Physical properties of crosslinked hyaluronic acid hydrogels

Maurice N. Collins · Colin Birkinshaw

Received: 15 March 2008/Accepted: 15 May 2008/Published online: 5 June 2008 © Springer Science+Business Media, LLC 2008

Abstract In order to improve the mechanical properties and control the degradation rate of hyaluronic acid (HA) an investigation of the structural and mechanical properties of the hydrogels crosslinked using divinyl sulfone (DVS), glutaraldehyde (GTA) and freeze-thawing, or autocrosslinking has been carried out. The thermal and mechanical properties of the gels were characterised by differential scanning calorimetry (DSC), dynamic mechanical thermal analysis (DMTA) and compression tests. The solution degradation products of each system have been analysed using size exclusion chromatography (SEC) and the Zimm-Stockmayer theory applied. Autocrosslinked gels swell the most quickly, whereas the GTA crosslinked gels swell most slowly. The stability of the autocrosslinked gels improves with a reduction in solution pH, but is still poor. GTA and DVS crosslinked gels are robust and elastic when water swollen, with glass transition values around 20°C. SEC results show that the water soluble degradation products of the gels show a reduction in the radius of gyration at any particular molecular weight and this is interpreted as indicating increased hydrophobicity arising from chemical modification.

1 Introduction

DOCKE

Hydrogels based on hyaluronic acid (HA) or hyaluron have gained attention as possible cell transplantation vehicles for the regeneration of a variety of tissues. The mechanical and

M. N. Collins (⊠) · C. Birkinshaw Department of Materials Science and Technology, University of Limerick, Limerick, Ireland e-mail: maurice.collins@ul.ie physical properties of the gel depend on the interaction of the constituent polymer with water and generally as the specific amount of absorbed water increases, the gels permeability to oxygen and its strength decreases [1]. A high equilibrium swelling promotes nutrient diffusion into the gel and cellular waste removal out of the gel, while the insolubility provides the structural integrity necessary for tissue growth. The structural integrity of hyaluron hydrogels is determined by the crosslinks formed by chemical bonds and by physical interactions. These gels are generally biodegradable, processed easily, can be delivered in a minimally invasive manner but must have mechanical and structural properties similar to tissues and the extra cellular matrix (ECM) due to their similarity to the body's own highly hydrated composition. It is of great interest to create hydrogels with controlled mechanical properties for biomedical applications and these must possess the mechanical strength and flexibility sufficient to withstand compressive forces from the surrounding tissues in vivo without deformation or collapse [2]. In addition, the mechanical properties of materials to which cells adhere can profoundly affect the function of the cells [3].

Hyaluronic acid a glycosaminoglycan (GAG) is a particularly attractive hydrogel material for biomedical applications [4]. GAG's have a multifunctional role in wound healing. The early presence of a HA rich matrix enhances infiltration of migratory cells into the injured tissue and also creates an environment that promotes both cell motility and prolifereation [5]. HA also acts as a signaling molecule for cell migration and proliferation [6] and the degradation products modulate the inflammatory response and stimulate angiogenesis. For these reasons HA is an attractive building block for new biocompatible and biodegradable polymers with possible applications in drug delivery [7], tissue engineering [8–10], and visco-supplementation [11, 12]. As a polysaccharide of the extra cellular matrix (ECM), it plays a multi-task role, having many structural, rheological, physiological and biological functions in the body. It is a linear and anionic polymer consisting of two modified sugars, glucuronic acid and N-acetylglucosamine, with the molecular structure: [D glucuronic acid (1-b-3) N-acetyl-D-glucosamine (1-b-4)]n. It has a high capacity for lubrication, and water sorption and retention allowing application in ophthalmic surgery [13]. HA also plays a critical role as a signaling molecule in cell motility [14], cell differentiation [15], wound healing [16, 17]. However, poor mechanical properties and rapid degradation in solution limit broader ranges of clinical application and emphasise the need for some property improvement.

To improve the mechanical properties and control the degradation rate, HA can be chemically modified or crosslinked. Crosslinking is the most common modification of hyaluronan to form a hydrogel and a number of mechanisms have been reported in the literature [18-21]. The functional groups which are mainly responsible for crosslinking of HA molecules are the hydroxyl and carboxyl groups. Hydroxyl groups may be crosslinked via an ether linkage and carboxyl groups via an ester linkage. If desired the HA may be chemically modified prior to crosslinking to form other chemically reactive groups. Thus for example HA may be treated with acid or base such that it will undergo at least partial deacetalisation, resulting in the presence of free amino groups which can then be crosslinked via an amide (-C(O)- NH-); imino (-N=CH-) or secondary amine (-NH-CH-) bond. An imino linkage can be converted into an amine linkage in the presence of a reducing agent.

