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Application De	ta Sheet 37 CFR 1.76	Attorney Docket Number	11381.122997				
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Title of Invention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines							
	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the						

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Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

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subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. L...)

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Annlingtion Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	11381.122997
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Title of Invention	Optimized Fuel Management	System for Direct Injection Etha	nol Enhancement of Gasoline Engines

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	91197		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Applicatio	in Status	Pending				Rer	nove	
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Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	11381.122997
		Application Number	
Title of Invention	Optimized Fuel Management	System for Direct Injection Etha	noi Enhancement of Gasoline Engines

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date o filling this Authorization.

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Applicant 1

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

0	Assignee	0	Legal Representative un	der	35 U.S.C. 117	0	Joint Inventor
0	Person to whom the inventor is ablig	ated t	o assign.	0	Person who shows a	uffici	ent proprietary interest
lf a	pplicant is the legal representativ	re, in	dicate the authority to f	ile ti	ne patent application,	the i	nventor is:

Name of the Deceased	or Legally Incapacitated Invent	or :						
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Organization Name	Organization Name Massachusetts Institute of Technology							
	rmation For Applicant:							
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	11381.122997				
Application De	ila oneel of Urn 1.70	Application Number					
Title of Invention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines							
Email Address							
Additional Applicant Data may be generated within this form by selecting the Add button.							

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Assignee 1

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Mailing Address Information For Assignee including Non-Applicant Assignee:

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Phone Number	Fax Number					
Email Address						
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Signature:

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications.							
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First Name	Sam	Last Name	Pastemack	Registration Number	29576		
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	11381.122997
		Application Number	
Title of Invention			nol Enhancement of Gasoline Engines

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nonprovisional Patent Application for

OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

MIT Case No. 11381K

Attorney Docket: 11381.122997

Sam (Bo) Pasternack Registration Number: 29576 Massachusetts Institute of Technology One Cambridge Center Room NE18-501 Cambridge, MA 02142 617.258.7171

> FORD Ex. 1135, page 8 IPR2020-00013

OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

This application is a continuation of United States Patent Application Serial No.

- 5 14/807,125 filed on July 23, 2015 which is a continuation of United States Patent Application Serial No. 14/220529 filed on March 20, 2014 which is a continuation of United States Patent Application 13/546220 filed on July 11, 2012, which is a continuation of United States Patent Application Serial No. 12/701,034 filed on February 5, 2010, which is a continuation of United States Patent Application Serial No. 11/758,157 filed June 5, 2007, which is a continuation of
- 10 United States Patent Application Serial No. 11/100,026, filed April 6, 2005, now Patent No. 7,225,787, which is a continuation-in-part of United States Patent Application Serial No. 10/991,774 filed November 18, 2004, now Patent No. 7,314,033, the contents of which are incorporated herein by reference.

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Background of the Invention

This invention relates to an optimized fuel management system for use with spark ignition gasoline engines in which an anti-knock agent which is a fuel is directly injected into a cylinder of the engine.

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There are a number of important additional approaches for optimizing direct injection ethanol enhanced knock suppression so as to maximize the increase in engine efficiency and to minimize emissions of air pollutants beyond the technology disclosed in parent application serial number 10/991,774 set out above. There are also additional approaches to protect the engine and exhaust system during high load operation by ethanol rich operation; and to minimize cost, ethanol fuel use and ethanol fuel storage requirements. This disclosure describes these approaches.

These approaches are based in part on more refined calculations of the effects of variable ethanol octane enhancement using a new computer model that we have developed. The model determines the effect of direct injection of ethanol on the occurrence of knock for different times of injection and mixtures with port fuel injected gasoline. It determines the beneficial effect of evaporative cooling of the direct ethanol injection upon knock suppression.

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Summary of the Invention

In one aspect, the invention is a fuel management system for operation of a spark ignition gasoline engine including a gasoline engine and a source of an anti-knock agent which is a fuel.

- 40 The use of the anti-knock agent provides gasoline savings both by facilitating increased engine efficiency over a drive cycle and by substitution for gasoline as a fuel. An injector is provided for direct injection of the anti-knock agent into a cylinder of the engine and a fuel management control system controls injection of the anti-knock agent into the cylinder to control knock. The injection of the antiknock agent can be initiated by a signal from a knock sensor. It can also
- 45 be initiated when the engine torque is above a selected value or fraction of the maximum torque where the value or fraction of the maximum torque is a function of the engine speed. In a preferred embodiment, the injector injects the anti-knock agent after inlet valve/valves are closed. It is preferred that the anti-knock agent have a heat of vaporization that is at least twice that of gasoline or a heat of vaporization per unit of combustion energy that is at least
- 50 three times that of gasoline. A preferred anti-knock agent is ethanol. In a preferred embodiment of this aspect of the invention, part of the fuel is port injected and the port injected fuel is gasoline. The directly injected ethanol can be mixed with gasoline or with methanol. It is also preferred that the engine be capable of operating at a manifold pressure at least twice that pressure at which knock would occur if the engine were to be operated
- 55 with naturally aspirated gasoline. A suitable maximum ethanol fraction during a drive cycle when knock suppression is desired is between 30% and 100% by energy. It is also preferred that the compression ratio be at least 10. With the higher manifold pressure, the engine can be downsized by a factor of two and the efficiency under driving conditions increased by 30%.

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FORD Ex. 1135, page 10 IPR2020-00013

It is preferred that the engine is operated at a substantially stoichiometric air/fuel ratio during part or all of the time that the anti-knock agent such as ethanol is injected. In this case, a three-way catalyst can be used to reduce the exhaust emissions from the engine. The fuel management system may operate in open or closed loop modes.

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In some embodiments, non-uniform ethanol injection is employed. Ethanol injection may be delayed relative to bottom dead center when non-uniform ethanol distribution is desired.

Many other embodiments of the invention are set forth in detail in the remainder of this 70 application.

Brief Description of the Drawing

Fig. 1 is a graph of ethanol fraction (by energy) required to avoid knock as a function of inlet 75 manifold pressure. The ethanol fraction is shown for various values of β , the ratio of the change in temperature in the air cylinder charge due to turbocharging (and aftercooling if used) to the adiabatic temperature increase of the air due to the turbocharger.

Fig. 2a is a graph of cylinder pressure as a function of crank angle for a three bar manifold pressure.

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Fig. 2b is a graph of charge temperature as a function of crank angle for a three bar manifold pressure.

Fig. 3 is a schematic diagram of an embodiment of the fuel management system disclosed 85 herein for maintaining stoichiometric conditions with metering/control of ethanol, gasoline, and air flows into an engine.

Figs. 4a and 4b are schematic illustrations relating to the separation of ethanol from ethanol/gasoline blends. 90

Fig. 5 is a cross-sectional view of a flexible fuel tank for a vehicle using ethanol boosting of a gasoline engine.

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Description of the Preferred Embodiment

Ethanol has a heat of vaporization that is more than twice that of gasoline, a heat of combustion per kg which is about 60% of that of gasoline, and a heat of vaporization per unit 100 of combustion energy that is close to four times that of gasoline. Thus the evaporative cooling of the cylinder air/fuel charge can be very large with appropriate direct injection of this antiknock agent. The computer model referenced below shows that evaporative cooling can have a very beneficial effect on knock suppression. It indicates that the beneficial effect can be maximized by injection of the ethanol after the inlet valve that admits the air and 105 gasoline into the cylinder is closed. This late injection of the ethanol enables significantly higher pressure operation without knock and thus higher efficiency engine operation than would be the case with early injection. It is thus preferred to the conventional approach of early injection which is used because it provides good mixing. The model also provides information that can be used for open loop (*i.e.*, a control system that uses predetermined 110 information rather than feedback) fuel management control algorithms.

The increase in gasoline engine efficiency that can be obtained from direct injection of ethanol is maximized by having the capability for highest possible knock suppression enhancement. This capability allows the highest possible amount of torque when needed and thereby facilitates the largest engine downsizing for a given compression ratio.

Maximum knock suppression is obtained with 100% or close to 100% use of direct injection of ethanol. A small amount of port injection of gasoline may be useful in order to obtain combustion stability by providing a more homogeneous mixture. Port fuel injection of gasoline also removes the need for a second direct fuel system or a more complicated system

which uses one set of injectors for both fuels. This can be useful in minimizing costs.

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The maximum fraction of ethanol used during a drive cycle will depend upon the engine system design and the desired level of maximum torque at different engine speeds. A representative range for the maximum ethanol fraction by energy is between 20% and 100%.

In order to obtain the highest possible octane enhancement while still maintaining combustion stability, it may be useful for 100% of the fuel to come from ethanol with a fraction being port injected, as an alternative to a small fraction of the port-fueled gasoline.

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The initial determination of the knock suppression by direct injection of ethanol into a gasoline engine has been refined by the development of a computer model for the onset of knock under various conditions. The computer modeling provides more accurate information for use in fuel management control. It also shows the potential for larger octane

- 135 enhancements than our earlier projections. Larger octane enhancements can increase the efficiency gain through greater downsizing and higher compression ratio operation. They can also reduce the amount of ethanol use for a given efficiency increase.
- The computer model combines physical models of the ethanol vaporization effects
 and the effects of piston motion of the ethanol/gasoline/air mixtures with a state of the art calculational code for combustion kinetics. The calculational code for combustion kinetics was the engine module in the CHEMKIN 4.0 code [R. J. Kee, F. M. Rupley, J. A. Miller, M. E. Coltrin, J. F. Grear, E. Meeks, H. K. Moffat, A. E. Lutz, G. Dixon-Lewis, M.D. Smooke, J. Warnatz, G. H. Evans, R. S. Larson, R. E. Mitchell, L. R. Petzold, W. C.Reynolds, M.
- 145 Caracotsios, W. E. Stewart, P. Glarborg, C. Wang, O. Adigun, W. G. Houf, C. P. Chou, S. F. Miller, P. Ho, and D. J. Young, CHEMKIN Release 4.0, Reaction Design, Inc., San Diego, CA (2004)]. The CHEMKIN code is a software tool for solving complex chemical kinetics problems. This new model uses chemical rates information based upon the Primary Reference gasoline Fuel (PRF) mechanism from Curran *et al.* [Curran, H. J., Gaffuri, P.,
- Pitz, W. J., and Westbrook, C. K. "A Comprehensive Modeling Study of iso-Octane Oxidation," *Combustion and Flame* 129:253-280 (2002) to represent onset of autoignition.

The compression on the fuel/air mixture end-gas was modeled using the artifact of an engine compression ratio of 21 to represent the conditions of the end gas in an engine with an actual compression ratio of 10. The end gas is defined as the un-combusted air/fuel mixture remaining after 75% (by mass) of the fuel has combusted. It is the end gas that is most prone to autoignition (knock). The larger compression ratio includes the effect of the increase in pressure in the cylinder due to the energy released in the combustion of 75% of the fuel that is not in the end gas region. The effect of direct ethanol vaporization on temperature was modeled by consideration of the effects of the latent heat of vaporization on temperature depending upon the time of the injection.

The effect of temperature increase due to turbocharging was also included. The increase in temperature with turbocharging was calculated using an adiabatic compression
model of air. It is assumed that thermal transfer in the piping or in an intercooler results in a smaller temperature increase. The effect is modeled by assuming that the increase in temperature of the air charge into the cylinder ΔT charge is ΔT charge = β ΔT turbo where ΔT turbo is the temperature increase after the compressor due to boosting and beta is a constant. Values of β of 0.3, 0.4 and 0.6 have been used in the modeling. It is assumed that
the temperature of the charge would be 380 K for a naturally aspirated engine with port fuel injection gasoline.

Fig. I shows the predictions of the above-referenced computer model for the minimum ethanol fraction required to prevent knock as a function of the pressure in the inlet
manifold, for various values of β. In Fig. 1 it is assumed that the direct injection of the ethanol is late (i.e. after the inlet valve that admits air and gasoline to the cylinder is closed) and a 87 octane PRF (Primary Reference Fuel) to represent regular gasoline. The corresponding calculations for the manifold temperature are shown in Table 1 for the case of a pressure in the inlet manifold of up to 3 bar for an engine with a conventional compression ratio of 10.

180 The temperature of the charge varies with the amount of ethanol directly injected and is self-

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consistently calculated in Table 1 and Fig. I.The engine speed used in these calculations is 1000 rpm.

Table 1

Computer model calculations of temperature and ethanol fraction required for knock prevention for an inlet manifold pressure of 3 bar for an engine with a compression ratio of 10, for various values of β (ratio of change of the cylinder air charge temperature due to turbocharging to the adiabatic temperature increase due to turbocharging $\Delta T_{charge} = \beta \Delta T_{turbo}$). The engine speed is 1000 rpm.

	β		0.3	0.4	0.6
	T_charge init	Κ	380	380	380
	Delta T turbo	К	180	180	180
195	Delta T after intercooler	К	54	72	108
	Delta T due to DI ethanol and gasoline	К	-103	-111	-132
	T_init equivalent charge	Κ	331	341	356
	Gasoline octane		87	87	87
	Ethanol fraction (by energy) needed				
200	to prevent knock		74%	82%	97%

Direct fuel injection is normally performed early, before the inlet valve is closed in order to obtain good mixing of the fuel and air. However, our computer calculations indicate a substantial benefit from injection after the inlet valve is closed.

The amount of air is constant in the case of injection after the inlet valve has closed. Therefore the temperature change is calculated using the heat capacity of air at constant volume (c_v) . The case of early injection where the valve that admits air and fuel to the cylinder is still open is modeled with a constant-pressure heat capacity (c_p) . The

constant volume case results in a larger evaporation induced decrease in charge temperature than in the case for constant pressure, by approximately 30%. The better evaporative cooling can allow operation at higher manifold pressure (corresponding to a

greater octane enhancement) without knock that would be the case of early injection by a difference of more than 1 bar. The increase in the evaporative cooling effect at constant volume relative to that at constant pressure is substantially higher for the case of direct injection of fuels such as ethanol and methanol than is the case for direct injection of gasoline.

- Typical results from the calculations are shown in Fig. 2. The figure shows the pressure (a) and the temperature (b) of the cylinder charge as a function of crank angle, for a manifold pressure of 3 bar and a value of β= 0.4 Two values of the ethanol fraction are chosen, one that results in autoignition, and produces engine knock (0.82 ethanol fraction by fuel energy), and the other one without autoignition, i.e., no knock (0.83 ethanol fraction). Autoignition is a threshold phenomenon, and in this case occurs between ethanol fractions of 0.82 and 0.83. For an ethanol energy fraction of 0.83, the pressure and temperature rise at 360° (top dead center) is due largely to the compression of the air fuel mixture by the piston. When the ethanol energy fraction is reduced to 0.82, the temperature and pressure spikes as a result of autoignition. Although the autoignition in Figure 2 occurs substantially after 360 degrees, the autoignition timing is very
- sensitive to the autoignition temperature (5 crank angle degrees change in autoignition timing for a change in the initial temperature of 1 K, or a change in the ethanol energy fraction of 1%).
- The effect of evaporative cooling from the antiknock agent (in this case, ethanol) is shown in Table 2, where three cases are compared. The first one is with port fuel injection of ethanol. In this case the vaporization of the ethanol on the walls of the manifold has a negligible impact on the temperature of the charge to the cylinder because the walls of the manifold are cooled rather than the air charge. The second case
- 240 assumes direct injection, but with the inlet valve open, with evaporation at constant pressure, where the cooling of the charge admits additional air to the cylinder. The third case assumes, as in the previous discussions, late injection after the inlet valve has

closed. It is assumed stoichiometric operation, that the baseline temperature is 380 K, and that there is cooling in the manifold after the turbocharger with $\beta = 0.4$.

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Table 2

Knock-free operation of ethanol port fuel injection (assuming no charge cooling) and of direct injection before and after the inlet valve is closed. Compression ratio of 10, baseline
charge temperature of 380 K, intercooler/cooling post turbo with β = 0.4, stoichiometric operation, gasoline with 87 RON. Engine speed is 1000 rpm.

		No Evaporative Cooling	Evaporative	e cooling
			Before	After
255			Valve Closing	Valve Closing
	Ethanol fraction (by energy)	0.95	0.95	0.95
260	Max manifold pressure (bar)	1.05	2.4	4.0
	Cylinder pressure after cooling (bar)	1.05	2.4	3.0
265	Cylinder charge temperature after cooling (K)	383	360	355

The results indicate the strong effect of the cooling. The maximum manifold pressure that prevents knock (without spark retard), with 0.95 ethanol fraction by energy in the case of port fuel injection is 1.05 bar. With direct injection of the ethanol, the maximum knock-free manifold and cylinder pressures are 2.4 bar, with a temperature decrease of the charge of ~75K. The final case, with injection after inlet valve closing, allows a manifold pressure of 4 bar, a cylinder pressure (after cooling) of 3 bar, and a charge temperature decrease of ~120K. It should be noted

- 275 that the torque of the late injection case after the valve has closed is actually higher than that of the early injection case, even though the early injection case allows for additional air (at constant pressure). For comparison, the model is also used to calculate the manifold pressure at which knock would occur for port fuel injection of 87 octane gasoline alone. This pressure is ~ 0.8 bar assuming spark timing at MBT (Maximum Brake Torque). Conventional gasoline engines
- 280 operate at 1 bar by retarding the timing at high torque regions where knock would otherwise occur. Thus the model indicates that evaporative cooling effect of direct injection of ethanol after the inlet valve has closed can be significantly greater than that of the higher octane number rating of ethanol relative to gasoline.

A manifold pressure of 4 bar is very aggressive. Table 2 is indicative of the dramatically improved performance of the system with direct injection after the inlet valve has closed. The improved performance in this case can be traded for increased compression ratio or reduced use of the anti-knock agent.

It should be noted that, as mentioned above, the calculations of autoignition (knock) are conservative, as autoignition for the case shown in Fig. 2 occurs relatively late in the cycle, and it is possible that the fuel has been combusted before it autoignites. Also it should be noted that the calculations in Fig. 2 break down after autoignition, as the pressure trace would be different from that assumed. Figures similar to Fig. 2 are used to determine conditions where autoignition would not occur, and those conditions are then used to provide the information for Fig. 1. The initial temperatures of the cases shown in Fig. 2 are 341 K for 0.82 ethanol fraction, and 340 K for 0.83 ethanol fraction, a difference of 1K (the difference due to the cooling effect of the ethanol).

300 Because of the large heat of vaporization, there could be enough charge cooling with early injection so that the rate of vaporization of ethanol is substantially decreased. By instead injecting into the hot gases, which is the case with injection after the inlet valve has

closed, the temperature at the end of full vaporization of the ethanol is substantially increased with respect to early injection, increasing the evaporation rate and minimizing wall wetting.

The optimum timing of the injection for best mixing and a near homogeneous charge is soon after the inlet valve closes, provided that the charge is sufficiently warm for antiknock agent vaporization. If, on the other hand, a non-uniform mixture is desired in order to minimize ethanol requirements and improve ignition stability, then the injection should occur later than in the case where the best achievable mixing is the goal.

Late injection of the ethanol after the inlet valve has closed can be optimized through the use of diesel-like injection schemes, such as injectors with multiple sprays. It is important to inject the fuel relatively quickly, and at velocities which minimize any cylinder wall wetting, which as described below could result in the removal of the lubrication oils from the cylinder liner. Multiple sprays from a nozzle that has multiple holes results in a distributed pattern of sprays, with relatively low injection velocities. This is particularly important for ethanol, because of the higher volume throughputs (as compared with gasoline) of ethanol for equal energy content.

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Injection after the valve has closed may require that a modest fraction of the fuel (e.g. 25%) be port injected in order to achieve the desired combustion stability. A tumble-like or swirl motion can be introduced to achieve the desired combustion stability. The port injected fuel can be either gasoline or ethanol.

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Use of the computer model for operation with gasoline alone gives results that are consistent with the observed occurrence of knock in gasoline engine vehicles, thereby buttressing the credibility of the projections for ethanol. The computer model indicates that for knock-free gasoline operation alone with a compression ratio of 10, knock imposes a

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severe constraint upon the allowed manifold pressure for a naturally aspirated gasoline engine and very limited *(i.e.,* less than 1.2 bar) manifold pressure can be achieved even with

direct injection of gasoline unless spark retard and/or rich operation is used. These changes, however, can reduce efficiency and increase emissions.

- Fig. 1 shows that knock can be prevented at manifold pressures greater than 2 bar with direct injection of an ethanol fraction of between 40 and 80% in an engine with a compression ratio of 10. The manifold pressure can be at least 2.5 bar without engine knock. A pressure of 3 bar would allow the engine to be downsized to ~1/3 of the naturally aspirated gasoline engine, while still producing the same maximum torque and power. The large boosting indicated by the calculations above may require a multiple-stage turbocharger. In addition to a multiple stage turbocharger, the turbocharger may be of the twin-scroll turbo
- type to optimize the turbocharging and decrease the pressure fluctuations in the inlet manifold generated by a small number of cylinders.
- With an increase in allowed manifold pressure in an engine by more than a factor of 2, the engine could be downsized by a factor of 2 (that is, the cylinder volume is decreased by a factor of 2 or more) and the compression ratio could be held constant or raised. For example, the performance of an eight cylinder engine is achieved by a four cylinder engine.
- 350 The occurrence of knock at a given value of torque depends upon engine speed. In addition to providing substantially more maximum torque and power, direct injection of ethanol can be used to provide a significant improvement in torque at low engine speeds (less than 1500 rpm) by decreasing or eliminating the spark retard. Spark retard is generally used with gasoline engines to prevent knock at low engine speeds where autoignition occurs at lower values of torque than is the case at high engine speeds.

Fig. 1 can also be used to determine the ethanol fraction required to prevent knock at different levels of torque and horsepower, which scale with manifold pressure in a given size engine. This information can be used in an open loop control system.

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efficiency could exceed 30%.

The efficiency of a gasoline engine under driving conditions using direct ethanol injection enhancement can be at least 20% and preferably at least 30% greater than that of a naturally aspirated gasoline engine with a compression ratio of 10. This increase results from the substantial engine boosting and downsizing to give the same power, and also the high compression ratio operation (compression ratio of 11 or greater) that is enabled by a large octane enhancement. With more aggressive downsizing of more than 50% (where the same engine performance is obtained with less than one-half the displacement), the increase in

370 Greater downsizing and higher efficiency may also be obtained by decreasing the octane requirement of the engine by using variable valve timing (VVT). Thus, at conditions of high torque, variable valve timing can be used to decrease the compression ratio by appropriately changing the opening/closing of the inlet and exhaust valves. The loss in efficiency at high torque has a small impact on the overall fuel economy because the engine 375 seldom operates in these conditions.

VVT can also be used to better scavenge the exhaust gases [B. Lecointe and G. Monnier, "Downsizing a Gasoline Engine Using Turbocharging with Direct Injection" SAE paper 2003-01-0542]. Decreasing the exhaust gas decreases the air/fuel temperature. Keeping
both the inlet and exhaust valves open, while the pressure in the inlet manifold is higher than in the exhaust, can be used to remove the exhaust gases from the combustion chamber. This effect, coupled with slightly rich operation in-cylinder, can result in increased knock avoidance while the exhaust is still stoichiometric. Cooled EGR and spark timing adjustment can also be used to increase knock avoidance.

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Any delay in delivering high engine torque at low engine speeds can decrease drivability of the vehicle. Under these conditions, because of the substantial engine downsizing, the vehicle would have insufficient acceleration at low engine speeds until the turbo produces high pressures. This delay can be removed through the use of direct injection

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FORD Ex. 1135, page 21 IPR2020-00013 390 of ethanol by reduction of the spark retard or ethanol/gasoline with rich operation and also with the use of variable valve timing.

Another approach would be to use an electrically assisted turbo charger. Units that can generate the required boosting for short periods of time are available. The devices offer very fast response time, although they have substantial power requirements.

A multiple scroll turbocharger can be used to decrease the pressure fluctuations in the manifold that could result from the decreased number of cylinders in a downsized engine.

400 The temperature of the air downstream from the turbocharger is increased by the compression process. Use of an intercooler can prevent this temperature increase from increasing the engine's octane requirement. In addition, in order to maximize the power available from the engine for a given turbocharging, cooling of the air charge results in increased mass of air into the cylinder, and thus higher power.

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In order to minimize emissions, the engine should be operated substantially all of the time, or most of the time, with a stoichiometric air/fuel ratio in order that a 3-way exhaust catalyst treatment can be used. Fig. 3 shows a 3-way exhaust treatment catalyst 10 and air, gasoline and ethanol control needed to maintain the substantially stoichiometric ratio of fuel to air that is needed for its effective operation. The system uses an oxygen sensor 12 as an input to an electronic control unit (ECU) 14. The ECU 14 controls the amount of air into a turbocharger 16, the amount of gasoline and the amount of ethanol so as to insure stoichiometric operation. During transients, open-loop algorithms from a stored engine map (not shown) arc used to determine air, gasoline and ethanol flows for keeping substantially 415 stoichiometric combustion in a cylinder of the engine 18.

Thus when variable ethanol octane enhancement is employed, the fuel management system needs to adjust the amounts of air, gasoline and ethanol such that the fuel/air ratio is substantially equal to 1. The additional control is needed because, if the air/gasoline ratio

420 determined by the fuel management were not be corrected during the injection of ethanol, the mixture would no longer be stoichiometric. In contrast to the lean boost approach of Stokes *el al.* [J. Stokes, T. H. Lake and R. J. Osborne, "A Gasoline Engine Concept for Improved Fuel Economy – The Lean Boost System," SAE paper 2000-01-2902] stoichiometric operation with a 3-way catalyst results in very low tailpipe emissions.

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There are certain regions in the engine operating map where the ECU 14 may operate open loop, that is, the control is determined by comparison to an engine map lookup table rather than by feedback from a sensed parameter which in this case is engine knock (closed loop). As mentioned previously, open loop operation during transients may be advantageous.

Another situation where open loop control can be advantageous would be under high load, where fuel rich conditions (where the fuel/air ratio is greater than stoichiometric) may be required to decrease the temperature of the combustion and thus protect the engine and the exhaust system (especially during prolonged operation). The conventional approach in gasoline engine vehicles is to use increased fuel/air ratio, that is, operating at rich conditions. The presence of ethanol on-board allows for two alternatives. The first is the use of ethanol fuel fractions beyond what is required to control knock, thus reducing the

- 440 combustion temperature by a greater amount than could be obtained by gasoline alone due to the higher cooling effect of evaporation in direct ethanol injection, even while at stoichiometric conditions. The second one is, as in conventional applications, the use of increased fueling in rich operation (which could result in relative air/fuel mass ratios as low as 0.75 where a stoichiometric mixture has a relative air/fuel ratio of 1). The control system
- 445 can choose between two fuels, ethanol and gasoline. Increased use of ethanol may be better than use of gasoline, with emissions that are less damaging to the environment than gasoline and decreased amount of rich operation to achieve the temperature control needed. Open loop operation with both gasoline and ethanol may require substantial modification of the engine's "lookup table."

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Thus, a method of operating an engine is, under conditions of partial load, to operate closed loop with the use of only gasoline. As the engine load increases, the engine control system may change to open loop operation, using a lookup table.

The closed loop control of the engine can be such that a knock sensor (not shown) determines the fraction required of ethanol, while the oxygen sensor 12 determines the total amount of fuel. A variation of this scheme is to operate the knock control open loop, using a lookup table to determine the ethanol to gasoline ratio, but a closed loop to determine the total amount of fuel.

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In order to minimize evaporative emission of the ethanol (which has a relatively low boiling point), solvents can be added to the ethanol to minimize the effect. An alternative means is to place an absorptive canister between the ethanol tank and the atmosphere that captures the ethanol and releases it when the engine is operational.

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Because of the large cooling effect from ethanol, it has been known for some time that startup of a cold engine is difficult (for example, during the first 30 seconds). With the multiple fuels, it is possible to start up the engine without ethanol addition. Gasoline vaporizes easier than ethanol, and conventional operation with port-fuel or direct injected gasoline would result in easier engine start up. A greater fraction of gasoline than would be ordinarily used can be used to facilitate start-up operation at times during the first 30 seconds of engine operation.

Increased efficiency due to engine downsizing made possible through the use of 100% or close to 100% ethanol at the highest values of torque has the undesirable effect of requiring higher ethanol fractions. Hence the use of non-uniform ethanol distribution to minimize the use of ethanol at these values of torque becomes more attractive when achievement of the maximum efficiency gain is desired.

