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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. REMB-0109

First Inventor Gordon Bremer

Title System and Method of Communication

(Only for new l	nonprovisional applications under 37 CFR	1.53(b))	Express Mail Label No	0.)	
	APPLICATION ELEMENTS ter 600 concerning utility patent application	contents.	ADDRESS TO:	P.O. Box 1	oner for Patents 450 v VA 22313-1450		
1. Fee Trans	mittal Form (e.g., PTO/SB/17)		ACCOMPANYING APPLICATION PARTS				
See 37 CF Specificati Both the cla (For informati	ion [Total Pages15 aims and abstract must start on a new page ion on the preferred arrangement, see MPEP 608.0 b) (35 U.S.C. 113) [Total Sheets	01(a)) 8]	9. Assignment Papers (cover sheet & document(s)) Name of Assignee 10. 37 CFR 3.73(b) Statement Power of				
a. Newly executed (original or copy) b. A copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Attorney (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Statement (PTO/SB/08 or Information Disclosure Statement)						O-1449)	
6. Application	on Data Sheet. See 37 CFR 1.76	I	13. Preliminary	Amendment			
Ç <u>om</u> puter	or CD-R in duplicate, large table or r Program (Appendix) scape Table on CD		14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a. – c. are required) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies					nt.		
specification followi	ING APPLICATION, check appropriate ing the title, or in an Application Data	Sheet under 37	7 CFR 1.76:				
Continuation inform			tion-in-part (CIP) of prior application No.:1.1774803				
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			DENOE ADDITION				
The address as	ssociated with Customer Number:	233	377	OR Co	orrespondence address below	W	
Name							
Address							
City		State		Zip Cod	de		
Country		Telephone		Email			
Signature	/Michael A. Koptiw/	'	1	Date August 19			
Name (Print/Type)	Michael A. Koptiw			Registra	tion No. (/Agent) 57900		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent	App	olication Fee	e Transmi	ttal	
Application Number:					
Filing Date:					
Title of Invention:	System and Method of Communication Via Embedded Modulation				
First Named Inventor/Applicant Name:	Go	rdon Bremer			
Filer:	Michael Koptiw Jr./Kathy Franchi				
Attorney Docket Number:	REMB-0109				
Filed as Large Entity	•				
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility application filing		1011	1	330	330
Utility Search Fee		1111	1	540	540
Utility Examination Fee		1311	1	220	220
Pages:					
Claims:					
Claims in excess of 20		1202	80	52	4160
Independent claims in excess of 3		1201	3	220	660
Miscellaneous-Filing:			<u> </u>		<u> </u>

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	5910

Electronic Acknowledgement Receipt					
EFS ID:	5918253				
Application Number:	12543910				
International Application Number:					
Confirmation Number:	8306				
Title of Invention:	System and Method of Communication Via Embedded Modulation				
First Named Inventor/Applicant Name:	Gordon Bremer				
Customer Number:	23377				
Filer:	Michael Koptiw Jr./Kathy Franchi				
Filer Authorized By:	Michael Koptiw Jr.				
Attorney Docket Number:	REMB-0109				
Receipt Date:	19-AUG-2009				
Filing Date:					
Time Stamp:	16:16:29				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$5910
RAM confirmation Number	2440
Deposit Account	233050
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	REMB-0109-app-data-sheet.	4312568	no	
	4,1	PDF	1651825b5a0ac2223f7a7ac63e9aa4edbcd 4b203		
Warnings:					
Information:					
2	Drawings-only black and white line drawings	REMB-0029-as-filed-drawings.	wings. 333657 65ce7ea026824a3a50a4d6f5987b4c4db28 99c6c		8
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Warnings:					
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3	Extension of Time	REMB-0109-ext.PDF	74873	no	1
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4		REMB-0109-As-Filed-	137458	V/OC	22
4		Specification.PDF	e833547c25b26fcbc65def0fffbbe86cdb2aa c38	yes	22
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5	Transmittal of New Application	REMB-0109-transmittal.PDF	1095280	no	2
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6	Fee Worksheet (PTO-875)	fee-info.pdf	37865	no	2
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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Applic	ation Type	Nonp	provisional										
Subjec	t Matter	Utility	/										
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Application Data Silect 37 CFK 1.76 Application Number Title of Invention System and Method of Communication Via Embedded Modulation	Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REMB-0109
Title of Invention System and Method of Communication Via Embedded Modulation	Application Da	m Data Sheet 37 CFK 1.76	Application Number	
Publication Information:				
Request Early Publication (Fee required at time of Request 37 CFR 1.219)				
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject an application filed in another country, or under a multilateral international agreement, that requires publication a eighteen months after filing.				

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.							
Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)				
Customer Number	23377						

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This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
	Continuation of	11774803	2007-07-09			
Prior Application Status			Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
11774803	Continuation of	10412878	2003-04-14			
Prior Application Status			Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
10412878	Continuation in part of	09205205	1998-12-04			
Prior Application Status			Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
09205205	non provisional of	60067562	1997-12-05			
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.						

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Page 9 of 432

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	Application Application Application Application Itry i Perated within the eet does not sure office. Middle Nation Within this for	Application Number Imunication Via Embedded Month Itry i Parent Filing Perated within this form by selection State/Propostal Conference of Fax Number 1988 Within this form by selection Within this form by selection	Application Number Imunication Via Embedded Modulation Itry i Parent Filing Date (Perated within this form by selecting Ineet does not substitute for compliance Office. Middle Name Family State/Province Postal Code Fax Number within this form by selecting the A	Application Number Immunication Via Embedded Modulation Try i Parent Filing Date (YYYY-MM-DD) Perated within this form by selecting the Inneet does not substitute for compliance with any requirement office. Middle Name Family Name

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Koptiw

2009-08-19

57900

Date (YYYY-MM-DD)

Registration Number

Signature

First Name

/Michael A. Koptiw/

Last Name

Michael

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Page 11 of 432

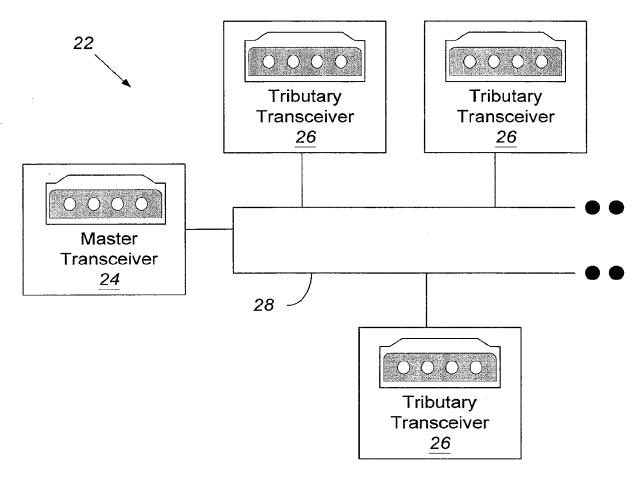
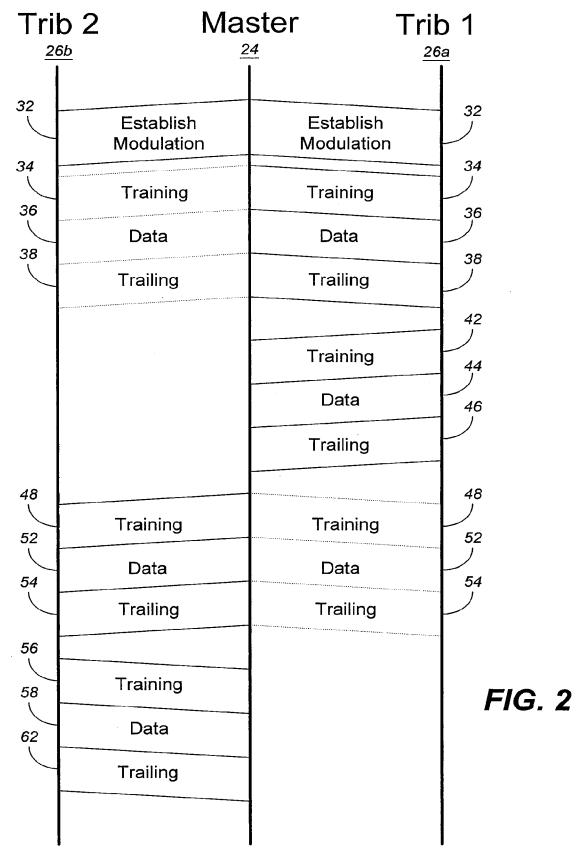


FIG. 1 Prior Art



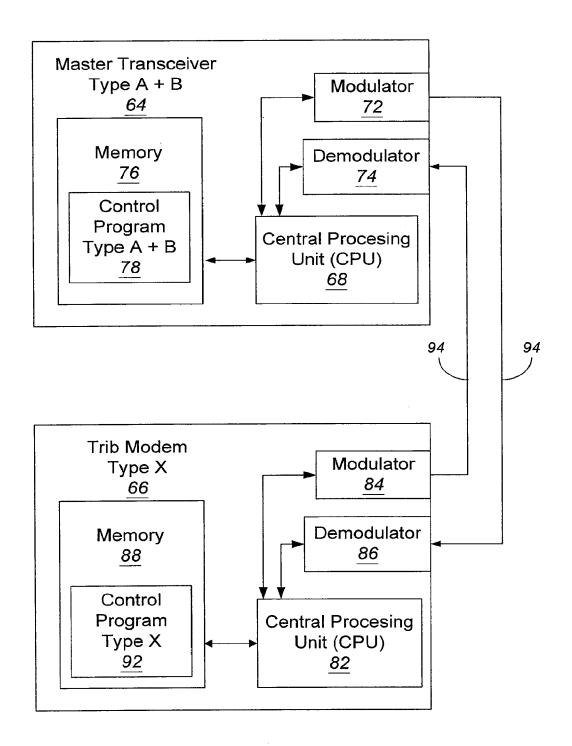


FIG. 3

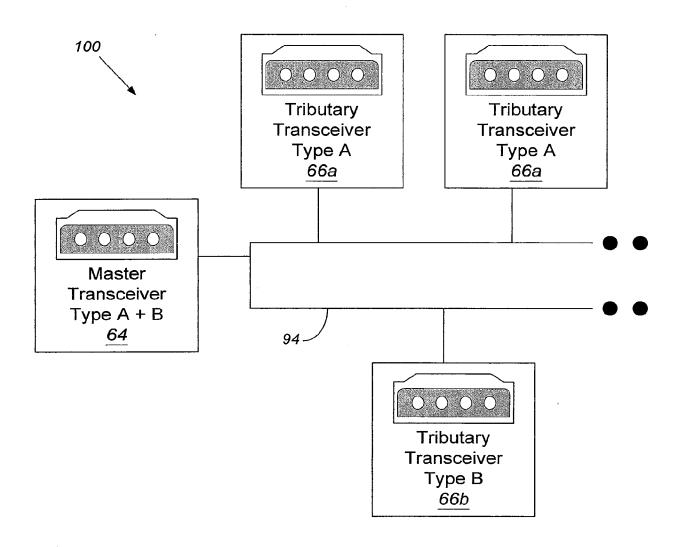
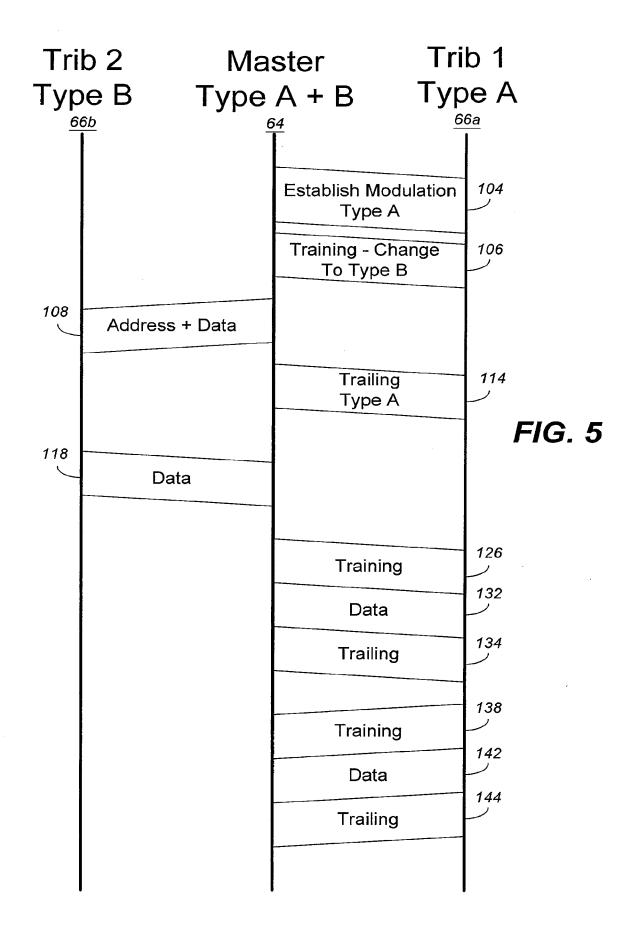


FIG. 4



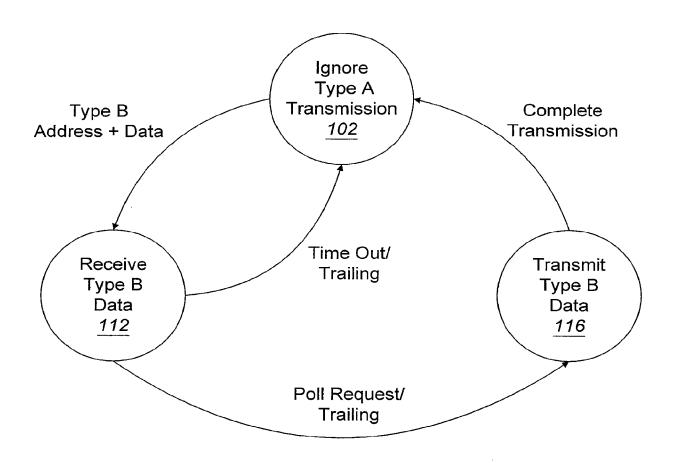


FIG. 6

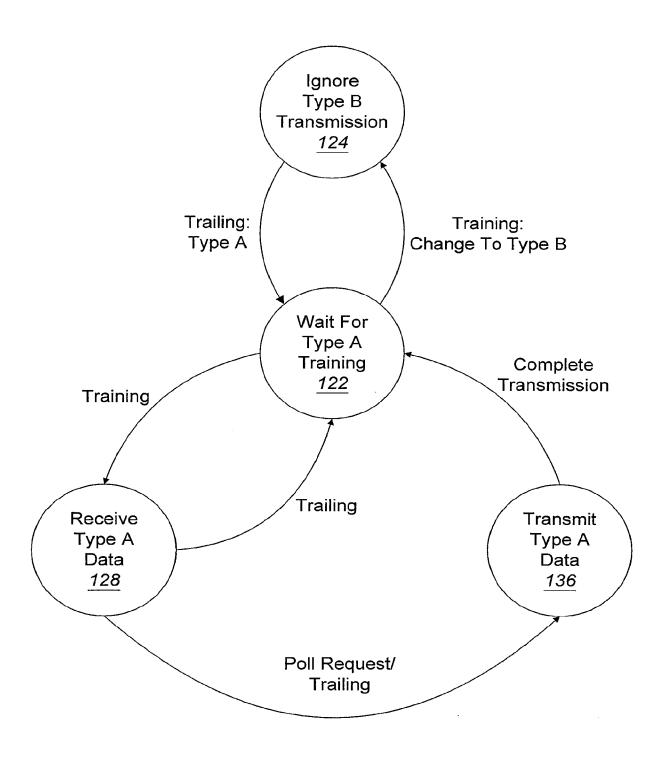
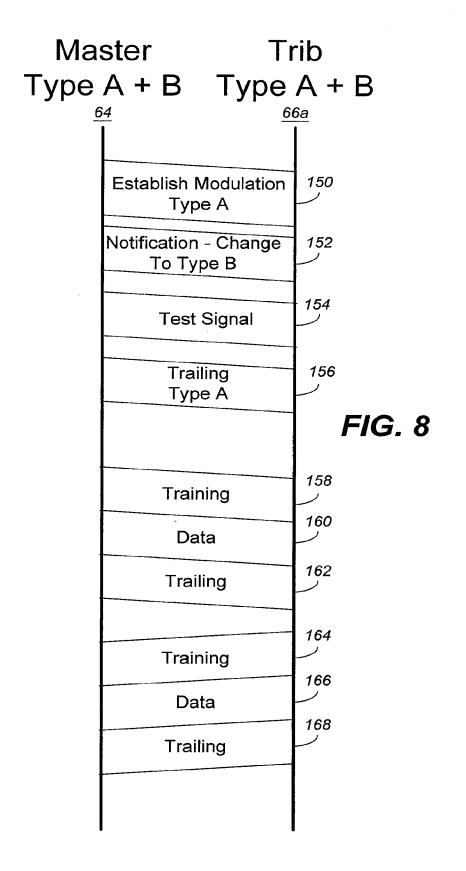


FIG. 7



DOCKET NO.: REMB-0109 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon Bremer

For: System And Method Of Communication Via Embedded Modulation

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

AUTHORIZATION TO TREAT A REPLY AS INCORPORATING AN EXTENSION OF TIME UNDER C.F.R. §1.136(a)(3)

The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account No. 23-3050.

Date: August 19, 2009 /Michael A. Koptiw/ Michael A. Koptiw

Registration No. 57,900

SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED MODULATION

CROSS REFERENCE TO RELATED APPLICATION

[0001] This application is a continuation of U.S. Application No. 11/774,803, filed on July 9, 2007, which is a continuation of U.S. Application No. 10/412,878, filed April 14, 2003, which is a continuation-in-part of U.S. Application No. 09/205,205, filed December 4, 1998, and which claims priority to and the benefit of the filing date of U.S. Provisional Application No. 60/067,562, filed December 5, 1997, each of which is incorporated by reference herein.

TECHNICAL FIELD

[0002] The present invention relates generally to the fields of data communications and modulator/demodulators (modems), and, more particularly, to a data communications system in which a plurality of modulation methods are used to facilitate communication among a plurality of modem types.

BACKGROUND

[0003] In existing data communications systems, a transmitter and receiver modem pair can successfully communicate only when the modems are compatible at the physical layer. That is, the modems must use compatible modulation methods. This requirement is generally true regardless of the network topology. For example, point- to-point, dial-up modems operate in either the industry standard V.34 mode or the industry standard V.22 mode. Similarly, in a multipoint architecture, all modems operate, for example, in the industry standard V.27bis mode.

While the modems may be capable of using several different modulation methods, a single common modulation is negotiated at the beginning of a data session to be used throughout the duration of the session. Should it become necessary to change modulation methods, the existing data session is torn down, and a new session is negotiated using the new modulation method. Clearly, tearing down an existing data session causes a significant disruption in communication between the two modems.

[0004] As discussed in the foregoing, communication between modems is generally unsuccessful unless a common modulation method is used. In a point-to-point network architecture, if a modem attempts to establish a communication session with an incompatible modem, one or both of the modems will make several attempts to establish the communication link until giving up after a timeout period has expired or the maximum number of retry attempts has been reached. Essentially, communication on the link is impossible without replacing one of the modems such that the resulting modem pair uses a common modulation method.

[0005] In a multipoint architecture, a single central, or "master," modem communicates with two or more tributary or "trib" modems using a single modulation method. If one or more of the trib modems are not compatible with the modulation method used by the master, those tribs will be unable to receive communications from the master. Moreover, repeated attempts by the master to communicate with the incompatible trib(s) will disturb communications with compatible trib(s) due to time wasted in making the futile communication attempts.

[0006] Thus, communication systems comprised of both high performance and low or moderate performance applications can be very cost inefficient to construct. For example, some applications (e.g., internet access) require high performance modulation, such as quadrature amplitude modulation (QAM), carrier amplitude and phase (CAP) modulation, or discrete multitone (DMT) modulation, while other applications (e.g., power monitoring and control) require only modest data rates and therefore a low performance modulation method. All users in the system will generally have to be equipped with a high performance modem to ensure modulation compatibility. These state of the art modems are then run at their lowest data rates for those applications that require relatively low data throughput performance. The replacement of inexpensive modems with much more expensive state of the art devices due to modulation compatibility imposes a substantial cost that is unnecessary in terms of the service and performance to be delivered to the end user.

[0007] Accordingly, what is sought, and what is not believed to be provided by the prior art, is a system and method of communication in which multiple modulation methods are

used to facilitate communication among a plurality of modems in a network, which have heretofore been incompatible.

SUMMARY

The present invention is generally directed to a system and method of [8000]communication between a master transceiver and a plurality of tributary transceivers in a multipoint communication system in which the tributary transceivers use different types of modulation methods. Broadly stated, the communication system includes a master transceiver in communication with a first tributary transceiver and a second tributary transceiver over a communication medium. The first tributary transceiver uses a primary modulation method for communication while the second tributary transceiver uses a secondary or embedded modulation method for communication. The master transceiver and tributary transceivers each include a processor, memory, and control logic for controlling their operation. While the primary modulation method is normally used for transmissions on the communication medium, the master transceiver can communicate with the second tributary transceiver by notifying the first tributary transceiver that the primary modulation method is being temporarily replaced by the secondary or embedded modulation method. The master transceiver can then exchange information with the second tributary transceiver while the first tributary transceiver ignores any secondary modulation transmissions. In the meantime, the first tributary transceiver conditions itself to look for a trailing sequence from the master transceiver indicating that communication with the second tributary transceiver is complete. When the master transceiver transmits the trailing sequence using the primary modulation method, the first tributary transceiver conditions itself to look for primary modulation transmissions while the second tributary transceiver conditions itself to ignore primary modulation transmissions.

- [0009] The present invention has many advantages, a few of which are delineated hereafter as merely examples.
- [0010] One advantage of the present invention is that it provides to the use of a plurality of modern modulation methods on the same communication medium.
- [0011] Another advantage of the present invention is that a master transceiver can communicate seamlessly with tributary transceivers or modems using incompatible modulation methods.
- [0012] Another advantage of the present invention is that a master and tributary transceiver can calculate a channel parameter using a test signal sent using embedded modulation.

[0013] Other features and advantages of the present invention will become apparent to one with skill in the art upon examination of the following drawings and detailed description. It is intended that all such additional features and advantages be included herein within the scope of the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

- [0014] The present invention can be better understood with reference to the following drawings. The components and representations in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present invention. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.
- [0015] FIG. 1 is a block diagram of a prior art multipoint communication system including a master transceiver and a plurality of tributary transceivers;
- [0016] FIG. 2 is a ladder diagram illustrating the operation of the multipoint communication system of FIG. 1;
- [0017] FIG. 3 is a block diagram of a master transceiver and tributary transceiver for use in the multipoint communication system of FIG. 1 in accordance with the principles of the present invention;
- [0018] FIG. 4 is a block diagram of a multipoint communication system including the master transceiver and a plurality of tributary transceivers of the type illustrated in FIG. 3;
- [0019] FIG. 5 is a ladder diagram illustrating the operation of the multipoint communication system of FIG. 4;
- [0020] FIG. 6 is a state diagram for a tributary transceiver of FIGS. 3-5 using a secondary modulation method in accordance with the principles of the present invention;
- [0021] FIG. 7 is a state diagram for a tributary transceiver of FIGS. 3-5 using a primary modulation method in accordance with the principles of the present invention; and
- [0022] FIG. 8 is a ladder diagram illustrating the operation of an alternative embodiment of the multipoint communication system of FIG. 4.

DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

[0023] While the invention is susceptible to various modifications and alternative forms, a specific embodiment thereof is shown by way of example in the drawings and will herein be described in detail. It should be understood, however, that there is no intent to limit the

invention to the particular form disclosed, but on the contrary, the invention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the claims.

[0024] With reference to FIG. 1, a prior art multipoint communication system 22 is shown to comprise a master modem or transceiver 24, which communicates with a plurality of tributary modems (tribs) or transceivers 26-26 over communication medium 28. Note that all tribs 26-26 are identical in that they share a common modulation method with the master transceiver 24. Thus, before any communication can begin in multipoint system 22, the master transceiver and the tribs 26-26 must agree on a common modulation method. If a common modulation method is found, the master transceiver 24 and a single trib 26 will then exchange sequences of signals that are particular subsets of all signals that can be communicated via the agreed upon common modulation method. These sequences are commonly referred to as training signals and can be used for the following purposes: 1) to confirm that the common modulation method is available, 2) to establish received signal level compensation, 3) to establish time recovery and/or carrier recovery, 4) to permit channel equalization and/or echo cancellation, 5) to exchange parameters for optimizing performance and/or to select optional features, and 6) to confirm agreement with regard to the foregoing purposes prior to entering into data communication mode between the users. In a multipoint system, the address of the trib with which the master is establishing communication is also transmitted during the training interval. At the end of a data session a communicating pair of modems will typically exchange a sequence of signals known as trailing signals for the purpose of reliably stopping the session and confirming that the session has been stopped. In a multipoint system, failure to detect the end of a session will delay or disrupt a subsequent session.

[0025] Referring now to FIG. 2, an exemplary multipoint communication session is illustrated through use of a ladder diagram. This system uses polled multipoint communication protocol. That is, a master controls the initiation of its own transmission to the tribs and permits transmission from a trib only when that trib has been selected. At the beginning of the session, the master transceiver 24 establishes a common modulation as indicated by sequence 32 that is used by both the master 24 and the tribs 26a, 26b for communication. Once the modulation scheme is established among the modems in the multipoint system, The master transceiver 24 transmits a training sequence 34 that includes the address of the trib that the master seeks to communicate with. In this case, the training sequence 34 includes the address of trib 26a. As a result, trib 26b ignores training sequence 34. After completion of the training sequence 34, master transceiver 24 transmits data 36 to trib 26a followed by trailing sequence 38, which

signifies the end of the communication session. Note that trib 26b ignores data 36 and trailing sequence 38 as it was not requested for communication during training sequence 34.

[0026] At the end of trailing sequence 38, trib 26a transmits training sequence 42 to initiate a communication session with master transceiver 24. Because master transceiver 24 selected trib 26a for communication as part of training sequence 34, trib 26a is the only modem that will return a transmission. Thus, trib 26a transmits data 44 destined for master transceiver 24 followed by trailing sequence 46 to terminate the communication session.

[0027] The foregoing procedure is repeated except master transceiver identifies trib 26b in training sequence 48. In this case, trib 26a ignores the training sequence 48 and the subsequent transmission of data 52 and trailing sequence 54 because it does not recognize its address in training sequence 48. Master transceiver 24 transmits data 52 to trib 26b followed by trailing sequence 54 to terminate the communication session. To send information back to master transceiver 24, trib 26b transmits training sequence 56 to establish a communication session. Master transceiver 24 is conditioned to expect data only from trib 26b because trib 26b was selected as part of training sequence 48. Trib 26b transmits data 58 to master transceiver 24 terminated by trailing sequence 62.

[0028] The foregoing discussion is based on a two-wire, half-duplex multipoint system. Nevertheless, it should be understood that the concept is equally applicable to four-wire systems.

[0029] Consider the circumstance in which master transceiver 24 and trib 26b share a common modulation type A while trib 26a uses a second modulation type B. When master transceiver attempts to establish A as a common modulation during sequence 32, trib 26a will not be able to understand that communication. Moreover, trib 26a will not recognize its own address during training interval 34 and will therefore ignore data 36 and trailing sequence 38. Master transceiver 24 may time out waiting for a response from trib 26a because trib 26a will never transmit training sequence 42, data 44, and trailing sequence 46 due to the failure of trib 26a to recognize the communication request (training sequence 34) from master transceiver 24. Thus, if the tribs in a multipoint communication system use a plurality of modulation methods, the overall communication efficiency will be disrupted as specific tribs will be unable to decipher certain transmissions from the master transceiver and any unilateral transmission by a trib that has not been addressed by the master transceiver will violate the multipoint protocol.

[0030] As discussed hereinbefore, however, it is desirable to design a multipoint communication system comprising tribs that use a plurality of modulation methods. For example, one moderately priced trib may be used to communicate at a relatively high data rate for some applications, such as Internet access, while another, lower priced, trib is used to communicate at

a lower data rate for other applications, such as power monitoring and control. The needs of these different applications cannot be efficiently met by a single modulation. While it is possible to use high performance tribs running state of the art modulation methods such as QAM, CAP, or DMT to implement both the high and low data rate applications, significant cost savings can be achieved if lower cost tribs using low performance modulation methods are used to implement the lower data rate applications.

[0031] A block diagram of a master transceiver 64 in communication with a trib 66 in accordance with the principles of the present invention is shown in FIG. 3. Master transceiver 64 comprises a central processing unit (CPU) 68 in communication with modulator 72, demodulator 74, and memory 76. Memory 76 holds software control program 78 and any data necessary for the operation of master transceiver 64. Control program 78 includes logic for implementing a plurality of modulation methods. For purposes of illustration, control program 78 can implement both a type A and a type B modulation through modulator 72 and demodulator 74.

[0032] Trib 66 comprises CPU 82 in communication with modulator 84, demodulator 86, and memory 88. Memory 88, likewise holds software control program 92 and any data necessary for the operation of trib 66. Control programs 78 and 92, are executed by CPUs 68 and 82 and provide the control logic for the processes to be discussed herein. Control program 92 includes logic for implementing a particular modulation method, which, for purposes of illustration, is called type X. Inasmuch as master transceiver 64 is capable of running either a type A or a type B modulation method, type X refers to one of those two modulation methods. The master transceiver 64 communicates with trib 66 over communication medium 94.

[0033] Referring now to FIG. 4, a multipoint communication system 100 is shown comprising a master transceiver 64 along with a plurality of tribs 66-66. In this example, two tribs 66a-66a run a type A modulation method while one trib 66b runs a type B modulation method. The present invention permits a secondary or embedded modulation method (e.g., type B) to replace the standard modulation method (e.g., type A) after an initial training sequence. This allows the master transceiver 64 to communicate seamlessly with tribs of varying types.

[0034] The operation of multipoint communication system 100 will be described hereafter with reference to the ladder diagram of FIG. 5 and the state diagrams of FIGS. 6 and 7. A communication session between the master transceiver 64 and a type B trib 66b will be discussed first. A state diagram for a type B trib 66b is shown in FIG. 6. Type B trib 66b is initialized in state 102 in which type A modulation transmissions are ignored. In the present example, the primary modulation method is type A, thus, as shown in FIG. 5, master transceiver 64 establishes type A as the primary modulation in sequence 104. Note that because trib 66b

responds only to type B modulation transmissions, only the type A tribs 66a-66a are receptive to transmission sequence 104.

[0035] To switch from type A modulation to type B modulation, master transceiver 64 transmits a training sequence 106 to type A tribs 66a in which these tribs are notified of an impending change to type B modulation. The switch to type B modulation could be limited according to a specific time interval or for the communication of a particular quantity of data. After notifying the type A tribs 66a of the change to type B modulation, master transceiver 64, using type B modulation, transmits data along with an address in sequence 108, which is destined for a particular type B trib 66b. The type B trib 66b targeted by the master transceiver 64 will transition to state 112 as shown in FIG. 6 upon detecting its own address where it processes the data transmitted in sequence 108.

[0036] After completing transmission sequence 108, master transceiver 64 transmits a trailing sequence 114 using type A modulation thus notifying all type A tribs 66a that type B modulation transmission is complete. If master transceiver 64 has not transmitted a poll request to the type B trib 66b in sequence 108, then the type B trib 66b that was in communication with the master transceiver 64 will return to state 102 after timing out based on the particular time interval defined for the type B modulation transmission or transfer of the particular quantity of data. Note that the trailing sequence 114 is ineffective in establishing the termination of a communication session between master transceiver 64 and a type B trib 66b because the trailing sequence is transmitted using type A modulation.

[0037] If, however, master transceiver 64 transmitted a poll request in sequence 108, then the type B trib 66b transitions to state 116 where it will transmit data, using type B modulation, to master transceiver 64 in sequence 118. After completion of this transmission, the type B trib 66b returns to state 102 where type A transmissions are ignored.

[0038] With reference to FIG. 5 and FIG. 7, a communication session between the master transceiver 64 and a type A trib 66a will now be discussed. A state diagram for a type A trib 66a is shown in FIG. 7. A type A trib 66a is initialized in state 122 in which it awaits a type A modulation training sequence. If, however, master transceiver transmits a training sequence in which the type A tribs 66a-66a are notified of a change to type B modulation as indicated by sequence 106, then a transition is made to state 124 where all type B transmissions are ignored until a type A modulation trailing sequence (e.g., sequence 114) is detected. Upon detecting the type A trailing sequence, a type A trib 66a returns to state 122 where it awaits a training sequence.

- [0039] To initiate a communication session with a type A trib 66a, master transceiver 64 transmits a training sequence 126 in which an address of a particular type A trib 66a is identified. The identified type A trib 66a recognizes its own address and transitions to state 128 to receive data from master transceiver 64 as part of sequence 132.
- **[0040]** After completing transmission sequence 132, master transceiver 64 transmits a trailing sequence 134 using type A modulation signifying the end of the current communication session. If master transceiver 64 has not transmitted a poll request to the type A trib 66a in sequence 132, then the type A trib 66a that was in communication with the master transceiver 64 will return to state 122 after receiving trailing sequence 134.
- [0041] If, however, master transceiver 64 transmitted a poll request in sequence 132, then the type A trib 66a transitions to state 136 after receiving trailing sequence 134 where it will transmit training sequence 138, followed by data sequence 142, and terminated by trailing sequence 144 all using type A modulation. After completion of these transmissions, the type A trib 66a returns to state 122 to await the next type A modulation training sequence by master transceiver 64.
- [0042] In an alternative embodiment of the present invention, embedded modulations can be used as a way to measure transmission line characteristics between a master transceiver and tributary transceiver, as shown in FIG. 8. In this embodiment, both a master transceiver 64 and a tributary transceiver 66a would have the ability to transmit using at least two modulation methods, type A and type B. In the present example, the primary transmission type is type A. Thus, as shown in FIG. 8, the master transceiver 64 establishes type A as the primary modulation in sequence 150.
- [0043] To switch from type A to type B modulation, master transceiver 64 transmits a notification sequence 152 to the tributary 66a. Thus, the tributary 66a is notified of an impending change to modulation type B. The switch to type B modulation could be limited according to a specific time interval or for the communication of a particular quantity of data, such as a test signal. After notifying the tributary 66a of the change to type B modulation, the master transceiver 64, transmits a test signal sequence 154 using type B modulation.
- [0044] In this embodiment, the tributary transceiver can contain logic which enables the tributary 66a to calculate at least one channel parameter from the test signal sequence 154. Channel parameters typically include transmission line characteristics, such as, for example, loss versus frequency, non-linear distortion, listener echoes, talker echoes, bridge tap locations, impedance mismatches, noise profile, signal-to-noise ratio, group delay versus frequency, cross-

talk presence, cross-talk type, etc. Moreover, the tributary transceiver 66a could be configured to communicate a channel parameter back to the master transceiver 64.

[0045] After transmitting the test signal sequence 154 to the tributary transceiver 66a, the master transceiver 64 can transmit a trailing sequence 156 to the tributary transceiver 66a using type A modulation to indicate the end of the transmission using type B modulation. The master transceiver 64 can then send information to the tributary transceiver 66a using primary modulation type A, as shown by training, data and trailing sequences 158, 160 and 162. Likewise, the tributary transceiver 66a can send information to the master transceiver 64 using primary modulation type A, as shown by training, data and trailing sequences 164, 166 and 168.

[0046] In a further alternative embodiment, the master transceiver 64 or tributary transceiver 66a may identify a time period within which test signal sequences may be transmitted. This would eliminate the training and trailing sequences which alert the tributary transceiver 66a to the beginning of a new modulation method. The identification of the time period could be initiated by the master transceiver 64 or tributary transceiver 66a and could include a time period noted in the header of a transmission between the tributary transceiver 66a and master transceiver 64.

[0047] The control programs 78 and 92 of the present invention can be implemented in hardware, software, firmware, or a combination thereof. In the preferred embodiment(s), the control programs 78 and 92 are implemented in software or firmware that is stored in a memory and that is executed by a suitable instruction execution system.

[0048] The control programs 78 and 92, which comprise an ordered listing of executable instructions for implementing logical functions, can be embodied in any computer-readable medium for use by or in connection with an instruction execution system, apparatus, or device, such as a computer-based system, processor-containing system, or other system that can fetch the instructions from the instruction execution system, apparatus, or device and execute the instructions. In the context of this document, a "computer-readable medium" can be any means that can contain, store, communicate, propagate, or transport the program for use by or in connection with the instruction execution system, apparatus, or device. The computer readable medium can be, for example but not limited to, an electronic, magnetic, optical, electromagnetic, infrared, or semiconductor system, apparatus, device, or propagation medium. More specific examples (a nonexhaustive list) of the computer-readable medium would include the following: an electrical connection (electronic) having one or more wires, a portable computer diskette (magnetic), a random access memory (RAM) (magnetic), a read-only memory (ROM) (magnetic), an erasable programmable read-only memory (EPROM or Flash memory)

(magnetic), an optical fiber (optical), and a portable compact disc read-only memory (CDROM) (optical). Note that the computer-readable medium could even be paper or another suitable medium upon which the program is printed, as the program can be electronically captured, via for instance optical scanning of the paper or other medium, then compiled, interpreted or otherwise processed in a suitable manner if necessary, and then stored in a computer memory.

[0049] In concluding the detailed description, it should be noted that it will be obvious to those skilled in the art that many variations and modifications can be made to the preferred embodiment without substantially departing from the principles of the present invention. All such variations and modifications are intended to be included herein within the scope of the present invention, as set forth in the following claims. Further, in the claims hereafter, the corresponding structures, materials, acts, and equivalents of all means or step plus function elements are intended to include any structure, material, or acts for performing the functions with other claimed elements as specifically claimed.

What is Claimed:

1. A communication system, comprising:

a transmitter capable of transmitting at least two modulation methods, wherein the at least two modulation methods comprise a first modulation method and a second modulation, wherein the second method is different than the first modulation method, and wherein the first transceiver is configured to transmit

a first sequence, in the first modulation method, that indicates an impending change from the first modulation method to the second modulation method, and

a second sequence, in the second modulation method, wherein the second sequence is transmitted after the first data sequence.

- 2. The system of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.
- 3. The system of claim 1, wherein first modulation method is a frequency shift keying modulation.
- 4. The system of claim 3, wherein the second modulation method is a shift keying modulation.
- 5. The system of claim 1, wherein the second modulation method is different than the first modulation method in performance.
- 6. The system of claim 5, wherein the first modulation method has a lower performance than the second modulation method.
- 7. The system of claim 1, wherein the second modulation method is different than the first modulation method in data rate.
- 8. The system of claim 7, wherein the first modulation method has a lower data rate than the second modulation method.

- 9. The system of claim 1, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 10. The system of claim 1, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 11. The system of claim 1, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transmitter to transmit the first sequence and the second sequence.
- 12. The system of claim 11, wherein the memory has stored therein program code for the first modulation method and the second modulation method.
- 13. The system of claim 11, wherein the memory comprises random access memory.
- 14. The system of claim 11, wherein the memory comprises read-only memory.
- 15. The device of claim 11, wherein the memory has stored therein program code for a multipoint communications protocol.
- 16. The system of claim 1, wherein the first sequence comprises an address.
- 17. The system of claim 1, wherein the first sequence and the second sequence are contained in a burst transmission.
- 18. The system of claim 17, wherein the burst transmission is a poll in accordance with a multipoint communications protocol.
- 19. A communications device, comprising:
 - a processor; and
- a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of first data with a first modulation method followed by second data with a second modulation method, wherein the first modulation method is different than the second modulation method, and wherein the first data comprises an

indication of an impending change from the first modulation method to the second modulation method.

- 20. The device of claim 19, wherein the executable instructions direct transmission of third data with the first modulation method after the second data, wherein the third data indicates that communication has reverted to the first modulation method.
- 21. The device of claim 19, wherein first modulation method is a frequency shift keying modulation.
- 22. The device of claim 21, wherein second modulation method is a shift keying modulation.
- 23. The device of claim 19, wherein the second modulation method is different than the first modulation method in performance.
- 24. The device of claim 23, wherein the first modulation method has a lower performance than the second modulation method.
- 25. The device of claim 19, wherein the second modulation method is different than the first modulation method in data rate.
- 26. The device of claim 25, wherein the first modulation method has a lower data rate than the second modulation method.
- 27. The device of claim 19, wherein transmission of the second data is according to a specific time interval.
- 28. The device of claim 19, wherein transmission of the second data is according to a particular quantity of data.
- 29. The device of claim 19, further comprising transmitter configured to transmit the first data and the second data.
- 30. The device of claim 19, wherein the memory has stored therein program code for the first modulation method and the second modulation method.

- 31. The device of claim 19, wherein the memory comprises random access memory.
- 32. The device of claim 19, wherein the memory comprises read-only memory.
- 33. The device of claim 19, wherein the memory has stored therein program code for a multipoint communications protocol.
- 34. The device of claim 19, wherein the first data comprises an address.
- 35. The device of claim 19, wherein the transmission of the first data and the second data is a burst transmission.
- 36. The device of claim 35, wherein the burst transmission is a poll in accordance with a multipoint communications protocol.
- 37. A device, comprising:
 - a first modulation logic;
 - a second modulation logic that is different than the first modulation logic; and
- a transceiver adapted to use the first modulation logic and the second modulation logic, wherein the transceiver is configured to transmit:
 - a first sequence, in accordance with the first modulation logic, that indicates a change from the first modulation logic to the second modulation logic, and
 - a second sequence, in accordance with the second modulation logic, that follows the first data sequence.
- 38. The device of claim 37, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation logic and indicates that communication has reverted to the first modulation logic.
- 39. The device of claim 37, wherein first modulation logic uses a frequency shift keying modulation.
- 40. The device of claim 39, wherein the second modulation logic uses a shift keying modulation.

- 41. The device of claim 37, wherein the second modulation logic is different than the first modulation logic in performance.
- 42. The device of claim 41, wherein the first modulation logic has a lower performance than the second modulation logic.
- 43. The device of claim 37, wherein the second modulation logic is different than the first modulation logic in data rate.
- 44. The device of claim 43, wherein the first modulation logic result in a lower data rate than the second modulation logic.
- 45. The device of claim 37, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 46. The device of claim 37, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 47. The device of claim 37, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transmitter to transmit the first sequence and the second sequence.
- 48. The device of claim 47, wherein the memory comprises random access memory.
- 49. The device of claim 47, wherein the memory comprises read-only memory.
- 50. The device of claim 47, wherein the memory has stored therein program code for a multipoint communications protocol.
- 51. The device of claim 37, wherein the first sequence comprises an address.
- 52. The device of claim 37, wherein the first sequence and the second sequence are contained in a burst transmission.

- 53. The device of claim 52, wherein the burst transmission is a poll in accordance with a multipoint communications protocol.
- 54. The device of claim 37, wherein the first modulation logic is hardware.
- 55. The device of claim 37, wherein the first modulation logic is firmware.
- 56. The device of claim 37, wherein the second modulation logic is hardware.
- 57. The device of claim 37, wherein the second modulation logic is firmware.

58. A method, comprising:

transmitting, from a transmitter, a first sequence of a burst transmission segment, wherein the first sequence is modulated according to a first modulation method;

indicating in the first sequence that a second sequence of the burst transmission segment will use a second modulation method, wherein the second modulation method is different from the first modulation method; and

transmitting the second sequence of the burst transmission segment, wherein the second sequence follows the first sequence and wherein the second sequence is modulated according to the second modulation method.

- 59. The method of claim 58, further comprising transmitting a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.
- 60. The method of claim 58, wherein the first modulation method is a frequency shift keying modulation.
- 61. The method of claim 60, wherein the second modulation method is a shift keying modulation.
- 62. The method of claim 58, wherein the second modulation method is different than the first modulation logic in performance.

