manufacture

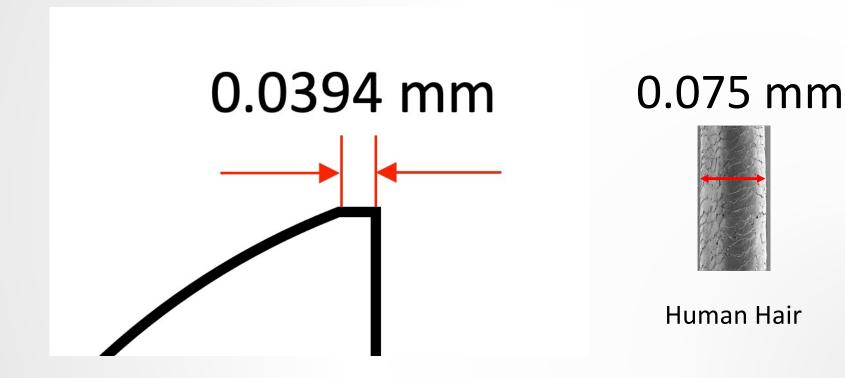


Dr. Tom Milster Patent Owner's Expert

- Injection Molding of Plastic (Ex. 2001, ¶¶ 60, 62, 77-78, 103-108, 112, 117, 121)
- Injection Molding of Glass
 (*Id.*, ¶¶ 60, 63, 103-108, 112, 117, 119, 120)
- Grinding or Polishing of Glass (*Id.*, ¶¶ 60, 63, 104-107, 110, 117, 119, 120)
- Diamond Turning (*Id.*, ¶¶ 104, 107, 117, 120, 121)
- Any Other Technology (*Id.*, ¶¶ 106-107, 117)

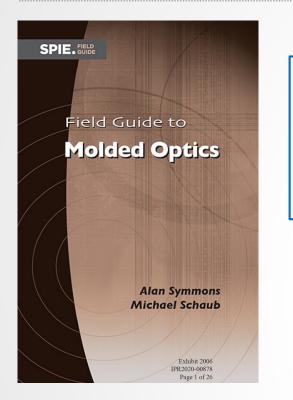
Apple does not identify <u>any</u> technique that would overcome the manufacturability problems

SR at 2; Ex. 2001



POR at 40-41; Ex. 2001, ¶ 99

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The minimum thickness required will depend on the size and shape of the part, as well as the material it is made from. Small lenses such as those used for cellphone cameras can have extremely small minimum cross sections, on the order of a few hundred microns. Larger parts will typically require correspondingly larger minimum thicknesses.

The edge of Dr. Sasián's lens is <u>39.4 microns</u>

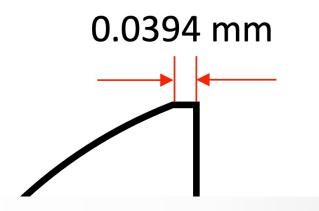
POR at 51; Ex. 2006 at 102 (copyright 2016)

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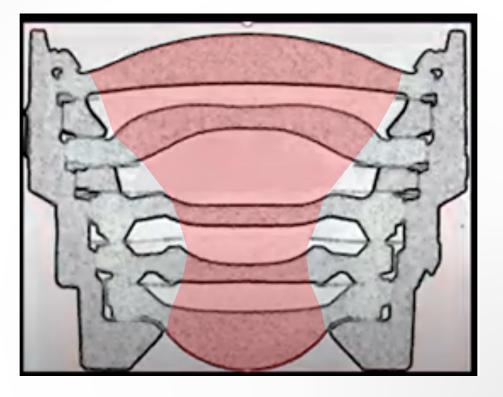
POR at 41; Ex. 2001, ¶ 99 core photonics "This is not the edge of a realistic, practical lens"





Dr. Tom Milster Patent Owner's Expert

POR at 42; Ex. 2001, ¶ 100 core photonics





Dr. Tom Milster Patent Owner's Expert

"Oversizing is necessary because a lens cannot be made with perfectly sharp corners and edges. In molded lenses, one reason for this is surface tension of the lens material. If one attempted to inject plastic or glass into a mold with sharp corners such as shown in the Zemax drawing, the liquid would not fill the corners, but would rather form a rounded surface, which would bend light differently than the ideal shape in Zemax"





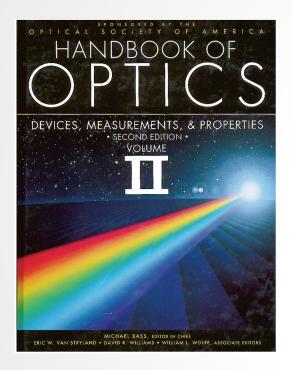
Dr. Tom Milster Patent Owner's Expert

"Even if the surface tension and other limitations of injection molding were not a factor, practical lenses will have rounded or chamfered corners rather than sharp 90° corners, regardless of the technology used to make them. As Dr. Sasián notes in his textbook, '[i]t is imperative that a bevel, or protective chamfer, is specified to avoid the lens edge easily chipping.' (Ex. 2004, Sasián at 112.)

"A sharp corner is mechanically much weaker than a rounded or chamfered corner. . . . Making extremely sharp corners without chipping the lens is difficult regardless of the manufacturing technique used."

