## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:	Hitesh BATRA
Title:	AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
Prior Appl. No.:	13/548,446
Prior Appl. Filing Date:	7/13/2012
Examiner:	Unassigned
Art Unit:	Unassigned
	CONTINUING PATENT APPLICATION TRANSMITTAL LETTER
Commissioner for Pa P.O. Box 1450 Alexandria, VA 2231	
Commissioner:	
Transmitted h	nerewith for filing under 37 C.F.R. § 1.53(b) is a:
[X] Continu	ation [ ] Division [ ] Continuation-In-Part (CIP)
of the above-identifie	ed copending prior application in which no patenting, abandonment, or
termination of procee	dings has occurred. Priority to the above-identified prior application is
hereby claimed under	35 U.S.C. § 120 for this continuing application. The entire disclosure
of the above-identifie	d prior application is considered as being part of the disclosure of the
accompanying contin	uing application and is hereby incorporated by reference therein.
[] Applio	cant claims small entity status under 37 CFR 1.27.
Enclosed are:	
[X] Descri	ption, Claims, and Abstract (23 pages).
[X] Execu	ted Declaration (4 pages).

- [X] Power of Attorney (1 page).
- [X] Information Disclosure Statement, Form PTO-SB08.
- [X] Application Data Sheet (37 CFR 1.76).

The adjustment to the number of sheets for EFS-Web filing follows:

Number of Sheets		EFS-Web Adjustment	Number of Sheets for EFS-Web
23	X	75%	18

The filing fee is calculated below at the large entity rate:

	Number		Included		Extra		Rate		Fee
	Filed		in Basic Fee						Totals
Basic Filing Fee			Dasie Fee				\$280.00	=	\$280.00
Search Fee							\$600.00	-	\$600.00
Examination Fee							\$720.00	-	\$720.00
Size Fee	18	-	100		0	X	\$400.00	-	\$0.00
Total	9	-	20	-	0	X	\$80.00	-	\$0.00
Claims:									
Independent:	1	-	3	==	0	X	\$420.00	==	\$0.00
If any Multipl	e Dependen	t Cla	im(s) pres	ent:		+	\$780.00	and the same of th	\$0.00
Surcharge und	ler 37 CFR	1.160	(e) for late	filin	ig of	+	\$140.00	_	\$0.00
Executed Dec	laration or l	ate p	ayment of	filin	g fee				
Prior	ritized Exan	ninat	ion fee (Tr	ack	I) unde	r 37 C.	F.R. § 1.17 (c)		\$0.00
	Pro	cess	ing Fee (T	rack	I) unde	r 37 C	.F.R. § 1.17 (i)		\$0.00
					7	OTAL	FILING FEE:	=	\$1600.00
Assignment R	ecordation :	Fee:				+	\$40.00	===	\$0.00
Processing Fe	e under 37 (	CFR	1.17(i) for	Late	e Filing	+	\$140.00	_	\$0.00
of English Tra	inslation of	App1	ication:		_				
Publication Fe	ee							_	\$0.00
TOTAL FEE		***************************************						=	\$1600.00

The above-identified fees of \$1600.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the

credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date July 2, 2013

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5569 Facsimile:

(202) 672-5399

Stephen B. Maebius Attorney for Applicant

Registration No. 35,264

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Fee							<b>4</b> -55100		<b>+2</b> 33.33
Search Fee							\$600.00	***************************************	\$600.00
Examination							\$720.00		\$720.00
Fee									
Size Fee	18	-	100		0	X	\$400.00		\$0.00
Total	9	-	20	-	0	X	\$80.00		\$0.00
Claims:								***************************************	
Independent:	l	-	3		0	x	\$420.00	==	\$0.00
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Surcharge und	ler 37 CFR	1.16	(e) for late	fili	ng of	+	\$140.00		\$0.00
Executed Dec	laration or l	ate j	payment of	fili	ng fee				
Prior	ritized Exan	nina	tion fee (Tr	ack	I) unde	r 37 C.	F.R. § 1.17 (c)		\$0.00
	Pro	ces	sing Fee (Ti	racl	(I) unde	r 37 C	.F.R. § 1.17 (i)		\$0.00
					7	TOTAL	FILING FEE:	=	\$1600.00
Assignment R	ecordation?	Fee:				+	\$40.00		\$0.00
Processing Fe	e under 37 (	CFR	1.17(i) for	Lat	e Filing	+	\$140.00		\$0.00
of English Tra	nslation of	App	lication:					-	
Publication Fe	ee								\$0.00
TOTAL FEE									\$1600.00

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Stephen B. Maebius

Attorney for Applicant Registration No. 35,264

## AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN $^{\otimes}$

#### **CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This application is a Continuation of U.S. Application No. 13/548,446, filed July 13, 2012, which is a Continuation of U.S. Application No. 12/334,731, filed December 15, 2008, which claims priority from U.S. Provisional Patent Application 61/014,232, filed December 17, 2007, the entire contents of which are incorporated herein by reference.

#### **BACKGROUND**

[0002] The present invention relates to a process for producing prostacyclin derivatives and novel intermediate compounds useful in the process.

[0003] Prostacyclin derivatives are useful pharmaceutical compounds possessing activities such as platelet aggregation inhibition, gastric secretion reduction, lesion inhibition, and bronchodilation.

[0004] Treprostinil, the active ingredient in Remodulin<sup>®</sup>, was first described in US patent 4,306,075. Treprostinil, and other prostacyclin derivatives have been prepared as described in Moriarty, et al in *J. Org. Chem.* 2004, 69, 1890-1902, *Drug of the Future*, 2001, 26(4), 364-374, U.S. Pat. Nos. 6,441,245, 6,528,688, 6,765,117 and 6,809,223. Their teachings are incorporated by reference to show how to practice the embodiments of the present invention.

[0005] U.S. Patent No. 5,153,222 describes use of treprostinil for treatment of pulmonary hypertension. Treprostinil is approved for the intravenous as well as subcutaneous route, the latter avoiding septic events associated with continuous intravenous catheters. U.S. patents Nos. 6,521,212 and 6,756,033 describe administration of treprostinil by inhalation for treatment of pulmonary hypertension, peripheral vascular disease and other diseases and conditions. U.S. patent No. 6,803,386 discloses administration of treprostinil for treating cancer such as lung, liver, brain, pancreatic, kidney, prostate, breast, colon and head-neck cancer. U.S. patent application publication No. 2005/0165111 discloses treprostinil treatment of ischemic lesions. U.S. patent No. 7,199,157 discloses that treprostinil treatment improves kidney functions. U.S. patent application publication No. 2005/0282903 discloses treprostinil treatment of neuropathic foot ulcers. U.S. application No. 12/028,471 filed February 8, 2008,

discloses treprostinil treatment of pulmonary fibrosis. U.S. 6,054,486 discloses treatment of peripheral vascular disease with treprostinil. U.S. patent application 11/873,645 filed October 17, 2007 discloses combination therapies comprising treprostinil. U.S. publication No. 2008/0200449 discloses delivery of treprostinil using a metered dose inhaler. U.S. publication No. 2008/0280986 discloses treatment of interstitial lung disease with treprostinil. U.S. application No. 12/028,471 filed February 8, 2008 discloses treatment of asthma with treprostinil. U.S. 7,417,070, 7,384,978 and U.S. publication Nos. 2007/0078095, 2005/0282901, and 2008/0249167 describe oral formulations of treprostinil and other prostacyclin analogs.

**[0006]** Because Treprostinil, and other prostacyclin derivatives are of great importance from a medicinal point of view, a need exists for an efficient process to synthesize these compounds on a large scale suitable for commercial production.

#### **SUMMARY**

[0007] The present invention provides in one embodiment a process for the preparation of a compound of formula I, hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof.

$$\begin{array}{c|c} & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

[0008] The process comprises the following steps:

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

-2-

wherein

w= 1, 2, or 3; Y<sub>1</sub> is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C≡C-; m is 1, 2, or 3;

R<sub>7</sub> is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,
- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
  - (4)  $cis-CH=CH-CH_2-CH_3$ ,
  - (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
  - (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

wherein  $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ - $R_3$ : $\beta$ - $R_4$ ,  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , or a mixture of  $\alpha$ - $R_3$ : $\beta$ - $R_4$  and  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , wherein  $R_3$  and  $R_4$  are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of  $R_3$  and  $R_4$  is fluoro only when the other is hydrogen or fluoro.

- (b) hydrolyzing the product of step (a) with a base,
- (c) contacting the product of step (b) with a base B to for a salt of formula  $I_s$

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

(d) reacting the salt from step (c) with an acid to form the compound of formula I.

[0009] The present invention provides in another embodiment a process for the preparation of a compound of formula IV.

[0010] The process comprises the following steps:

(a) alkylating a compound of structure V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of step (a) with a base,
- (c) contacting the product of step (b) with a base B to for a salt of formula  $\mathrm{IV}_{\mathrm{s}},$  and

(d) reacting the salt from step (b) with an acid to form the compound of formula IV.

#### **DETAILED DESCRIPTION**

[0011] The various terms used, separately and in combinations, in the processes herein described are defined below.

[0012] The expression "comprising" means "including but not limited to." Thus, other non-mentioned substances, additives, carriers, or steps may be present. Unless otherwise specified, "a" or "an" means one or more.

[0013]  $C_{1-3}$ -alkyl is a straight or branched alkyl group containing 1-3 carbon atoms. Exemplary alkyl groups include methyl, ethyl, n-propyl, and isopropyl.

[0014]  $C_{1-3}$ -alkoxy is a straight or branched alkoxy group containing 1-3 carbon atoms. Exemplary alkoxy groups include methoxy, ethoxy, propoxy, and isopropoxy.

[0015] C<sub>4-7</sub>-cycloalkyl is an optionally substituted monocyclic, bicyclic or tricyclic alkyl group containing between 4-7 carbon atoms. Exemplary cycloalkyl groups include but not limited to cyclobutyl, cyclopentyl, cyclohexyl, and cycloheptyl.

[0016] Combinations of substituents and variables envisioned by this invention are only those that result in the formation of stable compounds. The term "stable", as used herein, refers to compounds which possess stability sufficient to allow manufacture and which maintains the integrity of the compound for a sufficient period of time to be useful for the purposes detailed herein.

[0017] As used herein, the term "prodrug" means a derivative of a compound that can hydrolyze, oxidize, or otherwise react under biological conditions (*in vitro* or *in vivo*) to provide an active compound. Examples of prodrugs include, but are not limited to,

derivatives of a compound that include biohydrolyzable groups such as biohydrolyzable amides, biohydrolyzable esters, biohydrolyzable carbamates, biohydrolyzable carbonates, biohydrolyzable ureides, and biohydrolyzable phosphate analogues (e.g., monophosphate, diphosphate or triphosphate).

[0018] As used herein, "hydrate" is a form of a compound wherein water molecules are combined in a certain ratio as an integral part of the structure complex of the compound.

[0019] As used herein, "solvate" is a form of a compound where solvent molecules are combined in a certain ratio as an integral part of the structure complex of the compound.

[0020] "Pharmaceutically acceptable" means in the present description being useful in preparing a pharmaceutical composition that is generally safe, non-toxic and neither biologically nor otherwise undesirable and includes being useful for veterinary use as well as human pharmaceutical use.

[0021] "Pharmaceutically acceptable salts" mean salts which are pharmaceutically acceptable, as defined above, and which possess the desired pharmacological activity. Such salts include acid addition salts formed with organic and inorganic acids, such as hydrogen chloride, hydrogen bromide, hydrogen iodide, sulfuric acid, phosphoric acid, acetic acid, glycolic acid, maleic acid, malonic acid, oxalic acid, methanesulfonic acid, trifluoroacetic acid, fumaric acid, succinic acid, tartaric acid, citric acid, benzoic acid, ascorbic acid and the like. Base addition salts may be formed with organic and inorganic bases, such as sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, choline and the like. Included in the invention are pharmaceutically acceptable salts or compounds of any of the formulae herein.

[0022] Depending on its structure, the phrase "pharmaceutically acceptable salt," as used herein, refers to a pharmaceutically acceptable organic or inorganic acid or base salt of a compound. Representative pharmaceutically acceptable salts include, e.g., alkali metal salts, alkali earth salts, ammonium salts, water-soluble and water-insoluble salts, such as the acetate, amsonate (4,4-diaminostilbene-2, 2 -disulfonate), benzenesulfonate, benzonate, bicarbonate, bisulfate, bitartrate, borate, bromide, butyrate, calcium, calcium edetate, camsylate, carbonate, chloride, citrate, clavulariate, dihydrochloride, edetate, edisylate, estolate, esvlate, fumarate, gluceptate, gluconate, glutamate, glycollylarsanilate, hexafluorophosphate, hexylresorcinate, hydrabamine, hydrobromide, hydrochloride,

hydroxynaphthoate, iodide, isothionate, lactate, lactobionate, laurate, malate, maleate, mandelate, mesylate, methylbromide, methylnitrate, methylsulfate, mucate, napsylate, nitrate, N-methylglucamine ammonium salt, 3-hydroxy-2-naphthoate, oleate, oxalate, palmitate, pamoate (1,1-methene-bis-2-hydroxy-3-naphthoate, einbonate), pantothenate, phosphate/diphosphate, picrate, polygalacturonate, propionate, p-toluenesulfonate, salicylate, stearate, subacetate, succinate, sulfate, sulfosalicylate, suramate, tannate, tartrate, teoclate, tosylate, triethiodide, and valerate salts.

[0023] The present invention provides for a process for producing treprostinil and other prostacyclin derivatives and novel intermediate compounds useful in the process. The process according to the present invention provides advantages on large-scale synthesis over the existing method. For example, the purification by column chromatography is eliminated, thus the required amount of flammable solvents and waste generated are greatly reduced. Furthermore, the salt formation is a much easier operation than column chromatography. Moreover, it was found that the product of the process according to the present invention has higher purity. Therefore the present invention provides for a process that is more economical, safer, faster, greener, easier to operate, and provides higher purity.

[0024] One embodiment of the present invention is a process for the preparation of a compound of formula I, or a hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof.

$$\begin{array}{c|c} & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

[0025] The process comprises the following steps:

(a) alkylating a compound of formula II with an alkylating agent to produce a compound of formula III,

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

$$\begin{array}{c|c}
H & Y_1 - G - G - R_7 \\
M_1 & L_1 \\
M_2 & L_1
\end{array}$$

$$\begin{array}{c}
M_1 & L_1 \\
M_2 & L_1
\end{array}$$

$$\begin{array}{c}
M_1 & M_1
\end{array}$$

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C≡C-; m is 1, 2, or 3;  $R_7$  is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,
- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
  - (4) cis-CH=CH-CH<sub>2</sub>-CH<sub>3</sub>,
  - (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
  - (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

wherein  $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub>,  $\alpha$ -R<sub>4</sub>: $\beta$ -R<sub>3</sub>, or a mixture of  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub> and  $\alpha$ -R<sub>4</sub>: $\beta$ -R<sub>3</sub>, wherein R<sub>3</sub> and R<sub>4</sub> are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of R<sub>3</sub> and R<sub>4</sub> is fluoro only when the other is hydrogen or fluoro.

(b) hydrolyzing the product of step (a) with a base,

(c) contacting the product of step (b) with a base B to for a salt of formula I<sub>s</sub>

$$\begin{array}{c|c} & H & Y_1^-C_1^-C_1^-R_7 \\ \hline & II & II \\ & M_1 & L_1 \\ & M_1 & L_1 \\ & HB \\ & & HB \\ & & & \\$$

(d) reacting the salt from step (c) with an acid to form the compound of formula I. [0026] In one embodiment, the compound of formula I is at least 90.0%, 95.0%, 99.0%. [0027] The compound of formula II can be prepared from a compound of formula XI, which is a cyclization product of a compound of formula X as described in U.S. Pat. No. 6,441,245.

$$\bigcap_{O(CH_2)_nCH_3}^{OR_1} \bigvee_{M_1 \ L_1}^{Y_1-C-C-R_7} \bigcap_{O(CH_2)_nCH_3}^{OR_1} \bigvee_{M_1 \ L_1}^{Y_1-C-C-R_7} \bigcap_{O(CH_2)_nCH_3}^{M_1 \ L_1} (XI)$$

Wherein n is 0, 1, 2, or 3.

[0028] The compound of formula II can be prepared alternatively from a compound of formula XIII, which is a cyclization product of a compound of formula XII as described in U.S. Pat. No. 6,700,025.

[0029] One embodiment of the present invention is a process for the preparation of a compound having formula IV, or a hydrate, solvate, or pharmaceutically acceptable salt thereof.

#### [0030] The process comprises

(a) alkylating a compound of structure V with an alkylating agent such as ClCH<sub>2</sub>CN to produce a compound of formula VI,

- (b) hydrolyzing the product of step (a) with a base such as KOH,
- (c) contacting the product of step (b) with a base B such as diethanolamine to for a salt of the following structure, and

(d) reacting the salt from step (b) with an acid such as HCl to form the compound of formula IV.

[0031] In one embodiment, the purity of compound of formula IV is at least 90.0%, 95.0%, 99.0%, 99.5%.

[0032] In one embodiment, the process further comprises a step of isolating the salt of formula  $\mathrm{IV}_{\mathrm{s}}.$ 

[0033] In one embodiment, the base B in step (c) may be ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, or triethanolamine.

[0034] The following abbreviations are used in the description and/or appended claims, and they have the following meanings:

"MW" means molecular weight.

"Eq." means equivalent.

"TLC" means thin layer chromatography.

"HPLC" means high performance liquid chromatography.

"PMA" means phosphomolybdic acid.

"AUC" means area under curve.

[0035] In view of the foregoing considerations, and specific examples below, those who are skilled in the art will appreciate that how to select necessary reagents and solvents in practicing the present invention.

[0036] The invention will now be described in reference to the following Examples. These examples are not to be regarded as limiting the scope of the present invention, but shall only serve in an illustrative manner.

#### **EXAMPLES**

Example 1. Alkylation of Benzindene Triol

Name	MW	Amount	Mol.	Eq.
Benzindene Triol	332.48	1250 g	3.76	1.00
K <sub>2</sub> CO <sub>3</sub> (powder)	138.20	1296 g	9.38	2.50
CICH <sub>2</sub> CN	75.50	567 g	7.51	2.0
Bu <sub>4</sub> NBr	322.37	36 g	0.11	0.03
Acetone		29 L		
Celite <sup>®</sup> 545		115 g		

[0037] A 50-L, three-neck, round-bottom flask equipped with a mechanical stirrer and a thermocouple was charged with benzindene triol (1250 g), acetone (19 L) and K<sub>2</sub>CO<sub>3</sub> (powdered) (1296 g), chloroacetonitrile (567 g), tetrabutylammonium bromide (36 g). The reaction mixture was stirred vigorously at room temperature (23±2°C) for 16-72 h. The progress of the reaction was monitored by TLC. (methanol/CH<sub>2</sub>Cl<sub>2</sub>; 1:9 and developed by 10% ethanolic solution of PMA). After completion of reaction, the reaction mixture was filtered with/without Celite pad. The filter cake was washed with acetone (10L). The filtrate was concentrated *in vacuo* at 50-55°C to give a light-brown, viscous liquid benzindene nitrile. The crude benzindene nitrile was used as such in the next step without further purification.

Example 2. Hydrolysis of Benzindene Nitrile

Name	MW	Amount	Mol.	Eq.
Benzindene Nitrile	371.52	1397 g*	3.76	1.0
КОН	56.11	844 g	15.04	4.0
Methanol		12 L		
Water		4.25 L		

<sup>\*</sup>Note: This weight is based on 100% yield from the previous step. This is not isolated yield.

[0038] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with a solution of benzindene nitrile in methanol (12 L) and a solution of KOH (844 g of KOH dissolved in 4.25 L of water). The reaction mixture was stirred and heated to reflux (temperature 72.2°C). The progress of the reaction was monitored by TLC (for TLC purpose, 1-2 mL of reaction mixture was acidified with 3M HCl to pH 1-2 and extracted with ethyl acetate. The ethyl acetate extract was used for TLC; Eluent: methanol/CH<sub>2</sub>Cl<sub>2</sub>; 1:9, and developed by 10% ethanolic solution of PMA). After completion of the reaction (~5 h), the reaction mixture was cooled to -5 to 10°C and quenched with a solution of hydrochloric acid (3M, 3.1 L) while stirring. The reaction mixture was concentrated *in vacuo* at 50-55°C to obtain approximately 12-14 L of condensate. The condensate was discarded.

[0039] The aqueous layer was diluted with water (7-8 L) and extracted with ethyl acetate ( $2 \times 6$  L) to remove impurities soluble in ethyl acetate. To aqueous layer, ethyl acetate (22 L) was added and the pH of reaction mixture was adjusted to 1-2 by adding 3M HC1 (1.7 L) with stirring. The organic layer was separated and the aqueous layer was extracted with ethyl acetate ( $2 \times 11$  L). The combined organic layers were washed with water ( $3 \times 10$  L) and followed by washing with a solution of NaHCO<sub>3</sub> (30 g of NaHCO<sub>3</sub> dissolved in 12 L of water). The organic layer was further washed with saturated solution of NaCl (3372 g of NaCl dissolved in water (12 L)) and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> (950-1000 g), once filtered.

[0040] The filtrate was transferred into a 72-L reactor equipped with mechanical stirrer, a condenser, and a thermocouple. To the solution of treprostinil in reactor was added activated carbon (110-130 g). The suspension was heated to reflux (temperature 68-70°C) for at least one hour. For filtration, a pad of Celite<sup>®</sup>545 (300-600 g) was prepared in sintered glass

funnel using ethyl acetate. The hot suspension was filtered through the pad of Celite<sup>®</sup>545. The Celite<sup>®</sup>545 was washed with ethyl acetate until no compound was seen on TLC of the washings.

[0041] The filtrate (pale-yellow) was reduced to volume of 35-40 L by evaporation *in vacuo* at 50-55°C for direct use in next step.

Example 3. Conversion of Treprostinil to Treprostinil Diethanolamine Salt (1:1)

Name	MW	Amount	Mol	Eq
Treprostinil	390.52	1464 g*	3.75	1.0
Diethanolamine	105.14	435 g	4.14	1.1
Ethanol		5.1 L		
Ethyl acetate		35L**		
Treprostinil Diethanolamine Salt (seed)		12 g		

\*Note: This weight is based on 100% yield from benzindene triol. It is not isolated yield. The treprostinil was carried from previous step in ethyl acetate solution and used as such for this step.

\*\*Note: The total volume of ethyl acetate should be in range of 35-36 L (it should be 7 times the volume of ethanol used). Approximately 35 L of ethyl acetate was carried over from previous step and additional 1.0 L of ethyl acetate was used for rinsing the flask.

[0042] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with a solution of treprostinil in ethyl acetate (35-40 L from the previous step), anhydrous ethanol (5.1 L) and diethanolamine (435 g). While stirring, the reaction mixture was heated to 60-75°C, for 0.5-1.0 h to obtain a clear solution. The clear solution was cooled to 55±5°C. At this temperature, the seed of

polymorph B of treprostinil diethanolamine salt ( $\sim$ 12 g) was added to the clear solution. The suspension of polymorph B was stirred at this temperature for 1 h. The suspension was cooled to  $20\pm2^{\circ}\text{C}$  overnight (over a period of 16-24 h). The treprostinil diethanolamine salt was collected by filtration using Aurora filter equipped with filter cloth, and the solid was washed with ethyl acetate (2  $\times$  8 L). The treprostinil diethanolamine salt was transferred to a HDPE/glass container for air-drying in hood, followed by drying in a vacuum oven at  $50\pm5^{\circ}\text{C}$  under high vacuum.

[0043] At this stage, if melting point of the treprostinil diethanolamine salt is more than 104°C, it was considered polymorph B. There is no need of recrystallization. If it is less than 104°C, it is recrystallized in EtOH-EtOAc to increase the melting point.

Data on Treprostinil Diethanolamine Salt (1:1)

Batch No.	Wt. of Benzindene Triol (g)	Wt. of Treprostinil Diethanolamine Salt (1:1) (g)	Yield (%)	Melting point (°C)
1	1250	1640	88.00	104.3-106.3
2	1250	1528	82.00*	105.5-107.2
3	1250	1499	80.42**	104.7-106.6
4	1236	1572	85.34	105-108

<sup>\*</sup>Note: In this batch, approximately 1200 mL of ethyl acetate solution of treprostinil before carbon treatment was removed for R&D carbon treatment experiments.

Example 4. Heptane Slurry of Treprostinil Diethanolamine Salt (1:1)

Name	Batch No.	Amount	Ratio
Treprostinil Diethanolamine Salt	1	3168 g	1
Heptane		37.5 L	12

<sup>\*\*</sup>Note: This batch was recrystallized, for this reason yield was lower.

Name	Batch No.	Amount	Ratio
Treprostinil Diethanolamine Salt	2	3071 g	1
Heptane		36.0 L	12

[0044] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with slurry of treprostinil diethanolamine salt in heptane (35-40 L). The suspension was heated to 70-80°C for 16-24 h. The suspension was cooled to 22±2°C over a period of 1-2 h. The salt was collected by filtration using Aurora filter. The cake was washed with heptane (15-30 L) and the material was dried in Aurora filter for 1 h. The salt was transferred to trays for air-drying overnight in hood until a constant weight of treprostinil diethanolamine salt was obtained. The material was dried in oven under high vacuum for 2-4 h at 50-55°C.

Analytical data on and Treprostinil Diethanolamine Salt (1:1)

Test	Batch 1	Batch 2
IR	Conforms	Conforms
Residue on Ignition (ROI)	<0.1% w/w	<0.1% w/w
Water content	0.1% w/w	$0.0\%~\mathrm{w/w}$
Melting point	105.0-106.5°C	104.5-105.5°C
Specific rotation $\left[\alpha\right]^{25}_{589}$	+34.6°	+35°
Organic volatile impurities		
• Ethanol	<ul> <li>Not detected</li> </ul>	<ul> <li>Not detected</li> </ul>
<ul> <li>Ethyl acetate</li> </ul>	<ul> <li>Not detected</li> </ul>	• <0.05% w/w
• Heptane	• <0.05% w/w	• <0.05% w/w
HPLC (Assay)	100.4%	99.8%
Diethanolamine	Positive	Positive

Example 5. Conversion of Treprostinil Diethanolamine Salt (1:1) to Treprostinil

[0045] A 250-mL, round-bottom flask equipped with magnetic stirrer was charged with treprostinil diethanolamine salt (4 g) and water (40 mL). The mixture was stirred to obtain a clear solution. To the clear solution, ethyl acetate (100 mL) was added. While stirring, 3M HC1 (3.2 mL) was added slowly until pH ~1 was attained. The mixture was stirred for 10 minutes and organic layer was separated. The aqueous layer was extracted with ethyl acetate (2 × 100 mL). The combined organic layers was washed with water (2 × 100 mL), brine (1 × 50 mL) and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>. The ethyl acetate solution of treprostinil was filtered and the filtrate was concentrated under vacuum at 50°C to give off-white solid. The crude treprostinil was recrystallized from 50% ethanol in water (70 mL). The pure treprostinil was collected in a Buchner funnel by filtration and cake was washed with cold 20% ethanolic solution in water. The cake of treprostinil was air-dried overnight and further dried in a vacuum oven at 50°C under high vacuum to afford 2.9 g of treprostinil (Yield 91.4%, purity (HPLC, AUC, 99.8%)).

Analytical data on Treprostinil from Treprostinil Diethanolamine Salt (1:1) to Treprostinil

Batch No.	Yield	Purity (HPLC)	
1	91.0%	99.8% (AUC)	
2	92.0%	99.9% (AUC)	
3	93.1%	99.7% (AUC)	
4	93.3%	99.7% (AUC)	
5	99.0 %	99.8% (AUC)	
6	94.6%	99.8% (AUC)	

Example 6. Comparison of the former process and a working example of the process according to the present invention

Step No.	Steps	Former Process (Batch size: 500g)	Working example of the Process according to the present invention (Batch size: 5 kg)
		Nitrile	
1	Triol weight	500 g	5,000 g
2	Acetone	20 L (1:40 wt/wt)	75 L (1:15 wt/wt)
3	Potassium carbonate	1,300 g (6.4 eq)	5,200 g (2.5 eq)
4	Chloroacetonitrile	470 g (4.2 eq)	2,270 g (2 eq)
5	Tetrabutylammoniu m bromide	42 g (0.08 eq)	145 g (0.03 eq)
6	Reactor size	72-Liter	50- gallon
7	Reflux time	8 hours	No heating, Room temperature (r.t.) 45 h
8	Hexanes addition before filtration	Yes (10 L)	No
9	Filter	Celite	Celite
10	Washing	Ethyl acetate (10 L)	Acetone (50 L)
11	Evaporation	Yes	Yes
12	Purification	Silica gel column Dichloromethane:0.5 L Ethyl acetate: 45 L Hexane: 60 L	No column
13	Evaporation after column	Yes	No
14	Yield of nitrite	109-112 %	Not checked
		Treprostinil (intermediate	e)
15	Methanol	7.6 L (50-L reactor)	50 L (50-gal reactor)
16	Potassium hydroxide	650 g (8 eq)	3,375g (4 eq)
17	Water	2.2 L	17 L

18	% of KOH	30%	20%
19	Reflux time	3-3.5 h	4-5 h
20	Acid used	2.6 L (3 M)	12 L (3 M)
21	Removal of impurities	3 × 3 L Ethyl acetate	2 × 20 L Ethyl acetate
22	Acidification	0.7 L	6.5 L
23	Ethyl acetate extraction	5 × 17 L = 35 L	90+45+45 = 180 L
24	Water washing	2 × 8 L	3 × 40 L
25	Sodium bicarbonate washing	Not done	120 g in 30L water + 15 L brine
26	Brine washing	Not done	1 × 40 L
27	Sodium sulfate	1 kg	Not done
28	Sodium sulfate filtration	Before charcoal, 6 L ethyl acetate	N/A
29	Charcoal	170 g, reflux for 1.5 h, filter over Celite, 11 L ethyl acetate	Pass hot solution (75°C) through charcoal cartridge and clean filter, 70 L ethyl acetate
30	Evaporation	Yes, to get solid intermediate treprostinil	Yes, adjust to 150 L solution
	Tr	eprostinil Diethanolamine Sa	alt
31	Salt formation	Not done	1,744 g diethanolamine, 20 L ethanol at 60-75°C.
32	Cooling	N/A	To 20°C over weekend; add 40 L ethyl acetate; cooled to 10°C
33	Filtration	N/A	Wash with 70 L ethyl acetate
34	Drying	N/A	Air-dried to constant wt., 2 days
	Treprostinil (fro	om 1.5 kg Treprostinil dieth	anolamine salt)
35	Hydrolysis	N/A	15 L water + 25 L ethyl acetate + HCl
36	Extraction	N/A	2 × 10 L ethyl acetate
37	Water wash	N/A	3 × 10 L

• •			1
38	Brine wash	N/A	1 × 10 L
39	Sodium sulfate	N/A	1 kg, stir
40	Filter	N/A	Wash with 6 L ethyl acetate
41	Evaporation	N/A	To get solid, intermediate Treprostinil
42	Crude drying on tray	1 or 3 days	Same
43	Ethanol & water for cryst.	5.1 L + 5.1 L	10.2 L + 10.2 L (same %)
44	Crystallization in	20-L rotavap flask	50-L jacketed reactor
45	Temperature of crystallization	2 h r.t., fridge -0°C 24 h	50°C to 0°C ramp, 0°C overnight
46	Filtration	Buchner funnel	Aurora filter
47	Washing	20% (10 L) cooled ethanol-water	20% (20 L) cooled ethanol-water
48	Drying before oven	Buchner funnel (20 h) Tray (no)	Aurora filter (2.5 h) Tray (4 days)
49	Oven drying	15 hours, 55°C	6-15 hours, 55°C
50	Vacuum	<-0.095 mPA	< 5 Torr
51	UT-15 yield weight	~ 535 g	~ 1,100 g
52	% yield from triol)	~ 91%	~ 89%
53	Purity	~ 99.0%	99.9%

[0046] The quality of treprostinil produced according to this invention is excellent. The purification of benzindene nitrile by column chromatography is eliminated. The impurities carried over from intermediate steps (i.e. alkylation of triol and hydrolysis of benzindene nitrile) are removed during the carbon treatment and the salt formation step. Additional advantages of this process are: (a) crude treprostinil salts can be stored as raw material at ambient temperature and can be converted to treprostinil by simple acidification with diluted hydrochloric acid, and (b) the treprostinil salts can be synthesized from the solution of treprostinil without isolation. This process provides better quality of final product as well as saves significant amount of solvents and manpower in purification of intermediates.

[0047] Although the foregoing refers to particular preferred embodiments, it will be understood that the present invention is not so limited. It will occur to those of ordinary skill

in the art that various modifications may be made to the disclosed embodiments and that such modifications are intended to be within the scope of the present invention.