- 63. The method of claim 62, wherein the first modulation method has a lower performance than the second modulation method.
- 64. The method of claim 58, wherein the second modulation method is different than the first modulation method in data rate.
- 65. The method of claim 64, wherein the first modulation method has a lower data rate than the second modulation method.
- 66. The method of claim 58, wherein transmitting the second sequence comprises transmitting the second sequence according to a specific time interval.
- 67. The method of claim 58, wherein transmitting the second sequence comprises transmitting the second sequence according to a particular quantity of data.
- 68. The method of claim 58, further comprising storing, in a memory, program code for a multipoint communications protocol.
- 69. The method of claim 58, wherein the first sequence comprises an address.
- 70. The method of claim 58, wherein the first sequence and the second sequence are contained in poll transmission.
- 71. The method of claim 70, wherein the poll transmission is in accordance with a multipoint communications protocol.
- 72. A computer-readable storage medium having computer instructions stored thereon that when executed by a processor cause the processor to direct actions, comprising:

transmitting, from a transmitter, a first sequence of a burst transmission segment, wherein the first sequence is modulated according to a first modulation method;

indicating in the first sequence that a second sequence of the burst transmission segment will use a second modulation method, wherein the second modulation method is different from the first modulation method; and transmitting the second sequence of the burst transmission segment, wherein the second sequence follows the first sequence and wherein the second sequence is modulated according to the second modulation method.

- 73. The computer-readable storage medium of claim 72, wherein the actions further comprise transmitting a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.
- 74. The computer-readable storage medium of claim 72, wherein the first modulation method is a frequency shift keying modulation.
- 75. The computer-readable storage medium of claim 74, wherein the second modulation method is a shift keying modulation.
- 76. The computer-readable storage medium of claim 72, wherein the second modulation method is different than the first modulation logic in performance.
- 77. The computer-readable storage medium of claim 76, wherein the first modulation method has a lower performance than the second modulation method.
- 78. The computer-readable storage medium of claim 72, wherein the second modulation method is different than the first modulation method in data rate.
- 79. The computer-readable storage medium of claim 78, wherein the first modulation method has a lower data rate than the second modulation method.
- 80. The computer-readable storage medium of claim 72, wherein transmitting the second sequence comprises transmitting the second sequence according to a specific time interval.
- 81. The computer-readable storage medium of claim 72, wherein transmitting the second sequence comprises transmitting the second sequence according to a particular quantity of data.
- 82. The computer-readable storage medium of claim 72, further comprising fetching, from a memory, program code for a multipoint communications protocol.

- 83. The computer-readable storage medium of claim 72, wherein the first sequence comprises an address.
- 84. The computer-readable storage medium of claim 72, wherein the first sequence and the second sequence are contained in poll transmission.
- 85. The computer-readable storage medium of claim 72, wherein the poll transmission is in accordance with a multipoint communications protocol.
- 86. A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to establish a first modulation method for communication; second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method; and

third logic configured to transmit information in said second modulation method.

- 87. The computer-readable storage medium of claim 86, further comprising fourth logic configured to transmit a second sequence after the information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.
- 88. The computer-readable storage medium of claim 86, wherein first modulation method is a frequency shift keying modulation.
- 89. The computer-readable storage medium of claim 88, wherein the second modulation method is a shift keying modulation.
- 90. The computer-readable storage medium of claim 86, wherein the second modulation method is different than the first modulation method in performance.
- 91. The computer-readable storage medium of claim 90, wherein the first modulation method has a lower performance than the second modulation method.

- 92. The computer-readable storage medium of claim 86, wherein the second modulation method is different than the first modulation method in data rate.
- 93. The computer-readable storage medium of claim 92, wherein the first modulation method has a lower data rate than the second modulation method.
- 94. The computer-readable storage medium of claim 86, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 95. The computer-readable storage medium of claim 86, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 96. The computer-readable storage medium of claim 11, further comprising program code for the first modulation method and the second modulation method.
- 97. The computer-readable storage medium of claim 11, further comprising program code for a multipoint communications protocol.
- 98. The computer-readable storage medium of claim 1, wherein the first sequence comprises an address.
- 99. The computer-readable storage medium of claim 1, wherein the first sequence and the information are contained in a burst transmission.
- 100. The computer-readable storage medium of claim 17, wherein the burst transmission is a poll in accordance with a multipoint communications protocol.

ABSTRACT

A single subscriber line multi-point communication system is disclosed. In general, the multi-point communication system can include a first transceiver coupled to a subscriber line capable of transmitting and receiving at least two modulation methods, either of said modulation methods being operable to transmit a test signal, and a second transceiver coupled to said subscriber line capable of transmitting and receiving said at least two modulation methods, the second transceiver being operable to receive the test signal and determine at least one channel parameter from the test signal. A master transceiver that can be used in various embodiments of a single subscriber line multi-point communication system, and a tributary transceiver are further disclosed.

Filing Date:

08/19/09

Approved for use through 7/31/2006, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	AF	PLICATION		LED – PART Column 1)	(Column 2)	s	MALL I	ENTITY	OR	OTHEF SMALL	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Paten and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/543 910	08/19/2009	2611	5910	REMB-0109	100	6

CONFIRMATION NO. 8306

FILING RECEIPT

OC00000037590310

23377 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

Date Mailed: 09/08/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Gordon Bremer, Clearwater, FL;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 11/774,803 07/09/2007 which is a CON of 10/412,878 04/14/2003 PAT 7,248,626 which is a CIP of 09/205,205 12/04/1998 PAT 6,614,838 which claims benefit of 60/067,562 12/05/1997

Foreign Applications

If Required, Foreign Filing License Granted: 08/31/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/543.910**

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System and Method of Communication Via Embedded Modulation

Preliminary Class

375

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23377

United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

2929 ARCH STREET

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE **REMB-0109**

12/543,910

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR

PHILADELPHIA, PA 19104-2891

08/19/2009

Gordon Bremer

CONFIRMATION NO. 8306

FORMALITIES LETTER



Date Mailed: 09/08/2009

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this notice.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a non-small entity

• \$130 Surcharge.

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Office of Data Management, Application Assistance Unit (571)) 272-4000.	or (571)) 272-4200,	or 1-888	3-786-0101

DOCKET NO.: REMB-0109 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

12/543,910 Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611

Filing Date: August 19, 2009 Examiner: To be assigned

For: System and Method of Communication Via Embedded Modulation

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

 \boxtimes

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after

DOCKET NO.: REMB-0109 - 2 the filing of request for continued examination under § 1.114, no additional fee is required. In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore: Certification in Accordance with § 1.97(e) is attached; or The fee of \$180.00 as set forth in \$1.17(p) is attached. In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p). Copies of reference numbers listed on the attached Form PTO-1449 are enclosed herewith. \boxtimes Copies of reference numbers 1 - 22 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(ii). Copies of references are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed , for which a claim for priority under 35 U.S.C. § 120 has been made in the

instant application;

DOCKET NO.: REMB-0109

- 3
There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 10/23/2009

/Michael A. Koptiw/
Michael A. Koptiw
Registration No. 57,900

WOODCOCK WASHBURN LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

0 L (1) 4 C 4440/DT0				Complete if Known			
Substitute for 1	1449/PTO			Application Number	12/543910		
		DISCLOS		Filing Date	August 19, 2009		
STA	TEMENT E	BY APPLIC	CANT	First Named Inventor	Gordon Bremer		
				Art Unit	2611		
	(use as many she	ets as necessary)		Examiner Name	To be assigned		
Sheet	1	of	2	Attorney Docket Number	REMB-0109		

	U. S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number Number – Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Page, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	1	3970926	7/20/1996	Rigby et al.				
	2	4091422	5/23/1978	Amster				
	3	4630286	12/16/1986	Betts				
	4	5050536	9/24/1991	Baker				
	5	5537398	7/16/1996	Siwiak				
	6	5540456	7/30/1996	Meier-Burkamp et al.				
	7	5548222	8/20/1996	Jensen et al.				
	8	5563883	10/8/1996	Cheng				
	9	5577087	11/19/1996	Furuya				
	10	5793800	8/11/1998	Jylha et al.				
	11	5936949	8/10/1999	Pasternak et al.				
	12	5999563	12/7/1999	Polley et al.				
	13	6021158	2/1/2000	Schurr et al.				
	14	6067297	5/23/2000	Beach				
	15	6072779	6/6/2000	Tzannes et all.				
	16	6212227	4/3/2001	Ko et al.				
	17	6348986	2/19/2002	Doucet et al.				
	18	6445733	9/3/2002	Zuranski et al.				
	19	6614838	9/2/2003	Bremer				
	20	6671328	12/30/2003	Poon et al.				
	21	7006445	2/28/2006	Cole et al.				
	22	7170867	1/30/2007	O'Toole et al.				

Examiner	Date	
Signature	Considered	

O hall to fan 4	440/DTO			Complete if Known			
Substitute for 1	449/PTO			Application Number	12/543910		
		DISCLOS		Filing Date	August 19, 2009		
STA	LEMENT E	BY APPLIC	ANT	First Named Inventor	Gordon Bremer		
				Art Unit	2611		
	(use as many she	ets as necessary)		Examiner Name	To be assigned		
Sheet	2	of	2	Attorney Docket Number	REMB-0109		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No	Foreign Patent Document Country Code- Number -Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Volume-issue Number(s), publisher, city and/or country where published.	Т			

Examiner	Date	
Signature	Considered	

Electronic Acknowledgement Receipt					
EFS ID:	6318625				
Application Number:	12543910				
International Application Number:					
Confirmation Number:	8306				
Title of Invention:	System and Method of Communication Via Embedded Modulation				
First Named Inventor/Applicant Name:	Gordon Bremer				
Customer Number:	23377				
Filer:	Michael Koptiw Jr./Janis Calvo				
Filer Authorized By:	Michael Koptiw Jr.				
Attorney Docket Number:	REMB-0109				
Receipt Date:	23-OCT-2009				
Filing Date:	19-AUG-2009				
Time Stamp:	12:33:42				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	INFORMATION_DISCLOSURE_S	328808	no	5
· · ·	Filed (SB/08)	TATEMENT.pdf	ebb7cc2a06e8ff085b6d14bbbbde1f2abeb 284ab		

Warnings:

Information: Page 54 of 432

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

Under the Paperwo	ork Reduction Act of 1995. r	no persons are required to respond to a c			J.S. DEPARTMENT OF COMMERCE displays a valid OMB control number.
		Application Number	12/543,91	0	
TRAN	NSMITTAL	Filing Date	08-19-200	9	
F	FORM	First Named Inventor	Gordon Br	remer	
		Art Unit	2611		
(to be used for all co	rrespondence after initial fili	Examiner Name	Not Yet As	ssigned	
		Attorney Docket Number	REMB-010	 09	
Total Number of Page	es in This Submission				
		ENCLOSURES (Check a	II that apply	<u></u>	
Fee Transmitta	al Form	Drawing(s)			I Communication to TC
Fee At	tached	Licensing-related Papers		of App	eals and Interferences
Amendment/R	Reply	Petition Petition to Convert to a			l Communication to TC Il Notice, Brief, Reply Brief)
After F	inal	Petition to Convert to a Provisional Application		Proprie	etary Information
Affiday	vits/declaration(s)	Power of Attorney, Revocat Change of Correspondence		Status	Letter
Extension of T		Terminal Disclaimer		Other below)	Enclosure(s) (please Identify :
Express Aband	donment Request	Request for Refund		Executed De	claration of Gordon Bremer
Information Dis	sclosure Statement	CD, Number of CD(s)			
		Landscape Table on C	CD		
Certified Copy	of Priority	Remarks			
Document(s) Reply to Missi	na Parte/				
Incomplete Ap	plication				
	to Missing Parts 37 CFR 1.52 or 1.53				
	L SIGNAT	URE OF APPLICANT, ATT	ORNEY, C	OR AGENT	
Firm Name Wo	odcock Washburn LLP	·	,		
Signature /Mic	chael A. Koptiw/				
Printed name Mic	hael A. Koptiw				
Date Mar	rch 31, 2010		Reg. No.	57,900	
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	irst class mail in an enve	ing facsimile transmitted to the USP elope addressed to: Commissioner f			
Signature					
Typed or printed name	9			Date	

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	Splitterless Communication						
As the belo	ow named inventor(s), I/we declare that:						
This declara	ration is directed to:	The state of the s					
	The attached application, or	and the second s					
	Application No. 12/543,910 filed on A	ugust 19, 2009					
	As amended on	(if applicable);					
I/we believe sought;	e that I/we am/are the original and first inventor(s) of the subject ma	atter which is claimed and for which a patent is					
	reviewed and understand the contents of the above-identified applicant specifically referred to above;	ation, including the claims, as amended by any					
material to became av	owledge the duty to disclose to the United States Patent and Tradem or patentability as defined in 37 CFR 1.56, including for continuation-vailable between the filing date of the prior application and the non-in-part application.	in-part applications, material information which					
	WARNING:						
contribute to numbers (of the USPTO, per to the USP of the application of a patent referenced)	Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.						
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.							
	FULL NAME OF INVENTOR(S)						
Inventor on	Inventor one: Gordon F. Bremer Date: 3-30-10						
Signature:	facine to bramer	Citizen of: United States					
Inventor	v6:	Date:					
Signature:		Citizen of:					
Additi	ional inventors or a legal representative are being named on	additional form(s) attached hereto.					

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

•	
Application Number	12/543,910
Filing Date	08-19-2009
First Named Inventor	Gordon Bremer
Title	System and Method of Communic
Art Unit	2611
Examiner Name	Not Yet Assigned
Attorney Docket Number	REMB-0109

I hereby revoke all previous powers of attorney given in t	he above-identified application.						
A Power of Attorney is submitted herewith.							
OR I hereby appoint Practitioner(s) associated with the following Consumption (s) associated with the following Consumption (s) or agent(s) to prosecute the appoint (s) and to transact all business in the United State and Trademark Office connected therewith:	lication						
OR I hereby appoint Practitioner(s) named below as my/our attorned to transact all business in the United States Patent and Tradem	y(s) or agent(s) to prosecute the application identified above, and lark Office connected therewith:						
Practitioner(s) Name	Registration Number						
Please recognize or change the correspondence address							
The address associated with the above-mentioned Customer N OR	umber.						
The address associated with Customer Number: OR							
Firm or Individual Name							
Address							
City	State Zip						
Country	State Zip						
Telephone	Email						
am the: Applicant/Inventor.							
Assignee of record of the entire interest. See 37 CFR 3.71.	OR						
	nt or Assignee of Record						
Signature frame (Stames	Date 3-30-10						
Name Gordon Bremer	Telephone 727-656-6702						
Title and Company NOTE: Signatures of all the inventors or assignees of record of the entire interest.	st or their representative(s) are required. Submit multiple forms if more than one						
signature is required, see below*.	on on the representatively are required, dubing indupie forms a file man one						
*Total of forms are submitted.							

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number: 12543910						
Filing Date:	19	-Aug-2009				
Title of Invention:	System and Method of Communication Via Embedded Modulation					
First Named Inventor/Applicant Name:	Go	rdon Bremer				
Filer:	Michael Koptiw Jr./Darleen Yacovone					
Attorney Docket Number:	REMB-0109					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Late filing fee for oath or declaration 1051 1					130	
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time: Page 60 of 432						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 5 months with \$0 paid	1255	1	2350	2350
Miscellaneous:				
Total in USD (\$)			2480	

Electronic Acknowledgement Receipt			
EFS ID:	7330929		
Application Number:	12543910		
International Application Number:			
Confirmation Number:	8306		
Title of Invention:	System and Method of Communication Via Embedded Modulation		
First Named Inventor/Applicant Name:	Gordon Bremer		
Customer Number:	23377		
Filer:	Michael Koptiw Jr./Darleen Yacovone		
Filer Authorized By:	Michael Koptiw Jr.		
Attorney Docket Number:	REMB-0109		
Receipt Date:	31-MAR-2010		
Filing Date:	19-AUG-2009		
Time Stamp:	21:44:31		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$2480
RAM confirmation Number	7959
Deposit Account	233050
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing) feet 1432

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam	Missing_Parts_Transmittal_as_f	274101	no	2
·	Formalities Notice	iled_3_31_10.pdf	384b8af33e2ee4081cfe8465919873877084 1a19		
Warnings:					
Information:					
2	Oath or Declaration filed	Executed_Declaration_as_filed	123684	no	1
_		_3_31_10.pdf	af072e9d8f5c99a97c67c1a0a4c1b566346d d058	0	
Warnings:					
	the PDF is too large. The pages should be per and may affect subsequent processing		tted, the pages will be re	sized upon er	itry into the
Information:					
3	Power of Attorney	Executed_Power_of_Attorney_	105193	no	1
	,	as_filed_3_31_10.pdf	c030f77f4d8cc8b50a4a4f7578b7c09695cd 3609		
Warnings:					
	the PDF is too large. The pages should be per and may affect subsequent processing		tted, the pages will be re	sized upon er	itry into the
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	32191 e9b39706b1bef35e3a7fef5ffc47b3f597266 013	no	2
Warnings:			VI)		
Information:					
		Total Files Size (in bytes)	53	35169	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 WWW.18910.gov

	APPLICATION	FILING or	GRP ART				
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	12/543,910	08/19/2009	2611	6040	REMB-0109	100	6

23377 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 CONFIRMATION NO. 8306 UPDATED FILING RECEIPT



Date Mailed: 04/13/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Gordon F. Bremer, Clearwater, FL:

Power of Attorney: The patent practitioners associated with Customer Number 23377

Domestic Priority data as claimed by applicant

This application is a CON of 11/774,803 07/09/2007 PAT 7,675,965 which is a CON of 10/412,878 04/14/2003 PAT 7,248,626 which is a CIP of 09/205,205 12/04/1998 PAT 6,614,838 which claims benefit of 60/067,562 12/05/1997

Foreign Applications

If Required, Foreign Filing License Granted: 08/31/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/543,910**

Projected Publication Date: 07/22/2010

Non-Publication Request: No

Early Publication Request: No

Title

System and Method of Communication Via Embedded Modulation

Preliminary Class

375

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

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23377

WOODCOCK WASHBURN LLP

PHILADELPHIA, PA 19104-2891

CIRA CENTRE, 12TH FLOOR

2929 ARCH STREET

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/543,910 08/19/2009 Gordon F. Bremer

REMB-0109

CONFIRMATION NO. 8306
POA ACCEPTANCE LETTER



Date Mailed: 04/13/2010

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/31/2010.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/mbayou/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT Gordon F. Bremer

ATTY. DOCKET NO./TITLE **REMB-0109**

12/543,910

08/19/2009

CONFIRMATION NO. 8306

PUBLICATION NOTICE



23377 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

Title:System and Method of Communication Via Embedded Modulation

Publication No.US-2010-0183055-A1 Publication Date: 07/22/2010

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/543,910	08/19/2009	Gordon F. Bremer	REMB-0109 8306		
	23377 7590 09/01/2010 WOODCOCK WASHBURN LLP				
CIRA CENTRE	E, 12TH FLOOR	HA, DAC V			
2929 ARCH ST PHILADELPH	REET IA, PA 19104-2891		ART UNIT	PAPER NUMBER	
			2611		
			MAIL DATE	DELIVERY MODE	
			09/01/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

PTOL-90A (Rev. 04/07) Page 70 of 432

		Application N	lo.	Applicant(s)			
Office Action Commence		12/543,910		BREMER, GORDON F.			
Office Action	Examiner		Art Unit				
		Dac V. Ha		2611			
The MAILING DATE Period for Reply	of this communication app	ears on the co	ver sheet with the c	orrespondence ad	ldress		
after SIX (6) MONTHS from the ma - If NO period for reply is specified a - Failure to reply within the set or ex	R, FROM THE MAILING DA te under the provisions of 37 CFR 1.13 ailing date of this communication. bove, the maximum statutory period w tended period for reply will, by statute, ter than three months after the mailing	ATE OF THIS 36(a). In no event, h vill apply and will exp , cause the application	COMMUNICATION owever, may a reply be timple SIX (6) MONTHS from to become ABANDONEI	l. ely filed the mailing date of this α O (35 U.S.C. § 133).	,		
Status							
1) Responsive to comm	nunication(s) filed on <i>19 Ar</i>	ugust 2009					
2a) This action is FINAL	• • • • • • • • • • • • • • • • • • • •	action is non-	final.				
/—	n is in condition for allowar			secution as to the	e merits is		
•	e with the practice under <i>E</i>		•				
	- · · · · · · · · · · · · · · · · · · ·	,	,				
Disposition of Claims							
4)⊠ Claim(s) <u>1-100</u> is/are	☑ Claim(s) <u>1-100</u> is/are pending in the application.						
4a) Of the above clai	4a) Of the above claim(s) is/are withdrawn from consideration.						
5)☐ Claim(s) is/ar	e allowed.						
6)⊠ Claim(s) <u>19, 21-27,</u>	<u>29-35, 58, 60-66, 68-69, 72</u>	<u>2, 74-80, 83, 8</u>	<u>6, 88-94, 96-99</u> is/a	ire rejected.			
7)⊠ Claim(s) <u>1-18,20,28</u>	<u>,36-57,59,67,70,71,73,81,8</u>	84,85,87,95 ar	<u>d 100</u> is/are object	ed to.			
8) Claim(s) are	subject to restriction and/or	r election requ	irement.				
Application Papers							
9)☐ The specification is c	biected to by the Examine	r.					
10)⊠ The drawing(s) filed o			d or b)□ objected t	o bv the Examine	er.		
	uest that any objection to the						
	sheet(s) including the correcti				ER 1 121(d)		
<u> </u>	• •	•			, ,		
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 11	9						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 							
Attachment(s) 1) Notice of References Cited (PT 2) Notice of Draftsperson's Patent 3) Information Disclosure Statemer Paper No(s)/Mail Date	Drawing Review (PTO-948)	4) 5) 6)	Interview Summary Paper No(s)/Mail Da Notice of Informal Pa Other:	te			

Application/Control Number: 12/543,910

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DETAILED ACTION

Claim Objections

1. Claims 1-18, 37-57, 96-100 are objected to because of the following informalities:

Claim 1, line 9, "the first data sequence" should be changed to i.e. "a first data sequence" to avoid potential antecedent basis problem.

Similar problem exists in claim 37.

Claims 96-100 seem to have incorrect dependency (i.e. should be depending from claims 86 on).

Appropriate correction is required.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 19, 21, 23-27, 29, 34, 86, 88-94, 96 are rejected under 35 U.S.C. 102(b) as being anticipated by Siwiak (US 5,537,398).

Re claim 19, Siwiak discloses:

"a processor" (Fig. 6, elements 606, 610);

"transmission of first data with a first modulation method followed by second data with a second modulation method, wherein the first modulation method is different than

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the second modulation method, and wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method" in Fig. 2; col. 3, line 61 to col. 4, line 2; col. 4, lines 31-39; abstract.

Further, even though Siwiak does not explicitly disclose "a memory having stored therein executable instructions for execution by the processor", it should be inherent that the "processor" of Siwiak must have some associate memory with instruction information stored therein for execution by such processor.

Re claim 86, see similar subject matter in claim 19 above, wherein elements 606, 610 teach all first, second and third logic.

Re claim 21, Siwiak further discloses "wherein the first modulation method is a frequency shift keying modulation" in col. 6, lines 25-29.

Re claim 23, Siwiak further discloses "wherein the second modulation method is different than the first modulation method in performance" in col. 6, lines 23-29.

Re claim 24, Siwiak further discloses "wherein the first modulation method has a lower performance than the second modulation method" in col. 6, lines 23-29.

Re claim 25, Siwiak further discloses "wherein the second modulation method is different than the first modulation in data rate" in col. 6, lines 23-29.

Re claim 26, Siwiak further discloses "wherein the first modulation method has a lower data rate than the second modulation method" in col. 6, lines 23-29.

Re claim 27, Siwiak further discloses "wherein transmission of the second data is according to a specific time interval" in Fig. 2; col. 3, line 61 to col. 4, line 2.

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Re claim 29, Siwiak further discloses "transmitter configured to transmit the first data and the second data" in Fig. 5, 2; col. 3, lines 45-48; col. 4, lines 31-39.

Re claim 34, Siwiak further discloses "the first data comprises an address" in col. 4, lines 31-39; Fig. 2.

Re claims 88-94, 98, see similar claimed subject matter in claims 21-27, 34, respectively.

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 30-33, 96, 97 are rejected under 35 U.S.C. 103(a) as being unpatentable over Siwiak.

Re claim 30, Siwiak discloses all claimed subject matter of claim 30, as stated above, except for "the memory has stored therein program code for the first modulation method and the second modulation method". However, it would have been easily understood by one skilled the art that, for software implementation standpoint, the (associate) memory would have stored therein information in the form of program code for execution by the processor.

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Re claims 31-32, the claimed subject matter "random access memory" and "read-only memory" would have been easily realized by one skill in the art as application specific for the type of memory used.

Re claim 33, the claimed subject matter "the memory has stored therein program code for a multipoint communication protocol" would have been easily realized by one skilled in the art since Siwiak also directs to "multipoint communication" (Fig. 5).

Re claims 96, 97, see similar claimed subject matter in claims 30, 33 above, respectively.

6. Claims 22, 35, 58, 60-66, 68, 69, 72, 74-80, 82, 83 are rejected under 35 U.S.C. 103(a) as being unpatentable over Siwiak in view of Frodigh et al. (US 6,125,148) (hereafter Frodigh).

Re claim 58, Siwiak discloses "transmitting, from a transmitter, a first sequence" "transmission segment, wherein the first sequence is modulated according to a first modulation method; indicating in the first sequence that a second sequence of" "transmission segment will use a second modulation method, wherein the second modulation method is different from the first modulation method; and transmitting the second sequence of" "transmission segment, wherein the second sequence follows the first sequence and wherein the second sequence is modulated according to the second modulation method" in Fig. 1, 5, 6; Abstract; col. 3, line 19 to col. 4, line 2; col. 4, lines 31-39; col. 7, lines 33-37; col. 9, lines 25-44.

Siwiak differs from the claimed invention in that Siwiak does not discloses "burst".

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Frodigh, in the same field of endeavor, discloses communication between base station and mobile station wherein the system utilizes "burst" format (Fig. 3, 4; col. 7, line 48 to col. 8, line 5).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to incorporate the transmission of "burst" format, taught in Frodigh, into Siwiak and predictable result would have still been expected.

Re claim 35, see claim 58 above for "burst transmission" claimed subject matter.

Re claim 72, see corresponding method claim 58.

Re claims 22, 61, Siwiak discloses all claimed subject matter of claim 22, as stated above, except for "wherein second modulation method is a shift keying modulation". Frodigh, in the same field of endeavor, discloses communication system that supports multiple modulation methods (Abstract; col. 3, lines 56-67). Therefore, it would have been obvious to a person of ordinary skill in the at the time of the invention to incorporate the teaching of multiple modulation methods supported by the system, taught by Frodigh, into Siwiak, and predictable result can still be expected.

Re claim 60, Siwiak further discloses "wherein the first modulation method is a frequency shift keying modulation" in col. 6, lines 25-29.

Re claim 62, Siwiak further discloses "wherein the second modulation method is different than the first modulation method in performance" in col. 6, lines 23-29.

Re claim 63, Siwiak further discloses "wherein the first modulation method has a lower performance than the second modulation method" in col. 6, lines 23-29.

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Re claim 64, Siwiak further discloses "wherein the second modulation method is different than the first modulation in data rate" in col. 6, lines 23-29.

Re claim 65, Siwiak further discloses "wherein the first modulation method has a lower data rate than the second modulation method" in col. 6, lines 23-29.

Re claim 66, Siwiak further discloses "wherein transmission of the second data is according to a specific time interval" in Fig. 2; col. 3, line 61 to col. 4, line 2.

Re claim 68, the claimed subject matter "the memory has stored therein program code for a multipoint communication protocol" would have been easily realized by one skilled in the art since Siwiak also directs to "multipoint communication" (Fig. 5).

Re claim 69, Siwiak further discloses "the first data comprises an address" in col. 4, lines 31-39; Fig. 2.

Re claims 74-80, 82, 83, 99, see similar claimed subject matter of claims 60-66, 68, 69, 58, respectively.

Allowable Subject Matter

- 7. Claim 20, 28, 36, 59, 67, 70, 71, 73, 81, 84, 85, 87, 95, 100 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 8. Claims 1-18, 37-57 are allowed.

Conclusion

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

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Sridhar et al. (US 5,550,881)

Gilbert et al. (US 5,559,810)

Poon et al. (US 5,940,438)

Needham et al. (US 5,764,699)

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dac V. Ha whose telephone number is 571-272-3040. The examiner can normally be reached on 4/4.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Payne can be reached on 571-272-3024. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Dac V. Ha/ Primary Examiner, Art Unit 2611

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Notice of References Cited Application/Control No. 12/543,910 Examiner Dac V. Ha Applicant(s)/Patent Under Reexamination BREMER, GORDON F. Art Unit Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
*	В	US-5,764,699	06-1998	Needham et al.	375/261
*	C	US-5,940,438	08-1999	Poon et al.	375/222
*	D	US-5,559,810	09-1996	Gilbert et al.	714/704
*	Е	US-5,550,881	08-1996	Sridhar et al.	375/377
*	F	US-6,125,148	09-2000	Frodigh et al.	375/261
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Index of Claims 12543910 Examiner Dac V Ha Applicant(s)/Patent Under Reexamination BREMER, GORDON F. Art Unit 2611

✓	Rejected	-	Cancelled	1	١	Non-Elected	Α	Appeal
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Index of Claims 12543910 Examiner Dac V Ha Applicant(s)/Patent Under Reexamination BREMER, GORDON F. Art Unit 2611

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12543910	BREMER, GORDON F.
	Examiner	Art Unit
	Dac V Ha	2611

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Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12543910	BREMER, GORDON F.
Examiner	Art Unit
Dac V Ha	2611

	SEARCHED									
Class	Subclass	Date	Examiner							
375	261, 269, 285, 222, 298, 302, 305, 308	8/13/2010	DH							
455	102, 110	8/13/2010	DH							
332	108, 119, 120, 151	8/13/2010	DH							

SEARCH NOTES		
Search Notes	Date	Examiner
BRS and Inventor's search	8/13/2010	DH

	INTERFERENCE SEARCH						
Class	Subclass	Date	Examiner				
	PGPUB text search	8/13/2010	DH				

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BIB DATA SHEET

CONFIRMATION NO. 8306

SERIAL NUM	IBER	FILING O	or 371(c)		CLASS	GRO	OUP ART	UNIT	ATTC	RNEY DOCKET NO.	
12/543,91	0	08/19/			375		2611			REMB-0109	
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EAST Search History

EAST Search History (Prior Art)

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp	
S11	1 "6445733".pn. and ((test\$3 adj signal) with (noise interference antenuat\$4 character\$5 condition fad\$3 distortion))		USPAT	OR	ON	2009/02/03 14:35	
S12	5111 ((test\$3 adj signal) with (noise interference antenuat \$4 character\$5 condition fad \$3 distortion))		US-PGPUB; USPAT	OR	ON	2009/02/03 14:37	
S13	19	S12 with ((error near1 ratio) ber)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:38	
S14	4	S13 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 14:38	
S15	4	S14 and (test\$3 near1 signal)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:41	
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S17	33	S12 same ((error near1 ratio) ber)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:45	
S18	8	S17 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 14:45	
S20	113	(test\$3 adj signal) with ((error near1 ratio) ber)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:48	
S21	15	S20 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 14:49	
S22	11	S21 not (S14 S18)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:49	
S23	97	(test\$3 adj signal) with (data adj rate)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:59	
S24	130	(test\$3 adj signal) with ((transmi\$6 data) adj rate)	US-PGPUB; USPAT	OR	ON	2009/02/03 14:59	
S25	201	(test\$3 adj signal) with ((transmi\$6 data frame symbol bit) adj rate)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:00	
S26	47	S25 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 15:00	
S27	1	S22 and S25	US-PGPUB; USPAT	OR	ON	2009/02/03 15:01	
S28	26	S23 and S26	US-PGPUB; USPAT	OR	ON	2009/02/03 15:02	

S29	6176	(channel adj (parameter character\$6 condition)) with ((rate ratio impedance power))	US-PGPUB; USPAT	OR	ON	2009/02/03 15:05
S30	0	S29 with S25	US-PGPUB; USPAT	OR	ON	2009/02/03 15:05
S31	0	S29 same S25	US-PGPUB; USPAT	OR	ON	2009/02/03 15:06
S32	1392	S29 with (data adj rate)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:06
S33	50	S32 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 15:06
S36	85	S29 with (impedance)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:08
S38	6	S29 with (impedance adj (match\$3 mismatch\$3))	US-PGPUB; USPAT	OR	ON	2009/02/03 15:09
S40	10	S29 same (impedance adj (match\$3 mismatch\$3))	US-PGPUB; USPAT	OR	ON	2009/02/03 15:10
S41	2	S40 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 15:10
S42	102	S29 with (power adj (dissipat \$4 consum\$5))	US-PGPUB; USPAT	OR	ON	2009/02/03 15:13
S47	3	S36 same (test\$3 adj signal)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:35
S49	716	(channel adj (parameter character\$6 condition)) with ((noise near1 ratio))	US-PGPUB; USPAT	OR	ON	2009/02/03 15:43
S51	5	S49 same (test\$3 adj signal)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:43
S54	7803	(cross adj talk) with (noise interference)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:52
S55	262	S54 same ((subscriber adj line) \$2dsl)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:53
S57	1785	(channel adj (parameter character\$6 condition estimat \$4)) with ((feed\$3 adj back) feedback)	US-PGPUB; USPAT	OR	ON	2009/02/03 15:59
S58	95	S57 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 15:59
S60	131	S57 same (\$2dsl modem transceiv\$3)	US-PGPUB; USPAT	OR	ON	2009/02/03 16:02
S62	6251	((feed\$3 adj back) feedback) with ((central adj office) (base adj station) master)	US-PGPUB; USPAT	OR	ON	2009/02/03 16:12
S63	255	S57 same S62	US-PGPUB; USPAT	OR	ON	2009/02/03 16:13
S64	3	S63 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2009/02/03 16:13

S68	1	"20080013608"	US-PGPUB; USPAT; USOCR	OR	OFF	2009/02/03 17:32
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S75	105	(gordon near1 bremer).in.	US-PGPUB; USPAT	OR	ON	2009/02/03 18:10
S84	106	(gordon near1 bremer).in.	US-PGPUB; USPAT	OR	ON	2009/10/08 15:50
S86	1	poon.in. and (dac near1 ha)	USPAT	OR	OFF	2010/08/03 15:22
\$87	21	("4425665" "4931250" "5349635" "5367563" "5491832" "5533004" "5537398" "5550881" "5557634" "5559810" "5577087" "5602868" "5655003" "5671253" "5717471" "5764699" "5872810" "5940438" "5982819" "6037835" "6208663").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/08/03 15:22
S88	113	(gordon near1 bremer).in.	US-PGPUB; USPAT; USOCR	OR	ON	2010/08/03 15:42
S89	41	S88 and modulat\$5.clm.	US-PGPUB; USPAT; USOCR	OR	ON	2010/08/03 15:43
S90	125714	(plural\$5 multi\$5 among differen\$4) near1 (modulat\$4 cod\$4)	US-PGPUB; USPAT	OR	ON	2010/08/03 15:59
S91	81473	S90 and (modulat\$4 cod\$4). clm.	US-PGPUB; USPAT	OR	ON	2010/08/03 15:59
S92	24337	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4 cod\$4) near1 (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/03 16:02
S93	6900	S91 and S92	US-PGPUB; USPAT	OR	ON	2010/08/03 16:02
S94	651	(plural\$5 multi\$5 among differen\$4) near1 (modulat\$4 cod\$4).ab. and S93	US-PGPUB; USPAT	OR	ON	2010/08/03 16:02
S95	278	S94 and "375"/\$.ccls.	US-PGPUB; USPAT	OR	ON	2010/08/03 16:03
S96	25	S95 and 375/295.ccls.	US-PGPUB; USPAT	OR	ON	2010/08/03 16:03

S97	1	S96 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/03 16:04
S98	2	("7675965" "248626" "6614838").pn.	USPAT	OR	OFF	2010/08/06 13:56
S99	3	("7675965" "7248626" "6614838").pn.	USPAT	OR	OFF	2010/08/06 13:56
S100	22	("5537398").URPN.	USPAT	OR	OFF	2010/08/06 14:22
S101	8	("6671328").URPN.	USPAT	OR	OFF	2010/08/06 14:22
S102	7	("4866395" "5982819" "6181734" "6192070" "6359934" "6452964" "6671328").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/08/06 14:26
S103	8	("6804309").URPN.	USPAT	OR	OFF	2010/08/06 14:26
S104	125899	(plural\$5 multi\$5 among differen\$4) near1 (modulat\$4 cod\$4)	US-PGPUB; USPAT	OR	ON	2010/08/06 14:27
S105	11	S100 and S104	US-PGPUB; USPAT	OR	ON	2010/08/06 14:27
S106	125899	(plural\$5 multi\$5 among differen\$4) near1 (modulat\$4 cod\$4)	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S107	81583	S106 and (modulat\$4 cod\$4). clm.	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S108	24381	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4 cod\$4) near1 (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S109	6916	S107 and S108	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S110	653	(plural\$5 multi\$5 among differen\$4) near1 (modulat\$4 cod\$4).ab. and S109	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S111	278	S110 and "375"/\$.ccls.	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S112	41	S111 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/06 14:31
S113	2500	(plural\$5 multi\$5 among\$3 differen\$4) near1 (modulat \$4).ab.	US-PGPUB; USPAT	OR	ON	2010/08/06 16:16
S114	2500	((plural\$5 multi\$5 among\$3 differen\$4) near1 modulat \$4).ab.	US-PGPUB; USPAT	OR	ON	2010/08/06 16:16

S115	9963	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4) near1 (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/06 16:17
S116	232	S114 and S115	US-PGPUB; USPAT	OR	ON	2010/08/06 16:17
S117	28	S116 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/06 16:17
S118	113	(gordon near1 bremer).in.	US-PGPUB; USPAT; USOCR	OR	ON	2010/08/06 16:30
S119	41	S118 and modulat\$5.clm.	US-PGPUB; USPAT; USOCR	OR	ON	2010/08/06 16:30
S120	0	S116 and S119	US-PGPUB; USPAT; USOCR	OR	ON	2010/08/06 16:30
S121	39538	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4) with (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/06 16:31
S122	14	S119 and S121	US-PGPUB; USPAT	OR	ON	2010/08/06 16:31
S123	421	S114 and S121	US-PGPUB; USPAT	OR	ON	2010/08/06 16:39
S124	72	S123 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/06 16:39
S125	2500	((plural\$5 multi\$5 among\$3 differen\$4) near1 modulat \$4).ab.	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S126	9963	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4) near1 (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S127	232	S125 and S126	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S128	28	S127 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S129	39538	(identif\$5 indicat\$5 notif\$6 inform\$4 ask\$3 let\$4) with ((modulat\$4) with (method scheme technique level type))	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S130	421	S125 and S129	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S131	72	S130 not @ad>="19971205"	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04

S132	44	S131 not S128	US-PGPUB; USPAT	OR	ON	2010/08/07 08:04
S133	15	S132 and ("375"/\$.ccls. "455"/\$.ccls.)	US-PGPUB; USPAT	OR	ON	2010/08/07 08:13
S134	0	S133 not S133	US-PGPUB; USPAT	OR	ON	2010/08/07 08:20
S135	29	S132 not S133	US-PGPUB; USPAT	OR	ON	2010/08/07 08:21
S136	1	"5537398".pn. and memory	USPAT	OR	ON	2010/08/12 17:06
S137	1	"5537398".pn. and burst	USPAT	OR	ON	2010/08/12 17:39
S138	1	"6125148".pn. and burst	USPAT	OR	ON	2010/08/12 17:40

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12543910 - GAU: 2611

Receipt date: 10/23/2009 12543

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Substitute for	1449/PTO			Application Number	12/543910	
INFO	RMATION	DISCLOS	URE	Filing Date	August 19, 2009	
STA	TEMENT E	BY APPLIC	ANT	First Named Inventor	Gordon Bremer	
				Art Unit	2611	
	(use as many she	ets as necessary)		Examiner Name	To be assigned	
Sheet	1	of	2	Attorney Docket Number	REMB-0109	

U. S. PATENT DOCUMENTS Examiner Cite No. Document Number Publication Date Name of Patentee or Page. Columns. Lines.									
Examiner Initials	Initials Number – Kind Code (if known)			Name of Patentee or Applicant of Cited Document	Page, Columns, Lines Where Relevant Passages or Relevar Figures Appear				
/D.H./	1	3970926	7/20/1996	Rigby et al.					
888	2	4091422	5/23/1978	Amster					
888	3	4630286	12/16/1986	Betts					
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00000	7	5548222	8/20/1996	Jensen et al.					
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Examiner	/Dac Ha/	Date	08/03/2010
Signature	/Dao Ha/	Considered	00/03/2010

Receipt date: 10/23/2009

Substitute for 1449/PTO				Complete if Known		
				Application Number	12/543910	
INFO	RMATION	DISCLOS	SURE	Filing Date	August 19, 2009	
STATEMENT BY APPLICANT				First Named Inventor	Gordon Bremer	
				Art Unit	2611	
(use as many sheets as necessary)				Examiner Name	To be assigned	
Sheet	2	of	2	Attorney Docket Number	REMB-0109	

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Examiner Initials	Cite No	Foreign Patent Document Country Code- Number -Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Volume-issue Number(s), publisher, city and/or country where published.	Т

Examiner	/Dac Ha/	Date	08/03/2010
Signature	/Dac na/	Considered	00/03/2010

DOCKET NO.: REMB-0109 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon F. Bremer Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611

Filing Date: August 19, 2009 Examiner: Dac V. Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

MODULATION

Filed Via EFS

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

IDS Filed Under 37 CFR 1.97(b)

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

IDS filed Under 37 CFR 1.97(c) ■

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

	Certification in Accordance with § 1.97(e) is attached; or
\boxtimes	The fee of \$180.00 as set forth in § 1.17(p) is attached.

DOCKET NO.: REMB-0109 PATEN	DOCKET NO.	.: REMB-0109	PATENT
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IDS filed Under 37 CFR 1.97(d)

In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of §180.00 as set forth in § 1.17(p).

CONTENT OF IDS PURSUANT TO 37 CFR 1.98

\boxtimes	Copies of reference numbers 1-220 listed on the attached Form PTO-1449 are not required to
	be submitted pursuant to 37 CFR § 1.98(a)(2)(iii).

- Copies of reference numbers 221-252 listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of reference numbers are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.
- The month of publication for reference numbers 223-226, 230, 232-241, 245-247, 249-252 is not available. However, the year of publication for these references is sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue pursuant to 37 CFR § 1.98(b).

REFERENCES IN A LANGUAGE OTHER THAN ENGLISH

The following documents are not in the English language. Accordingly, a concise explanation of the relevance of the document was incorporated in the specification passages identified below, the document was identified in a foreign communication as identified below or an English language counterpart application has been provided as indicated below.

Foreign Language Document	Cite No.	Pages of Reference in Specification or Relevance of Document

DOCKET NO.: REMB-0109 PATENT

Foreign Language Document	Cite No.	English Language Counterpart	Cite No.