480 Below a certain value of torque or boost pressure it can be advantageous to use a non-uniform ethanol distribution in order to reduce the amount of ethanol that is used. Above certain torque or turbocharger or supercharger boost pressures, non-uniform charge would not be used since the engine is operating mostly on ethanol and ethanol non-uniformity cannot be used for minimizing ethanol consumption. This is especially important if the

485 desired fraction is higher than 50%.

The capability to minimize the use of ethanol by non-uniform ethanol distribution in the cylinder can be realized by certain ethanol injection geometries. Ethanol can be injected in the periphery of a swirling charge. In order to minimize wall wetting by the ethanol, it 490 would be convenient to achieve the injection in a manner such that the ethanol injection matches the swirling motion of the charge. The injection direction is thus positioned at an angle with respect to the main axis of the cylinder, injecting the ethanol with an angular direction component. Charge stratification in the case of swirl can be maintained by temperature stratification, with the cooler (and denser) regions in the periphery, which correspond to the end-gas zone.

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An alternative or additional method to provide ethanol non-uniform distribution in the cylinder is to inject the ethanol relatively late with respect to bottom dead center. Thus the time for transport and diffusion of the ethanol is minimized. However, sufficient time should 500 be allowed for full vaporization of the ethanol. As the temperatures are higher after Bottom-Dead-Center (BDC), the vaporization time is reduced, and it is less likely that the ethanol would wet the cylinder walls. Improved vaporization of the ethanol can also be achieved by using injectors that produce small droplets. The injector could be a single spray pattern injector with a relatively narrow directed jet. This type of jet would optimize the deposition 505 of the ethanol in the desired region.

Creating a non-uniform ethanol distribution in the cylinder (in the outer regions of the cylinder) has two advantages. The first one is the increased cooling effect of the region that has the propensity to autoignite (knock), the end gas region. The second is that the central

FORD Ex. 1135, page 25 IPR2020-00013

- 510 region is not cooled, improving ignition and initial flame propagation. It is preferable to keep the central region hot, as having a fast flame speed early in the flame propagation has antiknock advantages, by reducing the burn time and the time for precombustion chemistry of the end gas. Minimizing the burn time decreases the propensity to knock, as there is no knock if the end gas is burned before it can autoignite. Thus it is possible to have good
- 515 ignition properties of the air/fuel mixture, even under conditions where the gasoline is evenly spread throughout the cylinder.

Stratified operation can result in locally increased charge cooling. This is because the injected ethanol cools only a small fraction of the charge, and thus, for a given amount of
ethanol, the local decrease in temperature is larger with stratified operation than the average decrease of temperature with uniform ethanol distribution. Late injection can aid in the formation of a non-uniform air/ethanol mixture as mixing time is limited. Since a fraction of the gasoline is port-fuel injected, it can be assumed that this fuel is homogeneously distributed in the cylinder, but ethanol is preferentially in the cooler edges (the end-gas). Thus, although overall the air/fuel charge is stoichiometric, locally near the spark it is lean while in the region of the end gas it is rich. Both of these conditions are advantageous, since the ignition occurs in a region with higher temperature (although slightly lean), while the outside is rich and cool, both of which are knock-suppressors.

- 530 In the case of swirl or tumble stratified air fuel charges with hot air/gasoline in the center and colder air/ethanol or air/ethanol/gasoline mixtures in the end gas, it is advantageous to place the spark in the region of the hot air/gasoline mixture (substantially near the center of the combustion chamber).
- 535 Ethanol consumption can be minimized if the gasoline is also directly injected. In this case, the heat of vaporization of gasoline is also useful in decreasing the temperature of the charge in the cylinder. The gasoline can be injected using a separate set of injectors. This would provide the most flexibility. However, it may be difficult to fit two sets of injectors per cylinder in the limited space in the cylinder head. An alternative means is to provide a

540 single set of injectors for injection of both the ethanol and the gasoline. Two options are possible, one in which there is a single nozzle and valve (and the gasoline and ethanol are co-injected), and one in which each fuel has a separate nozzle and valve.

Using direct injection of both the gasoline and the ethanol has the disadvantage of increased cost. In addition to a sophisticated injector or injectors, a second high pressure fuel pump is also needed. The ethanol and the gasoline also need to have parallel common plenums.

When a single nozzle is used, the ethanol and the gasoline are distributed in the same 550 manner in the cylinder. In the case with a single nozzle and single valve, the fuels need to be mixed prior to the valve/nozzle part of the injector. This could be done either outside of the injector or in the injector body. The volume between the mixing point and the nozzle should be minimized to allow for fast response of the fuel mixture.

- A slight modification of the above embodiment involves an injector that has two valves but a single nozzle. This minimizes the need for a second valve outside the injector for controlling the gasoline/ethanol mixture, in addition to minimizing the volume between the mixing point and the valves.
- 560 It is possible to use a separate nozzle/valve for each fuel in a single injector. In this case, the gasoline and the ethanol can be deposited in different regions of the cylinder. An additional advantage would be to provide different spray patterns for the ethanol and for the gasoline. This would provide the most flexible system (comparable to two independent injectors), with possibilities of simultaneous or asynchronous injection of varying fractions of ethanol/gasoline, as well as being able to deposit the ethanol and the gasoline in the desired location of the charge, for optimal non-uniform distribution of ethanol in the cylinder. Optimal distribution means knock avoidance with minimal consumption of ethanol, while maintaining engine drivability. Optimal non-uniform ethanol distribution can be obtained by centrally depositing the gasoline and by preferentially depositing the ethanol in

- 570 the periphery of the cylinder, where the end gas will be. This can be accomplished more easily with direct injection as opposed to achieving non-uniform distribution of the gasoline through non-uniform spraying in the inlet manifold. Because the heat of vaporization of the gasoline is substantially lower than for ethanol (a factor of 4 smaller on an energy basis), the cooling effect in the region near the spark is smaller, affecting less the initial flame
- 575 propagation. In addition, it may be beneficial to retard the injection of the ethanol with respect to the gasoline.

When the ethanol has been exhausted, the engine can operate in a 'lower performance gasoline only' mode with turbocharger boost decrease (e.g. by a wastegate) and elimination
or avoidance of operation at maximum torque levels. These conditions could be limiting, and in some cases a means of operating the vehicle at higher loads would be desired. This could be accomplished by using gasoline in the ethanol system with gasoline direct injection (GDI), while at the same time port-fuel injecting a fraction of the gasoline. Under these conditions the engine will operate at higher loads and higher torques, but still far below what ethanol
could achieve. Only the cooling effect of the direct injection fuel is obtained, since the directly injected fuel has the same octane number as the port-injection fuel (gasoline in both cases).

If the ratio of ethanol in the ethanol fuel tank to gasoline in the gasoline fuel tank is lower than a predetermined value (because of the lack or availability of ethanol or for some other reason), it is possible to change the engine operation condition such that the ethanol/gasoline consumption ratio over a drive cycle is decreased. This is done for reducing the maximum ethanol fraction at a given engine speed that can be used in the engine. The allowed level of turbocharging and the maximum pressure, torque and

595 horsepower would be correspondingly reduced to prevent knock. In this way, a continuous tradeoff between the ethanol/gasoline consumption ratio and the maximum torque and horsepower can be accomplished.

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By proper expert system evaluation of the recent ethanol/gasoline usage and amounts of gasoline and ethanol it is possible to provide means to minimize the need of the 'low performance, gasoline only' mode. The usage of the antiknock agent can be restricted when the amount left in the tank is below a predetermined level, such that the main fuel will be exhausted prior to or simultaneously with the ethanol. It would be desirable to place a switch so that the operator could override the limitations, in those conditions where the desired 605 vehicle operation will not be limited by the exhaustion of the antiknock agent.

Over a drive cycle, the amount of ethanol (by energy) required to enhance the octane number sufficiently to increase efficiency by at least 25% would be less than 15% of the fuel (ethanol + gasoline energy) without ethanol stratification and less than 5% with ethanol stratification.

Onboard separation of ethanol from diesel by fractional distillation has been demonstrated for use in ethanol exhaust aftertreatment catalysts ["Fuel-Borne Reductants for

- 615 NOx Aftertreatment: Preliminary EtOH SCR Study", John Thomas, Mike Kass, Sam Lewis, John Storey, Ron Graves, Bruce Bunting, Alexander Panov, Paul Park, presented at the 2003 DEER (Diesel Engine Emissions Reduction) Workshop, Newport RI August 2003]. This approach could be employed for onboard separation of ethanol from a gasoline mixture. However, use of membrane separation can be simpler and less expensive. Although there is
- information about the use of membranes for the separation of ethanol from water, to our 620 knowledge there is no available information on the membrane separation of ethanol from gasoline. Because the ethanol molecule is on the order of 4 Angstroms and the typical hydrocarbon fuel molecules are much larger, it is possible to use membranes for the separation. Both organic and inorganic membranes could be used. Since it is not necessary to obtain high
- 625 purity ethanol, the process is relatively simple and requires low pressure.

Both porous and transfusion membranes can be used because ethanol with two carbon atoms has significantly different properties than most other gasoline compounds which have five

to ten carbon atoms. The other antiknock agents contemplated for use in this invention also have
a small number of carbons relative to gasoline. For example, methanol has one carbon. The
membrane approach can be significantly simpler than the distillation or absorption/desorption
approaches (see Ilyama et al, US patent no. 6,332,448) that have been suggested for separation
of various gasoline/diesel fuels where there is much less of a difference in the number of carbon

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The location of the membrane could be in the region of high pressure in the fuel line (downstream from the pump), or upstream from it. If it is located downstream, the separation occurs only when the engine is operational and the pump is on, while if it is upstream the separation is continuous. The pressure of the fuel downstream from the pump is a few bars (characteristic of port fuel injection). This is to be differentiated from the pressure of the ethanol

system, which is directly injected and thus requires much higher pressures.

The separated ethanol is transported to a separate tank where it is stored. If there is too much ethanol, three options are available: 1) additional separation is stopped; 2) some ethanol is used in the engine, even if not required 3) ethanol is returned to the main gasoline tank.

The tank should be reachable, in order to be able to introduce additional ethanol when required, as when towing, in high temperatures, or when doing extensive climbing, conditions that require operation at high torque and which if for extended periods of time would consume ethanol at a rate higher than what can be extracted from the fuel.

Extraction of ethanol from the gasoline can have the unintended effect of reducing the octane of the rest of the fuel. Thus, it is likely that somewhat increased use of injected ethanol would be required to prevent knock. Even in the case without non-uniform distribution of the ethanol, under normal driving conditions the system can be designed so that the amount of ethanol extracted from the fuel matches the required ethanol.

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FORD Ex. 1135, page 30 IPR2020-00013 660

It may also be advantageous to separate the ethanol from a gasoline/ethanol mixture at the fueling station. As with onboard separation, this approach also allows use of the present fuel transportation infrastructure. The potential advantages could be greater flexibility in choice of a fuel separation system and lower cost relative to onboard separation. It may be of particular interest during the introductory phase of ethanol boosted engine vehicles.

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It can be useful to have the capability to adjust the volume of the ethanol tank, thus varying the maximum a m o u n t of ethanol in the ethanol tank. This capability would make it possible to drive longer distances between ethanol refueling and to operate on different gasoline/ethanol ratios over a drive cycle, depending on the availability and cost of ethanol and gasoline. In some cases, it may be advantageous to use more ethanol than is needed to provide the desired octane enhancement (*e.g.*, to meet alternative fuel or CO₂ reduction goals). It is desirable to have this capability without increasing the overall fuel tank size. A single fuel tank with a membrane or plate separating variable amounts of gasoline and ethanol can be used to accomplish this goal.

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The tank can be configured to have a horizontal or vertical moveable/deformable walls that are substantially impervious and separate the regions that are filled with gasoline and ethanol. Separate filling ports and fuel lines are incorporated for each region as shown in Figs. 4a and b. The separation between the gasoline and ethanol (or other anti-knock agent) does not have to be perfect since a small amount of leakage of one fuel into the other will not adversely affect operation of the vehicle. The wall can be moved in response to the amount of either fuel in the tank. This process is automatic in the case of a separating membrane, and the latter can be more impervious to leaks from one fuel to the other.

Ethanol is denser than gasoline. The movable/deformable wall can be placed such that the ethanol is located either on top of the gasoline or below the gasoline. However, since it is expected that less ethanol is required than gasoline, the preferred embodiment has the ethanol above the gasoline, as shown in Figure 5.

FORD Ex. 1135, page 31 IPR2020-00013

690 If the ethanol is stored so that it is separate from the gasoline, it can be mixed with various additives to insure the desired operation of the ethanol injection system. In addition, it is possible to use gasoline-ethanol mixtures, such as E85 (which contains 15% by volume of gasoline). The lubricity additives include fatty acids, organic amine salts (amine salts of acid phosphates and polyethyleneoxy acid phosphates), alkyl and aryl acid phosphates and 695 dialkyl alkyl phosphonates.

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The modeling calculations show that for direct injection of alcohols, the larger impact of knock suppression is not the intrinsic knock-resistance of the fuel antiknock agent but rather its high heat of vaporization. In order to evaluate alternatives to ethanol, Table 3 shows the properties of proposed fuel antiknock/alternative fuels. Although some of these additives have higher octane numbers than gasoline, some of them have a much larger effect

- on the cylinder charge temperature (Table 3 assumes injection after the inlet valve has closed). Some of these additives (mostly the ethers) have a comparable charge temperature effect to that of gasoline direct injection, and thus are of less interest. The alcohols have
- 705 optimal properties for the application, with temperature changes that are a factor of 3 or larger than the temperature change due to gasoline direct injection (for 100% or near 100% operation with the additive). For ethanol, the change in temperature is a factor of more than 4 larger than that of gasoline, and for methanol the change is about 9 times larger. The temperature decrease of the air increases with the amount of oxygen in the fuel (in terms of
- the O/C ratio). Thus, it is highest for methanol, with an O/C ratio of 1, second for ethanol 710 (O/C = 2), and so on.

Table 3

715 Antiknock properties of various fuels (calculated from data obtained in SAE standard J 1297 Alternative Automotive Fuels, Sept 2002)

X/----

								Vaporization			
720								energy/heat	Stoic	Equiv. Latent	
	Fuel Type	Chemical formula	RON	MON	(R+M)/2	Net heat of	Latent heat of	of	air/fuel	heat of	
						Combustion	vaporization	combustion	ratio	vaporization	ΔT_{air}
						MJ/kg	MJ/kg			MJ/kg air	K
	Gasoline					42.8	0.30	0.007	14.6	0.020	-28
725	Ethyl t-Buytl Ether	CH3CH2-O-C(CH3)3	118	102	110	36.3	0.31	0.009	12.1	0.026	-35
	t-Amyl Methyl Ether	С2Н5 С (СН3)2-О-СН3	111	98	105	36.3	0.32	0.009	12.1	0.027	-36
	Toluene	C7H8	111	95	103	40.5	0.36	0.009	13.5	0.027	-37
	Methyl t-Butil Ether	CH3-O-C(CH3)3	116	103	110	35.2	0.32	0.009	11.7	0.028	-37
	Diisopropyl Ether	(CH3)2CH-O-CH(CH3)2	110	97	103	38.2	0.34	0.009	12.1	0.028	-39
730	t-Butly Alchohol	(CH3)3 C-OH	103	91	97	32.9	0.60	0.018	11.1	0.054	-74
	Isopropanol	(CH3)2CHOH	118	98	108	30.4	0.74	0.024	10.4	0.071	-97
	Methanol with cosolvent	50% methanol/TBA	114	96	105	26.5	0.88	0.033	8.8	0.100	-137
	Ethanol	CH3CH2OH	129	102	115	26.7	0.91	0.034	9	0.102	-138
	Methanol	СНЗОН	133	105	119	20.0	1.16	0.058	6.4	0.181	-246
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Also shown in Table 3 are the ratios of the heat of vaporization to the heat of combustion, a measure of the potential effects when used as antiknock agents. This parameter gives a measure of the amount of evaporative cooling for a given level of torque.

The last entry, ΔT_{air} , measures the decrease in air temperature for a stoichiometric mixture with injection after the inlet valve closes. Although the effect clearly is maximized by the use of methanol, other considerations may make ethanol the preferred choice. Methanol is toxic and corrosive.

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Hydrous ethanol (with a small amount of water) has the advantage of lower cost than pure (neat) ethanol. Removing the last 10% to 15% water from ethanol has significant expense and consumes considerable energy. Manufacturing facilities typically produce ethanol with about 10% water by volume unless there is a need for essentially pure (anhydrous) ethanol. It could be advantageous to use ethanol with a water concentration of 5%

to 15% by volume.

By using a closed loop approach to identify engine knock, flexible gasoline grades (with different octane ratings) and flexible knock-prevention fuel grades can be used. An open loop system would require measurement of the quality of the antiknock additive. Similarly, an open loop system would require determining the quality of the fuel (octane number). Closed loop operation allows the use of less expensive gasoline, when available, thus partially compensating for the more expensive anti-knock agent. It is also possible to use different antiknock fuel according to its availability, such as ethanol in the regions that

- 760 produce and process corn, and methanol in those that have methanol production capabilities. Thus, the least expensive grade of gasoline available and the least expensive antiknock fuel can be used, allowing a decrease of the cost of operating the vehicle as well as increasing the availability of the antiknock fuel.
- Although the above discussion has featured ethanol as an exemplary anti-knock agent, the same approach can be applied to other high octane fuel and fuel additives with high vaporization energies such as methanol (with higher vaporization energy per unit fuel), and other anti-knock agents such as isopropanol, tertiary butyl alcohol, or ethers such as methyl tertiary butyl ether (MTBE), ethyl tertiary butyl ether (ETBE), or tertiary amyl methyl ether
- 770

each other and with ethanol.

Particularly during the introduction phase of the present invention, the ethanol fueling could be performed by the use of containers, such as one-gallon containers. To facilitate ease of fueling an expandable pipe and funnel can be built into the ethanol fuel tank of the vehicle.

(TAME). It may be advantageous to use various mixtures of these fuels and additives with

The ethanol in these containers would be denatured so as to prevent human consumption as an alcoholic beverage and could contain the additives described above. Ethanol sold for fuel, such as in Brazil, is denatured by a small fraction of gasoline (2%) among other denaturing agents (methanol, isopropanol and others).

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Recycling of the container could take place at certain specific locations such as gasoline stations

- Using a signal from a knock sensor to determine when and how much ethanol or other anti-knock agent must be used at various times in a drive cycle to prevent knock, the fuel management system can be employed to minimize the amount of ethanol or other antiknock agent that is consumed over the drive cycle. If sufficient ethanol or other anti-knock agent is available, the fuel management system can also be used to employ more ethanol than
- 790 would be needed to prevent knock. This would allow greater gasoline savings (the gasoline savings component from substitution of ethanol for gasoline would increase) and carbon dioxide reduction. In this case it may be desirable to operate at an anti-knock agent fraction which is either varied or constant during the drive cycle.
- 795 The contents of all of the references cited in this specification are incorporated by reference herein in their entirety.

It is recognized that modifications and variations of the inventions disclosed herein will be apparent to those of ordinary skill in the art and all such modifications and variations are included within the scope of the appended claims.

What is claimed is:

A fuel management system for a turbocharged spark ignition engine where the fuel
 management system controls fueling from a first fueling system that directly injects fuel into at
 least one cylinder as a liquid and increases knock suppression by evaporative cooling and where
 fueling is also provided by a second fueling system that injects fuel into a region outside of the
 cylinder;

810 and where there is a first torque range wherein both fueling systems are used throughout this range;

and wherein the fraction of fuel in the cylinder that is introduced by the first fueling system increases with increasing manifold pressure;

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and where fueling from the second fueling system alone is used when the torque is decreased below the lower end of the first torque range.

The fuel management system of claim 1 where the maximum knock suppression during a
 driving cycle is provided by operation with fueling from both fueling systems.

3. The fuel management system of claims 1 or 2 where the combustion stability is greater with operation of the first fueling system and the second fueling system than operation with the first fueling system alone.

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4. The fuel management system of claim 1 where variable valve timing is used.

5. The fuel management system of claim 1 where the fraction of fuel provided by the first fueling system increases with manifold pressure so as to prevent knock.

830 6. The fuel management system of claim 1 where variable valve timing is used so as to reduce the fraction of fuel provided by the first fueling system.

7. The fuel management system of claim 1 where as the manifold pressure is increased, the increase in the fraction of fuel in the cylinder that is provided by the first fueling system is

835 matched to that needed to prevent knock, during at least part of pressure range in which both the first and second fueling systems are used.

8. The fuel management system of claim 7 where the increase in the fraction of fuel provided by the first fueling system is matched to that needed to prevent knock throughout the
840 entire first torque range.

9. The fuel management system of claim 1 where when the pressure in the manifold is increased, the fraction of fuel in the cylinder that is provided by the first fueling system is increased and is the minimum needed to prevent knock.

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10. The fuel management system of claim 1 where only second fueling system is used between zero torque and the lowest torque in the first torque range.

11. The fuel management system of claim 1 where the lowest torque in the first torquerange is the lowest torque at which the first fueling system is needed to prevent knock.

12. The fuel management system of claim 1 where the second fueling system uses port fuel injection.

855 13. The fuel management system of claim 1 where the first fueling system is operated so as to minimize wall wetting.

14. The fuel management system of claim 1 where during the first 30 seconds of operation all of the fuel is provided by the second fueling system.

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15. The fuel management system of claim 1 where during engine start up all of the fuel is provided by the second fueling system.

16. The fuel management system of claim 1 where during engine startup a higher fraction865 of fuel is provided by the second fueling system than would ordinarily be used.

17. A fuel management system for a turbocharged spark ignition engine where during part of the drive cycle the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and increases knock suppression by

870 evaporative cooling and from a second fueling system that injects fuel into a region outside of the cylinder;

and where the fuel from the first fueling system is injected so as to provide a non uniform distribution of fuel in the cylinder;

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and where there is a range of torque throughout which both fueling systems are used;

and where the- fraction of fuel in the cylinder that is introduced by the first fueling system increases with increasing manifold pressure so as to prevent knock.

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18. The fuel management system of claim 17 where second fuel system uses\ port fuel injection.

19. A fuel management system for a turbocharged spark ignition engine where the fuel
 management system controls fueling from a first fueling system that directly injects fuel into at
 least one cylinder as a liquid and increases knock suppression by evaporative cooling and from a
 second fueling system that injects fuel into a region outside of the cylinder;

and where there is a range of torque throughout which both fueling systems are used;

FORD Ex. 1135, page 38 IPR2020-00013 Application No.: Filed Herewith Date:

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and where the fraction of fuel in the cylinder that is introduced by the first fueling system increases with increasing manifold pressure so as to prevent knock by providing increased knock resistance;

and where the fuel management system controls the change in the fraction of fuel introduced by the first fueling system using closed loop control that utilizes a sensor that detects knock;

and where the direct injection of fuel by the first fueling system is carried out so as to 900 minimize wall wetting.

20. The fuel management system of claim 19 where the timing of the direct injection of fuel by the first fueling system is carried out so as to minimize wall wetting.

905 21. The fuel management system of claim 19 where the fuel is directly injected so that it encounters higher temperature gas when it is injected.

22. The fuel management system of claim 19 where the directly injected fuel is introduced in the cylinder after the inlet valve has closed.

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23. The fuel management system of claim 19 where open loop control is also used.

24. The fuel management system of claim 23 where open loop control is used during transients in load.

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25. A fuel management system for a turbocharged spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and increases knock suppression by evaporative cooling and where fueling is also provided by a second fueling system fueling system that uses port fuel injection

Application No.: Filed Herewith Date:

Docket No.: 11381.122997

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and where there is a first torque range where fueling from the first fueling system is used throughout this range;

and where fueling from the second fueling system alone is used when

925 the torque is decreased below the lower end of the first torque range.

26. The fuel management system of claim 25 where the second fueling system is also used throughout the first torque range.

930 27. The fuel management system of claim 25 where fueling from the second fueling system is used between zero torque and the lowest torque of the first torque range.

28. The fuel management system of claim 25 or 27 where the lowest torque in the first torque range is the lowest torque at which fueling from the first fueling is needed to prevent knock.

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29. The fuel management system of claim 27 where when the highest knock resistance is required both the first and second fueling systems are used.

30. The fuel management system of claim 25 where for the first 30 seconds of engineoperation more fueling is provided by the second fueling system that would ordinarily be the case.

31. The fuel management system of claim 25 where all of the fueling is provided by the second fueling system during the first 30 seconds of operation.

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Application No.: Filed Herewith Date:

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Abstract of the Disclosure

Fuel management system for enhanced operation of a spark ignition gasoline engine. Injectors inject an anti-knock agent such as ethanol directly into a cylinder. It is preferred that the direct injection occur after the inlet valve is closed. It is also preferred that stoichiometric

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operation with a three way catalyst be used to minimize emissions. In addition, it is also preferred that the anti-knock agents have a heat of vaporization per unit of combustion energy that is at least three times that of gasoline.