POR at 45-46; Ex. 2001, ¶ 106-107 core photonics

Ground 2 – The Need to Oversize

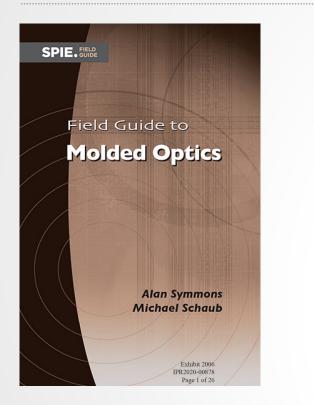


Shrinkage

Surface-tension effects may play a significant role in the accuracy to which a precision optical surface may be molded.^{33,34} Particularly in areas of the part where the ratio of surface area/volume is locally high (corners, edges), surface tension may create nonuniform shrinkage which propagates inward into the clear aperture, resulting in an edge rollback condition similar to that which is familiar to glass opticians. Surface tension and volumetric shrinkage may, however, actually aid in the production of accurate surfaces. Strongly curved surfaces are frequently easier to mold to interferometric tolerances than those having little or no curvature. These phenomena provide motivation to oversize optical elements, if possible, to a dimension considerably beyond the clear apertures. A buffer region, or an integrally molded flange provides the additional benefit of harmlessly absorbing optical inhomogeneities which typically form near the injection gate. Figure 2 depicts several optical element forms exhibiting favorable (a-e) and unfavorable (f-i)molding geometries. In some cases, a process combining injection and compression molding may be used to improve optical figure quality. Several variants of this hybrid process are in use worldwide, with some injection molding presses being specifically fitted at the factory to implement this procedure.³⁵

POR at 46; Ex. 1019, at 34.16 core photonics

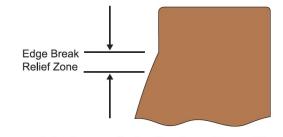
Ground 2 – The Need to Oversize



POR at 47; Ex. 2006 at 103

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The reason for limited specification is the nonuniform **shrinkage** that occurs at **transition zones** of the part, such as where the optical surface joins the flange. This shrinkage effect is known as **edge break**.



Because of the impact of edge break, molders will require the CA size to be smaller than the full optical surface that is molded. The amount of **edge relief** will depend on the part size, but one millimeter or more in the radial direction is desired for parts of approximately 10 to 25 mm in diameter.

This much relief is often impractical for smaller parts, where it would be a substantial portion of their diameter. In this case, the edge break relief zone will need to scale down with the part size.

Ground 2 – The Need to Oversize

Introduction to Lens Design José Sasián

José Sasián



Petitioner's Expert

POR at 47–48; Ex. 2004 at 111

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The lens diameter refers to the actual lens diameter, in comparison to the clear aperture of the lens that performs the optical function of refracting or reflecting light rays. A common surface polishing problem is to have the very edge of the surface turned down. To overcome this figuring problem, there is a tendency to specify a lens diameter larger, say 10–20% larger, than the clear aperture. However, usually packaging requirements and lens cost win and the

Ground 2 – Impractical Slope



Part of the selection process, when considering materials, is the cost of satisfying the manufacturing constraints. Plastic injection molded optics have minimum edge thicknesses, minimum center thickness and a range of acceptability for their center to edge thickness ratio that must be met in order that they can be molded. Additionally, the maximum slope that can be diamond-turned in mold inserts and measured in either the lens or the mold is around 45 degrees. One big advantage of plastic is that flanges with mechanical details can be molded that eliminate the need for spacers and allow for mechanically driven centering of one element to another. One disadvantage is that there are very few plastic materials that lend themselves to precision optical molding with stability over large ranges of temperature and humidity, so the choices are limited.

POR at 40, 53; Ex. 2006 at 94; Ex. 2001, ¶ 98

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Ground 2 – Impractical Slope



Dr. Tom Milster Patent Owner's Expert

POR at 53; Ex. 2001, ¶ 121 core photonics "While this discussion appears in the section on glass molding, each of these problems applies equally to molding plastic and indeed to almost any manufacturing technique. . . . A POSITA would recognize that the 58.86° slope in Dr. Sasián's modified lens is not practical."

Attribute	Rules of Thumb Tolerances
Radius of Curvature	$\pm 0.50\%$
EFL	$\pm 1.0\%$
Center Thickness	± 0.020mm
Diameter	± 0.020mm
Wedge (TIR) in the Element	< 0.010mm
S1 to S2 Displacement (across the parting line)	< 0.020mm
Surface Figure Error	\leq 2 fringes per 25.4mm (2 fringes = 1 wave @ 632nm)
Surface Irregularity	\leq 1 fringes per 25.4mm (2 fringes = 1 wave @ 632nm)
Scratch-Dig Specification	40-20
Surface Roughness (RMS)	\leq 100 Å
Diameter to Center Thickness Ratio	< 4:1
Center Thickness to Edge Thickness Ratio	< 3:1
Part to Part Repeatability (in a one cavity mold)	< 0.50%

Beich Manufacturing Tolerances

POR at 48; Ex. 1007 at 7

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Dr. Tom Milster Patent Owner's Expert

POR at 53; Ex. 2001, ¶ 121 core photonics "While this discussion appears in the section on glass molding, each of these problems applies equally to molding plastic and indeed to almost any manufacturing technique. . . . A POSITA would recognize that the 58.86° slope in Dr. Sasián's modified lens is not practical."



Dr. Tom Milster Patent Owner's Expert

POR at 48–49; Ex. 2001, ¶ 112 core photonics

"Tolerances for glass molding are similar. (Ex. 2006, Symmons at 95.) As the Field Guide notes, 'high repeatability from component to component' is an advantage of molded lenses over other techniques, so other techniques have tolerance issues as well. (Ex. 2006, Symmons at 2.)"



Dr. Tom Milster Patent Owner's Expert

"Manufacturing tolerances add up. . . . [T]hese four variances add under the root sum square rule to yield an error that goes as the square root of the number of errors. (Ex. 2004, Sasián at 116–117.) Even if the first lens is slightly oversized, these additive errors can easily lead to a situation where there is an open gap between the first lens and the aperture, allowing light to leak through and adding a diffuse haze to the image, something that is highly undesirable."