[0048] All of the publications, patent applications and patents cited in this specification are incorporated herein by reference in their entirety.

#### WHAT IS CLAIMED IS:

- A process for preparing a treprostinil salt, comprising:
   combining treprostinil and a base in solution to form a base addition salt;
   allowing crystallization of the base addition salt of treprostinil; and
   collecting the base addition salt of treprostinil.
- 2. The process of claim 1, wherein the collected base addition salt of treprostinil is dried and stored.
- 3. The process of claim 1, wherein the base addition salt is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 4. The process of claim 1, further comprising heating the solution of treprostinil and base addition salt.
- 5. The process of claim 4, further comprising cooling the solution of treprostinil and base addition salt prior to collecting the base addition salt of treprostinil.
  - 6. The process of claim 1, wherein the base comprises an alkali metal cation.
- 7. The process of claim 6, wherein the alkali metal cation is selected from the group consisting of sodium and potassium.
- 8. The process of claim 1, wherein the solution comprises a water-miscible organic solvent.
- 9. The process of claim 1, wherein a mole ratio of the base in solution to treprostinil is about 1.1:1.

### **ABSTRACT**

This present invention relates to an improved process to prepare prostacyclin derivatives. One embodiment provides for an improved process to convert benzindene triol to treprostinil via salts of treprostinil and to purify treprostinil.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

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The above-ide	entified	applicat	ion was made or authorized to be made by me.			
I believe that	I am the	e origina	il inventor or an original joint inventor of a claimed invention in the application.			
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Inventor: Signature:	Hitesh	BATRA	Date (Optional): June 4 2013			
			sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must ust have been previously filed. Use an additional PTO/AIA/01 form for each additional			

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As the below	named invento	r, I hereby declare that:				
This declarati	_	The attached application, or				
		United States application or PCT international application number filed on				
The above-ide	entified applica	tion was made or authorized to be made by me.				
I believe that	I am the origina	al inventor or an original joint inventor of a claimed invention in the application.				
I hereby ackn fine or impriso	owledge that a onment of not r	ny willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by nore than (5) years, or both.				
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LEGAL NAM	LEGAL NAME OF INVENTOR					
Inventor:	Sudersan M. 7					
Signature:	Signature:					
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The above-id	entified applica	ion was made or authorized to be made by me.			
I believe that	I am the origina	l inventor or an original joint inventor of a claimed invention in the application.			
I hereby ackn fine or imprise	nowledge that a onment of not r	ny willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by ore than (5) years, or both.			
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Inventor:	Raju PENMAS				
Signature:	Rep	Lee Ata			
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This declarati		$\boxtimes$	The attached application, or			
			United States application or PCT international application number filed on			
The above-id	entified	applicat	tion was made or authorized to be made by me.			
I believe that	I am the	origina	al inventor or an original joint inventor of a claimed invention in the application.			
I hereby ackn fine or impriso	owledge onment	e that a of not n	ny willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by nore than (5) years, or both.			
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Inventor: Signature:	David /	A. WAL	Date (Optional): June 4, 2013			
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Date Submitted: July 2, 2013				First Named Inventor	Hitesh BATRA	
	Date Submitted	i. July	2, 2013	Art Unit	Unassigned	
(use as many sheets as necessary)				Examiner Name	Unassigned	
Sheet				Attorney Docket Number	080618-1256	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant	T <sup>6</sup>		

Examiner		Date						
Signature		Considered						

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	Substitute for fo	orm 144	49/PTO	Co	Complete if Known		
	INFORMATION	DISC	LOSURE	Application Number	Unassigned		
	STATEMENT B	Y APF	PLICANT	Filing Date	Herewith	***************************************	
Date Submitted: July 2, 2013 (use as many sheets as necessary)				First Named Inventor	Hitesh BATRA		
				Art Unit	Unassigned		
				Examiner Name	Unassigned		
Sheet	2	of	4	Attorney Docket Number	080618-1256		

	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )			
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A36	CN 101891596 A	11/24/2010	Shanghai Techwell Biopharmaceutical Co. Ltd.	A
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	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>						
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grand the control of	A52	ARISTOFF et al., "Synthesis and Structure-Activity Relationship of Novel Stable Prostacyclin Analogs," Advances in Prostaglandin, Thromboxane, and Leukotriene Research, Samuelsson et al., .Eds., 1983, 11:267-274						
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Examiner Signature	Date	
Signature	Considered	

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	Substitute for fo	rm 144	19/PTO	C	Complete if Known		
	INFORMATION	DISC	LOSURE	Application Number	Unassigned		
	STATEMENT B	Y APF	PLICANT	Filing Date	Herewith		
Date Submitted: July 2, 2013 (use as many sheets as necessary)				First Named Inventor	Hitesh BATRA		
				Art Unit	Unassigned		
				Examiner Name	Unassigned		
Sheet	3	of	4	Attorney Docket Number	080618-1256		

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Examiner Initials*	Cite No. <sup>1</sup>						
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Examiner Signature	Date	
Signature	Considered	

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Translation is attached.
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	Substitute for fo	rm 144	19/PTO	С	Complete if Known			
	INFORMATION	DISCI	LOSURE	Application Number	Unassigned			
STATEMENT BY APPLICANT			PLICANT	Filing Date	Herewith	***************************************		
Date Submitted: July 2, 2013 (use as many sheets as necessary)			2 2013	First Named Inventor	Hitesh BATRA			
			,	Art Unit	Unassigned	***************************************		
			necessary)	Examiner Name	Unassigned			
Sheet	4	of	4	Attorney Docket Number	080618-1256			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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Signature	Considered	

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Electronic Patent A	Αрр	lication Fee	Transmi	ttal		
Application Number:						
Filing Date:						
Title of Invention:  First Named Inventor/Applicant Name:		AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDII IN REMODULIN®				
First Named Inventor/Applicant Name:	Hite	esh Batra				
Filer:	Stephen Bradford Maebius/Karen Walker					
Attorney Docket Number:	080618-1256					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	280	280	
Utility Search Fee		1111	1	600	600	
Utility Examination Fee		1311	1	720	720	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		1600	

Electronic Ac	Electronic Acknowledgement Receipt						
EFS ID:	16218977						
Application Number:	13933623						
International Application Number:							
Confirmation Number:	6887						
Title of Invention:	AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®						
First Named Inventor/Applicant Name:	Hitesh Batra						
Customer Number:	22428						
Filer:	Stephen Bradford Maebius/Karen Walker						
Filer Authorized By:	Stephen Bradford Maebius						
Attorney Docket Number:	080618-1256						
Receipt Date:	02-JUL-2013						
Filing Date:							
Time Stamp:	15:42:58						
Application Type:	Utility under 35 USC 111(a)						
Payment information:							

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1600
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Page 40 of 2	265

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

	y revoke all 37 CFR 3.73		ttorney given in the	e applicati	on identified in the attache	ed statement
	y appoint:	<u> </u>				
$\overline{\mathbf{A}}$	Practitioners	associated with Customer N	lumber: 22428			
	OR					
	Practitioner(s	) named below (if more thar	ten patent practitioner	s are to be n	amed, then a customer number i	must be used):
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any and	all patent appli	cations a ssigned only to the accordance with 37 CFR 3.	e undersigned accordir	g to the USI	PTO assignment records or assig	nments docu ments
				the attache	ed statement under 37 CFR 3.73(	c) to:
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Name		Andrew J. Flaher	/		Telephone 202-742	
Title		Chief Strategic Offic & Deputy General C	ounsel		N to obtain or retain a benefit by the N	this which is to file (and

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL,

THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

Unassigned (CON of 13/548,446)

Filing Date:

July 2, 2013

Examiner:

Unassigned

Art Unit:

Unassigned

#### PRELIMINARY REMARKS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Claims 1-9 are based upon Example 3 (salt formation) and paragraphs 46, 47 and 111.

#### NOTICE OF THIRD PARTY RELATED PATENT APPLICATION

The above claims are based upon the published claims of WO2012/088607 (which has a pending US national stage of Serial No. 13/520,872) and are presented in compliance with 35 U.S.C. 135 (within 1 year of the publication date of WO2012/088607).

The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

Respectfully submitted,

Date <u>July 2, 2013</u>

FOLEY & LARDNER LLP Customer Number: 22428

Telephone:

(202) 672-5569

Facsimile:

(202) 672-5399

Bv

Stephen B. Maebius

Attorney for Applicant

Registration No. 35,264

Annl	ication Da	et 37 CFF	2 1 76	Attorney Docket Number			080618-1256				
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Title o	f Invention	AN IMI	PROVED PRO	OCESS T	O PREPAR	E TREF	PROSTINIL,	THE ACTI	IVE INGR	EDIENT IN REMOD	ULIN®
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Legal	Name					· · · · · · · · · · · · · · · · · · ·			***************************************		
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City	Herndon			State/	Province	VA	Countr	Country of Residence US			
Mailing	Address of	Invento	or:	·	***************************************						<u> </u>
Addre	ss 1		2461 Leyland	d Ridae F	 Road	****					
Addre											
City	Herne	L don				T	State/Prov	/ince	VA		
	l Code		20171			Cour		US	1		
Invent	tor 2		***************************************			1			R	emove	
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Active US Military Service

EEC MAN 228

Prefix

Address 1

Address 2 City

**Postal Code** 

Inventor 3 Legal Name

Mailing Address of Inventor:

**Given Name** 

Silver Spring

1501 Haddon Manor Court

20904

Residence Information (Select One) • US Residency

Suffix

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Appli	icatio	on Data	a She	et 37 CFR	Attorney Docket Number			080618-	1256				
						Application	on Nur	mber					
Title o	f Inve	ntion	AN IMI	PROVED PRO	CESS T	O PREPARI	E TREF	PROSTINIL	, THE ACTI	VE INGR	EDIENT IN	N REMODULIN	N®
City	Herr	ndon			State/Province VA			Country of Residence US			us		
										-			
		ess of Ir	nvent	or:									
Addre				12953 Centre	Park Ci	rcle #115							
Address 2													
City		Herndo	n	Г : - : - : - : - : - : - : - : - :				State/Pro	Τ	VA			
Postal	Code	9		20171	·····		Cou	ntry i	US	· ·			
Invent		4						***************************************		R	emove		
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City	Palm	nyra 			State/I	Province	VA	Count	try of Resi	dence	US		
Mailing	Addr	ess of Ir	vente	or:	***************************************	W	·						
Addre	ss 1			56 Wildwood	Drive	···							
Addre	ss 2				***************************************								
City		Palmyra	3			State/Pro			vince	vince VA			
Postal				22963		Country			US				
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Custor	mer N	umber		22428		····	<del></del>					<b></b>	
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Appli	icati	on Inf	orm	ation:									
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Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	to She	oot 27 CED 4 76	Attorney D	ocket Number	080618-125	6				
Application Da	ita Sile	et 37 CFR 1.76	Application	Number			N-			
Title of Invention	AN IMI	PROVED PROCESS T	O PREPARE	TREPROSTINIL,	THE ACTIVE	INGRED	IENT IN REMODULIN®			
Publication I	nforn	nation:								
Request Early	<sup>'</sup> Publica	ation (Fee required at	time of Req	uest 37 CFR 1.2	19)					
Request Not to Publish. I hereby request that the attached application not be published under  35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.										
Representativ	∕e Inf	ormation:								
this information in the Either enter Custome	Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.									
Please Select One	: [	<ul><li>Customer Number</li></ul>	Us	Patent Practitione	r 🔘 Lir	mited Red	cognition (37 CFR 11.9)			
Customer Number		22428								
Domestic Ben	efit/N	lational Stage	Informa	tion:						
National Stage entr	y from a	applicant to either cla a PCT application. P by 35 U.S.C. 119(e)	roviding this	information in th	119(e), 120, ne application	121, or i	365(c) or indicate heet constitutes the			
Prior Application	Status						Remove			
Application Nun	nber	Continuity	Гуре	Prior Applicati	on Number	Filing	g Date (YYYY-MM-DD)			
This Application		Continuation of		13/548446		2012-0	7-13			
Prior Application	Status						Remove			
Application Nun	nber	Continuity <sup>-</sup>	Гуре	Prior Applicati	on Number	Filing	Date (YYYY-MM-DD)			
13/548446		Continuation of		12/334731		2008-1	2-15			
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Application Nun	nber	Continuity	Гуре	Prior Applicati	on Number	Filing	Date (YYYY-MM-DD)			
12/334731		An application claimir	ng the benefit	61/014232		2007-1	2-17			
Additional Domestic by selecting the <b>Ad</b>		it/National Stage Dat n.	a may be ge	enerated within th	nis form					
Foreian Priori	oreign Priority Information:									

Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	080618-1256
. Application Be	The officer of officer of the first	Application Number	
Title of Invention	THE ACTIVE INGREDIENT IN REMODULIN®		

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority D	ata may be generated	within this form by selecting the	

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
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## **Authorization to Permit Access:**

Authorization to Permit Access to the Instant Application by the Participating Offices
If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.
In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect

to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

Attorney Docket Number | 080618-1256

•	La Sneet 37	011111111	Application Number		
Title of Invention	AN IMPROVE	D PROCESS T	O PREPARE TREPROSTINIL,	THE ACTIVE	INGREDIENT IN REMODULIN®
Applicant Info	rmation:				
Providing assignment to have an assignmen	information in t	his section doe ne Office.	s not substitute for compliance v	vith any requ	irement of part 3 of Title 37 of CFR
Applicant 1					
The information to be p 1.43; or the name and a who otherwise shows s applicant under 37 CFF	rovided in this saddress of the a ufficient proprie 1.46 (assigned ether with one of	ection is the na ssignee, perso tary interest in t e, person to who	me and address of the legal rep on to whom the inventor is under the matter who is the applicant to the inventor is obligated to a	resentative v an obligatior inder 37 CFF ssign, or per	section should not be completed. who is the applicant under 37 CFR to assign the invention, or person R 1.46. If the applicant is an son who otherwise shows sufficient ho are also the applicant should be
<ul><li>Assignee</li></ul>		◯ Legal Re	epresentative under 35 U.S.C.	117	Joint Inventor
Person to whom the	inventor is oblig	jated to assign.	Person	who shows s	sufficient proprietary interest
f applicant is the lega	al representati	ve, indicate th	e authority to file the patent	application,	the inventor is:
Name of the Deceas	ed or Legally I	ncapacitated	nventor:		
If the Applicant is ar	n Organization	check here.	$\boxtimes$		
Organization Name	United The	erapeutics Corp	oration		
Mailing Address In	formation Fo	r Applicant:			
Address 1	1040 :	Spring Street			
Address 2					
City	Silver	Spring	State/Provin	се М	D
Country US			Postal Code	20	910
			Fax Number		
Phone Number					

# Non-Applicant Assignee Information:

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Annlicatio	n Data S	Shoot	t 37 CFR 1.76	Attorney Docket Number		080618	3-1256	
Applicatio	ii Dala	Jueel	137 GFR 1.70	Application N	Number			
Title of Inven	tion AN	N IMPRO	OVED PROCESS TO	O PREPARE TR	REPROSTINI	L, THE ACT	IVE INGREDIEN	T IN REMODULIN®
Assignee	1							
accordance with	37 CFR 1 ated to ass	.215(b) sign, or p		is section an ap	plicant under	37 CFR 1.4	46 (assignee, per	
If the Assigne	ee is an O	rganiza	ation check here.					
Prefix		Give	en Name	Middle Nam	ne	Family N	ame	Suffix
Mailing Add	ress Info	rmatio	n For Non-Applic	ant Assignee	:			
Address 1								
Address 2							<del></del>	
City					State/Pro	vince		
Country i				Postal Code		de		
Phone Numb	er				Fax Number			
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Additional Ass	signee Da	ata may	be generated with	nin this form by	y selecting ti	he Add but	ton.	
Signature								
NOTE: This certifications.		t be sig	gned in accordance	e with 37 CFR	1.33. See	37 CFR 1.4	1 for signature r	equirements and
Signature	/	Stan	6 MMhut	·		Date	(YYYY-MM-DD	2013-07-02
First Name	Stephen	В.	Last Name	Maebius		Regist	ration Number	35264
Additional Signal	gnature m	nay be	generated within the	his form by sel	ecting the A	dd button.		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.: Unassigned (CON of 13/548,446)

Filing Date: Herewith

Examiner: Unassigned

Art Unit: Unassigned

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including application no. 12/334,731, filed 12/15/2008; application no. 13/548446, filed 7/13/2012, for copies of references of record therein that are not being provided here; although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive

4837-8939-0612.1

any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

## **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741. Respectfully submitted,

Date July 2, 2013

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5569

Facsimile: (202) 672-5399 Stephen B. Maebius Attorney for Applicant Registration No. 35,264

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							cation or Docket Number Filing Date 07/02/2013 To be Maile		
							ENTITY: 🔀 L	ARGE SMA	LL MICRO
				APPLI	CATION AS FIL	ED – PAR	ГΙ		
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
$\boxtimes$	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A		280
$\boxtimes$	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		600
$\boxtimes$	EXAMINATION FE (37 CFR 1.16(o), (p), o	Ε	N/A		N/A		N/A		720
	TAL CLAIMS CFR 1.16(i))	(4//	9 min	us 20 = * 0			x \$80 =		0
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		(Column 1	)	(Column 2)	ATION AS AMEN (Column 3		RT II		
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		(Column 1	)	(Column 2)	(Column 3	)	TOTAL ADD'L FE	E	
		CLAIMS REMAININ AFTER AMENDMEI		HIGHEST NUMBER PREVIOUSL PAID FOR	Y PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
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	Application Si	ze Fee (37 CF	FR 1.16(s))						
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	PATEN	IT APPLICAT Sul	ION FEE D		ION RECOR	D		tion or Docket Num 3,623	ber
	APPLIC	CATION AS FIL		Column 2)	SMALL	ENTITY	OR	OTHER SMALL I	
	FOR	NUMBER FIL		BER EXTRA	RATE(\$)	FEE(\$)	1	RATE(\$)	FEE(\$)
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SEA	ARCH FEE FR 1.16(k), (i), or (m))	N/A		N/A	N/A		1	N/A	600
XΑ	AMINATION FEE FR 1.16(o), (p), or (q))	N/A		N/A	N/A			N/A	720
OΤ	AL CLAIMS FR 1.16(i))	9 min	us 20 = *				OR	x 80 =	0.00
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	Total * (37 CFR 1.16(i))  Independent (37 CFR 1.16(h))	REMAINING AFTER MENDMENT  Minus 7 CFR 1.16(s))	NUMBER PREVIOUSL PAID FOR	Y EXTRA	RATE(\$)	ADDITIONAL	OR	RATE(\$)	ADDITION
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AMENDIMEN	Total (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Application Size Fee (3 FIRST PRESENTATIO	REMAINING AFTER MENDMENT  Minus  7 CFR 1.16(s))  N OF MULTIPLE DEP  (Column 1) CLAIMS REMAINING AFTER MENDMENT  Minus  7 CFR 1.16(s))	NUMBER PREVIOUSL PAID FOR STANDENT CLAIM (3	Y EXTRA = = = = = = = = = = = = = = = = = = =	RATE(\$)  x =  X =  TOTAL ADD'L FEE  RATE(\$)  x =	ADDITIONAL FEE(\$)	OR OR OR	RATE(\$)  x =  x =  TOTAL ADD'L FEE  RATE(\$)  x =	ADDITION. FEE(\$)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Post 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

ATTY. DOCKET NO./TITLE APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT 13/933,623 07/02/2013 Hitesh Batra 080618-1256

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW

WASHINGTON, DC 20007

**CONFIRMATION NO. 6887** POA ACCEPTANCE LETTER



Date Mailed: 07/25/2013

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/02/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ttu/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingnia 22313-1450 www.tspbg.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
13/933 623	07/02/2013	1629	1600	080618-1256	9	1

CONFIRMATION NO. 6887

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

\*OC00000062775449\*

FILING RECEIPT

Date Mailed: 07/25/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Inventor(s)

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#### Applicant(s)

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Power of Attorney: The patent practitioners associated with Customer Number 22428

#### Domestic Priority data as claimed by applicant

This application is a CON of 13/548,446 07/13/2012 PAT 8497393

which is a CON of 12/334,731 12/15/2008 PAT 8242305

which claims benefit of 61/014,232 12/17/2007

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access - A proper **Authorization to Permit Access to Application by Participating Offices** (PTO/SB/39 or its equivalent) has been received by the USPTO.

#### If Required, Foreign Filing License Granted: 07/23/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/933,623** 

page 1 of 3

**Projected Publication Date: 10/31/2013** 

Non-Publication Request: No

Early Publication Request: No

Title

PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

**Preliminary Class** 

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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**PUBLICATION NOTICE** 

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE 080618-1256

13/933,623

07/02/2013

Hitesh Batra

**CONFIRMATION NO. 6887** 

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007



Title:PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN?

Publication No.US-2013-0289304-A1

Publication Date: 10/31/2013

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

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page 1 of 1

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***************************************	Substitute for for	m 144	19/PTO	Complete if Known			
	INFORMATION (	oisci	LOSURE	Application Number	13/933,623		
	STATEMENT BY	'APF	LICANT	Filing Date	7/2/2013		
Date	Submitted:	MAN	0.8.2013	First Named Inventor	Hitesh BATRA		
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(use as many sheets as necessary)				Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	080618-1256		

Cito	Document Number	Dublication Data	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
		Cite No. 1 Number-Kind Code <sup>2</sup> (if known)	Cite Publication Date No.1 Number-Kind Code <sup>2</sup> (if MM-DD-YYYY known)	Cite No. 1 Number-Kind Code <sup>2</sup> (if MM-DD-YYYY Name of Patentee or Applicant of Cited Document  Name of Patentee or Applicant of Cited Document

	FOREIGN PATENT DOCUMENTS			95959595959595		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Pateritee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	B1	JP 56-122328 A	09/25/1981	Sumitomo Chem. Co.	000000000000000000000000000000000000000	√
	B2	JP 59-044340 A	03/12/1984	Sankyo Co. Ltd.	***************************************	✓
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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>		
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#### Citation 3

#### PATENT ABSTRACTS OF JAPAN

(11)Publication number: 56-122328

(43)Date of publication of application: 25 September 1981

(51) Int.Cl. C07C 59/46

C07C 51/43

C07C 59/62

// A61K 31/557

C07C 177/00

(21) Application number: 55-025726 (71) Applicant: SUMITOMO CHEM CO

LTD

(22) Date of filing: 29 February 1980 (72) Inventor: KAWAKAMI HAJIME

ONO KEIICHI SUGIE AKIHIKO KATSUBE SUMIMOTO

- (54) CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN, ITS PREPARATION AND REFINING METHOD
- 1. TITLE: CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN, ITS PREPARATION AND REFINING METHOD

#### 2. CLAIMS

1. A dicyclohexyl amine salt of a methanoprostacyclin derivative represented by a general formula:

[wherein, R<sup>1</sup> is trithyloxymethyl group, 3-trithyloxy-trans-1-propenyl group and general formula:

(wherein, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> are each a hydrogen atom or a methyl group)].

2. A method for producing dicyclohexylamine salt of methanoprostacyclin derivative represented by a general formula:

[wherein, R<sup>1</sup> is trithyloxymethyl group, 3-trithyloxy-trans-1-propenyl group and general formula:

(wherein, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> are each a hydrogen atom or a methyl group)] comprising: forming a crystalline salt of a mixture of methanoprostacyclin derivative represented by a general formula:

(wherein, R<sup>1</sup> is as described above) and a 7-Z isomer thereof using dicyclohexylamine; and further recrystallizing as necessary.

3. Detailed Description of the Invention

The present invention relates to a crystalline dicyclohexylamine salt of methanoprostacylcin derivative, its preparation and purifying method.

Methanoprostacyclin (II) was discovered as a stable derivative of prostacyclin (PGI<sub>2</sub>), which is a natural bioactive substance having a strong thrombocyte aggregation suppression effect (Tetrahedron Letters 2607 (1979)), and it is much more chemically stable compared to prostacyclin, with the same level of strong thrombocyte aggregation suppression effect as PGI<sub>2</sub>, and it is an extremely useful compound in the treatment of

arteriosclerosis, cardiac failure or thrombosis. Meanwhile, the total synthesis of methanoprostacylcin and a derivative thereof is reported by several groups aside from the present inventors, but all those methods use the Wittig reaction of ketone derivative (III) and ylide derivative (IV) as shown below.

The reaction has an excellent yield, but holds a severe fault of always generating an unnecessary side product, 7Z-isomer [III] (the generation ratio is at [II]:[III]=7:2, Tetrahedron Letters 433 (1979)), and the physical property of the two forms are quite similar (the Rf value of 7E-isomer =0.14 and 7Z-isomer =0.17, Tetrahedron Letters 433 (1979)), so it is quite difficult to separate or refine the reaction product. Further, the melting point of the present compound is quite low (68-69°C Tetrahedron Letters 3743 (1978)), so crystallization can be largely inhibited by a minute amount of impurity that enters into the reaction product.

The physiological activity of 7Z isomer [II'] compared to methanoprostacyclin [II] is quite low. For example, a thrombocyte aggregation suppression effect of II' is about 1/100 that of II (Tetrahedron Letters 433 (1979)).

As such, it will be a definite requirement to establish an efficient and industrial separation method in the development of methanoprostacyclin derivative as a pharmacological product.

Hence, the present inventors have studied various separation and refining methods ever since their success in synthesizing methanoprostacyclin, and have now successfully developed an easy and industrial refining method. The present invention relates to the new refining method and a new dicyclohexylamine salt of methanoprostacyclin derivative [I] obtained by the method.

A methanoprostacyclin derivative represented by general formula [I], in which one of  $\mathbb{R}^2$ ,  $\mathbb{R}^3$ ,  $\mathbb{R}^4$  is a methyl group, has an excellent thrombocyte aggregation suppression effect similar to methanoprostacyclin (JP 54-119444 A), and a methanoprostacyclin derivative, in which  $\mathbb{R}^1$  is a trithyloxymethyl group or 3-trithyloxy-trans-1-propenyl group, is essential as an intermediate of a methanoprostacyclin synthesis.

(JP 54-29233 A, JP 54-29236 A)

In the present invention, the dicyclohexylamine salt of methanoprostacyclin derivative represented by a general formula:

[wherein, R<sup>†</sup> is trithyloxymethyl group, 3-trithyloxy-trans-1-propenyl group and general formula:

(wherein, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> are each a hydrogen atom or a methyl group)] is obtained as described below. That is, the methanoprostacyclin derivative [I] or a methanoprostacyclin derivative [1] comprising a corresponding 7Z-isomer [I']:

(wherein, R<sup>1</sup> is as shown above)

is mixed with an appropriate amount of dicyclohexylamine (0.7 folds to 1.2 folds by mole) in an appropriate solvent, cooled as necessary, and the precipitated crystal is obtained by filtration.

The dicyclohexylamine salt of methanoprostacyclin derivative [I] obtained above generally has quite a high purity, and its purity can be increased by recrystallization using an appropriate solvent as necessary.

A suitable solvent to be used in the present invention includes alkanol (e.g. ethanol, n-propanol, 180-propanol) and alkanone (e.g. acetone, methylethyl ketone, diethyl ketone, methyl-180 buthyl ketone), and of these, acetone, methylethyl ketone and the like are particularly advantageous.

The dicyclohexylamine salt obtained in the present invention can be easily returned to a free methanoprostacyclin derivative [I] by a common method, and moreover, the obtained methanoprostacyclin derivative shows a good crystal quality compared to those that has not been subjected to refining by the present invention.

Dicyclohexylamine salt of the following exemplary compounds can be easily obtained by the present invention.

 $2-\beta-Trithyloxymethyl-3\alpha-hydoxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0] octane \\ 2-\beta-(3'-Trithyloxy-trans-1-propenyl)-3\alpha-hydoxy-7E-(4'-carboxybutylidene)-bicyclo$ 

[3,3,0]octane

 $2-\beta-(3'\alpha-Hydroxy-trans-1'-octenyl)-3\alpha-hydoxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0]octane$ 

2-β-(3'α-Hydroxy-4',4'-dimethyl-trans-1'-octenyl)-3α-hydoxy-7E-(4'-carboxybutyli dene)-bicyclo[3,3,0]octane

 $2-\beta-(3'\alpha-Hydroxy-3'\beta-methyl-trans-1'-octenyl)-3\alpha-hydoxy-7E-(4'-carboxybutyliden e)-bicyclo[3,3,0]octane$ 

Next, Examples are given to explain the present invention in detail. Example 1

The 7-E,Z mixture (0.8 g) of crude 2- $\beta$ -trithyloxymethyl-3 $\alpha$ -hydoxy-7-(4'-carboxybutylidene)-bicyclo[3,3,0]octane obtained by the Wittig reaction of 4-carboxybutylene triphenylphosphorane and 2- $\beta$ -trithyloxymethyl-3 $\alpha$ -hydoxy-bicyclo[3,3,0]octane-7-on was dissolved in acetone, and dicyclohexyl amine of an equivalent mole was introduced under agitation. The mixture was further agitated under room temperature, and the precipitated crystal was obtained by filtering and washed with little acetone to obtain a dicyclohexylamine salt of 2- $\beta$ -trithyloxymethyl-3 $\alpha$ -hydoxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0]octane.

Melting Point: 69-71°C

#### Example 2

A brown oil-like matter (0.39 g) of 2- $\beta$ -(3' $\alpha$ -hydroxy-trans-1'-octenyl)-3 $\alpha$ -hydoxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0]octane containing a 7-Z isomer was dissolved in acetone, and dicyclohexylamine of an equivalent mole was introduced under agitation. The mixture was agitated for 2 hours and left under room temperature, and the precipitated crystal was obtained by filtering to obtain a dicyclohexylamine salt of 2- $\beta$ -(3' $\alpha$ -hydroxy-trans-1'-octenyl)-3 $\alpha$ -hydoxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0]octane.

Melting Point: 105.5-106.5°C

The above dicyclohexylamine salt was neutralized by a KHSO<sub>4</sub> aqueous solution of 0.5 N, then extracted with ether, after which the ether layer was washed with water and dried, and the solvent was removed by distillation under reduced pressure to

obtain a crystal of 2- $\beta$ -(3' $\alpha$ -hydroxy-trans-1'-octenyl)-3 $\alpha$ -hydroxy-7E-(4'-carboxybutylidene)-bicyclo[3,3,0]octane.

Melting Point: 66.5-68°C

#### (9) 日本国特許庁 (JP)

① 特 許 出 願 公 開

## ⑩ 公開特許公報 (A)

昭56-122328

5)Int. Cl. <sup>3</sup> C 07 C 59/46	識別記号	厅内整理番号 7188-4C	<b>総公開</b> 昭和56年(1981)9月25日
51/43 59/62 # A 61 K 31/557 C 07 C 177/00	AEL	7188-4C 6617-4C 7430-4H	発明の数 2 審査請求 未請求 (全 <b>4</b> 頁)

ᢒシメタノプロスタサイクリン誘導体の結晶性ア ミン塩及びその製法及び精製法

②特 願 昭55-25726

願 昭55(1980)2月29日

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大阪市東区北浜5丁目15番地

创代 理 人 弁理士 木村勝哉

1. 発明の名称

メタノプロスタサイクリン誘連体の結晶性アミン塩及びその製法及び精製法

2.特許請求の範囲

1)一般式

(武中、R<sup>2</sup>、R<sup>3</sup>、R<sup>4</sup>は各々水器原子交はメ チル藍をあらわす。)をあらわす。) であらわされるメタノブロスタサイクリン糖 海体のジシクロヘキシルアミン塩。

2)一般武

HO T

〔式中、121は謝配のとおりである。〕

であらわされるメタノプロスタサイクリン議 導体及びそのフー 2 異性体の混合物をジシク ロヘキシルアミンにより結晶性塩とし、更に 必要に応じて再結晶を行たりととを精微とす

---209---

( / )

R3、zd 付各々水樂原子又はメチル基をあら わす。)をあらわす。〕

であらわされるメタノプロスタサイクリン勝 導体のジシクロヘキシルアミン塩の製法。

#### 3 . 発明の詳細な説明

本発明はメタノプロスタサイクリン誘導体の 及びその糟製法に関するものである。

タノブロスタサイクリン (目) は強力を血小 根擬集抑制作用を有する天然生理活性物質であ るプロスタサイクリン(PAIR)の安定誘導体と して見出されたものであり(テトラヘドロン・ レターズ 2607 (1979) )、プロスタサイタリ ンに比べてはるかに化学的に安定であり、しか も POI2 と岡鮮の強い血小板艇線抑制作用を有し ており、動脈硬化、心不全又は血栓症等の治療 に極めて有用を化合物である。一方、とのメタ ノブロスタサイクリン及びその誘導体の全合放 は本発明者等の他にもいくつかのグループによ り報告がたされているが、それらの方法はいず

(3)

(1978) )、そのため微量の不純物の混入によ り苦しく結晶化が紡げられる。

一方、との72異性体 (11/1) はメタノブロスタ サイクリン [1] に比べてその薬理活性が極めて 低く、たとえば里の血小板凝集抑制作用は『の およそ 1/100 である(テトラヘドロン・レター x 433 (/979) ) .