☐ CERTIFICATION IN ACCORDANCE WITH § 1.97(e) I hereby certify that: ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filling of this information disclosure statement. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. Date: 3/1/2011 /Michael A. Koptiw/

Michael A. Koptiw Registration No. 57,900

WOODCOCK WASHBURN LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100

Facsimile: (215) 568-3439

Electronic Patent Application Fee Transmittal					
Application Number:	12543910				
Filing Date:	19-	Aug-2009			
Title of Invention:		System and Method of Communication Via Embedded Modulation			
First Named Inventor/Applicant Name: Gordon F. Bremer					
Filer: Michael Koptiw Jr./Summer Uchin					
Attorney Docket Number: REMB-0109					
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt					
EFS ID:	9562863				
Application Number:	12543910				
International Application Number:					
Confirmation Number:	8306				
Title of Invention:	System and Method of Communication Via Embedded Modulation				
First Named Inventor/Applicant Name:	Gordon F. Bremer				
Customer Number:	23377				
Filer:	Michael Koptiw Jr./Summer Uchin				
Filer Authorized By:	Michael Koptiw Jr.				
Attorney Docket Number:	REMB-0109				
Receipt Date:	01-MAR-2011				
Filing Date:	19-AUG-2009				
Time Stamp:	16:53:40				
Application Type:	Utility under 35 USC 111(a)				
Payment information:					

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3746
Deposit Account	233050
Authorized User	

File Listing:

Document Number Document Description File Name	File Size(Bytes)/ Multi Pages Message Digest Page 19 (12 pg 4 3 (if appl.)
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		Total Files Size (in bytes):	. 4	5063	
Information					
Warnings:					
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2	Fee Worksheet (PTO-875)	fee-info.pdf	30403	no	2
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1 Transmittal Letter	PDF	74a1f1026c27923045c13077d62042adb98 2e4bf	no	3	
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Substitute for 1449/PTO		Complete if Known			
Substitute for 1	1449/PTO			Application Number	12/543,910
	-	DISCLOS	-	Filing Date	August 19, 2009
STAT	STATEMENT BY APPLICANT			First Named Inventor	Gordon F. Bremer
				Art Unit	2611
(use as many sheets as necessary)				Examiner Name	Dac V. Ha
Sheet	1	of	11	Attorney Docket Number	REMB-0109

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Examiner	Cite No.	Document Number	Publication or Grant Date	Name of Patentee or Applicant of Cited Document			
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Examiner	Date	
Signature	Considered	

Out at the face	Substitute for 1449/PTO			Complete if Known		
Substitute for 1	1449/PTO			Application Number	12/543,910	
INFORMATION DISCLOSURE				Filing Date	August 19, 2009	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Gordon F. Bremer	
				Art Unit	2611	
(use as many sheets as necessary)				Examiner Name	Dac V. Ha	
Sheet	2	of	11	Attorney Docket Number	REMB-0109	

U. S. PUBLICATION AND PATENT DOCUMENTS							
Examiner	Cite No.	Document Number	Publication or Grant Date	Name of Patentee or Applicant of Cited Document			
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Examiner	Date	
Signature	Considered	

Out at the target	Substitute for 1449/PTO			Complete if Known		
Substitute for 1	1449/PTO			Application Number	12/543,910	
INFORMATION DISCLOSURE				Filing Date	August 19, 2009	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Gordon F. Bremer	
				Art Unit	2611	
(use as many sheets as necessary)				Examiner Name	Dac V. Ha	
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U. S. PUBLICATION AND PATENT DOCUMENTS						
Examiner	Cite No.	Document Number	Publication or Grant Date	Name of Patentee or Applicant of Cited Document		
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Examiner	Date	
Signature	Considered	

Out at the face	Substitute for 1449/PTO			Complete if Known		
Substitute for 1	1449/PTO			Application Number	12/543,910	
		DISCLOS		Filing Date	August 19, 2009	
STAT	LEMENT E	BY APPLIC	CANT	First Named Inventor	Gordon F. Bremer	
				Art Unit	2611	
	(use as many sheets as necessary)			Examiner Name	Dac V. Ha	
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	-	DISCLOS	-	Filing Date	August 19, 2009	
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Examiner	Cite No.	Document Number	Publication or Grant Date	Name of Patentee or Applicant of Cited Document	
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Examiner	Cite No.	Document Number	Publication or Grant Date	Name of Patentee or Applicant of Cited Document	
Initials	Cite No.	Number – Kind Code (if known)	MM-DD-YYYY	Name of Patentee of Applicant of Offed Document	
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Examiner	Date	
Signature	Considered	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon F. Bremer Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611

Filing Date: August 19, 2009 Examiner: Dac V. Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

MODULATION

Filed Via EFS

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

IDS Filed Under 37 CFR 1.97(b)

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

IDS filed Under 37 CFR 1.97(c)

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

Certification in Accordance with § 1.97(e) is attached; or
The fee of \$180.00 as set forth in \$ 1.17(p) is attached.

DOCKET NO.: REMB-0109 PATEN	DOCKET NO.	.: REMB-0109	PATENT
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IDS filed Under 37 CFR 1.97(d)

In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of §180.00 as set forth in § 1.17(p).

CONTENT OF IDS PURSUANT TO 37 CFR 1.98

	Copies of reference numbers 1-220 listed on the attached Form PTO-1449 are not required to
	be submitted pursuant to 37 CFR § 1.98(a)(2)(iii).

- Copies of reference numbers 221-252 listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of reference numbers are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.
- The month of publication for reference numbers 223-226, 230, 232-241, 245-247, 249-252 is not available. However, the year of publication for these references is sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue pursuant to 37 CFR § 1.98(b).

REFERENCES IN A LANGUAGE OTHER THAN ENGLISH

The following documents are not in the English language. Accordingly, a concise explanation of the relevance of the document was incorporated in the specification passages identified below, the document was identified in a foreign communication as identified below or an English language counterpart application has been provided as indicated below.

Foreign Language Document	Cite No.	Pages of Reference in Specification or Relevance of Document

Foreign Language Document	Cite No.	English Language Counterpart	Cite No.

П **CERTIFICATION IN ACCORDANCE WITH § 1.97(e)** I hereby certify that: Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. Date: 3/1/2011 /Michael A. Koptiw/ Michael A. Koptiw

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Electronic Acknowledgement Receipt		
EFS ID:	9560134	
Application Number:	12543910	
International Application Number:		
Confirmation Number:	8306	
Title of Invention:	System and Method of Communication Via Embedded Modulation	
First Named Inventor/Applicant Name:	Gordon F. Bremer	
Customer Number:	23377	
Filer:	Michael Koptiw Jr./Summer Uchin	
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:

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8	NPL Documents	SpecofBluetoothSystem_Core_	5700526	no	1082
	= =	1999_1-1082.PDF	56e8d81768c0c14d25b4cf9c40da0abc51e 04526		
Warnings:		ı			1
Information:					
			2485132		
9	NPL Documents	Specof Blueto oth System_Profile s_1999_1-440.PDF	aecfc6a7161ea9867096c58aebe1cf4a156e	no	440
Warnings:			a06f		<u> </u>
Information:					
	10 NPL Documents Chorafas_Telephony_1984_8p -		1978205		
10		836b1fb1a18d2637292d97047d93ad3b0e 767320	no	8	
Warnings:		1	707320		<u> </u>
Information:			Pag	ge 116 of 4	132

11	NPL Documents	Erickson_OptionsPresentMultili	145700	no	20
		ngula Text_1997_20pgs.PDF	7c2053227b91e3c755ccd0160276f9e6290 14453		
Warnings:					
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12	NPL Documents	Freeman_TelecommSysEngin_	445458	no	2
12	W 2 Documents	1980_2pgs.PDF	d4da42b2532d2f474674e50b5778a5f9e97 70ca7	110	_
Warnings:					
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13	NPL Documents	Goodman_RadioAmateursHan	906642	no	6
	W 2 Documents	dbook_1965_6pgs.PDF	60742dbbad3b5dc274b8a1d9721c157c75 49e87d	110	
Warnings:					
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14	NPL Documents	Green_RTTY_Handbook_1972_	816870	no	6
14	NI E Documents	6pgs.PDF	380aef70a3c0d6ae0bc613b32a3c78b6b13 0c98b	no	0
Warnings:					
Information:					
15	NPL Documents	IEEE_InformationTechnology_1	4378895	no 2	466
		997_466pgs.PDF	df95057117696a4132b81fbae1fb8c63ee12 cbd9		400
Warnings:					
Information:					
16	NPL Documents	Jurgen_DigitalConsumerElecH	855184	no	5
10	NFL Documents	andbookl_1997_5pgs.PDF	d1dd9416977c31c114908b18503c15c6c72 ddd0f		
Warnings:					•
Information:					
17	NPL Documents	Kuecken_TalkingComputersan	1341889		6
17	NFL Documents	dTelecomms_1983_6pgs.PDF	c29b9c8251b9442a1cdb0f613ae3aa7f0df8 4371	no	6
Warnings:					
Information:					
10	NDI Dogumento	Margulies_SCSABook_1993_4p	521298		4
10	18 NPL Documents gs.PD		7123ee4011ef14969ea491b08fbc52c14c1	no	4
Warnings:		·	-		
Information:					
19	NPL Documents	Martin_TelecommsandComput er_1976_15pgs.PDF	1615390 	no	15
Warnings:		I	1950		<u> </u>
Information:			Pag	ge 117 of 4	132

20	NPL Documents	Mazda_ElectrEngineerRefBook	1422534	no	5
		_1983_5pgs.PDF	88a019dd568508a59603991651a35f37893 58ed9	3	
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21	NPL Documents	NewtonsTelecomDictionary_19	2225645	no	9
21	W E Documents	94_9pgs.PDF	326d966ee1bc8ed48cf08d28b75ba6c8f6cf 6080	110	
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22	NPL Documents	Pallott_WirelessCommsTechGr	241345	no	5
22	W E Documents	oup_5pgs.PDF	3c92c905b9324701fe4b0553ea255c1885d 6d8a1	110	
Warnings:					•
Information:					
22	AIDL D	DTTV ADDI 1055 7	666980		7
23	NPL Documents	RTTY-ARRL_1955_7pgs.PDF	1bafbc009dc9e5138fa9f1d673c0a81876a6 256e	no	7
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Information:					
24	NPL Documents	RTTY-ARRL_1955_2pgs.PDF	256494	no	2
24		NTTT-MNNL_1933_2pgs.FUF	1d20157b04da2bc93b9e301441cca3bb06 dd4382		2
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25	NPL Documents	Rzeszewski_IEEEPress_1983_5p gs.PDF	526955	no 2	_
25			23fe580e50b366660064dbeb3921d0f53e2 1e0dc		5
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27	AIDL D	Shrader_ElectronicComm_195	1146527		_
27	NPL Documents	9_6pgs.PDF	064520b4b7ce7185614aa37aa945fa0e8d0 3acd1	no •	6
Warnings:					
Information:					
28	NPL Documents	3GPPTechnologies_WebPage_ 2011_2pgs.PDF	64927 7bb054e1acefb7d8e071dd8a603a00f17f2d	no	2
Warnings:			f219		l
Information:			Pag	ge 118 of 4	132
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29	NPL Documents	Vilips_DataModemSelectionEv	345107	no	3
		aluation Guide_1972_3pgs.PDF	8de5a73561d9867d7182f507b316fe10e65 de282		
Warnings:					-
Information:					
30	NPL Documents	Wilson_ARRLHandbook_1986_	1754650	no	6
	THE DOCUMENTS	6pgs.PDF	2038dc869831db4af662f12aa26759d18cf9 f4b7	•	
Warnings:					
Information:					
31	NPL Documents	Wilson_ARRLHandbook_2008_	1163381	no	4
	.w 25 ocumento	4pgs.PDF	0598692bb9641deb61da85518b8ef786ad 1de10b		
Warnings:					
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32	NPL Documents	Wilson_ARRLHandbook_1986_	896933	no	3
32	W E Bocaments	2pgs.PDF	48383f1a7582e6a6ccbf129c261658eebd54 0a77		
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33	Information Disclosure Statement (IDS)	REMB-0109_IDS_1449_3-1-11.	80642	no	11
	Filed (SB/08)	PDF	4882cc3d48f1849d0d0977969304d2a0a29 f2e02	110	''
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34	Transmittal Letter	REMB-0109_IDS_Trans_3-1-11_	14719	no	3
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		Total Files Size (in bytes)	455	584638	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application No.: 12/543,910

Office Action Dated: September 1, 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Gordon Bremer

Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611
Filing Date: August 19, 2009 Examiner: Dac V Ha

For: System and Method of Communication Via Embedded Modulation

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REPLY PURSUANT TO 37 CFR § 1.111

In response to the Official Action dated **September 1, 2010**, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:

2	1
\boxtimes	Amendments to the Specification begin on page 2 of this paper.
\boxtimes	Amendments to the Claims are reflected in the listing of the claims which begins on page 7 of this paper.
\boxtimes	Amendments to the Drawings begin on page 19 of this paper and include an attached replacement sheet.
\boxtimes	Remarks begin on page 20 of this paper.
	Request For Refund submitted herewith.

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Amendments to the Specification:

Please replace the Summary section, which corresponds to paragraphs [0008] – [0013] of the specification, with the following:

[0008] The present invention disclosed herein includes communication systems, devices, and methods. For example, a device may be capable of communicating according to a master/slave relationship in which a communication from a slave to a master occurs in response to a communication from the master to the slave. The device may include a transceiver in the role of the master for sending transmissions modulated using at least two types of modulation methods, for example a first modulation method and a second modulation method. The first modulation method may be of a different type than the second modulation method. The transmissions may be groups of transmission sequences. A group may be structured with a first portion and a payload portion. First information in the first portion may indicate which of the first modulation method or the second modulation method is used for modulating second information in the payload portion. The transmissions may be addressed for an intended destination of the payload portion. First information in a transmission that includes an address for an intended destination may include a first sequence in the first portion that is modulated according to the first modulation method and that indicates an impending change from the first modulation method to the second modulation method. Second information in a transmission that includes an address for an intended destination may include a second sequence in the payload portion that is modulated according to the second modulation method. The second sequence may be transmitted after the first sequence.

[9098] The present invention is generally directed to a system and method of communication between a master transceiver and a plurality of tributary transceivers in a multipoint communication system in which the tributary transceivers use different types of modulation methods. Broadly stated, the communication system includes a master transceiver in communication with a first tributary transceiver and a second tributary transceiver over a communication medium. The first tributary transceiver uses a primary modulation method for communication while the second tributary transceiver uses a secondary or embedded modulation method for communication. The master transceiver and

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tributary transceivers each include a processor, memory, and control logic for controlling their operation. While the primary modulation method is normally used for transmissions on the communication medium, the master transceiver can communicate with the second tributary transceiver by notifying the first tributary transceiver that the primary modulation method is being temporarily replaced by the secondary or embedded modulation method. The master transceiver can then exchange information with the second tributary transceiver while the first tributary transceiver ignores any secondary modulation transmissions. In the meantime, the first tributary transceiver conditions itself to look for a trailing sequence from the master transceiver indicating that communication with the second tributary transceiver is complete. When the master transceiver transmits the trailing sequence using the primary modulation method, the first tributary transceiver conditions itself to look for primary modulation transmissions while the second tributary transceiver conditions itself to ignore primary modulation transmissions.

[0009] The present invention has many advantages, a few of which are delineated hereafter as merely examples.

[0010] One advantage of the present invention is that it provides to the use of a plurality of modern modulation methods on the same communication medium.

[0011] Another advantage of the present invention is that a master transceiver can communicate seamlessly with tributary transceivers or modems using incompatible modulation methods.

[0012] Another advantage of the present invention is that a master and tributary transceiver can calculate a channel parameter using a test signal sent using embedded modulation.

[0013] Other features and advantages of the present invention will become apparent to one with skill in the art upon examination of the following drawings and detailed description. It is intended that all such additional features and advantages be included herein within the scope of the present invention.

Please amend paragraph [0022] of the specification as follows:

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[0022] FIG. 8 is a ladder diagram illustrating the operation of an alternative embodiment of the multipoint communication system of FIG. 4 is a signal diagram for an exemplary transmission according to an embodiment.

Please amend paragraph [0025] of the specification as follows:

[0025] Referring now to FIG. 2, an exemplary multipoint communication session is illustrated through use of a ladder diagram. This system uses polled multipoint communication protocol. That is, a master controls the initiation of its own transmission to the tribs and permits transmission from a trib only when that trib has been selected. At the beginning of the session, the master transceiver 24 establishes a common modulation as indicated by sequence 32 that is used by both the master 24 and the tribs 26a, 26b for communication. Once the modulation scheme is established among the modems in the multipoint system, The master transceiver 24 transmits a training sequence 34 that includes the address of the trib that the master seeks to communicate with. In this case, the training sequence 34 includes the address of trib 26a. As a result, trib 26b ignores training sequence 34. After completion of the training sequence 34, master transceiver 24 transmits data 36 to trib 26a followed by trailing sequence 38, which signifies the end of the communication session. Similarly, with reference to FIG. 8, the sequence 170 illustrates a Type A modulation training signal, followed by a Type A modulation data signal. Note that trib 26b ignores data 36 and trailing sequence 38 as it was not requested for communication during training sequence 34.

Please amend paragraph [0027] of the specification as follows:

[0027] The foregoing procedure is repeated except master transceiver identifies trib 26b in training sequence 48. In this case, trib 26a ignores the training sequence 48 and the subsequent transmission of data 52 and trailing sequence 54 because it does not recognize its address in training sequence 48. Master transceiver 24 transmits data 52 to trib 26b followed by trailing sequence 54 to terminate the communication session. Similarly, with reference to FIG. 8, sequence 172 illustrates a Type A modulation signal, with notification of a changes to Type B, followed by a Type B modulation data signal. To send information back to master transceiver 24, trib 26b transmits training sequence 56 to establish a communication session. Page 4 of 23

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Master transceiver 24 is conditioned to expect data only from trib 26b because trib 26b was selected as part of training sequence 48. Trib 26b transmits data 58 to master transceiver 24 terminated by trailing sequence 62.

Please delete paragraphs [0042] – [0046]

[9042] In an alternative embodiment of the present invention, embedded modulations can be used as a way to measure transmission line characteristics between a master transceiver and tributary transceiver, as shown in FIG. 8. In this embodiment, both a master transceiver 64 and a tributary transceiver 66a would have the ability to transmit using at least two modulation methods, type A and type B. In the present example, the primary transmission type is type A. Thus, as shown in FIG. 8, the master transceiver 64 establishes type A as the primary modulation in sequence 150.

[9043] To switch from type A to type B modulation, master transceiver 64 transmits a notification sequence 152 to the tributary 66a. Thus, the tributary 66a is notified of an impending change to modulation type B. The switch to type B modulation could be limited according to a specific time interval or for the communication of a particular quantity of data, such as a test signal. After notifying the tributary 66a of the change to type B modulation, the master transceiver 64, transmits a test signal sequence 154 using type B modulation.

[9044] In this embodiment, the tributary transceiver can contain logic which enables the tributary 66a to calculate at least one channel parameter from the test signal sequence 154. Channel parameters typically include transmission line characteristics, such as, for example, loss versus frequency, non-linear distortion, listener echoes, talker echoes, bridge tap locations, impedance mismatches, noise profile, signal-to-noise ratio, group delay versus frequency, cross-talk presence, cross-talk type, etc. Moreover, the tributary transceiver 66a could be configured to communicate a channel parameter back to the master transceiver 64.

[0045] After transmitting the test signal sequence 154 to the tributary transceiver 66a, the master transceiver 64 can transmit a trailing sequence 156 to the tributary transceiver 66a using type A modulation to indicate the end of the transmission using type B modulation. The master transceiver 64 can then send information to the tributary transceiver 66a using primary modulation type A, as shown by training, data and trailing sequences 158, 160 and 162. Likewise, the tributary transceiver 66a can send information to the master transceiver 64 Page 5 of 23

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using primary modulation type A, as shown by training, data and trailing sequences 164, 166 and 168.

[9046] In a further alternative embodiment, the master transceiver 64 or tributary transceiver 66a may identify a time period within which test signal sequences may be transmitted. This would eliminate the training and trailing sequences which alert the tributary transceiver 66a to the beginning of a new modulation method. The identification of the time period could be initiated by the master transceiver 64 or tributary transceiver 66a and could include a time period noted in the header of a transmission between the tributary transceiver 66a and master transceiver 64.

Please amend the Abstract as shown below. A clean version of the Abstract submitted on a separate sheet is also submitted herewith.

A device may be capable of communicating using at least two type types of modulation methods, single subscriber line multi-point communication system is disclosed. In general, the multi-point communication system can The device may include a first transceiver coupled to a subscriber line capable of acting as a master according to a master/slave relationship in which communication from a slave to a master occurs in response to communication from the master to the slave, transmitting and receiving at least two modulation methods, either of said modulation methods being operable to transmit a test signal, and a second transceiver coupled to said subscriber line capable of transmitting and receiving said at least two modulation methods, the second transceiver being operable to receive the test signal and determine at least one channel parameter from the test signal. A The master transceiver may send transmissions structured with a first portion and a payload portion. that can be used in various embodiments of a single subscriber line multi-point communication system, and a tributary transceiver are further disclosed Information in the first portion may be modulated according to a first modulation method and indicate an impending change to a second modulation method, which is used for transmitting the payload portion. The transmissions may be addressed for an intended destination of the payload portion.

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This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (Currently Amended) A communication system device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmitter capable of transmitting transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the first transceiver is configured to transmit transmissions comprise groups of transmission sequences, each group of said groups of transmission sequences structured with a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence that indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence, in modulated according to the second modulation method, wherein the second sequence is transmitted after the first data sequence.

2. (Currently Amended) The system device of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.

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3. - 8. (Canceled)

9. (Currently Amended) The system device of claim 1, wherein the first transceiver is

configured to transmit the second sequence according to a specific time interval.

10. (Currently Amended) The system device of claim 1, wherein the first transceiver is

configured to transmit the second sequence according to a particular quantity of data.

11. (Currently Amended) The system device of claim 1, further comprising a processor and

a memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver transmitter to transmit the first sequence and the second

sequence.

12. (Currently Amended) The system device of claim 11, wherein the memory has stored

therein program code for the first modulation method and the second modulation method.

13. (Currently Amended) The system device of claim 11, wherein the memory comprises

random access memory.

14. (Currently Amended) The system device of claim 11, wherein the memory comprises

read-only memory.

5. (Currently Amended) The device of claim 11, wherein the memory has stored therein

program code for operating the transceiver in a multipoint master/slave relationship

communications protocol.

16. – 17. (Canceled)

8. (Currently Amended) The system device of claim 1 47, wherein the first

communication from the master to the slave burst transmission is a poll in accordance with a

multipoint communications protocol relationship, wherein the poll indicates that the master

has selected the slave for transmission.

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19. (Canceled)

20. (Currently Amended) The device of claim 19 A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

- 21. 26. (Canceled)
- 27. (Currently Amended) The device of claim <u>20</u> 19, wherein transmission of the second data is according to a specific time interval.
- 28. (Currently Amended) The device of claim 19, A communications device, comprising: a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

29. (Currently Amended) The device of claim $\underline{20}$ 19, further comprising \underline{a} transmitter configured to transmit the first data and the second data.

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30. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory has stored therein program code for the first modulation method and the second modulation method.

- 31. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory comprises random access memory.
- 32. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory comprises readonly memory.
- 33. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory has stored therein program code for a multipoint communications protocol.
- 34. 36. (Canceled)
- 37. (Currently Amended) A device emprising: that transmits in accordance with a first modulation logic; method and a second modulation logic method that is different than the first modulation logic; and method, said device comprising:

at least one modulator;

a transceiver that includes the at least one modulator adapted to use the first modulation logic and the second modulation logic, wherein the transceiver is configured to transmit:

- a first sequence, <u>modulated</u> in accordance with the first modulation <u>method</u> <u>logic</u>, that indicates <u>a an impending</u> change from the first modulation <u>method</u> <u>logic</u> to the second modulation <u>method</u> <u>logic</u>, and
- a second sequence, in accordance with the second modulation <u>method logie</u>, that <u>is transmitted at a time after follows</u> the first data sequence.
- 38. (Currently Amended) The device of claim 37, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation <u>method logie</u> and indicates that <u>a subsequent</u> communication has reverted to the first modulation <u>method logie</u>.

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39. - 44. (Canceled)

45. (Currently Amended) The device of claim 37, wherein the first transceiver is

configured to transmit the second sequence according to a specific time interval.

46. (Currently Amended) The device of claim 37, wherein the first transceiver is

configured to transmit the second sequence according to a particular quantity of data.

47. (Original) The device of claim 37, further comprising a processor and a memory,

wherein the memory has stored therein instructions that when executed by the processor

cause the transmitter to transmit the first sequence and the second sequence.

48. (Original) The device of claim 47, wherein the memory comprises random access

memory.

49. (Original) The device of claim 47, wherein the memory comprises read-only memory.

50. (Original) The device of claim 47, wherein the memory has stored therein program

code for a multipoint communications protocol.

51. – 86. (Canceled)

87. (Currently Amended) The computer-readable storage medium of claim 86, further

comprising A computer-readable storage medium having a computer executable instructions

stored therein that when executed by a processor control a master transceiver, said computer

executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for

communication;

second logic configured to transmit a first sequence to notify of a change from said

first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation

method; and

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fourth logic configured to transmit a second sequence after the <u>second</u> information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

88. - 93. (Canceled)

94. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 86, wherein the first transceiver is configured to transmit the second sequence according to a specific time

interval.

95. (Currently Amended) The computer-readable storage medium of claim 86, A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

<u>first logic configured to transmit first information in a first modulation method for communication;</u>

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.

- 96. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 11, further comprising program code for the first modulation method and the second modulation method.
- 97. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 11, further comprising program code for a multipoint communications protocol.

98. – 100. (Canceled)

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101. (New) The device of claim 1, wherein the transceiver is configured to be the master.

102. (New) The device of claim 1, wherein the first information in the first portion

indicates the first modulation method when the intended destination is a first type of receiver

and indicates the second modulation when the intended destination is a second type of

receiver.

103. (New) The device of claim 102, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being designated for transmitting

in the second modulation method.

104. (New) The device of claim 102, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being operable to ignore

transmissions intended for the first type of receiver.

105. (New) The device of claim 104, wherein the intended destination ignores

transmissions in the second modulation when the intended destination is the first type of

receiver.

106. (New) The device of claim 104, wherein the intended destination ignores

transmissions in the first modulation when the intended destination is the second type of

receiver.

107. (New) The device of claim 104, wherein the intended destination is the first type of

receiver and unable to demodulate the second modulation method.

108. (New) The device of claim 102, wherein the transceiver is configured to receive data

from the intended destination in the first modulation method when the intended destination is

the first type of receiver.

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109. (New) The device of claim 102, wherein the transceiver is configured to receive data

from the intended destination in the second modulation method when the intended destination

is the second type of receiver.

110. (New) The device of claim 1, the transceiver is configured to transmit a third

sequence, according to the first modulation method, at a time after the second sequence is

transmitted.

111. (New) The device of claim 1, wherein the transceiver transmits data modulated

according to either the first modulation method or the second modulation method at any

given point in time when the transceiver is transmitting.

112. (New) The device of claim 20, wherein transmission of the second data is according

to a particular quantity of data.

113. (New) The device of claim 28, wherein transmission of the second data is according

to a specific time interval.

114. (New) The device of claim 28, further comprising a transmitter configured to transmit

the first data and the second data.

115. (New) The device of claim 28, wherein the memory has stored therein program code

for the first modulation method and the second modulation method.

116. (New) The device of claim 28, wherein the memory comprises random access

memory.

117. (New) The device of claim 28, wherein the memory comprises read-only memory.

18. (New) The device of claim 28, wherein the memory has stored therein program code

for a multipoint communications protocol.

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119. (New) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of

data.

120. (New) The computer-readable storage medium of claim 95, wherein the first

transceiver is configured to transmit the second sequence according to a specific time

interval.

121. (New) The computer-readable storage medium of claim 95, further comprising

program code for the first modulation method and the second modulation method.

122. (New) The computer-readable storage medium of claim 95, further comprising

program code for a multipoint communications protocol.

123. (New) A communication device capable of communicating according to a

master/slave relationship in which a slave message from a slave to a master occurs in

response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship,

capable of transmitting using at least two types of modulation methods, wherein the at least

two types of modulation methods comprise a first modulation method and a second

modulation method, wherein the second modulation method is of a different type than the

first modulation method, and wherein the first transceiver is configured to transmit messages

with:

a first sequence, in the first modulation method, that indicates at least which of the

first modulation method and the second modulation method is used for modulating a second

sequence, wherein, in at least one message, the first sequence indicates an impending change

from the first modulation method to the second modulation method, and wherein the at least

one message is addressed for an intended destination of the second sequence, and

the second sequence, modulated in accordance with the modulation method indicated

by the first sequence and, in the at least one message, modulated using in the second

modulation method, wherein the second sequence is transmitted after the first data sequence.

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124. (New) The device of claim 123, wherein the transceiver is configured to transmit a

third sequence after the second sequence, wherein the third sequence is transmitted in the first

modulation method and indicates that communication from the master to the slave has

reverted to the first modulation method.

125. (New) The device of claim 123, wherein the transceiver is configured to transmit the

second sequence according to a specific time interval.

126. (New) The device of claim 123, wherein the transceiver is configured to transmit the

second sequence according to a particular quantity of data.

127. (New) The device of claim 123, further comprising a processor and a memory,

wherein the memory has stored therein instructions that when executed by the processor

cause the transceiver to transmit the first sequence and the second sequence.

128. (New) The device of claim 127, wherein the memory has stored therein program code

for the first modulation method and the second modulation method.

129. (New) The device of claim 127, wherein the memory comprises random access

memory.

130. (New) The device of claim 127, wherein the memory comprises read-only memory.

131. (New) The device of claim 127, wherein the memory has stored therein program code

for operating the transceiver in a multipoint master/slave relationship.

132. (New) The device of claim 123, wherein the first communication from the master to

the slave is a poll in accordance with a multipoint communications relationship, wherein the

poll indicates that the master has selected the slave for transmission.

133. (New) The device of claim 123, wherein the transceiver is configured to be the

master.

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134. (New) The device of claim 123, wherein the first information in the first portion

indicates the first modulation method when the intended destination is a first type of receiver

and indicates the second modulation when the intended destination is a second type of

receiver.

135. (New) The device of claim 134, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being designated for transmitting

in the second modulation method.

136. (New) The device of claim 134, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being operable to ignore

transmissions intended for the first type of receiver.

137. (New) The device of claim 136, wherein the intended destination ignores

transmissions in the second modulation when the intended destination is the first type of

receiver.

138. (New) The device of claim 136, wherein the intended destination ignores

transmissions in the first modulation when the intended destination is the second type of

receiver.

139. (New) The device of claim 136, wherein the intended destination is the first type of

receiver and unable to demodulate the second modulation method.

140. (New) The device of claim 134, wherein the transceiver is configured to receive data

from the intended destination in the first modulation method when the intended destination is

the first type of receiver.

141. (New) The device of claim 134, wherein the transceiver is configured to receive data

from the intended destination in the second modulation method when the intended destination

is the second type of receiver.

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142. (New) The device of claim 123, the transceiver is configured to transmit a third sequence, according to the first modulation method, at a time after the second sequence is

transmitted.

143. (New) The device of claim 123, wherein the transceiver transmits data modulated

according to either the first modulation method or the second modulation method at any

given point in time when the transceiver is transmitting.

144. (New) The device of claim 127, wherein the memory comprises an erasable

programmable read-only memory.

145. (New) The device of claim 11, wherein the memory comprises an erasable

programmable read-only memory.

146. The device of claim 20, wherein the memory comprises an erasable programmable

read-only memory.

147. (New) The device of claim 28, wherein the memory comprises an erasable

programmable read-only memory.

148. (New) The device of claim 47, wherein the memory comprises an erasable

programmable read-only memory.

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Amendments to the Drawings

The attached sheets of drawings include replacement FIG. 8. The sheets, which include new FIG. 8, replace the original sheets.

Attachment: Replacement Sheets 1-8

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REMARKS

Claims 1-2, 9-15, 18, 20, 27-33, 37-38, 45-50, 87, 94-97, and 101-148 are pending in the present application.

Claims 3-8, 16-17, 19, 21-26, 34-36, 39-44, 51-86, 88-93, and 98-100 have been canceled.

Claims 1-2, 9-15, 18, 20, 27-33, 37-38, 45-46, 87, and 94-97 have been amended for clarification.

Claims 101-148 have been added. Support for the claim amendments and new claims can be found throughout the specification, for example paragraphs [0031] – [0035] and [0048]. No new matter has been added.

Allowable Subject Matter

Applicant thanks Examiner Ha for the indication that claims 1-18, and 37-57 are allowed (office action, p. 7). Applicant has further amended claims 1-2, 9-15, 18, 37-38, and 45-46 with additional recitations to more precisely claim the subject-matter. For example, the language of independent claim 1 has been clarified to refer to two *types* of modulation methods, *i.e.*, different families of modulation techniques, such as the FSK family of modulation methods and the QAM family of modulation methods. Support for the clarifying amendments can be found throughout the specification, for example [0024], [0025] and [0031] – [0036].

Applicant thanks Examiner Ha for the indication that claims 20, 28, 36, 59, 67, 70-71, 73, 81, 84, 85, 87, 95, and 100 would be allowable if rewritten in independent form including all of the limitation of the base claim and any intervening claims (office action, p. 7). Accordingly, claims 20, 28, 87, and 95 have been rewritten in independent form.

Claims 3-8, 16-17, 19, 21-26, 34-36, 39-44, 51-86, 88-93, and 98-100 have been canceled.

Accordingly, Applicant respectfully submits that pending claims 1-2, 9-15, 18, 20, 27-33, 37-38, 45-50, 87, and 94-97, are allowable.

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New Claims

New claims 101-148 have been added. New dependent claims 101-111 depend from allowed claim 1. Therefore, Applicant respectfully submits claims 101-111 define over the asserted prior art for at least the same reasons as allowed claim 1.

Applicant has added new dependent claims 112-122 that correspond to subject matter that was previously presented in dependent claim form. The new claims 112-122 have been added to depend from allowable dependent claims that have been rewritten into independent form (*i.e.* claims 20, 28, 87, and 95). Accordingly, Applicant respectfully submits that claims 112-122 are allowable.

Furthermore, Applicant has added new independent claim 123 and corresponding dependent claims 124-144. Applicant respectfully submits that new claim 123 recites patentable subject matter not disclosed by the asserted references, and is therefore in condition for allowance. In addition, Applicant also respectfully submits that dependent claims 124-144, which depend from new claim 123, are also patentable for at least the same reason.

Applicant has added new dependent claims 145-148 which recite "wherein the memory comprises an erasable programmable read-only memory." Support for new claims 145-148 can be found throughout the specification, for example paragraph [0048]. Applicant submits that new claims 145-148 are allowable for at least the reason that they depend either directly or indirectly from claims 1, 20, 28, and 37, which are now presented in allowable form.

Therefore, Applicant respectfully submits that new claims 101-148 are in condition for allowance.

Replacement Drawings

Applicant has included replacement sheets 1-8 including replacement FIG. 8. New FIG. 8 corresponds to FIG. 4A & 4B of U.S. Provisional Application 60/067,562 (the "Provisional Application"), which is incorporated into the present application by reference. Original FIG. 8 has been removed. Applicant respectfully requests acceptance of Replacement Sheets 1-8.

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Amendments to the specification

Applicant has made certain amendments to the specification. Applicant submits that the amendments contain no new matter.

Applicant has included a replacement summary section and a replacement abstract. The MPEP suggests that the applicant modify the brief summary of the invention and restrict the descriptive subject matter "so as to be in harmony with the claims." MPEP 1302.01, General Review of Disclosure. Accordingly, Applicant has deleted paragraphs [0042] – [0046]. Applicant has amended [0022], [0025] and [0027] to describe a new FIG. 8, which was included in the replacement sheets discussed above. Support for the amended paragraphs can be found throughout the specification and the Provisional Application. For example, support for the amendments may be found in the Summary Description section on page 4 of the Provisional Application and paragraphs [0025] – [0027] of the present application.

Claim Objections

Claims 1-18, 37-57, and 96-100 stand objected to for antecedent basis and dependency. Applicant has amended or canceled the claims to address the objections. Claims 20, 28, 36, 59, 67, 70-71, 73, 81, 84, 85, 87, 95, and 100 stand objected to as being dependent upon a rejected base claim. As discussed above, claims 20, 28, 87, and 95 have been rewritten in independent form. Claims 36, 59, 67, 70-71, 73, 81, 84, 85, and 100 have been cancelled.

Accordingly, Applicant respectfully requests the objections to the claims be withdrawn.

Claim Rejections under 35 U.S.C. §§ 102 & 103

Claims 19, 21, 23-27, 29, 34, 86, 88-94, and 96 stand rejected under 35 U.S.C. § 102(b) as allegedly being anticipated by U.S. Patent No. 5,537,398 to Siwiak. Claims 30-33, 96, and 97 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Siwiak. Claims 22, 35, 58, 60-66, 69, 72, 74-80, 82, and 83 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Siwiak in view of U.S. Patent No. 6,125,148 to Frodigh *et al*.

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Claims 19, 21-26, 34, 58, 60-65, 72, 74-79, 83, 86, 88-93, and 98-99 have been cancelled. As discussed above, claims 27, 29, 94, and 96-97 have been amended to depend from a claim indicated as allowable.

Accordingly, Applicants respectfully request the rejections under 35 U.S.C. §§ 102 and 103 be withdrawn.

Conclusion

In light of the above amendments and remarks, Applicant respectfully submits that the present application is in condition for allowance, and Applicant respectfully requests a Notice of Allowance for the pending claims 1-2, 9-15, 18, 20, 27-33, 37-38, 45-50, 87, 94-97, and 101-148.

Date: March 1, 2011

/Michael A. Koptiw/ Michael A. Koptiw Registration No. 57,900

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

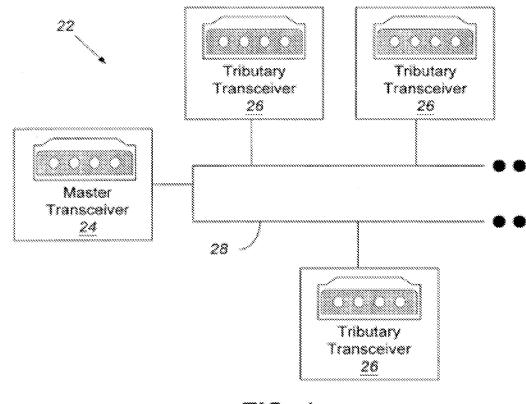
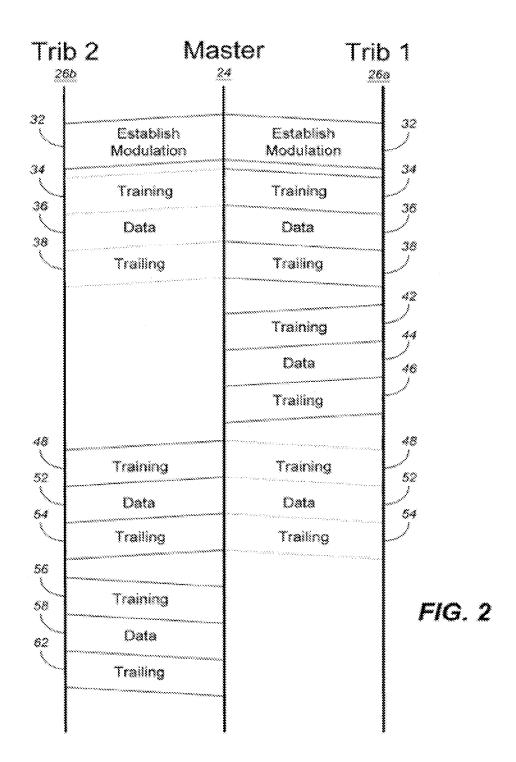


FIG. 1 Prior Art



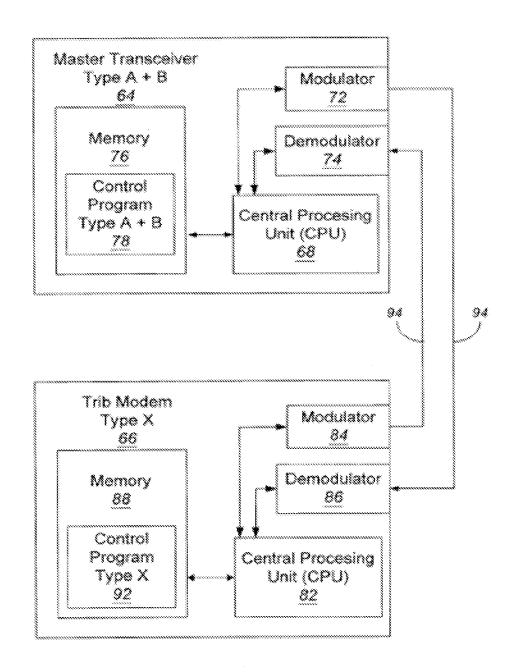


FIG. 3

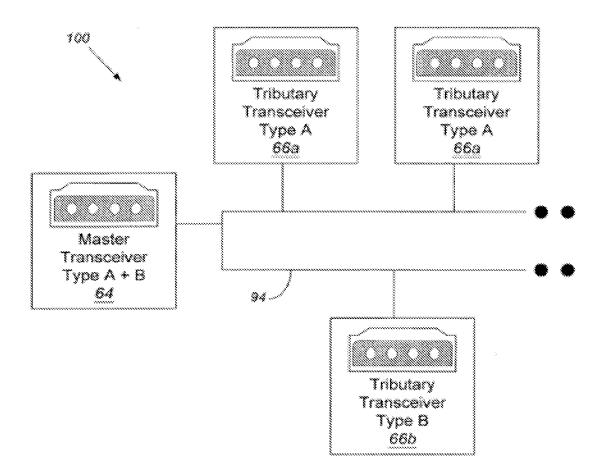
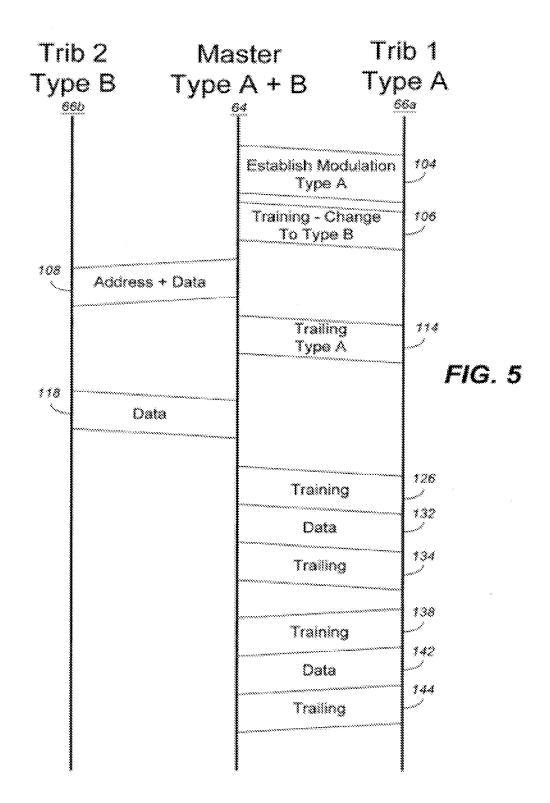


FIG. 4



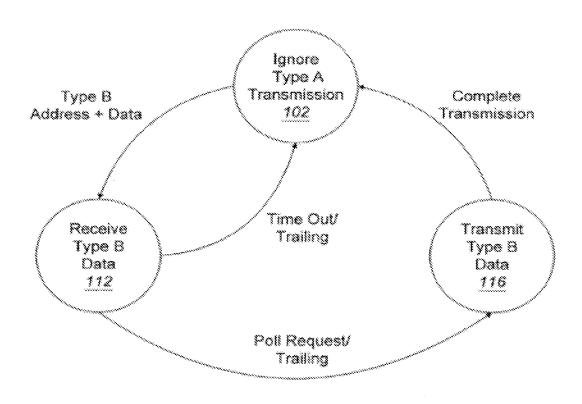


FIG. 6

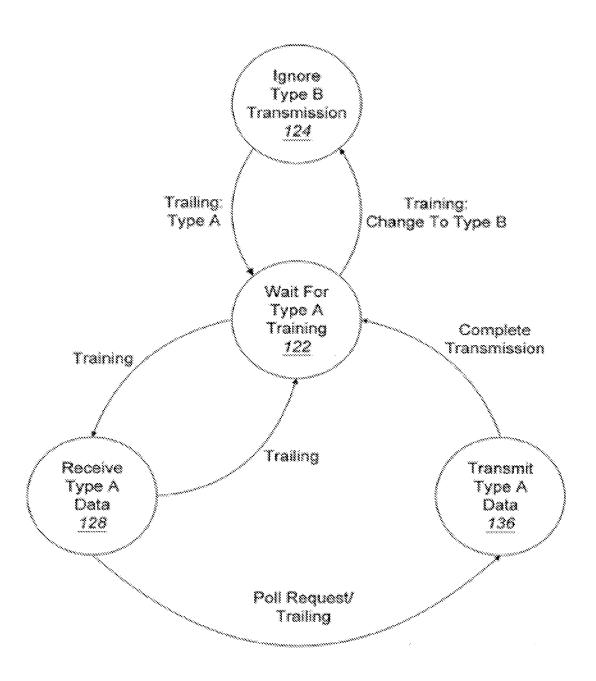


FIG. 7

REPLACEMENT SHEET 8/8

Type A Modulaisoc Training Signal (with Type A Addioss)	Type A Modulation. Training Signal Training Signal Training Signal Training Signal Training Signal	Type A Medulation Trailing Signal
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	FIG. 8	

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ABSTRACT

A device may be capable of communicating using at least two type types of modulation methods. The device may include a transceiver capable of acting as a master according to a master/slave relationship in which communication from a slave to a master occurs in response to communication from the master to the slave. The master transceiver may send transmissions discrete transmissions structured with a first portion and a payload portion. Information in the first portion may be modulated according to a first modulation method and indicate an impending change to a second modulation method, which is used for transmitting the payload portion. The discrete transmissions may be addressed for an intended destination of the payload portion.