 POR at 48–49; Ex. 2001, ¶ 112

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Ground 2 – Center-to-Edge Thickness



Dr. Tom Milster Patent Owner's Expert

"These many issues with thin lens edges lead to a rule of thumb in the Beich paper, which Dr. Sasián himself cites as something that a POSITA would be motivated to follow: the 'Center Thickness to Edge Thickness Ratio' should be less than 3:1. (Ex. 1007, Beich at 7; Ex. 1003, Sasián Decl., ¶ 78.) Dr. Sasián's textbook gives a similar rule of thumb, saying 'the ratio of lens central thickness to edge thickness should [not be more] than than 3.2.' (Ex. 2004, Sasián at 194.) My chapter in the Handbook of Optics likewise says to use 'a center/edge thickness ratio less than 3.' (Ex. 2008, Handbook of Optics at 7.11.) By contrast, Dr. Sasián's design has a ratio of 0.6 mm / 0.039375 mm = 15.238, far outside the range of what a POSITA would consider manufacturable."



Ground 2 – Center-to-Edge Thickness

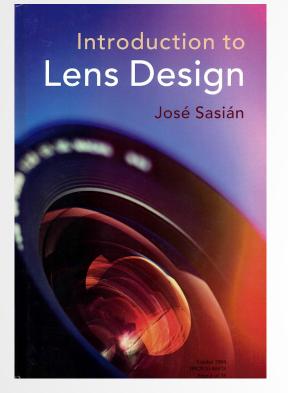


Dr. Tom Milster Patent Owner's Expert

"While that rule of thumb applies to plastic lenses, a POSITA would recognize that the tiny edge thickness is similarly problematic for glass lenses. For example, the Field Guide states that 'Very small edge thicknesses (<0.4 mm) should be avoided, as these lenses become very difficult to handle and can chip easily.' This chipping issue is not unique to molded glasses, but will also apply to glass lenses formed other ways. Bareau recognizes this as a general problem for glass lenses when it warns that '[f]or glass elements, the edge thicknesses will become too thin to be fabricated without chipping.' (Ex. 1012, Bareau at 1.) A POSITA would recognize that the edge of Dr. Sasián's lens (0.0394 mm) is too small by a factor of ten for a glass lense."



Ground 2 – Apple's Argument that a POSITA Would Not Have Known It Was Impossible to Manufacture the Proposed Lens

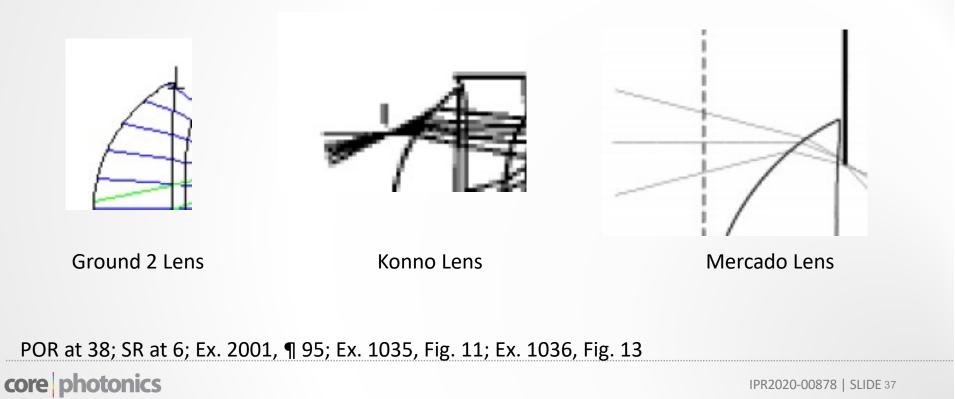


SR at 4–5; Ex. 2004 at 111–112, 194 core photonics reflecting light rays. A common surface polishing problem is to have the very edge of the surface turned down. To overcome this figuring problem, there is a tendency to specify a lens diameter larger, say 10–20% larger, than the clear aperture. However, usually packaging requirements and lens cost win and the

diameter of the lens is minimized to only allow for enough clearance to properly mount the lens. It is imperative that a bevel, or protective chamfer, is specified to avoid the lens edge easily chipping.

17.3 Lens Manufacturing Considerations

For proper plastic flow and cooling, plastic lens manufacturers have some requirements for the aspect ratio of positive and negative lenses. Some guidelines are as follows: for positive lenses the ratio of lens central thickness to edge thickness should not be more than 3.2, and the edge thickness should not be less than 0.32 mm; for negative lenses the ratio of the maximum thickness





1

Konno Lens Drawing

Dr. Sasián's Simulation of Konno Lens

SR at 6-8; Ex. 1035, Fig. 11; IPR2020-00906, Ex. 1021 at 31

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Dr. Jose Sasian Petitioner's Expert

Q. So at least to the extent that Figure 11 of Konno is describing an injection-molded plastic lens, one skilled in the art would understand that the lens actually being represented by Figure 11 wouldn't have the front and back surfaces of the first lens meeting at a sharp edge, but there would be some other shape there, right?

A. Yes. They would incorporate a flange, F-L-A-N-G-E. They would adjust the lens for the fabrication process at hand.





Dr. Jose Sasian Petitioner's Expert

Q. And so one skilled in the art, looking at Figure 13 of Mercado, and wanting to build that lens using injectionmolded plastic, would they understand that the actual shape of the lens outside of the clear aperture would be different than what's shown in Figure 13 so that the lens could have, for example, a flange?

