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Page 1
              UNITED STATES PATENT AND TRADEMARK OFFICE
 1
               BEFORE THE PATENT TRIAL AND APPEAL BOARD
 2
 3
     MEDTRONIC, INC., and
      MEDTRONIC VASCULAR, INC.,
 4
                 Petitioners,
 5
                                     Case No. IPR2020-00126
     vs.
                                     U.S. Patent No. 8,048,032
 6
      TELEFLEX INNOVATIONS
 7
      S.A.R.L.,
 8
                 Patent Owner.
 9
      IPR2020-00126 (Patent 8,048,032 B2)
10
      IPR2020-00127 (Patent 8,048,032 B2)
      IPR2020-00128 (Patent RE45,380 E)
      IPR2020-00129 (Patent RE45,380 E)
11
      IPR2020-00130 (Patent RE45,380 E)
12
      IPR2020-00132 (Patent RE45,760 E)
      IPR2020-00135 (Patent RE45,776 E)
      IPR2020-00136 (Patent RE45,776 E)
13
      IPR2020-00137 (Patent RE47,379 E)
14
      IPR2020-00138 (Patent RE47,379 E)
15
16
                     VIDEOCONFERENCE VIDEOTAPED
17
                            DEPOSITION OF
18
                             PETER T. KEITH
19
     DATE: November 23, 2020
20
     TIME: 8:58 a.m.
21
              Minneapolis, Minnesota
     PLACE:
22
      (via videoconference)
23
     JOB NO.: MW 4338308
24
25
     REPORTED BY: Dawn Workman Bounds, CSR
```

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www veritext com 888-391-3376



Page 2	Page 4
1 APPEARANCES	1 INDEX
2 (ALL APPEARANCES VIA VIDEOCONFERENCE)	2 WITNESS: PETER T. KEITH PAGE
3 ON BEHALF OF PETITIONERS: 4 SHARON ROBERG-PEREZ, ESO.	3 EXAMINATION BY MS. ROBERG-PEREZ 6
4 SHARON ROBERG-PEREZ, ESQ. EMILY TREMBLAY, ESQ.	4 EXAMINATION BY MR. VANDENBURGH 181
5 CYRUS A. MORTON, ESQ.	5 EXHIBITS MARKED/REFERRED TO
ROBINS KAPLAN LLP	6 No. 1118: Picture/diagram of GuideLiner
6 2800 LaSalle Plaza	Version 2 EX-2138, IPR2020-00132,
800 LaSalle Ave	7 p. 150 83
7 Minneapolis, MN 55401	8 No. 1119: Picture/diagram of GuideLiner
612.349.8500	Version 2 EX-2138, IPR2020-00132,
8 sroberg-perez@robinskaplan.com	9 pp. 150; 152 84
camorton@rkmc.com	10 No. 1120: U.S. Patent 5,658,251 155
9 ETremblay@RobinsKaplan.com	11 No. 1121: U.S. Patent 5,156,594 162
10	12
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22	22
23 (APPEARANCES CONTINUED ON NEXT PAGE)	23
24	24
25	25
Page 3 1 ON BEHALF OF PATENT OWNER:	Page 5
2 DEREK VANDENBURGH, ESQ.	1 PROCEEDINGS
JOSEPH W. WINKELS, ESQ.	THE VIDEOGRAPHER: Good morning. We are
3 CARLSON CASPERS VANDENBURGH & LINDQUIST, PA.	3 going on the record at 8:58 a.m. Eastern Standard Time,
Capella Tower, Suite 4200	4 on November 23, 2020. Please note that the microphones
4 225 South Sixth Street	5 are sensitive and may pick up whispering, private
Minneapolis, MN 55402 5 612.436.9623	6 conversations, and cellular interference. Please turn
dvandenburgh@carlsoncaspers.com	7 off all cell phones and place them away from microphones
6 jwinkels@carlsoncaspers.com	8 as they can possibly interfere with deposition audio.
7 KENNETH E. LEVITT, ESQ.	9 Audio and video recording will continue to take place
DORSEY & WHITNEY, LLP	_
8 50 S. Sixth Street, Suite 1500	10 unless all parties agree to go off the record.