Electronic Patent Application Fee Transmittal								
Application Number:	125	543910						
Filing Date:	19-	Aug-2009						
Title of Invention:	System and Method of Communication Via Embedded Modulation							
First Named Inventor/Applicant Name:	Gordon F. Bremer							
Filer:	Michael Koptiw Jr./Joanne Gallagher							
Attorney Docket Number:	REMB-0109							
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Extension - 3 months with \$0 paid		1253	1	¹¹ þ0age 1	53 of 432 ¹⁰			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	1110

Electronic Acknowledgement Receipt						
EFS ID:	9564128					
Application Number:	12543910					
International Application Number:						
Confirmation Number:	8306					
Title of Invention:	System and Method of Communication Via Embedded Modulation					
First Named Inventor/Applicant Name:	Gordon F. Bremer					
Customer Number:	23377					
Filer:	Michael Koptiw Jr./Joanne Gallagher					
Filer Authorized By:	Michael Koptiw Jr.					
Attorney Docket Number:	REMB-0109					
Receipt Date:	01-MAR-2011					
Filing Date:	19-AUG-2009					
Time Stamp:	18:22:36					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1110
RAM confirmation Number	6306
Deposit Account	233050
Authorized User	

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Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing feet) 432

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees) Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
		1000777 1 222	284118		•				
1	Miscellaneous Incoming Letter	1900775_1.PDF	4153cb6c7ea9eeebad6d6d3b13b05ad7eb 7bb2fb	no	2				
Warnings:			'	'					
Information:									
2	Futancian of Time	1000741 1 DDF	315144		2				
2	Extension of Time	1900741_1.PDF	e78c827afaf831bbd00de729c316c1fd2bef 29c3	no	2				
Warnings:									
Information:									
2	3 1902010_1.PDF		140470	1/05	22				
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	Applicant Arguments/Remarks	20 23							
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6	Fee Worksheet (PTO-875)	fee-info.pdf	30054	no	2				
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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)

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Approved for use through 07/31/2012. OMB 0651-00
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER
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		Application Number	Application Number 12/543,910					
TR	ANSMITTAL	Filing Date	August 19	August 19, 2009				
	FORM	First Named Inventor	Gordon B	Gordon Bremer				
		Art Unit	2611					
(to be used for a	all correspondence after initial filing)	Examiner Name	Dac V Ha					
	Pages in This Submission	Attorney Docket Number	REMB-01	REMB-0109				
Total Humber of	-							
	EN	ICLOSURES (Check al	l that apply					
Fee Trans	mittal Form	Drawing(s)		ഥ	Allowance Communication to TC			
Fe L	e Attached	Licensing-related Papers			al Communication to Board peals and Interferences			
Amendme	nt/Reply	Petition Petition to Convert to a			al Communication to TC al Notice, Brief, Reply Brief)			
L Aft	er Final	Provisional Application		Propri	etary Information			
Aff	iidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence		Status	Letter			
	of Time Request	Terminal Disclaimer		Other below	Enclosure(s) (please Identify):			
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	der 37 CFR 1.52 or 1.53							
	SIGNATURE	OF APPLICANT, ATTO	RNEY, (OR AGENT				
Firm Name	Woodcock Washburn, LLP							
Signature	/Michael A. Koptiw/							
Printed name	Michael A. Koptiw							
Date	March 1, 2011		Reg. No. 57900					
CERTIFICATE OF TRANSMISSION/MAILING								
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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PFT	ITION	FOR EXTENSION OF TIME UNDER	Docket Number (Optional)					
		FY 2009	o. o. n. 11100(a,	REMB-0109				
		pursuant to the Consolidated Appropriations Act,	2005 (H.R. 4818).)		2000			
Appli	cation N	Number 12/543,910		Filed August 19, 2	2009			
For	Syste	em and Method of Communication Via	a Embedded Modula	tion				
Art U	Art Unit 2611 Examiner Dac V Ha							
	This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.							
The	request	ed extension and fee are as follows (chec	k time period desired a	and enter the appropria	te fee below):			
			<u>Fee</u>	Small Entity Fee				
		One month (37 CFR 1.17(a)(1))	\$130	\$65	\$			
		Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$			
	V	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>1110</u>			
		Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$			
		Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$			
	Applica	nt claims small entity status. See 37 CFR	1.27.					
	A chec	k in the amount of the fee is enclosed						
	Payme	nt by credit card. Form PTO-2038 is a	attached.					
\Box	The Di	rector has already been authorized to	charge fees in this a	application to a Depo	sit Account.			
		rector is hereby authorized to charge at t Account Number <u>233050</u>	any fees which may	be required, or credit	t any overpayment, to			
		IG: Information on this form may become po credit card information and authorization o		nation should not be incl	uded on this form.			
lan	n the	applicant/inventor.						
		assignee of record of the entire Statement under 37 CFR 3						
		attorney or agent of record. Re						
attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34								
/	/Michael A. Koptiw/ March 1, 2011							
		Signature			Date			
<u> </u>	Michae	l A. Koptiw		215-564-8379				
		Typed or printed name		Teleph	one Number			
		res of all the inventors or assignees of record of the er uired, see below.	ntire interest or their represen	tative(s) are required. Submit	multiple forms if more than one			
	Total of forms are submitted.							

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Document code: WFEE

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Application Number: 12543910 Document Date: 3/1/2011

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P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 12/543,910		Filing Date 08/19/2009		To be Mailed
	APPLICATION AS FILED - PART I (Column 1) (Column 2)							ENTITY 🗌	OR		HER THAN ALL ENTITY
	FOR	N	UMBER FIL	.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	ΞE	N/A		N/A		N/A			N/A	
	ΓAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		OR	X \$ =	
IND	EPENDENT CLAIM	IS	m	inus 3 = *			X \$ =		1	X \$ =	
(37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											
	MULTIPLE DEPEN	NDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If t	the difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMEND	DED — PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	03/01/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 79	Minus	** 100	= 0		X \$ =		OR	X \$52=	0
Z	Independent (37 CFR 1.16(h))	* 7	Minus	***6	= 1		X \$ =		OR	X \$220=	220
ΑME	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIF	PLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	220
		(Column 1)		(Column 2)	(Column 3)						
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	okrok	=		X \$ =		OR	X \$ =	
AMENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
EN	Application S	ize Fee (37 CFR 1	.16(s))								
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Paper No.

Application No.:	12/543,910	Date Mailed:	03/10/2011
	12543910		
First Named Inventor:	Bremer, Gordon, F.	Examiner:	HA, DAC V
Attorney Docket No.:	REMB-0109	Art Unit:	2611
Confirmation No.:	8306	Filing Date:	08/19/2009

Please find attached an Office communication concerning this application or proceeding.

Commissioner for Patents

PTO-90c (Rev.08-06)

Notice of Non-Compliant Amendment (37 CFR 1.121) Application No. 12/543,910 Applicant(s) BREMER, GORDON F. Art Unit 3998 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -The amendment document filed on 01 March, 2011 is considered non-compliant because it has failed to meet the

requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required. THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT: 1. Amendments to the specification: ☐ A. Amended paragraph(s) do not include markings. ☐ B. New paragraph(s) should not be underlined. □ C. Other _____. ☐ 2. Abstract: ☐ A. Not presented on a separate sheet. 37 CFR 1.72. B. Other __ ☐ 3. Amendments to the drawings: A. The drawings are not properly identified in the top margin as "Replacement Sheet." "New Sheet." or "Annotated Sheet" as required by 37 CFR 1.121(d). ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required. C. Other . 4. Amendments to the claims: A. A complete listing of all of the claims is not present. ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims) C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended). D. The claims of this amendment paper have not been presented in ascending numerical order. □ E. Other: New claim 123 should not have markings.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

of the amendment format required by 37 CFR 1.121, see MPEP § 714.

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.

5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation

2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable /MARGARET BYARS/ Telephone No: (571)272-6581

U.S. Patent and Trademark Office

Part of Paper No. 030111-1

Application No.: 12/543,910

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Gordon Bremer

Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611
Filing Date: August 19, 2009 Examiner: Dac V Ha

For: System and Method of Communication Via Embedded Modulation

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REPLY PURSUANT TO 37 CFR § 1.121

In response to the Notice of Non-Compliant Amendment dated **March 10, 2011**, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:

cated below:	
	Amendments to the Specification begin on page of this paper.
	Corrected Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.
	Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
\boxtimes	Remarks begin on page 14 of this paper.
	Request For Refund submitted herewith.

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This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (Currently Amended) A communication system device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmitter capable of transmitting transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the first transceiver is configured to transmit transmissions comprise groups of transmission sequences, each group of said groups of transmission sequences structured with a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence that indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence, in modulated according to the second modulation method, wherein the second sequence is transmitted after the first data sequence.

2. (Currently Amended) The system device of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence

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is transmitted in the first modulation method and indicates that communication <u>from the master to the slave</u> has reverted to the first modulation method.

3.-8. (Canceled)

9. (Currently Amended) The system device of claim 1, wherein the first transceiver is

configured to transmit the second sequence according to a specific time interval.

10. (Currently Amended) The system device of claim 1, wherein the first transceiver is

configured to transmit the second sequence according to a particular quantity of data.

11. (Currently Amended) The system device of claim 1, further comprising a processor and

a memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver transmitter to transmit the first sequence and the second

sequence.

12. (Currently Amended) The system device of claim 11, wherein the memory has stored

therein program code for the first modulation method and the second modulation method.

13. (Currently Amended) The system device of claim 11, wherein the memory comprises

random access memory.

14. (Currently Amended) The system device of claim 11, wherein the memory comprises

read-only memory.

15. (Currently Amended) The device of claim 11, wherein the memory has stored therein

program code for operating the transceiver in a multipoint master/slave relationship

communications protocol.

16. - 17. (Canceled)

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18. (Currently Amended) The system device of claim 1/2 17, wherein the first communication from the master to the slave burst transmission is a poll in accordance with a multipoint communications protocol relationship, wherein the poll indicates that the master has selected the slave for transmission.

- 19. (Canceled)
- 20. (Currently Amended) The device of claim 19 A communications device, comprising: a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

- 21. 26. (Canceled)
- 27. (Currently Amended) The device of claim <u>20</u> 19, wherein transmission of the second data is according to a specific time interval.
- 28. (Currently Amended) The device of claim 19, A communications device, comprising: a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third

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data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

29. (Currently Amended) The device of claim $\underline{20}$ $\underline{49}$, further comprising \underline{a} transmitter configured to transmit the first data and the second data.

30. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory has stored therein program code for the first modulation method and the second modulation method.

31. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory comprises random access memory.

32. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory comprises readonly memory.

33. (Currently Amended) The device of claim <u>20</u> 19, wherein the memory has stored therein program code for a multipoint communications protocol.

34. - 36. (Canceled)

37. (Currently Amended) A device emprising: that transmits in accordance with a first modulation logie; method and a second modulation logie method that is different than the first modulation logie; and method, said device comprising:

at least one modulator;

a transceiver that includes the at least one modulator adapted to use the first modulation logic and the second modulation logic, wherein the transceiver is configured to transmit:

a first sequence, <u>modulated</u> in accordance with the first modulation <u>method</u> <u>logic</u>, that indicates a <u>an impending</u> change from the first modulation <u>method logic</u> to the second modulation <u>method logic</u>, and

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a second sequence, in accordance with the second modulation <u>method</u> logic, that <u>is transmitted at a time after</u> follows the first data sequence.

38. (Currently Amended) The device of claim 37, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation method logic and indicates that a subsequent communication has reverted to the first modulation method logic.

39. – 44. (Canceled)

- 45. (Currently Amended) The device of claim 37, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 46. (Currently Amended) The device of claim 37, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 47. (Original) The device of claim 37, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transmitter to transmit the first sequence and the second sequence.
- 48. (Original) The device of claim 47, wherein the memory comprises random access memory.
- 49. (Original) The device of claim 47, wherein the memory comprises read-only memory.
- 50. (Original) The device of claim 47, wherein the memory has stored therein program code for a multipoint communications protocol.
- 51. 86. (Canceled)

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87. (Currently Amended) The computer-readable storage medium of claim 86, further comprising A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the <u>second</u> information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

88. - 93. (Canceled)

- 94. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 86, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 95. (Currently Amended) The computer-readable storage medium of claim 86, A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

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fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.

96. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 11, further comprising program code for the first modulation method and the second modulation method.

97. (Currently Amended) The computer-readable storage medium of claim <u>87</u> 11, further comprising program code for a multipoint communications protocol.

98. – 100. (Canceled)

101. (New) The device of claim 1, wherein the transceiver is configured to be the master.

102. (New) The device of claim 1, wherein the first information in the first portion indicates the first modulation method when the intended destination is a first type of receiver and indicates the second modulation when the intended destination is a second type of receiver.

103. (New) The device of claim 102, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being designated for transmitting in the second modulation method.

104. (New) The device of claim 102, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being operable to ignore transmissions intended for the first type of receiver.

105. (New) The device of claim 104, wherein the intended destination ignores transmissions in the second modulation when the intended destination is the first type of receiver.

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106. (New) The device of claim 104, wherein the intended destination ignores transmissions in the first modulation when the intended destination is the second type of receiver.

107. (New) The device of claim 104, wherein the intended destination is the first type of receiver and unable to demodulate the second modulation method.

108. (New) The device of claim 102, wherein the transceiver is configured to receive data from the intended destination in the first modulation method when the intended destination is the first type of receiver.

109. (New) The device of claim 102, wherein the transceiver is configured to receive data from the intended destination in the second modulation method when the intended destination is the second type of receiver.

110. (New) The device of claim 1, the transceiver is configured to transmit a third sequence, according to the first modulation method, at a time after the second sequence is transmitted.

- 111. (New) The device of claim 1, wherein the transceiver transmits data modulated according to either the first modulation method or the second modulation method at any given point in time when the transceiver is transmitting.
- 112. (New) The device of claim 20, wherein transmission of the second data is according to a particular quantity of data.
- 113. (New) The device of claim 28, wherein transmission of the second data is according to a specific time interval.
- 114. (New) The device of claim 28, further comprising a transmitter configured to transmit the first data and the second data.

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115. (New) The device of claim 28, wherein the memory has stored therein program code for the first modulation method and the second modulation method.

116. (New) The device of claim 28, wherein the memory comprises random access memory.

- 117. (New) The device of claim 28, wherein the memory comprises read-only memory.
- 118. (New) The device of claim 28, wherein the memory has stored therein program code for a multipoint communications protocol.
- 119. (New) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 120. (New) The computer-readable storage medium of claim 95, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 121. (New) The computer-readable storage medium of claim 95, further comprising program code for the first modulation method and the second modulation method.
- 122. (New) The computer-readable storage medium of claim 95, further comprising program code for a multipoint communications protocol.
- 123. (New) A communication device capable of communicating according to a master/slave relationship in which a slave message from a slave to a master occurs in response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, capable of transmitting using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second

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modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the transceiver is configured to transmit messages with:

a first sequence, in the first modulation method, that indicates at least which of the first modulation method and the second modulation method is used for modulating a second sequence, wherein, in at least one message, the first sequence indicates an impending change from the first modulation method to the second modulation method, and wherein the at least one message is addressed for an intended destination of the second sequence, and

the second sequence, modulated in accordance with the modulation method indicated by the first sequence and, in the at least one message, modulated using the second modulation method, wherein the second sequence is transmitted after the first sequence.

124. (New) The device of claim 123, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.

125. (New) The device of claim 123, wherein the transceiver is configured to transmit the second sequence according to a specific time interval.

126. (New) The device of claim 123, wherein the transceiver is configured to transmit the second sequence according to a particular quantity of data.

127. (New) The device of claim 123, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transceiver to transmit the first sequence and the second sequence.

128. (New) The device of claim 127, wherein the memory has stored therein program code for the first modulation method and the second modulation method.

129. (New) The device of claim 127, wherein the memory comprises random access memory.

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130. (New) The device of claim 127, wherein the memory comprises read-only memory.

131. (New) The device of claim 127, wherein the memory has stored therein program code

for operating the transceiver in a multipoint master/slave relationship.

132. (New) The device of claim 123, wherein the first communication from the master to

the slave is a poll in accordance with a multipoint communications relationship, wherein the

poll indicates that the master has selected the slave for transmission.

133. (New) The device of claim 123, wherein the transceiver is configured to be the

master.

134. (New) The device of claim 123, wherein the first information in the first portion

indicates the first modulation method when the intended destination is a first type of receiver

and indicates the second modulation when the intended destination is a second type of

receiver.

135. (New) The device of claim 134, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being designated for transmitting

in the second modulation method.

136. (New) The device of claim 134, wherein the second type of receiver differs from the

first type of receiver at least by the second type of receiver being operable to ignore

transmissions intended for the first type of receiver.

137. (New) The device of claim 136, wherein the intended destination ignores

transmissions in the second modulation when the intended destination is the first type of

receiver.

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138. (New) The device of claim 136, wherein the intended destination ignores transmissions in the first modulation when the intended destination is the second type of receiver.

139. (New) The device of claim 136, wherein the intended destination is the first type of receiver and unable to demodulate the second modulation method.

140. (New) The device of claim 134, wherein the transceiver is configured to receive data from the intended destination in the first modulation method when the intended destination is the first type of receiver.

141. (New) The device of claim 134, wherein the transceiver is configured to receive data from the intended destination in the second modulation method when the intended destination is the second type of receiver.

142. (New) The device of claim 123, the transceiver is configured to transmit a third sequence, according to the first modulation method, at a time after the second sequence is transmitted.

143. (New) The device of claim 123, wherein the transceiver transmits data modulated according to either the first modulation method or the second modulation method at any given point in time when the transceiver is transmitting.

144. (New) The device of claim 127, wherein the memory comprises an erasable programmable read-only memory.

145. (New) The device of claim 11, wherein the memory comprises an erasable programmable read-only memory.

146. (New) The device of claim 20, wherein the memory comprises an erasable programmable read-only memory.

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(New) The device of claim 28, wherein the memory comprises an erasable 147. programmable read-only memory.

148. (New) The device of claim 47, wherein the memory comprises an erasable programmable read-only memory.

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REMARKS

The Notice of Non-Compliant Amendment dated March 10, 2011 indicates that new claim 123, as submitted in Applicant's amendment/response dated March 1, 2011, contained improper markings. In the claim listing submitted herewith, this informality in claim 123 has been corrected. Applicant respectfully requests that the corrected claim listing above replace the claim listing contained in the response dated March 1, 2011.

Date: March 10, 2011

/Michael A. Koptiw/ Michael A. Koptiw Registration No. 57,900

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Facsimile: (215) 568-3439

Electronic Acl	Electronic Acknowledgement Receipt							
EFS ID:	9633247							
Application Number:	12543910							
International Application Number:								
Confirmation Number:	8306							
Title of Invention:	System and Method of Communication Via Embedded Modulation							
First Named Inventor/Applicant Name:	Gordon F. Bremer							
Customer Number:	23377							
Filer:	Michael Koptiw Jr./Kathy Franchi							
Filer Authorized By:	Michael Koptiw Jr.							
Attorney Docket Number:	REMB-0109							
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Time Stamp:	16:21:58							
Application Type:	Utility under 35 USC 111(a)							

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	REMB-0109-transmittal.PDF	277493	no	2
'	Miscellaneous incoming Letter	NEMB 6169 Harisinittaili Bi	bc6c00887f407a2891deeae56666bc28659 2ef03		_

Warnings:

Information: Page 182 of 432

2		REMB-0109-reply.PDF	101051	yes	15
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	Multip	art Description/PDF files in .	zip description		
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	Claims	2		14	
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Document Description: Transmittal Letter

PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 12/543,910 Filing Date TRANSMITTAL August 19, 2009 First Named Inventor **FORM** Gordon Bremer Art Unit 2611 Examiner Name Dac V. Ha (to be used for all correspondence after initial filing) Attorney Docket Number REMB-0109 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify / Terminal Disclaimer Extension of Time Request below): Amendment in response to Notice of Request for Refund **Express Abandonment Request** Non-Compliant Amendment CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Woodcock Washburn, LLP Signature /Michael A. Koptiw/ Printed name Michael A. Koptiw Reg. No. Date 57900 March 10, 2011

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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 12/543,910			ing Date 19/2009	To be Mailed								
	APPLICATION AS FILED – PART I (Column 1) (Column 2)							ENTITY	OR		HER THAN ALL ENTITY								
	FOR	N	JMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)								
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			N/A									
	SEARCH FEE (37 CFR 1.16(k), (i),	or (m))	N/A		N/A		N/A			N/A									
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A									
	AL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X \$ =									
	EPENDENT CLAIN CFR 1.16(h))			nus 3 = *			X \$ =			X \$ =									
	APPLICATION SIZE 37 CFR 1.16(s))	shee is \$2 addit	ts of pap 50 (\$125 onal 50 s	er, the application for small enti sheets or fraction	wings exceed 100 ation size fee due ity) for each ction thereof. See 37 CFR 1.16(s).														
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))															
* If t	he difference in col	umn 1 is less than	zero, ente	r "0" in column	2.		TOTAL		l '	TOTAL									
	APP	(Column 1)	AMEND	(Column 2)			SMAL	L ENTITY	OR		ER THAN ALL ENTITY								
AMENDMENT	03/10/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL PAID FOR	PRESENT LY EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)								
ME	Total (37 CFR 1.16(i))	* 79	Minus	** 100	=		X \$ =		OR	X \$ =									
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٩ME	Application S	ize Fee (37 CFR 1	.16(s))																
1	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37	7 CFR 1.16(j))				OR										
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE									
		(Column 1)		(Column 2)) (Column 3)														
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL PAID FOR	PRESENT LY EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)								
DMENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =									
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AMI	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37	7 CFR 1.16(j))				OR										
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** If *** I	the "Highest Numb f the "Highest Numb	er Previously Paid oer Previously Paid	For" IN TH For" IN T	IIS SPACE is I	ess than 20, enter "20" less than 3, enter "3".		/PĒGG`	Y YARBOROL	JGH/	er:	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.								

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Application No.: 12/543,910

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Gordon Bremer

Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611 Filing Date: August 19, 2009 Examiner: Dac V Ha

For: System and Method of Communication Via Embedded Modulation

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REPLY PURSUANT TO 37 CFR § 1.111(a)(2)

Further to the response to the Office Action dated September 1, 2010 and the Notice of Non-Compliant Amendment dated March 10, 2011.

	Amendments to the Specification begin on page of this paper.
\boxtimes	Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.
	Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
\boxtimes	Remarks begin on page 14 of this paper.
	Request For Refund submitted herewith.

Application No.: 12/543,910

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (Currently Amended) A communication device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, wherein the each transmission[[s]] comprises a group[s]] of transmission sequences, wherein each group of said groups of transmission sequences is structured with at least a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence[[,]] <u>that is</u> modulated according to the second modulation method, wherein the second sequence is transmitted after the first sequence.

2. (Previously Presented) The device of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.

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3.-8. (Canceled)

9. (Previously Presented) The device of claim 1, wherein the transceiver is configured to

transmit the second sequence according to a specific time interval.

10. (Previously Presented) The device of claim 1, wherein the transceiver is configured to

transmit the second sequence according to a particular quantity of data.

1. (Previously Presented) The device of claim 1, further comprising a processor and a

memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver to transmit the first sequence and the second sequence.

12. (Previously Presented) The device of claim 11, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

13. (Previously Presented) The device of claim 11, wherein the memory comprises

random access memory.

14. (Previously Presented) The device of claim 11, wherein the memory comprises read-

only memory.

15. (Previously Presented) The device of claim 11, wherein the memory has stored therein

program code for operating the transceiver in a multipoint master/slave relationship.

16. - 17. (Canceled)

8. (Previously Presented) The device of claim 1, wherein the first communication from the

master to the slave is a poll in accordance with a multipoint communications relationship,

wherein the poll indicates that the master has selected the slave for transmission.

19. (Canceled)

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20. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

- 21. 26. (Canceled)
- 27. (Previously Presented) The device of claim 20, wherein transmission of the second data is according to a specific time interval.
- 28. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

29. (Previously Presented) The device of claim 20, further comprising a transmitter configured to transmit the first data and the second data.

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30. (Previously Presented) The device of claim 20, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

31. (Previously Presented) The device of claim 20, wherein the memory comprises random

access memory.

32. (Previously Presented) The device of claim 20, wherein the memory comprises read-

only memory.

33. (Previously Presented) The device of claim 20, wherein the memory has stored therein

program code for a multipoint communications protocol.

34. - 36. (Canceled)

37. (Previously Presented) A device that transmits in accordance with a first modulation

method and a second modulation method that is different than the first modulation method,

said device comprising:

at least one modulator;

a transceiver that includes the at least one modulator, wherein the transceiver is

configured to transmit:

a first sequence, modulated in accordance with the first modulation method,

that indicates an impending change from the first modulation method to the second

modulation method, and

a second sequence, in accordance with the second modulation method, that is

transmitted at a time after the first data sequence.

8. (Previously Presented) The device of claim 37, wherein the transceiver is configured to

transmit a third sequence after the second sequence, wherein the third sequence is transmitted

in accordance with the first modulation method and indicates that a subsequent

communication has reverted to the first modulation method.

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39. – 44. (Canceled)

45. (Previously Presented) The device of claim 37, wherein the transceiver is configured to

transmit the second sequence according to a specific time interval.

46. (Previously Presented) The device of claim 37, wherein the transceiver is configured to

transmit the second sequence according to a particular quantity of data.

47. (Original) The device of claim 37, further comprising a processor and a memory,

wherein the memory has stored therein instructions that when executed by the processor

cause the transmitter to transmit the first sequence and the second sequence.

48. (Original) The device of claim 47, wherein the memory comprises random access

memory.

49. (Original) The device of claim 47, wherein the memory comprises read-only memory.

50. (Original) The device of claim 47, wherein the memory has stored therein program

code for a multipoint communications protocol.

51. – 86. (Canceled)

87. (Previously Presented) A computer-readable storage medium having a computer

executable instructions stored therein that when executed by a processor control a master

transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for

communication;

second logic configured to transmit a first sequence to notify of a change from said

first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation

method; and

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fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

88. - 93. (Canceled)

94. (Previously Presented) The computer-readable storage medium of claim 87, wherein

the first transceiver is configured to transmit the second sequence according to a specific time

interval.

95. (Currently Amended) A computer-readable storage medium having a computer

executable instructions stored therein that when executed by a processor control a master

transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for

communication;

second logic configured to transmit a first sequence to notify of a change from said

first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation

method; and

fourth logic configured to transmit a second sequence after the second information is

transmitted, wherein the fourth logic first transceiver is configured to transmit the second

sequence according to a particular quantity of data.

96. (Previously Presented) The computer-readable storage medium of claim 87, further

comprising program code for the first modulation method and the second modulation method.

97. (Previously Presented) The computer-readable storage medium of claim 87, further

comprising program code for a multipoint communications protocol.

98. – 100. (Canceled)

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101. (Previously Presented) The device of claim 1, wherein the transceiver is configured to

be the master.

102. (Previously Presented) The device of claim 1, wherein the first information in the first

portion indicates the first modulation method when the intended destination is a first type of

receiver and indicates the second modulation when the intended destination is a second type

of receiver.

103. (Previously Presented) The device of claim 102, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being designated

for transmitting in the second modulation method.

104. (Previously Presented) The device of claim 102, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being operable to

ignore transmissions intended for the first type of receiver.

105. (Previously Presented) The device of claim 104, wherein the intended destination

ignores transmissions in the second modulation when the intended destination is the first type

of receiver.

106. (Previously Presented) The device of claim 104, wherein the intended destination

ignores transmissions in the first modulation when the intended destination is the second type

of receiver.

107. (Previously Presented) The device of claim 104, wherein the intended destination is

the first type of receiver and unable to demodulate the second modulation method.

108. (Previously Presented) The device of claim 102, wherein the transceiver is configured

to receive data from the intended destination in the first modulation method when the

intended destination is the first type of receiver.

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109. (Previously Presented) The device of claim 102, wherein the transceiver is configured

to receive data from the intended destination in the second modulation method when the

intended destination is the second type of receiver.

110. (Previously Presented) The device of claim 1, the transceiver is configured to transmit

a third sequence, according to the first modulation method, at a time after the second

sequence is transmitted.

111. (Previously Presented) The device of claim 1, wherein the transceiver transmits data

modulated according to either the first modulation method or the second modulation method

at any given point in time when the transceiver is transmitting.

112. (Previously Presented) The device of claim 20, wherein transmission of the second

data is according to a particular quantity of data.

113. (Previously Presented) The device of claim 28, wherein transmission of the second

data is according to a specific time interval.

114. (Previously Presented) The device of claim 28, further comprising a transmitter

configured to transmit the first data and the second data.

115. (Previously Presented) The device of claim 28, wherein the memory has stored

therein program code for the first modulation method and the second modulation method.

116. (Previously Presented) The device of claim 28, wherein the memory comprises

random access memory.

117. (Previously Presented) The device of claim 28, wherein the memory comprises read-

only memory.

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118. (Previously Presented) The device of claim 28, wherein the memory has stored therein program code for a multipoint communications protocol.

- 119. (Previously Presented) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 120. (Previously Presented) The computer-readable storage medium of claim 95, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 121. (Previously Presented) The computer-readable storage medium of claim 95, further comprising program code for the first modulation method and the second modulation method.
- 122. (Previously Presented) The computer-readable storage medium of claim 95, further comprising program code for a multipoint communications protocol.
- 123. (Previously Presented) A communication device capable of communicating according to a master/slave relationship in which a slave message from a slave to a master occurs in response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, capable of transmitting using at least two types of modulation methods, wherein the at least two types of modulation method comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the transceiver is configured to transmit messages with:

a first sequence, in the first modulation method, that indicates at least which of the first modulation method and the second modulation method is used for modulating a second sequence, wherein, in at least one message, the first sequence indicates an impending change from the first modulation method to the second modulation method, and wherein the at least one message is addressed for an intended destination of the second sequence, and

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the second sequence, modulated in accordance with the modulation method indicated by the first sequence and, in the at least one message, modulated using the second modulation method, wherein the second sequence is transmitted after the first sequence.

124. (Previously Presented) The device of claim 123, wherein the transceiver is configured

to transmit a third sequence after the second sequence, wherein the third sequence is

transmitted in the first modulation method and indicates that communication from the master

to the slave has reverted to the first modulation method.

125. (Previously Presented) The device of claim 123, wherein the transceiver is configured

to transmit the second sequence according to a specific time interval.

126. (Previously Presented) The device of claim 123, wherein the transceiver is configured

to transmit the second sequence according to a particular quantity of data.

127. (Previously Presented) The device of claim 123, further comprising a processor and a

memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver to transmit the first sequence and the second sequence.

128. (Previously Presented) The device of claim 127, wherein the memory has stored

therein program code for the first modulation method and the second modulation method.

129. (Previously Presented) The device of claim 127, wherein the memory comprises

random access memory.

130. (Previously Presented) The device of claim 127, wherein the memory comprises read-

only memory.

31. (Previously Presented) The device of claim 127, wherein the memory has stored

therein program code for operating the transceiver in a multipoint master/slave relationship.

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132. (Previously Presented) The device of claim 123, wherein the first communication

from the master to the slave is a poll in accordance with a multipoint communications

relationship, wherein the poll indicates that the master has selected the slave for transmission.

133. (Previously Presented) The device of claim 123, wherein the transceiver is configured

to be the master.

134. (Previously Presented) The device of claim 123, wherein the first information in the

first portion indicates the first modulation method when the intended destination is a first type

of receiver and indicates the second modulation when the intended destination is a second

type of receiver.

135. (Previously Presented) The device of claim 134, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being designated

for transmitting in the second modulation method.

136. (Previously Presented) The device of claim 134, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being operable to

ignore transmissions intended for the first type of receiver.

137. (Previously Presented) The device of claim 136, wherein the intended destination

ignores transmissions in the second modulation when the intended destination is the first type

of receiver.

138. (Previously Presented) The device of claim 136, wherein the intended destination

ignores transmissions in the first modulation when the intended destination is the second type

of receiver.

139. (Previously Presented) The device of claim 136, wherein the intended destination is

the first type of receiver and unable to demodulate the second modulation method.

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140. (Previously Presented) The device of claim 134, wherein the transceiver is configured

to receive data from the intended destination in the first modulation method when the

intended destination is the first type of receiver.

141. (Previously Presented) The device of claim 134, wherein the transceiver is configured

to receive data from the intended destination in the second modulation method when the

intended destination is the second type of receiver.

142. (Previously Presented) The device of claim 123, the transceiver is configured to

transmit a third sequence, according to the first modulation method, at a time after the second

sequence is transmitted.

143. (Previously Presented) The device of claim 123, wherein the transceiver transmits

data modulated according to either the first modulation method or the second modulation

method at any given point in time when the transceiver is transmitting.

144. (Previously Presented) The device of claim 127, wherein the memory comprises an

erasable programmable read-only memory.

145. (Previously Presented) The device of claim 11, wherein the memory comprises an

erasable programmable read-only memory.

146. (Previously Presented) The device of claim 20, wherein the memory comprises an

erasable programmable read-only memory.

147. (Previously Presented) The device of claim 28, wherein the memory comprises an

erasable programmable read-only memory.

148. (Previously Presented) The device of claim 47, wherein the memory comprises an

erasable programmable read-only memory.

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REMARKS

The present supplemental reply is submitted to amend claims 1 and 95. Applicant requests that this amendment be entered.

Date: May 11, 2011

/Steven B. Samuels/ Steven B. Samuels Registration No. 37,711

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100

Facsimile: (215) 568-3439

Electronic Acl	Electronic Acknowledgement Receipt							
EFS ID:	10070607							
Application Number:	12543910							
International Application Number:								
Confirmation Number:	8306							
Title of Invention:	System and Method of Communication Via Embedded Modulation							
First Named Inventor/Applicant Name:	Gordon F. Bremer							
Customer Number:	23377							
Filer:	Steven Samuels/Kathy Franchi							
Filer Authorized By:	Steven Samuels							
Attorney Docket Number:	REMB-0109							
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Time Stamp:	17:10:04							
Application Type:	Utility under 35 USC 111(a)							

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	REMB-0109-Transmittal.PDF	283415	no	2
ı	Miscellaneous incoming Letter	NEMB 0109 Hansilittai.i Bi	88908dcbf9c9d856909217b32cb7abc00e2 bedea	***	

Warnings:

Information: Page 201 of 432

2		REMB-0109-Reply.PDF	91422	yes	14
		NEMB 0105 Reply. Bi	77966549c5a1bd4db4212e3b7fdab9d847 561fb8	yes	
	Multip	art Description/PDF files in	zip description		
	Document Des	Start	End		
	Supplemental Response or Sup	1	1		
	Claims	2		13	
	Applicant Arguments/Remarks	14	14		
Warnings:					
Information:					
		Total Files Size (in bytes)	37	74837	

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 12/543,910 Filing Date TRANSMITTAL August 19, 2009 First Named Inventor **FORM** Gordon Bremer Art Unit 2611 **Examiner Name** Dac V. Ha (to be used for all correspondence after initial filing) Attorney Docket Number REMB-0109 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ~ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Woodcock Washburn, LLP Signature /Steven B. Samuels/ Printed name Steven B. Samuels Reg. No. Date 37,711 May 11, 2011 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

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The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 12/543,910		Filing Date 08/19/2009		To be Mailed	
	APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL	ENTITY	OR		HER THAN ALL ENTITY	
	FOR	N	JMBER FIL	.ED NUM	IBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))	N/A		N/A		N/A			N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A		
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	S	m	nus 3 = *			X \$ =			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$2 addit	ts of pape 50 (\$125 ional 50 s	ation and drawing er, the applicatio for small entity) sheets or fractior a)(1)(G) and 37	n size fee due for each n thereof. See							
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))								
* If t	he difference in colu	ımn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL		
	APP	(Column 1)	AMEND	DED - PART II (Column 2)	(Column 3)		SMALL ENTITY			OTHER THAN OR SMALL ENTITY		
AMENDMENT	05/11/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
ME	Total (37 CFR 1.16(i))	* 79	Minus	** 100	= 0		X \$ =		OR	X \$52=	0	
H H	Independent (37 CFR 1.16(h))	* 7	Minus	***7	= 0		X \$ =		OR	X \$220=	0	
٩ME	Application Size Fee (37 CFR 1.16(s))											
_	FIRST PRESEN	ITATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0	
		(Column 1)		(Column 2)	(Column 3)							
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTR A		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
EN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =		
DMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =		
	Application Si	ze Fee (37 CFR 1	.16(s))									
AM	FIRST PRESEN	ITATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
** If *** I	the entry in column the "Highest Numbe If the "Highest Numb "Highest Number P	er Previously Paid er Previously Paid	For" IN TH I For" IN T	IIS SPACE is less HIS SPACE is less	than 20, enter "20' than 3, enter "3".		/BRENI	nstrument Ex DA MURPHY/ priate box in colu		er:		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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COPY OF RESPONSE FILED MAY 11, 2011

DOCKET NO.: REMB-0109 Application No.: 12/543,910 PATENT

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Confirmation No.: 8306

Gordon Breiner

Group Art Unit: 2611

Application No.: 12/543,910 Filing Date: August 19, 2009

Examiner: Dac V Ha

For: System and Method of Communication Via Embedded Modulation

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REPLY PURSUANT TO 37 CFR § 1.111(a)(2)

Further to the response to the Office Action dated September 1, 2010 and the Notice of Non-Compliant Amendment dated March 10, 2011.

	Amendments to the Specification begin on page of this paper.
\boxtimes	Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.
	Amendments to the Drawings begin on page of this paper and include at attached replacement sheet.
\boxtimes	Remarks begin on page 14 of this paper.
	Request For Refund submitted herewith.

DOCKET NO.: REMB-0109 Application No.: 12/543,910

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (Currently Amended) A communication device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, wherein the each transmission[[s]] comprises a group[[s]] of transmission sequences, wherein each group of said groups of transmission sequences is structured with at least a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence[[,]] that is modulated according to the second modulation method, wherein the second sequence is transmitted after the first sequence.

2. (Previously Presented) The device of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.

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3.-8. (Canceled)

- 9. (Previously Presented) The device of claim 1, wherein the transceiver is configured to transmit the second sequence according to a specific time interval.
- 10. (Previously Presented) The device of claim 1, wherein the transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 11. (Previously Presented) The device of claim 1, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transceiver to transmit the first sequence and the second sequence.
- 12. (Previously Presented) The device of claim 11, wherein the memory has stored therein program code for the first modulation method and the second modulation method.
- 13. (Previously Presented) The device of claim 11, wherein the memory comprises random access memory.
- 14. (Previously Presented) The device of claim 11, wherein the memory comprises readonly memory.
- 15. (Previously Presented) The device of claim 11, wherein the memory has stored therein program code for operating the transceiver in a multipoint master/slave relationship.
- 16. 17. (Canceled)
- 18. (Previously Presented) The device of claim 1, wherein the first communication from the master to the slave is a poll in accordance with a multipoint communications relationship, wherein the poll indicates that the master has selected the slave for transmission.

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19. (Canceled)

20. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

21. - 26. (Canceled)

- 27. (Previously Presented) The device of claim 20, wherein transmission of the second data is according to a specific time interval.
- 28. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

PAGE 5/16 * RCVD AT 5/11/2011 5:16:24 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/22 * DNIS:2738300 * CSID:2155683439 * DURATION (mm-ss):02-18

DOCKET NO.: REMB-0109 Application No.: 12/543,910 PATENT

Notice of Non-Compliant Amendment Dated: March 10, 2011

Office Action Dated: September 1, 2010

29. (Previously Presented) The device of claim 20, further comprising a transmitter configured to transmit the first data and the second data.

- 30. (Previously Presented) The device of claim 20, wherein the memory has stored therein program code for the first modulation method and the second modulation method.
- 31. (Previously Presented) The device of claim 20, wherein the memory comprises random access memory.
- 32. (Previously Presented) The device of claim 20, wherein the memory comprises readonly memory.
- 33. (Previously Presented) The device of claim 20, wherein the memory has stored therein program code for a multipoint communications protocol.

34. - 36. (Canceled)

37. (Previously Presented) A device that transmits in accordance with a first modulation method and a second modulation method that is different than the first modulation method, said device comprising:

at least one modulator;

a transceiver that includes the at least one modulator, wherein the transceiver is configured to transmit:

a first sequence, modulated in accordance with the first modulation method, that indicates an impending change from the first modulation method to the second modulation method, and

a second sequence, in accordance with the second modulation method, that is transmitted at a time after the first data sequence.

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38. (Previously Presented) The device of claim 37, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation method and indicates that a subsequent communication has reverted to the first modulation method.

39. - 44. (Canceled)

- 45. (Previously Presented) The device of claim 37, wherein the transceiver is configured to transmit the second sequence according to a specific time interval.
- 46. (Previously Presented) The device of claim 37, wherein the transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 47. (Original) The device of claim 37, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transmitter to transmit the first sequence and the second sequence.
 - 48. (Original) The device of claim 47, wherein the memory comprises random access memory.
 - 49. (Original) The device of claim 47, wherein the memory comprises read-only memory.
 - 50. (Original) The device of claim 47, wherein the memory has stored therein program code for a multipoint communications protocol.
 - 51. 86. (Canceled)
 - 87. (Previously Presented) A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

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first logic configured to transmit first information in a first modulation method for communication:

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

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- 94. (Previously Presented) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
 - 95. (Currently Amended) A computer-readable storage medium having a computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the <u>fourth logic</u> first transceiver is configured to transmit the second sequence according to a particular quantity of data.

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96. (Previously Presented) The computer-readable storage medium of claim 87, further comprising program code for the first modulation method and the second modulation method.

97. (Previously Presented) The computer-readable storage medium of claim 87, further comprising program code for a multipoint communications protocol.

98. - 100. (Canceled)

101. (Previously Presented) The device of claim 1, wherein the transceiver is configured to be the master.

102. (Previously Presented) The device of claim 1, wherein the first information in the first portion indicates the first modulation method when the intended destination is a first type of receiver and indicates the second modulation when the intended destination is a second type of receiver.

- 103. (Previously Presented) The device of claim 102, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being designated for transmitting in the second modulation method.
- 104. (Previously Presented) The device of claim 102, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being operable to ignore transmissions intended for the first type of receiver.
- 105. (Previously Presented) The device of claim 104, wherein the intended destination ignores transmissions in the second modulation when the intended destination is the first type of receiver.

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106. (Previously Presented) The device of claim 104, wherein the intended destination ignores transmissions in the first modulation when the intended destination is the second type of receiver.

- 107. (Previously Presented) The device of claim 104, wherein the intended destination is the first type of receiver and unable to demodulate the second modulation method.
- 108. (Previously Presented) The device of claim 102, wherein the transceiver is configured to receive data from the intended destination in the first modulation method when the intended destination is the first type of receiver.
- 109. (Previously Presented) The device of claim 102, wherein the transceiver is configured to receive data from the intended destination in the second modulation method when the intended destination is the second type of receiver.
- 110. (Previously Presented) The device of claim 1, the transceiver is configured to transmit a third sequence, according to the first modulation method, at a time after the second sequence is transmitted.
- 111. (Previously Presented) The device of claim 1, wherein the transceiver transmits data modulated according to either the first modulation method or the second modulation method at any given point in time when the transceiver is transmitting.
- 112. (Previously Presented) The device of claim 20, wherein transmission of the second data is according to a particular quantity of data.
- 113. (Previously Presented) The device of claim 28, wherein transmission of the second data is according to a specific time interval.

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114. (Previously Presented) The device of claim 28, further comprising a transmitter configured to transmit the first data and the second data.