A. I wouldn't say that would understand. They would adjust the lens for the fabrication process at hand.

SR at 8-9; Ex. 2012 at 117:11–118:1 core photonics

Ground 3

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Dependent Claims 3, 8, 19, and 24 – Image-Side Surface

US 2018/02/5374 A1 Sep. 27, 2018 Decked 15. Applications of the second seco		Unite Dror et	d States Patent al.	(10) Patent No.: US 10,330,897 B2 (45) Date of Patent: *Jun. 25, 2019
 Applicatic Complements Lids. Tables 2011 1041; (Continuel) Invartar Kickanding, Tak.Wi (Li) (Continuel) Margane Complements Lids. [Tab Xivi (Li) (Continuel) Margane Complements Lids. [Tab Xivi (Li) (Li) (Li) (Li) (Li) (Li) (Li) (L	(54)	MINIATU	RE TELEPHOTO LENS ASSEMBLY	
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Gal Shabing, Tel ANG (U). Gal Shabing, Tel ANG (U). Nextees: Subject in any disclaimer, the term of this U.S.C. 14(4) by 0 days. This paper in subject to a terminal claimer. Dependence of the term of this U.S.C. 14(4) by 0 days. Dependence of the term of this (Continued) Dependence of the term of	(72)	Inventors:	Michael Dror, Nes Ziona (IL);	
 a) Aujance Carophoneta ELLE, 162 Auiv, (L1) b) Neise: Carophoneta ELLE, 162 Auiv, (L1) b) Neise: Subject to a terminal discussion of a particle structure of a str			Gal Shabtay, Tel Aviv (IL)	
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 Por Publication Data US 801275374 A Sep 27, 2018 Marcel US, Application Ma, 1847 2, 2018 Backet US, Application Ma, 1847 2, 2018 Continuosi (Continuosi) Continuosi (200606) (Continuosi) Continuosi (200606) (Continuosi) Continuosi (200606) (Continuosi) Continuosi (200606) (Continuosi) Continuosi (200606) (Continuosi) Continuosi (200606) Continuosi (20				
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(2) Journal of the problem (2) Journal of the		03 20180	1213514 Al Sep. 27, 2018	
$ \begin{array}{l} \text{(Continued)} \\ \text{(Continued)} $				(74) Attorney, Agent, or Firm - Nathan & Associates;
$ \begin{array}{ l l l l l l l l l l l l l l l l l l $	(63)	Continuati Nov. 19, 2	on of application No. 15/817,235, filed on 017, which is a continuation of application	
) Bit CL scalar (2006) (0000)			(Continued)	
$\begin{array}{c} \textit{GOBT DAR} \\ \textit{CN} & \textit{CM} \\ \textit{CM} \textit$	(51)		90 (2005.01)	length of the first lens element f1 <ttl 2,="" air="" an="" between<="" gap="" td=""></ttl>
9 US.C. CRC - GOB LIMMUS (2015.01), GOB L			92 (2006.01)	lens element thickness, an air gap between the third and
(3)(3)(1); G2D %ide (3)(3,0); examina may be aphene: (Continuest) 30 (Chine, Derwing States) 101 (-1)(1) (-1)(1) (-1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1	(52)	U.S. Cl.		between the fourth and fifth lens elements is smaller than
101 101 102 102 102 102 102 102		срс	(2013.01); G02B 9/60 (2013.01);	elements may be aspheric.
101 101 102 102 102 102 102 102			(Continued)	30 Claims, 6 Drawing Sheets
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1025			1040	
1020			102b	

3. The lens assembly of claim 1, wherein the TTL is equal or smaller than 6.0 mm and wherein lens element L_{1_1} has an image-side surface diameter between 2.3 mm and 2.5 mm.

8. The lens assembly of claim 5, wherein lens element $L_{1,1}$ has a convex image-side surface.

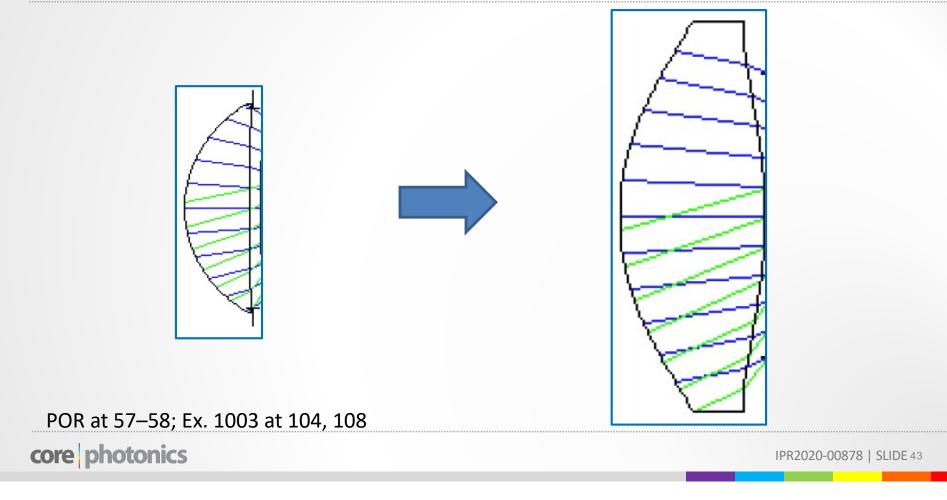
19. The lens assembly of claim 17, wherein the TTL is equal or smaller than 6.0 mm and wherein lens element L_{1_1} has an image-side surface diameter between 2.3 mm and 2.5 mm.

24. The lens assembly of claim **21**, wherein lens element L_{1-1} has a convex image-side surface.

Ex. 1001, 8:40-10:19

core photonics

Modifying Ogino Example 5, Again: First Lens Element



Modifying Ogino Example 5, Again: First Lens Element



Dr. Jose Sasian Petitioner's Expert

Q. Am I correct that the only values on Page 11 from the rows defining the first lens element that match any values in Ogino Example 5 are the index of refraction and the Abbe number of the glass used?