Minneapolis, MN 55402	This is media unit 1 of the video-recorded
9 612.340.2600	12 deposition of Peter T. Keith, in the matter of Medtronic
levitt.kenneth@dorsey.com 10	13 v. Teleflex Innovations. My name is Adam Venturini from
11 ALSO PRESENT:	14 the firm Veritext, and I'm the videographer. The court
12 Greg Smock, Teleflex	15 reporter is Dawn Bounds from the firm Veritext.
13 Adam Venturini, Videographer	16 I'm not authorized to administer an oath.
14	17 I'm not related to any party in this action nor am I
15	
16	18 finally interested in the outcome.
17 18	Counsel and all present remotely will now
19	20 state their appearances and affiliations for the record.
20	21 If there are any objections to proceeding, state them at
21	22 the time of your appearance, beginning with the noticing
22	23 attorney.
23	-
24 25	24 MS. ROBERG-PEREZ: Sharon Roberg-Perez 25 representing Petitioner Medtronic of the firm Robins

2 (Pages 2 - 5)

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Page 6 1 Kaplan. With me are my colleagues Cy Morton and Emily 2 Tremblay. MR. VANDENBURGH: This is Derek 4 Vandenburgh here today on behalf of Teleflex. 5 Also appearing is Joe Winkels of the Carlson Caspers 6 firm, as well as Ken Levitt of the Dorsey firm; and Greg 7 Smock of Teleflex is here as well. THE VIDEOGRAPHER: All right. Will the 9 court reporter please swear in the witness. 10 THE REPORTER: Due to the need for this 11 deposition to take place remotely because of the 12 government's order for physical distancing, the parties

14 witness over the videoconference and that the witness has 15 verified that he is in fact Peter T. Keith. 16 Agreed, counsel?

13 will stipulate the court reporter may swear in the

17 MS. ROBERG-PEREZ: Agreed. 18 MR. VANDENBURGH: Agreed. 19 PETER T. KEITH, 20 duly sworn via videoconference as stipulated by counsel 21 was examined and testified as follows: 22 **EXAMINATION**

23 BY MS. ROBERG-PEREZ: 24 Q. Good morning, Mr. Keith. 25 You've been deposed before, haven't you? Page 7

2 Q. About how many times? A. I -- it's -- I've been deposed many times over 4 a long career in medical devices, so I don't really have 5 a particular figure, but probably could be approaching 20 6 times maybe. Q. Okay. Have you ever testified at trial? 8 A. I have. Q. How many times? 10 A. Just one time for that. O. And when was that? A. That was, I believe, in the early 2000s.

11 12 13 Q. Was that a patent matter? 14 A. Yes. 15 Q. And who did you testify for? 16 A. I testified on behalf of Boston Scientific. 17 Q. Was Boston Scientific the plaintiff in that 18 case or defendant? A. Well, it was a complicated proceeding that I 20 think they were a plaintiff in aspects and a defendant in 21 aspects, I believe. Q. Were you an expert witness in that trial? 23

24 Q. Do you recall who prevailed in that trial? A. I believe it was a settlement.

Q. Okay. Is there any reason today, such as

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2 illness or medication, that you will not be able to

3 testify fully and accurately?

A. No.

5 Q. You've submitted declarations in several IPRs

6 initiated by Medtronic, right?

A. Yes.

8 Q. You've also submitted declarations in

9 connection with the district court litigation that

10 Teleflex initiated against Medtronic, right?

12 Q. Aside from counsel, have you spoken about the

13 IPRs with anyone?

A. No.

15 Q. What about the district court litigation?

16

17 O. You're familiar with the name Tom Ressemann,

18 right?

19 A. Yes, I am.

20 Q. Have you spoken with him in the last six

21 months?

22 A. Yes, I have.

23 Q. What about?

24 A. Well, we're -- we have a friendship. We've

25 worked together professionally. I've spoken to him about

1 some companies that he's involved with that I have 2 offered some thoughts and comments in terms of potential

3 employment opportunities that he's looked into.

He sits on the board of directors of a few

5 companies, one of which he talked with -- or he and I

6 talked about, and I have done a little bit of consulting

7 with that company.

Q. And to confirm, you have not spoken with him

9 about the subject matter of these IPRs, correct?

A. Correct. He knows that I'm involved in this

11 patent litigation, but I've not spoken about any subject

12 matter.

13 Q. Okay. Who wrote your declarations submitted in

14 these IPRs?

15 A. They're my declarations.

16 Q. And so you wrote these declarations?

17 A. I -- they're certainly my words. The process

18 of the writing was done, you know, in coordination with

19 the lawyers; but I -- I -- you know, I drafted much of --

20 you know, did the initial drafts of much of them.