- 115. (Previously Presented) The device of claim 28, wherein the memory has stored therein program code for the first modulation method and the second modulation method.
- 116. (Previously Presented) The device of claim 28, wherein the memory comprises random access memory.
- 117. (Previously Presented) The device of claim 28, wherein the memory comprises readonly memory.
- 118. (Previously Presented) The device of claim 28, wherein the memory has stored therein program code for a multipoint communications protocol.
- 119. (Previously Presented) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a particular quantity of data.
- 120. (Previously Presented) The computer-readable storage medium of claim 95, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.
- 121. (Previously Presented) The computer-readable storage medium of claim 95, further comprising program code for the first modulation method and the second modulation method.
- 122. (Previously Presented) The computer-readable storage medium of claim 95, further comprising program code for a multipoint communications protocol.

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A communication device capable of communicating (Previously Presented) 123. according to a master/slave relationship in which a slave message from a slave to a master occurs in response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, capable of transmitting using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the transceiver is configured to transmit messages with:

a first sequence, in the first modulation method, that indicates at least which of the first modulation method and the second modulation method is used for modulating a second sequence, wherein, in at least one message, the first sequence indicates an impending change from the first modulation method to the second modulation method, and wherein the at least one message is addressed for an intended destination of the second sequence, and

the second sequence, modulated in accordance with the modulation method indicated by the first sequence and, in the at least one message, modulated using the second modulation method, wherein the second sequence is transmitted after the first sequence.

- 124. (Previously Presented) 'The device of claim 123, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.
- (Previously Presented) The device of claim 123, wherein the transceiver is configured to transmit the second sequence according to a specific time interval.
- (Previously Presented) The device of claim 123, wherein the transceiver is configured 126. to transmit the second sequence according to a particular quantity of data.

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127. (Previously Presented) The device of claim 123, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the processor cause the transceiver to transmit the first sequence and the second sequence.

- 128. (Previously Presented) The device of claim 127, wherein the memory has stored therein program code for the first modulation method and the second modulation method.
- 129. (Previously Presented) The device of claim 127, wherein the memory comprises random access memory.
- 130. (Previously Presented) The device of claim 127, wherein the memory comprises read only memory,
- 131. (Previously Presented) The device of claim 127, wherein the memory has stored therein program code for operating the transceiver in a multipoint master/slave relationship.
- 132. (Previously Presented) The device of claim 123, wherein the first communication from the master to the slave is a poll in accordance with a multipoint communications relationship, wherein the poll indicates that the master has selected the slave for transmission.
- 133. (Previously Presented) The device of claim 123, wherein the transceiver is configured to be the master.
- 134. (Previously Presented) The device of claim 123, wherein the first information in the first portion indicates the first modulation method when the intended destination is a first type of receiver and indicates the second modulation when the intended destination is a second type of receiver.

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135. (Previously Presented) The device of claim 134, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being designated for transmitting in the second modulation method.

- 136. (Previously Presented) The device of claim 134, wherein the second type of receiver differs from the first type of receiver at least by the second type of receiver being operable to ignore transmissions intended for the first type of receiver.
- 137. (Previously Presented) The device of claim 136, wherein the intended destination ignores transmissions in the second modulation when the intended destination is the first type of receiver.
- 138. (Previously Presented) The device of claim 136, wherein the intended destination ignores transmissions in the first modulation when the intended destination is the second type of receiver.
- 139. (Previously Presented) The device of claim 136, wherein the intended destination is the first type of receiver and unable to demodulate the second modulation method.
- 140. (Previously Presented) The device of claim 134, wherein the transceiver is configured to receive data from the intended destination in the first modulation method when the intended destination is the first type of receiver.
- 141. (Previously Presented) The device of claim 134, wherein the transceiver is configured to receive data from the intended destination in the second modulation method when the intended destination is the second type of receiver.
- 142. (Previously Presented) The device of claim 123, the transceiver is configured to transmit a third sequence, according to the first modulation method, at a time after the second sequence is transmitted.

PAGE 14/16 * RCVD AT 5/11/2011 5:16:24 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/22 * DNIS:2738300 * CSID:2155683439 * DURATION (mm-ss):02-18

PATENT

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Office Action Dated: September 1, 2010

143. (Previously Presented) The device of claim 123, wherein the transceiver transmits data modulated according to either the first modulation method or the second modulation method at any given point in time when the transceiver is transmitting.

- 144. (Previously Presented) The device of claim 127, wherein the memory comprises an erasable programmable read-only memory.
- 145. (Previously Presented) The device of claim 11, wherein the memory comprises an erasable programmable read-only memory.
- 146. (Previously Presented) The device of claim 20, wherein the memory comprises an erasable programmable read-only memory.
- 147. (Previously Presented) The device of claim 28, wherein the memory comprises an erasable programmable read-only memory.
- 148. (Previously Presented) The device of claim 47, wherein the memory comprises an erasable programmable read-only memory.

PAGE 15/16 * RCVD AT 5/11/2011 5:16:24 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/22 * DNIS:2738300 * CSID:2155683439 * DURATION (mm-ss):02-18

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Office Action Dated: September 1, 2010

REMARKS

The present supplemental reply is submitted to amend claims 1 and 95. Applicant requests that this amendment be entered.

Date: May 11, 2011

/Steven B. Samuels/ Steven B. Samuels Registration No. 37,711

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1) Supplemental Reply to Office Action

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Substitute for 1	Substitute for 1449/PTO			Application Number	12/543,910
INFORMATION DISCLOSURE				Filing Date	August 19, 2009
STAT	STATEMENT BY APPLICANT			First Named Inventor	Gordon F. Bremer
				Art Unit	2611
	(use as many sheets as necessary)			Examiner Name	Dac V. Ha
Sheet	1	of	1	Attorney Docket Number	REMB-0109

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	,,,,,		Т			
	253	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminal Equipments and Protocols For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network", ITU-T Recommendation T.30, July 1996, 176 pages				
	254	International Telecommunications Union, The International Telegraph and Telephone Consultative Committee (CCITT), Data Communication Over The Telephone Network, "A 2-Wire Modem For Facsimile Applications With Rates Up to 14 400 bit/s", Recommendation V.17, February 1991, 13 pages				
	255	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminal Equipments and Protocols For Telematic Services, "Standardization of Group 3 Facsimile Terminals for Document Transmission", ITU-T Recommendation T.4, July 1996, 60 pages				
	256	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminals For Telematic Services, "Standardization of Group 3 Facsimile Terminals for Document Transmission", ITU-T Recommendation T.4 – Amendment 1, July 1997, 10 pages				
	257	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminals For Telematic Services, "Standardization of Group 3 Facsimile Terminals for Document Transmission", ITU-T Recommendation T.4 – Amendment 2, October 1997, 14 pages				
	258	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminals For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network", ITU-T Recommendation T.30 – Amendment 1, July 1997, 110 pages				
	259	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminals For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network", ITU-T Recommendation T.30 – Amendment 2, October 1997, 18 pages				

Examiner	Date	
Signature	Considered	

DOCKET NO.: REMB-0109 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon F. Bremer Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611

Filing Date: August 19, 2009 Examiner: Dac V. Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

MODULATION

Filed Via EFS

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

IDS Filed Under 37 CFR 1.97(b)

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

IDS filed Under 37 CFR 1.97(c) ■

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

	Certification in Accordan	ce with	§ 1.9	97(e) is	attached;	or
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The fee of \$180.00 as set forth in \$1.17(p) is attached.

DOCKE	Γ NO.: REMB-0109 PATENT
	IDS filed Under 37 CFR 1.97(d) In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of §180.00 as set forth in § 1.17(p).
CONTEN	T OF IDS PURSUANT TO 37 CFR 1.98
	Copies of reference numbers listed on the attached Form PTO-1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(iii).
	Copies of reference numbers 253-259 listed on the attached Form PTO-1449 are enclosed herewith.
	Copies of reference numbers are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number, filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.
	The month of publication for reference numbers is not available. However, the year of publication for these references is sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue pursuant to 37 CFR § 1.98(b).
REFERE	NCES IN A LANGUAGE OTHER THAN ENGLISH
	The following documents are not in the English language. Accordingly, a concise explanation of the relevance of the document was incorporated in the specification passages identified below, the document was identified in a foreign communication as identified below or an English language counterpart application has been provided as indicated below.

Foreign Language Document	Cite No.	Pages of Reference in Specification or Relevance of Document

DOCKET NO.: REMB-0109 PATENT

Foreign Language Document	Cite No.	English Language Counterpart	Cite No.

☐ CERTIFICATION IN ACCORDANCE WITH § 1.97(e) I hereby certify that: ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. Date: May 12, 2011 /Steven B. Samuels/

Steven B. Samuels Registration No. 37,711

WOODCOCK WASHBURN LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

Electronic Patent Application Fee Transmittal					
Application Number:	Number: 12543910				
Filing Date:	19-	Aug-2009			
Title of Invention:	Sy:	item and Method o	f Communicatio	n Via Embedded I	Modulation
First Named Inventor/Applicant Name:	Gordon F. Bremer				
Filer:	Steven Samuels/Nicole Spencer				
Attorney Docket Number:	prney Docket Number: REMB-0109				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:	Miscellaneous-Filing:				
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt			
EFS ID:	10074371		
Application Number:	12543910		
International Application Number:			
Confirmation Number:	8306		
Title of Invention:	System and Method of Communication Via Embedded Modulation		
First Named Inventor/Applicant Name:	Gordon F. Bremer		
Customer Number:	23377		
Filer:	Steven Samuels/Nicole Spencer		
Filer Authorized By:	Steven Samuels		
Attorney Docket Number:	REMB-0109		
Receipt Date:	12-MAY-2011		
Filing Date:	19-AUG-2009		
Time Stamp:	16:31:29		
Application Type:	Utility under 35 USC 111(a)		
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File Listing:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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			Complete if Known		
449/PTO			Application Number	12/543,910	
RMATION	DISCLOS	URE	Filing Date	August 19, 2009	
STATEMENT BY APPLICANT			First Named Inventor	Gordon F. Bremer	
			Art Unit	2611	
fuen na manu she	(Vnsppanen sk eto		Examiner Name	Dac V. Ha	
(USB as many sno	of	[<u> </u>	Attorney Docket Number	REMB-0109	
	RMATION TEMENT B	RMATION DISCLOS TEMENT BY APPLIC	RMATION DISCLOSURE TEMENT BY APPLICANT (use as many sheets as necessary)	Application Number RMATION DISCLOSURE Filling Date First Named Inventor Art Unit (use as many sheets as necessary) Examiner Name	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	include name of the author, title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, nage(s), Volume-lasue Number(s), publisher, city and/or country where published.	т
	253	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminal Equipments and Protocols For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network", ITU-T Recommendation T.30, July 1996, 176 pages	
	254	International Telecommunications Union, The International Telegraph and Telephone Consultative Committee (CCITT), Data Communication Over The Telephone Network, "A 2-Wire Modern For Facsimile Applications With Rates Up to 14 400 bit/s", Recommendation V.17, February 1991, 13 pages	
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_	258	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminals For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network!", ITU-T Recommendation T.30 – Amendment 1, July 1997, 110 pages	
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Examinor	Date
Signature	Considered
Signature	



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- 2) Information Disclosure Statement by Applicant (1 page)

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DOCKET NO.: REMB-0109

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon F. Bremer

Confirmation No.: 8306

Application No.: 12/543,910

Group Art Unit: 2611

Filing Date: August 19, 2009

Examiner: Dac V. Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

EXMINIEL: DEC 4. Ha

MODULATION

Filed Via EFS

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

IDS Filed Under 37 CFR 1.97(b)

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

MS filed Under 37 CFR 1.97(c)

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes presecution in the application, therefore:

Certification in Accordance with § 1.9	7(e) is attached; or

 \square The fee of §180.00 as set forth in § 1.17(p) is attached.

PATENT DOCKET NO.: REMB-0109 IDS filed Under 37 CFR 1.97(d) In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p). CONTENT OF IDS PURSUANT TO 37 CFR 1.98 listed on the attached Form PTO-1449 are not required to Copies of reference numbers be submitted pursuant to 37 CFR § 1.98(a)(2)(iii). Copies of reference numbers 253-259 listed on the attached Form PTO-1449 are enclosed herewith. are not being submitted because they were previously Copies of reference numbers cited by or submitted to the U.S. Patent and Trademark Office in patent application number for which a claim for priority under 35 U.S.C. § 120 has been made in the , filed instant application. The month of publication for reference numbers is not available. However, the year of publication for these references is sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue pursuant to 37 CFR § 1.98(b). <u>REFERENCES IN A LANGUAGE OTHER THAN ENGLISH</u> The following documents are not in the English language. Accordingly, a concise explanation of the relevance of the document was incorporated in the specification passages identified below, the document was identified in a foreign communication as identified below or an English language counterpact application has been provided as indicated below. Pages of Reference in Specification or

Relevance of Document

Cite No.

Foreign Language

Document

DOCKET NO.: REMB-0109 PATENT

Foreign Language Document	Cit∌ No.	English Language Counterpart	Cite No.
CERTIFICATION IN	ACCORDA	NCE WITH § 1.97(e)	

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I hereby certify that:

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: May 12, 2011

/Steven B. Samuels/ Steven B. Samuels Registration No. 37,711

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Facsimile: (215) 568-3439

				Complete if Known		
Substitute for	1449/PTO			Application Number	12/543,910	
INFO	RMATION	DISCLOS	URE	Filing Date	August 19, 2009	
STA	TEMENT B	Y APPLIC	ANT	First Named Inventor	Gordon F. Bremer	
				Art Unit	2611	
	(use as many she	els as necessary)		Examiner Name	Dac V. Ha	
Sheet	1	of	1	Attorney Dacket Number	REMB-0109	
	<u> </u>					

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Examiner initials	Cite No.	Include name of the author, title of the article (whon appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Volume-Issue Number(s), publisher, city and/or country where published.	Т					
	253	International Telecommunications Union, Telecommunication Standardization Sector of ITU (ITU-T), Series T: Terminal Equipments and Protocols For Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network", ITU-T Recommendation T.30, July 1996, 176 pages						
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DOCKET NO.: REMB-0109

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gordon F. Bremer

Confirmation No.: 8306

Application No.: 12/543,910

Group Art Unit: 2611

Filing Date: August 19, 2009

Examiner: Dac V. Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

MODULATION

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Ccrtification in Accordance with § 1.97(e) is attached	; 01
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The fee of §180.00 as set forth in § 1.17(p) is attached.

PATENT DOCKET NO.: REMB-0109 \Box IDS filed Under 37 CFR 1.97(d) In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p). CONTENT OF IDS PURSUANT TO 37 CFR 1.98 listed on the attached Form PTO-1449 are not required to П Copies of reference numbers be submitted pursuant to 37 CFR § 1.98(a)(2)(iii). Copies of reference numbers 253-259 listed on the attached Form PTO-1449 are enclosed herewith. . : are not being submitted because they were previously Copies of reference numbers cited by or submitted to the U.S. Patent and Trademark Office in patent application number for which a claim for priority under 35 U.S.C. § 120 has been made in the , filed instant application. The month of publication for reference numbers is not available. However, the year of publication for these references is sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue pursuant to 37 CFR § 1.98(b). REFERENCES IN A LANGUAGE O'THER THAN ENGLISH The following documents are not in the English language. Accordingly, a concise explanation of the relevance of the document was incorporated in the specification passages identified below, the document was identified in a foreign communication as identified below or an English language counterpart application has been provided as indicated below. Pages of Reference in Specification or Foreign Language Cite No. Relevance of Document Document

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any communication from	n a foreign p	in this information disclosure statement was natent office in a counterpart foreign applicat of this information disclosure statement.	* .			
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knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.						
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Date: May 12, 2011		/Steven B. Samuels/ Steven B. Samuels Registration No. 37,711				

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		application in which this Po					
SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignee							
Signature		July Word			Date 6 / 1/2/)	
Name	***************************************	Derek Wor	od	***************************************	Telephone 810 - 82		
Title	Se	cretary of Rembrandt Techr	***********************	ment II, LLC, ae			
					·····	******************************	

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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STATEMENT UND	ER 37 CFR 3.73(b)
Applicant/Patent Owner: SUMMIT TECHNOLOGY SYSTEMS,	LP
Application No./Patent No.: 12/543,910	Filed/Issue Date: 08-19-2009
Titled: SYSTEM AND METHOD OF COMMUNICATION V	IA EMBEDDED MODULATION
SUMMIT TECHNOLOGY SYSTEMS, LP , a CORI	PORATION
	of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
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2. an assignee of less than the entire right, title, and interes (The extent (by percentage) of its ownership interest is	et in %); or
3. the assignee of an undivided interest in the entirety of (a	complete assignment from one of the joint inventors was made)
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A. An assignment from the inventor(s) of the patent applica the United States Patent and Trademark Office at Reel copy therefore is attached.	tion/patent identified above. The assignment was recorded in, Frame, or for which a
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1. From: INVENTORS	To: PARADYNE CORPORATION
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Reel <u>018986</u> , Frame <u>0586</u>	or for which a copy thereof is attached.
2. From: ZHONE TECHNOLOGIES, INC.; PARA	DYNE To: SUMMIT TECHNOLOGY SYSTEMS, LP
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[NOTE: A separate copy (<i>i.e.</i> , a true copy of the original ass accordance with 37 CFR Part 3, to record the assignment in	ignment document(s)) must be submitted to Assignment Division in the records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act	on behalf of the assignee.
/Michael A. Koptiw/	June 13, 2011
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Michael A. Koptiw/Reg. No. 57,900	Attorney of Record
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 243 of 432

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt			
EFS ID:	10289992		
Application Number:	12543910		
International Application Number:			
Confirmation Number:	8306		
Title of Invention:	System and Method of Communication Via Embedded Modulation		
First Named Inventor/Applicant Name:	Gordon F. Bremer		
Customer Number:	23377		
Filer:	Michael Jordan/Darleen Yacovone		
Filer Authorized By:	Michael Jordan		
Attorney Docket Number:	REMB-0109		
Receipt Date:	13-JUN-2011		
Filing Date:	19-AUG-2009		
Time Stamp:	15:32:24		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	no
1	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	REMB_0109_USCON_Executed _POA_as_filed.pdf	753834 4bce63dcbbf8997b275a802e2768d27267f cc736	no	2
Warnings:			•		

	in the PDF is too large. The pages should be apper and may affect subsequent processing		tted, the pages will be res	ized upon en	try into the
Information	n:				
2	Assignee showing of ownership per 37	REMB_0109_USCON_373b_as_	427862	no	2
2	CFR 3.73(b).	filed.pdf	39597a5cdc0376bb9b78419bb63a4d5d3f5 b2c6e		_
Warnings:					
Information	n:				
		Total Files Size (in bytes)	111	81696	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

APPLICATION NUMBER 12/543.910

FILING OR 371(C) DATE 08/19/2009

Gordon F. Bremer

REMB-0109
CONFIRMATION NO. 8306

23377 WOODCOCK WASHBURN LLP

CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 POWER OF ATTORNEY NOTICE

OC00000048287460

Date Mailed: 06/20/2011

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/13/2011.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/gbien-aime/		
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Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMME United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

Gordon F. Bremer

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 12/543,910 08/19/2009

REMB-0109

15027 Condo Roccia LLP 3 Greenvale Road Moorestown, NJ 08057

CONFIRMATION NO. 8306 POA ACCEPTANCE LETTER



Date Mailed: 06/20/2011

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/13/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/gbien-aime/		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspblo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

Condo Roccia LLP 1650 Market Street Suite 2200 Philadelphia, PA 19103 07/22/2011

EXAMINER

HA, DAC V

ART UNIT PAPER NUMBER

2611

DATE MAILED: 07/22/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/543,910	08/19/2009	Gordon F. Bremer	REMB-0109	8306

TITLE OF INVENTION: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED MODULATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	10/24/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further	correspondence includired below or directed other	ng the Patent, advanc	ISSUE FEE and PUBLICAT ce orders and notification of by (a) specifying a new corre	maintenance fees w	ill be mailed to the current	t correspondence address as
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use Bl		Fee	e(s) Transmittal. This pers. Each additional	mailing can only be used for s certificate cannot be used for paper, such as an assignment of mailing or transmission.	for any other accompanying
Condo Roccia I 1650 Market Stre Suite 2200 Philadelphia, PA	eet	92011	I he Sta add trar	Cert ereby certify that thi tes Postal Service w lressed to the Mail asmitted to the USPT	ificate of Mailing or Trans s Fee(s) Transmittal is being ith sufficient postage for fir Stop ISSUE FEE address (O (571) 273-2885, on the de	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
						(Depositor's name)
			_			(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/543,910 08/19/2009		Gordon F. Bremer		REMB-0109	8306	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	CATION VIA EMBEDDED I	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	E DATE DUE
	NO			\$0		
nonprovisional	NO	\$1510	\$300	→	\$1810	10/24/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS	_		
HA, D	AC V	2611	375-302000			
CFR 1.363). Change of correspond Address form PTO/SB "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.	ence address or indication ondence address (or Cha 3/122) attached. ication (or "Fee Address (2 or more recent) attached ND RESIDENCE DATA	unge of Corresponden " Indication form ed. Use of a Custom	(1) the names of up to or agents OR, alternation (2) the name of a sing registered attorney or	o 3 registered patent ively, le firm (having as a agent) and the name orneys or agents. If re- printed.	member a 2	
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la. The following fee(s) a I Issue Fee Publication Fee (N		permitted)	4b. Payment of Fee(s): (Ple A check is enclosed. Payment by credit ca	ase first reapply an	y previously paid issue fee is attached. ge the required fee(s), any de	shown above)
5. Change in Entity Stat	tus (from status indicate	d above)				
	s SMALL ENTITY statt				L ENTITY status. See 37 C	
NOTE: The Issue Fee and naterest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be acc ates Patent and Trader	epted from anyone other than mark Office.	the applicant; a regis	stered attorney or agent; or the	ne assignee or other party in
Authorized Signature				Date		
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This collection of information application. Confident submitting the completed	ation is required by 37 C tiality is governed by 35 d application form to the	CFR 1.311. The inform U.S.C. 122 and 37 (e USPTO. Time will	mation is required to obtain or CFR 1.14. This collection is es vary depending upon the indi-	retain a benefit by the stimated to take 12 n vidual case. Any contract LLS Potential	ne public which is to file (and inducts to complete, including mements on the amount of ti	d by the USPTO to process) ng gathering, preparing, and me you require to complete

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/543,910	08/19/2009	Gordon F. Bremer	REMB-0109	8306
15027 75	90 07/22/2011		EXAM	INER
Condo Roccia LL 1650 Market Street	-		HA, D	AC V
Suite 2200			ART UNIT	PAPER NUMBER
Philadelphia, PA 19	9103		2611	

DATE MAILED: 07/22/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
Notice of Allowability	12/543,910 Examiner	BREMER, GORDON F. Art Unit
,		Art office
	DAC HA	2611
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate communication is sometimes.	n this application. If not included unication will be mailed in due course. THIS
1. This communication is responsive to <u>05/11/11</u> .		
2. 🔀 The allowed claim(s) is/are <u>1, 2, 9-11, 145, 12-18, 18, 101-</u> 94, 96, 97, 119, 95, 120-131, 144, 132-143, renumbered as 1-79,	-111, 20, 27, 29-33, 112, 14 <u>respectivley</u> .	6, 28, 113-118, 147, 37, 38, 45-50, 148, 87 <u>,</u>
 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some*c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 	been received. been received in Application	on No
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage application from the
International Bureau (PCT Rule 17.2(a)). * Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	IENT of this application.	
INFORMAL PATENT APPLICATION (PTO-152) which give	es reason(s) why the oath or	
5. CORRECTED DRAWINGS (as "replacement sheets") mus		V / DTO 040) attacked
(a) ☐ including changes required by the Notice of Draftspers1) ☐ hereto or 2) ☐ to Paper No./Mail Date	on's Patent Drawing Review	v (PTO-948) attached
(b) ☐ including changes required by the attached Examiner's	s Amendment / Comment or	in the Office action of
Paper No./Mail Date		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
 DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT 		
Attachment(s)	15 DN 11	
1. Notice of References Cited (PTO-892)		formal Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No.	ummary (PTO-413), Mail Date
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 	7. 🗌 Examiner's	Amendment/Comment
 Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. 🗌 Examiner's	Statement of Reasons for Allowance
	9.	
/Dac V. Ha/ Primary Examiner, Art Unit 2611		
	1	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12543910	BREMER, GORDON F.
Examiner	Art Unit
Dac V Ha	2611

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	SEARCHED								
Class	Subclass	Date	Examiner						
375	261, 269, 285, 222, 298, 302, 305, 308	8/13/2010	DH						
455	102, 110	8/13/2010	DH						
332	108, 119, 120, 151	8/13/2010	DH						

SEARCH NOTES		
Search Notes	Date	Examiner
BRS and Inventor's search	8/13/2010	DH

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
	PGPUB text search	8/13/2010	DH

U.S. Patent and Trademark Office Page 254 tot 4320812

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

Final 1 2	Original 1				DATE			
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✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	ı	Interference	0	Objected

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Final	Original	08/13/2010	07/07/2011				
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	58	✓	-				
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	60	√	-				
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
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	Examiner	Art Unit
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Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
12543910	BREMER, GORDON F.
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	ORIGINAL									INTERNATIONAL	CLA	SS	IFIC	ATI	ON
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STAT	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		ANT	First Named Inventor	Gordon F. Bremer
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Application No.: 12/543,910

Notice of Allowance Dated: July 22, 2011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Gordon Bremer

Confirmation No.: 8306

Application No.: 12/543,910 Group Art Unit: 2611 Filing Date: August 19, 2009 Examiner: Dac V Ha

For: SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED

MODULATION

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 CFR § 1.312

In response to the Notice of Allowance dated **July 22, 2011**, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:

\bowtie	Amendments to the Specification begin on page 2 of this paper.
\boxtimes	Amendments to the Claims are reflected in the listing of the claims which begins on page 3 of this paper.
	Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
\boxtimes	Remarks begin on page 16 of this paper.
	The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 50-5519.

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Please amend the Title of the Application, which is presented above paragraph [0001] of the specification, as follows:

SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED USING AT LEAST $\underline{\text{TWO}} \text{ MODULATION } \underline{\text{METHODS}}$

PATENT

DOCKET NO.: REMB_0109 **Application No.:** 12/543,910

Notice of Allowance Dated: July 22, 2011

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (Previously Presented) A communication device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, wherein each transmission comprises a group of transmission sequences, wherein each group of transmission sequences is structured with at least a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence that is modulated according to the second modulation method, wherein the second sequence is transmitted after the first sequence.

2. (Previously Presented) The device of claim 1, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.

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3.-8. (Canceled)

9. (Previously Presented) The device of claim 1, wherein the transceiver is configured to

transmit the second sequence according to a specific time interval.

10. (Previously Presented) The device of claim 1, wherein the transceiver is configured to

transmit the second sequence according to a particular quantity of data.

11. (Previously Presented) The device of claim 1, further comprising a processor and a

memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver to transmit the first sequence and the second sequence.

12. (Previously Presented) The device of claim 11, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

13. (Previously Presented) The device of claim 11, wherein the memory comprises random

access memory.

14. (Previously Presented) The device of claim 11, wherein the memory comprises read-only

memory.

15. (Previously Presented) The device of claim 11, wherein the memory has stored therein

program code for operating the transceiver in a multipoint master/slave relationship.

16. – 17. (Canceled)

18. (Previously Presented) The device of claim 1, wherein the first communication from the

master to the slave is a poll in accordance with a multipoint communications relationship,

wherein the poll indicates that the master has selected the slave for transmission.

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19. (Canceled)

20. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

21. - 26. (Canceled)

- 27. (Previously Presented) The device of claim 20, wherein transmission of the second data is according to a specific time interval.
- 28. (Previously Presented) A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

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29. (Previously Presented) The device of claim 20, further comprising a transmitter configured

to transmit the first data and the second data.

30. (Previously Presented) The device of claim 20, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

31. (Previously Presented) The device of claim 20, wherein the memory comprises random

access memory.

32. (Previously Presented) The device of claim 20, wherein the memory comprises read-only

memory.

33. (Previously Presented) The device of claim 20, wherein the memory has stored therein

program code for a multipoint communications protocol.

34. - 36. (Canceled)

37. (Currently Amended) A device that transmits in accordance with a first modulation

method and a second modulation method that is different than the first modulation method, said

device comprising:

at least one modulator;

a transceiver that includes the at least one modulator, wherein the transceiver is

configured to transmit:

a first sequence, modulated in accordance with the first modulation method, that

indicates an impending change from the first modulation method to the second

modulation method, and

a second sequence, in accordance with the second modulation method, that is

transmitted at a time after the first data sequence.

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38. (Previously Presented) The device of claim 37, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in

accordance with the first modulation method and indicates that a subsequent communication has

reverted to the first modulation method.

39. – 44. (Canceled)

45. (Previously Presented) The device of claim 37, wherein the transceiver is configured to

transmit the second sequence according to a specific time interval.

46. (Previously Presented) The device of claim 37, wherein the transceiver is configured to

transmit the second sequence according to a particular quantity of data.

47. (Original) The device of claim 37, further comprising a processor and a memory, wherein

the memory has stored therein instructions that when executed by the processor cause the

transmitter to transmit the first sequence and the second sequence.

48. (Original) The device of claim 47, wherein the memory comprises random access memory.

49. (Original) The device of claim 47, wherein the memory comprises read-only memory.

50. (Original) The device of claim 47, wherein the memory has stored therein program code

for a multipoint communications protocol.

51. – 86. (Canceled)

87. (Currently Amended) A computer-readable storage medium having [[a]] computer

executable instructions stored therein that when executed by a processor control a master

transceiver, said computer executable instructions, comprising:

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first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

88. - 93. (Canceled)

94. (Previously Presented) The computer-readable storage medium of claim 87, wherein the first transceiver is configured to transmit the second sequence according to a specific time interval.

95. (Currently Amended) A computer-readable storage medium having [[a]] computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the fourth logic is configured to transmit the second sequence according to a particular quantity of data.

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96. (Previously Presented) The computer-readable storage medium of claim 87, further

comprising program code for the first modulation method and the second modulation method.

97. (Previously Presented) The computer-readable storage medium of claim 87, further

comprising program code for a multipoint communications protocol.

98. - 100. (Canceled)

101. (Previously Presented) The device of claim 1, wherein the transceiver is configured to be

the master.

102. (Previously Presented) The device of claim 1, wherein the first information in the first

portion indicates the first modulation method when the intended destination is a first type of

receiver and indicates the second modulation when the intended destination is a second type of

receiver.

103. (Previously Presented) The device of claim 102, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being designated for

transmitting in the second modulation method.

104. (Previously Presented) The device of claim 102, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being operable to

ignore transmissions intended for the first type of receiver.

105. (Previously Presented) The device of claim 104, wherein the intended destination ignores

transmissions in the second modulation when the intended destination is the first type of

receiver.

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106. (Previously Presented) The device of claim 104, wherein the intended destination ignores

transmissions in the first modulation when the intended destination is the second type of

receiver.

107. (Previously Presented) The device of claim 104, wherein the intended destination is the

first type of receiver and unable to demodulate the second modulation method.

108. (Previously Presented) The device of claim 102, wherein the transceiver is configured to

receive data from the intended destination in the first modulation method when the intended

destination is the first type of receiver.

109. (Previously Presented) The device of claim 102, wherein the transceiver is configured to

receive data from the intended destination in the second modulation method when the intended

destination is the second type of receiver.

110. (Previously Presented) The device of claim 1, the transceiver is configured to transmit a

third sequence, according to the first modulation method, at a time after the second sequence is

transmitted.

111. (Previously Presented) The device of claim 1, wherein the transceiver transmits data

modulated according to either the first modulation method or the second modulation method at

any given point in time when the transceiver is transmitting.

112. (Previously Presented) The device of claim 20, wherein transmission of the second data

is according to a particular quantity of data.

113. (Previously Presented) The device of claim 28, wherein transmission of the second data is

according to a specific time interval.

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114. (Previously Presented) The device of claim 28, further comprising a transmitter

configured to transmit the first data and the second data.

115. (Previously Presented) The device of claim 28, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

116. (Previously Presented) The device of claim 28, wherein the memory comprises random

access memory.

117. (Previously Presented) The device of claim 28, wherein the memory comprises read-only

memory.

118. (Previously Presented) The device of claim 28, wherein the memory has stored therein

program code for a multipoint communications protocol.

119. (Previously Presented) The computer-readable storage medium of claim 87, wherein the

first transceiver is configured to transmit the second sequence according to a particular quantity

of data.

120. (Previously Presented) The computer-readable storage medium of claim 95, wherein the

first transceiver is configured to transmit the second sequence according to a specific time

interval.

121. (Previously Presented) The computer-readable storage medium of claim 95, further

comprising program code for the first modulation method and the second modulation method.

122. (Previously Presented) The computer-readable storage medium of claim 95, further

comprising program code for a multipoint communications protocol.

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Notice of Allowance Dated: July 22, 2011

123. (Previously Presented) A communication device capable of communicating according to a master/slave relationship in which a slave message from a slave to a master occurs

in response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, capable of transmitting using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method,

and wherein the transceiver is configured to transmit messages with:

a first sequence, in the first modulation method, that indicates at least which of the first modulation method and the second modulation method is used for modulating a second sequence, wherein, in at least one message, the first sequence indicates an impending change from the first modulation method to the second modulation method, and wherein the at least one message is addressed for an intended destination of the second sequence, and

the second sequence, modulated in accordance with the modulation method indicated by the first sequence and, in the at least one message, modulated using the second modulation method, wherein the second sequence is transmitted after the first sequence.

- 124. (Previously Presented) The device of claim 123, wherein the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method.
- 125. (Previously Presented) The device of claim 123, wherein the transceiver is configured to transmit the second sequence according to a specific time interval.
- 126. (Previously Presented) The device of claim 123, wherein the transceiver is configured to transmit the second sequence according to a particular quantity of data.

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127. (Previously Presented) The device of claim 123, further comprising a processor and a memory, wherein the memory has stored therein instructions that when executed by the

processor cause the transceiver to transmit the first sequence and the second sequence.

128. (Previously Presented) The device of claim 127, wherein the memory has stored therein

program code for the first modulation method and the second modulation method.

129. (Previously Presented) The device of claim 127, wherein the memory comprises random

access memory.

130. (Previously Presented) The device of claim 127, wherein the memory comprises read-

only memory.

131. (Previously Presented) The device of claim 127, wherein the memory has stored therein

program code for operating the transceiver in a multipoint master/slave relationship.

132. (Previously Presented) The device of claim 123, wherein the first communication from

the master to the slave is a poll in accordance with a multipoint communications relationship,

wherein the poll indicates that the master has selected the slave for transmission.

133. (Previously Presented) The device of claim 123, wherein the transceiver is configured to

be the master.

34. (Previously Presented) The device of claim 123, wherein the first information in the first

portion indicates the first modulation method when the intended destination is a first type of

receiver and indicates the second modulation when the intended destination is a second type of

receiver.

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135. (Previously Presented) The device of claim 134, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being designated for

transmitting in the second modulation method.

136. (Previously Presented) The device of claim 134, wherein the second type of receiver

differs from the first type of receiver at least by the second type of receiver being operable to

ignore transmissions intended for the first type of receiver.

137. (Previously Presented) The device of claim 136, wherein the intended destination ignores

transmissions in the second modulation when the intended destination is the first type of

receiver.

138. (Previously Presented) The device of claim 136, wherein the intended destination ignores

transmissions in the first modulation when the intended destination is the second type of

receiver.

139. (Previously Presented) The device of claim 136, wherein the intended destination is the

first type of receiver and unable to demodulate the second modulation method.

140. (Previously Presented) The device of claim 134, wherein the transceiver is configured to

receive data from the intended destination in the first modulation method when the intended

destination is the first type of receiver.

141. (Previously Presented) The device of claim 134, wherein the transceiver is configured to

receive data from the intended destination in the second modulation method when the intended

destination is the second type of receiver.

42. (Previously Presented) The device of claim 123, the transceiver is configured to transmit

a third sequence, according to the first modulation method, at a time after the second sequence is

transmitted.

DOCKET NO.: REMB_0109 PATENT

Application No.: 12/543,910

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143. (Previously Presented) The device of claim 123, wherein the transceiver transmits data

modulated according to either the first modulation method or the second modulation method at

any given point in time when the transceiver is transmitting.

144. (Previously Presented) The device of claim 127, wherein the memory comprises an

erasable programmable read-only memory.

145. (Previously Presented) The device of claim 11, wherein the memory comprises an

erasable programmable read-only memory.

146. (Previously Presented) The device of claim 20, wherein the memory comprises an

erasable programmable read-only memory.

147. (Previously Presented) The device of claim 28, wherein the memory comprises an

erasable programmable read-only memory.

148. (Previously Presented) The device of claim 47, wherein the memory comprises an

erasable programmable read-only memory.

DOCKET NO.: REMB_0109 PATENT

Application No.: 12/543,910

Notice of Allowance Dated: July 22, 2011

REMARKS

Claims 1, 2, 9-15, 18, 20, 27-33, 37, 38, 45-50, 87, 94-97, and 101-148 are pending and have been allowed. Applicant has amended claims 37, 87, and 95 to address informalities. No claims have been added or canceled. Applicant has amended the Title to more closely reflect the present subject matter (*See* MPEP §§ 1302.04(a) and 606.01). No new matter has been added and the issue fee has not yet been paid.

Applicant respectfully request the foregoing amendments be entered and be made of record.

Date: July 26, 2011 /Michael A. Koptiw/

Micheal A. Koptiw Registration No. 57,900

Condo Roccia LLP One Liberty Place 1650 Market Street, Suite 2200 Philadelphia, PA 19103 Telephone: (215) 558-5714

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PTO/SB/21 (07-09)

Under the Paperwork Reduction Act of 1995, no persons TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Note Filing Date First Named I Art Unit Examiner Nare	espond to a collection of umber 12/543 August nventor Gordor 2611	t 19, 2009 n Bremer	
·	·	Attorney Dock	ket Number REMB	_0109	
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Amendment A A A Extension Express A Information Certified Document Reply to Incomple	ree Attached reent/Reply After Final Affidavits/declaration(s) In of Time Request Abandonment Request Ion Disclosure Statement Copy of Priority Int(s) Missing Parts/ Sete Application Reply to Missing Parts Index 37 CFR 1.52 or 1.53	Terminal Disclai Request for Refu	ert to a ication ey, Revocation espondence Address mer	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information	
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Printed name Michael A. Koptiw					
Date	July 26, 2011		Reg. No	57900	
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Date

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Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	10601306					
Application Number:	12543910					
International Application Number:						
Confirmation Number:	8306					
Title of Invention:	SYSTEM AND METHOD OF COMMUNICATION VIA EMBEDDED MODULATION					
First Named Inventor/Applicant Name:	Gordon F. Bremer					
Customer Number:	15027					
Filer:	Michael Koptiw Jr./diana kang					
Filer Authorized By:	Michael Koptiw Jr.					
Attorney Docket Number:	REMB-0109					
Receipt Date:	26-JUL-2011					
Filing Date:	19-AUG-2009					
Time Stamp:	16:40:08					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	no
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1		REMB0109amend312filed0726	137370	ves	16
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	Document Des	Start	End				
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	Specificati	Specification			2		
	Claims	3	15				
	Applicant Arguments/Remarks	Applicant Arguments/Remarks Made in an Amendment					
Warnings:							
Information:							
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/543,910	08/19/2009	Gordon F. Bremer	REMB-0109	8306
15027 Condo Roccia I	7590 08/02/201 LLP	1	EXAM	IINER
1650 Market St	reet		HA, D	AC V
Suite 2200 Philadelphia, P.	A 19103		ART UNIT	PAPER NUMBER
			2611	
			MAIL DATE	DELIVERY MODE
			08/02/2011	PAPER

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The time period for reply, if any, is set in the attached communication.

PTOL-90A (Rev. 04/07) Page 295 of 432

	Application No.	Applicant(s)					
	12/543,910	BREMER, GORDON F.					
Response to Rule 312 Communication	Examiner	Art Unit					
	DAC HA	2611					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address –							
 The amendment filed on <u>26 July 2011</u> under 37 CFR 1. a) ☐ entered. 	312 has been considered, ar	nd has been:					
b) 🛛 entered as directed to matters of form not affecting	g the scope of the invention.						
c) disapproved because the amendment was filed after the payment of the issue fee. Any amendment filed after the date the issue fee is paid must be accompanied by a petition under 37 CFR 1.313(c)(1) and the required fee to withdraw the application from issue.							
d) disapproved. See explanation below.							
e) entered in part. See explanation below.							
	/Dac V. Ha/ Primary Examiner	∆rt Init 2611					



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1	APPLICATION	FILING or	GRP ART				
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	12/543,910	08/19/2009	2611	6260	REMB-0109	100	6

15027 Condo Roccia LLP 1650 Market Street Suite 2200 Philadelphia, PA 19103 CONFIRMATION NO. 8306 CORRECTED FILING RECEIPT



Date Mailed: 08/04/2011

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Applicant(s)

Gordon F. Bremer, Clearwater, FL:

Power of Attorney: The patent practitioners associated with Customer Number 15027

Domestic Priority data as claimed by applicant

This application is a CON of 11/774,803 07/09/2007 PAT 7,675,965 which is a CON of 10/412,878 04/14/2003 PAT 7,248,626 which is a CIP of 09/205,205 12/04/1998 PAT 6,614,838 which claims benefit of 60/067,562 12/05/1997

Foreign Applications (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)

If Required, Foreign Filing License Granted: 08/31/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/543,910**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS

Preliminary Class

375

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APPLICATION NO.	FILING DATE			FIRST NAMED INVENTOR	L	ATTO:	RNEY DOCKET NO.	CONFIRMATION NO.
12/543,910	08/19/2009			Gordon F. Bremer			REMB-0109	8306
TITLE OF INVENTION	: SYSTEM AND METH	IOD OF	COMMUNICAT	TON VIA EMBEDDED N	MODULATION			
APPLN. TYPE	SMALL ENTITY	ISS	UE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUI	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO		\$1510	\$300	\$0		\$1810	10/24/2011
EXAM	IINER	1	ART UNIT	CLASS-SUBCLASS	1			
HA, D	OAC V		2611	375-302000	-			
CFR 1.363). Change of corresp Address form PTO/SI "Fee Address" ind	ence address or indicatio ondence address (or Cha 3/122) attached. ication (or "Fee Address)2 or more recent) attach	nge of C	Correspondence	2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or 2 registered patent atto- listed, no name will be	o 3 registered paten vely, le firm (having as a agent) and the nam- orneys or agents. If	t attorn . memb	er a 2	Roccia LLP
PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified bel pletion o	low, no assignee f this form is NO	(B) RESIDENCE: (CITY	natent. If an assignassignment. Y and STATE OR C	COUNT	TRY)	ocument has been filed for up entity
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11	s SMALL ENTITY state			b. Applicant is no lon				R 1.27(g)(2). e assignee or other party in
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Electronic Patent Application Fee Transmittal					
Application Number:	1254	43910			
Filing Date:	19- <i>P</i>	Nug-2009			
Title of Invention:		TEM AND METHOD DULATION METHO		IICATION USING AT	LEAST TWO
First Named Inventor/Applicant Name:	st Named Inventor/Applicant Name: Gordon F. Bremer				
Filer:	Michael Koptiw Jr./Darleen Yacovone				
Attorney Docket Number: REMB-0109					
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:	1				
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl issue fee		1501	1	1510	1510
Publ. Fee- early, voluntary, or normal		1504 1 300 300 Page 301 of 432			300 01 of 432

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1810

Electronic Ack	Electronic Acknowledgement Receipt					
EFS ID:	10669831					
Application Number:	12543910					
International Application Number:						
Confirmation Number:	8306					
Title of Invention:	SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS					
First Named Inventor/Applicant Name:	Gordon F. Bremer					
Customer Number:	15027					
Filer:	Michael Koptiw Jr./Darleen Yacovone					
Filer Authorized By:	Michael Koptiw Jr.					
Attorney Docket Number:	REMB-0109					
Receipt Date:	04-AUG-2011					
Filing Date:	19-AUG-2009					
Time Stamp:	16:27:32					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1810
RAM confirmation Number	3007
Deposit Account	505519
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	REMB_0109_USCON_Issue_Fee _Transmittal_as_filed.pdf	95125	no	1
			7f62f3d3f2c72decb25d1cc8afee5c74e8d39 192		
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	32146	no	2
			8276b518976ea7ebdffe3a97cb0334c0996 35e15		
Warnings:					
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National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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Bib Data Sheet

CONFIRMATION NO. 8306

SERIAL NUMBER 12/543,910	FILING OR 371(c) DATE 08/19/2009 RULE	CLASS 375	GRO	OUP ART UNIT 2611		ATTORNEY DOCKET NO. REMB-0109	
APPLICANTS Gordon F. Bren	ner, Clearwater, FL;						
This application which is a CON which is a CIP which claims be ** FOREIGN APPLIC	A ************************************	3 07/09/2007 PAT 7, 003 PAT 7,248,626 98 PAT 6,614,838 05/1997	675,965				
Foreign Priority claimed				INDEPENDENT CLAIMS 6			
ADDRESS 15027							
ΠΤ LE SYSTEM AND METH	OD OF COMMUNICAT	ION USING AT LEAS	OWT T	MODUL	_ATION	METH	IODS
FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUNT 6260 No for following:			1.1 time)	6 Fees (7 Fees (8 Fees ((Proce	essing Ext. of	



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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/543,910	09/20/2011	8023580	REMB-0109	8306

15027

08/31/2011

Condo Roccia LLP 1650 Market Street **Suite 2200** Philadelphia, PA 19103

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Gordon F. Bremer, Clearwater, FL;

Page 306 of 432 IR103 (Rev. 10/09)

Paper 18

Date Entered: September 9, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO. LTD., SAMSUNG ELECTRONICS AMERICA, INC., SAMSUNG TELECOMMUNICATIONSAMERICA, LLC, and SAMSUNG AUSTIN SEMICONDUCTOR, LLC, Petitioner,

v.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2014-00514 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BLANKENSHIP, Administrative Patent Judge.