とれらのととから、メタノブロスタサイクリ ン誘導体を医薬品として開発する場合、との異 性体の効率的かつ工業的を分離法の確立が絶対 的な要件となる訳である。

そとで本発明者等はメタノプロスタサイクリ ンの合成に成功して以来が々の分離、精製法に ついて検討を行たい、との必極めて簡便でかつ 工業的か精製法を開発するととに成功した。本 発明はとの新規を轉製法及びそれによって得ら れるメタノプロスタサイクサン結構体〔【〕の新 炭なヨシクロヘキシルアミン塩に関するもので

一般式〔1〕に於て112、125。 114 のいずれかがメ

れる下配の如くケトン誘導体〔出〕とイリド誘導 体(N)とのヴィッティッヒ反応を用いるもので

(N)

本反応は収率的には優れているが、常に不要 のフェ体 (II') が網生するという策大が欠点を有 しており(生成比は〔11]:(11')= ? : 2、テト ラヘドロン・レターズ 433(/979))、しかも 両者の物性が極めて類似しているため(BC値 プ 28 体 = O.14、 2 2 体 = O.12、 チ トラ ヘ ド ロ ン・ レターズ 433(/979))分離、特製が砂めて羽 難である。又、本化台物の歌点はかなりほく

チル藍であらわされるメタノプロスタサイクリ ン勝場体と同様に優れた血小板凝集抑制作用を ものであり(特開昭 3 4 -- //9444 号公 又印がトリチルオキシメチル基あるいは トリチルオキシートランスーノープロベニ ル塞であらわされるメタノブロスタサイクリン 勝澤 体付 メタノブロスタサイクリン合成の中間 体として質明なものである。

( 特 顧 昭 5 4 - 29 2333 、 特 顯 昭 5 4 - 29 236 ) 本発明によればメタノブロスタサイクリン様

〔武中、R<sup>1</sup>はトリチルオキシメチル薬、ヨー - он = он  $-\dot{\varsigma} + \dot{\varsigma} -$  сн $^{2}$  он $^{3}$  сн $^{3}$  сн $^{2}$ 

R2、R3、R4は各年水業原子叉はメチル暴をあ ---210----

(5)

らわす。)をあらわす。〕

であらわされるメタノブロスタサイクリン誘導 体のジシクロヘキシルアミン場は以下のように して得られる。すたわち、メタノブロスタサイ クリン誘導体 [1]あるいは対応するフェー異性 体 (1)

(P1付前配のとかりである。)

を含有するメタノブロスタサイクリン誘導体 [1]を適当な解媒中適当層(0.7倍~1.2倍モ ル)のジシクロヘキシルアミンと混合し、必要 に応じて冷却し、忻出した結晶を伊取するとと より得られる。

とのようにして得られたメタノブロスタサイ クリン誘導体 []]のジシクロヘキシルアミン塩 村一般にかなり高網度であるが、必要に応じて

( 7 )

2-8-(34-トリチルオキシートランスーノープロペ チリデン)ーピシクロ(3,3,0)オクタン

2 − β − (3'α−ヒドロキシートランスー /′ーオクテニ ル)ー3αーヒドロキシーフΕー(ダーカルボキシブチ

ユーカー(3'4ーヒドロキシー4', 4'ージメチルートラ ンスー/ーオクチェル)ー3αーヒドロキシーフモー (4'-カルボキシブチリデン)ビシクロ(3,3,0) オクタン

 $2-\beta-(3'\alpha-b$   $\forall$   $\alpha+b-3'\beta-x$   $\neq$   $\lambda-b-2$   $\forall$   $\lambda$ ー //-オクテニル)-3α - ヒドロキシー7m- ( ψ/-カルポキシブチリデン)ビシクロ〔3.3,0〕オクタ

次に実施例をあけて本発明を詳維に限明する。

4 ーカルボキシブチレントリフェニルホス ホラン及びユーヨートリチルオキシメチルー 3 α - ヒ к р キ シ - ビ シ ク р [ 3 , 3 , 0 ] オクタンーケーオンのヴィッティヒ反応によ って得た租2Bートリチルオキシメチルー3 ほーヒゼロキシー7~( 4′ーカルボキシブチ -211-

(9)

更に適当な能媒を用いて再結晶するととにより 純変を上げるととができる。

本発明に於て用いられる適当を経媒としては アルカノール(例えばエタノール、カープロバ ノール、 180 ープロパノール)及び アルカノン (例光はアセトン、メチルエチルケトン、ジェ チルケトン、メチルー tao ブチルケトン) が適 しているが特ピアセトン、メチルエチルケトン 箸が繰れている。

本総明によって得られたジシクロヘキシルア ミン塩は常法に従って容易に遊解のメタノプロ スタサイクリン誘導体〔1〕に戻すととかでき、 しかも得られたメタノブロスタサイクリン誘導 体付本発明の控制を行たわせいものに比べて※ れた結晶性を示す。

本発明によって例えば次に掲げる化合物のジ シクロヘキシルアミン鑑が容易に得られる。

ユーAートリテルオキシメテルー3a~ヒドロキシー 78 ー(4~カルボキシブチリデン)ービシクロ〔3 , 3 , 0]オクタン

(8)

リデン)ービシクロ〔3,3,0〕オクタン のフーE、と混合物の.89をアセトンに溶解 し、損律下等モルのジシクロヘキシルアミン を加注し、更に窒息にて掛桿して後、折出し た結晶を俨取し、少数のアセトンにて抗節し 2 8 ートリチルオキシメチルー3αーヒドロ キシー 7 B ー ( 4'ーカルポキシブチリデン ) ービシクロ〔3,3,0〕オクタンのタシク ロヘキシルアミン塩を得た。

**姚** 点 8 9 ~ 7 / °C

與 施 例 2

7 - 2 異性体を含有する2 B - ( 3'a - E ドロキシートランスーパーオタテニル)-3 αーヒドロキシーフェー(4'ーカルボキシブ チリデン)ービシクロ〔3,3,0)ーオク タンのカッ色油状物 0.39 9 をアセトンに密解 し、攪拌下等モルのジシクロヘキシルアミン を加注し、 2時間攪拌後窒竭にて放置し、析 出結晶を抑取することにより18-(3/4-ヒドロキシートランスー バーオクテニル)ー

(10)

3 αーヒドロキシークまー(4/一カルボギンプチリデン)ビシクロ(3,3,0)オクタンのジシクロヘキシルアミン塩を得た。

駿点 105.5 ~ 106.5 ℃

上記シシクロヘキシルアミン塩を 0.5 Nの RH60、水溶液で中和し、エーテルにて抽出して 後、エーテル 横を水洗、破燥し、 減圧下溶媒を 留去することにより 2 B ー (3'αーヒドロキシートランスー //ーオクテニル)ー3 αーヒドロキシーク E ー (4'ーカルボキンプチリデン)ビシクロ (3,3,0)オクタンの結晶を得た。

殿点 66.5 ~ 68℃

( / / 光 )

#### Citation 2

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(54) OPTICAL ACTIVE CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE AND ITS PREPARATION

TITLE: OPTICAL ACTIVE CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE AND ITS PREPARATION

#### **CLAIMS**

1. A salt of methanoprostacyclin derivative having a general formula:

[wherein,  $R_1$  is a hydrogen atom or a methyl group,  $R_2$  is an alkyl group of 1 to 12 carbons, an alkenyl group of 2 to 12 carbons, a formula -A group (wherein, A is a cycloalkyl group of 3 to 8 carbons that can be substituted by a lower alkyl group), a

formula –X-A group (wherein, A is the same as shown above, and X is a methylene group, an ethylene group, a –NH- group, an oxygen atom or a sulfur atom) or a formula –GH<sub>2</sub>–X- $\bigcirc$ Y group (wherein, X is the same as shown above, Y is a halogen atom or a trifluoromethyl group), and n is an integer of 1 to 5] and 1-threo-2-amino-3-paranitrophenyl-1.3-propanediol (IIa).

2. A method for preparing a salt of a compound (Ia) and a compound (IIa) comprising:

treating 4 types of mixtures, consisting of a mixture of methanoprostacyclin derivative having a general formula:

[wherein,  $R_1$  is a hydrogen atom or a methyl group,  $R_2$  is an alkyl group of 1 to 12 carbons, an alkenyl group of 2 to 12 carbons, a formula -A group (wherein, A is a cycloalkyl group of 3 to 8 carbons that can be substituted by a lower alkyl group), a formula -X-A group (wherein, A is the same as shown above, and X is a methylene group, an ethylene group, a -NH- group, an oxygen atom or a sulfur atom) or a formula  $-CH_2-X-C_2$  group (wherein, X is the same as shown above, Y is a halogen atom or a trifluoromethyl group), and n is an integer of 1 to 5] and an X-isotope of a compound (Ia) having a general formula:

(wherein,  $R_1$ ,  $R_2$  and n are the same as shown above),

a mixture of a compound (Ia) and an antipode compound (Ic) thereof, or

a mixture of a compound (Ia), a compound (Ib), a compound (Ic) and an antipode compound (Id) of compound (Ib),

with l-threo-2-amino-3-paranitrophenyl-1,3-propanediol (IIa) to produce a crystalline salt; then

recrystallizing as necessary.

#### 3. Detailed Description of the Invention

The present invention relates to a salt of a new methanoprostacyclin derivative having a general formula:

and

l-threo-2-amino-3-paranitrophenyl-1,3-propanediol (IIa), which is useful in the separation or refinement of an optical isomer and a stereoisomer, and a preparation method of the same.

In the above formula,  $R_1$  is a hydrogen atom or a methyl group,  $R_2$  is an alkyl group of 1 to 12 carbons, an alkenyl group of 2 to 12 carbons, a formula -A group (wherein, A is a cycloalkyl group of 3 to 8 carbons that can be substituted by a lower alkyl group), a formula -X-A group (wherein, A is the same as shown above, and X is a methylene group, an ethylene group, a -NH- group, an oxygen atom or a sulfur atom) or a formula  $-cH_2-X$ -CY group (wherein, X is the same as shown above, Y is a halogen atom or a trifluoromethyl group), and n is an integer of 1 to 5.

Examples of alkyl groups having 1 to 12 carbons of  $R_2$  include methyl group, ethyl group, n-propyl group, isopropyl group, n-butyl group, isobutyl group, n-pentyl group, isopentyl group, 1-methylpentyl group, 2-methylpentyl group, n-hexyl group, n-heptyl group, 1,1-dimethylpentyl group, 2-ethylpentyl group, n-octyl group, 2-methyloctyl group, n-nonyl group 2-methylnonyl group, 2-ethyloctyl group, n-decyl group, 2-methyldecyl group or 2-ethyldecyl group; and preferably, alkyl groups having 4 to 10 carbons, such as, n-butyl group, isobutyl group, n-pentyl group, isopentyl group, 1-methylpentyl group, 2-methylpentyl group, n-hexyl group, n-hexyl group, n-hetyl group, 1,1-dimethylpentyl group, 2-ethylpentyl group, n-octyl group, 2-methyloctyl group, n-hexyl group, n-hexyl group, n-hexyl group; and more preferably, n-pentyl group, 1-methylpentyl group, n-hexyl group or 2-methylhexyl group.

Examples of alkenyl groups having 2 to 12 carbons of R<sub>2</sub> include vinyl group, allyl group, 2-butenyl group, 2-pentenyl group, 3-pentenyl group, 2-methyl-3-pentenyl group, 4-methyl-3-pentenyl group, 1-methyl-4-pentenyl group, 4-hexenyl group, 5-hexenyl group, 1,4-dimethyl-3-pentenyl group, 5-heptenyl group, 5-heptenyl group, 6-methyl-5-heptenyl group, 2,6-dimethyl-5-heptenyl group, 6-methyl-5-octenyl group, 2,6-dimethyl-5-octenyl group, 6-ethyl-5-octenyl group, 2-methyl-6-ethyl-5-octenyl group or 2,6-diethyl-5-octenyl group; and preferably

alkenyl groups having 4 to 12 carbons, such as 2-butenyl group, 2-pentenyl group, 3-pentenyl group, 2-methyl-3-pentenyl group, 4-methyl-3-pentenyl group, 1-methyl-4-pentenyl group, 4-hexenyl group, 5-hexenyl group, 1,4-dimethyl-3-pentenyl group, 5-heptenyl group, 6-methyl-5-heptenyl group, 2,6-dimethyl-5-heptenyl group, 1,1,6-trimethyl-5-heptenyl group, 6-methyl-5-octenyl group, 2-methyl-5-octenyl group, 6-ethyl-5-octenyl group, 2-methyl-6-ethyl-5-octenyl group or 2,6-diethyl-5-octenyl group; and more preferably, 2-pentenyl group, 4-hexenyl group, 5-hexenyl group, 6-methyl-5-heptenyl group or 2,6-dimethyl-5-heptenyl group.

Examples of lower alkyls constituting substituents of formula -A group and formula -X-A group of  $R_2$  include methyl group, ethyl group, n-propyl group, n-butyl group or isobutyl group, and preferably a methyl group or an ethyl group.

Examples of cycloalkyl groups having 3 to 8 carbons in formula -A group and formula -X-A group of  $R_2$  include cyclopropyl group, cyclobutyl group, cyclopentyl group, cyclohexyl group, cyclohexyl group or cyclohexyl group; and preferably, cyclopentyl group or cyclohexyl group.

X in formula –X-A group or formula  $-\alpha_{x}$ –x–x group of  $R_2$  is preferably methylene group, oxygen atom or sulfur atom.

The halogen atom constituting Y in formula "ON: X group of  $R_2$  is fluorine atom, chlorine atom, bromine atom, or iodine atom; and preferably, fluorine atom or chlorine atom. The letter n is preferably an integer of 3 to 5, and more preferably, the integer 3.

Or else, compound (Ia) can preferably be a compound constituted of  $R_1$  being a hydrogen atom or a methyl group;  $R_2$  being the above alkyl group having 4 to 10 carbons; the above alkenyl group having 4 to 12 carbons; a cyclopentyl group or cyclohexyl group that can be substituted with a methyl group or an ethyl group; a cyclopentyl methyl group, cyclohexyl methyl group, cyclohexyl amino group, cyclohexyl amino group, cyclohexyl amino group, cyclohexyl oxy group, cyclopentyl thio group or cyclohexyl thio group that can be substituted with a methyl group or an ethyl group; a 2-phenylethyl group, anilinomethyl group, phenoxymethyl group or phenylthiomethyl group having a phenyl ring that can be substituted with a fluorine atom, chlorine atom or a trifluoromethyl group; and n being an integer of 3 to 5.

Compound (la) can more preferably be a compound constituted of  $R_1$  being a hydrogen atom or a methyl group,  $R_2$  being a n-pentyl group, 1-methylpentyl group, n-hexyl group, 2-methylhexyl group, 2-pentenyl group, 4-hexenyl group, 5-hexenyl group, 6-methyl-5-heptenyl group, 2,6-dimethyl-5-heptenyl group, cyclopentyl group, 3-methylcyclopentyl group, group, group, group, group, group,

cyclopentylmethyl group, 3-methylcyclopentylmethyl group, cyclohexylmethyl group, 3-ethylcyclohexylmethyl group, cyclopentyloxy group, 3-methylcyclopentyloxy group, cyclohexyloxy cyclopentyl thio group, cyclohexyl group, 3-methylcyclohexyl thio group, 2-phenylethyl group, 2-(m-fluorophenyl)ethyl group, 2-(p-fluorophenyl)ethyl group, 2-(o-chlorophenyl)ethyl group, 2-(p-chlorophenyl)ethyl group, 2-(m-trifluoromethylphenyl)ethyl group, 2-(p-trifluoromethylphenyl)ethyl, p-chlorophenoxymethyl, phenoxymethyl, m-fluorophenoxymethyl, p-trifluorophenoxymethyl, phenylthiomethyl, o-fluorophenylthiomethyl, m-chlorophenylthiomethyl or p-trifluoromethylphenylthio methyl group, and n being an integer 3.

Methanoprostacyclin derivative is a chemically stable prostacyclin derivative, and its development as an advantageous therapeutic agent of thrombosis, etc. is in progress. The compound includes many asymmetric carbons and double bonds, so it has various optical isomers and stereoisomers, and a target compound cannot be obtained by synthesis without the above isomer entering the product. For separation of isomers of methanoprostacyclin derivatives, the separation of compound (IIIa) using dicyclohexylamine from a mixture of a compound having a general formula:

(wherein,  $R_3$  is trithyloxymethyl group, 3-trithyloxy-trans-1-propenyl group) and a 5Z-isomer (IIIb) of the same (JP 56-122328 A).

The present inventors conducted extensive studies for many years concerning the separation of isomers of the methanoprostacyclin derivatives, and found a new carbonic acid-amine salt that is useful for separating the E, Z-isomers based on double bonds more efficiently than known technology and also separate an optical isomer based on asymmetric carbon, and thus completed the invention.

The salt of a compound (Ia) and a compound (IIa) relating to the present invention is produced by the following method.

The salt can be obtained by treating 4 types of mixtures, consisting of a mixture of compound (Ia) and a Z-isotope of a compound (Ia) having a general formula:

(wherein,  $R_1$ ,  $R_2$  and n are the same as shown above), a mixture of a compound (Ia) and an antipode compound (Ic) thereof, or a mixture of a compound (Ia), a compound (Ib), a compound (Ic) and an antipode compound (Id) of compound (Ib), in an inert solvent to produce a crystalline salt, then recrystallizing as necessary.

Examples of the inert solvent to be used include water; aliphatic hydrocarbons, such as n-pentane, n-hexane, n-octane; and aromatic hydrocarbons, such as benzene, toluene, xylene; halogenated hydrocarbons, such as dichloromethane, chloroform, carbon tetrachloride; ethers, such as ether, tetrahydrofuran, dioxane; esters, such as methyl acetate, ethyl acetate; nitriles, such as acetonitrile, benzonitrile; ketones, such as acetone, methylethyl ketone; alcohols such as methanol, ethanol, n-propanol, isopropanol, n-butanol, isobutanol, sec-butanol, t-butanol, n-amyl alcohol, sec-amyl alcohol, t-amyl alcohol, isoamyl alcohol, sec-isoamino alcohol, active amyl alcohol, or mixtures of such solvents; and preferably, esters or mixtures of esters with the above various solvents; and more preferably, esters or mixtures of alcohols and esters.

The amount of compound (IIIa) to be used is an equivalent of 0.7 to 1.5 against carbonic acid, and preferably an equivalent of 0.9 to 1.1 against carbonic acid.

The temperature to produce a salt of compounds (Ia), (Ib), (Ic) and (Id) with compound (IIa) is normally around room temperature and the recrystallization of the above salt is performed by preferably heating to 50°C to 100°C to produce a supersaturated solution, then precipitating crystals at -10°C to 50°C.

Further, a salt of compound (Ic) and d-threo-2-amino-3-paranitrophenyl-1,3-propanediol (IIb) can be produced by a similar method as the one mentioned above.

The salt of compound (Ia) and compound (IIa) or the salt of compound (Ic) and compound (IIb), produced by the above method, can be formed into compound (Ia) or compound (Ic), which have excellent pharmacological effects. An exemplary method of obtaining such compound (Ia) or compound (Ic) is to dissolve an appropriate salt in a little water, add a dilute alkali solution to the water to induce precipitation of an amine compound (IIa) or (IIb), filter out the amine compound, add a dilute acid to acidify the solution, and then extract the above compound with a water-immiscible solvent, removing the solvent from the liquid extract by distillation.

Compounds (Ia), (Ib), (Ic) and (Id), which are used as the starting material of the present method, can be readily produced according to a known method (JP 54-95552 A, JP 54-130543 A or JP 55-28945 A).

Next, the invention is described in more detail by the Examples.

### Example 1

L-threo-2-amino-3-paranitrophenyl-1,3-propanediol salt of (8S,9R,11R,12R, 15S,17R)-6,9-methylene-11,15-dihydroxy-17-methyl-20-isopropylideneprost-5(E),13(E )-dienoic acid

A mixture of (8S,9R,11R,12R,15S,17R)-6,9-methylene-11,15-dihydroxy-17methyl-20-isopropylideneprost-5(E),13(E)-dienoic acid and its 5(Z)-isomer (at about 6.5:3.5) in an amount of 0.38 g and an equivalent amount of l-threo-2-amino-3-paranitrophenyl-1,3-propanediol was thermally dissolved in ethyl acetate containing isopropanol at 10%, and recrystallized at room temperature to obtain the desired salt of 5(E)-isomer in an amount of 0.26 g.

Melting point: 68-70°C

IR spectrum (Nujol) cm<sup>-1</sup>:

1350, 1375, 1460, 1520, 3350

NMR spectrum (CD<sub>3</sub>OD) δ ppm:

### Example 2

L-threo-amino-3-paranitrophenyl-1,3-propanediol salt of (8S,9R,11R,12R, 15S)-6,9-methylene-11,15-dihydroxyprost-5(E),13(E)-dienoic acid

#### a) Method using an E,Z-mixture

A mixture of (8S,9R,11R,12R,15S)-6,9-methylene-11,15-dihydroxyprost-5(E), 13(E)-dienoic acid and its 5(Z)-isomer (at about 6.5:3.5) in an amount of 0.10 g and an equivalent amount of l-threo-2-amino-3-paranitrophenyl-1,3-propanediol was thermally dissolved in ethyl acetate containing ethanol, and recrystallized at room temperature to obtain the desired salt of 5(E)-isomer in an amount of 0.07 g.

Melting point: 55-65°C

IR spectrum (liquid film) cm<sup>-1</sup>:

1040, 1350, 1405, 1530, 3250

NMR spectrum (CD<sub>3</sub>OD) δ ppm:

0.88 (3H, t, 
$$\sim_{\text{UM}_5}$$
)
5.50 (2H, m,  $\stackrel{\text{UM}_5}{\text{in}}$  on)

# b) Method using an antipode mixture

A mixture of (8S,9R,11R,12R,15S)-6,9 $\alpha$ -methylene-11 $\alpha$ ,15 $\alpha$ -dihydroxyprost-5(E),13(E)-dienoic acid and its antipode (at 1:1) in an amount of 63 mg and 38 mg of l-threo-2-amino-3-paranitrophenyl-1,3-propanediol was processed as in a) to obtain the desired salt in an amount of 40 mg.

# Example 3

L-threo-2-amino-3-paranitrophenyl-1,3-propanediol salt of (8R,9R,11R,12R, 15S)-6,9-methylene-11,15-dihydroxy-15-cyclopentyl-16,17,18,19,20-pentanolprost-5(E),13(E)-dienoic acid

A mixture of (8S,9R,11R,12R,15S)-6,9-methylene-11,15-dihydroxy-15-cyclopentyl-16,17,18,19,20-pentanolprost-5(E),13(E)-dienoic acid and its 5(Z)-isomer (at about 8:2) in an amount of 63 mg and an equivalent amount of l-threo-2-amino-3-paranitrophenol-1,3-propanediol was thermally dissolved in ethyl acetate containing isopropanol at 10%, and recrystallized at room temperature to obtain the desired salt of 5(E)-isomer.

Melting point: 90-92°C

IR spectrum (Nujol) cm<sup>-1</sup>:

1350, 1460, 1520, 2600, 2850, 3350

NMR spectrum (CD<sub>3</sub>OD) δ ppm:

# 19 日本国特許庁 (JP)

① 特許出願公開

# ⑩公開特許公報(A)

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#### 明 細 等

# 1. 発明の名称

メタノプロスタサイクリン誘導体の光学活性 結晶性アミン線およびその製法

# 2. 特許研求の範囲

#### 1) 一般式

X は前述したものと同意義を示し、Y はハログン源子またはトリフルオロメチル異を示す。)を示し、nはト乃至5の整数を示す。〕を有するメタノブロスタサイクリン誘導体ととースレオー2-アミノー3ーパラニトロフエニルー1、3ープロバンジオール(m)との填。

#### 2) 一般武

$$\begin{array}{c} \text{RO-C-}(\text{CH}_2)_{\text{D}} \\ \text{O} \\ \text{H} \\ \text{OH} \\ \text{OH} \end{array}$$

「式中、 R: は水素原子またはメチル基を示し、R2 は炭炭酸1乃 至12 個を有するアルキル族、炭素数2 乃至1 2 個を有するアルケニル族、式ーA 蒸(式中、 Aは低級アルキル基によつて複換されてもよい炭素数3 乃至 B 棚のシクロアルキル基を示す。 )、式 - X - A 蒸(式中、 A は前述したものと同意験を示し、 X はメチレン族、エチレン族、 - NB- 振、酸素原子または儼黄原

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$$\begin{array}{c} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & &$$

(武中、 R<sub>1</sub> , R<sub>2</sub> 知よびのは削迷したものと問 厳਼義を示す。)を有する化合物 (Ia) の 2 - 異性 体との混合物、

化合物 (in) とその対象体化合物 (ic) との混合物または

じて、再結晶をすることを特徴とする化合物 (ia)と化合物(ha)との場の要法。

#### 3. 発明の評細な説明

本発明は光学および立体異性体の分離、複製 に有用でありかつ新規な一般式

$$\begin{array}{c} \text{HO-C-(CH_2)_{II}} \\ \downarrow \\ \text{O} \\ \text{H} \\ \hline \\ \text{OH} \\ \text{OH} \\ \end{array}$$

を有するメタノプロスタサイタリン誘導体とと
- スレオー 2 - アミノー 3 - バラニトロフエニルー 1,3 - プロバンジォール (hu) との塩および
その製法に関する。

上記式中、 R1 は水薬菓子またはメチル基を示し、 R2 は炭絮数 1 乃至 12 備を有するアルキル − 一 展、炭素数 2 乃至 1 2 個を有するアルケニル系、 式 - A 展(式中、 A は低級アルキル基によつて 個換されてもよい炭素数 3 乃至 8 個のシクロア ルキル様を示す。 )、式 - X - A 展(式中、 A は

1 - ジメチルベンチル、2 - エチルベンチル、 ローオクチル、2 - メチルオクチルまたは2 - エチルオクチル族をあげることができ、さらに 野渡にはローベンチル、1 - メチルベンチル、 ローヘキシルまたは2 - メチルヘキシル落をあ げることができる。

R2 の炭素数 2 乃至 12 個を有するアルケエル
・慈としては例えばビニル、アリル、2 ープテニ
ル、2 ーペンテニル、3 ーペンテニル、2 ーメ
チルー3 ーペンテニル、4 ーメチルー3 ーペン
テニル、1 ーメチルー4 ーペンテニル、4 ー シメチルー
3 ーペンテニル、5 ー ヘキセニル、1,4 ー シメチルー
3 ーペンテニル、5 ー ヘブテニル。6 ー メチルー5 ー ヘブテニル
6 ー メチルー5 ー オクテニル、6 ー エチルー5 ー オクテニル
または2.6 ー ジエチルー5 ー オクテニル
あことができ、好適には炭素数4 7 万 第 1 2 個

を有するアルケエル族、側えば 2 ープテエル、
2 ーペンテエル、 3 ーペンテエル、 2 ーメチル
ー 3 ーペンテエル、 4 ーメチルー 3 ーペンテエ
ル、 1 ーメチルー 4 ーペンテエル、 4 ーペキセ
エル、 5 ーヘキセエル、 1.4 ージメチルー 3 ーペンテエル、 5 ーヘブテエル、 6 ーメチルー 5 ー ヘブテエル、 6 ーノメチルー 5 ーオクテエル、 6 ーエチルー 5 ーオクテエル 2 ーエチルー 5 ーオクテエル 2 ーエチルー 5 ーオクテエル 2 に 2 6 ージエチルー 5 ーオクテエル 4 でき、 さら 7 好 演 に は 2 ーペンテエル、 4 ーヘキセエル、 5 ーヘキセエル、 6 ーメチルー 5 ーヘブテエル 変 たける 5 ーペブテエル 変 たける 5 ーペブテエル 変 をがける ことが できる。

B: 化松ける式-A 異および式-R-A 無の機機 分である低級アルキル器としては倒えばメチル、エチル、ローブロビル、ローブチルまたはイソ プチル発をあげることができ、好瀬にはメチル

メチル若しくはエチル格で機機されてもよいシクロベンチル若しくはシクロヘキシル格;メテル若しくはエチル格で機模されてもよいシクロベンチルメチル、シクロヘキシルアミノ、シクロベンチルアミノ、シクロベキシルアミノ、シクロベンチルカオ若しくはシクロベンチルカオ若しくはシクロベンチルカオ若しくはシクロベンチルカオ若しくはフロベキシルをで機模されてもよいコーフエニルエチル、アニリノメチル、フェノキシメチル若しくはフエニルチオメチル酸であるたっなる。

化自物 (In) において、さらに好頭には R<sub>1</sub> が 水素版子またはメチル基であり、 R<sub>2</sub> がローベ ンチル、リーメチルベンチル、ローペキシル、 2 - メチルヘキシル、2 - ペンテニル。4 - ペ キセニル、5 - ペキセニル、5 - メチルー5 -ペプテニル、25 - ジメサルー5 - ペプテニル、 シクロベンチル、3 - エチルシクロベンチル、 またはエチル基である。

R2 における式-A 施および式-X-A 藍の炭斑数3 乃至 8 個を有するシクロアルキル施としては例えばシクロプロビル、シクロプチル。シクロペンチル、シクロペアテルまたはシクロペオクチル落をあげることができ、好渡にはシクロペンチルまたはシクロペキシル

または化合物 (In) において、好適には R<sub>1</sub> が水架原子またはメテル若であり、 R<sub>2</sub> が削記の 炭素数 4 乃至 1 0 個を有するアルキル基;前配の炭素数 4 乃至 1 2 個を有するアルケニル基;

シクロヘキシル、3ーメチルシクロヘキシル、 シクロベンチルメチル、3 - メチルシクロベン チルメチル、シクロヘキシルメチル、3 - エチ ルシクロヘキシルメテル、シクロベンチルオキ シ。3~メチルシクロペンチルオキシ、シクロ へキシルオキシ、シタロペンチルチオ、シクロ ヘキシルチオ、3ーナチルシタロヘキシルチオ、 3 - フェニルエチル、3 - ( カーフルオロンエ ニル)エチル、1-(p-フルオロフエニル) エチル、2-(0-クロロフエニル)エチル、 1-(ロークロロフエニル)エチル、1-(m - トリフルオロメチルフエニル)エチル、 2 -(ロートリフルオロメチルフエニル)エチル、 フエノキシメチル。 ローフルオロフエノキシメ チル、ロークロロフエノキシメチル、p-トリ フルオロフェノキシメチル。フエニルチオメチ ル、 0 ーフルオロフエニルチオメチル、 B ーク ロログロセフエニルチオメチルまたはカートリ フルオロメチルフエニルチオメチル益であり、 口が3の整数である化台物をあけることができ

Zo 0

メタノブロスクサイクリン誘導体に化学的に 安定なプロスクサイクリン誘導体で血栓症等の 使れた治療剤として開発が進められている。こ の化台物は数多くの不斉提素および二重結合を 有しているため、補々の光学異性体および立体 異性体が存在し、台成によつて目的化合物を得 るには上配異性体の混入は遅けられない。メタ ノブロスタサイクリン誘導体の異性体の分離に 関しては、一般式

(式中、 Bs はトリチルオキシメチル蘇、3 ートリチルオキシートランス - 1 - プロベニル 藤等を示す。)を有する化合物とその5 2 - 異性体(肌)との混合物からジシクロヘキシルアミンを用いて、化合物(肌)を分離できることが知られている(特別服 55 - 122328 号公報)。

筋するととによつて待ることができる。

使用される不指性結倒としては、水、側支は

ローベンタン、ローヘキサン、ローオクタンの
ような脂肪族炭化水素類、ベンゼン、トルエン、
キシレンのような芳香紙族化水素剤、ジクロロ
メタン、クロロホルム、関塩化炭素のようなハロケン化炭化水素類、エーテル、テトラヒドロフラン、ジオキサンのようなエステル類。アセトコール、ボンブニトリルのようなニトリル
類、アセトン、メチルエチルケトンのようなテトン類、アセトン、メチルエチルケトンのようなアトン類、アセトン、メチルエチルケトンのようなケトン類、メタノール、エタノール、ローブタノール、ローブタノール、コーブメファンル、ローブミルアルコール、セファミルアルコール、イフアニルアルコール、イフアミルアルコール、イフアニルアルコール、イファミルアルコール、イファミルア

