

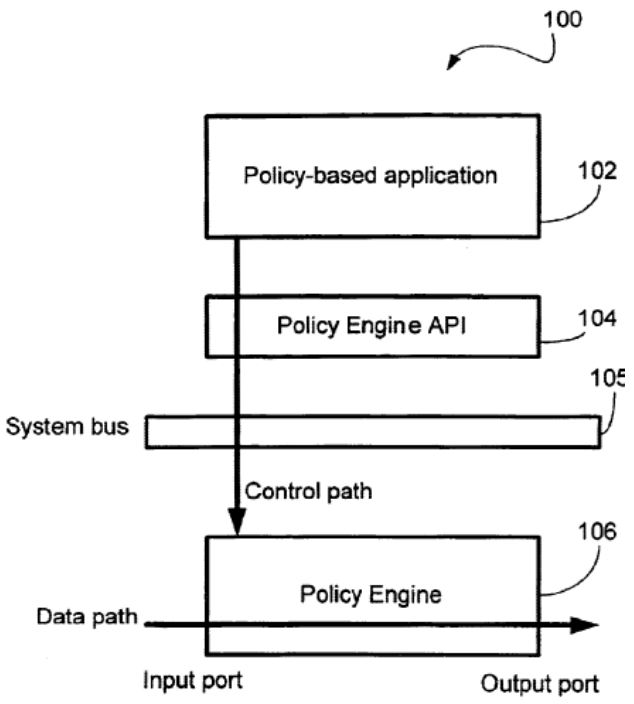
PALO ALTO'S INVALIDITY CONTENTIONS

Exhibit A13: U.S. Patent No. 6,625,150 ("Yu")

As demonstrated in the claim charts below, the Asserted Claims are invalid (a) under one or more sections of 35 U.S.C. § 102 as anticipated by Yu and (b) under 35 U.S.C. § 103(a) as obvious over Yu standing alone and as set forth herein, and/or combined with the knowledge of a person of ordinary skill in the art, admitted prior art, and/or the additional prior art references discussed in Exhibits A1-A16, and B, the contents of which are hereby incorporated by reference into this chart. Although the following charts illustrate where Yu discloses the preambles of the Asserted Claims, Palo Alto does not imply by these contentions that the preambles are claim limitations.

'099 Claim	Claim Element	U.S. Patent No. 6,625,150 ("Yu")
[1.pre]	A packet monitor for examining packets passing through a connection point on a computer network in real-time, the packets provided to the packet monitor via a packet acquisition device connected to the connection point, the packet monitor comprising:	<p>Yu discloses a packet monitor for examining packets passing through a connection point on a computer network in real-time, the packets provided to the packet monitor via a packet acquisition device connected to the connection point.</p> <p>For example, Yu discloses:</p> <p>Abstract ("A policy engine for handling incoming data packets. The policy engine includes a stream classification module, a data packet input/output module, and a policy enforcement module. The policy enforcement module further includes a packet scheduler, an on-chip packet buffer circuitry, and a plurality of action processors. The stream classification module creates a packet service header for each data packet, wherein the packet service header indicates policies to be enforced for that data packet. The action processors enforce the policies.");</p> <p>2:51-65 ("The architecture 100 includes three major components—a Policy-Based Application 102, a Policy engine API 104 ("API" stands for Application Program Interface") and a Policy engine 106. As can be seen from FIGS. 2 and 3, the policy-based application 102—such as a firewall, virtual private network (VPN), or traffic management—is typically a "legacy" software program residing on a host, equipped with its own policy database 202 and flow classifier logic 204.</p> <p>The policy engine API 104 serves as an interface between the policy application 102 and the policy engine 106 (via a system bus 105). The policy engine 106 is a</p>