A. And the question refers to the first lens?

Q. Correct.

A. Yes. I believe so.

POR at 58; Ex. 2003 at 48:15–24 core photonics

Ogino Examples: Other F# Values

	<u>E</u>	XAMPLE 1	
Fno. = 2.47	ω= 35.2° <u>Ε</u> Σ	ω= 35.2° XAMPLE 2	ω= 35.2°
Fno. = 2.46	ω= 35.1° Ε	ω= 35.1° XAMPLE 3	ω= 35.1°
Fno. = 2.45	ω= 35.2°	ω= 35.2° XAMPLE 4	ω= 35.2°
Fno. = 3.04	ω= 32.5°	ω= 32.5° XAMPLE 5	ω= 32.5°
Fno. = 3.94	ω= 25.9°	ω= 25.9°	ω= 25.9°
Fno. = 2.64	<u>ε.</u> ω= 29.8°	ΔΑΜΡLΕ 6 ω= 29.8°	ω= 29.8°

POR at 60; Ex. 1005, Figs. 8–13

core photonics

Ogino First Lens Element Has Concave Image Surface

In the imaging lens L, the first lens L1 has a positive refractive power in the vicinity of the optical axis, and has a meniscus shape which is convex toward the object side in the vicinity of the optical axis. As shown in the embodiments, by making the first lens L1, which is a lens closest to the object, have a positive refractive power and have a meniscus shape which is convex toward the object side in the vicinity of the optical axis, the position of the rear side principal point of the first lens L1 can be set to be close to the object, and thus it is possible to appropriately reduce the total length.

POR at 56–57; Ex. 1005 at 7:31–37

core photonics

Ogino First Lens Element Has Concave Image Surface



"The fact that the first lens element has a concave image-side surface is a feature of every example in Ogino and is described by Ogino as a defining feature of its invention."

Dr. Tom Milster Patent Owner's Expert

POR at 56; Ex. 2001, ¶ 126 core photonics

Dr. Sasián Produced a Convex Image-Side Surface By Fixing the Radius of Curvature to Be Negative



Dr. Tom Milster Patent Owner's Expert

POR at 61; Ex. 2001, ¶ 136; Ex. 1003 at 111 core photonics

"The blank box to the right of the radius of curvature for this image-side surface indicates that this value was fixed (and thus that that surface was fixed to be convex) during the run of Zemax that produced the screen capture:"

🕕 Lens Data Editor							
Edit	Solves View	Help					
5	Surf:Type	Comment	Radius				
OBJ	Standard		Infinity				
1	Even Asph		1.606950699				
2	Even Asph		-2.94377806	Γ			
STO	Standard		Infinity				
4	Even Asph		-18.7883600				
				_			

Dr. Sasián Did Not Explain and Could Not Remember How He Obtained a Negative Radius of Curvature



Dr. Jose Sasian Petitioner's Expert

Petitioner's Expert

POR at 62; Ex. 2003 at 50:18–53:9 core photonics

Q. So is it correct that they were generated automatically by the program and then you told Zemax to stop changing them as you performed further optimization?

A. Probably.

Q. And so the particular output we see on Page 111 reflects an optimization step where the aspheric coefficients were allowed to vary but the radii and thicknesses were not; is that right?

A. Perhaps. Perhaps.

Q. Why do you say, "Perhaps"?

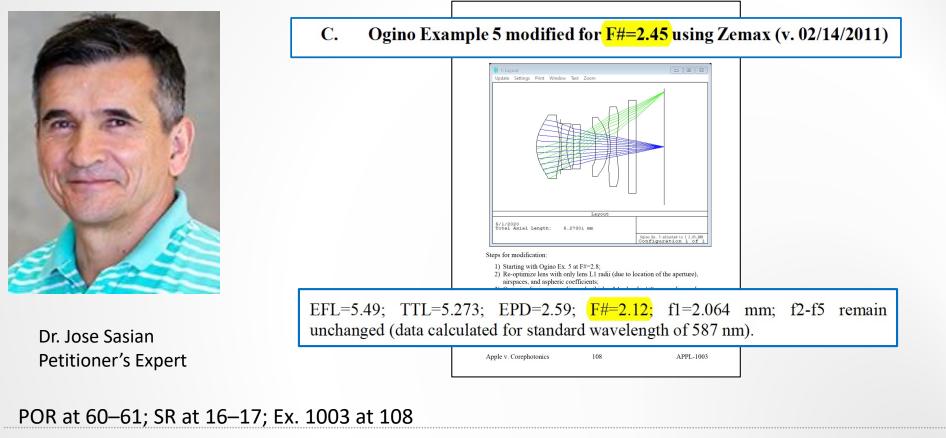
A. It appears so that -- because I don't remember exactly the -- the sequence. . . .

No Motivation or Explanation for

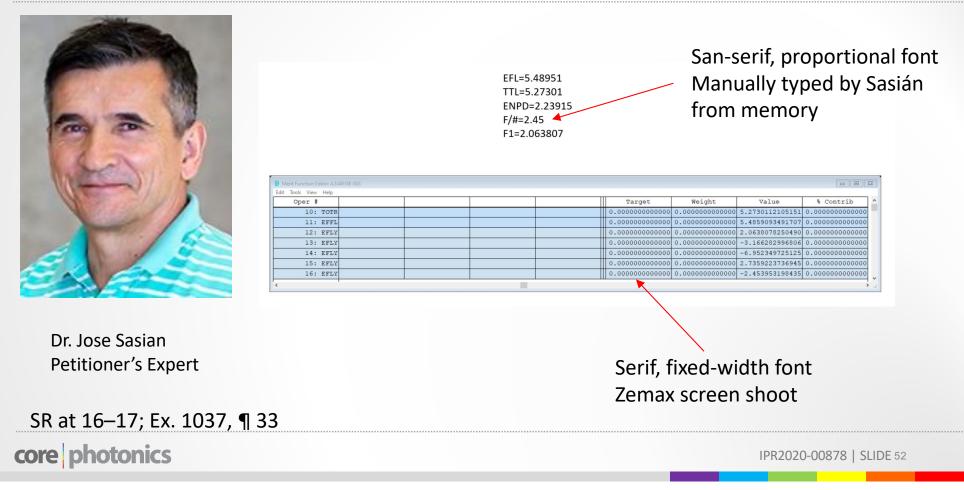
Changing Ogino's Lens from Concave to Convex