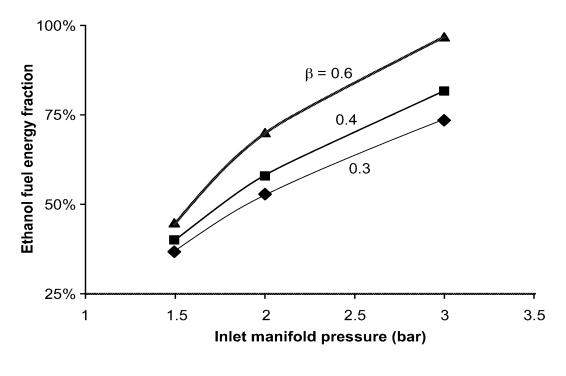
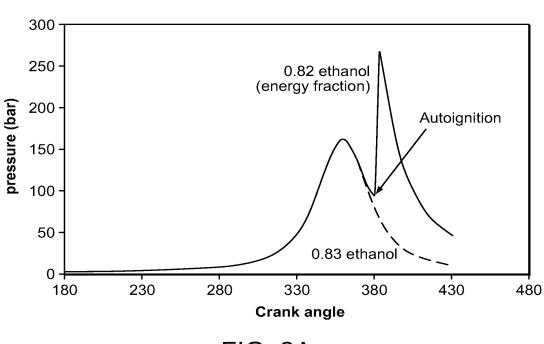


FIG. 1



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FIG. 2A

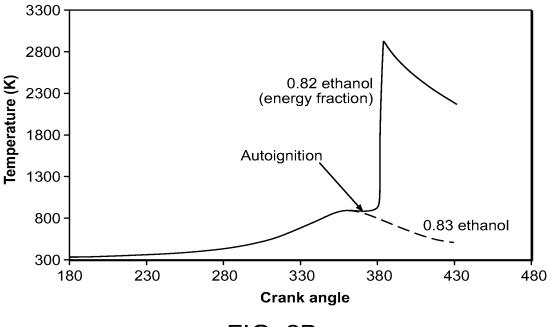


FIG. 2B

FORD Ex. 1135, page 43 IPR2020-00013

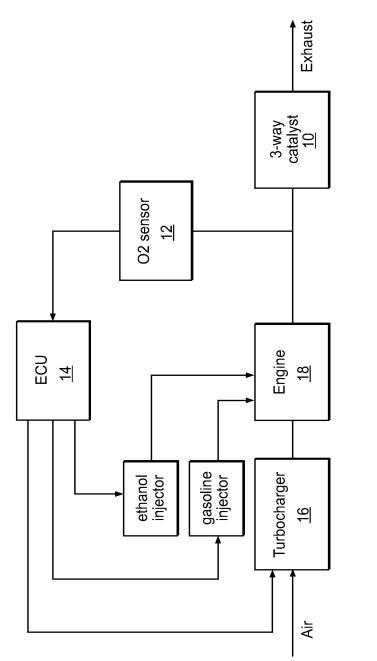
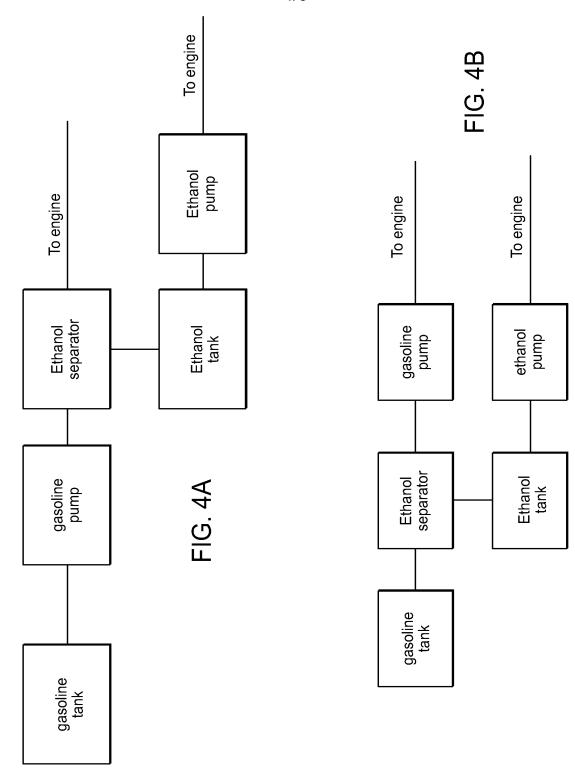


FIG. 3

FORD Ex. 1135, page 44 IPR2020-00013



FORD Ex. 1135, page 45 IPR2020-00013

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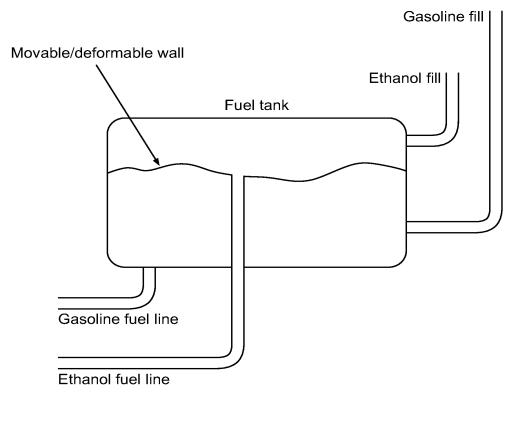


FIG. 5

Electronic Patent Application Fee Transmittal									
Application Number:									
Filing Date:									
Title of Invention:		OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES							
First Named Inventor/Applicant Name:	Le	Leslie Bromberg							
Filer:	Sai	Sam Pasternack/Abram Barrett							
Attorney Docket Number:	11	381.122997							
Filed as Large Entity									
Filing Fees for Utility under 35 USC 111(a)									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:		I	1		I				
UTILITY APPLICATION FILING		1011	1	280	280				
UTILITY SEARCH FEE		1111	1	600	600				
UTILITY EXAMINATION FEE		1311	1	720	720				
Pages:									
Claims:									
CLAIMS IN EXCESS OF 20		1202	11	80	880				
INDEPENDENT CLAIMS IN EXCESS OF 3		1201	1	420	420				
MULTIPLE DEPENDENT CLAIMS		1203	1	780	780				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD:	(\$)	3680

Electronic Acknowledgement Receipt						
EFS ID:	28674648					
Application Number:	15463100					
International Application Number:						
Confirmation Number:	1002					
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES					
First Named Inventor/Applicant Name:	Leslie Bromberg					
Customer Number:	91197					
Filer:	Sam Pasternack/Abram Barrett					
Filer Authorized By:	Sam Pasternack					
Attorney Docket Number:	11381.122997					
Receipt Date:	20-MAR-2017					
Filing Date:						
Time Stamp:	12:30:36					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes				
Payment Type	DA				
Payment was successfully received in RAM	\$3680				
RAM confirmation Number	032017INTEFSW00011483192553				
Deposit Account	192553				
Authorized User	Abram Barrett				
The Director of the USPTO is hereby authorized to charg	e indicated fees and credit any overpayment as follows:				
37 CFR 1.16 (National application filing, search, and examination fees)					
37 CFR 1.17 (Patent application and reexamination pro	ocessing fees)				

37 CFR 1.19 (Document supply fees)

37 CFR 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
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1	Application Data Sheet	11381122997ADS.pdf	e796bd14df7671ce549a800f810f610e1db3 10df	no	7	
Warnings:			<u> </u>			
Information:						
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		Total Files Size (in bytes)	170	63304		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 03/27/2017

ASAHLE	SALE	#00000	001	Mailroom Dt:	03/20/2017	192553	15463100
		01	FC :	: 1051	140.00 E	DA	
		02	FC :	: 1202	160.00 E	DA	

FORD Ex. 1135, page 52 IPR2020-00013

Docket No.: 11381.122997

Application No.: 15/463,100 Date: 03-20-2017

ATTORNEY DOCKET NO.: 11381.122997 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leslie Bromberg

Application No.: 15/463,100

Examiner: Not Yet Assigned Art Unit: 3747

Filing Date: 03-20-2017

Confirmation No.: 1002

Title: OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

Petition to Make Special Under C.F.R. 1.102(C) (1)

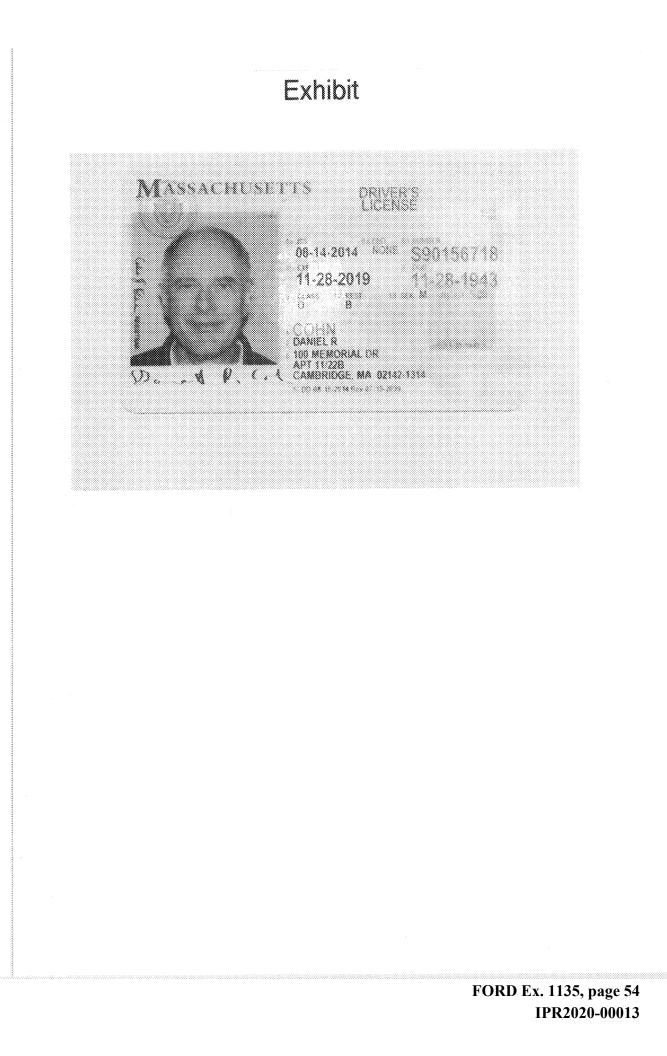
Via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

It is requested that the examination of the above identified patent application be advanced based on the age of the applicant.

As shown in the attached Exhibit, Daniel R. Cohn was born on November 28, 1943 and is therefore over 65 years of age.

/ Respectfully Submitted, m / tenh

Sam (Bo) Pasternack Registration Number: 29576 Massachusetts Institute of Technology One Cambridge Center Room NE18-501 Cambridge, MA 02142 617.258.7171



Electronic Acknowledgement Receipt						
EFS ID:	28708251					
Application Number:	15463100					
International Application Number:						
Confirmation Number:	1002					
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES					
First Named Inventor/Applicant Name:	Leslie Bromberg					
Customer Number:	91197					
Filer:	Sam Pasternack/Abram Barrett					
Filer Authorized By:	Sam Pasternack					
Attorney Docket Number:	11381.122997					
Receipt Date:	22-MAR-2017					
Filing Date:						
Time Stamp:	16:18:32					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted wit	th Payment		no						
File Listing:									
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
				381139					
1	Petition to make special based on Age/ Health	11381122997PetitionSpecial. pdf		19b61e3d0f4549178068fe2463af8111c680 cd8a	no	2			
Warnings:				ł /					

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	Total Files Size (in bytes):	381139

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New Applications Under 35 U.S.C. 111

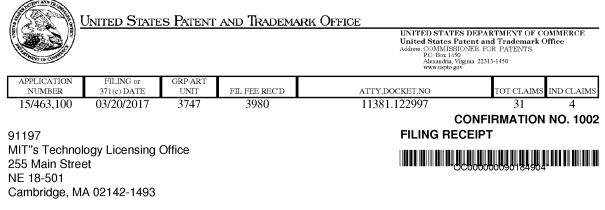
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



Date Mailed: 03/29/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

In	ven	tor	(s)
	* • • •		(9)

Leslie Bromberg, Sharon, MA;	
Daniel R. Cohn, Cambridge, MA;	
John B. Heywood, Newtonville, MA;	

Applicant(s)

Massachusetts Institute of Technology, Cambridge, MA

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 14/807,125 07/23/2015 and is a CON of 14/220,529 03/20/2014 ABN and is a CON of 13/546,220 07/11/2012 ABN and is a CON of 11/758,157 06/05/2007 ABN and is a CON of 11/100,026 04/06/2005 PAT 7225787 and is a CON of 10/991,774 11/18/2004 PAT 7314033

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 03/27/2017

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/463,100**

Projected Publication Date: 07/06/2017

Non-Publication Request: No

Early Publication Request: No

Title

OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

Preliminary Class

123

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop

page 3 of 4

technology, manufacture products, deliver services, and grow your business, visit <u>http://www.SelectUSA.gov</u> or call +1-202-482-6800.

page 4 of 4

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		Substitute (For use w	e for Form rith Form P	PTO-1360 TO/SB/06)			Applicant(s)		Bromberg					
							* May be used for additional claims or amendments							
CLAIMS	AS F	ILED	AFTEF AMEN	R FIRST DMENT	AFTER AMEN	SECOND DMENT			*		*		*	
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UNITED SE	ates Patent and Tradema	UNITED STA United State Address: COMMI PO. Box	ia, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/463,100	03/20/2017	Leslie Bromberg	11381.122997
			CONFIRMATION NO. 1002
91197		INFORMA	
MIT"s Technology Licensi 255 Main Street NE 18-501	ing Office		CC000000090184905*
Cambridge, MA 02142-14	-93		

Date Mailed: 03/29/2017

INFORMATIONAL NOTICE TO APPLICANT

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

• A properly executed inventor's oath or declaration has not been received for the following inventor(s):

Leslie Bromberg Daniel R. Cohn John B. Heywood

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/amanalac/

page 1 of 1

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United Sta	ates Patent and Tradema	UNITED STA United State: Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/463,100	03/20/2017	Leslie Bromberg	11381.122997
			CONFIRMATION NO. 1002
91197		IMPROPE	R CFR REQUEST
MIT"s Technology Licensir	ng Office		
255 Main Street			OC000000090184954*
NE 18-501		-	0000000000184954
Cambridge, MA 02142-149	93		

Date Mailed: 03/29/2017

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Continuity, Priority Claims, Petitions, and Non-Publication Requests

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

 One or more of the benefit claims under 35 U.S.C. § 120 cannot be included on the Filing Receipt since applicant did not specify whether the application is a continuation, divisional or continuation-in-part of the prior application. Applicant must submit a new application data sheet (ADS) that sets forth the relationship, and the ADS must be accompanied by a petition under 37 CFR 1.78 if filed after the time period set forth in 37 CFR 1.78.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/dmnguyen/

page 1 of 1

ATTORNEY DOCKET NO.: 11381.122997 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Massachusetts Institute of Technology

Serial No.: 15/463,100 Filing Date: 03-20-2017 Examiner: Not Yet Assigned Art Unit: 3747 Confirmation No.: 1002

OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL Title: ENHANCEMENT OF GASOLINE ENGINES

REQUEST FOR CORRECTED FILING RECEIPT

Via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant contains an error in the Benefit Claims.

Applicant hereby submits a Supplementary Application Data Sheet that indicates the Benefit Claims relationship.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 192553 under Docket No. 11381.122997 from which the undersigned is authorized to draw

Respectfully Submitted,

An Pastick

FORD Ex. 1135, page 65 IPR2020-00013 Application No.: 15/463,100 Date: 03-20-2017 Docket No.: 11381.122997

Sam (Bo) Pasternack Registration Number: 29576 Massachusetts Institute of Technology One Cambridge Center Room NE18-501 Cambridge, MA 02142 617.258.7171

PTC/ALA/14 (12-13) Approved for use through 01/31/2014, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1985, no persons are required to respond to a collection of information unless it contains a valid OMS control number.

Anniian Pa	to Chast 37 ACD 4 70	Attorney Docket Number	11381.122997						
Application Data Sheet 37 CFR 1.76		Application Number							
Title of Invention									
bibliographic data arrar	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.								

This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) \square

Inventor Information:

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Legal ?	Vame	5								
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	Lesi	ie					Brombe	rg		-
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Inventi	or	2						F	emove	
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Prefix	Giv	en Name		Middle Nam	Middle Name			Family Name		
	Dan		***************************************	R.		Cohn				
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PTO/AIA/14 (12-18) Approved for use through 01/31/2014, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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City Newton	nville		State/	Province	MA	Count	ry of Res	sidencé	US		
Mailing Addres	ss of Invent	or:									
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Address 2											
	Newtonville					itate/Pro		MA		******	
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Only complete this application papers provided in the ap For the purposes o reference to the pr	including a sp propriate secti f a filing date :	ecification and on(s) below (i.e under 37 CFR 1.	l any draw 1., "Domes .53(b), the	ings are bein tic Benefit/Na description a	g filed. A ational St ind any d	ny domesti age inform rawings of	c benefit c stion" and the presen	ir foreign pr "Foreign Pri t applicatio	iority infor iority infon	mation mus mation").	
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subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

PTO/AIA/14 (12-13) Approved for use through 01/31/2014. OM8 0851-0032

U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number Application Number	11381.122997
Title of Invention	Optimized Fuel Management	System for Direct Injection Etha	nol Enhancement of Gasoline Engines

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

{			
Please Select One:	Customer Number	O US Patent Practitioner	<ul> <li>Limited Recognition (37 CFR 11.9)</li> </ul>
Customer Number	91197		

# **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Application	on Status	Pending				Re	nove	
Application N	umber	Con	tinuity Type	Prior Application Number Fil		Filing Da	Filing Date (YYYY-MM-DD)	
		Continuation	of	14/807125		2015-07-23		
Prior Application	Prior Application Status					Re	nove	
Application Number		Continuity Type		Prior Application Number		Filing Da	te (YYYY-MM-DD	
		Continuation	of	14/220529		2014-03-20		
Prior Application	on Status	Abandoned		·····		Rei	nove	
Application N	umber	Continuity Type		Prior Application Number Filing D		Filing Da	ste (YYYY-MM-DD)	
		Continuation	of	13/546220		2012-07-11		
Prior Applicatio	on Status	Patented			iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	Re	nove	
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	Issue Date (YYYY-MM-OD)	
	Continuat	ion of	12/701034	2010-02-05	84	58983	2013-06-25	
Prior Applicatio	on Status	Abandoned				Re	nove	
Application N	umber	Con	tinuity Type	Prior Application Nun	nber	Filing Da	te (YYYY-MM-DD	
		Continuation	of	11/758157		2007-06-05	******* **	
Prior Applicatio	on Status	Patented				Ren	nove	
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	Issue Data (YYYY-MM-DD)	
	Continuat	ion of	11/100026	2005-04-06	722	25787	2007-08-05	
Prior Applicatic	n Status	Patented	1			Ren	ICVE	

PTO/AIA/14 (12-13)

Approved for use through 01/31/2014, OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Passerwork Reduction Act of 1995, no services are required to reasoned to a collection of information unless & contains a weld OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Dor	sket Number	11381.122997	
мррисацоп і	Jaua Jineel J/ Urr I.	Application 1	Number		
Title of Inventior	Optimized Fuel Manager	nent System for Dire	ct Injection Ethanc	l Enhancement of Gasoli	ne Engines
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-D	( )~ ()~ () () () () () () () () () () () () ()	Issue Date (YYYY-MM-DD)
	Continuation of	10/991774	2004-11-18	7314033	2008-01-01

# Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, epplicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove				
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)				
Additional Foreign Priority Data may be generated within this form by selecting the							
Add button.							

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

# Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Perticipating Offices

PTO/AIA/14 (12-13)

Approved for use through 01/31/2014, OMB 0661-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Peperwork Reduction Act of 1985, no persons are required to respond to a collection of information unises it contains a valid OMS control number.

	Application Data Sheet 37 CFR 1.76		Attorney Docket Number	11381.122997	
-			Application Number		
	Title of Invention	Optimized Fuel Management	System for Direct Injection Etha	nol Enhancement of Gasoline Engines	

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WPO), and any other intellectual property offices in which a foreign application claiming priority to the Instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date o filling this Authorization.

# **Applicant Information:**

Providing assignment infon to have an assignment rec			bstitute for compliance with any	requirement of part 3 of Title 37 of CFR
Applicant 1				
The information to be provid 1.43; or the name and addre who otherwise shows suffici- applicant under 37 CFR 1.46	ed in this sec as of the assi ent proprietar 3 (assignee, p	tion is the name and gnee, person to who y interest in the math erson to whom the it	address of the legal representu im the inventor is under an oblic er who is the applicant under 3 nventor is obligated to assign, c	), this section should not be completed alive who is the applicant under 37 CFR gation to assign the invention, or person 7 CFR 1.46. If the applicant is an or person who otherwise shows sufficient ors who are also the applicant should be
🔿 Assignee		Legel Represent	tative under 35 U.S.C. 117	<ul> <li>Joint Inventor</li> </ul>
Person to whom the invertexe	ntor is obligate	id to assign.	O Person who sh	ows sufficient proprietary interest
If applicant is the legal rep	presentative	indicate the autho	ority to file the patent applica	tion, the inventor is:
Name of the Deceased o	r Legally Inc	apacitated Invento	r :	
If the Applicant is an Org	anization ch	ieck here.		· · · · · · · · · · · · · · · · · · ·
Organization Name	Massachuset	ts Institute of Techno	siogy	
Mailing Address Inform	nation For A	pplicant:		
Address 1 77 Massachusetts Avenue				
Address 2				
City	Cambridg	je	State/Province	MA
Country US	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		Postal Code	02139
Phone Number			Fax Number	

970AAA34 (12-13) Approved for use through 01/01/0014 - OMB 0861-0082

U.S. Paters and Tradamark Office; U.S. DEPARTMENT OF COMMERCE

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	11381.122997			
		Application Number				
Tille of Invention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines						
Email Address						
Additional Applicant Data may be generated within this form by selecting the Add button.						

# Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### Assignee 1

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

1 (1)	e Assia	108 01	Non-Ao	plicant	Assio	neel	s an C	Inganization	check here.

Prefix	Given Name	Middle Name	Suffix

Mailing Address Information For Assignee including Non-Applicant Assignee:

Address 1	
Address 2	
City	State/Province
Country	Postal Code
Phone Number	Fax Number
Email Address	
Additional Assignee or Non-Applicant Assignee Data may be selecting the Add button.	generated within this form by

#### Signature:

NOTE: This certifications	form must be signed	I in accordance	with 37 CFR 1.33. See 37	CFR 1.4 for signature re-	quirements and
Signature	Den	ade.	he-	Oste (YYYY-MM-OO)	2017-03-20
First Name	Søm	Last Nome	Pastemack	Registration Number	20576

Additional Signature may be generated within this form by selecting the Add bullon.

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#### PTCMAA14 (12-18) Approved for use through 01/31/2014. OMB 0831-0002 U.S. Petert and Trademark Office; U.S. DEPARTMENT OF COMMENCE

Under the Physienceric Reduction Act of 1988, no parapert are required to reaspond to a collection of information critices it contains a wald CMBI control runtion.

Anniiratian M	ta Sheet 37 CFR 1.76	Attomey Docket Number	11381.122997		
white we we	1988 WIINTER WI WI IN 1.1W	Application Number			
Title of Invention	vention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines				

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including pathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FORD Ex. 1135, page 73 IPR2020-00013

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	29038236				
Application Number:	15463100				
International Application Number:					
Confirmation Number:	1002				
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES				
First Named Inventor/Applicant Name:	Leslie Bromberg				
Customer Number:	91197				
Filer:	Sam Pasternack/Abram Barrett				
Filer Authorized By:	Sam Pasternack				
Attorney Docket Number:	11381.122997				
Receipt Date:	26-APR-2017				
Filing Date:	20-MAR-2017				
Time Stamp:	16:37:36				

# Payment information:

Submitted with Payment			no				
File Listing	<b>j</b> :						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
				373655			
1	Request for Corrected Filing Receipt		11381122997RCFR.pdf	97f97c2c2a85c5d77d53901d1a222749b3e 36f00	no	2	
Warnings:				+	1		

Information:						
2	Application Data Sheet 11381122997SupADS.pdf		1492156 4864bc0e818eab16fd7aaa4247cf18dc5796 9a27	no	7	
Warnings:						
Information:						
This is not an U	SPTO supplied ADS fillable form					
		Total Files Size (in bytes)	18	65811		
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) at Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Internat</u> If a new inter an internatic and of the In	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international application includes the necessary components for an international Application SU.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of </td					

UNITED ST	ates Patent and Tradema	UNITED STA United State Address: COMMI P.O. Box	ia, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/463,100	03/20/2017	Leslie Bromberg	11381.122997
			<b>CONFIRMATION NO. 1002</b>
91197		IMPROPE	R CFR REQUEST
MIT"s Technology Licensi	ing Office		
255 Main Street	-		OC00000090985061*
NE 18-501		*	°OC00000090985061*
Cambridge, MA 02142-14	-93		

Date Mailed: 04/28/2017

# **RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

### Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

• The ADS submitted on _04/26/2017_ was not properly marked up to show the desired changes. For information being changed relative to the information already of record, additions must be shown with underlining, and deletions must be shown with strike-through or brackets. See 37 CFR 1.76(c)(2)

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mmasfaw/

page 1 of 1

# ATTORNEY DOCKET NO.: 11381.122997 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Massachusetts Institute of Technology

Serial No.: 15/463,100 Filing Date: 03-20-2017 Examiner: Not Yet Assigned Art Unit: 3747 Confirmation No.: 1002

OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL Title: ENHANCEMENT OF GASOLINE ENGINES

# **REQUEST FOR CORRECTED FILING RECEIPT**

Via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant contains an error in the Benefit Claims.

Applicant hereby submits a Supplementary Application Data Sheet that indicates the Benefit Claims relationship.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 192553 under Docket No. 11381.122997 from which the undersigned is authorized to draw

Respectfully Submitted,

An Pastick

FORD Ex. 1135, page 77 IPR2020-00013 Application No.: 15/463,100 Date: 03-20-2017 Docket No.: 11381.122997

Sam (Bo) Pasternack Registration Number: 29576 Massachusetts Institute of Technology One Cambridge Center Room NE18-501 Cambridge, MA 02142 617.258.7171

PTO/AIA/14 (12-13)

Approved for use through 01/31/2014. OMB 0651-0032

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Unter the Papenwork Reduction Ad of 1995, no persons are required to respond to a rollection of information unless it contains a valid OMB control number.

Annlingtion D	ita Sheet 37 CFR 1.76	Attomey Docket Number	11381.122997		
who we	na Jheel Jf Vril 1.79	Application Number			
Title of Invention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines					
The application data shear of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.78. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.					

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

# Inventor Information:

Invent	or 1							ensiye	
Legal	Name								
Prefix Given Name			Middle Nam	e		Family Name			Suffi
	Leslie				••••••••	Brombe	rg		
Resid	ence Informatio	n (Select One)	() US Residency	01	Von US Re	sidency	🔿 Activ	e US Military Service	2
City	Sharon		State/Province	MA	Count	ry of Res	idence	US	
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Addres	ss 2								
City	Sharon			\$	State/Prov	vince	MA		
Postal	Code	02067-1562		Count	bryi	US			
Invent	or 2							8/18/48	
Legal ?									
Prefix	Given Name		Middle Nam	6	~~~~~~	Family	Name		Suffi
	Daniel		R.			Cahn			
Resid	ence Information	n (Select One)	( US Residency	0 1	Von US Re	, sidency	🔿 Activ	e US Military Service	;
	Cambridge		State/Province	MA		y of Res		US	
Aailing	Address of Inve	ntor:							
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Addres	58 Z								
City	Cambridge			ę	State/Prov	vince	MA		
Postal	Code	02142		Count	ryi	US			
Invent	or 3							8710240	
Legal /									
Prefix	Given Name		Middle Nam	8		Family	Name		Suffi
	John		8.			Heywoo	•••••		
Resid	ence Information	(Select One)	(a) US Residency	10	ion US Re			e US Military Service	

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U.S. Patent and Trademark Office; U.S. DEPAPTMENT OF COMMERCE

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Application Data Sheet 37 CFR 1.76			Attorney Docket Number		11381.1	22997	
Mannam	)))) Wata (3)		Application N	umber			
Title of Inver	ntion Optin	ized Fuel Management	System for Direc	t Injection Etha	nol Enhar	icement o	f Gasoline Engines
City New	tonville	State/	Province M	A Countr	y of Res	idence	US
Mailing Addr		tor:					
Address 1		218 Mill St					
Address 2							
City	Newtonville			State/Prov	/ince	MA	
Postal Code	}	02460-2444	Cc	wntry i	US		
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# **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).					
📋 An Address is being	An Address is being provided for the correspondence Information of this application.				
Customer Number	Customer Number 91197				
Email Address	Add Email Remove Email				

# **Application Information:**

Title of the Invention	Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines				
Attorney Docket Number	11381.122997 Small Entity Status Claimed				
Application Type	Nonprovisional				
Subject Matter	Utility				
Total Number of Drawing	Sheets (if any)	Suggested Figure for Publication (if any)			
200 * 5 × 200, 204 5*					

# Filing By Reference :

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

# **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

EFS Web 2.2.11

PTC/AIA/14 (12-13)

Approved for use through 01/81/2014, CM8 0651-0032 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Papement Reduction Act of 1995, no persons any required to respond to a collection of information unless it contains a valid OMB control number.

Annlingtian De	ta Sheet 37 CFR 1.76	Attorney Docket Number	11381.122997	
mppinauvii ve	1998 WING OF WINN IN IN IN I	Application Number		
Title of Invention	Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Gasoline Engines			

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

	· · · · · · · · · · · · · · · · · · ·		
Please Select One:	Customer Number	OUS Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	81197		

# Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Applicati	Prior Application Status Pending			Renave			
Application N	lumber	Cont	inuity Type	Prior Application Number Filing Date (YYYY-MM-C			
		Continuation (	*	14/807125 2015-07-23			
Prior Application Status Peni		Pending					nove
Application N	lumber	Cont	inuity Type	Prior Application Nur	nber	iber Filing Date (YYYY-MM-I	
	Cont		x	14/220529		2014-03-20	
Prior Application Status Abandoned							
Application N	Application Number Con		inuity Type	Prior Application Number		Filing Date (YYYY-MM-DC	
		Continuation of	ń	13/548220		2012-07-11	
Prior Applicati	Prior Application Status Patented					Re	10098
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pa	tent Number	Issue Date (YYYY-MM-OD)
	Continuar	tion of	12/701034	2010-02-05	84	68983	2013-06-25
Prior Applicati	on Status	Abandoned		Remove			
Application N	lumber	Cont	inuity Type	Prior Application Nur	nber	Filing Da	te (YYYY-MM-DC
		Continuation c	N	11/758157		2007-08-05	
Prior Applicati	on Status	Patented		Remove		1048	
Application Number	Cont	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pa	tent Number	Issue Date (YYYY-MM-DD)
	Continua	tion of	11/100026	2005-04-06	72	25787	2007-06-05
Prior Applicati	on Status	Patented		Remova			

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PTC/AIA/14 (12-13)

Approved for use through 01/81/2014. OMB 0851-0032

U.3. Patern and Trademark Office, U.6. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OME control number.