The fabrication of novel HA-based materials has been described previously [21, 22]. Here, we report a systematic investigation of the structural and mechanical, properties of the hydrogels focusing on homogeneous reactions using divinyl sulfone (DVS), glutaraldehyde (GTA) and autocrosslinked samples, it having been demonstrated that such hydrogels remain insoluble for periods of time ranging from a few hours to several days. GTA is believed to form either a hemiacetal or an ether link with HA under acidic conditions. With DVS the crosslinking occurs via the hydroxyl groups forming an ether bond. The proposed reaction schemes have been reported in a previous publication [21]. "Autocrosslinking" was also carried out and the mechanism here is postulated to occur through the development of extensive and semi-permanent secondary bonding between HA molecules. Okamoto et al. [23] maintain that during the freezing period at a low pH the electrostatic repulsive forces between the HA molecules are suppressed so the HA molecules are packed closely together to facilitate the formation of a gel. For such a gel

DOCKE.

to be stable at higher temperatures than the temperature of formation, it must be presumed that either a crystallisation process occurs, or at least a process analogous to crystallisation in which the relationship between the gelation temperature and the gel melt temperature is similar to that between Tc and Tm in conventional melt processed polymers.

The present study examines the relationships between crosslink density and mechanical properties and degradation rate and mechanism of HA hydrogels. The relationship between crosslink density and solvent swelling is described by the well known Flory–Rhener equation which demonstrates that increasing crosslinker effectiveness will be shown by a reduced volumetric swelling. For this work the swelling ratio (SR) was calculated via the equation:

Swelling ratio
$$=$$
 $\frac{W_{\rm s}}{W_{\rm d}}$ (1)

where W_s is the weight of the sample at equilibrium at each temperature and W_d is the weight of the dried sample.

All the hydrogels were mechanically characterised by dynamic mechanical thermal analysis (DMTA) and thermally by differential scanning calorimetry (DSC). The hydrolytic degradation products of the gels were investigated using the Zimm–Stockmayer theory using size exclusion chromatography (SEC). HA is a linear molecule prior to crosslinking and if the water soluble degradation fragments are examined, their architecture and in particular their degree of branching, will give some indication of the original structure and the mechanism of breakdown.

2 Materials and methods

2.1 Materials

The sodium salt of HA with an average molecular weight of 2.06×10^6 was supplied by Clear Solutions (New York, NY) as dry powder. This material is prepared in high yield from streptococcus bacteria by fermenting the bacteria under anaerobic conditions in CO₂ enriched growth medium [24]. GTA, and DVS were purchased from Lancaster (UK).

2.2 Hydrogel preparation

The crosslinking procedure has been outlined previously [25]. Briefly, in order to obtain a crosslinked HA gel with DVS, HA was dissolved in dilute alkaline solution (4 wt%) and DVS was added dropwise. Generally, one hour was enough for completion of the crosslinking reaction. All gels were optically clear, with a smooth surface. For cross-linking to proceed with GTA the aqueous HA mixture

Find authenticated court documents without watermarks at docketalarm.com.

should be acidic and varying amounts of crosslinker were used. This crosslinker produced the most mechanically robust gels and they were also easily moulded into any shapes. All gels were washed to remove any unreacted crosslinker. With autocrosslinking HA aqueous (1 wt%) solutions were prepared. The pH of the solution was adjusted to the required value using 1 M HCl and was then placed in a freezer at -20° C for 2.5 days and then thawed at 25°C.

2.3 Swelling measurements

The swelling ratio (SR) of a hydrogel was measured after it was swollen to a desired state at 25° C. It was carefully taken out from the solution, wiped with a filter paper for the removal of the free water on the surface, and then weighed. SR (g/g) of a sample was calculated according to Eq. 1. All measurements were made in triplicate for each sample.

2.4 Analysis of degradation

A degrading crosslinked hydrophilic polymer can be expected to generate large soluble fragments and so characterisation of these is a useful indicative parameter of the mechanism and original structure. The soluble degradation products can be compared with the original polymer using SEC and this was done using Viscotek Model 270 chromatograph with a GMPWXL mixed bed column at 25°C. Solvent flow was maintained at 0.7 ml/min through the column. The model 270 system consisted of a dual detector, a viscometer and a light scattering detector (LALS and RALS). Both detectors are then connected with a refractive index detector (RI 2000). HA is a random coil molecule, so it has an α value between 0.5 and 1.0, or greater is possible for extended rod-like molecules. The g' branching index is calculated as the ratio of sample $[\eta]_B$ value against its linear reference $[\eta]_L$ value of same molecular weight:

$$g' = \frac{[\eta]_B}{[\eta]_L} \tag{2}$$

To relate the viscosity branching index g with g', an assumption has to be made, i.e. g and g' have a relationship as shown in Eq. 3.

$$g' = g^{\varepsilon} \tag{3}$$

where ε is the shape factor which is taken to be 0.75 assuming random branching [26].