- No explanation for why a POSITA would ignore Ogino's teachings on lens shape
- Only explanation for even changing radius of curvature is vague statement "due to location of the aperture"
- No examples cited of prior art with bi-convex first lens shape
- No benefits or other motivation cited for bi-convex shape
- The fact that the Board found it obvious to change Ogino Example 6's second lens from biconcave to menicus based on Chen II in IPR2018-01140 does not make it obvious to change the shape of Ogino Example 5's first lens based on nothing at all.

POR at 63; SR at 13–15	
core photonics	IPR2020-00878 SLIDE 50



core photonics





Q. If I could -- yeah. I mean, if I could interrupt, I think the EFL, TTL, and F1 values in that list of five values match, subject to rounding values, from the screen capture below that list of values. But I don't see the entrance pupil diameter or the f-number in that table.

A. Okay.

Q. So -- and -- yeah. And I was just wondering where this came from. It doesn't seem to be the font that ZEMAX uses in its output. It looks like ZEMAX uses a serif font, and this font is sans serif. So where did this list of five values in your paragraph 33 come from?

A. Yes. The font is not the same, because I manually wrote those lines on --

Dr. Jose Sasian Petitioner's Expert

SR at 16–17; Ex. 2012 at 101:1–15 core photonics



Q. And the f-number equal to 2.45, what were you looking at when you typed in those numbers?

A. I think, in this case, you have to tell the program what would be the f-number, and you just request the f-number to be 2.45, and then you know it's 2.45.

Q. So 2.45, you believe, is a number that you typed into ZEMAX sometime back in April or May of 2020, when you were doing the original work for the original declaration?

A. As I recall right now, yes.

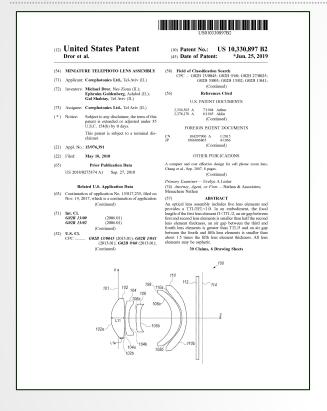
Dr. Jose Sasian Petitioner's Expert No motivation provided for modifying Ogino Example 5 to have an F# of 2.12



Ground 4

core photonics

Dependent Claims 16 and 30 – F# 2.9 and L11/L1e < 3



Ex. 1001, 8:37–10:37

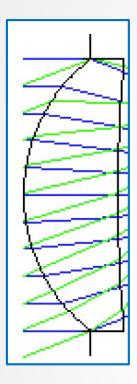
2. The lens assembly of claim **1**, wherein the TTL is equal or smaller than 6.0 mm and wherein the lens assembly has a f-number F#<2.9.

16. The lens assembly of claim 2, wherein the lens assembly further includes a ratio between a largest optical axis thickness L11 and a circumferential edge thickness L1e of lens element L_{11} of L11/L1e<3.

18. The lens assembly of claim **17**, wherein the TTL is equal or smaller than 6.0 mm and wherein the lens assembly has a f-number F#<2.9.

30. The lens assembly of claim **18**, wherein the lens assembly further includes a ratio between a largest optical axis thickness L**11** and a circumferential edge thickness L**1***e* of lens element L_{1-1} of L**11**/L**1***e* \leq 3.

Setting Chen's Unspecified Object-Side Lens Diameter



1.2375 mm = Semi-Diameter First Lens Object-Side Surface

1.2333 mm = Semi-Diameter of Aperture Stop

Difference = 0.0042 mm

POR at 64, 66; Ex. 1003 at 115; Ex. 2001, ¶ 142 core photonics

Setting Chen's Unspecified Object-Side Lens Diameter



Dr. Tom Milster Patent Owner's Expert

"As this shows, the bundle, and thus the entrance pupil, extends all the way across the left surface of the lens. Apple has not proposed making the lens smaller, but if it had, the lens cannot be made smaller without reducing the entrance pupil diameter and increasing the f-number.

"Likewise, Apple has not proposed making the lens larger. But, if it had, the largest that the lens semi-diameter could be without increasing the center-to-edge thickness ratio above 3 would be less than 1.249 mm, approximately 0.012 mm larger (less than 1% larger) than Dr. Sasián proposes."

POR at 65–66; Ex. 2001, ¶¶ 144–145 core photonics

Apple's Ground 4 Obviousness Theory Rests on Beich and on Using Injection Molded Plastic



Dr. Jose Sasian Petitioner's Expert

"Since Example 1 would preferably have been manufactured via injection molding, as discussed above, and to the extent that Chen does not provide manufacturing parameters, a POSITA would have looked to polymer injection molding references such as Beich, which 'discuss[es] the polymer optics manufacturing process and examine[s] the best practices to use when working with a polymer optics manufacturer."