本発明者らはメタノプロスタサイクリン誘導体の異性体の分離について、是年に負り 仮意検 間を行つた結果、二連結合に基づく B , 2 - 異性体を公知技術体よりも効率よく分離し、しかも不済炭素に基づく光学異性体をも分離するために有用な新規カルボン歌ーアミン場を見出して本始明を完成した。

本発明に係る化台物(la)と化合物(la)との塩 は以下の方法に従つて製造される。

化合物 (Ia)と一般式

$$\begin{array}{c} O \\ O \\ O \\ O \\ O \end{array}$$

. 化台物 (Ja)とその対象体化台物 (Jc)との混合物 または

ルコール。数一イソアミノアルコール、活性アミルアルコールのようなアルコール類またはこれら裕制の混合物をあげることができるが、好適にはエステル製と上配丛範囲の裕制との混合物であり、特に好適にはエステル類またはアルコール類とエステル類の混合物である。

使用される化合物 (Ea) の量はカルボン級に対して E7 乃至 1.5 当量であり、好適には E. 8 乃至 1.1 当量である。

化合物(In)、(In)。(In)および(In)と化合物(In)との塩を製造する温度は適常製品付近であり、上部塩の再結晶は好適には50℃乃至100℃に加熱して、過趣和経液となし。次いで-10℃乃至50℃で結晶を析出させることによって行われる。

また、上述と同様な方法に従って、化合物 (Jc)と σ - スレオー 2 - アミノー 3 - バラニトロフエニルー 3 - ブロバンジオール (8b)との 塩も製造することができる。

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以上のように製造された化合物 (la)と化合物 (lik)との塩または化合物 (Ic)と化合物 (Ib)との 城は常法に従つて、乗堰作用のすぐれた化台物 (Ja) または化台物 (Jc) に終くことができる。例 えば相当する塩を少量の水に解解させ、箱アル カリ水稻液を加え、桁出したアミン化台物 (Na) または (110) を弾去した後、希敵を加えて俗族を 酸性となし、水不泥和性溶剂で抽出し、抽出液 から俗削を留去することによつて得ることがで

本方法に原料として用いられる化台物 (ba)。 (In), (In) および (Id) は公知の方法に従って容 易に製造することができる(特開昭 54 - 95552 每,特開昭 54 - 130543 号または特捌昭 55-28945 号公報)。

次に実施例をあげて、さらに発明を具体的に 説明する。

#### 奖施例1

( 88 , 3R , 11R , 12R , 158 , 17R ) - 6 8 - メチレン - 11 , 15 - ジヒドロキシー 1 7

#### 実施例2

( 86 , 9R , 11R , 12R , 158 ) - 5 5 - 3 チレンー 11 、15 - ジヒドロキシブロスト - 5 (E) , 1 3 (E) - ジェン敵のセースレオーアミノー 3 - バラニトロフエニルー 1.3 - プロバンジオ

# B 、 2 一 混合物を用いる方法

(  $8\,\mathrm{S}$  ,  $9\,\mathrm{R}$  ,  $1\,\mathrm{1R}$  ,  $1\,\mathrm{2R}$  ,  $15\,\mathrm{S}$  ) – 9,~9 – 3チレンー 11 、15 ージヒドロキシブロストー 5 (B), 1 3 (B) - ジェン酸とその 5 (Z) - 異性体との 混合物(約6.5 対 3.5 ) 0.10 8 と当後のセースレ オー2ーアミノー3ーパラニトロフエニルー 1, 3-プロバンジオールをエタノールを含む酢酸 エチルに加熱俗解して窮傷にて再結晶すること により目的の 5 (B) - 異能体の塩を 9.07 y 得た。 **融点 55 - 65 C** 

IR スペクトル(液状フイルム)cm<sup>-1</sup>: 1040 , 1350 , 1405 , 1530 , 3250

- メチルー20-インプロピリデンプロストー 5 (B) , 1 3 (B) - ジェン酸のセースレオー2-ア ミノー3ーバラニトロフエニルー1.3ープロバ

( 86 , 9R , 11R , 12R , 158 , 17R ) - 6. リーメチレンー 11 。 15 ~ ジヒドロキシー 1 7 - メチルー28-イソプロビリデンプロストー 5 (E), 1 3 (E) - ジエン酸とその 5 (Z) - 異性体と の混合物(約 6.5 対 3.5 ) 0.3 8 タと当蹟のセース レオーユーアミノー 3 ーパラニトロフエニルー 1.3 - プロバンジオールを10多のイソプロバ ノールを含む酢酸エチルに加熱解解して室温に て再結晶することにより目的の5個一異性体の 塩 0.28 タを得た。

継点 68 - 70 ℃

IR 
$$x < f + n$$
 (Nujot)  $cm^{-1}$ : 1350 , 1375 , 1480 , 1520 , 3350 NMR  $x < f + n$  (CD<sub>5</sub>OD)  $\delta$  ppm : 5.50 (  $z$  B ,  $m$  ,  $H$  OH

# 対影体展合物を用いる方法

( 88 , 98 , 118 , 128 , 158 ) - 8. 9  $\alpha$  -メチレンー 11α、15α - ジとドロキシブロスト - 5 lll 。 1 3 llll - シエン館とその対象体との強 合物(1対1) 63 gと 38 gのセースレオー 2 - アミノー 3 - パラニトロフエニルー 1, 3 - ブ ロパンジオールを図と同様に処理して目的の塩 4 0 羽を将た。

#### 突焰例3

( 8R , 9R , 11R , 12R , 15B ) - 6, 9 -  $\nearrow$ チレン-11,15-ジヒドロキシ-15-シク ロベンチルー 16 、17 、18 、19 、20 ーベンタ ノルブロストー5個,13四一ジェン酸の1-スレオー2ーアミノー3~バラニトロフェニル

#### - 1, 3 - プロバンジオール場

( 88 , 9R , 11R , 12 R , 158 ) - 6.9 -メチレンー 11 。 15 - ジヒドロキシー 1 5 - シ クロベンチルー 16 、 17 、 18 、 19 、 20 ーペン タノルブロストー 5 (間、13(間) - ジエン 鞭とそ の 5 (3) 一異性体との混合物 ( 約 8 対 2 ) 6 3 mg と当最のモースレオー2~アミノー3~パラニ トロフエノールートチープロバンジオールを 10 多イソプロパノールを含む解除エチルに加熱機 解して室温にて再結晶することにより目的とす る5個一異性体の塩を得た。

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Atty. Dkt. No. 080618-1256

Appl. No. 13/933,623

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Hitesh BATRA

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

13/933,623

Filing Date:

7/2/2013

Examiner:

Unassigned

Art Unit:

1621

Confirmation Number: 6887

5887

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Commissioner:

Applicants submit herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4810-3678-0054.1

-1-

# CONCISE EXPLANATION OF RELEVANCE

An English translation is provided for foreign language Documents B1 and B2.

Foreign language Documents B1 and B2 were cited during the prosecution of the corresponding Japanese application in an Office Action dated August 13, 2013. An English translation of the Japanese Office Action is submitted herewith and sets forth the portion of the document considered relevant by the examiner.

#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Although Applicants believe that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date	8 <u>0 VON</u>	2013
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FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (415) 984-9810 Facsimile: (415) 434-4507 Alexey V. Saprigin Agent for Applicants Registration No. 56,439

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Hitesh BATRA

Title:

AN IMPROVED PROCESS

TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

**REMODULIN®** 

Appl. No.:

13/933623

Filing Date:

7/2/2013

Examiner:

Yevgeny Valenrod

Art Unit:

1672

Confirmation Number:

6887

# PRELIMINARY AMENDMENT UNDER 37 CFR § 1.115

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Commissioner:

Prior to examination of the present Continuing Application, Applicants respectfully request that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this document. Remarks begin on page 4 of this document.

# **Amendments to the Claims:**

This listing of claims will replace all prior versions and listings of claims in the application:

### **Listing of Claims:**

- 1. (Original) A process for preparing a treprostinil salt, comprising: combining treprostinil and a base in solution to form a base addition salt; allowing crystallization of the base addition salt of treprostinil; and collecting the base addition salt of treprostinil.
- 2. (Original) The process of claim 1, wherein the collected base addition salt of treprostinil is dried and stored.
- 3. (Original) The process of claim 1, wherein the base addition salt is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 4. (Original) The process of claim 1, further comprising heating the solution of treprostinil and base addition salt.
- 5. (Original) The process of claim 4, further comprising cooling the solution of treprostinil and base addition salt prior to collecting the base addition salt of treprostinil.
- 6. (Original) The process of claim 1, wherein the base comprises an alkali metal cation.
- 7. (Original) The process of claim 6, wherein the alkali metal cation is selected from the group consisting of sodium and potassium.
- 8. (Original) The process of claim 1, wherein the solution comprises a water-miscible organic solvent.

-2-

- 9. (Original) The process of claim 1, wherein a mole ratio of the base in solution to treprostinil is about 1.1:1.
- 10. (New) A pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof, said composition prepared by a process comprising providing a starting batch of treprostinil having one or more impurities resulting from prior alkylation and hydrolysis steps, forming a salt of treprostinil by combining the starting batch and a base, isolating the treprostinil salt, and preparing a pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof from the isolated treprostinil salt, whereby a level of one or more impurities found in the starting batch of treprostinil is lower in the pharmaceutical composition, and wherein said alkylation is alkylation of benzindene triol.
- 11. (New) The pharmaceutical composition of claim 10, wherein the salt is isolated in crystalline form.
- 12. (New) The pharmaceutical composition of claim 11, wherein the isolated salt is at least 99.8% pure.
- 13. (New) The pharmaceutical composition of claim 10, wherein the base is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 14. (New) The pharmaceutical composition of claim 13, wherein the base is diethanolamine.
- 15. (New) The pharmaceutical composition of claim 10, wherein the base is combined with treprostinil that has not been previously isolated.
- 16. (New) The pharmaceutical composition of claim 10, wherein the isolated salt is stored at ambient temperature.
- 17. (New) The pharmaceutical composition of claim 10, which is a pharmaceutical solution.

Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

**REMARKS** 

Applicants respectfully request that the foregoing amendments be made prior to

examination of the present application.

**CLAIMS STATUS** 

Applicants have added claims 10-17. Support for new claims may be found throughout

the specification as filed and, in particular, on pages 11-20. No new matter has been added.

After the amendment, pending claims include original claims 1-9 and new claims 10-17.

Applicant believes that the present application is in condition for allowance. Favorable

consideration of the application is respectfully requested. The Examiner is invited to contact the

undersigned by telephone if it is felt that a telephone interview would advance the prosecution of

the present application.

-4-

4834-0560-0282.1

IPR2020-00770 United Therapeutics EX2028 Page 92 of 265

# **CONCLUSION**

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing or a credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicants hereby petition for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

April 3, 2014 Date

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (415) 984-9810

Facsimile: (415) 434-4507

Alexey V. Saprigin Agent for Applicants Registration No. 56,439

Electronic Acknowledgement Receipt					
EFS ID:	18663584				
Application Number:	13933623				
International Application Number:					
Confirmation Number:	6887				
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®				
First Named Inventor/Applicant Name:	Hitesh Batra				
Customer Number:	22428				
Filer:	Alexey V. Saprigin/Karen Walker				
Filer Authorized By:	Alexey V. Saprigin				
Attorney Docket Number:	080618-1256				
Receipt Date:	03-APR-2014				
Filing Date:	02-JUL-2013				
Time Stamp:	14:10:38				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
File Lietings	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		PrelAmend.pdf	188041	yes	ч
'		r reixiliena.par	4b9570e08ee4c4374a63eed8532cd89c627 06eb3	· '	

Multipart Description/PDF files in .zip description								
Document Description	Start	End						
Preliminary Amendment	1	1						
Claims	2	3						
Applicant Arguments/Remarks Made in an Amendment	4	5						

#### Warnings:

Information:

Total Files Size (in bytes):	188041
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application or Docket Number Filing Date 13/933,623 07/02/2013			To be Mailed	
	ENTITY: 🛛 LARGE 🗌 SMALL 🗌 MICRO									
	APPLICATION AS FILED – PART I									
	(Column 1) (Column 2)									
	FOR		NUMBER FI	_ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A			
	ΓAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =			
	EPENDENT CLAIM CFR 1.16(h))	s	m	inus 3 = *			X \$ =			
	APPLICATION SIZE (37 CFR 1.16(s))	FEE of for fra	paper, the a small entit	ation and drawing application size f y) for each additi of. See 35 U.S.C	ee due is \$310 ( onal 50 sheets c	\$155 or				
	MULTIPLE DEPEN			W//						
* If t	he difference in colu	ımn 1 is less tha	in zero, ente	r "0" in column 2.			TOTAL			
		(Column 1)		APPLICAT (Column 2)	ION AS AMEN		RT II			
LN:	04/03/2014	CLAIMS REMAINING AFTER AMENDMEN	r	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	<b>A</b> DDITIO	DNAL FEE (\$)	
OME	Total (37 CFR 1.16(i))	* 17	Minus	** 20	= 0		x \$80 =		0	
AMENDMENT	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		x \$420 =		0	
AM	Application Si	ze Fee (37 CFF	1.16(s))							
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))					
							TOTAL ADD'L FE	E	0	
		(Column 1)		(Column 2)	(Column 3	)				
		CLAIMS REMAINING AFTER AMENDMEN	г	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)	
ËN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =			
ENDMEN.	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =			
MEN.	Application Size Fee (37 CFR 1.16(s))									
AM	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))					
						_	TOTAL ADD'L FE	E		
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.									

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Hitesh BATRA

Title:

AN IMPROVED PROCESS

TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

REMODULIN®

Appl. No.:

13/933623

Filing Date:

7/2/2013

Examiner:

Yevgeny Valenrod

Art Unit:

1672

Confirmation Number:

6887

# PRELIMINARY AMENDMENT UNDER 37 CFR § 1.115

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Prior to examination of the present Continuing Application, Applicants respectfully request that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this document. Remarks begin on page 5 of this document.

### Amendments to the Claims:

This listing of claims will replace all prior versions and listings of claims in the application:

# **Listing of Claims:**

- (Original) A process for preparing a treprostinil salt, comprising:
   combining treprostinil and a base in solution to form a base addition salt;
   allowing crystallization of the base addition salt of treprostinil; and
   collecting the base addition salt of treprostinil.
- 2. (Original) The process of claim 1, wherein the collected base addition salt of treprostinil is dried and stored.
- 3. (Original) The process of claim 1, wherein the base addition salt is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 4. (Original) The process of claim 1, further comprising heating the solution of treprostinil and base addition salt.
- 5. (Original) The process of claim 4, further comprising cooling the solution of treprostinil and base addition salt prior to collecting the base addition salt of treprostinil.
- 6. (Original) The process of claim 1, wherein the base comprises an alkali metal cation.
- 7. (Original) The process of claim 6, wherein the alkali metal cation is selected from the group consisting of sodium and potassium.
- 8. (Original) The process of claim 1, wherein the solution comprises a water-miscible organic solvent.

-2-

- 9. (Original) The process of claim 1, wherein a mole ratio of the base in solution to treprostinil is about 1.1:1.
- 10. (Previously Presented) A pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof, said composition prepared by a process comprising providing a starting batch of treprostinil having one or more impurities resulting from prior alkylation and hydrolysis steps, forming a salt of treprostinil by combining the starting batch and a base, isolating the treprostinil salt, and preparing a pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof from the isolated treprostinil salt, whereby a level of one or more impurities found in the starting batch of treprostinil is lower in the pharmaceutical composition, and wherein said alkylation is alkylation of benzindene triol.
- 11. (Previously Presented) The pharmaceutical composition of claim 10, wherein the salt is isolated in crystalline form.
- 12. (Previously Presented) The pharmaceutical composition of claim 11, wherein the isolated salt is at least 99.8% pure.
- 13. (Previously Presented) The pharmaceutical composition of claim 10, wherein the base is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 14. (Previously Presented) The pharmaceutical composition of claim 13, wherein the base is diethanolamine.
- 15. (Previously Presented) The pharmaceutical composition of claim 10, wherein the base is combined with treprostinil that has not been previously isolated.
- 16. (Previously Presented) The pharmaceutical composition of claim 10, wherein the isolated salt is stored at ambient temperature.
- 17. (Previously Presented) The pharmaceutical composition of claim 10, which is a pharmaceutical solution.
- 18. (New) A process of preparing a pharmaceutical product comprising treprostinil or a pharmaceutically acceptable salt thereof, comprising alkylating a triol intermediate of the formula:

hydrolyzing the resulting compound to form treprostinil, forming a salt of treprostinil stable at ambient temperature, storing the treprostinil salt at ambient temperature, and preparing a pharmaceutical product from the treprostinil salt after storage, wherein the pharmaceutical product comprises treprostinil or a pharmaceutically acceptable salt thereof.

19. (New) A pharmaceutical product prepared by the process of claim 18.

Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

**REMARKS** 

Applicants respectfully request that the foregoing amendments be made prior to examination of the present application.

**CLAIMS STATUS** 

Applicants have added claims 18 and 19. Support for new claims may be found throughout the specification as filed and, in particular, on pages 11-20. No new matter has been added.

After the amendment, claims 1-19 are pending.

Applicants believe that the present application is in condition for allowance. Favorable consideration of the application is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

-5-

#### CONCLUSION

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing or a credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicants hereby petition for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (415) 984-9810 Facsimile:

(415) 434-4507

Alexev V. Saprigin Agent for Applicants Registration No. 56,439

Electronic Ack	knowledgement Receipt
EFS ID:	18858852
Application Number:	13933623
International Application Number:	
Confirmation Number:	6887
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
First Named Inventor/Applicant Name:	Hitesh Batra
Customer Number:	22428
Filer:	Stephen Bradford Maebius/Karen Walker
Filer Authorized By:	Stephen Bradford Maebius
Attorney Docket Number:	080618-1256
Receipt Date:	25-APR-2014
Filing Date:	02-JUL-2013
Time Stamp:	11:29:23
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	no
File Lietings	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		PrelAmend.pdf	158940	yes	6
•		r renancia.par	48ee6a8704917022ea83deb75b0522403b 6e8ae8	· '	· ·

Multipart Description/PDF files in .zip description		
Document Description	Start	End
Preliminary Amendment	1	1
Claims	2	4
Applicant Arguments/Remarks Made in an Amendment	5	6

#### Warnings:

Information:

<b>Total Files Size</b>	(in bytes):	158940

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						n or Docket Number 8/933,623	Filing Date 07/02/2013	To be Mailed
						ENTITY: 🛛 L	ARGE 🗌 SMA	LL MICRO	
	APPLICATION AS FILED – PART I								
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	FEE fo	of paper, the a por small entity	ation and drawing application size f /) for each additi f. See 35 U.S.C	ee due is \$310 ( onal 50 sheets c	\$155 r			
	MULTIPLE DEPEN								
* If t	he difference in colu	ımn 1 is less t	han zero, ente	r "0" in column 2.			TOTAL		
		(Column 1	1)	APPLICAT	ION AS AMEN		ART II		
iN⊤	04/25/2014	CLAIMS REMAINING AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 19	Minus	** 20	= 0		x \$80 =		0
EN	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$420 =		0
AM	Application Si	ze Fee (37 CF	FR 1.16(s))						
	FIRST PRESEN	NTATION OF MU	JLTIPLE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI		0
		(Column 1	1)	(Column 2)	(Column 3	)			
		CLAIMS REMAININ AFTER AMENDME	IG	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
EN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
NEN	Application Size Fee (37 CFR 1.16(s))								
AM	FIRST PRESEN	ITATION OF MU	JLTIPLE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI		
** If *** I	the entry in column of the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously F per Previously	Paid For <sup>"</sup> IN TH Paid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20" than 3, enter "3".		LIE /KIMBERLY P.		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08 (modified)

					FTO/SB/06 (Modified)
	Substitute for for	m 14	49/PTO	C	Complete if Known
	INFORMATION I	DISC	LOSURE	Application Number	13/933,623
	STATEMENT BY			Filing Date	7/2/2013
Date	e Submitted:	£	AUG <b>26</b> 2014	First Named Inventor	Hitesh BATRA
				Art Unit	1672
(	use as many shee	ts as	necessary)	Examiner Name	Yevgeny Valenrod
Sheet	1	of	1	Attorney Docket Number	080618-1256

U.S. PATENT DOCUMENTS					
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	C1	4,306,076	12/15/1981	Nelson	у
	C2	4,668,814	05/26/1987	Aristoff	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T⁵
	C3	Patterson et al., "Acute Hemodynamic Effects of the Prostacyclin Analog 15AU81 in Severe Congestive Heart Failure," Am. J. Cardio., 1995, 75:26A-33A.	
	C4	Whittle et al., "Antithrombotic Assessment and Clinical Potential of Prostacyclin Analogues," Progress in Medicinal Chemistry, Ellis et al. Eds., 1984, Chapter 6, Vol. 21, 238-279.	
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NATION OF THE OWNER, WHICH IS NOT THE OWNER, WHICH IS			

Examiner Signature Date Considered			
	2	-	

Electronic Ack	knowledgement Receipt
EFS ID:	19969185
Application Number:	13933623
International Application Number:	
Confirmation Number:	6887
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
First Named Inventor/Applicant Name:	Hitesh Batra
Customer Number:	22428
Filer:	Alexey V. Saprigin/Karen Walker
Filer Authorized By:	Alexey V. Saprigin
Attorney Docket Number:	080618-1256
Receipt Date:	26-AUG-2014
Filing Date:	02-JUL-2013
Time Stamp:	15:30:37
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment		no								
File Listing:										
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)				
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IDS.pdf

yes

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	Multipart Description/PDF files in .zip description							
	Document De	Start	End					
	Transmittal	1	2					
	Information Disclosure Stater	3	3					
Warnings:			1					
Information:	1							
2	Non Patent Literature	Whittle.pdf	4935289	no	24			
			b9e5989007764f5ff6701a600028680cce41 9534					
Warnings:								
Information								
3	Non Patent Literature	Patterson.pdf	4073207	no	10			
		'	df0ebecea373810dffd0ef570193ee13218fc c20					
Warnings:								
Information:								
		9279220						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Hitesh BATRA

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

13/933,623

Filing Date:

7/2/2013

Examiner:

Yevgeny Valenrod

Art Unit:

1672

Confirmation Number: 6887

# INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Commissioner:

Applicants submit herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4816-1415-6317.1

-1-

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Although Applicants believe that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

AUG 262014

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (415) 984-9810

Facsimile: (415) 434-4507

Alexey V. Saprigin Agent for Applicants Registration No. 56,439

4816-1415-6317.1



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

ELECTRONIC

12/10/2014

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 13/933,623 07/02/2013 Hitesh Batra 080618-1256 6887 22428 7590 12/10/2014 EXAMINER Foley & Lardner LLP VALENROD, YEVGENY 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109 ART UNIT PAPER NUMBER 1672 NOTIFICATION DATE DELIVERY MODE

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

	Application No. 13/933,623	Applicant(s BATRA ET A					
Office Action Summary	Examiner YEVGENY VALENROD	Art Unit 1672	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication app	ears on the cover sheet with th	ne corresponden	ce address				
Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply b vill apply and will expire SIX (6) MONTHS f cause the application to become ABAND	re timely filed from the mailing date o ONED (35 U.S.C. § 13	f this communication.				
Status							
1) Responsive to communication(s) filed on 7/2/1  A declaration(s)/affidavit(s) under 37 CFR 1.1		<u>.</u>					
·=	action is non-final.						
<ul> <li>3) An election was made by the applicant in responsible.</li> <li>4) Since this application is in condition for alloware closed in accordance with the practice under E</li> </ul>	have been incorporated into ace except for formal matters,	this action. prosecution as	-				
Disposition of Claims*							
5) Claim(s) 1-19 is/are pending in the application. 5a) Of the above claim(s) 10-19 is/are withdrawn from consideration.  6) Claim(s) is/are allowed. 7) Claim(s) 1-9 is/are rejected. 8) Claim(s) is/are objected to. 9) Claim(s) are subject to restriction and/or election requirement.  If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see antip://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.  Application Papers  10) The specification is objected to by the Examiner. 11) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.							
Applicant may not request that any objection to the or Replacement drawing sheet(s) including the correction	=						
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  ** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of References Cited (PTO-892)	3) ⊠ Interview Summ Paper No(s)/Ma						
Paper No(s)/Mail Date 7/2/13: 11/8/13: 8/26/14	4) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

-326 (Rev. 11-13) Office Action Summary

Part of Paper No./Mail Date 20141204

Application/Control Number: 13/933,623 Page 2

Art Unit: 1672

The present application is being examined under the pre-AIA first to invent provisions.

### **DETAILED ACTION**

### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

I. Claims 1-9, drawn to a process for preparing a treprostinil salt, classified in 562/466.

II. Claims 10-17, drawn to a pharmaceutical composition, classified in 514/530.

III. Claims 18-19, drawn to a process for preparing a pharmaceutical composition and, classified in 514/530.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make another and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the pharmaceutical composition can be created a solution of treprostinil sodium as obtained by Phares et al. (US 2005/0085540) paragraph [0051].

Inventions I and III II and III are directed to related processes. The related inventions are distinct if: (1) the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect;

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Art Unit: 1672

(2) the inventions do not overlap in scope, i.e., are mutually exclusive; and (3) the

inventions as claimed are not obvious variants. See MPEP § 806.05(j). In the instant

case, the inventions as claimed are directed to distinct processes and neither process

requires the other for functionality. Furthermore, the inventions as claimed do not

encompass overlapping subject matter and there is nothing of record to show them to

be obvious variants.

Restriction for examination purposes as indicated is proper because all these

inventions listed in this action are independent or distinct for the reasons given above

<u>and</u> there would be a serious search and/or examination burden if restriction were not

required because one or more of the following reasons apply:

(a) the inventions have acquired a separate status in the art in view of their

different classification;

(b) the inventions have acquired a separate status in the art due to their

recognized divergent subject matter;

(c) the inventions require a different field of search (for example, searching

different classes/subclasses or electronic resources, or employing different

search queries);

(d) the prior art applicable to one invention would not likely be applicable to

another invention;

(e) the inventions are likely to raise different non-prior art issues under 35 U.S.C.

101 and/or 35 U.S.C. 112, first paragraph.

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Art Unit: 1672

Applicant is advised that the reply to this requirement to be complete <u>must</u> include (i) an election of an invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103 or pre-AIA 35 U.S.C. 103(a) of the other invention.

During a telephone conversation with Alexey V. Saprigin on 12/3/14 a provisional election was made with traverse to prosecute the invention of Group I, claims 1-9.

Affirmation of this election must be made by applicant in replying to this Office action.

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Claims 10-19 are withdrawn from further consideration by the examiner, 37

CFR 1.142(b), as being drawn to a non-elected invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be corrected in compliance with 37 CFR 1.48(a) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. A request to correct inventorship under 37 CFR 1.48(a) must be accompanied by an application data sheet in accordance with 37 CFR 1.76 that identifies each inventor by his or her legal name and by the processing fee required under 37 CFR 1.17(i).

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of pre-AIA 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5 and 8 are rejected under pre-AIA 35 U.S.C. 102b as being anticipated by Phares et al. (US 2005/0085540).

Phares discloses preparation of diethanolamine salt of treprostinil. To prepare said salt Phares discloses dissolving treprostinil acid in 1:1 molar ratio mixture of ethanol:water, adding diethanolamine and, heating the solution, adding antisolvent and subsequently cooling the solution (paragraph [0105]). This product is labeled crystalline

Art Unit: 1672

form A and is characterized (paragraph [0331]). Form A is described as a crystalline anhydrous material. While Phares et al fail to disclose the base to treprostinil ratio, since a 1:1 salt is formed, it is inherent that about 1.1:1 ratio is used.

# Claim Rejections - 35 USC § 103

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 6 and 7 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Phares et al. (US 2005/0085540) in view of Aristoff (US 4,486.598).

Phares discloses preparation of diethanolamine salt of treprostinil. To prepare said salt Phares discloses dissolving treprostinil acid in 1:1 molar ratio mixture of ethanol:water, adding diethanolamine and, heating the solution, adding antisolvent and subsequently cooling the solution (paragraph [0105]). Phares also discloses the sodium salt of treprostinil in paragraph [0051] but fails to teach a method of preparing such salt.

### Secondary reference

Aristoff teaches that compounds of the same general formula as treprostinil can be made into solid salt forms by reacting with a stoichiometric amount of the base such Application/Control Number: 13/933,623 Page 7

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as sodium hydroxide in water and adding a water miscible solvent to produce a solid

product (column 20, lines 24-34).

<u>Obviousness</u>

One skilled in the art would have found it obvious to prepare the sodium salt of

treprostinil by addition of stoichiometric amount of sodium hydroxide to an aqueous

solution of treprostinil acid and obtaining solid crystals of treprostinil sodium. Aristoff

describes this procedure and Phares provides an example of this proceedure with

diethanolamine as the base. One skilled in the art would therefore find both motivation,

provided by Phares, and expectation of success provided by Phare and Aristoff.

Conclusion

Claims 1-19 are pending

Claims 1-9 are rejected

Claims 10-19 are withdrawn

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Yevgeny Valenrod whose telephone number is 571-272-

9049. The examiner can normally be reached on 8:30am-5:00pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Johann Richter can be reached on 571-272-0646. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 13/933,623 Page 8

Art Unit: 1672

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672

	Application No.	Applicant(s)						
Examiner-Initiated Interview Summary	13/933,623	BATRA ET AL.						
Examiner initiated interview cummary	Examiner	Art Unit						
	YEVGENY VALENROD	1672						
All participants (applicant, applicant's representative, PTO	personnel):							
(1) <u>YEVGENY VALENROD</u> .	(3)							
(2) <u>Alexey V. Saprigin</u> .	(4)							
Date of Interview: <u>04 December 2014</u> .								
Type: 🛛 Telephonic 🔲 Video Conference 🔲 Personal [copy given to: 🗌 applicant [	applicant's representative]							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	⊠ No.							
Issues Discussed 101 112 102 103 Othe (For each of the checked box(es) above, please describe below the issue and detail								
Claim(s) discussed: <u>1-19</u> .								
Identification of prior art discussed: none.								
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		identification or clarification of a						
A restriction requirement for claims 1-9 was discussed. A particle telephonically.	orovisional election of Group I	<u>, claims 1-9 was made</u>						
Applicant recordation instructions: It is not necessary for applicant to p	rovide a separate record of the subst	ance of interview.						
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.								
Attachment								
/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672								

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
13933623	BATRA ET AL.
Examiner	Art Unit
YEVEGENY VALENROD	1672

CPC- SEARCHED							
Symbol	Date	Examiner					
CPC COMBINATION SETS - SEARCHED							
Symbol	Date	Examiner					

	US CLASSIFICATION SEA	ARCHED	
Class	Subclass	Date	Examiner

SEARCH NOTES							
Search Notes Date Examine							
EAST	12/5/2014	YV					
STN	12/5/2014	YV					
Inventor	12/5/2014	YV					

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

	/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672
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U.S. Patent and Trademark Office Part of Paper No.: 20141204

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13933623	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1672

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
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CL	CLAIM					DATE	ATE					
Final	Original	12/05/2014										
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	18	N										
	19	N										



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

### **CONFIRMATION NO. 6887**

SERIAL NUM	IBER	FILING OF			CLASS	GRO	OUP ART	UNIT	NIT ATTORNEY DOCKET		
13/933,62	23	07/02/2	_		<del>562</del>		1672		C	)80618-1256	
		RUL	E		562/466						
APPLICANT United Th		tics Corporat	ion, Silver	Spring	g, MD, Assignee	(with	37 CFR 1	.172 Inte	erest);		
INVENTORS  Hitesh Batra, Herndon, VA;  Sudersan M. Tuladhar, Silver Spring, MD;  Raju Penmasta, Herndon, VA;  David A. Walsh, Palmyra, VA;											
	ication i	s a CON of 1	3/548,446	07/13	3/2012 PAT 8497						
		CON of 12/3 ms benefit of			08 PAT 8242305 7/2007						
** FOREIGN A	** FOREIGN APPLICATIONS ************************************										
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/23/2013											
Foreign Priority claime		Yes No	□ Mot of	tor	STATE OR		IEETS	TOT		INDEPENDENT	
35 USC 119(a-d) con-	ditions met /YEVEGEN	*	☐ Met af Allowa	ance	COUNTRY	DRA	WINGS	CLAII	VIS	CLAIMS	
	VALENRO Examiner's		Initials		VA		0	9		1	
ADDRESS											
3000 K S SUITE 60 WASHIN	Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109 UNITED STATES										
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FILING FEE RECEIVED					apei EPOSIT ACCOUľ	NT	☐ 1.17 F	ees (Pro	ocessi	ing Ext. of time)	
							☐ Other				
							☐ Credit				

BIB (Rev. 05/07).