Application Data Sheet 37 CFR 1.76		Attomey Docket Number Application Number		31301	.122997		
Title of Inventior	Optimized Fuel Mana	gement (	System for Dire	ct injection Ethani	si Enhe	incement of Gasoli	ne Engines
Application Number	Continuity Type	Pri	or Application Number	Filing Date (YYYY-MM-C		Patent Number	Issue Date (YYYY-MM-OO)
*********	Continuation of	10/99	31774	2004-11-18		7314033	2008-01-01

# Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country ¹	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March [] 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

# Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

EPS Web 2.2.11

PTC/AIA/14 (12-13) Approved for use through 01/31/2014 CMB 0851-0032

U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Peperwork Reduction Act of 1995, no persons are required to respond to a objection of information unless it contains a valid OMB control number.

Annlination Da	ta Shaat 37 CER 1 76	Attorney Docket Number	11381.122997
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	Optimized Fuel Management	System for Direct Injection Etha	nol Enhancement of Gasoline Engines

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

# **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

### Applicant 1

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

1			All has a second s
🔿 Assignee	🔿 Legal Repres	sentative under 35 U.S.C. 117	<ul> <li>Joint Inventor</li> </ul>
Person to whom the in	ventor is obligated to assign.	O Person who	shows sufficient proprietary interest
if applicant is the legal i	representative, indicate the au	ithority to file the patent applic	cation, the inventor is:
Name of the Deceased	or Legally incapacitated inve	ntor :	
If the Applicant is an C	rganization check here.	8	
Organization Name	Massachusetts institute of Tec	hnology	
Mailing Address Info	mation For Applicant:		
Address 1	77 Massachusetts Avenu	8	
Address 2			
City	Cambridge	State/Province	MA
Country US		Postal Code	02139
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Approved for som through \$1593(0)34. CHIII (0093-0002

U.A. Patient and Teachmark Office; U.B. DEPARTMENT OF COMMENCE Under the Patienteric Anticident Act of 1900, no annexes are recented to recorded as tablector of internation critical as anticides existent member.

Application Data Sheet 37 CFR 1.78	Allormey Doctor Number	11381.122897
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Additional Applicant Date may be generated with	nin this form by selecting the /	Auto button.

# Assignee Information including Non-Applicant Assignee Information:

Providing analymment information in this auction does not subsitute for compliance with any requirement of part 3 of 1996 37 of CFR to have an analymment recorded by the Office.

#### Assignee 1

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patient application publication. An assignee-applicant identified in the "Applicant Information" social will appear on the patient application publication as an applicant. For an assignee-applicant, complete this section only if identification as an essignee is also desired on the patient application publication

If the Assignee or N			s an Organization			$\square$
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Anotication Na	ta Sheet 37 CFN 1.76	Attomey Clocket Number	11201.122007	
		Application Number		
Title of Invention Optimized Fuel Management System for Direct Injection Ethanol Enhancement of Geaciline Enginee				

This collection of information is required by 37 CFR 1.78. The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gettering, preparing, and submitting the completed application date share form to the USPTO. Three will vary depending upon the individual case. Any comments on the amount of time you required to complete the reducting the tarties, should be sent to the USPTO. The will vary depending upon the individual case. Any comments on the amount of time you required to complete the form and/or suggestions for reducing the tarties, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerces P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEMD FEES OR COMPLETED FORMAS TO THIS ACORESS. SEND TO: Committee for Patents (P.O. Box 1680, Alexandria, VA 22313-1450).

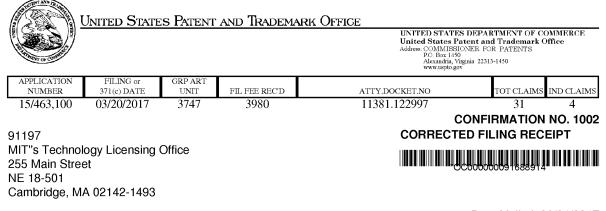
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Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	29316940				
Application Number:	15463100				
International Application Number:					
Confirmation Number:	1002				
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES				
First Named Inventor/Applicant Name:	Leslie Bromberg				
Customer Number:	91197				
Filer:	Sam Pasternack/Abram Barrett				
Filer Authorized By:	Sam Pasternack				
Attorney Docket Number:	11381.122997				
Receipt Date:	25-MAY-2017				
Filing Date:	20-MAR-2017				
Time Stamp:	16:34:29				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment			no				
File Listing	<b>j</b> :						
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				373655			
1	Request for Corrected Filing Receipt		11381122997RCFR.pdf	97f97c2c2a85c5d77d53901d1a222749b3e 36f00	no	2	
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2	Application Data Sheet	11381122997SupADS2.pdf	5414645 (97d95327db61a777d5eea94c1be6dcfc7b 18d57	no	7	
Warnings:			1			
Information:						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international application is being filed and the international application filed with the USPTO as a Receiving Office           If a new international application is being filed and the international application of the International Application Number an of the International filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.						



Date Mailed: 06/01/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

### Inventor(s)

Leslie Bromberg, Sharon, MA;	
Daniel R. Cohn, Cambridge, MA;	
John B. Heywood, Newtonville, MA;	

### Applicant(s)

Massachusetts Institute of Technology, Cambridge, MA

### Power of Attorney: None

### Domestic Priority data as claimed by applicant

This application is a CON of  $14/807,125\ 07/23/2015$ and is a CON of  $14/220,529\ 03/20/2014$  ABN and is a CON of  $13/546,220\ 07/11/2012$  ABN and is a CON of  $12/701,034\ 02/05/2010$  PAT 8468983 and is a CON of  $11/758,157\ 06/05/2007$  ABN and is a CON of  $11/100,026\ 04/06/2005$  PAT 7225787 and is a CON of  $10/991,774\ 11/18/2004$  PAT 7314033

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

### Permission to Access Application via Priority Document Exchange: No

### Permission to Access Search Results: No

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

### If Required, Foreign Filing License Granted: 03/27/2017

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/463,100** 

Projected Publication Date: 07/06/2017

Non-Publication Request: No

Early Publication Request: No

Title

# OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

### Preliminary Class

123

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

# **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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# Title 35, United States Code, Section 184

# Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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page 3 of 4

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page 4 of 4

**Office of Petitions: Routing Sheet** 



Application No. 15463100

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.



			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/463,100	03/20/2017	Leslie Bromberg	11381.122997	1002
	7590 06/15/2017		EXAM	IINER
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Cambridge, MA	A 02142-1493		ART UNIT	PAPER NUMBER
			3747	
			NOTIFICATION DATE	DELIVERY MODE
			06/15/2017	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mitdocket@mit.edu

UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Application of	:
Leslie Bromberg, et al.	:
Application No. 15/463,100	: DECISION ON PETITION
Filed: March 20, 2017	: TO MAKE SPECIAL UNDER
Attorney Docket No. 11381.122997	: 37 CFR 1.102(c)(1)

This is a decision on the petition under 37 CFR 1.102(c)(1), filed March 22, 2017, to make the aboveidentified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

There is no indication that the petition is signed by a registered patent attorney or patent agent of record. However, in accordance with 37 CFR 1.34, the signature of Mr. Sam (Bo) Paternack appearing on the correspondence shall constitute a representation to the United States Patent and Trademark Office that he is authorized to represent the particular party in whose behalf he acts. If, Mr. Sam (Bo) Pasternack desires to receive correspondence regarding this file, the appropriate power of attorney documents must be submitted.

The instant petition includes a statement from a registered practitioner declaring that he is in possession of such evidence that shows the applicant, Daniel R. Cohn, is 65 years of age or older. Accordingly, the above-identified application has been accorded "special" status.

The application is being forwarded to the Technology Center Art Unit 3747 for action on the merits commensurate with this decision.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-1058. All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

/**Angela Walker**/ Angela Walker Paralegal Specialist Office of Petitions

> FORD Ex. 1135, page 94 IPR2020-00013

Office of Petitions: De	Mailing Month	6					
Application No.	15463100	* 1 5 4 6 3 1 0 0	*				
For US serial numbers: enter number only, no slashes or commas. Ex: 10123456 For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345							
Deciding Official:	Walker, Angela						
Count (1) - Palm Credit	15463100						
Decision: GRANT	FINANCE WORK NEEDED  G Select Check Box for YES	* G R A N T *					
Decision Type: 601 - TO MA	KE APPLICATION SPECIAL ON G	ROUND OF * 6 0 1 *					
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Decision: n/a	FINANCE WORK NEEDED						
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PLUS Search Results for S/N 15463100, Searched Wed Jul 05 12:33:37 EDT 2017 The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

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# ATTORNEY DOCKET NO.: 11381.122997 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Massachusetts Institute of Technology Examiner: TRAN, LONG T

Serial No.: 15/463,100

Filing Date: 03-20-2017

Confirmation No.: 1002

Art Unit: 3747

Title: OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

### PRELIMINARY AMENDMENT

Via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# INTRODUCTORY COMMENTS

Prior to examination, please amend the application as follows:

### Listing of Claims

1-31. (Cancelled)

32. (New) A fuel management system for a turbocharged spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection;

and where the engine operates in a first torque range wherein throughout this torque range both fueling systems are used;

and wherein the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range;

and wherein direct injection is needed at the highest torque in the first torque range in order to prevent knock;

and wherein during at least part of the first torque range the fraction of fuel provided by the first fueling system is increased so as to prevent knock;

and where when the torque is decreased below lowest torque in the first torque range the fueling is provided by only the second fueling system;

and where there is a second torque range between zero torque and a higher value of torque where only the second fueling system is employed.

33. (New) The fuel management system of claim 32 where both the first and second fueling system are used at the highest torque that is provided by the engine.

34. (New) The fuel management system of claim 32 where the second torque range extends from zero torque to the lowest torque in the first torque range.

35. (New) The fuel management system of claim 32 where the second torque range extends from zero torque to the lowest torque in the first torque range;

and where the highest torque in the second torque range is the lowest torque at which fueling from the first fueling source is not needed to prevent knock.

36. (New) The fuel management system of claim 32 where the second torque range extends from zero torque to the lowest torque in the first torque range;

and where during at least part of the first torque range the fraction of total fuel introduced by the first fueling system is matched to that needed to prevent knock as manifold pressure is increased.

37. (New) The fuel management system of claim 32 where the second torque range extends from zero torque to the lowest torque in the first torque range and where throughout the first torque range the fraction of fuel introduced into the cylinder by the first fueling system is matched to that needed to prevent knock as the manifold pressure is increased and where the amount of directly injected fuel that is used is minimized.

38. (New) The fuel management system of claim 32 where during at least part of the first torque range the fraction of total fuel introduced by the first fueling system is matched to that needed to prevent knock as manifold pressure is increased and where a knock sensor is used in a control system that increases the fraction of total fuel that is provided by the first fueling system to that needed to prevent knock as the manifold pressure increases.

39. (New) The fuel management system of claim 32 where during at least part of the first torque range the fraction of total fuel introduced by the first fueling system is matched to that needed to prevent knock as manifold pressure is increased and where a knock sensor is used in a control

system that increases the fraction of total fuel that is provided by the first fueling system in such a way that knock is prevented as the manifold pressure increases and where open loop control using an engine map is also used to control the fraction of fuel that is provided by the first fueling system.

40. (New) The fuel management system of claim 32 where the second fueling system is used throughout the entire torque range of engine operation.

41. (New) The fuel management system of claim 32 where in the first torque range the first fueling system is used so as to reduce wall wetting.

42. (New) The fuel management system of claim 32 where fueling with the first fueling system begins after the inlet valve has closed.

43. (New) The fuel management system of claim 32 where during the first 30 seconds of operation the engine is not fueled with ethanol from the first fueling system.

44. (New) A fuel management system for a turbocharged spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection;

and where the engine operates in a first torque range wherein throughout this torque range both fueling systems are used;

and wherein the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range; and wherein direct injection is needed at the highest torque in the first torque range in order to prevent knock;

and wherein during at least part of the first torque range the fraction of fuel provided by the first fueling system is increased in such a way that knock is prevented as the manifold pressure increases;

and where when the torque is decreased below lowest torque in the first torque range the fueling is provided by only the second fueling system;

and where both fueling systems are used at the maximum torque provided by the engine.

45. (New) The fuel management system of claim 44 where only the second fueling system is used between zero torque and the lowest torque in the first torque range and where the second fueling system is used throughout the entire torque range of engine operation.

46. (New) The fuel management system of claim 44 where in engine operation in the first torque range the first fueling system is used so as to reduce wetting of a surface and where the second fueling system is used throughout the entire torque range of engine operation.

47. (New) The fuel management system of claim 44 where the first fueling system is used so as to reduce wall wetting.

48. (New) The fuel management system of claim 44 here the first fueling system is used so as to reduce wetting of a surface and the direct injection of fuel occurs after the inlet valve has closed.

49. (New) A fuel management system for a spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection;

and where the engine operates in a first torque range throughout which both fueling systems are used;

and where the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range;

and where the fraction of total fueling provided by the first fueling system is increased so as to prevent knock that would otherwise occur when the manifold pressure is increased;

and where when the torque is lower than the lowest torque in the first torque range only the second fueling system is used;

and where during at least part of the first torque range the fraction of total fueling provided by the first fueling system is matched to that needed to prevent knock as the manifold pressure is increased;

and where both fueling systems are used at the maximum torque provided by the engine.

50. (New) The fuel management system of claim 49 where combustion stability is greater than it would be in the case of use of direct injection alone.

51. (New) The fuel management system of claim 49 where there is a second range of torque between the zero torque and the lowest value of torque in the first range of torque range of torque and where in the second range of torque only fueling from the second fueling system is used.

52. (New) The fuel management system of claim 49 where the second fueling system is used throughout the entire range of torque of engine operation.

53. (New) The fuel management system of claim 49 where both open loop control using an engine map look up table and closed loop control using s knock sensor are employed to control fueling: and where spark retard is employed to increase the fraction of total fuel provided the second fueling system relative to what it would otherwise be.

54. (New) The fuel management system of claim 49 where the fueling from the first fueling system is such as to minimize wall wetting when the engine is operated in the first torque range.

55. (New) The fuel management system of claim 49 where fueling from the first fueling system is such as to reduce wetting of a surface.

56. (New) The fuel management system of claim 49 where the fuel from the first fueling system is injected after the inlet valve has closed.

57. (New) The fuel management system of claim 49 where fueling from the first fueling system is such as to reduce wetting of a surface and where the fueling from the first fueling system is injected after the inlet valve has closed.

58. (New) The fuel management system of claim 49 where there is a stoichiometric fuel air ratio and the fuel from the first fueling system is injected after the inlet valve has closed.

59. (New) A fuel management system for a turbocharged spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection;

and where the engine operates in a first torque range wherein throughout this torque range both fueling systems are used;

and wherein the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range;

and wherein direct injection is needed at the highest torque in the first torque range in order to prevent knock;

and wherein during at least part of the first torque range the fraction of fuel provided by the first fueling system is increased so as to prevent knock;

and where when the torque is decreased below lowest torque in the first torque range the fueling is provided by only the second fueling system;

and where the first fueling system is used so as to reduce wetting of a surface.

60. (New) The fuel management system of claim 59 where fueling from the first fueling system is introduced into the at least one cylinder after the inlet valve has closed.

61. (New) The fuel management system of claim 59 where the first fueling system is operated during the first torque range so as to reduce wetting of a surface.

62. (New) The fuel management system of claim 59 where fueling from the first fueling system occurs after the inlet valve has closed and the engine is operated with a stoichiometric fuel air ratio.

63. (New) The fuel management system of claim 59 where fueling from the first fueling system is introduced into the at least one cylinder after the inlet valve has closed and the fuel the first fueling system is introduced into a hot gas.

64. (New) The fuel management system of claim 59 where he second fueling system is also used when fuel from the first fueling system is introduced into the at least one cylinder after the inlet valve has been closed.

65. (New) The fuel management system of claim 59 where the second fueling system is used when the fuel from the first fueling system is introduced into the at least one cylinder after the inlet valve has been closed and use of the second fueling system provides combustion stability.

66. (New) A fuel management system for a turbocharged spark ignition engine where the fuel management system controls fueling from a first fueling system that directly injects fuel into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection;

and where the engine operates in a first torque range wherein throughout this torque range both fueling systems are used;

and wherein the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range;

and wherein direct injection is needed at the highest torque in the first torque range in order to prevent knock;

and wherein during at least part of the first torque range the fraction of fuel provided by the first fueling system is increased so as to prevent knock;

and where only the second fueling system is used between zero torque and the lowest torque in the first torque range;

and where spark retard is used to reduce the amount of fueling from the first fueling system that would otherwise be employed.

67. (New) The fuel management system of claim 66 where the second fueling system is used throughout the entire torque range between zero torque and the maximum torque at which the engine is operated and where during the first 30 seconds of engine operation the engine is not fueled with ethanol from the first fueling system.

# <u>Remarks</u>

This preliminary amendment more particularly points out and distinctly claims the invention. No new matter is being introduced as these new claims are fully supported by the specification.

If there is a fee occasioned by this communication, the director is hereby authorized to charge any deficiency or credit any overpayment in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 192553, under Docket No. 11381.122997.

Respectfully Submitted, 1002 Mar.

Sam (Bo) Pasternack Registration Number: 29576 Massachusetts Institute of Technology One Cambridge Center Room NE18-501 Cambridge, MA 02142 617.258.7171

Electronic Acknowledgement Receipt				
EFS ID:	29689870			
Application Number:	15463100			
International Application Number:				
Confirmation Number:	1002			
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES			
First Named Inventor/Applicant Name:	Leslie Bromberg			
Customer Number:	91197			
Filer:	Sam Pasternack/Abram Barrett			
Filer Authorized By:	Sam Pasternack			
Attorney Docket Number:	11381.122997			
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Application Type:	Utility under 35 USC 111(a)			

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Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Preliminary Amendment		11381122997PreAmendment. pdf	4413019	no	10
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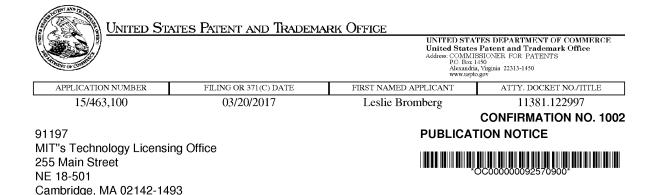
### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



**Title:**OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

Publication No.US-2017-0191430-A1 Publication Date:07/06/2017

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

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page 1 of 1

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

		UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/463,100	03/20/2017	Leslie Bromberg	11381.122997	1002
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			07/11/2017	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mitdocket@mit.edu

	<b>Application No.</b> 15/463,100	Applicant(s) BROMBERG	
Office Action Summary	Examiner LONG T. TRAN	Art Unit 3747	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	bears on the cover sheet with the o	corresponden	
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).		mely filed 1 the mailing date of ED (35 U.S.C. § 133	f this communication.
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A declaration(s)/affidavit(s) under <b>37 CFR 1.</b>			
	action is non-final.	_	
3) An election was made by the applicant in resp	-		ng the interview on
<ul> <li>4) Since this application is in condition for alloward closed in accordance with the practice under <i>B</i></li> </ul>	nce except for formal matters, pro	osecution as t	o the merits is
Disposition of Claims*			
5) Claim(s) <u>1-31</u> is/are pending in the application			
5a) Of the above claim(s) is/are withdraw	wn from consideration.		
6) Claim(s) <u>19-24</u> is/are allowed.			
7) Claim(s) <u>1-18 and 25-31</u> is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction and/o			
* If any claims have been determined <u>allowable</u> , you may be el		_	way program at a
participating intellectual property office for the corresponding a			
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <u>PPHfeedback@uspto.</u>	<u>qov</u> .	
Application Papers			
10) The specification is objected to by the Examine			
11) The drawing(s) filed on <u>20 March 2017</u> is/are:			
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Replacement drawing sheet(s) including the correct	tion is required if the drawing(s) is ob	jected to. See	37 CFR 1.121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a	)-(d) or (f).	
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# **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent

provisions.

2. Claims 1 – 31 remain pending in the application and have been fully considered.

## Claim Rejections - 35 USC § 102

3. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1 – 18 are rejected under pre-AIA 35 U.S.C. 102(b) as being anticipated by Russell (US 2011/0126797).

## Regarding Claim 1:

Russell teaches a fuel management system for a turbocharged spark ignition engine (supercharger 174, engine 10, spark 192) where the fuel management system controls fueling from a first fueling system (172) that directly injects fuel (166) into at least one cylinder (14) as a liquid and increases knock suppression by evaporative cooling (paragraph 0038 discusses the increasing of ethanol based fuel and its charge cooling effect of alcohol) and where fueling is

also provided by a second fueling system (173) that injects fuel (170) into a region outside of the cylinder; and where there is a first torque range wherein both fueling systems are used throughout this range (Fig 4 shows both fuels being used in the middle of the desired torque range); and wherein the fraction of fuel in the cylinder that is introduced by the first fueling system increases with increasing manifold pressure (via 166, as the ethanol injection amount increases, the manifold pressure increases as well); and where fueling from the second fueling system alone is used when the torque is decreased below the lower end of the first torque range (Fig 4 shows only gasoline is used in the low torque range).

## Regarding Claim 2:

Russell teaches the maximum knock suppression during a driving cycle is provided by operation with fueling from both fueling systems (knock is suppressed with an increase in the first fuel while the second fuel is supplied). Regarding Claim 3:

Russell teaches the combustion stability is greater with operation of the first fueling system and the second fueling system than operation with the first fueling system alone (paragraph 0035 discusses varying the fuel injection to optimize engine performance.

## Regarding Claim 4:

Russell teaches variable valve timing is used (paragraph 0022). <u>Regarding Claim 5:</u> Page 3

Russell teaches the fraction of fuel provided by the first fueling system increases with manifold pressure so as to prevent knock (via 166).

#### Regarding Claim 6:

Russell teaches variable valve timing is used so as to reduce the fraction of fuel provided by the first fueling system (the VVT is used as an input for controller 12, which controls the fuel injection amount).

## Regarding Claim 7:

Russell teaches as the manifold pressure is increased, the increase in the fraction of fuel in the cylinder that is provided by the first fueling system is matched to that needed to prevent knock, during at least part of pressure range in which both the first and second fueling systems are used (via controller 12).

## Regarding Claim 8:

Russell teaches the increase in the fraction of fuel provided by the first fueling system is matched to that needed to prevent knock throughout the entire first torque range (via controller 12).

#### Regarding Claim 9:

Russell teaches when the pressure in the manifold is increased, the fraction of fuel in the cylinder that is provided by the first fueling system is increased and is the minimum needed to prevent knock (via controller 12). Regarding Claim 10:

Russell teaches only second fueling system is used between zero torque and the lowest torque in the first torque range (gasoline, Fig 4).

## Regarding Claim 11:

Russell teaches the lowest torque in the first torque range is the lowest torque at which the first fueling system is needed to prevent knock (Fig 4, and via controller 12).

## Regarding Claims 12 and 18:

Russell teaches the second fueling system uses port fuel injection (170).

## Regarding Claim 13:

Russell teaches the first fueling system is operated so as to minimize wall wetting (the speed of the direct injection is increased to produce fine particles).

## Regarding Claim 14:

Russell teaches during the first 30 seconds of operation all of the fuel is provided by the second fueling system (Fig 4).

## Regarding Claim 15:

Russell teaches during engine start up all of the fuel is provided by the second fueling system (gasoline, Fig 4).

#### Regarding Claim 16:

Russell teaches during engine startup a higher fraction of fuel is provided by the second fueling system than would ordinarily be used (fig 4 and via controller 12).

# Regarding Claims 17, 25 - 31:

Russell teaches a fuel management system for a turbocharged spark ignition engine (10) where during part of the drive cycle the fuel management

system controls fueling from a first fueling system (172) that directly injects (166) fuel into at least one cylinder as a liquid and increases knock suppression by evaporative cooling and from a second fueling system (173) that injects fuel (170) into a region outside of the cylinder; and where the fuel from the first fueling system is injected so as to provide a non uniform distribution of fuel in the cylinder (the fuel contains a mixture of gasoline and a form of alcohol); and where there is a range of torque throughout which both fueling systems are used (Fig 4); and where the- fraction of fuel in the cylinder that is introduced by the first fueling system increases with increasing manifold pressure so as to prevent knock (via 166, as the ethanol injection amount increases, the manifold pressure increases as well, and the ethanol reduces knock).

## Allowable Subject Matter

5. Claims 19 – 24 are allowed.

## Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LONG T. TRAN whose telephone number is (571)270-1899. The examiner can normally be reached on M-F, 7:30am - 5:00pm.

FORD Ex. 1135, page 116 IPR2020-00013

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lindsay Low can be reached on 571-272-1196. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/LONG T TRAN/ Examiner, Art Unit 3747

FORD Ex. 1135, page 117 IPR2020-00013

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					Application/ 15/463,100	Control No.		Applicant(s)/Pat Reexamination BROMBERG E	
		Notice of References	s Cited		Examiner Art Unit		Art Unit		
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				U.S. PA		ENTS			
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Name		CPC Classification		US Classification
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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15463100	BROMBERG ET AL.
	Examiner	Art Unit
	LONG T TRAN	3747

CPC- SEARCHED		
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F02D41/0025; F02D19/081; F02D19/084; F02D19/08;	7/5/2017	LT
F02D41/3094; F02D19/0655; F02D19/12; F02D19/0694;		
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SEARCH NOTES		
Search Notes	Date	Examiner
Inventor search	7/5/2017	LT
PLUS search	7/5/2017	LT
Reviewed with John Kwon	7/5/2017	LT
Text search	7/5/2017	LT

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US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

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## DECLARATION --- Utility or Design Patent Application

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inventor's Jack 1 Signature	4 cypood			ц IJ 201 (Optional)
Newtonville	MA State	Country	US	
218 Mill Street Mailing Address				
Newtonville _{City}	MA State	2ip 02	460	US
Legal Name of Additional Joint In	ventor. If anv:			
(E.g., Given Name (first and middle (if any))				
Inventor's Signature			Date	(Optional)
Residence: City	State	Country		
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Mailing Address		······		
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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.

Electronic Acknowledgement Receipt				
EFS ID:	29771593			
Application Number:	15463100			
International Application Number:				
Confirmation Number:	1002			
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES			
First Named Inventor/Applicant Name:	Leslie Bromberg			
Customer Number:	91197			
Filer:	Sam Pasternack/Abram Barrett			
Filer Authorized By:	Sam Pasternack			
Attorney Docket Number:	11381.122997			
Receipt Date:	13-JUL-2017			
Filing Date:	20-MAR-2017			
Time Stamp:	12:14:37			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment			no			
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				2992507	no	4
1	Oath or Declaration filed		11381122997DEC.pdf	f17500d147ec78196ed12aa411561a86e49 99945		
Warnings:				4		

Information:		
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Doc Code: PA.. Document Description: Power of Attorney

PTC/AIA/82A (07-13) Approved for use through 01/31/2016. OMB 0651-0036 U.B. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/828) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

Application Numb	ber	15/463,100				
Filing Date		03-20-2017				
First Named Inve	ntor	Leslie Bromberg				
Title		OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES				
Art Unit		3747				
Examiner Name		HUYNH, HAI H				
Attorney Docket I	Number	11381.122997				
SIGNAT	JRE of/A	oplicant or Patent Practitioner				
Signature	Den	n Bote-k	Date (Optional)			
Name	a sea	stemack	Registration Number	29576		
Title (if Applicant is a juristic entity)						
Applicant Name (if Applicant is a juristic entity) NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certification						
more than one application	ant, use mult		or adhianna radhii	termente d'ils carunseaucités. Il		
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This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Doc Code: PA.. Document Description: Power of Attorney

PTC/AIA/628 (97-13)
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POWER OF ATTORNEY BY APPLICANT								
I hereby revoke all previous powers of attorney given in the application identified in <u>either</u> the attached transmittal letter or the boxes below.								
Application Number	Filing Date							
15/463,100	03-20-2017							
(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.) I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:								
	91197 ist (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact : Office connected therewith for the patent application referenced in the fied above. (Note: Complete form PTO/AIA/82C.)							
Please recognize or change the correspondence add letter or the boxes above to:	ress for the application identified in the attached transmittal							
The address associated with the above-mentioned Cus	tomer Number							
The address associated with Customer Number: OR								
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Address								
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I am the Applicant (if the Applicant is a juristic entity, list the Appl								
Inventor or Joint Inventor (title not required below)								
Legal Representative of a Deceased or Legally Incapac	itated Inventor (title not required below)							
Assignee or Person to Whom the Inventor is Under an (Obligation to Assign (provide signer's title if applicant is a juristic entity)							
application or is concurrently being filed with this docum	erest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the tent) (provide signer's title if applicant is a juristic entity)							
	of Applicant for Patent							
	act on behalf of the applicant (e.g., where the applicant is a juristic entity)							
Signature Augustan Name Theresa Latham	- Date (Optional) / July 2.6, 20/2							
	gy; Manager of Patent Administration							
	accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements							
Total of 2 forms are submitted.								
USPTO to process) an application. Confidentially is governed by 35 U.B.C. 122 as inducing gathering, preparing, and submitting the completed application form to the of time you require to complete this form and/or suggestions for reducing this burde Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT 3 for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Intractor is required to obtain or retent a benefit by the public which is to file (and by the rid STOFR 1.11 and 1.14. This collection is estimated to take 3 inhuses to samples, a USPTO. Time will vary depending upon the individual case. Any comments on the amount, in, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. END FEES OR COMPLETED FORMS TO THIS ADDRESS. 3END TO: Commissioner form, call 1-800-PTO-9199 and select option 2.							