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		<p>purpose-built hardware (preferably running at wire speed) that operates on input network traffic and network policies and that outputs regulated traffic flows based upon the network policies.”); <i>see also</i> Fig. 2, Fig. 3.</p>  <pre> graph TD 100((100)) --- 102[Policy-based application 102] 102 --- 104[Policy Engine API 104] 104 --- 10E[System bus 10E] 10E -- Control path --> 106[Policy Engine 106] 106 -- Data path --> 106 106 --> Input[Input port] 106 --> Output[Output port] </pre>

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		<p>The diagram, labeled Fig. 3, illustrates a system architecture for policy-based applications. At the top, a dashed box labeled 102 encloses 'Policy-based applications' (204) and a 'Policy database' (202). The 'Policy database' contains 'Classif. Spec' (203a) and 'Action Spec' (203b) columns. A 'Flow classifier' (204) is connected to the 'Policy database'. Below this, a 'Control path' (402) passes through a 'Policy Engine API' (104) and a 'System bus 105' to a 'Policy Engine' (106). The 'Policy Engine' contains a 'Data path' (403) with a sequence of blocks: 'SC' (207) followed by several 'AP' (206) blocks. A 'policy cache' (209) is connected to the 'Data path' and contains a table with columns 'Stream Spec' (208) and 'Action Spec 1', 'Action Spec 2', 'Action Spec n' (210).</p>

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'099 Claim	Claim Element	U.S. Patent No. 6,625,150 ("Yu")
[1.a]	(a) a packet-buffer memory configured to accept a packet from the packet acquisition device;	<p>Yu discloses a packet-buffer memory configured to accept a packet from the packet acquisition device.</p> <p>For example, Yu discloses:</p> <p>Abstract ("A policy engine for handling incoming data packets. The policy engine includes a stream classification module, a data packet input/output module, and a policy enforcement module. The policy enforcement module further includes a packet scheduler, an on-chip packet buffer circuitry, and a plurality of action processors. The stream classification module creates a packet service header for each data packet, wherein the packet service header indicates policies to be enforced for that data packet. The action processors enforce the policies.");</p> <p>6:10-15 ("The Packet Input/Output Module 402 receives packets, places the received packets in the external packet memory 450 and notifies the Stream Classification Module 404 of such packets. Upon completion of all policies enforcement, the Packet Input/Output Module 402 transmits the packet from external packet memory 450 to the network.");</p> <p>6:31-58 ("The Policy Enforcement Module 406 includes a Packet Scheduler 408, On Chip packet Buffer(s) 410, and at least one Action Processor 412. The Packet Scheduler 408 copies packets from external packet memory 450 to the On Chip Packet Buffer 410. After copying the packets to the Packet Buffer 410, packets are fragmented into 64 bytes cells. An 8-bit Cell Service Header (FIG. 6) is added to the beginning of each 64-byte cell. The Cell Service Header includes a Packet Number to uniquely identify a packet in the Policy Enforcement Module pipeline and a Start bit and Stop bit to indicate the first and last cell of a packet. A Next AP field, together with the AP IDs in the Packet Service Header, indicates to the Policy Enforcement Module 406 what is the next destination Action Processor of each cell.</p>

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		<p>It is preferable to have the On Chip Packet Buffer 410 because it allows the Action Processors 412 very low latency and high bandwidth access to the packets as compared with having to access the external Packet Memory 450. In case the next Action Processor 412 is busy for a cell, the On Chip Packet Buffer 410 serves as temporary storage for that cell. This prevents the blocking of following cells which need to go through this same Action Processor 412.</p> <p>Each Action Processor 412 performs a particular policy enforcement. In addition to this, it is capable of reading the required action spec based on the AP pointer on the packet Service Header (FIG. 5). Each Action Processor may also have its own input and/or output FIFO to buffer the cells.”);</p> <p>Claim 1 (“wherein the stream classification module creates a packet service header for each packet in the external packet memory indicating, based on a policy cache, policies to be enforced on that packet;”); <i>see also</i> Fig. 4.</p>

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