Receipt date: 08/26/2014 13933623 - GAU: 1672

	Substitute for fo	rm 14	49/PTO	Complete if Known						
	INFORMATION	DISC	LOSURE	Application Number	13/933,623					
	STATEMENT BY			Filing Date	7/2/2013					
Date	Submitted:	£	NUG <b>26</b> 2014	First Named Inventor	Hitesh BATRA					
	***************************************			Art Unit	1672					
(	use as many shee	ts as	necessary)	Examiner Name	Yevgeny Valenrod					
Sheet	1	of	1	Attorney Docket Number	080618-1256					

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	C1	4,306,076	12/15/1981	Nelson	
	C2	4,668,814	05/26/1987	Aristoff	
			Auto data		

·	γ		FOREIGN PATENT [	DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	C3	Patterson et al., "Acute Hemodynamic Effects of the Prostacyclin Analog 15AU81 in Severe Congestive Heart Failure," Am. J. Cardio., 1995, 75:26A-33A.	
	C4	Whittle et al., "Antithrombotic Assessment and Clinical Potential of Prostacyclin Analogues," Progress in Medicinal Chemistry, Ellis et al. Eds., 1984, Chapter 6, Vol. 21, 238-279.	
	-		

Examiner Signature	/Yevgeny Valenrod/	Date Considered	12/05/2014

Receipt date: 11/08/2013 13933623<sub>sã/</sub>GAU; 1672

Approved for use through 03/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for for	rm 144	9/PTO	Complete if Known						
	INFORMATION	oisci	.OSURE	Application Number	13/933,623					
8	STATEMENT BY	/ APP	LICANT	Filing Date	7/2/2013					
Date	e Submitted:	MAN	o 8 2013	First Named Inventor	Hitesh BATRA					
. Dan	o oubinition.	-140 x	U CL Z U Isi	Art Unit	1621					
(	use as many shee	ts as i	necessary)	Examiner Name	Unassigned					
Sheet	1	of	1	Attorney Docket Number	080618-1256					

Cito	Document Number	Dublication Data	Name of Statemen as Analisms of	Pages, Columns, Lines, Where Relevant		
No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
		Cite No.1 Number-Kind Code <sup>2</sup> (if known)	Cite Publication Date No.1 Number-Kind Code <sup>2</sup> (if MM-DD-YYYY knawn)	Cite Publication Date Name of Patentee or Applicant of Cited Document  No. 1 Number-Kind Code <sup>2</sup> (if MM-DD-YYYY Cited Document		

	5808588888888888	***************************************	FOREIGN PATENT	DOCUMENTS		95959595959595
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Pateritee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	B1	JP 56-122328 A	09/25/1981	Sumitomo Chem. Co.	000000000000000000000000000000000000000	√
	B2	JP 59-044340 A	03/12/1984	Sankyo Co. Ltd.	***************************************	✓
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\$	NON PATENT LITERATURE DOCUMENTS										
Examiner Initials*											
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***************************************	Examiner Signature	/Yevgeny Valenrod/	Date Considered	12/05/2014
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP. if possible, and. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by . This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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4816-8827-3174.1

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L1 STRUCTURE UPLOADED

=> d 11

L1 HAS NO ANSWERS

L1 STR

Structure attributes must be viewed using the Structure Drawing program.

SAMPLE SEARCH INITIATED 16:30:48 FILE 'REGISTRY' SAMPLE SCREEN SEARCH COMPLETED - 351 TO ITERATE

100.0% PROCESSED 351 ITERATIONS 2 ANSWERS

SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS: ONLINE \*\*COMPLETE\*\* BATCH \*\*COMPLETE\*\* PROJECTED ITERATIONS:

5896 TO 8144 2 TO PROJECTED ANSWERS: 124

2 SEA SSS SAM L1

=> s 11 full

FULL SEARCH INITIATED 16:30:52 FILE 'REGISTRY' FULL SCREEN SEARCH COMPLETED -6824 TO ITERATE

48 ANSWERS 100.0% PROCESSED 6824 ITERATIONS

SEARCH TIME: 00.00.01

48 SEA SSS FUL L1

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L4 342 L3

L5 82 L4 AND SODIUM

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222.34

3.26

FULL ESTIMATED COST

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STRUCTURE FILE UPDATES: 3 DEC 2014 HIGHEST RN 1637711-86-4 DICTIONARY FILE UPDATES: 3 DEC 2014 HIGHEST RN 1637711-86-4

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L6 STRUCTURE UPLOADED

=> d 16

L6 HAS NO ANSWERS

L6 STR

Structure attributes must be viewed using the Structure Drawing program.

=> s 16

SAMPLE SEARCH INITIATED 16:32:09 FILE 'REGISTRY'
SAMPLE SCREEN SEARCH COMPLETED - 11 TO ITERATE

100.0% PROCESSED 11 ITERATIONS 0 ANSWERS

SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS: ONLINE \*\*COMPLETE\*\*
BATCH \*\*COMPLETE\*\*
PROJECTED ITERATIONS: 21 TO 419
PROJECTED ANSWERS: 0 TO 0

L7 0 SEA SSS SAM L6

=> s 16 full

FULL SEARCH INITIATED 16:32:13 FILE 'REGISTRY'
FULL SCREEN SEARCH COMPLETED - 107 TO ITERATE

100.0% PROCESSED 107 ITERATIONS 0 ANSWERS

SEARCH TIME: 00.00.01

L8 0 SEA SSS FUL L6

=> file caplus

COST IN U.S. DOLLARS
SINCE FILE TOTAL
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FULL ESTIMATED COST
218.84
441.18

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=> s 15 and sodium hydroxide 1887634 SODIUM 552796 HYDROXIDE

217847 SODIUM HYDROXIDE

(SODIUM(W)HYDROXIDE)
L9 9 L5 AND SODIUM HYDROXIDE

=> d 19 ibib abs hitstr 1-YOU HAVE REQUESTED DATA FROM 9 ANSWERS - CONTINUE? Y/(N):y

L9 ANSWER 1 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2013:1118676 CAPLUS

DOCUMENT NUMBER: 159:236398

TITLE: Crystal form of prostaglandin analogue, and

preparation method and use thereof

INVENTOR(S): Tang, Zhijun; Liu, Yubin; He, Bingming; Yang, Jun; Ji,

Xiaoming

PATENT ASSIGNEE(S): Shanghai Techwell Biopharmaceutical Co., Ltd., Peop.

Rep. China

SOURCE: PCT Int. Appl., 28pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PAT	CENT 1	NO.			KIND DATE				APPLICATION NO.						DATE				
WO	2013	1043	 17		A1 20130718			WO 2013-CN70295						20130110					
	$\mathbb{W}$ :	ΑE,	AG,	AL,	AM,	AO,	ΑT,	ΑU,	ΑZ,	BA,	BB,	BG,	BH,	BN,	BR,	BW,	BY,		
		BZ,	CA,	CH,	CL,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DO,	DZ,	EC,	EE,		
		EG,	ES,	FΙ,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	IS,		
	JP, KE, I		KG,	KM,	KN,	KΡ,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LY,			
		MA,	MD,	ME,	MG,	MK,	MN,	MW,	MX,	MY,	MΖ,	NA,	NG,	NI,	NO,	NΖ,	OM,		
		PA,	PE,	PG,	PH,	PL,	PT,	QA,	RO,	RS,	RU,	RW,	SC,	SD,	SE,	SG,	SK,		
		SL,	SM,	ST,	SV,	SY,	TH,	ΤJ,	TM,	TN,	TR,	TT,	ΤZ,	UA,	UG,	US,	UZ,		
		VC,	VN,	ZA,	ZM,	ZW													
	RW:	AL,	ΑT,	BE,	ВG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FΙ,	FR,	GB,	GR,	HR,		
		HU,	ΙE,	IS,	ΙΤ,	LT,	LU,	LV,	MC,	MK,	MT,	NL,	NO,	PL,	PT,	RO,	RS,		
		SE,	SI,	SK,	SM,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ,	GW,	ML,		

MR, NE, SN, TD, TG, BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD,

SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, RU, TJ, TM

CN 103193626 Α 20130710 CN 2012-10005635 20120110 20141203 EP 2808318 EP 2013-736455 20130110 A1 R: AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO,

RS, SE, SI, SK, SM, TR

PRIORITY APPLN. INFO.: CN 2012-10005635

WO 2013-CN70295 W 20130110

Provided are a crystal form B of a compound, and preparation method and use thereof. The X-ray powder diffraction (XRPD) chart of the crystal form B has characteristic peaks at the following diffraction angles:  $2.9\pm0.2$ °,  $6.5\pm0.2$ °,  $12.6\pm0.2$ °,  $13.1\pm0.2$ ° and  $20.6\pm0.2$ °.

81846-19-7, Treprostinil

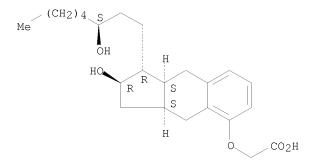
RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES

(crystal form of prostaglandin analog, and preparation method and use thereof)

81846-19-7 CAPLUS

Acetic acid, 2-[[(1R, 2R, 3aS, 9aS)-2, 3, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-4]]CN 3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 2 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2013:1115692 CAPLUS

DOCUMENT NUMBER: 159:236391

TITLE: Crystal form of prostaglandin analogue, and

preparation method and use thereof

INVENTOR(S): Tang, Zhijun; Liu, Yubin; He, Bingming; Yang, Jun; Ji,

Xiaoming

PATENT ASSIGNEE(S): Shanghai Techwell Biopharmaceutical Co., Ltd., Peop.

Rep. China

SOURCE: PCT Int. Appl., 32pp.; Chemical Indexing Equivalent to

159:250026 (CN) CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

KIND DATE APPLICATION NO. DATE PATENT NO.

WO 2013104318 Α1 20130718 WO 2013-CN70296 20130110 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, RU, TJ, TM CN 103193627 20130710 CN 2012-10006216 Α EP 2013-736169 EP 2803657 Α1 20141119 20130110 AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR PRIORITY APPLN. INFO.: CN 2012-10006216 A 20120110 W 20130110 WO 2013-CN70296 Disclosed are a crystal form A of a compound and preparation method and use thereof. The X-ray powder diffraction (XRPD) chart of the crystal form A has characteristic peaks at the following  $2\theta$  angles:  $2.9\pm0.2^{\circ}$ ,  $13.6\pm0.2^{\circ}$ ,  $17.3\pm0.2^{\circ}$  and 18.6±0.2°. 81846-19-7, Treprostinil

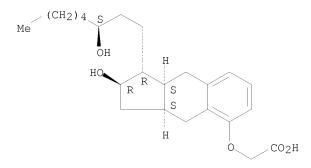
RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES

(crystal form of prostaglandin analog, and preparation method and use thereof)

81846-19-7 CAPLUS RN

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-4,3a]3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



REFERENCE COUNT: THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 3 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2013:1083374 CAPLUS

DOCUMENT NUMBER: 159:250026

TITLE: Preparation and application of crystal form of

prostaglandin analog

INVENTOR(S): Tang, Zhijun; Liu, Yubin; He, Bingming; Yang, Jun; Ji,

Xiaoming

PATENT ASSIGNEE(S): Shanghai Techwell Biopharmaceutical Co., Ltd., Peop.

Rep. China

Faming Zhuanli Shenqing, 16pp.; Chemical Indexing Equivalent to 159:236391 (WO) SOURCE:

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

	PAI	ENT	NO.					ATE		APPLICATION NO.									
		1031	9362	7		А	2	0130	710	CI	N 20	12-1	0006	216	20120110 20130110				
			AE, BZ,	AG, CA,	AL, CH,	AM, CL,	AO, CN,	AT, CO,	AU, CR,	AZ, CU,	BA, CZ,		BG, DK,	BH, DM,	BN, DO,	BR, DZ,	BW, EC,	BY, EE,	
			JP, MA,	KE, MD,	KG, ME,	KM, MG,	KN, MK,	KP, MN,	KR, MW,	KΖ, MX,	LA, MY,	LC, MZ,	LK, NA,	LR, NG,	LS, NI,	LT, NO,	LU, NZ,	LY, OM,	
			SL,		ST,	SV,	SY,					RU, TR,							
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			MR, SL,	NE, SZ,	SN, TZ,	TD, UG,	TG, ZM,	BW, ZW,	GH, AM,	GM, AZ,	KE, BY,	LR, KG,	LS, KZ,	MW, RU,	ΜΖ, ΤJ,	NA, TM	RW,	SD,	
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Absolute stereochemistry. Rotation (-).

L9 ANSWER 4 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2012:960685 CAPLUS

DOCUMENT NUMBER: 157:165338

TITLE: Process for preparation of salts of treprostinil INVENTOR(S): Giust, Walter; Souza, Fabio; Oudenes, Jan; Gorin,

Boris; Bejan, Elena

PATENT ASSIGNEE(S): Alphora Research Inc., Can.

SOURCE: PCT Int. Appl., 19pp.; Chemical Indexing Equivalent to

157:165337 (CA) CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

P	ATENT				KIN	D D	ATE		Al	PPLI	CATI		DATE				
— W					A1	2	0120	705	W	20 C	11-C	A508	20111222				
	W: AE, AG, A			AL,	AM,	AO,	ΑT,	ΑU,	ΑZ,	BA,	BB,	BG,	BH,	BR,	BW,	BY,	BZ,
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		SL,	SZ,	TZ,	UG,	ZM,	ZW,	ΑM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ,	TM	
С	CA 2726599						0120	630	C	A 20	10-2	7265	99	20101230			
U	US 20140024856						0140	123	U:	S 20	13-1	3520	872	20131008			
PRIORI	TY APP	.:					C	A 20	10-2	7265	99	A 20101230					
							WO 2011-CA50804							W 20111222			

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 157:165338

GΙ

AB This invention provides a process for the preparation of salts of treprostinil (I). For example, 5 M sodium hydroxide (0.61 mL, 3.05 mmol) was added dropwise to a solution of treprostinil (1.021 g, 2.61 mmol) in acetone (25 mL) while maintaining an internal temperature below 30  $^{\circ}$ C and pH at 8-9.

After 15 min under agitation, a fiber like solid began to crystallize from the reaction mixture The mixture was stirred 1 h at room temperature then cooled to

 $0\text{--}5~^{\circ}\!\text{C}$  and stirred at this temperature for another hour. The solid was filtered, rinsed with acetone, and dried under vacuum to yield  $0.95\ \mathrm{g}$ (99.67% purity, 88% yield) of a white solid.

81846-19-7P ΙT

> RL: RCT (Reactant); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)

(preparation of salts of treprostinil)

81846-19-7 CAPLUS RN

CN Acetic acid, 2-[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

289480-64-4P 1384244-82-9P 1384244-83-0P

RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of salts of treprostinil)

RN

289480-64-4 CAPLUS Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, sodium salt (1:1) (CA INDEX

Absolute stereochemistry. Rotation (-).

Na

RN 1384244-82-9 CAPLUS

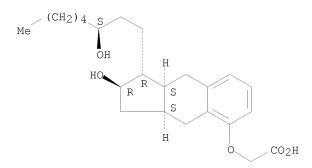
3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, lithium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

● Li

1384244-83-0 CAPLUS
Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-CN 3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, potassium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



K

OS.CITING REF COUNT: THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD 1

(1 CITINGS)

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 5 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2012:953727 CAPLUS

DOCUMENT NUMBER: 157:165337

TITLE: Process for preparation of salts of treprostinil INVENTOR(S): Giust, Walter; Souza, Fabio; Oudenes, Jan; Gorin,

Boris; Bejan, Elena

PATENT ASSIGNEE(S): Alphora Research Inc., Can.

SOURCE: Can. Pat. Appl., 17pp.; Chemical Indexing Equivalent

to 157:165338 (WO)

CODEN: CPXXEB

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

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US 20140024856 A1 20140123 US 2013-13520872 20133 PRIORITY APPLN. INFO:: CA 2010-2726599 A 20103																	
WO 2011-CA50804 W 201112																	
ASSIGNM	ENT H	ISTO	RY F	OR U	S PA	TENT	AVA:	ILAB:							-	O 1 1 1 .	<i></i>

OTHER SOURCE(S): CASREACT 157:165337
GI

AB This invention provides a process for the preparation of salts of treprostinil (I). For example, 5 M sodium hydroxide (0.61 mL, 3.05 mmol) was added dropwise to a solution of treprostinil (1.021 g, 2.61 mmol) in acetone (25 mL) while maintaining an internal temperature below 30 °C and pH at 8-9. After 15 min under agitation, a fiber like solid began to crystallize from the reaction mixture The mixture was stirred 1 h at room temperature then cooled to

0-5 °C and stirred at this temperature for another hour. The solid was filtered, rinsed with acetone, and dried under vacuum to yield  $0.95~{\rm g}$  (99.67% purity, 88% yield) of a white solid.

IT 81846-19-7P, Treprostinil

RL: RCT (Reactant); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent); USES (Uses) (preparation of salts of treprostinil)

RN 81846-19-7 CAPLUS

Acetic acid, 2-[[(1R, 2R, 3aS, 9aS)-2, 3, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-4]]CN 3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

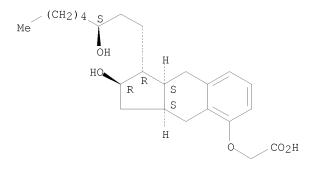
Absolute stereochemistry. Rotation (-).

ΙT 289480-64-4P 1384244-82-9P 1384244-83-0P RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of salts of treprostinil)

289480-64-4 CAPLUS RN

CN Acetic acid, 2-[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, sodium salt (1:1) (CA INDEX

Absolute stereochemistry. Rotation (-).



● Na

RN 1384244-82-9 CAPLUS

Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, lithium salt (1:1) (CA INDEX NAME)

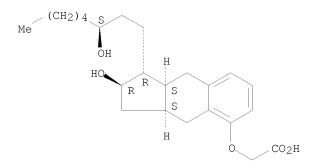
Absolute stereochemistry. Rotation (-).

● Li

RN 1384244-83-0 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, potassium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



K

L9 ANSWER 6 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2009:767183 CAPLUS

DOCUMENT NUMBER: 151:86694

TITLE: An improved process to prepare treprostinil

INVENTOR(S): Batra, Hitesh; Tuladhar, Sudersan M.; Penmasta, Raju;

Walsh, David A.

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: PCT Int. Appl., 30pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

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WO 2009078965
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PRIORITY APPLN. INFO.:
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE(S):
                         MARPAT 151:86694
GΙ
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AΒ This present invention relates to an improved process to prepare prostacyclin derivs. One embodiment provides for an improved process to convert a benzindene triol to treprostinil via salts of treprostinil and to purify treprostinil.

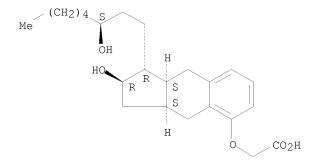
81846-19-7P ΙT

> RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (improved process to prepare treprostinil)

81846-19-7 CAPLUS RN

Acetic acid, 2-[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3,3a,4,9a,4]]CN 3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(3 CITINGS)

THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS REFERENCE COUNT: 4

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 7 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2009:295869 CAPLUS

DOCUMENT NUMBER: 150:313958

TITLE: Buffer solutions having selective bactericidal

activity against gram-negative bacteria Jeffs, Roger; Zaccardelli, David

INVENTOR(S):

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: PCT Int. Appl., 31pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

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                                                                   W
                                                                       20080905
     Buffer solns. for pharmaceutical prepns. that have bactericidal activity
AB
     preferentially against gram neg. bacteria are provided. The buffers have
     a pH of >10 or <4.5 with low buffer capacity. Methods of their use in
     reducing the occurrence of blood stream infections in a mammal are also
     provided. The results show no compatibility problems for the dilute
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treprostinil solns. in any of diluent solns. The appearance of all solns. was clear, colorless and free from visible particulate matter.

IT 81846-19-7 289480-64-4, Treprostinil sodium

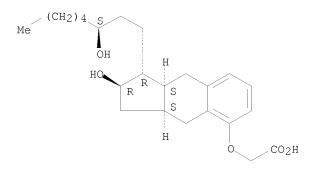
use); BIOL (Biological study); USES (Uses)
 (buffer solns. having selective bactericidal activity against gram-neg.
 bacteria)

RN 81846-19-7 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

RL: PAC (Pharmacological activity); PRP (Properties); THU (Therapeutic

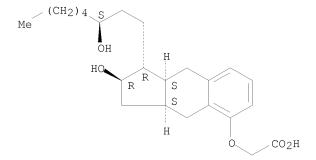
Absolute stereochemistry. Rotation (-).



RN 289480-64-4 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, sodium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



Na

OS.CITING REF COUNT: THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD 1

(1 CITINGS)

ANSWER 8 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2005:1863 CAPLUS

DOCUMENT NUMBER: 142:79968

TITLE: Inhalable formulations for treating pulmonary

hypertension and methods of using same

INVENTOR(S): Chaudry, Imtiaz

PATENT ASSIGNEE(S):

SOURCE: U.S. Pat. Appl. Publ., 14 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.					KIN	D D.	ATE		A:	PPLI	CATI	DATE					
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

AB The present invention is directed to an inhalable formulation for the treatment of pulmonary hypertension in a mammal (e.g., humans), wherein the formulation comprises at least one hypertension reducing agent, including but not limited to an angiotensin converting enzyme inhibitor, angiotensin receptor blocker,  $\beta\text{-blocker}$ , calcium-channel blocker or vasodilator, or any combination thereof. The formulations of the present invention may be a solution or suspension, and preferably are suitable for administration via nebulization. The present invention is also directed to a method and kit for treating a mammal suffering from pulmonary hypertension. An inhalant solution composition containing enalapril 0.2-10, sodium

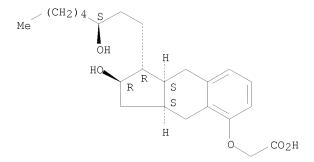
chloride 2-10 mg/mL, sodium hydroxide q.s, and water q.s. was formulated. IT 289480-64-4, Treprostinil sodium

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (inhalable formulations for treating pulmonary hypertension and methods of using same)

RN 289480-64-4 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, sodium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



Na

OS.CITING REF COUNT: 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD

(1 CITINGS)

L9 ANSWER 9 OF 9 CAPLUS COPYRIGHT 2014 ACS on STN

ACCESSION NUMBER: 2002:655127 CAPLUS

DOCUMENT NUMBER: 137:185355

TITLE: Process for stereoselective synthesis of prostacyclin

derivatives

INVENTOR(S):
Moriarty, Robert M.; Penmasta, Raju; Guo, Liang; Rao,

Munagala S.; Staszewski, James P.

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: U.S., 15 pp., Cont.-in-part of U.S. Ser. No. 481,390.

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 6441245	B1	20020827	US 2000-541521	20000403
CA 2847985	A1	19990506	CA 1998-2847985	19981026
US 20020087025	A1	20020704	US 2002-75439	20020215
US 6528688	В2	20030304		
US 20020173672	A1	20021121	US 2002-184907	20020701
US 6765117	B2	20040720		
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			CA 1998-2307163	A3 19981026
			US 2000-541521	A3 20000403

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 137:185355; MARPAT 137:185355 GI

AB An improved method is described for making 9-deoxy-PGF1-type compds.; in contrast to the prior art, the method is stereoselective and requires fewer steps than the known methods for making these compds.; the method

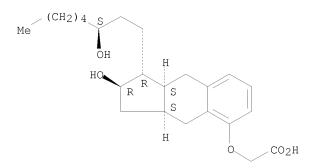
<sup>\*</sup> STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

comprises cyclization of alkyne I [Z = O, S, CH2, NR8; R8 = H, alkyl; X = H, CN, OR9, CO2R9; R9 = alkyl, THP, TBDMS; n = 0 - 3; Y1 = cis- or trans-CH:CH, CH2(CH2)m, C.tplbond.C; m = 1 - 3; R1 = PG; R7 = CpH2p, (un) substituted OPh, Ph, CH2Ph, CH2CH2Ph, CH2CH2Ph, cis-CH:CHEt, (CH2)2CH(OH)Me, (CH2)3CH:CMe2; PG = alc. protective group; CL1R7 = C4-7-cycloalkyl, 2-(2-furyl)ethyl, 2-(3-thienyl)ethoxy, {(3-thienyl)oxy}methyl; M1 =  $\alpha$ -OH: $\beta$ -R5,  $\alpha$ -R5: $\beta$ -OH,  $\alpha$ -OR1: $\beta$ -R5,  $\alpha$ -R5: $\beta$ -OR1; R5 =H, Me; R1 = PG; L1 =  $\alpha$ -R3: $\beta$ -R4,  $\alpha$ -R3: $\beta$ -R4; R3, R4 = H, Me, F] into tricycle II by cobalt-mediated cyclization with Co2(CO)8. Thus, III, was prepared from 3-MeOC6H4CH2OH via C-allylation, condensation of 2-allyl-3-methoxybenzaldehyde with (S)-5-(tetrahydropyranyloxy)decyne and cobalt-mediated cyclization of alkenynol IV. TT 81846-19-7P RL: SPN (Synthetic preparation); PREP (Preparation) (stereoselective synthesis of prostacyclin derivs. from 3-methoxybenzyl alc.) RN81846-19-7 CAPLUS Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-

3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

CN



THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD OS.CITING REF COUNT: (5 CITINGS)

REFERENCE COUNT: 19 THERE ARE 19 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=> s remodulin/prep 0 REMODULIN/CT 6135071 PREP/RL

L10 0 REMODULIN/PREP

(REMODULIN/CT (L) PREP/RL)

=> s remodulin 31 REMODULIN T.11

=> s 111 and sodium hydroxide 1887634 SODIUM 552796 HYDROXIDE 217847 SODIUM HYDROXIDE

(SODIUM(W) HYDROXIDE)

L12 0 L11 AND SODIUM HYDROXIDE

=> s 111 and sodium carbonate 1887634 SODIUM

# 525668 CARBONATE

92929 SODIUM CARBONATE

(SODIUM(W)CARBONATE)

L13 0 L11 AND SODIUM CARBONATE

=> s 111 and sodium bicarbonate

1887634 SODIUM 99212 BICARBONATE 42780 SODIUM BICARBONATE

(SODIUM(W)BICARBONATE)

L14 0 L11 AND SODIUM BICARBONATE

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	Substitute for fo	rm 144	19/PTO	С	Complete if Known
	INFORMATION	DISC	OSURE	Application Number	Unassigned
	STATEMENT B	Y APP	LICANT	Filing Date	Herewith
	Date Submitted	l- luka	2 2012	First Named Inventor	Hitesh BATRA
	Date Submitted	i. July	2, 2013	Art Unit	Unassigned
	(use as many shee	ets as	necessary)	Examiner Name	Unassigned
Sheet	1	of	4	Attorney Docket Number	080618-1256

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Examin er	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant				
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Examiner	Date	
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	INFORMATION I	DISC	LOSURE	Application Number	Unassigned
	STATEMENT BY	/ APF	PLICANT	Filing Date	Herewith
	Date Submitted:	· luly	2 2013	First Named Inventor	Hitesh BATRA
	Date Submitted: July 2, 2013		Art Unit	Unassigned	
	(use as many shee	ts as	necessary)	Examiner Name	Unassigned
Sheet	2	of	4	Attorney Docket Number	080618-1256

	Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )			
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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	INFORMATION	DISC	LOSURE	Application Number	Unassigned
	STATEMENT B	Y API	PLICANT	Filing Date	Herewith
	Date Submitted	l: July	2 2013	First Named Inventor	Hitesh BATRA
		,	•	Art Unit	Unassigned
	(use as many shee	ets as	necessary)	Examiner Name	Unassigned
Sheet	3	of	4	Attorney Docket Number	080618-1256

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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**************************************			LOSURE	Application Number	Unassigned	
			PLICANT	Filing Date	Herewith	
	Date Submitted: July 2, 2013			First Named Inventor	Hitesh BATRA	
				Art Unit	Unassigned	
(use as many sheets as necessary)			necessary)	Examiner Name	Unassigned	
Sheet	4	of	4	Attorney Docket Number	080618-1256	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
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L2	1	("8497393").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L3	1	("7999007").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L4	1	("8653137").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L5	1	("8658694").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L6	18	((Hitesh) near2 (Batra)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2014/12/05 14:11
L7	14	((Sudersan) near2 (Tuladhar)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2014/12/05 14:11
L8	24	((Raju) near2 (Penmasta)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2014/12/05 14:11
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L12	8	L11 and (base adj addition)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11

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L17	8	L15 same crystallization	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
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L23	17	L22 same (crystal or crystallized or solid or crystallization)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L24	148	treprostinil adj sodium	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L25	15	L24 same (sodium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L26	14	L25 not L23	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L27	5	treprostinil same (sodium adj hydroxide) same salt	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L28	37	treprostinil same (sodium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB	OR	ON	2014/12/05 14:11
L29	10	treprostinil same (potassium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L30	44	L28 or L29	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11

L31	22	L30 same solid	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L32	0	L31 same crystal	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L33	21	L31 not L25	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L34	0	L32 not L12	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L35	19	L33 not L12	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L36	840	(562/466).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L37	24	L36 and treprostinil	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L38	483	L36 and (sodium same salt)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11
L39	20	L37 and (sodium same salt)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11

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Page 4

L40	1	("20040265238").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2014/12/05 14:11
L41	0	remodulin same crystallization	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/12/05 14:11

# **EAST Search History (Interference)**

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12/5/2014 2:12:02 PM Page 5
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Hitesh BATRA

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

**REMODULIN®** 

Appl. No.: 13/933,623

Filing Date: 7/2/2013

Examiner: Yevgeny Valenrod

Art Unit: 1672

Confirmation Number: 6887

# REPLY UNDER 37 CFR § 1.111

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

This paper responds to the outstanding non-final Office Action dated December 10, 2014.

Amendments to the Specification begin on page 2 of this document.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this document.

**Remarks** begin on page 6 of this document.

# **Amendments to the Specification:**

Please amend the specification as follows:

Amend the paragraph on page 3, lines 21-22, as follows:

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub>  $\alpha$ -OR<sub>2</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

Amend the paragraph on page 8, lines 22-23, as follows:

 $M_1$  is α-OH:β-R<sub>5</sub> or α-R<sub>5</sub>:β-OH or α-OR<sub>4</sub>:β-R<sub>5</sub> α-OR<sub>2</sub>:β-R<sub>5</sub> or α-R<sub>5</sub>:β-OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

## **Amendments to the Claims:**

This listing of claims will replace all prior versions and listings of claims in the application:

## **Listing of Claims:**

- 1. (Currently Amended) A process for preparing a <u>pharmaceutical product</u> <u>comprising treprostinil or a</u> treprostinil salt, comprising: combining treprostinil and a base in solution to form a base addition salt; allowing crystallization of the base addition salt of treprostinil; [[and]] collecting the base addition salt of treprostinil, <u>storing the collected base addition salt, and preparing a pharmaceutical product comprising treprostinil or a treprostinil salt from the base addition salt after storage.</u>
  - 2. (Canceled)
- 3. (Original) The process of claim 1, wherein the base addition salt is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 4. (Original) The process of claim 1, further comprising heating the solution of treprostinil and base addition salt.
- 5. (Original) The process of claim 4, further comprising cooling the solution of treprostinil and base addition salt prior to collecting the base addition salt of treprostinil.
- 6. (Original) The process of claim 1, wherein the base comprises an alkali metal cation.
- 7. (Original) The process of claim 6, wherein the alkali metal cation is selected from the group consisting of sodium and potassium.
- 8. (Original) The process of claim 1, wherein the solution comprises a water-miscible organic solvent.
- 9. (Original) The process of claim 1, wherein a mole ratio of the base in solution to treprostinil is about 1.1:1.

- 10. (Withdrawn) A pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof, said composition prepared by a process comprising providing a starting batch of treprostinil having one or more impurities resulting from prior alkylation and hydrolysis steps, forming a salt of treprostinil by combining the starting batch and a base, isolating the treprostinil salt, and preparing a pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof from the isolated treprostinil salt, whereby a level of one or more impurities found in the starting batch of treprostinil is lower in the pharmaceutical composition, and wherein said alkylation is alkylation of benzindene triol.
- 11. (Withdrawn) The pharmaceutical composition of claim 10, wherein the salt is isolated in crystalline form.
- 12. (Withdrawn) The pharmaceutical composition of claim 11, wherein the isolated salt is at least 99.8% pure.
- 13. (Withdrawn) The pharmaceutical composition of claim 10, wherein the base is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 14. (Withdrawn) The pharmaceutical composition of claim 13, wherein the base is diethanolamine.
- 15. (Withdrawn) The pharmaceutical composition of claim 10, wherein the base is combined with treprostinil that has not been previously isolated.
- 16. (Withdrawn) The pharmaceutical composition of claim 10, wherein the isolated salt is stored at ambient temperature.
- 17. (Withdrawn) The pharmaceutical composition of claim 10, which is a pharmaceutical solution.
- 18. (Withdrawn) A process of preparing a pharmaceutical product comprising treprostinil or a pharmaceutically acceptable salt thereof, comprising alkylating a triol intermediate of the formula:

hydrolyzing the resulting compound to form treprostinil, forming a salt of treprostinil stable at ambient temperature, storing the treprostinil salt at ambient temperature, and preparing a pharmaceutical product from the treprostinil salt after storage, wherein the pharmaceutical product comprises treprostinil or a pharmaceutically acceptable salt thereof.