21 You know, they may have done some initial

22 drafting of portions, and -- but it was always -- there

23 was always an extraordinary amount of discussion and

24 editing; and at the end of the day, they're my words.

25 Q. Okay. Now, in connection with the drafting,

3 (Pages 6 - 9)

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1

A. I have, yes.

Page 10 Page 12

- 1 was there any material, other than what you've cited in
- 2 your declarations, that you considered?
- 3 A. I don't believe so.
- 4 Q. Anything that -- any material that you reviewed
- 5 but did not cite in your declarations?
- 6 A. I don't think so.
- 7 Q. How did you prepare for your deposition today?
- 8 A. I rereviewed my declarations and some of the
- 9 other materials in the case, and I had some conversations
- 10 with counsel.
- 11 Q. How long were those conversations?
- 12 A. I mean, in the last several days, say, the
- 13 conversations that I've had with counsel have probably
- 14 been maybe 10 hours.
- 15 Q. Did you review any of the material cited in
- 16 your declarations?
- 17 A. I think so.
- 18 Q. What material?
- 19 A. I looked at the root patents. I looked at a
- 20 number of the prior art patents that are of relevance to
- 21 the case. Those are things that I can think of.
- 22 I probably looked at more, but I can't
- 23 recall them right now.

A. I don't think so.

24 Q. Did you review any material not cited in your

Q. Did you attend the depositions given by

Q. Okay. You testified that you've previously

Q. And you testified truthfully in those cases,

Q. I'd like to understand what types of cases

Your CV mentions a matter Grayzel versus

Q. What was the technology at issue in that case?

A. I believe that related to balloon angioplasty

22 catheters and some aspects of -- of the balloon itself in

A. Yes. Sure, that's one way to describe it.

23 terms of having cutting elements or rigid elements.

Q. Does that refer to a cutting balloon?

And am I correct those depositions were

Q. Have you reviewed his testimony?

25 declarations?

3 Dr. Graham?

8 been deposed.

10 largely in patent cases?

A. Yes.

A. Yes.

18 Boston Scientific?

A. Yes.

A. No.

2

5

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11

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25

13 right?

16 those were.

- Q. Well, what is a cutting balloon?
- 2 A. There's one product in particular -- this is a
- 3 long, long time ago.
- 4 But there's one product in particular that
- 5 was referred to as a "cutting balloon" that had
- 6 essentially some short longitudinal razor blades affixed
- 7 to the surface of the balloon.
- 8 Q. Was that a Boston product or a Grayzel product?
- 9 A. This was so long ago, I -- honestly, I don't
- 10 remember.
- 11 Q. You testified on behalf of Boston, though,
- 12 right?
- 13 A. Yes.
- 14 Q. What was your opinion in the case?
- 15 A. I don't recall.
- 16 Q. To the extent you can -- okay.
- 17 Do you remember if you opined on claim
- 18 construction?
- 19 A. I don't recall.
- Q. Okay. You also in your CV list a case Boston
- 21 Scientific v. Cordis on behalf of the plaintiffs.
- What was the technology at issue in that
- 23 case?
- A. That, I believe, was related to multilayer
- 25 extrusions used in angioplasty catheters.

Page 11

- 1 Q. Was that the case that you testified at trial?
 - 2 A. Yes
 - 3 Q. Do you remember what your -- and you testified
 - 4 as an expert, right?
 - 5 A. Correct.
 - 6 Q. Did you also testify at a claim construction
 - 7 hearing in that case?
 - 8 A. I don't think so.
 - 9 Q. Do you remember what your opinion was in that
 - 10 case?
 - 11 A. No. Again, that was so long ago and, you know,
 - 12 very involved. I do not recall.
 - 13 Q. Okay. Your CV also lists a matter SciCo v.
 - 14 Boston Scientific.
 - What was the technology in that case?
 - 16 A. I believe that was related to some design
 - 17 aspects of rapid exchange angioplasty catheters.
 - 18 Q. Do you -- do you remember what your opinion was
 - 19 in that case?
 - 20 A. No.
 - Q. Okay. Aside from those three matters, what
 - 22 other patent matters have you offered testimony in?