DECISION
Denying Institution of *Inter Partes* Review
37 C.F.R. § 42.108

I. BACKGROUND

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin

Semiconductor, LLC (collectively, "Petitioner") request *inter partes* review of claims 1, 2, 4, 5, 10, 13, 19–22, 49, 52–54, 57–59, 61, 62, 66, 70, and 76–79 of U.S. Patent No. 8,023,580 B2 ("the '580 patent") (Ex. 1001) under 35 U.S.C. §§ 311–319. Paper 4 (Corrected Petition, or "Pet."). Rembrandt Wireless Technologies, LP (Patent Owner) filed a preliminary response (Paper 14, "Prelim. Resp.") provided by 37 C.F.R. § 42.107. We have jurisdiction under 35 U.S.C. § 314.

For the reasons that follow, we do not institute an *inter partes* review as to any of the challenged claims of the '580 patent.

Related Proceeding

According to Petitioner, the '580 patent is involved in the following lawsuit: *Rembrandt Wireless Tech., LP v. Samsung Elect. Co. LTD.*, No. 2:13-cv-00213 (E.D. Tex. 2013). Pet. 2. The '580 patent has also been challenged in the following cases: IPR2014–00515; IPR2014–00518; and IPR2014–00519.

The '580 Patent

The '580 Patent issued from an application filed August 19, 2009, which claimed priority, through a chain of intervening applications, under 35 U.S.C. § 120 to an application filed December 4, 1998, and which claimed priority under 35 U.S.C. § 119 to a provisional application filed December 5, 1997.

The technical field of the patent relates to data communications and modulators/demodulators (modems), and in particular to a data communications system in which a plurality of modems use different types

of modulation in a network. Ex. 1201, col. 1, ll. 19-23; col. 1, l. 56 – col. 2, l. 20.

Illustrative Claim

Claim 58 is illustrative.

58. A communication device capable of communicating according to a master/slave relationship in which a slave message from a slave to a master occurs in response to a master message from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, capable of transmitting using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, and wherein the transceiver is configured to transmit messages with:

a first sequence, in the first modulation method, that indicates at least which of the first modulation method and the second modulation method is used for modulating a second sequence, wherein, in at least one message, the first sequence indicates an impending change from the first modulation method to the second modulation method, and wherein the at least one message is addressed for an intended destination of the second sequence, and

the second sequence, modulated in accordance with the modulation method indicated by the first sequence and, in the at least one message, modulated using the second modulation method, wherein the second sequence is transmitted after the first sequence.

Prior Art and Other Evidence Included with Petition

Boer et al.

US 5,706,428

Jan. 6, 1998 (Ex. 1016)

("Boer")

IEEE P802.11, Draft Standard for Wireless LAN, Medium Access Control (MAC) and Physical Layer (PHY) Specification, P802.11D4.0, May 20, 1996 (Ex. 1005) ("Draft Standard")

Declaration of Robert O'Hara, Mar. 11, 2014 (Ex. 1004).

Asserted Grounds of Unpatentability

Petitioner asserts the following grounds of unpatentability (Pet. 3):

Evidence	Basis (35 U.S.C.)	Claims
Draft Standard	§ 102(b)/103(a)	1, 2, 4,5, 10, 13, 19-22, 49, 52-54, 57-59, 61, 62, 66, 70, and 76-79
Draft Standard and Boer	§ 103(a)	1, 2, 4,5, 10, 13, 19-22, 49, 52-54, 57-59, 61, 62, 66, 70, and 76-79

II. ANALYSIS

A. Asserted Anticipation and Obviousness Grounds Based on Draft Standard

The dispositive issue in this proceeding is whether Draft Standard, on which both of Petitioner's asserted grounds of unpatentability rely, is a printed publication.

B. Overview of Draft Standard (Ex. 1005)

Draft Standard is an unapproved draft of a proposed IEEE [Institute of Electrical and Electronics Engineers] Standard. Ex. 1005, i. The purpose of the proposed standard was "[t]o provide wireless connectivity to automatic machinery, equipment [, or] stations that require rapid deployment, which may be portable, or hand-held or which may be mounted on moving vehicles within a local area" and "[t]o offer a standard for use by regulatory bodies to standardize access to one or more frequency bands for the purpose of local area communication." *Id.* at 1.

C. Declaration of Robert O'Hara (Ex. 1004)

Mr. Robert O'Hara was an editor of the IEEE 802.11-1997 standard. Ex. 1004 ¶ 1; Ex. 1005, iii. Mr. O'Hara states that drafts of the 802.11-1997 standard, including Draft Standard, were available to members of the 802.11 Working Group for download from the 802.11 Working Group's server. Ex. 1004 ¶ 9. According to Mr. O'Hara, announcements were sent to the Working Group's e-mail list when drafts became available, and a person could be added to the Working Group's e-mail list by providing an e-mail address to the chair of the Working Group. *Id.* ¶¶ 9-10. Mr. O'Hara states that there "were no restrictions on who could attend the 802.11 Working Group's meetings [or] on who could provide an e-mail address" and that, according to his "recollection," anyone who made a request to be added to the e-mail list would be added. *Id.* ¶ 10.

¹ In this decision, we refer to the original pagination of Draft Standard rather than the Exhibit page number.

Mr. O'Hara states that the copies of the drafts of the Standard available on the Working Group's servers were password-protected files, and that the members of the e-mail list were provided with passwords to access the documents, either as part of an announcement of a new draft or via "another way." *Id.* ¶ 11. According to Mr. O'Hara, the passwords were intended to limit distribution to "interested individuals, as opposed to the entire [I]nternet." *Id.* Mr. O'Hara also states that attending an 802.11 Working Group meeting or asking for access prior to a meeting demonstrated sufficient interest such that that person would receive the password necessary to access the drafts on the Working Group's server. *Id.*

Further, according to Mr. O'Hara, each of the 802.11 standard drafts, including Draft Standard, would have been discussed at the Working Group meetings and made available to all attendees. *Id.* ¶ 12. Mr. O'Hara also states that the meetings were not limited to IEEE members but were open to the general public. *Id.*

D. Analysis of Whether Draft Standard Is a Printed Publication
We look to the underlying facts to make a legal determination as to
whether a document is a printed publication. Suffolk Techs., LLC v. AOL
Inc., 752 F.3d 1358, 1364 (Fed. Cir. 2014). The determination of whether a
document is a "printed publication" under 35 U.S.C. § 102(b) involves a
case-by-case inquiry into the facts and circumstances surrounding its
disclosure to members of the public. In re Klopfenstein, 380 F.3d 1345,
1350 (Fed. Cir. 2004). Public accessibility is a key question in determining
whether a document is a printed publication and is determined on a case-bycase basis. Suffolk Techs., 752 F.3d at 1364. To qualify as a printed

publication, a document "must have been sufficiently accessible to the public interested in the art." *In re Lister*, 583 F.3d 1307, 1311 (Fed. Cir. 2009).

The O'Hara Declaration is the only extrinsic evidence that Petitioner submits in support of its position that Draft Standard is a printed publication. *See* Pet. 12-13. Petitioner asserts that Draft Standard "was completed on May 20, 1996, and was available to *anyone who wanted to view it* on May 23, 1996." Pet. 12 (citing Ex. 1004 ¶¶ 4, 5, 10, and 12) (emphasis added). Petitioner indicates, initially, that this availability resulted in a publication date of May 23, 1996. *Id.* Petitioner also argues that Draft Standard "was available to any interested parties" no later than July 8, 1996, because it "was available to all members of the 802.11 Working Group's email list" and discussed and distributed at an 802.11 Working Group meeting held July 8–12, 1996. *Id.* at 13. Thus, Petitioner concludes that this alleged distribution and availability to any interested parties by July 8, 1996 renders Draft Standard a "printed publication" under 35 U.S.C. § 102(b). *Id.*

Notably absent, however, from the Petition and Mr. O'Hara's declaration are any assertions or evidence in support of the availability of Draft Standard to individuals other than members of the 802.11 Working Group and those who already knew about Draft Standard or the July 8–12 meeting of the 802.11 Working Group. We do not find sufficient argument or evidence to indicate that the July 8–12 meeting of the 802.11 Working Group (or any other 802.11 Working Group meeting) was advertised or otherwise announced to the public. Nor do we find sufficient argument or evidence that any individual who was not already a member of, or otherwise aware of, the 802.11 Working Group would have known about Draft

Standard such that he or she would have known to request a copy or ask to be added to an email list for access to the document.

"A given reference is 'publicly accessible' upon a satisfactory showing that such document has been disseminated or otherwise made available to the extent that persons interested and ordinarily skilled in the subject matter or art exercising reasonable diligence, can locate it." SRI Int'l, Inc. v. Internet Sec. Sys., Inc. 511 F.3d 1186, 1194 (Fed. Cir. 2008) (quoting Bruckelmyer v. Ground Heaters, Inc., 445 F.3d 1374, 1378 (Fed. Cir. 2006)). Although Mr. O'Hara declares that "[t]here were no restrictions on who could attend the 802.11 Working Group's meetings" (Ex. 1004 ¶ 10) and that the meetings "were open to the general public" (id. ¶ 12), Petitioner has not presented persuasive argument or evidence regarding how members of the potentially interested public would have been made aware of these meetings. Similarly, although Mr. O'Hara declares that an individual could provide the chair with an e-mail address to be added to the Working Group's e-mail list (id. \P 10), the petition has not established how an individual would have known to attend a meeting or contact the chair in order to be added to the e-mail list.

Based on the evidence before us, we find that the purpose of the 802.11 Working Group's storage of drafts of the standard on a server is similar to the placement of a file on an "FTP server solely to facilitate peer review in preparation for later publication," which the U.S. Court of Appeals for the Federal Circuit found weighed against public accessibility of the file. *SRI Int'l*, 511 F.3d at 1197. In *SRI*, even though the "paper was 'posted' on an open FTP server and might have been available to anyone with FTP know-how and knowledge of the" subdirectory in which it resided, the

Federal Circuit found the fact that the paper was not publicized suggested an absence of public availability. *Id.* In this case, the submitted evidence does not show that the 802.11 Working Group's server was an open server and, to the extent that it was, the evidence shows that the documents were password protected. Ex. 1004 ¶ 11.

Moreover, notwithstanding Mr. O'Hara's statement that passwords were distributed to the 802.11 Working Group e-mail list (id.), the fact that an interested individual needed to contact IEEE in order to obtain a password or other means of accessing Draft Standard (and needed to know who to contact in the first place) weighs against public accessibility. Cf. Kyocera Wireless Corp. v. Int'l Trade Comm'n, 545 F.3d 1340, 1351 (finding facts weighed towards public accessibility because "[t]he specifications themselves were visible to any member of the interested public without requesting them from an ETSI member"). Mr. O'Hara states that the drafts of the 802.11 standards, including Draft Standard, were (and still are) protected by passwords in order to limit distribution to "interested individuals, as opposed to the entire [I]nternet." Ex. 1004 ¶ 11. However, as previously discussed, the record does not contain persuasive evidence showing how an individual not already in, or already familiar with, the 802.11 Working Group would have known of the existence of the Draft Standard, the 802.11 Working Group meetings, or the 802.11 Working Group itself. Therefore, we are not persuaded that such an individual, exercising reasonable diligence, would be able to change his status from an anonymous member of "the entire [I]nternet" to an "interested individual."

Therefore, based on the evidence Petitioner provided, we conclude Petitioner has not made a sufficient showing that Draft Standard was a

printed publication as of July 1996 or earlier, as alleged, i.e., that Draft Standard was available as of July 1996 or earlier to an ordinarily skilled individual, exercising reasonable diligence, who might have been interested in the subject matter of Draft Standard.

E. Asserted Grounds of Unpatentability

Because Petitioner has not met its burden in establishing that Draft Standard is a "printed publication" and, thus, prior art, Petitioner has not shown a reasonable likelihood of prevailing on the grounds asserted.

III. CONCLUSION

The Petition fails to demonstrate a reasonable likelihood of prevailing on the grounds that the challenged claims are anticipated by, or obvious over, Draft Standard or obvious over Draft Standard and Boer.

IV. ORDER

In consideration of the foregoing, it is

ORDERED that the petition is denied as to all challenged claims and no trial is instituted.

For Petitioner:

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For Patent Owner:

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Reza Mollaaghababa
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Paper 16

Date Entered: September 23, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO. LTD., SAMSUNG ELECTRONICS AMERICA, INC., SAMSUNG TELECOMMUNICATIONSAMERICA, LLC, and SAMSUNG AUSTIN SEMICONDUCTOR, LLC, Petitioner,

v.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2014-00518 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BLANKENSHIP, Administrative Patent Judge.

DECISION
Institution of *Inter Partes* Review
37 C.F.R. § 42.108

I. BACKGROUND

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin Semiconductor, LLC (collectively, "Petitioner") request *inter partes* review of claims 1, 2, 4, 5, 10, 13, 19–22, 49, 52–54, 57–59, 61, 62, 66, 70, and 76–79 of U.S. Patent No. 8,023,580 B2 ("the '580 patent," Ex. 1201) under 35 U.S.C. §§ 311–319. Paper 4 (Corrected Petition or "Pet."). Rembrandt Wireless Technologies, LP (Patent Owner) filed a preliminary response (Paper 14, "Prelim. Resp.") under 37 C.F.R. § 42.107. We have jurisdiction under 35 U.S.C. § 314.

For the reasons that follow, we institute an *inter partes* review of claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 of the '580 patent. We do not institute review of challenged claims 2, 19, 49, 52, 53, and 59.

Related Proceedings

According to Petitioner, the '580 patent is involved in the following lawsuit: Rembrandt Wireless Technologies, LP v. Samsung Electronics Co. Ltd., No. 2:13-cv-00213 (E.D. Tex. 2013). Pet. 2: The '580 patent also has been challenged in the following cases: Samsung Electronics Company, Ltd v. Rembrandt Wireless Technologies, LP, IPR2014-00514; Samsung Electronics Company, Ltd v. Rembrandt Wireless Technologies, LP, IPR2014-00515; and Samsung Electronics Company, Ltd v. Rembrandt Wireless Technologies, LP, IPR2014-00519.

The '580 Patent

The '580 Patent issued from an application filed August 19, 2009, which claimed priority under 35 U.S.C. § 120 through a chain of intervening applications to an application filed December 4, 1998, and which further

claimed priority under 35 U.S.C. § 119 to a provisional application filed December 5, 1997.

The technical field of the patent relates to data communications and modulators/demodulators (modems), and in particular to a data communications system in which a plurality of modems use different types of modulation in a network. Ex. 1201, col. 1, ll. 19–23; col. 1, l. 56 – col. 2, l. 20.

Illustrative Claim

1. A communication device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:

a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, wherein each transmission comprises a group of transmission sequences, wherein each group of transmission sequences is structured with at least a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first

> portion and modulated according to the first modulation method, wherein the first sequence indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence that is modulated according to the second modulation method, wherein the second sequence is transmitted after the first sequence.

Prior Art

Boer

US 5,706,428

Jan. 6, 1998

(Ex. 1204)

Asserted Ground of Unpatentability

Petitioner asserts the following ground of unpatentability as to claims 1, 2, 4, 5, 10, 13, 19–22, 49, 52–54, 57–59, 61, 62, 66, 70, and 76–79 (Pet. 2–3): obviousness under 35 U.S.C. § 103(a) over Admitted Prior Art ("APA") and Boer.

II. ANALYSIS

Claim Interpretation

In an *inter partes* review, the Board construes claim terms in an unexpired patent using their broadest reasonable construction in light of the specification of the patent in which they appear. 37 C.F.R. § 42.100(b); Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,766 (Aug. 14, 2012). The claim language should be read in light of the specification as it would be interpreted by one of ordinary skill in the art. *In re Am. Acad. of Sci. Tech. Ctr.*, 367 F.3d 1359, 1364 (Fed. Cir. 2004). The Office must apply the broadest reasonable meaning to the claim language, taking into

account any definitions presented in the specification. *Id.* (citing *In re Bass*, 314 F.3d 575, 577 (Fed. Cir. 2002)). There is a "heavy presumption" that a claim term carries its ordinary and customary meaning. *CCS Fitness, Inc. v. Brunswick Corp.*, 288 F.3d 1359, 1366 (Fed. Cir. 2002). The "ordinary and customary meaning" is that which the term would have to a person of ordinary skill in the art in question. *In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

Types of Modulation Methods

Each of claims 1 and 58 recites a transceiver capable of transmitting using at least two types of modulation methods, "wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method"

Petitioner submits that the ordinary meaning of "modulation" is ""[t]he process by which some characteristic of a carrier is varied in accordance with a modulating wave." Pet. 11 (quoting Ex. 1206, 3 (technical dictionary)). Petitioner contends that a "first modulation method" should be interpreted as "a process of varying characteristic(s) of a carrier wave that is different from a second modulation method," and a "second modulation method" should be interpreted as "a process of varying characteristic(s) of a carrier wave that is different from a first modulation method." Pet. 13. Petitioner submits that, in essence, such an interpretation extends to modulation methods that are known to be incompatible with each other. Id. at 12.

Patent Owner, on the other hand, submits that the terms should be construed as a "first modulation method" being "a first method for varying one or more characteristics of a carrier in accordance with information to be communicated" and a "second modulation method" being "a second method for varying one or more characteristics of a carrier in accordance with information to be communicated." Prelim. Resp. 7. Patent Owner submits that the broadest reasonable interpretation of "types" of modulation methods does not extend to modulation methods that are known merely to be incompatible with each other, but is limited to different "families" of modulation techniques, e.g., the FSK (frequency shift keying) "family" of modulation methods and the QAM (quadrature amplitude modulation) "family" of modulation methods. As such, two modulation methods that are incompatible with each other (e.g., differential binary phase shift keying (DBPSK) modulation and differential quadrature phase shift keying (DQPSK) modulation) may still be part of the same "type" of modulation method. *Id.* at 9–12. Patent Owner's position is thus contrary to Petitioner's position, in that Petitioner contends that "different PSK [phase shift keying] modulation methods" may be considered as different "types" of modulation, with the "different modulation methods" within the same (PSK) "family" being incompatible with each other. Pet. 12.

For purposes of this decision, we need not, and do not, determine the scope of the above-noted terms in controversy. We are persuaded that elements in the prior art are within the scope of the relevant terms under any reasonable construction. See § II.D, infra.

Proposed Grounds of Unpatentability

A. "Prior Art"

Section 103 of Title 35 U.S.C., which makes nonobviousness of the invention a prerequisite to patentability, requires a determination of the differences between the subject matter sought to be patented and "[t]he prior art." *In re Bergy*, 596 F.2d 952, 965 n.7 (CCPA 1979), *aff'd sub nom. Diamond v. Chakrabarty*, 447 U.S. 303 (1980) (citations omitted). However, Title 35 nowhere defines the term "prior art." *Id.*

Its exact meaning is a somewhat complex question of law which has been the subject of legal papers and whole chapters of books. . . . Basically, the concept of prior art is that which is publicly known, or at least known to someone who has taken steps which do make it known to the public, . . . or known to the inventor against whose application it is being applied.

Id. (citations omitted).

"The term 'prior art' as used in section 103 refers at least to the statutory material named in 35 U.S.C. § 102.... However, section 102 is not the only source of section 103 prior art. Valid prior art may be created by the admissions of the parties."

Riverwood Int'l Corp. v. R.A. Jones & Co., Inc., 324 F.3d 1346, 1354 (Fed. Cir. 2003) (citations omitted). However, while a reference can become prior art by admission, that doctrine is inapplicable when the subject matter at issue is the inventor's own work. *Id.*

B. Admitted Prior Art

Petitioner contends that the '580 patent contains material that may be used as prior art against the patent under 35 U.S.C. § 103(a). Figure 1 of the patent is labeled as "Prior Art." Pet. 6; Ex. 1201, Fig. 1. Further, the '580

patent's specification refers to "prior art" multipoint communication system 22 comprising master modem or transceiver 24, which communicates with a plurality of tributary modems ("tribs") or transceivers 26. Pet. 6; Ex. 1201, col. 3, ll. 40–44. Further, the '580 patent describes Figure 2 as illustrating the operation of the multipoint communication system of (prior art) Figure 1. Pet. 7; Ex. 1201, col. 3, ll. 9–10.

Patent Owner argues that Petitioner has not shown that the "alleged admitted prior art" is the work of another – i.e., not the inventor's own work. Prelim. Resp. 15; see also id. at 16–18. Petitioner has met its initial burden, however, in demonstrating that the subject matter of the '580 patent's Figure 1, and accompanying description, constitutes "prior art" by pointing out that the patent expressly describes the subject matter as such. See in re Nomiya, 509 F.2d 566, 570–71 (CCPA 1975) ("We see no reason why appellants' representations in their application should not be accepted at face value as admissions that Figs. 1 and 2 may be considered 'prior art' for any purpose, including use as evidence of obviousness under [§] 103.").

Patent Owner's argument that Figures 1 and 2 of the '580 patent represent the inventor's identification of a "source of a problem" (Prelim. Resp. 19–21) is, similarly, inapposite. Petitioner does not rely on the face-value admissions in the patent as a problem to be solved or as identifying a problem in the prior art. *See, e.g.*, Pet. 19.

For the foregoing reasons, we are persuaded that, on this record, the subject matter of Figures 1 and 2 of the '580 patent, and the text of the patent that further describes those Figures, may be applied as prior art in this proceeding.

C. Boer

Boer describes a wireless LAN that includes first stations that operate at 1 or 2 Mbps (Megabits per second) data rate and second stations that operate at 1, 2, 5, or 8 Mbps data rate. Ex. 1204, Abstract.

Figure 1 of Boer is reproduced below.

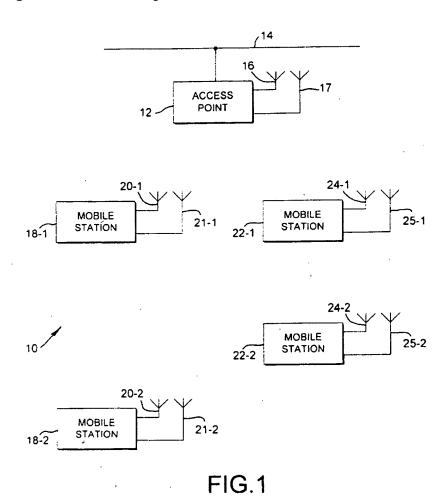


Figure 1 is said to be a block diagram of a wireless LAN embodying Boer's invention. Ex. 1204, col. 1, ll. 53–54. LAN 10 includes access point 12, serving as a base station. The network includes mobile stations 18-1 and 18-2 that are capable of transmitting and receiving messages at a data rate of

1 or 2 Mbps using DSSS (direct sequence spread spectrum) coding. When operating at 1 Mbps, a station uses DBPSK modulation. When operating at 2 Mbps, a station uses DQPSK modulation. *Id.* at col. 2, ll. 6–27. Mobile stations 22-1 and 22-2 are capable of operating at the 1 and 2 Mbps data rates using the same modulation and coding as stations 181 and 182. In addition, stations 22-1 and 22-2 can operate at 5 and 8 Mbps data rates using PPM/DQPSK (pulse position modulation–differential quadrature phase shift keying) in combination with the DSSS coding. *Id.* at ll. 34-44.

D. Claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 – APA and Boer

Petitioner applies the teachings of APA and Boer to demonstrate obviousness of the subject matter of claim 1, relying on APA for teaching of master/slave communication systems. Pet. 19–24, 28–33 (claim chart). Petitioner submits that a person having ordinary skill in the art would have been motivated to combine Boer with APA because the combination would increase the flexibility and efficiency of prior art master/slave communication systems, thus allowing the APA master/slave network to adapt to the needs of applications. *Id.* at 18 (referring to the Declaration of David Goodman, Ex. 1220 ¶¶ 100–101).

Patent Owner responds that Petitioner fails to explain how Boer's statement that "it may be advantageous to provide systems operating at higher data rates, which are not in accordance with the [draft 802.11] standard" would motivate one of ordinary skill to implement the teachings of Boer with APA. Ex. 1204, col. 1, ll. 16–25; Prelim. Resp. 28. We agree with Patent Owner. Petitioner, however, submits an alternative reason for

the combination that is founded on simplicity and determinacy. Pet. 18; Ex. 1220 ¶¶ 102–103. In particular, Mr. Goodman testifies that polled multiport master/slave communications systems were well known to those of ordinary skill in the art for simplicity and determinacy, referring to Exhibit 1218. Ex. 1220 ¶ 103. Petitioner submits Exhibit 1218 is a November 1994 publication that compares various strengths and weaknesses for communication protocols for embedded systems. Ex. 1218, 7. The document states that polling is one of the more popular protocols for embedded systems "because of its simplicity and determinacy." *Id.* In that protocol, a centrally assigned master periodically sends a polling message to the slave nodes, giving them explicit permission to transmit on the network. *Id.* The protocol "is ideal for a centralized data-acquisition system where peer-to-peer communication and global prioritization are not required." *Id.* We are persuaded that Petitioner has identified sufficient motivation from the prior art for the combination proposed.

Turning to the requirements of claim 1, the claim recites two types of modulation methods, in particular "wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method," and the second modulation method is of "a different type" than the first modulation method. Petitioner contends that Boer's DBPSK modulation corresponds to the claimed "first" modulation method. Pet. 30 (claim chart). Petitioner submits that either of Boer's DQPSK modulation and PPM/DQPSK modulation corresponds to the claimed "second" modulation method. *Id.*

Patent Owner argues that neither of DQPSK and PPM/DQPSK can be considered a modulation method of a type different from DBPSK. Prelim.

Resp. 32–35. For purposes of this decision, we need not determine the breadth of a different "type" of modulation method as claimed, and need not determine whether one of ordinary skill in the art would regard DQPSK to be a "type" of modulation method different from DBPSK. Boer's description of PPM/DQPSK modulation falls within the meaning of a "different type" of modulation method under any reasonable construction of the terms. *Cf.* Ex. 1220 ¶ 123 ("It is my opinion that PPM/DQPSK is a different 'type' of modulation than DBPSK under any possible claim construction."). According to Mr. Goodman, phase is not used in PPM, unlike in DBPSK and DQPSK modulation. *Id.* ¶ 124. In PPM, the start and stop time of a transmission is varied in response to the information to be transmitted, with the time shift being indicative of data bits. *Id.*

Patent Owner submits that "varying the start and stop time of a transmission of a carrier wave does not result in varying any characteristic of the carrier wave." Prelim. Resp. 33. Patent Owner does not explain, however, how the "start and stop time" of a transmission of a carrier wave cannot be considered one or more "characteristic[s]" of the carrier wave. We acknowledge there is *some* support in Boer for Patent Owner's position, in Boer's reference to PPM as "PPM type coding." *Id.*; Ex. 1204, col. 4, ll. 45–48. The fact remains, however, that the term "modulation" is part of the descriptive name for PPM – pulse position *modulation*. Patent Owner has not explained sufficiently why pulse position *modulation* cannot be considered a type of modulation method, even if the method might be applied for "coding" in Boer. *Id*.

Each of independent claims 54 and 58 recites limitations similar to those of claim 1. We have reviewed the information presented in the

Petition and Patent Owner's Preliminary Response. We are persuaded there is a reasonable likelihood that Petitioner would prevail in its challenge of independent claims 1, 54, and 58 and dependent claims 4, 5, 10, 13, 20–22, 57, 61, 62, 66, 70, and 76–79.

E. Claims 2, 49, 52, 53, and 59 – APA and Boer

Claim 2, which depends from claim 1, recites that the transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in the first modulation method "and indicates that communication from the master to the slave has reverted to the first modulation method." Petitioner submits that the recitation is met by material in Boer.

Figure 4 of Boer is reproduced below.

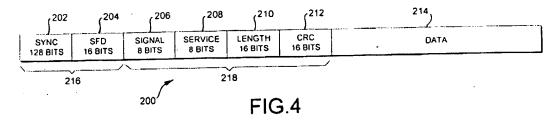


Figure 4 is said to be a diagram illustrating the format of a data message circulating in Boer's LAN. Ex. 1204, col. 1, ll. 59–60. Message 200 includes preamble 216 and header 218, always transmitted at the 1 Mbps rate using DBPSK modulation. Subsequent DATA field 214, however, may be transmitted at any one of the four rates 1, 2, 5, or 8 Mbps, using the modulation and coding appropriate for the selected rate. *Id.* at col. 3, ll. 56–62. SIGNAL field 206 has a first value if DATA field 214 is transmitted at the 1 Mbps rate and a second value if the DATA field is transmitted at the 2, 5, or 8 Mbps rate. SERVICE field 208 has a first value

for the 1 and 2 Mbps rates, a second value for the 5 Mbps rate, and a third value for the 8 Mbps rate. *Id.* at col. 4, ll. 4–11.

Petitioner submits that the "first sequence" of base claim 1 corresponds to Boer's description of SIGNAL field 206 and SERVICE FIELD 208. *E.g.*, Pet. 32 (claim chart). According to Petitioner, the "third sequence" of claim 2 corresponds to a subsequent transmission of SIGNAL field 206 and SERVICE field 208. Pet. 25. Petitioner concludes that the subject matter of claim 2 would have been obvious because header 218 is always transmitted using DBPSK (the "first" modulation method). *Id.* Petitioner refers to paragraph 143 of the Goodman Declaration for support. *Id.*

Mr. Goodman submits:

Claim 2 further requires that the third sequence be "transmitted in the first modulation method and indicates that communication from the master to the slave has reverted to the first modulation method." As discussed above, Header 208, which includes SIGNAL 206 and SERVICE 208 fields, [is] always transmitted using DBPSK (the "first modulation method"). Ex. 1204, 3:56–58.

Therefore, it is my opinion that claim 2 is obvious in view of the prior art.

Ex. 1220 ¶¶ 143–144.

Petitioner has not provided sufficient evidence or explanation in support of why the fact that Boer's SIGNAL and SERVICE fields are always transmitted using DBPSK (the "first" modulation method) might demonstrate obviousness of the subject matter of claim 2. Petitioner has failed to show, in particular, how the SIGNAL and SERVICE fields might

be deemed, as alleged, to "indicate" that communication from the master to the slave has reverted to the first modulation method, as recited in claim 2.

Independent claim 49, from which challenged claims 52 and 53 depend, recites a similar limitation with respect to how a sequence "indicates" that communication has reverted to the first modulation method. Petitioner relies, again, on Boer's description of header 218 being always transmitted using the "first" modulation method. Pet. 39; Ex. 1220 ¶¶ 192–195. Petitioner's asserted ground of obviousness with respect to claim 49, thus, fails for the same reasons as that of claim 2.

Claim 59, which depends from independent claim 58, also recites a third sequence that is transmitted in the first modulation method that "indicates" communication from the master to the slave has reverted to the first modulation method. Petitioner submits, correctly, that Boer teaches that the SIGNAL and SERVICE fields in the header "indicate which modulation method is used to transmit DATA field 218." Pet. 49. "When Boer is combined with the APA, it could therefore indicate that communication from the master to the slave has reverted to the first modulation method." Id. (citing Ex. 1220 ¶¶ 232–237). Mr. Goodman repeats that "it could therefore indicate" that communication has reverted to the first modulation method (Ex. 1220 ¶ 237) and concludes, "[t]herefore, it is my opinion that claim 59 is obvious in view of the prior art" (id. \P 238). Although it appears that Petitioner attempts to provide more explanation in its challenge of dependent claim 59, as compared with that of claim 2 or 49, we are not persuaded there is a reasonable likelihood that Petitioner would prevail in its challenge of any of claims 2, 49, and 59.

F. Claim 19 - APA and Boer

Claim 19 depends from claim 13, which depends from claim 1. Claim 19 recites "wherein the transceiver is configured to receive data from the intended destination in the first modulation method when the intended destination is the first type of receiver." The "transceiver" is defined by base claim 1 as being "in the role of the master" according to the master/slave relationship, for sending transmissions modulated "using at least two types of modulation methods" as further specified in the claim.

Petitioner submits, with respect to claim 19, that Boer "discloses that a station 18 (first type) can receive DBPSK ('first modulation method[']) transmissions. *See* claim 13." Pet. 36 (claim chart). Petitioner makes plain, in its assessment of intervening claim 13, that Boer's LAN 10 (Fig. 1) contains mobile stations 18 of a "first type," operating at 1 or 2 Mbps data rate, and mobile stations 22 of a "second" type, operating at 1, 2, 5, or 8 Mbps data rate. *Id.* at 35–36 (claim chart).

We agree with Patent Owner (Prelim. Resp. 38–39) that, as we noted previously, Boer describes stations 18 as not capable of using modulation for a "second" type of modulation associated with the higher rates of 5 and 8 Mbps. See Ex. 1204, col. 2, ll. 19–27 (mobile stations 18-1 and 18-2 (Fig. 1) transmit and receive messages at 1 or 2 Mbps, using DBPSK or DQPSK modulation, respectively). Thus, alleging that a station 18 can receive a "first" (DBPSK) modulation method transmission (Pet. 36) fails to demonstrate the obviousness of the transceiver which, according to claim 1, sends transmissions using at least two types of modulation methods, further being configured to receive data in the first modulation method in accordance with the requirements of claim 19. Petitioner provides no further

explanation for the discrepancy between claim 19 and the alleged corresponding disclosure of Boer. See Pet. 27; Ex. 1220 ¶¶ 159–160.

For the foregoing reasons, we are not persuaded there is a reasonable likelihood that Petitioner would prevail in its challenge of claim 19.

III. CONCLUSION

The Petition demonstrates a reasonable likelihood of prevailing on the obviousness grounds of unpatentability as to claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 based on APA and Boer. The Petition does not demonstrate a reasonable likelihood of prevailing on the obviousness grounds of unpatentability as to claims 2, 19, 49, 52, 53, and 59 based on APA and Boer.

The Board has not made a final determination on the patentability of any challenged claim.

IV. ORDER

In consideration of the foregoing, it is

ORDERED that an *inter partes* review is instituted as to claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 of the '580 patent on the obviousness ground based on APA and Boer;

FURTHER ORDERED that the Petition is denied as to all other grounds set forth in the Petition;

FURTHER ORDERED that pursuant to 35 U.S.C. § 314(a), *inter* partes review of the '580 patent is instituted with trial commencing on the entry date of this Order, and pursuant to 35 U.S.C. § 314(c) and 37 C.F.R. § 42.4, notice is given of the institution of the trial; and

FURTHER ORDERED that the trial is limited to the grounds identified immediately above and no other ground is authorized for the '580 patent claims.

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Paper 16

Entered: September 23, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
SAMSUNG ELECTRONICS AMERICA, INC.,
SAMSUNG TELECOMMUNICATIONS AMERICA, LLC, and
SAMSUNG AUSTIN SEMICONDUCTOR, LLC,
Petitioner,

v.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2014-00519 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BUSCH, Administrative Patent Judge.

DECISION
Institution of *Inter Partes* Review
37 C.F.R. § 42.108

I. INTRODUCTION

A. Background

Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin Semiconductor, LLC (collectively, "Petitioner") filed an Amended Petition requesting an *inter partes* review of claims 23, 25, 29, 30, 32, 34, 38, 40, 41, 43, 44, and 47 ("the challenged claims") of U.S. Patent No. 8,023,580 B2 ("the '580 patent," Ex. 1301) on April 3, 2014. Paper 4 ("Pet."). Rembrandt Wireless Technologies, LP ("Patent Owner") filed a Patent Owner Preliminary Response on July 3, 2014. Paper 14 ("Prelim. Resp."). We have jurisdiction under 35 U.S.C. § 314.

Inter partes review may be instituted only if "there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314. Upon consideration of the Petition and the Patent Owner Preliminary Response, we conclude Petitioner has established a reasonable likelihood that it would prevail with respect to claims 32, 34, 38, 40, 43, 44, and 47 of the '580 patent.

Accordingly, we institute an *inter partes* review of claims 32, 34, 38, 40, 43, 44, and 47.

B. Related Proceedings

Petitioner indicates that the '580 patent was asserted against Petitioner in *Rembrandt Wireless Technologies, LP v. Samsung Electronics Co.*, No. 2:13-cv-00213 (E.D. Tex.). Pet. 1–2. The same parties and patent

are involved in Samsung Electronics Co. v. Rembrandt Wireless

Technologies, LP, Case IPR2014-00514 (PTAB); Samsung Electronics Co.
v. Rembrandt Wireless Technologies, LP, Case IPR2014-00515 (PTAB);
and Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP,
Case IPR2014-00518 (PTAB).

C. The '580 Patent (Ex. 1301) 1

The specification of the '580 patent describes "a data communications system in which a plurality of modulation methods are used to facilitate communication among a plurality of modem types." Ex. 1301, 1:21–23. The '580 patent explains that the invention addresses a problem that conventional modem pairs can communicate successfully only when the modems use compatible modulation methods. *Id.* at 1:27–30, 1:45–47.

Of the challenged claims, claims 23, 32, and 40 are independent claims. Illustrative claim 23 is reproduced as follows:

23. A communications device, comprising: a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a

¹ In our decision, we refer to the '580 patent by its original column and line numbers, not the page numbers inserted by the Petitioner.

third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

D. Asserted Grounds of Unpatentability

Petitioner asserts the following grounds of unpatentability under 35 U.S.C. §§ 102 and 103:

Evidence	Basis	Challenged Claims
Boer ²	§ 102(e)	23, 25, 30, 32, 34, 40, 41, 43, and 44
Boer	§ 103(a)	23, 25, 30, 32, and 34
Boer and APA ³	§ 103(a)	29, 38, and 47

II. ANALYSIS

A. Claim Construction

Petitioner and Patent Owner each propose a construction of "first modulation method" and "second modulation method." However, we do not construe any term at this time because no term needs to be construed for purposes of this decision.

B. Asserted Grounds Based on Boer

1. Overview of Boer (Ex. 1304)

Boer discloses "a method of operating a wireless local area network station adapted to transmit and receive messages at a plurality of data rates."

² U.S. Patent No. 5,706,428 (filed Mar. 14, 1996, issued Jan. 6, 1998) (Ex. 1304) ("Boer").

³ Petitioner alleges that Figures 1 and 2 of the '580 patent and the accompanying descriptions are admitted prior art. Pet. 37–38 (citing Ex. 1301, Figs. 1, 2, 2:16–20, 3:40–46) ("APA").

Ex. 1304, 1:34–36. Boer's local area network stations "may be data processing devices (such as PCs) having a wireless communication ability." Id. at 1:13–15. Boer's mobile stations may modulate the carrier signals using differential binary phase shift keying ("DBPSK") modulation when communicating at 1 Megabit per second ("Mbps") and differential quadrature phase shift keying ("DQPSK") modulation when communicating at 2 Mbps. *Id.* at 2:16–27. Boer further discloses that other mobile stations in the system also may be capable of operating at 5 or 8 Mbps by modulating the carrier signals using pulse position modulation—DQPSK ("PPM/DQPSK"). Id. at 2:34–43. Boer discloses that a typical message includes various fields, including "signal," "service," "length," and "CRC" fields (collectively referred to as a header) and a "data" field. *Id.* at 3:42–54. Boer further explains that the "header [is] always transmitted at the 1 Mbps rate using DBPSK modulation [and t]he subsequent DATA field . . . may be transmitted at a selected one of the four possible rates 1, 2, 5 or 8 Mbps, using the modulation and coding discussed hereinabove." *Id.* at 3:57–62.

2. Analysis of Asserted Anticipation Grounds of Claims 23, 25, and 30 Based on Boer

Petitioner argues Boer discloses each limitation of independent claim 23 and provides claim charts, specifying where each of the limitations is described in Boer. Pet. 12–24. Petitioner argues Boer's communication system is comprised of stations communicating with each other using different modulation methods, and that each of the stations may be a PC,

including a processor and a memory to execute instructions in order for the stations to communicate with each other. *Id.* at 12–13.

Petitioner argues that Boer's transmission of its "service" and "length" fields of a first message, "data" field of the first message, and "service" and "length" fields of a subsequent message meet the recited "first data," "second data," and "third data," respectively. *Id.* at 14–18. Petitioner argues Boer teaches sending headers of each message, which include the "service" and "length" fields, using DBPSK and that the "service" and "length" fields indicate which modulation method (DBPSK, DQPSK, or PPM/DQPSK) is used to transmit the "data" field of the same message. *Id.* at 16–18.

Next, Petitioner argues Boer's disclosure of sending and receiving multiple messages at more than one data rate indicates a succession of transmissions will be made where each message follows the same format. *Id.* at 17. Petitioner argues Boer describes transmitting a first message's "data" field using either DQPSK or PPM/DQPSK followed by a second message with a header indicating that the "data" field of the second message is transmitted using DBPSK. Petitioner asserts that sequence of messages described by Boer discloses "direct[ing] transmission of a third data with the first modulation method after the second data . . . indicat[ing] that communication has reverted to the first modulation method" (the "third data transmission limitation"). *Id.* at 17–18.

With respect to independent claim 23, Patent Owner argues Petitioner "ignores the limitation of claim 23 that requires that the third data *indicate* that 'communication from the master to the slave has reverted to the first modulation method." Prelim. Resp. 26. Patent Owner also argues "there is no indication in Boer that a message . . . that is transmitted at a [second rate] is followed by a message having . . . fields that would *indicate* that its respective DATA 214 field shall be transmitted at" the first rate. *Id*. Therefore, Patent Owner asserts the Petition fails to establish a reasonable likelihood that Boer anticipates claim 23. *Id*. at 27.

Petitioner has presented sufficient evidence supporting its position that Boer discloses each of the limitations of claim 23, except for the third data transmission limitation. With respect to the third data transmission limitation, we note first that a portion of Patent Owner's assertions is not commensurate with the scope of the claim language. Claim 23 of the '580 patent does not recite communication from a master to a slave, but rather it merely recites that "communication has reverted to the first modulation method." Nevertheless, although the Petition sufficiently establishes that Boer discloses sending messages at multiple data rates and using multiple associated modulation methods, the Petition has not established sufficiently that Boer either expressly or inherently discloses the third data transmission limitation. We agree with Patent Owner that the Petition has not established sufficiently

that a message that includes a DATA field 214 that is transmitted at a rate other than 1 Mbps (i.e., transmitted at a rate

different than the rate at which the SIGNAL 206 and SERVICE 208 fields of the message are transmitted) is followed by a message having SIGNAL 206 and SERVICE 208 fields that would indicate that its respective DATA 214 field shall be transmitted at a rate of 1 Mbps.