Electronic Acknowledgement Receipt			
EFS ID:	29904647		
Application Number:	15463100		
International Application Number:			
Confirmation Number:	1002		
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES		
First Named Inventor/Applicant Name:	Leslie Bromberg		
Customer Number:	91197		
Filer:	Sam Pasternack/Abram Barrett		
Filer Authorized By:	Sam Pasternack		
Attorney Docket Number:	11381.122997		
Receipt Date:	27-JUL-2017		
Filing Date:	20-MAR-2017		
Time Stamp:	10:58:47		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment		no				
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				1774467	no	2
1	Power of Attorney		11381122997POA.pdf	aff37bac5a2f7b61e3656ecfa5d94e347e5af 92e		
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	Total Files Size (in bytes):	1774467

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STA	tes Patent and Tradem	UNITED STA United States Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/463,100	03/20/2017	Leslie Bromberg	11381.122997
91197		POA ACC	CONFIRMATION NO. 1002 EPTANCE LETTER
MIT''s Technology Licensin 255 Main Street NE 18-501 Cambridge, MA 02142-149	-		CC000000093147410*
			Date Mailed: 08/02/2017

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/27/2017.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

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page 1 of 1

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

	Application Number		15463100	
	Filling Date		2017-03-20	
INFORMATION DISCLOSURE	First Named Inventor Leslie		lie Bromberg	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3747	
(Examiner Name	TRAN	I, LONG T	
	Attomey Docket Numb	er	11381.122997	

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	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	2741230		1958-04-10	Reynolds et al.			
	2	3106194		1963-10-08	Cantwell et al.			
	3	3557763		1971-01-26	Probst, Stephen C.			
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EFS Web 2.1.17

PTC/SB/08s (01-10) Approved for use through 07/31/2012, OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		15463100		
Filing Date		2017-03-20		
First Named Inventor	Leslie	Bromberg		
Art Unit		3747		
Examiner Name	TRAN	, LONG T		
Altomey Docket Numb	er	11381.122997		

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Application Number	15463100
Filing Date	2017-03-20
First Named Inventor	Leslie Bromberg
Art Unit	3747
Examiner Name	TRAN, LONG T
Attomey Docket Num	er 11381.122997

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Application Number		15463100
Filing Date		2017-03-20
First Named Inventor	Leslie	Bromberg
Art Unit		3747
Examiner Name	TRAP	I, LONG T
Attomey Docket Number		11381.122997
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Application Number		15463100		
Filing Date		2017-03-20		
First Named Inventor	Lesli	e Bromberg		
Art Unit		3747		
Examiner Name	TRAJ	N, LONG T		
Attomey Docket Numt	xer	11381.122997		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	15463100
Filing Date	2017-03-20
First Named Inventor	Leslie Bromberg
Art Unit	3747
Examiner Name	TRAN, LONG T
Attomey Docket Numl	xer 11381.122997

(Not for submission under 37 CFR 1.99)

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Examiner nitial*	Cite No	Publication Number	Publication	Name of Patentee or Applicant	Pages,Columns,Lines where Relevant Passages or Relevan Figures Appear

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		15463100	and a start of the
Filing Date		2017-03-20	
First Named Inventor	Les	ie Bromberg	
Art Unit		3747	
Examiner Name TRAN		N, LONG T	
Attomey Docket Numl)er	11381.122997	

(Not for submission under 37 CFR 1.99)

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	6	20070125321		2007-04	3-07	Ritter, Gregor	y ž		
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Examiner Initial*	Cite No	Foreign Document Number®	Countr Code²i	y	SN PA1	Sec. 12. Application of the second s	IENTS Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
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NON-PATENT LITERATURE DOCUMENTS

Application Number		15463100		
Filing Date		2017-03-20		
First Named Inventor Leslie		Bromberg		
Art Unit		3747		
Examiner Name TRAN		I, LONG T		
Attomey Docket Numt	xer	11381.122997		

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	75
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Application Number		15463100			
Filing Date		2017-03-20			
First Named Inventor	Leslie	Bromberg			
Art Unit		3747			
Examiner Name	TRAN	I, LONG T			
Attomey Docket Numt	ser	11381.122997			

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	12	РСТ	International Search Report and Written Opinion, Application No. PCT/IB07/03004, July 1	nal Search Report and Written Opinion, Application No. PCT/IB07/03004, July 9, 2008.						
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	14	РСТ	PCT International Search Report and Written Opinion, Application No. PCT/US07/74227, February 25, 2008.							
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If you wis	sh to av	id add	ditional non-patent literature document citation information please click the Add t	xutton						
			EXAMINER SIGNATURE							
Examiner Signature Date Considered										
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Standerd S ⁴ Kind of do	T.3). ³ f icument	or Japi by the i	TO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ³ Enter office that issued the docume anese patent documents, the indication of the year of the reign of the Emperor must precede the ser appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applic m is attached.	ial number of the patent doc	ument.					

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			Attomey Docket N	umber	11381.1229	97
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			CERTIFICATION S	TATEME	۹T	
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Fee set f None A signature o form of the sig Signature Name/Print This collectior public which is 1.14. This co application for require to con Patent and Tr FEES OR CO	orth in 37 CFR 1.17 (p) of the applicant or represent pature. Sam Pasternack to file (and by the USF lection is estimated to t m to the USPTO. Time oplete this form and/or s ademark Office, U.S. Do MPLETED FORMS TO	entative is entative is act act ed by 37 C PTO to proc ake 1 hour will vary d uggestions epartment	SIGNATU required in accordan FR 1.97 and 1.98. ¹ FR 1.97 and 1.97 and 1.98. ¹ FR 1.97 and 1.97 and 1.97 and 1.98. ¹ FR 1.97 and 1.97 an	nce with C Date (YYYY) Registration Confident ng gatherin ndividual c rden, shot Box 1450,	/-MM-DD) 1 Number ation is requ liality is gove 1g, preparing ase. Any co 1d be sent to Alexandria,	2017-08-10 29576 irred to obtain or retain a benefit by the erned by 35 U.S.C. 122 and 37 CFR g and submitting the completed omments on the amount of time you to the Chief Information Officer, U.S. VA 22313-1450. DO NOT SEND

SP/Car	U Lesp to written Opinio
PATENT COOPE	RATION TREATY DOCKETED
From the INTERNATIONAL SEARCHING AUTHORITY	Due 5.25.08
To: SAM PASTERNACK	PCT
CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 A mend Clams DOCKELED	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION (PCT Rule 44.1)
DUG 4.25.08	Date of mailing (day/month/year) 25 FEB 2008
Applicant's or agent's file reference 2006734-0015	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No. PCT/US07/74227	International filing date (day/month/year) 24 July 2007 (24.07.2007)
Applicant ETHANOL BOOSTING SYSTEMS, LLC	-
I. The applicant is hereby notified that the international search have been established and are transmitted herewith.	ch report and the written opinion of the International Searching Authority
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the clai	ims of the international application (see Rule 46):
	normally two months from the date of transmittal of the international
Where? Directly to the International Bureau of WIPO 1211 Geneva 20, Switzerland, Facsimile No.	
For more detailed instructions, see the notes on the a	
2. The applicant is hereby notified that no international search Article 17(2)(a) to that effect and the written opinion of the	ch report will be established and that the declaration under he International Searching Authority are transmitted herewith.
	tional fee(s) under Rule 40.2, the applicant is notified that:
request to forward the texts of both the protest and the	
no decision has been made yet on the protest; the app	plicant will be notified as soon as a decision is made.
Bureau. If the applicant wishes to avoid or postpone publication priority claim, must reach the International Bureau as provided in technical preparations for international publication.	e, the international application will be published by the International on, a notice of withdrawal of the international application, or of the n Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the completion of the the written opinion of the International Searching Authority to the
International Bureau The International Bureau will send a copy	y of such comments to all designated Offices unless an international These comments would also be made available to the public but not
examination must be filed if the applicant wishes to postpone the (in some Offices even later); otherwise, the applicant must, with entry into the national phase before those designated Offices.	of some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority date hin 20 months from the priority date, perform the prescribed acts for
In respect of other designated Offices, the time limit of 30 month See the Annex to Form PCT/IB/301 and, for details about the ap Volume II, National Chapters and the WIPO Internet site.	hs (or later) will apply even if no demand is filed within 19 months. pplicable time limits, Office by Office, see the <i>PCT Applicant's Guide</i> ,
Name and mailing address of the ISA/ US	Authorized officer and the Meal a
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Stephen K Cronin March 1
P.O. Box 1450 Alexandria, Virginia 22313-1450	Telephone No. (571) 272-4383
Facsimile No. (571) 273-3201 Form PCT/ISA/220 (January 2004)	FCE (Seemores-of accompanying sheet)

FORD Ex. 1135, page 143 IPR2020-00013 PATENT DEPARTMENT

PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 2006734-0015	FOR FURTHER ACTION as well	see Form PCT/ISA/220 as, where applicable, item 5 below.						
International application No. PCT/US07/74227	International filing date (<i>day/month/y</i> 24 July 2007 (24.07.2007)	ear) (Earliest) Priority Date (day/month/year) 24 July 2006 (24.07.2006)						
Applicant ETHANOL BOOSTING SYSTEMS, LLC								
according to Article 18. A copy is being This international search report consists of It is also accompanied Basis of the Report a. With regard to the language, the the international a a translation of th of a translation for b. With regard to any nucleotic 2. Certain claims were found 3. Unity of invention is lackin 4. With regard to the title, the text is approved as subm the text has been established	transmitted to the International Burea of a total of <u></u> sheets. by a copy of each prior art document international search was carried out on the application in the language in which it we e international application into <u></u> mished for the purposes of international de and/or amino acid sequence disclos unsearchable (See Box No. II) g (See Box No. III)	t cited in this report. the basis of: was filed.						
5. With regard to the abstract, the text is approved as subm	itted by the applicant.							
l human a		hority as it appears in Box No. IV. The applicant l search report, submit comments to this Authority.						
as suggested by the as selected by this A	Authority, because the applicant failed to authority, because this figure better characteristic	o suggest a figure.						

Form PCT/ISA/210 (first sheet) (April 2005)

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,	INTERNATIONAL SEARCH REPOR	кТ	International appli	cation No.
			PCT/US07/74227	
. CLA IPC:	SSIFICATION OF SUBJECT MATTER F02D 41/30(2006.01);F02B 1/08(2006.01)		I	
USPC: ccording to	123/1A,431,447,575 D International Patent Classification (IPC) or to both nat	ional classification and	d IPC	
. FIEL	LDS SEARCHED			
	ocumentation searched (classification system followed b 23/1A,300,304,431,447,478,575,577,198C,198A	y classification symbo	ols)	
ocumentati	ion searched other than minimum documentation to the	extent that such docun	nents are included in	the fields searched
	ata base consulted during the international search (name Continuation Sheet	of data base and, whe	ere practicable, searcl	n terms used)
DOC	UMENTS CONSIDERED TO BE RELEVANT	·		
ategory *	Citation of document, with indication, where ap	ppropriate, of the relev	ant passages	Relevant to claim No.
X P, Y	US 2007/0119416 A1 (Boyarski) 31 May 2007 (31.0. paragraphs [0066], [0107]-[0117], [0284]-[0318], cla		7, 23, 28, 37, 44,	1-23, 26, 42-48, 56 24,25,27-41,49-55
X Y	US 2002/01393321 A1 (Weissman et al.) 3 October 2 [0022]-[0046].	2002 (03.10.2002), fi	igure 2, paragraphs	24-25, 27-56 1-23, 26
Furthe	r documents are listed in the continuation of Box C.	See patent	family annex.	<u> </u>
" documer	Special categories of cited documents: nt defining the general state of the art which is not considered to be of 1r relevance	date and not		mational filing date or priority ation but cited to understand the ntion
' earlier aj	pplication or patent published on or after the international filing date	considered r		claimed invention cannot be red to involve an inventive step
establish specified	nt which may throw doubts on priority claim(s) or which is cited to a the publication date of another citation or other special reason (as a) nt referring to an oral disclosure, use, exhibition or other means	considered t combined w	to involve an inventive step	documents, such combination
" documer	nt published prior to the international filing date but later than the date claimed	-	nember of the same patent	
	actual completion of the international search or 2007 (07.12.2007)		he international searc	sh report
	2007 (07.12.2007)		~	-11 0
Decembe ame and m Ma	alling address of the ISA/US ail Stop PCT, Attn: ISA/US mmissioner for Patents	Authorized officer Stephen K Cronin	Anni	e IV lal

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PATENT COOPERATION TREATY

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From the INTERNATIONAL SEARC	HING AUTHO	RITY				
To: SAM PASTERNACK CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110		PCT WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHOR ITY				
			INTERNATIO	Shal Slakening at mokini		
			(PCT Rule 43 <i>bis</i> .1)			
1.1. a for all formality consistent approximation of the second data of the second data and a second statement of the second st 	1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.		Date of mailing (day/month/year)	25 FEB 2008		
Applicant's or agent's file	reference		FOR FURTHER	ACTION		
2006734-0015				See paragraph 2 below		
International application N	0.	International filing date	(day/month/year)	Priority date (day/month/year)		
PCT/US07/74227		24 July 2007 (24.07.200		24 July 2006 (24.07.2006)		
International Patent Classif	ication (IPC) or	both national classificat	ion and IPC			
IPC: F02D 41/30(200 USPC: 123/1A,431,447,5		8(2006.01)	-			
USPC: 123/1A,431,447,5 Applicant						
ETHANOL BOOSTING S	YSTEMS. LLC					

1. This opinion contains i	indications relat	ing to the following item	s:			
Box No. I	Basis of the c	pinion				
Box No. II	Priority					
Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
Box No. IV	Lack of unity	Lack of unity of invention				
Box No. V		Reasoned statement under Rule 43 <i>bis</i> .1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
Box No. VI	Certain docur	Certain documents cited				
Box No. VII	Certain defec	Certain defects in the international application				
Box No. VIII	Certain observations on the international application					
2. FURTHER ACTIO	N					
If a demand for intern International Prelimin Authority other than th	ational prelimin ary Examining his one to be th	Authority ("IPEA") ex	cept that this does IPEA has notified the	be considered to be a written opinion of the not apply where the applicant chooses an the International Bureau under Rule $66.1bis(b)$ ered.		
IPEA a written reply to	ogether, where or before the ex	appropriate, with amend piration of 22 months fr	ments, before the ex	PEA, the applicant is invited to submit to the piration of 3 months from the date of mailing whichever expires later.		
3. For further details, see	notes to Form P	PCT/ISA/220.				
Name and mailing address	of the ISA/US	Date of comple	tion of this opinion	Authorized officer		
Mail Stop PCT, Att Commissioner for P	n: ISA/US		- 08 (18.02.2008)	Stephen K Cronin Jun Often K		
P.O. Box 1450 Alexandria, Virginia Facsimile No. (571) 273-32				Stephen K Cronin June Attack Telephone No. (571) 272-4383		
		I I		I.,		

Form PCT/ISA/237 (cover sheet) (April 2007)

		International application No.
	WRITTEN OPINION OF THE	
	INTERNATIONAL SEARCHING AUTHORITY	PCT/US07/74227
Box N	o. I Basis of this opinion	
1 With	regard to the language, this opinion has been established on the bas	sis of
	the international application in the language in which it was	
	a translation of the international application into, which is t	
	international search (Rules 12.3(a) and 23.1(b)).	
2.	 This opinion has been established taking into account the rectifice Authority under Rule 91 (Rule 43bis.1(a)) 	ation of an obvious mistake authorized by or notified to t
	Autority under Kule 9 (Kule 45015.1(a)) regard to any nucleotide and/or amino acid sequence disclose dished on the basis of:	ed in the international application, this opinion has been
a.	type of material	
	a sequence listing	
	table(s) related to the sequence listing	. .
b.	format of material	
	on paper	
	in electronic form	
c.	time of filing/furnishing	
	contained in the international application as filed.	
	filed together with the international application in electroni	c form.
	furnished subsequently to this Authority for the purposes of	f search.
4.	In addition, in the case that more than one version or copy of a se or furnished, the required statements that the information in the application as filed or does not go beyond the application as filed.	subsequent or additional copies is identical to that in the
5. Addi	tional comments:	

. Statement			
Novelty (N)	Claims	<u>1-56</u>	YES
	Claims	NONE	NO
Inventive step (IS)	Claims	<u>1-56</u>	YES
	Claims	NONE	NO
Industrial applicability (IA)	Claims	1-56	YES
	Claims	NONE	NO
2. Citations and explanations: Claims 1-56 meet the criteria set out in PCT Article nvention. Claim1-56 meet the criteria set out in PCT Article be made or used in industry.			

Ci.

Form PCT/ISA/237 (Box No. V) (April 2007)

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PCT/IB2007 03004 09.07.2008

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

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To: SAM PASTERNACK	РСТ				
CHOATE, HALL & STEWART TWO INTERNATIONAL PLACE BOSTON, MA 02110	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION				
	(PCT Rule 44.1)				
	Date of mailing (day/month/year) 0 9 JUL 2008				
Applicant's or agent's file reference 2006734-0002	FOR FURTHER ACTION See paragraphs 1 and 4 below				
International application No. PCT/IB07/03004	International filing date (<i>day/month/year</i>) 06 March 2007 (06.03.2007)				
Applicant ETHANOL BOOSTING SYSTEMS. LLC					
1. The applicant is hereby notified that the international sea have been established and are transmitted herewith.	arch report and the written opinion of the International Searching Authority				
Filing of amendments and statement under Article 19 The applicant is entitled, if he so wishes, to amend the c					
When? The time limit for filing such amendments i search report.	s normally two months from the date of transmittal of the international				
Where? Directly to the International Bureau of WIP 1211 Geneva 20, Switzerland, Facsimile No					
For more detailed instructions, see the notes on the	accompanying sheet.				
	rch report will be established and that the declaration under he International Searching Authority are transmitted herewith.				
3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:					
the protest together with the decision thereon has be request to forward the texts of both the protest and	een transmitted to the International Bureau together with the applicant's				
	pplicant will be notified as soon as a decision is made.				
4. Reminders					
Bureau. If the applicant wishes to avoid or postpone publication	te, the international application will be published by the International ion, a notice of withdrawal of the international application, or of the in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the completion of the				
International Bureau. The International Bureau will send a cor	the written opinion of the International Searching Authority to the y of such comments to all designated Offices unless an international . These comments would also be made available to the public but not				
examination must be filed if the applicant wishes to postpone the	of some designated Offices, a demand for international preliminary ne entry into the national phase until 30 months from the priority date thin 20 months from the priority date, perform the prescribed acts for				
	hs (or later) will apply even if no demand is filed within 19 months.				
See the Annex to Form PCT/IB/301 and, for details about the a Volume II, National Chapters and the WIPO Internet site.	pplicable time limits, Office by Office, see the PCT Applicant's Guide,				
Name and mailing address of the ISA/US	Authorized officer				
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Stephen K Cronin Chunchleach				
P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201	Telephone No. (571) 272-4383				
Facsimile No. (571) 275-5201 Form PCT/ISA/220 (January 2004)	(See notes on accompanying sheet				

PATENT COOPERATION TREATY

PCT

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 2006734-0002		Form PCT/ISA/220 here applicable, item 5 below
International application No. PCT/IB07/03004	International filing date (day/month/year) 06 March 2007 (06.03.2007)	(Earliest) Priority Date (<i>day/month/year</i>) 08 March 2006 (08.03.2006)
according to Article 18. A copy is being This international search report consists of It is also accompanied 1. Basis of the Report a. With regard to the language, the is	prepared by this International Searching At transmitted to the International Bureau.) in this report. sis of:
of a translation fu b. This international search rep authorized by or notified to t c. With regard to any nucleotic 2. Certain claims were found 3. Unity of invention is lackin 4. With regard to the title, the text is approved as submit		he rectification of an obvious mistake
 With regard to the abstract, the text is approved as submit 		
 may, within one month from 6. With regard to the drawings, a. the figure of the drawings to be p as suggested by the as selected by this A 	Authority, because the applicant failed to sugg Authority, because this figure better characteri ublished with the abstract.	h report, submit comments to this Authority. sest a figure.

PCT/IB2007 03004 09.07.2008

	INTERNATIONAL SEARCH REPOR	T	International appl	ication No.	
•	INTERNATIONAL SEARCH REFOR	· # .	PCT/IB07/03004		
A. CLA IPC:	SSIFICATION OF SUBJECT MATTER F02M 17/00(2006.01)		ave		
n e.				• •	
USPC: According to	123/447 o International Patent Classification (IPC) or to both nati	onal classification	and IPC	•	
B. FIEL	DS SEARCHED				
Minimum do U.S. : 1	ocumentation searched (classification system followed b 23/447	y classification sy	mbols)	· · ·	
Documentati	ion searched other than minimum documentation to the o	extent that such de	ocuments are included in	n the fields searched	
Electronic da EAST	ata base consulted during the international search (name	of data base and,	where practicable, searc	terms used)	
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where ap			Relevant to claim No.	
A	US 2005/0056264 A1, (WEISSMAN et al) 17 March	a 2005, Figure 2, o	claim 11.	1-15	
`A '	US 5,560,344 A (CHAN) 1, October 1996 (01.10.199	96), whole docum	ent.	1-15	
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Furthe	r documents are listed in the continuation of Box C.	See pa	itent family annex.		
"A" docume	Special categories of cited documents: nt defining the general state of the art which is not considered to be of ar relevance	date an		ernational filing date or priority ation but cited to understand the ention	
-	pplication or patent published on or after the international filing date	conside	ent of particular relevance; the ered novel or cannot be conside he document is taken alone	claimed invention cannot be red to involve an inventive step	
. establish specified	•	"Y" docum conside with or	ent of particular relevance; the ered to involve an inventive ste ne or more other such documen	p when the document is combine	
	nt referring to an oral disclosure, use, exhibition or other means		s to a person skilled in the art	formiliu	
priority	nt published prior to the international filing date but later than the date claimed		ent member of the same patent	-	
	actual completion of the international search	Date of mailing	of the international sear 2008	ch report	
	8 (08.06.2008) nailing address of the ISA/US	Authorized offic		· • •	
· Co	ail Stop PCT, Attn: ISA/US ommissioner for Patents O. Box 1450	Stephen K Cror		Yetter Der	
Al	o. (571) 273-3201	Telephone No.	(571) 272-4383	Der	

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	PCT/IB2007/(3004 09.07.2008			
PATENT COOPE	FILE COPY			
To: SAM PASTERNACK CHOATE, HALL & STEWART TWO INTERNATIONAL PLACE BOSTON, MA 02110	PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION (PCT Rule 44.1)			
Applicant's or agent's file reference	(day/month/year) FOR FURTHER ACTION See paragraphs 1 and 4 below			
2006734-0002 International application No.	International filing date			
PCT/IB07/03004 Applicant	(day/month/year) 06 March 2007 (06.03.2007)			
ETHANOL BOOSTING SYSTEMS. LLC				
 The applicant is hereby notified that the international search report and the written opinion of the International Searching Authorit have been established and are transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report. Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Facsimile No.: (41-22) 338.82.70. For more detailed instructions, see the notes on the accompanying sheet. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith. 				
 With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. Reminders 				
4. Reminders Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication. The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.				
Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, Volume II, National Chapters and the WIPO Internet site.				
Name and mailing address of the ISA/ US	Authorized officer			
Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	Stephen K Cronin			
Facsimile No. (571) 273-3201 Form PCT/ISA/220 (January 2004)	Telephone No. (571) 272-4383 (See notes on accompanying sheet)			

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PCT/IB2007/ 3004 09.07.2008

PATENT COOPERATION TREATY FILE COPY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 2006734-0002		ee Form PCT/ISA/220 where applicable, item 5 below.
International application No. PCT/IB07/03004	International filing date (<i>day/month/year</i>) 06 March 2007 (06.03.2007)	(Earliest) Priority Date (day/month/year) 08 March 2006 (08.03.2006)
Applicant ETHANOL BOOSTING SYSTEMS. LLC		
according to Article 18. A copy is being This international search report consists o	transmitted to the International Bureau.	Authority and is transmitted to the applicant
the international a a translation of the of a translation fur b. This international search repo- authorized by or notified to the c. With regard to any nucleotid 2. Certain claims were found to 3. Unity of invention is lacking 4. With regard to the title, the text is approved as subministry of the text is approved as subministry of text is approved as a subministry of text is approved as a subministry of text is approved as a sub	unsearchable (See Box No. II) g (See Box No. III)	filed. , which is the language arch (Rules 12.3(a) and 23.1(b)) the rectification of an obvious mistake
may, within one month from 6. With regard to the drawings, a. the figure of the drawings to be p as suggested by the as selected by this A	according to Rule 38.2(b), by this Authori the date of mailing of this international sea published with the abstract is Figure No. <u>1</u> applicant. Authority, because the applicant failed to sug Authority, because this figure better characte	

Form PCT/ISA/210 (first sheet) (April 2007)

PCT/IB2007/()004 09.07.2008

		nternational application NOPY	•
INTERNATIONAL SEARCH REPO	KI	CT/IB07/03004	
 A. CLASSIFICATION OF SUBJECT MATTER IPC: F02M 17/00(2006.01) USPC: 123/447 According to International Patent Classification (IPC) or to both na 			
 B. FIELDS SEARCHED Minimum documentation searched (classification system followed b U.S. : 123/447 	y classification symbols)		
Documentation searched other than minimum documentation to the	extent that such document	ts are included in the fields searched	۱
Electronic data base consulted during the international search (name EAST	e of data base and, where p	practicable, search terms used)	
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category * Citation of document, with indication, where a	ppropriate, of the relevant	passages Relevant to claim l	No.
A US 2005/0056264 A1, (WEISSMAN et al) 17 Marc	h 2005, Figure 2, claim 11	1. 1-15	
A US 5,560,344 A (CHAN) 1, October 1996 (01.10.19	96), whole document.		
Further documents are listed in the continuation of Box C.	See patent fam	nily annex.	
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document pu date and not in co principle or theory	ublished after the international filing date or priori onflict with the application but cited to understand y underlying the invention	
 "E" earlier application or patent published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the 	considered novel when the docume "Y" document of parti considered to inv with one or more obvious to a perso	icular relevance; the claimed invention cannot be or cannot be considered to involve an inventive st ent is taken alone icular relevance; the claimed invention cannot be rolve an inventive step when the document is comb other such documents, such combination being on skilled in the art er of the same patent family	
Date of the actual completion of the international search	·····	nternational search report	
•	Sate of maning of the lit	incontacional search report	
08. June 2008 (08.06.2008) Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201	Authorized officer Stephen K Cronin Telephone No. (571) 27	J 72-4383	

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Form PCT/ISA/210 (second sheet) (April 2007)