POR at 6; Ex. 1003, ¶ 81 core photonics

Ground 4 – Limits of Manufacturing Precision

Rules of Thumb Tolerances Attribute Radius of Curvature $\pm 0.50\%$ EFL $\pm 1.0\%$ Center Thickness ± 0.020 mm ± 0.020mm Diameter Wedge (TIR) in the Element < 0.010mm S1 to S2 Displacement (across the parting line) < 0.020mm Surface Figure Error ≤ 2 fringes per 25.4mm (2 fringes = 1 wave @ 632nm) Surface Irregularity ≤ 1 fringes per 25.4mm (2 fringes = 1 wave @ 632nm) Scratch-Dig Specification 40-20 Surface Roughness (RMS) $\leq 100 \text{ Å}$ Diameter to Center Thickness Ratio < 4:1 Center Thickness to Edge Thickness Ratio < 3:1Part to Part Repeatability (in a one cavity mold) < 0.50%

Beich Manufacturing Tolerances:

Difference in diameter between first lens and aperture stop is only <u>0.008 mm</u>.

POR at 66–67; Ex. 1007 at 7

core photonics



Dr. Tom Milster Patent Owner's Expert

"As noted above, the semi-diameter of the first lens is only 0.004 mm larger than the stop. If the lens is too small by 0.020 mm in diameter (0.010 mm in semi-diameter), this will make the semi-diameter of the first lens smaller than the semi-diameter of the stop by 6 µm [0.006 mm]. This is even without taking into account other sources of variation in the diameter of the stop and the alignment of the components. A first lens smaller than the stop will mean that light will leak and scatter around the lens and cause a haze in the image that is highly undesirable. For this reason alone, a POSITA would make the first lens from Chen larger in diameter than Dr. Sasián proposes, something that Dr. Sasián does not consider."

POR at 66–67; Ex. 2001, ¶ 147 core photonics



Dr. Tom Milster Patent Owner's Expert

POR at 67; Ex. 2001, ¶ 148 core photonics "But even if Dr. Sasián had proposed increasing the size of the lens to be as large as possible while keeping the thickness ratio under 3, the largest possible semidiameter (under 1.249 mm) would be less than 0.016 mm larger than the stop. A POSITA would recognize that this is unacceptable, given the multiple sources of manufacturing variation of the order of 0.010 mm in semidiameter and adding under the root sum square rule. (Ex. 2004, Sasián at 116–117.)"



"The lens is unacceptable even without taking into account the need to oversize 'considerably beyond the clear apertures' (Ex. 1019, Handbook of Optics, Vol. 2 at 34.16.) or by around 4–10% (Ex. 2006, Symmons at 103), or the need for room for rounded corners, discussed in connection with ground 2."

Dr. Tom Milster Patent Owner's Expert

POR at 67; Ex. 2001, ¶ 149 core photonics



Dr. Tom Milster Patent Owner's Expert

"[A] POSITA would recognize that the combination of Chen, Iwasaki, and Beich proposed by Dr. Sasián would not be a practical lens, based on the very manufacturing rules of thumb in Beich, among other reasons. Even if a POSITA was motivated to make a lens with center-to-edge thickness ratio less than 3, that POSITA would not have been motivated to make the Chen Example 1 lens with that ratio, as proposed by Dr. Sasián."

 POR at 68; Ex. 2001, ¶ 151

 core photonics

 IPR2020-00878 | SLIDE 64

Apple's Response: Manufacturing Considerations Do Not Matter

would have been possible for a POSITA to produce. Instead, Patent Owner complains that the lens design cannot be oversized to meet various alleged manufacturing tolerances for injection molded lenses. *See* Response, pp.65-67. As discussed above, these manufacturing considerations are not included in claims 16 and 30 or anywhere else in the '897 patent. *See* APPL-1028, 85:20-86:9.

> But manufacturing considerations are the <u>entire</u> justification for combining Chen and Iwasaki with Beich

SR 18-20; Reply at 28	
core photonics	IPR2020-00878 SLIDE 65

Apple's Argument That '897 Patent Examples Are Unmanufacturable Ignores Its Disclosures and Rests on Faulty Calculations



Dr. Jose Sasian Petitioner's Expert SR at 24–25; Ex. 2012, 88:13–89:15 **core photonics** Q. So the numbers in the patent are a little different than the numbers that you calculated. In particular, for Example Number 2, according to paragraph 40 of your declaration, you calculated an L11/L1e ratio of 3.049, whereas the patent says that ratio is 2.916; would you agree?

A. Okay. Thank you. Yeah, I see there is a difference.

Q. Prior to the last few minutes, were you aware of this difference between the numbers that you gave for the ratio in your declaration and the number given for the ratio in the patent itself?

A. No, I wasn't aware of the difference.

Apple's Argument That '897 Patent Examples Are Unmanufacturable Ignores Its Disclosures and Rests on Faulty Calculations



Dr. Jose Sasian Petitioner's Expert SR at 26–27; Ex. 2012, 90:17–91:14 **core photonics** Q. So would one explanation for the difference be that the calculation of L11/L1e that resulted in the values in Column 2 of the patent used diameters that weren't exactly the values shown in the tables but simply round to be the values in the table?

A. Well, rounding could be the answer. Yes, it could be a rounding issue.

Q. So to speak concretely about Example 2 from the patent, in Table 3, the first and second surfaces of the first lens are listed as having a diameter of 2.6, but if the -- and that's what you used to calculate the ratio in your declaration. But if the lens diameter were a little bit less than 2.6 but greater than 2.55, somewhere in there, you might get the centered-edge-thickness ratio that's reported in Column 2 of the patent?