 - 23 A. I have been a fact witness in a number of
 - 24 patent cases. I think at least primarily related to my
 - 25 work at SCIMED Life Systems, which became part of Boston

4 (Pages 10 - 13)

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- 1 Scientific, related to various angioplasty catheters that
- 2 I either designed or was an inventor on.
- 3 Q. So did those matters account for the lion's
- 4 share of the 20 or so depositions you mentioned?
- A. Yes.
- 6 Q. Okay. You've got a bachelor's degree in
- 7 mechanical engineering, right?
- A. Yes.
- 9 Q. And you mentioned your work for SCI -- SCIMED.
- And when did you start working at SCIMED?
- 11 A. Well, I think all this is laid out on my
- 12 resume, but I believe that was 1985.
- 13 Q. And SCI -- I'm correct in understanding that
- 14 SCIMED's products included interventional cardiology
- 15 products?
- 16 A. Well, I mean, interventional cardiology
- 17 products is a particular term that's used in some of the
- 18 patents at issue here, so I -- I -- I don't know what
- 19 context you're asking me that question.
- Q. Do the patents use the term "interventional
- 21 cardiology products"?
- 22 A. I think they use "interventional cardiology
- 23 devices."
- 24 Q. Okay. Understood.
- 25 So I'm not -- I'm trying to stay away from

- A. No, they're not exactly the same.
- Q. Okay. All I want to know is what kind of
- 3 products -- I won't even use a modifier.
- What kind of products did you do work on
- 5 at SCIMED?
- 6 A. So I worked on fixed wire angioplasty catheter
- 7 products. I worked on rapid exchange angioplasty
- 8 catheter products. I worked on guidewires. I worked on
- 9 atherectomy catheters. I worked on vascular sealing
- 10 products. I worked on drug delivery products.
- 1 And I probably worked on other products,
- 12 but I can't recall other ones sitting here right now.
- 13 Q. Okay. So you mentioned fixed wire angioplasty
- 14 products, rapid exchange angioplasty products,
- 15 guidewires, atherectomy catheters, vascular sealing
- 16 products, and drug delivery products.
- 17 Of those six categories, which of those
- 18 products are introduced into the coronary vasculature?
- 19 A. I would say all of those with the exception of
- 20 vascular sealing products.
- Q. So when you mentioned drug delivery products,
- 22 what type of products were you referring to?
- 23 A. These would be products that -- they were
- 24 catheters that would go into coronary arteries for the

25 purpose of being able to deliver a drug.

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Page 16

- 1 patent terms, and I'm really just interested in the types
- 2 of products that you worked on when you were at SCIMED.
- You mentioned one type, balloon
- 4 angioplasty catheters, I think; is that correct?
- 5 A. Yes.
- 6 Q. What other types of interventional cardiology
- 7 products did you work on at SCIMED?
- 8 A. Well, I just want to be clear that we're
- 9 talking about -- I mean --
- 10 Q. Products.
- 11 A. -- not specifically to what that term might
- 12 strictly mean in the context of the patents, but if -- I
- 13 mean, it sounds like you're trying to ask it in -- you
- 14 know, in maybe a broader sense of interventional
- 15 cardiology.
- 16 O. Mr. Keith --
- 17 A. I --
- 18 Q. -- can we agree that the patents do not refer
- 19 to "interventional cardiology products"?
- 20 A. They refer to "interventional cardiology
- 21 devices."
- 22 Q. Correct.
- 23 And my question to you --
- 24 A. Very similar terms.
- Q. But they're not the same term, are they?

- 1 Q. Were these drug-eluting stents?
- 2 A. No.
- Q. So of the fixed wire angioplasty products, how
- 4 many were there?
- 5 Do you remember their names?
- 6 A. There were -- the first family of products --
- 7 and by family I'm referring to that the balloons were
- 8 available in different inflated diameters as well as
- 9 different coil tip lengths. Those were referred to the
- 10 as the ACE catheters.
- 11 And then I was involved in some -- some
- 12 more recent products after the ACE was introduced that
- 13 were called the Pivot products. Again, there may be
- 14 more. I'm just recalling all of them as I sit here
- 15 today.
- Q. But for the fixed wire angioplasty products,
- 17 there were at least the ACE and the Pivot products,
- 18 right?
- 19 A. Correct.
- Q. Do you remember what the names were of the
- 21 rapid exchange angioplasty products?
- A. The one I was most directly involved with was
- 23 the Express catheter. And then there were some more
- 24 recent products that -- one was referred to as the Rally.
 - And, again, there may be some others that

5 (Pages 14 - 17)

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