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PATENT COOPERATION TREATY

m the ERNATIONAL SEARCHING AUTHORITY			FIILE COPY		
NTERNATIONAL SEARCHING AUTHORITY To: SAM PASTERNACK CHOATE, HALL & STEWART TWO INTERNATIONAL PLACE BOSTON, MA 02110			PCT RITTEN OPINION OF THE DNAL SEARCHING AUTHORITY		
			(PCT Rule 43bis.1)		
		Date of mailing (day/month/year)			
Applicant's or agent's file reference		FOR FURTHER ACTION			
2006734-0002			See paragraph 2 below		
International application No. Intern	national filing date (day/month/year)	Priority date (day/month/year)		
	arch 2007 (06.03.20		08 March 2006 (08.03.2006)		
International Patent Classification (IPC) or both	national classification	on and IPC			
IPC: Please See Continuation Sheet USPC: 123/447.1A.300.304.431.478.575.577.	1080 1084-701/10	1			
USPC: 123/447,1A,300,304,431,478,575,577, Applicant	1700,1704;/01/10				
ETHANOL BOOSTING SYSTEMS. LLC					
1. This opinion contains indications relating to	the following items	8:			
Box No. I Basis of the opinion	n				
Box No. II Priority					
Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
Box No. IV Lack of unity of inv	vention				
		I(a)(i) with regard to as supporting such st	o novelty, inventive step or industrial atement		
Box No. VI Certain documents	•				
Box No. VII Certain defects in t		ligation			
	••				
Box No. VIII Certain observation	is on the internation	al application			
2. FURTHER ACTION					
If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.					
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220.					
3. For further details, see notes to Form PCT/IS	SA/220.				
Name and mailing address of the ISA/US	Date of complet	ion of this opinion	Authorized officer		
	Date of complet	ion of uns opinion			
Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450	08 June 2008 (0	8.06.2008)	Stephen K Cronin		

Form PCT/ISA/237 (cover sheet) (April 2007)

PCT/IB2007/ 3004 09.07.2008

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY	International application No. PCT/IB07/03004
Box No. I Basis of this opinion	
 With regard to the language, this opinion has been established on the basis of: the international application in the language in which it was filed a translation of the international application into, which is the languinternational search (Rules 12.3(a) and 23.1(b)). This opinion has been established taking into account the rectification of Authority under Rule 91 (Rule 43bis.1(a)) With regard to any nucleotide and/or amino acid sequence disclosed in the established on the basis of: a. type of material 	an obvious mistake authorized by or notified to this
a sequence listing	
table(s) related to the sequence listing	
 b. format of material on paper in electronic form c. time of filing/furnishing contained in the international application as filed. filed together with the international application in electronic form. furnished subsequently to this Authority for the purposes of search. 	
4. In addition, in the case that more than one version or copy of a sequence or furnished, the required statements that the information in the subseq application as filed or does not go beyond the application as filed, as appr	uent or additional copies is identical to that in the
5. Additional comments:	
Form PCT/ISA/237(Box No. I) (April 2007)	·

FORD Ex. 1135, page 156 IPR2020-00013

PCT/IB2007/()004 09.07.2008

Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with rega		d to novelty, inventive step or industrial		
applicability; citations and expl	applicability; citations and explanations supporting such statement			
1. Statement				
Novelty (N)	Claims <u>1-15</u>	•	YES	
	Claims <u>NONE</u>			
Inventive step (IS)	Claims 1-15		YES	
Industrial applicability (IA)	Claims 1-15		YES	
 Citations and explanations: Claims 1-15 meet the criteria set out in PCT Article 	33(2)-(3) because the prior	art does not teach or fairly suggest o	laimed invention	
Claims 1415 meet die Gritena set out in PCT Arneie	55(2)-(5), because the prior	art does not leach of failing suggest c	anned invention.	
Claim 1-15 meet the criteria set out in PCT Article 3 be made or used in industry.	3(4), and thus have industri	al applicability because the subject m	atter claimed can	
be made of used in industry.	· · ·			
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PCT/IB2007/ 3004 09.07.2008

International application No COPY WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY Supplemental Box In case the space in any of the preceding boxes is not sufficient. Continuation of IPC: F02M 63/00(2006.01),43/00(2006.01);F02B 47/00(2006.01),47/04(2006.01),13/00(2006.01),13/10(2006.01)

Form PCT/ISA/237 (Supplemental Box) (April 2007)

PATENT COOPER	ATION TREALY MAR 2 6 2008			
From the INTERNATIONAL SEARCHING AUTHORITY	PATENT DEPARTMENT			
¹⁰ Choate, Hall & Stewart Two International Place Boston, Massachusetts 02110	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION			
	(PCT Rule 44.1)			
	Date of mailing (day/month/year)			
Applicant's or agent's file reference 2006734-0003PC	FOR FUP ACTION See paragraphs 1 and 4 below			
International application No. PCT/US 07/05777	International filing date (day/month/year) 08 March 2007 (08.03.2007)			
Applicant Ethanol Boosting Systems, LLC				
 international search report. Where? Directly to the International Bureau of WI 1211 Geneva 20, Switzerland, Facsimile N For more detailed instructions, see the notes on the 2. The applicant is hereby notified that no international Article 17(2)(a) to that effect and the written opinion o 3. With regard to the protest against payment of (an) ac the protest together with the decision thereon h applicant's request to forward the texts of both no decision has been made yet on the protest; t 4. Reminders Shortly after the expiration of 18 months from the prior International Bureau. If the applicant wishes to avoid or application, or of the priority claim, must reach the International Bureau. The International Bureau will send international Bureau. The International Bureau will send international preliminary examination report has been or is to the public but not before the expiration of 30 months from the prior is to the public but not before the expiration of 30 months from the prior is to the public but not before the expiration of 30 months from the prior is to the public but not before the expiration of 30 months from the prior is to the public but not before the expiration of 30 months from the prior is to the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expiration of 30 months from the public but not before the expination	9: claims of the international application (see Rule 46): nts is normally two months from the date of transmittal of the PO, 34 chemin des Colombettes No.: +41 22 740 14 35 e accompanying sheet. search report will be established and that the declaration under f the International Searching Authority are transmitted herewith. Iditional fee(s) under Rule 40.2, the applicant is notified that: has been transmitted to the International Bureau together with the the protest and the decision thereon to the designated Offices. he applicant will be notified as soon as a decision is made. rity date, the international application will be published by the postpone publication, a notice of withdrawal of the international onal Bureau as provided in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, ational publication. In the written opinion of the International Searching Authority to the a copy of such comments to all designated Offices unless an ob e established. These comments would also be made available to the protein date.			
 Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, Volume II, National Chapters and the WIPO Internet site. 				
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US	Authorized officer: Lee W. Young			
Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774			
Form PCT/ISA/220 (January 2004)	(See notes on accompanying sheet)			

2006734-0013

PATENT COOPERATION TREASEY

 $\left(\begin{array}{c} \\ \\ \end{array} \right)$

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From the INTERNATIONAL SEARCHING AUTHORITY	·				
To: Sam Pasternack Choate, Hall & Stewart	PCT				
Two International Place Boston, Massachusetts 02110	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION				
	(PCT Rule 44.1)				
	Date of mailing (day/month/year) 24 MAR 2008				
Applicant's or agent's file reference 2006734-0003PC	FOR FURTHER ACTION See paragraphs 1 and 4 below				
International application No. PCT/US 07/05777	International filing date (day/month/year) 08 March 2007 (08.03.2007)				
Applicant Ethanol Boosting Systems, LLC					
Authority have been established and are transmitted ne					
Filing of amendments and statement under Article The applicant is entitled, if he so wishes, to amend the When? The time limit for filing such amendm	19: e claims of the international application (see Rule 46): nents is normally two months from the date of transmittal of the				
international search report. Where? Directly to the International Bureau of W	VIPO, 34 chemin des Colombertes CKETEO				
1211 Geneva 20, Switzerland, Facsimile For more detailed instructions, see the notes on the	No.: +41 22 740 14 33				
2. The applicant is hereby notified that no internationa Article 17(2)(a) to that effect and the written opinion	al search report will be established and that the declaration under MPL of the International Searching Authority are transmitted herewith.				
3 With regard to the protest against payment of (an) a	additional fee(s) under Rule 40.2, the applicant is notified that:				
the protest together with the decision thereon applicant's request to forward the texts of both	has been transmitted to the International Bureau together with the has been transmitted to the decision thereon to the designated Offices.				
no decision has been made yet on the protest;	the applicant will be notified as soon as a decision is made.				
4. Reminders Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, there the completion of the technical preparations for international publication.					
The applicant may submit comments on an informal basis of International Bureau. The International Bureau will ser international preliminary examination report has been or is the author before the expiration of 30 months from	on the written opinion of the International Searching Autority to the nd a copy of such comments to all designated Offices unless an s to be established. These comments would also be made available to the priority date.				
Within 19 months from the priority date, but only in respective examination must be filed if the applicant wishes to postport date (in some Offices even later); otherwise, the applicant n	ct of some designated Offices, a demand for international preliminary ine the entry into the national phase until 30 months from the priority must, within 20 months from the priority date, perform the prescribed ed Offices.				
In respect of other designated Offices, the time limit of 30	60 months (or later) will apply even if no demand is filed within 19				
See the Annex to Form PCT/IB/301 and, for details about <i>Guide</i> , Volume II, National Chapters and the WIPO Intern	the applicable time limits, Office by Office, see the PCT Applicant's net site.				
Name and mailing address of the ISA/US	Authorized officer:				
Mail Stop PCT, Attn: ISA/US	Lee W. Young MAR 2 6 2008				
Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774				
Facsimile No. 571-273-3201	(See notes on electomber of the NT				

Form PCT/ISA/220 (January 2004)

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PATENT COOPERATION TREAMY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 2006734-0003PC	FOR FURTHER ACTION	as well	see Form PCT/IS as, where applicabl	SA/220 le, item 5 below.	
International application No. PCT/US 07/05777	International filing date (da 08 March 2007 (08.03.2007)	y/month/year)	(Earliest) Priority 10 March 2006 (1	y Date <i>(day/month/year)</i> 0.03.2006))
Applicant Ethanol Boosting Systems, LLC					
This international search report has be according to Article 18. A copy is being	een prepared by this Internation ng transmitted to the Internation	nal Scarching A nal Bureau.	authority and is tra	ansmitted to the applica	ant
This international search report consist It is also accompanied by	s of a total of $\underline{\mathcal{N}}$ sheet a copy of each prior art docum		report.		
 Basis of the report With regard to the language, the language of the language of the language of the language of the language. 			asis of:		
the international ap	plication in the language in wh	ich it was filed.			
a translation of the	international application into _ ned for the purposes of internat	tional search (Ru	les 12.3(a) and 23	which is the language (16).	of
b. This international search	report has been established to to this Authority under Rule 9	aking into accou	nt the rectificatio		ike
c. With regard to any nucle	otide and/or amino acid sequ	ence disclosed in	the international	application, see Box No), I,
2. Certain claims were fou	nd unsearchable (see Box No.	. II).			
3. Unity of invention is lac	king (see Box No. III).				
4. With regard to the title,					
the text is approved as su	bmitted by the applicant.				
the text has been establis	hed by this Authority to read as	s follows:			
5. With regard to the abstract,					
the text is approved as su	bmitted by the applicant.				
the text has been establis may, within one month fr	hed, according to Rule 38.2(b), rom the date of mailing of this i	, by this Authorit nternational sear	ty as it appears in l ch report, submit c	Box No. IV. The applic comments to this Author	ant ity.
6. With regard to the drawings,					
a. the figure of the drawings to	be published with the abstract i	s Figure No. <u>1</u>			7個
as suggested by the					
	Authority, because the application			MAR 2 6 2	008
	Authority, because this figure	better characteriz	zes the invention.		
b none of the figures is to	be published with the abstract.			PATENT DEPAR	

Form PCT/ISA/210 (first sheet) (April 2007)

INTERNA . JONAL SEARCH REPORT

International application No. PCT/US 07/05777

		I			
IPC(8) - USPC -	SSIFICATION OF SUBJECT MATTER F02B 77/04 (2007.10) 123/198A o International Patent Classification (IPC) or to both na	tional classification and IPC			
	DS SEARCHED				
	DS SEARCHED ocumentation searched (classification system followed by c	plassification symbols)			
USPC: 123/1	198A .				
Documentati USPC: 123/	on searched other than minimum documentation to the ext 198R, 406.29, 406.47 (text search - see terms below)	ent that such documents are included in the	fields searched		
PubWEST(U	ta base consulted during the international search (name of ISPT,PGPB,EPAB,JPAB); Google Patents; Google Scho Is: gasoline engine, ethanol, direct injection, engine knoo tor	blar			
C. DOCUN	MENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.		
Y	Calculations of Knock Suppression in Highly Turbochar Direct Ethanol Injection (L. Bromberg et al.) 23 Februar especially Abstract, Section I, para [0003], Section II, p	v 2006 (23.02.2006), entire document	1-18		
Y	US 4,312,310 A (Chivilo' et al.) 26 January 1982 (26.01	1.1982), col 2, in 20-26 and in 36-54	1-18		
Y	US 6,358,180 B1 (Kuroda et al.) 19 March 2002 (19.03.2002), Fig 4, col 3, In 65-67 to col 4, In 1 2, 9-10, 13-18 -15, col 8, In 3-27col 12, In 54-56				
Y	US 4,974,416 A (Taylor) 04 December 1990 (04.12.1990), col 4, in 15-21 5				
Y	US 6,260,525 B1 (Moyer) 17 July 2001 (17.07.2001), col 3, ln 5-8 6, 8, 13-18				
Y	US 4,967,714 A (Inoue) 06 November 1990 (06.11.199	00), col 3, ln 27-30 and ln 66-67	11		
Furthe	er documents are listed in the continuation of Box C.		L		
"A" docume	categories of cited documents: ent defining the general state of the art which is not considered f particular relevance	"T" later document published after the inter date and not in conflict with the applie the principle or theory underlying the	cation but cited to understand		
"E" earlier filing d	application or patent but published on or after the international late	• • • • •	claimed invention cannot be lered to involve an inventive		
cited to special	ent which may throw doubts on priority claim(s) or which is o establish the publication date of another citation or other reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive	claimed invention cannot be step when the document is		
means	ent referring to an oral disclosure, use, exhibition or other ent published prior to the international filing date but later than	combined with one or more other such being obvious to a person skilled in th "&" document member of the same patent	e art		
the pric	ority date claimed	Date of mailing of the international sear	-		
	actual completion of the international search er 2007 (03.12.2007)	24 MAR 2008	on report		
Name and n	nailing address of the ISA/US	Authorized officer:			
Mail Stop PC	CT, Attn: ISA/US, Commissioner for Patents 50, Alexandria, Virginia 22313-1450	Lee W. Young PCT Helpdesk: 571-272-4300			
Facsimile N	Facsimile No. 571-273-3201 PCT OSP: 571-272-7774				

Form PCT/ISA/210 (second sheet) (April 2007)

PATENT COOPERATION TREATY

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Ĭ	PATENT COOPE	RATION TRE-	хľУ	
From the INTERNATIONAL SEARCHING AUTHO	ORITY			
To: Sam Pasternack Choate, Hall & Stewart Two International Place Boston, Massachusetts 02110			PCT	
			NITTEN OPINION OF THE IONAL SEARCHING AUTHORITY	
			(PCT Rule 43bis.1)	
		Date of mailing (day/month/year)	24 MAR 2008	
Applicant's or agent's file reference		FOR FURTHER A		1
2006734-0003PC			See paragraph 2 below	
International application No. PCT/US 07/05777	International filing date 08 March 2007 (08		Priority date (day/month/year) 10 March 2006 (10.03.2006)	
International Patent Classification (IPC) of		•		
IPC(8) - F02B 77/04 (2007.10) USPC - 123/198A				
Applicant Ethanol Boosting Syste	ms, LLC			
 This opinion contains indications relations Box No. I Basis of the op 		ns:	DOCKETED DUE <u>Response & Untten Opinior</u> re step and industrial applicability	
Box No. II Priority		·	DUC Response to Notten Opinion	
Box No. III Non-establishr	nent of opinion with rega	rd to novelty, inventiv	e step and industrial applicability	ky/n
Box No. IV Lack of unity of	of invention		9-	ME
	ment under Rule 43 <i>bis</i> .1(xplanations supporting su		velty, inventive step or industrial applicability;	
Box No. VI Certain docum	ents cited			
Box No. VII Certain defects	in the international appli	ication		
Box No. VIII Certain observ	ations on the internationa	al application		
International Preliminary Examining other than this one to be the IPEA an opinions of this International Searchi	Authority ("IPEA") exce nd the chosen IPEA has r ing Authority will not be	ept that this does not ap notified the Internation so considered.	be considered to be a written opinion of the oply where the applicant chooses an Authority nal Bureau under Rule 66.1 <i>bis</i> (b) that written	
	priate, with amendments,	before the expiration	the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Form or expires later.	
For further options, see Form PCT/IS	SA/220.		RECEIVEN	M
3. For further details, see notes to Form	PCT/ISA/220.		MAR 2 6 2008	1
Name and mailing address of the ISA/US	Date of completion of t	this opinion	Authorized officer:	1
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	03 December 200	•	Lee W. Young	
P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201			PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774	

Form PCT/ISA/237 (cover sheet) (April 2007)

		(and the second
•		International application No.
	WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY	
		PCT/US 07/05777
Box No. I	Basis of this opinion	
1. With re	gard to the language, this opinion has been established on the basis	s of:
· · · · · ·	the international application in the language in which it was filed.	
	a translation of the international application into	which is the language of a
	translation furnished for the purposes of international search (Rules	
	This opinion has been established taking into account the rectificati	on of an obvious mistake authorized by or notified
	to this Authority under Rule 91 (Rule 43 <i>bis</i> .1(a))	
2 With ro	gard to any nucleotide and/or amino acid sequence disclosed in	the international application this opinion has been
	hed on the basis of:	the methational appreation, this opinion has been
a. type	e of material	
	a sequence listing	
	table(s) related to the sequence listing	
b. form	nat of material	
	on paper	
	in electronic form	
c. time	e of filing/furnishing	
	contained in the international application as filed	
	filed together with the international application in electronic for	rm
	furnished subsequently to this Authority for the purposes of sea	urch
	In addition, in the case that more than one version or copy of a seque	
	filed or furnished, the required statements that the information in the in the application as filed or does not go beyond the application as	
5. Additio	nal comments:	
	· · · · ·	
	/237 (Box No. I) (April 2007)	

FORD Ex. 1135, page 164 IPR2020-00013

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	WRITTEN OPINION OF THE		FTHE	International application No.	
	INTERNATIONAL SEARCHING AUTHORITY			PCT/US 07/05777	
Box No. V	Reasoned statement un citations and explanati			velty, inventive step or industrial applic	ability;
1. Statemen	t				
Novel	ty (N)	Claims	1-18		YES
	-5 (2.7)	Claims	None		NO
			None		
Inven	tive step (IS)	Claims Claims	1-18		YES NO
		Claims			_ NO
Indust	rial applicability (IA)	Claims	1-18		YES
		Claims	None		NO
shutting down th driver demand (system as disclo Bromberg is fue	ne engine by stopping gas col 2, In 20-26 and In 36-t osed by Bromberg with the conservation and an obv	oline flow into 54). It would h e control syste	o the engine during vehicle de have been obvious to one of c em as taught by Chivilo since	driver demand. Chivilo discloses a contro celeration and idling and restarting the eng ordinary skill in the art to modify the fuel ma a major development in the system disclos he engine during idle or deceleration.	gine upon anagemer
conditions to pro- engine restart to Juring engine re s to prevent en As per claim 4, i Section II, para emissions. How ninimize hydroo ninimize hydroo	event engine knock (see S o prevent engine knock. H sstart as one of the operat gine knock. Bromberg discloses the so [0006]). Bromberg does i vever, it would have been carbon emissions since hy carbon emissions.	Section I, para lowever, it wo ing conditions ystem whereir not specifically obvious to on ydrocarbon en	I [0003]). Bromberg does not build have been obvious to one s since engine knock often oc n the engine uses direct ethar y disclose direct ethanol injec le of ordinary skill in the art to nissions can be high during re	et ethanol injection during a range of engin specifically disclose direct ethanol injection of ordinary skill in the art to include ethan curs during restart and one of the objects of nol injection to minimize hydrocarbon emiss ion during engine restart to minimize hydro include ethanol injection during engine res- istart and one of the objects of Bromberg is arged or supercharged (see Section II, par	n during lol injectio of Brombe sions (see ocarbon start to s to
conditions to pro- origine restart to luring engine re- s to prevent eng- Section II, para missions. How ninimize hydroo ninimize hydroo ninimize hydroo	event engine knock (see S o prevent engine knock. H estart as one of the operat gine knock. Bromberg discloses the sy (0006]). Bromberg does r vever, it would have been sarbon emissions since hy carbon emissions. Bromberg further disclose	Section I, para lowever, it wo ing conditions ystem whereir not specifically obvious to on vdrocarbon en s the system v	I (0003]). Bromberg does not suld have been obvious to one a since engine knock often oc in the engine uses direct ethan y disclose direct ethanol injec ie of ordinary skill in the art to nissions can be high during re wherein the engine is turboch	specifically disclose direct ethanol injection of ordinary skill in the art to include ethan curs during restart and one of the objects of nol injection to minimize hydrocarbon emiss ion during engine restart to minimize hydro- include ethanol injection during engine res- start and one of the objects of Bromberg is arged or supercharged (see Section II, par	n during iol injectio of Brombe sions (see ocarbon start to s to ra [0001]).
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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

international application No.

PCT/US 07/05777

Supplemental Box

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In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V. 2. Citations and explanations:

As per claim 10, Kuroda further discloses the system including a restart motor (Fig 4; col 12, ln 54-56), wherein the low voltage motor is a low voltage motor (Fig 4 - the motor used for restarting the engine is a low voltage motor operating on 12 V).

Claim 5 lacks an inventive step under PCT Article 33(3) as being obvious over Bromberg in view of Chivilo, further in view of US 4,974,416 A (Taylor).

As per claim 5, Bromberg discloses the system wherein the engine uses direct injection (see Section II, para [0001]). Bromberg does not specifically disclose the system wherein the engine uses direct injection during engine restart to supplement port fuel injection while a fuel film that feeds the engine is established so as to minimize energy, emissions and time required for engine restart. Taylor discloses a system wherein the engine includes port fuel injection while a fuel film that feeds the engine is established (col 4, In 15-21). It would have been obvious to one of ordinary skill in the art to supplement port injection with direct injection and since fuel films are well known and the use of such would have minimized energy, emissions and time required for engine restart.

Claims 6 and 8 lack an inventive step under PCT Article 33(3) as being obvious over Bromberg in view of Chivilo, further in view of US 6,260,525 B1 (Moyer).

As per claim 6, Chivilo discloses a control system for shutting down the engine by stopping gasoline flow into the engine (col 2, In 20-26 and In 36-54). Chivilo does not specifically disclose the system further including a valve disabler for all engine valves. Moyer discloses the system further including a valve disabler for all engine valves. Moyer discloses the system further including a valve disabler for all engine valves (col 3, In 5-8). It would have been obvious to one of ordinary skill in the art to modify the system as disclosed by Chivilo and Bromberg with the valve disabler as taught by Moyer, since all relate to the technology of shutting engines down to conserve fuel and since such would have enagled the engine to be a variable displacement engine so that when less than maximum power is required some cylinders can be shut down and power increased in the remaining cylinders which will then operate at greater efficiency.

As per claim 8, Bromberg further discloses the system wherein maximum manifold pressure is increased by at least a factor of two over a non-pressure-boosted engine (see Abstract).

Claims 11 lacks an inventive step under PCT Article 33(3) as being obvious over Bromberg in view of Chivilo, further in view of US 4,967,714 A (Inoue).

As per claim 11, Bromberg further discloses the system wherein the ethanol is injected through a fuel injector (see Section II, para [0001]). Bromber does not specifically disclose wherein the gasoline and the ethanol are injected through the same fuel injector. Inoue discloses the system wherein the gasoline and the ethanol are injected through the same fuel injector (col 3, In 27-30 and In 66-67). It would have been obvious to one of ordinary skill in the art to modify the system as disclosed by Bromberg to enable the system to inject ethanol and gasoline through the same fuel injector as taught by Inoue, since both relate to the technology of ethanol burning systems and since such would have enabled the system to operate using only one fuel injector per cylinder which is a well known design to one of ordinary skill in the art.

Claims 13-18 lack an inventive step under PCT Article 33(3) as being obvious over Bromberg in view of Chivilo, further in view of Kuroda, further in view of Moyer.

As per claim 13, Bromberg discloses a turbocharged spark ignition engine which uses separately controlled direct injection of ethanol and port fuel injection of gasoline (see Abstract). Bromberg does not specifically disclose where the engine is shut down during periods of deceleration and idle. Kuroda discloses where the engine is shut down during periods of deceleration and idle (col 8, In 3-27). Bromberg further discloses the engine comprising a first source of gasoline (see Section II, para [0003]); a second source of ethanol (see Section II, para [0003]); a gasoline engine (see Abstract). Bromberg does not specifically disclose a means to engine cylinder deactivation through valve disabling during engine deceleration and idling. Moyer discloses a means to engine cylinder deactivation through valve disabling (col 3, In 5-8). It would have been obvious to one of ordinary skill in the art to modify the engine as disclosed by Bromberg with the shut down during deceleration and idle economy and since the disabling of the valves is well known in the art as an effective way to shut down the engine and since shutting down the engine during deceleration and idle is an obvious means to conserving fuel.

As per claim 14, Bromberg further discloses the turbocharged spark ignition engine (see Section II, para [0001]) wherein the engine uses direct ethanol injection during a range of engine operating conditions to prevent engine knock (see Section I, para [0003]). Bromberg does not specifically disclose direct ethanol injection during engine restart to prevent engine knock. However, it would have been obvious to one of ordinary skill in the art to include ethanol injection during engine restart as one of the operating conditions since engine knock often occurs during restart and one of the objects of Bromberg is to prevent engine knock.

---- Please See Continuation Sheet ----

Form PCT/ISA/237 (Supplemental Box) (April 2007)

FORD Ex. 1135, page 166 IPR2020-00013

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 07/05777

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Suplemental Box 1:

As per claim 15, Bromberg discloses the turbocharged spark ignition engine (see Section II, para [0001]) wherein the engine uses direct ethanol injection to minimize hydrocarbon emissions (see Section II, para [0006]). Bromberg does not specifically disclose direct ethanol injection during engine restart to minimize hydrocarbon emissions. However, it would have been obvious to one of ordinary skill in the art to include ethanol injection during engine restart to minimize hydrocarbon emissions emissions since hydrocarbon emissions can be high during restart and one of the objects of Bromberg is to minimize hydrocarbon emissions.

As per claim 16, Bromberg discloses the turbocharged spark ignition engine (see Section II, para [0001]). Bromberg does not specifically disclose the turbocharged spark ignition engine where a low voltage motor is used to restart the engine. Kuroda discloses the system wherein the low voltage motor is a low voltage motor (Fig 4; col 12, ln 54-56). Furthermore, it would have been obvious to one of ordinary skill in the art to modify the engine as disclosed by Bromberg and Chivilo with the low voltage motor for restart since most vehicles currently operate with a 12 V battery and using a low voltage motor for restart would not require an additional battery for operating the restart motor.

As per claim 17, Bromberg discloses a turbocharged spark ignition engine which uses separately controlled direct injection of ethanol and port fuel injection of gasoline (see Abstract). Bromberg does not specifically disclose where the engine is shut down during periods of deceleration and idle. Kuroda discloses where the engine is shut down during periods of deceleration and idle. Kuroda discloses where the engine is shut down during periods of deceleration and idle. Kuroda discloses where the engine is shut down during periods of deceleration and idle. Kuroda discloses where the engine is shut down during periods of deceleration and idle (col 8, In 3-27). Bromberg further discloses the engine comprising a first source of gasoline (see Section II, para [0003]); a gasoline engine (see Abstract). Bromberg does not specifically disclose a means to disable the engine cylinders and where direct ethanol injection is used during engine restart and further where a low voltage motor is used for engine restart. Moyer discloses a means to engine cylinder deactivation through valve disabling (col 3, In 5-8). Kuroda further discloses where a low voltage motor is used for engine restart (Fig 4; col 12, In 54-56). It would have been obvious to one of ordinary skill in the art to modify the engine as disclosed by Bromberg with the shut down during deceleration and idle and low voltage restart motor as taught by Kuroda and the disabling of the valves as taught by Moyer, since all relate to the technology of improving fuel economy and since the disabling of the valves is well known in the art as an effective way to shut down the engine and since shutting down the engine during deceleration and idle is an obvious means to conserving fuel.