A. Yeah, that would be the case.

'897 Patent Examples Are Manufacturable

(12) Unite Dror et	ed States Patent	(10) Patent No.: US 10,330,897 B2 (45) Date of Patent: *Jun. 25, 2019
(54) MINIAT	URE TELEPHOTO LENS ASSEMBLY	(58) Field of Classification Search
(71) Applican	t: Corephotonics Ltd., Tel-Aviv (IL)	CPC - G02B 13/0045; G02B 9/60; G02B 27/0025 G02B 5/005; G02B 13/02; G02B 1/041
(72) Inventors	 Michael Dror, Nes Ziona (IL); Ephraim Goldenberg, Ashdod (IL); Gal Shabtay, Tel Aviv (IL) 	(Continued) (56) References Cited U.S. PATENT DOCUMENTS
(73) Assignce	Corephotonics Ltd., Tel Aviv (IL)	2.354,503 A 7/1944 Arthur
(*) Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.	2.378,170 A 61945 Akkin (Continued) FOREIGN PATENT DOCUMENTS
	This patent is subject to a terminal dis- claimer.	CN 104297906 A 1/2015 JP 1966006865 4/1966
(21) Appl. No	a.: 15/976,391	(Continued)
(22) Filed:	May 10, 2018	OTHER PUBLICATIONS
(65) US 2018	Prior Publication Data /0275374 A1 Sep. 27, 2018	A compact and cost effective design for cell phone zoom lens Chang et al., Sep. 2007, 8 pages. (Continued)
 Nov. 19, (51) Int. Cl. G02B 13 G02B 13 (52) U.S. Cl. 	492 (2006.01) (Continued) (Continued) (2013.01); 692B 1/941 (2013.01); 692B 9/96 (2013.01); (Continued) X 108	ž

ability of the lens and its quality. Advantageously, the present inventors have succeeded in designing the first lens element to have a L11/L1e ratio smaller than 4, smaller than 3.5, smaller than 3.2, smaller than 3.1 (respectively 3.01 for element 102 and 3.08 for element 302) and even smaller than 3.0 (2.916 for element 202). The significant reduction in the L11/L1e ratio improves the manufacturability and increases the quality of lens assemblies disclosed herein.

SR at 23; Ex. 1001, 2:43-50

core photonics

'897 Patent Examples Are Manufacturable

(12)	Unite	d States Patent al.	(10) Patent No.: US 10,330,897 B (45) Date of Patent: *Jun. 25, 201
(54)	MINIATU	RE TELEPHOTO LENS ASSEMBLY	(58) Field of Classification Search
(71)	Applicant:	Corephotonics Ltd., Tel-Aviv (IL)	CPC - G02B 13/0045; G02B 9/60; G02B 27/002; G02B 5/005; G02B 13/02; G02B 1/04
(72)	Inventors:	Michael Dror, Nes Ziona (IL);	(Continued)
		Ephraim Goldenberg, Ashdod (IL); Gal Shabtay, Tel Aviv (IL)	(56) References Cited
(73)	Assignee	Corephotonics Ltd., Tel Aviv (IL)	U.S. PATENT DOCUMENTS
	Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35	2,354,503 A 7/1944 Anhur 2,378,170 A 6/1945 Aklin (Continued)
		U.S.C. 154(b) by 0 days.	FOREIGN PATENT DOCUMENTS
		This patent is subject to a terminal dis- claimer.	CN 104297906 A 1/2015 JP 1966006865 4/1966
(21)	Appl. No.:	15/976,391	(Continued)
(22)	Filed:	May 10, 2018	OTHER PUBLICATIONS
(65)		Prior Publication Data	A compact and cost effective design for cell phone zoom len Chang et al., Sep. 2007, 8 pages.
	US 2018/0	275374 A1 Sep. 27, 2018	(Continued)
			Primary Examiner - Evelyn A Lester
		ated U.S. Application Data	(74) Attorney, Agent, or Firm — Nathan & Associates; Menachem Nathan
(63)		on of application No. 15/817,235, filed on 017, which is a continuation of application	(57) ABSTRACT
	Int. Cl. <i>G02B</i> 13/6 <i>G02B</i> 13/6 U.S. Cl. CPC		An optical lens assembly includes five lons elements an provides a TUERE-10. In an embodiment, the loss first and second lens elements is smaller than half the secon- fierd and second lens elements is smaller than the second lense of the second lense is a smaller than first and lenses of the second lense is smaller than between the fourth and fifth lense elements in smaller than about 1.5 times the fifth lense element thickness. All let elements may be supheric.
		(Continued)	30 Claims, 6 Drawing Sheets
			× ¹⁰⁰
		102a Lite Lite Lite Lite Lite Lite Lite Lite	¥

TABLE 3						
#	Comment	Radius R [mm]	Distances [mm]	Nd/Vd	<mark>Diamete</mark> r [mm]	
1	Stop	Infinite	-0.592		<mark>2.5</mark>	
2	L11	1.5457	0.898	1.53463/56.18	2.6	
3	L12	-127.7249	0.129		2.6	
4	L21	6.6065	0.251	1.91266/20.65	2.1	
5	L22	2.8090	0.443		1.8	
6	L31	9.6183	0.293	1.53463/56.18	1.8	
7	L32	3.4694	1.766		1.7	
8	L41	-2.6432	0.696	1.632445/23.35	3.2	
9	L42	-1.8663	0.106		3.6	
10	L51	-1.4933	0.330	1.53463/56.18	3.9	
11	L52	-4.1588	0.649		4.3	
12	Window	Infinite	0.210	1.5168/64.17	5.4	
13		Infinite	0.130		5.5	

'897 Patent Example 2 first lens semi-diameter is 0.050 mm greater than stop semidiameter.

Ground 4 combination first lens semi-diameter is only 0.004 mm greater than stop semidiameter.

Beich semi-diameter tolerance is ±0.010 mm.

SR at 26, 28–29; Ex. 1001, 6:5–24

core photonics

Thank You

core photonics