Prelim. Resp. 26 (emphasis omitted).

Boer's system may be capable of transmitting a first message's data field using either DQPSK or PPM/DQPSK followed, a subsequent message's header field using DBPSK, where the subsequent message's header field indicates that the subsequent message's data field will be transmitted using DBPSK. However, the Petition has not established that the specific series of transmissions as recited in independent claim 23 is explicitly disclosed by or necessarily present in Boer.

Thus, based on the evidence and arguments submitted, Petitioner has not demonstrated sufficiently that Boer discloses transmitting a third data—subsequent to a second data transmitted using a second modulation method—that indicates "communication has reverted to the first modulation method," as recited in claim 23. Therefore, for the reasons discussed, Petitioner has not established a reasonable likelihood that claim 23 is anticipated by Boer. Dependent claims 25 and 30 depend from claim 23 and include all of the limitations recited in claim 23. Therefore, for the same reasons as discussed with respect to independent claim 23, Petitioner has not established a reasonable likelihood that claims 25 and 30 are anticipated by Boer.

3. Analysis of Asserted Obviousness Grounds of Claims 23, 25, and 30 Based on Boer

Petitioner asserts that, to the extent Boer does not anticipate claims 23, 25, and 30, Boer renders those claims obvious. Pet. 12–25. With respect to claim 23, Petitioner merely makes a conclusory statement that "it would have been obvious to a person of ordinary skill in the art to implement Boer's teachings with a processor and memory that stores executable instructions that implements Boer's functionality." Id. at 13. Claims 25 and 30 depend from claim 23, and Petitioner argues Boer renders obvious claims 25 and 30 for the same reasons as discussed with respect to claim 23. Id. at 18, 19, 25. Petitioner presents no other argument regarding what aspects of Boer would need to be modified in order to meet the recited limitations and why. The underlying factual inquiries necessary for a proper obviousness analysis, as set forth in Graham v. John Deere Co., 383 U.S. 1, 17–18 (1966), have not been discussed sufficiently. In particular, Petitioner has not submitted persuasive argument or evidence curing the deficiency identified with respect to its anticipation challenge. Therefore, on the record, Petitioner has not established a reasonable likelihood that claims 23, 25, and 30 would have been obvious in view of Boer.

4. Analysis of Asserted Anticipation Grounds of Claims 32, 34, 40, 43, and 44 Based on Boer

Independent claim 32 is similar to claim 23, except claim 32 does not recite that "the third data indicates that communication has reverted to the first modulation method" and includes an additional recitation that

"transmission of the second data is according to a particular quantity of data." Petitioner argues Boer discloses that additional limitation because Boer uses its "length" field to identify the number of bytes transmitted in its "data" field, which Petitioner maps to the recited second data. *Id.* at 19, 25–26. Dependent claim 34, which depends from claim 32, further recites a transmitter. Petitioner argues Boer discloses RF transmitters 50 and 150, shown in Figures 2 and 3. Pet. 18–19, 25–27. Therefore, Petitioner asserts Boer discloses each limitation of independent claims 32 and 34 for the same reasons asserted with respect to claims 23 and because Boer discloses the transmission according to a quantity of data and using a transmitter, as recited in claims 32 and 34, respectively. Pet. 25–27.

Independent claim 40 recites limitations similar to those recited in claim 32, except claim 40 recites a transceiver, which further comprises a modulator, instead of reciting a processor and a memory. Petitioner points to Boer's transceivers and argues the transceivers include a modulator. Pet. 27–28. Petitioner presents arguments for the remaining limitations of claim 40 similar to those presented with respect to the commensurate limitations recited in claims 23 and 32. *Id.* at 27–29.

Dependent claim 43, which depends from independent claim 40, recites "the transceiver is configured to transmit the second sequence according to a particular quantity of data." Petitioner argues Boer discloses that additional limitation because Boer uses its "length" field to identify the number of bytes transmitted in its "data" field, which Petitioner maps to the

recited second data. *Id.* at 19, 30, 36–37. Dependent claim 44, which also depends from independent claim 40, recites a processor and a memory and instructions stored in the memory to cause the transmitter to transmit the recited data. Petitioner argues Boer discloses that limitation because it describes embodiments of devices including PCs or other data processing devices, which have processors and memories and use instructions to cause transceivers to transmit messages. Pet. 30, 37.

Claims 32, 34, 40, 43, and 44 do not recite a limitation similar to the limitation recited in claim 23 that Petitioner has failed to demonstrate is disclosed by Boer. Therefore, Petitioner has presented sufficient evidence supporting its position that Boer discloses each of the limitations of claims 32, 34, 40, 43, and 44. Thus, Petitioner has demonstrated a reasonable likelihood that claims 32, 34, 40, 43, and 44 are anticipated by Boer.

5. Analysis of Asserted Obviousness Grounds of Claims 32 and 34 Based on Boer

As discussed above, Petitioner does not identify specifically any differences between Boer's disclosure and the subject matter recited in claims 32 and 34. Petitioner presents no other argument regarding what aspects of Boer would need to be modified in order to meet the recited limitations and why, thus failing to address the underlying factual inquiries necessary for a proper obviousness analysis. Therefore, we do not institute review on the ground that claims 32 and 34 would have been obvious in view of Boer. See 37 C.F.R. § 42.108(a).

6. Analysis of Asserted Anticipation Grounds of Claim 41 Based on Boer

Dependent claim 41 recites that the "transceiver is configured to transmit a third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation method and indicates that a subsequent communication has reverted to the first modulation method," which is similar in scope to the third data transmission limitation recited in independent claim 23. Petitioner presents similar arguments regarding this limitation as presented with respect to the third data transmission limitation. Pet. 29–30, 35–36.

Patent Owner presents arguments that Petitioner has not shown Boer anticipates claim 41, which are similar to the arguments it presented with respect to claim 23. We note that at least part of Patent Owner's arguments regarding Petitioner's failure to demonstrate that claim 41 is anticipated are not relevant or commensurate with the scope of the claims. *See* Prelim. Resp. 29–30. For example, Patent Owner argues Petitioner ignores elements recited in claim 23 and elements related to a master and a slave, which are not recited in claim 41. *Id.* at 29. Patent Owner also argues "Boer does not necessarily lead to the limitation of Claim 41 that 'the third sequence indicates that communication from the master to the slave has reverted to the first modulation method." *Id.* at 30.

For the same reasons discussed above with respect to the third data transmission limitation of claim 23, Petitioner has not demonstrated sufficiently that Boer discloses "the transceiver is configured to transmit a

third sequence after the second sequence, wherein the third sequence is transmitted in accordance with the first modulation method and indicates that a subsequent communication has reverted to the first modulation method," as recited in dependent claim 41. Therefore, Petitioner has not established a reasonable likelihood that claim 41 is anticipated by Boer.

- C. Asserted Obviousness Grounds Based on Boer and APA
 - 1. Overview of APA

Petitioner argues that Figures 1 and 2, as well as the accompanying descriptions, are admitted prior art because the '580 patent labeled Figure 1 as prior art and provided a description of Figure 2 as "a ladder diagram illustrating the operation of the multipoint communication system of" Figure 1. Pet. 5–7 (citing Ex. 1304, 3:40–44). Therefore, Petitioner asserts that a multipoint communication system using a master and multiple slaves is admitted prior art. *Id.* at 5–8, 37–38.

2. Analysis of Asserted Obviousness Grounds of Claims 29, 38, and 47 Based on Boer and APA

Petitioner asserts that an ordinarily skilled artisan would have combined Boer's teachings with APA (the multipoint communication system) because they would have understood that the access points disclosed by Boer "often operate as a master" and integrating multi-modulation methods would have increased the flexibility and efficiency of a multipoint communication system. Pet. 40 (citing Ex. 1318 ¶¶ 162–167).

Dependent claims 29, 38, and 47 ultimately depend from independent claims 23, 32, and 40, respectively. Dependent claims 29, 38, and 47 merely

add the additional limitation that "the memory has stored therein program code for a multipoint communications protocol," which Petitioner asserts is taught by APA. Pet. 41–43. Therefore, Petitioner asserts that it would have been obvious to combine Boer and the multipoint communications protocol of APA, resulting in the matter recited in claims 29, 38, and 47.

Patent Owner argues Petitioner's allegations of admitted prior art cannot serve as a basis for instituting trial because admitted prior art is not applicable to an inventor's own work and the inventor's identification of a problem that needs to be solved cannot be separated from the invention as a whole (and, thus, cannot be admitted prior art). Prelim. Resp. 9–15. In sum, Patent Owner appears to argue that the identification of a problem leading to the '580 patent cannot be prior art. Although the inventor of the '580 patent identified a problem for which a system with stations communicating using multiple modulation methods provided a solution, Petitioner does not rely on identification of the problem as admitted prior art. Rather, based on the record, Petitioner merely relies on the '580 patent's disclosure of a multipoint communication system using a master and multiple slaves being well-known at the time of the invention. On the record currently before us, we are not persuaded that anything in the specification of the '580 patent indicates that invention of a multipoint communications system using a master and multiple slaves is the work of the inventor of the '580 patent.

On the evidence submitted, we are persuaded both that a multipoint communications system is APA in the '580 patent and that a person of

ordinary skill in the art would have combined Boer and such a multipoint communications system. Therefore, on this record, Petitioner has demonstrated a reasonable likelihood that claims 38 and 47 are obvious in view of the combination of Boer and APA. However, because claim 29 depends from and incorporates the limitations of claim 23 and Petitioner has not shown that APA cures the deficiencies of Boer with respect to claim 23, Petitioner has not demonstrated a reasonable likelihood that the subject matter of claim 29 would have been obvious in view of the combination of Boer and APA.

III. CONCLUSION

For the foregoing reasons, we determine that Petitioner has shown a reasonable likelihood that it would prevail in demonstrating that claims 32, 34, 38, 40, 43, 44, and 47 of the '580 patent are unpatentable on at least one challenged ground, but Petitioner has not shown a reasonable likelihood that it would prevail in demonstrating that claims 23, 25, 29, 30, and 41 of the '580 patent are unpatentable on any ground. The Board has not made a final determination on the patentability of any challenged claim.

IV. ORDER

For the reasons given, it is:

ORDERED that pursuant to 35 U.S.C. § 314(a), an *inter partes* review is hereby instituted for the following alleged grounds of unpatentability:

- (a) claims 32, 34, 40, 43, and 44 of the '580 patent are unpatentable, under 35 U.S.C. § 102(e), as anticipated by Boer; and
- (b) claims 38 and 47 of the '580 patent are unpatentable, under 35 U.S.C. §103(a), over the combination of Boer and APA;

FURTHER ORDERED that no other ground of unpatentability alleged in the Petition for any claim is authorized; and

FURTHER ORDERED that pursuant to 35 U.S.C. § 314(c) and 37 C.F.R. § 42.4, the trial commences on the entry date of this decision, and notice is hereby given of the institution of a trial.

PETITIONER:

Jeffrey Miller millerj@dicksteinshapiro.com

Daniel G. Cardy cardyd@dicksteinshapiro.com

PATENT OWNER:

Thomas Engellenner engellennert@pepperlaw.com

Reza Mollaaghababa mollaaghababar@pepperlaw.com

Lana Gladstein gladsteinl@pepperlaw.com

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	12/543,910
Filing Date	August 19, 2009
First Named Inventor	Gordon Bremer
Title	System And Method Of Communication
Art Unit	2611
Examiner Name	Dac V Ha
Attorney Docket Number	

I hereby revoke all previous powers of attorney given in the above-identified application.				
A Power of Attorney is submitted herewith.				
Number as my identified above and Trademark	point Practitioner(s) associated with the following Customer my/our attorney(s) or agent(s) to prosecute the application bove, and to transact all business in the United States Patent hark Office connected therewith:		15027	
OR I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:				
	Practitioner(s) Name	Registration Number		
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	or change the correspondence address sociated with the above-mentioned Customer N		e-identified application to:	
OR				
The address associated with Customer Number: 15027 OR				
Firm or Individual Name	3			
Address				
City		State	Zip	
Country Telephone		\ m_==11		
I am the:	1	Email		
Applicant/Inventor. OR				
Assignee of record of the entire interest, See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on				
SIGNATURE of Applicant or Assignee of Record				
Signature Name	Derek Wood		Date 2 2 2 2 4	
Title and Company		rninia Managem	Telephone 610-822-0100	
Title and Company Secretary of the general partner (Rembrandt Virginia Management, LLC) of Rembrandt Wireless Technologies, LP NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.				
Total offorms are submitted.				

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Gordon Bremer				
Application No./Patent No.: 8,023,580	Filed/Issue Date: September 20, 2011			
Titled: SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS				
Rembrandt Wireless Technologies, LP , a partners	ship			
	Assignee, e.g., corporation, partnership, university, government agency, etc.			
states that it is:				
1. X the assignee of the entire right, title, and interest in;				
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is				
3. the assignee of an undivided interest in the entirety of (a co	omplete assignment from one of the joint inventors was made)			
the patent application/patent identified above, by virtue of either:				
A. An assignment from the inventor(s) of the patent application the United States Patent and Trademark Office at Reel copy therefore is attached. OR	n/patent identified above. The assignment was recorded in, Frame, or for which a			
	n/patent identified above, to the current assignee as follows:			
1. From: Gordon Bremer	To: Paradyne Corporation			
The document was recorded in the United States Reel 009844 , Frame 0480				
2. From: Zhone Technologies, Inc; Paradyne Corp.	To: Summit Technology Systems, LP			
The document was recorded in the United States	Patent and Trademark Office at			
Reel <u>019649</u> , Frame <u>0818</u>	, or for which a copy thereof is attached.			
3. From: Summit Technology Systems, LP	To: Rembrandt Wireless Technologies, LP			
The document was recorded in the United States	Patent and Trademark Office at			
Reel 027085 , Frame 0636	, or for which a copy thereof is attached.			
Additional documents in the chain of title are listed on a su	upplemental sheet(s).			
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence or concurrently is being, submitted for recordation pursuant to 3	e of the chain of title from the original owner to the assignee was, 7 CFR 3.11.			
[NOTE: A separate copy (i.e., a true copy of the original assign accordance with 37 CFR Part 3, to record the assignment in the	nment document(s)) must be submitted to Assignment Division in a records of the USPTO. See MPEP 302.08]			
The undersigned (whose title is supplied below) is authorized to act on	behalf of the assignee.			
/Michael A. Koptiw/	December 4, 2014			
Signature	Date			
Michael A. Koptiw	Patent Attorney			
Printed or Typed Name	Title			

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Gordon Bremer

Patent No.: 8,023,580

Issued: September 20, 2011

For: SYSTEM AND METHOD OF COMMUNICATION

USING AT LEAST TWO MODULATION METHODS

Confirmation No.: 8306

Art Unit: 2611

Examiner: Dac V. Ha

SUBMISSION OF DISCLAIMER PURSUANT TO 37 C.F.R. § 1.321(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: December 4, 2014

Dear Madam:

Appended hereto is a completed form PTO/SB/43 (Disclaimer in Patent Under 37 C.F.R. § 1.321(a)). Also appended hereto is a Statement Under 37 C.F.R. § 3.73(b), showing that Rembrandt Wireless Technologies, LP is the assignee of record for U.S. Patent No. 8,023,580 (issued September 20, 2011). As reflected in the appended Disclaimer, the assignee of record disclaims Claims 32, 34, 40, 43, and 44, and authorizes payment of all necessary fees.

The Director is hereby authorized to charge any appropriate fees that may be required by the Disclaimer and this paper, and to credit any overpayment, to Deposit Account 50-5519.

Respectfully submitted,

/ Michael A. Koptiw / Name: Michael A. Koptiw Registration No.: 57,900

Electronic Patent Application Fee Transmittal					
Application Number:	12543910				
Filing Date:	19-Aug-2009				
Title of Invention:		STEM AND METHOL DDULATION METHO		CATION USING AT	LEAST TWO
First Named Inventor/Applicant Name:	Gordon F. Bremer				
Filer:	Arshid A. Sheikh/Marissa Antonelli				
Attorney Docket Number:	REMB-0109				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or Terminal Disclaimer	1814	1	160	160
	Total in USD (\$)		160	

Electronic Acknowledgement Receipt		
EFS ID:	20863865	
Application Number:	12543910	
International Application Number:		
Confirmation Number:	8306	
Title of Invention:	SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS	
First Named Inventor/Applicant Name:	Gordon F. Bremer	
Customer Number:	15027	
Filer:	Arshid A. Sheikh/Marissa Antonelli	
Filer Authorized By:	Arshid A. Sheikh	
Attorney Docket Number:	REMB-0109	
Receipt Date:	04-DEC-2014	
Filing Date:	19-AUG-2009	
Time Stamp:	12:30:16	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$160
RAM confirmation Number	11549
Deposit Account	505519
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing feet) 432

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Statutory disclaimers per MPEP 1490.	Disclaimer_in_patent_under_3	145902	no	2
		7_1321.pdf	9faee619adce3e092640e328ca1bc7b3e20 d59ef		
Warnings:					
Information:					
2	Power of Attorney	POA.pdf	2717489	no	1
	,	·	f578da2df89d0deb102c3e67ed5b2b72b3b 16789		
Warnings:					
Information:					
3	Assignee showing of ownership per 37	Final_Statement_under_373.	75567	no	2
_	CFR 3.73.	pdf	de98e7ebd6efb67dbc5302b5295634cf14c 0471e		
Warnings:					
Information:					
4	Miscellaneous Incoming Letter	Transmittal_Ltr.pdf	77069	no	1
	~	_ ,	019a9547139888bcdc4856391f4101c4875 e8b4d		
Warnings:					
Information:					
5	Fee Worksheet (SB06)	fee-info.pdf	30321	no	2
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Warnings:					
Information:					
		Total Files Size (in bytes)	30	46348	
			1		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DISCLAIMER IN PATENT UNDER 37 CFR 1.321(a)				
Name of Patentee Gordon Bremer	Docket Number (Optional) REMB_0109_USCON			
Patent Number	Date Patent Issued			
8,023,580	September 20, 2011			
Title of Invention System And Method Of Communi At Least Two Modulation Meth	~			
I hereby disclaim the following complete claims in the above identifie	ed patent:			
Claims 32, 34, 40, 43, and 4	1 4			
The extent of my interest in said patent is (if assignee of record, state liber and page, or reel and frame, where assignment is recorded): Assignee of record as shown on 3.73(b) submitted herewith and having a chain of title recorded at (009844/0480), (019649/0818), and (027085/0636). The fee for this disclaimer is set forth in 37 CFR 1.20(d). Patentee claims small entity status. See 37 CFR 1.27. Small entity status has already been established in this case, and is still proper. A check in the amount of the fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge any fees which may be required or credit any overpayment to Deposit Account No50-5519 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
Signed at Philadelphia , State of Pennsylvania ,	this 4 day of December 20 14.			
/Michael A. Koptiw/	57,900			
Signature	Registration Number, if applicable			
Michael A. Koptiw	215-558-5740			
Typed or printed name of patentee/ attorney or agent of r	record Telephone Number			
1800 JFK Boulevard, Suite 1700 Address				
Philadelphia, Pennsylvania 19103, United S	States of America			
City, State, Zip Code or Foreign Co				

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 12/543,910 08/19/2009 Gordon F. Bremer

REMB-0109

15027 Condo Roccia Koptiw LLP 1800 JFK Boulevard **Suite 1700** Philadelphia, PA 19103

CONFIRMATION NO. 8306 POA ACCEPTANCE LETTER



Date Mailed: 12/10/2014

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/04/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/zabraha/							
			 	 	/== \\		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

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DISCLAIMER IN PATENT UNDER 37 CFR 1.321(a)				
Name of Patentee Gordon Bremer	Docket Number (Optional) REMB_0109_USCON			
Patent Number	Date Patent Issued			
8,023,580	September 20, 2011			
Title of Invention System And Method Of Communi At Least Two Modulation Meth	-			
I hereby disclaim the following complete claims in the above identified	d patent:			
Claims 24, 26-28, 31, 33, 35-37, 3	•			
The extent of my interest in said patent is (if assignee of record, state assignment is recorded): Assignee of record as state recorded at (009844/0480), (019649/08). The fee for this disclaimer is set forth in 37 CFR 1.20(d). Patentee claims small entity status. See 37 CFR 1.27. Small entity status has already been established in this case, and a check in the amount of the fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge any fees which may overpayment to Deposit Account No. 50-5519 WARNING: Information on this form may become public. On the included on this form. Provide credit card information is set to the second of the control of the fee included on this form. Provide credit card information is set to the second of the control of the	nown at chain of title 18), and (027085/0636). and is still proper. ay be required or credit any Credit card information should not			
Signed at Philadelphia, State of Pennsylvania, t	his 15 day of <u>December</u> 2014.			
/Michael A. Koptiw/	<u> 57,900</u>			
Signature	Registration Number, if applicable			
Michael A. Koptiw	215-558-5740			
Typed or printed name of patentee/ attorney or agent of respectively.	ecord Telephone Number			
Address				
Philadelphia, Pennsylvania, 19103, U City, State, Zip Code or Foreign Code	United States of America untry as applicable			

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Gordon Bremer

Patent No.: 8,023,580

Issued: September 20, 2011

For: SYSTEM AND METHOD OF COMMUNICATION

USING AT LEAST TWO MODULATION METHODS

Confirmation No.: 8306

Art Unit: 2611

Examiner: Dac V. Ha

SUBMISSION OF DISCLAIMER PURSUANT TO 37 C.F.R. § 1.321(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Madam:

Appended hereto is a completed form PTO/SB/43 (Disclaimer in Patent Under 37 C.F.R. § 1.321(a)). Rembrandt Wireless Technologies, LP is the assignee of record for U.S. Patent No. 8,023,580 (issued September 20, 2011) as shown on the chain of title recorded at reel/frame (009844/0480),(019649/0818), and (027085/0636).

As reflected in the appended Disclaimer, the assignee of record disclaims Claims 24, 26-28, 31, 33, 35-37, 39, 42, 45, 46, and 48, and authorizes payment of all necessary fees.

The Director is hereby authorized to charge any appropriate fees that may be required by the Disclaimer and this paper, and to credit any overpayment, to Deposit Account 50-5519.

Dated: December 15, 2014 /Michael A. Koptiw/

Name: Michael A. Koptiw Registration No.: 57,900

Electronic Patent Application Fee Transmittal						
Application Number:	12543910					
Filing Date:	19	-Aug-2009				
Title of Invention:		SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS				
First Named Inventor/Applicant Name:	Go	rdon F. Bremer				
Filer:	Ars	shid A. Sheikh/Cassa	ındra Katz			
Attorney Docket Number:	RE	MB-0109				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or Terminal Disclaimer	1814	1	160	160
	Tot	al in USD	(\$)	160

Electronic Acknowledgement Receipt			
EFS ID:	20957925		
Application Number:	12543910		
International Application Number:			
Confirmation Number:	8306		
Title of Invention:	SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS		
First Named Inventor/Applicant Name:	Gordon F. Bremer		
Customer Number:	15027		
Filer:	Arshid A. Sheikh/Cassandra Katzcx		
Filer Authorized By:	Arshid A. Sheikh		
Attorney Docket Number:	REMB-0109		
Receipt Date:	15-DEC-2014		
Filing Date:	19-AUG-2009		
Time Stamp:	12:24:09		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
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Payment was successfully received in RAM	\$160
RAM confirmation Number	9857
Deposit Account	505519
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination progessing fees) 432

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Statutory disclaimers per MPEP 1490.	REMB_0109_USCON_SB0043A_	176130	no	2
·	statatory discumers per fin Er 1750.	dated_15Dec2014.pdf	d83495fe06369fc2f70c5ad15b0d1f54183e 10b0		_
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	REMB_0109_USCON_Transmitt	77036	no	1
_		al_Ltr_dated_15Dec2014.pdf	7a7f418b7129118efcb5eb4285f0dbec779c 8d74	l .	
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	fee-info.pdf	no	2
	, ,	'	746b46ce6531fb6a93f8e136869b36f7cd46 7218		
Warnings:					
Information:					
		Total Files Size (in bytes)	28	33841	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Paper 14

Date Entered: January 28, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO. LTD., SAMSUNG ELECTRONICS AMERICA, INC., SAMSUNG TELECOMMUNICATIONS AMERICA, LLC, and SAMSUNG AUSTIN SEMICONDUCTOR, LLC,

Petitioner,

V.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2015-00114 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BLANKENSHIP, Administrative Patent Judge.

DECISION
Denial of Institution of Inter Partes Review
37 C.F.R. § 42.108

Denial of Motion for Joinder
37 C.F.R. § 42.122

I. BACKGROUND

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin Semiconductor, LLC (collectively, "Petitioner") filed a petition requesting *inter partes* review of claims 2, 19, 49, 52, 53, and 59 of U.S. Patent No. 8,023,580 B2 ("the '580 patent") (Ex. 1201) under 35 U.S.C. §§ 311–319. *See* Paper 1 (Petition, or "Pet."). With the Petition, Petitioner filed a motion for joinder (Paper 3, "Mot. Join."), seeking to join with *Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP*, Case IPR2014-00518 ("IPR '518"). Patent Owner Rembrandt Wireless Technologies, LP filed an opposition to the motion for joinder (Paper 8, "Opp.") and a preliminary response (*see* Paper 10, "Prelim. Resp."). Petitioner filed a reply to the motion for joinder. Paper 9 ("Reply"). We have jurisdiction under 35 U.S.C. § 314.

For the reasons that follow, we deny the motion for joinder and do not institute an *inter partes* review as to any of the challenged claims of the '580 patent.

A. Related Proceedings

According to Petitioner, the '580 patent is involved in the following lawsuit: Rembrandt Wireless Technologies, LP v. Samsung Electronics Co., No. 2:13-cv-00213 (E.D. Tex.). Pet. 1. The same parties and patent also are involved in Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00514 (PTAB) (institution denied on Sept. 9, 2014); Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00515 (PTAB) (institution denied on Sept. 9, 2014); Samsung

Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00518 (PTAB) (trial instituted on Sept. 23, 2014); and Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2015-00118 (PTAB).

B. The '580 Patent

The '580 Patent issued from an application filed August 19, 2009, which claimed priority under 35 U.S.C. § 120 through a chain of intervening applications to an application filed December 4, 1998, and which further claimed priority under 35 U.S.C. § 119 to a provisional application filed December 5, 1997.

The technical field of the patent relates to data communications and modulators/demodulators (modems), and in particular, to a data communications system in which modems use different types of modulation in a network. Ex. 1201, col. 1, ll. 19–23; col. 1, l. 56 – col. 2, l. 20.

C. Illustrative Claim

Claim 49, the sole independent claim that is challenged, is reproduced below.

49. A computer-readable storage medium having computer executable instructions stored therein that when executed by a processor control a master transceiver, said computer executable instructions, comprising:

first logic configured to transmit first information in a first modulation method for communication;

second logic configured to transmit a first sequence to notify of a change from said first modulation method to a second modulation method;

third logic configured to transmit second information in said second modulation method; and

IPR2015-00114 Patent 8,023,580 B2

fourth logic configured to transmit a second sequence after the second information is transmitted, wherein the second sequence is transmitted in the first modulation method and indicates that communication has reverted to the first modulation method.

D. Prior Art

Boer

US 5,706,428

Jan. 6, 1998

(Ex. 1204)

E. Asserted Ground of Unpatentability

Petitioner asserts the following ground of unpatentability as to claims 2, 19, 49, 52, 53, and 59 (Pet. 3): obviousness under 35 U.S.C. § 103(a) over Admitted Prior Art ("APA")¹ and Boer.

II. ANALYSIS

A. Background

In IPR '518, Petitioner asserted that claims 2, 19, 49, 52, 53, and 59 of the '580 patent were unpatentable over APA and Boer. IPR '518, Paper 4 at 24–25, 27, 33–34, 36–44, 48–49, and 56–57. We did not institute an *inter partes* review of claims 2, 49, 52, 53, and 59 based on that ground in IPR '518, and explained as follows:

Petitioner has not provided sufficient evidence or explanation in support of why the fact that Boer's SIGNAL and SERVICE fields are always transmitted using DBPSK (the "first" modulation method) might demonstrate obviousness of the subject matter of claim 2. Petitioner has failed to show, in particular, how the SIGNAL and SERVICE fields might be

¹ In this proceeding and in IPR '518, Petitioner asserts that Patent Owner made admissions in the '580 patent disclosure and in the prosecution history of a parent application regarding prior art. Pet. 6–8; IPR '518, Paper 4 at 5–7.

deemed, as alleged, to "indicate" that communication from the master to the slave has reverted to the first modulation method, as recited in claim 2.

Independent claim 49, from which challenged claims 52 and 53 depend, recites a similar limitation with respect to how a sequence "indicates" that communication has reverted to the first modulation method. Petitioner relies, again, on Boer's description of header 218 being always transmitted using the "first" modulation method. Petitioner's asserted ground of obviousness with respect to claim 49, thus, fails for the same reasons as that of claim 2.

Claim 59, which depends from independent claim 58, also recites a third sequence that is transmitted in the first modulation method that "indicates" communication from the master to the slave has reverted to the first modulation method. Petitioner submits, correctly, that Boer teaches that the SIGNAL and SERVICE fields in the header "indicate which modulation method is used to transmit DATA field 218." "When Boer is combined with the APA, it could therefore indicate that communication from the master to the slave has reverted to the first modulation method." Mr. Goodman repeats that "it could therefore indicate" that communication has reverted to the first modulation method and concludes, "[t]herefore, it is my opinion that claim 59 is obvious in view of the prior art." Although it appears that Petitioner attempts to provide more explanation in its challenge of dependent claim 59, as compared with that of claim 2 or 49, we are not persuaded there is a reasonable likelihood that Petitioner would prevail in its challenge of any of claims 2, 49, and 59.

IPR '518, slip op. at 14–15 (PTAB Sept. 23, 2014) (Paper16) (citations to record omitted). Nor did we institute an *inter partes* review of claim 19 on the obviousness ground over APA and Boer because Petitioner's allegation that station 18 (Ex. 1204, col. 2, ll. 19–27; Fig. 1) can receive a "first" (DBPSK) modulation method transmission failed to demonstrate the obviousness of the *transceiver* which, according to claim 1, sends

transmissions using at least two types of modulation methods, further being configured to receive data in the first modulation method in accordance with the requirements of claim 19. IPR '518, slip op. at 16–17 (PTAB Sept. 23, 2014) (Paper 16).

We do not reach the merits of Petitioner's additional reasoning in the instant Petition as to why Petitioner asserts that the subject matter of claims 2, 19, 49, 52, 53, and 59 would have been obvious over the combination of APA and Boer. Instead, for the reasons discussed below, we exercise our discretion under 35 U.S.C. § 325(d) to deny institution of *inter partes* review in this proceeding.

B. Principles of Law

A petitioner is not entitled to multiple challenges against a patent:

In determining whether to institute or order a proceeding under . . . chapter 31, the Director may take into account whether, and reject the petition or request because, the same or substantially the same prior art or arguments previously were presented to the Office.

35 U.S.C. § 325(d) (titled: "MULTIPLE PROCEEDINGS"). Further, in construing our authority to institute *inter partes* review under 37 C.F.R. § 42.108, we are mindful of the guidance provided in § 42.1(b): "[37 C.F.R. § 42] shall be construed to secure the just, speedy, and inexpensive resolution of every proceeding."

C. Discussion

On its face, it is more efficient for the parties and the Board to address a matter once rather than twice. The sole difference between what Petitioner presents in this proceeding and what Petitioner presented in IPR '518 with respect to the challenge of claims 2, 19, 49, 52, 53, and 59 of the '580 patent is that Petitioner now provides further reasoning in support of the same combination of prior art. Pet. 3; Mot. Join. 2–3; Opp. 1. Therefore, the "same prior art" was "previously presented" to the Board, with respect to the same claims. See 35 U.S.C. § 325(d).

Petitioner is requesting, essentially, a second chance to challenge the claims. We, however, are not persuaded that a second chance would help "secure the just, speedy, and inexpensive resolution of every proceeding." 37 C.F.R. § 42.1(b). Permitting second chances in cases like this one ties up the Board's limited resources; we must be mindful not only of this proceeding, but of "every proceeding." *Id.*; *see also ZTE Corp. v. ContentGuard Holdings, Inc.*, Case IPR2013-00454, slip op. at 5–6 (PTAB Sept. 25, 2013) (Paper 12) ("The Board is concerned about encouraging, unnecessarily, the filing of petitions which are partially inadequate."); *cf. Ariosa Diagnostics v. Isis Innovation, Ltd.*, Case IPR2013-00250, slip op. at 2, 4 (PTAB Sept. 8, 2013) (Paper 25) (granting joinder when a new product was launched, leading to a threat of new assertions of infringement); *Microsoft Corp. v. Proxyconn, Inc.*, Case IPR2013-00109, slip op. at 3 (PTAB Feb. 25, 2014) (Paper 15) (granting joinder when additional claims had been asserted against petitioner in concurrent district court litigation).

In this proceeding, however, we are not apprised of a reason that merits a second chance. Petitioner simply presents arguments now that it could have made in IPR '518, had it merely chosen to do so. In view of the foregoing, and especially in light of the fact that, barring joinder, this petition is time-barred under 35 U.S.C. § 315(b), we exercise our discretion

IPR2015-00114 Patent 8,023,580 B2

under 35 U.S.C. § 325(d) to deny the Petition, because it presents merely "the same or substantially the same prior art or arguments" presented to us in IPR '518. As a consequence, Petitioner's motion for joinder is dismissed as moot.

III. ORDER

In view of the foregoing, it is

ORDERED that Petitioner's motion for joinder is *dismissed*; and

FURTHER ORDERED that no trial is instituted.

IPR2015-00114 Patent 8,023,580 B2

PETITIONER:

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Entered: January 28, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
SAMSUNG ELECTRONICS AMERICA, INC.,
SAMSUNG TELECOMMUNICATIONS AMERICA, LLC, and
SAMSUNG AUSTIN SEMICONDUCTOR, LLC,
Petitioner,

v.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2015-00118 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BUSCH, Administrative Patent Judge.

DECISION

Denial of Institution of *Inter Partes* Review 37 C.F.R. § 42.108

Denial of Motion for Joinder 37 C.F.R. § 42.122

I. INTRODUCTION

Petitioner filed a Petition (Paper 1, "Pet.") requesting an *inter partes* review of claims 23, 25, 29, 30, and 41 of U.S. Patent No. 8,023,580 B2 (Ex. 1301, "the '580 patent"). Petitioner also timely filed a motion requesting joinder (Paper 3, "Mot. Join.") of this proceeding to IPR2014-00519, in which we instituted an *inter partes* review of claims 32, 34, 38, 40, 43, 44, and 47 of the '580 patent, but denied review of claims 23, 25, 29, 30, and 41. Mot. Join. 2. Patent Owner filed a Preliminary Response (Paper 10, "Prelim. Resp.") and an Opposition to the Motion for Joinder (Paper 8, "Opp."). Petitioner filed a Reply (Paper 9, "Reply") to Patent Owner's Opposition. We have jurisdiction under 35 U.S.C. § 314.

We have reviewed the aforementioned papers. For the reasons given below, we do not institute an *inter partes* review and we deny Petitioner's Motion for Joinder.

A. Related Matters

Petitioner indicates that the '580 patent was asserted against Petitioner in Rembrandt Wireless Technologies, LP v. Samsung Electronics Co., No. 2:13-cv-00213 (E.D. Tex.). Pet. 1.

Petitioner seeks to join this proceeding to Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00519 (PTAB) (trial instituted September 23, 2014) (hereinafter "IPR-519"), in which Petitioner challenged claims 23, 25, 29, 30, 32, 34, 38, 40, 41, 43, 44, and 47 of the '580 patent, but no trial was instituted with respect to claims 23, 25, 29, 30, and 41. Pet. 1. The same parties and patent also are involved in Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00514 (PTAB) (institution denied on Sept. 9, 2014); Samsung Electronics Co. v. Rembrandt Wireless

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Technologies, LP, Case IPR2014-00515 (PTAB) (institution denied on Sept. 9, 2014); Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2014-00518 (PTAB) (trial instituted on Sept. 23, 2014); and Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, Case IPR2015-00114 (PTAB). Id.

B. The '580 Patent (Ex. 1301)

The specification of the '580 patent describes "a data communications system in which a plurality of modulation methods are used to facilitate communication among a plurality of modem types." Ex. 1301, 1:21–23. The '580 patent explains that the invention addresses the problem that conventional modem pairs can communicate successfully only when the modems use compatible modulation methods. *Id.* at 1:27–30, 1:45–47.

Of the challenged claims, only claim 23 is independent and is reproduced as follows:

23. A communications device, comprising: a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method, wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein the third data indicates that communication has reverted to the first modulation method.

C. The Asserted Grounds & Prior Art

Petitioner asserts the following grounds of unpatentability under 35 U.S.C. § 103:

Evidence	Basis	Challenged Claim(s)
Boer ¹	§ 103(a)	23, 25, 30, and 41
Boer and APA ²	§ 103(a)	29

II. DISCUSSION

A. Background

In IPR-519, Petitioner asserted that claims 23, 25, 30, and 41 of the '580 patent are unpatentable as anticipated by Boer, that claims 23, 25, and 30 are unpatentable as obvious over Boer, and that claim 29 is unpatentable as obvious over Boer and APA. Pet. 1. We did not institute an *inter partes* review of claims 23, 25, 29, 30, and 41 as anticipated by Boer, and explained as follows³:

Boer's system may be capable of transmitting a first message's data field using either DQPSK or PPM/DQPSK followed, a subsequent message's header field using DBPSK, where the subsequent message's

¹ U.S. Patent No. 5,706,428 (filed Mar. 14, 1996, issued Jan. 6, 1998) (Ex. 1304) ("Boer").

² Petitioner alleges that Figures 1 and 2 of the '580 patent and the accompanying descriptions are admitted prior art. Pet. 37–38 (citing Ex. 1301, Figs. 1, 2, 2:16–20, 3:40–46) ("APA").

³ Institution of *inter partes* review with respect to the obviousness of claims 25 and 30 in view of Boer and claim 29 in view of Boer and APA was denied because claims 25, 29, and 30 depend from, and incorporate the limitations of, claim 23. Further, with respect to claim 29, Petitioner did not show that APA cures the deficiencies identified with respect to claim 23.

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header field indicates that the subsequent message's data field will be transmitted using DBPSK. However, the Petition has not established that the specific series of transmissions as recited in independent claim 23 is explicitly disclosed by or necessarily present in Boer.

IPR-519, Dec. Inst. 8 (Paper 16); id. at 12-13.

We did not institute an *inter partes* review of claims 23, 25, and 30 as obvious in view of Boer, and explained as follows:

Petitioner presents no other argument regarding what aspects of Boer would need to be modified in order to meet the recited limitations and why. The underlying factual inquiries necessary for a proper obviousness analysis, as set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 17–18 (1966), have not been discussed sufficiently. In particular, Petitioner has not submitted persuasive argument or evidence curing the deficiency identified with respect to its anticipation challenge.

Id. at 9.

Petitioner argues in the Petition in the instant proceeding that Boer explicitly teaches the limitations we found to be missing from Petitioner's challenges to claims 23, 25, 29, 30, and 41, as explained in our institution decision in IPR-519. Pet. 11–12. In this proceeding, notwithstanding Petitioner's arguments that Boer explicitly teaches the limitations, Petitioner presents challenges arguing why the limitations identified as not having been shown sufficiently as anticipated by Boer would have been obvious in view of Boer. Pet. 12–37. We do not reach the merits of Petitioner's new argument that the previously insufficiently shown limitations would have been obvious. Instead, for the reasons discussed below, we exercise our discretion under 35 U.S.C. § 325(d) to deny institution of *inter partes* review in this proceeding.

B. Relevant Law

A petitioner is not entitled to multiple challenges against a patent:

In determining whether to institute or order a proceeding under . . . chapter 31, the Director may take into account whether, and reject the petition or request because, the same or substantially the same prior art or arguments previously were presented to the Office.

35 U.S.C. § 325(d) (titled: "MULTIPLE PROCEEDINGS"). Further, in construing our authority to institute *inter partes* review under 37 C.F.R. § 42.108, we are mindful of the guidance provided in § 42.1(b): "[37 C.F.R. § 42] shall be construed to secure the just, speedy, and inexpensive resolution of every proceeding."

C. Analysis

On its face, it is more efficient for the parties and the Board to address a matter once rather than twice. The sole difference between what Petitioner presents in this proceeding and what Petitioner presented in IPR-519, with respect to the challenge of claims 23, 25, 29, 30, and 41 of the '580 patent, is the presence of additional reasoning to support the assertion of unpatentability over the same prior art. Mot. Join. 1, 4; Opp. 1, 3, 9–10.

Petitioner is requesting, essentially, a second chance to address claims 23, 25, 29, 30, and 41. We, however, are not persuaded that a second chance would help "secure the just, speedy, and inexpensive resolution of every proceeding." 37 C.F.R. § 42.1(b). Permitting second chances without constraint ties up the Board's limited resources; we must be mindful not only of this proceeding, but of "every proceeding." *Id.*; see also ZTE Corp. v. ContentGuard Holdings, Inc., Case IPR2013-00454, slip op. at 5–6 (PTAB Sept. 25, 2013) (Paper 12) ("The Board is concerned about encouraging, unnecessarily, the filing of petitions which are partially inadequate."). Accordingly, we look to see if this case presents a

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circumstance that merits a second chance. *Cf. Ariosa Diagnostics v. Isis Innovation, Ltd.*, Case IPR2013-00250, slip op. at 2, 4 (PTAB Sept. 8, 2013) (Paper 25) (granting joinder when a new product was launched, leading to a threat of new assertions of infringement); *Microsoft Corp. v. Proxyconn, Inc.*, Case IPR2013-00109, slip op. at 3 (PTAB Feb. 25, 2014) (Paper 15) (additional claims had been asserted against Petitioner in concurrent district court litigation).

In this proceeding, however, we are not apprised of a reason that merits a second chance. Petitioner simply presents an argument now that it could have made in IPR-519, had it merely chosen to do so. In view of the above, and especially in light of the fact that, barring joinder, this Petition is time-barred under 35 U.S.C. § 315(b), we exercise our discretion under 35 U.S.C. § 325(d) to deny the Petition, because it presents merely "the same or substantially the same prior art or arguments" presented to us in IPR-519. As a consequence, Petitioner's motion for joinder is dismissed as moot.

III. ORDER

In view of the foregoing, it is hereby:

ORDERED that no trial is instituted; and

FURTHER ORDERED that Petitioner's motion for joinder is dismissed.

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Paper 47

Date Entered: September 17, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO. LTD., SAMSUNG ELECTRONICS AMERICA, INC., SAMSUNG TELECOMMUNICATIONSAMERICA, LLC, and SAMSUNG AUSTIN SEMICONDUCTOR, LLC, Petitioner,

٧.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2014-00518 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BLANKENSHIP, Administrative Patent Judge.

FINAL WRITTEN DECISION 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73

I. BACKGROUND

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin Semiconductor, LLC (collectively, "Petitioner") filed a request for *inter partes* review of claims 1, 2, 4, 5, 10, 13, 19–22, 49, 52–54, 57–59, 61, 62, 66, 70, and 76–79 of U.S. Patent No. 8,023,580 B2 ("the '580 patent," Ex. 1201) under 35 U.S.C. §§ 311–319. Paper 4 ("Petition" or "Pet.") The Board instituted an *inter partes* review of claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 on an asserted ground of unpatentability for obviousness. Paper 16 ("Dec. on Inst.").

Subsequent to institution, Patent Owner Rembrandt Wireless
Technologies, LP, filed a patent owner response (Paper 25, "PO Resp.").
Petitioner filed a reply to the Patent Owner Response (Paper 32, "Pet.
Reply").

Oral hearing was held on April 24, 2015.¹

The Board has jurisdiction under 35 U.S.C. § 6(c). This final written decision is issued pursuant to 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73.

For the reasons that follow, we determine that Petitioner has shown by a preponderance of the evidence that claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 of the '580 patent are unpatentable.

A. Related Proceedings

According to Petitioner, the '580 patent is involved in the following lawsuit: *Rembrandt Wireless Technologies, LP v. Samsung Electronics Co.*, No. 2:13-cv-00213 (E.D. Tex. 2013). Pet. 2. The '580 patent also has been

¹ The record includes a transcript of the oral hearing. Paper 46 ("Tr.").

challenged in the following cases: Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00514 (not instituted); Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00515 (not instituted); and Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00519 (final decision issuing concurrently).