As per claim 18. Bromberg discloses a turbocharged spark ignition engine which uses direct injection of ethanol (see Abstract). Bromberg does not specifically disclose where the engine is shut down during periods of deceleration and idle comprising a turbocharged spark ignition engine; and a means to shutdown the engine cylinders and where direct ethanol injection is used during engine restart and further where a low voltage motor is used for engine restart. Kuroda discloses where the engine is shut down during periods of deceleration and idle comprising a turbocharged spark ignition engine; and a means to used for engine restart. Kuroda discloses where the engine is shut down during periods of deceleration and idle (col 8, In 3-27). Moyer discloses a means to engine cylinder deactivation through valve disabling (col 3, In 5-8). Kuroda further discloses where a low voltage motor is used for engine restart (Fig 4; col 12, In 54-56). It would have been obvious to one of ordinary skill in the art to modify the engine as disclosed by Bromberg with the shut down during deceleration and idle and low voltage restart motor as taught by Kuroda and the disabling of the valves as taught by Moyer, since all relate to the technology of improving fuel economy and since the disabling of the valves is well known in the art as an effective way to shut down the engine and since shutting down the engine during deceleration and idle is an obvious means to conserving fuel.

Claims 1-18 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

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PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY						
To: SAM PASTERNACK Choate, Hall & Stewart LLP	PCT					
Two International Place Boston, Massachusetts 02110	NOTIFICATION OF TRANSMITTAL OF					
Boston, Massachuseus 02110 Arto 18 CIUN	THE INTERNATIONAL SEARCH REPORT AND					
Par to writh Opin.	THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION					
Action: TO CONTINUE						
Due Date Final Due Date 1313/08-113/09-5/	(PCT Rule 44.1)					
Docket Administration NH Date: 1010	Date of mailing mouth year 0 3 OCT 2008					
Applicant's or agent's file reference	FOR FURTHER ACTION See paragraphs 1 and 4 below					
2006734-0021						
International application No.	International filing date (day/month/year) 03 July 2008					
PCT/US2008/069171						
Applicant ETHANOL BOOSTING SYSTEMS LLC						
	the side science of the International Searching					
Authority have been established and are transmitted her						
Filing of amendments and statement under Article 1 The applicant is entitled, if he so wishes, to amend the o	claims of the international application (see Rule 40).					
When? The time limit for filing such amendment	nts is normally two months from the date of transmittal of the					
Where? Directly to the International Bureau of WI	international search report. Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes					
1211 Geneva 20, Switzerland, Facsimile N	No.: +41 22 740 14 33					
For more detailed instructions, see the notes on the accompanying sheet. 2. The applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby notified that no international search report will be established and that the declaration under the applicant is hereby not the applicant is here						
Article 17(2)(a) to that effect and the written opinion of	f the International Scarching Automy are transmitted hereiting					
3. With regard to the protest against payment of (an) ad	iditional fee(s) under Rule 40.2, the applicant is notified that:					
applicant's request to forward the texts of both	has been transmitted to the International Bureau together with the the protest and the decision thereon to the designated Offices.					
no decision has been made yet on the protest; the	he applicant will be notified as soon as a decision is made.					
	rity date, the international application will be published by the postpone publication, a notice of withdrawal of the international					
application, or of the priority claim, must reach the internation	ational publication.					
The applicant may submit comments on an informal basis on International Bureau. The International Bureau will send international preliminary examination report has been or is to the amblic but not before the conjection of 30 months from the	the written opinion of the International Searching Authonity to the a copy of such comments to all designated Offices unless an b be established. These comments would also be made available to be priority date.					
Within 19 months from the priority date, but only in respect (examination must be filed if the applicant wishes to postpone date (in group Offices even later), otherwise, the applicant mu	of some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority ist, within 20 months from the priority date, perform the prescribed					
acts for entry into the national phase before mose designated In respect of other designated Offices, the time limit of 30	months (or later) will apply even if no demand is filed within 19					
months. See the Annex to Form PCT/IB/301 and, for details about th <i>Guide</i> , Volume II, National Chapters and the WIPO Internet	e applicable time limits, Office by Office, see the PCT Applicant's site.					
Name and mailing address of the ISA/US Mail Slop PCT, Alth: ISA/US	Authorized officer:					
Commissioner for Patonts P.O. Box 1450, Alexandria, Virginia 22313-1450	Blaine R. Copenheaver					
Facsimile No. 571-273-3201	Telephone No. 571-272-7774					

Form PCT/ISA/220 (January 2004)

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(See notes on accompanying sheet)

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 2006734-0021	FOR FURTHER ACTION	see Form PCT/ISA/220 as well as, where applicable, item 5 below.					
International application No.	International filing date (day/mo	onth/year) (Earliest) Priority Date (day/month/year)					
PCT/US2008/069171	03 July 2008	10 July 2007					
Applicant ETHANOL BOOSTING SYSTEMS LLC							
This international search report has be according to Article 18. A copy is bein This international search report consists	g transmitted to the international	Searching Authority and is transmitted to the applican Bureau.					
It is also accompanied by a	a copy of each prior art document	cited in this report.					
1. Basis of the report		out on the basis of					
a. With regard to the language, th							
	Dication in the language in which nternational application into	, which is the language					
of a translation furn	ished for the purposes of internati	ional search (Rules 12.3(a) and 23.1(b))					
b. With regard to any nucleo	tide and/or amino acid sequenc	e disclosed in the international application, see Box No.					
2. Certain claims were four	nd unsearchable (see Box No. 11)						
3. Unity of invention is lac	aing (see Box No. III)						
4. With regard to the title,							
the text is approved as sul		llowe					
the text has been establish	ned by this Authority to read as fo	10ws.					
5. With regard to the abstract,							
the text is approved as su	bmitted by the applicant	this Authority as it appears in Box No. IV. The applic					
the text has been establis may, within one month fr	om the date of mailing of this inte	v this Authority as it appears in Box No. IV. The applic ernational search report, submit comments to this Author					
6. With regard to the drawings,							
	be published with the abstract is F	igure No. 1					
as suggested by the		Cited to suggest a figure					
	Authority, because the applicant f						
	as selected by this Authority, because this figure better characterizes the invention						
b none of the figures is to	be published with the abstract						

Form PCT/ISA/210 (first sheet) (April 2005)

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INTERNATIONAL SEARCH REPORT	International application No.
	PCT/US2008/069171
Box No. II Observations where certain claims were found unsearchable (Continu	nation of item 2 of first sheet)
This international search report has not been established in respect of certain claims unde	r Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Author	ity, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply extent that no meaningful international search can be carried out, specifically:	with the prescribed requirements to such an
3. Claims Nos.: 15-17, 31-33 because they are dependent claims and are not drafted in accordance with the s	
Box No. III Observations where unity of invention is lacking (Continuation of ite	m 3 of first sheet)
This International Searching Authority found multiple inventions in this international ap	plication, as follows:
1. As all required additional search fees were timely paid by the applicant, this in claims.	nternational search report covers all searchable
2. As all searchable claims could be searched without effort justifying additional additional fees.	I fees, this Authority did not invite payment of
3. As only some of the required additional search fees were timely paid by the ap only those claims for which fees were paid, specifically claims Nos.:	oplicant, this international search report covers
4. No required additional search fees were timely paid by the applicant. Co restricted to the invention first mentioned in the claims; it is covered by claim	nsequently, this international search report is ns Nos.:
Remark on Protest The additional search fees were accompanied by the payment of a protest fee. The additional search fees were accompanied by the fee was not paid within the time limit specified in No protest accompanied the payment of additional search fees were accompanied the payment of additional search fees were accompanied the payment of additional search fees were accompanied by the fee was not paid within the time limit specified in No protest accompanied the payment of additional search fees were accompanied the payment o	he applicant's protest but the applicable protest the invitation.

Form PCT/ISA/210 (continuation of first sheet (2)) (April 2005)

INTERNATIONAL SEARCH REPORT

International application No.	
PCT/US2008/069171	

CLASSIFICATION OF SUBJECT MATTER A IPC(8) - F02B 77/04 (2008.04) USPC - 123/198A According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED B Minimum documentation searched (classification system followed by classification symbols) IPC(8) - F02B 77/04 (2008.04) USPC - 123/198A, 406.29, 435 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MicroPatent, DialogPro, IP.com C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Category* 1-14, 18-30, 34-35 US 7,225,787 B2 (BROMBERG et al) 05 June 2007 (05.06.2007) entire document Y 1-14, 18-30, 34-35 US 2006/0102145 A1 (COHN et al) 18 May 2006 (18.05.2006) entire document Y US 6,561,157 B2 (ZUR LOYE et al) 13 May 2003 (13.05.2003) entire document 6, 23, 35 1-35 US 3,557,763 A (PROBST) 26 January 1971 (26.01.1971) entire document А 1-35 US 4,056,087 A (BOYCE) 01 November 1977 (01.11.1977) entire document Δ 1-35 US 4,230,072 A (NOGUCHI et al) 28 October 1980 (28.10.1980) entire document А US 4,594,201 A (PHILLIPS et al) 10 June 1986 (10.06.1986) entire document 1-35 Α 1-35 US 5,179,923 A (TSURUTANI et al) 19 January 1993 (19.01.1993) entire document A US 7,156,070 B2 (STROM et al) 02 January 2007 (02.01.2007) entire document 1-35 Α US 2007/0119421 A1 (LEWIS et al) 31 May 2007 (31.05.2007) entire document 1-35 Α US 2007/0125321 A1 (RITTER) 07 June 2007 (07.06.2007) entire document 1-35 А Further documents are listed in the continuation of Box C. later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention Special categories of cited documents: "T" document defining the general state of the art which is not considered to be of particular relevance "A" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone earlier application or patent but published on or after the international "X" filing date "E" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "L" "Y" document referring to an oral disclosure, use, exhibition or other "O" document published prior to the international filing date but later than the priority date claimed document member of the same patent family "&" "P Date of mailing of the international search report Date of the actual completion of the international search 0 3 OCT 2008 25 September 2008 Authorized officer: Name and mailing address of the ISA/US Blaine R. Copenheaver Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774 Facsimile No. 571-273-3201

Form PCT/ISA/210 (second sheet) (April 2005)

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	
To: SAM PASTERNACK Choate, Hall & Stewart LLP Two International Place Boston, Massachusetts 02110	WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY
	(PCT Rule 43 <i>bis</i> .1)
	Date of mailing (day/month/year) n 3 DCT 2008
Applicant's or agent's file reference 2006734-0021	FOR FURTHER ACTION See paragraph 2 below
PCT/US2008/069171	ional filing date (day:month:year) Priority date (day:month:year) y 2008 10 July 2007
International Patent Classification (IPC) or both na IPC(8) - F02B 77/04 (2008.04) USPC - 123/198A	tional classification and IPC
Applicant ETHANOL BOOSTING SYSTEM	IS LLC
Box No. IV Lack of unity of invent Box No. IV Lack of unity of invent Box No. V Reasoned statement un citations and explanatio Box No. VI Certain documents cite Box No. VII Certain defects in the i Box No. VII Certain observations of Box No. VIII Certain observations of Son No. VIII Certain observations of If a demand for international preliminary ex- International Preliminary Examining Authori other than this one to be the IPEA and the cl opinions of this International Searching Auth If this opinion is, as provided above, conside	pinion with regard to novelty, inventive step and industrial applicability on ler Rule 43 <i>bis</i> .1(a)(i) with regard to novelty, inventive step or industrial applicability: on supporting such statement d atternational application in the international application amination is made, this opinion will be considered to be a written opinion of the ty ("IPEA") except that this does not apply where the applicant chooses an Authority losen IPEA has notified the International Bureau under Rule 66.1 <i>bis</i> (b) that written ority will not be so considered. ed to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA ith amendments, before the expiration of 3 months from the date of mailing of Form nonths from the priority date, whichever expires later.
Mail Stop PCT, Attn: ISA/US	of completion of this opinion Authorized officer: Blaine Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201

Form PCT/ISA/237 (cover sheet) (April 2007)

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	WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY	International application No. PCT/US2008/069171
Box No. I B	asis of this opinion	
the i	to the language, this opinion has been established on the basis of: nternational application in the language in which it was filed. nslation of the international application into slation furnished for the purposes of international search (Rules 12.3(a)	which is the language of a and 23.1(b)).
2. This to the	opinion has been established taking into account the rectification of an is Authority under Rule 91 (Rule 43 <i>bis</i> .1(a))	obvious mistake authorized by or notified
established a. type of r	to any nucleotide and/or amino acid sequence disclosed in the inter- on the basis of: naterial a sequence listing lable(s) related to the sequence listing	national application, this opinion has been
	of material on paper in electronic form	
	filing/furnishing contained in the international application as filed filed together with the international application in electronic form furnished subsequently to this Authority for the purposes of search	•
file	ddition, in the case that more than one version or copy of a sequence list d or furnished, the required statements that the information in the subseq he application as filed or does not go beyond the application as filed, as	juent or additional copies is identical to that
5. Additional	comments:	

Form PCT/ISA/237 (Box No. I) (April 2007)

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicability have not been assumed in respect of Image: the calified invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicability have not been assumed in respect of Image: the calified invention application Image: the calified invention application Image: the calified invention application of the said claims Nos. relate to the following subject matter which does not require an international search (appecify): Image: the description, claims or drawings (indicate particular elements below) or said claims Nos. 15-17, 31-33 relate to the following subject matter which does not require an international search (appecify): Claims 15-17, 31-33 are multiple dependent claims not drafted in accordance with the second and third sentences of Rule 6.4(a). the description that no meaningful opinion could be formed (appecify): Claims 15-17, 31-33 are multiple dependent claims not drafted in accordance with the second and third sentences of Rule 6.4(a). are so inadequately supported by the description that no meaningful opinion could be formed (appecify): Image: claims on a drame ecceptable to the formed without the sequence listing; the applicant did not, within the prescribed time limit: Image: claims limit applicable without the sequence listing in aform and maner acceptable to it. Image: claim sequence list		WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY	International application No. PCT/US2008/069171
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See Supplemental Box for further details.		the tables related to the nucleotide and/or amino acid sequence listing, if in a technical requirements provided for in Annex C-bis of the Administrative Instru-	electronic form only, do not comply with the ructions.
		See Supplemental Box for further details.	

Form PCT/ISA/237 (Box No. III) (April 2007)

WRITTEN INTERNATIONAL S	OPINION O SEARCHING		International application No. PCT/US2008/069171	
Box No. V Reasoned statement un citations and explanati			lty, inventive step or industrial applicat	oility;
1. Statement				
Novelty (N)	Claims Claims	1-14, 18-30, 34-35 None		YES NO
Inventive step (IS)	Claims Claims	None 1-14, 18-30, 34-35		YES NO
Industrial applicability (IA)	Claims Claims	1-14, 18-30, 34-35 None		YES NO
Cohn et al. Regarding claim 1, Bromberg et al. disclo gasoline engine (18); a source of gasoline into the cylinders of the engine (18); injec engine (18); a fuel management control s provided in an amount needed to prevent lines 45-55). Bromberg et al. do not show needed to prevent knock as torque increa a fast burn. Cohn et al. show a fuel mana provided in an amount needed to prevent was made to a person having ordinary sk Bromberg et al. in order to provide improv Regarding claim 2, Bromberg et al. and C burn occurs in 15-20 crank angle degrees (burn) occurs in a small crank angle rang Regarding claim 3, Bromberg et al. and C lines 45-55) in the engine is provided by c Regarding claim 4, Bromberg et al. and C lines 45-55) in the engine is provided by c Regarding claim 5, Bromberg et al. and C ignition sites on either side of the cylinder sites can be on opposite cylinder sides to Regarding claim 7, Bromberg et al. and C second fuel is aimed toward the end gas al. show where spray of the second fuel is near the periphery (paragraph 7). It would art to employ the structures and processes performance. Regarding claim 8, Bromberg et al. and C second fuel is aimed toward the end gas al. show where spray of the second fuel is near the periphery (paragraph 7). It would art to employ the structures and processes performance. Regarding claim 8, Bromberg et al. and C injection of the second fuel is adjusted to	se a fuel man a (Fig. 4a); as tors for direct yetem (Col. 1, knock (Fig. 3 controlling in] sees; and a me gement contro knock as torc ved engine pe cohn et al. disc charge motion cohn et al. disc charge motion cohn et al. disc charge motion cohn et al. disc charge motion cohn et al. disc cohn et al. disc noreased tem ark (Col. 4, lind cohn et al. disc on the exhause s a inced towar cohn et al. disc on the exhause s a staught by cohn et al. disc on the exhause s a staught by cohn et al. disc noreased tem cohn et al. disc on the exhause s a staught by cohn et al. disc minimize the	agement system for a spark igr source of a second liquid fuel (F injection of the second liquid fuel (F) as other conditions require; ar ection of the second fuel into the sans for providing fast burn. It is of system (14) for controlling inj ue increases (paragraph 32). I employ the structures and proc formance. close that as applied above. Bra from Bromberg et al. (Figs. 2A at claimed. close that as applied above. Bra (Col. 10, lines 15-20). close that as applied above. Bra (Col. 10, lines 15-20). close that as applied above. Bra perature (Col. 4, lines 1-10) in es 30-45). close that as applied above. Bra plete combustion. close that as applied above. Bra splete combustion. close that as applied above. Bra splete combustion. close that as applied above. Bra splete not gas on an exhaust va byious at the time the invention y Cohn et al. in the device of Bra close that as applied above. Bra		g: a Fig. 4b) of the (Col. 10, int produces at it is nvention se of 90% fraction bl. 10, bl. 10, bl. 10, bl. 10, bl. 10, that burns dual t the dual of the Cohn et cated l in the engine irect
created at or near the intake port. Cohn e	t al. show whe	ere turbulence is created at or r person having ordinary skill in th	omberg et al. do not show where turbulenc near an intake port (paragraph 28). It would ne art to employ the structures and process ine performance.	l have
by means of spark retard relative to what	it would be if	fast burn were not employed (C		
measured by the 50% burn crank angle, i	s retarded usi	ng appropriate spark retard by	romberg et al. do not show where combus an amount between 5 and 10 degrees but significant amount including that claimed.	tion, as show
(Continued in Supplemental Box)				
Form PCT/ISA/237 (Box No. V) (April 2	2007)			

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY International application No. PCT/US2008/069171

Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Box V

Regarding claim 12, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the amount of second fuel that is used is reduced when the fast burn is provided (Col. 3, lines 25-30).

Regarding claim 13, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the amount of combustion retard is varied as a function of load (Col. 1, lines 20-25) and speed by means of appropriate spark retard (Col. 8, lines 20-25).

Regarding claim 14, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel. Cohn et al. show where a degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel. Cohn et al. show where a degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel (Fig. 5, paragraphs 14 and 35). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. In the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 18, Bromberg et al. disclose a fuel management system for a spark ignition gasoline engine (Abstract) comprising: a gasoline engine (18) of compression ratio between 13 and 14 (Col. 7, lines 55-60); a source of a second liquid fuel (Fig. 4a); a means for introducing gasoline (Fig. 4b) into the cylinders of the engine (18); injectors for direct injection of the second liquid fuel (Col. 11, lines 23-50) into the cylinder of the engine (18); a fuel management control system (Col. 1, lines 45-50) for controlling injection of the second fuel into the cylinder so that it is provided in an amount needed to prevent knock (Fig. 3) as torque increases or other conditions require; and a means for fast flame speed (Col. 10, lines 45-55). Bromberg et al. do not show controlling injection of the second fuel into the cylinder so that it is provided in an amount needed to prevent knock (Fig. 3) as torque increases or other conditions require; on the second fuel into the cylinder so that it is provided in an amount needed to prevent knock as torque increases; and a means for providing fast burn. It is deemed obvious that a fast flame speed produces a fast burn. Cohn et al. show a fuel management control system (14) for controlling injection of a second fuel into a cylinder so that it is provided in an amount needed to prevent knock as torque increases (paragraph 32). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. in the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 19, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the 10% - 90% burn occurs in 15-20 crank angle degrees. It is obvious from Bromberg et al. (Figs. 2A-2B) that a significant portion of the energy fraction (burn) occurs in a small crank angle range including that claimed.

Regarding claim 20, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the fast burn (Col. 10, lines 45-55) in the engine is provided by charge motion (Col. 10, lines 15-20).

Regarding claim 21, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the fast burn (Col. 10, lines 45-55) in the engine is provided by increased temperature (Col. 4, lines 1-10) in the unburned zone of air/fuel mixture zone that burns early in the cycle after the firing of the spark (Col. 4, lines 30-45).

Regarding claim 22, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where there are dual ignition sites on either side of the cylinder but show two ignition sources (Col. 1, lines 13-15, Col. 6, lines 23-30). It is obvious that the dual sites can be on opposite cylinder sides to promote complete combustion.

Regarding claim 24, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the spray of the second fuel is aimed toward the end gas on the exhaust valve side of the cylinder. Cohn et al. show where spray of the second fuel is aimed toward an end gas on the exhaust valve side of the cylinder (paragraph 7). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. in the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 25, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where turbulence is created at or near the intake port. Cohn et al. show where turbulence is created at or near an intake port (paragraph 28). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. in the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 26, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where combustion is retarded by means of spark retard relative to what it would be if fast burn were not employed (Col. 8, lines 20-25).

Regarding claim 27, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where combustion, as measured by the 50% burn crank angle, is retarded using appropriate spark retard by an amount between 5 and 15 degrees but show spark retard (Col. 8, lines 20-25). It is deemed obvious that spark retard is a small but significant amount including that claimed.

Regarding claim 28, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the amount of second fuel that is used is reduced when the fast burn is provided (Col. 3, lines 25-30).

Regarding claim 29, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. show where the amount of combustion retard is varied as a function of load (Col. 1, lines 20-25) and speed by means of appropriate spark retard (Col. 8, lines 20-25).

(Continued in next Supplemental Box)

Form PCT/ISA/237 (Supplemental Box) (April 2007)

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/069171

Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Previous Supplemental Box

Regarding claim 30, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel. Cohn et al. show where a degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel. Cohn et al. show where a degree of combustion retard is chosen so as to optimize the combination of efficiency gain and minimization of the required amount of the second fluid fuel (Fig. 5, paragraphs 14 and 35). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. in the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 34, Bromberg et al. disclose a spark ignition gasoline engine (18) where alcohol and gasoline are both directly injected (Col. 1, lines 55-60) and where the alcohol/gasoline ratio needed to prevent knock uses fast burn. Bromberg et al. do not show where the alcohol/gasoline ratio needed to prevent knock uses fast burn. Bromberg et al. do not show where the alcohol/gasoline ratio needed to prevent knock uses fast burn. Bromberg et al. do not show where the alcohol/gasoline ratio needed to prevent knock is reduced by using fast flame speed. It is deemed obvious that a fast flame speed (Bromberg - Col. 10, lines 45-55) produces a fast burn. Cohn et al. show where an alcohol/gasoline ratio needed to prevent knock is reduced (paragraph 19). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. in the device of Bromberg et al. in order to provide improved engine performance.

Claims 6, 23, 35 lack an inventive step under PCT Article 33(3) as being obvious over Bromberg et al. in view of Cohn et al. and zur Loye et al.

Regarding claim 6, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the direct injector is located in the center of the cylinder. zur Loye et al. show where a direct injector (62) is located in a center of a cylinder (Fig. 1). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. and zur Loye et al. In the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 23, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where the direct injector is located in the center of the cylinder. zur Loye et al. show where a direct injector (62) is located in a center of a cylinder (Fig. 1). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. and zur Loye et al. in the device of Bromberg et al. in order to provide improved engine performance.

Regarding claim 35, Bromberg et al. and Cohn et al. disclose that as applied above. Bromberg et al. do not show where a high energy spark plug is used to provide fast burn. zur Loye et al. show where a high energy spark plug (52) is used to provide fast burn. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ the structures and processes as taught by Cohn et al. and zur Loye et al. in the device of Bromberg et al. in order to provide improved engine performance.

Claims 1-14, 18-30, and 34-35 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; 1.
- claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11." 2.
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
 - 'Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]:

"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)). The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as International Searching Authority and where it has notified the International Bureau under Rule 66.1 bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where appropriate, with amendments before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the PCT Applicant's Guide, Volume II.

Notes to Form PCT/ISA/220 (second sheet) (October 2005)

Electronic Acknowledgement Receipt		
EFS ID:	30052575	
Application Number:	15463100	
International Application Number:		
Confirmation Number:	1002	
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES	
First Named Inventor/Applicant Name:	Leslie Bromberg	
Customer Number:	91197	
Filer:	Sam Pasternack/Abram Barrett	
Filer Authorized By:	Sam Pasternack	
Attorney Docket Number:	11381.122997	
Receipt Date:	11-AUG-2017	
Filing Date:	20-MAR-2017	
Time Stamp:	11:23:41	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment no						
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Information Disclosure Statement (IDS) Form (SB08)			7036815	no	10	
		11381122997IDS.pdf	6b28bcca981e8d984093d8eac5b777cb5d7 e2bf4			
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			1322225		
2	Non Patent Literature	modak_a.pdf	7dec00e5afd11acb9075273535a3aa99dcfd 5194	no	7
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5	Non Patent Literature	grandin_b1.pdf	d53b187bb51239f3e94136330465a8a733f 0f3bb		
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8	Non Patent Literature	heywood_j.pdf	6e46c0620d40478a2fea63c3b1eaa6e9400 4470b	no	3
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9	Non Patent Literature	stokes_j.pdf	fa5571c9c7684e54fca691d23adb2d8f6a3c 726e	no	12
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11	Non Patent Literature	Lecointe_b.pdf	e386092ebfc33bb818af2c886b3c7ef40a21 a897	no	12
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13	Non Patent Literature	search2.pdf	92945078890be524c537a57944546335c28 89e10	no	6
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14	Non Patent Literature	search3.pdf	b84ee4e5d1cc855a6766ebbb6f6a30d7f13 c9e68	no	10
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15 Non Patent Literature search		search4.pdf	72486a8dbcad1cd5e8934468e12cacbe5c4 456dc		9
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FORD Ex. 1135, page 181 IPR2020-00013

16	Non Patent Literature	search5.pdf	922356 4c895e0178a7397480bab8edc24cd3a83fb e6934	no	11	
Warnings:						
Information						
		Total Files Size (in bytes)	20	301058		
characterize Post Card, as If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter an internatio and of the In national sec	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other application Filed with the USPTO as a Receiving Office If a new international Application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 10/10/2017

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			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/463,100	03/20/2017	Leslie Bromberg	11381.122997	1002
	7590 11/13/2017 ogy Licensing Office		EXAM	INER
255 Main Stree NE 18-501			TRAN, I	LONG T
Cambridge, MA	A 02142-1493		ART UNIT	PAPER NUMBER
			3747	
			NOTIFICATION DATE	DELIVERY MODE
			11/13/2017	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mitdocket@mit.edu

	Application No. 15/463,100	Applicant(s) BROMBERG			
Office Action Summary	Examiner	Art Unit	AIA (First Inventor to File)		
	LONG T. TRAN	3747	Status No		
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the	corresponden	ce address		
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period + - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing		mely filed h the mailing date of ED (35 U.S.C. § 133	this communication.		
earned patent term adjustment. See 37 CFR 1.704(b).		a, maj rocado anj			
Status 1)⊠ Responsive to communication(s) filed on <u>5 Jui</u>	hr 2017				
A declaration(s)/affidavit(s) under 37 CFR 1.	-				
	action is non-final.				
3) An election was made by the applicant in resp		set forth durir	a the interview on		
; the restriction requirement and election					
4) Since this application is in condition for allowa closed in accordance with the practice under <i>B</i>			o the merits is		
Disposition of Claims*					
5) Claim(s) <u>32-67</u> is/are pending in the applicatio	n.				
5a) Of the above claim(s) is/are withdra	wn from consideration.				
6) Claim(s) is/are allowed.					
7) Claim(s) <u>32-67</u> is/are rejected.					
8) Claim(s) is/are objected to.					
9) Claim(s) are subject to restriction and/o	r election requirement.				
* If any claims have been determined <u>allowable</u> , you may be e	ligible to benefit from the Patent Pro	secution High	way program at a		
participating intellectual property office for the corresponding a					
http://www.uspto.gov/patents/init_events/pph/index.jsp or senc	I an inquiry to <u>PPHfeedback@uspto.</u>	<u>gov</u> .			
Application Papers					
10) The specification is objected to by the Examine					
11) The drawing(s) filed on <u>20 March 2017</u> is/are:	a) accepted or b) objected t	o by the Exar	niner.		
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	e 37 CFR 1.85((a).		
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a	.)-(d) or (f).			
Certified copies:					
a) All b) Some** c) None of the:					
1. Certified copies of the priority documen	ts have been received.				
2. Certified copies of the priority documen					
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Burea					
** See the attached detailed Office action for a list of the certifi	ed copies not received.				
Attachment(s)					
1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summary	(PTO-413)			
	Paper No(s)/Mail D				
 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date <u>see attached</u>. 	SB/08b) 4) Other:				
U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	Summary	Part of Paper No	./Mail Date 20171106		

DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

2. The previous Office Action has been withdrawn in light of the wrong set of claims being examined.

3. Claims 32 - 67 remain pending in the application and have been fully considered.

Priority

4. Applicant's claim for the benefit of a prior-filed application under 35 U.S.C. 119(e) or under 35 U.S.C. 120, 121, 365(c), or 386(c) is acknowledged. Applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 119(e) as follows: The later-filed application must be an application for a patent for an invention which is also disclosed in the prior application (the parent or original nonprovisional application or provisional application). The disclosure of the invention in the parent application and in the later-filed application must be sufficient to comply with the requirements of 35 U.S.C. 112(a) or the first paragraph of pre-AIA 35 U.S.C. 112, except for the best mode requirement. See *Transco Products, Inc. v. Performance Contracting, Inc.*, 38 F.3d 551, 32 USPQ2d 1077 (Fed. Cir. 1994)

The disclosure of the prior-filed application, Application No. 14/807,125, 14/220,529, 13/546,220, 11/758,157, 11/100,023, and 10/991,774 fails to provide adequate support or enablement in the manner provided by 35 U.S.C. 112(a) or pre-AIA 35 U.S.C. 112, first paragraph for one or more claims of this application. The claims do

not overlap in scope since the current claims of the Applicant are much broader and do

not require alcohol-based fuel and amount of fuel as required in the previous claims of

the cited applications.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the first paragraph of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6. Claims 32 - 67 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA),

first paragraph, as failing to comply with the written description requirement. The

claim(s) contains subject matter which was not described in the specification in such a

way as to reasonably convey to one skilled in the relevant art that the inventor or a joint

inventor, or for pre-AIA the inventor(s), at the time the application was filed, had

possession of the claimed invention.