The relation between the number of branches per molecule and the branching ratio depends on the branching functionality and the polydispersity of the sample of branched molecules. Assuming the degraded gel is a

OCKE

trifunctional randomly branched polymer, the Zimm– Stockmayer equation is:

$$g = \frac{6}{B_W} \times \left\{ \frac{1}{2} \left(\frac{2 + B_W}{B_W} \right)^{1/2} \ln \left[\frac{(2 + B_W)^{1/2} + B_W^{1/2}}{(2 + B_W)^{1/2} - B_W^{1/2}} \right] - 1 \right\}$$
(4)

where B_w is the weight average number of branches per molecule of a polydisperse sample.

The branching frequency is calculated as follows:

$$\lambda = \frac{R B_n}{M_w} \tag{5}$$

where *R* is the repeat unit, M_w is the molecular weight. A repeat unit of 37800 was used for these calculations, this value is equivalent to 100 HA units [26–28].

2.5 Characterisation of hydrogels

Differential scanning calorimetry analysis was carried out using a TA Instruments DSC 10 differential scanning calorimeter. The samples were hermetically sealed within the DSC pans. The thermal analysis profiles were of water swollen samples and the temperature was increased from ambient to 300°C at a rate of 10°C /min under 60 cc/min of nitrogen gas flow. Samples were tested in triplicate to ensure reproducibility. The DMTA of the materials was carried out with a Polymer Laboratories DMTA MK-1 apparatus, operating in the parallel plate mode. The scans were performed on samples maintained under room conditions, at a frequency of 1 Hz, temperature range of -30to 100°C, and a heating rate of 4°C min⁻¹. Multi-frequency tests were carried out on the DVS crosslinked gels.

The more robust HA gels crosslinked by GTA were compression tested and Fig. 1 shows a typical sample used



Fig. 1 A typical hydrogel samples tested in compression

Find authenticated court documents without watermarks at docketalarm.com.

for this. A compression rig was set up on an Instron 4302 machine using 100 N load cell with a crosshead speed of 5 mm/min. Samples were compressed to 50% of their initial thickness and the swollen modulus, G_e , of each sample was calculated using Eq. 6 since uniaxial compression measurements were carried out on the hydrogels between two parallel plates [29].

$$F/A = G_e \left(\lambda - \lambda^{-2} \right) \tag{6}$$

where *F* is the force, *A* is the original cross sectional area of the swollen hydrogel, and $\lambda = l/l_0$ where *l* and l_0 are the lengths of the gel after and before compression, respectively. Plotting *F*/*A* versus $\lambda - \lambda^{-2}$ resulted in a straight line with a slope of *G*_e, which was the modulus of elasticity of the swollen hydrogel.

Cyclical compressive testing between a compressive strain of 0.2 and 0.4 at a crosshead speed of 1.25 mm/min was used to evaluate sample resilience and hysteresis. All tests were performed at room temperature.

3 Results and discussion

3.1 Swelling studies

Figure 2 shows the short term swelling behaviour of the DVS, GTA and pH 1.5 autocrosslinked gels at 25°C in distilled water and Fig. 3 shows the longer term behaviour of the DVS crosslinked gels. Figure 4 compares the swelling behaviour of three autocrosslinked gels prepared at pH values of 2.0, 7.0 and 9.0.

The speed at which the gels swell to their equilibrium water content is presumed to be a function of crosslink density and hydrophobicity of the system, and comparison of the results for the three different crosslinking methods

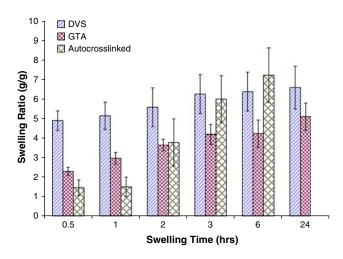


Fig. 2 Swelling ratio at 25°C in distilled water. Hyaluronic acid is crosslinked at 1:2 mole ratio with DVS and GTA