B. The '580 Patent

The '580 patent issued from an application filed August 19, 2009, which claimed priority under 35 U.S.C. § 120 through a chain of intervening applications to an application filed December 4, 1998, and which further claimed priority under 35 U.S.C. § 119 to a provisional application filed December 5, 1997.

The technical field of the patent relates to data communications and modulators/demodulators (modems) and in particular to a data communications system in which a plurality of modems uses different types of modulation in a network. Ex. 1201, col. 1, ll. 19–23; col. 1, l. 56 – col. 2, l. 20.

C. Illustrative Claim

- 1. A communication device capable of communicating according to a master/slave relationship in which a slave communication from a slave to a master occurs in response to a master communication from the master to the slave, the device comprising:
- a transceiver, in the role of the master according to the master/slave relationship, for sending at least transmissions modulated using at least two types of modulation methods, wherein the at least two types of modulation methods comprise

a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method, wherein each transmission comprises a group of transmission sequences, wherein each group of transmission sequences is structured with at least a first portion and a payload portion wherein first information in the first portion indicates at least which of the first modulation method and the second modulation method is used for modulating second information in the payload portion, wherein at least one group of transmission sequences is addressed for an intended destination of the payload portion, and wherein for the at least one group of transmission sequences:

the first information for said at least one group of transmission sequences comprises a first sequence, in the first portion and modulated according to the first modulation method, wherein the first sequence indicates an impending change from the first modulation method to the second modulation method, and

the second information for said at least one group of transmission sequences comprises a second sequence that is modulated according to the second modulation method, wherein the second sequence is transmitted after the first sequence.

D. Prior Art

Boer US 5,706,428 Jan. 6, 1998 (Ex. 1204)

E. Asserted Ground of Unpatentability

The Board instituted *inter partes* review on the following asserted ground of unpatentability under 35 U.S.C. § 103(a) (Dec. on Inst. 17): claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 of the '580 patent on the ground of obviousness over Admitted Prior Art ("APA") and Boer.

II. ANALYSIS

A. Claim Interpretation

In an *inter partes* review, the Board construes claim terms in an unexpired patent using their broadest reasonable construction in light of the specification of the patent in which they appear. 37 C.F.R. § 42.100(b); *In re Cuozzo Speed Techs., LLC*, 793 F.3d 1268, 1275–79 (Fed. Cir. 2015). The claim language should be read in light of the specification as it would be interpreted by one of ordinary skill in the art. *In re Am. Acad. of Sci. Tech. Ctr.*, 367 F.3d 1359, 1364 (Fed. Cir. 2004). The Office must apply the broadest reasonable meaning to the claim language, taking into account any definitions presented in the specification. *Id.* (citing *In re Bass*, 314 F.3d 575, 577 (Fed. Cir. 2002)). The "ordinary and customary meaning" is that which the term would have to a person of ordinary skill in the art in question. *In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

1. Modulation Methods

Illustrative claim 1 recites a transceiver capable of transmitting using at least two types of modulation methods, "wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method, wherein the second modulation method is of a different type than the first modulation method"

Petitioner submits that the ordinary meaning of "modulation" is "[t]he process by which some characteristic of a carrier is varied in accordance with a modulating wave." Pet. 11 (quoting Ex. 1206, 3 (technical dictionary)). Patent Owner submits that "modulation method" is

generally recognized in the pertinent art to mean "a technique for varying one or more characteristics of a carrier wave in a predetermined manner to convey information." PO Resp. 9. Patent Owner submits further, and we agree, that there appears to be no significant difference between these two proffered constructions of "modulation." *Id.* at 11.

Later in its Patent Owner Response, however, Patent Owner advocates a narrower definition for "modulation method" for the purpose of addressing the prior art. In particular, Patent Owner submits that the only three characteristics of a carrier wave are frequency, phase, and amplitude and, thus, "modulation" is limited to varying one or more of the frequency, phase, and amplitude of the carrier wave. *Id.* at 12–13. Patent Owner relies on the Declaration of Dr. Christopher R. Jones (Ex. 2214 ¶ 40). Dr. Jones, in turn, relies on a definition in one of several technical dictionaries that have been provided by Patent Owner. Ex. 2214 ¶ 39. In the particular technical dictionary upon which Dr. Jones relies,² two of the six definitions of "modulation" use the terms amplitude, frequency, and phase. Ex. 2215, 3. The entry contains broader definitions for "modulation," as, for example, the first definition, which states that modulation is the process of varying some characteristic of a carrier wave, whereby the carrier wave can be a direct current, an alternating current, or "a series of regularly repeating, uniform pulses called a pulse chain." Id.

Patent Owner does not point to anything in the '580 patent's disclosure that would limit the definition of "modulation" to varying the amplitude, frequency, or phase of the carrier wave. Our reviewing court has "cautioned against relying on dictionary definitions at the expense of a fair

² Rudolf F. Graf, MODERN DICTIONARY OF ELECTRONICS, 6th ed. (1997).

reading of the claims, which must be understood in light of the specification." *Interval Licensing LLC v. AOL, Inc.*, 766 F.3d 1364, 1377 (Fed. Cir. 2014). We, therefore, interpret "modulation" in accordance with its customary and ordinary meaning as the process by which some characteristic of a carrier is varied in accordance with a modulating wave.

2. Types of Modulation Methods

As we have noted, the claims recite "types" of modulation methods. Petitioner and Patent Owner disagree with respect to the meaning of a "type" of modulation method. Patent Owner submits that the broadest reasonable interpretation of "types" of modulation methods does not extend to modulation methods that are known merely to be incompatible with each other, but is limited to different "families" of modulation techniques, e.g., the FSK (frequency shift keying) "family" of modulation methods and the QAM (quadrature amplitude modulation) "family" of modulation methods. PO Resp. 11–12. Petitioner, on the other hand, contends that "different PSK [phase shift keying] modulation methods" may be considered as different "types" of modulation, with the "different PSK modulation methods" within the same (PSK) "family" being incompatible with each other. Pet. 12.

Patent Owner contends that a "special definition" was provided during prosecution of the '580 patent, which defined the term different "types" of modulation to mean different "families" of modulation. PO Resp. 11–12. At the outset, we agree with Petitioner (Pet. Reply 10) to the extent that prosecution history is entitled to little weight under the broadest reasonable interpretation standard. *See Tempo Lighting, Inc. v. Tivoli, LLC*, 742 F.3d 973, 978 (Fed. Cir. 2014) ("This court also observes that the PTO is under

no obligation to accept a claim construction proffered as a prosecution history disclaimer, which generally only binds the patent owner."). In any event, Patent Owner relies on the following statements during prosecution for the asserted "special definition":

Applicant thanks [the Examiner] for the indication that claims 1-18, and 37-57 are allowed (office action, p. 7). Applicant has further amended claims 1-2, 9-15, 18, 37-38, and 45-46 with additional recitations to more precisely claim the subject-matter. For example, the language of independent claim 1 has been clarified to refer to two *types* of modulation methods, *i.e.*, different families of modulation techniques, such as the FSK family of modulation methods and the QAM family of modulation methods.

Ex. 1209, 20 (Reply Pursuant to 37 CFR § 1.111).

As made plain in the above remarks, the claim amendments with respect to two "types" of modulation methods were not made in response to a rejection, as the relevant claims had been allowed. *Cf. Tempo Lighting*, 742 F.3d at 978 ("[I]n this instance, the PTO itself requested Tivoli rewrite the 'non-photoluminescent' limitation in positive terms. Tivoli complied, and then supplied clarification about the meaning of the 'inert to light.'"). Nor do the above remarks explain what a "family" might be, or why FSK is considered to be a member of one "family" and QAM a member of another "family." "Although an inventor is indeed free to define the specific terms used to describe his or her invention, this must be done with reasonable clarity, deliberateness, and precision." *In re Paulsen*, 30 F.3d 1475, 1480 (Fed. Cir. 1994). Patent Owner's purported "definition" is anything but clear or precise. Further, the only modulation methods named in the text of the '580 patent are QAM, carrierless amplitude and phase (CAP)

modulation,³ and discrete multitone (DMT) modulation, each of which the patent calls "high performance modulation." *See, e.g.*, Ex. 1201, col. 2, ll. 1–5.

Patent Owner provides, as an exhibit, Provisional Application No. 60/067,562 (Ex. 2201), which the '580 patent purports to incorporate by reference (Ex. 1201, col. 1, II. 8–15). That provisional distinguishes between "high performance modulation, such as QAM, CAP, or DMT," which are optimized for high performance, and "low performance modulation, such as FSK, PAM or DSB," which may be implemented in much less expensive devices. Ex. 2201, 3. An objective reading of the above-noted remarks during prosecution suggests that, contrary to Patent Owner's arguments, the "different families of modulation techniques" refer to high performance modulation (such as QAM) and low performance modulation (such as FSK). The prosecution history is, at best, ambiguous. "It is inappropriate to limit a broad definition of a claim term based on prosecution history that is itself ambiguous." *Inverness Med. Switz. GmbH v. Warner Lambert Co.*, 309 F.3d 1373, 1382 (Fed. Cir. 2002).

Moreover, Patent Owner's proffered construction (e.g., PO Resp. 13) of "types" of modulation methods being based on "one or more" of the carrier wave's frequency, phase, and amplitude "families" is, itself, ambiguous. We reproduce the following exchange during oral argument:

JUDGE LEE: How do you summarize your position? What is the definition of different family?

³ According to Patent Owner, the patent contains a typographical error in that "[c]arrier" should be "[c]arrierless." PO Resp. 10 n.3.

MR. MOLLAAGHABABA: Okay. I believe these three characteristics, phase, amplitude and frequency of the carrier wave, define these three families.

Now, if two methods are using the same characteristic to modulate the wave, then they are not different types. I mean, DBPSK and DQPSK, they both use the phase, that characteristic of the carrier wave to modulate and convey information.

JUDGE LEE: Okay. So phase is one family, amplitude is one, and frequency is another. So those are broad categories.

MR. MOLLAAGHABABA: Yes.

JUDGE LEE: So you can only have three types then.

MR. MOLLAAGHABABA: But you can have situations where the modulation can belong to two categories.

I mean, there are some intersections. QAM modulates both amplitude and phase.

JUDGE LEE: So to which family would they belong?

MR. MOLLAAGHABABA: Well, they are part of both families. I mean, they belong to two -- both families. There is some intersections where some modulation techniques use more than one characteristic. They use two characteristics.

JUDGE LEE: Then are they of different types? If there is just only partial overlap, are they still different types, or is it the same type because they also share something in common?

MR. MOLLAAGHABABA: Yes, our contention is that they are not of different types. They are different in the sense that they are different methods, like QAM and PSK, but they share a family so, therefore, they are not different types. They share the family for both.

Tr. 88:8–89:17. Thus, according to counsel for Patent Owner, two modulation methods that are different in one characteristic but the same in another, e.g., one varying phase and amplitude and the other varying frequency and amplitude, would be regarded as belonging in the same family. Such an understanding of the classification or categorization of "family" in case of partial overlap was not a part of any representation during prosecution history, but presented for the first time by counsel for Patent Owner during oral argument. It reflects ambiguity in the construction proposed by Patent Owner.

The '580 patent describes Type A and Type B modulation methods (and tributary modems, or "tribs"), but does not associate directly any particular modulation method with a Type A or a Type B method (or "trib"). See, e.g., Ex. 1201, col. 5, l. 23 – col. 7, l. 3. The provisional application, however, associates lower-cost FSK modems with Type B "tribs." Ex. 2201, 5; see also '580 patent —

While it is possible to use high performance tribs running state of the art modulation methods such as QAM, CAP, or DMT to implement both the high and low data rate applications, significant cost savings can be achieved if lower cost tribs using low performance modulation methods are used to implement the lower data rate applications.

Ex. 1201, col. 5, ll. 17-22.

Further, the '580 patent does not draw distinctions between "families" of modulation techniques directed to differences in modulation with respect to amplitude, phase, or frequency. Rather, the '580 patent draws distinctions between relatively expensive high performance techniques and relatively inexpensive low performance techniques. The '580 patent attempts to

remedy the asserted deficiency in the prior art that all modems in a system must use a single modulation method, and thus must all be high-performance modems, with the high-performance, relatively expensive modems merely lowering the data rate for lower data-rate applications. As the '580 patent explains:

All users in the system will generally have to be equipped with a high performance modem to ensure modulation compatibility. These state of the art modems are then run at their lowest data rates for those applications that require relatively low data throughput performance. The replacement of inexpensive modems with much more expensive state of the art devices due to modulation compatibility imposes a substantial cost that is unnecessary in terms of the service and performance to be delivered to the end user.

Ex. 1201, col. 2, ll. 8–15.

Further, the '580 patent refers to an objective of using multiple modulation methods to facilitate communication among a plurality of modems in a network, which have heretofore been "incompatible." *Id.* at col. 2, ll. 16–20.

In view of the foregoing, we do not interpret a "type" of modulation method as referring to some vague or undefined "family" of modulation methods. We interpret different "types" of modulation methods as modulation methods that are incompatible with one another. Thus, contrary to Patent Owner's construction, two modulation methods that are based on varying the same one of the frequency, amplitude, or phase of the carrier wave may be different "types" of modulation methods.

B. Prior Art

1. Admitted Prior Art

Petitioner contends that the '580 patent's disclosed multipoint communication systems (or master/slave systems), depicted in Figures 1 and 2 and described in column 3, line 40 through column 4, line 50, contains material that may be used as prior art against the patent under 35 U.S.C. § 103(a). We agree. Figure 1 of the patent is labeled as "Prior Art." Pet. 6; Ex. 1201, Fig. 1. Further, the '580 patent's specification refers to "prior art" multipoint communication system 22 comprising master modem or transceiver 24, which communicates with a plurality of tributary modems ("tribs") or transceivers 26. Pet. 6; Ex. 1201, col. 3, ll. 40–44. Further, the '580 patent describes Figure 2 as illustrating the operation of the multipoint communication system of (prior art) Figure 1. Pet. 7; Ex. 1201, col. 3, ll. 9–10.

2. Boer

Boer describes a wireless LAN that includes first stations that operate at 1 or 2 Mbps (Megabits per second) data rate and second stations that operate at 1, 2, 5, or 8 Mbps data rate. Ex. 1204, Abstract.

Figure 1 of Boer is reproduced below.

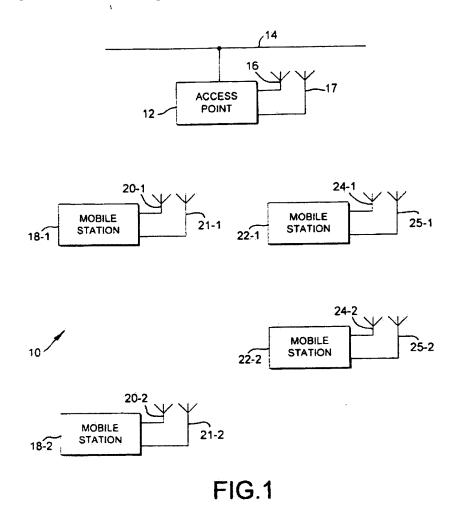


Figure 1 is said to be a block diagram of a wireless LAN embodying Boer's invention. Ex. 1204, col. 1, ll. 53–54. LAN 10 includes access point 12, serving as a base station. The network includes mobile stations 18-1 and 18-2 that are capable of transmitting and receiving messages at a data rate of 1 or 2 Mbps using DSSS (direct sequence spread spectrum) coding. When operating at 1 Mbps, a station uses DBPSK (differential binary phase shift keying) modulation. When operating at 2 Mbps, a station uses DQPSK (differential quadrature phase shift keying) modulation. *Id.* at col. 2, ll. 6–

27. Mobile stations 22-1 and 22-2 are capable of operating at the 1 and 2 Mbps data rates using the same modulation and coding as stations 181 and 182. In addition, stations 22-1 and 22-2 can operate at 5 and 8 Mbps data rates using PPM/DQPSK (pulse position modulation—differential quadrature phase shift keying) in combination with the DSSS coding. *Id.* at col. 2, ll. 34–44.

C. Claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 – APA and Boer

1. Asserted Ground

Petitioner applies the teachings of the APA and Boer to demonstrate obviousness of the subject matter of illustrative claim 1, relying on APA for teaching of master/slave communication systems. Pet. 19–24, 28–33 (claim chart). Petitioner submits that a person having ordinary skill in the art would have been motivated to combine Boer with APA, referring to the Declaration of Dr. David Goodman (Ex. 1220 ¶¶ 102–104). *Id.* at 18.

Dr. Goodman testifies that polled multiport master/slave communications systems were well known to those of ordinary skill in the art for simplicity and determinacy, referring to Exhibit 1218. Ex. 1220 ¶ 103. Petitioner submits Exhibit 1218 ("Upender") as a November 1994 publication that compares various strengths and weaknesses for communication protocols for embedded systems. Ex. 1218, 7. The document states that polling is one of the more popular protocols for embedded systems "because of its simplicity and determinacy." *Id.* In that protocol, a centrally assigned master periodically sends a polling message to the slave nodes, giving them explicit permission to transmit on the network.

Id. The protocol "is ideal for a centralized data-acquisition system where peer-to-peer communication and global prioritization are not required." *Id.*

2. Motivation to Combine

Patent Owner in its Response argues that Upender does not reflect a proper motivation from the prior art for the proffered combination of Boer and APA. Patent Owner submits a Declaration from a co-author of Upender to show that the article did not suggest the use of a master/slave communication system. Ex. 2208 (Declaration of Dr. Philip Koopman).

We have considered Patent Owner's arguments and evidence but find that the clear teachings in Upender are not diminished or rebutted. Upender investigates tradeoffs in different communication protocols. The article concludes that CSMA/CA (carrier sense multiple access with collision avoidance), or RCSMA (reservation CSMA), is a good choice for some embedded systems. Ex. 1218, 10–11. The article also indicates that polling may not provide sufficient flexibility for "advanced systems," classifying polling as "simple," but noting that the discussion of the different protocol strengths and weaknesses "should allow you to select the best protocol to match your needs." *Id.* In fact, Dr. Koopman admits that there are some systems for which master/slave is a better match for the design requirements. Pet. Reply 8; Ex. 1238, 39:2–20.

That Upender may identify some advantages of CSMA/CA over a master/slave protocol is not a "teaching away" from the master/slave protocol. Upender teaches that master/slave protocols were widely used and a good choice for simple systems. *See In re Gurley*, 27 F.3d 551, 553 (Fed. Cir. 1994) ("[A] person seeking to improve the art of flexible circuit boards,

on learning from [a reference] that epoxy was inferior to polyester-imide resins, might well be led to search beyond epoxy for improved products. However, [the reference] also teaches that epoxy is usable and has been used for Gurley's purpose.").

Patent Owner's position appears to be that the prior art teaches that one and only one communication protocol should ever be used, which is directly contrary to the clear teachings of Upender. In view of Upender, one of ordinary skill in the art would have found it obvious to use a different prior art communication protocol (e.g., a simpler protocol) when using multiple data rates as described by Boer.

Further, we agree with Petitioner that Boer does not describe CSMA as central to an alleged goal of seeking a "reduction of overhead-in-time per transmission," but relates that reduction to the use of short acknowledgment (ACK) messages. PO Resp. 40–41; Pet. Reply 8; Ex. 1204, col. 8, ll. 16–29.

Patent Owner submits that Dr. Goodman's Declaration (Ex. 1220) is unreliable because it is unclear what level of skill it attributes to the ordinary artisan. PO Resp. 32. The alleged lack of clarity, however, does not affect the outcome. We note that specifying the level of ordinary skill in terms of an academic degree in a field of study and the number of years of practical working experience is generally unhelpful, as a practical matter, because it does not convey whether one with ordinary skill in the art would have been aware of anything specific or particular. Patent Owner has not directed us to evidence establishing what someone who has earned a certain degree or who has a certain number of years of experience necessarily knows. It is not always necessary, however, to have an express proposition on the level of ordinary skill in the art. The level of ordinary skill in the art may be

reflected by the prior art of record. *See Okajima v. Bourdeau*, 261 F.3d 1350, 1355 (Fed. Cir. 2001); *In re GPAC Inc.*, 57 F.3d 1573, 1579 (Fed. Cir. 1995); *In re Oelrich*, 579 F.2d 86, 91 (CCPA 1978).

We agree with Patent Owner to the extent that one of skill in the art would not consider using a CSMA/CA protocol in a master/slave configuration. PO Resp. 43–44. That combination, however, is not contemplated by the asserted ground of unpatentability. As Patent Owner and Dr. Koopman recognize, the transmitted data used in Boer to effect the CSMCA/CA protocol would be "totally unnecessary" in a master/slave configuration. *Id.* at 44; Ex. 2208 ¶ 96.

3. Different Types of Modulation Methods

Illustrative claim 1 recites two types of modulation methods, in particular "wherein the at least two types of modulation methods comprise a first modulation method and a second modulation method," and the second modulation method is of "a different type" than the first modulation method. Petitioner contends that Boer's DBPSK modulation corresponds to the claimed "first" modulation method. Pet. 30 (claim chart). Petitioner submits that either of Boer's DQPSK modulation and PPM/DQPSK modulation corresponds to the claimed "second" modulation method, because each of DQPSK modulation and PPM/DQPSK modulation is of a different type — i.e., not compatible with — DBPSK modulation. Pet. 20–21, 30; Ex. 1220 ¶¶ 105–111. On the record before us, we agree that DQPSK and PPM/DQPSK modulation methods are incompatible with DBPSK modulation. See, e.g., Ex. 1220 ¶¶ 122–124.

Patent Owner responds, however, that neither of DQPSK and PPM/DQPSK can be considered a modulation method of a type different from DBPSK. PO Resp. 47–53. Patent Owner argues that DBPSK and DQPSK are not different "types" of modulation methods because the methods are within the same "family," because both vary the same fundamental characteristic of a carrier wave — its phase. *Id.* at 47–48. We do not find Patent Owner's argument to be persuasive because we are not convinced that the broadest reasonable interpretation of "types" of modulation is so limited. *See* Claim Interpretation, § II.A.2, *supra*.

Patent Owner alleges also that Boer does not describe DBPSK and DQPSK as "incompatible" modulation methods because mobile stations are disclosed as capable of transmitting and receiving using DBPSK and also using DQPSK. PO Resp. 46–47. However, whether one "type" of modulation is incompatible with another "type" concerns the method of modulation, not necessarily the modem for carrying out that method. That is, a modem might be designed (as in Boer) to transmit and receive using, separately, two incompatible modulation methods, but that does not mean the two modulation methods are compatible with each other.

Moreover, Boer describes PPM/DQPSK modulation, which falls within the meaning of a "different type" of modulation method, with respect to DBPSK, under our construction of the term. *Cf.* Ex. 1220 ¶ 123 ("It is my opinion that PPM/DQPSK is a different 'type' of modulation than DBPSK under any possible claim construction."). According to Dr. Goodman, phase is not used in PPM, unlike in DBPSK and DQPSK modulation. *Id.* ¶ 124. In PPM, the start and stop time of a transmission is varied in response to the

information to be transmitted, with the time shift being indicative of data bits. *Id*.

Patent Owner argues that PPM as used in Boer is not a modulation method. PO Resp. 48–52. Patent Owner's position, however, is based on the argument that a "modulation method" is limited to varying one or more of the "fundamental characteristics" of amplitude, frequency, and phase. We do not find the argument persuasive, in view of the requirement of construing the term in accordance with its broadest reasonable interpretation. *See* § II.A.1, *supra*. We acknowledge that Boer refers to PPM as "PPM type coding." PO Resp. 52; Ex. 1204, col. 4, ll. 45–48. However, as pointed out by Petitioner, Boer appears to use the terms "coding" and "modulation" interchangeably. Pet. Reply 15.

Moreover, Dr. Jones' Declaration is unclear in what is meant by PPM being not a carrier wave modulation technique "as utilized in Boer" or "within the context of Boer." Ex. 2214 ¶ 58. Dr. Jones submits that he holds numerous patents in types of modulation that include pulse-amplitude modulation (PAM). *Id.* ¶ 7. Patent Owner provides, as an exhibit, a technical treatise on communication systems engineering that addresses PAM and PPM as two types of "Pulse Modulation Signals." Ex. 2202, 438–444 (original page numbering). In PAM, "the information is conveyed by the amplitude of the pulse." *Id.* at 438. In PPM (consistent with Dr. Goodman's testimony (Ex. 1220 ¶ 124)), "the information is conveyed by the time interval in which the pulse is transmitted." Ex. 2202, 439.

⁴ John G. Proakis and Masoud Salehi, *Communication Systems Engineering*, Prentice Hall, Digital Transmission Though and AWGN Channel, Chap. 7, 438–444 (1994).

With respect to whether PPM can be considered a modulation method, we credit the testimony of Petitioner's witness, Dr. Goodman, over that of Patent Owner's, Dr. Jones, for the additional reason that the term "modulation" is part of the descriptive name for PPM – pulse position *modulation*. Patent Owner has not explained sufficiently why pulse position *modulation* cannot be considered a *modulation* method. Although DBPSK and PPM/DQPSK may both vary the "phase" characteristic of the carrier wave, we are persuaded that with PPM the timing (start and stop time) of the transmission is another characteristic of the carrier wave that is varied. Pet. 21; PO Resp. 50–51 (both parties referencing testimony of Dr. Goodman); § II.A.1 *supra*.

4. APA and Boer — Conclusion

Upon review of the Petition and supporting evidence, as well as the Patent Owner Response and supporting evidence, we conclude that Petitioner has demonstrated, by a preponderance of the evidence, that claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 are unpatentable for obviousness over APA and Boer.

III. CONCLUSION

Petitioner has demonstrated by a preponderance of the evidence that claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61, 62, 66, 70, and 76–79 are unpatentable for obviousness over APA and Boer.

IV. ORDER

In consideration of the foregoing, it is

ORDERED that claims 1, 4, 5, 10, 13, 20–22, 54, 57, 58, 61,

62, 66, 70, and 76-79 of the '580 patent are unpatentable; and

FURTHER ORDERED that, because this is a final written decision, parties to the proceeding seeking judicial review of the decision must comply with the notice and service requirements of 37 C.F.R. § 90.2.

IPR2014-00518 Patent 8,023,580 B2

Petitioner:

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Paper 49

Date Entered: September 17, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO. LTD.,
SAMSUNG ELECTRONICS AMERICA, INC.,
SAMSUNG TELECOMMUNICATIONSAMERICA, LLC, and
SAMSUNG AUSTIN SEMICONDUCTOR, LLC,
Petitioner,

v.

REMBRANDT WIRELESS TECHNOLOGIES, LP, Patent Owner.

Case IPR2014-00519 Patent 8,023,580 B2

Before JAMESON LEE, HOWARD B. BLANKENSHIP, and JUSTIN BUSCH, *Administrative Patent Judges*.

BUSCH, Administrative Patent Judge.

FINAL WRITTEN DECISION 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73

I. BACKGROUND

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Samsung Austin Semiconductor, LLC (collectively, "Petitioner") filed a request for *inter partes* review of claims 23, 25, 29, 30, 32, 34, 38, 40, 41, 43, 44, and 47 of U.S. Patent No. 8,023,580 B2 ("the '580 patent," Ex. 1301) under 35 U.S.C. §§ 311–319. The Board instituted an *inter partes* review of claims 32, 34, 38, 40, 43, 44, and 47. Paper 16 ("Dec. on Inst.").

Subsequent to institution, Patent Owner Rembrandt Wireless Technologies, LP, filed a Notice of Filing a Disclaimer (Paper 26), indicating that Patent Owner filed a disclaimer under 35 U.S.C. § 1.321(a) disclaiming claims 32, 34, 40, 43, and 44. Therefore, the trial is terminated with respect to claims 32, 34, 40, 43, and 44. *See* 37 C.F.R. §§ 42.72, 42.73. Patent Owner also filed a patent owner response (Paper 25, "PO Resp."). Petitioner filed a reply to the Patent Owner Response (Paper 34, "Pet. Reply").

Oral hearing was held on April 24, 2015.¹

The Board has jurisdiction under 35 U.S.C. § 6(c). This final written decision is issued pursuant to 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73.

For the reasons that follow, we determine that Petitioner has shown by a preponderance of the evidence that claims 38 and 47 of the '580 patent are unpatentable.

¹ The record includes a transcript of the oral hearing. Paper 48.

A. Related Proceedings

According to Petitioner, the '580 patent is involved in the following district court proceeding: Rembrandt Wireless Technologies, LP v. Samsung Electronics Co., No. 2:13-cv-00213 (E.D. Tex. 2013). Pet. 2. The '580 patent also has been challenged in the following cases: Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00514 (not instituted); Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00515 (not instituted); and Samsung Electronics Co. v. Rembrandt Wireless Technologies, LP, IPR2014-00518 (final decision issuing concurrently).

B. The '580 Patent

The '580 patent issued from an application filed August 19, 2009, which claimed priority under 35 U.S.C. § 120 through a chain of intervening applications to an application filed December 4, 1998, and which further claimed priority under 35 U.S.C. § 119 to a provisional application filed December 5, 1997.

The technical field of the patent relates to data communications and modulators/demodulators (modems) and in particular to a data communications system in which a plurality of modems uses different types of modulation in a network. Ex. 1301, 1:19–23, 1:56–2:20.

C. Illustrative Claim

Illustrative claim 38 and claim 32, from which claim 38 depends, are reproduced below:

32. A communications device, comprising:

a processor; and

a memory having stored therein executable instructions for execution by the processor, wherein the executable instructions direct transmission of a first data with a first modulation method followed by a second data with a second modulation method, wherein the first modulation method is different than the second modulation method, wherein the first data comprises an indication of an impending change from the first modulation method to the second modulation method wherein the executable instructions direct transmission of a third data with the first modulation method after the second data, and wherein transmission of the second data is according to a particular quantity of data.

38. The device of claim 32, wherein the memory has stored therein program code for a multipoint communications protocol.

D. Prior Art

Boer US 5,706,428 Jan. 6, 1998 (Ex. 1304)

E. Asserted Ground of Unpatentability

The Board instituted *inter partes* review on the asserted grounds that claims 32, 34, 40, 43, and 44 of the '580 patent are unpatentable as anticipated by Boer and claims 38 and 47 are unpatentable as obvious over Admitted Prior Art ("APA") and Boer. Dec. on Inst. 16. Patent Owner's

disclaimer, discussed above, leaves the anticipation ground as the only ground at issue in this proceeding.

II. ANALYSIS

A. Prior Art

1. Admitted Prior Art

Petitioner contends that the '580 patent's disclosed multipoint communication systems (or master/slave systems), depicted in Figures 1 and 2 and described in column 3, line 40 through column 4, line 50, constitutes material that may be used as prior art against the patent under 35 U.S.C. § 103(a). We agree. Figure 1 of the patent is labeled as "Prior Art." Pet. 6; Ex. 1301, Fig. 1. Further, the '580 patent's specification refers to "prior art" multipoint communication system 22 comprising master modem or transceiver 24, which communicates with a plurality of tributary modems ("tribs") or transceivers 26. Pet. 6; Ex. 1301, 3:40–44. Further, the '580 patent describes Figure 2 as illustrating the operation of the multipoint communication system of (prior art) Figure 1. Pet. 7; Ex. 1301, 3:9–10.

2. Boer

Boer describes a wireless LAN that includes first stations that operate at 1 or 2 Mbps (Megabits per second) data rate and second stations that operate at 1, 2, 5, or 8 Mbps data rate. Ex. 1304, Abstract.

Figure 1 of Boer is reproduced below.

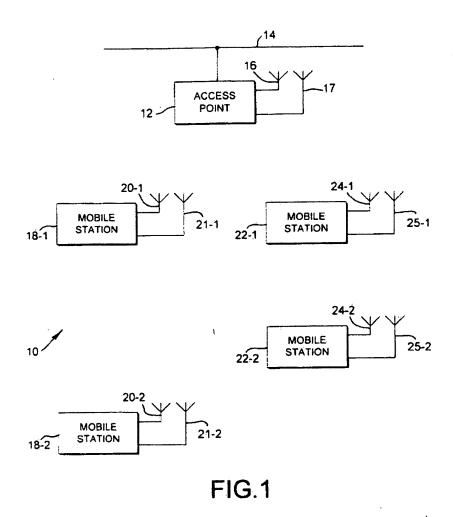


Figure 1 is said to be a block diagram of a wireless LAN embodying Boer's invention. Ex. 1304, 1:53–54. LAN 10 includes access point 12, serving as a base station. The network includes mobile stations 18-1 and 18-2 that are capable of transmitting and receiving messages at a data rate of 1 or 2 Mbps using DSSS (direct sequence spread spectrum) coding. When operating at 1 Mbps, a station uses DBPSK (differential binary phase shift keying) modulation. When operating at 2 Mbps, a station uses DQPSK (differential quadrature phase shift keying) modulation. *Id.* at 2:6–27.

Mobile stations 22-1 and 22-2 are capable of operating at the 1 and 2 Mbps data rates using the same modulation and coding as stations 181 and 182. In addition, stations 22-1 and 22-2 can operate at 5 and 8 Mbps data rates using PPM/DQPSK (pulse position modulation—differential quadrature phase shift keying) in combination with the DSSS coding. *Id.* at 2:34–44.

B. Claims 38 and 47 – APA and Boer

1. Asserted Ground

Petitioner applies the teachings of the APA and Boer to demonstrate obviousness of the subject matter of illustrative claim 29 (which recites the similar limitation present in claims 38 and 47 of a multipoint communications protocol), relying on APA for teaching of master/slave communication systems. Pet. 37–43. Petitioner submits that a person having ordinary skill in the art would have been motivated to combine Boer with APA, referring to the Declaration of Dr. David Goodman (Ex. 1318 ¶¶ 162–170). *Id.* at 38–41. Petitioner maps the disclosures of Boer to claim 32 (*id.* at 25–26) from which claim 38 depends and claims 40 (*id.* at 31–35) and 44 (*id.* at 37), from which claim 47 depends.

Dr. Goodman testifies that polled multiport master/slave communications systems were well known to those of ordinary skill in the art for simplicity and determinacy, referring to Exhibit 1317. Ex. 1318 ¶ 169. Petitioner submits Exhibit 1317 ("Upender") as a November 1994 publication that compares various strengths and weaknesses for communication protocols for embedded systems. Ex. 1317, 7. The document states that polling is one of the more popular protocols for embedded systems "because of its simplicity and determinacy." *Id.* In that

protocol, a centrally assigned master periodically sends a polling message to the slave nodes, giving them explicit permission to transmit on the network.

Id. The protocol "is ideal for a centralized data-acquisition system where peer-to-peer communication and global prioritization are not required." Id.

2. Motivation to Combine

Patent Owner argues, in its Response, that Upender does not reflect a proper motivation from the prior art for the proffered combination of Boer and APA. Patent Owner submits a Declaration from a co-author of Upender to show that the article did not suggest the use of a master/slave communication system. Ex. 2302 (Declaration of Dr. Philip Koopman).

We have considered Patent Owner's arguments and evidence but find that the clear teachings in Upender are not diminished or rebutted. Upender investigates tradeoffs in different communication protocols. The article concludes that CSMA/CA (carrier sense multiple access with collision avoidance), or RCSMA (reservation CSMA), is a good choice for some embedded systems. Ex. 1317, 10–11. The article also indicates that polling may not provide sufficient flexibility for "advanced systems," classifying polling as "simple," but noting that the discussion of the different protocol strengths and weaknesses "should allow you to select the best protocol to match your needs." *Id.* at 10–11. In fact, Dr. Koopman admits that there are some systems for which master/slave is a better match for the design requirements. Pet. Reply 9; Ex. 1319, 39:2–20.

That Upender may identify some advantages of CSMA/CA over a master/slave protocol is not a "teaching away" from the master/slave protocol. Upender teaches that master/slave protocols were widely used and

a good choice for simple systems. *See In re Gurley*, 27 F.3d 551, 553 (Fed. Cir. 1994) ("[A] person seeking to improve the art of flexible circuit boards, on learning from [a reference] that epoxy was inferior to polyester-imide resins, might well be led to search beyond epoxy for improved products. However, [the reference] also teaches that epoxy is usable and has been used for Gurley's purpose.").

Patent Owner's position appears to be that the prior art teaches that one and only one communication protocol should ever be used, which is directly contrary to the clear teachings of Upender. In view of Upender, one of ordinary skill in the art would have found it obvious to use a different prior art communication protocol (e.g., a simpler protocol) when using multiple data rates as described by Boer.

Further, we agree with Petitioner that Boer does not describe CSMA as central to an alleged goal of seeking a "reduction of overhead-in-time per transmission," but relates that reduction to the use of short acknowledgment (ACK) messages. PO Resp. 40; Pet. Reply 8; Ex. 1304, 8:16–29.

Patent Owner submits that Dr. Goodman's Declaration (Ex. 1318) is unreliable because it is unclear what level of skill it attributes to the ordinary artisan. PO Resp. 29–30. The alleged lack of clarity, however, does not affect the outcome. We note that specifying the level of ordinary skill in terms of an academic degree in a field of study and the number of years of practical working experience is generally unhelpful, as a practical matter, because it does not convey whether one with ordinary skill in the art would have been aware of anything specific or particular. Patent Owner has not directed us to evidence establishing what someone who has earned a certain degree or who has a certain number of years of experience necessarily

knows. It is not always necessary, however, to have an express proposition on the level of ordinary skill in the art. The level of ordinary skill in the art may be reflected by the prior art of record. *See Okajima v. Bourdeau*, 261 F.3d 1350, 1355 (Fed. Cir. 2001); *In re GPAC Inc.*, 57 F.3d 1573, 1579 (Fed. Cir. 1995); *In re Oelrich*, 579 F.2d 86, 91 (CCPA 1978).

We agree with Patent Owner to the extent that one of skill in the art would not consider using a CSMA/CA protocol in a master/slave configuration. PO Resp. 21. That combination, however, is not contemplated by the asserted ground of unpatentability. As Patent Owner and Dr. Koopman recognize, the transmitted data used in Boer to effect the CSMCA/CA protocol would be "totally unnecessary" in a master/slave configuration. *Id.*; Ex. 2302 ¶ 96.

3. APA and Boer — Conclusion

Upon review of the Petition and supporting evidence, as well as the Patent Owner Response and supporting evidence, we conclude that Petitioner has demonstrated, by a preponderance of the evidence, that claims 38 and 47 are unpatentable for obviousness over APA and Boer.

III. CONCLUSION

Petitioner has demonstrated by a preponderance of the evidence that claims 38 and 47 are unpatentable for obviousness over APA and Boer.

IV. ORDER

In consideration of the foregoing, it is

ORDERED that the trial is terminated with respect to claims 32, 34, 40, 43, and 44;

FURTHER ORDERED that claims 38 and 47 of the '580 patent are *unpatentable*; and

FURTHER ORDERED that, because this is a final written decision, parties to the proceeding seeking judicial review of the decision must comply with the notice and service requirements of 37 C.F.R. § 90.2.

IPR2014-00519 Patent 8,023,580 B2

Petitioner

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POWER OF ATTORNEY	Application/Patent Number	12/543,910 / 8,023,580
and	Filing Date	August 19, 2009
CORRESPONDENCE ADDRESS	First Named Inventor	Gordon F. Bremer
INDICATION FORM	Art Unit	2611
	Examiner Name	Dac V. Ha
	Attorney Docket Number	3277-114
	Title	System and Method of Communication Using at Least Two Modulation Methods

The below-named Assignee of record of the entire interest in the subject application, through its authorized representative identified below, hereby revokes all previous powers of attorney given in the above-identified application and hereby appoints the practitioners associated with the Customer Number 06449 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Statement under 37 CFR 3.73(b)

A chain of title from the inventors, of the patent application/patent identified above to the current assignee as follows:

Assignment From: Summit Technology Systems, LP
 To: Rembrandt Wireless Technologies, LP
 The document was recorded in the U.S. Patent and Trademark Office at
 Reel 027085, Frame 0636.

ACKNOWLEDGEMENT AND CONSENT BY ASSIGNEE TO OBTAIN INSTRUCTIONS FROM ANOTHER PARTY

Assignee, through its undersigned authorized representative, hereby acknowledges that the practitioners appointed herein may obtain instructions as to any action to be taken in the U.S. Patent and Trademark Office on any application to which this power of attorney may be directed, or on any patent which may issue on any such application, from assignee's third-party agents or attorneys, or other designee, who have been authorized by assignee to convey such instructions, and assignee expressly consents to this arrangement. In the event of a change in the persons from whom instructions are to be taken, the practitioners appointed herein shall be so notified by the assignee.

Assignee Name	Rembrandt Wireless Technologies, LP, by it general par	fac c
Signature of Authorized Representative	Rembrandt Virginia Manasemut, UC	
Typed or Printed Name	plex Lempiner	
Typed or Printed Title	Secretary	
Date	9/27/2016	

Electronic Acknowledgement Receipt					
EFS ID:	27050173				
Application Number:	12543910				
International Application Number:					
Confirmation Number:	8306				
Title of Invention:	SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS				
First Named Inventor/Applicant Name:	Gordon F. Bremer				
Customer Number:	15027				
Filer:	Martin M. Zoltick/Tamika Miles				
Filer Authorized By:	Martin M. Zoltick				
Attorney Docket Number:	REMB-0109				
Receipt Date:	27-SEP-2016				
Filing Date:	19-AUG-2009				
Time Stamp:	17:27:23				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Power of Attorney	Power_of_Attorney.pdf	163808 3e1a5f9569830f74b911f20740490b970634 bdfc	no	1		
Warnings: Page 426 of 432							

Information:	
Total Files Size (in bytes):	163808

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 8306

SERIAL NUN 12/543,91		FILING OF DAT	Έ ΄ ΄		CLASS 375	GR	ROUP ART UNIT		ATTORNEY DO NO. REMB-010	
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APPLICANT	s									
INVENTORS Gordon F		er, Clearwate	er, FL;							
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ADDRESS ROTHWELL, FIGG, ERNST & MANBECK, P.C. 607 14th Street, N.W. SUITE 800 WASHINGTON, DC 20005 UNITED STATES										
TITLE										
SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS										
FILING FEE RECEIVED 6560 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. or						ing Ext. of time)				
	No for following: Other Credit									



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE Gordon F. Bremer

12/543,910 08/19/2009

REMB-0109

CONFIRMATION NO. 8306 POWER OF ATTORNEY NOTICE



Date Mailed: 09/30/2016

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/27/2016.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/543,910 08/19/2009 Gordon F. Bremer

REMB-0109

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WASHINGTON, DC 20005

CONFIRMATION NO. 8306
POA ACCEPTANCE LETTER



Date Mailed: 09/30/2016

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/27/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rbell/	

(12) INTER PARTES REVIEW CERTIFICATE (152nd)

United States Patent

Bremer (45) Certificate Issued: Dec. 13, 2016

(10) **Number:**

(54) SYSTEM AND METHOD OF COMMUNICATION USING AT LEAST TWO MODULATION METHODS

(75) Inventor: Gordon F. Bremer

(73) Assignee: **REMBRANDT WIRELESS TECHNOLOGIES, LP**

Trial Numbers:

IPR2014-00518 filed Mar. 20, 2014 IPR2014-00519 filed Mar. 20, 2014

Petitioners: Samsung Electronics Co. Ltd.;

Samsung Electronics America, Inc.; Samsung Telecommunications America, LLC; Samsung Austin

Semiconductor, LLC

Patent Owner: Rembrandt Wireless Technologies, LP

Inter Partes Review Certificate for:

Patent No.: 8,023,580
Issued: Sep. 20, 2011
Appl. No.: 12/543,910
Filed: Aug. 19, 2009

The results of IPR2014-00518 and IPR2014-00519 are reflected in this inter partes review certificate under 35 U.S.C. 318(b).

US 8,023,580 K1

INTER PARTES REVIEW CERTIFICATE U.S. Patent 8,023,580 K1 Trial No. IPR2014-00518 Certificate Issued Dec. 13, 2016

1

AS A RESULT OF THE INTER PARTES REVIEW PROCEEDING, IT HAS BEEN DETERMINED THAT:

Claims 32, 34, 40, 43 and 44 are disclaimed.

* * * * *

2