- 19. (Withdrawn) A pharmaceutical product prepared by the process of claim 18.
- 20. (New) The method of claim 1, wherein said preparing comprises converting the base addition salt after storage into treprostinil by acidification.
- 21. (New) The method of claim 1, wherein the quantity of the base addition salt corresponds to a large scale synthesis.
  - 22. (New) A pharmaceutical product prepared by the method of claim 21.

#### **REMARKS**

Applicants respectfully request reconsideration and allowance of the present application.

The specification has been amended on pages 3 and 8 to correct inadvertent typographical errors.

# **Status of Claims**

Applicants have canceled claim 2, without prejudice or disclaimer. Applicants reserve the right to file one or more continuing applications directed to the canceled subject matter.

Applicants have amended claim 1, without prejudice or disclaimer. Applicants reserve the right to file one or more continuing applications directed to the subject matter omitted by the present amendment. Support for the amended claim may be found throughout the specification as filed and, in particular, in canceled claim 2. No new matter has been added.

Applicants have added new claims 20-22. Support for the new claims may be found throughout the specification as filed and in particular, in paragraph 0006, 0046, and 0048. No new matter has been added.

After the amendment, the pending claims include claims a) examined 1 and 3-9; b) withdrawn claims 10-19 and c) new claims 20-22.

## **Election/Restrictions**

Applicants confirm the election of Group I, claims 1-9, without traverse. Applicants request examination of new claims 20 and 22 as a part of elected Group I.

#### The rejections under 35 USC § 102

Claims 1-5 and 8 stand rejected as anticipated by Phares et al. (US2005/0085540). Applicants respectfully traverse.

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Phares does not teach at least one element of the claimed invention. For example, Phares does not teach "storing the collected base addition salt" as amended claim 1 recites. Although examined claim 2 contained a "drying and storing" element, the PTO failed to indicate a particular place where Phares teaches this element. The portion of Phares relied upon by the PTO relates to producing a salt that is itself an end product, rather than an intermediate for storage. Moreover, it was not known prior to the present invention that collecting and storing a base addition salt of treprostinil would facilitate large scale synthesis of pharmaceutical products comprising treprostinil or treprostinil salts, as recited in claim 21. Prior to the present invention, treprostinil had to be refrigerated during storage, which significantly increased the cost of production on a large scale as it was not known that the salt form was more stable. Accordingly, Applicants request withdrawal of the rejection.

# The rejections under 35 USC § 103(a)

Claims 6 and 7 stand rejected as obvious over Phares et al. (US2005/0085540) in view of Aristoff (US 4,486,598). Applicants respectfully traverse.

Applicants disagree with the PTO's interpretation of Aristoff and reserve the right to provide additional comments on Aristoff in the future. At the same time, Aristoff cannot remedy the above-mentioned deficiencies of Phares at least because Aristoff does not teach or suggest the "storing" step of claim 1, which is necessarily included in claims 6 and 7. Furthermore, the combination of references does not disclose or suggest the advantages of the present process explained above. In sum, because Phares and Aristoff do not teach or suggest all the elements of amended claim 1, the PTO failed to establish a *prima facie* case of obviousness. Accordingly, Applicants request withdrawal of the rejection.

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## **Concluding Remarks**

Applicants believe that the application is in condition for allowance. Favorable reconsideration is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance prosecution.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extension fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date January 26, 2015

By /Stephen B. Maebius/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (415) 984-9810 Facsimile: (415) 434-4507 Stephen B. Maebius Agent for Applicants Registration No. 35,264

-8-

Electronic Ack	knowledgement Receipt
EFS ID:	21309559
Application Number:	13933623
International Application Number:	
Confirmation Number:	6887
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
First Named Inventor/Applicant Name:	Hitesh Batra
Customer Number:	22428
Filer:	Stephen Bradford Maebius/Diana Meinecke
Filer Authorized By:	Stephen Bradford Maebius
Attorney Docket Number:	080618-1256
Receipt Date:	26-JAN-2015
Filing Date:	02-JUL-2013
Time Stamp:	15:39:56
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		111 Response.pdf	137893	ves	8
,		TTTMcsporisc.par	dcccafb25fb480161e18c7dc9da1bc7c64aa 200d	· '	

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Amendment Copy Claims/Response to Suggested Claims	1	1	
	Specification	2	2	
	Claims	3	5	
-	Applicant Arguments/Remarks Made in an Amendment	6	8	

#### Warnings:

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Total Files Size (in bytes):	137893
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

				n or Docket Number 3/933,623	Filing Date 07/02/2013	To be Mailed			
							ENTITY: 🛛 L	ARGE 🗌 SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAR	rt i		
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
ᄖ	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	ΓAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =		
	□APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			\$155 r					
	MULTIPLE DEPEN								
* If t	he difference in colu	ımn 1 is less t	han zero, ente	r "0" in column 2.			TOTAL		
		(Column 1	)	APPLICAT	ION AS AMEN		ART II		
INT.	01/26/2015	CLAIMS REMAINING AFTER AMENDMEI		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITK	ONAL FEE (\$)
JME	Total (37 CFR 1.16(i))	* 21	Minus	** 20	= 1		x \$80 =		80
AMENDMENT	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$420 =		0
AM	Application Si	ze Fee (37 CF	R 1.16(s))						
	FIRST PRESEN	NTATION OF ML	JLTIPLE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
						<u> </u>	TOTAL ADD'L FEI	Ξ	80
		(Column 1	)	(Column 2)	(Column 3	)			
		CLAIMS REMAININ AFTER AMENDMEI		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
NEN	Application Size Fee (37 CFR 1.16(s))						1		
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
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** If *** I	the entry in column of the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously F per Previously	Paid For" IN TH Paid For" IN T	IIS SPACE is less HIS SPACE is less	than 20, enter "20" than 3, enter "3".		LIE /SULONDA D.	STEVENSON/	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/933,623	07/02/2013	Hitesh Batra	080618-1256	6887
22428 Foley & Lardne	7590 03/19/201 er LLP	EXAMINER		
3000 K STREE SUITE 600		VALENROD, YEVGENY		
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1672	
			NOTIFICATION DATE	DELIVERY MODE
			03/19/2015	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

	Application No. 13/933,623  Applicant(s) BATRA ET AL.					
Office Action Summary	Examiner YEVGENY VALENROD	Art Unit 1672	AIA (First Inventor to File) Status No			
The MAILING DATE of this communication app Period for Reply	The MAILING DATE of this communication appears on the cover sheet with the correspondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	i6(a). In no event, however, may a reply be tim ill apply and will expire SIX (6) MONTHS from to cause the application to become ABANDONEI	ely filed the mailing date of 0 (35 U.S.C. § 133)	this communication.			
Status						
1) Responsive to communication(s) filed on 1/26/  A declaration(s)/affidavit(s) under 37 CFR 1.1:	<del></del>					
2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.					
3) An election was made by the applicant in response	•		g the interview on			
; the restriction requirement and election	·					
<ol> <li>Since this application is in condition for allowan closed in accordance with the practice under E</li> </ol>	•		o the merits is			
Disposition of Claims*						
5a) Of the above claim(s) <u>10-19 and 22</u> is/are w 6) ☐ Claim(s) is/are allowed. 7) ☐ Claim(s) <u>1, 3-9 and 20-21</u> is/are rejected. 8) ☐ Claim(s) is/are objected to.	Claim(s) 1 and 3-22 is/are pending in the application.  5a) Of the above claim(s) 10-19 and 22 is/are withdrawn from consideration.  Claim(s) is/are allowed.  Claim(s) 1, 3-9 and 20-21 is/are rejected.  Claim(s) is/are objected to.					
9) Claim(s) are subject to restriction and/or						
* If any claims have been determined <u>allowable</u> , you may be eli participating intellectual property office for the corresponding ap		_	way program at a			
http://www.uspto.gov/patents/init_events/pph/index.jsp or send						
Application Papers  10) ☐ The specification is objected to by the Examiner  11) ☐ The drawing(s) filed on is/are: a) ☐ acce  Applicant may not request that any objection to the of Replacement drawing sheet(s) including the corrections.	epted or b) objected to by the Edrawing(s) be held in abeyance. See	37 CFR 1.85(	·			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).				
Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
** See the attached detailed Office action for a list of the certifie	d copies not received.					
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summary					
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	Paper No(s)/Mail Da 3B/08b) 4) Other:	te				

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

-326 (Rev. 11-13) Office Action Summary

Part of Paper No./Mail Date 20150312

Art Unit: 1672

The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

#### Election/Restrictions

Newly added claims 20 and 21 are directed to a process for preparing a pharmaceutical product and further limit the independent claim 1 from which they depend. Claims 20 and 21 have been added to the elected Group I.

Newly added claim 22 is directed to a pharmaceutical product and has been added to the non-elected Group II.

Claims 1, 3-9 and 20-21 are examined.

Applicants remarks filed 1/26/15 have been considered.

Rejection of claims 1-5 and 8 under 35 USC 102(b) is withdrawn in view of applicants amendments.

Rejection of claims 6 and 7 under 35 USC 103(a) is withdrawn in view of applicants amendments and in favor of a new rejection under 35 USC 103(a).

# Claim Rejections - 35 USC § 103

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been

Application/Control Number: 13/933,623 Page 3

Art Unit: 1672

obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1, 3-9 and 20-21 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Phares et al. (US 2005/0085540) in view of Aristoff (US 4,486.598).

# Scope of prior art

Phares discloses preparation of diethanolamine salt of treprostinil. To prepare said salt Phares discloses dissolving treprostinil acid in 1:1 molar ratio mixture of ethanol:water, adding diethanolamine and, heating the solution, adding antisolvent and subsequently cooling the solution (paragraph [0105]). Phares also discloses the sodium salt of treprostinil in paragraph [0051] but fails to teach a method of preparing such salt.

# Ascertaining the difference

While Phares teaches the sodium salt of treprostinil, he fails to disclose a method of obtaining said sodium salt. (claims 6 and 7)

While Phares teaches preparation of treprostinil diethanolamine salt and provides an X-ray powder diffraction spectrum of the product, he fails to specifically recite the step of storing the base addition salt and a step of preparing a pharmaceutical product from said salt.

# Secondary reference

Aristoff teaches that compounds of the same general formula as treprostinil can be made into solid salt forms by reacting with a stoichiometric amount of the base such Application/Control Number: 13/933,623 Page 4

Art Unit: 1672

as sodium hydroxide in water and adding a water miscible solvent to produce a solid product (column 20, lines 24-34).

# <u>Obviousness</u>

Regarding the sodium salt of treprostinil:

One skilled in the art would have found it obvious to prepare the sodium salt of treprostinil by addition of stoichiometric amount of sodium hydroxide to an aqueous solution of treprostinil acid and obtaining solid crystals of treprostinil sodium. Aristoff describes this procedure and Phares provides an example of this proceedure with diethanolamine as the base. One skilled in the art would therefore find both motivation, provided by Phares, and expectation of success provided by Phares and Aristoff.

Regarding the limitation directed to storing the treprostinil salt and preparing a pharmaceutical product:

The step of storing the treprostinil diethanolamine salt is inherently met by Phares. Examiner is interpreting the term "storing" to mean a time period between preparation of treprostinil salt and its use in preparation of a pharmaceutical product. Said limitation is inherently met by Phares. Phares teaches preparation of pharmaceutical products and administration of said compounds to a subject (paragraphs [0049], [0071], [0072], [0074]). It is inherent that some time elapses between preparation of a compound and its use in preparation of a pharmaceutical formulation. Phares describes obtaining an X-ray diffraction spectrum of treprostinil

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diethanolamine. It is inherent that while obtaining the X-ray diffraction spectrum the compound is being stored.

While Phares et al do not disclose large scale production of the treprostinil salt, one skilled in the art would have found it reasonable to produce said compound on a large scale. The compound of Phares is a prodrug for a well-known pharmaceutical and one would have found it obvious to produce treprostinil diethanolamine on a large scale.

Regarding converting the treprostinil salt back to treprostinil:

Phares discloses that the prodrug itself does not have pharmacological activity.

One skilled in the art would have found it obvious to convert the salt back into the active form.

# Reply to Applicants' remarks.

Applicants have argued that prior to applicants' invention treprostinil had to be refrigerated during storage while the claimed salts are suitable for storage without refrigeration.

This argument is not found persuasive for the following reasons:

- 1) Phares discloses preparation of the salt not of the treprostinil itself.
- 2) Applicants state that is was known to store treprostinil while refrigerated. The instant claims are not limited to storing at room temperature and therefore storing while refrigerated would be obvious since according to applicants it's already known in the art. The argument is therefore not commensurate in scope with what is being claimed.

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3) Applicants are arguing unexpected results (storage stability) without providing any

evidence of support.

Conclusion

Claims 1, 3-22 are pending

Claims 10-19 and 22 are withdrawn

Claims 1, 3-9 and 20-21 are rejected

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37

CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yevgeny Valenrod whose telephone number is 571-272-9049. The examiner can normally be reached on 8:30am-5:00pm M-F.

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Art Unit: 1672

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Johann Richter can be reached on 571-272-0646. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
13933623	BATRA ET AL.
Examiner	Art Unit
YEVEGENY VALENROD	1672

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST	3/12/2015	YV
Inventor	3/12/2015	YV

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
_			

	/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672
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U.S. Patent and Trademark Office Part of Paper No.: 20150312

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13933623	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1672

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

Claims	renumbered	in the same	order as pre	sented by applicant		☐ CPA	□ т.п	D. 🗆	R.1.47
CL	AIM	DATE							
Final	Original	12/05/2014	03/11/2015						
	1	✓	✓						
	2	✓	-						
	3	✓	✓						
	4	✓	✓						
	5	✓	✓						
	6	✓	✓						
	7	✓	✓						
	8	✓	✓						
	9	✓	✓						
	10	N	N						
	11	N	N						
	12	N	N						
	13	N	N						
	14	N	N						
	15	N	N						
_	16	N	N						
	17	N	N						
	18	N	N						
	19	N	N						
	20		✓						
	21		✓						
	22		N						

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L1	1	("6765117").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L2	1	("8497393").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L3	1	("7999007").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L4	1	("8653137").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L5	1	("8658694").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L6	19	((Hitesh) near2 (Batra)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/03/12 11:44
L7	15	((Sudersan) near2 (Tuladhar)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/03/12 11:44
L8	25	((Raju) near2 (Penmasta)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/03/12 11:44
L9	229	((David) near2 (Walsh)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/03/12 11:44
L10	249	L6 or L7 or L8 or L9	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L11	16	L10 and treprostinil	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L12	8	L11 and (base adj addition)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44

L13	1	("20020173672").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L14	1	("20050085540").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L15	167	treprostinil same (sodium or potassium) same salt	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L16	2	L15 same crystal	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L17	8	L15 same crystallization	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L18	1	("4486598").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L19	1	("4306075").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L20	39	treprostinil same (sodium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L21	6	L20 same (ethanol or methaol)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L22	33	L20 not L21	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44

# **EAST Search History (Prior Art)**

L23	18	L22 same (crystal or crystallized or solid or crystallization)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L24	159	treprostinil adj sodium	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L25	16	L24 same (sodium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L26	15	L25 not L23	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L27	6	treprostinil same (sodium adj hydroxide) same salt	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L28	39	treprostinil same (sodium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L29	10	treprostinil same (potassium adj hydroxide)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L30	46	L28 or L29	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44

# **EAST Search History (Prior Art)**

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L32	0	L31 same crystal	IBM_TDB US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L33	22	L31 not L25	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L34	0	L32 not L12	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L35	20	L33 not L12	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L36	845	(562/466).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L37	27	L36 and treprostinil	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L38	485	L36 and (sodium same salt)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44
L39	21	L37 and (sodium same salt)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44

3/12/2015 11:59:41 AM C:\Users\yvalenrod\Documents\EAST\Workspaces\13933623.wsp

Page 4

# **EAST Search History (Prior Art)**

L40	1	("20040265238").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/03/12 11:44
L41	0	remodulin same crystallization	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/03/12 11:44

# **EAST Search History (Interference)**

	< This search history is empty>				
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Page 5

Electronic Patent Application Fee Transmittal					
Application Number:	139	933623			
Filing Date:	02-	Jul-2013			
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®				
First Named Inventor/Applicant Name:	Hitesh Batra				
Filer:	Kristel Schorr/Karen Walker				
Attorney Docket Number:	080	0618-1256			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 1 month with \$0 paid	1251	1	200	200		
Miscellaneous:						
	Tot	al in USD	(\$)	200		

Electronic Acl	knowledgement Receipt
EFS ID:	22783400
Application Number:	13933623
International Application Number:	
Confirmation Number:	6887
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
First Named Inventor/Applicant Name:	Hitesh Batra
Customer Number:	22428
Filer:	Kristel Schorr/Karen Walker
Filer Authorized By:	Kristel Schorr
Attorney Docket Number:	080618-1256
Receipt Date:	30-JUN-2015
Filing Date:	02-JUL-2013
Time Stamp:	12:05:49
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$200
RAM confirmation Number	11418
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Fee Worksheet (SB06)	fee-info.pdf	31283	no	2
'	ree worksneet (3000)	ree imo.pui	116a07f808bbb7ad25d6f8edacfd8853d83 dd370	110	2
Warnings:					
Information:					
		Total Files Size (in bytes)	3	1283	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Hitesh BATRA

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

**REMODULIN®** 

Appl. No.: 13/933,623

Filing Date: 7/2/2013

Examiner: Yevgeny Valenrod

Art Unit: 1672

Confirmation Number: 6887

REPLY UNDER 37 C.F.R. § 1.116

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Commissioner:

This paper responds to the outstanding Final Office Action dated March 19, 2015.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this document.

**Remarks** begin on page 5 of this document.

# **Amendments to the Claims:**

This listing of claims will replace all prior versions and listings of claims in the application:

# **Listing of Claims:**

- 1. (Currently Amended) A process for preparing a pharmaceutical product comprising treprostinil or a treprostinil salt, comprising: combining treprostinil and a base in solution to form a base addition salt; allowing crystallization of the base addition salt of treprostinil; collecting the base addition salt of treprostinil, storing the collected base addition salt at ambient temperature, and preparing a pharmaceutical product comprising treprostinil or a treprostinil salt from the base addition salt after the storage.
  - 2. (Canceled)
- 3. (Original) The process of claim 1, wherein the base addition salt is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 4. (Original) The process of claim 1, further comprising heating the solution of treprostinil and base addition salt.
- 5. (Original) The process of claim 4, further comprising cooling the solution of treprostinil and base addition salt prior to collecting the base addition salt of treprostinil.
- 6. (Original) The process of claim 1, wherein the base comprises an alkali metal cation.
- 7. (Original) The process of claim 6, wherein the alkali metal cation is selected from the group consisting of sodium and potassium.
- 8. (Original) The process of claim 1, wherein the solution comprises a water-miscible organic solvent.
- 9. (Original) The process of claim 1, wherein a mole ratio of the base in solution to treprostinil is about 1.1:1.

- 10. (Withdrawn) A pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof, said composition prepared by a process comprising providing a starting batch of treprostinil having one or more impurities resulting from prior alkylation and hydrolysis steps, forming a salt of treprostinil by combining the starting batch and a base, isolating the treprostinil salt, and preparing a pharmaceutical composition comprising treprostinil or a pharmaceutically acceptable salt thereof from the isolated treprostinil salt, whereby a level of one or more impurities found in the starting batch of treprostinil is lower in the pharmaceutical composition, and wherein said alkylation is alkylation of benzindene triol.
- 11. (Withdrawn) The pharmaceutical composition of claim 10, wherein the salt is isolated in crystalline form.
- 12. (Withdrawn) The pharmaceutical composition of claim 11, wherein the isolated salt is at least 99.8% pure.
- 13. (Withdrawn) The pharmaceutical composition of claim 10, wherein the base is selected from the group consisting of sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, and choline.
- 14. (Withdrawn) The pharmaceutical composition of claim 13, wherein the base is diethanolamine.
- 15. (Withdrawn) The pharmaceutical composition of claim 10, wherein the base is combined with treprostinil that has not been previously isolated.
- 16. (Withdrawn) The pharmaceutical composition of claim 10, wherein the isolated salt is stored at ambient temperature.
- 17. (Withdrawn) The pharmaceutical composition of claim 10, which is a pharmaceutical solution.
- 18. (Withdrawn) A process of preparing a pharmaceutical product comprising treprostinil or a pharmaceutically acceptable salt thereof, comprising alkylating a triol intermediate of the formula:

hydrolyzing the resulting compound to form treprostinil, forming a salt of treprostinil stable at ambient temperature, storing the treprostinil salt at ambient temperature, and preparing a pharmaceutical product from the treprostinil salt after storage, wherein the pharmaceutical product comprises treprostinil or a pharmaceutically acceptable salt thereof.

- 19. (Withdrawn) A pharmaceutical product prepared by the process of claim 18.
- 20. (Previously Presented) The method of claim 1, wherein said preparing comprises converting the base addition salt after storage into treprostinil by acidification.
- 21. (Previously Presented) The method of claim 1, wherein the quantity of the base addition salt corresponds to a large scale synthesis.
  - 22. (Withdrawn) A pharmaceutical product prepared by the method of claim 21.
- 23. (New) The method of claim 20, wherein said converting produces a batch of treprostinil, which has a purity level of treprostinil of at least 99.7% as determined by HPLC.
- 24. (New) The method claim 23, wherein the batch contains at least 2.9 g of treprostinil.
- 25. (New) The method of claim 20, wherein said converting produces a batch of treprostinil, which has a purity level of treprostinil of at least 99.8% as determined by HPLC.
- 26. (New) The method claim 25, wherein the batch contains at least 2.9 g of treprostinil.
- 27. (New) The method claim 20, wherein the batch contains at least 2.9 g of treprostinil.

#### **REMARKS**

Applicants respectfully request reconsideration and allowance of the present application.

# **Status of Claims**

Applicants have amended claim 1, without prejudice or disclaimer. Applicants reserve the right to file one or more continuing applications directed to any subject matter notwithstanding the present amendment. Support for the amended claim may be found throughout the specification as filed and, in particular, in paragraph 0046. No new matter has been added.

Applicants have added new claims 23-27. Support for the new claims may be found throughout the specification as filed, including paragraphs 0045-0046. No new matter has been added.

After the amendment, the pending claims include a) examined claims 1, 3-9 and 20-21; b) withdrawn claims 10-19 and 22; and c) new claims 23-27, which should be examined in the present application.

# The Rejections Under 35 U.S.C. § 103(a)

Claims 1, 3-9 and 20-21 stand rejected as obvious over Phares et al. (U.S. Patent Application Publication No. 2005/0085540) in view of Aristoff (U.S. Patent No. 4,486,598). Applicants respectfully request reconsideration in light of the remarks below and the accompanying Rule 132 Declaration of Dr. Liang Guo ("Guo Declaration") providing comparative evidence.

The PTO has failed to establish a *prima facie* case of obviousness because the references relied upon by the examiner do not teach each and every limitation of the pending claims.

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Phares does not teach "storing the collected base addition salt at ambient temperature, and preparing a pharmaceutical product comprising treprostinil or a treprostinil salt from the base addition salt after the storage," as recited in claim 1. The PTO acknowledges that Phares "fails to specifically recite the step of storing the base addition salt and a step of preparing a pharmaceutical product from said salt." Office Action at p. 3.

To remedy these deficiencies, the PTO interprets "the term 'storing' to mean a time period between preparation of treprostinil salt and its use in preparation of a pharmaceutical product." Thus, the PTO argues that the storing limitation is inherently met by Phares because "[i]t is inherent that some time elapses between preparation of a compound and its use in preparation of a pharmaceutical formulation." Office Action at p. 4.

Yet the PTO's interpretation of the term "storing" is too broad even under the broadest reasonable interpretation standard. Even under the broadest reasonable interpretation standard, the PTO may not erase the meaning of a step in a method claim that is tied to the preamble. The claim is directed to "preparing a pharmaceutical product." In the accompanying Guo Declaration, Dr. Liang Guo explains that a person of ordinary skill in the art would recognize that the term "stored" in the expression "crude treprostinil salts can be stored as raw material at ambient temperature" in paragraph 0046 of the specification as filed means stored for a period of at least three months. Guo Declaration at ¶ 6. Thus, "storing" in the context of "preparing a pharmaceutical product" would be understood by one of ordinary skill in the art to mean a period of at least three months. Based on this understanding of "storing," Phares clearly does not meet the storing element of claim 1. Moreover, Aristoff cannot remedy the deficiencies of Phares, and the PTO has not argued otherwise.

Storing treprostinil in the salt form provides unexpected advantages over storing treprostinil in the free acid form because treprostinil in the salt form is more stable at ambient temperature than free acid. Treprostinil in the free acid form is not stable at ambient temperature, such as 25°C:

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The anhydrous form is not stable at room temperature. Stability tests show that the anhydrous TREPROSTINIL is not stable at 25°C. and dimers formed upon standing. A larger amount of dimers can form at higher temperatures. However, dimer formation is negligible at 5°C. Therefore, anhydrous treprostinil must be refrigerated for storage and transport. In the past, treprostinil had to be refrigerated and shipped with ice packs to maintain low (2°C-8°C) temperatures.

See, e.g., U.S. patent no. 8,350,079 ("the '079 patent"), column 2, lines 58-65. The '079 patent provides experimental evidence of instability of treprostinil in the free acid form at the ambient temperature in the table presented in column 6, lines 50-63. Dr. Liang Guo reproduces this table in the Guo Declaration and explains that the table's data demonstrate that free acid treprostinil is not stable at ambient temperature because of significant formation of dimer defects, such as 750W93 and 751W93. Guo Declaration at ¶ 8. Due to such instability, free acid treprostinil is usually stored and transported at low temperatures, such as 2°C-8°C, in order to reduce for the formation of the dimer defects. Guo Declaration at ¶ 8.

Dr. Guo presents stability data at 25°C for several batches of treprostinil diethanolamine (Guo Declaration at ¶ 9), and upon comparing these data with the stability data for treprostinil in the free acid form, Dr. Guo concludes that "treprostinil diethanolamine is more stable than free acid treprostinil when stored at the ambient temperature because concentrations of dimer defects (750W93 and 751W93) grow in free acid treprostinil with the storage time, while in the treprostinil diethanolamine batches, concentrations of the dimer defects remain essentially below the detection level even after 6 months of storage." Guo Declaration at ¶ 10. Thus, Applicants request withdrawal of the rejection in view of superior stability at ambient temperature for treprostinil in a salt form compared to free acid treprostinil.

The rejection of claim 20 should be withdrawn for an additional reason. The cited references do not teach or suggest "converting the base addition salt after storage into treprostinil by acidification." On p. 5 of the Office Action, the PTO states that "Phares discloses that the prodrug itself does not have pharmacological activity. One skilled in the art would have found it

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Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

obvious that the salt back into the active." The PTO's use of "prodrug" apparently refers to the salt. Yet Phares discloses that the salt itself is the active ingredient, as shown by the examples employing it for use in an oral composition. One of ordinary skill in the art would therefore not be motivated to convert the salt back to free acid based upon Phares.

In sum, at least because of the reasons discussed above, Applicants request withdrawal of the rejection as directed to claim 20.

# New claims 23-27

New claims 23-27 should be allowable at least because they depend on claim 1, which is allowable over the cited references for the reasons discussed above.

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Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

# **Concluding Remarks**

Applicants believe that the application is in condition for allowance. Favorable reconsideration is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance prosecution.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extension fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date Aug. 11, 2015

By /Stephen B. Maebius/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (415) 984-9810 Facsimile: (415) 434-4507 Stephen B. Maebius Attorney for Applicants Registration No. 35,264

-9-

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor

Hitesh BATRA

Name:

Title:

AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

**REMODULIN®** 

Appl. No.:

13/933,623

Filing Date:

7/2/2013

Examiner:

Yevgeny Valenrod

Art Unit:

1672

Confirmation

6887

Number:

# DECLARATION UNDER 37 C.F.R. § 1.132 OF DR. LIANG GUO

- I, Liang Guo, do hereby declare:
- I am Executive Vice President of Chemical R&D and Production, United Therapeutics Corporation. I understand that United Therapeutics Corporation owns U.S. patent application no. 13/933,623.
  - 2. I am a U.S. citizen.
- 3. I have not received compensation for providing this declaration beyond my standard compensation as an employee of United Therapeutics Corporation. That compensation is in no way dependent on the content of the opinions expressed in this declaration.
- 4. I have a Ph.D. degree in chemistry from University of Illinois at Chicago and have worked continuously in the pharmaceutical and/or organic chemistry industry since

4846-8244-2021.2

obtaining my Ph.D. My curriculum vitae, which is attached as Appendix A, provides additional details on my qualifications and experience related to chemistry and the pharmaceutical industry.

- I have reviewed the Final Office Action dated March 19, 2015 ("Final Office Action") in the above-identified application and the cited references.
- 6. Paragraph 0046 of the above-identified application states that "crude treprostinil salts can be stored as raw material at ambient temperature." Based on my training and experience in the industry, a person working in the field of pharmaceutical chemistry would recognize that the term "stored" in this statement means stored for a period of at least three months. Appendix B provides U.S. Food and Drug Administration's (FDA's) Guidance for Industry on "Q1A(R2) Stability Testing of New Drug Substances and Products." According to this document, the minimal interval between two test points while establishing a stability profile of a stored drug substance is three months, see e.g. Appendix B, ¶ 2.1.6. In view of this information, a person working in the field of pharmaceutical chemistry would know that the term "stored" in the context of storing a drug substance to be used for preparing a pharmaceutical product means storing for at least three months.
- 7. Treprostinil diethanolamine salt is more stable than free acid treprostinil when stored at ambient temperature (25°C) based on a comparison of stability date for these two compounds.
  - 8. Exemplary stability data for free acid treprostinil at 25°C is presented in the following Table from column 6 of U.S. patent no. 8,350,079:

Stability Data for Treprostinil (TREPROSTINIL) at 25° C.	
Lot No. 01 A07002 (Anhydrous)	

Test	Initial	3 months	6 months
Physical examination	White powder	White powder	White powder
Water (Karl Fischer) HPLC Assay	0.4%	0.7%	0.8%
Treprostinil 750W93 751W93	99.6% 0.2 0.3	98.1% 1.2 0.9	95.4% 1.5 1.1

750W93 is an ester dimer of treprostinil, 751W93 is a 3-hydroxy dimer of treprostinil. The data demonstrate that free acid treprostinil is not stable at ambient temperature over certain periods of time because of significant formation of dimer defects, such as 750W93 and 751W93. Due to such instability, free acid treprostinil is usually stored and transported at lower temperatures, such as 2°C-8°C, in order to reduce for the formation of the dimer impurities.

9. Exemplary stability data at 25°C for several batches of treprostinil diethanolamine is presented below:

A) Lot no. 02C08008.

Batch size 2,758 g.

Test	Initial	3 months	6 months	
Visual Appearance	A white solid	A white solid	A white solid	
Water	0.2%	0.2%	0.3%	
Treprostinil Assay by HPLC	99.0%	99,4%	98.2%	
750W93 by HPLC	< 0.05%	< 0.05%	< 0.05%	
751W93 by HPLC	< 0.05%	< 0.05%	< 0.05%	

B) Lot no. 02B12002.

# Batch size 5,672 g.

Test	Initial	3 months	6 months
Visual Appearance	A white solid	A white solid	A white solid
Water	0.1%	0.1%	0.1%
Treprostinil Assay by HPLC	99.5%	98.9%	99.6%
750W93 by HPLC	ND	ND	ND
751W93 by HPLC	ND	ND	ND

C) Lot no. 02B11007

# Batch size 5,580.24g.

Test	Initial	3 months	6 months
Visual Appearance	A white solid	A white solid	A white solid
Water	0.4%	0.2%	0.2%
Treprostinil Assay by HPLC	100.2%	99.6%	99.8%
750W93 by HPLC	ND	ND	ND
751W93 by HPLC	ND	ND	ND

D) Lot no. 02K10056

# Batch size 4628g.

Test	Initial	3 months	6 months
Visual Appearance	A white	A white	A white
:	solid	solid	solid
Water	0.1%	0.2%	0.1%
Treprostinil Assay by	100.5%	100.3%	100.2%
HPLC			
750W93 by HPLC	ND	ND	ND
751W93 by HPLC	ND	ND	ND

E) Lot no. 02D10016

Batch size 4628g.

