

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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AYLA PHARMA LLC,  
Petitioner,

v.

ALCON RESEARCH, LLC,  
Patent Owner.

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Case IPR2020-00295  
Patent 9,533,053

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**MANDATORY NOTICE INFORMATION**

**37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, Patent Owner Novartis AG submits the following mandatory notice information. Patent Owner has conferred with counsel of record for Petitioner, and Petitioner does not object to Patent Owner's filing of mandatory notice information at this time.

**(1) Real parties-in-interest.**

Novartis AG is the assignee of U.S. Patent 9,533,053 (the "'053 patent"). Novartis AG is a corporation organized and existing under the laws of Switzerland, having an office and place of business at Lichtstrasse 35, CH-4056 Basel, Switzerland.

Novartis Pharmaceutical Corporation is a corporation organized and existing under the laws of the State of Delaware and has a principal place of business at 1 Health Plaza, East Hanover, NH 07936. Novartis Pharmaceutical Corporation is a wholly-owned subsidiary of Novartis AG.

**(2) Related matters.**

Patent Owner is unaware of any pending judicial or administrative matter that would affect, or be affected by, a decision in this proceeding.

The following matters, none of which is currently pending, have involved the '053 patent:

- *Alcon Research, Ltd. v. Watson Laboratories, Inc., Actavis Pharma, Inc., and Actavis Inc.*, 1:15-cv-1159 (D. Del.)
- *Alcon Research, Ltd. v. Lupin Limited et al.*, 1:16-cv-00195 (D. Del.)
- *Alcon Research, Ltd. v. Lupin Limited et al.*, 1:17-cv-00321 (D. Del.)
- *Alcon Research, Ltd. v. Watson Labs. Inc.*, 1:17-cv-00252 (D. Del.)
- *Alcon Research, Ltd. v. Cipla Limited et al.*, 1:17-cv-01244 (D. Del.)
- *Cipla Limited v. Alcon Research, Ltd.*, IPR2018-01021 (P.T.A.B.)

**(3) Lead and back-up counsel.**

Patent Owner designates the following lead and back-up counsel:

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A Power of Attorney appointing the practitioners associated with customer number 129657 (Williams & Connolly LLP) to transact all business in this

proceeding is submitted together with this mandatory notice information.

**(4) Service information.**

Patent Owner submits the following service information:

- (i) E-mail addresses: atrask@wc.com  
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- (ii) Postal mailing address: Andrew V. Trask  
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- (iii) Hand-delivery address: (Same as postal mailing address)
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Patent Owner consents to electronic service by e-mail at atrask@wc.com,  
scott.chapple@novartis.com, and peter.waibel@novartis.com.

Dated: January 31, 2020

Respectfully submitted,

/Andrew V. Trask/

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Lead Counsel for Patent Owner

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