

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

Juniper Networks, Inc. & Palo Alto Networks, Inc.,  
Petitioners,

v.

Packet Intelligence LLC,  
Patent Owner.

Case IPR2020-00337  
U.S. Patent No. 6,771,646

**PATENT OWNER'S PRELIMINARY SURREPLY  
TO PETITION FOR *INTER PARTES* REVIEW  
OF U.S. PATENT NO. 6,771,646**

***Mail Stop "PATENT BOARD"***  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

## EXHIBIT LIST

### Patent Owner's Exhibits

Exhibit	Description
2001	Almeroth Declaration
2002	<i>Packet Intelligence LLC v. Sandvine Corp.</i> , No. 2:16-cv-00147, Dkt. No. 17 (E.D. Tex. June 1, 2017) (order consolidating cases)
2003	File History for U.S. Patent No. 6, 771,646 - Feb. 10, 2004, Response to Office Action (annotated version of Ex. 1020)
2004	Reserved
2005	<i>Palo Alto Networks, Inc. v. Packet Intelligence LLC</i> (No. 19-cv-02471-WHO) and <i>Packet Intelligence LLC v. Juniper Networks, Inc.</i> (No. 19-cv-04741-WHO), Transcript of Case Management Conference on January 7, 2020
2006	<i>Palo Alto Networks, Inc. v. Packet Intelligence LLC</i> , No. 19-cv-02471-WHO, Dkt. No. 62 (May 15, 2020) (Order Granting Palo Alto Networks' Proposed Modification to the Scheduling Order)
2007	<i>Packet Intelligence LLC v. Juniper Networks, Inc.</i> , No. 3:19-cv-04741-WHO, Dkt. No. 48 (March 29, 2020) (Stipulated First Amended Scheduling Order)
2008	PAN Contentions A5 - (Riddle)
2009	PAN Contentions A13 - (Yu)
2010	JUN Contentions A6 - (Riddle and Ferdinand)
2011	JUN Contentions A7 - (Riddle and Ferdinand and Yu)
2012	JUN Contentions A8 - (Riddle and Ferdinand and Baker)
2013	JUN Contentions A9 - (Riddle and Ferdinand and Baker and Yu)
2014	JUN Contentions A10 - (Riddle and Ferdinand and RFC1945)
2015	JUN Contentions A11 - (Riddle and Ferdinand and Baker and RFC1945)
2016	JUN Contentions B6 - (Riddle and Baker)
2017	JUN Contentions B7 - (Riddle and Baker and Yu)
2018	JUN Contentions B8 - (Riddle and Baker and RFC1945)
2019	JUN Contentions C6 - (Riddle and Ferdinand and Wakeman)
2020	JUN Contentions C7 - (Riddle and Ferdinand and Wakeman and Yu)
2021	JUN Contentions C8 - (Riddle and Ferdinand and Wakeman and RFC1945)
2022	JUN Contentions D6 - (Riddle and Ferdinand)

2023	JUN Contentions D7 - (Riddle and Ferdinand and Yu)
2024	JUN Contentions D8 - (Riddle and Ferdinand and RFC1945)
2025	JUN Contentions E6 - (Riddle and Ferdinand) 789
2026	JUN Contentions E7 - (Riddle and Ferdinand and Yu)
2027	JUN Contentions E8 - (Riddle and Ferdinand and Wakeman)
2028	JUN Contentions E9 - (Riddle and Ferdinand and Wakeman and Yu)
2029	JUN Contentions E10 - (Riddle and Ferdinand and Wakeman and RFC1945)
2030	JUN Contentions E11 - (Riddle and Ferdinand and Baker)
2031	JUN Contentions E12 - (Riddle and Ferdinand and Baker and Yu)
2032	JUN Contentions E13 - (Riddle and Ferdinand and RFC1945)
2033	JUN Contentions E14 - (Riddle and Ferdinand and Baker and RFC1945)
2034	JUN Contentions E15 - (Riddle and Ferdinand and Hasani)
2035	JUN Contentions E16 - (Riddle and Ferdinand and Hasani and Yu)
2036	JUN Contentions E17 - (Riddle and Ferdinand and Hasani and RFC1945)
2037	U.S. Patent No. 7,748,002 (“Beser”)
2038	File History for USPN 7,748,002 - October 3, 2006 Office Action
2039	U.S. Patent No. 7,706,357 (“Dyckerhoff”)
2040	File History for USPN 7,706,357 - June 30, 2009 Office Action
2041	January 18, 2019 Letter to Palo Alto Networks re Notice of Infringement
2042	January 18, 2019 Letter to Juniper Networks Inc re Notice of Infringement
2043	Almeroth CV
2044	Complaint in <i>Palo Alto Networks, Inc. v. Packet Intelligence LLC</i> , No. 3:19-cv-02471-WHO (N.D. Cal.)
2045	Petition in IPR2019-01289
2046	Petition in IPR2019-01290
2047	Petition in IPR2019-01291
2048	Petition in IPR2019-01292
2049	Petition in IPR2019-01293
2050	Stay Order in <i>Uniloc 2017 LLC v. Apple, Inc.</i> , No. 19-cv-01904-WHO (N.D. Cal.)
2051	Joint Motion to Amend the Scheduling Order in <i>Palo Alto Networks, Inc. v. Packet Intelligence LLC</i> , No. 3:19-cv-02471-WHO (N.D. Cal.)

2052	Order Granting PAN's Proposed Modification to the Scheduling Order in <i>Palo Alto Networks, Inc. v. Packet Intelligence LLC</i> , No. 3:19-cv-02471-WHO (N.D. Cal.)
2053	Petition in IPR2017-00450
2054	Petition in IPR2017-00451
2055	Petition in IPR2017-00629
2056	Petition in IPR2017-00630
2057	Petition in IPR2017-00769
2058	Petition in IPR2017-00869
2059	Final Judgment in <i>Packet Intelligence LLC v. NetScout Systems, Inc. et. al.</i> , No. 2:16-cv-00230-JRG (E.D. Tex.)

## TABLE OF CONTENTS

I. The <i>Fintiv</i> Factors Support Discretionary Denial .....	1
A. Factor 1 – The Court Is Not Likely to Grant a Stay .....	1
B. Factor 2 – Trial Is Set Near the Time for FWD .....	3
C. Factor 3 – The Parties Have Invested Considerable Resources .....	5
D. Factor 4 – The Issues Are the Same .....	5
E. Factor 5 – The Parties are the Same .....	6
F. Factor 6 – The Merits and Prior Proceedings Favor Discretionary Denial.....	6
II. Conclusion .....	7

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.