Test	Initial	3 months	6 months
Visual Appearance	A white solid	A white solid	A white solid
Water	0.2%	0.2%	0.1%
Treprostinil Assay by HPLC	99.7%	100.1%	99.6%
750W93 by HPLC	ND	ND	ND
751W93 by HPLC	ND	ND	ND

The data shown in this table was generated by United Therapeutics Corporation in the course of its business. The data were not generated for the purposes of this patent application or any other patent application. I was involved in both requesting these tests and reviewing their results, and I am familiar with how the data was generated.

10. The data above show that treprostinil diethanolamine is more stable than free acid treprostinil when stored at ambient temperature over certain periods of time because concentrations of dimer impurities (750W93 and 751W93) grow in free acid treprostinil with longer storage time, while in the treprostinil diethanolamine batches, concentrations of the dimer defects remain essentially below the detection level even after 6 months of storage.

11. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this _	4th	day of	_August	, 2015.	
		Liang Guo	). 4		
				()	

# APPENDIX A

#### LIANG GUO, Ph. D.

- 2015-Present, EVP of Chemical R&D and Production, United Therapeutics, Silver Spring, MD

  Responsible for the chemical R&D activities and the chemical API manufacturing.
- 2006-2014, Senior Vice President, Production, United Therapeutics, Silver Spring, MD

  Responsible for the API (UT-15 and UT15C) manufacturing.
- 2000 -- 2006, Vice President, Production, United Therapeutics, Chicago, Illinois

  Responsible for the API manufacturing.
- 1995 2000, Vice President, SynQuest, Inc., Chicago, Illinois
   Responsible for all the activities in UT-15 bulk drug manufacturing.
   Responsible for production regarding custom manufacturing.
   Responsible for business development.
- 1991 1995, Production Manager, SynQuest, Inc., Chicago, Illinois

Directed the design and the synthesis of sphingolipid.

Directed the design and synthesis of squalamine and its analogs, the potential antibacterial drugs.

Designed and synthesized of Vitamin D metabolites as potential cancer prevention and therapeutic agents.

Developed a new method for the synthesis of Vitamin D metabolites and analogs.

Synthesized Vitamin D metabolites under GMP conditions for clinical trials as potential drugs for treatment of psoriasis and cancer.

Designed and synthesized radiolabeled Vitamin D metabolites for use in imaging Vitamin D receptors.

1987 - 1991 Research Assistant, Department of Chemistry, University of Illinois at Chicago, Chicago, Illinois

Developed a novel method of intramolecular cyclopropanation by decomposition of iodonium ylides.

Application of the hypervalent iodine chemistry in the synthesis of Vitamin D A-ring synthon.

Application of the hypervalent iodine chemistry in the synthesis of prostaglandin E1.

A new method for the functionalization of bicyclic carbonyl compounds via hypervalent iodine chemistry.

Synthesis and functionalization of estrones and indoles ruthenium complexes.

Synthesis of high energy compounds.

# EDUCATION:

MPM (Master of Project Management), 2005, Keller Graduate School, Chicago, Illinois.

MBA (Master of Business Administration), 2004, Keller Graduate School of Management, Chicago, Illinois.

Ph.D., Organic Chemistry, 1991, University of Illinois at Chicago, Chicago, Illinois.

M.S., Organic Chemistry, 1988, University of Illinois at Chicago, Chicago, Illinois.

Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

# APPENDIX B

# Guidance for Industry Q1A(R2) Stability Testing of New Drug Substances and Products

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
Center for Biologics Evaluation and Research (CBER)

November 2003 ICH

Revision 2

# Guidance for Industry Q1A(R2) Stability Testing of New Drug Substances and Products

Additional copies are available from:

Office of Training and Communication
Division of Drug Information, HFD-240
Center for Drug Evaluation and Research
Food and Drug Administration
5600 Fishers Lane
Rockville, MD 20857
(Tel) 301-827-4573
http://www.fda.gov/cder/guidance/index.htm

ot

Office of Communication, Training and
Manufacturers Assistance, HFM-40
Center for Biologics Evaluation and Research
Food and Drug Administration
1401 Rockville Pike, Rockville, MD 20852-1448
http://www.fda.gov/cber/guidelines.htm.
(Tel) Voice Information System at 800-835-4709 or 301-827-1800

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
Center for Biologics Evaluation and Research (CBER)

November 2003 ICH

Revision 2

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# Guidance for Industry<sup>1</sup>

# Q1A(R2) Stability Testing of New Drug Substances and Products

This guidance represents the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

# I. INTRODUCTION (1) 2

This guidance is the second revision of QIA Stability Testing of New Drug Substances and Products, which was first published in September 1994 and revised in August 2001. The purpose of this revision is to harmonize the intermediate storage condition for zones I and II with the long-term condition for zones III and IV recommended in the ICH guidance QIF Stability Data Package for Registration Applications in Climatic Zones III and IV. The changes made in this second revision are listed in the attachment to this guidance.

# A. Objectives of the Guidance (1.1)

This guidance is intended to define what stability data package for a new drug substance or drug product is sufficient for a registration application within the three regions of the European Union (EU), Japan, and the United States. It does not seek to address the testing for registration in or export to other areas of the world. The guidance exemplifies the core stability data package for new drug substances and products, but leaves sufficient flexibility to encompass the variety of different practical situations that may be encountered due to specific scientific considerations and characteristics of the materials being evaluated. Alternative approaches can be used when there are scientifically justifiable reasons.

<sup>&</sup>lt;sup>1</sup> This guidance was developed within the Expert Working Group (Quality) of the International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) and has been subject to consultation by the regulatory parties, in accordance with the ICH process. This document was endorsed by the ICH Steering Committee at *Step 4* of the ICH process, February 2003. At *Step 4* of the process, the final draft is recommended for adoption to the regulatory bodies of the European Union, Japan, and the United States.

<sup>&</sup>lt;sup>2</sup> Arabic numbers reflect the organizational breakdown in the document endorsed by the ICH Steering Committee at Step 4 of the ICH process.

# B. Scope of the Guidance (1.2)

The guidance addresses the information to be submitted in registration applications for new molecular entities and associated drug products. This guidance does not currently seek to cover the information to be submitted for abbreviated or abridged applications, variations, or clinical trial applications.

Specific details of the sampling and testing for particular dosage forms in their proposed container closures are not covered in this guidance.

Further guidance on new dosage forms and on biotechnological/biological products can be found in ICH guidances Q1C Stability Testing for New Dosage Forms and Q5C Quality of Biotechnological Products: Stability Testing of Biotechnological/Biological Products, respectively.

# C. General Principles (1.3)

The purpose of stability testing is to provide evidence on how the quality of a drug substance or drug product varies with time under the influence of a variety of environmental factors, such as temperature, humidity, and light, and to establish a retest period for the drug substance or a shelf life for the drug product and recommended storage conditions.

The choice of test conditions defined in this guidance is based on an analysis of the effects of climatic conditions in the three regions of the EU, Japan, and the United States. The mean kinetic temperature in any part of the world can be derived from climatic data, and the world can be divided into four climatic zones, I-IV. This guidance addresses climatic zones I and II. The principle has been established that stability information generated in any one of the three regions of the EU, Japan, and the United States would be mutually acceptable to the other two regions, provided the information is consistent with this guidance and the labeling is in accord with national/regional requirements.

FDA's guidance documents, including this guidance, do not establish legally enforceable responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency guidances means that something is suggested or recommended, but not required.

# II. GUIDANCE (2)

#### A. Drug Substance (2.1)

# 1. General (2.1.1)

Information on the stability of the drug substance is an integral part of the systematic approach to stability evaluation.

# 2. Stress Testing (2.1.2)

Stress testing of the drug substance can help identify the likely degradation products, which can in turn help establish the degradation pathways and the intrinsic stability of the molecule and validate the stability indicating power of the analytical procedures used. The nature of the stress testing will depend on the individual drug substance and the type of drug product involved.

Stress testing is likely to be carried out on a single batch of the drug substance. The testing should include the effect of temperatures (in 10°C increments (e.g., 50°C, 60°C) above that for accelerated testing), humidity (e.g., 75 percent relative humidity or greater) where appropriate, oxidation, and photolysis on the drug substance. The testing should also evaluate the susceptibility of the drug substance to hydrolysis across a wide range of pH values when in solution or suspension. Photostability testing should be an integral part of stress testing. The standard conditions for photostability testing are described in ICH Q1B Photostability Testing of New Drug Substances and Products.

Examining degradation products under stress conditions is useful in establishing degradation pathways and developing and validating suitable analytical procedures. However, such examination may not be necessary for certain degradation products if it has been demonstrated that they are not formed under accelerated or long-term storage conditions.

Results from these studies will form an integral part of the information provided to regulatory authorities.

#### 3. Selection of Batches (2.1.3)

Data from formal stability studies should be provided on at least three primary batches of the drug substance. The batches should be manufactured to a minimum of pilot scale by the same synthetic route as production batches and using a method of manufacture and procedure that simulates the final process to be used for production batches. The overall quality of the batches of drug substance placed on formal stability studies should be representative of the quality of the material to be made on a production scale.

Other supporting data can be provided.

# 4. Container Closure System (2.1.4)

The stability studies should be conducted on the drug substance packaged in a container closure system that is the same as or simulates the packaging proposed for storage and distribution.

#### 5. Specification (2.1.5)

Specification, which is a list of tests, references to analytical procedures, and proposed acceptance criteria, is addressed in ICH *Q6A Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances* and *Q6B* 

Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Biotechnological/Biological Products. In addition, specification for degradation products in a drug substance is discussed in ICH Q3A Impurities in New Drug Substances.

Stability studies should include testing of those attributes of the drug substance that are susceptible to change during storage and are likely to influence quality, safety, and/or efficacy. The testing should cover, as appropriate, the physical, chemical, biological, and microbiological attributes. Validated stability-indicating analytical procedures should be applied. Whether and to what extent replication should be performed should depend on the results from validation studies.

# 6. Testing Frequency (2.1.6)

For long-term studies, frequency of testing should be sufficient to establish the stability profile of the drug substance. For drug substances with a proposed retest period of at least 12 months, the frequency of testing at the long-term storage condition should normally be every 3 months over the first year, every 6 months over the second year, and annually thereafter through the proposed retest period.

At the accelerated storage condition, a minimum of three time points, including the initial and final time points (e.g., 0, 3, and 6 months), from a 6-month study is recommended. Where an expectation (based on development experience) exists that the results from accelerated studies are likely to approach significant change criteria, increased testing should be conducted either by adding samples at the final time point or including a fourth time point in the study design.

When testing at the intermediate storage condition is called for as a result of significant change at the accelerated storage condition, a minimum of four time points, including the initial and final time points (e.g., 0, 6, 9, 12 months), from a 12-month study is recommended.

#### 7. Storage Conditions (2.1.7)

In general, a drug substance should be evaluated under storage conditions (with appropriate tolerances) that test its thermal stability and, if applicable, its sensitivity to moisture. The storage conditions and the lengths of studies chosen should be sufficient to cover storage, shipment, and subsequent use.

The long-term testing should cover a minimum of 12 months' duration on at least three primary batches at the time of submission and should be continued for a period of time sufficient to cover the proposed retest period. Additional data accumulated during the assessment period of the registration application should be submitted to the authorities if requested. Data from the accelerated storage condition and, if appropriate, from the intermediate storage condition can be used to evaluate the effect of short-term excursions outside the label storage conditions (such as might occur during shipping).

Long-term, accelerated, and, where appropriate, intermediate storage conditions for drug substances are detailed in the sections below. The general case should apply if the drug substance

is not specifically covered by a subsequent section. Alternative storage conditions can be used if justified.

#### a. General case (2.1.7.1)

Study	Storage condition	Minimum time period covered by data at submission
Long-term*	25°C ± 2°C/60% RH ± 5% RH	12 months
	or 30°C ± 2°C/65% RH ± 5% RH	
Intermediate**	30°C ± 2°C/65% RH ± 5% RH	6 months
Accelerated	$40^{\circ}\text{C} \pm 2^{\circ}\text{C}/75\% \text{ RH} \pm 5\% \text{ RH}$	6 months

<sup>\*</sup> It is up to the applicant to decide whether long-term stability sturdies are performed at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH or  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH.

If long-term studies are conducted at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH and significant change occurs at any time during 6 months' testing at the accelerated storage condition, additional testing at the intermediate storage condition should be conducted and evaluated against significant change criteria. Testing at the intermediate storage condition should include all tests, unless otherwise justified. The initial application should include a minimum of 6 months' data from a 12-month study at the intermediate storage condition.

Significant change for a drug substance is defined as failure to meet its specification.

# b. Drug substances intended for storage in a refrigerator (2.1.7.2)

Study	Storage condition	Minimum time period covered by data at submission
Long-term	5°C ± 3°C	12 months
Accelerated	25°C ± 2°C/60% RH ± 5% RH	6 months

Data from refrigerated storage should be assessed according to the evaluation section of this guidance, except where explicitly noted below.

If significant change occurs between 3 and 6 months' testing at the accelerated storage condition, the proposed retest period should be based on the real time data available at the long-term storage condition.

If significant change occurs within the first 3 months' testing at the accelerated storage condition, a discussion should be provided to address the effect of short-term excursions outside the label storage condition (e.g., during shipping or handling). This discussion can be supported, if appropriate, by further testing on a single batch of the drug substance for a period shorter than

<sup>\*\*</sup> If 30°C ± 2°C/65% RH ± 5% RH is the long-term condition, there is no intermediate condition.

3 months but with more frequent testing than usual. It is considered unnecessary to continue to test a drug substance through 6 months when a significant change has occurred within the first 3 months.

c. Drug substances intended for storage in a freezer (2.1.7.3)

Study	Storage condition	Minimum time period covered by data at submission
Long-term	$-20^{\circ}\text{C} \pm 5^{\circ}\text{C}$	12 months

For drug substances intended for storage in a freezer, the retest period should be based on the real time data obtained at the long-term storage condition. In the absence of an accelerated storage condition for drug substances intended to be stored in a freezer, testing on a single batch at an elevated temperature (e.g.,  $5^{\circ}C \pm 3^{\circ}C$  or  $25^{\circ}C \pm 2^{\circ}C$ ) for an appropriate time period should be conducted to address the effect of short-term excursions outside the proposed label storage condition (e.g., during shipping or handling).

d. Drug substances intended for storage below -20°C (2.1.7.4)

Drug substances intended for storage below -20°C should be treated on a case-by-case basis.

8. Stability Commitment (2.1.8)

When available long-term stability data on primary batches do not cover the proposed retest period granted at the time of approval, a commitment should be made to continue the stability studies postapproval to firmly establish the retest period.

Where the submission includes long-term stability data on three production batches covering the proposed retest period, a postapproval commitment is considered unnecessary. Otherwise, one of the following commitments should be made:

- If the submission includes data from stability studies on at least three production batches, a commitment should be made to continue these studies through the proposed retest period.
- If the submission includes data from stability studies on fewer than three production batches, a commitment should be made to continue these studies through the proposed retest period and to place additional production batches, to a total of at least three, on long-term stability studies through the proposed retest period.
- If the submission does not include stability data on production batches, a commitment should be made to place the first three production batches on long-term stability studies through the proposed retest period.

The stability protocol used for long-term studies for the stability commitment should be the same as that for the primary batches, unless otherwise scientifically justified.

# 9. Evaluation (2.1.9)

The purpose of the stability study is to establish, based on testing a minimum of three batches of the drug substance and evaluating the stability information (including, as appropriate, results of the physical, chemical, biological, and microbiological tests), a retest period applicable to all future batches of the drug substance manufactured under similar circumstances. The degree of variability of individual batches affects the confidence that a future production batch will remain within specification throughout the assigned retest period.

The data may show so little degradation and so little variability that it is apparent from looking at the data that the requested retest period will be granted. Under these circumstances, it is normally unnecessary to go through the formal statistical analysis; providing a justification for the omission should be sufficient.

An approach for analyzing the data on a quantitative attribute that is expected to change with time is to determine the time at which the 95 percent, one-sided confidence limit for the mean curve intersects the acceptance criterion. If analysis shows that the batch-to-batch variability is small, it is advantageous to combine the data into one overall estimate. This can be done by first applying appropriate statistical tests (e.g., p values for level of significance of rejection of more than 0.25) to the slopes of the regression lines and zero time intercepts for the individual batches. If it is inappropriate to combine data from several batches, the overall retest period should be based on the minimum time a batch can be expected to remain within acceptance criteria.

The nature of any degradation relationship will determine whether the data should be transformed for linear regression analysis. Usually the relationship can be represented by a linear, quadratic, or cubic function on an arithmetic or logarithmic scale. Statistical methods should be employed to test the goodness of fit of the data on all batches and combined batches (where appropriate) to the assumed degradation line or curve.

Limited extrapolation of the real time data from the long-term storage condition beyond the observed range to extend the retest period can be undertaken at approval time if justified. This justification should be based, for example, on what is known about the mechanism of degradation, the results of testing under accelerated conditions, the goodness of fit of any mathematical model, batch size, and/or existence of supporting stability data. However, this extrapolation assumes that the same degradation relationship will continue to apply beyond the observed data.

Any evaluation should cover not only the assay, but also the levels of degradation products and other appropriate attributes.

#### 10. Statements/Labeling (2.1.10)

A storage statement should be established for the labeling in accordance with relevant national/regional requirements. The statement should be based on the stability evaluation of the drug substance. Where applicable, specific instructions should be provided, particularly for drug substances that cannot tolerate freezing. Terms such as *ambient conditions* or *room temperature* should be avoided.

A retest period should be derived from the stability information, and a retest date should be displayed on the container label if appropriate.

### B. Drug Product (2.2)

## 1. General (2.2.1)

The design of the formal stability studies for the drug product should be based on knowledge of the behavior and properties of the drug substance, results from stability studies on the drug substance, and experience gained from clinical formulation studies. The likely changes on storage and the rationale for the selection of attributes to be tested in the formal stability studies should be stated.

## 2. Photostability Testing (2.2.2)

Photostability testing should be conducted on at least one primary batch of the drug product if appropriate. The standard conditions for photostability testing are described in ICH Q1B.

#### 3. Selection of Batches (2.2.3)

Data from stability studies should be provided on at least three primary batches of the drug product. The primary batches should be of the same formulation and packaged in the same container closure system as proposed for marketing. The manufacturing process used for primary batches should simulate that to be applied to production batches and should provide product of the same quality and meeting the same specification as that intended for marketing. Two of the three batches should be at least pilot scale batches, and the third one can be smaller if justified. Where possible, batches of the drug product should be manufactured by using different batches of the drug substance.

Stability studies should be performed on each individual strength and container size of the drug product unless bracketing or matrixing is applied.

Other supporting data can be provided.

# 4. Container Closure System (2.2.4)

Stability testing should be conducted on the dosage form packaged in the container closure system proposed for marketing (including, as appropriate, any secondary packaging and

container label). Any available studies carried out on the drug product outside its immediate container or in other packaging materials can form a useful part of the stress testing of the dosage form or can be considered as supporting information, respectively.

## 5. Specification (2.2.5)

Specification, which is a list of tests, references to analytical procedures, and proposed acceptance criteria, including the concept of different acceptance criteria for release and shelf life specifications, is addressed in ICH Q6A and Q6B. In addition, specification for degradation products in a drug product is addressed in ICH Q3B Impurities in New Drug Products.

Stability studies should include testing of those attributes of the drug product that are susceptible to change during storage and are likely to influence quality, safety, and/or efficacy. The testing should cover, as appropriate, the physical, chemical, biological, and microbiological attributes, preservative content (e.g., antioxidant, antimicrobial preservative), and functionality tests (e.g., for a dose delivery system). Analytical procedures should be fully validated and stability indicating. Whether and to what extent replication should be performed will depend on the results of validation studies.

Shelf life acceptance criteria should be derived from consideration of all available stability information. It may be appropriate to have justifiable differences between the shelf life and release acceptance criteria based on the stability evaluation and the changes observed on storage. Any differences between the release and shelf life acceptance criteria for antimicrobial preservative content should be supported by a validated correlation of chemical content and preservative effectiveness demonstrated during drug development on the product in its final formulation (except for preservative concentration) intended for marketing. A single primary stability batch of the drug product should be tested for antimicrobial preservative effectiveness (in addition to preservative content) at the proposed shelf life for verification purposes, regardless of whether there is a difference between the release and shelf life acceptance criteria for preservative content.

#### 6. Testing Frequency (2.2.6)

For long-term studies, frequency of testing should be sufficient to establish the stability profile of the drug product. For products with a proposed shelf life of at least 12 months, the frequency of testing at the long-term storage condition should normally be every 3 months over the first year, every 6 months over the second year, and annually thereafter through the proposed shelf life.

At the accelerated storage condition, a minimum of three time points, including the initial and final time points (e.g., 0, 3, and 6 months), from a 6-month study is recommended. Where an expectation (based on development experience) exists that results from accelerated testing are likely to approach significant change criteria, increased testing should be conducted either by adding samples at the final time point or by including a fourth time point in the study design.

When testing at the intermediate storage condition is called for as a result of significant change at the accelerated storage condition, a minimum of four time points, including the initial and final time points (e.g., 0, 6, 9, 12 months), from a 12-month study is recommended.

Reduced designs (i.e., matrixing or bracketing), where the testing frequency is reduced or certain factor combinations are not tested at all, can be applied if justified.

# 7. Storage Conditions (2.2.7)

In general, a drug product should be evaluated under storage conditions (with appropriate tolerances) that test its thermal stability and, if applicable, its sensitivity to moisture or potential for solvent loss. The storage conditions and the lengths of studies chosen should be sufficient to cover storage, shipment, and subsequent use.

Stability testing of the drug product after constitution or dilution, if applicable, should be conducted to provide information for the labeling on the preparation, storage condition, and inuse period of the constituted or diluted product. This testing should be performed on the constituted or diluted product through the proposed in-use period on primary batches as part of the formal stability studies at initial and final time points, and if full shelf life, long-term data will not be available before submission, at 12 months or the last time point for which data will be available. In general, this testing need not be repeated on commitment batches.

The long-term testing should cover a minimum of 12 months' duration on at least three primary batches at the time of submission and should be continued for a period of time sufficient to cover the proposed shelf life. Additional data accumulated during the assessment period of the registration application should be submitted to the authorities if requested. Data from the accelerated storage condition and, if appropriate, from the intermediate storage condition can be used to evaluate the effect of short-term excursions outside the label storage conditions (such as might occur during shipping).

Long-term, accelerated, and, where appropriate, intermediate storage conditions for drug products are detailed in the sections below. The general case should apply if the drug product is not specifically covered by a subsequent section. Alternative storage conditions can be used if justified.

### a. General case (2.2.7.1)

Study	Storage condition	Minimum time period covered by data at submission
Long-term*	25°C ± 2°C/60% RH ± 5% RH or 30°C ± 2°C/65% RH ± 5% RH	12 months
Intermediate**	30°C ± 2°C/65% RH ± 5% RH	6 months
Accelerated	$40^{\circ}\text{C} \pm 2^{\circ}\text{C}/75\% \text{ RH} \pm 5\% \text{ RH}$	6 months

<sup>\*</sup> It is up to the applicant to decide whether long-term stability sturdies are performed at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH or  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH.

If long-term studies are condcuted at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH and significant change occurs at any time during 6 months' testing at the accelerated storage condition, additional testing at the intermediate storage condition should be conducted and evaluated against significant change criteria. The initial application should include a minimum of 6 months' data from a 12-month study at the intermediate storage condition.

In general, *significant change* for a drug product is defined as one or more of the following (as appropriate for the dosage form):

- A 5 percent change in assay from its initial value, or failure to meet the acceptance criteria for potency when using biological or immunological procedures
- Any degradation product's exceeding its acceptance criterion
- Failure to meet the acceptance criteria for appearance, physical attributes, and functionality test (e.g., color, phase separation, resuspendibility, caking, hardness, dose delivery per actuation). However, some changes in physical attributes (e.g., softening of suppositories, melting of creams) may be expected under accelerated conditions.
- Failure to meet the acceptance criterion for pH
- Failure to meet the acceptance criteria for dissolution for 12 dosage units
- b. Drug products packaged in impermeable containers (2.2.7.2)

Sensitivity to moisture or potential for solvent loss is not a concern for drug products packaged in impermeable containers that provide a permanent barrier to passage of moisture or solvent. Thus, stability studies for products stored in impermeable containers can be conducted under any controlled or ambient humidity condition.

<sup>\*\*</sup> If 30°C ± 2°C/65% RH ± 5% RH is the long-term condition, there is no intermediate condition.

c. Drug products packaged in semipermeable containers (2.2.7.3)

Aqueous-based products packaged in semipermeable containers should be evaluated for potential water loss in addition to physical, chemical, biological, and microbiological stability. This evaluation can be carried out under conditions of low relative humidity, as discussed below. Ultimately, it should be demonstrated that aqueous-based drug products stored in semipermeable containers can withstand low relative humidity environments. Other comparable approaches can be developed and reported for nonaqueous, solvent-based products.

Study	Storage condition	Minimum time period covered by data at submission
Long-term *	25°C ± 2°C/40% RH ± 5% RH or 30°C ± 2°C/35% RH ± 5% RH	12 months
Intermediate**	$30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\% \text{ RH} \pm 5\% \text{ RH}$	6 months
Accelerated	40°C ± 2°C/not more than (NMT) 25% RH	6 months

<sup>\*</sup> It is up to the applicant to decide whether long-term stability sturdies are performed at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/40\%$  RH  $\pm 5\%$  RH or  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/35\%$  RH  $\pm 5\%$  RH.

When long-term studies are conducted at  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/40\%$  RH  $\pm 5\%$  RH and significant change other than water loss occurs during the 6 months' testing at the accelerated storage condition, additional testing at the intermediate storage condition should be performed, as described under the general case, to evaluate the temperature effect at  $30^{\circ}\text{C}$ . A significant change in water loss alone at the accelerated storage condition does not necessitate testing at the intermediate storage condition. However, data should be provided to demonstrate that the drug product will not have significant water loss throughout the proposed shelf life if stored at  $25^{\circ}\text{C}$  and the reference relative humidity of 40 percent RH.

A 5 percent loss in water from its initial value is considered a significant change for a product packaged in a semipermeable container after an equivalent of 3 months' storage at 40°C/NMT 25 percent RH. However, for small containers (1 mL or less) or unit-dose products, a water loss of 5 percent or more after an equivalent of 3 months' storage at 40°C/NMT 25 percent RH may be appropriate if justified.

An alternative approach to studying at the reference relative humidity as recommended in the table above (for either long-term or accelerated testing) is performing the stability studies under higher relative humidity and deriving the water loss at the reference relative humidity through calculation. This can be achieved by experimentally determining the permeation coefficient for the container closure system or, as shown in the example below, using the calculated ratio of water loss rates between the two humidity conditions at the same temperature. The permeation

<sup>\*\*</sup> If  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/35\%$  RH  $\pm 5\%$  RH is the long-term condition, there is no intermediate condition.

coefficient for a container closure system can be experimentally determined by using the worst case scenario (e.g., the most diluted of a series of concentrations) for the proposed drug product.

### Example of an approach for determining water loss:

For a product in a given container closure system, container size, and fill, an appropriate approach for deriving the water loss rate at the reference relative humidity is to multiply the water loss rate measured at an alternative relative humidity at the same temperature by a water loss rate ratio shown in the table below. A linear water loss rate at the alternative relative humidity over the storage period should be demonstrated.

For example, at a given temperature (e.g., 40°C), the calculated water loss rate during storage at NMT 25 percent RH is the water loss rate measured at 75 percent RH multiplied by 3.0, the corresponding water loss rate ratio.

Alternative relative humidity	Reference relative humidity	Ratio of water loss rates at a given temperature
60% RH	25% RH	1.9
60% RH	40% RH	1.5
65% RH	35% RH	1.9
75% RH	25% RH	3.0

Valid water loss rate ratios at relative humidity conditions other than those shown in the table above can also be used.

d. Drug products intended for storage in a refrigerator (2.2.7.4)

Study	Storage condition	Minimum time period covered by data at submission
Long-term	5°C ± 3°C	12 months
Accelerated	25°C ± 2°C/60% RH ± 5% RH	6 months

If the drug product is packaged in a semipermeable container, appropriate information should be provided to assess the extent of water loss.

Data from refrigerated storage should be assessed according to the evaluation section of this guidance, except where explicitly noted below.

If significant change occurs between 3 and 6 months' testing at the accelerated storage condition, the proposed shelf life should be based on the real time data available from the long-term storage condition.

If significant change occurs within the first 3 months' testing at the accelerated storage condition, a discussion should be provided to address the effect of short-term excursions outside the label storage condition (e.g., during shipment and handling). This discussion can be supported, if appropriate, by further testing on a single batch of the drug product for a period shorter than 3 months but with more frequent testing than usual. It is considered unnecessary to continue to test a product through 6 months when a significant change has occurred within the first 3 months.

e. Drug products intended for storage in a freezer (2.2.7.5)

Study	Storage condition	Minimum time period covered by data at submission
Long-term	-20°C ± 5°C	12 months

For drug products intended for storage in a freezer, the shelf life should be based on the real time data obtained at the long-term storage condition. In the absence of an accelerated storage condition for drug products intended to be stored in a freezer, testing on a single batch at an elevated temperature (e.g.,  $5^{\circ}C \pm 3^{\circ}C$  or  $25^{\circ}C \pm 2^{\circ}C$ ) for an appropriate time period should be conducted to address the effect of short-term excursions outside the proposed label storage condition.

f. Drug products intended for storage below -20°C (2.2.7.6)

Drug products intended for storage below -20°C should be treated on a case-by-case basis.

8. Stability Commitment (2.2.8)

When available long-term stability data on primary batches do not cover the proposed shelf life granted at the time of approval, a commitment should be made to continue the stability studies postapproval to firmly establish the shelf life.

Where the submission includes long-term stability data from three production batches covering the proposed shelf life, a postapproval commitment is considered unnecessary. Otherwise, one of the following commitments should be made:

- If the submission includes data from stability studies on at least three production batches, a commitment should be made to continue the long-term studies through the proposed shelf life and the accelerated studies for 6 months.
- If the submission includes data from stability studies on fewer than three production batches, a commitment should be made to continue the long-term studies through the proposed shelf life and the accelerated studies for 6 months, and to place additional production batches, to a total of at least three, on long-term stability studies through the proposed shelf life and on accelerated studies for 6 months.

If the submission does not include stability data on production batches, a commitment should be made to place the first three production batches on longterm stability studies through the proposed shelf life and on accelerated studies for 6 months.

The stability protocol used for studies on commitment batches should be the same as that for the primary batches, unless otherwise scientifically justified.

Where intermediate testing is called for by a significant change at the accelerated storage condition for the primary batches, testing on the commitment batches can be conducted at either the intermediate or the accelerated storage condition. However, if significant change occurs at the accelerated storage condition on the commitment batches, testing at the intermediate storage condition should also be conducted.

## 9. Evaluation (2.2.9)

A systematic approach should be adopted in the presentation and evaluation of the stability information, which should include, as appropriate, results from the physical, chemical, biological, and microbiological tests, including particular attributes of the dosage form (e.g., dissolution rate for solid oral dosage forms).

The purpose of the stability study is to establish, based on testing a minimum of three batches of the drug product, a shelf life and label storage instructions applicable to all future batches of the drug product manufactured and packaged under similar circumstances. The degree of variability of individual batches affects the confidence that a future production batch will remain within specification throughout its shelf life.

Where the data show so little degradation and so little variability that it is apparent from looking at the data that the requested shelf life will be granted, it is normally unnecessary to go through the formal statistical analysis; providing a justification for the omission should be sufficient.

An approach for analyzing data of a quantitative attribute that is expected to change with time is to determine the time at which the 95 percent one-sided confidence limit for the mean curve intersects the acceptance criterion. If analysis shows that the batch-to-batch variability is small, it is advantageous to combine the data into one overall estimate. This can be done by first applying appropriate statistical tests (e.g., p values for level of significance of rejection of more than 0.25) to the slopes of the regression lines and zero time intercepts for the individual batches. If it is inappropriate to combine data from several batches, the overall shelf life should be based on the minimum time a batch can be expected to remain within acceptance criteria.

The nature of the degradation relationship will determine whether the data should be transformed for linear regression analysis. Usually the relationship can be represented by a linear, quadratic, or cubic function on an arithmetic or logarithmic scale. Statistical methods should be employed to test the goodness of fit on all batches and combined batches (where appropriate) to the assumed degradation line or curve.

Limited extrapolation of the real time data from the long-term storage condition beyond the observed range to extend the shelf life can be undertaken at approval time if justified. This justification should be based, for example, on what is known about the mechanisms of degradation, the results of testing under accelerated conditions, the goodness of fit of any mathematical model, batch size, and/or existence of supporting stability data. However, this extrapolation assumes that the same degradation relationship will continue to apply beyond the observed data.