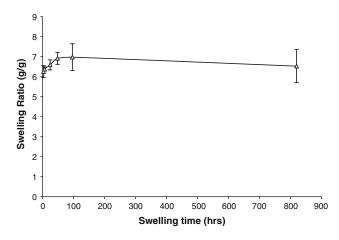


Fig. 3 Longer term behaviour of DVS gel swollen at 37°C in distilled water (HA:DVS mole ratio 1:2)

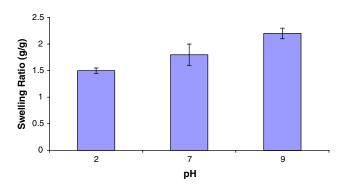


Fig. 4 Autocrosslinked Hyaluronic acid after 60 mins swelling at 25°C in different pH levels

suggests that GTA is the most effective crosslinker with the autocrosslinking technique the least effective. Both of the covalently crosslinked gels are at their equilibrium swelling ratio after 6 h in distilled water and are stable beyond 24 h whereas the autocrosslinked samples have dispersed into solution before this time is reached. The effect of reducing pH is in accordance with the proposals of Okamoto et al. [28] that maximum chain interaction can be obtained at low pH, but it is apparent from the results presented here that even at 25°C the forces of solvation greatly exceed the inter-molecular binding forces. The GTA crosslinked samples are the least swollen and are in turn the most dimensionally stable of the gels; observations which are consistent with a high crosslink density. During swelling of these gels, they initially turned white in colour, and then a colourless swelling front moved inwards, separating the highly swollen surface from the less swollen core of the gel and gradually the entire gel turned colourless and was swollen evenly. This colour phenomenon is presumed to be due to molecular rearrangement as the water diffuses through the material. Between 24 and 48 h

the gels began to undergo additional swelling and this is presumed to indicate degradation.

3.2 Mechanical and thermal characterisation of the hydrogels

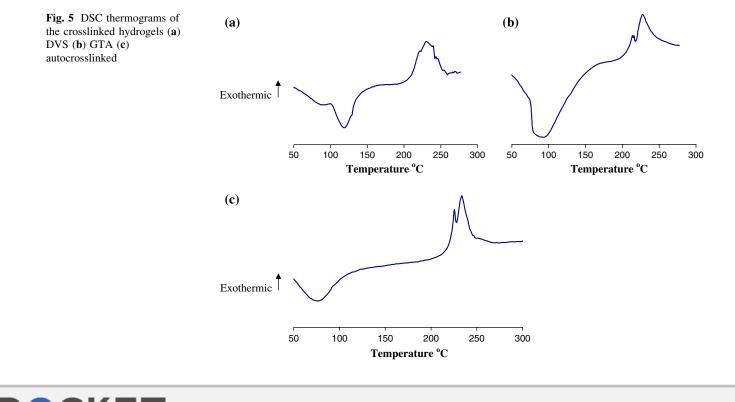
Figure 5 shows the DSC thermograms for the covalently crosslinked and autocrosslinked and slightly swollen hydrogels and Table 1 details the important peak temperatures. The two chemically crosslinked gels produced thermograms which were similar in shape and all of the samples showed the presence of a broad endothermic peak around 100°C, which is associated with the loss of moisture remaining after the initial drying procedure. The relatively smaller endotherm obtained with the autocrosslinked material may reflect lower water retention through the drying period. Significant sharp exothermic peaks were also observed for each sample at higher temperatures and crosslinking changes the relative magnitude of these two exothermic peaks. It is thought that the first of these peaks represents conversion into a less-ordered state, and the second represents thermal degradation.

Figures 6-8 show the DMTA responses of the gels investigated and Table 2 summarises some of the important results. As expected the samples show a reduction in elastic modulus with increased water content, with the height of the tan δ peak (the α transition) being reduced and the peak broadened in the more swollen samples. In all three figures the glass transition is apparent at approximately 20-25°C. Increased water content slightly lowers

0.7 0.6 0.5 **Tan** (σ) 0.4

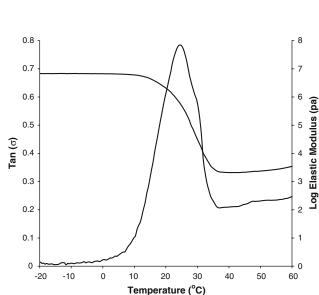
GTA

Fig. 6 Autocrosslinked sample after 2 h swollen in distilled water (scanning rate 4°C min⁻¹; frequency 1 Hz)



Sample Endothermic Degradation temperature peak (°C) $(^{\circ}C)$ 1st peak 2nd peak Autocrosslinked 78.2 225.5 234.3 DVS 119.1 222.9 231.5

91.1



215.5

3339

228.2

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.