7. Claims 32, 44, 49, 59, and 66 contain the term "torque range," which is not

clearly defined by the specification or drawings. Having a first and second torque range

is considered arbitrary by the Examiner since no specific range of value or amount is

defined by the Applicant. For examination purposes, the Examiner will understand that

the ranges apply to any amount within a normal working load and condition of a vehicle.

8. Claims 33 - 43, 45 - 49, 50 - 58, and 60 - 65, and 67 are rejected for being

dependent on a rejected base claim.

9. The following is a quotation of 35 U.S.C. 112(b):
 (b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph: The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

10. Claims 32 - 67 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being incomplete for omitting essential elements, such omission amounting to a gap between the elements. See MPEP § 2172.01. The omitted elements are: a controller, sensors for detecting torque, valve or element for controlling fuel amounts.

11. Claim 39 contains the phrase "in such a way" that is indefinite because there is no clear step or element that prevents knock.

12. Claims 32 - 67 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA),

second paragraph, as being indefinite for failing to particularly point out and distinctly

claim the subject matter which the inventor or a joint inventor, or for pre-AIA the

applicant regards as the invention.

Claims 32, 44, 49, and 59 recites the limitation "the fraction of fuel". There is insufficient antecedent basis for this limitation in the claim. Correction is required.

Claims 32, 44, 49, and 59 recites the limitation "the highest torque". There is insufficient antecedent basis for this limitation in the claim. Correction is required.

Claims 32, 44, 49, and 59 recites the limitation "the lowest". There is insufficient antecedent basis for this limitation in the claim. Correction is required.

13. Claims 33 - 43, 45 - 49, 50 - 58, 60 - 65, and 67 are rejected for being dependent on a rejected base claim.

Claim Rejections - 35 USC § 102

14. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

15. The following is a quotation of the appropriate paragraphs of pre-AIA 35 U.S.C.102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

16. Claims 32, 44, 49, 59, and 66 as best understood, are rejected under pre-AIA 35U.S.C. 102(b) as being anticipated by Zur Loye et al. (US 2002/0007816).

Regarding Claims 32, 44, 49, and 59:

The claim recites specific operating requirements during distinct engine conditions, of which the Examiner has understood is only required during these "conditions."

Therefore, in periods outside of these operating conditions, Zur Loye et al. teaches a fuel management system (56) for a turbocharged spark ignition engine (10) where the fuel management system controls fueling from a first fueling system that directly injects fuel (62) into at least one cylinder (12) as a liquid and from a second fueling system that uses port fuel injection (68).

17. Claims 32, 38 - 40, 50 - 53, 44, 49, 59, and 66 as best understood, are rejected under pre-AIA 35 U.S.C. 102(b) as being anticipated by Russell (US 23011/0126797).

Regarding Claims 32, 38 - 40, 50 - 53, 44, 49, 59, and 66:

Russell teaches a fuel management system (12) for a turbocharged spark ignition engine (10) where the fuel management system controls fueling from a first fueling system that directly injects fuel (166, ethanol) into at least one cylinder as a liquid and from a second fueling system that uses port fuel injection (172, see paragraph 0038); and where the engine operates in a first torque range (economy mode, Fig 4) wherein throughout this torque range both fueling systems are used; and wherein the fraction of fuel provided by the first fueling system is higher at the highest torque in the first torque range than at the lowest torque in the first torque range (Fig 4, 404B > 404A at highest torque); and wherein direct injection [is needed at the highest torque in the first torque range order to prevent knock]; and wherein during at least part of the first torque range the fraction of fuel (Fig 4) provided by the first fooling system is increased [so as to prevent knock]; and where when the torque is decreased below lowest torque in the first torque range the fueling is provided by only the second fueling system (Fig 4); and where there is a second torque range (performance mode, Fig 4) between zero torque and a higher value of torque where only the second fading system is employed.

Please note the limitations in brackets above are considered an intended use recitation. It has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations. *Ex parte Masham, 2 USPQ2d 1647 (1987).*

Claim Rejections - 35 USC § 103

18. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

19. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the

time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

20. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

21. This application currently names joint inventors. In considering patentability of the claims under pre-AIA 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of pre-AIA 35 U.S.C. 103(c) and potential pre-AIA 35 U.S.C. 102(e), (f) or (g) prior art under pre-AIA 35 U.S.C. 103(a).

22. Claims 33 - 37, 41 - 43, 45 - 48, 54 - 58, 60 - 65, as best understood, are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Russell (US 23011/0126797).

Regarding Claims 33 - 37, 45, 51:

Because the first and second torque ranges are considered arbitrary ranges by the Examiner (e.g. the specification provides no clarity on the values of these ranges), it would have been an obvious matter of design choice to alter the fuel map (shown in Fig 4 of Russell) to meet the intended first and second torque ranges since both the Applicant and Russell seek to reduce knock (se Fig 3 of Russell).

Regarding Claims 42 - 43, 45, 56, 58, 60 - 65, 67:

Russell is silent to fueling with the first fueling system begins after the inlet valve has closes.

However, Russell teaches an inlet valve (150) and fuel injection controlled by ECU (12), and therefore, is capable of varying the timing of the opening and closing of the valve with the fuel injection.

Therefore, it would have been an obvious matter of design choice to being fueling after the inlet valve has closed since both the Applicant and Russell seek to reduce knock (se Fig 3 of Russell).

Regarding Claim 41, 46 - 48, 54 - 55, 57:

The limitation "used so as to reduce wall wetting" is an intended use recitation. It has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Ex parte Masham, 2 USPQ2d 1647 (1987).

Conclusion

23. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

24. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LONG T. TRAN whose telephone number is (571)270-1899. The examiner can normally be reached on M-F, 7:30am - 5:00pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lindsay Low can be reached on 571-272-1196. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/LONG T TRAN/ Examiner, Art Unit 3747 Page 11

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					LONG T. TF			3747	_
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* 0		is reference is not being furnished with th			707.05(a)				

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Part of Paper No. 20171106

FORD Ex. 1135, page 196 IPR2020-00013

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15463100	BROMBERG ET AL.
	Examiner	Art Unit
	LONG T TRAN	3747

CPC- SEARCHED				
Symbol	Date	Examiner		
F02D41/0025; F02D19/081; F02D19/084; F02D19/08;	7/5/2017	LT		
F02D41/3094; F02D19/0655; F02D19/12; F02D19/0694;				
F02M25/14; F02M43/00; F02M43/04				

CPC COMBINATION SETS - SEAR	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED

Class	Subclass	Date	Examiner

 * See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

SEARCH NOTES				
Search Notes	Date	Examiner		
Inventor search	7/5/2017	LT		
PLUS search	7/5/2017	LT		
Reviewed with John Kwon	7/5/2017	LT		
Text search	7/5/2017	LT		
Updated text search	11/6/2017	LT		

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		

U.S. Patent and Trademark Office

Part of Paper No.: 20171106

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Stamp	
S60	51	engine and ((direct\$4 cylinder) near10 inject\$4) and (port near4 inject\$4) and ((dual both all bi) near5 fuel near20 torque) and (alcohol methanol ethanol) and knock\$4 and gasoline	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:21	
S59	27	engine and ((direct\$4 cylinder) near10 inject\$4) and (port near4 inject\$4 same gasoline) and ((dual both all bi) near5 fuel near20 torque) and (alcohol methanol ethanol) and knock\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:15	
S58	3	"14807125"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:14	
S57	18	"11758157"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:13	
S56	126	"7225787"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:12	
S55	117	engine and ((direct\$4 cylinder) near10 inject\$4) and (port near4 inject\$4 same gasoline) and ((dual both all bi) near5 fuel same torque) and (alcohol methanol ethanol) and knock\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 10:09	
S54	102	engine and ((methanol alcohol ethanol) near10 inject\$4) and (port near4 inject\$4 same gasoline) and ((dual both all bi) near5 fuel same torque)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 09:53	
S53	85	engine and ((methanol alcohol ethanol) near20 (cylinder direct\$4) near10 inject\$4) and (port near4 inject\$4 near5 gasoline) and ((dual both all bi) near5 fuel same torque)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 09:48	
S52	88	"7314033"	US-PGPUB; USPAT;	OR	ON	2017/11/06 09:45	

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S51	35	engine and (ethanol near20 direct\$4 near10 inject\$4) and (port near4 inject\$4 near5 gasoline) and (fuel same inject\$4 same torque near2 (range amount high\$4 low\$4)) and ((dual both) near5 fuel same torque)	EPO; JPO;	OR	ON	2017/11/06 09:42
S50	6	engine and (ethanol near20 direct\$4 near10 inject\$4) and (port near4 inject\$4 near5 gasoline) and (fuel same inject\$4 same torque near2 (range amount high\$4 low\$4)) and ((dual both) near5 fuel near20 torque)	EPO; JPO;	OR	ON	2017/11/06 09:37
S49	6	engine and (ethanol near20 direct\$4 near10 inject\$4) and (port near4 inject\$4 near5 gasoline) and (fuel same inject\$4 same torque near2 (range amount high\$4 low\$4) same knock\$4) and ((dual both) near5 fuel near20 torque)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/11/06 09:26

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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CONFIRMATION NO. 1002

SERIAL NUM	IBER	FILING O			CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	RNEY DOCKET NO.	
15/463,10	0	03/20/2	2017		123		3747		1	1381.122997	
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APPLICANT Massach		nstitute of Te	chnology, (Cambi	ridge, MA						
Leslie Bro Daniel R. John B. F	INVENTORS Leslie Bromberg, Sharon, MA; Daniel R. Cohn, Cambridge, MA; John B. Heywood, Newtonville, MA;										
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Receipt date: 08/11/2017 Doc code: IDS

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Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 67/31/2012 CMB 0861-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OM8 control number.

15463100 Application Number Filing Date 2017-03-20 **INFORMATION DISCLOSURE** First Named Inventor Leslie Bromberg STATEMENT BY APPLICANT Art Unit 3747 (Not for submission under 37 CFR 1.99) Examiner Name TRAN, LONG T Attomey Docket Number 11381.122997

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Application Number		15463100 15/463,100 - GAU: 3747				
Filing Date		2017-03-20				
First Named Inventor	Leslie	Bromberg				
Art Unit		3747				
Examiner Name	TRAN	I, LONG T				
Altomey Docket Numb	er	11381.122997				

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	15463100 15/463,100 - GAU: 3747
Filing Date	2017-03-20
First Named Inventor	Leslie Bromberg
Art Unit	3747
Examiner Name	TRAN, LONG T
Attomey Docket Num	wer 11381.122997

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		15463100	15/463,100 - GAU: 3747		
Filing Date		2017-03-20			
First Named Inventor	Lesli	e Bromberg			
Art Unit		3747			
Examiner Name	TRAI	N, LONG T			
Altomey Docket Number		11381.122997			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	15/463,100 - GAU: 3747
Filing Date	2017-03-20
First Named Inventor	Leslie Bromberg
Art Unit	3747
Examiner Name	TRAN, LONG T
Attomey Docket Numt	xer 11381.122997

/L	T .1	92	7188607	2007-03-13	Kobayashi, Tatsuo	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		15463100 15/463,100 - GAU: 3747
Filing Date		2017-03-20
First Named Inventor	Leslie	Bromberg
Art Unit		3747
Examiner Name	TRAN	I, LONG T
Attorney Docket Num	oer	11381,122997

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		15463100 157463,100 - GAU: 3747
Filing Date		2017-03-20
First Named Inventor	Lesh	e Bromberg
Art Unit		3747
Examiner Name	TRA	N, LONG T
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		15463100 15/463,100 - GAU: 3747						
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First Named Inventor	Leslie	9 Bromberg						
Art Unit		3747						
Examiner Name	TRAM	LONG T						
Attomey Docket Nur	nber	11381.122997						

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	75
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		15463100 15/463,100 - GAU: 3747					
Filling Date		2017-03-20					
First Named Inventor	Leslie	Bromberg					
Art Unit		3747					
Examiner Name	TRAN	I, LONG T					
Attomey Docket Numb	er	11381.122997					

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Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is
directed, the Power of Attorney will not be recognized in the application.Application Number15/463,100

Filing Date		March 20, 2017			
First Named Inventor		Leslie Bromberg			
Title		OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES			
Art Unit		3747			
Examiner Name		Long T. Tran			
Attorney Docket Number		101328-422 (MIT11381K)			
SIGNATURE of Applicant or Patent Practitioner					
Signature	/Rory P. Pheiffer/			Date (Optional)	2018-01-16
Name	Rory P. Pheiffer			Registration Number	59,659
Title (if Applicant is a juristic entity)					
Applicant Name (if Applicant is a juristic entity)					
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms.					
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I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTC/AIA/82A) or identified above: 21125								
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I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box):								
inventor or J	Ioint inventor (title not required below)							
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Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)								
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SIGNATURE of Applicant for Patant								
The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic a Signature Date (Optional) 2.19.17								
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Dated: January 16, 2018 Electronic Signature for Rory P. Pheiffer: /Rory P. Pheiffer/

Docket No.: 101328-422 (MIT11381K) (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Massachusetts Institute of Technology

Application No.: 15/463,100

Filed: March 20, 2017

For: OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES

Confirmation No.: 1002

Art Unit: 3747

Examiner: Long T. Tran

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

Applicant respectfully submits a Power of Attorney for the above-referenced patent application, which also serves to revoke all previous powers of attorney given in this application. In support of the Intellectual Property Officer's authority to sign on behalf of the Massachusetts Institute of Technology, Applicant provides both a letter dated June 10, 2008, authorizing the "Intellectual Property Manager" to sign documents of this nature, and a letter dated July 10, 2017, indicating the position of "Intellectual Property Manager" has been renamed to "Intellectual Property Officer." Applicant respectfully requests confirmation of acceptance of the Power of Attorney for the present application.

The Director is hereby authorized to charge any deficiency in the fees filed with this paper, asserted to be filed with this paper, or which should have been filed with this paper to our Deposit Account No. 141449, under Client/Matter No. 101328-422 (MIT11381K).

Dated: January 16, 2018

Respectfully submitted,

Electronic signature: /Rory P. Pheiffer/ Rory P. Pheiffer Registration No.: 59,659 NUTTER MCCLENNEN & FISH LLP Seaport West 155 Seaport Boulevard Boston, Massachusetts 02210-2604 (617) 439-2879 Attorney for Applicant

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MASSACHUSETTS INSTITUTE OF TECHNOLOGY

Kirk D. Kolenbrander Vice President for Institute Affairs and Secretary of the Corporation

Office of the President 77 Massachuseus Avenue, Building 3-207 Cambridge, MA 02139-4307 Phane 617-253-3365

10 June 2008

Ms. Theress M. Stone Room 3⁻¹²¹ MIT

Dear Terry:

I am writing to confirm for your records that at its June 5 meeting, the Executive Committee

VOTE: That, effective on or after June 5, 2008, the individuals from time to time holding the following positions at the Institute arc, and each of them acting singly is, hereby authorized to sign in the name and on behalf of the Institute any contracts, agreements, filings and other documents which correspond to the description set forth below the name of the position and which any such individual deems advisable and in the interests of the Institute:

> Vice President for Research; Director, Technology Licensing Office; Associate Director, Technology Licensing Office

all documents and things necessary to apply for, obtain and maintain patents and trademarks in the United States Patent and Trademark Office and in the appropriate offices of all other countries of the world, including without limitation, Powers of Attorney appointing outside legal counsel to act on behalf of the Institute in procuring and maintaining such patents and trademarks; and

all documents and things necessary to apply for, obtain, and maintain registered copyrights in the United States Copyright Office and in the appropriate offices of all other countries of the world, including, without limitation, Powers of Attorney appointing outside legal counsel to act on behalf of the Institute in procuring and maintaining such registered copyrights; and

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Assistant Director for Biotechnology

all incoming and outgoing material transfer agreements

Intellectual Property Manager

all documents and things necessary to apply for, obtain and maintain patents and trademarks in the United States Patent and Trademark Office and in the appropriate offices of all other countries of the world, including without limitation, Powers of Attorney appointing outside legal counsel to act on behalf of the Institute in procuring and maintaining such patents and trademarks; and

all documents and things necessary to apply for, obtain, and maintain registered copyrights in the United States Copyright Office and in the appropriate offices of all other countries of the world, including, without limitation, Powers of Attorney appointing outside legal counsel to act on behalf of the Institute in procuring and maintaining such registered copyrights;

Patent Compliance Manager; Patent Compliance Administrator

all agreements licensing intellectual property to the United States government;

that any action taken before June 5, 2008 by an individual holding any of the above-listed positions which is within the scope of the authority granted by this vote is hereby ratified as approved by the Executive Committee; and

that the signing and delivery of any contract, agreement, filing or other document by an individual holding any of the above-listed positions in order to carry out the purpose this vote shall be conclusive as to the authority of such individual.

Theress M. Stone TLO Authorizations 10 June 2008 Page 3 of 3

If you have any questions, please give me a call.

Sincerely,

· ..

Kirk D. Kolenbrander

KDK/acb cc: Claude Canizares Lauren Foster Rolande Johndro Lita Nelson Richelle A. Nessralla, Esq. Daniel O'Brien L. Rafael Reif Israel Ruiz Jack Turner



Massachusetts Institute of Technology 255 Main Street, Kendall Square, NE18-501 Cambridge, Massachusetts 02142-1601

Phone 617--253-6966 Fax 617--258-6790 http://web.mit.edu/tlo

To: File

From: Lesley Millar-Nicholson, Director

Subject: TLO Signatory Authority June 10, 2008 Letter from Kirk Kolenbrander

Date: July 10, 2017

This is to confirm that, with respect to the June 10, 2008 letter from Kirk Kolenbrander to Theresa Stone, the "Intellectual Property Manager" position within the Technology Licensing Office has been renamed to "Intellectual Property Officer". Therefore, the Intellectual Property Officer shall have the authority to sign documents related to applying for, obtaining and maintaining patents, trademarks and copyrights in the U.S. Patent and Trademark Office and the appropriate patent offices of other countries of the world as set forth in the above referenced letter.

Warkicksa.

Lesley Millar-Nicholson



Massachusetts Institute of Technology 255 Main Street, Kendall Square, NE18-501 Cambridge, Massachusetts 02142-1601

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War Niclosa.

Lesley Millar-Nicholson

Electronic Acknowledgement Receipt				
EFS ID:	31508317			
Application Number:	15463100			
International Application Number:				
Confirmation Number:	1002			
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES			
First Named Inventor/Applicant Name:	Leslie Bromberg			
Customer Number:	91197			
Filer:	Rory P. Pheiffer			
Filer Authorized By:				
Attorney Docket Number:	11381.122997			
Receipt Date:	16-JAN-2018			
Filing Date:	20-MAR-2017			
Time Stamp:	18:16:17			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment			no			
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				485583		
1	Power of Attorney		422PowerofAttorney.pdf	d7d9472a9183f842f47be436fb0e7382db8 7da13	no	2
Warnings:						

Information:							
2	Transmittal Letter	422 Transmittal.pdf	109114 	no	1		
Warnings:							
Information:							
			291104				
3	Miscellaneous Incoming Letter	MIT_Authorization_Letter.pdf	58ac30d6b79e3edc54bee2ed3290f479830 3b65d	no	4		
Warnings:							
Information:			-				
			142073				
4	4 Miscellaneous Incoming Letter MIT_IF	MIT_IP_Manager_Now_Called_ IP_Officer.pdf	a4822d9ad1e3b697c8c863e192e41c539c6 cbee3	no	1		
Warnings:							
Information:							
		Total Files Size (in bytes)	10	27874			
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other application Filed with the USPTO as a Receiving Office If a new international Application is being filed and the international application in includes the necessary components for a filing date (see 97 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application is being filed and the international application includes the necessary components for an international application is being filed and the international application of the International Application Number an international filing date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concer							

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMENT United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PORTUGATION Advection of the states of the s						
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE			
15/463,100	03/20/2017	Leslie Bromberg	11381.122997			
			CONFIRMATION NO. 1002			
91197		POWER C	F ATTORNEY NOTICE			
MIT"s Technology Licensi	ing Office					
255 Main Street						
NE 18-501		•	000000096763831*			
Cambridge, MA 02142-14	.93					

Date Mailed: 01/19/2018

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/16/2018.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/agizaw/

page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMM United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Box 1450 Alexandra, Viginia 22313-1450 www.uspto.gov						
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE			
15/463,100	03/20/2017	Leslie Bromberg	101328-422 (MIT11381K)			
			CONFIRMATION NO. 1002			
21125		POA ACC	EPTANCE LETTER			
NUTTER MCCLENNEN & SEAPORT WEST 155 SEAPORT BOULEVA BOSTON, MA 02210-2604	NRD		OC000000096763858*			
			Date Mailed: 01/19/2018			

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/16/2018.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/agizaw/

page 1 of 1

Electronic Patent Application Fee Transmittal						
Application Number:	15	15463100				
Filing Date:	20-	Mar-2017				
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES					
First Named Inventor/Applicant Name:	Les	ilie Bromberg				
Filer:	Ro	ry P. Pheiffer/Jessica	a Ferrara			
Attorney Docket Number:	10	1328-422 (MIT1138	1 K)			
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

FORD Ex. 1135, page 225 IPR2020-00013

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 1 month with \$0 paid	1251	1	200	200		
Miscellaneous:						
	Tot	al in USD	(\$)	200		

Electronic Acknowledgement Receipt				
EFS ID:	32024638			
Application Number:	15463100			
International Application Number:				
Confirmation Number:	1002			
Title of Invention:	OPTIMIZED FUEL MANAGEMENT SYSTEM FOR DIRECT INJECTION ETHANOL ENHANCEMENT OF GASOLINE ENGINES			
First Named Inventor/Applicant Name:	Leslie Bromberg			
Customer Number:	21125			
Filer:	Rory P. Pheiffer			
Filer Authorized By:				
Attorney Docket Number:	101328-422 (MIT11381K)			
Receipt Date:	12-MAR-2018			
Filing Date:	20-MAR-2017			
Time Stamp:	22:28:15			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes			
Payment Type	CARD			
Payment was successfully received in RAM	\$200			
RAM confirmation Number	031318INTEFSW22285800			
Deposit Account 141449				
Authorized User Rory Pheiffer				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
37 CFR 1.16 (National application filing, search, and examination fees)				
37 CFR 1.17 (Patent application and reexamination pro	ocessing fees)			

37 CFR 1.19 (Document supply fees)

37 CFR 1.20 (Post Issuance fees)

37 CFR 1.21 (Miscellaneous fees and charges)

File Listin	g:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
			31205						
1	Fee Worksheet (SB06)	fee-info.pdf	f3546d67fc1770aa87a0f839aee3021afe0f8 391	no	2				
Warnings:									
Information									
		Total Files Size (in bytes):	3	1205					
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter an internatio and of the In	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number an of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of								

	<u>'ED States Patent</u>	AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/463,100	03/20/2017	Leslie Bromberg	101328-422 (MIT11381K)	1002
21125 NUTTER MCC	7590 05/18/2018 CLENNEN & FISH LLP		EXAM	INER
SEAPORT WE			TRAN, I	LONG T
BOSTON, MA	. 02210-2604		ART UNIT	PAPER NUMBER
			3747	
			NOTIFICATION DATE	DELIVERY MODE
			05/18/2018	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@nutter.com

	Application No.	Applicant(s)			
	15/463,100	BROMBERG ET AL.			
Notice of Abandonment	Examiner	Art Unit			
	LONG T. TRAN	3747			
The MAILING DATE of this communication app					
This application is abandoned in view of:					
 Applicant's failure to timely file a proper reply to the Office (a) ☐ A reply was received on (with a Certificate of Magnetic for reply (including a total extension of time of) 	ailing or Transmission dated), month(s)) which expired on	 			
(b) ☐ A proposed reply was received on, but it does n (A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed application, a timely filed Request for Continued Exami permitted in design applications.)	consists only of: (1) a timely filed am Notice of Appeal (with appeal fee); of	endment which places the r (3) if this is utility or plant			
 (c) A reply was received on but it does not constitutive rejection. See 37 CFR 1.85(a) and 1.111. (See explar (d) ⊠ No reply has been received. 		npt at a proper reply, to the non-final			
 2. Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-85 (a) The issue fee and publication fee, if applicable, was), which is after the expiration of the statutory period.). received on (with a Certifica	ate of Mailing or Transmission dated			
Allowance (PTOL-85). (b) The submitted fee of \$ is insufficient. A balance The issue fee required by 37 CFR 1.18 is \$ T (c) The issue fee and publication fee, if applicable, has not	of \$ is due. he publication fee, if required by 37 C				
 3. Applicant's failure to timely file corrected drawings as requi Allowability (PTO-37). (a) Represent corrected drawings were reactived on 					
 (a) Proposed corrected drawings were received on the expiration of the period for reply. (b) No corrected drawings have been received. 		mission dated), which is alter			
 ☐ The letter of express abandonment which is signed by the 1.33(b). See 37 CFR 1.138(b). 	attorney or agent of record or other p	party authorized under 37 CFR			
 The letter of express abandonment which is signed by an 1.34) upon the filing of a continuing application. 	attorney or agent (acting in a represe	ntative capacity under 37 CFR			
6. The decision by the Board of Patent Appeals and Interference rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims.					
7. 🔀 The reason(s) below:					
no reply					
	/LONG T TRAN/ Primary Examiner, Art Uni	t 3747			
Petitions to revive under 37 CFR 1.137, or requests to withdraw the hole	I ding of abandonment under 37 CFR 1.18	1, should be promptly filed to minimize			
any negative effects on patent term. U.S. Patent and Trademark Office PTOI - 1432 (Bev 07-14) Notice -	of Abandonment	Part of Paper No. 20180515			

PTOL-1432 (Rev. 07-14)

Notice of Abandonment

Part of Paper No. 20180515