Any evaluation should consider not only the assay but also the degradation products and other appropriate attributes. Where appropriate, attention should be paid to reviewing the adequacy of the mass balance and different stability and degradation performance.

## 10. Statements/Labeling (2.2.10)

A storage statement should be established for the labeling in accordance with relevant national/regional requirements. The statement should be based on the stability evaluation of the drug product. Where applicable, specific instruction should be provided, particularly for drug products that cannot tolerate freezing. Terms such as *ambient conditions* or *room temperature* should be avoided.

There should be a direct link between the label storage statement and the demonstrated stability of the drug product. An expiration date should be displayed on the container label.

# GLOSSARY (3)

The following definitions are provided to facilitate interpretation of the guidance.

Accelerated testing: Studies designed to increase the rate of chemical degradation or physical change of a drug substance or drug product by using exaggerated storage conditions as part of the formal stability studies. Data from these studies, in addition to long-term stability studies, can be used to assess longer term chemical effects at nonaccelerated conditions and to evaluate the effect of short-term excursions outside the label storage conditions such as might occur during shipping. Results from accelerated testing studies are not always predictive of physical changes.

**Bracketing:** The design of a stability schedule such that only samples on the extremes of certain design factors (e.g., strength, package size) are tested at all time points as in a full design. The design assumes that the stability of any intermediate levels is represented by the stability of the extremes tested. Where a range of strengths is to be tested, bracketing is applicable if the strengths are identical or very closely related in composition (e.g., for a tablet range made with different compression weights of a similar basic granulation, or a capsule range made by filling different plug fill weights of the same basic composition into different size capsule shells). Bracketing can be applied to different container sizes or different fills in the same container closure system.

Climatic zones: The four zones in the world that are distinguished by their characteristic, prevalent annual climatic conditions. This is based on the concept described by W. Grimm (*Drugs Made in Germany*, 28:196-202, 1985 and 29:39-47, 1986).

**Commitment batches:** Production batches of a drug substance or drug product for which the stability studies are initiated or completed postapproval through a commitment made in the registration application.

**Container closure system:** The sum of packaging components that together contain and protect the dosage form. This includes primary packaging components and secondary packaging components if the latter are intended to provide additional protection to the drug product. A packaging system is equivalent to a container closure system.

**Dosage form:** A pharmaceutical product type (e.g., tablet, capsule, solution, cream) that contains a drug substance generally, but not necessarily, in association with excipients.

Drug product: The dosage form in the final immediate packaging intended for marketing.

**Drug substance:** The unformulated drug substance that may subsequently be formulated with excipients to produce the dosage form.

**Excipient:** Anything other than the drug substance in the dosage form.

**Expiration date:** The date placed on the container label of a drug product designating the time prior to which a batch of the product is expected to remain within the approved shelf life specification, if stored under defined conditions, and after which it must not be used.

**Formal stability studies:** Long-term and accelerated (and intermediate) studies undertaken on primary and/or commitment batches according to a prescribed stability protocol to establish or confirm the retest period of a drug substance or the shelf life of a drug product.

**Impermeable containers:** Containers that provide a permanent barrier to the passage of gases or solvents (e.g., sealed aluminum tubes for semi-solids, sealed glass ampoules for solutions).

**Intermediate testing:** Studies conducted at 30°C/65% RH and designed to moderately increase the rate of chemical degradation or physical changes for a drug substance or drug product intended to be stored long-term at 25°C.

**Long-term testing:** Stability studies under the recommended storage condition for the retest period or shelf life proposed (or approved) for labeling.

**Mass balance:** The process of adding together the assay value and levels of degradation products to see how closely these add up to 100 percent of the initial value, with due consideration of the margin of analytical error.

**Matrixing:** The design of a stability schedule such that a selected subset of the total number of possible samples for all factor combinations is tested at a specified time point. At a subsequent time point, another subset of samples for all factor combinations is tested. The design assumes that the stability of each subset of samples tested represents the stability of all samples at a given time point. The differences in the samples for the same drug product should be identified as, for example, covering different batches, different strengths, different sizes of the same container closure system, and, possibly in some cases, different container closure systems.

**Mean kinetic temperature:** A single derived temperature that, if maintained over a defined period of time, affords the same thermal challenge to a drug substance or drug product as would be experienced over a range of both higher and lower temperatures for an equivalent defined period. The mean kinetic temperature is higher than the arithmetic mean temperature and takes into account the Arrhenius equation.

When establishing the mean kinetic temperature for a defined period, the formula of J. D. Haynes (J. Pharm. Sci., 60:927-929, 1971) can be used.

**New molecular entity:** An active pharmaceutical substance not previously contained in any drug product registered with the national or regional authority concerned. A new salt, ester, or noncovalent bond derivative of an approved drug substance is considered a new molecular entity for the purpose of stability testing under this guidance.

**Pilot scale batch:** A batch of a drug substance or drug product manufactured by a procedure fully representative of and simulating that to be applied to a full production scale batch. For

solid oral dosage forms, a pilot scale is generally, at a minimum, one-tenth that of a full production scale or 100,000 tablets or capsules, whichever is larger.

**Primary batch:** A batch of a drug substance or drug product used in a formal stability study, from which stability data are submitted in a registration application for the purpose of establishing a retest period or shelf life, respectively. A primary batch of a drug substance should be at least a pilot scale batch. For a drug product, two of the three batches should be at least pilot scale batch, and the third batch can be smaller if it is representative with regard to the critical manufacturing steps. However, a primary batch may be a production batch.

**Production batch:** A batch of a drug substance or drug product manufactured at production scale by using production equipment in a production facility as specified in the application.

**Retest date:** The date after which samples of the drug substance should be examined to ensure that the material is still in compliance with the specification and thus suitable for use in the manufacture of a given drug product.

Retest period: The period of time during which the drug substance is expected to remain within its specification and, therefore, can be used in the manufacture of a given drug product, provided that the drug substance has been stored under the defined conditions. After this period, a batch of drug substance destined for use in the manufacture of a drug product should be retested for compliance with the specification and then used immediately. A batch of drug substance can be retested multiple times and a different portion of the batch used after each retest, as long as it continues to comply with the specification. For most biotechnological/biological substances known to be labile, it is more appropriate to establish a shelf life than a retest period. The same may be true for certain antibiotics.

Semipermeable containers: Containers that allow the passage of solvent, usually water, while preventing solute loss. The mechanism for solvent transport occurs by absorption into one container surface, diffusion through the bulk of the container material, and desorption from the other surface. Transport is driven by a partial pressure gradient. Examples of semipermeable containers include plastic bags and semirigid, low-density polyethylene (LDPE) pouches for large volume parenterals (LVPs), and LDPE ampoules, bottles, and vials.

**Shelf life (also referred to as expiration dating period):** The time period during which a drug product is expected to remain within the approved shelf life specification, provided that it is stored under the conditions defined on the container label.

**Specification:** See ICH Q6A and Q6B.

**Specification, Release:** The combination of physical, chemical, biological, and microbiological tests and acceptance criteria that determine the suitability of a drug product at the time of its release.

**Specification, Shelf life:** The combination of physical, chemical, biological, and microbiological tests and acceptance criteria that determine the suitability of a drug substance throughout its retest period, or that a drug product should meet throughout its shelf life.

Storage condition tolerances: The acceptable variations in temperature and relative humidity of storage facilities for formal stability studies. The equipment should be capable of controlling the storage condition within the ranges defined in this guidance. The actual temperature and humidity (when controlled) should be monitored during stability storage. Short-term spikes due to opening of doors of the storage facility are accepted as unavoidable. The effect of excursions due to equipment failure should be addressed and reported if judged to affect stability results. Excursions that exceed the defined tolerances for more than 24 hours should be described in the study report and their effect assessed.

**Stress testing (drug substance):** Studies undertaken to elucidate the intrinsic stability of the drug substance. Such testing is part of the development strategy and is normally carried out under more severe conditions than those used for accelerated testing.

Stress testing (drug product): Studies undertaken to assess the effect of severe conditions on the drug product. Such studies include photostability testing (see ICH Q1B) and specific testing of certain products (e.g., metered dose inhalers, creams, emulsions, refrigerated aqueous liquid products).

**Supporting data:** Data, other than those from formal stability studies, that support the analytical procedures, the proposed retest period or shelf life, and the label storage statements. Such data include (1) stability data on early synthetic route batches of drug substance, small-scale batches of materials, investigational formulations not proposed for marketing, related formulations, and product presented in containers and closures other than those proposed for marketing; (2) information regarding test results on containers; and (3) other scientific rationales.

# REFERENCES (4)<sup>3</sup>

ICH Q1B Photostability Testing of New Drug Substances and Products

ICH Q1C Stability Testing for New Dosage Forms

ICH Q3A Impurities in New Drug Substances

ICH Q3B Impurities in New Drug Products

ICH Q5C Quality of Biotechnological Products: Stability Testing of Biotechnological/Biological Products

ICH Q6A Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances

ICH Q6B Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Biotechnological/Biological Products

<sup>&</sup>lt;sup>3</sup> We update guidances periodically. To make sure you have the most recent version of a guidance, check the CDER guidance page at http://www.fda.gov/cder/guidance/index.htm

# ATTACHMENT List of Revision 2 Changes

The revisions to this Q1A guidance result from adoption of the ICH guidance Q1F Stability Data Package for Registration Applications in Climatic Zones III and IV. The following changes were made.

- 1. The intermediate storage condition has been changed from  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH to  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH in the following sections:
  - II.A.7.a (2.1.7.1) Drug Substance Storage Conditions General case
  - II.B.7.a (2.2.7.1) Drug Product Storage Conditions General case
  - II.B.7.c (2.2.7.3) Drug products packaged in semipermeable containers
  - Glossary (3) Intermediate testing
- 2.  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH has been added as a suitable alternative long-term storage condition to  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  in the following sections:
  - II.A.7.a (2.1.7.1) Drug Substance Storage Conditions General case
  - II.B.7.a (2.2.7.1) Drug Product Storage Conditions General case
- 3.  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/35\%$  RH  $\pm 5\%$  RH has been added as a suitable alternative long-term storage condition to  $25^{\circ}\text{C} \pm 2^{\circ}\text{C}/40\%$  RH  $\pm 5\%$  and the corresponding example for the ratio of water-loss rates has been included in the following section:
  - II.B.7.c (2.2.7.3) Drug products packaged in semipermeable containers

Midstream switch of the intermediate storage condition from  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/60\%$  RH  $\pm 5\%$  RH to  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH can be appropriate provided that the respective storage conditions and the date of the switch are clearly documented and stated in the registration application.

It is recommended that registration applications contain data from complete studies at the intermediate storage condition  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}/65\%$  RH  $\pm 5\%$  RH, if applicable, by three years after the date of publication of this revised guideline in the respective ICH tripartite region.

Electronic Patent Application Fee Transmittal									
Application Number:	13933623								
Filing Date:	02-Jul-2013								
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®								
First Named Inventor/Applicant Name:	Hitesh Batra								
Filer:	Stephen Bradford Maebius/Jasmine Bibbs								
Attorney Docket Number:	08	0618-1256							
Filed as Large Entity	•								
Filing Fees for Utility under 35 USC 111(a)									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Claims in Excess of 20		1202	5	80	400				
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									

Description	Fee Code	e Quantity Amount		Sub-Total in USD(\$)	
Extension-of-Time:					
Extension - 2 months with \$200 paid	1252	1	400	400	
Miscellaneous:					
	Tot	al in USD	(\$)	800	

Electronic Acl	knowledgement Receipt
EFS ID:	23178994
Application Number:	13933623
International Application Number:	
Confirmation Number:	6887
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®
First Named Inventor/Applicant Name:	Hitesh Batra
Customer Number:	22428
Filer:	Stephen Bradford Maebius/Jasmine Bibbs
Filer Authorized By:	Stephen Bradford Maebius
Attorney Docket Number:	080618-1256
Receipt Date:	11-AUG-2015
Filing Date:	02-JUL-2013
Time Stamp:	16:19:30
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$800
RAM confirmation Number	2823
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		080618-1256ResptoFinal.pdf	134980	yes	9
'		000010 1230Nesptormanpur	dd068b80731bf9743f2c4cdc34483460bae 4f7c4	yes	,
	Multip	art Description/PDF files in .	zip description		
	Document Des	scription	Start	Е	nd
	Response After Fi	1		1	
	Claims	2		4	
	Applicant Arguments/Remarks	Made in an Amendment	5	9	
Warnings:					
Information:					
2	Affidavit-traversing rejectns or objectns	080618-1256ExecutedLiangGu	6117198	no	10
	rule 132	oDeclaration.pdf	aca24a23916a8f4c53cc7b84422e78707943 0296		
Warnings:					
Information:					
3	Affidavit-traversing rejectns or objectns	080618-1256AppendixB.pdf	187379	no	25
_	rule 132		ae7217b7234a3e5b10a0a14f21225f6ea529 6521		
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	32932	no	2
<b>-</b>	ree worksheet (Jbbo)	ree inio.pui	20a9c4a0eeaf60a1080ddb31c6f795ae3e3c 5cd4	110	
Warnings:	·				
Information:					
		Total Files Size (in bytes)	64	72489	
			1		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							or Docket Numb /933,623	per	Filing Date 07/02/2013	To be Mailed
								ENTITY:	⊠ LA	ARGE SMA	LL MICRO
					APPLICA	ATION AS FIL	ED – PAR	TI			
			(0	Column 1							
	FOR		NUI	MBER FIL	.ED	NUMBER EXTRA		RATE (S	\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))		N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))		N/A		N/A		N/A			
	EXAMINATION FE	Ε		N/A		N/A		N/A			
	TAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =	=		
IND	EPENDENT CLAIM CFR 1.16(h))	S		mi	nus 3 = *			X \$ =	=		
	APPLICATION SIZE 37 CFR 1.16(s))	FEE	of pap for sm fractio CFR 1	er, the a all entity n thered 1.16(s).	ntion and drawing application size f y) for each additi f. See 35 U.S.C	ee due is \$310 ( onal 50 sheets c	\$155 r				
* 16 4	MULTIPLE DEPEN							TOTAL			
- 11 (	he difference in colu	illii i is iess	s man Z	ero, ente	r U III COIUIIIII 2.			TOTAL	_		
		(Column	ı 1)		APPLICAT	ON AS AMEN		RT II			
:NT	08/11/2015	CLAIMS REMAININ AFTER AMENDM			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (S	\$)	ADDITIC	DNAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 26		Minus	** 21	= 5		x \$80 =			400
AMENDMENT	Independent (37 CFR 1.16(h))	* 3		Minus	***3	= 0		x \$420 =			0
AM	Application Si	ze Fee (37 (	CFR 1.1	16(s))							
	FIRST PRESEN	NTATION OF N	MULTIPL	E DEPENI	DENT CLAIM (37 CFF	R 1.16(j))					
								TOTAL ADD	'L FEE		400
		(Column	າ 1)		(Column 2)	(Column 3	)				
		CLAIM REMAINI AFTER AMENDM	ING R		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (S	\$)	ADDITIC	DNAL FEE (\$)
EN	Total (37 CFR 1.16(i))	*		Minus	**	=		X \$ =	=		
AMENDMEN <sup>-</sup>	Independent (37 CFR 1.16(h))	*		Minus	***	=		X \$ =			
NEN I	Application Size Fee (37 CFR 1.16(s))										
A	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
								TOTAL ADD	'L FEE		
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  *If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  **If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

08/27/2015 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

EXAMINER VALENROD, YEVGENY

PAPER NUMBER

ART UNIT 1672

DATE MAILED: 08/27/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/933.623	07/02/2013	Hitesh Batra	080618-1256	6887

TITLE OF INVENTION: PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	11/27/2015

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for respiratory-specifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 22428 7590 08/27/2015 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 (Depositor's name WASHINGTON, DC 20007-5109 (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 13/933.623 07/02/2013 080618-1256 6887 Hitesh Batra TITLE OF INVENTION: PROCESS TO PREPARE TREPROSTINIL. THE ACTIVE INGREDIENT IN REMODULIN APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional UNDISCOUNTED \$960 \$0 \$0 \$960 11/27/2015 EXAMINER ART UNIT CLASS-SUBCLASS VALENROD, YEVGENY 1672 562-466000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively,  $\hfill \Box$  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a Tree Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee (No small entity discount permitted) The director is hereby authorized to charge the required fee(s), any deficiency, or credits any Advance Order - # of Copies overpayment, to Deposit Account Number 5. Change in Entity Status (from status indicated above) ☐ Applicant certifying micro entity status. See 37 CFR 1.29 NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant asserting small entity status. See 37 CFR 1.27 NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. <u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. Applicant changing to regular undiscounted fee status. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Registration No.

Date

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Authorized Signature

Typed or printed name

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/933,623	,623 07/02/2013 Hitesh Batra		080618-1256	6887
22428 75	90 08/27/2015		EXAM	IINER
Foley & Lardner 3000 K STREET N			VALENROD	, YEVGENY
SUITE 600			ART UNIT	PAPER NUMBER
WASHINGTON, I	DC 20007-5109		1672	

DATE MAILED: 08/27/2015

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)								
Examiner-Initiated Interview Summary	13/933,623	BATRA ET AL.								
Examiner-initiated interview Julimary	Examiner	Art Unit								
	YEVGENY VALENROD	1672								
All participants (applicant, applicant's representative, PTO personnel):										
(1) <u>YEVGENY VALENROD</u> .	(3)									
(2) <u>Alexei Saprigin</u> .	(2) <u>Alexei Saprigin</u> . (4)									
Date of Interview: 20 August 2015.										
Type: ☐ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant	applicant's representative]									
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	⊠ No.									
Issues Discussed 101 112 102 103 20th (For each of the checked box(es) above, please describe below the issue and deta										
Claim(s) discussed: <u>10-19 and 22</u> .										
Identification of prior art discussed:										
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		identification or clarification of a								
It was agreed that the withdrawn clames will be canceled be traverse in the reply filed on 1/26/15.	by the Examiners amendment.	Election was made without								
Applicant recordation instructions: It is not necessary for applicant to	provide a separate record of the subst	ance of interview.								
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.										
☐ Attachment										
/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672										
U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010) Interview	v Summary	Paper No. 20150820								

IPR2020-00770 United Therapeutics EX2028 Page 242 of 265

Application No.   Applicant(s)   13/933,623   BATRA ET AL.							
Notice of Allowability	Examiner YEVGENY VALENROD	Art Unit 1672	AIA (First Inventor to File) Status				
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) on NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RICE of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	lication. If no will be mailed	included in due course. <b>THIS</b>				
<ol> <li>This communication is responsive to <u>reply filed on 8/11/15</u>.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/</li> </ol>	were filed on						
<ol> <li>An election was made by the applicant in response to a restring requirement and election have been incorporated into this action.</li> </ol>		ie interview or	n; the restriction				
<ol> <li>The allowed claim(s) is/are 1,3-9,20,21 and 23-27. As a resu Prosecution Highway program at a participating intellectual please see <a href="http://www.uspto.gov/patents/init_events/pph/inde">http://www.uspto.gov/patents/init_events/pph/inde</a></li> </ol>	property office for the corresponding	g application.	For more information,				
Certified copies:  a)  All b) Some *c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 1. Copies of the certified copies of the priority documents have 1. Certified copies of the certified copies of the priority documents have 1. Certified copies not received: PCT Rule 17.2(a)).  * Certified copies not received: Certified copies not received: This that the comply will result in ABANDONME THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. CORRECTED DRAWINGS (as "replacement sheets") must	been received in Application No uments have been received in this n f this communication to file a reply of ENT of this application.	ational stage					
including changes required by the attached Examiner's Paper No./Mail Date		ffice action of					
Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in th	34(c)) should be written on the drawin e header according to 37 CFR 1.121(d	gs in the front ).	(not the back) of				
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FOI</li> </ol>			the				
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. ☑ Interview Summary (PTO-413), Paper No./Mail Date 8/20/15.  /YEVGENY VALENROD/	5. ⊠ Examiner's Amendn 6. □ Examiner's Stateme 7. □ Other						
Primary Examiner, Art Unit 1672							

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

**Notice of Allowability** 

Part of Paper No./Mail Date 20150820

Art Unit: 1672

The present application is being examined under the pre-AIA first to invent provisions.

### **DETAILED ACTION**

This application is in condition for allowance except for the presence of claims 10-19 and 22 directed to a pharmaceutical composition non-elected without traverse. Accordingly, claims 10-19 and 22 have been cancelled.

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

IN THE CLAIMS:

Claims 10-19 and 22 have been canceled.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to YEVGENY VALENROD whose telephone number is (571)272-9049. The examiner can normally be reached on mon-fri 8-4:30.

Art Unit: 1672

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brandon Fetterolf can be reached on 5712722919. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672

	Application No.	Applicant(s)								
Examiner-Initiated Interview Summary	13/933,623	BATRA ET AL.								
Lxammer-initiated interview Summary	Examiner	Art Unit								
	YEVGENY VALENROD	1672								
All participants (applicant, applicant's representative, PTC	) personnel):									
(1) <u>YEVGENY VALENROD</u> .	(3)									
(2) <u>Alexei Saprigin</u> .	(2) <u>Alexei Saprigin</u> . (4)									
Date of Interview: 20 August 2015.										
Type:	applicant's representative]									
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	⊠ No.									
Issues Discussed ☐101 ☐112 ☐102 ☐103 ☑Ot (For each of the checked box(es) above, please describe below the issue and det										
Claim(s) discussed: 10-19 and 22.										
Identification of prior art discussed:										
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreeme reference or a portion thereof, claim interpretation, proposed amendments, arguing		identification or clarification of a								
It was agreed that the withdrawn clames will be canceled traverse in the reply filed on 1/26/15.	by the Examiners amendment.	Election was made without								
Applicant recordation instructions: It is not necessary for applicant to	provide a separate record of the subst	ance of interview.								
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.										
☐ Attachment										
/YEVGENY VALENROD/ Primary Examiner, Art Unit 1672										
U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010) Intervie	w Summary	Paper No. 20150820								

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13933623	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1672

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	1	Interference	0	Objected

☐ Claims	renumbered	in the same	order as pr	esented by a	pplicant		□ СРА	□ т.с	D. 🗆	R.1.47
CL	AIM	DATE								
Final	Original	12/05/2014	03/11/2015	08/21/2015						
1	1	✓	✓	=						
	2	✓	-	-						
2	3	✓	✓	=						
3	4	✓	✓	=						
4	5	✓	✓	=						
5	6	✓	✓	=						
6	7	✓	✓	=						
7	8	✓	✓	=						
8	9	✓	✓	=						
	10	N	N	-						
	11	N	N	-						
	12	N	N	-						
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	15	N	N	-						
	16	N	N	-						
	17	N	N	-						
	18	N	N	-						
	19	N	N	-						
9	20		✓	=						
10	21		✓	=						
	22		N	-						
11	23			=						
12	24			=						
13	25			=						
14	26			=						
15	27			=					_	

U.S. Patent and Trademark Office Part of Paper No. : 20150820

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
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L3	1	("7999007").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/21 09:26
L4	1	("8653137").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/21 09:26
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L8	26	((Raju) near2 (Penmasta)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/08/21 09:26
L9	230	((David) near2 (Walsh)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2015/08/21 09:26
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L12	9	L11 and (base adj addition)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/08/21 09:26

8/21/2015 9:29:43 AM C:\Users\yvalenrod\Documents\EAST\Workspaces\13933623.wsp

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L14	1	("20050085540").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/21 09:26
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8/21/2015 9:29:43 AM C:\Users\yvalenrod\Documents\EAST\Workspaces\13933623.wsp

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L30	48	L28 or L29	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/08/21 09:26

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L43	562	c07c51/08.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/08/21 09:27
L44	1948	c07c51/412.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/08/21 09:27
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L47	9	I46 and I10	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2015/08/21 09:28

# **EAST Search History (Interference)**

Ret	f Hits	Search Query	DBs	Defa ult Oper	Plurals	Time Stamp
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## **EAST Search History (Interference)**

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L52	0	(562/466). OCLS.	UPAD	OR	OFF	2015/08/21 09:29

 OK TO ENTER: /YV/

Atty. Dkt. No. 080618-1256 Appl. No. 13/933,623

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Hitesh BATRA

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN

**REMODULIN®** 

Appl. No.: 13/933,623

Filing Date: 7/2/2013

Examiner: Yevgeny Valenrod

Art Unit: 1672

Confirmation Number: 6887

REPLY UNDER 37 C.F.R. § 1.116

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

This paper responds to the outstanding Final Office Action dated March 19, 2015.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this document.

**Remarks** begin on page 5 of this document.

## Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
13933623	BATRA ET AL.
Examiner	Art Unit
YEVEGENY VALENROD	1672

CPC				
Symbol			Туре	Version
C07C	405	7 0075	F	2013-01-01
C07C	51	7 08	I	2013-01-01
C07C	51	/ 412	I	2013-01-01
C07C	213	7 08	I	2013-01-01
C07C	51	/ 41	I	2013-01-01
A01N	37	/ 10	A	2013-01-01
C07C	39	/ 12	A	2013-01-01
C07C	39	/ 17	A	2013-01-01
C07C	59	/ 60	A	2013-01-01
C07C	59	72	A	2013-01-01

CPC Con	CPC Combination Sets									
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C07C	51	/ 08	1	1	1	2013-01-01				
C07C	59	/ 72	1	1	2	2013-01-01				
C07C	51	412	1	2	1	2013-01-01				
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(Assistant Examiner)	(Date)	1	5
/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672	08/21/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none

U.S. Patent and Trademark Office Part of Paper No. 20150820

## Application/Control No. 13933623 Examiner YEVEGENY VALENROD Applicant(s)/Patent Under Reexamination BATRA ET AL. Art Unit 1672

	US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SSI	FIC	ATI	ON
	CLASS SUBCLASS							С	LAIMED			N	ON-	CLAIMED	
562 466				С	0	7	С	51 / 08 (2006.01.01)							
CROSS REFERENCE(S)															
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										
											Ш				

NONE		Total Claims Allowed:		
(Assistant Examiner)	(Date)	1.	5	
/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672	08/21/2015	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	none	

U.S. Patent and Trademark Office Part of Paper No. 20150820

## Application/Control No. 13933623 Applicant(s)/Patent Under Reexamination BATRA ET AL. Examiner YEVEGENY VALENROD Applicant(s)/Patent Under Reexamination BATRA ET AL. 1672

	Claims renumbered in the same order as presented by applicant							СР	A [	] T.D.		R.1.	47		
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(Assistant Examiner)	(Date)	1	5
/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672	08/21/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none

U.S. Patent and Trademark Office Part of Paper No. 20150820

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
13933623	BATRA ET AL.
Examiner	Art Unit
YEVEGENY VALENROD	1672

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEAR	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEARCHE	ED .	
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST	8/21/2015	YV
Inventor	8/21/2015	YV

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
562	466	8/21/2015	YV
C07C	405/0075; 51/08; 51/412; 213/08	8/21/2015	YV

/YEVEGENY VALENROD/ Primary Examiner.Art Unit 1672

U.S. Patent and Trademark Office Part of Paper No.: 20150820

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPOND	ENCE ADDRESS (Note: Use B	ock I for any change of address	) pa	pers. Each additional	mailing can only be used for secretificate cannot be used for paper, such as an assignme of mailing or transmission.	or domestic mailings of the for any other accompanying ent or formal drawing, must
Foley & Lardn 3000 K STREE	er LLP	7/2015	I I St ad tra	Cert nereby certify that thi ates Postal Service widressed to the Mail unsmitted to the USPT	ificate of Mailing or Trans s Fee(s) Transmittal is being ith sufficient postage for fire Stop ISSUE FEE address O (571) 273-2885, on the de	emission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
SUITE 600 WASHINGTON	J. DC 20007-5109					(Depositor's name)
WASHINGTON	1, 150 20007-5105					(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/933,623	07/02/2013		Hitesh Batra		080618-1256	6887
TITLE OF INVENTION	: PROCESS TO PREPA	RE TREPROSTINIL, T	HE ACTIVE INGREDIE	NT IN REMODULIN		
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUI	E PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	11/27/2015
EXAM	INED	ART UNIT	CLASS-SUBCLASS	٦		
VALENROD		1672	562-466000			
1. Change of corresponde	-			patent front page, list		
CFR 1.363).			(1) The names of up	to 3 registered patent	. roiev a	& Lardner LLP
Address form PTO/Sl	ondence address (or Cha 3/122) attached.	nge of Correspondence	or agents OR, alterna		member a 2	
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	ication (or "Fee Address 22 or more recent) attach	" Indication form ed. Use of a Customer	registered attorney of 2 registered patent at listed, no name will b	gle firm (having as a a agent) and the name torneys or agents. If no printed.	s of up to o name is 3	
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or t	ype)		
PLEASE NOTE: Unl recordation as set fort	ess an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assigned pletion of this form is NO	e data will appear on the DT a substitute for filing a	patent. If an assigne n assignment.	e is identified below, the de	ocument has been filed for
(A) NAME OF ASSI			(B) RESIDENCE: (CIT			
United Thera	peutics Corpora	tion	Silver Spr	ing, MD		
Please check the appropr	iate assignee category or	categories (will not be p	orinted on the patent):	Individual 🖾 Cor	poration or other private gro	oup entity 🚨 Government
4a. The following fee(s):	are submitted:		b. Payment of Fee(s): (Pl	ease first reapply any	y previously paid issue fee :	shown above)
Issue Fee			A check is enclosed			
_	o small entity discount p		Payment by credit co			iciency or credits any
Advance Order - #	of Copies		overpayment, to Dep	osit Account Number	e the required fee(s), any def 19-0741 (enclose ar	n extra copy of this form).
5. Change in Entity Sta	tus (from status indicated	t above)				
	ng micro entity status. Se		NOTE: Absent a valid of	ertification of Micro l	Entity Status (see forms PTC	D/SB/15A and 15B), issue
Applicant asserting	g small entity status. See	37 CFR 1.27			ot be accepted at the risk of er micro entity status, checki	••
☐ Applicant changing to regular undiscounted fee status.		NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.  NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro				
Applicant changin	g to regular undiscounted	ree status.	entity status, as applicat	ox will be taken to be ble.	a notification of loss of entit	tiement to small or micro
NOTE: This form must b	e signed in accordance v	oith 37 CFR 1.31 and 1.3	33. See 37 CFR 1.4 for sig			
Authorized Signature		18/1 Martin		Date	35,264	
Typed or printed name	Stephen B. M.	aebius		Registration No	35,264	

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal					
Application Number:	13933623				
Filing Date:	02	02-Jul-2013			
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN				
First Named Inventor/Applicant Name:	Hit	esh Batra			
Filer:	Ste	ephen Bradford Mae	ebius ebius		
Attorney Docket Number:	08	0618-1256			
Filed as Large Entity	Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl Issue Fee		1501	1	960	960

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	960

Electronic Acknowledgement Receipt				
EFS ID:	23330991			
Application Number:	13933623			
International Application Number:				
Confirmation Number:	6887			
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN			
First Named Inventor/Applicant Name:	Hitesh Batra			
Customer Number:	22428			
Filer:	Stephen Bradford Maebius/Karen Walker			
Filer Authorized By:	Stephen Bradford Maebius			
Attorney Docket Number:	080618-1256			
Receipt Date:	27-AUG-2015			
Filing Date:	02-JUL-2013			
Time Stamp:	16:18:31			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$960
RAM confirmation Number	2868
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Issue Fee Dayment (DTO GER)	IFTM.pdf	125393	no	1
1	Issue Fee Payment (PTO-85B)		f32469217077bc8b78a7f9ce3167efc36a86 68ab		
Warnings:	·			•	
Information:					
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2	Tee Worksheet (SB00)	ree-imo.pui	14748bab89272e169bab234aabf1de6d2b 9acb28	no	
Warnings:				<u>'</u>	
Information:					
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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09/23/2015

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 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 13/933,623
 10/13/2015
 9156786
 080618-1256
 6887

22428 7590

Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 46 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

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IR103 (Rev. 10/09)

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APPLICATION NUMBER	PATENT NUMBER	GROUP ART UNIT	REQUEST ID
13/933,623	9156786	1672	102655

#### PAIR Correspondence Address/Fee Address Change

The following fields have been changed to Customer Number 166905 on 01/03/2020 via Private PAIR in view of the certification copied below that authorized the change.

• Correspondence Address

The address for Customer Number 166905 is: 166905
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#### I certify, in accordance with 37 CFR 1.4(d)(4) that I am:

An attorney or Agent of Record registered to practice before the Patent and Trademark Office who has been given power of attorney in this application

Signature:	/Stephen B. Maebius/	
Name:	Stephen B. Maebius	
Registration Number:	35264	